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**Title: Sample collection from asteroid 162173 Ryugu by Hayabusa2:  
implications for surface evolution**

**Authors:** T. Morota<sup>1,2\*</sup>, S. Sugita<sup>1,3</sup>, Y. Cho<sup>1</sup>, M. Kanamaru<sup>4†</sup>, E. Tatsumi<sup>1,5,6</sup>, N. Sakatani<sup>7‡</sup>, R. Honda<sup>8</sup>, N. Hirata<sup>9</sup>, H. Kikuchi<sup>7</sup>, M. Yamada<sup>3</sup>, Y. Yokota<sup>7,8</sup>, S. Kameda<sup>10</sup>, M. Matsuoka<sup>7</sup>, H. Sawada<sup>7</sup>, C. Honda<sup>11</sup>, T. Kouyama<sup>12</sup>, K. Ogawa<sup>9,13</sup>, H. Suzuki<sup>14</sup>, K. Yoshioka<sup>1</sup>, M. Hayakawa<sup>7</sup>, N. Hirata<sup>11</sup>, M. Hirabayashi<sup>15</sup>, H. Miyamoto<sup>1,21</sup>, T. Michikami<sup>16</sup>, T. Hiroi<sup>17</sup>, R. Hemmi<sup>1</sup>, O. S. Barnouin<sup>18</sup>, C. M. Ernst<sup>18</sup>, K. Kitazato<sup>11</sup>, T. Nakamura<sup>19</sup>, L. Riu<sup>7</sup>, H. Senshu<sup>3</sup>, H. Kobayashi<sup>2</sup>, S. Sasaki<sup>4</sup>, G. Komatsu<sup>20</sup>, N. Tanabe<sup>1</sup>, Y. Fujii<sup>8</sup>, T. Irie<sup>2</sup>, M. Suemitsu<sup>2</sup>, N. Takaki<sup>1</sup>, C. Sugimoto<sup>1</sup>, K. Yumoto<sup>1</sup>, M. Ishida<sup>10</sup>, H. Kato<sup>10</sup>, K. Moroi<sup>10</sup>, D. Domingue<sup>21</sup>, P. Michel<sup>22</sup>, C. Pilorget<sup>23</sup>, T. Iwata<sup>7,24</sup>, M. Abe<sup>7,24</sup>, M. Ohtake<sup>7,11</sup>, Y. Nakauchi<sup>7</sup>, K. Tsumura<sup>18,25</sup>, H. Yabuta<sup>26</sup>, Y. Ishihara<sup>27</sup>, R. Noguchi<sup>7</sup>, K. Matsumoto<sup>24,28</sup>, A. Miura<sup>7</sup>, N. Namiki<sup>24,28</sup>, S. Tachibana<sup>1</sup>, M. Arakawa<sup>9</sup>, H. Ikeda<sup>29</sup>, K. Wada<sup>3</sup>, T. Mizuno<sup>7,24</sup>, C. Hirose<sup>29</sup>, S. Hosoda<sup>7</sup>, O. Mori<sup>7</sup>, T. Shimada<sup>7</sup>, S. Soldini<sup>7,30</sup>, R. Tsukizaki<sup>7</sup>, H. Yano<sup>7,24</sup>, M. Ozaki<sup>7,24</sup>, H. Takeuchi<sup>7,24</sup>, Y. Yamamoto<sup>7,24</sup>, T. Okada<sup>7,1</sup>, Y. Shimaki<sup>7</sup>, K. Shirai<sup>7</sup>, Y. Iijima<sup>7§</sup>, H. Noda<sup>24,28</sup>, S. Kikuchi<sup>7</sup>, T. Yamaguchi<sup>7#</sup>, N. Ogawa<sup>7</sup>, G. Ono<sup>28</sup>, Y. Mimasu<sup>7</sup>, K. Yoshikawa<sup>29</sup>, T. Takahashi<sup>7§</sup>, Y. Takei<sup>7,29</sup>, A. Fujii<sup>7</sup>, S. Nakazawa<sup>7</sup>, F. Terui<sup>7</sup>, S. Tanaka<sup>7,24</sup>, M. Yoshikawa<sup>7,24</sup>, T. Saiki<sup>7</sup>, S. Watanabe<sup>2,7</sup>, and Y. Tsuda<sup>7,24</sup>.

