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# Enhanced tunability and temperature-dependent dielectric characteristics at microwaves of $\text{K}_{0.5}\text{Na}_{0.5}\text{NbO}_3$ thin films epitaxially grown on (100)MgO substrates

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## **Abstract**

$\text{K}_{0.5}\text{Na}_{0.5}\text{NbO}_3$  thin films were deposited by pulsed laser deposition on (100)MgO substrates for microwave device applications. A fine epitaxial growth of pure perovskite phase was evidenced by X-ray diffraction. Dielectric characterizations were performed from 1 to 40 GHz using coplanar microwave devices printed on the 500 nm-thick  $\text{K}_{0.5}\text{Na}_{0.5}\text{NbO}_3$  thin films. Dielectric permittivity  $\epsilon_r = 355$  and loss tangent  $\tan\delta = 0.35$  at 10 GHz were retrieved without biasing. A comparison of the results with those retrieved from the resonant cavity

method (to characterize as-deposited films) showed no deleterious influence neither from the device patterning nor the thin film-device interface. A frequency tunability up to 22% was measured under a moderate external DC bias electric field  $E_{bias} = 94$  kV/cm. Temperature measurements from 20 to 240°C exhibited a permittivity increase up to  $\epsilon_r = 975$  coupled to a loss decrease  $\tan\delta = 0.25$  at 10 GHz. According to such measurements, an orthorhombic-tetragonal phase transition was evidenced close to 220°C with an increase of the frequency tunability up to 34%. Comparison of the properties of such films with those grown on R-plane sapphire substrates demonstrated the benefit brought by the epitaxial growth of  $K_{0.5}Na_{0.5}NbO_3$  films on (100) MgO.

*Keywords: KNN, ferroelectric; thin film; tunable device; microwave*

## Introduction

Among the multifunctional oxides, ferroelectric materials are strongly investigated to push further the boundaries of the current technology. In that way, ferroelectric materials, also exhibiting piezoelectric behavior, are of great interest in many applications such as sensors, micro-electro-mechanical systems (MEMS) [1] or memory devices [2]. Their high dielectric permittivity  $\epsilon_r$  values, that can be controlled by an external static electric field, present also a significant asset for miniature and tunable microwave device applications [3].

Due to its valuable properties, the ferroelectric  $PbZr_{1-x}Ti_xO_3$  (PZT) oxide is currently considered as the most relevant ferroelectric material for many applications [4]. However, its well-known toxicity leads to an important effort of the scientific community to investigate lead-free alternative solutions. Among them, the perovskite  $K_xNa_{1-x}NbO_3$  oxide (KNN) is studied as a promising candidate since Saito *et al.* reported a high piezoelectric coefficient  $d_{33}$  up to 300 pC/N [5]. Moreover KNN oxide exhibits a high Curie temperature ( $T_c \approx 400^\circ\text{C}$ )

depending upon its composition [6–8], valuable to expect the thermal stability of the KNN-based devices operating at room temperature. KNN is also known for (i) exhibiting at least one morphotropic phase boundary at room temperature in the neighborhood of the  $\text{K}_{0.48}\text{Na}_{0.52}\text{NbO}_3$  composition [6, 9], and (ii) a polymorphic phase transition (PPT) between the room temperature orthorhombic phase and a higher temperature tetragonal one, also named  $T_{O-T}$  [10, 11]. This last diffuse transition is usually expected between 180°C and 220°C [12, 13], where an increase of the dielectric characteristics was reported for the KNN and KNN-based ceramics at low frequency [14, 15]. In 2016, at microwave frequency and for bulk ceramic, Gao *et al.* reported an increase of the dielectric permittivity close to 220°C [16]. To improve the KNN overall properties, some groups focused on modifying the composition to shift such transition closer to room temperature. In 2014 for example, Wang *et al.* lowered both the Curie temperature down to 227°C and the polymorphic phase transition, and raised the low temperature rhombohedral-orthorhombic transition to build a new rhombohedral-tetragonal phase boundary close to room temperature. As a result, they obtained a piezoelectric coefficient  $d_{33}$  equal to  $\sim 490$  pC/N [17].

Microwave dielectric characteristics of KNN thin films have been reported only in a few studies [16, 18–21]. For the  $\text{K}_{0.5}\text{Na}_{0.5}\text{NbO}_3$  composition, Peddigari *et al.* measured a dielectric permittivity  $\epsilon_r = 287$  and loss tangent  $\tan\delta = 0.01$  at 10 GHz [20]. At 20 GHz, Kim *et al.* reported the value  $\tan\delta = 0.23$  ( $\epsilon_r$  value not available) with a capacitance tunability of 22 % under an external biasing electric field  $E_{bias} = 200$  kV/cm [21]. In our previous study, the dielectric characteristics ( $\epsilon_r = 360$  ;  $\tan\delta = 0.35$ ) at 10 GHz were reported with a frequency tunability of 15% under a lower external biasing electric field  $E_{bias} = 80$  kV/cm [22]. Thin films prepared by Peddigari *et al.* were deposited on Pt/Si and quartz substrates, those of Kim *et al.* on Nd:YAlO<sub>3</sub> substrates, and ours on R-cut sapphire substrates, which can explain the

difference of the reported values, as structural characteristics are known to influence the material ferroelectric properties [22]. Up to now, no direct study has focused on the substrate and temperature influences on the dielectric properties and characteristics of such films at microwaves. It is within this framework that the present study is involved.

