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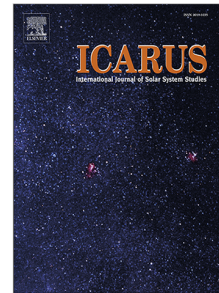
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main latex file

1 Radiative-equilibrium model of Jupiter's
2 atmosphere and application to estimating
3 stratospheric circulations

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12 **Abstract**

13 Jupiter's upper troposphere and stratosphere are host to a rich dynamical
14 and chemical activity. This modulates the thermal structure and distribu-
15 tion of trace species and aerosols, which, in turn, impact the atmospheric
16 radiative budget and dynamics. In this paper, we present a computation-
17 ally efficient 1-D seasonal radiative model, with convective adjustment, of
18 Jupiter's atmosphere. Our model takes into account radiative forcings from
19 the main hydrocarbons (methane, ethane, acetylene), ammonia, collision-
20 induced absorption, several cloud and haze layers and an internal heat flux.
21 We parametrize four tropospheric cloud and haze layers. Two of them (one
22 tropospheric cloud near 800 mbar, one upper tropospheric haze, one strato-
23 spheric haze) are set to be uniform with latitude. On the contrary, we pre-
24 scribe the spatial distribution of another UV-absorbing "polar" stratospheric
25 haze comprising fractale aggregates based on published observational con-
26 straints, as their concentration varies significantly with latitude. We detail
27 sensitivity studies of the equilibrium temperature profile to several parame-
28 ters (hydrocarbon abundances, cloud particle sizes and optical depths, optical
29 properties of the stratospheric polar haze, etc.). We then discuss the ex-
30 pected seasonal, vertical and meridional temperature variations in Jupiter's
31 atmosphere and compare the modeled thermal structure to that derived from
32 Cassini and ground-based thermal infrared observations.

33 We find that the equilibrium temperature in the 5–30 mbar pressure range

34 is very sensitive to the chosen stratospheric haze optical properties, sizes and
35 number of monomers. One of the three sets of optical properties tested yields
36 equilibrium temperatures that match well, to first order, the observed ones.
37 In this scenario, the polar haze significantly warms the lower stratosphere
38 (10–30 mbar) by up to 20K at latitudes 45–60°, and reproduces an observed
39 north-south asymmetry in stratospheric temperature. The polar haze also
40 acts to shorten significantly the radiative timescales, estimated by our model
41 to 100 (Earth) days at the 10-mbar level. At pressures lower than 3 mbar,
42 our modeled temperatures systematically underestimate the observed ones
43 by ~ 5 K. This might suggest that other processes, such as dynamical heating
44 by wave breaking or by eddies, or a coupling with thermospheric circulation,
45 play an important role. An alternate possibility is that the uncertainty on
46 the abundance of hydrocarbons is responsible for this mismatch. In the
47 troposphere, we can only match the observed lack of meridional gradient of
48 temperature by varying the internal heat flux with latitude.

49 We then exploit knowledge of heating and cooling rates (using our ra-
50 diative seasonal model combined to observational constraints on the temper-
51 ature) to diagnose the residual-mean circulation in Jupiter’s stratosphere.
52 This is done under the assumption that the eddy heat flux convergence term
53 is negligible. In the Earth’s stratosphere, the residual-mean circulation ob-
54 tained with this method represents well, on a seasonal scale, the transport
55 of tracers in regions where wave breaking and dissipation are weak. How-
56 ever, on Jupiter, in the lower stratosphere (5–30 mbar), the residual-mean

57 circulation strongly depends on the assumed properties of the stratospheric
58 haze. Our main conclusion is that it is crucial to improve our knowledge on
59 the different radiative forcing terms (in particular regarding the stratospheric
60 haze properties) to increase our confidence in the estimated circulation. By
61 extension, this will also be crucial for future 3D GCM studies.

62 **Highlights**

- 63 • A seasonal radiative-convective model of Jupiter's atmosphere is pre-
64 sented.
- 65 • Stratospheric polar haze greatly impact the equilibrium temperatures.
- 66 • We evaluate the residual-mean stratospheric circulations and discuss
67 caveats.

68 **1 Introduction**

69 Jupiter's troposphere hosts a rich dynamical activity with strong, alternately
70 eastward and westward zonal jets at low and mid-latitudes, many vortices
71 in the polar regions (unveiled by Juno, Adriani et al., 2018), numerous
72 planetary-scale and mesoscale waves, hotspots and disturbances (e.g., Choi
73 et al., 2013). Jupiter's stratosphere is as dynamically active – if not more
74 – than the troposphere, yet has received less attention comparatively to the
75 large body of modeling work on the jovian tropospheric dynamics (Williams,
76 2003; Heimpel et al., 2005; Showman, 2007; Schneider and Liu, 2009; Young
77 et al., 2019). The observed temperature field features numerous wave signa-
78 tures (Li et al., 2006; Fletcher et al., 2017) and isolated disturbances, both
79 in the tropics and in the auroral regions (Flasar et al., 2004; Sinclair et al.,
80 2017). Furthermore, a large variability of stratospheric temperature from one
81 Earth year to another is observed at Jupiter's equator (Fletcher et al., 2016).
82 This is associated with the quasi-quadriennial oscillation (QJO), a periodic
83 oscillation in zonal wind and temperature thought to result from wave-mean
84 zonal flow interactions (Leovy et al., 1991; Orton et al., 1991; Flasar et al.,
85 2004; Simon-Miller et al., 2007; Cosentino et al., 2017).

86 Stratospheric circulations are still poorly known, and are currently mostly
87 deduced from the observation of anomalies in the distribution of trace species.
88 For instance, in the middle stratosphere (1-10 hPa), the observed meridional
89 distributions of ethane (C_2H_6) and acetylene (C_2H_2) – main by-products of

90 the methane photochemistry – are found to be at odds with the predictions
91 of one-dimensional (1-D) neutral photochemical models. While acetylene
92 is maximum at low latitudes, following the yearly-averaged insolation as is
93 expected from photochemistry, long-lived ethane increases towards the poles
94 (e.g., Nixon et al., 2007). Other puzzling observations are related to molecules
95 produced following comet Shoemaker-Levy 9 (SL 9) impact in Jupiter’s atmo-
96 sphere in 1994 and their subsequent migration (Moreno et al., 2003; Griffith
97 et al., 2004; Lellouch et al., 2006; Cavalié et al., 2017). One of the most
98 striking results is that, 6.5 years following the impact, HCN was found to be
99 efficiently mixed from the impact site (44°S) to northern mid-latitudes while
100 CO₂ was found to be greatly enhanced near the south pole (Lellouch et al.,
101 2006). In an attempt to explain the observed opposite distributions of C₂H₆
102 and C₂H₂, or HCN and CO₂, several models including parameterizations of
103 meridional and vertical diffusion and advection have been proposed (e.g.,
104 Hue et al., 2018; Lellouch et al., 2006). Unfortunately, none could satisfac-
105 torily reproduce the observations. In short, there is currently no consistent
106 picture of Jupiter’s stratospheric circulations and how the distributions of
107 trace species are impacted by those circulations. The mechanism(s) forcing
108 the aforementioned stratospheric circulations are also unknown, in particu-
109 lar regarding the role of wave activity in the troposphere and stratosphere –
110 by analogy with the Brewer-Dobson circulation in the Earth’s stratosphere
111 (Butchart, 2014) – and that of radiative processes. In this paper, we focus on
112 the precise evaluation of radiative forcings with a 1-D radiative equilibrium

113 model while the study of wave forcing is devoted to future work.

114 Understanding in detail the radiative forcings in Jupiter's atmosphere is
115 also key to interpreting the observed thermal structure. At mid-latitudes
116 and near the 10-mbar level, a 5 to 10 K temperature contrast is reported
117 between the summer and winter hemispheres despite Jupiter's low obliquity
118 (3°) (Fletcher et al., 2016). An explanation was proposed by Zhang et al.
119 (2013a) who reported large radiative relaxation timescales near 10 mbar,
120 which could lead to a seasonal lag in the atmosphere's response to seasonal
121 forcing. However, their study only included forcing from gaseous compounds.
122 In a follow-up study, Zhang et al. (2015) highlighted the importance of ra-
123 diative forcing by stratospheric aerosols of auroral origin, which were found
124 to dominate the radiative heating at mid- and high- latitudes (instead of
125 methane). However, the impact of including these terms on the temperature
126 field, and its seasonal variations, was not studied.

127 Regarding the upper troposphere (100–500 hPa) and focusing on the
128 zonal-mean temperature, the cloudy equatorial zone is found to be 2–4K
129 colder than the warmer and clearer north and south equatorial belts, while
130 there is little meridional temperature contrast at mid-latitudes (30°N – 70°N
131 and 30°S – 70°S) (Fletcher et al., 2016). These temperature variations are
132 supposedly linked to tropospheric circulations, however, the radiative contri-
133 bution from clouds and aerosols have not been studied quantitatively.

134 The aforementioned findings and open questions suggest that a complex
135 interplay of dynamical and chemical activity takes place in Jupiter's middle

136 atmosphere, modulating the thermal structure and the distribution of trace
137 species and aerosols, which in turn impact the radiative budget and dynam-
138 ics. All these observations and open questions motivate the development of a
139 Global Circulation Model (GCM) for Jupiter extending to the upper strato-
140 sphere. Such a model would eventually take into account three-dimensional
141 (3-D) dynamics, radiative forcings, photochemistry, cloud/aerosol micro-
142 physics and the couplings between them, including troposphere-stratosphere
143 interactions. Several attempts have been made in this direction (Yamazaki
144 et al., 2004; Schneider and Liu, 2009; Showman et al., 2019; Young et al.,
145 2019) illustrating the modeling complexity and high computational cost nec-
146 essary to address the questions opened by observations.

147 Our goal is to obtain a Jupiter GCM capable of combining radiative
148 transfer with high-resolution dynamics, akin to the approach we followed for
149 Saturn’s atmosphere (Guerlet et al., 2014; Spiga et al., 2020). In this pa-
150 per, we focus on the efficient parametrization of a radiative-convective model
151 in Jupiter’s upper troposphere and stratosphere. This model is to be later
152 coupled with a hydrodynamical solver to build a Jupiter GCM capable of
153 studying both tropospheric and stratospheric circulations. Apart from being
154 part of a GCM, such a 1-D radiative-convective model can be used to com-
155 pute radiative timescales (Kuroda et al., 2014; Zhang et al., 2013a) and, when
156 confronted with observations, can be a useful tool to diagnose whether to first
157 order radiative processes govern or not the thermal structure of the atmo-
158 sphere (e.g. Guerlet et al., 2014). Kuroda et al. (2014) have developed such

159 a radiative equilibrium model of Jupiter's stratosphere. They investigated
160 the sensitivity of the equilibrium temperature profiles to the assumed hydro-
161 carbon abundances and compared their results to a reference temperature
162 profile obtained near the equator by Galileo. However, Kuroda et al. (2014)
163 's model neglected the radiative impact of tropospheric and stratospheric
164 aerosols that are expected to play an important role in heat absorption and
165 redistribution. In this paper, we propose to refine the approach proposed by
166 Kuroda et al. (2014) by including the missing radiative contributions and to
167 extend the comparisons of our seasonal model to more recent observations.

168 Finally, knowledge of heating and cooling rates (diabatic forcings) can
169 also be exploited to diagnose the residual-mean circulation in the strato-
170 sphere, as was done by West et al. (1992) and Moreno and Sedano (1997).
171 This permits an estimate of the stratospheric circulation and transport of
172 tracers without building a GCM to resolve the dynamics, under the limiting
173 assumption that eddy heat flux is negligible compared to diabatic forcings.
174 In theory, this circulation can then be exploited to re-visit the interpreta-
175 tion of observed distribution of trace species, as was done by Friedson et al.
176 (1999) to address the spreading of dust following the impact of comet SL-
177 9. The studies by West et al. (1992) and Moreno and Sedano (1997) were
178 based on observations from the Voyager epoch, and an update of this type of
179 work based on Cassini-era observations and an up-to-date radiative transfer
180 model is needed. This is especially critical because, while West et al. (1992)
181 and Moreno and Sedano (1997) both agreed on the importance of including

182 heating by stratospheric aerosols, the circulations they obtained differ both
183 quantitatively and qualitatively.

184 In what follows, we describe a state-of-the art radiative-convective model
185 for Jupiter's atmosphere (as part of a GCM to be presented in another paper),
186 present comprehensive comparisons to recent temperature observations and
187 exploit knowledge of the net radiative heating field to compute the residual-
188 mean circulation in Jupiter's stratosphere. Section 2 describes our radiative
189 transfer model for Jupiter's upper troposphere and stratosphere that includes
190 up-to-date spectroscopic parameters, an internal heat flux, radiative effects of
191 tropospheric clouds and aerosols as well as stratospheric aerosols comprising
192 fractal aggregates. We present the resulting thermal structure and compare it
193 with recently published ground-based and Cassini observations in Section 3.
194 We then detail our methodology to compute the residual-mean circulation in
195 Section 4 and discuss these results in Section 5.

196 **2 Jupiter radiative-convective model**

197 **2.1 Overall description**

198 Our Jupiter radiative-convective model is adapted from its Saturn counter-
199 part, described in detail in Guerlet et al. (2014). The two giant planets
200 Jupiter and Saturn share many characteristics and, as a result, the main
201 physical parametrizations are the same: a k -distribution model is used to
202 compute gaseous opacities (Goody and Yung, 1989), the radiative transfer

203 equations (including multiple scattering and Rayleigh scattering) are solved
204 under a two-stream approximation, and a convective adjustment scheme re-
205 laxes – instantaneously – the temperature profile towards the adiabatic lapse
206 rate when unstable lapse rates are encountered. An internal heat flux, set to
207 7.48 W.m^{-2} as determined by Li et al. (2018b), is also added as a radiative
208 flux at the bottom of our model.

209 Jupiter’s diurnal cycle is neglected: a sensitivity test shows that the max-
210 imum amplitude of diurnal temperature variations is less than 0.1 K. Sim-
211 ilarly, given the long radiative timescales in Jupiter’s atmosphere, heating
212 and cooling rates are computed – and the temperature updated accordingly
213 – every 10 jovian days. We take into account Jupiter’s small obliquity (3.13°)
214 and the moderate eccentricity of its orbit (0.048) that is expected to play a
215 role in the seasonal cycle. Jupiter’s perihelion occurs at a solar longitude of
216 $L_s=57^\circ$, which is close to the summer solstice in the northern hemisphere (de-
217 fined as $L_s=90^\circ$, L_s being the heliocentric longitude of Jupiter counted from
218 the northern spring equinox). Hence, northern summer is expected to be
219 warmer than southern summer - at least in the stratosphere where radiative
220 timescales are shorter than a season (Kuroda et al., 2014). If Jupiter’s sea-
221 sonal forcing was dominated by eccentricity rather than obliquity, one could
222 even expect to get warmer temperatures in southern “winter” ($L_s=90^\circ$) than
223 during southern “summer” ($L_s=270^\circ$).

224 Apart from the orbital and planetary parameters, the magnitude of the
225 internal heat flux and the absence of opaque rings, the main differences be-

226 tween Saturn and Jupiter radiative models relate to the gaseous composition
227 as well as cloud and haze properties, detailed below.

228 **2.2 Gaseous opacities and k -distribution model**

229 Our Jupiter radiative model takes into account gaseous opacity from the
230 three main hydrocarbons: methane (CH_4), ethane (C_2H_6), acetylene (C_2H_2),
231 along with collision-induced transitions by H_2 - H_2 and H_2 -He. Through their
232 infrared emissions, these molecules are the major stratospheric coolants,
233 while atmospheric heating is primarily due to absorption of visible and near-
234 infrared solar radiation by methane and aerosols. Furthermore, we also take
235 into account opacity from ammonia (NH_3) that was previously neglected in
236 the Saturn model, as is justified in section 2.4.

237 As line-by-line calculations of absorption coefficients are too time-consuming
238 for the GCM runs we are aiming at, we use the correlated- k method for the
239 computation of the atmospheric transmission at each time step. Correlated- k
240 coefficients are pre-tabulated offline on a 2D temperature-pressure grid com-
241 prising twelve temperatures points from 70 to 400K and nine pressure levels
242 from 10 to 10^{-6} bar (one level every pressure decade, plus one level at 0.5
243 bar as ammonia varies rapidly with altitude in this region). To obtain these
244 tables, high-resolution absorption coefficient spectra $k(\nu)$ are first computed
245 using the KSPECTRUM line-by-line model (Eymet et al., 2016) for a mix-
246 ture of gases (CH_4 , C_2H_6 , C_2H_2 , NH_3) at each point of the (T, p) grid. The
247 gaseous abundance profiles used are detailed in section 2.4. A Voigt line

248 shape is assumed except for CH_4 , for which we use the far-wing line shape
249 of Hartmann et al. (2002), adapted to an H_2 atmosphere. In a second step,
250 we discretize these spectra in large bands (typically $100\text{--}300\text{ cm}^{-1}$ wide) and
251 use the KDISTRIBUTION code (Eymet et al., 2016) to compute correlated- k
252 coefficients $k(g)$ for each spectral band and each (T,p) value. We sample the
253 cumulative probability g with 8 Gauss integration points from 0 to 0.95 and
254 another 8 points from 0.95 to 1. The spectral discretization and the number
255 of bandwidths is a compromise between accuracy (which increases when small
256 spectral intervals are chosen) and computation time. After multiple tests, we
257 have selected 20 bands in the thermal infrared ($10\text{--}3200\text{ cm}^{-1}$ or $3\text{ }\mu\text{m} - 1$
258 mm) and 25 bands in the visible and near-infrared ($2000\text{--}33000\text{ cm}^{-1}$ or 0.3--
259 $5\text{ }\mu\text{m}$). When running a radiative-convective simulation, these k -coefficients
260 are interpolated at each time step to the local temperature and to the pres-
261 sure grid of the radiative transfer model. All radiative-convective simulations
262 presented in this paper use a pressure grid consisting of 64 levels between 3
263 and 10^{-6} bar.

