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Information-based signal selection improves decoding of attention spotlight from multi-units & local field potentials and enhances correlation with behavior.

De Sousa Ferreira^{*1}, C., Gaillard^{*1}, C., Di Bello¹, F., Ben Hadj Hassen¹, S., Ben Hamed¹, S.

1. Institut des Sciences Cognitives Marc Jeannerod, CNRS UMR 5229, Université Claude Bernard Lyon 1, 67 Boulevard Pinel, 69675 Bron Cedex, France

Corresponding author: Suliann Ben Hamed, benhamed@isc.cnrs.fr, Carine De Sousa Ferreira, carine.desousa@isc.cnrs.fr

* These two authors contributed equally to this work

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Highlights

We use machine learning to decode attention spotlight from prefrontal MUA & LFP.

We achieve high decoding accuracy of (x,y) spatial attention spotlight.

(x,y) attention spotlight position accuracy is maximal from LFP gamma frequency range.

MUA and LFP decoded attention position predicts behavioral performances.

Selecting high information signals improves decoding and behavioral correlates.

1 **Abstract**

2 The ability to access brain information in real-time is crucial both for a better understanding of cognitive
3 functions and for the development of therapeutic applications based on brain-machine interfaces. Great
4 success has been achieved in the field of neural motor prosthesis. Progress is still needed in the real-time
5 decoding of higher-order cognitive processes such as covert attention. Recently, we showed that we can track
6 the location of the attentional spotlight using classification methods applied to prefrontal multi-unit activity
7 (MUA) in the non-human primate (Astrand et al., 2016). Importantly, we demonstrated that the decoded (x,y)
8 attentional spotlight parametrically correlates with the behavior of the monkeys thus validating our decoding
9 of attention. We also demonstrate that this spotlight is extremely dynamic (Gaillard et al., 2020). Here, in order
10 to get closer to non-invasive decoding applications, we extend our previous work to local field potential signals
11 (LFP). Specifically, we achieve, for the first time, high decoding accuracy of the (x,y) location of the attentional
12 spotlight from prefrontal LFP signals, to a degree comparable to that achieved from MUA signals, and we show
13 that this LFP content is predictive of behavior. This LFP attention-related information is maximal in the gamma
14 band. In addition, we introduce a novel two-step decoding procedure based on the labelling of maximally
15 attention-informative trials during the decoding procedure. This procedure strongly improves the correlation
16 between our real-time MUA and LFP based decoding and behavioral performance, thus further refining the
17 functional relevance of this real-time decoding of the (x,y) locus of attention. This improvement is more
18 marked for LFP signals than for MUA signals, suggesting that LFP signals may contain other sources of task-
19 related variability than spatial attention information. Overall, this study demonstrates that the attentional
20 spotlight can be accessed from LFP frequency content, in real-time, and can be used to drive high-information
21 content cognitive brain machine interfaces for the development of new therapeutic strategies.

22 Introduction

23 Accessing cognitive functions in real time, using machine learning methods applied to ongoing brain signals is
24 considered as one of the major challenges of modern neurosciences, in order to enhance and restore human
25 brain capacities (Astrand et al., 2014; Cinel et al., 2019; Dresler et al., 2018). Indeed, the ability to decode brain
26 information in real-time is expected to allow for a better characterization of cognitive functions and
27 development of therapeutic applications based on brain-machine interfaces. While great success has been
28 achieved in the field of neural motor prosthesis (Prochazka, 2017), real-time decoding of higher-order cognitive
29 processes such as spatial attention is still hampered by the complexity of these mechanisms.

30 One major issue in this respect is the fact that cognitive functions are mostly covert and can only be inferred
31 transiently through subjects' behaviors. Another crucial issue is the fact that cognitive processes are highly
32 dynamic, irrespectively of behavioral goals or instructions (Gaillard et al., 2020).

33 In the last years, we have recorded multi-unit activity (MUA) signals from prefrontal frontal eye fields (FEF), a
34 cortical region at the core of attention selection (Buschman and Miller, 2007; Ekstrom et al., 2008; Gregoriou et
35 al., 2009; Ibos et al., 2013; Moore and Fallah, 2004; Wardak et al., 2006). We report real-time access to the
36 (x,y) coordinates of attentional spotlight from these ongoing prefrontal neuronal population spiking activity, at
37 high spatial and temporal resolution (Astrand et al., 2020; Di Bello et al., 2020; Gaillard et al., 2020).
38 Importantly, we show a strong correlation between the decoded (x,y) attentional spotlight in real-time and
39 subjects' behavioral performance on a complex perceptual task.

40 In the following, we extend this (x,y) decoding of the attentional spotlight to local field potential (LFPs) signals,
41 moving a step closer to real-time EEG based decoding of the attentional function. Indeed, LFP signals reflect
42 the spiking activity that are summed over a large population of neurons while MUA refers to the activity of
43 individual neurons or of a local population of neurons. While MUA activity is often best analyzed in the time-
44 amplitude domain, LFPs are often analyzed in the time-frequency domain. Besides, we present a novel two-
45 step decoding procedure optimizing correlation between decoded information and ongoing behavior.
46 Specifically, we apply machine learning methods to neuronal population activities recorded from the FEF,
47 bilaterally, while monkeys performed a cued spatial target detection task. We report for the first-time high (x,y)
48 decoding accuracy of attentional spotlight location from LFP signals. We further show that LFPs attention-
49 related informational content is maximal in the gamma frequency band. The real-time attention decoding
50 accuracies for LFP are comparable to what we achieved from MUA and are highly correlated with behavioral
51 performance. Based on the observation that the (x,y) attention spotlight location estimated from both MUA
52 and LFP signals correlate with behavior, we introduce a novel attentional position decoding method based on a
53 distinction between trials with high and low attention related information content. We demonstrate that this
54 procedure improves decoding accuracies obtained from LFP and MUA signals and importantly, improves their
55 correlation with behavior. This improvement is maximal for LFP signals compared to MUA signals, suggesting
56 that LFP signals may contain other sources of task-related variability than spatial attention information, a point
57 that is highly relevant for attentional processes decoding. Overall, this study provides methodological bases to

58 drive high attention-information content cognitive brain machine interfaces from both MUA or LFP activities. It
59 also opens the way to targeting other cognitive functions such as working memory, and possibly extend this
60 approach to non-invasive signals such as EEG or fMRI signals.

