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**Design and Synthesis of Gold-Gadolinium-Core-Shell Nanoparticles as contrast agent: a Smart Way to Future Nanomaterials for Nanomedicine Applications.**

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## ABSTRACT

**Introduction.** The development of biopolymers for the synthesis of Gd (III) nanoparticles, as therapeutics, could play a key role in nanomedicine. Biocompatible polymers are not only used to complex monovalent biomolecules, but also for the realization of multivalent active targeting materials as diagnostic and/or therapeutic hybrid nanoparticles. In this paper, it was reported for the first time, a novel synthesis of Gd (III)-biopolymer–Au (III)-complex, acting as a key ingredient of core-shell gold nanoparticles (Gd(@AuNPs).

**Material and Methods.** The physical and chemical evaluation was carried out by spectroscopic analytical techniques (Raman spectroscopy, UV-Visible and TEM). The theoretical characterization by DFT (Density Functional Theory) analysis was carried out under specific conditions to investigate the interaction between the Au and the Gd precursors, during the first nucleation step. Magnetic features with relaxivity measurements at 7T were also performed as well as cytotoxicities studies on hepatocytes cell lines for biocompatibility studies. The *in vivo* detailed of dynamic biodistribution studies in mice to characterize the potential applications for biology as MRI contrast agents were then achieved.

**Results.** The principal aim of this study is to apply a Gd@Au chloride complex as building blocks of bimetallic nanoparticles under specific conditions of the reaction. Physical – Chemical evaluation by several techniques and computational studies confirms the successful of the design and reaction ipothysed. Viabilities of TIB-75 (hepatocytes) cells were evaluated using Alamar blue cytotoxic tests with increasing concentrations of nanoparticles. *In vivo* biodistribution studies were then accomplished to assess the kinetic behavior of the nanoparticles in mice and characterize their stealthiness property after intravenous injection.

**Conclusion.** We demonstrated that **Gd@AuNPs** have some advantages to display hepatocytes in the liver. Particularly, these nanoconjugates give a good cellular uptake of several quantities of **Gd @NPs** into cells, while preserving a T1 contrast inside cells that provide a robust *in vivo* detection using T1-weighted MR images. These results will strengthen the role of gadolinium as complex to gold in order to tune Gd(@AuNPs, as innovative diagnostic agent in the field of nanomedicine.

**Key words:** Gd-Gold complex; theoretical study; MRI; relaxivity; biodistribution.

## 1. Introduction

In recent decades, hybrid nanomaterials have been intensively investigated in the field of nanomedicine<sup>1-3</sup>, diagnosis<sup>4</sup>, and imaging<sup>5,6</sup>. The consolidation of several functional materials into nanocomposites, make up new opportunities in order to improve a variety of emerging applications of hybrid nanomaterials.<sup>7-9</sup>

The application area in which nanoparticles have obtained remarkable profit is in the biomedical field and in particular in diagnostic imaging<sup>10</sup>. Several types of nanoparticles have been inquiring for several imaging applications which includes biomolecules<sup>11</sup>, metal oxides<sup>12</sup>, and semiconducting nanomaterials<sup>13</sup>. Recently, gold nanoparticles (AuNPs) have been regarded as the common choice of imaging contrast and theranostic agents due to their optochemical properties, biological efficiency as biomarkers, strong X-ray absorption coefficients and efficient high-Z nanoparticle radio-enhancement, NIR light responsive agent to create nanosized contrast agents with molecular specificity<sup>14-16</sup>. The nanoparticles selected is dependent upon the required imaging modality<sup>17</sup>; gadolinium (Gd), owns a wide magnetic moment and seven unpaired electrons in the external shell, which are carried out as a clinical positive contrast agent for MRI as chelates<sup>18</sup>. Although, the clinical contrast agents for CT (cancer treatment) are principally based on tri-iodobenzene, which can effectively absorb X-rays. Unfortunately, the short circulation time of the Gd chelates and iodinated compounds, due to the nature of the small molecules under a few nanometer sizes, can limit the relative imaging technique from reaching the pathologic tissue of interest to be detected and from collecting the prerequisite information. Moreover, it is laborious to promote the grafting of small molecules for active targeting<sup>19</sup>.

Nanomaterials based on Gd (III) and AuNPs have recently showed significantly increased relaxivity values in Magnetic Resonance Imaging (MRI) due to geometrical confinement of Gd(III)-chelate compounds into porous structures compared to those with free chelated Gd (III) complexes<sup>20</sup>.

The payload of gadolinium, particularly under its Gd (III) form in NPs structures, has a notable effect in plenty of research fields from plasmonics to nanomedicine applications.<sup>21-23</sup>

Nevertheless, strategies to load NPs as contrast agents used in MRI, in harsh or poor loading conditions, result in NP surface modifications that alter the targeting *in vivo*.<sup>24</sup>

To provide a safe application in biological media, and to understand the importance of cationic metals in biological systems (charge balancing, stabilizing structures, catalyzing reactions, ...), gadolinium ions must be chelated to prevent the release of metal in the body. Another option could be the incorporation of the cations in "safe" nanostructures, and keeping

in mind that also the final design of the complex-hybrid NP might combine both options after being assembled together. In the recent literature, a fast synthesis method to develop polymer-modified AuNPs using biopolymers as stabilizers, under biological conditions<sup>25-27</sup> have been described. Other authors have synthesized and described hybrid nanoparticles based on sugar stabilizers for different applications in nanomedicine<sup>28</sup>.

In this paper, three bimetallic nano formulations (Gs@AuNPs) named NP1, NP2, and NP3, including Au-Gd complex wrapped into a biopolymer structure were designed and synthesized. Furthermore, these nanovectors including paramagnetic Gd (III) ions provided potential interesting magnetic for MRI imaging.

