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#### Chemical preservation of tail feathers from Anchiornis huxleyi, a theropod dinosaur from the

#### **Tiaojishan Formation (Upper Jurassic, China)**

- Aude Cincotta<sup>1</sup>, Thanh Thuy Nguyen Tu<sup>2</sup>, Julien L. Colaux<sup>3</sup>, Guy Terwagne<sup>4</sup>, Sylvie Derenne<sup>2</sup>, Pascal Godefroit<sup>5</sup>, Robert Carleer<sup>6</sup>, Christelle Anquetil<sup>2</sup>, and Johan Yans<sup>7</sup>
- <sup>1</sup> School of Biological. Earth and Environmental Sciences, University College Cork, Distillery Fields, North Mall, Cork, Ireland T23 N73K; email: aude.cincotta@ucc.ie
- <sup>2</sup> Sorbonne Université, CNRS, EPHE, PSL, UMR 7619 Metis, 4 place Jussieu, 75252 Paris cedex 05, France
- <sup>3</sup> Synthesis, Irradiation and Analysis of Materials (SIAM), Department of Physics, University of Namur, 61 rue de Bruxelles, 5000 Namur, Belgium.
- <sup>4</sup> Laboratoire d'Analyses par Réactions Nucléaires (LARN), Department of Physics, University of Namur, 61 rue de Bruxelles, 5000 Namur, Belgium.
- <sup>5</sup> Operational Directorate 'Earth and History of Life', Royal Belgian Institute of Natural Sciences, 29 rue Vautier, 1000 Brussels, Belgium,
- <sup>6</sup>Applied and Analytical Chemistry, Institute for Material Research, University of Hasselt, Campus Diepenbeek, Agoralaan Building D, 3590 Diepenbeek.
- <sup>7</sup>Institute of Life, Earth and Environment, University of Namur, 61 rue de Bruxelles, 5000 Namur, Belgium.

28 **Abstract:** A panel of geochemical techniques is used here to investigate the taphonomy of fossil 29 feathers preserved in association with the skeleton of the Jurassic theropod Anchiornis huxleyi. Extant feathers were analysed in parallel to test whether the soft tissues morphologically preserved in the fossil 30 also exhibit a high degree of chemical preservation. Scanning electron microscopy (SEM) and energy 31 dispersive spectroscopy (EDS) indicate that clays and iron oxide pseudomorphs occur in the surrounding 32 sediment and also reveal the preservation of melanosome-like microbodies in the fossil. Carbon gradient 33 along a depth profile and co-occurrence of carbon and sulphur are shown in the fossil by elastic 34 backscattering (EBS) and particle-induced X-ray emission (PIXE), which are promising techniques for 35 36 the elemental analysis of fossil soft tissues. The molecular composition of modern and fossil soft tissues was assessed from micro-Attenuated Total Reflectance Fourier Transform Infrared spectroscopy (micro-37 ATR FTIR), solid-state <sup>13</sup>C nuclear magnetic resonance (<sup>13</sup>C CP-MAS NMR) and pyrolysis- gas 38 chromatography-mass spectrometry in the presence of TMAH (TMAH-Py-GC-MS). Results indicate 39 that the proteinaceous material that comprises the modern feathers is not present in the fossil feathers. 40 The latter and the embedding sediment exhibit a highly aliphatic character. However, substantial 41 42 differences exist between these samples, revealing that the organic matter of the fossil feathers is, at least partially, derived from original constituents of the feathers. Our results suggest that, despite the 43 44 morphological preservation of Anchiornis feathers, original proteins, i.e. keratin, were probably not preserved in the 160-Ma-old feathers. 45

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6 Key words: *Anchiornis*; fossil feathers; taphonomy; soft tissue preservation; dinosaur.

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#### 49 INTRODUCTION

50 Preservation of soft-bodied animals – with non-mineralized tissues – is relatively rare, when considering the whole geological record. Soft parts of organisms are usually lost during the diverse degradation 51 processes occurring during fossilization. Their constitutive labile organic compounds are usually too 52 53 fragile to be preserved, compared to the 'hard' – biomineralized – parts, which are generally better 54 preserved. However, some important fossil-bearing sites yield not only exquisitely preserved skeletons but also remains of soft tissues, such as skin, scales, hair or feathers (e.g. Allison and Briggs 1993; Zhu 55 et al. 2005; Pan et al. 2013). Feathers, the epidermal appendages that form the external covering of 56 modern birds, have been discovered preserved in close association with fossils of theropod dinosaurs in 57 58 Konservat-Lagerstätten – localities that are characterized by the unusual quality of the fossils – from the 59 Upper Jurassic and Lower Cretaceous of China (Hu et al. 2009; Xu et al. 2012; Xu et al. 1999; Xu et al. 2009; Chu et al. 2016; Godefroit et al. 2013) and Germany (Rauhut et al. 2012). During the last twenty 60 years, Liaoning Province, in north-eastern China, has yielded well-preserved vertebrate fossils with soft 61 parts (e.g. Benton et al. 2008; Kellner et al. 2010; Li et al. 2012). The most striking discoveries were 62 exquisitely well-preserved feathered theropod dinosaurs, evidencing their relationship with modern 63 birds. Since the discovery of the Early Cretaceous Sinosauropteryx prima in 1996 (Ji and Ji 1996), many 64 other feathered specimens have been found (Hu et al. 2009; Li et al. 2012; Xu et al. 2012; Xu et al. 65 2015). In the same way, the discovery of one of the most primitive birds, Archaeopteryx lithographica, 66 67 associated with well-preserved feathers, constitutes a gigantic step in the comprehension of bird – and feather – evolution (Christiansen and Bonde 2004). Interestingly, elongate filaments interpreted as 68 69 primitive feathers were observed in ornithischian – non-theropod – dinosaurs (Mayr et al. 2002; Zheng 70 et al. 2009). Recently, both 'feather-like' structures and scales were discovered together with remains of

the middle Jurassic neornithischian *Kulindadromeus zabaikalicus* collected in volcanoclastic deposits from Siberia (Godefroit *et al.* 2014; Cincotta *et al.* 2019; Godefroit *et al.* 2020). Recently, a small theropod dinosaur, the scansoriopterygid *Ambopteryx longibrachium* (Wang et al. 2019) from the Upper Jurassic of China, was described with membranous wings instead of feathered ones. This wing configuration was probably lost during evolution in favour of the feathered wing configuration that occurs in modern birds.

In a recent study, Zhao *et al.* (2020) observed the structure of experimentally matured feathers and reported the fusion of barbules in the matured feathers. This result has implications in terms of feather taphonomy and evolution, for the absence of barbules in fossil feathers could be due to their fusion during diagenesis instead of their true absence in the specimen. This is unfortunate that no chemical analyses have been performed to better understand how maturation affects the preservation of biomolecules in feathers.

Fossil feathers show a wide range of preservation degrees (e.g. Schweitzer 2011; Xing *et al.* 2016). The 83 84 study of these diversely preserved structures is crucial for a better understanding of the taphonomic processes leading to their preservation. In most cases, feathers and other types of preserved soft-tissues 85 were deposited in calm, low-energy environments (e.g. Kellner and de Almeida Campos 2002). They are 86 found in diverse environmental settings such as shallow-marine (e.g. Martill and Heimhofer 2007; 87 88 Barthel 1964; Heimhofer and Martill 2007), lacustrine (e.g. Harms 2002; Sullivan et al. 2014; Zhou and Wang 2010), or terrestrial (Manning et al. 2013). Different modes of preservation occur for ancient soft 89 tissues: carbonaceous films (e.g. Li et al. 2010; Lindgren et al. 2015), phosphate (Allison and Briggs 90 1993; Briggs et al. 1993), pyrite (Briggs et al. 1991; Farrell et al. 2013; Leng and Yang 2003), clay 91

minerals (Gabbott *et al.* 2001; Martin *et al.* 2004), aluminosilicates (Butterfield *et al.* 2007), or a
combination of these (Wilby *et al.* 1996).

Feathers are epidermal appendages mainly composed of keratin (Lucas & Stettenheim 1972), which is 94 present as two secondary structures, alpha-helixes and beta-sheets, corresponding to alpha- and beta-95 keratin, respectively (e.g. Fraser and MacRae 2012). Alpha-keratin plays a hydrophobic role in avoiding 96 water loss, whereas beta-keratin increases skin hardness (Fraser and Parry 1996; Gregg and Rogers 97 1986). According to Lucas and Stettenheim (1972), the amino acid content of keratin in modern bird 98 feathers is rather homogenous in identical parts of a feather (e.g. in rachis of feathers belonging to the 99 100 same species), although it varies from one species to another. Nonetheless, feather keratin always 101 comprises high amounts of serine, glycine, proline, and lower quantities of valine, leucine, alanine and 102 cysteine (Arai et al. 1983, 1986; Gregg & Rogers 1986; Murphy et al. 1990; O'Donnell and Inglis 1974; 103 Saravanan and Dhurai 2012a; Staroń et al. 2011).

