

# Climate change impacts on long-term forest productivity might be driven by species turnover rather than by changes in tree growth

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- *Title:* Climate change impacts on long- term forest productivity might be driven by 1
- 2 species turnover rather than by changes in tree growth.
- 3

4 Running Title: climate change impacts on forest functioning

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#### 18 Abstract:

- 19 Aim: Forest functioning and services are impacted by climate change through two inter-related
- 20 effects. First, climate change affects tree physiology, which impacts ecosystem functioning
- 21 through, for example, biomass production. Second, the impact on trees' physiology might
- 22 reshuffle community composition, which in turn affects ecosystem functioning. The relative
- 23 importance of these two effects has rarely been studied. Here, we developed a novel modelling
- 24 approach to investigate the relative importance of these two effects on forest tree biomass
- 25 productivity.
- 26 Location: 11 forest sites in central Europe.
- Time period: Forests long-term (2000 years) responses to historical (years 1901-1990) and end-27
- 28 of the-century (2070-2100) climatic conditions.
- 29 Major taxa studied: 25 main tree species in European temperate forests.
- 30 Methods: We coupled species distribution models and a forest succession model working at
- complementary spatial, and temporal, scales to simulate the climatic filtering shaping potential 31
- 32 pools of tree species, the biotic filtering shaping realized communities, and the functioning of
- 33 these realized communities in the long term.
- Results: With an average temperature increase (relative to 1901-1990) of 1.7°C, or less, changes 34
- 35 in simulated forest productivity were mostly caused by changes in the growth of persisting tree
- species. With a temperature increase of 3.6 °C or more, at the currently climatically mild sites 36
- changes in simulated productivity were again predominantly caused by changes in tree species 37
- 38 growth, but at the warmest and coldest sites productivity changes were mostly related to

- 39 changes in species composition. In general, at the coldest sites forest productivity is likely to be
- 40 enhanced by climate change, and at the warmest sites productivity might increase or decrease
- 41 depending on the future regime of precipitation.
- 42 Main conclusions: The complementarity of two different modelling approaches to address
- 43 questions at the interface between biogeography, community ecology, and ecosystem
- 44 functioning, allows us to discover that climate change-driven species' reshuffling importance
- 45 for ecosystem functioning could be stronger than expected.
- 46

#### 47 Keywords:

- 48 Climate change, forest succession modelling, forest gap modelling, species distribution
- 49 modelling, species range shifts, temperate forests, tree growth, tree species richness.

#### 50 Introduction

51 Forests cover about a third of the world land surface, harbour most of the terrestrial 52 biodiversity, and represent an important carbon sink. They also play a pivotal role in climate regulation (Chapin, Randerson, McGuire, Foley, & Field, 2008) and provide other important 53 ecosystem services (Kumar, 2012). However, climate change is affecting many of these forest 54 55 ecosystem services, such as biomass production and carbon sequestration (Kirilenko & Sedjo, 2007), and this impact is likely to strengthen in the future (Pachauri et al., 2014). The influence 56 of climate change on forests can be divided into two inter-related effects (Adler, Leiker, & 57 Levine, 2009, Morin et al., 2018). Climate change affects forests by altering tree physiological 58 59 rates (Sack & Grubb, 2001), e.g. growth (Silva & Anand, 2013), phenology (Cleland, Chuine, Menzel, Mooney, & Schwartz, 2007), or survival (Allen, Breshears, & McDowell, 2015), which 60 has direct consequences for ecosystem functioning (e.g. biomass productivity). Climate change 61 62 also affects forest functioning when the pressure of climate change on trees' physiology is 63 strong enough to drive species' local extinction and colonization (Bertrand et al., 2011), as seen 64 in natural, experimental and simulated forests (Jucker, Bouriaud, Avacaritei, & Coomes, 2014; 65 Liang et al., 2016; Morin, Fahse, Scherer-Lorenzen, & Bugmann, 2011). Understanding the relative importance of these two effects, and the conditions under which each will occur, would 66 greatly help to improve the projections the potential impact of climate change on forest 67 functioning, and important forests ecosystem services such as carbon uptake and biomass 68 69 provision. However, the two effects have been mostly studied separately, and their relative 70 importance for forest productivity under different circumstances is almost unknown (but see 71 Coomes et al., 2014; Zhang, Niinemets, Sheffield, & Lichstein, 2018).

72 The effects of climate change on species composition might amplify (Zhang et al., 73 2018) or counteract (Fauset et al., 2012) the effects of climate change on tree physiology. 74 Furthermore, recent studies found that effects on species composition might be greater than previously expected. García-Valdés, Bugmann, and Morin (2018) found that the impact of 75 76 climate change-driven extinctions on temperate forests' functioning was stronger than random 77 extinctions. This implies that most Biodiversity-Ecosystem Functioning (BEF) studies (e.g. 78 Liang et al., 2016), underestimate the strength of biodiversity loss caused by climate change. 79 Similarly, Morin et al. (2018) found that warmer and drier conditions might strongly affect 80 BEF-relationships in forests experiencing the harshest climatic conditions, illustrating the 81 importance of understanding changes in species composition for forest functioning. These 82 findings suggest that local conditions, and the magnitude of the change in climate, might interact to determine the relative importance of tree physiological changes, and species 83 84 reshuffling, for forest functioning.

