

# An alternative model for the earliest evolution of vascular plants

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### 1 An alternative model for the earliest evolution of vascular plants

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# BORJA CASCALES-MINANA, PHILIPPE STEEMANS, THOMAS SERVAIS, KEVIN LEPOT AND PHILIPPE GERRIENNE

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6 Land plants comprise the bryophytes and the polysporangiophytes. All extant polysporangiophytes are 7 vascular plants (tracheophytes), but to date, some basalmost polysporangiophytes (also called 8 protracheophytes) are considered non-vascular. Protracheophytes include the Horneophytopsida and 9 Aglaophyton/Teruelia. They are most generally considered phylogenetically intermediate between bryophytes and vascular plants, and are therefore essential to elucidate the origins of current vascular 10 11 floras. Here, we propose an alternative evolutionary framework for the earliest tracheophytes. The 12 supporting evidence comes from the study of the Rhynie chert historical slides from the Natural History 13 Museum of Lille (France). From this, we emphasize that *Horneophyton* has a particular type of tracheid 14 characterized by narrow, irregular, annular and/or, possibly spiral wall thickenings of putative secondary 15 origin, and hence that it cannot be considered non-vascular anymore. Accordingly, our phylogenetic analysis resolves Horneophyton and allies (i.e., Horneophytopsida) within tracheophytes, but as sister 16 to eutracheophytes (i.e., extant vascular plants). Together, horneophytes and eutracheophytes form a 17 18 new clade called herein supereutracheophytes. The thin, irregular, annular to helical thickenings of 19 *Horneophyton* clearly point to a sequential acquisition of the characters of water-conducting cells. Because of their simple conducting cells and morphology, the horneophytophytes may be seen as the 20 21 precursors of all extant vascular plant biodiversity.

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23 Keywords: Rhynie chert, *Horneophyton*, Tracheophyte, Lower Devonian, Cladistics.

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Documenting the greening of the earliest land ecosystems requires a full understanding of the major 55 56 steps in plant evolution, most particularly the origin of vascular land plants. Land plants (embryophytes) comprise the bryophytes (i.e., plant with parasitic unbranched sporophyte and a single sporangium) and 57 58 polysporangiophytes (i.e. plants with independent branched sporophyte and multiple sporangia) [Kenrick & Crane 1997; Kenrick 2000). All living polysporangiophytes are vascular plants 59 (tracheophytes), but the basalmost representatives of the clade are considered non-vascular (Kenrick 60 2000) and structurally intermediate between bryophytes and vascular plants. Those non-vascular 61 62 polysporangiophytes, also called protracheophytes, include the Horneophytopsida (Caia, Horneophyton and Tortilicaulis) and Aglaophyton/Teruelia (Cascales-Miñana & Gerrienne 2017, and references 63 64 therein). Most of them are known in great detail because they come from the Early Devonian (mid-Pragian-?earliest Emsian, Wellman 2006) Rhynie Lagerstätte, Aberdeenshire, in Scotland (see Kidston 65 66 & Lang 1917, 1920a, 1920b; Edwards et al. 2018), where the preservation of the fossils is exquisite. 67 The earliest preserved terrestrial ecosystem of Rhynie was indeed formed in a hot-spring environment (Channing 2017; Edwards et al. 2018), which results in a unique and exceptional anatomical 68 preservation of the early land plants, among other organisms. Consequently, four Rhynie chert plants 69 70 (Aglaophyton, Horneophyton, Rhynia and Asteroxylon), showing different grades of complexity of 71 water-conducting cells, occupy key steps in current plant phylogeny (Kenrick 2000; Ligrone et al. 2012). 72 Those plants are essential to elucidate the origin of vascular plant biodiversity, especially Horneophyton lignieri (Kidston & Lang) Barghoorn & Darrah, currently considered, together with Caia and 73 74 Tortilicaulis, the earliest lineage of polysporangiophytes.

