

# Small lightning flashes from shallow electrical storms on Jupiter

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1 2 3 4 5 Small Jovian lightning flashes indicating shallow electrical storms 6 Heidi N. Becker<sup>1\*</sup>, James W. Alexander<sup>1</sup>, Sushil K. Atreya<sup>2</sup>, Scott J. Bolton<sup>3</sup>, Martin J. Brennan<sup>1</sup>, 7 Shannon T. Brown<sup>1</sup>, Alexandre Guillaume<sup>1</sup>, Tristan Guillot<sup>4</sup>, Andrew P. Ingersoll<sup>5</sup>, Steven M. 8 Levin<sup>1</sup>, Jonathan I. Lunine<sup>6</sup>, Yury S. Aglyamov<sup>6</sup>, Paul G. Steffes<sup>7</sup> 9 9 March 2020 10 11 <sup>1</sup> Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA, USA 12 <sup>2</sup> Climate and Space Sciences and Engineering, University of Michigan, Ann Arbor, MI, USA 13 <sup>3</sup> Southwest Research Institute, San Antonio, TX, USA 14 <sup>4</sup> Université Côte d'Azur, OCA, Lagrange CNRS, Nice, France 15 <sup>5</sup> California Institute of Technology, Pasadena, CA USA 16 <sup>6</sup> Cornell University, Ithaca, NY USA 17 <sup>7</sup> School of Electrical and Computer Engineering, Georgia Institute of Technology, Atlanta, GA, 18 USA 19 Corresponding author email: Heidi.N.Becker@jpl.nasa.gov 20 21 22

Jovian lightning flashes were characterized by a number of missions that visited Jupiter 23 over the past several decades. Imagery from the Voyager 1 and Galileo spacecraft led to a 24 flash rate estimate of ~4×10<sup>-3</sup> flashes/km<sup>2</sup>/yr on Jupiter. <sup>1,2</sup> The spatial extent of Voyager 25 flashes was estimated to be ~30 km at half-width half-maximum intensity (HWHM), but 26 the camera was unlikely to have detected the dim outer edges of the flashes given weak 27 response to the brightest spectral line of Jovian lightning emission, the 656.3 nm H-alpha 28 line of atomic hydrogen (known from lab experiments). 1,3-6 The spatial resolution of Galileo 29 and New Horizons cameras allowed investigators to confirm twenty-two flashes with 30 HWHM >42 km and estimate one between 37-45 km. <sup>1,7,8,9</sup> These flashes, with optical 31 energies only comparable to terrestrial "superbolts" (2×10<sup>8</sup>-1.6×10<sup>10</sup> Joules), have 32 historically been interpreted as tracers of moist convection originating near the 5 bar level 33 of Jupiter's atmosphere (assuming photon scattering from points beneath the clouds).<sup>1</sup>-34 <sup>3,7,8,10-12</sup> All previous optical observations of Jovian lightning have been limited by camera 35 36 sensitivity, proximity to Jupiter, and long exposures (~680 ms to 85 s) hence some measurements were likely superimposed flashes reported as one.<sup>1,2,7,9,10,13</sup> Here we report 37 optical observations of lightning flashes by Juno's Stellar Reference Unit14 with energies of 38  $\sim 10^5 - 10^8$  Joules, flash durations as short as 5.4 ms, and inter-flash separations of tens of 39 40 milliseconds. The observations exposed Jovian flashes with typical terrestrial energies. The flash rate is ~6.1×10<sup>-2</sup> flashes/km<sup>2</sup>/yr, more than an order of magnitude greater than 41 42 hitherto seen. Several flashes are of such small spatial extent they must originate above the 2 bar level, where there is no liquid water. 15,16 43 Juno's Stellar Reference Unit (SRU) captured images of Jovian lightning on the dark side of 44 Jupiter from a unique perspective of as close as 53,000 km above the 1 bar level (30 km/pixel 45 resolution). The SRU is a broadband (450 -1100 nm) imager designed to detect dim stars in 46 support of spacecraft attitude determination. The camera's point spread function (PSF) spreads 47 the optical signal of a point source over  $\sim 5 \times 5$  pixels, allowing unambiguous identification of 48 small optical sources (see Extended Data Fig. 1). Fourteen lightning flashes (see Extended Data 49 Table 1) were observed between ~46°N and 75°N, and one at 51°S, (planetocentric) during a 9-50 image lightning survey between perijoves 11 (7 February 2018) and 17 (21 December 2018) that 51 covered  $1 \times 10^{10} \text{ km}^2$  of the planet. The storms observed in motion compensated images (such as 52 53 Figure 1 panel a) were confirmed to appear in cyclonic "belt" regions, consistent with prior