Nonetheless, disentangling these two effects of climate change on ecosystem 85 86 functioning is difficult. While estimating the effects of climate change on tree physiology could be relatively straightforward through experiments or long-term observations (Hasenauer, 87 Nemani, Schadauer, & Running, 1999), estimating the effects on species composition is much 88 more complicated (Barry et al., 2018). Several mechanisms underlie effects of climate change 89 on species composition. First, climatic filtering determines whether the local environmental 90 conditions are suitable for a species. Second, biotic filtering occurs when interspecific 91 92 interactions among potentially co-occurring species lead to the exclusion or acceptance of some 93 species. While climatic filtering is commonly studied at large spatial scales, such as regions or 94 continents (Thuiller, Lavorel, Araújo, Sykes, & Prentice, 2005), species interactions are mostly 95 studied at the local scale (Mayfield & Levine, 2010). We, therefore, studied both processes, each at the relevant spatial scale, to quantify the effects of both climatic and biotic filtering on 96 97 future forest species composition.

98 Species distribution models (hereafter "SDMs") typically work by correlating the 99 recorded presences of individual species with environmental variables (Gotelli et al., 2009), and 100 can be used to simulate climatic filtering. Although this approach carries some caveats (see Dormann et al., 2012 and Discussion section), SDMs are particularly robust for measuring the 101 102 environmental tolerances of species that are broadly distributed (Early & Sax, 2014; Estrada, 103 Delgado, Arroyo, Traba, & Morales, 2016), as the ones considered here. Forest succession models (FSMs) – also called gap model (Bugmann, 2001) – can be used to simulate forest 104 105 community dynamics at local scales (e.g. up to landscape level), given a specific starting species 106 pool (Chauvet, Kunstler, Roy, & Morin, 2017). FSMs are based on a minimum number of 107 ecological assumptions (Botkin, Janak, & Wallis, 1972), and rely on the ecophysiological 108 responses of trees to abiotic factors (including climate), and biotic factors (i.e. inter and intra-109 specific interactions), to simulate individual tree growth and succession dynamics (colonizations 110 and extinctions) over time (Bugmann, 2001). FSMs can hence simulate both the biotic filtering 111 of species by forecasting the realized tree community (i.e. at long-term equilibrium), and the 112 effects of climate change on tree growth.

In this study, we coupled SDMs and FSMs to assess the relative importance of climate change effects on tree physiology and species composition for the productivity of central European forests. Previous studies have coupled SDM-like modules with a process-based component simulating key processes, such as dispersal and demography (e.g. range dynamic models; Sarmento Cabral et al., 2013), and/or competition, e.g. the hybrid FATE-H model that considers only plant functional types (Boulangeat, Georges, & Thuiller, 2014). At a larger spatial scale, Meier, Lischke, Schmatz, and Zimmermann (2012) coupled a SDM with a FSM to 120 predict the migration rates of several tree species under climate change, while accounting for

- 121 interspecific competition. However, to our knowledge, no previous work has compared how
- 122 climate change will affect forest productivity through both altered species physiology and
- 123 composition by coupling SDMs' with FSMs' predictions. Our study is thus among the first ones
- to take advantage of the complementarity of the two kinds of models to address questions at the
- 125 interface between biogeography, community ecology, and ecosystem functioning. Specifically,
- 126 we used 11 forest sites as an example and aimed at answering the following questions:
- 127 (1) How will climate change affect long-term forest aboveground biomass productivity128 in European temperate forests?
- (2) What will be the relative contribution of tree growth and species composition toclimate-driven changes in productivity?
- 131 (3) How will current local climatic conditions and the magnitude of climate change132 influence the patterns found in (1) and (2)?

#### Material and methods 133

In summary, we simulated the productivity of 11 forest sites across central Europe 134 135 under historical (1901-1990) climatic conditions, and future (2071-2100) conditions, given four 136 climate change projections. To do so, we first used SDMs (Fig. 1) to forecast the future suitability of 25 common tree species (i.e. climatic filtering). We then combined the suitabilities 137 138 for all species in each location to generate *potential* species pools (e.g. Thuiller et al., 2005). 139 Second, starting from these potential species pools and bare-ground conditions (no trees in the site), we used the local-scale FSM FORCLIM (Bugmann, 1996) to simulate 2000 years of 140 succession (i.e. biotic filtering), leading to *realized* forest communities. Finally, once each 141 142 community had reached equilibrium (after 1000 years) we aggregated the simulated annual 143 productivity across all trees in the site. We explain all these steps in detail below.

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#### 145 Study sites

146 The geographic background from which species distributions and climatic data were drawn for SDMs comprises Europe from  $-10^{\circ}9'23''$  to  $30^{\circ}43'0''$  E and  $34^{\circ}59'30''$  to  $70^{\circ}58'33''$ 147 N. We simulated forest growth in 11 temperate forest sites across central Europe (nine in 148 149 Switzerland and two in Germany; Table S1). These sites cover a broad range of temperature and 150 precipitation conditions (Table S1), and represent the diversity of environments and forest types 151 in central Europe, as illustrated in previous studies (Bugmann, 1994; Morin et al., 2011).