The potential of keratin to resist diagenetic processes is still poorly known. Saitta et al. (2017) 104 105 performed decay and maturation experiments of various keratinous structures, whose conclusions suggest that feather keratin would not survive diagenesis. Although the ultrastructure of feather keratin, 106 i.e. fibrils, can be preserved (e.g. Lindgren *et al.* 2015), there is no direct evidence for the preservation of 107 its proteinaceous compounds. Several immunohistological studies have suggested that keratin could be 108 109 preserved (Schweitzer et al. 1999; Moyer et al. 2016; Pan et al. 2016), although this method remains highly controversial. By contrast, melanin – the natural pigment present in a variety of soft tissues 110 including hair, skin and feathers – is considered to be more resistant to degradation and fossilization 111 processes. Melanin has been unequivocally identified in various types of fossil tissues, such as fish eyes 112

113	(Lindgren et al. 2012), bird feathers (Colleary et al. 2015), non-avian dinosaur feathers (Lindgren et al.
114	2015), mammal hair (Colleary et al. 2015), or frog skin (McNamara et al. 2016).
115	Here, we investigate the ultrastructure and chemical composition of fossil feathers of a theropod
116	dinosaur, Anchiornis huxleyi (YFGP-T5199), collected from Upper Jurassic deposits of the Tiaojishan
117	Formation (Liaoning Province, China). Previous study of the same specimen focused on the
118	identification of pigment remains (eumelanin), and evidenced the preservation of melanosomes in the
119	feathers (Lindgren et al. 2015). We report new and complementary geochemical information about the
120	preservation of macromolecular compounds in the fossil feathers using a range of analytical tools. The
121	surrounding sediment and modern feathers were analysed in parallel to ascribe pristine constituents.
122	Scanning electron microscopy (SEM) and energy dispersive X-ray spectroscopy (EDS) were used to
123	identify and characterize the elemental composition of preserved pigment organelles and the
124	sedimentary matrix. X-ray diffraction (XRD) was used to analyse the mineralogical composition of the
125	samples in an attempt to understand the role of sediment mineralogy in the preservation of soft tissues.
126	Ion beam analysis (IBA), is recognised as a promising archaeometric tool (Jeynes and Colaux 2016) and
127	has recently been successfully applied to human bone analyses (Beck 2014). In this work, Particle-
128	Induced X-ray Emission (PIXE) and Elastic Backscattering Spectrometry (EBS) were used for the first
129	time on fossil soft tissues to get insights into the heavy (PIXE) and light (EBS) in-depth elemental
130	composition of the samples and demonstrate the co-occurrence of sulphur and carbon. This approach is
131	innovative in the study of organic materials. Organic geochemistry techniques, micro-Attenuated Total
132	Reflectance Fourier Transform Infrared Spectroscopy (micro-ATR FTIR), <sup>13</sup> C-Nuclear Magnetic
133	Resonance (NMR), Pyrolysis Gas Chromatography-Mass Spectrometry in the presence of TMAH

134	(TMAH-Py-GC-MS), were applied to characterize the functional groups and other biomolecular
135	components present in the studied samples. To our knowledge, the detailed chemical characterization by
136	<sup>13</sup> C NMR and Py-GC-MS of fossil feathers from a non-avian dinosaur has not been done elsewhere.
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### 139 MATERIAL AND METHODS

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141 Specimen information

The studied specimen, Anchiornis huxleyi (YFGP- T5199) (Fig.1), is a basal Avialan (the description of 142 143 the specimen is available in the Supplementary Information of Lindgren et al. 2015: pp. 18-23) that was 144 collected from the Tiaojishan Formation in the Yaolugou locality (Liaoning Province, China), and 145 belongs to the Yizhou Fossil and Geology Park in Liaoning. The Tiaojishan Formation consists of 146 hundreds of meters of alternating sedimentary and volcanic beds (Liu et al. 2012; Yang et al. 2006; 147 Yuan et al. 2005). Absolute dating on a laterally equivalent formation – the Langi Formation – indicates 148 an age ranging between  $165.0 \pm 1.2$  Ma and  $153.0 \pm 2.0$  Ma (Chang *et al.* 2009; Zhang *et al.* 2008), 149 which spans the Callovian-Kimmeridgian interval (Middle-Late Jurassic; Gradstein et al. 2012). YFGP-150 T5199 is embedded in thinly laminated carbonate sediments, corresponding to alternation of very thin marl and thicker clay laminae. These sediments were deposited in the context of a lake affected by 151 152 episodic volcanic eruptions (Nan et al. 2012). Recent U-Pb radiochronological analyses on zircons from 153 the Jianchang locality indicate that the Yanliao Biota, that includes Anchiornis as well as pterosaurs and

eutherian mammals, is Oxfordian in age (Chu *et al.* 2016). The plumage of the specimen studied herein
is morphologically preserved as dark brown residues around the skeleton, especially around the tail and
the forelimbs, and on the skull.

157 *Sample description* 

The studied samples consist of fossil feather fragments dissected from the posterior end of the tail (Fig. 1, the dark area in the white box, top right) of YFGP- T5199, as well as fragments of the host sediment (Fig. 1, the light area in the white box). To test for possible chemical contamination of the fossil feathers by sediment, the sediment samples were analysed using the same methodology as for the fossil feathers. Two types of sediment samples were studied: (1) 'host' sediment directly in contact with the feathers from the tail (light area in white box on Fig. 1); and (2) 'remote' sediment located > 100 mm from the fossil on the same slab (yellow box bottom left on Fig. 1).

165 *Sample preparation* 

166 Two modern brown wing feathers of *Buteo buteo* (buzzard, Aves: Accipitriformes; RBINS collection 167 number: A4011A01; Supplementary Fig. 1) were analysed for comparative purposes. Different parts of 168 the feathers, rachis and barbs, were analysed with IBA. The modern feathers come from a specimen that 169 died naturally and was stored at -18°C at the Royal Belgian Institute of Natural Sciences prior to 170 analysis.

Two types of samples were collected on the fossil specimen: millimetre-sized samples for SEM and
EDS, as well as centimetre-sized samples for the other analytical approaches. We took 12 millimetresized samples (Fig. 1) from different regions of the body of YFGP-T5199 with a sterile scalpel. The

samples were mounted on double-sided carbon tape and sputter-coated with gold (Baltec SCD 050).

175 Centimetre-sized fragments of approximately 5 mm<sup>2</sup> and 2 mm thick, from fossil feathers (white box in

176 Fig. 1) and sediment (yellow box in Fig. 1) were dissected with a sterile scalpel. Samples were cleaned

- 177 with distilled water without any additional preparation prior to analysis.
- 178 Several points were analysed with IBA and micro-ATR FTIR on two centimetre-sized fragments
- 179 containing both fossil feathers and their 'host' sediment, and one fragment of 'remote' sediment

180 (Supplementary Figs. 1B and 2). Other centimetric samples from the same region were collected for

181 NMR and Py-GC-MS (white and yellow boxes on Fig. 1). These samples were crushed and lipids were

182 extracted in order to (1) eliminate potential contaminants related to sample manipulation; and (2)

183 concentrate macromolecular organic matter which mainly corresponds to proteins in modern feathers.

184 Samples were ground to a fine homogeneous powder in an agate mortar. Lipid extraction involved three

successive ultrasonications (ten minutes) in 15 ml of dichloromethane/methanol (2:1, v/v), at room

temperature and centrifugation at 3500 rpm (ten minutes). The supernatant was removed and the pellet

187 was dried under nitrogen and stored in the dark at 5 °C prior to analysis.

#### 188 Analytical methods

Samples were imaged under low vacuum with an environmental QUANTA 200 (FEI) scanning electron
microscope (at an acceleration voltage ranging from 20 to 30 kV and working distances of 8 to 15 mm).
Subsequent semi-quantitative EDS analyses (single point and mapping) were performed using either an
environmental QUANTA 200 (acceleration voltage of 30 kV and working distance of 10 mm) or a field-

emission JEOL 7500F (acceleration voltage of 15 kV and a working distance of 8 mm).

194 XRD analyses were carried out on both bulk rock and clay mineral with a Philips diffractometer using 195 Cu K<sub>g</sub> radiation. A tube voltage of 40 kV and a tube current of 30 mA were used. The goniometer scanned from 3 to 70 degrees  $2\theta$  for the bulk rock and from 3 to 30 degrees  $2\theta$  for clay minerals. The 196 clay minerals (< 2 µm fraction) were isolated by successive centrifuging after decarbonatation of the 197 crushed rock with HCl 1N. The preparation was mounted on glass slides and treated according to the 198 three following protocols: (1) natural (air-dried); (2) ethylene-glycol solvation; and (3) heated at 490 °C 199 for two hours. Clay minerals were identified according to the position of the (001), or (0001), series of 200 basal reflections on the X-ray diffractograms. 201

Elastic Backscattering Spectrometry (EBS) and Particle-Induced X-ray Emission (PIXE) measurements 202 were performed using a 3 MeV proton (<sup>1</sup>H) beam from the Tandetron linear accelerator ALTAÏS 203 (University of Namur). PIXE is highly sensitive to Na to U elements whereas EBS signals are enhanced 204 for light elements (e.g. C, N and O) due to strong non-Rutherford cross-sections. These two integrative 205 206 methods, together, can identify almost all elements of the periodic table. The beam spot size was 207 reduced to 0.5 mm in diameter to minimize topographic effects. Backscattered particles were detected using two detectors mounted at scattering angles of 170° and 165°, whereas the emitted X-rays were 208 209 collected with an Ultra-LEGe (Ultra Low Energy Germanium) detector mounted at 135°. Angles are given relative to the incident beam direction. A selective filter (6 µm of Al) was mounted in front of the 210 Ultra-LEGe detector to lower the strong Si signal and therefore enhance the rather weak S signal 211 observed in the fossil feathers. The modern brown feathers were analysed at two different locations 212 (barb and rachis; Supplementary Figs. 1 and 2). Two locations were analysed in the fossil feathers. The 213 'host' sediment was analyzed at three different locations (at 1.7, 3.2, and 4.8 mm away from the fossil) 214 and the 'remote' sediment at one location (Supplementary Fig.2). All the samples were analysed using 215

216	the same experimental settings. A certified reference material (BCR-126A lead glass from NIST) was
217	analysed to (1) calibrate the detectors (both EBS and PIXE); and (2) estimate the accuracy of the PIXE
218	measurements. The EBS spectra were analysed with DataFurnace software (Jeynes et al. 2003) together
219	with the cross-sections generated by SigmaCalc (Gurbich 2016) to derive the depth profiles of the major
220	elements (see Supplementary Fig. 4 for carbon). The integral of C, O and Si depth profiles (integration
221	limits set to $0 - 25\ 000\ \text{TFU}^1$ , or $0 - 3\ \mu\text{m}$ considering a density of 2.65 g/cm <sup>3</sup> ) yields the C, O and Si
222	equivalent thicknesses given in TFU (details on the global uncertainty calculations can be found in
223	Supplementary Table 1). The PIXE spectra were manipulated with GUPIX software (Campbell et al.
224	2010). The matrix composition to be used in GUPIX was determined by integrating the depth profiles of
225	the main components observed by EBS (i.e. C, O and Si) on a given interval (0 – 100 000 TFU).