optical observations of Jovian lightning by Galileo<sup>1</sup>. Juno's Microwave Radiometer<sup>17</sup> (MWR) 54 provided supporting microwave observations, detecting lightning 18 within ~0.4 seconds to 3 55 minutes of each SRU detection in the Northern hemisphere while its 600-MHz beam covered the 56 SRU flash location (see Extended Data Fig. 2 and Supplementary Data 1). 57 The novel combination of close observation distance, camera sensitivity, and the spin of the Juno 58 59 spacecraft (two revolutions per minute) enabled lightning detection at higher resolution than previously possible. Two 1 s SRU exposures were collected without motion compensation 60 61 (hereafter "NoTDI") allowing the camera's field of regard to be panned across Jupiter at a rate of 1 pixel every 2.7 ms. A dim "string of pearls" trail of multiple spots along the scan direction was 62 63 observed in one of these images (Figure 1 panel b), assumed to be flashes from the same storm location. Inter-flash separations of only tens of milliseconds, and flash durations as short as 5.4 64 ms, were deduced. The global flash rate estimate based on the NoTDI imagery is  $6.1 \times 10^{-2}$ 65 flashes km<sup>-2</sup> year<sup>-1</sup>, 15 times higher than previous estimates derived from optical observations. 1,2 66 The Juno observations have unveiled Jovian lightning flashes with optical energies similar to 67 typical terrestrial flashes  $(1 \times 10^5 - 2 \times 10^7 \text{ J})$ . Figure 2 illustrates that the optical energies of 68 the SRU flashes extend far below the  $2\times10^8$ - $1.6\times10^{10}$  J range that was detectable in prior 69 broadband surveys. 1,2,7 Although optical energy is just part of the total energy generated by 70 lightning (for example, 5-18 kHz radio emissions from terrestrial lightning range from 10 to 10<sup>8</sup> 71 J, where strokes above 10<sup>6</sup> J are considered "superbolts"<sup>20</sup>), optical detection of lightning in this 72 73 new regime, and the higher flash rate suggested for it, provides important constraints for understanding energy dissipation rates in Jupiter's atmosphere and reduces the reliance on 74 terrestrial-based assumptions where data are lacking. 2,11 75 We identified lightning flashes with estimated widths as small as ~33 km and as large as 250 km. 76 It is customary to use the half-width at half-maximum (HWHM) of a lightning flash (the radial 77 78 distance over which the flash radiance drops to half the peak value) to infer the lightning's depth within the atmosphere. 1,3,7,8 The deeper the origin of the flash photons, the more they will expand 79 radially outward as they scatter through the atmosphere before being released to space, and the 80 larger the observed HWHM. The HWHM was estimated for six flashes where the de-convolved 81 brightness distribution had sufficient symmetry and simplicity to make a reasonable estimate (see 82 Extended Data Fig. 3). These are estimated maxima as we could not confirm sub-pixel values; 83

84	many are the half-width value and the actual HWHM is suspected to be smaller. We estimated
85	SRU flash depths based on a radiative transfer model where depth = $1.5 \times HWHM$ and the top
86	scattering layer is at the 0.14 bar level <sup>1</sup> (40 km above the 1 bar level <sup>15</sup> ). At this "top" scattering
87	level ammonia ice crystals would likely be the predominant scattering particles although water
88	ice particles might also be carried up to this level by strong updrafts. 12,21 The pressure-
89	temperature-depth data from the Galileo probe15 provided the pressure levels corresponding to
90	the computed depths below the top scattering layer.
91	Four of the SRU flashes originate between ~1.4 and 1.9 bars (inset Figure 3), hereafter "shallow
92	lightning," which is a surprising result given that conventional models of lightning generation by
93	charge separation require both liquid and solid condensate. 10 Although intense storms are
94	expected to loft water-ice crystals to such heights, <sup>21</sup> no liquid water can exist at these altitudes as
95	temperatures above the 2 bar level are below -66°C, $^{15}$ which is well below a plausible minimum
96	temperature for supercooled water $(-40^{\circ}\text{C})^{16}$ . If a non-inductive charge separation mechanism
97	involving mixed-phase clouds is responsible for the shallow lightning, then another source of
98	liquid is required. The possible role of ammonia is shown conceptually in Figure 3. Should water
99	ice particles from below be lifted by very strong updrafts to altitudes between 1.1 and 1.5 bars,
100	equilibrium thermodynamics predicts that they can adsorb ambient ammonia vapor and create a
101	mixed ammonia-water (NH $_3$ -H $_2$ O) liquid. $^{22,23,24}$ The collision of this falling liquid with upward
102	moving water-ice particles, or falling ice crystals with lower terminal velocities, would constitute
103	a mixed phase liquid- and solid-bearing cloud in which charge transfer, charge separation, and
104	cloud electrification might occur (see Methods). This would be unlike any process which occurs
105	on Earth, but it is enabled by the large presence of ammonia at Jupiter which acts as a strong
106	H <sub>2</sub> O antifreeze at the extremely low temperatures in the 1.1-1.5 bar region.
107	Alternatively, Juno's SRU may have observed lightning generated without any liquid. On Earth,
108	lightning flashes have been observed to originate in cloud anvils ("cumulonimbus incus") at
109	altitudes above ~10 km (below -45 $^{\circ}$ C) and significant charging was inferred to have occurred
110	locally within the anvil. 25 Recent airborne measurements have confirmed that charge separation
111	can occur in the extremely dynamic environment inside anvils, without any detected supercooled
112	liquid water, due to the collision and transfer of charge between ice particles of broadly differing
113	size. 26,27 Anvils have been predicted to form above the 2 bar level at Jupiter 28, and modeling has