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## Climate data for Species Distribution Models

154 We used historic climate data (1961-1990 period) from the Climatic Research Unit CL 155 v. 2.0 dataset (New, Lister, Hulme, & Makin, 2002) and projected future climate data from the 156 EURO-CORDEX project (Jacob et al., 2014), both at 10' resolution. Projected future climate data were downscaled via the Rossby Centre regional climate model (RCA4). We used two 157 158 Representative Concentration Pathways (RCPs): 4.5 and 8.5, and two Global Climate Models 159 (GCMs): CERFACS-CNRM-CM5 (CNRM-CM5) and ICHEC-EC-EARTH (EC-EARTH). We used four climatic variables; mean annual growing degree-days ( $> 5^{\circ}$ C), mean temperature of 160 the coldest month, annual precipitation, and a summer moisture index (potential 161 evapotranspiration divided by precipitation). These variables have been previously used to 162 163 model plant and vertebrates in Europe and they reflect two primary properties of climate 164 (energy and water) that have been shown to affect species distributions (Araújo, Alagador, 165 Cabeza, Nogués-Bravo, & Thuiller, 2011; Morrison, Estrada, & Early, 2018). We used averaged annual values of these climatic variables for the 1961-1990 period as historical climatic 166

- 167 conditions. For future climatic conditions, we used averaged simulated data of the variables for168 the 2071-2100 period under four climate change projections
- 169

#### 170 <u>Climate data for the Forest Succession Model</u>

171 We simulated 2000 years of forest dynamics with FORCLIM, given a "historical-like" 172 climate (baseline) projection and four "future-like" climate change projections (RCPs 4.5 and 173 8.5, and GCMs CNRM-CM5 and EC-EARTH). To do so we needed a generic 2,000 year-long 174 time-series of monthly temperature (T) and precipitation (P) that incorporated inter-annual 175 variability. For this purpose, we used the climate simulator embedded in FORCLIM, which uses 176 the monthly mean and standard deviation of T and P, and the correlation between them 177 (Bugmann, 1994). For the historical-like climate, we generated 2000 years of data directly using 178 the mean, standard deviation and correlation of monthly T and P (from Bugmann, 1994), which 179 was calculated from historic (1901–1990) data from the Swiss Meteorological Agency (Bantle, 180 1989). Such data had previously been used to calibrate and validate FORCLIM productivity projections in our study sites. Such generic data were hence analogue but not the same as the 181 one from the 1901-1990 period. 182

183 To simulate future climate conditions, we could not directly use data from GCM climate 184 projections because these models use a different "historical" data for bias correction to the data 185 we used (1901-1990 data from Bugmann, 1994). To circumvent this problem, we calculated a climatic anomaly for each climate projection (see Morin & Chuine, 2005). For each climate 186 187 projection we calculated the differences in monthly T and P between the future climate (years 2071-2100) and the current climate (years 2006-2016). These anomalies quantify how much T 188 189 and P would vary from baseline values under every climate projection. We added these 190 anomalies to the means of the historical climate data from Bugmann (1994), and for each climate projection we generated 2000 years of climate data for each of the four future climate 191 192 projections. All climate time-series thus contained no trend. In the projected future climate time-193 series, the estimated changes in temperature depended mostly on the RCPs, while the estimated 194 changes in precipitation depended mostly on the GCMs. The differences between the four climate projections compared to historical climate (1901-1990 period from Bugmann, 1994) 195 196 were, ordered in increasing stress for trees: (1) RCP 4.5-CNRM-CM5, moderately warmer with 197 more precipitation; (2) RCP 4.5-EC-EARTH, moderately warmer with similar precipitation; (3) 198 RCP 8.5-CNRM-CM5, extremely warmer with more precipitation; and (4) RCP 8.5-EC-199 EARTH, extremely warmer with similar precipitation. See Table S1 and S2 for specifics.

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## 201 Species data and the Species Distribution Models