264 **2.3 Updates on methane spectroscopy**

265 Spectroscopic line parameters are extracted from the HITRAN 2016 database
266 (Gordon et al., 2017). However, the CH_4 linelist is known to be incomplete
267 beyond $7,900\text{ cm}^{-1}$; in particular, a methane absorption band at $1\text{ }\mu\text{m}$ is
268 missing entirely, which could lead to an underestimation of the atmospheric
269 heating rates. To fill this gap, we complete the HITRAN 2016 methane

270 linelist with a recent linelist based on *ab initio* calculations (Rey et al., 2016,
271 2018). This list is available in the 0–12,000 cm^{-1} range and contains posi-
272 tion, energy and intensity for nearly 3.5 millions of transitions (assuming an
273 intensity cut-off of 10^{-28} $\text{cm}/\text{molecule}$), where the HITRAN 2016 database
274 contains about 340,000 transitions. In order to limit the computation time,
275 and because the HITRAN 2016 methane database is thought to be reliable up
276 to $7,900 \text{ cm}^{-1}$, we choose to combine the two linelists, using the spectroscopic
277 parameters of Rey et al. (2016) only beyond $7,900 \text{ cm}^{-1}$. Furthermore, we
278 now include the transitions of the isotopologues $^{13}\text{CH}_4$ and CH_3D that were
279 previously neglected by Guerlet et al. (2014). The isotopic ratio $^{13}\text{C}/^{12}\text{C}$ is
280 set to the terrestrial value (0.011) in agreement with Galileo measurements
281 (Niemann et al., 1998), and the ratio $\text{CH}_3\text{D}/\text{CH}_4$ to 7.79×10^{-5} (Lellouch
282 et al., 2001). The spectroscopic line data of $^{13}\text{CH}_4$ and CH_3D , for the spec-
283 tral domain 0–12,000 cm^{-1} , also come from *ab initio* calculations by Rey
284 et al. (2016), which are more exhaustive than HITRAN 2016.

285 Figure 1 shows the comparison between absorption coefficient spectra in
286 the visible range computed using the HITRAN 2016 database (considering
287 $^{12}\text{CH}_4$ only) with the new combination of the HITRAN 2016 and Rey et al.
288 (2018) linelists for $^{12}\text{CH}_4$, $^{13}\text{CH}_4$ and CH_3D . This figure illustrates the im-
289 portant addition of the $^{12}\text{CH}_4$ Rey et al. (2018) linelist to HITRAN 2016
290 beyond $7,900 \text{ cm}^{-1}$, as well as the contribution of CH_3D that features emis-
291 sion bands at $1,100 \text{ cm}^{-1}$ (not shown), $2,200 \text{ cm}^{-1}$ and $3,500 \text{ cm}^{-1}$. $^{13}\text{CH}_4$
292 lines are not visible in this figure as their main absorption bands are mingled

293 with $^{12}\text{CH}_4$. Regarding the impact on the equilibrium temperature profile,
294 using the $^{12}\text{CH}_4$ linelist from Rey et al. (2018) beyond $7,900\text{ cm}^{-1}$ increases
295 the heating rates by 10% to 20% compared to using HITRAN 2016 alone
296 in the range $0\text{--}12,000\text{ cm}^{-1}$. This corresponds to a stratospheric warming
297 between 2 and 3.5 K, the maximum lying near 10-20 mbar. The addition of
298 the two methane isotopologues yields a modest increase of $\sim 1\text{ K}$.

299 We recall that for the Saturn model, Guerlet et al. (2014) used a combina-
300 tion of HITRAN 2012 (similar to HITRAN 2016 as far as CH_4 is concerned)
301 up to $7,800\text{ cm}^{-1}$ with another set of k -distribution coefficients computed in
302 the range $7,800\text{--}25,000\text{ cm}^{-1}$ based on the Karkoschka and Tomasko (2010)
303 methane band model. In the Guerlet et al. (2014) study, we concluded that
304 the amount of heating by methane beyond $7,800\text{ cm}^{-1}$ was significant, but
305 we did not distinguish between the near infrared part ($7,800\text{--}12,000\text{ cm}^{-1}$)
306 and the visible part beyond $12,000\text{ cm}^{-1}$. In order to complete our study
307 and evaluate the radiative heating resulting from absorption of visible solar
308 photons, we computed a new set of k -distribution coefficients in the range
309 $12,000\text{--}25,000\text{ cm}^{-1}$ based on the Karkoschka and Tomasko (2010) data. We
310 find that, as far as our Jupiter model is concerned, absorption by methane in
311 this range has a negligible impact, warming the atmosphere by at most 0.4 K
312 at the 10-20 mbar level. This can be explained by the small volume mixing
313 ratio of methane combined with its rapidly decreasing absorption coefficients
314 beyond $12,000\text{ cm}^{-1}$.

315 Hence, in this paper we choose to work only with the HITRAN 2016 and

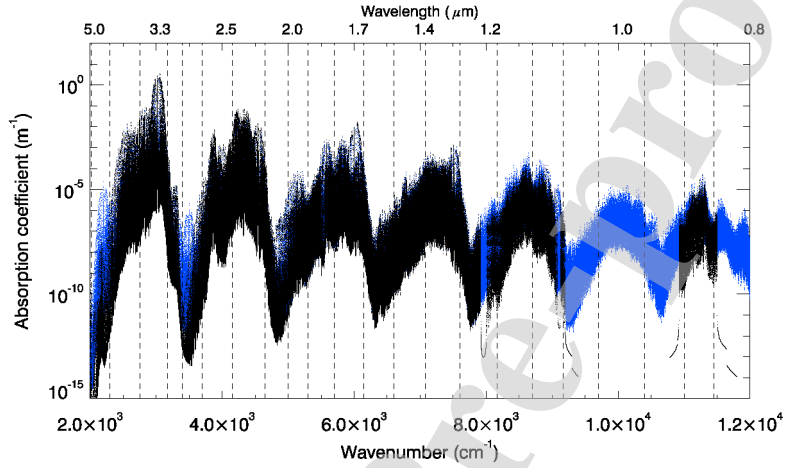


Figure 1: Absorption coefficient spectrum calculated for a pressure of 10 mbar and a temperature of 160K in the visible range from the HITRAN 2016 database (main $^{12}\text{CH}_4$ isotope, in black), and also including Rey et al. (2018) linelists for $^{12}\text{CH}_4$ (beyond $7,900\text{ cm}^{-1}$), CH_3D and $^{13}\text{CH}_4$ (in the range $0\text{--}12,000\text{ cm}^{-1}$), in blue. The vertical dashed lines represent the limits of the 22 bands used for generating k -distribution coefficients.

316 Rey et al. (2018) linelists and neglect gaseous absorption in the visible part
 317 (that is, beyond $12,000\text{ cm}^{-1}$). The near infrared part of the spectrum is
 318 discretized in 22 spectral intervals, shown in Figure 1, to which we add three
 319 bands covering the visible part with zero gaseous opacity. These bands are
 320 needed to contain cloud and aerosol opacity.

321 2.4 Gaseous abundances

322 In the present study, we neglect meridional variations of the trace species.
323 Instead, the k -tables are computed for a single volume mixing ratio vertical
324 profile for each species, reflecting Jupiter's average composition. We set the
325 H_2 volume mixing ratio to 0.863, the helium mixing ratio to 0.136 (Niemann
326 et al., 1998), and the mixing ratio of CH_4 in the deep troposphere to the value
327 determined in situ by the Galileo probe mass spectrometer ($2.07 \pm 0.5 \times 10^{-3}$,
328 Wong et al. (2004b)). We note that other values of the methane mixing ratio
329 have been reported from independent, remote sensing measurements, such
330 as Gautier et al. (1982). They determined a value of $1.8 \pm 0.2 \times 10^{-3}$, which
331 is consistent with the value of Wong et al. (2004b), within error bars.

332 The volume mixing ratio of CH_4 decreases with altitude primarily due
333 to molecular diffusion in the upper stratosphere, and to a lesser extent due
334 to photo-dissociation by solar UV radiation (Gladstone et al., 1996; Moses
335 et al., 2005). The altitude level of the methane homopause on Jupiter is
336 estimated to lie in the range 10^{-5} to 10^{-6} bar based on stellar occultations
337 (Festou et al., 1981; Yelle et al., 1996; Greathouse et al., 2010). This is sig-
338 nificantly deeper than on Saturn, where the homopause level is estimated to
339 a few 10^{-7} bar. This difference is explained by a much stronger eddy mixing
340 coefficient on Saturn compared to Jupiter (Moses et al., 2005). However,
341 the exact homopause level on Jupiter is not well constrained by observations
342 and differs among studies (Greathouse et al., 2010); it could also vary with
343 time and latitude. Similarly, uncertainties on the eddy mixing coefficient and

344 photodissociation rates map into a family of the methane vertical abundance
345 profile in Jupiter photochemical models (see for instance models A, B and C
346 of Moses et al. (2005)).

347 The choice of a vertical profile for methane (and other hydrocarbons) is
348 thus partly arbitrary and will influence the vertical energy deposition, hence
349 the resulting equilibrium temperature profile in the stratosphere, as was al-
350 ready reported by Zhang et al. (2013a) and Kuroda et al. (2014). We choose
351 to set the volume mixing ratio of the three hydrocarbons to the average of
352 the 1-D photochemical models A and C from Moses et al. (2005). This cor-
353 responds to an homopause level at $\sim 1 \mu\text{bar}$. Regarding C_2H_6 and C_2H_2 ,
354 we further scale these model profiles so that the hydrocarbon abundances at
355 1 mbar match the low to mid-latitude Cassini/CIRS observations of Nixon
356 et al. (2010): 7.6×10^{-6} for C_2H_6 , 2.9×10^{-7} for C_2H_2 . For the purpose of
357 sensitivity tests, we also compiled a different set of k -tables with the hydro-
358 carbon profiles set to the photochemical model profiles used by Nixon et al.
359 (2007), which feature a deeper homopause level ($\sim 10 \mu\text{bar}$). The different
360 hydrocarbon vertical profiles are illustrated in Fig. 2.

361 We present in Fig. 3 the impact of assuming different hydrocarbon pro-
362 files on the equilibrium temperature, based on aerosol-free 1-D radiative-
363 convection simulation for latitude 20°N . In the 1 mbar to $10 \mu\text{bar}$ region, the
364 Nixon et al. (2007) photochemical model has ~ 1.5 to 3 times more acetylene
365 than our combination of the Moses et al. (2005) models (but similar amounts
366 of ethane and methane), resulting in greater cooling rates and stratospheric

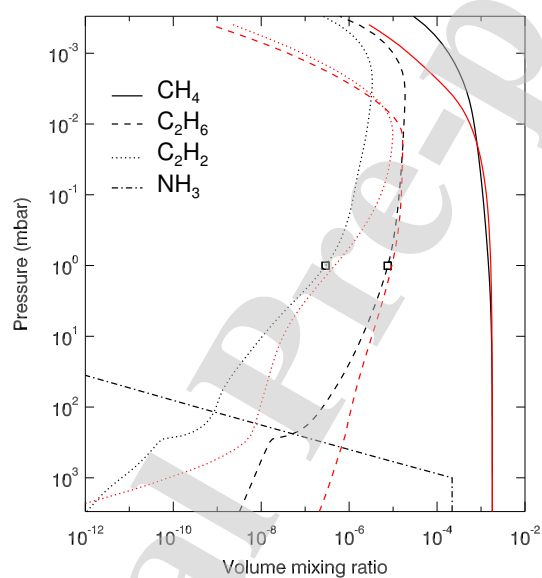


Figure 2: Vertical profiles for the volume mixing ratio of methane, ethane, acetylene and ammonia corresponding to an average of photochemical models “A” and “C” of Moses et al. (2005) (in black) or to the photochemical model used by Nixon et al. (2007) (in red). The C_2H_6 and C_2H_2 vertical profiles of Moses et al. are scaled to the abundances retrieved by Nixon et al. (2010) at 1 mbar and averaged between 40°S and 40°N (shown as squares).

367 temperatures 2 to 5K colder. Between 1 and 10 μ bar, the temperature cal-
368 culated using the Nixon et al. (2007) hydrocarbons reaches a minimum, then
369 increases with height. In this pressure range, all three hydrocarbons of the
370 Nixon et al. (2007) model sharply decreases with altitude. This yields lower
371 heating rates through lower absorption by CH_4 (explaining the cold tem-
372 peratures near 5 μ bar) but also lower cooling rates by hydrocarbon infrared
373 emissions. As C_2H_6 and C_2H_2 decrease more sharply than CH_4 , the net effect
374 is a warming of the atmosphere between 5 and 1 μ bar.

375 We also evaluate the impact, on our equilibrium temperature profile, to
376 a 30% decrease in both C_2H_6 and C_2H_2 mixing ratios with respect to our
377 nominal hydrocarbon profiles based on the Moses et al. (2005) models. This
378 30% change reflects typical observed meridional and temporal variations at
379 low to mid-latitudes (Melin et al., 2018). This yields a temperature increase
380 of about 3K above the 10-mbar pressure level. This is in qualitative agree-
381 ment with the work of Kuroda et al. (2014) who estimated a temperature
382 change of $\pm 8\text{K}$ when C_2H_6 and C_2H_2 were divided or multiplied by two.

383 Finally, we also quantify the impact of an increase of +50% in ethane
384 mixing ratio, while acetylene is divided by two: this case study corresponds
385 to what is observed at high latitudes compared to the equator (Nixon et al.,
386 2010; Fletcher et al., 2016). In doing so, we evaluate the impact of neglect-
387 ing actual meridional variations, in the (realistic) case where acetylene and
388 ethane exhibit opposite trends. We find that the impact of increasing ethane
389 while decreasing acetylene is rather small, as there is a partial compensa-

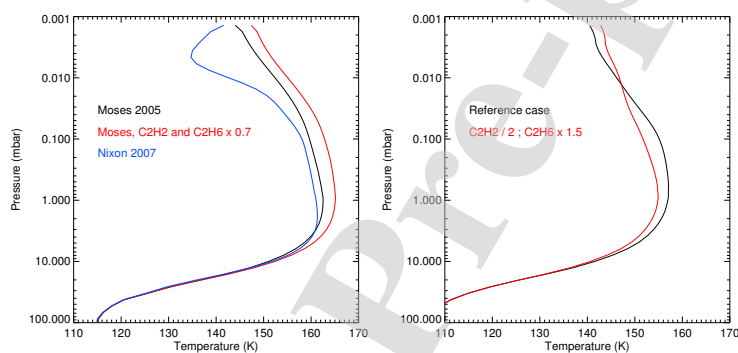


Figure 3: Equilibrium temperature profiles for different hydrocarbon mixing ratio profiles. Left: example at latitude 20°N , $L_s = 0$, with the hydrocarbon abundances set to that of Nixon et al. (2007) (in blue) or to the average of model A and C of Moses et al. (2005) (in black) or the latter but with 30% less C_2H_2 and C_2H_6 (in red). Right: example at latitude 60°N , $L_s = 0$, with the reference hydrocarbon abundances (the average of model A and C of Moses et al., 2005) (black line) or with a 50% increase in C_2H_6 and a 50% decrease in C_2H_2 (red line).

tion of the two competing effects (an increase in radiative cooling rates when ethane is increased, a decrease of it when acetylene is decreased). A similar conclusion was reached by Zhang et al. (2013a), as far as the Cassini/CIRS hydrocarbon retrievals were concerned. At 60°N and between 1 and 10 mbar, the resulting temperature profile (shown in Fig. 3) is 1 to 2 K colder than the nominal case, and is up to 4 K colder in the 1 to 0.05 mbar range. This is because ethane is a more efficient coolant than acetylene is in this pressure range. The two temperature profiles are then similar at and around 0.01 mbar. At even lower pressures, the temperature becomes slightly warmer than the nominal case. This is because acetylene cools more efficiently the upper stratosphere than ethane, as was already mentioned by Kuroda et al. (2014), so that a decrease by a factor of 2 of acetylene results in a net warming compared to the nominal case. Hence, we conclude that the impact of neglecting meridional variations in ethane and acetylene on stratospheric temperatures is of the order of 2–4 K.