61

62 **Methods**

63 ***Subjects and surgical procedures***

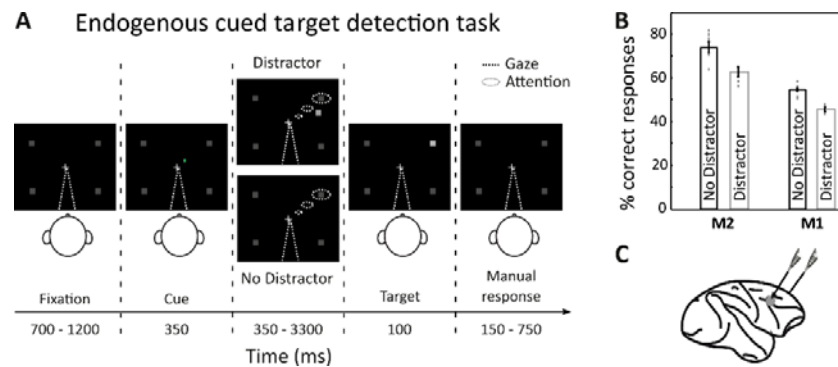
64 Two adult male rhesus monkeys (*Macaca mulatta*) were used in this experiment. All surgical and experimental
65 procedures were approved by the local animal care committee (C2EA42-13-02-0401-01) in compliance with the
66 European Community Council, Directive 2010/63/UE on Animal Care. The surgical procedures, the FEF location,
67 and visual stimulation techniques have been described elsewhere (Astrand et al., 2016).

68

69 ***Behavioral task***

70 The task is a 100% validity endogenous cued spatial target detection task (fig. 1A). The animals were placed in
71 front of a PC monitor (1920×1200 pixels and a refresh rate of 60 HZ), at a distance of 57 cm, with their heads
72 fixed. The stimuli presentation and behavioral responses were controlled using Presentation (Neurobehavioral
73 systems®, <https://www.neurobs.com/>). To start a trial, the bar placed in front of the animal's chair had to be
74 held by the monkeys, thus interrupting an infrared beam. The onset of a central blue fixation cross (size
75 $0.7^\circ \times 0.7^\circ$) instructed the monkeys to maintain eye position inside a $2^\circ \times 2^\circ$ window, defined around the fixation
76 cross. To avoid the abort of the ongoing trial, fixation had to be maintained throughout trial duration. Eye
77 fixation was controlled thanks to a video eye tracker (Iscan™). Four gray square (size $0.5^\circ \times 0.5^\circ$) were displayed,
78 all throughout the trial, at the four corners of a $20^\circ \times 20^\circ$ hypothetical square centered onto the fixation cross.
79 Thus, the four squares (up-right, up-left, down-left, down-right) were placed at the same distance from the
80 center of the screen having an eccentricity of 14° (absolute x- and y-deviation from the center of the screen of
81 10°). After a variable delay from fixation onset, ranging between 700 – 1200 ms, a small green square, the cue
82 (size $0.2^\circ \times 0.2^\circ$) was presented, for 350 ms, close to the fixation cross (at 0.3°) in the direction of one of the grey
83 squares. Monkeys were rewarded for detecting a subtle change in luminosity of this cued square - i.e., the
84 target. The change in target luminosity occurred unpredictably between 350 to 3300 ms from the cue off time.
85 In order to receive a reward (drop of juice), the monkeys were required to release the bar in a limited time
86 window (150 - 750 ms) after the target onset (hit trials). In order to make sure that the monkeys did use the
87 cue instruction, on half of the trials, distractors were presented during the cue to target interval. Two types of
88 distractors could be presented: (i) an uncued distractor (33% of trials with distractor) - that could take place
89 equiprobably at any of the uncued locations; (ii) a workspace distractor (67% of trials with distractor) - that
90 correspond to a small square presented randomly in the workspace defined by the four target locations. The
91 contrast of the square with respect to the background was the same as the contrast of the target against the
92 grey square. The monkeys had to ignore all of these distractors. Responding to any of them interrupted the

93 trial. If the response occurred in the same response window as for correct detection trials (150 - 750 ms), the
94 trial was counted as a false alarm (FA) trial. Failing to respond to the target (Miss) similarly aborted the ongoing
95 trial. Overall, data was collected for 19 sessions (M1: 10 sessions, M2: 9 sessions). The behavioral performance
96 of each animal is presented in figure 1B (proportion of hits over miss and FA trials).
97



98

99 Figure 1: **Task design and behavioral performance.** (A) 100% validity cued spatial target detection
100 task with distractors. Monkeys had to hold a bar and fixate a central cross on the screen for a trial
101 to be initiated. Monkeys received a liquid reward for releasing the bar 150 - 750 ms after target
102 presentation. Target location was indicated by a cue (green square, second screen). Monkeys had
103 to ignore any uncued event. (B) Behavioral performance of monkeys M1 and M2 at detecting the
104 target in the presence or absence of a distractor (median % hits +/- median absolute deviations,
105 dot correspond to individual sessions). (C) Recording sites. On each session, 24-contact recording
106 probes were placed in each FEF.