202 We considered 25 of the most common tree species in this region (Table S3). We used 203 presence data from the Atlas Florae Europaeae (AFE; Jalas & Suominen, 1972–1994; Jalas, 204 Suominen, & Lampinen, 1996). When a species was not recorded in the Atlas, we used 205 distribution data from EUFORGEN (http://www.euforgen.org/; see Table S4). Presence data 206 were on 50 km  $\times$  50 km Universal Transverse Mercator (UTM) grid cells in AFE, and range 207 maps in EUFORGEN. We transformed EUFORGEN range maps into ~50km UTM cells to run 208 the models. We calculated the average historical climatic conditions in each 50-km grid cell 209 from the 10' climate grid resolution. The relationships between historical climatic variables and 210 species' distributions were modelled using seven SDM techniques: generalized linear models 211 (GLM), generalized additive models (GAM), generalized boosting models (GBM), classification tree analysis (CTA), artificial neural networks (ANN), flexible discriminant 212 213 analysis (FDA), and surface range envelope (SRE). Models were calibrated for the historical 214 period (1961–1990) using 80% random sample of the initial data and cross-validated against the 215 remaining 20% data, using the area under the curve (AUC) of the receiver operator 216 characteristic (ROC) and the true skill statistic (TSS). SDMs were calculated 10 times, each 217 time selecting a different 80% and 20% of the data for calibration and evaluation. Results from 218 each SDM technique was then included in an ensemble model if the AUC from cross-validation 219 was higher than 0.8 and TSS was higher than 0.6 (similar to Araújo et al., 2011). However, the 220 final ensemble model for each species was calibrated using 100% of the species distribution 221 data to maximise the amount of data available for projections. For each species, the ensemble 222 was calculated using the mean probability of occurrence, weighted proportional to the AUC and 223 TSS obtained on the evaluation data. Ensemble models calibrated at 50-km resolution were 224 downscaled to obtain suitability in each 10' grid cell. We projected ensemble models to future 225 climatic conditions at 10' resolution for the four future climate projections (the combination of 226 GCMs and RCPs). This approach follows methods employed by Araújo et al. (2011), though 227 using more recently constructed climate data. All models were run in R (R Core Team, 2014) 228 using default options of the *biomod2* package (Thuiller, Georges, & Engler, 2013).

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#### 0 <u>Forecasting of potential tree species pool in each site</u>

For each site and climate projection, we used the SDM-predicted suitability for each species to build a local species pools. To apply directly the suitability of the species based on the SDM projections, instead of using an arbitrary threshold to distinguish suitable or unsuitable, we built 100 potential species pools for each site and climate projection and included each species proportionally to its climate suitability. For example, if the suitability of a given species in a given site and for a given climate projection was 0.6, this species would be included

species, each one following the rule described above, was then done randomly.

in 60 of the 100 species pools for that site and climate projection. The combination of all the

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#### 240 Forest Succession Model

241 FORCLIM projections have been shown to be robust under various climatic conditions 242 across a large number of studies (Bircher, Cailleret, & Bugmann, 2015; Gutiérrez, Snell, & 243 Bugmann, 2016; Rasche, Fahse, & Bugmann, 2013; e.g. Rasche, Fahse, Zingg, & Bugmann, 2011). Its projections of forest biomass productivity have been validated for the same sites than 244 245 those used in this study and using climate values generated with the same historical means, 246 standard deviations and cross-correlations as the ones used here (Rasche et al., 2013). It has also 247 been specifically used to study climate change effects on forest functioning on these sites 248 (Didion, Kupferschmid, Wolf, & Bugmann, 2011; Mina et al., 2017; Morin et al., 2018; Rasche 249 et al., 2013).

250 In FORCLIM, the establishment, growth and mortality of trees are simulated using the abiotic and biotic conditions in small independent patches (800 m<sup>2</sup> in this study). Tree location 251 in the patch is not estimated, and all trees compete for light. The properties of several patches 252 253 are aggregated to calculate forest properties across larger extents (Bugmann, 2001; Shugart, 254 1984). Tree establishment is modelled as a stochastic process, depending on species-specific responses to light availability at the forest floor, growing degree-days, drought occurrence, and 255 256 minimum and maximum winter temperature. Tree growth is measured as stem diameter 257 increment, which depends on each species' optimum growth rate, abiotic conditions 258 (temperature, drought, and soil nitrogen), and biotic conditions (light availability). Therefore, 259 while competition for water and nitrogen between individuals are not taken into account 260 explicitly in the model, soil water and nitrogen contents constrain tree establishment and growth differentially between species, which affects competition between trees. Competition for light is 261 262 modelled by calculating the amount of available light for each individual tree depending on tree 263 height and the crown sizes of competing trees. FORCLIM also incorporates a shade tolerance 264 parameter (Ellenberg, 1991), defining the classic trade-off between growth in full light and 265 survival in shade. Tree mortality has two components: (1) a 'background' mortality, which is 266 constant across time and depends on the species' maximum longevity, and (2) growth-related 267 mortality reflecting the effect of stressful conditions on tree survival (i.e., trees with decreased 268 vigour are more likely to die). The species parameters for FORCLIM can be found in Table S3, 269 and more details about the model can be found in Appendix S1, and in Didion, Kupferschmid, 270 Zingg, Fahse, and Bugmann (2009), and Bugmann (1996).

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#### 272 <u>Simulations of forest succession dynamics</u>

For each climate conditions (historical and future), we thus simulated 2000 years of forest dynamics with FORCLIM. This allowed to fairly compare the historical and future periods in terms of the assessment of the relative contribution of tree growth and species composition to climate-driven changes in productivity. However, this implies that the simulations should not be taken as predictions of forest composition and productivity for the end of the 21<sup>st</sup> century, notably because the effect of species colonization may be inflated because of this design.