226

Micro-Attenuated Total Reflectance Fourier Transform Infrared spectroscopy (micro-ATR FTIR) was 227 performed on modern and fossil feathers using a Bruker Vertex 70 FTIR spectrometer (University of 228 Hasselt, Belgium) equipped with a Hyperion 2000 microscope and MCT detector. The infrared spectra 229 were collected in the mid-IR range, from 4000 to 600 cm<sup>-1</sup>, and 32 scans were acquired in Attenuated 230 Total Reflectance (ATR) mode (Ge –ATR crystal) with a resolution of 4 cm<sup>-1</sup>. FTIR spectroscopy was 231 232 used on a modern buzzard feather (dark regions), one sample of fossil feather from the tail of A. huxleyi and the surrounding sediment, to identify the presence or absence of functional groups in their molecular 233 composition. 234

<sup>13</sup>C Nuclear Magnetic Resonance is a spectroscopic method that documents the chemical environment of
 carbon in organic compounds. Solid state <sup>13</sup>C NMR spectra of the lipid-free samples were obtained at

125 MHz (Bruker Avance 500 spectrometer) using a 4 mm zirconium rotor, with a cross-polarization
(CP) sequence and magic angle spinning (MAS) at 14 kHz. CP-MAS <sup>13</sup>C NMR spectra were acquired
with contact time of 1 ms and recycle time of 1s (fossil and sediment) or 3s (modern feathers). The use
of a single contact time does not allow precise quantification of the identified chemical functional
groups. Each spectrum was the result of 6 000 (modern samples) to 400 000 (sediments) scans.

242

Curie point Pyrolysis-Gas Chromatography- Mass Spectrometry (Py-GC-MS) gives insight into the 243 molecular composition of organic macromolecular materials through their thermal degradation into 244 molecular building blocks that can be separated by gas chromatography (GC) and further identified by 245 mass spectrometry (MS). Tetramethylammonium hydroxide (TMAH) was used to enhance the thermal 246 247 breakdown of macromolecules and induce *in situ* methylation of pyrolysis products, which, in turn, enhance their detection and identification in GC-MS. The samples were mixed with an excess of TMAH 248 (25 wt % in methanol) in a 1:1 (wt/wt) ratio before loading in ferromagnetic tubes with Curie 249 250 temperature of 650 °C. Two mg were used for the modern samples, 6 mg for the fossil feathers, and 16 251 mg for the sediment. Curie point pyrolysis was carried out with a Pilodist Curie flash pyrolyser. Samples 252 were heated at their Curie temperature for 10 s under a He flow of 1 ml/min. The instrument was 253 coupled directly to a GC-MS system. The pyrolysis products were separated using a Trace Thermo gas chromatograph equipped with a Rxi5SilMS column ( $30 \text{ m} \times 0.25 \text{ mm i. d.}, 0.5 \mu\text{m}$  film thickness). 254 Helium was used as carrier gas at constant pressure of 15 psi. The injector temperature was 280°C in 255 spitless mode. The oven temperature was maintained at 50  $^{\circ}$ C for ten minutes and was progressively 256 increased to 310 °C at 2 °C/min. Coupled to the gas chromatograph was a DSQ Thermo mass 257

258	spectrometer with a heated interface (310 °C), electron energy of 70 eV and ion source at 220 °C,
259	scanning from m/z 35 to 800 at 2 scans/s. Compounds were assigned on the basis of their mass spectra,
260	comparison with the NIST library mass spectra, published mass spectra (e.g. Gallois et al. 2007;
261	Templier et al. 2013) and GC retention times. The molecular structure of all compounds present in
262	substantial amount was investigated without any ion selection that could have biased interpretations.
263	Institutional Abbreviation
264	YFGP: Yizhou Fossil and Geology Park; RBINS: Royal Belgian Institute of Natural Sciences; NIST:
265	National Institute of Standards and Technology.
266	
267 268	RESULTS
269	SEM/EDS and XRD
270	SEM of the fossil feathers revealed that they are embedded in a sedimentary matrix containing mainly
271	quartz, carbonates, and phyllosilicates. The latter are organized in thin platelets oriented parallel to each
272	other (Figs. 2A-B). A feather sample from the right wing of Anchiornis (sample 1 on Fig. 1) showed

(Fig. 2C), but also as individual microcrystallites (Fig. 2D) and, in some cases, are associated with

voids. Framboids are spheroidal or ovoid, 6 to 9 µm in diameter and contain dozens of euhedral crystals,

- 276 750 nm in diameter. In contrast, individual cubic crystals are much smaller (about 500 nm<sup>3</sup>) and contain
- 277 micro-crystallites (Fig. 2D). EDS analyses indicate that the sediment is composed of Fe, Si, O, Al, C, Ca

278 (and Mn, K, Mg), probably indicating the presence of quartz, calcite, and various phyllosilicates. XRD 279 analyses confirmed the presence of these minerals in the sediment (Fig. 3A). In addition, the XRD spectrum of the  $< 2 \mu m$  phase shows that expansive material, such as illite and interstratified 280 281 illite/smectite, is present in the sediment (Fig. 3B). Due to their characteristic framboidal shape and elemental composition, the crystal clusters observed beneath the fossil feather surface are attributed to 282 diagenetic iron oxides or hydroxides. Indeed, although the framboidal habit is common for iron 283 sulphides, the lack of sulphur here shows they are rather iron oxide pseudomorphs probably resulting 284 from the *in situ* weathering of pyrite framboids (Blanco *et al.* 2013; Kaye *et al.* 2008; Nordstrom 1982; 285 286 Wang *et al.* 2012). These structures are associated with thin clay overgrowths (arrow in Figs. 2C, E), indicating that the iron oxides (or the preceding pyrites) precipitated first. Tiny stellate minerals were 287 also observed and identified as probable iron oxides by X-ray spectroscopy (Fig. 2F). The presence of 288 calcium carbonates, feldspars (Fig. 2G) and quartz (Fig. 2H) in the sedimentary matrix was confirmed 289 by X-ray spectroscopy. 290

Elongate microbodies, 650 to 950 µm, and their associated imprints were observed in three samples (6,
9, and 12, on Fig. 1) collected from the anterior and posterior parts of the dinosaur tail (Fig. 4).
Microbody imprints are abundant, tightly packed together and randomly oriented (Figs. 4A–B). They
likely represent traces of melanosomes. Similar microbodies interpreted as melanosomes have
previously been observed in feathers from the crest of this specimen (YFGP-T5199; Lindgren *et al.*2015). Here, isolated elongate structures were observed (Fig. 4C). These fossil organelles are preserved
within the thin clay-rich sediment.

298

### 299 Ion Beam Analysis (IBA): EBS and PIXE

300 The great virtue of EBS is to be capable of yielding the elemental depth profiles non-destructively from 301 the outermost microns of the sample with good sensitivity and depth resolution (Jeynes and Colaux 302 2016). A typical EBS spectrum obtained from the fossil feathers is shown in Supplementary Figure 3 together with its best fit. The experimental spectrum was inverted to recover the elemental depth profiles 303 (examples are shown for carbon in Supplementary Fig. 4). Integration of these elemental depth profiles 304 allows derivation of the concentration of each element at a given depth. Figure 5A clearly shows that the 305 carbon enrichment in the near surface region (ca. 60%) decreases at increasing distance from the fossil 306 feathers, reaching a minimum in the 'remote' sediment (ca. 14%). Sample concentrations of oxygen and 307 silicon (although less obvious) follow an opposite trend. The nitrogen content in the modern buzzard 308 feathers is ca. 20-26 %. The very low content of nitrogen in the fossil (ca. 5%) and even less (under the 309 limit of detection) in the remote sediment (Supplementary Fig.3) precluded its depth profiling. 310

311 In contrast, EBS analysis of modern buzzard feathers shows homogeneous concentrations with depth (Supplementary Fig. 5). Carbon content in the buzzard feathers is about 60 at. %, while nitrogen and 312 oxygen are both around 20 at. % for the rachis and around 25 and 15 at. % respectively for the barbs. 313 Typical PIXE spectra acquired from the fossil feathers, 'host' sediment and 'remote' sediment are 314 shown in Figure 5B. The samples differ in the amounts of several elements present (Supplementary 315 Tables 1–2). Of particular interest is the sulphur content: there are elevated concentrations of sulphur in 316 the fossil feathers (average  $1,842 \pm 208.5$  ppm), less in the 'host' sediment ( $1,162 \pm 143$  ppm), and much 317 lower concentrations in the 'remote' sediment (98  $\pm$  35 ppm). The concentration of S in the fossil 318 319 feathers is roughly twenty times lower (ca. 0.2 wt. %) than in the modern bird feathers (ca. 3.7 – 4.3 wt.