75 Horneophyton is one of the historical plants firstly described by Kidson and Lang from the Rhynie chert (Kidston & Lang 1920a; Barghoorn & Darrah 1938). Morphologically, Horneophyton is a small 76 77 leafless free-sporing plant characterized by bulbous rhizomes, erect dichotomous axes and terminal 78 sporangia with a central columella (Kidston & Lang 1920; Kenrick & Crane 1997). After Kidson and 79 Lang's original diagnosis, several researchers have focused attention on this plant, generating a wide 80 literature. For instance, there is well documented evidence of the anatomy of aerial axes and rhizomes 81 (Edwards 2004; Kerp 2017), of the structure of sporangia (Eggert 1974; El-Saadwy & Lacey 1979), as 82 well as of the in situ spores (Wellman et al. 2004; Wellman 2017). Even the gametophyte, Langiophyton

mackiei, is well known (Kerp 2017). However, the phylogenetic position of Horneophyton is 83 84 controversial. Horneophyton presents a mixture of characters typical of tracheophytes (e.g., branched sporophyte, well-developed cuticles with stomata on axes; Kidston & Lang 1920a; Kerp 2017), but by 85 86 still retaining some bryophyte features (e.g., columellate sporangium; Kidston & Lang 1920a; Eggert 1974). Recent observations on the basal part of the upright axes further show the presence of water-87 conducting cells with annular to slightly helical thickenings (Kerp 2017), which obviously calls into 88 question the non-vascular nature of Horneophyton. This paper aims to address this issue and its 89 90 evolutionary implications.

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#### 92 Material and methods

We studied for the first time the series of petrographic thin sections from the historical W. Hemingway
slides of the Natural History Museum of Lille, which belong to the same Rhynie chert collection as those
originally studied by Kidston & Lang (1917, 1920a, 1920b). Concretely, we studied 30 glass slides (8x *Aglaophyton*, 8x *Rhynia*, 9x *Horneophyton*, and 5x *Asteroxylon*; see Table 1) showing typical
exquisitely well preserved reproductive and vegetative structures of the Rhynie flora. Photographs were
taken using a Nikon Df camera coupled to a Zeiss Stemi 2000-C stereomicroscope and with a Zeiss
Axioskop microscope coupled to a Zeiss Axiocam camera.

100 The phylogenetic position of Horneophyton was assessed via PAUP\* 4.0 (Phylogenetic Analysis 101 Using Parsimony, and other Methods, http://paup.phylosolutions.com/). We codified a character matrix 102 (Data S1) including 21 core taxa of earliest land plants (Kenrick & Crane 1997; Kenrick 2000). Data 103 matrix was prepared on 32 morphological and anatomical characters (Table S1). List of characters (and 104 character states) was adapted from Kenrick & Crane (1997) and Cascales-Miñana & Gerrienne (2017), 105 with some modifications (Table S1). Bryophytes were transferred to outgroup, and treated as 106 monophyletic according to Puttick et al.'s (2018) recent maximum-likelihood and Bayesian estimations. 107 See Text S1 for implementation. The topology of resulted consensus tree (Consistency index = 0.875; Homoplasy index = 0.125; Retention index = 0.9213, Rescaled consistency index = 0.8062; Text S2) 108 109 was plotted against stratigraphy using strap (Stratigraphic Tree Analysis for Palaeontology; Bell & Lloyd 2104). The calibration of polysporangiophyte splits is based on to the temporal distribution of the
considered fossil plants (Text S3). *Strap* analysis was performed according to Bell and Lloyd's (2014)
tutorial.

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#### 114 Results and discussion

The observed diversity in the water-conducting cells of the four Rhynie chert plant studied here is shown 115 in Fig. 1. In Aglaophyton (Fig. 1A,E,I,M,N), the water-conducting cells are characterized by the 116 117 presence of chains and clusters of interconnected bubble-like structures in their lumen (Edwards 1986; 118 Edwards 2004). Whether those bubbles are of primary or secondary origin is unknown, but previous studies have shown that they were most probably unlignified (Boyce et al. 2003). The conducting cells 119 of Rhynia (Fig. 1B,F,J,O,P) are of the S-type as defined by Kenrick & Crane (1991). S-type conducting 120 121 cells are elongate cells with large annular to helical thickenings including a spongy interior covered 122 towards the lumen by a thin decay-resistant microporate layer (Kenrick & Crane 1991, 1997), considered unlignified by Boyce et al. (2003). The conducting cells of Horneophyton (Fig. 1C,G,K,Q,R; Fig. 2) 123 124 include thin irregular, annular to helical thickenings. Those thickenings are of unknown origin and 125 nature, but their dark colour is suggestive of the presence of a decay-resistant component that may have 126 locally allowed the preservation of abundant organic matter. The tracheids of Asteroxylon (Fig. 127 1D,H,L,S,T) are of the G-type as defined by Kenrick & Crane (1991). They show annular to helical 128 thickenings with pores distributed between the thickenings. Boyce et al. (2003) have shown that Asteroxylon tracheids are most probably heavily lignified. 129