The purpose of the study is also the development of innovative molecular imaging methods based on dynamic recording of the uptake, and clearance of novel imaging probes. Indeed, the quantitative pharmacokinetics of theranostic nanovectors allowed to study the in vivo behavior, necessary to determine the stealthiness and targeted property of the probes, and supply the final biomolecular mapping for the determination of the diagnosis and the efficiency of a therapy. These novel perspectives will have a decisive impact on the evolution of high hybrid nanomaterials used in nanomedicine.

## **2. Experimental Section**

### ***2.1. Materials***

Tetrachloroauric acid ( $\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$ ), Gadolinium chloride hexahydrate ( $\text{GdCl}_3 \cdot 6\text{H}_2\text{O}$ ), sodium borohydride ( $\text{NaBH}_4$ ), phosphate buffer solution (PBS, pH4.0, 7.2-9.0), sodium chloride (NaCl), culture media cell (DMEM), dicarboxylic polyEthylene glycol (PEG)-600 (PEG), collagen Type I from calf skin (COL), chitosan (Deacetylated chitin, Poly(D-glucosamine) (CHIT), were purchased from Sigma-Aldrich (Saint-Quentin Fallavier, France). All chemicals were used as such without further purification. Milli Q water was used throughout the experiments.

**TIB-75 cell line was purchased from ATCC ( Americal Type Culture Cells. USA).**

### ***4.2. Physical chemical characterization***

All characterizations were performed in triplicate in order to confirm the reproducibility of the synthetic and analytical procedures<sup>29</sup>.

**UV-Vis absorption spectroscopy:**

As previously mentioned, absorption spectra were recorded in the 350-900 nm spectral range in water at Gd@AuNPs concentration equal to 0.28mM for [Au] and 13.94mM for [Gd].

**Transmission Electron microscopy (TEM):** Transmission Electron Microscopy was performed as previously described<sup>24</sup>.

**Scanning Electron Microscopy-Energy dispersive x-Ray analysis (SEM-EDX):** Scanning electron microscopy (SEM) investigation was performed on an environmental SEM (ESEM, Quanta 200 FEG, FEI Company Hillsboro, OR) equipped with an (EDX) spectrometer (Genesis 2000, XMS System 60 with a Sapphire Si/Li Detector from EDAX Inc., Mahwah, NJ).

**Raman Spectroscopy:** The Raman experiments were carried out as previously described<sup>24</sup>.

**Dynamic light scattering (DLS):** DLS analysis were performed as described previously<sup>24</sup>

**Zeta potential measurements:** The zeta potential of Gd@AuNPs in aqueous solution, was carried out as described above<sup>24</sup>

### ***2.3. Release kinetics under acidic conditions***

Release of the Gd@AuNPs was carried out in buffers of pH 4.0 under specific conditions<sup>20</sup>. In a glass vial, 1mL of Gd@AuNPs were dissolved in 50  $\mu$ L of phosphate buffer of required pH and take on stirring at 37°C of temperature. After 10 min of time, 1 mL of dispersion was withdrawn and centrifuged to separate the dye (rose bengale RB) released from the particles.<sup>30</sup> Amount of RB released from the NPs was evaluated by measuring its absorbance at 549 nm in supernatant<sup>30</sup>.

### ***2.4. Cytotoxicity Test***

The cytotoxicity was determined on TIB-75 hepatocytes using the Alamar blue test. The cells were cultured at 37°C in DMEM + Glutamax containing 10% fetal bovine serum (FBS, Gibco Life Technologies), in 96 wells plaques 100  $\mu$ M of streptomycin, and 100 units/mL of penicillin. They were maintained in a 5% CO<sub>2</sub>-humified atmosphere and passaged twice a

week by removing the adherent cells with 0.05 % Trypsin- EDTA. Fluorescence measurements at 570 nm were performed on a TECAN Infinite 200 plaque reader and IC50 was assessed from the normalized values using Graphpad Prism software.

### 2.5. *In vitro* relaxivities studies

Samples of MNPs and UMLs were diluted in injection buffer (0.108 M NaCl, 0.02 M sodium citrate and 0.01 M HEPES) at various concentrations of Gd (0, 0.1, 0.5, 1.0, 3 mM).

*In vitro* relaxivity experiments were carried out by recording  $T_1$  and  $T_2$  maps with a 7T MR imaging vertical spectrometer fitted with an ultra-shielded refrigerated magnet (300WB, Bruker, Avance II, Wissembourg, France), and equipped with a nominative 200 mT/m actively shielded gradient. The software Paravision 5.1 allowed the acquisitions with the following parameters: for  $T_1$  map: RARE images ; TE = 13ms ; TR = 15 s, 8 s, 3 s, 1.2 s, 0.8 s, 0.594 s, 0.3 s, 0.144 s, 0.05 s, 0.033s, RARE factor 2; for  $T_2$  map: multi-echo MSME images: hermitian pulse, TR/TE = 15 s/11 ms, 32 echos. Fields of view of 3 x 3cm<sup>2</sup>, a matrix size of 128 x 64 and a slice with a thickness of 1.5mm were used for  $T_1$  and  $T_2$  maps. Relaxation times  $T_1$ ,  $T_2$  and  $T_2^*$  of each sample were calculated by fitting (for  $T_1$ :  $y = A + C \times (1 - \exp(-\frac{t}{T_1}))$  ; for  $T_2$  and  $T_2^*$  :  $y = A + C \times \exp(-\frac{t}{T_2})$  ).