279 After having checked that FORCLIM simulations run in the same conditions (site, 280 climate, species pool) yielded very similar results after 2000 years, we performed one FORCLIM 281 simulation for each site (n = 11), each climate projection (historical and future, n = 5) and each species pool (n = 100). Each simulation included 100 patches of 800 m<sup>2</sup> each, thus 282 283 corresponding to an 8 ha forest. FORCLIM simulations started from bare-ground conditions to 284 avoid the influence of starting conditions. For each FORCLIM simulation, only the species in the 285 site's species pool - determined by the SDMs - were allowed to colonize the patches. The 286 simulations were run for 2,000 years to allow forests to reach equilibrium in total biomass and 287 composition, thus avoiding transient states. We extracted the productivity and composition from 288 simulations after 1000 years, to allow the system to reach equilibrium. To avoid temporal 289 autocorrelation we extracted values from the first year of each century after the year 1,000 (i.e., the years 1100, 1200, ... 2000; cf. Morin et al., 2011) and averaged the results from these 290 291 sampled years and across patches. For the calculation of the realized composition we considered that a species was present in a community only if its simulated biomass reached 1 t  $\cdot$  ha<sup>-1</sup>. 292

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#### 294 *Quantifying growth and composition effects of climate change*

We compared the results from each site under historical and future climatic conditions. To quantify the effects of climate change mediated by tree physiology, we calculated the proportion of productivity change in each site that was produced by species found under both present and future climatic conditions. To quantify the effects of climate change mediated by species composition, we calculated the productivity loss caused by species extinction, and the productivity gained by species colonization.

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#### 302 <u>Statistical analyses</u>

To test whether the future projected change in forest productivity varied across an 303 304 environmental gradient, we fitted linear regressions between forest productivity in each site and 305 its mean annual temperature (MAT), total annual precipitation (TAP), and precipitation relative 306 to potential evapotranspiration (P/PET). We also fitted linear regressions between the relative 307 importance of colonization and extinction, and climatic variables. The relative importance of 308 colonization and extinction was calculated by dividing the productivity change caused by either 309 colonization or extinction and the summed changes in productivity (changes were converted to 310 absolute values). Finally, we fitted a linear regression between the future change in productivity 311 in each site and its future change in realized species richness.

#### Results 312

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## Climate change effects on potential species pools and richness

315	In most of the climate change projections, species suitability increased in most of the
316	sites (Fig. 2 A-C). However, with extreme warming (RCP 8.5) and with the driest conditions
317	(EC-EARTH model; Fig. 2D), potential species richness increased in the coldest sites
318	(Adelboden, Bever, Davos and Grande Dixence), but remained the same or decreased in the
319	warmest sites (Basel, Bern, Cottbus, Huttwil, Schaffhausen, and Sion).
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#### 321 Effect of climate change on realized species richness

Under historical climatic conditions, realized simulated species richness varied from 322 323 three in Grande Dixence and Davos to 11 in Huttwil and Bern (Fig. S1B). Under most of 324 climate change projections, the number of realized species increased in most of the sites (Fig. 2, 325 lower panels). Although under the extreme RCP8.5-EC-EARTH projection, the realized species 326 richness decreased in the warmest sites (Fig. 2H).

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#### Climate change effects on forest productivity 328

329 The impact of climate change on forest productivity varied greatly along the climatic 330 gradient, and with different intensity depending on the climate projection (Fig. 3 A-D). The 331 greatest impact occurred in Sion, the warmest and second driest site, where productivity 332 decreased by between -67.6% and -100%. However, with a projected increase in precipitation 333 greater than 10.0% (CNRM-CM5 model in Table S1), forest productivity increased in all sites 334 (Fig. 3 A and C), except Grande Dixence, Basel and Sion. With a very weak precipitation 335 change (EC-EARTH model in Table S1), forest productivity increased in the coldest sites and 336 decreased in most of the warmest sites (Fig. 3 B and D).

337 Historical precipitation was positively correlated with the change in productivity under 338 two climate change projections (p = 0.043 with RCP 4.5-EC-EARTH and p = 0.034 with RCP 339 8.5-EC-EARTH; Fig. S2A). Temperature was negatively correlated with productivity change 340 under one projection (p = 0.009 with RCP 8.5-EC-EARTH; Fig. S2B). P/PET was positively correlated with the change in productivity under three projections (p = 0.028 with RCP 4.5-EC-341 EARTH, p = 0.027 with RCP 8.5-CNRM-CM5, and p = 0.002 with RCP 8.5-EC-EARTH; Fig. 342 S2C). 343



# 345 <u>The relative importance of the physiology and composition effects of climate change on</u> 346 <u>productivity</u>

347 With a moderate increase in local temperature relative to the historical period (i.e., 1.49 348  $^{\circ}C - 1.72 \,^{\circ}C$  on average across sites; Fig. 3 E-F), the simulated changes in productivity were 349 driven almost exclusively by the effects of climate change on tree growth of persistent (i.e. 350 surviving) species. Some species increased in growth, while others grew less (Fig. S3). There was a positive correlation between the increase in productivity and the increase in species 351 richness under the two GCMs (p = 0.003; Fig. 4), but the change in richness did not strongly 352 353 contributed to changes in productivity when the local temperature increase was weak (Fig. 3 E-F). When the increase in local temperature was stronger  $(3.63 \text{ }^\circ\text{C} - 4.00 \text{ }^\circ\text{C}$  average rise across 354 sites), there was also a positive relationship between the increase in realized species richness 355 and the increase in productivity (p = 0.054 and  $R^2 = 0.35$  with the CNRM-CM5, p = 0.006 and 356  $R^2 = 0.58$  with the EC-EARTH GCM; Fig. 4). Under this more extreme temperature rise, 357 358 changes in species richness (Fig. 4) and community composition (Fig. 3 G-H) strongly 359 contributed to changes in productivity.