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114	shown that updrafts in Jovian thunderclouds can loft water ice particles of different sizes up to
115	the 1 bar level. <sup>21</sup> It is therefore plausible that shallow Jovian lightning is the result of non-
116	inductive charge separation from ice-ice collisions in high reaching cumulonimbus clouds or
117	within anvils (see Methods).
118	Finally, it is possible that local cloud clearings could allow flashes originating in the water cloud
119	to appear small due to reduced scattering by a lower cloud top. We argue that the small flash
120	located above the elevated cloud in the moonlit SRU image (lower flash in Figure 1 panel a) is
121	evidence against a lower cloud top explanation. This flash is also consistent with prior
122	observations that linked lighting flashes to local elevated cloud structures in dayside or moonlit
123	imagery. 1,12
124	The remaining SRU flashes originate in the liquid water cloud layer (deeper than the 3 bar level),
125	suggesting that the more familiar liquid water and water-ice electrification mechanism is also
126	occurring at greater depths. The more poleward latitudes of the shallow lightning are noted (see
127	inset Figure 3), however the existing sample set is too small as of yet to infer any latitudinal
128	meteorological significance.
129	Although some SRU detections are consistent with the conventional theory of lightning
130	originating in regions of mixed-phase water condensation, the presence of shallow lightning
131	implied by the Juno observations requires that we consider the possibility of multiple
132	mechanisms for generating lightning in different pressure-temperature environments. By
133	extension, multiple shallow lightning events would suggest that strong localized updrafts are
134	frequent events in Jupiter's atmosphere. Because ammonia is only visible in the microwave when
135	in vapor form, an ammonia-water liquid created by adsorption of ammonia vapor onto water ice
136	particles may partially explain the decades long mystery of observed ammonia depletion in
137	Jupiter's atmosphere. 29-31 Continued observations by Juno's SRU are anticipated to increase our
138	knowledge of the occurrence rate of shallow lightning with latitude, providing an important
139	component of a broader effort to understand Jupiter's atmospheric convection and composition
140	using Juno instrumentation.

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- Fig. 1 | Images from Juno SRU Jovian lightning survey. Scale bars show pixel signal levels in
- analog-to-digital units. Background signal has been subtracted. a. SRU image 12 from perijove
- 211 11, collected using image motion compensation. Yellow arrows point to flashes of Jovian
- 212 lightning on Jupiter's dark side. The dim "tail" below the top flash is an artifact of the motion
- compensation. Insets are magnified views of these small signatures which are spread out by the
- camera's point spread function. Cloud top illumination is due to moonlight from Jupiter's moon,
- Io. b. Scanned SRU image 12 from perijove 14 ("NoTDI" image #2), collected without motion
- compensation. A dim "string of pearls" trail of multiple flashes from the same storm location is
- seen in the along-scan direction, as well as an adjacent "neighbor" flash observed 258-13,800 km
- away depending on when the flashes occurred during the scan. "String of pearls" flashes are
- 219 numbered 1-4 with inter-flash time separations shown in the magnified view of the region (Flash
- 220 3 may be three separate events, with time separations so indicated). Latitudes grids shown in the
- background correspond to the start of the image scan.

Fig. 2   Optical energies of lightning flashes observed by the Juno SRU and past broadband
visible imagers. The flash energy data from each instrument are plotted as a cumulative
frequency distribution in order of increasing optical energy. Galileo's Storm 10 observations are
plotted separately from Storms 7 & 8 due to the difficulty encountered by Galileo investigators
in distinguishing flashes from Storm 7 from those of Storm 8.1 SRU flash energies are shown for
computations which treat each flash as a patch of light on a Lambertian surface (green stars) and
as a point source (blue stars). The SRU cumulative frequency distributions have been shifted up
by a factor of ten to highlight the lower optical energies detectable by the SRU. Optical energies
of terrestrial flashes and "superbolts" are indicated by grey bars.
Fig. 3   Conceptual illustration of lightning generation above and below Jupiter's 3 bar
<b>level.</b> Energetic updrafts (black arrows) loft water ice particles to altitudes between 1.1 and 1.5
bars where adsorption of ammonia gas onto ice particles melts the ice, creating falling liquid
ammonia-water (NH <sub>3</sub> -H <sub>2</sub> O) particles (green drops). Charge separation occurs as the NH <sub>3</sub> -H <sub>2</sub> O
particles collide with upward moving water-ice, followed by lightning. At pressures greater than
$\sim$ 3 bars, temperatures are above the limit for supercooled water (white line, $\sim$ 233K) and
lightning is generated in pure water clouds. Radial half-width at half-maximum intensity
distances, estimated maximum depths of origin (pressure levels), and latitudes of observed SRU
lightning flashes are shown (inset).

Methods

- 251 **Instrument properties.** The SRU is a visible imager with a 16.4 degree square field of view, a
- 29.924 mm focal length, and spatial resolution of 0.57 mrad per pixel. The camera utilizes a
- 253 frame transfer silicon CCD with a 17 micron pixel pitch and a 512 x 512 pixel imaging region. 14
- No filters are used in the optical system.
- 255 **Camera sensitivity.** We used the radiometric calibration method that was used for the Galileo
- solid-state imaging camera<sup>32</sup> to calculate SRU camera pixel response in units of output analog-to-
- 257 digital data number (DN) pixel<sup>-1</sup> s<sup>-1</sup> in response to a spectrally neutral scene radiance (having
- equal energy at each wavelength, and the units W cm<sup>-2</sup> sr<sup>-1</sup> nm<sup>-1</sup>).

$$camera\ pixel\ response\ =\ \frac{A_o a_{ij}}{f^2 C} \int \frac{QT(\lambda)I\lambda}{hc}\ d\lambda$$