Two important observations are derived from the water-conducting cells diversity illustrated in Fig. 1. Firstly, the *Aglaophyton* and *Rhynia* conducting strands (Fig. 1A,E,B,F) are more closely similar to each other than to those of *Horneophyton* and *Asteroxylon*, which show thicker cell walls in cross sections (Fig. 1C,D,G,H; Fig. 2). Secondly, the complexity of the conducting cells obviously increases from *Aglaophyton*, where there is an apparent lack of tracheid thickenings (Fig. 1I,M,N), to *Asteroxylon*, which shows well-defined annular secondary thickenings (Fig. 1L,S,T). Most importantly, we note that *Horneophyton* presents a genuine type of conducting cells characterized by irregular, annular and/or,

possibly spiral wall thickenings (Fig. 1K,R,S) of putative secondary origin. Accordingly, our 137 138 phylogenetic analysis resolves *Horneophyton* and allies within tracheophytes, but interestingly, not as the basalmost lineage but as a sister clade to eutracheophytes (Fig. 3). The two plant groups constitute 139 140 a new clade that we call herein supereutracheophytes. This clade is characterized by narrow, irregular, annular and/or, possibly spiral wall thickenings of putative secondary origin, while eutracheophytes 141 present a clear thick, lignified wall layer. Fig. 3 further shows that Horneophyton and allies are more 142 derived than the paratracheophytes (=Rhyniaceae), while Aglaophyton is resolved as the earliest 143 144 diverging polysporangiophyte, and the single lineage between the bryophytes and the vascular plants.

In 1920, Kidston & Lang (1920a) referred to Horneophyton (formerly Hornea) as "another vascular 145 plant from the Rhynie peat-bed" and documented cells with distinct narrow thickenings forming 146 irregularly connected rings or a spiral (Kidston & Lang 1920a, figs. 52-53). Indeed, the Hornea original 147 148 diagnosis describes the vascular strand as follows: "stele of stem with a zone of phoem surrounding the 149 xylem composed of small central and wider peripheral tracheids" (Kidston & Lang 1920a). The same 150 description appears in Barghoorn & Darrah's (1938) Horneophyton diagnosis. More recently, Edwards (2004) pointed out that the Horneophyton terete strands "show unequivocal narrow interconnected 151 152 annular or spiral thickenings, with the smaller elements situated centrally", and further added "the tracheids were surrounded by elongate, thin-walled cells interpreted as phloem". Likewise, Kerp (2017) 153 has recently illustrated the presence of "water-conducting cells with annular to slightly helical wall 154 155 thickenings in the basal part of the upright axis" for *Horneophyton*. Our observations also show annular 156 and/or spiral wall thickenings in Honeophyton conducting cells (Fig. 1Q,R). This is definitely consistent 157 with the original diagnosis (Kidston & Lang 1920a; Barghoorn & Darrah 1938), as well as with the subsequent descriptions and the current interpretations (Edwards 2004; Kerp 2017), which provides 158 159 strong support for *Horneophyton* being a vascular plant.

Fundamental characters supporting a close relationship between *Horneophyton* and eutracheophytes are (i) the decay resistance of the conducting cell wall and (ii) thickenings of cell wall (characters 16 and 17 from Table S1, respectively). Decay resistance is a character linked to the presence of lignin in the secondary wall of the conducting cell, which accounts for an increased thickness of the wall (Kenrick & Edwards 1988). Unthickened water-conducting cells are typical of mosses (Roberts *et al.* 2012). They