Molar relaxivities  $r_1$ , and  $r_2$  in mM<sup>-1</sup>.s<sup>-1</sup> were obtained using the following equation:

$$(1) \quad \frac{1}{T_y} = r_y[Gd] + \frac{1}{T_{y,0}} \quad \text{with } y: 1 \text{ or } 2.$$

### 2.6. *In vivo* biodistribution studies by MRI

Biodistribution studies were achieved *in vivo* by MRI<sup>21</sup> to assess the uptake and clearance from kidney, muscle, spleen, liver more easily observable by MRI, a non invasive *in vivo* bioimaging method.

All animal work was performed in accordance with the institutional animal protocol guidelines in place at the University Paris Descartes, saisine CEEA34.JS.142.1 and approved by the Institute's Animal Research Committee.

Wild-type female 8 weeks BALB/c mice were anaesthetized by Isoflurane (1.5% air/O<sub>2</sub> 0.5/0.25 L.min<sup>-1</sup>) inhalation and positioned into a dedicated contention cradle with monitoring of the breath, and temperature of the animal thanks to specific detectors. 100  $\mu$ L of Gadolinium Au nanoparticles in saline 0.9% with an optimized concentration of 10 mM of Gd

were intravenously injected via the tail vein through a dedicated non magnetic 30G catheter while the mouse was in the scanner. One agent (NP3) was imaged representative of the series of agent with n=6 mice for reproducibility.

Images were acquired at 7 Tesla (300 MHz) micro imaging spectrometer (Bruker, Karlsruhe Germany) previously described<sup>51</sup>.

The scanning protocol was developed using Paravision 5.1 software.

After positioning anatomic slice recorded in axial directions to locate the different organs of the mice, DCE Dynamic Intragate Flash for motion free artifacts and multislicesT<sub>1</sub>weighted sequence images were recorded. The final images have a spatial resolution of 117 μm x 117 μm in plane. The scan time was in the order of 3 min 14 s per images which were sequentially recorded before and after the contrast agent injection. The dynamic follow-up is measured during a first scan time of 40 min, then at 3h, 6h, 24h and 48 hours post injection.

To study the biodistribution of the nanoparticles, post processing of the dynamic images consists in delineation and monitoring of several regions of interest (ROI) into the mouse body MRI images, the liver, muscle, spleen and the kidney. The corresponding MRI intensities related to the amount of the nanoparticles contrast agent were plotted against time to visualize the uptake and clearance of the Gd scaffolds in the organs. Comparison with commercial DOTA-Gd (Guebert, France) as a reference was also performed at the corresponding concentration of Gd (10 mM). Uptake, permanence and clearance times were **visually** assessed and semi quantitative kinetic parameters were measured from the dynamic curves and compared to the novel contrast agent.

### **2.7. Stability of Gd@AuNPs**

The stability of Gd@AuNPs was monitored by UV VIS. All nanoparticles were dissolved in culture media (DMEM + 10% FBS) solution during 72 h (**Figure S2 Supporting Informations**).

### **2.8. Synthesis Procedures of Gd @AuNPs:**

#### **Synthesis of NP1**

10 ml of Gd solution (0.86mM) was mixed with 20 ml of 0.0001M aqueous HAuCl<sub>4</sub> solution for 10 min. After this time, 250 μl of polyethylene glycol 600 diacid (PEG) was added under stirring for 5 min. After 10 min, 1.2 ml of NaBH<sub>4</sub> (0.01M) was added drop-wise followed by



rapid stirring and kept without agitation for 2h. The resulting red-rose solution was centrifuged and purified **as methodology described previously<sup>29,31</sup>**.

### **Synthesis of NP2**

NP2 were prepared under the same protocol of NP1.

10 ml of Gd solution was mixed with 20 ml of 0.0001M aqueous HAuCl<sub>4</sub> solution for 10 min. After this time, 250 µl of polyethylene glycol 600 diacid (PEG) was added under stirring for 5 min; then 500µl of collagen (COL) and 1ml was added under stirring at room temperature.

After 10 min, 1.2 ml of NaBH<sub>4</sub> (0.01M) was added drop-wise followed by rapid stirring and kept without agitation for 2h. The resulting violet solution was centrifuged and purified at the same conditions.

### **Synthesis of NP3**

NP3 were prepared under the same protocol of NP2. 10 ml of Gd solution was mixed with 20 ml of 0.0001M aqueous HAuCl<sub>4</sub> solution for 10 min. After this time, 250 µl of polyethylene glycol 600 diacid (PEG) was added under stirring for 5 min; Then 500µl of collagen and 1ml of CHIT solutions were added under stirring at room temperature. After 10 min, 3mL (and/or 1.2 ml ) of NaBH<sub>4</sub> (0.01M) was added drop-wise followed by rapid stirring and kept without agitation for 2h. The resulting red-wine solution was centrifuged and purified at the same conditions.

#### ***2.8.1 Determination of Gd@AuNPs concentration***

**Gd@AuNPs** concentration was calculated mathematically in colloidal solution by Lambert-Beer law ( $A = \epsilon C l$ ). In this equation  $A$  is the maximum absorbance of the UV-visible spectrum,  $\epsilon$  is the molar extinction coefficient (equal to  $3.07 \times 10^{10} \text{ M}^{-1} \text{ cm}^{-1}$ ),  $C$  is the molar concentration of colloids and  $l$  is the optical length of cuvette (1 cm). The resulting  $C$  was multiplied for the dilution factor to obtain the concentration of colloids.<sup>32</sup>

#### ***2.8.2 Purification and efficiency of Gd@AuNPs***

The encapsulation efficiency (percentage of Gd bound to Au-surface) was evaluated as the difference between the initial Gd content and the amount of *free* Gd in the filtrate after ultrafiltration (Ultrafree MC centrifugal filter units, 30,000 NMWL, Millipore, USA). The

reaction efficiency resulted equal to 75%. **Gd@AuNPs were dispersed at concentration of  $1.2 \times 10^{12}$  particles/ml in 2.0 ml PBS under physiological conditions. Dialysis was performed with membrane tubing with dialysis tubing Spectra/Por 3 (molecular weight cut-off 3500 Da, Serva Electrophoresis, Germany) with continuous stirring (150 rpm).**