- 260  $A_o$  is the 4.155 cm<sup>2</sup> collecting area of the optics,  $a_{ij}$  is the area of a CCD pixel (2.89 × 10<sup>-6</sup> cm<sup>2</sup>),
- f is the camera focal length, C is the SRU camera gain of 15.47 signal electrons/DN, QT is the
- 262 total throughput of the SRU optical system (the CCD quantum efficiency × the optics
- 263 transmission, shown as a function of wavelength in Extended Data Fig. 1), I is the scene radiance
- (assumed to be constant), h is the Planck constant, c is the speed of light, and  $\lambda$  is wavelength.
- The integration was performed over the SRU bandpass (450 to 1100 nm), and solved using a
- 1000 DN pixel<sup>-1</sup> s<sup>-1</sup> response assumption. The SRU camera sensitivity is  $3.346 \times 10^{13}$  DN/W
- 267 cm<sup>-2</sup> sr<sup>-1</sup> nm<sup>-1</sup> (per pixel, per second), and the energy incident on the collecting area of the optics
- is  $2.6 \times 10^{-17}$  J per DN. We repeated the calibration using simulated Jovian lightning spectra at 1
- bar and 5 bars<sup>6</sup> which yielded lower camera responses of  $2.683 \times 10^{13}$  and  $2.297 \times 10^{13}$  DN/W
- 270 cm<sup>-2</sup> sr<sup>-1</sup> nm<sup>-1</sup> (per pixel, per second), respectively. The greater dominance of the 656.28 nm Hα
- 271 line in the 1 bar spectrum is responsible for the differences. The two simulated spectra address
- 272 pressure levels near the general regions of our inferred flash origins, but they are not exceedingly
- 273 different from the neutral spectrum result. We used the neutral spectrum result for the flash
- energy calculations reported herein in order to be consistent with the approach used by the
- Galileo investigators<sup>1</sup> and to allow direct comparison to the Galileo data.
- 276 **Lightning flash identification.** Raw pixel data for the nine SRU lightning survey images are
- 277 provided in Supplementary Data 2. To supplement visual inspection an automated search was

278	performed on the images to locate candidate lightning signatures. The approach was similar to
279	the method used by Juno's Radiation Monitoring Investigation to identify bright radiation
280	signatures in SRU images. 14 Each pixel was assessed to determine whether its signal was a local
281	maximum in the $5 \times 5$ pixel region around it. If so, its signal was further assessed to determine
282	whether it was brighter than all eight of the immediately adjacent pixels by a threshold amount
283	("Th"). 14 A threshold of 30 DN above the local background was generally used. When dimmer
284	flashes were suspected (the faint "string of pearls" flashes in NoTDI image #2) the threshold was
285	dropped to 15 DN. Thresholds were at least five times the noise of the local background level,
286	depending on the level of signal from moonlit clouds or scattered sunlight. To accommodate
287	larger lightning flashes which did not meet the criterion for all eight immediate neighbors, a $7\times$
288	7 pixel region around the pixel was assessed. The criterion for this assessment was that the pixel
289	be >Th above at least 18 pixels in the region, or >Th above all sixteen of its second-nearest
290	neighbors, to be identified as a candidate. <sup>14</sup> In practice, all of the lightning signatures that were
291	found by the automated search were also easily identified using visual inspection. Visual
292	inspection was the final deciding factor in confirming lightning signatures. Following
293	thresholding, an $11 \times 11$ pixel window was placed around the brightest pixel of each identified
294	signature and the median value of each border was calculated. The process was repeated for the
295	inner $9 \times 9$ , and $7 \times 7$ , pixel windows about the brightest pixel. The minimum of the 12 median
296	values was taken as the sum of the local background level plus the electronic offset level (~545
297	DN for SRU-1, the SRU unit used for the survey) and was subtracted from the raw values of all
298	pixels in the region local to the candidate storm (hereafter referred to as "background
299	subtraction"). The sum of all background-subtracted pixel values from the flash signature is the
300	"DNsum" used to estimate flash optical energy. Background-subtracted signature morphology
301	was visually inspected to exclude ionizing radiation signatures from the lightning flash candidate
302	list. Extended Data Fig. 4 illustrates the morphology differences between signals from optical
303	sources and ionizing particles. Lightning could be differentiated from penetrating radiation
304	signatures as the later have asymmetric morphologies which fall off abruptly to background
305	levels and do not follow the energy distribution of the camera PSF (the appearance of penetrating
306	particle signatures is not influenced by the optics).
307	Background subtraction for flash 13_12_0 was based on visual inspection of the image and the
308	raw pixel array values, due to the complexity of auroral emission local to the flash. An additional

step was taken prior to thresholding and background subtraction for NoTDI Image #2. Hot pixels are pixels with atypically high signal rates due to defects acquired during fabrication or radiation exposure. The expected hot pixel signal levels following a 1 s exposure were subtracted from the

- known hot pixel locations to aid clean assessment of the relatively dim "string of pearls"
- 313 signatures. The mapping of the hot pixel signal rates (per second) is provided in Supplementary
- 314 Data 3.
- Mapping flash locations on Jupiter. To determine the locations of the lightning flashes on
- Jupiter, we first computed the centroid of each identified lightning flash ( $x_{cent}$ ,  $y_{cent}$ ) in pixel
- units, with array pixels numbered 1 to 512 (Extended Data Table 2). The coordinate system
- origin was placed at the center of pixel (1,1). The flash centroid was then converted to the 0 to
- 319 511 pixel coordinate system of the SRU (instrument) frame, which has a boresight coordinate
- 320 (255.5, 255.5) and a reversed y-axis numbering scheme (see Extended Data Fig. 5). We then
- used the following approach<sup>14</sup> to convert the centroid components into a unit vector, correct for
- the camera's optical distortion, and transform the unit vector into the spacecraft frame in J2000
- at the start of the image exposure time (time "t"). The intercept of this vector on Jupiter is the
- 324 flash location.
- The centroid measurements define a vector in the SRU frame. The tangents  $(tan_{xref}, tan_{yref})$  of this
- vector, projected onto the focal plane, are computed by

$$\tan_{xref} = \frac{x_{cent} - 255.5}{fl_{ref}}, \quad \tan_{yref} = \frac{y_{cent} - 255.5}{fl_{ref}}$$