In addition to hydrocarbons, we evaluate the influence of including ammonia (NH₃). For the tropospheric temperatures encountered on Jupiter, ammonia is expected to condense at ~0.7 bar (~150K) (Atreya et al., 1999). Following the vapour pressure curve, its mixing ratio rapidly decreases above this pressure level to become insignificant at tropopause levels. We set the ammonia "deep" mixing ratio (at 3 bar) to 250 ppm consistently with planet-average abundances measured by Juno at this pressure level (Li et al., 2017) and assume a fractional scale height of 0.15 above the 0.7 bar level (Nixon

413 et al., 2007). We find that including NH_3 in our model yields a significant
414 temperature increase of 10K in the troposphere. This temperature increase
415 is caused by the absorption of near infrared solar light by NH_3 and also by
416 a small greenhouse effect. Indeed, adding ammonia increases the infrared
417 opacity, especially beyond $5 \mu\text{m}$, as shown in Fig. 4. In consequence, ther-
418 mal radiation emitted deep in the troposphere at long wavelengths is partly
419 absorbed by ammonia in the mid-troposphere, which limits the cooling-to-
420 space and warms the troposphere. We note that we have also tested including
421 phosphine (PH_3) with a deep abundance of 6.0×10^{-7} and a fractional scale
422 height of 0.3 (Nixon et al., 2007), but found a negligible impact on the ther-
423 mal structure.

424 We choose here to keep the ammonia mole fraction constant with lati-
425 tude. However, recent measurements made by the Juno microwave radiome-
426 ter revealed highly variable ammonia concentrations, hinting at an ammonia-
427 rich equatorial region (300–340 ppm in the 1–3 bar pressure range) and an
428 ammonia-depleted region at $10\text{--}20^\circ\text{N}$ (as low as 140 ppm at 1 bar) (Li et al.,
429 2017). A sensitivity test where NH_3 is decreased by 40% (150 ppm at the
430 1-bar level instead of 250 ppm) yields a small temperature decrease of 1K in
431 the troposphere. Hence, the spatial variations derived from Juno should not
432 significantly impact the thermal structure (in terms of direct radiative forc-
433 ing). Having included ammonia in our Jupiter model does not challenge our
434 previously published results on Saturn’s thermal structure (Guerlet et al.,
435 2014). Indeed, the upper tropospheric volume mixing ratio of NH_3 is 10 to

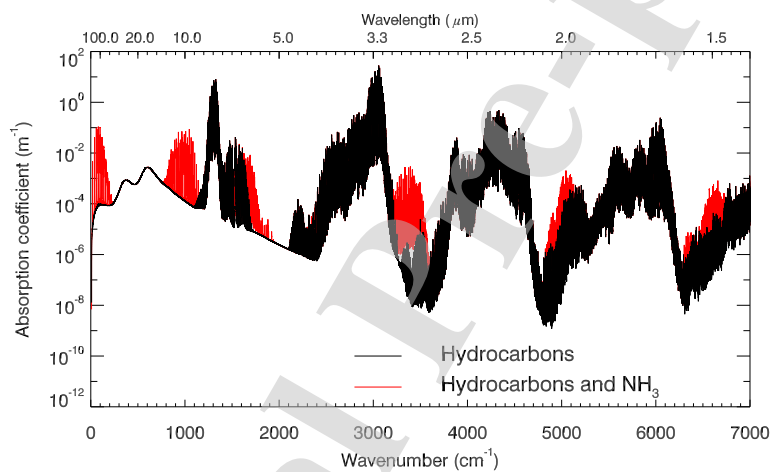


Figure 4: Absorption coefficient spectrum calculated for a pressure of 500 mbar and a temperature of 130K, including (in red) or not (in black) ammonia. Collision-induced absorption by H₂-H₂ and H₂-He is included and is important in the 5–100 μm range.

436 100 times lower on Saturn than on Jupiter, due to a deeper condensation
437 level (~ 1.4 bar), and we find that including NH_3 does not have a significant
438 impact on Saturn's upper tropospheric temperatures.

439 **2.5 Treatment of tropospheric clouds and aerosols**

440 Cloud and haze particles are expected to play a key role in the radiative
441 budget of Jupiter's troposphere. Through their vertical distribution, micro-
442 physical and optical properties, they control the local absorption of solar
443 radiation at different depth, hence the temperature and heat redistribution.
444 Many studies have attempted to characterize their physical and chemical
445 properties from remote sensing measurements, with more or less agreement
446 between them due to the complexity of such ill-posed inverse problems, with
447 non-unique solutions. A complete review on the cloud and haze observational
448 constraints would be beyond the scope of this paper; instead we summarize
449 below the main findings of the cloud and haze radiative impact in the upper
450 troposphere relevant to our study, at pressures less than 2–3 bar.

451 **2.5.1 Observational constraints**

452 There is an overall consensus that, in order to reproduce both visible and
453 thermal infrared imaging data, a combination of a diffuse haze comprising
454 small particles ($0.3\text{--}2\ \mu\text{m}$) located above a compact cloud comprising larger
455 particles ($3\text{--}100\ \mu\text{m}$) is needed (*e.g.* West et al. (1986) from Pioneer data,
456 Irwin et al. (2001) using Galileo/NIMS spectra, Wong et al. (2004a) using

457 Cassini/CIRS data, Sromovsky and Fry (2018) using New Horizon/LEISA).

458 The location of the cloud deck is estimated to lie in the range 0.5–1.2 bar
459 depending on the studies, while the upper tropospheric haze likely extends
460 up to 150–300 mbar, *i.e.* near the tropopause. Thermochemical equilibrium
461 models predict that ammonia condenses at ~ 700 mbar, while ammonium
462 hydrosulfide (NH_4SH) is expected to form another cloud layer at ~ 2 bar
463 (Atreya et al., 1999). However, the spectroscopic signatures of NH_3 ice at
464 $2 \mu\text{m}$, $9.4 \mu\text{m}$ and $26 \mu\text{m}$ have been rarely observed, and Baines et al. (2002)
465 showed that spectrally identifiable ammonia clouds cover less than 1% of
466 Jupiter’s globe. Rather, Sromovsky and Fry (2010) suggest that the haze
467 layer consists of small ammonia-coated particles overlying a cloud layer of
468 NH_4SH ice particles at ~ 600 mbar, or that several layers of NH_3 and NH_4SH
469 ice particles coexist, which would explain the lack of strong NH_3 absorption
470 features in the infrared. A similar conclusion was reached by Giles et al.
471 (2015), who used Cassini/VIMS data between 4.5 and $5.2 \mu\text{m}$ to constrain
472 Jupiter’s cloud structure. The authors find that VIMS observations can be
473 modeled using a compact, highly reflecting cloud layer located at a pressure
474 of 1.2 bar or lower, with spectrally flat optical properties in this spectral
475 range. Indeed, setting the refractive index to that of pure NH_3 or NH_4SH ice
476 particles could not fit VIMS observations, for any particle sizes in the range
477 $1\text{--}40 \mu\text{m}$.

478 A few observational constraints exist on haze and cloud particles optical
479 properties: Pioneer observations analyzed by Tomasko et al. (1978) require

480 highly reflecting particles at 0.44 and 0.6 μm , with single scattering albedo
481 higher than 0.95 for the haze, and higher than 0.98 for the cloud particles.
482 Typical cumulative optical depths measured in the visible (0.75 μm) vary
483 from 1 to 5 above the 500-mbar level (that can reasonably be attributed
484 to the haze opacity), and vary between 5 and 20 above the 1-bar level (see
485 Sromovsky and Fry, 2010, and references therein). In the near infrared,
486 haze optical depths varying between 0.5 and 5 have been derived at 2 μm
487 (Irwin et al., 2001; Kedziora-Chudczer and Bailey, 2011). The optical depth
488 variations between cloudy zones and less opaque belts likely stem from cloud
489 optical depth variations (found to lie between 8 and 22 at 5 μm , Giles et al.,
490 2015) rather than variations of the haze itself.

491 2.5.2 Cloud model and sensitivity studies

492 Our goal here is to set up an effective cloud and haze model that would repro-
493 duce Jupiter's albedo, thermal structure and be consistent with the observed
494 visible and infrared cloud optical depths and physical properties at a global
495 scale. We emphasize that this effective model is not meant for comparisons
496 to detailed spectroscopic observations, but rather is meant to account for
497 the radiative forcing of cloud and haze particles and their role in the radia-
498 tive budget. In what follows, we assume a two-layer cloud structure with
499 an extended, upper haze located above a compact cloud and test the sensi-
500 tivity to varying the cloud and haze composition (optical constants), optical
501 depth, particle sizes and the altitude of the cloud deck. We assume spherical

502 particles and compute the optical properties (single scattering albedo, ex-
503 tinction coefficient and asymmetry factor) with a Mie scattering code. Four
504 compositions are tested:

- 505 1. pure NH_3 ice particles, with optical constants from Martonchik et al.
506 (1984);
- 507 2. pure NH_4SH ice particles, with optical constants from Howett et al.
508 (2007);
- 509 3. same material as our Saturn haze model (Guerlet et al. (2014), based
510 on observational constraints from Karkoschka and Tomasko (1993) on
511 Saturn);
- 512 4. particles with nearly grey optical constants.

513 Composition 4 has real and imaginary indices set arbitrarily close to that of
514 NH_3 except for smoother spectral features (since the sharp absorption fea-
515 tures of NH_3 are not observed) – reaching spectrally-flat in the visible range.
516 This makes the imaginary index of composition 4 intermediate between com-
517 positions 1 and 3 for haze particles. The refractive indexes for the four kind
518 of haze particles are compared in Figure 5. We note that NH_4SH particles
519 (composition 2) are expected to be brighter than the other kind of parti-
520 cles, as a result of the low real index of NH_4SH , while the “Saturn” particles
521 (composition 3) should be the most absorbing ones in the visible, owing to
522 their higher imaginary index shortward of $1 \mu\text{m}$.

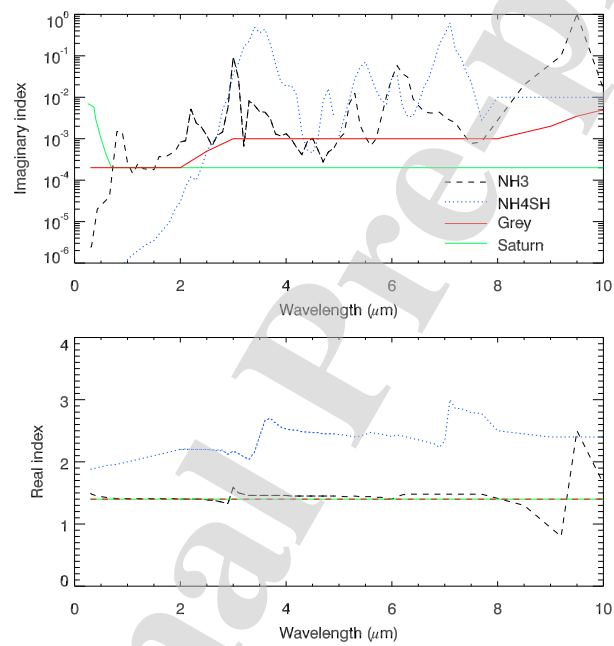


Figure 5: Imaginary (top) and real (bottom) refractive indexes of four assumed cloud or haze compositions. The “Saturn” real refractive index is the same as for the “grey” particle type.

523 In order to study the impact of the cloud properties on the planetary
524 albedo, we first perform 1-D radiative-convective simulations for globally-
525 averaged conditions. The planetary albedo is defined as $1 - \frac{ASR}{ISR}$, where ISR
526 stands for incoming solar radiation and ASR for absorbed solar radiation,
527 both quantities being evaluated globally. This value of modeled planetary
528 albedo is to be compared to the observed Bond albedo of 0.50 according to Li
529 et al. (2018b). In this first set of simulations, the aerosol vertical structure is
530 fixed with a reference cloud deck at 840 mbar and a scale height of 0.2 times
531 the atmospheric scale height (to be consistent with previous observations of
532 a compact cloud) along with an upper haze extending from 660 to 150 mbar,
533 with a scale height set to the atmospheric scale height. Table 1 presents a
534 set of results for different couples of cloud and haze composition, varying the
535 haze particle size between 0.5 and 2 μm , the haze integrated optical depth
536 at 0.75 μm between 1 and 4, the cloud particle size between 10 and 20 μm ,
537 and the cloud integrated optical depth in the visible between 7 and 15 (only
538 22 out of the 108 combinations tested are shown in Table 1).

539 Overall, we find that all cases considering a pure NH_4SH cloud lead to
540 a too bright albedo (>0.55), regardless of the assumptions on cloud opti-
541 cal depth, cloud particle size or haze properties. Similarly, all cases with
542 “Saturn”-like haze particles combined with ammonia cloud particles result
543 in too dark albedos (~ 0.4), which is consistent with the high imaginary in-
544 dex of these haze particles in the visible. A combination of a dark “Saturn”
545 haze with a bright NH_4SH cloud leading to a ~ 0.5 albedo might be found,

546 but we choose to discard solutions with the “Saturn” haze as several studies
547 (e.g., Tomasko et al., 1978) suggest that Jupiter’s haze particles must have
548 a larger single scattering albedo than Saturn’s. The “grey” and NH_3 haze
549 particles considered here are in better agreement with estimates of the single
550 scattering albedo by Tomasko et al. (1978). The latter study also constrained
551 the phase function of upper tropospheric cloud particles, which were found
552 to have a strong forward scattering lobe in the visible. The asymmetry param-
553 eter (computed from a Mie scattering code) of our cloud particles lies in the
554 range 0.8 to 0.85, which also indicate strong forward scattering.

555 Albedos comparable to that reported by Li et al. (2018b) are obtained
556 for combinations of “grey” and/or NH_3 particles for the haze and cloud ma-
557 terial, with the condition that the haze optical depth amounts to 3–4. Dif-
558 ferent combinations of the nature of the haze and cloud particles (NH_3 – NH_3 ,
559 grey–grey, grey– NH_3) give similar results, which is not surprising given their
560 similar optical constants. Hence, even though spectroscopic studies have
561 ruled out pure NH_3 ice particles for the cloud composition, it seems that
562 assuming a NH_3 or “grey” cloud does not impact greatly the overall energy
563 budget, and our model results are not very sensitive to one or the other type
564 of composition. We confirm that small haze particles ($\sim 0.5 \mu\text{m}$) are needed
565 in order to reproduce the 3 to 4 times larger haze optical depth observed in
566 the visible compared to the near infrared: with the haze optical depth set
567 to 4 at $0.75 \mu\text{m}$ for ammonia or grey particles, the optical depth amounts to
568 ~ 1 at a wavelength of $2 \mu\text{m}$, which is compatible with observations by Irwin

569 et al. (2001).

570 The heat deposition differs depending on the cloud and haze composi-
571 tion, integrated optical depth and altitude of the cloud deck, as illustrated
572 in Fig 6. For scenarios with bright NH_4SH clouds, the heating rate decreases
573 moderately within the haze and cloud layer, while for scenarios with ammo-
574 nia or “grey” cloud particles, the heat deposition reaches a local maximum
575 within the cloud layer. Actually, because the cloud optical depth is large, the
576 maximum heat deposition occurs above the cloud deck. In other words, at
577 the cloud deck, there is little visible radiation left to be absorbed. Fig 6 also
578 illustrates the larger heating rate resulting from the absorption by “Saturn”-
579 like haze particles compared to “grey” particles. We also note that excluding
580 completely haze and cloud opacity in the model results in unrealistic albedo
581 (0.15) and heat deposition profile.

582 We then evaluate the impact of changing the cloud optical depth as well
583 as the altitude of the cloud deck on the temperature. In our “grey haze, NH_3
584 cloud” scenario, increasing the cloud optical depth from 7 to 15 results in a
585 3 K warming of the troposphere (below the 300-mbar level), as absorption of
586 visible solar photons increases. As stated in the introduction, we note that
587 the opposite trend is actually observed on Jupiter: the cloudy equatorial
588 zone is found to be 2 to 5 K colder than warmer, less cloudy, equatorial belts
589 (e.g., Fletcher et al., 2016)). This reinforces the idea that zones are regions
590 of upwelling (see for instance Gierasch et al., 1986) where adiabatic cooling
591 dominates over radiative heating. Finally, moving the cloud deck from 840

Table 1: Cloud and haze properties along with the planetary albedo computed from globally-averaged 1-D radiative-convective simulations. Bold figures highlight our favored scenario, for which the albedo is close to 0.5, as reported by Li et al. (2018b).