### 2.9. Computational Details– DFT study

The interaction energies were calculated using the *ab initio* plane-wave pseudopotential approach as implemented in the VASP code<sup>22</sup>. The Perdew-Burke-Ernzerhof (PBE) functional<sup>23</sup> was chosen to perform the periodic DFT calculations with accuracy on the overall convergence tested elsewhere<sup>24</sup>. The valence electrons were treated explicitly and their interactions with the ionic cores are described by the Projector Augmented-Wave method (PAW),<sup>28, 37-38</sup> which allows to use a low energy cut off equal to 400 eV for the plane-wave basis. The integration over the Brillouin zone was performed on the point, in all calculations. In the geometry optimizations at 0 K, the positions of all atoms in the supercell are relaxed in the potential energy determined by the full quantum mechanical electronic structure until the total energy differences between the loops is less than  $10^{-4}$  eV.

In order to account for the dispersion interaction in the system, DFT-D3<sup>25</sup> was used, as implemented in VASP, which consists in adding a semi-empirical dispersion potential to the conventional Kohn-Sham DFT energy.

In order to compare the results with the experiment, water was implicitly included in the calculations, by means of the PCM correction as implemented in VASP.<sup>26</sup>

## 3. Results and discussion

### 3.1. The formation mechanism of Gd-Au complex(Gd@Au)

Several intermolecular interactions between organic compounds and metal chlorides were overcome in order to obtain new molecular systems for medical and bio-physical applications<sup>33,34</sup>. The key to this study is to apply a Gd@Au chloride complex as building blocks of bimetallic nanoparticles under specific conditions of the reaction.

For this aim, in the first step,  $\text{GdCl}_3 \cdot 6\text{H}_2\text{O}$  and  $\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$  were mixed in ultrapure water solution at room temperature (**Scheme 1- panel s1**).

**Figure 1** showed the absorption spectra fingerprint of each solution ( $\text{GdCl}_3 \cdot 6\text{H}_2\text{O}$ ,  $\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$ ) and the complex of them (Gd@Au). The UV-Vis Spectra showed typical

spectra of  $\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$  (black line) with 2 prominent peaks at 256 nm and 290 nm (**black line in Figure 1A**). When  $\text{GdCl}_3 \cdot 6\text{H}_2\text{O}$  was added to  $\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$  solution, a chemical-physical modification appeared evidenced, in the UV Vis Spectra (**blue line in Figure 1A**). The optical modification in the absorption spectra was indeed characterized by an intensity decrease and blue shift of the peak at 280 nm, due to the electronic transition associated with  $\text{Gd-AuCl}_2^-$  ions upon complexation. The evolution of  $\text{Gd@Au}$  complex was still monitored under acidic and alkaline conditions by Localized Surface Plasmon technique (LSP). **Figure S1 in Supporting Information**, shreds of evidence of two absorption peaks at 242 nm and 308 nm under acidic conditions (PBS; pH 4) compared to a singular peak at 235 nm under basic conditions (PBS; pH 9). This spectroscopic behaviour principally originates from the simultaneous participation of protonic and/or anionic groups into electronic transition bands conferring the appearance and/or disappearance of plasmon peaks in the UV –VIS spectra confirming the hybrid complex arrangement.

Raman Spectroscopy (**Figure 1B**) also displays a peak at  $477\text{ cm}^{-1}$  due to  $\text{Gd-Au-Cl}$  and the disappearance of the peaks at  $655\text{ cm}^{-1}$  and  $550\text{ cm}^{-1}$  due to  $\text{Gd-OH}$  and  $\text{Au-OH}$  stretching. This spectroscopic behaviour was associated with  $\pi\text{-}\pi^*$  electronic transitions due to the interactions between  $\text{GdCl}_3$  and  $\text{AuCl}_2^-$  ions<sup>8,35</sup> and this give better evidence of the complex formation confirming the electronic delocalization thought the bimetallic complex<sup>8</sup>.

### ***3.2. The formation mechanism of core-shell $\text{Gd@Au}$ NPs and the role of polymers as a stabilizing agent***

Many authors have realized the association between Au nanoparticles and Gd chelates in order to realize multimodal MRI/CT contrast agents<sup>17</sup>. For this purpose, other scientific investigations based onto Gd-chelate-embedded gold nanorods,<sup>36,37</sup> Gd-enriched DNA AuNPs conjugates<sup>38</sup>, and grafting Gd chelates on gold nanostructures as multimodal MRI/CT contrast agents have been carried out. Thanks to the small sizes of Gd chelates, a low magnetic centre Gd (III) payload for the particle prevents further bioconjugation and active targeting of AuNPs in biomedical applications<sup>39</sup>. In contrast to previous works, a novel  $\text{Au(III)-Gd(III)}$  complex, entrapped in polymeric chains was used as building blocks to form core-shell bimetallic nanoparticles ( **$\text{Gd@Au}$  NPs**).