- where  $fl_{ref}$ = 1760.21137 pixels (the focal length of the camera expressed in pixel units).
- The position  $(tan_{xref}, tan_{yref})$  is radially corrected for optical distortion using following formulae:

330 
$$R = (\tan_{xref}^2 + \tan_{yref}^2)^{1/2}$$
 and  $f(R) = a_0 + a_1 R + a_2 R^2 + a_3 R^4$ 

- where  $a_0 = 0.999432579$ ,  $a_1 = -0.0295412410$ ,  $a_2 = 0.2733020107$ , and  $a_3 = -1.9368112951$ . f(R)
- is the radial correction factor, and  $(t_x, t_y)$  is the optical distortion corrected position of the
- measurement in the image plane computed by  $(t_x, t_y) = f(R)(\tan_{xref}, \tan_{yref})$ .
- The unit vector of the measurement in the SRU (instrument) frame is given by

335 
$$V_{SRU} = \frac{(1, -t_x, -t_y)}{(1 + t_x^2 + t_y^2)}$$

and the calibrated transformation  $T_{SRU\_to\_SC}$ , maps the SRU measurement vector  $V_{SRU}$  to a Juno spacecraft frame pointing vector  $V_{SC}$  by

$$T_{SRU\_to\_SC} V_{SRU} = V_{SC}$$

339 with

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$$T_{SRU\_to\_SC} = \begin{bmatrix} 0.420419 & 0.90732884 & 0.001389 \\ -0.000795 & -0.000795 & 1.0 \\ 0.907330 & -0.42042011 & 0.000233 \end{bmatrix}$$

The time dependent transformation from the Juno Spacecraft frame to J2000 at ephemeris time t is  $T_{SC\_to\_J2000}(t)$ , determined using the Juno SPICE kernels,<sup>33</sup> which compute the position and attitude of Juno. The SRU measurement in J2000,  $V_{I2000}$ , is given by

$$V_{12000} = T_{SC \ to \ I2000} V_{SC} = T_{SC \ to \ I2000} T_{SRU \ to \ SC} V_{SRU}$$

The CCD x-axis (along-row direction) and y-axis (along-column direction) are designated "Y" and "Z", respectively, in the Juno frame kernel<sup>33</sup>, where "X" is the SRU boresight vector. We mapped the planetocentric latitude and System III West longitude of each flash and computed Juno's range to the flash location making standard SPICE Toolkit<sup>34</sup> corrections for one-way light time and stellar aberration. Pixel resolutions shown in Extended Data Table 1 include correction for optical distortion at the flash location in the image plane and represent the average of the along-row and along-column pixel dimensions (which differed by no more than one percent). We do not have knowledge of when the flashes occurred during the NoTDI image scans, therefore the lack of motion compensation introduces uncertainty as to their location. To bound the range of possible storm locations for these flash centroids, the computations were repeated for the spacecraft geometry corresponding to the end of the exposure time.

**Flash deconvolution.** To estimate the true profiles of the SRU flashes (prior to having been convolved with the camera PSF), background-subtracted pixel windows containing the flash signatures were de-convolved using MATLAB's Richardson-Lucy iterative restoration algorithm, *deconvlucy*<sup>35</sup>. The energy distribution shown in Extended Data Fig. 1 was used as the input PSF. The "readout" parameter (variance of the camera read noise; read noise squared) was set to 9. SRU CCD read noise is ~3 DN (48 signal electrons, rms), and dark current is negligible at the -40°C flight temperature. Photoresponse non-uniformity is 0.02, 1-sigma. Background

subtraction produced small negative values (close to the camera noise) in some pixels 363 364 surrounding the flash area. These negative values were set to zero prior to deconvolution. Three 365 hundred to one thousand iterations were used, which converged all solutions. Extended Data Fig. 6 shows an example reconstruction. 366 367 "NoTDI" image #2 was approached differently due to the dimness of the flash signatures and the 368 relatively high proximal signal from moonlit clouds encountered during the scan (cloud signal was smeared due to the lack of motion compensation). The pixel array input to the algorithm 369 370 contained all signal above the electronic offset level and the "readout" parameter was set to 13 to account for the highest locally observed pixel noise in the window. The background level 371 372 determined during the thresholding stage was subtracted after de-convolution. Only "string of pearls" Flash 1 had sufficient intensity to be de-convolved for the purpose of assessing its shape. 373 374 Uneven cloud signal close to the remaining dimmer flashes prevented a reliable de-convolution 375 of their shapes. **Flash duration.** The minimum resolvable flash duration at Juno's 2-revolutions per second spin 376 rate is 2.7 ms. When the SRU is operated without motion compensation (NoTDI mode) the scene 377 is smeared along the CCD column direction at a rate of one pixel every 2.7 ms. 14 Therefore, a 378 flash with duration  $\leq 2.7$  ms would have an along-column dimension of only 1 pixel following 379 de-convolution. Each additional pixel of along-column smear adds 2.7 ms to the estimated 380 maximum duration. The number of rows of pixels between each flash indicates the inter-flash 381 382 time separation. Extended Data Fig. 7 illustrates maximum duration for the three NoTDI flashes that could be de-convolved. The true profile of "string of pearls" Flash 1 had an along-column 383 size of two pixels (and an along-row size of 1 pixel). Because the two pixels have similar 384 intensities and encompass the entire flash, we suspect that the center of the flash resides near the 385 boundary of these two pixels and that the actual flash size is one pixel or smaller. The actual 386 387 duration may therefore be shorter than 5.4 ms. Another possibility, not resolvable by the SRU, is that all NoTDI flashes have a duration < 2.7 ms and the flash measurements represent the true 388 389 dimensions without any image smear. Maximum dimension estimates for this assumption are shown as the second entry for each NoTDI flash in Extended Data Table 1. 390 When the SRU is operated with motion compensation (TDI mode) the rows of the image are 391 shifted towards an opaque storage region at a rate of one row every 2.7 ms. 14 The first row of 392