can be also observed in Aglaophyton (Fig. 1E,I). The wall of the conducting cells of the 165 paratracheophytes presents a thin decay-resistant layer facing the lumen (Kenrick & Crane 1991; 166 Kenrick et al. 1991a, 1991b), but it is unclear whether the decay-resistant layer is of the secondary nature 167 168 and whether it includes lignin. Recent evidence however suggests that the development of the wall thickenings in *Rhynia* occurs shortly after elongation and hence that it is most probably of secondary 169 170 origin (Kearney et al. 2016). In another Rhynie chert plant, Nothia aphylla, for which decay resistance is accepted (Kenrick & Crane 1997), water-conducting cells have no thickenings (Edwards 2003). 171 172 However, the walls of the conducting cells of Nothia appear remarkably thicker than those of Aglaophyton and Rhynia (Kenrick & Crane 1997). The walls of Horneophyton water-conducting cells 173 (Fig. 1G) are also thicker than those of *Rhynia* (compare our Fig. 1F with Fig. 1G), and much thicker 174 than those of Aglaophyton (compare Fig. 1e with Fig. 1G). The thickness of the walls of Horneophyton 175 176 conducting cells is indeed much closer to that of the conducting cells of Asteroxylon (Fig. 1G,H). So, as 177 for Asteroxylon, Horneophyton decay resistance was scored as extensive in our analysis, while that of Rhynia is scored as limited (character 16, Table S1). 178

Early polysporangiophytes mainly present water-conducting cells with annular or helical thickenings 179 180 (Kenrick & Crane 1991). These tracheid-like cells or tracheids are well known in paratracheophytes, as well as in zosterophylls or basal lycopods such as Asteroxylon or Baragwanathia (Kenrick & Crane 181 1991; Kenrick et al. 1991a, 1991b). The presence of this type of thickenings in Horneophyton has been 182 described in several studies (Kidston & Lang 1920a; Barghoorn & Darrah 1938; Edwards 2004; Kerp 183 184 2017) and is again illustrated here (Fig. 1G,K). The thickenings are however irregular and less well-185 developed than in Asteroxylon (Fig. 1S,T), or even in Rhynia, where we see a banded appearance (Fig. 10,P). Nevertheless, because annular or helical thickenings, even if irregular, are obvious in 186 Horneophyton, the character "tracheid thickenings" was scored as present in our analysis (character 17, 187 188 Table S1).

The results of our cladistic analysis contrast with the currently accepted fossil-based plant phylogeny (Fig. 4A) that presents two intermediate lineages between the bryophytes and tracheophytes. These lineages correspond to the Rhynie chert plants *Horneophyton* and *Aglaophyton*. This conception mainly derives from the presence of a columella in *Horneophyton* sporangium and of apparently uniform cell walls in *Aglaophyton* conducting cells. According to this model, tracheophytes include two sister clades,
represented here by the Rhynie chert plants *Rhynia* (paratracheophytes, Fig. 3) and *Asteroxylon*(eutracheophytes, Fig. 3), the latter being sister to euphyllophytes, i.e., the plants with "true leaves" or
megaphylls, a lineage that includes almost all living vascular plants. Our results however suggest an
alternative, and more parsimonious model for interpreting the basal relationships in land plant evolution
(Fig. 4B), with *Horneophyton* (and allies) being sister to eutracheophytes instead of being the earliest,
non-vascular polysporangiophyte.

200 The acquisition of more and more efficient water-conducting cells was crucial for plant evolution 201 and decisive during their terrestrialisation process. The presence of vascular tissues is therefore a key synapomorphy for understanding polysporangiophyte evolution. Tracheids are defined in terms of form, 202 203 function and biochemical composition (Niklas & Smocovitis 1983), the latter character being the most 204 difficult to assess in fossils. All the features identifying tracheid (secondary wall deposition, presence 205 of lignin, pitting of wall) were presumably acquired sequentially; this is exemplified by the four plants 206 illustrated here that document the initial steps of the process. In fossil plants, the presence of lignified 207 conducting cells can only be assumed from the presence of a decay-resistant layer. This layer is 208 evaluated from comparative morphology with extant plants. There are no direct unequivocal measures 209 showing the presence of lignin neither for any Early Devonian tracheids nor for any early land plant. However, the fossil record shows a great variety of conducting cells and not all of them possess a decay-210 211 resistance nature. The best example is the S-type conducting cell. These cells, characteristics of 212 paratracheophytes such as Sennicaulis, Rhynia or Huvenia and related plants, are elongate, with 213 annular/helical thickenings, but with a very thin decay-resistant layer (Kenrick & Crane 1991) in which the presence of lignin is still elusive (Boyce et al. 2003; Kearney et al. 2016). Interestingly, Aglaophyton 214 water-conducting cells strongly resemble the morphology found in *Rhynia* or *Huvenia* conducting 215 216 tissues (Remy & Hass 1996; Kearney et al. 2016). This fact suggests, together with similarity observed 217 between Aglaophyton and Rhynia central strands (see Fig. 1E.F), and the morphology of its reproductive structures (Kenrick & Crane 1997; Cascales-Miñana & Gerrienne 2017), that Aglaophyton might be 218 219 included in the paratracheophytes, likely as its basalmost representative. This has already been discussed by Edwards (2004), who suggested that similarities in xylem elements between *Aglaophyton* and *Rhynia*would seem to narrow the differences between both plants.