The synthesis of  **$\text{Gd@AuNPs}$**  named **NP1-NP2-NP3**, was carried out by reducing tetrachlororoauric acid in the presence of gadolinium salt and different biocompatible polymers (PEG-diacid COL, CHIT) using sodium borohydride ( $\text{NaBH}_4$ ) as a reducing agent. Particles formation and growth were controlled by the amphiphilic character of the polymers

and includes main steps (**scheme 1**): (1) Complexation between  $\text{GdCl}_3$  and  $\text{AuCl}_4^-$  to form gold-gadolinium clusters (Gd (III)-Au (III)) (**scheme 1- panel s1**), (2) adsorption of COOH-terminated PEG polymer molecules onto Gd (III)-Au (III) complex and initial reduction to Gd (III)-Au (II), (3) interaction of collagen (COL) and Chitosan (CHIT) molecules respectively by electrostatic forces (**scheme 1- panel s2**), (4) complete reduction and growth of gadolinium-gold particles (**scheme 1- panel s3**). In the latter case, Gd@Au complex molecules are expected to be involved in the nucleation process and may, thus, influence the final shape and size of nanoparticles (NP1-NP2-NP3).

### 3.3. Spectroscopic evaluation of Gd@Au NPs

Previously G. Boyes et al.<sup>40</sup> have grafted thiol-terminated polymer chains onto Gd nanocomposite through the coordination of the thiolate end group with Gd (III) ions<sup>41</sup>.

The employment of polymer has shown a strong interaction between Gd composite and AuNPs<sup>17,40,42</sup>. In the present study, it was demonstrated that the introduction of the polymer as chelating surfactant, play a key role in the final form of bimetallic nanoformulation.

TEM images of NP1 embedded in a shell of PEG showed a metal core of diameter around  $20 \pm 1$  nm (**Figure 2-A-a1 left** over panel; low magnification) with a hydrodynamic layer of about 80 nm due to polymeric bimetallic complex (**Figure 2-A right** over panel; high magnification). Unlike nanostructures that were obtained with NP2.

They exhibited a polyedric-like shape, embedded in a shell of PEG and COL, in which metal nanoparticles showed a diameter around  $40 \pm 2$  nm (**Figure 2-A-a2 middle panel; left low and right high magnification**) with a hydrodynamic layer of about 5 nm. Similar polyhedral nanostructures have previously obtained thanks to the growing of macromolecules onto [110] gold facet<sup>31</sup>. In our case, it was supposed that during the growing process, COL and PEG undergo a conformational chemical arrangement responsible for adsorption onto the preferentially [110] gold facet, with consequently with the achievement of a polyhedral shape. TEM images to NP3 display a snowflake-like shape, embedded in a shell of PEG, COL, and CHIT with a diameter around  $60 \pm 2$  nm (**Figure 23-A-a3 left** under panel; low magnification), with a layer average thickness of  $3.5 \pm 1$  nm (**Figure 2-A right** under panel; high magnification). Beforehand we revealed the synthesis of comparable nanostructures utilizing dicarboxylic PEG, Chitosan<sup>4b, 28 29</sup> and drugs<sup>30 31 16c</sup>, while characteristic snowflake nanoparticles were acquired by consolidating protoporphyrin particles in the development solution of AuNPs<sup>27</sup>. On the basis of previous studies<sup>25 27 26</sup>, it was assumed that this chemical

behaviour, is attributed to a different adsorption behavior onto gold facets [1.1.0] of CHIT onto complex PEG-COL-GdAuCl<sub>2</sub><sup>-</sup>, based on their different steric conformation of chemical groups during nucleation and growth process of Gd@AuNPs. Hence, it was assumed that, when the polymer ligands were added to the Gd@Au solution, the carboxylic group of polymer, being initially bound to Gd (III)-Au(III) clusters electrostatically, was embedded in dicarboxylic PEG in a mushroom conformation<sup>2729</sup> and then bounded with COL and CHIT, respectively, in order to form hybrid- **core-shell** nanoparticles. Accordingly, it is possible to obtain different shapes and sizes of NPs, by varying the concentrations of reagents and the order of the added mixture in the reaction. In this way, the behavior of each polymer in the formation of bimetallic micelles during the growth process of nanoparticles can be modulated. **Figure 3A**, report absorption spectra of **Gd@Au NPs**, all characterized by peaks at 300 nm and 238 nm, and a surface plasmon band in the range 530-560 nm. The slow shift of the band position depends on the ratio of the gold salt and the capping materials during the reaction processes. NP1 (red line **Figure 3 A**) shows a plasmon peak at 560 nm. This peak is assigned to collective surface plasmon oscillation of the metal electrons in the conduction band, due to the interaction of electrons with the light of that wavelength. **PEG** can be used as stabilizing polymers due to the formation of coordination bands between Au (III) and Gd (III) ions with carboxylic groups. This chelation evenly better dispersed Au ions and Gd which were reduced to form **Gd@Au NPs** of relatively uniform size. NP2 (blue line) and NP3 (green line) in **Figure 3 A**, shows a strong resonance band at around 222 nm, a weaker one at 300 nm. A shift and a strong decrease of the plasmon peak to 534 nm for NP2, and 555 nm for NP3 was observed. This spectroscopic behaviour is due to the steric arrangement of PEG, COL, and CHIT as stabilizers during the synthetic process with the amine or carboxylic groups, respectively. We suppose that this phenomenon is due to electronic delocalization through polymer chains-metal complex and HOMO-LUMO transition, confirming that polymers (PEG, COL, CHIT) were effectively involved in the nucleation process and creates an interaction with Gd-Au.

The NP sizes were confirmed by DLS measurements (**Table S1 in Supporting Information**). Zeta potential measurements show that **Gd@AuNPs** were colloiddally stable at physiological pH. The synthesized **Gd@AuNPs** (NP1-NP2-NP3) show a negligible change in the LSP band position over a period of 72 h in cell culture media (DMEM+10% FBS) (**Figure S2 in Supporting Information**).

Raman spectroscopy and surface-enhanced Raman scattering were used to confirm the influence of Gd ions in Au-polymers nanoparticles. As described previously<sup>23</sup>, the presence of

Gd ions provides to enhance the Raman signal of biomolecules (protein, natural polymer) capped onto gold nanoparticles (AuNPs).