15

%). The co-occurrence between sulphur and carbon is also highlighted (Table 1). Both concentrations
decrease with depth within the fossil feathers whereas the reverse situation is observed for Si and O.

322

323  $^{13}C NMR$ 

The <sup>13</sup>C NMR spectrum of buzzard feathers (Fig. 6A) shows a complex signal in the aliphatic region, 324 325 with well-resolved peaks between 10 and 65 ppm and a narrow peak at 173 ppm, due to carboxyl carbons, i.e. carboxylic groups and esters and amides. Two additional, less intense, signals can be seen 326 at 129 ppm and 158 ppm in the unsaturated/aromatic carbon region. In comparison to the spectrum of 327 modern feathers, the <sup>13</sup>C NMR spectra of the fossil feathers and their surrounding sediment show much 328 simpler patterns (Figs. 6B–C). The spectra are similar to each other and both are dominated by a broad 329 peak in the aliphatic region, maximizing at 30 ppm and thus indicative of long alkyl chains. Two 330 additional broad signals contribute to the spectra. The first one occurs as a broad shoulder between 68 331 and 80 ppm, in the O-alkyl C and N-alkyl C range, and the second one is a broad peak at 129 ppm, 332 333 attributed to aromatic carbons.

334

335 Micro-ATR FTIR spectroscopy

The micro-ATR FTIR spectra of the theropod feathers, the embedding sediment and the modern buzzardfeather (dark regions) are shown in figure 7.

338 The spectrum of the dark region of a modern buzzard feather shows characteristic bands of secondary

amides – as in proteins and polypeptides – at 1628  $\text{cm}^{-1}$  (C=O stretch of Amide I), 1531  $\text{cm}^{-1}$  (C-N

340 stretch, Amide II) and 1239 cm<sup>-1</sup> (N-H in plane bending coupled with C-N stretch, Amide III). Broad

bands around 3274 and 3125 cm<sup>-1</sup> can be attributed to the N-H stretching of secondary amides. The
bands at 2961, 2922 and 2852 cm<sup>-1</sup> are assigned to the C-H stretching of methylene and methyl groups.
These two spectra are very similar and no significant differences could be found between IR response of
the dark and white regions of the same feather.

345 The spectrum of the fossil feathers has a different pattern but some similarities with the modern buzzard feather appear. The distinct bands at 2920 and 2851 cm<sup>-1</sup> can also be attributed to C-H stretching of 346 methylene and methyl groups. These associated bands are also present in the IR spectrum of the 347 surrounding matrix but with different relative intensities. A broad region around 3300 cm<sup>-1</sup> is present. 348 although much less marked, and is indicative for O-H stretching as found in carboxylic groups and 349 alcohols. In the spectra of the fossil feathers, a broad band at about 1560 cm<sup>-1</sup> can be attributed to 350 carboxylate. This band is not present in IR spectrum of the sediment. Another broad band around 1412 351  $cm^{-1}$  is found in the spectrum of the fossil feathers and might be related to the presence of CaCO<sub>3</sub> in 352 overlap with C-H bending vibrations at 1460 and 1380 cm<sup>-1</sup> (Andersen and Brečevic 1991; Kroner et al. 353 2010; Kiros et al. 2013). This is also confirmed by the presence of weak bands at 873 and 718 cm<sup>-1</sup>. The 354 IR spectrum of the sediment shows a similar, but less defined, absorption band between 1415 and 1463 355 cm<sup>-1</sup>. The IR spectrum of the fossil feathers and its surrounding matrix both show a narrow band at 1260 356 cm<sup>-1</sup> that could be attributed to the Si-CH<sub>3</sub> vibrations. Both spectra show an intense broad band at 1013 357 cm<sup>-1</sup> together with the weaker bands at 873 and 797 cm<sup>-1</sup> related to the sedimentary matrix (clay 358 minerals, quartz, silicates, Si-O stretching). The IR spectrum of the sedimentary matrix shows an 359 additional band at 720 cm<sup>-1</sup> that is also present, although very weak, in the fossil, indicating long-chain 360 alkyl groups (CH<sub>2</sub> rocking vibrations). All the peaks mentioned above are absent in the IR spectra of the 361 modern feather. 362

363

#### 364 *TMAH Py-GC-MS*

365 Pyrochromatograms were obtained for the three following samples: the modern and fossil feathers, and the 'host' sediment. In agreement with previous studies on bird feathers (Brebu and Spiridon 2011; 366 Saitta et al. 2017), the pyrochromatogram of the modern feathers is dominated by cyclic molecules 367 368 containing nitrogen, along with methylbenzene 1, methylbutane nitriles 2, 3 and cyclohexanedione 11 derivatives (Fig. 8A). Detailed interpretation of mass spectral fragmentation patterns allowed 369 identification of the major pyrolysis products (Table 2) and further assignment to possible source. 370 Molecular structures are given in Appendix, with methyl groups added by TMAH indicated in bold. 371 372 Products 6, 8, 10 result from direct methylation of alanine, valine and proline, thus pointing to a 373 proteinaceous origin for the feathers. This is further supported by the occurrence in substantial amounts of alkylnitriles 2, 3 resulting from dehydration of amides involving isoleucine and leucine, respectively, 374 and of methoxybenzenes 7, 9 released through homolysis of the side chain of tyrosine (Ratcliff et al., 375 376 1974). Methylbenzene 1, pyrrole 4 and ethylbenzene 5 are rather ubiquitous pyrolysis products in sedimentary organic matter. However, they can also be released upon pyrolysis of phenylalanine and 377 378 serine (Gallois *et al.* 2007). Mass spectral fragmentation pattern (base peak at m/z 82) suggests an origin 379 from the side chain of histidine for compound 16. Similarly, compound 17 probably corresponds to a valine derivative as its mass spectrum is characterized by the loss of 42 amu (i.e. valine side chain). 380 Dimethylcyclohexanedione 11 was reported as pyrolysis product of glycine (Moldoveanu 2009). 381 Glycine is also present as its diketopiperazine 15 resulting from combined dehydration and cyclisation 382 (Simmonds et al. 1972). The same mechanism involving two different amino acids (isoleucine-glycine) 383

384 leads to another diketopiperazine **18** (Hendricker and Voorhees 1996). The formation of more complex 385 diketopiperazines was proposed by Templier et al. (2013) from tripeptide units. Similar mechanism can be invoked for the formation of compound 19 from valine, as well as compounds 20 and 21 from serine 386 and leucine (Table 2; Supplementary Fig. 6). Imidazolidinedione 12 probably results from the internal 387 cyclisation of tripeptide comprising an alanine unit as reported by Templier et al. (2013; Supplementary 388 389 Fig. 6). The formation of imidazolidinone 13 can be related to the decomposition of bicyclic amidine derived from valine as suggested by Basiuk and Navarro-González (1997; Supplementary Fig. 6). 390 Another decomposition pathway of bicyclic amidine is probably responsible for the formation of 391 392 imidazolidinone 14 from valine and possibly glycine (Templier *et al.* 2013). As far as we know, this is the first identification of such complex molecules (diketopiperazines from tripeptide and 393 imidazolidinone from bicyclic amidine) in the pyrolysate of a natural sample. 394 By comparison, pyrochromatograms of the fossil feathers and their 'host' sediment are simpler. They are 395 396 dominated by *n*-alkane/*n*-alkene doublets (Figs. 8B–C), resulting from the homolytic cleavage of long 397 alkyl chains. In the fossil feathers, these doublets comprise from 8 to 30 carbon atoms, and exhibit a smooth distribution except an intense  $C_{18}$  doublet. An additional series of fatty acid methyl esters with 398 alkyl chain ranging from  $C_8$  to  $C_{30}$  and maximizing at  $C_{16}$  is also identified (Table 2). It results from the 399 release upon pyrolysis of a series of fatty acids that are methylated thanks to TMAH. In addition to these 400 series, a methoxybenzene substituted by two methyl groups or an ethyl group 22 is detected in minor 401 amounts, at the beginning of the pyrochromatogram. A trimethylbenzene and a methylated derivative of 402 methoxyaniline 23 also contribute to this part of the pyrochromatogram. However, the most prominent 403

404 pyrolysis product 24 corresponds to the  $C_{18}$  alcohol methylated through TMAH pyrolysis.

The pyrochromatogram of the "host" sediment shares several similarities with that of the fossil feathers. 405 406 Indeed, it is dominated by series of alkane/alkene doublets and fatty acid methyl esters. Although the distribution of the fatty acid methyl esters is similar in both samples, that of the doublets differs. Indeed, 407 whereas their range  $(C_8 - C_{30})$  is similar, the maximum of the series appears at  $C_{15}$  in the sediment, 408 instead of a marked predominance of the  $C_{18}$  in the fossil (Table 2). Moreover, when comparing the 409 minor compounds eluting at the beginning of the pyrochromatogram, compounds 22 and 23 are common 410 in both samples, whereas a higher number of alkylbenzene homologues occurs in the sediment. Finally, 411 the contribution of octadecanol 24 is much lower in the sediment pyrolysate. 412

413

#### 414 **DISCUSSION**

#### 415 *Ultrastructure*

416 Microbodies and elongate moulds are observed in feather samples collected at three different locations 417 on Anchiornis tail. The elongate shape, parallel orientation and location of the microbodies within the 418 feathers strongly suggest that they correspond to eumelanosomes. These pigment organelles are 419 associated to brown, grey and black hues in modern bird feathers. The preservation of melanosomes, and 420 especially eumelanosomes, in dinosaur feathers is not uncommon. Such microscopic melanin-bearing structures have been described in other theropod dinosaurs, basal birds and isolated feathers (Li et al. 421 2010, 2012; Zhang et al. 2010; Carney et al. 2012; Colleary et al. 2015; Pan et al. 2016; Hu et al. 2018). 422 423 The chemical composition of these microbodies has been assessed in a previous study, confirming a 424 melanosome origin (Lindgren et al. 2015).