Cloud			Haze			Bond Albedo
type	size (in μm)	τ cloud at 750 nm	type	size (in μm)	τ haze at 750 nm	
NH ₄ SH	15.00	7.00	Grey	0.50	2.00	0.59
NH ₄ SH	15.00	7.00	Grey	1.00	2.00	0.59
NH ₄ SH	15.00	7.00	Grey	0.50	4.00	0.61
NH ₄ SH	15.00	10.00	Grey	0.50	2.00	0.63
NH ₄ SH	15.00	7.00	NH ₃	1.00	2.00	0.59
NH ₄ SH	15.00	7.00	“Saturn”	1.00	2.00	0.55
NH ₄ SH	15.00	15.00	“Saturn”	1.00	2.00	0.62
NH ₃	15.00	7.00	“Saturn”	1.00	2.00	0.39
NH ₃	15.00	7.00	“Saturn”	1.00	4.00	0.43
NH ₃	15.00	15.00	“Saturn”	1.00	2.00	0.40
NH ₃	15.00	15.00	“Saturn”	1.00	4.00	0.43
NH ₃	15.00	4.00	Grey	0.50	2.00	0.41
NH ₃	15.00	10.00	Grey	0.50	2.00	0.43
NH ₃	15.00	7.00	Grey	1.00	2.00	0.42
NH ₃	15.00	7.00	Grey	2.00	2.00	0.42
NH ₃	10.00	7.00	Grey	0.50	2.00	0.44
NH ₃	20.00	7.00	Grey	0.50	2.00	0.41
NH ₃	15.00	7.00	Grey	0.50	4.00	0.48
NH ₃	10.00	10.00	Grey	0.50	4.00	0.50
NH ₃	10.00	15.00	Grey	0.50	4.00	0.51
NH ₃	10.00	10.00	NH ₃	0.50	4.00	0.51
Grey	10.00	10.00	Grey	0.50	4.00	0.48

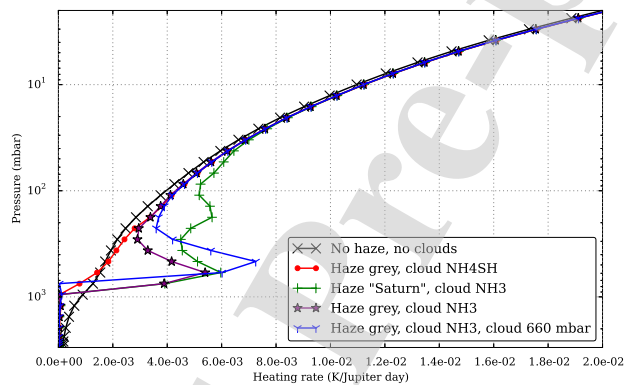


Figure 6: Vertical profiles of heating rates (in Kelvin per Jupiter day) due to absorption of solar radiation in the visible and near infrared for different cloud and haze particles, for globally-averaged conditions and $L_s=180^\circ$. In this example, the haze and cloud optical depth at $0.75 \mu\text{m}$ are set to 4 and 10, respectively, and the haze and cloud particle sizes to 0.5 and $10 \mu\text{m}$. The cloud deck is set at 840 mbar except for one case with a slightly shallower cloud deck, in blue.

592 to 660 mbar results in warming the troposphere by 5 K (while having little
593 impact on the albedo, of the order of a 2% change), as more solar light is
594 absorbed at higher altitudes. Because the resulting temperature at the 1-bar
595 level is closer to observations (detailed in section 3) when setting the cloud
596 deck at 840 mbar, we choose to keep this setting throughout this paper.

597 In this section, we have documented the impact of different cloud and
598 haze scenario on the tropospheric temperature and albedo. One has to keep
599 in mind that modifications of the cloud or haze optical depth and altitude
600 distribution will influence the tropospheric temperature by a few kelvins,
601 just like modifications of the ammonia and hydrocarbon mixing ratio will
602 also influence the temperature. Setting a realistic meridional profile of these
603 variables is beyond the scope of the current project: not only observational
604 constraints are limited, but feedbacks with meridional circulation – not yet in-
605 cluded – are expected to play an important role as well. Hence, in the goal of
606 setting an effective parametrization, able to reproduce the mean tropospheric
607 temperature and global albedo, our nominal scenario is the following: a haze
608 layer with an integrated optical depth of 4 in the range 660–150 mbar, “grey”
609 particles of radius $0.5 \mu\text{m}$ on top of a NH_3 cloud (or indifferently a “grey”
610 cloud) with $10\text{-}\mu\text{m}$ particles, a cloud deck at 840 mbar with an integrated
611 optical depth at 750 nm of 15.

612 2.6 Stratospheric aerosols

613 2.6.1 Observational constraints and motivation

614 In addition to the tropospheric cloud and aerosol layers described above, we
615 take into account two stratospheric haze layers:

- 616 1. We include an optically thin stratospheric layer comprising small spher-
617 ical particles ($0.2\text{--}0.5\ \mu\text{m}$) with an integrated optical depth set to 0.02
618 in the NIR and UV, as constrained by Zhang et al. (2013b). Their re-
619 fractive index have been constrained in the same study, with imaginary
620 parts at 255 nm and 900 nm estimated to 0.02 and 0.001, respectively.
621 This haze is uniform with latitude and extends from the tropopause to
622 the upper stratosphere, with a scale height equal to the atmospheric
623 scale height. Its impact on the stratospheric temperature is $<0.5\text{K}$.
- 624 2. We include another layer that is not uniform with latitude and is more
625 absorbant in particular in the UV, described further below.

626 The addition of this second kind of aerosol is motivated by the observations
627 of dark polar hoods at near-UV wavelength (Hord et al., 1979; Tomasko
628 et al., 1986), which have been attributed to a stratospheric haze layer. This
629 haze is both forward scattering and strongly polarizing, which implies that
630 it is composed of aggregate particles similar to Titan's haze particles (West
631 and Smith, 1991). The favored scenario for their formation is through pre-
632 cipitation of energetic particles in Jupiter's upper atmosphere in its auroral

633 regions (Pryor and Hord, 1991), thought to be responsible for the produc-
634 tion of heavy hydrocarbons (Wong et al., 2003). According to chemical and
635 microphysical models, these hydrocarbons can condense and form fractal ag-
636 gregates through coagulation processes (Friedson et al., 2002).

637 Recently, Zhang et al. (2013b) brought new constraints on the size, shape,
638 vertical and meridional distribution of these stratospheric aerosols by com-
639 bining ground-based near-IR spectra from Banfield et al. (1998) and multiple
640 phase angle images from the Cassini Imaging Science Subsystem (ISS). The
641 authors first derive the vertical profile of the aerosol mixing ratio at differ-
642 ent latitudes and find that the stratospheric haze layer resides at a pressure
643 of 50 mbar at low latitudes and ~ 20 mbar at high latitudes ($60\text{--}70^\circ$). Re-
644 garding the aerosol sizes and shapes, ISS observations can be fitted with
645 small sub-micron spherical particles at low latitudes (which corresponds to
646 the first type of stratospheric haze layer included in our model). Poleward of
647 30°N and 45°S , ISS observations are consistent with fractal aggregates with a
648 fractal dimension of 2, corresponding to an effective radius of about $0.7\mu\text{m}$.
649 Zhang et al. (2013b) also constrain the real and imaginary part of the re-
650 fractive index of the fractal aggregates at two wavelengths in the UV and
651 near-IR (at 255 nm and 900 nm). They derive a family of solutions, with
652 different plausible combinations of refractive indexes, number and radius of
653 monomers. For instance, their reference case corresponds to an imaginary in-
654 dex n_i of 0.02 at 255 nm and 10^{-3} at 900 nm and aggregates comprising 1000
655 monomers with a 10-nm radius. Other solutions can match the Cassini/ISS

656 observations. Two extreme cases are : aggregates comprising 100 monomers
657 of 40 nm, with higher optical refractive indexes (0.08 in the UV and 5×10^{-3}
658 in the NIR); or aggregates comprising 10000 monomers of 5 nm, with lower
659 optical indexes (6.10^{-3} in the UV and 2.10^{-4} in the NIR).

660 Based on these observational constraints, Zhang et al. (2015) show that
661 this haze dominates the radiative heating budget at middle and high latitudes
662 in Jupiter's middle stratosphere, with a contribution of the haze reaching up
663 to 10 times the heating rate due to CH_4 alone in the 10–20 mbar pressure
664 range. This haze can also cool the atmosphere through its infrared emission
665 (see also Guerlet et al. (2015) for a Saturn counterpart). Hence, radiative
666 heating and cooling by the polar haze appears to be a key component to
667 be included in any radiative-convective equilibrium model of Jupiter. The
668 parametrization of this haze in our model is detailed below.

669 **2.6.2 Parametrization of the aerosol properties**

670 The optical properties (extinction coefficient, scattering albedo and asym-
671 metry factor) of fractal aggregates haze particles are computed using a semi-
672 empirical model from Botet et al. (1997). This model employs the mean-field
673 approximation in the case of scattering of an electromagnetic wave by a clus-
674 ter of monosized spheres. We compute the optical properties for aggregates
675 with a fractal dimension of 2, and for the three aforementioned scenario deter-
676 mined by Zhang et al. (2013b) regarding the number and radius of monomers.
677 From UV to NIR, the real index is set to 1.65, similar to the mean value of

678 Zhang et al. (2015). The imaginary refractive indexes were set to the three
679 set of values determined by Zhang et al. (2013b) at 255 and 900 nm – one for
680 each combination of number and radius of monomers – with a logarithmic
681 interpolation in between as in Zhang et al. (2015). In the thermal infrared,
682 due to the lack of observational constraints, we adopt the real and imaginary
683 index of Vinatier et al. (2012) derived from Cassini/CIRS observations of
684 Titan’s stratospheric haze, which present striking similarities with Saturn’s
685 polar haze (Guerlet et al., 2015). For this given set of refractive index, opti-
686 cal properties in the thermal infrared are computed three times, for the
687 three aforementioned values of number and radius of monomers. This en-
688 sures consistency in the considered scenario. Finally, we also include a case
689 where tholins-like properties are assumed (Khare et al., 1984). They are not
690 considered a very relevant analog for Jupiter’s haze – they do not match the
691 observed properties of Titan’s haze (Vinatier et al., 2012), let alone Saturn’s
692 haze – but this test is useful for sensitivity studies. For this test, we only
693 consider the scenario with 1000 monomers of 10-nm radius and we actually
694 divide by 2 the refractive index derived from the laboratory experiments of
695 Khare et al. (1984) to better match observations by Vinatier et al. (2012).
696 These different refractive index are summarized in figure 7 and our four sce-
697 narios summarized in table 2. We will discuss the impact of these different
698 properties on the thermal structure in the next section.

699 Regarding the meridional variations of the polar haze optical thickness,
700 we build a meridional profile based on that retrieved by Zhang et al. (2013b),

Table 2: Description of the 4 sets of parameters used to generate the stratospheric polar haze optical properties. N_{mono} is the number of monomers in the aggregates, r_{mono} the radius of the monomers, Im stands for the imaginary index.

Scenario	N_{mono}	r_{mono}	Im(255 nm)	Im(900 nm)	Im(Thermal infrared)
1	10000	5 nm	6.10^{-3}	2.10^{-4}	Vinatier et al. (2012)
2	1000	10 nm	2.10^{-2}	1.10^{-3}	Vinatier et al. (2012)
3	100	40 nm	8.10^{-2}	5.10^{-3}	Vinatier et al. (2012)
4	1000	10 nm	2.10^{-2}	1.10^{-3}	Khare et al. (1984)

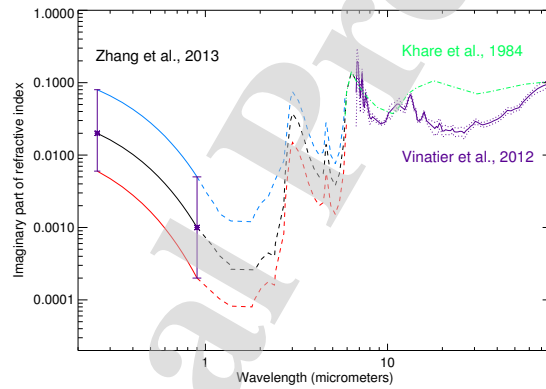


Figure 7: This figure illustrates the imaginary indexes used for generating optical properties of the stratospheric polar haze. Four sets of optical properties were computed based on different imaginary index, radius and number of monomers. We adopt the values constrained by Zhang et al. (2013b) at NIR and UV wavelength, and Vinatier et al. (2012) (in purple) or Khare et al. (1984) (divided by 2 here, green line) in the thermal infrared. Between 1 and 7 μm , due to the lack of observing constraints, these values are interpolated, following the wavelength-dependence derived from tholins experiments by Khare et al. (1984).

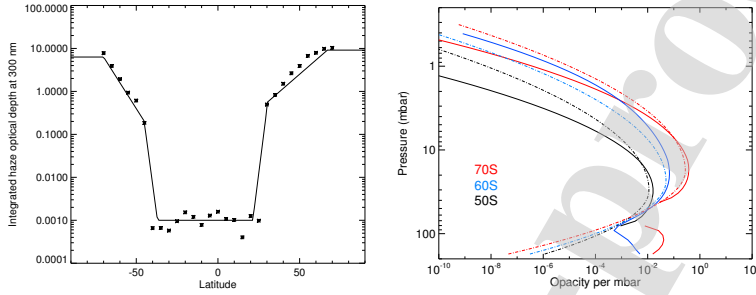


Figure 8: Left: Meridional variation of the stratospheric haze optical thickness at 300 nm integrated above the 80 mbar level as derived from Zhang et al. (2013b) (stars) compared to the values adopted in our model (dashed line). Right: Opacity vertical profiles at 300 nm derived from Zhang et al. (2013b) retrieved number density profiles, shown at three latitudes (solid lines), compared with those adopted in our model (dashed lines).

701 with a slightly smoother transition at mid-latitudes where the optical depth
 702 varies by several orders of magnitude over a few degrees of latitude. The
 703 integrated haze optical thickness as a function of latitude adopted in our
 704 model is compared with Zhang et al. (2013b) retrievals in Figure 8. Poleward
 705 of 70° (the highest latitude observed in Zhang et al., 2013b), we simply
 706 assume that the haze optical depth is equal to that at 70°.

707 We choose to parameterize the aerosol opacity vertical profile with a
 708 skewed gaussian profile:

$$\tau(p) \propto \exp(-(H \times \ln(p/p_m))^2 / 2\delta_1^2) / (\delta_1 + \delta_2) \quad (1)$$

709 with τ the opacity per mbar, p the pressure, p_m parametrizing the pressure

710 level where the optical depth is maximum and δ_1 and δ_2 parametrizing the
711 width and skewness of the profile. This function reproduces well, to first
712 order, the vertical profile of the haze opacity derived from number density
713 profiles retrieved by Zhang et al. (2013b) (see Fig. 8). We vary p_m linearly
714 with latitude to capture the fact that the haze layer shifts from ~ 40 mbar
715 to 20 mbar between ~ 45 and 70° , as derived by Zhang et al. (2013b). In
716 our model, the opacity profile is then normalized at each latitude bin so that
717 the optical depth integrated above the 80 mbar level matches the merid-
718 ional profile in Fig. 8. The resulting vertical profiles of the haze opacity are
719 shown at three latitudes in Figure 8, along with those retrieved by Zhang
720 et al. (2013b). We note that Zhang et al. (2013b) retrievals suggest that,
721 poleward of 60° , the tropospheric aerosol layer shifts to lower pressure levels
722 (~ 100 mbar instead of 200 mbar), which we did not take into account (our
723 tropospheric layer extends up to 180 mbar at all latitudes).

724 **2.6.3 Radiative impact of the haze**

725 As reported by Zhang et al. (2015), we confirm that including the polar haze
726 results in strongly enhanced heating rates in the middle stratosphere, mostly
727 between 40 and 70°N and 50 and 70°S . Figure 9 shows an example at 60°S ,
728 $L_s = 0^\circ$, where the heating rate is increased by a factor of four to six at
729 the 10-mbar pressure level when stratospheric aerosols are included, which
730 is in overall agreement with the 5 to 10 times enhancement factor reported
731 by Zhang et al. (2015). Using our radiative-convective equilibrium model,

732 we can go further and evaluate for the first time the impact of the polar
733 haze on the equilibrium temperature profile. We find that the temperature
734 is very sensitive to the polar haze properties. At this latitude and season,
735 considering scenario 2 or 3, the impact of the polar haze is to significantly
736 warm the stratosphere by 20K to 30K in the 10 to 30-mbar pressure range
737 (see Figure 9). This effect decreases with altitude, amounting to 3-5K at
738 the 1-mbar pressure level. If scenario 4 is considered (same as scenario 2 but
739 with the imaginary index of Khare et al. (1984) divided by 2, more absorbant
740 in the thermal infrared than that of Vinatier et al. (2012)), the polar haze
741 net effect is a moderate warming (10K) that is maximum near the 30-mbar
742 pressure level. Finally, scenario 1 results in temperatures changes of the
743 order of +5K near the 30-mbar level and -5K near the 5-mbar level. Indeed,
744 in this case, a net cooling of the middle stratosphere is obtained despite the
745 increase in heating rates. This is explained by the simultaneous increase
746 in cooling rates due to the polar haze. We also note that despite similar
747 heating rates in scenario 2 and 3, the equilibrium temperature profiles differ
748 significantly. There again, these differences result from different cooling rates:
749 even though the thermal infrared imaginary indexes of Vinatier et al. (2012)
750 are used in both scenario 2 and 3, the number and radius of monomers was
751 varied among these scenario. A smaller number of larger monomers (scenario
752 3) is more efficient in cooling the atmosphere than a larger number of smaller
753 monomers (scenario 2). Hence, it appears crucial to better characterize the
754 haze properties (their refractive index but also size and dimensions of the

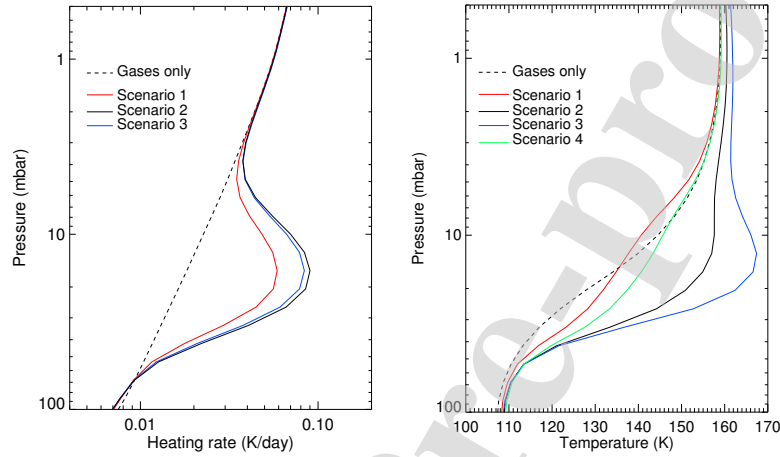


Figure 9: Daily-averaged heating rate, in Kelvin per Earth day (left) and temperature (right) vertical profiles at latitude 60°S and $L_s = 0^{\circ}$. Dashed black lines correspond to a case without the polar haze contribution, colored lines refer to different polar haze scenarios described in table 2. Scenario 4 is not shown in the heating rate figure as it is similar to scenario 2.