Raman spectra of **Gd@AuNPs** at  $4.5 \times 10^{-4}$  M are shown in **Figure 3B**. The region from 1200 to 1550  $\text{cm}^{-1}$  corresponds to the vibrations of N-H bending and C-N stretching, while the region between 1550 and 1750  $\text{cm}^{-1}$  corresponds to the C=O stretching mode. The band observed near 836  $\text{cm}^{-1}$ , corresponds to vibrations of the aromatic ring. This enhancement is the result of the electric field around the gold nanoparticles that interact with collagen molecules, in the presence of gadolinium.

The characteristic vibration mode for the amine group is located at 1630  $\text{cm}^{-1}$  (highlighted band in **Figure 3B**). This band shows a Raman signal enhancement factor 40 times higher than that for free collagen<sup>43</sup>. The increment in the Raman signal of collagen could be due to a combination of three factors: (i) formation of well-defined hot-spots, where Gd (III) ions work as spacers between gold nanoparticles; (ii) the refractive index contrast produced by the presence of Gd (III) ions can cause an increment in the electric field around the nanoparticle producing, a higher enhancement factor, and (iii) a charge transfer effect between gold nanoparticles and Gd (III) ions<sup>44,45</sup>.

**Gd@AuNPs** were characterized by EDX microanalysis, in which the simultaneous presence of Gd and Au of all nanoparticles was qualitatively proven (**Figure S3 Supporting Information**).

### **3.4. Kinetic release and disaggregation**

Release kinetics is a crucial parameter for biomedical application of NPs<sup>30,46</sup>. We decided to realize this study onto NP3 since it appears as the best candidate in terms of biological stability and, MRI contrast agent. The release of Gd (III) as gold complex from NP3, was carried out in buffer solutions, under acidic (PBS; pH 4) and temperature conditions (37°C). The kinetic process was investigated by LSP and Raman spectroscopy. At pH 4.0, the release of 2% was noticed after 18 h and remained almost the same even after 180 h (**Figure S4-A in the Supporting Information**). The Raman band at 260  $\text{cm}^{-1}$  was monitored to assess Gd (III) release from NP3. We performed our measurements each hour, noting a remarkable spectral change after 24 h, until complete-increase after 48 h (**Figure S4-B in the Supporting Information**). These findings established that Gd (III) release was pH- and time-dependent

after long term . We believe that during incubation at pH 4, Gd@Au complex migrates in the PEG and CHIT chains and is released upon CHIT-PEG-GdAuCl<sub>2</sub><sup>-</sup>. This scientific speculation can explain depolymerization and the change of size at different pH<sup>47</sup> (**Figure S4-C in the Supporting Information**). We also observed a gradational modification of shape and size from nanoflowers of about 60 nm (as synthesized) (**Figure S4-C panel a t=1h**) to small nanospheres of about 5 nm (pH 4.0) (**Figure S4-C panel d, t=72h**). Between these two states, we relieve an intermediate one in which a morphological variation, as well as depolymerization from the original structure, was more evident (**Figure S4-C panel b, c**). We deduce that, under pH conditions, a strong change of shape and size of NP3 was made and Gd (III) was released as gold complex, as previously described for other experiments<sup>27,47</sup>.

### 3.5. Computational results

In order to investigate the interaction between the Au and Gd precursors being considered as the very first nucleation step of the particles studied, a molecular geometry study at the DFT level corrected for dispersion interactions was performed.

The precursors contain Cl<sup>-</sup> and OH<sup>-</sup> ligands. The ligand stoichiometry depends on the pH at which the synthesis is performed (**Figure 4**). As it was shown in one of our earlier studies on the Au precursor, the presence of Cl<sup>-</sup> has an impact on the interaction that drives the nucleation and the interaction with its environment<sup>48</sup>.

Both precursor molecules were positioned in each other's neighbourhood (Au-Gd distance  $\approx 7$  Å) followed by a geometry optimization. The different start geometries were investigated and at every metastable converged geometry an extra geometry optimization was performed until the total energy of the complex could not be lowered (stabilized) more. This procedure was undertaken for every combination of Cl<sup>-</sup>/OH<sup>-</sup> and this for every relative position around the Au and the Gd center. The interaction energy is calculated as follows:

$$E_{\text{int}} = E(\text{HAu}(\text{OH})_{4-x}\text{Cl}_x \cdots \text{Gd}(\text{OH})_{3-y}\text{Cl}_y) - E(\text{HAu}(\text{OH})_{4-x}\text{Cl}_x) - E(\text{Gd}(\text{OH})_{3-y}\text{Cl}_y) \quad (1)$$

A graphical representation of the interaction energies (see eq. 1) between both metal complexes for every value of x and y is presented in **Figure 5**.

It can be seen that the presence of chlorine influences the interaction energy in a non-linear way (See e.g. red line in **Figure 5**). Indeed, the position and the number of  $\text{Cl}^-$  around each metal center, influence the stabilization of the complex. Moreover, it is interesting to note that one can divide the interactions into the following types: OH-OH, OH-Cl, Cl-Cl, and Gd-Au.

The most stable configuration is found for  $\text{GdCl}_3$  interacting with  $\text{HAuHau}(\text{OH})_4$ , (i.e.  $x = 0$ ,  $y = 3$ ; -4.19 eV) (**Figure 5 a**). The presence of one  $\text{Cl}^-$  on the Au metal complex destabilizes it with 0.18 eV. From this result, one has to conclude that the H-bond interaction, that is expected to be formed between the complexes containing OH-groups do not compete with the metal-metal interaction in the complex and that Cl-Cl halogen bonding is, as expected weaker than a hydrogen bond.