Structural properties of  $K_{0.5}Na_{0.5}NbO_3$  thin films deposited on (100)MgO substrates and their influence on the microwave responses of the tunable based-devices were investigated and compared with those of  $K_{0.5}Na_{0.5}NbO_3$  films grown on R-cut sapphire substrates. Both substrates, useful for microwave applications ( $\epsilon_r \approx 10$  and  $\tan\delta \approx 10^{-4}$  at room temperature and 10 GHz), lead to various thin film structural qualities. On the one hand, R-cut sapphire is widely used for microwave electronic devices due to its relevant dielectric characteristics and its high robustness and chemical stability. On the other hand, MgO substrate is mechanically less resistant and more sensitive to moisture. However, the lattice constant of the cubic MgO substrate ( $a = 4.2 \text{ \AA}$ , JCPDS file #00-045-0946) is closer to those of the pseudo-cubic KNN (i.e.  $a_{pc} \approx 4 \text{ \AA}$ ,  $\beta \approx 90^\circ$ ) [23, 24], unlike those of the sapphire substrate (rhombohedral,  $a = 4,758 \text{ \AA}$  and  $c = 12,991 \text{ \AA}$  in the hexagonal setting). Temperature-dependent dielectric behaviors of such films deposited on both substrates were also investigated up to  $240^\circ\text{C}$ .

## Experimental section

### 2.1. Elaboration of KNN thin films by pulsed laser deposition (PLD)

$K_{0.5}Na_{0.5}NbO_3$  powder was first synthesized at  $940^\circ\text{C}$  for 4 hours in air by solid state reaction from sodium carbonate  $Na_2CO_3$  (RP NORMAPUR 99.8%), anhydrous potassium carbonate  $K_2CO_3$  (ACROS Organics 99%) and niobium oxide  $Nb_2O_5$  (Alfa Aesar 99.5%) powders in stoichiometric quantity. A 60%<sub>mol</sub> excess of potassium nitrate  $KNO_3$  powder was added to the KNN powder to counterbalance the loss of potassium during the laser deposition due to its volatility. The powder was then uniaxially pressed into a 25 mm-diameter targets

and annealed up to 500°C in air for 3 hours. The final composition of the target analyzed by energy dispersive X-ray spectroscopy (EDXS) was K : Na : Nb = 0.9 : 0.5 : 1.

Thin films were deposited by pulsed laser deposition (PLD) from the target on 10 mm × 10 mm × 0.5 mm (100) MgO single crystal substrates. Before each deposition step, the substrates were cleaned in acetone and isopropanol ultrasonic baths for 5 min each. A KrF excimer laser (Coherent company, pulse duration 20 ns,  $\lambda = 248$  nm) was used to ablate the KNN ceramic target. An energy of 210 mJ, a fluence of 2-3 J.cm<sup>-2</sup>, a working frequency of 4 Hz, a target-substrate distance of 55 mm and a deposition temperature of 650°C under 0.3 mbar oxygen pressure were used. These parameters led to a deposition rate of ~12 nm/min. Film thickness of ~500 nm was used to fabricate the microwave devices. The same deposition parameters were replicated for the K<sub>0.5</sub>Na<sub>0.5</sub>NbO<sub>3</sub> growth on R-cut sapphire substrates to ensure a fair comparison of the thin films.

## 2.2. KNN thin film characterizations

Structural characteristics were investigated by X-ray diffraction (XRD) by using the  $\theta$ - $2\theta$  mode of Bragg-Brentano diffractometer (D8 Advance, Bruker) equipped with a monochromatized Cu K <sub>$\alpha$ 1</sub> wavelength ( $\lambda = 1.54056$  Å), and  $\omega$ -scan and  $\varphi$ -scan modes of a 4-circles diffractometer (D8 Discover, Bruker, Cu K <sub>$\alpha$ 1,2</sub>). The surface morphology and composition were investigated at 10 kV by scanning electron microscopy (SEM, JSM 7100F, JEOL) coupled with an energy dispersive X-ray spectroscopy detector (EDXS, SDD X-Max 50mm<sup>2</sup>, Oxford Instruments). As the potassium element detection was highly sensitive to charge accumulation effect, a 5 nm-thick carbon layer was deposited before SEM observation to ensure optimal element detection. Film thicknesses were measured by SEM on transverse sections obtained from a fracture along a sample edge.

Secondary ion mass spectrometry (SIMS) was used to investigate the presence of Mg in the sample thickness thanks to a CAMECA IMS7f equipment. To avoid charge effect on the isolated surface a thin Au layer was deposited prior analysis.

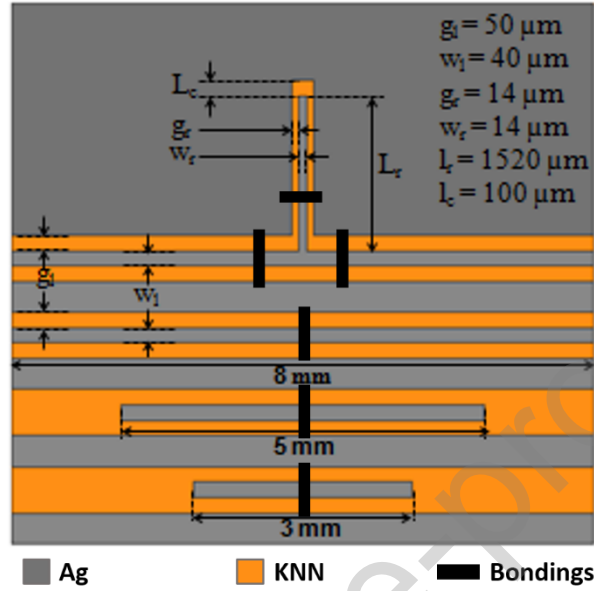
### 2.3. KNN microwave characterizations