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useable data (numbered 1 in Supplementary Data 2) experiences an effective exposure time of only 8.1 ms (two "dummy" rows of unexposed pixels precede this row). The flash duration resolution is computed by determining the boundary of the flash signature that is closest to the storage region and multiplying 2.7 ms by a factor equal to its row coordinate, plus two. The result is the total time this row of the image was exposed to the scene, the total time the storm was detectable by the SRU. When this computed value exceeds the commanded exposure time (for example, flashes from 1 s exposures with boundaries above row 368) the exposure time is the resolvable flash duration. These are the "effective exposure times" shown in Extended Data Table 1. As with the NoTDI flash signatures, the durations of the flashes collected in TDI mode may have been much shorter than the effective exposure time. Estimates of flash size. The maximum dimension of each flash was estimated based on visual inspection of the deconvolution solution. We assessed the maximum number of pixels which could be assumed fully illuminated by flash photons, given that SRU flashes are small and not fully spatially resolved. The longest dimension of this patch in pixel units was multiplied by the optical distortion-corrected resolution (in km). Because the SRU was not pointed normal to the Jovian cloud surfaces where the flashes were observed, we then corrected for foreshortening due to the emission angle. The flash width was multiplied by the secant of the appropriate emission angle component as calculated in the SRU frame (along-row or along-column, depending on flash orientation in the image plane). A second estimate was made for flashes observed in NoTDI images. Here we assumed a smaller steady state flash was smeared along the scan direction during the exposure (see Extended Data Fig. 7). Additionally, each NoTDI estimate was performed for the mapping of the flash at both the start and end of the exposure, due to the position uncertainty created by the scan and the resulting effect on pixel resolution. A similar approach was used for the HWHM results discussed in the text. We assessed potential transverse image smear due to Juno's high linear velocity relative to Jupiter (>40 km/s for northern hemisphere observations, and ~31 km/s for the southern hemisphere observation) by computing the distance between the mapped flash locations at the beginning and end of the effective exposure time. This transverse distance was mapped into the image plane in along-row and along-column components and was typically found to be negligible (much smaller than a pixel). The four flashes with the longest effective exposure times

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- 423 (750 ms to 1 s) had computed components that ranged from 0.32 1.06 units of pixel smear.
- However, given the very short flash durations observed in NoTDI imagery, we did not
- incorporate these worst case smear factors into our flash size estimates.
- 426 Flash optical energy. The optical energy of each flash was estimated following the method used
- 427 by the Galileo lightning investigators.<sup>1</sup>

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$$Flash Energy = \frac{(DN Sum)(Pixel Area)2\pi(bandwidth)}{(camera sensitivity)(vingetting)(cos^4)}$$

- DNsum is the background-subtracted signal from all pixels in the flash signature. The Pixel Area
- was computed by multiplying the along-row pixel size by the along-column pixel size at the
- centroid location (following corrections for optical distortion). The SRU bandwidth is 650 nm.
- Field-dependent energy corrections for vignetting and cos<sup>4</sup> law losses<sup>36</sup> were made to the energy
- estimates. Vignetting correction factors were based on observations of relative signal losses in
- 434 SRU images collected without motion compensation where stars appeared as along-column
- streaks and showed decreased signal levels towards the edges of the field of view.
- Supplementary Data 4 provides the star streak data. The along-row and along-column
- components of each flash centroid were matched to star streak data with similar coordinates in
- order to estimate the potential field-dependent signal reduction. For optical systems such as the
- SRU there is a slight fall off of illumination in the image plane in the direction heading away
- 440 from the optical axis. We model this loss using the "cosine fourth law," where the signal is
- adjusted by a factor of  $\cos^4\theta$ , and  $\theta$  is the angular offset of the flash location from the boresight.
- Flash energies were divided by vignetting correction factors ranging from 0.75-1 and cos<sup>4</sup> factors
- ranging from 0.94-0.99, shown in Extended Data Table 2.
- Because SRU lightning flashes are small and not fully spatially resolved we performed a second
- energy estimate treating the flash as a point source which emits over  $4\pi$  sr.

Energy (point source) = 
$$\frac{(\text{Energy outside})4\pi}{(\Omega)(vignetting)(cos^4)}$$

- where the vignetting and  $\cos^4$  correction factors are as noted above. "Energy outside" is the
- energy of the flash that reached the SRU optics

Energy outside = 
$$(DNsum)(2.6 \times 10^{-17} \text{ J/DN})$$

and  $\Omega$  is the solid angle subtended by the active collection area of the SRU optics as seen from the flash