Recent taphonomic experiments in abiotic conditions suggest that the preservation of mouldic
melanosomes requires interaction with an oxidant prior to maturation, and that the preservation of
melanosomes is probably less frequent than the preservation of keratinous structures in fossil feathers
(Slater *et al.* 2020). It is interesting to see that *Anchiornis* feathers contain both melanosomes and
moulds, given their – anoxic – depositional setting. The abiotic nature of the former experiments does
however not reflect the depositional and fossilization conditions of *Anchiornis*.

431

#### 432 *Depth profiling, light and heavy element composition*

The carbon and nitrogen concentrations determined by EBS led to N/C ratios of 0.33 to 0.42 in modern buzzard feathers, and of 0.08 for the fossil, suggesting a marked relative decrease in nitrogen. The carbon concentration gradient observed by EBS in the fossil feathers of *A. huxleyi* strongly suggests that they are preserved as carbonaceous layers located at the uppermost part of the sample (i.e.  $0 - 3 \mu m$ depth, given a rock density of 2.65 g/cm<sup>3</sup>) and suggests that fossil organic matter could have impregnated the sediment only in a nearby area.

The PIXE spectra show elevated concentrations of sulphur in the fossil feathers and, to a lesser extent, in the 'host' sediment, together with very low concentrations in the 'remote' sediment. This suggests that S is associated with the soft tissues. The fossil feathers are therefore preserved as a S-rich carbonaceous film. Substantial quantities of sulphur are present in the modern buzzard feathers ( $43,070 \pm 4,236$  ppm in the brown barbs and  $37,142 \pm 3,652$  ppm in the rachis, Table 1). This is not surprising due to the presence of sulphur-containing biomacromolecules, such as the pigment phaeomelanin and cysteine- or methionine-containing proteins (i.e. keratins) in bird feathers (Bortolotti 2010; Cesarini 1996; Harrap

and Woods 1964; Murphy et al. 1990; Riley 1997; Saravanan and Dhurai 2012b). Important studies on 446 447 the chemical composition of feathers have shown that S is a major element of bird feathers (Harrap and Wood, 1964, 1967; King and Murphy 1987; Murphy et al. 1990; Edwards et al. 2016). Some authors 448 449 could even discriminate between organic S originating from keratin and phaeomelanin based on its speciation (Edwards et al. 2016). Previous in situ chemical analysis (TOF-SIMS) of the melanosomes 450 from the present fossil revealed their enrichment in sulphur with respect to the surrounding sediment, 451 but it could not determine whether it reflects the occurrence of phaeomelanin or diagenetic incorporation 452 of sulphur in eumelanin (Lindgren et al. 2015). In a Cretaceous early bird, divalent elements (Cu, Ca, 453 454 Zn) were suggested to form chelates with melanin (Wogelius *et al.* 2011). Such a complexation may have played a role in sulphur preservation in fossil soft tissues. Alternatively, the presence of sulphur in 455 the fossil feathers can be attributed to natural sulphurization of the organic matter, i.e. the abiogenic 456 457 intra-molecular incorporation of sulphur from the depositional environment during early diagenesis. The incorporation of sulphur into organic matter was interpreted as a way to enhance the preservation 458 potential of certain labile substances through cross-linking (Sinninghe-Damsté and De Leeuw 1990; 459 460 Sinninghe-Damsté et al. 1989; Sinninghe-Damsté et al. 1988; McNamara et al. 2016). Indeed, organic matter has the ability to form complexes with inorganic elements, including sulphur, which was traced 461 462 in fossil soft tissues (e.g. Wogelius et al. 2011).

463 *Functional groups in the organic matter* 

464 On the whole, the <sup>13</sup>C NMR and IR spectra of buzzard feathers (Fig. 6A and 7A) are comparable to that 465 of several keratinous materials, such as feather keratin (Barone *et al.* 2005; Kricheldorf and Müller

466 1984; Wang and Cao 2012, Sharma et al. 2018), wool keratin (Yoshimizu and Ando 1990;

Wojciechowska *et al.* 2004) or gecko setae keratin (Jain *et al.* 2015). Indeed, the peak at 173 ppm should
mainly correspond to the signal of secondary amide (O=C-NH) groups involved in the peptidic bonds.

469 This is confirmed by the presence of characteristic bands of secondary amides in the IR spectrum of the

470 brown feathers (Fig. 7A). This includes a broad band around 3277 cm<sup>-1</sup> attributed to the N-H stretching

471 band of amides, a narrow band at 1628 cm<sup>-1</sup> related to the C=O stretch of Amide I, a band at 1518 cm<sup>-1</sup>

472 attributed to Amide II and a band at 1237 cm<sup>-1</sup> related to Amide III (e.g. Bendit 1966; Yu *et al.* 2004;

473 Wang and Cao 2012; Giraldo *et al.* 2013; Tesfaye *et al.* 2017).

Carbon atoms bearing both COOH and  $NH_2$  groups (termed  $C_\alpha$ ) in the amino acids (except glycine) 474 resonate between 50 and 60 ppm in <sup>13</sup>C NMR. They account for the peaks at 52.9 and 60.2 ppm in the 475 broad aliphatic signal, whereas the signal at 42.6 ppm is assigned to the  $C_{\alpha}$  of glycine. The other peaks 476 are mainly associated with the amino acid side chains, that at 30.8 ppm being assigned to  $C_{\beta}$  along with 477 C in long alkyl chains, and those at 19.8 and 25.7 ppm to  $C_{\gamma}$  and  $C_{\delta}$ . The three bands located in the 478 2961-2850 cm<sup>-1</sup> range in the IR spectra confirms the presence of aliphatic moieties in the modern 479 feathers, although their precise assignment to dedicated compounds is uncertain. In the <sup>13</sup>C NMR 480 spectrum, the 129 ppm peak is typical for aromatic carbons, including those from phenylalanine and 481 tyrosine (Jain et al. 2015; Yoshimizu and Ando 1990). Finally, the peak at 158 ppm can be ascribed to 482 the O-alkyl C of tyrosine and/or the C of the guanidino group (N-C=N) of arginine (Jain et al. 2015; 483 Yoshimizu and Ando 1990). This spectrum is in agreement with previous reports indicating that keratin 484 is a major constituent of feathers (Lucas and Stettenheim 1972). The <sup>13</sup>C NMR and IR spectra of the 485 modern feathers also shares some similarities with various types of melanins (Adhyaru et al. 2003; Duff 486 et al. 1988; Centeno and Shamir 2008; Ito and Nicol 1974). 487

The much simpler <sup>13</sup>C NMR spectra of the fossil feathers and their surrounding sediment are dominated 488 by long alkyl chains with a low contribution of aromatic carbons. This is also consistent with the IR 489 spectra (Fig. 7B), mainly showing contributions of aliphatics and silicate and carbonate minerals. When 490 compared to the <sup>13</sup>C spectrum of the modern feathers, the aliphatic signal in the fossil is poorly resolved: 491 the aromatic peak is broad and no resonance signal could be detected in the carboxylic region. These 492 features suggest that the proteinaceous contribution identified in the buzzard feathers is no longer 493 present in the fossil sample. The comparison between the FTIR spectrum of the modern feather and that 494 of the fossil feathers (Fig.7A) shows that the characteristic bands of amides are absent in the IR spectrum 495 496 of the fossil feathers. However, a more precise comparison can be achieved at the molecular level thanks to pyrolysis in the presence of TMAH coupled with GC-MS. 497

### 498 Molecular building blocks of organic matter

TMAH Py-GC-MS analysis of modern feathers thus highlights the presence of glycine, serine, leucine, 499 alanine, valine and proline moieties in buzzard feather keratin, in agreement with previous studies on 500 501 feather keratin (Fig. 8A; Arai et al. 1983, 1986; Murphy et al. 1990; O'Donnell and Inglis 1974; Saravanan and Dhurai 2012b; Staroń et al. 2011). Additionally, pyrolysis products derived from 502 503 isoleucine, phenylalanine and tyrosine occurred in substantial amounts although they are often considered as minor constituents of feather keratin. However, homolysis of the side chain of 504 phenylalanine and tyrosine favours high yields in TMAH pyrolysis (Gallois et al. 2007). Despite its 505 acknowledged high abundance in feather keratin, cysteine is absent in the pyrochromatogram of buzzard 506 feathers, probably because it mainly releases  $H_2S$  upon pyrolysis (Moldoveanu 2009) not detected in the 507 presently used analytical conditions. Alternatively, some of the identified products (methylbenzene 1, 508

methyl, methoxybenzene 9) as well as glycine derivatives may originate from melanin, although they are
poorly diagnostic compounds (Stępień *et al.* 2009). However, the melanin signal was reported to be
overwhelmed by protein-derived products upon pyrolysis of bulk feathers (Barden *et al.* 2011).