Dielectric characteristics and frequency tunability of the KNN thin films were investigated using coplanar waveguide (CPW) devices, as represented in Figure 1. The devices consist of three 50- $\Omega$  transmission lines (8 mm, 5 mm and 3 mm long) to retrieve the KNN dielectric characteristics ( $\epsilon_r$ ;  $\tan\delta$ ) and a CPW quarter-wavelength open-ended stub resonator designed to exhibit a resonance frequency  $F_r$  at X-band. Further details are reported elsewhere [25].

The devices were fabricated from a 2  $\mu\text{m}$ -thick silver overlayer deposited on a 5 nm-thick titanium underlayer used here only to ensure the strong adhesion of the silver metallization onto the ferroelectric oxide film. The silver thickness was set at three times the skin depth value at 10 GHz ( $\delta = 0.64 \mu\text{m}$ ). The metal layers were deposited by RF magnetron sputtering at room temperature. Standard photolithography and wet etching processes were used to pattern the devices. To enforce the equipotential condition on both CPW grounds and to prevent any excitation of parasitic slotline mode, gold wire bondings (15  $\mu\text{m}$ -diameter and 250  $\mu\text{m}$ -length) were implemented.

Microwave measurements at room temperature were carried out from 1 to 40 GHz through a probe station coupled to a vector network analyzer (*VectorSTAR MS4644B*, *ANRITSU*) and a hot chuck system (S-1060, SIGMATONE) for temperature measurements from room temperature to 240°C. A maximum static electric field  $E_{bias}$  of 94 kV/cm was set at the stub resonator and 27 kV/cm at the transmission lines, resulting of the 150 V highest external *DC* bias voltage applied. Due to the inherent over etching of the wet etching process

used, the measured gap dimensions ( $g_r \approx 16 \mu\text{m}$  and  $g_l \approx 53 \mu\text{m}$ ) are slightly larger than the expected ones (Figure 1).



**Figure 1.** Layout and dimensions of the CPW devices

In this work, the structural and dielectric properties of the KNN thin films grown on MgO substrates are compared with previously reported properties of the KNN thin films grown on sapphire substrates [22]. Therefore, characterization methods were kept similar to ensure a relevant comparison.

## Results and discussion

### 3.1. Composition, microstructure and structural properties of the KNN thin films

EDXS analyses correspond to the expected composition of the KNN thin films grown on (100) MgO substrates, namely  $(\text{K}_{0.51}\text{Na}_{0.49})_{1.01}\text{NbO}_3$ , corresponding therefore to the monoclinic phase. However, EDXS sensitivity is not suitable to detect accurately elements barely present in such films. Therefore, complementary SIMS analysis was performed to detect elements along the sample depth profile. This analysis highlights the presence of Mg



element into the films as shown in Figure 2. It may originate from a diffusion mechanism from the substrate into the KNN material during the deposition step. Such a diffusion along the film depth could appear surprising, but was already evidenced in  $\text{KTa}_{0.65}\text{Nb}_{0.35}\text{O}_3$  thin films grown by PLD [26] and reported in other studies (such as in  $\text{Y}_1\text{Ba}_2\text{Cu}_3\text{O}_{7-x}$  films deposited by sputtering [27]).

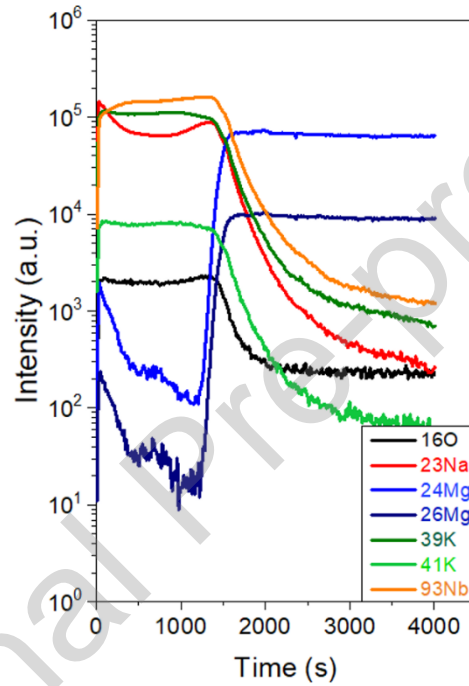
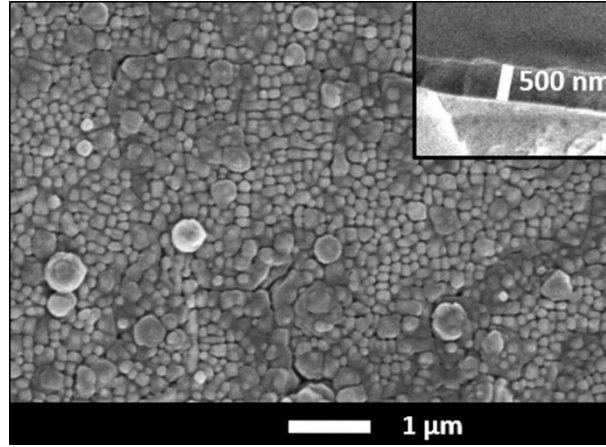


Figure 2. SIMS elemental depth profile of KNN/MgO

Morphology of the KNN thin films grown on MgO for the device fabrication is displayed in Figure 3. 500 nm-film thickness was measured from SEM cross section observation (inset in Figure 3). A crystalline orientation is observed through the homogeneous shape of the KNN grains and the high in-plane alignment. In a previous work on KNN thin films deposited on sapphire substrates, a similar grain shape was observed with a lower in-plane order [22].