107

108 **Recording techniques**

109 Bilateral simultaneous recordings in the two frontal eye fields (FEF) were carried out using two 24- contact
110 Plexon U-probes (fig. 1C). The contacts had an interspacing distance of 250 μm . Neural data was acquired with
111 the Plexon Omniplex[®] neuronal data acquisition system. The data was amplified 400 times and digitized at
112 40,000 Hz. A threshold defining the multi-unit activity (MUA) was applied independently for each recording
113 contact before the actual task-related recordings started. Local field potentials (LFP) were recorded
114 simultaneously on the same electrodes as MUA. The neuronal properties of the recorded neuronal sample
115 have already been described elsewhere (Astrand et al., 2020; Gaillard et al., 2020).

116

117 **Neuronal decoding procedure**

118 MUA and LFP signals were aligned on the target presentation time and sorted according to the monkey's
119 behavioral response (hits and misses). Fast Fourier transform analyses were performed on LFP signals recorded
120 on all 48 channels to quantify signal power up to 250 Hz. Signal normalization was applied to LFP signals.

121 Specifically, instantaneous powers were z-scored with respect to a pre-cue baseline, by subtracting from these
122 instantaneous power frequency series the power average of the 300 ms before the cue presentation and
123 dividing by the standard deviation of this signal over all trials. Decoding from LFP signals was then performed
124 either on unfiltered data or on eight independent frequency bands: δ (0-4 Hz), θ (4-8 Hz), α (8-12 Hz), low β
125 (12-20 Hz), high β (20-30 Hz), low γ (30-60 Hz), mid γ (60-120 Hz), high γ (120-250Hz). As in Astrand et al.,
126 (2016, 2015), a regularized linear decoder was used to associate, on hit trials, the neuronal activity associated
127 to one of the four possible target locations.

128 Decoder input signals corresponded either to the number of spikes for MUA or to the normalized
129 instantaneous power of all frequencies or specific frequency bands for LFPs, computed over the specified time
130 window. On each given time interval before target presentation, the decoder was trained on a random set of
131 70% of hit trials and then tested on the 30% remaining hit trials and all misses, with activities sampled at the
132 same interval as the training interval. Trial positions were equalized in the training set to avoid any decoding
133 bias. To avoid overfitting, training and testing were performed from different trials. During training, the input
134 of the classifier was a 48-channel by N-trial matrix, corresponding to the average neuronal signal computed
135 over the time interval of interest, for each of the 48 recording channels and each of the N training trials. As an
136 input, the decoder also used the (x,y) coordinates of the target for each of these N training trials. During
137 testing, for each trial, new to the classifier, the output of the classifier was estimated from a 48-channel vector
138 corresponding to the average neuronal signal on the time interval of interest, on each of the 48 recording
139 channels, on the considered testing trial. The output calculated by the decoder correspond to an X and Y
140 coordinate. Thus, it could be read as an (x,y) estimation of attentional spotlight or as a quadrant category,
141 corresponding to one of the four possible target localization (as in Astrand et al., 2016, 2015, 2014; Gaillard et
142 al., 2020). Training and testing were performed on neuronal signals from 10 ms to 1200 ms before target
143 presentation with a time step of 20 ms. All trials with cue-to-target intervals shorter than 1700ms were
144 excluded from this analysis. For each interval, training and testing steps were repeated 100 times, then
145 averaged to define a decoding performance corresponding to the number of correct classifications according to
146 quadrant categories. We estimated the 95% confidence interval to verify the statistical significance of our
147 decoding performance. The same decoding analyses as described above were used with a training set based on
148 random labels. In other words, the decoder used the same neuronal signal, but the coordinates of the target
149 were randomized and thus did not correspond to the actual condition in which the neuronal signal was
150 recorded.

151

152 ***Behavioral correlation***

153 In order to validate the decoding procedure, we investigated the correlation between the (x,y) attentional
154 spotlight decoded from neuronal signals with monkey's behavioral response (Percentage of hits over miss
155 trials). Specifically, the relative distance between the actual target location and the decoded attentional
156 spotlight location was calculated for each trial. Percentage of hits over miss trials was then calculated over 0.5°
157 distance vectors. To avoid biases, total number of hits and misses were equalized and then binned - the whole

158 procedure was repeated 100 times. The X and Y location of attentional spotlight was calculated from a leave-
159 one-out decoding strategy (i.e., training was performed on all hit trials except one used for the testing). For
160 misses, the decoder was trained on all hit trials and tested on all misses. Training and testing were performed
161 on a 150 ms time window prior to target presentation. Statistical analyses were carried using linear regression
162 model.

163

164 ***Two-step decoding procedure***

165 In this part, we dissociate high attention-related informational spatial content trials from low attention-related
166 informational content trials. We use the relative distance calculated between the decoded (x,y) attentional
167 spotlight (AS) and the real target location (T) for hit trials, as described above. Two categories of hit trials were
168 identified from this first decoding: 1) trials in which the decoded attentional spotlight is close to target location
169 (i.e. HighContent trials) and 2) trials in which the decoded attentional spotlight is far from target location (i.e.
170 LowContent trials). HighContent and LowContent trials were defined according to a threshold of 7° between
171 real target location and decoded attentional spotlight (HighContent trials: $|AS-T| < 7^\circ$; LowContent trials: $|AS-$
172 $T| \geq 7^\circ$). Given the high difficulty of the task, monkeys cannot succeed in the trial if they are not orienting their
173 attention near to the target location (Astrand et al., 2016). Thus, we hypothesized that these differences
174 between HighContent and LowContent trials was due to differences in spatial attention informational content
175 between these two types of hit trials, and that signals were more representative of the expected target location
176 in HighContents trials that in LowContent trials. Decoding performance and behavioral correlation were thus
177 calculated a second time as follows. In order to evaluate classification performance, training was performed on
178 all HighContent trials and testing was performed on different percentages of HighContent trials over
179 LowContent trials (0% to 100% ratio). The proportion 70/30 of trials used for training and testing was
180 conserved. Once training and testing sets were selected, the decoding procedure applied was the same that
181 the procedure described in the previous section. In order to evaluate the correlation between decoded
182 attention position and behavioral performance, we performed a trial by trial (x,y) estimation of attentional
183 position. More specifically, for HighContent trials position decoding, the decoder was trained on all
184 HighContent trials except one and tested on the remaining one (leave one out strategy). For LowContent trials
185 and misses, the decoder was train on all HighContent trials and tested on LowContent trials and misses.
186 Training and testing were performed 150 ms before target presentation. The relative distance between AS and
187 T was calculated and associated with the percentage of hit trials with respect to misses. Hit trials included 50%
188 of LowContent trials and 50% of HighContent trials. For each signal (MUA and LFP), we compared the effect of
189 HighContent trials on decoding performance and behavioral correlation. Statistical comparisons were
190 performed using non parametric tests (Wilcoxon rank sum test) and multiple linear regressions.