$$\Omega = \frac{A_o}{(Range \times 10^5)^2}$$

- Range is the range of Juno to the flash in kilometers (calculated to the 1 bar level using an oblate spheroid Jupiter shape model).
- For flashes acquired in NoTDI mode, the optical energy values reported herein are the average of the value computed at the start of the exposure and the value computed at the end of the exposure.
- Global flash rate estimate. A lower bound on the global flash rate can be computed by using 458 both NoTDI image scans to determine the average flash rate per storm. The approach is similar 459 to the method used for the Galileo global flash rate estimate, 1 but it utilizes two scanned 460 observations. We make the conservative assumption that the "string of pearls" and "neighbor" 461 flashes came from two separate storms and that both storms were visible for the full 1 s scan. 462 Although there is a range of possible storm locations that would not have been visible for the 463 464 entire 1 s exposure, this assumption will produce the minimum flash rate per storm. If we count 5 flashes in NoTDI Image #2, conservatively treating "string of pearls" Flash 3 as a single event, 465 the result is 2.5 flashes storm<sup>-1</sup> s<sup>-1</sup>. A similar exposure time assumption for the two single-flash 466
- storms observed in NoTDI Image #1 (with maximum durations of 8.1 ms and 16.2 ms) yields a rate of 1 flash storm<sup>-1</sup> s<sup>-1</sup>. The average rate is 1.75 flashes storm<sup>-1</sup> s<sup>-1</sup>. Multiplying by 11 observed
- storms and dividing by the total surveyed area of  $1 \times 10^{10}$  km<sup>2</sup>, the global flash rate is  $6.1 \times 10^{-2}$
- 470 flashes km<sup>-2</sup> yr<sup>-1</sup>. This rate represents an average value and is not meant to imply that lightning is
- equally likely to occur at any location in Jupiter's atmosphere. For comparison, the average
- annual global flash rate on Earth is  $\sim 3$  flashes km<sup>-2</sup> yr<sup>-1</sup>.<sup>37</sup>
- Some SRU images were not fully downlinked from the spacecraft due to imaging cadence
- constraints and some contained portions of dark sky. When this was the case, only the projected
- areas of pixels containing Jupiter were counted in the surveyed area. Pixels that were not
- downlinked are shown with zero values in Supplementary Data 2. The two dummy columns and

dummy rows are also represented with zero pixel values. The dummy rows are placed in the 511 477 and 512 row positions, which is an artifact of Juno's data de-commutation software. 478 MWR lightning detection filtering criteria. The MWR lightning detections recorded in 479 Supplementary Data 1 were selected based on the following criteria: the MWR antenna gain at 480 the projected location of the SRU flash detection was greater than -20dB relative to the peak 481 482 antenna gain, the time difference between the MWR detection and the start of the SRU exposure was less than 185 s, and the MWR-detected lightning power was greater than three standard 483 484 deviations above the noise floor. **Lightning generation above the 2 bar level.** We present two considerations for charge 485 separation in the 1-2 bar region of Jupiter's atmosphere. 486 In simulations of cloud formation at Jupiter<sup>21</sup> small water ice crystals can be lofted to the 1 bar 487 region, but the sticking efficiency of ice particles is small relative to liquid. Hence, in a purely 488 icy regime, the efficiency of particle growth, charge separation, and lightning generation may be 489 less efficient, unless dynamical circumstances enhance the spread of particle sizes, such as in 490 anvils (discussed below). While liquid water is not expected at altitudes higher than the ~3 bar 491 level (as temperatures there fall below the ~-40 °C limit for supercooled liquid water), the 492 freezing point of water should not define the altitude limit of liquid droplets in Jovian clouds. 493 The equilibrium thermodynamics of the NH<sub>3</sub>-H<sub>2</sub>O mixture<sup>22,23</sup> shows that for a volume mixing of 494 ammonia of 200 to 360 ppmv, as measured at Jupiter by Juno's microwave radiometer, <sup>29,30</sup> 495 ammonia tends to be adsorbed into water ice crystals to form a liquid NH<sub>3</sub>-H<sub>2</sub>O mixture at 496 temperatures between -85  $^{\circ}$ C and -100  $^{\circ}$ C.  $^{24}$  Hence these NH<sub>3</sub>-H<sub>2</sub>O droplets would be created 497 498 between ~1.1 and 1.5 bars, just above the 1.4 to 1.9 bar region where Juno's observations of shallow lightning originate. As the droplets fall into the shallow lightning region they can collide 499 with water-ice particles that are still moving upward in the updraft, or even with water-ice 500 501 particles that are falling with a smaller terminal velocity than the droplets. Both the number of 502 particles and their terminal velocity differences would increase. The subsequent movement of the particles away from each other would separate charge. Thus, this extension of the liquid field 503 provides a concomitant enlargement of the pressure-temperature regime over which charge 504 exchange, growth of particles, and hence lightning may occur. 505

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Alternatively, lightning generation by charge exchange between solid icy particles is also 506 507 possible, because of additional effects in the microphysics of the collisions and potential large 508 scale dynamical effects. With regard to the former, additional effects that have been identified include the enhancement of charge buildup by ionic impurities, and the formation of transient 509 liquid interfaces during ice-ice collisions.<sup>38</sup> With regard to the latter, lightning generation may be 510 enhanced in anvil clouds. Anvils are special environments in mature thunderstorms in which 511 strong horizontal winds and a transition from upwelling to downwelling flow are present; hence 512 a large range of particle sizes can be found there. A similar environment may be responsible for 513 Juno's observations of shallow lightning (we give credit to one of the referees for bringing this 514 option to our attention). Intense storms have been observed to reach above the 1 bar level and 515 have been attributed to deep rooted moist-convective water storms that can pierce Jupiter's 516 ammonium hydrosulfide and ammonia cloud decks. 12 Additionally, modeling has shown that 517 updrafts in Jovian convective clouds can transport condensates at velocities of several tens of 518 519 meters per second, allowing water ice particles of different sizes to develop and be lofted up to these altitudes. <sup>21,39,40</sup> Therefore ice-ice collisions in Jovian anvils <sup>28</sup> or high reaching moist-520 521 convective water storms are plausible paths to lightning generation.