The importance of community effects varied across the study sites (Fig. 3 E-H). Their importance seemed related to the current local temperature, which was negatively correlated with the importance of colonization under three climate projections ( $p \le 0.05$ ; Fig. S4C). The importance of the community effects was also correlated with current P/PET under one projection (p = 0.021; Fig. S4E) and did not correlate with current precipitation (Fig. S4 A and B).

#### Discussion 366

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## Contrasting responses of forest productivity across a climatic gradient

369 Our simulations of forests located across a large climate gradient in central Europe 370 showed that future changes in forest productivity might strongly depend on local temperature, 371 P/PET, and precipitation (Fig. S2; Allen et al., 2015). However, our results showed that the 372 response in simulated productivity varied between currently cold and warm sites. Simulated 373 forest productivity increased at high elevations where cold temperature currently limits tree establishment, growth and survival (Nemani et al., 2003). Climate change also created warmer 374 375 winters in these sites, which allowed for new species to establish by decreasing the constraints 376 on establishment for some species (Conedera, Wohlgemuth, Tanadini, & Pezzatti, 2018), and it 377 also produced longer growing periods that increased the productivity of the species currently 378 present (McMahon, Parker, & Miller, 2010). Contrarily, in the lowlands simulated productivity 379 decreased when climate change led to an increase in drought stress (by increasing temperature 380 and not changing the precipitation regime), which became a major constraint for tree growth and survival (see Carnicer et al., 2011; Reyer, 2015). However, in a scenario of climate change 381 382 with increased precipitation and only moderate temperature increase, productivity increased in 383 the lowlands (Fig. 3A) because drought stress did not increase while winter temperature was 384 lower and the growing season was longer.

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#### 386

#### *Tree species richness and composition drive productivity in the harshest climates*

We found that under moderate warming, changes in projected forest productivity were 387 caused almost exclusively by the effects of climate change on tree growth (Fig 3 E-F; see 388 389 Coomes et al., 2014). Contrarily, under extreme warming, and in locations at both ends of the 390 temperature gradient, changes in productivity were driven mostly by changes in species composition (Fig 3 G-H). The increasing correlation between the relative importance of 391 392 simulated colonization (i.e. the importance of composition effect) and both local temperature 393 and P/PET under the harshest climate projection (+3.6 °C and -1.1% TAP; Fig. S4 C and E) 394 further shows the strong role of species composition under harsh climatic conditions. Such 395 finding matches with empirical evidence of the role of tree richness on forest productivity along 396 the latitudinal gradient in Europe (Jucker et al. (2016)). We also observed a positive correlation 397 between the change in species richness and in productivity (p < 0.054 across all climate projections; Fig. 4). Former theoretical studies also showed that forest productivity is especially 398

sensitive to species loss in the harshest climates (see García-Valdés et al., 2018; Morin et al.,
2018).

The link between species richness and ecosystem productivity has been observed in forests (e.g. Liang et al., 2016; Paquette & Messier, 2011), and is usually explained by a greater niche partitioning in more diverse communities (Loreau et al., 2001; Morin et al., 2011). In simulated forests in the coldest sites, the effects of species richness and composition were strengthened because climate change allowed new species to colonize and be productive (Fig. 3 and S3; Coomes et al., 2014). In the warmest-driest sites, climate change caused the extinction of key species (Reyer, 2015), which reduced species richness and productivity.

408 The observed importance of community composition change in cold and warm-dry sites in our study (see Anderegg & HilleRisLambers, 2019; Morin et al., 2018) is consistent with an 409 410 extension of the stress gradient hypothesis (SGH; Bertness & Callaway, 1994; Crain & 411 Bertness, 2006). This hypothesis states that the frequency of interspecific competitive 412 interactions decreases in intensity with increasing abiotic stress. In our study sites, the species 413 that went extinct with climate change were likely near the boundaries of their acceptable 414 climatic conditions without climate change. At sites with intermediate temperature, such species were probably suppressed by competition when we simulated under the historical climate 415 416 scenario and did not contribute significantly to the total productivity of the community. Hence, 417 their extinction in the simulations using the climate change scenarios did not change importantly 418 the total productivity of the site. Contrarily, at both ends of the temperature gradient, harsh 419 climatic conditions reduced competitive interactions. This means, that in the absence of climate 420 change, species that were close to their climatic limits could still contribute substantially to the 421 total productivity of the site because they faced little competition. Hence, their extinction under climate change did importantly affect the total productivity of the community. With 422 colonizations, we could expect a similar effect. The species that colonized a site because of 423 424 climate change were probably close to their climatic limits. In a climatically benign site, this 425 means that such species are unlikely to become dominant when arriving, and would not contribute substantially to the total productivity of the community. This occurs because they 426 427 still have to deal with the biotic interaction milieu (McGill, Enquist, Weiher, & Westoby, 2006), 428 and were probably not a strong competitor under such conditions. In climatically harsh sites, a colonizing species could immediately become important because it is less likely that it 429 430 encounters strong competitors.