755 aggregates) in order to realistically model their radiative impact.

756 At high latitudes ($> 75^{\circ}$) the net radiative impact of the polar haze de-
 757 pends on season: during winter, the net effect of scenario 2 is to cool the
 758 atmosphere, by typically 10K between 20 and 2 mbar. This is easily ex-
 759 plained by the fact that the solar insolation is near zero at this season and
 760 location, while the aerosol layer still emits longwave radiation. On the other
 761 end, over the summer pole, the net effect can be an important warming
 762 (10–15K) between 20 and 2 mbar. Comparisons with observations, using
 763 simulations including or not the polar haze, are shown in the next section.

764 **3 Thermal structure and comparisons to ob-** 765 **servations**

766 **3.1 Internal heat flux and tropospheric temperature**

767 Before presenting in detail the results of our radiative-convective model at
768 equilibrium, we address the issue of the tropospheric equator-to-pole temper-
769 ature gradient. Indeed, it is well known since the Pioneer 10 and 11 era that
770 Jupiter exhibits no significant latitudinal gradient of temperature or emitted
771 thermal infrared flux at the 1-bar level (Ingersoll, 1976). However, assum-
772 ing a uniform internal heat flux $F_{cst} = 7.48 \text{ W.m}^{-2}$, our radiative-convective
773 model produces a strong temperature contrast of 28 K at 1 bar between the
774 warmer equator (178 K) and colder poles (150 K) (see Figure 13). This is
775 expected from such a radiative model, as solar insolation is maximum at low
776 latitudes all year round (given Jupiter's low obliquity).

777 To explain the observed near-uniform tropospheric temperatures, several
778 theories have been proposed. For instance, using a turbulent, 3-D deep con-
779 vection model, Aurnou et al. (2008) finds that convective heat transfer by
780 quasi-geostrophic thermal plumes results in an outward heat flow 2.5 to 3.2
781 times greater at the poles than at equator. This latitudinal trend is consis-
782 tent with the work of Pirraglia (1984) who tried to estimate the meridional
783 variations of internal heat flux needed to reconcile the observed solar en-
784 ergy deposition with the outgoing thermal radiation. On a different note,

785 with their General Circulation Model for Jupiter’s troposphere, Young et al.
 786 (2019) found that even when considering a uniform internal heat flux, atmo-
 787 spheric dynamics acts to balance the latitudinal-varying solar forcing. As a
 788 consequence, the 1-bar equator-to-pole temperature gradient is reduced from
 789 35 K with a radiative-convective version of their GCM to only 5 K when
 790 using their full GCM with resolved atmospheric dynamics.

791 In order to emulate these effects in our radiative-convective model, we
 792 test different functions to vary the internal heat flux F_{int} with latitude θ , for
 793 instance:

$$794 \quad F_{int}(\theta) = 0.67 \times F_{cst} + 0.66 \times F_{cst} \times \sin^2(\theta) \quad (2)$$

$$795 \quad F_{int}(\theta) = 0.5 \times F_{cst} + F_{cst} \times \sin^2 \theta \quad (3)$$

796 This *ad hoc* parametrization ensures a planet-average internal heat flux equal
 797 to F_{cst} while setting an internal heat flux twice larger (eq. 2) or three times
 798 larger (eq. 3) at the poles than at the equator. When using eq. 2, the equato-
 799 rial temperature at the 1-bar level is now 9 K warmer than the poles (instead
 800 of 28 K when a uniform internal heat flux is assumed). The associated out-
 801 going thermal emission is only 8% larger at the equator than at 60° latitude,
 802 which is consistent with Pirraglia (1984) observations, which extended to 60°
 803 only. However, when using eq. 3, the temperature is actually 2 K warmer
 804 (and the outgoing thermal emission 8% larger) at 60° than at the equator.
 805 Hence, in what follows, we discuss the thermal structure obtained with eq. 2
 which yields more realistic results. It is worth emphasizing here that the

806 temperature field at pressures lower than ~ 50 mbar is not impacted by the
807 hypothesis of a uniform or varying internal heat flux.

808 **3.2 Thermal structure and seasonal trends**

809 We run our seasonal radiative-convective 1-D model on 32 distinct columns,
810 each for a different latitude, and for 10 Jupiter years in order to reach
811 radiative-convective equilibrium. We performed three runs corresponding
812 to polar haze scenario #1, 2 and 3. In this section, we mostly present and
813 discuss the results obtained with scenario #2, as we will see in section 3.4 that
814 it appears more consistent with observations. The corresponding latitude-
815 pressure cross-section of the temperature obtained at $L_s=0^\circ$ with scenario #2
816 is shown in Figure 10. From low- to mid-latitudes, our model reproduces well
817 the tropopause altitude (100 mbar) and temperature (110 K) reported in
818 previous studies (e.g. Conrath et al., 1998; Fletcher et al., 2016). The strato-
819 spheric temperature is nearly isothermal in the range 3–0.1 mbar, where it
820 reaches a maximum of 165 K. Above this level, the temperature decreases
821 with altitude as infrared cooling dominates over solar heating. This is in
822 agreement with Kuroda et al. (2014) who find a 5 K temperature decrease
823 between 0.1 and 0.001 mbar, from 160 to 155 K (ie. overall 5 K colder than
824 our model predictions). At latitudes 50° – 70° , stratospheric temperatures are
825 found to be colder than at low latitudes, except in the range 3–30 mbar where
826 the warmer temperatures are due to the absorption of solar light by aerosols.
827 Equilibrium temperatures in this pressure and latitudinal range are strongly

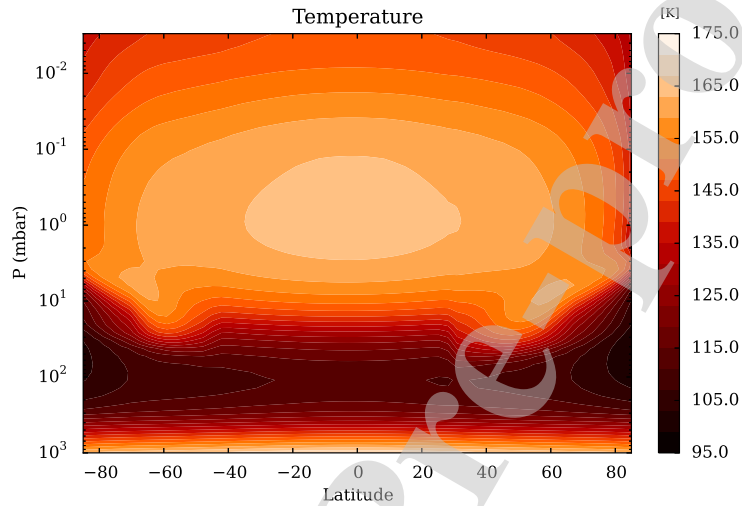


Figure 10: Pressure-latitude cross-section of the temperature (in K) in Jupiter's atmosphere at $L_s=0^\circ$. The internal heat flux varies with latitude as defined in eq. 2 and the polar haze scenario #2 was used.

828 influenced by the assumed polar haze properties, as reported in section 2.6.
 829 However, qualitatively, the thermal structure is similar regardless of the po-
 830 lar haze scenario. At latitudes poleward of 70° , temperatures are the coldest
 831 with a 100 K tropopause and a maximum stratospheric temperature of 140 K
 832 to 150 K at the 1-mbar level. We note that at high latitudes, the tropopause
 833 is broader and extends from 100 mbar to 20 mbar, which is caused by the
 834 heating by CH_4 being less efficient in the lower stratosphere due to the low
 835 solar elevation.

836 Seasonal variations are expected to be small owing to Jupiter's low obliq-

837 uity. We present in Figure 11 the seasonal evolution of the 10-mbar temper-
838 ature at 60°N and 60°S , with and without polar haze (scenario #2). We first
839 note that the amplitude of seasonal variations is very small in the southern
840 hemisphere: it is only 2 K at 60°S , increasing to 3 K when the radiative
841 impact of the polar haze is taken into account. This can be explained by the
842 competing effects of obliquity and eccentricity, as Jupiter's perihelion occurs
843 at $L_s=57^{\circ}$ close to southern "winter". On the other hand, these two effects
844 add up in the northern hemisphere, where the peak-to-peak seasonal ampli-
845 tude is ~ 6 K for the polar-haze-free case. When polar aerosols are included
846 (scenario #2), there is a global temperature increase of 15 K at 60°N , 12 K
847 at 60°S . The peak-to-peak amplitude of seasonal variations is also enhanced
848 at 60°N (10 K instead of 6 K) when we include this additional aerosol radi-
849 tive forcing. The seasonal amplitude reported here for 60°N and 10 mbar is
850 similar should other pressure levels in the range 30 mbar and 0.01 mbar, and
851 latitudes in the range $45^{\circ}\text{N} - 75^{\circ}\text{N}$, be considered. Finally, at 60°N , when
852 the polar haze are added, we also notice that the temperature maximum is
853 shifted to an earlier season ($L_s=95^{\circ}$ instead of $L_s=125^{\circ}$), closer to northern
854 summer solstice, which hints at shorter radiative timescales as a result of
855 adding polar hazes (see next section 3.3 for further details).

856 **3.3 Radiative timescales**

857 In this section, we evaluate and discuss radiative relaxation timescales in
858 Jupiter's atmosphere. Radiative timescales can be used to assess whether

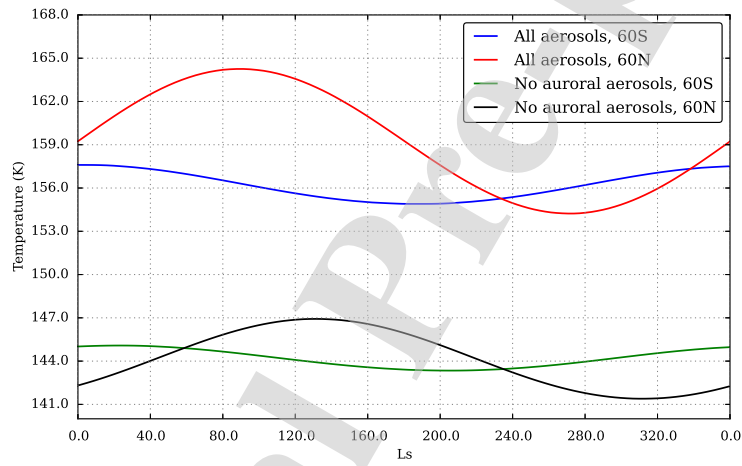


Figure 11: Temperature at the 10 mbar pressure level as a function of solar longitude (Ls, with Ls=0 corresponding to spring equinox in the northern hemisphere) for latitudes 60°N and 60°S, as labeled. Two cases are shown, including or not the stratospheric polar haze (scenario #2).

859 the atmosphere responds quickly or not to changes in atmospheric tempera-
860 tures and solar insolation. It is sometimes used in idealized global circulation
861 models where radiative processes are parametrized with a relaxation scheme.
862 Quantitative estimates of the characteristic radiative timescale of the jovian
863 atmosphere have been rather limited in the past, as it requires a detail in-
864 ventory of the radiative forcings, as is done in this study (see section 2).
865 Recent estimates by Zhang et al. (2013a), Kuroda et al. (2014) and Li et al.
866 (2018a), based on their respective radiative models, take into account gaseous
867 radiative forcings similar to ours, but neglect any kind of clouds and aerosols.

868 To compute the radiative relaxation timescales of Jupiter’s atmosphere
869 with our seasonal radiative-convective model, we adopt the following stan-
870 dard approach (see e.g. Eq. 6 in Kuroda et al. (2014)): we run a 1-D radiative-
871 convective simulation until radiative equilibrium is reached; then, we add 4 K
872 to the resulting temperature profile at all levels and restart a simulation with
873 this modified profile. Radiative relaxation time, τ_{rad} , is obtained by dividing
874 the temperature disturbance (here 4 K) by the change in net (daily-averaged)
875 heating rates due to this disturbance.

876 Two examples are shown in Figure 12 for latitudes 40°N and the equa-
877 tor. We find that in the upper troposphere, radiative timescales are of the
878 order of 0.2 to 0.4 Jupiter years, meaning that any temperature disturbance
879 due to, for instance, dynamical activity, can persist a long time before being
880 equilibrated by radiative processes. In the stratosphere, this timescale short-
881 ens with altitude and is of the order of 3% of a Jupiter year (~ 100 Earth

882 days) at the 0.1 mbar level, meaning that a temperature disturbance will be
883 radiatively damped over this timescale (if the source of the disturbance is
884 not active anymore). At the equator, we note that our radiative timescales
885 are in agreement with that derived by Kuroda et al. (2014). Two notable
886 exceptions are the upper stratosphere, where our timescales are about 50%
887 longer than in Kuroda et al. (2014), and the lower troposphere, where our
888 estimated timescale is twice shorter at the 500 mbar level. The former can
889 be explained by the choice of slightly different hydrocarbon profiles at high
890 altitudes and/or differences in spectroscopic calculations, and the latter by
891 the lack of tropospheric aerosols in the model of Kuroda et al. (2014). At
892 40°N and in the range 5–30 mbar, we find that the radiative timescale is two
893 to five times shorter than at the equator. This is due to polar haze radiative
894 forcing (here with scenario #2) and is consistent with our remark on seasonal
895 temperature variations in section 3.2: at the 10-mbar level, the maximum of
896 temperature occurs shortly after summer’s solstice due to a quick response of
897 the atmosphere to changes in solar insolation. This feature was not captured
898 by Kuroda et al. (2014) who neglected the radiative contributions of aerosols
899 in their model. All the conclusions in this paragraph hold when we compare
900 our results to the similar work by Zhang et al. (2013a) and Li et al. (2018a).

901 **3.4 Comparison to observations**

902 The monitoring of Jupiter’s spatio-temporal temperature variations from the
903 analysis of thermal infrared spectra started with the Voyager spacecrafts in

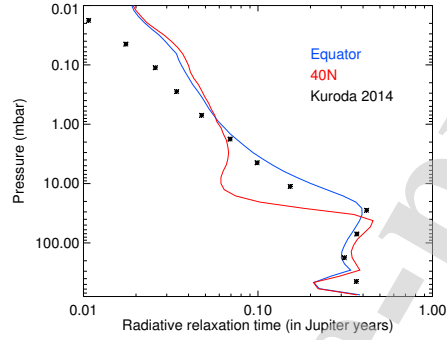


Figure 12: Two example profiles of the radiative timescale in Jupiter's atmosphere at $L_s=0$ at the equator (in blue) or at 40°N where polar hazes are abundant (in red, with scenario #2). These are compared to values published in Kuroda et al. (2014) for the equator (stars)

904 1979 (e.g., Hanel et al., 1979; Simon-Miller et al., 2006) and was later on
 905 followed by the Cassini fly-by of Jupiter in December, 2000 (e.g., Flasar
 906 et al., 2004; Nixon et al., 2007). Jupiter's thermal structure has also been
 907 monitored very regularly from Earth-based telescopes, most notably from
 908 NASA's Infrared Telescope Facility (IRTF) (e.g., Orton et al., 1991). Nowa-
 909 days, these studies are pursued using the Texas Echelon Cross Echelle Spec-
 910 trograph (TEXES) instrument on the IRTF (Lacy et al., 2002; Fletcher et al.,
 911 2016; Sinclair et al., 2017; Melin et al., 2018). This high-spectral resolution
 912 instrument is able to constrain the 3D temperature field in Jupiter's upper
 913 troposphere and stratosphere with a spatial resolution of $2\text{--}4^\circ$ in latitude
 914 (Fletcher et al., 2016), which is actually comparable to the spatial resolution

915 achieved by the Cassini fly-by. Both CIRS and TEXES are sensitive to the
916 temperature in the pressure range 700–0.5 mbar (with the caveat of a low
917 sensitivity in the 20–60 mbar range) and cover the latitude range $78^{\circ}\text{S} - 78^{\circ}\text{N}$.