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- Data availability. The authors declare that the Juno SRU data supporting the findings of this
- study are available within the paper and its supplementary information files. The Juno MWR
- data that support the findings of this study are available from the Planetary Data System archive
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- 555 **Author Contributions** H.N.B. led the acquisition and interpretation of SRU lightning data,
- wrote the manuscript with input from coauthors, and performed the SRU camera response
- computations. S.Bo. and T.G. contributed to the interpretation of shallow lightning atmospheric
- dynamics. M.B. contributed to the acquisition of SRU lighting data and performed the SRU
- observation geometry computations. J.A. contributed to SRU camera response computations,
- flash identification and mapping, and analysis of camera vignetting characteristics. A.G.
- computed the SRU survey area. S.A. and P.S. contributed expertise in Jovian atmospheric
- 562 dynamics and composition. J.L. assisted with the ammonia-water thermodynamics, the lightning

563	generation discussion, and construction of Figure 3. Y.A. contributed to the lightning generation
564	discussion. A.I. contributed to the SRU data interpretation. S.Br. analyzed the MWR data to
565	extract and filter MWR lighting observations. S.L. is the lead of the MWR.
566	Competing Interests The authors declare no competing interests.
567	Supplementary Information is available for this paper.
568	Correspondence and requests for materials should be addressed to <a href="mailto:Heidi.N.Becker@jpl.nasa.gov">Heidi.N.Becker@jpl.nasa.gov</a>
569	Reprints and permissions information is available at www.nature.com/reprints.
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571	Extended Data Table 1   Record of lightning flashes observed by the Juno SRU
572	Flash names are interpreted Perijove Number_Image Number_Flash ID Number. 14_12 is
573	NoTDI image #1 and 17_13 is NoTDI image #2 (see text and Methods). TDI denotes motion
574	compensation by time delay integration. Flashes observed without motion compensation in
575	NoTDI mode have entries which correspond to mappings at the start of the image exposure (first
576	entry) and at the end of the image exposure (second entry, with asterisk). The "Effective
577	Exposure" is the maximum time the flash location was observed by the SRU. Ranges are from
578	the Juno spacecraft to the flash location on Jupiter (at the 1 bar level). Longitudes are System III
579	West longitudes. HWHM is the half-width at half maximum intensity distance. Energies in the
580	second column from the right assume each flash is a patch of light on a Lambertian surface.
581	Energies in the rightmost column treat the flash as a point source.
582	
583	Extended Data Table 2   Supplemental parameters used in flash mapping and energy
584	calculations
585	Time tags correspond to the start of the SRU image exposure. Row and column coordinates of
586	calculated flash centroids, cos4 correction factors, and vignetting correction factors are shown.
587	Flash names are as in Extended Data Table 1.

<b>Extended Data Fig. 1</b>   <b>Properties of the SRU optical system. a.</b> Energy distribution of the camera's point spread function, shown for an image of a point source. The scale bar indicates the percentage of the total signal. <b>b.</b> The combined throughput of the SRU optical system, QT (CCD quantum efficiency "QE" × optics transmission "T"), as a function of wavelength.
Extended Data Fig. 2   Overlap of MWR Antenna 1 beam and SRU field of view during lightning detections. Circular 17-degree MWR beam contours (green and red circles) for MWR lightning detections acquired within 30 seconds of an example SRU lightning flash detection (inside the yellow circle). Red MWR beam contours correspond to footprint locations during the 1 s SRU image exposure (start time 2018-144T04:57:50.263).
<b>Extended Data Fig. 3</b>   <b>Estimated half-width at half-maximum values.</b> De-convolved lightning flash signatures are shown for flashes where a HWHM could be estimated. Estimates represent the maximum possible value. The white circle indicates the maximum pixel area which can be assumed fully illuminated by flash photons given spatial resolution limitations. The estimated HWHM was generally less than the size of one pixel width. The red line in Flash 15_13_1 (panel e) indicates the diagonal distance of the estimated HWHM.
Extended Data Fig. 4   Morphology of signatures from optical vs. ionizing radiation sources. SRU Image 12, Perijove 13. Insets show magnified views of example signatures from an optical source (lightning, circled in yellow), and an ionizing radiation source (penetrating particle, circled in blue). Dimmer pixels are blue and brighter pixels are yellow. Signatures from optical sources have a more symmetric appearance which follows the camera point spread function.
<b>Extended Data Fig. 5</b>   <b>SRU pixel coordinate system conversion.</b> Illustration of the transformation from a pixel array numbered 1 to 512, to the 0 to 511 pixel coordinate system of the SRU instrument frame.

617	
618	Extended Data Fig. 6   Reconstruction of flash true profile. Example reconstruction for SRU
619	lightning flash 11_12_1. a. Observed flash signature. b. Deconvolution solution; estimated flash
620	shape on Jupiter. The white circle indicates the maximum pixel area which can be assumed fully
621	illuminated by flash photons given spatial resolution limitations. 1-2 pixels are estimated to be
622	fully illuminated in this example. c. Result following convolution of the estimated shape with the
623	camera point spread function. d. Residual signal (a. minus c.).
624	
625	Extended Data Fig. 7  Maximum durations of flashes observed with NoTDI. a. Deconvolved
626	SRU flash 14_12_15. The white circles indicate a possible flash area for a steady state source at
627	the start (lower) and end (upper) of the exposure. The spacecraft spin direction and the direction
628	in which the scene will smear are indicated with white arrows. The maximum possible duration
629	is 8.1 ms (~3 rows of smear along-column). <b>b.</b> same for SRU flash 14_12_17; maximum flash
630	duration 10.8 to 16.2 ms. c. same for SRU flash 17_13_4 ("string of pearls" Flash 1); maximum
631	duration 5.4 ms.