191

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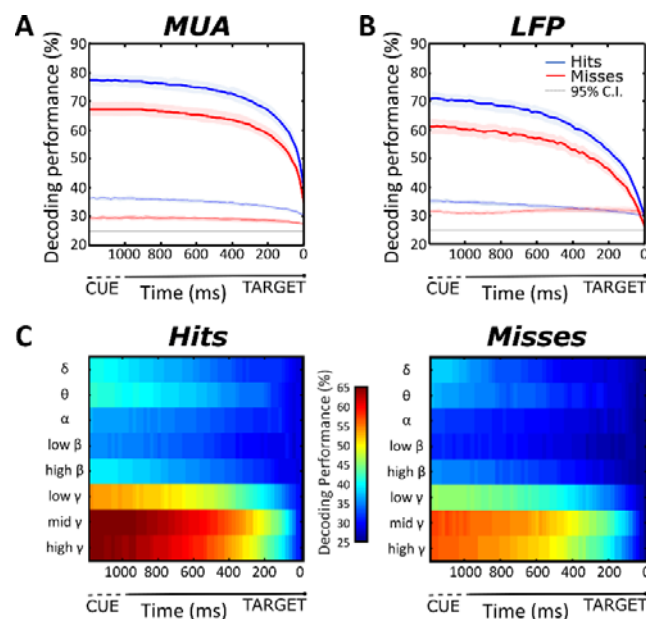
193 **Results**

194 In order to access the location of the attentional spotlight, a linear decoder was used to estimate the (x,y)
195 coordinates of attention based on MUA and LFP signals, recorded from the prefrontal cortex (FEF, bilaterally,
196 Fig. 1C) while monkeys performed a cued target detection task (Fig. 1A). The readout of this linear decoding
197 procedure can be classified in one of four possible classes indicating whether attention is correctly oriented to
198 the cued visual quadrant (correct classification), or to one of the three other quadrants (incorrect classification,
199 Astrand et al., 2014, Tremblay et al., 2015b). Alternatively, the readout of the linear decoding can be taken as
200 an error to the cued location and transformed into an (x,y) continuous coordinate (Astrand et al., 2020, 2016;
201 Gaillard et al., 2020). In the first part of the results, we report for the first time continuous attentional spotlight
202 position decoding from LFP signals, with performance accuracy levels similar to MUA based decoding. We then
203 analyze how the continuous (x,y) estimates of attentional spotlight based on prefrontal MUA and LFP signals
204 predict behavioral performance, thus validating the decoding procedure. Finally, we develop a decoding
205 method that optimizes the spatial decoding of attention from MUA and LFP signals and highlights qualitative
206 variability in prefrontal attention related information.

207

208 ***Classifying spatial attention from prefrontal MUA and LFP***

209 Figure 2A and 2B represent the classification performance based respectively on FEF recorded MUAs and LFPs
210 (irrespective of frequency content). Neuronal activity (decoder input) was averaged just prior to target
211 presentation, calculated across varying time windows ranging from 10ms to 1200ms. Decoding accuracy on hit
212 trials is significantly higher than chance for both MUA (Fig. 2A, blue, mean = 77%, s.e. = 2.1%, for window size =
213 1200ms, dashed blue line, 95% C.I., note that absolute chance level is at 25%) and LFP signals (Fig. 2B, blue,
214 mean = 71%, s.e. = 2.1%, for window size = 1200ms, dashed blue line, 95% C.I.). Thus, on hit trials, spatial
215 attention can be successfully classified from both MUA and LFP signals.



216

217 Figure 2: **Spatial attention decoding accuracies** from (A) multi-unit activity (MUA) or (B) local field
218 potentials (LFP), as a function of averaging time window size from target onset (0 ms), on hits
219 (blue, mean +/- s.e.) and miss trials (red, mean +/- s.e.). Black dashed line (25%): absolute chance
220 level; dashed blue and red curves: 95% C.I. for hit and miss trials. (C) Spatial attention decoding
221 accuracy from LFP signals per LFP frequency band, as a function of averaging time window size
222 from target onset (0 ms), on hit (left) and miss trials (right).

223 Interestingly, decreasing time intervals before target presentation highly impacts decoding accuracies.
224 Performances decrease from 77% to 40% for MUA (Fig. 2A, blue, mean =40%, s.e. = 1.7%) and from 71% to 29%
225 for LFPs (Fig. 2B, blue, mean = 29%, s.e. = 0.9%). Additionally, for LFP signals, classification significance is
226 reached only for window sizes starting from 30 ms (Fig. 2B, blue, mean=29%, s.e.=0.9%). Compared to short
227 time windows, longer time windows reflect average spatial attention location, and thus yield higher
228 classification rates. On both signals, window size thus implies a trade-off between temporal resolution and
229 overall classification accuracy.