**Affiliations:**

1. The University of Tokyo, Tokyo 113-0033, Japan.
2. Nagoya University, Nagoya 464-8601, Japan.
3. Planetary Exploration Research Center, Chiba Institute of Technology, Narashino 275-0016, Japan.
4. Osaka University, Toyonaka 560-0043, Japan.
5. Departamento de Astrofísica, Universidad de La Laguna, 38206 La Laguna, Tenerife, Spain.
6. Instituto de Astrofísica de Canarias, 38205 La Laguna, Tenerife, Spain
7. Institute of Space and Astronautical Science, Japan Aerospace Exploration Agency (JAXA), Sagami-hara 252-5210, Japan.
8. Kochi University, Kochi 780-8520, Japan.
9. Kobe University, Kobe 657-8501, Japan.
10. Rikkyo University, Tokyo 171-8501, Japan.
11. University of Aizu, Aizu-Wakamatsu 965-8580, Japan.
12. National Institute of Advanced Industrial Science and Technology, Tokyo 135-0064 Japan.
13. JAXA Space Exploration Center, Japan Aerospace Exploration Agency, Sagami-hara 252-5210, Japan.
14. Meiji University, Kawasaki 214-8571, Japan.
15. Auburn University, Auburn, AL 36849, USA.
16. Kindai University, Higashi-Hiroshima 739-2116, Japan.
17. Brown University, Providence, RI 02912, USA.
18. Johns Hopkins University Applied Physics Laboratory, Laurel, MD 20723, USA.
19. Tohoku University, Sendai 980-8578, Japan.
20. International Research School of Planetary Sciences, Università d'Annunzio, 65127 Pescara, Italy.
21. Planetary Science Institute, Tucson, AZ 85719-2395, USA.

22. Université Côte d'Azur, Observatoire de la Côte d'Azur, Centre National de le Recherche Scientifique, Laboratoire Lagrange, 06304 Nice, France.
23. Institut d'Astrophysique Spatiale, Université Paris-Sud, Orsay, France.
24. SOKENDAI (The Graduate University for Advanced Studies), Hayama 240-0193, Japan.
- 5 25. Tokyo City University, Tokyo 158-8557, Japan.
26. Hiroshima University, Higashi-Hiroshima 739-8526, Japan.
27. National Institute for Environmental Studies, Tsukuba 305-8506, Japan.
28. National Astronomical Observatory of Japan, Mitaka 181-8588, Japan.
29. Research and Development Directorate, JAXA, Sagamihara 252-5210, Japan.
- 10 30. The University of Liverpool, Liverpool L69 3BX, UK.

\*Corresponding author: E-mail: morota@eps.s.u-tokyo.ac.jp

†Affiliation from April 2020: Institute of Space and Astronautical Science, Japan Aerospace Exploration Agency (JAXA), Sagamihara 252-5210, Japan.

‡Affiliation from April 2020: Rikkyo University, Tokyo 171-8501, Japan

§Deceased.

#Current affiliation: Mitsubishi Electric Corporation, Kamakura 247-8520, Japan.

\$Current affiliation: NEC Corporation, 1-10 Nisshin-cho, Fuchu, Tokyo 183-0036, Japan.

**Abstract:** The near-Earth asteroid 162173 Ryugu is thought to be a primitive carbonaceous object and to contain hydrated minerals and organic molecules. We report sample collection from Ryugu's surface by the Hayabusa2 spacecraft on 21 February 2019. Touchdown images and global observations of surface colors are used to investigate the stratigraphy of the surface around the sample location and across Ryugu. Latitudinal color variations suggest reddening of exposed surface material by solar heating and/or space weathering. Immediately after touchdown, Hayabusa2's thrusters disturbed dark fine grains that originate from the redder materials. The stratigraphic relationship between identified craters and the redder material suggests that surface reddening occurred over a short period of time. We suggest that Ryugu has previously experienced an orbital excursion near the Sun.

### Main Text:

Hayabusa2 is a sample-return mission to 162173 Ryugu, a carbonaceous near-Earth asteroid (NEA) (1). The spacecraft launched on 3 December 2014 and arrived at Ryugu on 27 June 2018. Initial global observations showed the asteroid has a spinning top-shape and rubble-pile structure (2, 3). The surface has generally uniform spectra (4, 5). Small variations in surface color are quantified using the spectral slope from *b*-band (0.48  $\mu\text{m}$ ) to *x*-band (0.86  $\mu\text{m}$ ) (hereafter, *b*-*x* slope) (2, 5, 6) observed with the telescopic optical navigation camera (ONC-T) (Fig. 1A) (5). Two compositional types of material are present on the surface with different colors: bluer material distributed at the equatorial ridge and in the polar regions, and redder material in the mid-latitude regions (5). However, the cause of these spectral variations is not understood.