918 In this section, we focus on the comparison with the results of Fletcher
919 et al. (2016) who analysed spectra acquired by TEXES in December, 2014
920 (corresponding to $L_s=175^{\circ}$) and also analysed, with the same retrieval pipeline,
921 observations from the Composite Infrared Spectrometer (CIRS) on board
922 Cassini during the December, 2000 flyby (corresponding to $L_s=110^{\circ}$). In
923 our comparisons, we neglect longitudinal variability and only consider tem-
924 peratures derived from zonally-averaged spectra provided by Fletcher et al.
925 (2016).

926 We first focus on the comparison in the upper troposphere, shown in
927 Figure 13. At the 360-mbar level, the temperature derived from CIRS and
928 TEXES shows little meridional or temporal variability except at the equator,
929 where the TEXES-derived temperature is about 5 K warmer in 2014 than
930 the CIRS-derived temperature in 2000. These variations are attributed to
931 changes in the dynamics of the equatorial belts (Fletcher et al., 2016). As
932 already mentioned in section 2.5.2, the cloudy equatorial zone is colder than
933 the less cloudy, warmer equatorial belts at 15°N and 15°S , which is thought
934 to be the consequence of vertical motions (upwelling in zones, subsidence in
935 belts) rather than due to a radiative effect. Near the tropopause level (at
936 110 mbar), observed temperatures exhibit a small (5 K) decrease in temper-
937 ature from 50 to 78° in both hemispheres, and a temporal variability of the

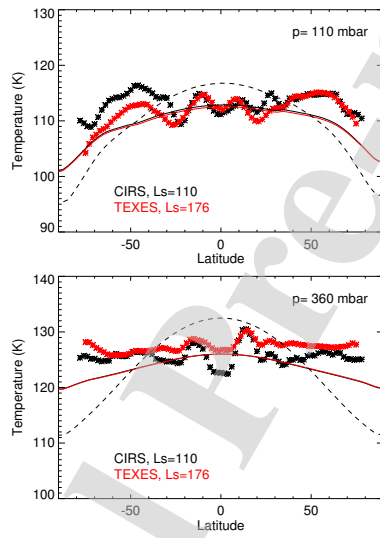


Figure 13: Comparison between tropospheric temperatures derived by Fletcher et al. (2016) from Cassini/CIRS (black stars) and TEXES (red stars) observations at two different seasons, as labeled, and that predicted by our model, in solid lines (in black for $L_s=110$, in red for $L_s=176$). The upper and lower panels display temperatures at 110 and 360 mbar, respectively. These results are obtained with a latitudinal-varying internal heat flux; for reference, we also show the simulated temperature obtained when setting a constant internal heat flux (dashed line, $L_s=110$).

938 order of 3 K in the southern hemisphere.

939 Our predicted temperatures, obtained with the parametrization of a latitudinal-
940 varying internal heat flux (as is defined in eq. 2) and a single cloud and haze
941 scenario, reproduces reasonably well the observed globally-averaged temper-
942 ature in the troposphere. Our main disagreement is that at the 360-mbar
943 level, our temperatures are ~ 4 K cooler than observations in the 40–78°
944 latitude range. The fact that our model still slightly underestimates the
945 temperature at high latitudes suggests that we should let the internal heat
946 flux increase even more with latitude. However, because this is only a crude
947 parametrization that might become obsolete when full GCM simulations are
948 run, we did not attempt to optimize the parameters of eq. 2 until we ob-
949 tained a perfect match with observations. In addition, other processes could
950 be at play in this model-observation mismatch: indeed, the aerosol opacity
951 cross-section derived by Zhang et al. (2013b) indicate that the tropospheric
952 haze layer could extend at higher altitudes in the 60–70° latitude range (with
953 no observations beyond 70°), which could enhance the radiative heating in
954 the upper troposphere. Given the current lack of observational constraints
955 on the haze properties at high latitudes, we did not modify our tropospheric
956 haze scenario.

957 Regarding the stratosphere, we present in Figure 14 the meridional tem-
958 perature variations at four pressure levels: 0.4, 3, 10 and 25 mbar. Results
959 obtained with the three polar haze scenario are shown. Figure 15 compares
960 the modeled globally-averaged vertical profiles of temperature, for the three

961 haze scenario, to that derived from CIRS observations. Several individual
962 vertical profiles of temperature at radiative equilibrium (this time, only for
963 scenario #2 for the sake of clarity) are compared to CIRS and TEXES data
964 at four latitudes in Figure 16. We first note that at all pressure levels, CIRS
965 and TEXES exhibit a strong temporal variability at and near the equator.
966 This region is known to harbor a periodic equatorial quasi-quadrinial oscil-
967 lation (QO) in the temperature and associated thermal wind field thought
968 to result from wave-mean zonal flow interactions (Leovy et al., 1991; Orton
969 et al., 1991; Flasar et al., 2004; Simon-Miller et al., 2007), based on analogy
970 with similar oscillations on the Earth and Saturn. Hence, in the following, we
971 will not comment on the model-observation mismatch near the equator, since
972 by design our radiative-convective model cannot capture such a dynamical
973 signature.

974 At the 10-mbar pressure level, our modeled temperatures exhibit a signif-
975 icant variability depending on the chosen polar haze scenario (see Figure 14).
976 We find that scenario #2 provides an excellent match to the observed tem-
977 peratures. At this pressure levels, both CIRS and TEXES temperatures
978 feature a local maximum at 50–65°N which is rather well reproduced by our
979 model, should the polar haze scenario #2 be used. If the radiative impact of
980 the polar haze is neglected, the temperature would be 10 to 15 K colder at
981 these pressure levels and latitude range. With the polar haze scenario #1,
982 the comparison with observations is even less favorable, as the haze has a
983 net cooling effect at this pressure level. The hemispherical asymmetry be-

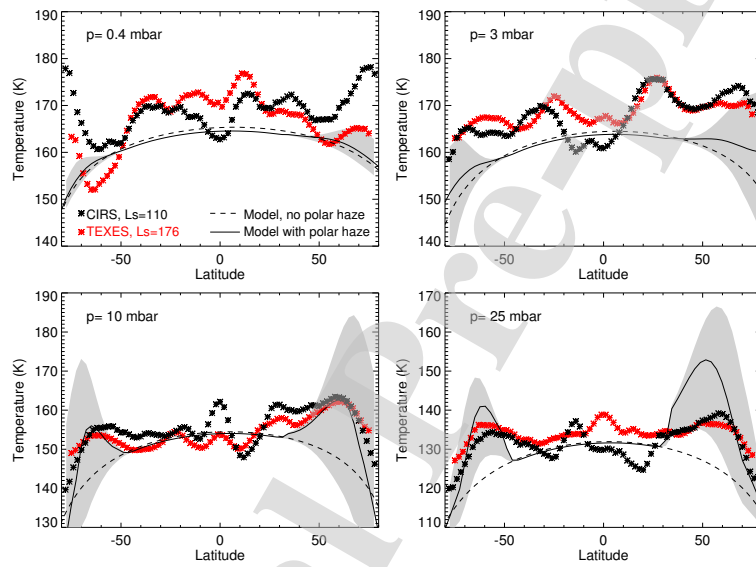


Figure 14: Comparison between stratospheric temperatures derived by Fletcher et al. (2016) from Cassini/CIRS and TEXES observations at two different seasons, as labeled (stars), and that predicted by our model at an intermediate season ($L_s=140$, lines). The dashed line is for a case where the polar haze was neglected while the grey shading represents the effect of including polar haze scenarios #1 to 3 (with the solid black line referring to scenario #2). The four panels display temperatures at four different pressure levels (0.4, 3, 10 and 25 mbar).

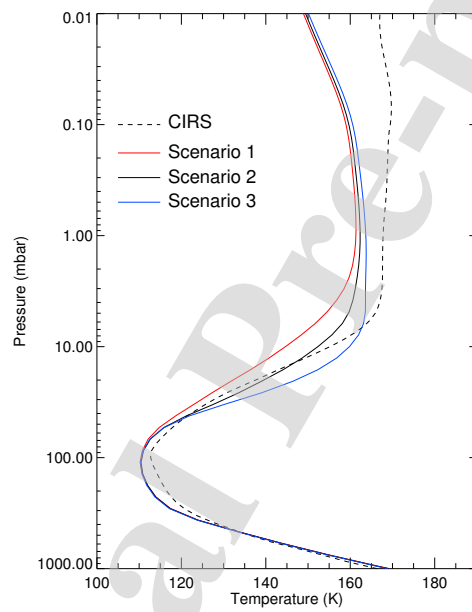


Figure 15: Comparison between temperature vertical profiles averaged between 77°S and 77°N as derived by Fletcher et al. (2016) from Cassini/CIRS observations, in dashed lines, and that predicted by our radiative equilibrium model, in solid lines, for the three polar haze scenario.

984 tween latitudes 60°N and 60°S , of about 8 K, observed by TEXES and CIRS,
985 is faithfully reproduced as well with polar haze scenario #2 and #3. We
986 can argue that this observed hemispherical asymmetry is caused by a radiative
987 effect related to the polar haze absorption, as this asymmetry would be
988 of only 1–2 K without this radiative contribution. As already discussed in
989 section 3.2 and shown in Figure 11, this asymmetry is a seasonal effect: it
990 should disappear around $L_s=230^{\circ}$ and reverse at $L_s=240\text{--}320^{\circ}$ (the temperature
991 at 60°S is expected to be 2 K warmer than that at 60°N at that season).
992 We note that in their analysis of Voyager observations, shortly after autumn
993 equinox ($L_s=190^{\circ}$), Simon-Miller et al. (2006) found that the temperature
994 was ~ 6 K warmer at 50°N compared to 50°S (measurements only extended
995 to 50° latitude). This is compliant with our results: indeed, due to the strong
996 asymmetry in the polar haze as constrained by Zhang et al. (2013), where
997 the integrated opacity is found to be about four times greater at 50°N compared
998 to 50°S (see Figure 8), our model predicts that the temperature at
999 50°N remains warmer than that at 50°S throughout the year - provided that
1000 the haze hemispheric asymmetry persists.

1001 Our model predicts that a similar north-south asymmetry between 60°N
1002 and 60°S is still present at the 25-mbar level. This is at odds with CIRS and
1003 TEXES observations, which are nearly symmetric about the equator at this
1004 pressure level. In addition, the haze scenarios #2 and 3 significantly overestimate
1005 the high latitude temperatures, especially in the northern hemisphere.
1006 On the one hand, this could suggest that we overestimate the aerosol content

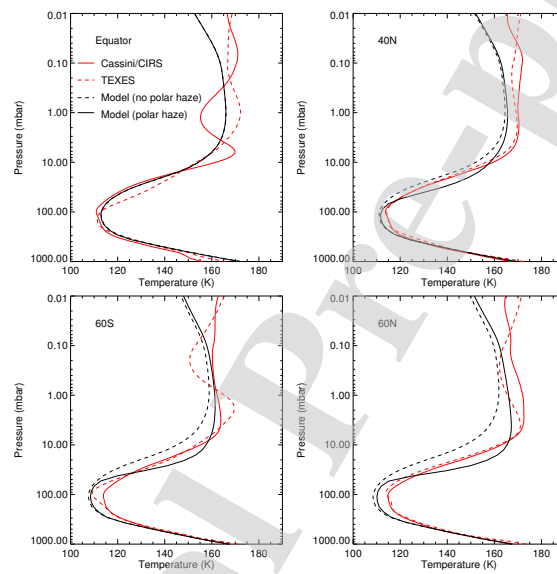


Figure 16: Comparison of vertical profiles of the temperature as derived from our radiative-convective model at four different latitudes (equator, 40°N , 60°S , 60°N), in solid black line, and observed temperature profiles derived from Cassini/CIRS (solid red line) and TEXES (dashed red line) derived by Fletcher et al. (2016). Model results correspond to polar haze scenario #2. For reference, we also show the temperature predicted by our model without the polar haze (dashed black line).

1007 at this pressure level in the northern hemisphere – but not in the southern
1008 one, as our predicted temperature agrees better with CIRS and TEXES ob-
1009 servations at 50–70°S. The actual vertical profile of aerosol opacity could
1010 be different from the one parameterized in eq. 1 and/or be different between
1011 60°N and 60°S. In particular, it is important to note that Zhang et al. (2013b)
1012 only constrained the shape of the vertical aerosol profile between 25°N and
1013 75°S. For latitudes poleward of 25°N, they assumed a similar vertical shape
1014 than that at the corresponding southern latitude. Given the large sensitivity
1015 of the temperature to this polar haze, it is crucial that future observational
1016 studies better characterize its vertical profile in the northern hemisphere as
1017 well. On the other hand, we also note that CIRS and TEXES measurements
1018 have a rather low sensitivity to the temperature in the 20 to 60 mbar range,
1019 so that it is possible that part of the observation-model mismatch at 25 mbar
1020 is also due to a larger uncertainty in the observations at this level.

1021 At 10 and 25 mbar, both observations and model predict a sharp decrease
1022 in temperature between 65° latitude and the poles. The temperature drop
1023 in our model is sharper than the observed one, but this is not surprising:
1024 indeed, our model predicts a marked drop due to the sharp decrease of net
1025 heating rates at high latitudes. However, it is expected that such a strong
1026 temperature gradient would cause dynamical activity (e.g. thermally-direct
1027 circulations, baroclinic instability, ...) that would act to counteract this
1028 gradient. The study of the associated stratospheric circulation and/or mixing
1029 processes is left to a future study.

1030 At lower pressure levels ($p < 3$ mbar), we note that our predicted tem-
1031 peratures are almost systematically underestimated by ~ 5 K compared to
1032 TEXES and CIRS observations, regardless of the chosen polar haze scenario.
1033 This is well visible in Fig. 15 on the globally-averaged temperature profiles.
1034 This suggests that either a radiative ingredient is missing or not well esti-
1035 mated in our model, or that the temperature is governed by other processes,
1036 such as dynamical heating by gravity wave breaking or by eddies. The same
1037 conclusion was reached by Zhang et al. (2013a), who discussed these two
1038 hypothesis but did not favor one or the other. In a sequel paper, Zhang et al.
1039 (2015) find that the atmosphere at pressures < 3 mbar seems to depart from
1040 radiative balance (with an excess of radiative cooling at global scale), but
1041 the authors emphasize that cooling and heating rate profiles still agree with
1042 each other within error bars. It is indeed plausible to reconcile the observed
1043 temperature profile (within their ~ 2 K error bars) with our calculated equi-
1044 librium temperatures, should the amount of ethane and acetylene be reduced
1045 by $\sim 30\%$ (see sensitivity studies in section 2.4). The typical $1-\sigma$ error bar on
1046 the retrieved abundance of these hydrocarbons is on the order of 20% (Nixon
1047 et al., 2010), which makes this scenario plausible, but at the cost of a greater
1048 uncertainty on ethane and acetylene mixing ratios than previously thought.
1049 Hence, this topic is still an open question.

1050 At 0.4 mbar, we note that TEXES and CIRS observations exhibit impor-
1051 tant temporal variability at high latitudes (poleward of 55°). The observed
1052 temperature also increases between 60 and 78° latitude in both hemispheres,

1053 which is at odds with our simple radiative-convective model. Clearly, other
1054 processes must control the temperature at these altitudes. One hypothesis is
1055 that the temperature may be influenced by the precipitation of high-energy
1056 particles that could warm the atmosphere at high latitudes through Joule
1057 heating (Sinclair et al., 2017).

1058 We can also comment on the comparison with Sinclair et al. (2017) who
1059 analyzed TEXES and CIRS data specifically focusing on the polar regions.
1060 They highlighted a strong local temperature maximum at 1 mbar in Jupiter's
1061 auroral oval, which was hypothetically attributed to either Joule heating or
1062 absorption by aerosols. In our radiative-convective simulations, the temper-
1063 ature maximum associated to aerosol absorption is obtained at 10–20 mbar
1064 (and not 1 mbar), where the peak concentration of polar haze is parametrized
1065 in our model. However, our simulated conditions are not that of the auroral
1066 oval itself: Sinclair et al. (2017) interpretation of strong aerosol heating at
1067 1 mbar can hold if there is a local maximum of aerosol absorption at this
1068 level in the auroral oval, which remains to be assessed.

1069 This comparison work with state-of-the-art observations shows that our
1070 radiative-convective equilibrium model with polar haze scenario #2 repro-
1071 duces well, to first order, the observed temperature in the upper troposphere
1072 and lower stratosphere ($p > 5$ mbar), except in the equatorial region (where
1073 there is well-known dynamical activity). Other processes might be at play in
1074 controlling the temperature in the middle and upper stratosphere, and in the
1075 troposphere (belt/zonal activity), but the reason behind the systematic ~ 5 K

1076 cold bias at low latitudes in the upper stratosphere is still largely unknown.
1077 These results are consistent with the work by Zhang et al. (2015), who found
1078 that the lower and mid stratosphere was near radiative equilibrium should
1079 a polar haze be included, similar to our haze scenario #2. Using polar haze
1080 scenario #1 yields too cold temperatures at high latitudes, especially near
1081 10 mbar, while scenario #3 results in systematically too warm temperatures
1082 at high latitudes in the 5–30 mbar pressure range. The impact of assuming
1083 different haze scenario is also well visible at global scale (see Fig. 15). How-
1084 ever, we caution that the objective of this comparison work is not to "fine
1085 tune" the haze properties until a match with observations is found, as a local
1086 radiative imbalance could trigger some atmospheric circulation in the actual
1087 atmosphere, modifying in turn the temperature. Residual-mean circulations
1088 induced by such radiative imbalance are estimated in the following section.
1089 In summary, while the extreme scenario 1 and 3 seem unlikely (given the
1090 magnitude of the observation-model mismatch and their systematic nature),
1091 our choosing scenario #2 does not rule out other possible combinations of
1092 haze properties. The best way forward is to 1) better characterize the polar
1093 haze in Jupiter's stratosphere while 2) exploring the stratospheric dynamics
1094 with the help of a global circulation model for different haze scenarios, and
1095 confront these future model results back to the observed temperatures.