222 The other key element in the currently accepted phylogenetic position of *Horneophyton* is the 223 presence of columellate sporangia (Eggert 19774; El-Saadawy & Lacey 1979). This character is considered a bryophyte-like trait and it is the mean feature advocating for the basalmost position of 224 Horneophyton within polysporangiophyte evolution (Kenrick 2000). However, columellate sporangium 225 is neither a character of all bryophytes, nor present in bryophytes only. This type of sporangium is absent 226 227 in liverworts, not present in all hornworts, and is characteristics of mosses only (Goffinet & Shaw 2009). 228 Importantly, the fossil record shows also collumelate sporangia in several Carboniferous lycopsids, i.e., 229 in basal eutracheophytes, such as Oxroadia (Alvin 1965) or the sigillarian plant Mezocarpon (Pigg 1983). This means that the character "presence of a columella in the sporangium" might by 230 homoplasious, which reinforces the position of Horneophyton as a sister lineage to eutracheophytes 231 232 (Figs. 2,3B), and therefore, as a precursor of the living vascular plant biodiversity.

There is an important "time gap" between the earliest evidence for land plants, provided by the mid-233 Ordovician dispersed spore fossil record (Rubinstein et al. 2010), and the earliest vascular plant 234 235 evidence, which corresponds to mid-Silurian Cooksonia macroremains (Libertín et al. 2018). This gap could still be larger if we consider the recently suggested Morris et al.'s (2018) timescale. New 236 molecular-based results estimate indeed that land and vascular plants emerged during mid-Cambrian-237 Early Ordovician and Late Ordovician-Silurian time intervals, respectively (Morris et al. 2018). No 238 239 fossil evidence confirms such intervals, but a series of small fossil plant remains have been recently 240 discovered from the uppermost Ordovician (Salamon et al. 2018). No unequivocal evidence of tracheophytes is known before Silurian times. As shown in Fig. 3, *Cooksonia* is the oldest tracheophyte, 241 242 and importantly also, is the oldest and basalmost eutracheophyte, but it is not the most primitive vascular 243 plant. Due to its exceptional anatomical preservation, the Rhynie chert plants provide unique knowledge about the earliest steps of polysporangiophyte evolution. This is the reason why they occupy key 244 245 positions in many models of plant evolution, especially tracheophytes. This fact also goes with an 246 underrepresentation and with an identification bias of basal tracheophytes, even more so if we take into

account that many Early Devonian plants are known from compression fossils only, with no informationabout their vascular strand.

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#### 250 Conclusions

251 We show herein the water-conducting cells of four Lower Devonian plants from the Lagerstätte of Rhynie (Aberdeenshire, Scotland) present in the slide collections of the Natural History Museum of 252 253 Lille (France). Those plants, namely the protracheophytes (considered non-vascular) Aglaophyton and Horneophyton, the paratracheophyte Rhynia and the eutracheophyte Asteroxylon, are key taxa in the 254 255 earliest evolution of vascular plants. This work confirms previous descriptions and interpretations of the water-conducting cells of Aglaophyton, Rhynia and Asteroxylon, but emphasizes that Horneophyton has 256 257 a particular type of tracheid characterized by narrow, irregular, annular and/or, possibly spiral wall 258 thickenings, and hence that it cannot be considered non-vascular anymore. Indeed, our new phylogeny resolves Horneophyton within tracheophytes, but as sister to eutracheophytes in a larger clade called 259 260 supereutracheophytes. Results further suggest that the horneophytophytes are more derived than the 261 paratracheophytes. The Rhynie Chert plants lived some 20 million years after the earliest Silurian 262 records for vascular plants. Although based on a group of coeval plants, many of them displaying ancestral characters, the alternative scenario for the basalmost evolution of vascular plants proposed 263 264 herein suggests a sequential acquisition of water-conducting cell characters. This results in a more 265 parsimonious model characterized by a progressive increase of the complexity of the conducting tissues. 266