The destabilizing effect takes place after the addition of one  $\text{Cl}^-$  on the Au centre, even with the addition of a second and a third  $\text{Cl}^-$ . Nevertheless, the complexes do not reach the stabilization found for the  $\text{HAu}(\text{OH})_4 \dots \text{GdCl}_3$  complex containing no  $\text{Cl}^-$  on Au.

It is clear that this form of the precursor is the best for the Au precursor to interact with the Gd-precursor. Just for the sake of completeness, the  $\text{HAu}(\text{OH})_3\text{Cl} \dots \text{Gd}(\text{OH})\text{Cl}_2$  is found the least stable one. However, two questions emerge: What is the stabilizing effect between the OH-Cl groups? And, what is the effect of the relative distribution of the Cl groups around the metal center of the interaction energy?

The geometry of this complex shows us the Penta-coordination of Gd, the presence of bridging OH groups between Gd and Au and the shift of the H atom on a Au-OH group. Gd shows a high affinity for Cl, whereas Au prefers OH groups in our calculations. In general, the geometrical feature that destabilizes the complex is the presence of Cl between the metal centers.

Having these stability trends in mind, what about the Cl content of the complexes in experimental conditions, especially, the role of the pH on the Cl content of the complexes ?. For the Au-precursor it is known that the optimal nucleation conditions for the formation of gold nanoclusters are in the range of 6 – 9. The gold complex at this optimal pH is shown in **Figure 5b** which corresponds to  $\text{HAu}(\text{OH})\text{Cl}_3$ . Surprisingly, it is this precursor type that has the strongest destabilizing effect.

The gold complex was found to decrease its affinity for the Gd complex with an increasing number of  $\text{Cl}^-$  groups<sup>48</sup>. However, in the actual case of interaction with a Gd complex, the opposite trend is observed, i.e.  $\text{GdCl}_3$  interacts more strongly to  $\text{Au}(\text{OH})_3\text{Cl}$  than the Gd hydroxides (See *Figure 3 in ref.* <sup>48</sup>). The explanation for this contrasting finding can be explained by electronegativity softness (polarizability) competition<sup>49</sup>. From the very low



electronegativity of Gd compared with the very high electronegativity of Au, i.e. 1.20 and 2.54 on the scale of Pauling, respectively, it is clear that the polarity of the ligands is largely affected. Which can explain this opposite trend compared with Au or other more electronegative elements, such as Si, as was found in the case of silica (Si-OH groups). The hydroxylated Gd complex is found to more strongly interact than the chlorinated ones in accordance with the higher electronegativity of the oxygen atoms. In contrast, the interaction decreases upon chlorination resulting from the higher polarizability, charge capacity or softness of  $\text{Cl}^-$ .

The  $\text{Cl}^-$  surrounding Gd can attract more charge and thus interact more strongly. Earlier, delicate uncommon earth metal ion unequivocally reinforced with too hard donor oxygen atoms has been clarified by Pearson's HSAB principle<sup>37</sup>. With this trend in mind one can predict the optimal conditions to form Gd-Au clusters; i.e. the hypothetical pH conditions in which Gd is surrounded by  $\text{Cl}^-$  and Au by OH groups, i.e. basic conditions.

### ***3.6. In vitro cytotoxicity and relaxivity***

Viabilities of TIB-75 (hepatocytes) cells were evaluated using Alamar blue cytotoxic tests with increasing concentrations of nanoparticles. **Figure 6 A** shows mild toxicity at Gd concentrations above 0.2 mM concentration to 3.8 mM. The *in vivo* injected amount should, therefore, be inferior respectively from 2 mM of 38 mM of Gd.

Relaxivities in solution were measured at 7 Teslas and were compared to Dotarem Gd complex commercial MRI contrast agent. Values of longitudinal and transverse relaxivities  $r_1$  and  $r_2$  are given for these three types of objects in **Figure 6 B** and Table 1.

Gd (III) complex contrast agents are well-known for their contrast agent properties in MR Imaging. They provide a hyper signal in appropriate  $T_1$  weighted MRI acquisition sequences. Gd@Au nanoparticles longitudinal relaxivity  $r_1$  increases with a better result for NP3, compared to the one of Gd (III)Dotarem and with smaller values as expected compared to free Gd (III) ions. Noteworthy, the  $r_1$  relaxivity values increase with the NP core sizes 19, 40 and 60nm and hydrodynamic diameter of 100, 45 and 64 nm, for respectively NP1, NP2 and NP3; 6,4 8,4 and 9,9  $\text{mM}^{-1} \cdot \text{s}^{-1}$ . which could be rationalized by water accessibility to Gd inner sphere thanks to the decreasing polymer layer size of 80, 5 and 3,5nm which favoured optimized water exchange rates **and is the principal factor affecting  $r_1$  relaxivity for this 50-100nm size nanoparticles**. These  $r_1$  values obtained at high magnetic field 7T are exceptionally high

for nanoparticles, as usual Gd CA' s relaxivities are around  $4\text{mM}^{-1}\cdot\text{s}^{-1}$  and that demonstrated the strong efficiency of these novel Gd@AuNPs as contrast agents (CA).

Rotze et al. have performed a synthesis and characterization of a nanoconjugate CA by covalent binding of Gd(III) to thiolated DNA (Gd(III)-DNA), followed by grafting onto gold nanostars (DNA-Gd@stars)<sup>50</sup>. These 26 nm size conjugates display remarkable  $r_1$  with values up to  $98\text{ mM}^{-1}\cdot\text{s}^{-1}$  at 1.4T. Besides, DNA-Gd@stars show efficient Gd(III) delivery and biocompatibility in vitro, evolvemeaningful contrast increase in solution when imaged at 7 T, and present potential in vivo applications.