1096 4 Residual-mean stratospheric circulations

1097 In this section, we exploit the computed heating and cooling rates to estimate
1098 the residual-mean circulation in Jupiter's stratosphere. We will in particular
1099 explore the impact of assuming different polar haze properties on the residual-
1100 mean stratospheric circulation.

1101 4.1 Background

1102 Stratospheric circulations are driven by a combination of diabatic and me-
1103 chanical (eddy-induced) forcings, resulting in an interplay of transport pro-
1104 cesses: advection and mixing. In the Earth stratosphere, it has been shown
1105 that the Transformed Eulerian Mean (or residual-mean) circulation is a
1106 good approximation to the Lagrangian mean circulation (relevant to tracer
1107 transport) in regions where wave breaking and dissipation is relatively weak
1108 (Dunkerton, 1978; Butchart, 2014). As we describe in what follows, the
1109 residual-mean circulation can be approximately estimated from the knowl-
1110 edge of atmospheric net heating rates and temperatures. Hereafter we follow
1111 this approach to diagnose the zonally-averaged mass circulation in Jupiter's
1112 stratosphere, for annually-averaged conditions.

1113 The complete equations for the zonally-averaged stratospheric circula-
1114 tion are provided by the Transformed Eulerian-mean formulation, with the
1115 respectively residual-mean meridional and vertical components of the circu-
1116 lation (v^*, w^*) defined as a combination of a zonal-mean and eddy-induced

1117 terms (Andrews et al., 1987):

$$v^* = \bar{v} - \frac{1}{\rho_0} \frac{\partial}{\partial z} \left(\frac{\rho_0 \overline{v'\theta'}}{\partial\bar{\theta}/\partial z} \right) \quad (4)$$

1118

$$w^* = \bar{w} + \frac{1}{a \cos \phi} \frac{\partial}{\partial \phi} \left(\frac{\cos \phi \overline{v'\theta'}}{\partial\bar{\theta}/\partial z} \right) \quad (5)$$

1119 where overlines denote zonal averages, primes departures from the zonal mean
 1120 (eddies), θ potential temperature, ρ_0 density, a planetary radius, ϕ latitude,
 1121 z altitude. The associated streamfunction Ψ describing the circulation is
 1122 defined by

$$(v^*, w^*) = \frac{1}{\rho_0 \cos \phi} \left(-\frac{\partial \Psi}{\partial z}, \frac{1}{a} \frac{\partial \Psi}{\partial \phi} \right) \quad (6)$$

1123 The two components (v^*, w^*) of the residual-mean circulation follow a mass-
 1124 conservation equation

$$\frac{1}{a \cos \phi} \frac{\partial (\cos \phi v^*)}{\partial \phi} + \frac{1}{\rho_0} \frac{\partial (\rho_0 w^*)}{\partial z} = 0 \quad (7)$$

1125 and an energy-conservation (thermodynamic) equation

$$\frac{\partial \bar{\theta}}{\partial t} + \frac{v^*}{a} \frac{\partial \bar{\theta}}{\partial \phi} + w^* \frac{\partial \bar{\theta}}{\partial z} = \mathcal{Q} + \mathcal{E} \quad (8)$$

1126 in which \mathcal{Q} is the net radiative heating rate and \mathcal{E} is the heating rate related
 1127 to eddies forcing the mean flow

$$\mathcal{E} = -\frac{1}{\rho_0} \frac{\partial}{\partial z} \left[\rho_0 \left(\frac{\overline{v'\theta'}}{a} \frac{\partial \bar{\theta}}{\partial \phi} + \overline{w'\theta'} \right) \right] \quad (9)$$

1128 For quasi-geostrophic large-scale flows in non-acceleration conditions, the
 1129 eddy-related term \mathcal{E} can be neglected. Under this approximation, the residual-
 1130 mean circulation is similar to the so-called diabatic circulation also used on
 1131 Earth to diagnose the Brewer-Dobson circulation (Butchart, 2014). Addi-
 1132 tionally, considering atmospheric state and circulation averaged over a year,
 1133 the temporal term in eq. 8 can also be neglected, which entails

$$\frac{v^*}{a} \frac{\partial \bar{\theta}}{\partial \phi} + w^* \frac{\partial \bar{\theta}}{\partial z} \simeq \mathcal{Q} \quad (10)$$

1134 It is important to note here that neither the seasonal variations of temper-
 1135 ature nor the impact of eddies are negligible in Jupiter's stratosphere; yet
 1136 the approximations are reasonable in a context where we seek the average
 1137 meridional and vertical transport experienced by the long-lifetime chemical
 1138 species in Jupiter's stratosphere.

1139 4.2 Method

1140 Equations 7 and 10 are solved to obtain the residual-mean circulation (v^*, w^*)
 1141 under the approximations $\mathcal{E} \simeq 0$ (we neglect the eddy heat flux convergence
 1142 term) and $\partial \bar{\theta} / \partial t \simeq 0$ (we neglect seasonal variations). In equation 10, the
 1143 temperature profiles $\bar{\theta}(z)$ are an averaged of Cassini/CIRS and IRTF/TEXES
 1144 observations analyzed by Fletcher et al. (2017). The choice of averaging these
 1145 two data sets is motivated by the will to smooth out a bit the large amplitude
 1146 of the QQQ signal and to get a better representation of a seasonally aver-

1147 aged temperature at $L_s \sim 140^\circ$. We compute the net radiative heating rates \mathcal{Q}
 1148 by running our seasonal radiative model for just one time step, starting at
 1149 $L_s = 140^\circ$ and with a temperature field corresponding to the observed tem-
 1150 peratures interpolated on our model grid. We repeat this work for the three
 1151 polar haze scenario described in section 2.6 to test the sensitivity of the
 1152 diabatic circulation to these different radiative forcings.

1153 To solve equations 7 and 10, we use the iterative method described in
 1154 Solomon et al. (1986) :

- 1155 1. At the initial iteration $i = 0$, the meridional component $v_{i=0}^*$ is set
 1156 to zero in equation 10, and we simply solve for the vertical compo-
 1157 nent $w_{i=1}^*$ given the vertical gradient of potential temperature (as if
 1158 simply computing adiabatic warming/cooling by subsiding/ascending
 1159 motions equilibrating the radiative heating rate).
- 1160 2. The vertical component $w_{i=1}^*$ is used to obtain the streamfunction $\Psi_{i=1}$
 1161 by integrating equation 6 (using a Simpson integration method)

$$\Psi_{i=1} = \int_{-\frac{\pi}{2}}^{\phi} (w_{i=1}^* + \epsilon) \cos \phi \, a \, d\phi \quad (11)$$

1162 where

$$\epsilon = \left(\int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} \cos \phi \, d\phi \right)^{-1} \int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} w_{i=1}^* \cos \phi \, d\phi \quad (12)$$

1163 is a corrective term (usually a couple percent at best) designed to ensure
 1164 that the streamfunction Ψ_i vanishes at the north pole.

- 1165 3. The meridional component $v_{i=1}^*$ is obtained from the streamfunction $\Psi_{i=1}$
 1166 by using equation 6 (vertical derivative of $\Psi_{i=1}$). Using the stream-
 1167 function $\Psi_{i=1}$ to compute $v_{i=1}^*$ from $w_{i=1}^*$ is equivalent to solving the
 1168 mass-conserving equation 7.
- 1169 4. The meridional component $v_{i=1}^*$ is injected in equation 10 to obtain
 1170 the vertical component $w_{i=2}^*$ at the next iteration, then the process is
 1171 looped back to step 2 for $i = 2$.

1172 This iterative procedure converges quickly: iterations $i > 3$ yield a change
 1173 from (v_i^*, w_i^*) to (v_{i+1}^*, w_{i+1}^*) of about 1 %. We stopped the computations
 1174 at the tenth iteration in which the increment from the previous step is only
 1175 0.01 %. Our algorithm was checked upon a well-constrained analytical ex-
 1176 ample.

1177 4.3 Results and comparison to previous studies

1178 Hereafter, we mostly describe the results obtained with the most favorable
 1179 (according to section 3) polar haze scenario #2. The resulting streamlines of
 1180 the residual circulation, for the stratosphere only, are displayed in Figure 17
 1181 and the corresponding vertical and meridional wind speeds are shown in Fig-
 1182 ure 18. For reference, the pressure-latitude cross section of the net radiative
 1183 heating rates \mathcal{Q} used to derive this circulation is shown in Figure 19.

1184 Overall, many small circulation cells are present, due to the fact that there
 1185 are many local extrema in the spatial distribution of net heating rates \mathcal{Q} .

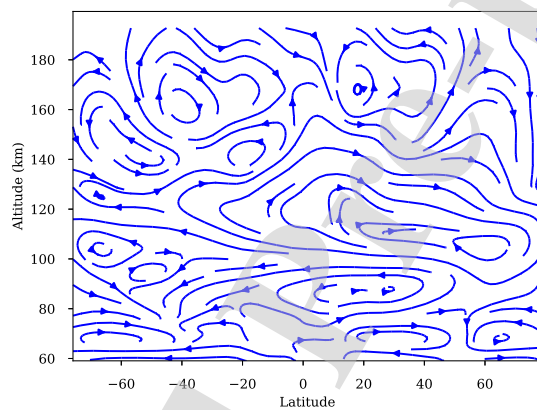


Figure 17: Streamlines computed from eq. 11, using the polar haze scenario #2 and the averaged temperature derived from Cassini/CIRS and TEXES. The altitude is computed with the convention $z=0$ km at the 1-bar level. For reference, the bottom of the figure, at 50 km, corresponds to the lower stratosphere (~ 50 mbar), while the 1 mbar level lies at ~ 135 km. For the sake of clarity, the vertical component has been multiplied by 900 in this figure since the horizontal scale from one pole to the other, in km, is ~ 900 times the vertical extent considered here (140 km).

1186 Nevertheless, two prominent large-scale circulation cells can be noted. In the
1187 lower stratosphere (10 to 30 mbar, or $\sim 80\text{--}110$ km altitude), the residual-
1188 mean circulation is characterized by upwelling at $50\text{--}60^\circ\text{N}$ and cross-equatorial
1189 flow from northern high latitudes to southern mid latitudes. The vertical
1190 wind speed at 50°N reaches $0.12\text{ mm}\cdot\text{s}^{-1}$ at the 20-mbar level and the merid-
1191 ional wind speed is of the order of $0.10\text{--}0.15\text{ m}\cdot\text{s}^{-1}$. This cell is forced by
1192 the net positive heating rate centered at 50°N at a pressure level of 20 mbar.
1193 Indeed, as discussed in section 3.4, the expected equilibrium temperature at
1194 this location is much warmer than that observed by CIRS and TEXES. Sub-
1195 sequently, when using the observed temperatures to diagnose the circulation,
1196 an upwelling is needed to balance the "too cold" observed temperature. In
1197 other words, the net radiative heating is compensated by a diabatic cooling.
1198 Should the polar haze scenario #1 be used (characterized by net negative
1199 heating rate near $50\text{--}70^\circ\text{N}$, 3–20 mbar), the circulation would reverse, with a
1200 strong downwelling occurring at high latitudes near 5–10 mbar and equator-
1201 to-pole meridional wind centered at 5 mbar, in both hemispheres. The sen-
1202 sitivity of the diabatic circulation to the polar haze scenario is illustrated in
1203 Figure 20, which shows the vertical wind w^* at the 10-mbar pressure level
1204 for each of the three haze scenario. This illustrates well that accurate knowl-
1205 edge of radiative forcings is crucial to employ this method to understand the
1206 jovian stratospheric circulation. By extension, this knowledge will also be
1207 crucial for future GCM simulations.

1208 In the middle stratosphere ($p < 3$ mbar or $z > 120$ km), the circulation

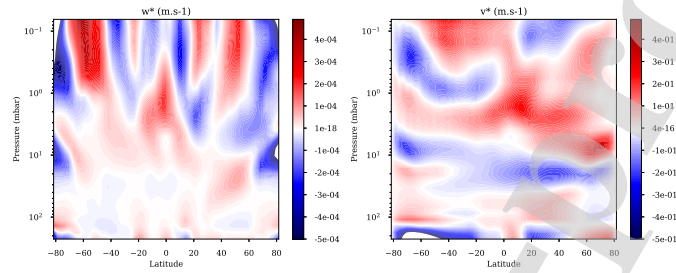


Figure 18: Pressure-latitude cross-section of the vertical (left) and meridional (right) components of the residual-mean circulation, in m.s^{-1} , estimated using the polar haze scenario #2 and temperatures derived from Cassini/CIRS and TEXES.

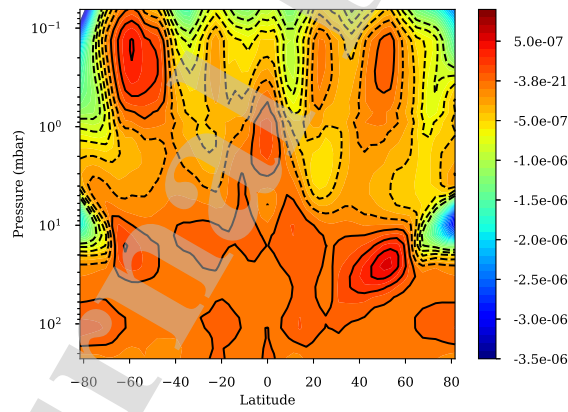


Figure 19: Pressure-latitude cross-section of the net heating rates in Jupiter's stratosphere, in K.s^{-1} , estimated using the polar haze scenario #2 and temperatures derived from Cassini/CIRS and TEXES.

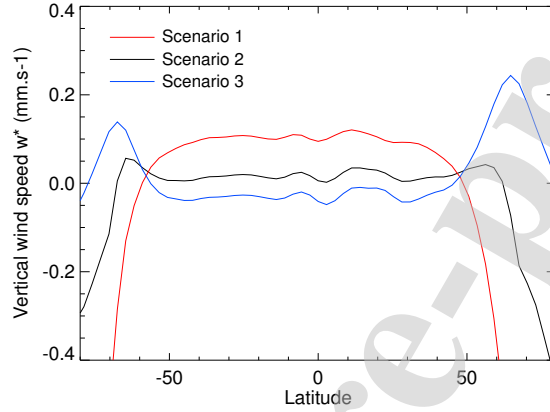


Figure 20: Vertical component of the residual-mean circulation at the 20-mbar pressure level, in mm.s^{-1} , estimated using the polar haze scenario #1, 2 or 3, as labeled.

1209 does not depend on the chosen polar haze scenario. The diabatic circulation
 1210 there is dominated by upwelling in the $20^{\circ}\text{S}-0^{\circ}$ region with cross-equatorial
 1211 meridional flow and subsidence poleward of 50°N . Vertical wind speed at the
 1212 equator reaches 0.25 mm.s^{-1} at the 2-mbar pressure level while the meridional
 1213 wind speed in the northern hemisphere is of the order of $0.2-0.3 \text{ m.s}^{-1}$. The
 1214 significant upwelling motion near the equator and 1 mbar is clearly associ-
 1215 ated with the thermal structure of the Quasi-Quadriennial Oscillation (QO)
 1216 and its particular phase at the time of Cassini/CIRS observations in 2000
 1217 (see figure 16). Averaging CIRS temperature profiles with the ones retrieved
 1218 from TEXES smooths out partially this feature, but not entirely. The ob-
 1219 served temperature field exhibits a local minimum near 1 mbar (figure 14),

1220 which translates into upwelling (hence, diabatic cooling) when diagnosing
1221 the circulation. Given the periodic nature of the QQO signal, this feature is
1222 unlikely to be a part of the annually-averaged meridional circulation.

1223 The fact that the dominant meridional motion is from equator to high
1224 northern latitudes, with stronger downwelling at high northern than at high
1225 southern latitudes, stems from a hemispheric asymmetry in the net heating
1226 rates. This asymmetry itself results from an asymmetry in the observed
1227 temperature at high latitudes (see figure 14). However, it is unclear whether
1228 this asymmetry reflects an overall seasonal effect or more transient conditions.
1229 In addition, observed departures from the expected equilibrium temperature
1230 in polar regions might be caused by processes (Joule heating, etc) other
1231 than the dynamical motions that are diagnosed with this method. Another
1232 potential issue is related to the systematic underestimation, by our radiative
1233 equilibrium model, of the observed temperature by $\sim 5\text{K}$ at $p < 3$ mbar, as
1234 discussed in section 3.4. Energy transfer by eddies could be important at
1235 these pressure levels and is one possible explanation of this mismatch. If that
1236 is the case, then neglecting \mathcal{E} in equation 8 is not valid and using the observed
1237 (warmer) temperatures for computing the cooling rates while neglecting \mathcal{E}
1238 when estimating the streamfunction could lead to erroneous results. Hence,
1239 this is another example of the limitations of this diabatic circulation method,
1240 which requires a high degree of confidence on both the observed temperature
1241 field and its drivers.