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#### 367 Figure legends

368 Fig. 1. Rhynie chert water-conducting cells observed from the historical collections of the Natural 369 History Museum of Lille. A-H. Transverse thin sections (TS). I-T. Longitudinal thin sections (LS). A. 370 Conducting strand of Aglaophyton majus (TS, scale bar =  $100 \mu m$ ; MGLame-4). B. Vascular strand of 371 *Rhynia gwynne-vaughanii* (TS, scale bar =  $100 \,\mu$ m; MGLame-9). C. Vascular strand of *Horneophyton* 372 *lignieri* (TS, scale bar = 100 µm; MGLame-18). D. Vascular strand of Asteroxylon mackiei (TS, scale 373 bar = 100  $\mu$ m; MGLame-27). E. Detail of water-conducting cells of A. majus (TS, scale bar = 20  $\mu$ m; 374 MGLame-4). F. Detail of S-type tracheids of R. gwynne-vaughanii (TS, scale bar =  $20 \mu m$ ; MGLame-375 9). G. Detail of water-conducting cells of *H. lignieri* (TS, scale bar = 20 µm; MGLame-18). H. Detail 376 of tracheids of A. mackiei (TS, scale bar = 40 µm; MGLame-27). I. Water-conducting cells of A. majus 377 (LS, scale bar =  $20 \,\mu$ m; MGLame-8). J. Water-conducting cells of *R. gwynne-vaughanii* (LS, scale bar = 25  $\mu$ m; MGLame-15). K. Water-conducting cells of *H. lignieri* (LS, scale bar = 50  $\mu$ m; MGLame-378 18). L. Tracheids of A. mackiei (LS, scale bar = 50 µm; MGLame-29). M-N. Detail of water-conducting 379 380 cells of A. majus (LS, scale bar =  $20 \mu m$ ; MGLame-8). O-P. Detail of water-conducting cells of R.

381 *gwynne-vaughanii* (LS, scale bar =  $20 \mu m$ ; MGLame-15-16). Q-R. Detail of water-conducting cells of 382 *H. lignieri* (LS, scale bar =  $25 \mu m$ ; MGLame-18). S-T. Detail of tracheids of *A. mackiei* (LS, scale bar 383 =  $20 \mu m$ ; MGLame-29, MGLame-27).

384

*Fig. 2. Horneophyton* water-conducting cells observed from the Rhynie chert collections of Munster
University (Slide P 5012). A. General view of the *Horneophyton* specimen illustrated in Kerp (2017,
fig. 1h). B. Zoom view of A showing the structure of *Horneophyton* conducting tissues. Scale bar = 25
µm. Pictures courtesy of Hans Kerp.

389

*Fig. 3.* Time-scaled phylogeny of earliest land plants. Major clades are in bold. Black boxes indicate the
temporal distribution of involved taxa. Blue colour highlights the supereutracheophyte clade. See
Material and methods for details.

393

*Fig. 4.* Synthetic comparison of the basalmost evolutionary relationships among land plants. A.
Currently accepted most parsimonious plant phylogeny. Based on Kenrick (2000, fig. 1A). B. Proposed
cladistics for the early land plants. Blue colour highlights the supereutracheophyte clade.

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398 Tables

*Table 1.* List of slides of the Hemingway historical collection of the Rhynie chert housed at the Natural
History Museum of Lille used in this study. For each slide, it is specified both the original and given
code as well as the considered plant diversity.

402

403 Supplementary data

404 *Data S1.* Character matrix used in the phylogenetic analysis.

405

406 *Table S1*. List of characters and character states used for coding data matrix. Modifications from Kenrick407 and Crane [1] are in bold.

*Text S1*. Data matrix (Nexus format) of PAUP analysis I.

- *Text S2.* Tree file (Newick format) of *strap* analysis.
- *Text S3.* Age file (R package paleotree format) of *strap* analysis.