230 While MUA signals are processed in the time-amplitude domain, LFP signals are processed in the time-
231 frequency domain. In the following part, we segregated the different functional frequency bands of LFPs to
232 investigate their specific impact on classification performances. Figure 2C represents the decoding accuracy in
233 time as a function of specific LFP functional frequency band content. As observed on the overall decoding
234 accuracy from all LFP frequency content decomposition, larger window sizes yield higher decoding accuracies
235 in all frequency bands (Fig. 2C). However, information about spatial location of attention is mainly contained in
236 the gamma frequency bands (30-250 Hz). Specifically, on hit trials, for the largest window sizes, decoding
237 accuracies are below 50% for all frequency bands <30Hz (δ = 40%; θ = 42%; α = 36%; low β = 35%; high β = 39%)
238 and reach a maximum of 54% for low γ (30-60 Hz), 66% for mid γ (60-120 Hz) and 65% for high γ (120-250Hz)
239 (Fig.2C). In addition, full spectrum LFP decoding accuracy is higher compared to LFP band-specific decoding
240 accuracies.

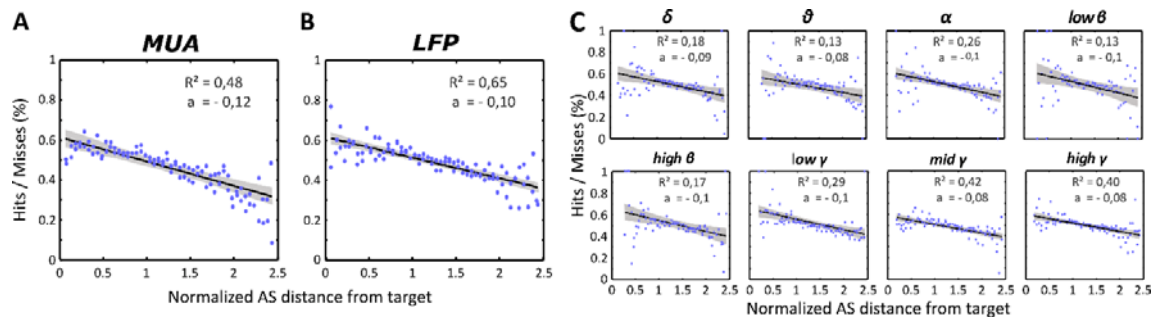
241 For both MUA and LFP signals, decoding is significantly more reliable on hit trials than on misses at all window
242 sizes (e.g. window size = 1200ms, MUA: Fig. 2A, blue, mean = 77%, s.e. = 2.1% vs. red, mean = 67%, s.e. =2.4%;
243 LFP: Fig. 2B, blue, mean = 71%, s.e. = 2.1% vs. red, mean = 61%, s.e. = 2.4%). This holds true for all LFP
244 frequency bands, although impact of negative trial outcome is stronger on higher LFP frequency bands as
245 compared to lower (Fig. 2C). Overall, this supports that spatial attention is miss allocated during miss trials
246 (Astrand et al., 2016, Gaillard et al., 2020), subsequently interfering with perception (Astrand et al., 2020).

247

248 ***The decoded (x,y) attentional spotlight predicts behavior***

249 Spatial attention is a covert cognitive process. Therefore, it is not possible to verify spatial attention decoding
250 behavioral significance by a direct single trial correlation between decoding readout of spatial attention and an
251 observable behavioral measure other than target detection performance. It is however possible to validate the

252 correlation between the decoding readout of spatial attention and behavior over multiple trials (Astrand et al.,
 253 2016, Gaillard et al., 2020). In the following, attention to target distance is defined, in each trial, as the distance
 254 between expected target location and the corresponding decoded (x,y) attentional spotlight, 150 ms before
 255 target onset. This distance parameter is then correlated to a behavioral performance calculated as the
 256 percentage of hits over miss trials. For all signal types, we observe that monkeys produce more hits when their
 257 attentional spotlight is deployed closer to target location. Specifically, we demonstrate a significant linear
 258 correlation between the distance of decoded attentional spotlight to target and the hit rate, when using MUA
 259 based decoding (Fig. 3A. linear regression: $r^2= 0.48$, $F= 86$, p-value < 0.005) as well as when using LFP based
 260 decoding (Fig. 3.B linear regression: $r^2= 0.65$, $F= 174$, p-value < 0.005). This indicates that similarly to MUAs,
 261 LFPs spatial attention information predicts behavior.



262

263 Figure 3: **Correlation between behavioral performances & distance between the attentional**
 264 **spotlight and the target location** from (A) multi-unit activity (MUA), (B) local field potentials (LFP),
 265 on all frequency power content or (C) as a function of specific frequency ranges (δ (0-4 Hz), θ (4-8
 266 Hz), α (8-12 Hz), low β (12-20 Hz), high β (20-30 Hz), low γ (30-60 Hz), mid γ (60-120 Hz), high γ
 267 (120-250Hz)). Blue dots: binned data points; black line: best linear fit; gray shaded area: 95% C.I. F
 268 and p-values are indicated in the main text. Behavioral performance, y-axis: ratio between hit and
 269 miss trials in %. Distance between the decoded attentional spotlight (AS) and actual target
 270 presentation location, x-axis: normalized distance.

271 In order to better understand which frequency bands held the most reliable spatial information, the above
 272 described correlation analysis is reproduced for each independent functional LFP frequency band (Fig. 3C).
 273 Overall, correlations are weak for the lower frequency bands and increase for the higher frequency ranges (Fig.
 274 4C: δ : $r^2= 0.18$, $F= 0.0$, p-value < 0.005/ θ : $r^2= 0.13$, $F= 0.0$, p-value < 0.005/ α : $r^2= 0.26$, $F= 0.0$, p-value < 0.005
 275 / low β : $r^2= 0.13$, $F= 0.0$, p-value < 0.005/ high β : $r^2= 0.17$, $F= 0.0$, p-value < 0.005/ low γ : $r^2= 0.29$, $F= 0.0$, p-
 276 value < 0.005 / mid γ : $r^2= 0.42$, $F= 0.0$, p-value < 0.005 / high γ : $r^2= 0.40$, $F= 59.3$, p-value < 0.005).