1242 Previous estimates of the residual-mean circulation in Jupiter's atmo-

1243 sphere were obtained by West et al. (1992) and Moreno and Sedano (1997)
1244 using a similar formalism than ours, albeit based on the temperature field
1245 derived by Voyager/IRIS to compute the cooling rates. These temperature
1246 profiles had a low vertical resolution in the stratosphere and were provided
1247 at only two pressure levels: 77 mbar and 1 mbar. For intermediate pressure
1248 levels, West et al. (1992) and Moreno and Sedano (1997) had to rely on inter-
1249 polation. Hence, one strong limitation to their study is that the observational
1250 constraint they used (the Voyager/IRIS temperature fields) did not capture
1251 the polar haze region, mainly located near 10–30 mbar. West et al. (1992)
1252 noticed that using this temperature field resulted in an imbalance between
1253 cooling and heating rates at global scale, with residual net radiative heat-
1254 ing at the 10-mbar pressure level. While the authors did include the effect
1255 of absorption of UV and visible light by a stratospheric polar haze for the
1256 computation of solar heating rates, they most probably underestimated the
1257 cooling rates due to a lack of detailed knowledge of the thermal structure.

1258 To mitigate this issue, West et al. (1992) scaled either the solar heat-
1259 ing rate, or the infrared cooling rate, by a factor that depended on altitude
1260 only. Moreno and Sedano (1997) noted a similar issue and chose to scale the
1261 temperature profile with a factor that depended on height but not with lat-
1262 itude, until radiative balance was achieved at global scale. We can question
1263 these choices, as the resulting cooling rate would most certainly be miss-
1264 ing a latitudinal-varying term (linked to the meridional distribution of the
1265 stratospheric haze and its impact on the thermal structure). In addition,

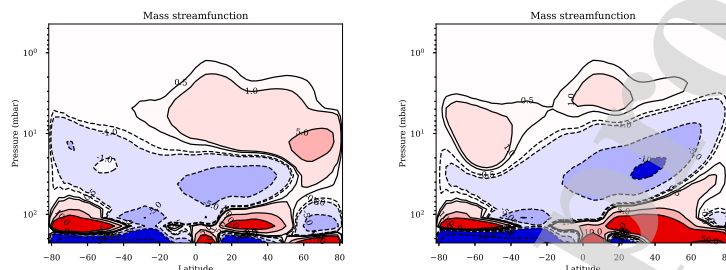


Figure 21: Mass streamfunction, in units of $\text{kg.m}^{-1}.\text{s}^{-1}$, computed from eq. 11 using either the polar haze scenario #2 (left panel) or scenario #3 (right panel). Results are shown up to 0.3 mbar to facilitate comparison with previous work by West et al. (1992) (note that the latter used different units, in $\text{g.m}^{-1}.\text{s}^{-1}$, so that a factor of 10 exists between the numerical values in this figure and fig. 7c of West et al. (1992)).

1266 neither study included the contribution from the polar haze when computing
 1267 the cooling rates; they only took into account gaseous contributions, which is
 1268 now known to be largely inaccurate (Zhang et al., 2015). Hence, for these rea-
 1269 sons, the circulations derived by West et al. (1992) and Moreno and Sedano
 1270 (1997) were probably flawed by these shortcomings.

1271 Nevertheless, there are similarities with the circulation derived in this
 1272 paper. The diabatic circulation estimated by West et al. (1992) is character-
 1273 ized by two cells with upwelling branches centered at 60°N and 70°S and at a
 1274 pressure level of 3–10 mbar, driven by significant net radiative heating rates
 1275 at these locations. Maximum vertical wind speeds of the order of 10^{-4} m.s^{-1}
 1276 (shown in Fig. 4 of Friedson et al. (1999)) are reached near 5 mbar. The
 1277 dominant meridional flow in West et al. (1992) is from high latitudes to low

1278 latitudes in the 3–30 mbar range, with subsidence occurring over a broad
1279 tropical region. In our derived circulation with the reference scenario #2, an
1280 upwelling branch with similar vertical wind speed is present in the northern
1281 hemisphere, although located slightly deeper, near the 20-mbar level. Its
1282 counterpart in the southern hemisphere is much weaker. This difference
1283 with West et al. (1992) likely results from differences in the vertical distri-
1284 bution of haze and/or in the vertical temperature profile, as argued above.
1285 However, considering the polar haze scenario #3 (with more absorbant haze),
1286 upwelling branches are present in both hemispheres, similarly to that derived
1287 by West et al. (1992). To illustrate this effect and facilitate the comparison
1288 with previously published work, we show in Figure 21 the mass streamfunc-
1289 tions derived when assuming either scenario #2 or #3 that can be compared
1290 with Fig. 7(c) of West et al. (1992). The mass streamfunction obtained with
1291 scenario #3 is actually very similar to that derived by West et al. (1992), both
1292 qualitatively and quantitatively. This is not surprising: as discussed above,
1293 we suspect that West et al. (1992) analysis underestimated locally the actual
1294 cooling rates at the location where the polar haze warms the atmosphere.
1295 This resulted in large net heating rates at 60–70°S. Our scenario #3 exhibits
1296 large net heating rates as well, although for other reasons (due to greater
1297 absorption by the polar haze). This explains the similarity in the circula-
1298 tion derived in both these studies. This represents another illustration of the
1299 sensitivity of the results to the assumed haze properties and to the observed
1300 temperature field used to compute cooling rates.

1301 Finally, we can compare our derived residual vertical wind speeds with
1302 that of the eddy diffusion coefficient K_{zz} taken from models A, B and C
1303 of Moses et al. (2005). In the range 1 to 30 mbar, estimates of K_{zz} are of
1304 the order of 2×10^3 to 10^4 $\text{cm}^2 \cdot \text{s}^{-1}$. An order of magnitude of the vertical
1305 velocity induced by eddy motions can be obtained by dividing K_{zz} by the
1306 atmospheric scale height (25 km). This results in vertical wind speeds linked
1307 with eddy diffusivity of 1 to 4×10^{-5} $\text{m} \cdot \text{s}^{-1}$, *ie.* just half the typical values
1308 of w^* obtained in our study.

1309 4.4 Implications for the transport of trace species

1310 As discussed above, the circulation derived by our study – even though us-
1311 ing more detailed temperature fields and state-of-the-art opacities – suffers
1312 from significant uncertainties as well. Given this uncertainty, we did not at-
1313 tempt to evaluate the impact of this circulation on the distribution of trace
1314 species. However, we can comment on several existing studies, based on
1315 the lessons learned from this exercise. For instance, Hue et al. (2018) study
1316 the distribution of ethane and acetylene, the main by-products of methane
1317 photochemistry, with the goal of explaining the observed increase in ethane
1318 towards high latitudes, while acetylene is decreasing. The authors combine
1319 a photochemical model with a simple parametrization of transport (both ad-
1320 vection and diffusion). In doing so, they test several Hadley-like circulation
1321 cells with upwelling at the equator and subsidence at both poles. The authors
1322 fail to explain the opposed distributions of acetylene and ethane. Our study

1323 suggests that assuming such circulation cells is not appropriate in the lower
1324 stratosphere, where these puzzling hydrocarbon distributions are observed.
1325 More complex circulation patterns, such as those shown in Figure 21, are
1326 probably at play and need to be further understood.

1327 Another puzzle is related to CO_2 and HCN, products of the SL-9 im-
1328 pact that occurred at 44°S , which display opposite trends several years after
1329 the impact (CO_2 being maximum at the south pole while HCN is found
1330 well-mixed from mid-southern to mid-northern latitudes). One hypothesis
1331 proposed by Lellouch et al. (2006) is that HCN and CO_2 were deposited at
1332 different altitudes and were transported by different wind regimes. If we as-
1333 sume that the diabatic circulation derived from the polar haze scenario #2 is
1334 realistic, then this scenario implies that HCN was deposited near 0.5–5 mbar,
1335 to be transported equatorward, and CO_2 was deposited at pressures either
1336 lower than 0.5 mbar or around 10 mbar to be transported poleward (see
1337 Figure 18). Such scenario need to be tested in the future with chemistry-
1338 transport models.

1339 5 Conclusions

1340 We have developed a radiative-convective equilibrium model for Jupiter's
1341 troposphere and stratosphere that includes parametrizations of several cloud
1342 and haze layers. As for its Saturn counterpart (Guerlet et al., 2014), this
1343 model is computationally efficient and aims at being coupled with a dynam-

1344 ical core of a General Circulation Model (as was recently done in the Saturn
1345 case, see Spiga et al., 2020). We take into account the radiative contribution
1346 of :

- 1347 • CH_4 , C_2H_6 , C_2H_2 and NH_3 for radiatively active species along with
1348 collision-induced absorption from $\text{H}_2\text{-H}_2$, $\text{H}_2\text{-He}$;
- 1349 • A rather compact ammonia cloud located at 840 mbar comprising 10-
1350 μm particles, with a visible integrated opacity of 10.
- 1351 • A tropospheric haze layer extending between 660 and 180 mbar com-
1352 posed of 0.5 μm particles with near "grey" optical constants and an
1353 integrated opacity of 4.
- 1354 • A stratospheric haze layer made of fractal aggregates (typically, 1000
1355 monomers of 10-nm each, fractal dimension of 2) supposedly linked with
1356 precipitation of high-energy particles at high latitudes. Their opacity
1357 is maximum near the 20-mbar level and at latitudes poleward of 30°N
1358 and 45°S.

1359 The gaseous abundance profiles as well as tropospheric cloud and haze
1360 layer properties are fixed in latitude and time in the current version of our
1361 model, but we have studied the sensitivity to varying those parameters. For
1362 instance, varying the abundance of hydrocarbons with latitude in similar
1363 proportions than the observed ones (i.e. a poleward enhancement in ethane
1364 by a factor of two, while acetylene is reduced by 50%) lead to temperature

1365 changes of at most 4 K in the 1 to 0.1-mbar level. Increasing the cloud
1366 opacity by a factor of two yields a temperature increase of 3 K in the up-
1367 per troposphere. These changes are rather small and our main conclusions
1368 are not hampered by these simplistic assumptions. The inclusion of pho-
1369 tochemistry (that would compute realistic hydrocarbon variations) or cloud
1370 microphysics (that would simulate spatio-temporal evolution of cloud forma-
1371 tion on Jupiter's atmosphere) is devoted to a future study.

1372 We confirm that the stratospheric polar aerosols have an important role
1373 in the radiative budget of Jupiter's stratosphere, yet with a significant un-
1374 certainty regarding its magnitude. Their net impact at latitudes 45–60° is
1375 maximum in the range 5–30 mbar and depends on their assumed proper-
1376 ties (refractive index, size and number of monomers). A large contribution
1377 of aerosols to the heating rates was already demonstrated by Zhang et al.
1378 (2015) (and before that by West et al., 1992), but it is the first time that the
1379 impact of aerosols on stratospheric temperatures is studied. We tested the
1380 response of the atmosphere (in terms of radiative equilibrium temperatures)
1381 to three different polar haze scenario and find that the reference model of
1382 Zhang et al. (2013b) provides a satisfactory comparison to observations at
1383 the time of the Cassini flyby in 2000 ($L_s=110^\circ$) or to a TEXES observing
1384 run in 2014 ($L_s=176^\circ$). The other two models tend to either systematically
1385 overestimate or underestimate the observed temperature in the 10–30 mbar
1386 range.

1387 We find that the hemispheric asymmetry in stratospheric aerosols opacity

1388 (that is much larger in the northern than in the southern hemisphere) com-
1389 bined with the small obliquity and eccentricity of Jupiter cause the predicted
1390 10-mbar temperature to be systematically warmer at 50°N than at 50°S,
1391 throughout the year. The asymmetry of 8 K in temperature at the 10-mbar
1392 level reported by Fletcher et al. (2016) between 60°N and 60°S is also well
1393 reproduced by our model with polar haze scenario #2. We also find that the
1394 polar haze significantly shortens the radiative timescales, estimated in sec-
1395 tion 3.3 to 100 days, or 3% of a Jupiter year, at the 10-mbar level. Neverthe-
1396 less, significant uncertainties remain regarding the optical properties, sizes,
1397 meridional distribution and temporal variations of this stratospheric polar
1398 haze. This prevents an advanced interpretation of the model-observations
1399 mismatch.

1400 At lower pressures ($p < 3$ mbar), we find that the modeled temperature is
1401 systematically lower than the observed one, by typically 5 K. This is consis-
1402 tent with the previous study of radiative budgets in giant planet atmospheres
1403 by Li et al. (2018a), who find that the cooling rate exceeds the heating rate
1404 in a large part of Jupiter's stratosphere, and with previous studies by Zhang
1405 et al. (2013a) and Kuroda et al. (2014). However, as already noted by Zhang
1406 et al. (2015), radiative equilibrium could be reached considering the uncer-
1407 tainties on heating and cooling rates associated with the uncertainty on the
1408 abundance of hydrocarbons. In our case, warmer equilibrium temperatures
1409 can be reached if we assume that ethane and acetylene are currently over-
1410 estimated by roughly 30%. Other possible explanations are: a missing ra-

1411 diative ingredient ; a mechanical forcing (such as gravity wave breaking or
1412 other eddy terms warming the atmosphere) ; a coupling with thermospheric
1413 or ionospheric circulations, through Joule heating, adiabatic compression or
1414 horizontal advection (e.g. Majeed et al., 2005, although the upper strato-
1415 sphere is marginally covered in their study). These scenario need to be further
1416 evaluated.

1417 In theory, and under the assumption that the eddy heat flux convergence
1418 term is negligible, the knowledge of net radiative heating rates can be then
1419 exploited to estimate the stratospheric residual-mean circulation, in a similar
1420 fashion as West et al. (1992). In the Earth's stratosphere, the residual-mean
1421 circulation represents well, on a seasonal scale, the transport of tracers in
1422 regions where wave breaking and dissipation are weak (Butchart, 2014, and
1423 references therein). This topic is of high interest on Jupiter, as the observed
1424 meridional distribution of photochemical products (ethane and acetylene) or
1425 by-products of comet Shoemaker Levy 9 impact (HCN, CO₂, dust...) is puz-
1426 zling and cannot be explained by simple chemistry-transport models. We
1427 revisited the study by West et al. (1992) and Moreno and Sedano (1997) by
1428 estimating the diabatic circulation based on state-of-the-art knowledge on
1429 opacity sources and observed temperature fields from the Cassini fly-by of
1430 Jupiter in December 2000 and ground-based TEXES observations in 2014.
1431 Our main conclusion is that our current limited knowledge on the differ-
1432 ent radiative forcing terms (in particular regarding the stratospheric haze
1433 properties) and mechanical forcings (related to the magnitude of eddy heat

1434 flux) results in a low-to-moderate confidence in the estimated circulation.
1435 On Earth, both the temperature field and the net radiative heating rates
1436 are known with a much higher degree of confidence, allowing to derive the
1437 main circulation patterns from this method. The lessons learned from trying
1438 to adapt this method to Jupiter are that more investigations are needed re-
1439 garding the characterization of Jupiter's polar haze radiative properties and
1440 other drivers of the meridional circulation. Observations of the polar regions
1441 are challenging from Earth, but feasible. The Juno spacecraft offers unique
1442 views of the poles and could help characterizing the haze in the UV and near
1443 infrared. The future JUICE mission will also include a specific science phase
1444 at relatively high obliquity to get good views of Jupiter's polar regions, from
1445 the UV to $5\mu\text{m}$.

1446 To conclude, we have documented here the building and validation of
1447 a radiative-convective model for Jupiter, discussed the resulting equilibrium
1448 temperature, how it compares with observations and attempted to derive the
1449 residual-mean circulation associated with the computed net heating rates.
1450 In order to go further into understanding Jupiter's atmospheric circulations,
1451 both in the troposphere and stratosphere, current efforts are focused on run-
1452 ning 3D GCM simulations for Jupiter using a hydrodynamical solver coupled
1453 with the radiative seasonal model described herein. This will give insights
1454 into – among other topics – understanding what is the role of eddies in con-
1455 trolling the stratospheric circulations, mixing and thermal structure ; what
1456 governs the distribution of trace species and what are the mechanisms driv-

1457 ing the QO. These topics are also valid for Saturn's atmosphere, which
1458 shares similar open questions regarding its atmospheric circulation but with
1459 different seasonal forcings, opening the way to comparative studies between
1460 these two gas giants.

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1474 **Data availability**

1475 The 3-D (latitude, pressure, time) temperature field for the reference radiative-
1476 convective simulation will be made available, in the form of a NetCDF file
1477 with a doi, on the data service hosted by Institut Pierre Simon Laplace when
1478 the paper will be accepted.

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Highlights :

A seasonal radiative-convective model of Jupiter's atmosphere is presented.
Stratospheric polar haze greatly impact the equilibrium temperatures.
We evaluate the residual-mean stratospheric circulations and discuss caveats.

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