On 21 February 2019, Hayabusa2 conducted its first sample collection from Ryugu, performing a touchdown on the surface. During the touchdown operation, Hayabusa2 took

images of Ryugu's surface with resolutions as high as  $\sim 1$  mm pixel<sup>-1</sup>. Those images allow us to observe the surface response to the physical disturbances generated by the touchdown, including the sampling projectile collision and firing of the thruster gas jets.

The touchdown site was selected based on established engineering safety criteria and scientific merits (2, 6, 7). Regional variations in the mixing ratio between redder and bluer materials are measured using the spectral slope (Fig. 1A). Spectral variations between candidate touchdown sites are much smaller than those within each site (2), implying that the surface materials are locally well-mixed. A sampling operation at any of the candidate sites should therefore collect both redder and bluer components. Safe landing locations were limited by the high boulder abundances (5, 8). We initially intended the site designated L08-B, one of the lowest boulder density areas on Ryugu, as the primary landing site (2, 6, 7) and deployed a target marker (TM) on October 23, 2018 to facilitate navigation. Based on the location where the TM settled and the detailed search for areas without boulders taller than 65 cm, which could reach Hayabusa2's reaction control system (RCS) during a touchdown, we finally chose L08-E1 (Fig. 2A and S5).

Hayabusa2 performed multiple low-altitude ( $\sim 40$  m) descent maneuvers near the L08 region, during which we conducted high-resolution ( $>0.01$  m pixel<sup>-1</sup>) spectral and morphological observations. The touchdown site is generally slightly bluer than the global average, but reddish areas are found within L08-E1 (Fig. 1E and 2C). These reddish areas are on average slightly darker than bluer areas (Fig. S6), a trend that is observed globally (2, 5). The reddish areas are limited to parts of individual boulders, with most boulder surfaces in this location being blue (Fig. 2C). These observations are compatible with the interpretation that Ryugu's boulders are originally bluer, with redder materials produced by surface metamorphic processes such as space weathering (9), thermal metamorphism by solar heating (10), and/or pulverization by small impacts (11). Redder materials may have been shed from boulder surfaces by impact disruption and/or thermal fatigue. The bluer surfaces of boulders remain un-reddened, implying that the timescale for surface reddening is longer than boulder resurfacing by impact disruption and/or thermal fatigue.

There are two morphological types of sub-meter-sized boulders around the touchdown site: dark ragged boulders and bright boulders with smooth surfaces (Fig. 2D and S7A). These types of boulders are observed in the ten-meter size range in remote images (5), and on smaller scales in images taken on the surface by the Mobile Asteroid Surface Scout (MASCOT) lander (12). Images taken during the descent operation show that there is also a submeter-scale heterogeneity in surface reflectance of the bright boulders: the edges of many boulders are brighter than the planar surfaces of the same boulders (yellow arrows in Fig. 2E and S7B). This may be due to a higher abrasion rate at the boulder edges. One boulder in Fig. 2E appears to have broken in two, with the possible broken surface  $\sim 1.5$  times brighter than surfaces of the surrounding boulders. Because the interior/less-processed part of the boulder is exposed on the boulder edges and broken surface, these observations suggest that boulder surfaces are generally darkened by exposure to space.

The Hayabusa2 spacecraft has a sampling mechanism (13) similar to that of the original Hayabusa spacecraft (14). Ejecta are generated by the impact of a 1-cm-diameter tantalum projectile fired at a speed of  $\sim 300$  m s<sup>-1</sup> during the first contact with the surface, which are caught with a sampler horn extended from the bottom of the spacecraft (13, 14, 15).

The combination of the impact of the projectile fired from the sampler system and the operation of the RCS thrusters during the touchdown produced a large amount of debris from

Ryugu's surface (Fig. 2G and Movie S1) (6). The video obtained by the nadir-viewing wide-angle optical navigation camera (ONC-W1) indicates that large boulders (up to 1 m in the longest dimension) were moved horizontally by  $>5$  m during the touchdown (Fig. S9). However, most of the debris disturbed during the touchdown was small pebbles and fine grains whose diameters are less than the pixel size (which varied from 1 to a few mm) of the W1 images (Fig. S8) (6). This high mobility of fragmental debris deposits (i.e., regolith) during the touchdown indicates that the cohesive forces between boulders/pebbles may be very weak, or that they depend on the particle sizes. This interpretation is consistent with the observed deficit of small craters (5, 16), mass movement along slopes (i.e., mass wasting) on crater walls (5), and the crater formation by Hayabusa2's artificial impact experiment (17).