The pyrochromatograms of the fossil feathers and their 'host' sediment are comparable as they are both 512 513 dominated by series of alkane/alkene doublets and fatty acid methyl esters as well as minor compounds eluting at the beginning of the pyrochromatogram. The latter include a methoxybenzene substituted by 514 two methyl groups or an ethyl group 22 which originates from lignin or polysaccharides such as 515 cellulose, depending on its substitution pattern (Choi et al. 2013; Seitz and Ram 2000). Despite these 516 517 similarities, differences are observed, including the much weaker abundance of octadecanol 24 in the 518 sediment pyrolysate. These differences clearly show that even though some imprint from the sediment 519 may have contributed to the fossil feather pyrolysate, at least some features are typical for the fossil feathers. They notably include the  $C_{18}$  doublet and octadecanol 24. The predominance of the 520 521 alkane/alkene doublets in the pyrolysate is in agreement with the strong aliphatic signal observed in NMR and FTIR (bands at 2690, 2920 and 2851 cm<sup>-1</sup>) (Figs. 6–7). A similar highly aliphatic character 522 has been reported in Eocene bird feathers (O'Reilly et al. 2017) but also in soft tissues from other fossil 523 organisms, such as in cuticles from Carboniferous arthropods (Baas et al. 1995; Stankiewicz et al. 524 1998), skin from a Cretaceous mummified hadrosaur (Manning et al. 2009), and Cretaceous fish scales 525 (Gupta et al. 2008). C<sub>1</sub> to C<sub>3</sub> alkylbenzenes were also identified in pyrolysates of Oligocene weevil and 526 tadpole, and associated matrix (Barden et al. 2015; Gupta et al. 2007). 527

The aliphatic series dominating the pyrolysate of the fossil feathers reflect either selective preservation
of macromolecular aliphatic matter pre-existing in the extant organism (Tegelaar *et al.* 1989) or *in situ*

530 polymerization of aliphatic lipids (Gupta et al. 2007; Stankiewicz et al. 2000). No such aliphatic series 531 could be detected in the pyrolysate of modern feathers, likely precluding the first hypothesis. In contrast, several aliphatic series were identified in the lipid extract of the modern feathers (*n*-alkanes, *n*-acids, *n*-532 alcohols; data not shown). Indeed, modern bird feathers are coated by lipids as protection against 533 adverse environmental factors. Such lipids, secreted by the uropygial gland, were recently shown to be 534 preserved through geopolymerisation in an Eocene bird (O'Reilly et al. 2017). A similar 535 geopolymerisation can be put forward to account for the occurrence of aliphatic moieties of the present 536 fossil feathers. Endogenous lipids may be transformed into more stable geopolymers, composed of 537 538 alkane/alkene doublets, and can therefore be 'preserved' and traced in vertebrate fossils (O'Reilly et al. 2018). 539

The absence of signals typical for proteinaceous material in the NMR and IR spectra and 540 pyrochromatogram of the fossil feathers is noteworthy. It is further suggested by the weak N/C ratio in 541 the fossil when compared with modern feathers. The lack of proteinaceous components consistent with 542 keratin was previously suggested on the same fossil based on TOF-SIMS and IR analyses (Lindgren et 543 al., 2015). In agreement with the commonly accepted lability of proteins, this feature suggests their 544 extensive degradation in our specimen upon diagenesis. Recent taphonomy experiments on extant 545 feathers demonstrated substantial degradation of keratin upon microbial and thermal decay (Saitta et al. 546 2017). Moreover, it must be noted that diagenetic degradation of proteinaceous moieties was previously 547 put forward for Eocene birds (Saitta et al. 2017; O'Reilly et al. 2017) and Palaeozoic annelid fossils 548 (Dutta et al. 2010). However, even if no proteinaceous compounds were detected in Anchiornis feathers, 549 550 one cannot exclude the possibility of finding similar biomolecules preserved in other fossils in the future. 551

552 Although PIXE analyses showed that carbon and sulphur are closely associated in the fossil feathers, no organosulphur compound could be detected in the pyrolysate. Altogether, the lack of organosulphur 553 compounds (such as thiophenes) in the fossil feather pyrolysates and the lack of C=S/C-S species in 554 their IR spectrum strongly suggest a lack of organic-S species in this sample. In contrast, sulphur 555 incorporation was evidenced through FTIR and TMAH-Py-GC-MS in melanosomes of Miocene frogs, 556 557 thus demonstrating involvement of natural sulphurization in the preservation of the fossil organic matter (McNamara et al., 2016). The lack of organosulphur compounds in Anchiornis pyrolysate should thus 558 reflect diagenetic conditions that prevented such natural sulphurization of organic matter. In the 559 560 sedimentary environment, the sulphurization of organic matter to form organosulphur compounds requires the presence of reactive organic matter and inorganic sulphides (i.e. anoxic conditions), with 561 sufficient, but not excessive, reactive iron. If reactive iron exceeds a certain quantity, iron sulphides 562 (pyrite) would precipitate instead (Werne *et al.* 2000; Canfield 1989). Here, our results suggest that the 563 concentration of sulphur and iron in the environment was high enough to form iron sulphides (i.e. pyrite 564 framboids and microcrystallites). The occurrence of iron oxides or hydroxides as framboid crystals 565 (SEM and EDX characterization) suggests that sulphur may have been preferentially used for the 566 formation of iron sulphides (such as pyrite) during early diagenesis (Sinninghe-Damsté and De Leeuw 567 568 1990). During later diagenesis, pyrite framboids were probably *in situ* weathered into the iron oxides and hydroxides observed beneath the carbonaceous surface of feathers, thus releasing sulphur that may have 569 been further associated with organic compounds, e.g. through the formation of chelate with melanin 570 571 (Wogelius et al. 2011). Such associations may have favoured/enhanced organic matter preservation and are consistent with the interrelation between sulphur and carbon highlighted by PIXE analyses. 572

573	Recently, a mechanism of nitrogen preservation based on lipoxidation and glycoxidation of protein was
574	proposed in biomineralized tissues of diverse Mesozoic and Cenozoic vertebrates, fossilized in oxidative
575	settings (Wiemann et al. 2018). Such a process, which can be catalysed by transition metal such as iron,
576	may have led to the preservation of the small amount of nitrogen in YFGP-T5199. However, the present
577	study deals with soft tissues and not biomineralized one. Additionally, so far this preservation
578	mechanism could only be evidenced in oxidizing environment (Wiemann et al. 2018). The occurrence of
579	iron oxide framboids in the studied Anchiornis fossil probably resulting from pyrite weathering, rather
580	attests for the reducing conditions of fossilisation, thus making unlikely the involvement of this
581	preservation pathway (i.e. lipoxidation).
582	Further experimental studies on modern feathers and comparisons with the fossil record are required to
583	explain why keratin is not preserved in Anchiornis feathers although melanin has been detected, and
584	melanosomes and moulds have been observed.
585	
586 587	CONCLUSIONS
EOO	The methods used in this study provide new and complementary information on how the plumage of

The methods used in this study provide new and complementary information on how the plumage of *Anchiornis huxleyi* (YFGP-T5199) is preserved. SEM and EDS reveal that fossil feathers are preserved in a fine-grained material constituted of K-rich phyllosilicates, illite and interstratified illite/smectite. PIXE analyses show that both light (C, N, O) and heavy (S, Na, Ca, etc.) elements are present in the fossil samples, even at very low concentrations. The presence of iron oxide pseudomorphs after pyrite likely indicates a reduced depositional environment for *Anchiornis*. Carbon is the dominant element in 594 the fossil feathers, which are also enriched in sulphur with respect to the 'host' sediment. EBS mapping 595 of the interior of the samples revealed a decrease in carbon concentration with depth. Our analysis therefore shows that the fossil feathers are preserved in the uppermost part of the sample, as a thin - ca. 596 3 µm-thick – S-bearing carbonaceous layer. High resolution imaging of the feather microstructure 597 revealed the presence of elongated microbodies (650-950 nm), likely corresponding to eumelanosomes. 598 599 Molecular characterization of the organic matter in the 'host' sediment, fossil feathers and modern feathers by <sup>13</sup>C-NMR, micro-ATR FTIR and Py-GC-MS shows that the fossil does not display the 600 complex amino-acid signature typical for keratin, the main constituent of modern feathers. Although the 601 organic matter of the fossil feathers and their 'host' sediment are both dominated by aliphatic moieties, 602 they exhibit substantial differences (distribution pattern of series, occurrence of components specific to 603 the feathers) suggesting that the organic matter of the fossil feathers is derived, at least partially, from 604 original constituents of the feathers. 605

606 Altogether, these results show that the fossil feathers can be described as compression fossils, as 607 described in Schweitzer (2011: p. 192). The finely grained (clay-rich) host sediment contributed to the morphological preservation of Anchiornis soft tissues. As stressed by Schweitzer (2011: p. 192), the 608 609 very fine grain size of the sediments might have prevented the degradation of soft tissues by microbes, 610 and subsequent loss of degraded organic matter in the environment before and during diagenesis. However, the lack of protein-derived moieties in the fossil organic matter shows that the latter has been 611 significantly altered during diagenesis. The excellent morphological preservation of the fossil soft tissue 612 is not associated here with a high preservation level of organic matter. Hence, the fossil feathers have 613 614 likely undergone a complex diagenetic history including several steps affecting differentially their 615 morphology and chemistry. In situ polymerization of lipids into more stable aliphatic compounds during

616	early diagenesis was likely the main process responsible for organic matter preservation in the fossil
617	feathers. Additionally, sulphur was probably involved in several steps of the fossil preservation although
618	no natural sulphurization took place.
619	Our results are therefore unique by combining different analytical techniques on Jurassic fossil feathers.
620	This integrative multidisciplinary study appears as a powerful approach to decipher morphological,
621	mineralogical, structural and chemical features of fossil soft tissues and their fossilization processes.
622	This study provides new insights into the taphonomy of labile compounds, suggesting that keratin,
623	unlike the pigment melanin, is not present in the feathers of YFGP- T5199.Our results question the

preservation potential of keratin (and melanin) in anoxic conditions. Further analyses of fossil feathers 624 625 of different ages and deposited in different environmental settings, are required to better understand the preservation potential of melanin and keratin. 626

627

628 Finally, we used here for the first time on a Jurassic fossil Ion Beam Analysis (IBA), a non-destructive analytical technique providing an in-depth profiling of C to U elements. Further developments of this 629 630 technique to palaeontological samples might help at identifying the precise location of fossil soft tissues within the sediment and then characterizing metal elements that are directly associated with the 631 fossilised tissues. 632

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641	
642	DATA ARCHIVING STATEMENT
643	Additional data for this study are available in the [Dryad Digital Repository]:
644	https://datadryad.org/review?doi=doi:10.5061/dryad.XXXX
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997 FIGURE CAPTIONS

Figure 1. Anchiornis huxleyi (YFGP-T5199). Photograph of the Jurassic feathered theropod with
location of sampled areas. The white box indicates locations of fossil feather and 'host' sediment
sampling, while the yellow box indicates location of 'remote' sediment sampling, for NMR, Py-GC-MS,

and IBA analyses. White dots are samples used for SEM imaging and EDS. Scale bar = 5 cm.