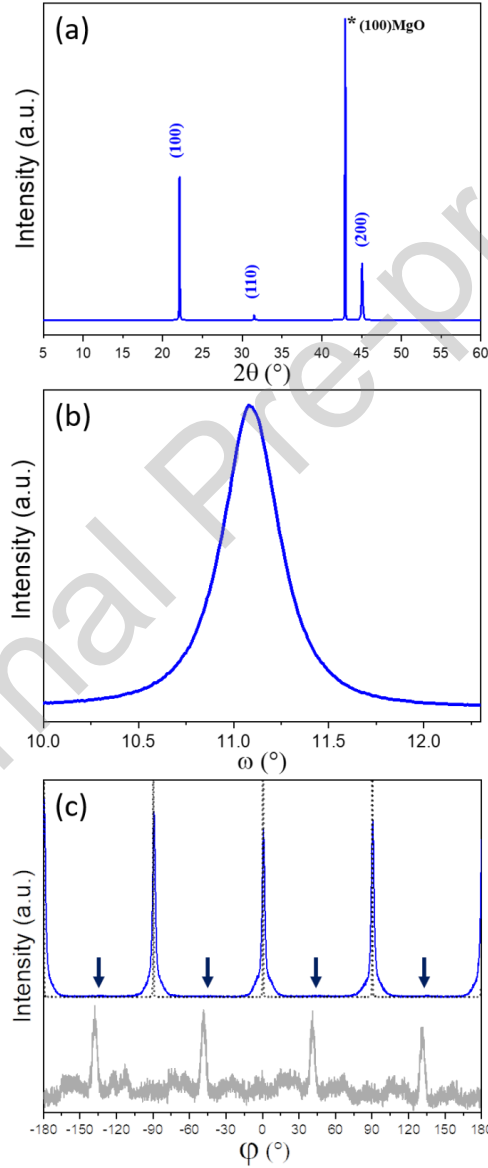


**Figure 3.** SEM image of the surface morphology and cross-section (in inset) of a KNN/MgO film

XRD patterns of KNN/MgO thin films are displayed in Figure 4. The  $\theta$ - $2\theta$  pattern (Figure 4a) displays only reflections of the perovskite KNN phase, indexed with the monoclinic setting (for  $\text{K}_{0.52}\text{Na}_{0.48}\text{NbO}_3$ ,  $a_m = 4.006 \text{ \AA}$ ,  $b_m = 3.945 \text{ \AA}$ ,  $c_m = 4.003 \text{ \AA}$ ,  $\beta = 90.33^\circ$ , JCPDS file #00-061-0316 [23, 24]). Note that the phase corresponding to the composition is usually described with orthorhombic or monoclinic unit cells. The relationship between those cell units is reported in Tellier *et al.* work [23]. The orthorhombic description is often considered as a more accurate description for this composition at room temperature. Nevertheless, the use of the monoclinic description enables a simpler comparison especially due to the direct relation with the cubic and pseudo-cubic description. In this work, the monoclinic description is used to match with the different studies on KNN thin films mentioned here. A preferential (100) orientation is evidenced by the strong peak at  $2\theta \approx 22.2^\circ$  together with a second (110) orientation corresponding to the weaker peak at  $2\theta \approx 31.7^\circ$ .

XRD pattern does not show any (010) orientation, which was systematically observed on KNN thin films grown on sapphire substrates with the same deposition parameters [22]. However, the relative intensities of the 100 and 110 KNN peaks are similar on both substrates. The orientation factor of the 100 peak, computed by the Lotgering method [28], is

equal to 0.97. The lattice constants were determined as being  $a_m \approx c_m \approx 4.00 \text{ \AA}$  and  $b_m \approx 3.95 \text{ \AA}$ , similarly to those of the KNN/sapphire films [22]. Therefore, the presence of a small amount of Mg element in the thin film composition does not affect directly its structural properties, *i.e.* the lattice parameters remain in full agreement with those reported by Tellier *et al.* and Hondoko *et al.* for pure  $\text{K}_{0.52}\text{Na}_{0.48}\text{NbO}_3$  perovskite material [23, 24].



**Figure 4.** X-ray diffraction patterns of KNN/MgO thin film: (a)  $\theta$ - $2\theta$  mode, (b)  $\omega$ -scan on the 100 reflection and (c)  $\phi$ -scan on the {110} planes of KNN/MgO (blue) and KNN/sapphire (gray) [22] (dashed-lines show the  $\phi$ -scan pattern measured on the {220} MgO planes)