**Table 1.** Values of relaxivities  $r_1$  and  $r_2$  are expressed in ( $\text{mM}^{-1}\cdot\text{s}^{-1}$ ) measured at 7T, and corrected (second lines) from ICP AES elementary analysis (Institut Physique du Globe (IPG) ICP AES Facility, Paris);  $r_2/r_1$  ratio is calculated to evidence the T1 type MRI contrast agent ( $>1$ ).

<b>Contrast agents</b>	<b><math>r_1</math></b>	<b><math>r_2</math> c</b>	<b><math>r_2/r_1</math></b>
<b>DOTAREM</b>	4.0	3.9	1.1
<b>Gd (III)</b>	9.5	5	
<b>NP1</b>	6.47	9.03	1.40
	6.43	9.01	1.40
<b>NP2</b>	8.98	12.35	1.38
	8.49	12.23	1.44
<b>NP3</b>	9.79	14.29	1.46
	9.89	14.26	1.44

Compared to the literature, the present nanoparticles display improved features in terms of MR imaging. Meade et al. have developed Gd(III)-gold nanoconjugates with varied chelate structure and nanoparticle-chelate linker length, showing good biocompatibility, high cellular internalization for cell tracking and MRI abilities at high 7T and 9.4T magnetic field. The  $r_1$  relaxivities values at 7T of our Gd@AuNPs are higher by a factor of 1.5 to 2.4 compared to the small size commercial DOTA Gd and the Gd@AuNPs nanoconjugates of 25 nm size.

### **3.7. In vivo biodistribution by MRI**

*In vivo* biodistribution studies were then accomplished to assess the kinetic behavior of the nanoparticles in mice and characterize their stealthiness property after intravenous injection. For further nanomedicine application in vivo, nanovectors should indeed circulate long

enough more than about 30 min into the bloodstream to be able to reach a potential targeted tissue, before being captured by the final reticulo-endoplasmic system, here liver and spleen. The NP size superior to 20 nm predicts a hepatic uptake. The natural uptake and clearance pathway for exogeneous scaffolds of a small size under 5 nm is the kidney. The proposed imaging method enabling such in vivo biodistribution is based on Dynamic Contrast Enhanced MR imaging with specific time observation adjusted from min to days. It allows following the organs uptake by kinetic imaging acquisitions to gain the whole process of nanoparticle elimination: liver uptake, remanence and clearance<sup>51,52</sup>.

**Figure 7(A-A1)** and **Table 2** summarize the kinetics of uptake and clearance of NP1 with examples of MRI pictures illustrating the evolution of the signal versus time at each remarkable point (A: initial signal, B: increasing slope, C: plateau, D: decreasing signal). The NP uptake by the liver results in an increase of signal in the liver up to 40% with an increase duration of 10 min corresponding to the NP circulation into the bloodstream. The plateau is reached during about 30 min meaning the accumulation of the NP in to the liver and the decreasing period during 48h corresponds to the clearance time.

The biodistribution in other organs (spleen and kidney) were recorded by In vivo DCE MRI at 7T and showed in **Figure S5 in Supporting Informations**. Semi quantitative kinetic parameters can be deduced from the DCE curves. The biodistribution in the spleen looks like the liver one. In the kidney, the uptake is immediate corresponding to the perfusion through the cortex and the clearance took place after 3 to 5 hours post injection. The CA is not eliminated by the glomerular filtration as shown by the expected lack of medullar and bladder signal.

It is of interest to compare these results with the biodistribution of the small size commercial contrast agent DOTAREM (**Table 3**). Indeed, as **Figure S6** displays, the uptake in kidney is quick and the clearance phase immediately follows during 3h, corresponding to a half life of 90 min. In liver, the clearance is also rapid within about 1hour, corresponding to a vascular complementary circulation. To sum up, DOTAREM is eliminated more quickly about 10 times faster than the NP1. The mid size and in particular the polymer PEG coating of the NP could rationalized its long circulating behavior, as expected from the PEG stealthiness ability showing the efficiency of the novel NP as a contrast agent for biomedical applications.

**Table 2.** Timings of biodistribution of the NP1 measured in vivo from DCE MRI in mice :

organs	Capture slope	T0 (minutes)	Tmax (minutes)	Imax	plateau time (minutes)	clearance time (minutes)
<b>Spleen</b>	progressive	39	180	1.87	3h to 24h	>24h
<b>Liver</b>	progressive	6	21	1.08	10 to 40	> 24h
<b>Kidney</b>	rapid	3	12	1.02	6 to 40 min	6h to 24h

organs	Clearance time	
10mM Gd	DOTAREM	NP1
kidney	3h	>6h to 24h
liver	1h	48h

**Table 3.** Comparison of timings of biodistribution with commercial DOTAREM

#### 4. Conclusion

In this paper the development of new chemical polymeric **core-shell** nanostructures, whose optical and morphological properties are optimized for their application in therapeutic targeting, diagnosis and therapy. In contrast to previous works, a new strategy of gadolinium complexes to gold ions, and then stacking with biopolymer matrix was employed. Chemical-Physical characterization studies were led broadly and completely explained the arrangement system of the nanostructure just as, the conformational changes related to such procedures. We demonstrated that **Gd@AuNPs** have some advantages to display hepatocytes in the liver. Particularly, these nanoconjugates give a good cellular uptake of several quantities of **Gd@NPs** into cells, while preserving a T1 contrast inside cells that provide a robust in vivo detection using T1-weighted MR images.

After *in vitro* assays of cytotoxicity and imaging, *in vivo* assays of dynamic biodistribution were recorded showing liver, spleen, kidney/blood biodistribution and blood stealthiness. Given these encouraging results, this bimetallic core-shell-nanomaterial system represents a veritable promise as a therapeutic entity in the field of medicinal applications.

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