277 These analyses bring about two important observations. First, spatial attention LFP-based decoding correlates
 278 with behavior to the same extent as MUA-based decoding. Second, this is mostly due to the gamma frequency
 279 LFP power content.

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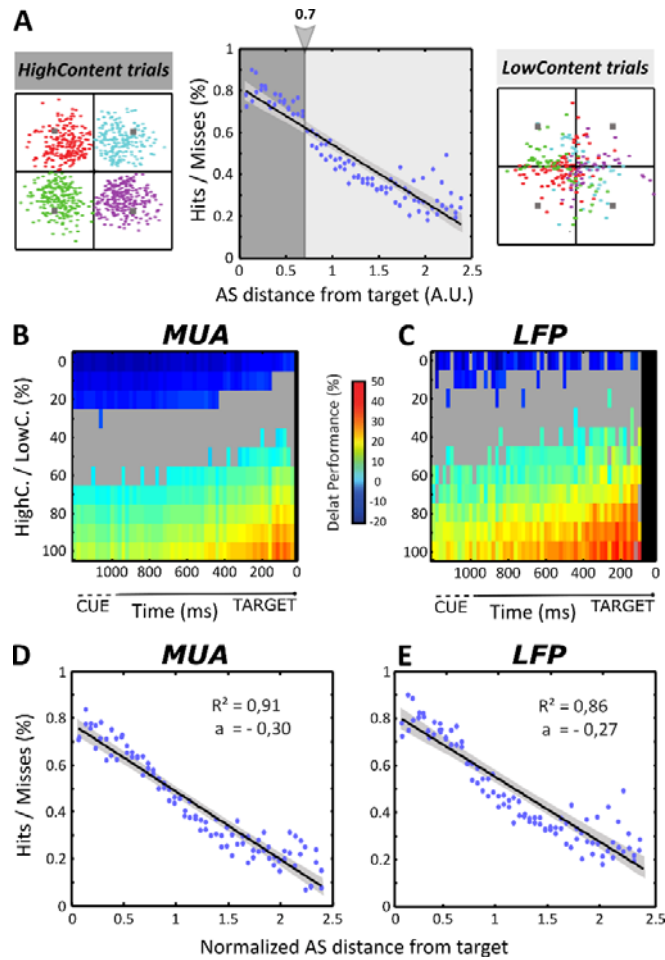
281 ***Optimizing (x,y) access to attentional spotlight using a two-step decoding procedure***

282 From the above correlation between decoded attentional spotlight distance to expected target location and hit
283 rate, we observe that for a proportion of hit trials, the decoded (x,y) attentional spotlight is estimated close to
284 the expected target location, while for the rest of the trials, the decoded attentional spotlight is estimated far
285 away from the expected target (Fig. 4A). Based on the observation that decoded location accounts for
286 behavior, we reasoned that when training our decoder on hit trials, we are actually training it on suboptimal
287 conditions, presenting it with both trials in which attention is close to the expected target location, and trials in
288 which attention is farther away. We thus here define two different categories of trials: HighContent trials (Fig.
289 4A), defined by decoded attentional spotlight to expected target distance inferior to 7° and LowContent trials
290 (Fig. 4A), defined by decoded attentional spotlight to expected target distance superior to 7°. Running the
291 decoder on varying proportions of HighContents trials relative to LowContent trials critically impact spatial
292 attention decoding performance. Using an optimal 100% HighContent trials training set from MUA signal leads
293 to an average increase in decoding of 27% (s.e.= 0.8%) between 10 ms to 600 ms pre-target averaging window
294 sizes and an average increase of 18.7% (s.e.=0.3%) between 600 ms to 1200 ms pre-target (Fig. 4B, Wilcoxon
295 rank sum test, p-value < 0.05). Using an optimal 100% HighContent trials training set from LFP signal leads to an
296 average increase in decoding of 34% (s.e.=0.9%) and 25% (s.e.=0.7%), respectively for the short and long pre-
297 target averaging window sizes (Fig. 4B, Wilcoxon rank sum test, p-value < 0.05). This effect was particularly
298 striking for smaller window sizes. A significant increase of performances with respect to a randomly distributed
299 dataset is observed for a minimum threshold of 70% of HighContent trials in the MUA training set and 50% in
300 the LFP training set (Fig. 4B, Wilcoxon rank sum test, p-value < 0.05). In addition, and in contrast with what is
301 described in figure 2, decoding accuracy increment is most marked for shorter than for longer time intervals.
302 Overall, the higher the HighContent trials rate, the higher the gain is in attention classification performance.
303 This indicates that prior selection of a spatial information rich training dataset is crucial to optimize access to
304 prefrontal attentional encoding and further improves classification performances on remaining trials.

305 In contrast, the higher the LowContent trials rate, the higher the loss in overall spatial attention decoding
306 performance. A training set of 100% LowContent trials leads to a drastic reduction of decoding performance
307 compared to a randomly distributed training set both for MUA signals (Fig. 4B, -13% (s.e.=0.4%) between 10 ms
308 to 600 ms and -16% (s.e.=0.1%) between 600 ms to 1200 ms, Wilcoxon rank sum test, p-value < 0.05) and LFP
309 signals (Fig. 4B, -10% (s.e.=0.8%) and -12% (s.e.=0.6%), Wilcoxon rank sum test, p-value < 0.05). A significant
310 decrease in spatial attention decoding accuracy as compared to a random training dataset is observed for MUA
311 (resp. LFP) training sets starting from 80% or more LowContent trials (resp. 90%, Fig. 4B, Wilcoxon rank sum
312 test, p-value < 0.05). Thus, LowContent trials are detrimental to spatial attention decoding accuracy.