The sample crystalline quality was further investigated by  $\omega$ -scans on the 100 reflection (Figure 4b) and  $\varphi$ -scan on the {110} planes (Figure 4c). The full-width-at-half-maximum (FWHM)  $\Delta\omega = 0.35^\circ$  indicates a well-textured thin film. The  $\varphi$ -scan exhibits four distinctive peaks at  $90^\circ$  apart from each other, aligned on the four peaks of the {220} MgO planes of the single crystal substrate. The narrow peaks and their relative positions evidence a high in-plane ordering quality of the KNN thin films with a “cube-on-cube” growth on the MgO substrate ( $\sim 5\%$  mismatch between the pseudo-cubic KNN lattice parameters and the cubic MgO ones). A second very minor in-plane orientation of the KNN crystallites at  $45^\circ$  is observed through four small rises from the background line at  $45^\circ$  of the substrate peaks (see the arrows in Figure 4c). It is worth noting that KNN thin films grown on R-plane sapphire substrates exhibited a similar quality of texturation ( $\Delta\omega \approx 0.36^\circ$  computed from the 100  $\omega$ -scan reflection) and showed some evidence of an epitaxial-like growth despite the large  $\sim 14\%$  mismatch with the sapphire substrate. Nevertheless the intensity of the KNN  $\varphi$ -scan diffraction peaks remained very low on sapphire substrate, supporting mainly a texture-type crystalline quality (Figure 4c) [22]. The XRD analysis of the present study demonstrates an improvement of the KNN epitaxial quality promoted by the (100)MgO substrate (in agreement with the microstructure observed by SEM (Figure 3)).

### 3.2. KNN dielectric characteristics

#### 3.2.1 Room temperature dielectric characteristics

The dielectric characteristics ( $\epsilon_r$ ;  $\tan\delta$ ) at room temperature of the KNN/MgO thin films were retrieved from the measured reflection ( $S_{11}$ ) and transmission ( $S_{21}$ ) coefficients on the CPW transmission lines (Figure 5a) [25, 29]. A permittivity  $\epsilon_r = 355$  and loss tangent  $\tan\delta = 0.35$  are obtained at 10 GHz. The fast decrease of the dielectric permittivity from 1 to 5 GHz

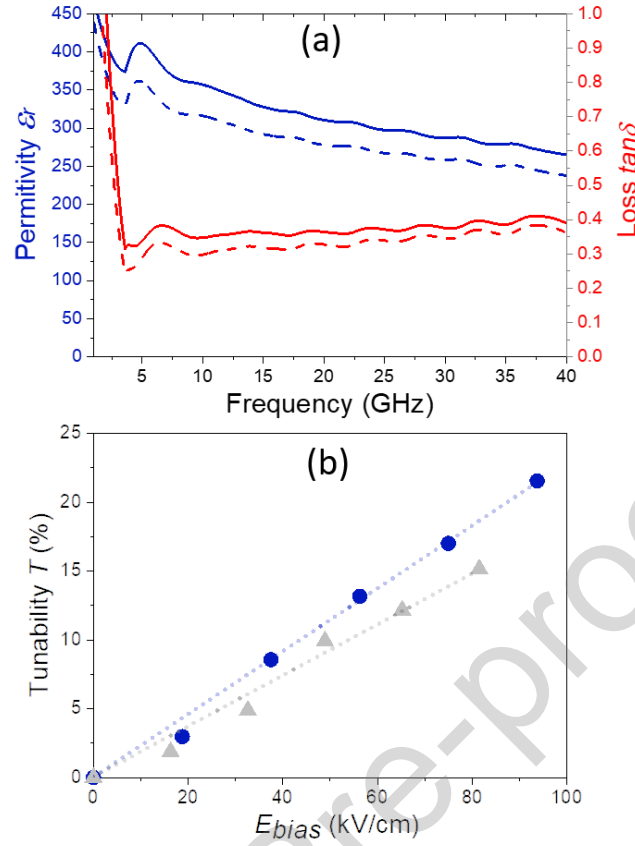
with and without biasing is attributed to the freezing of the polar domain motion, and that of  $\tan\delta$  to restriction in metal skin depth loss [25]. The permittivity value remains very close to that of the KNN thin films grown on sapphire substrates ( $\epsilon_r = 360$  at 10 GHz) [22], value retrieved from identical CPW devices in order to perform an accurate comparison. The present value  $\epsilon_r = 355$  is also higher than those previously reported on pure  $\text{K}_{0.5}\text{Na}_{0.5}\text{NbO}_3$  thin films ( $\epsilon_r = 287$  at 10 GHz [20]) and even  $\text{Dy}_2\text{O}_3$ -doped KNN thin films ( $\epsilon_r = 307$  at 10 GHz [30]) grown on quartz substrates. The higher permittivity measured on both KNN/MgO and KNN/R-cut sapphire is attributed to the crystalline orientation and weak mosaicity of our samples, compared with the KNN polycrystalline growth reported by Peddigari *et al.* [20]. As permittivity, loss is also similar on both substrates [22]. Consequently, the resulting epitaxial growth of the KNN thin film on (100)MgO does not impact the ( $\epsilon_r$ ;  $\tan\delta$ ) dielectric characteristics.