431

#### 432 Increased sensitivity of warmest-driest forests to climate change

15

Our simulations suggested that rapid and steep changes might arise in forest functioning 433 434 due to climate change. In the warmest site, complete elimination of the forest cover was 435 projected in the event of the most severe climate change scenario (Fig. 3H). In this scenario 436 increase in drought stress may drive the forest system to change community type (e.g. from 437 forest to scrublands or meadows). García-Valdés et al. (2018) hypothesized such drastic changes in forest functioning when simulating a large number of species extinctions. Here, using a 438 439 realistic scenario of composition change, we confirmed that such a drastic change could indeed 440 occur in one of the study sites.

441

#### 442 *Limitations of the approach*

443 To our knowledge this is the first study that coupled models relevant at complementary 444 spatial scales (SDMs and FSMs), to quantify the relative importance of the physiology and 445 composition effects of climate change on forest productivity. Although these simulations 446 compared climates that are only 80 years apart (from now to the end of the century), they mimic 447 long-term dynamics in order to compare mature forests. This means that simulations do not 448 consider transient processes (e.g. disturbance, management, or brief extreme climatic events). 449 Results should thus not be considered as short-term predictions, but instead estimates of the 450 importance of climate change composition effects on mature forests, in comparison with growth 451 effects. Our approach also carries some limitations: (1) we used correlative SDMs that entail 452 caveats (García- Valdés, Zavala, Araújo, & Purves, 2013; Pearson & Dawson, 2003). However, 453 correlative SDMs work well for widespread species such as those used here (Early & Sax, 454 2014) and process-based SDMs (e.g. Chuine & Beaubien, 2001) could not be used for so many 455 species. (2) Our simulations design, relying on 2000-years simulation in both historical and 456 anticipated conditions, allows assessing of the relative contribution of changes in tree growth 457 and species composition, and notably highlights the possible strength of compositional effects 458 on changing productivity. However, they cannot be directly used to infer the forest composition and productivity for the end of the 21<sup>st</sup> century. In fact, local species extinction by 2100 may be 459 460 well reproduced by our design, because the exposition to the novel climate conditions may 461 directly affect the adult trees of the sensitive species, while preventing the establishment of 462 seedlings of these species. Yet, site colonization by new species under the new conditions 463 occurs through much slower dynamics. At least one may expect that the outcome of these new 464 colonizations on forest functioning depends whether it is simulated over 100 or 2000 years, 465 which means that the impact of these new colonizations may be overestimated in our 466 simulations (ie. mostly under strong climate change according to our results). (3) We could not 467 have measured the interaction between the physiology and composition effects unless we had

468 imposed a strong artificial control of the simulation, which would prohibit the measurement of 469 complex community responses. (4) We did not consider species currently absent from central Europe. (5) We ignored the possibility of plastic, or micro-evolutionary, responses of species 470 (e.g. Jump & Peñuelas, 2005; Lavergne, Mouquet, Thuiller, & Ronce, 2010). (6) The 471 472 importance of the composition effects might be further strengthened by taking into account 473 interactions besides competition for light (e.g. Jactel & Brockerhoff, 2007). (7) The generated climate data had a temporal resolution of one month, so extreme events occurring at shorter 474 475 scale were not considered. (8) We used the climatic anomaly between 2006-2016 and 2070-476 2100 as a measurement of climate change, which probably made projections of forest responses 477 conservative. (9) Finally, we used SDMs to simulate climatic filtering, instead of using the FSM 478 for both climatic and the biotic filtering, which would have been possible as climatic 479 constrained for tree establishment are embedded in ForClim. We did so because the FSM 480 considered only two species-specific climate-derived variables to define their climatic 481 boundaries. Our climate filtering is thus much more sophisticated, relying on more variables and 482 on more complex responses, and on an ensemble of seven SDMs, although it still relies on a 483 correlative approach.

484

## 485

#### *Importance of climate change-composition effect on forests*

486 Our results highlight that composition effects on productivity could become very important under extreme changes in climate. Such climate change is likely to occur given that 487 488 forecasts of the magnitude of climate change keep increasing (Field, 2014). Our results also 489 show that such composition effects might become especially important in some sites: negatively 490 in terms of productivity in forests in warm and dry conditions, but positively in cold conditions. 491 Hence, we believe that our projections demonstrate that the role of species range-shifts when 492 simulating impacts of climate change on forests could be more important than previously 493 anticipated, notably under harsh environmental conditions. Such role of species' range shifts 494 and community composition's changes is very often neglected in studies of climate change 495 impacts on ecosystem functioning. We thus call for more works to improve our understanding 496 of these effects, especially considering the likeliness of extreme changes in climate in the future.