313 Importantly, the positive effect of HighContent trials on decoding performance is more marked for LFP signals
314 than MUA signals (Wilcoxon rank sum test, p-value < 0.005). Moreover, LFP signals are less impacted by the
315 lower ratios of HighContent trials over LowContent trials than MUA signals - thus resulting in a lower decrease
316 in decoding performance (Wilcoxon rank sum test, p-value < 0.005). In other words, while the two-step

317 decoding improves attention decoding accuracies, this impact is more pronounced on LFP signals than on
318 MUAs.



319

320 **Figure 4: Two-step decoding procedure improves correlation between behavioral performance &**
321 **distance between the attentional spotlight and the target location.** (A) Following the first
322 decoding step, hit trials can be subdivided into HighContent and LowContent trials based on how
323 close decoded attentional spotlight is to the actual target location (mid panel). HighContent trials
324 consistently fall in the cued quadrant (left panel) while LowContent trials don't. (B-C) Following
325 the second decoding step, the higher the proportion of HighContent trials in the training set, the
326 higher the attention decoding accuracy on novel trials. This improvement in attention decoding
327 accuracy is more marked when decoding from LFP signals (C) than from MUA signals (B)
328 (HighContent trials (HighC.); LowContent trials (LowC.); Shaded gray are: no significant difference
329 in performance as assessed by Wilcoxon rank sum test; Shaded black are: time intervals excluded
330 due to absence of HighContent trials for 5 sessions. (D-E) This two-step decoding procedure
331 improves the correlation between overt performance and the distance of the decoded attentional
332 spotlight (AS) to the target location (Higher R^2 , steeper slope) for both MUA signals (D) and LFP
333 signals (E).

334 Functional validity of this two-step decoding procedure implies that exclusive training on HighContent trials,
335 whether in MUA or LFP signals, maximizes the correlation between the decoded attentional spotlight to
336 expected target distance and behavioral performance (Astrand et al., 2016). We thus trained a decoder using
337 only HighContent trials and tested it on misses and remaining HighContent trials (50% of hit testing trials) and
338 LowContent trials (50% of hit testing trials) to simulate a balanced proportion of hit trials categories and
339 misses. As expected, HighContent trials based decoding increases the linear relationship between attentional
340 spotlight to target distance and behavioral performance. Specifically, in the MUAs, r^2 value increased from 0.48
341 (Fig. 3A linear regression: $r^2= 0.48$, $F= 85.8912$, $p\text{-value} < 0.05$) to 0.91 (Fig. 4C, linear regression: $r^2= 0.91$, $F=$
342 962 , $p\text{-value} < 0.005$), and correlation slope becomes markedly more steep (Fig. 4C, linear regression: $a=-0.3$, vs.
343 Fig. 3A linear regression: $a=-0.12$). In the LFPs, r^2 values increase from 0.65 (Fig. 3B linear regression: $r^2= 0.65$,
344 $F= 174$, $p\text{-value} < 0.005$) to 0.86 (Fig. 4D linear regression: $r^2= 0.86$, $F= 569$, $p\text{-value} < 0.005$), and correlation
345 slope also becomes steeper (Fig. 4D linear regression: $a=0.27$, vs. Fig. 3B linear regression: $a=0.10$). Overall, this
346 thus confirms the functional validity of this two-step decoding procedure, both for MUA-based decoding of
347 spatial attention, as well as for LFP-based decoding of spatial attention. Crucially, we demonstrate that using
348 spatial information enriched trials (i.e. HighContent trials) allows to better account for the relationship
349 between observed behavior and the (x,y) decoded attentional spotlight.

350

351 **Discussion**

352 In this manuscript we report for the first time high decoding accuracy of the (x,y) location of the attentional
353 spotlight based on prefrontal LFP signals, to a comparable degree to that achieved from MUA signals. We show
354 that both decoded information (MUA and LFP signals) are predictive of behavioral content and that LFP
355 attention-related information is maximal in the gamma band. In addition, we show that selecting maximally
356 attention-informative trials (HighContent trials) during the decoding procedure strongly improves the
357 correlation between our MUA and LFP based decoding and behavioral performance, thus further refining the
358 functional relevance of this decoding of the (x,y) locus of the attentional spotlight. This improvement is more
359 marked for LFP signals than for MUA signals, suggesting that LFP signals may contain other sources of task-
360 related variability than spatial attention information. In the following, these findings are discussed in the light
361 of the current literature.

362 ***Decoding attentional information from LFP signals***

363 The neural bases of spatial attention in the prefrontal cortex have been extensively studied based both on
364 neuronal spiking activity, local field potentials and interferential studies (Ibos et al., 2013; Buschman and
365 Miller, 2007; Wardak et al. 2006). In recent years, this accumulated knowledge has set the grounds for real
366 time decoding of attention both from invasively recorded spiking activity (Astrand et al., 2014, 2016, 2020;
367 Farbod Kia et al., 2011; Gaillard et al., 2020; Tremblay et al., 2015b) and non-invasive brain signals (Andersson
368 et al., 2012, 2011; Thiery et al., 2016; Van Gerven and Jensen, 2009). Attentional decoding methods from MUA

369 signal have made substantial progress, moving from the classification of attention into subspace sectors to the
370 actual decoding of the (x,y) position of the attentional spotlight (Astrand et al., 2016; Tremblay et al., 2015).
371 However, progress has been much slower in the decoding of attention from non-invasive MRI or EEG signals.
372 Decoding of attention from LFP signals and developing novel decoding strategies on this type of signals can be
373 considered as an intermediate step towards improving the decoding of attention from less invasive signals.