Immediately after the RCS thrust upon touchdown, the entire field-of-view of ONC-W1 was darkened uniformly. A dark ragged boulder, which we nickname "Turtle Rock" simultaneously became as bright as the surrounding brighter boulders (Fig. 2F, and 2H) (6). Turtle Rock was also moved by the RCS exhaust. These observations suggest that dark fine grains were originally present on the surfaces (or inside pores) of darker and redder boulders, before being lifted by the RCS thrusting. Such fine grains were not visible in the surface images taken by MASCOT (12). The sampling process produced a cloud of dark fine grains that expanded from the touchdown site and extended to a zone  $\sim 10$ -m in diameter, centered at the touchdown site (Fig. 2G and 2H). We estimate the total mass of the fine-grained cloud to be  $\sim 12$  kg (6). The pre-touchdown color of this region was slightly bluer than the surrounding region, but it became redder after the deposition of the lofted dark fine grains (Fig. 2G and S11) (6). Observations with the Near Infrared Spectrometer (NIRS3) of the region before and after the touchdown showed little change in the OH band depth, although the NIRS3 spectra after the touchdown is slightly bluer and brighter (Fig. S12). This is consistent with the global lack of correlation between the spatial distribution of the OH band depth and the red-blue color variations observed by ONC (4, 5). We conclude that dark fine red grains originally concentrated on the boulder surfaces or in voids on the boulders, are not compositionally distinct from the subsurface, and the disruption by the touchdown event resulted in more even distribution of the grains across the affected area.

Globally, the  $b$ - $x$  slope varies with latitude (Fig. 1A). We found that the  $b$ - $x$  slope also correlates with the crater distribution. Fresh and stratigraphically younger craters  $>20$  m in diameter have interiors that are spectrally bluer than their surroundings (Fig. 1C). This implies that the redder materials were covering the bluer materials, with the latter exposed by the crater formation. This is consistent with the stratigraphic relationship between the redder and bluer materials inferred from the global  $b$ - $x$  slope distribution (5). On the other hand, stratigraphically older craters tend to have redder interiors, and the color of the crater interiors is similar to that of surrounding materials (Fig. 1C). We investigated the contrasts in spectral slopes between crater interior surfaces and surrounding areas, which we define as the area within a distance of one crater radius outward from the crater rim (6). The histogram of the contrast in  $b$ - $x$  spectral slope shows a bimodal distribution (Fig. 3), indicating that craters on Ryugu can be divided into two groups: red craters whose interior has  $b$ - $x$  slope similar to that of their surroundings, and blue craters whose interior is bluer than their surroundings.

A potential explanation of the latitudinal variation in  $b$ - $x$  slope is that the exposure of Ryugu's materials to space has led to their reddening, and mass wasting from the equator and polar regions (topographic highs) to the mid-latitude regions (topographic lows) has exposed

fresh bluer subsurface materials (2, 5). The polar regions exhibit bluer spectra than the equatorial ridge (Fig. S3), suggesting that the reddening process seems to depend on illumination by the Sun (Ryugu's obliquity is  $171.6^\circ$  (2)). The color variation of crater interiors can be explained by their stratigraphic relations; the craters with redder interiors were formed before surface reddening occurred, while the bluer craters were formed after the surface reddening and the underlying bluer materials were exposed by the impacts. The bimodal distribution of the contrast in  $b-x$  spectral slope (Fig. 3) suggests that the surface reddening has not been active throughout the whole Ryugu's history and occurred mainly within a period after the formation of redder craters and before the formation of bluer craters. The surface reddening might not be currently active, or is active but slow compared with the resurfacing processes of boulder surfaces as discussed above (Fig. 2C).