1002 Photograph by Thierry Hubin (IRSNB).

1003 Figure 2. Scanning electron microscopy (SEM) images of minerals observed in the plumage of

1004 Anchiornis huxleyi. (A), (B) thin platelets of phyllosilicates observed in sample 7 (see Fig. 1 for

location); scale bar = 5  $\mu$ m (A) and 3  $\mu$ m (B), (C) Pyrite framboids (sample 1); scale bar = 10  $\mu$ m, (D)

1006 Pyrite crystallites (sample 1); scale bar = 5  $\mu$ m, (E) Clayed pores containing small star-shaped iron-

1007 oxide crystals (sample 8); scale bar = 2  $\mu$ m, (F) Star-shaped iron oxides (sample 1); scale bar = 2  $\mu$ m,

1008 (G) Feldspar crystal surrounded by clay sheets (sample 5); scale bar = 5  $\mu$ m, (H) Quartz crystals

1009 embedded in a clayed matrix (sample 9); scale bar = 5  $\mu$ m. Arrowheads point towards the

1010 aforementioned minerals. Abbreviations: Phy: phyllosilicate; Py: pyrite; Fd: feldspar; Qz: quartz.

1011 Figure 3. X-ray powder diffraction patterns of the 'host' sediment. Spectrum of (A) the bulk rock; qz,

1012 quartz; ca, calcite, (B) the fraction  $< 2 \mu m$ , with spectra of natural (N), glycolated (G), and heated (H)

1013 sample. The expansive illite/smectite interstratifications are identified in the spectrum of the glycolated1014 (G) material.

Figure 4. SEM images of the ultrastructure of *Anchiornis* plumage. (A), (B) elongated microbodies
observed in sample 6 (see Fig. 1 for location), (C) Elongated microbodies (arrows) observed in sample
9, and (D) imprints observed in samples 6. Scale bars: 2 um.

1018 Figure 5. Results of Elastic Backscattering Spectrometry (EBS) and Particle-Induced X-ray Emission (PIXE) analyses on the fossil feathers, the 'host' sediment and the 'remote' sediment. (A) Global content 1019 of C, O and Si obtained by EBS, in integrating the C, O and Si depth profiles over the 0 - 25000 TFU 1020 1021 interval. The error bars give an estimate of the uncertainties considering the counting statistics as well as the cross-section and stopping power uncertainties. Analysis have been performed in duplicate on the 1022 same area of the fossil feather (Fossil #1, Fossil #2), three points of analysis have been taken at three 1023 1024 different locations in the 'host' sediment (at 1.7, 3.2, and 4.8 mm away from the fossil), and one in the remote sediment (B) PIXE spectra obtained for one point of analysis in the fossil feather (red), the 'host' 1025 1026 sediment (black), and the remote sediment (blue). The spectra are normalized to the Al signal (which is mainly coming from the selective filter) to allow for a direct comparison. 1027

Figure 6. Cross polarization/magic angle spinning <sup>13</sup>C nuclear magnetic resonance (CP-MAS <sup>13</sup>C-NMR)
spectra of (A) the modern bird feather, (B) the fossil feathers, and (C) the 'host' sediment. Major
chemical functions are indicated on each peak.

Figure 7. IR spectra showing the comparison between of (A) a modern buzzard feather and *Anchiornis*feathers, and (B) *Anchiornis* feathers and the sedimentary matrix ('remote' sediment). See the text for
peak assignment. The spectra are normalized to 100 % transmittance.

Figure 8. Chromatograms of the products formed during the pyrolysis of (A) modern buzzard feathers,
(B) fossil feathers, and (C) remote sediment. Peak identifications are given in Tables 2 and 3. C<sub>n</sub>: carbon
chain, with *n* indicating the length of the chain.

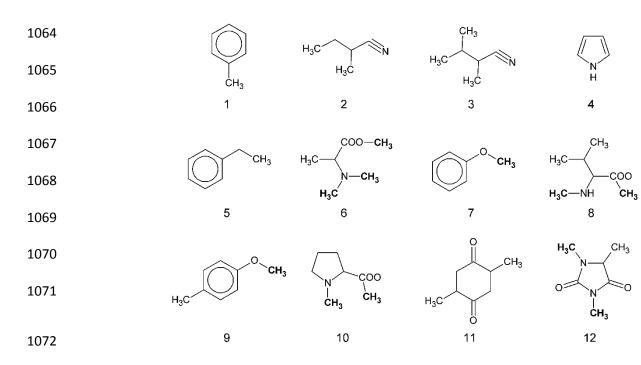
1037Table 1. Sulphur content derived from PIXE using GUPIX for the data reduction. The global uncertainty

1038 is obtained by combining the counting statistics and the fit error (both calculated by GUPIX) with the

estimated accuracy of our PIXE measurements. The latest contribution is obtained by direct comparison
to a BCR-126A certified reference material. The global uncertainty is obtained by summing these three
contributions in quadrature.

Table 2. Significance of the peaks observed on the pyrochromatogram of the modern bird feather. Peaks
are listed by increasing retention times. The table shows the complete name of each identified
compound, the origin of these compounds, and whether they are observed in the modern feather, the
fossil plumage, and the sediment. Peaks 1-18 and a-e were observed in the spectrum of the modern
feathers, peaks f-g were observed in the spectrum of the fossil feather.

1048	Appendix
1049	Molecular structures of compounds identified in the modern bird feathers. The correspondence with the numbers appears in Table 2
1050	and Figure 8.
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H<sub>3</sub>C、

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H₃C´

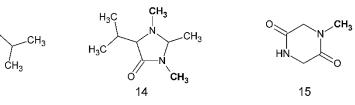
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H₃C′



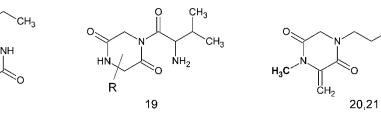
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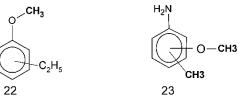
CH3

`CH₃

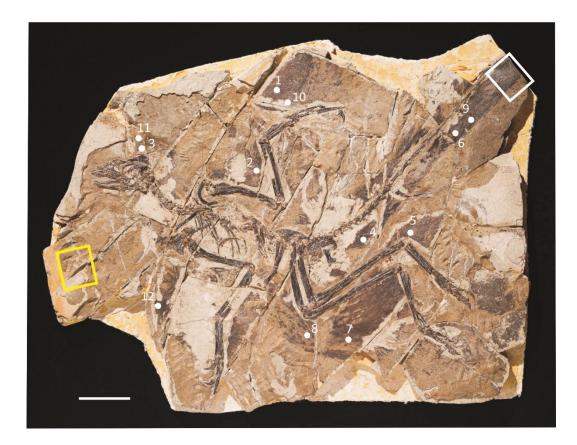


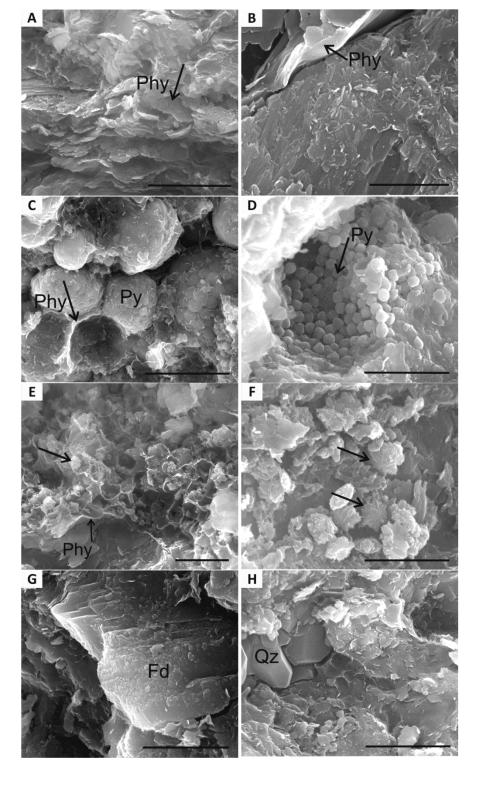
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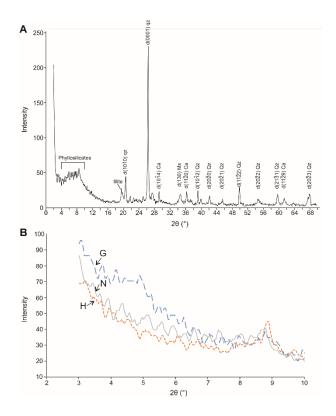