Focusing now on the KNN intrinsic loss,  $\tan\delta$  values are higher than those reported by Peddigari *et al.* ( $\tan\delta = 0.01$  at 10 GHz [20]) and by Kim *et al.* ( $\tan\delta = 0.23$  at 20 GHz [21]), although the absolute variation versus operating frequency remains small ( $\tan\delta = 0.35$  at 5 GHz towards  $\tan\delta = 0.40$  at 40 GHz). The different measurement methods used, *i.e* based or not on printed devices, can explain the divergence in the reported intrinsic loss values [31]. As such, comparison of the retrieved  $\tan\delta$  values from the transmission line measurements is relevant to investigate the impact of the Ag/Ti layers printed on the KNN films and the possible interface role between the metal layers and the ferroelectric oxide material. In that way, an analogous KNN/MgO sample has been elaborated and characterized by both methods, namely the present transmission line method and the resonant cavity method, which does not require any printed devices. Details on this specific method are reported elsewhere

[31]. The dielectric characteristics measured at 12.3 GHz from both methods are summarized in Table 1. No major difference is observed. The variation of  $\epsilon_r$  from 330 to 300 and of  $\tan\delta$  from 0.38 to 0.35 is attributed to a possible inhomogeneity of the KNN layer thickness over the entire samples area (estimated to be close to 10%). Indeed, the thickness error remains the major source of uncertainty in such characterizations. Therefore, in this study, the printed device itself and the related measurement technique have no impact on the retrieved KNN intrinsic loss. Another hypothesis to explain the difference between the  $\tan\delta$  values measured here and those reported in [20, 30] can be the density difference between the KNN layers grown by PLD technique and those by magnetron sputtering technique. Further investigations are required to reinforce the present assumption.

**Table 1** Dielectric permittivity  $\epsilon_r$  and loss tangent  $\tan\delta$  of KNN/MgO at 12.3 GHz retrieved from the resonant cavity and transmission line methods

Sample	Resonant cavity method		Transmission line method	
	$\epsilon_r$	$\tan\delta$	$\epsilon_r$	$\tan\delta$
KNN/MgO	300	0.35	330	0.38



**Figure 5.** (a) Frequency dependence of the dielectric permittivity  $\epsilon_r$  (blue) and loss tangent  $\tan\delta$  (red) of KNN/MgO measured at room temperature without (solid line) and under (dashed line) biasing  $E_{bias} = 27$  kV/cm; (b) measured frequency tunability  $T$  under various  $E_{bias}$  of the KNN/MgO (blue dot) and the KNN/sapphire (gray triangle) samples [22]

The frequency tunability  $T$  was computed from the variation of the resonance frequency  $F_r$  (frequency value at the minimum  $S_{21}$  parameter) of the CPW stub resonator printed on the KNN film under various  $E_{bias}$  values, as follows:

$$T(\%) = \frac{|F_r(E_{bias}=0) - F_r(E_{bias})|}{F_r(E_{bias}=0)} \times 100 \quad (1)$$

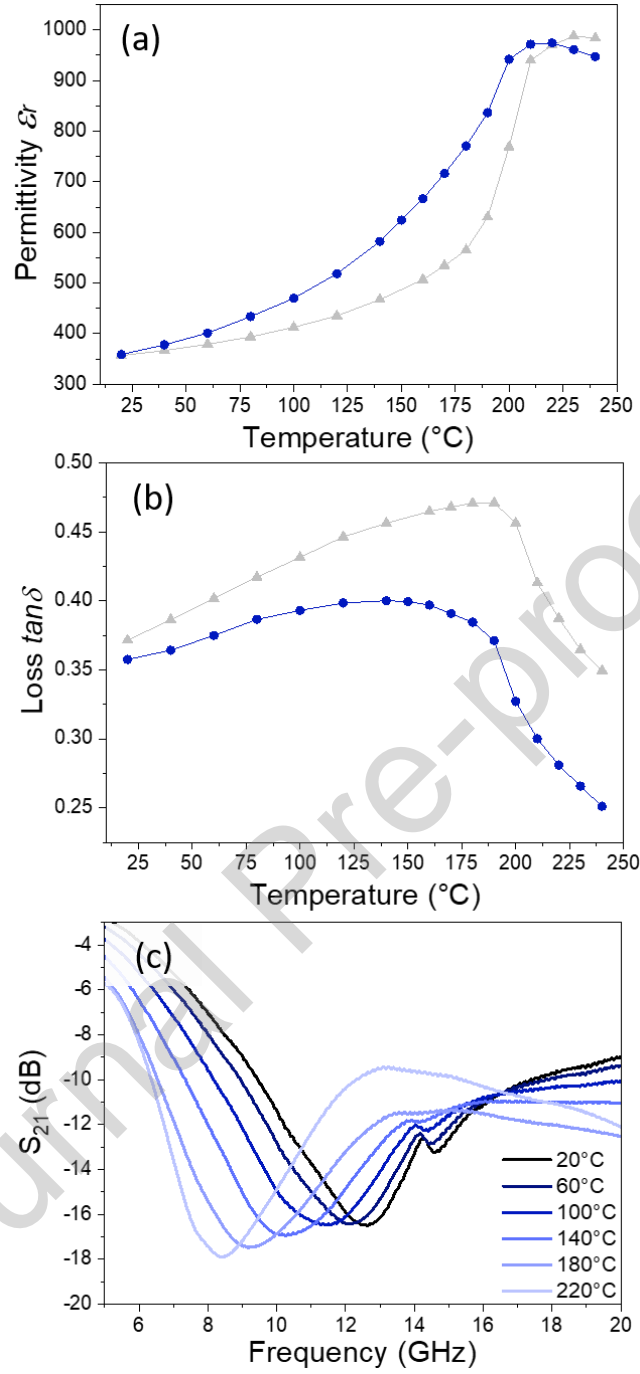
The 150 V highest applied voltage leads to a maximum external DC electric field  $E_{bias} \approx 90$  kV/cm. A frequency tunability equal to 22% is obtained from  $F_r = 10.5$  GHz (without biasing) up to  $F_r = 12.8$  GHz (under  $E_{bias} = 94$  kV/cm). The tunability of the KNN films grown on MgO substrates is therefore higher than that measured on KNN/sapphire ( $T = 17\%$  and  $T =$

15% under  $E_{bias} = 80$  kV/cm, respectively). This result was checked on several batches of samples. Moreover, a frequency tunability of 20 % and higher has been repeatedly measured on the KNN/MgO samples. Accordingly, the increase of the frequency tunability is attributed to the better KNN epitaxial growth on MgO substrates. This result is consistent with the general inclination of epitaxial thin films to exhibit a more single-crystal-like behavior, as single crystal often exhibits improved dielectric properties compared with those of their bulk counterpart.