We interpret the crater size–frequency distributions (SFDs) using collision frequency models derived for the asteroid main belt (6, 18, 19). From the SFD of red craters larger than 100 m in diameter (Fig. 3D), we estimate the time between the formation of Ryugu to the surface reddening event to be 8.5 Ma. This is much younger than the breakup time of candidate parent asteroids, Eulalia and Polana (several hundred Ma to  $\sim 1$  Ga) (5, 20), suggesting that Ryugu is the product of more than one generation of parent body disruption and/or global resurfacing processes such as the spinning top-shape formation had occurred until 8.5 Ma ago. The observed number density of blue craters is  $\sim 30$  times lower than that of red craters (Fig. 3D). We estimate a model age for the reddening event of about 0.3 Ma, from the observed SFD of blue craters larger than 30 m diameter based on the main-belt collision frequency model (Fig. S14A). Using an alternative NEA collision frequency model, the age of the reddening event is estimated to be about 8 Ma, because there is a much lower collision frequency for bodies in NEA orbits (6, 18, 19). We interpret these ages as upper and lower limits of age estimates of the surface reddening. The NEA model age is younger than the typical dynamical lifetime of NEAs ( $\sim 10$  Ma) (21) and the median orbital lifetime of Ryugu ( $\sim 40$  Ma) (22). We therefore suggest that the reddening of Ryugu's surface occurred after its orbit shifted from the main belt to its current near-Earth orbit (5).

The deficit of craters smaller than 100 m in diameter on Ryugu's surface suggests that crater erasure processes have occurred (5). Existing small craters must therefore have formed geologically recently. However, smaller craters ( $< 10$  m in diameter) do not always exhibit bluer interiors, which would be expected if they were all young. Some small craters exhibit a redder, not bluer, interior than the surroundings materials (Fig. 1E). Streaked patterns of redder materials such as ejecta deposits are visible across the whole surface (Fig. S4B). In addition, a streaked pattern of redder materials elongated from a boulder suggests that a mass of redder material collided with the boulder and dispersed (Fig. S4F). Redder materials may have been disrupted and redistributed by impacts, thermal fatigue, and mass wasting, which may have resulted in the formation of a mixed layer of redder and bluer materials after the surface reddening event (Fig. 4). This interpretation is supported by the distribution of redder materials on boulder surfaces and the existence of dark reddish fine grains observed in the touchdown operation. The thickness of the mixed layer of redder and bluer materials is estimated to be a few meters, derived from the minimum crater size ( $\sim 10$  m in diameter) that penetrates to the underlying blue materials. The presence of ejecta rays with a length of a few tens of meters that consist primarily of redder materials (Fig. S4F) implies that the redder material layer originally had a minimum thickness of a few tens of centimeters (6). Solar heating is more likely than space weathering to be the source of the reddening of Ryugu's surface, because space weathering typically affects only a thin layer

of about 100 nm, while the diurnal and annual thermal skin depths (depth at which temperature decays to 1/e the surface temperature) are  $\lesssim 10$  cm and  $\sim 1.5$  m, respectively (23, 24).

We suggest that a surface reddening event within a short period of time could be explained if Ryugu underwent a temporary orbital excursion near the Sun, causing higher surface heating. Such solar heating is consistent with the apparent deficiency in C-type asteroids bearing aqueous alteration features at 0.7- $\mu\text{m}$  band in the NEA population (25). However, solar heating on Ryugu during an orbital excursion cannot account for the low abundance of hydrous minerals revealed by global observations (4, 5), because the bluish/brighter areas on Ryugu, which did not experience the intense solar heating, also have low abundance of hydrous minerals (4).

The large local variations in the spectral slope and albedo within the sampling site suggest that both bluer and redder components were likely collected during the touchdown. We predict that the returned sample will contain a mix of altered and unaltered materials, with the former recording a solar heating event.

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**Supplementary Materials:**

Materials and Methods  
Supplementary Text  
Figs. S1 to S14  
Tables S1  
Movie S1  
References (26–38)

**Figure 1. Spectral slope of Ryugu’s surface.** (A) Global map of  $b$ - $x$  slope ( $\mu\text{m}^{-1}$ ), indicated by the color bar, superimposed on a  $v$ -band image map. The white arrow indicates the location of the first touchdown (TD) point ( $4.30^\circ\text{N}$ ,  $206.47^\circ\text{E}$ ). Craters larger than 20 m in diameter are shown by black circles. Dashed lines indicate areas shown in other panels. (B)  $v$ -band image (hyb2\_onc\_20180801\_183933\_tvf) obtained from 5.1 km altitude. Yellow arrows indicate craters with bluer interior than the surrounding materials. (C)  $b$ - $x$  slope of the same region as panel B. Craters larger than 20 m in diameter are shown by white dashed circles. Blue craters B1 and B2 are higher in the stratigraphy than red craters R1, R2 and R3. There is no blue crater stratigraphically superposed by red craters, suggesting that the blue craters are younger than the red craters. (D)  $v$ -band image and (E)  $b$ - $x$  slope image showing a 9-meter-sized crater with redder interior than the surrounding materials, close to the touchdown point marked with a white cross. Panels C and E are on the same color scale as A.