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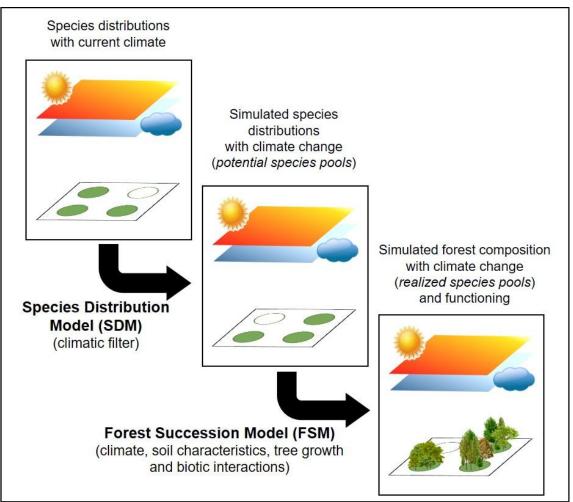
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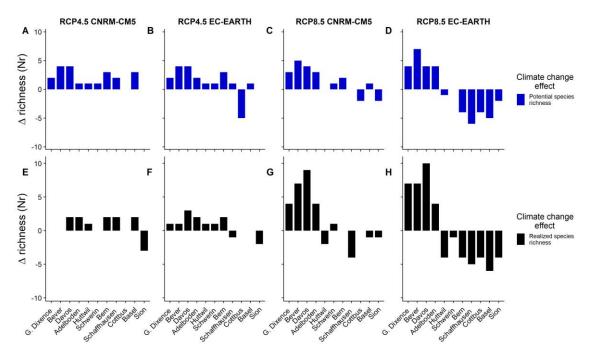
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## 708 Figures

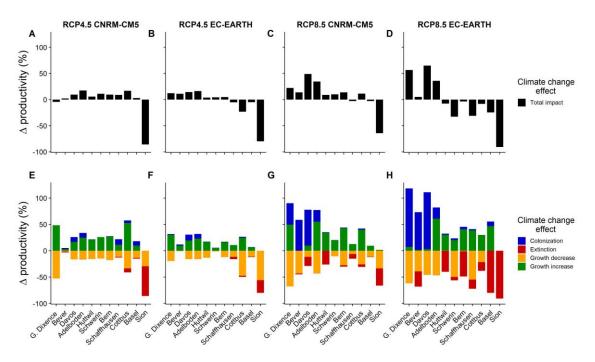


## 709

710	Fig. 1: Models coupling used in this study. First, climatic suitabilities for 25 species were
711	projected for each site and climate projection using SDMs (empty or filled circles). These 25
712	suitabilities were then aggregated to build potential species pools. To include the variability
713	inherent to the suitabilities and to avoid choosing arbitrary thresholds, we built 100 species
714	pools for each site and climate projection (i.e. if a species had a suitability of 0.6 it was included
715	in 60 of the 100 species pools). Finally, succession dynamics (including tree growth) on the
716	long-term, were simulated using a FSM. Empty green circles represent climatically unsuitable
717	(according to SDMs) sites, and filled green circles represent climatically suitable sites. Figure
718	modified from García-Valdés and Morales-Castilla (2016).

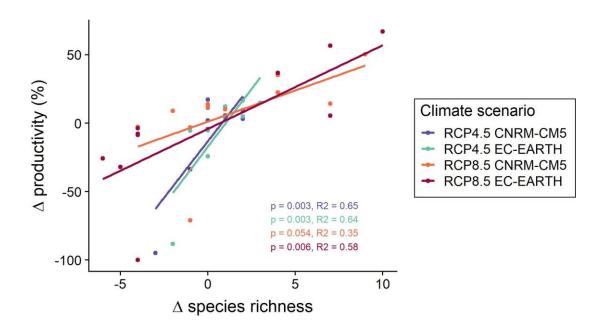


719 720 Fig. 2: Effect of climate change on the number of potentially occurring species, projected with the SDMs assuming a threshold in suitability of 50% (upper panels), and on the number of 721 722 realized species, simulated with the FSM, assuming that only species with more than 1 t.ha<sup>-1</sup> are 723 present in each site (lower panels). No bar means that there is no change in the number of 724 species. Sites are ranked according to their historical temperature, which correlated strongly with the importance of the community composition effects on productivity. Sites on the left 725 have the lowest historical temperature and sites on the right have the highest temperature. 726





727 728 Fig. 3: Effect of climate change on each forest annual aboveground biomass productivity (t.ha <sup>1</sup>.yr<sup>-1</sup>), relative to a baseline (i.e., current climate) projection. Study sites are ranked from the 729 730 coldest (left) to the warmest (right). Upper panels show total effect, and lower panels show the 731 effect on forest productivity of colonizations, extinctions, and growth decrease or increase of 732 species present under both sets of conditions. To assess community composition, we considered 733 that a species was present in a site whether its biomass reached at least 1 t.ha<sup>-1</sup>.



734

Fig. 4: Future change in realized species richness *vs*. future change in forest productivity (%)

vinder different climate change projections, relative to baseline projections using current climate.

737  $R^2 = 0.65$  for RCP 4.5CNRM-CM5,  $R^2 = 0.64$  for RCP 4.5- EC-EARTH,  $R^2 = 0.35$  for RCP

738 8.5-CNRM-CM5, and  $R^2 = 0.58$  for RCP 8.5-EC-EARTH.