### 3.2.2 Temperature-dependent dielectric characteristics

To further characterize the KNN thin film properties, dielectric measurements were performed as a function of temperature. Figure 6 (a) and Figure 6 (b) present the dielectric characteristics ( $\epsilon_r$ ;  $\tan\delta$  respectively) variation of the KNN thin films retrieved from the measured reflection ( $S_{11}$ ) and transmission ( $S_{21}$ ) coefficients of the CPW transmission lines at 10 GHz from 20°C to 240°C working temperature. Note that Figure 6 (c) presents the variation of the resonance frequency  $F_r$  of the CPW sub resonator printed on KNN/MgO from 20°C to 220°C. To investigate the influence of the substrate, the dielectric characteristics of the KNN/MgO sample are compared with those of the previously mentioned KNN/sapphire sample.





**Figure 6.** Temperature-dependent dielectric characteristics: (a) permittivity  $\epsilon_r$  ; (b) loss tangent  $\tan\delta$  of 500 nm-thick KNN/MgO sample (blue dot) and 510 nm-thick KNN/sapphire sample (gray triangle) at 10 GHz. (c) Transmission coefficient  $S_{21}$  variation vs. temperature of the stub resonator printed on the KNN/MgO sample

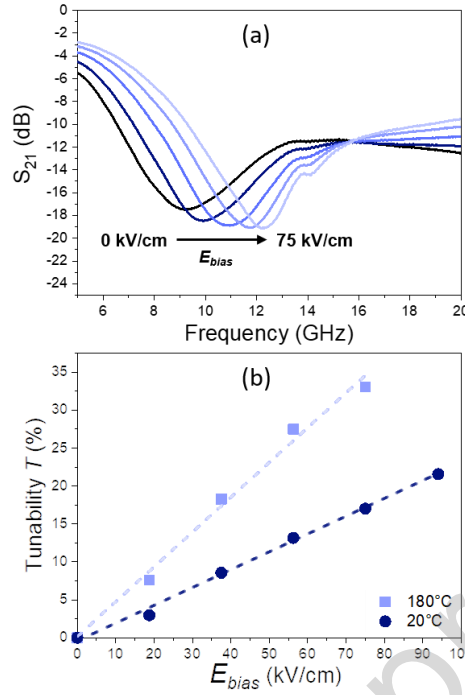
Both samples exhibit a permittivity increase versus the temperature, as expected for ferroelectric materials when the temperature is racing towards the Curie temperature (close to 400-420°C here). The increase of the permittivity leads directly to the decrease of the stub resonance frequency, from 12.6 GHz at 20°C to 8.2 GHz at 220°C (Figure 6(c)). A maximum of permittivity ( $\epsilon_r = 975$ ) is reached at 210°C-220°C for the KNN/MgO sample where a similar one ( $\epsilon_r = 990$ ) is reached at 230°C for the KNN/sapphire sample. This maximum of permittivity is typical of the diffuse PPT, usually reported between 180°C and 220°C [12, 13]. Similar permittivity behaviors have been reported in literature on ceramics and at low frequency with a first  $\epsilon_r$  maximum at the  $T_{O-T}$  transition before reaching the maximum at the Curie temperature [13, 15, 32, 33]. On ceramic and at microwave frequencies, Gao *et al.* reported a large permittivity increase [16]. A first and weak increase begins from 100 up to 210°C followed by a sharp increase at 220°C. In the present study, KNN/sapphire sample exhibits a first and gentle slope from 20°C to 180°C, followed by the sharp increase up to 230°C. Regarding the KNN/MgO sample, the permittivity increases in a more continuous way. This difference in temperature behavior is ascribed to an epitaxial growth effect that is the major difference between the KNN/MgO and KNN/sapphire samples. Complementary investigations are currently ongoing to detail the phenomenon occurring.

Even though transition temperature characteristics are influenced by strains [34] and routinely associated with epitaxial growth, it is not the case here due to the quite great thickness of the KNN/MgO film (500 nm) promoting strain relaxation. Moreover and as previously presented, SIMS analyses evidenced the presence of Mg element into the KNN film. The resulting Mg-doped film can explain the observed slight shift in transition temperature. Such Mg-doping effect in transition temperature was also reported in other systems, such as Ba-Zr-Ti-O system [35]. Furthermore, in ceramic KNN materials, Malic *et*

*al.* evidenced by differential scanning calorimetry a  $T_{O-T}$  shift temperature towards a lower temperature after doping of the ceramic with 0.5%<sub>mol</sub> of Mg [36].