**Figure 2. Touchdown site before, during, and after the touchdown operation.** (A) Boulder and crater map around the touchdown site L08-E1. The light blue arrows indicate the location of the target marker (TM) ( $4.04^\circ\text{N}$ ,  $206.01^\circ\text{E}$ ) and the touchdown point of the sampler horn (TD) ( $4.30^\circ\text{N}$ ,  $206.47^\circ\text{E}$ ) (7). The light blue circle indicates the L08-E1 area. The white dashed circles indicate craters. Boulder heights ( $H$ ) were estimated from their shadow lengths; those with  $H > 1.8\text{m}$  are outlined in red, and  $H > 0.65$  in pink. The boulder nicknamed “Turtle Rock” is indicated by the yellow arrow. The white box indicates the region shown in later panels. (B) and (C)  $p$ - $b$  ratio images (6) calculated from  $b$ - and  $p$ -band ( $0.95 \mu\text{m}$ ) images obtained during the touchdown rehearsal operation, from two different altitudes (hyb2\_onc\_20181015\_134707\_tbf and hyb2\_onc\_20181015\_134655\_tpf). The dashed boxes in B indicate regions shown in the other panels. (D) ONC-W1 image during the spacecraft descent before the touchdown (hyb2\_onc\_20190221\_222859\_w1f). The dark ragged Turtle Rock and an example of bright boulders with smooth surfaces (BB) are outlined in yellow and cyan dashed lines, respectively. The white dashed box indicates the area shown in panel E. (E) Close-up of the same image, with yellow arrows indicating fresh bright spots at corners and a possible broken plane of boulders. (F) and (G) ONC-W1 images obtained about 7 and 47 seconds after the touchdown, showing debris lifted from the surface (hyb2\_onc\_20190221\_222917\_w1f and hyb2\_onc\_20190221\_222957\_w1f). (H) ONC-T  $ul$ -band ( $0.39 \mu\text{m}$ ) image obtained at 76 m altitude after the touchdown (hyb2\_onc\_20190221\_223156\_tuf). Turtle Rock was lifted clear of the surface by the exhaust from Hayabusa2’s RCS thrusters, indicated by the yellow arrows in panels F-H.

**Figure 3. Crater statistics on Ryugu.** Histograms of the differences in  $b$ - $x$  slopes between the interior and the surroundings of craters larger than 10 m in diameter, in the latitude ranges (A)  $\pm 50^\circ$ , (B)  $\pm 10^\circ$ , and (C)  $-50^\circ$  to  $-10^\circ$  and  $10^\circ$  to  $50^\circ$ . Bar colors are illustrative only. (D) Crater size-frequency distribution (CSFD) in the latitude range of  $\pm 50^\circ$ . Black, red and blue squares indicate crater frequencies of all craters, red craters (defined as having a difference of  $b$ - $x$  slope of  $< 0.025$ ) and blue craters (difference of  $b$ - $x$  slope of  $> 0.025$ ), respectively. Gray curves indicate cratering chronology models fitted to the data for red and blue craters. The dashed line indicates the empirical saturation level. Error bars are calculated by  $\pm N^{1/2}/A$ , where  $N$  is the cumulative number of craters and  $A$  is the area of the latitude range of  $\pm 50^\circ$ . The resulting model

ages for the main belt asteroid (MBA) and near-Earth asteroid (NEA) impact rates are indicated in red and blue text.

5 **Figure 4. A schematic illustration of our suggested evolution of Ryugu.** Surface reddening  
occurred within a short period after the emplacement of red craters and before the formation of  
blue craters. We interpret the cause of the surface reddening as solar heating while Ryugu came  
temporally closer to the Sun than at present. Between the formation of Ryugu's spinning top-  
10 shape and the surface reddening we estimate a time of 9 Ma, based on the CSFDs of red craters.  
From the CSFDs of blue craters, the age of the surface reddening is estimated to be 0.3 Ma using  
the main-belt collision frequency model and 8 Ma using the NEA collision frequency model. We  
interpret these as upper and lower limits of age estimates of the surface reddening. After the  
surface reddening, the redder materials were disrupted and redistributed by impacts, thermal  
fatigue and mass wasting from the equator to mid latitude regions. A layer of mixed red and blue  
15 material subsequently formed on Ryugu's surface.