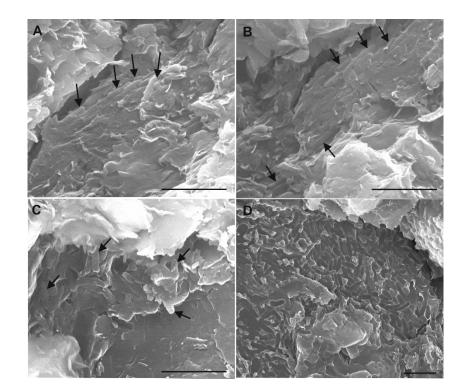


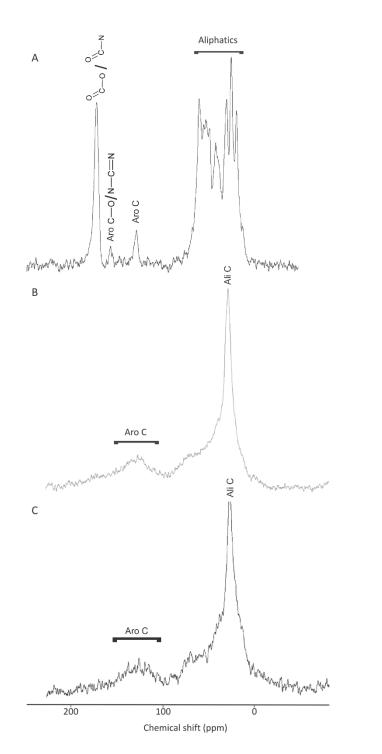
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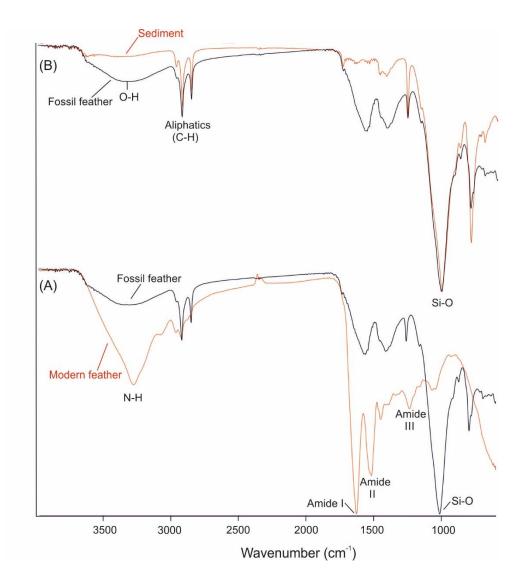












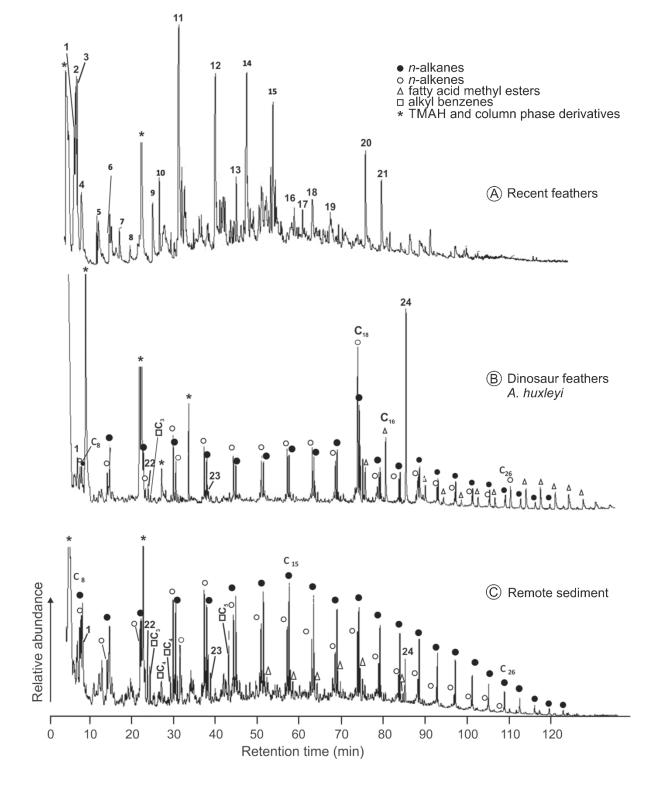


Table 1. PIXE derived concentration data for S and C in the fossil and modern feathers, and "host" sediment. The numbers in the left row represent the distance of the analyzed spots, away from the feather/sediment boundary. See Supplementary Table 1 for details on the global uncertainty calculations, Figure 1 and Supplementary Figure 2 for the location of the analyses.

	[S] (ppm)	[C ] (ppm)
Fossil Feather #1	1739	80,385
Fossil Feather #2	1946	82,171
+1.7 mm	1162	72,012
+3.2 mm	801	49,213
+4.8 mm	893	41,835
Remote sediment	98	20,331
Rachis	37,142	547,174
Barbs	43,070	314,962

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Pea	Retention	Major characteristic	Mole-	Compound	Possible	Modern	Fossil	Embedding
k	time	ions <sup>a</sup> (m/z)	cular		origin <sup>b</sup>	feather	feather <sup>c</sup>	sediment <sup>c</sup>
	(min)		ion					
1	6.7	<u>91;</u> 92; 39; 65	92	Methylbenzene	(Phe)	Х	Х	Х
2	6.9	<u>55</u> , 54, 42	83	2-methylbutanenitrile	Ileu	Х		
3	7,1	<u>43</u> , 41, 39, 68	83	3-methylbutanenitrile	Leu	Х		
4	8.0	<u>67;</u> 41; 39; 40	67	1 <i>H</i> -Pyrrole	(Ser)	Х		
5	12.1	<u>91;</u> 55; 106; 65	106	Ethylbenzene	(Phe)	Х		
6	14.8	<u>72;</u> 42; 56; 131	131	N,N- Dimethylalanine methylester	Ala	Х		
7	17.0	<u>108;</u> 78; 65; 39	108	Methoxybenzene	Tyr	Х		
8	19.5	<u>86;</u> 102; 42; 55	145	N-Methyl-valine Methyl Ester	Val	Х		
9	25.0	<u>122;</u> 77; 107; 91	122	1-Methoxy-4-methylbenzene	Tyr	Х		
10	26.5	<u>84;</u> 42; 100; 58	143	N-Methyl-proline Methyl Ester	Pro	Х		
11	31.2	<u>56;</u> 140; 42; 112; 83	140	2,5-Dimethylcyclohexane-1,4-Dione	Gly	Х		
12	39.7	<u>42;</u> 127; 142; 56	142	1,3,5-Trimethylimidazolidine-2,4-Dione	Ala?	Х		
13	44.8	<u>56;</u> 126; 139; 41	182	1-Isobutyl-4-isopropylimidazolinone	Val	Х		
14	47.2	<u>128;</u> 42; 71; 113	170	5-Isopropyl-1,2,3-trimethylimidazolidinone	Val-(Gly)	Х		
15	53.6	<u>128;</u> 42; 57; 71	128	1-Methylpiperazine-2,5-dione	Gly	Х		
16	58.6	<u>82;</u> 167; 182; 110	182	?	His?	Х		
17	60.5	<u>152;</u> 41; 55; 137; 179	194	?	Val?	Х		
18	62.9	<u>142;</u> 113; 42; 71	198	1-Methyl-3-(1-methylpropyl)piperazine-2,5-dione	Ileu-Gly	Х		
19	67.1	<u>142;</u> 113; 42; 98; 212	?	N-(1-oxo-2-amino-3-methyl-butyl)piperazine-2,5-dione derivative	Val	Х		
20	75.5	<u>139;</u> 70; 42; 168	210	N-Methyl-3-methylidene-6-(3-methylbutyl)piperazine-2,5-dione	Ser-Leu	Х		
21	79.2	<u>168;</u> 139; 70; 42	210	Isomer of compound 20	Ser-Leu	Х		
22	23.7	<u>136</u> , 121, 122, 91	136	1-Methoxy-2,3-dimethylbenzene or 1-Ethyl-2-methoxybenzene	Lignin or cellulose		Х	Х
23	38.1	<u>136</u> , 122, 137	137	Methoxy-methylaniline	?		Х	Х
24	86.3	<u>45;</u> 57; 97; 224; 252	284	1-Methoxyoctadecane	?		Х	Х
•		<u>43;</u> 57; 71; 85		<i>n</i> -alkanes	Aliphatic chains		$C_8-C_{30}(C_{18}, C_{11})$	$C_{8}-C_{29}(C_{15})$
0		<u>55;</u> 43; 69; 83, 97		<i>n</i> -alk-1-enes	Aliphatic chains		$C_8-C_{26}(C_{11}, C_{18})$	$C_8-C_{29}(C_{11}, C_{18})$
Δ		<u>87;</u> 74; 43; 55		Fatty acid methyl esters	Aliphatic chains		C8-C30 (C16)	C <sub>8</sub> -C <sub>30</sub> (C <sub>16</sub> )
		91, 105		Alkyl benzenes	?		$C_3$	$C_3-C_5(C_5)$

1102 Table 2. Main products released from pyrolysis of modern feathers, fossil feathers and embedding sediment in the presence of TMAH.

<sup>a</sup>MS fragments are in order of decreasing abundance, with base peak underlined.

- <sup>b</sup> Compounds in brackets indicate possible origin that is not univocal; Ala, alanine; Gly, glycine; His, histidine; Phe, phenylalanine; Pro, proline;
- 1105 Ser, serine; Tyr, tyrosine; Val, valine; ? Tentative origin
- 1106 <sup>c</sup>  $C_{range}$  ( $C_{max}$ ,  $C_{submax}$ )
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- 1108
- 1109