Similarly to the permittivity temperature variation, a slight monotonous  $\tan\delta$  variation is observed from  $\tan\delta = 0.35$  to  $\tan\delta = 0.40$  on KNN/MgO sample compared with that on KNN/sapphire sample from 0.37 to 0.47. As  $\tan\delta$  value is defined as the ratio of the imaginary part of the complex dielectric permittivity on its real part, and as its imaginary part depends on the material electric conductivity [37], such increase is therefore consistent. Afterwards, the decrease of  $\tan\delta$  exhibited by the KNN/MgO sample begins at 140°C followed by a sharp decrease at 180°C to achieve  $\tan\delta = 0.25$  at 240°C. For the KNN/sapphire sample, the sharp decrease begins directly at 190°C, thereby confirming the 10-20°C transition temperature shift, to achieve  $\tan\delta = 0.34$  at 240°C. A similar decrease of  $\tan\delta$  value near the  $T_{O-T}$  transition has also been reported at lower frequency (100 kHz) by Mgbemere *et al.* [15] and Pavlic *et al.* [33].

Although a significant decrease of the KNN loss was achieved, new attempts are expected to further restrict it in view of microwave device applications. Some solutions are readily available, such as ferroelectric/dielectric multilayers deposition [38] or by confining the ferroelectric layer into the efficient regions of the microwave devices [39].



**Figure 7.** (a) Transmission coefficient  $S_{21}$  variation and (b) frequency tunability  $T$ , both measured at 180°C on the stub resonator printed on the KNN/MgO sample under various external electric field  $E_{bias}$  (dashed lines are guide for the eye)

Frequency tunability measurements were also performed on the stub resonator printed on the KNN/MgO sample at 180°C (Figure 7). The resonance frequency varies from 9.1 GHz (no biasing) to 12.2 GHz ( $E_{bias} = 75$  kV/cm). Temperature increase leads to a twofold increase of the tunability ( $T = 34\%$ ) at 180°C under a relative low biasing electric field ( $E_{bias} = 75$  kV/cm) compared with that at room temperature under the same  $E_{bias}$  value ( $T = 17\%$ ).

#### 4. Conclusion

The dielectric characteristics ( $\epsilon_r$ ;  $\tan\delta$ ) and the frequency tunability  $T$  of  $K_{0.5}Na_{0.5}NbO_3$  thin films epitaxially grown on (100)MgO substrates were studied at microwaves. At room temperature, a frequency tunability  $T = 22\%$  under  $E_{bias} = 94$  kV/cm was measured on stub resonators printed on such films. The increase of tunability compared with that measured on

KNN/R-plane sapphire sample ( $T = 15\%$ ) is assigned to the KNN epitaxial-like growth on MgO substrates.

Variation of the dielectric characteristics versus temperature at microwaves was also investigated from 20 to 240°C. The temperature-dependent dielectric characterization shows a diffuse orthorhombic-tetragonal polymorphic phase transition which is also slightly influenced by the type of the substrate. At the O-T transition temperature, a dielectric permittivity value  $\epsilon_r$  higher than 950 is reached at 10 GHz with a lower loss tangent value ( $\tan\delta = 0.25$ ), promoting the potentialities of the KNN lead-free ferroelectric material for tunable devices at microwaves. In order to shift such properties at room temperature, the O-T transition should be lowered. Doping or substitution in thin film composition may be studied through complementary investigations.

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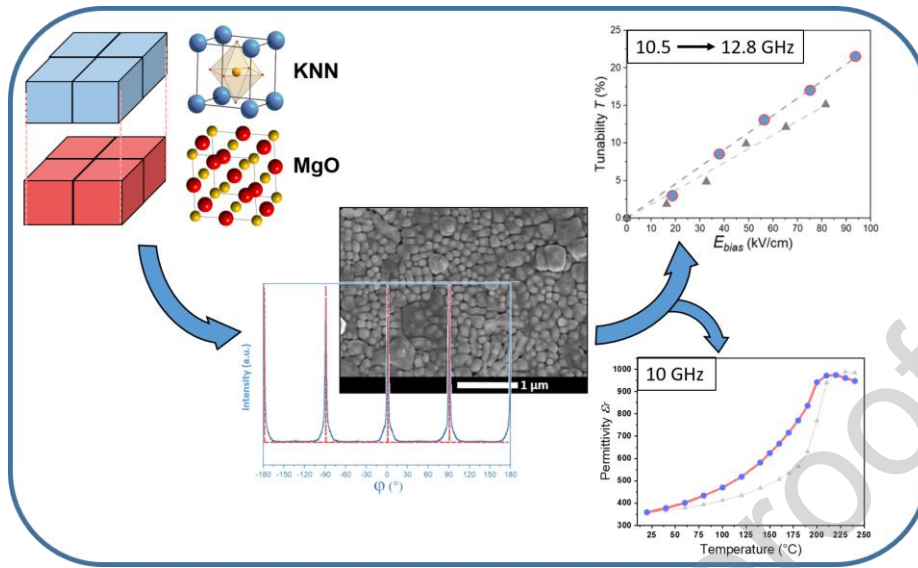
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**Graphical abstract**

**Credit Authors Statement**

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**Declaration of interests**

☒ The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

☐ The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

**Highlights:**

- Epitaxial KNN perovskite thin films deposited by PLD on (100)MgO
- The structural characteristics of KNN thin films grown on (100)MgO benefit the frequency tunability of microwave devices, evidenced by the higher value of the tunability when compared to KNN thin films deposited on sapphire substrate
- The investigation of the dielectric characteristics ( $\epsilon_r$ ,  $\tan\delta$ ) at 10 GHz between 20°C and 240°C that shows the polymorphic phase transition (PPT) of the KNN thin films
- The frequency tunability measured on a stub resonator at 180°C which emphasizes its enhancement in the vicinity of the PPT transition.