374 To our knowledge, only one study to date has addressed the decoding of spatial attention from prefrontal LFP,
375 based on a four spatial quadrant classification approach (Tremblay et al., 2015a). Here, we report, for the first
376 time the real-time tracking of the (x,y) attentional spotlight locus from prefrontal LFPs. Crucially, we show that
377 the extracted (x,y) locus of the attentional spotlight is highly predictive of the behavioral performance, such
378 that the closer the attentional spotlight to the target presentation location, the higher the correct detection
379 rate. In contrast, the further away the attentional spotlight to the target presentation location, the higher the
380 miss rate. This is important in two ways. First, this result validates the behavioral relevance of the decoding
381 procedure, describing a direct behavioral relationship between where the decoded attentional spotlight is in
382 space relative to where the target is presented and the detection rate of the subject. Second, this indicates that
383 very much like has been described from MUA-based attentional spotlight tracking, the LFP-based attentional
384 spotlight is highly dynamic and explores space even when cued towards a specific location. Indeed, the LFP-
385 based decoded attentional spotlight is not anchored at the expected target location following cue
386 presentation, but can be more or less close to this task-relevant location, in spite of the fact that behavioral
387 performance is enhanced when the attentional spotlight is closest to the cued location.

388 As previously described Tremblay et al., (2015a), we confirm that attention-related information is maximal in
389 the LFP gamma frequency band (above 30Hz, and maximally between 60 and 120Hz). Attention-related
390 information can still be extracted above chance in lower LFP frequency bands, though at much lower
391 accuracies. These results are in agreement with the description of the contribution of gamma frequency bands
392 to attentional processes (Chalk et al., 2010). From a methodological point of view, there is no benefit in
393 classifying attention-related information from gamma frequency bands. Indeed, full spectrum LFP decoding
394 accuracy is higher compared to LFP gamma frequency band decoding accuracies. This result suggests that
395 attention related information in the multiple frequency bands is not fully redundant.

396 The correlation between decoding and behavior is further enhanced using the two-step decoding procedure
397 that we introduce here and that is discussed below. This latter point is crucial for neurofeedback and cognitive
398 brain-machine interfaces (Andersen et al., 2010; Astrand et al., 2014; Enriquez-Geppert et al., 2017; Jiang et al.,
399 2017; Ordikhani-Seyedlar et al., 2016), where one wants to work with information of maximal behavioral
400 relevance. Interestingly, Salari et al., (2014) demonstrate a modulation of perception by a neurofeedback
401 manipulation based on EEG gamma power. This is possibly in agreement with our observation that gamma
402 frequency contains high attention-related information. However, these studies are based on direct modulation
403 of surface gamma power, independently from behavioral performance or a global extraction of attentional
404 spotlight locus. Our approach allows to track the dynamic attentional spotlight with a high temporal resolution

405 (down to 30ms). We expect this type of approach to provide subjects with more informative and reliable
406 neurofeedback to work on.

407 ***Exploiting attention dynamics to improve real-time attention decoding accuracies***

408 The fact that the attentional spotlight is extremely dynamic (Gaillard et al., 2020) suggest that not all hit trials
409 are equivalent. Indeed, we observe that some hit trials take place when the attentional spotlight is successfully
410 located where the target appears and other hit trials in contrast happen when attention is far away from target
411 presentation location. This has a direct impact on decoding performances. The more space sampled, less
412 stable the information in the neuronal population, thus impairing resulting decoding performance. On the
413 contrary, a trial with less exploration and a more stable spotlight will lead to a stable neuronal information and
414 more accurate decoding. Based on these observations, we reasoned that training our classifier on all of these
415 hit trials is suboptimal as compared to training the classifier on hit trials in which attention was properly
416 oriented. We thus use a first decoding step to identify such good trials (i.e., high attention-related information
417 content or HighContent trials) and specifically use them to train the decoder on a second decoding round. This
418 significantly increases the attention decoding accuracies. Several points need to be noted. First, as expected
419 from our initial hypothesis, the higher the proportion of HighContent trials used for the training the higher the
420 relative gain in decoding accuracies. Strikingly, for both MUA and LFP signals, decoding improvement is higher
421 when considering short time interval compared to longer time window. This observation could be explained by
422 the fact that the longer the time window, the more attention is expected to explore the target position, this
423 both on HighContent and LowContent trials. Quite importantly, this increment in decoding accuracies was
424 more marked for the LFP decoding than for the MUA decoding. This possibly indicates that LFP signals
425 multiplex attention related information with other sources of information, contributing to LFP signal variability,
426 and that are more prevalent on LowContent than on HighContent trials. Last but not least, this two-step
427 decoding procedure drastically improves the correlation between the (x,y) attentional spotlight real-time
428 estimate and behavioral performance, whether from MUA or LFP signals. In other words, the decoded
429 attentional spotlight better explains behavior, both as assessed from the strength of the correlation and from
430 its slope.

431 Overall, our work presents two major advances in the field of real-time access to the attentional spotlight
432 locus. First we demonstrate that this spotlight location can be estimated from both MUA and LFP signals.
433 Second, we introduce a novel two-step decoding method that further enhances the behavioral relevance of the
434 decoded attentional spotlight. Most crucially, our work illustrates the tremendous benefit of adapting machine
435 learning strategies to the specific functional properties of the cognitive function under study.

436

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445 **Contributions**

446 Conceptualization, S.B.H. C.D.F. and C.G.; Data Acquisition, S.B.H.H., F.D.B.; Methodology, C.D.F. C.G and S.B.H.;
447 Investigation, C.D.F. C.G and S.B.H; Writing – Original Draft C.D.F. C.G and S.B.H; Writing – Review & Editing,
448 C.D.F. C.G and S.B.H; Funding Acquisition, S.B.H.; Supervision, S.B.H.

449 **Code availability**

450 The code that supports the findings of this study is available from the corresponding author upon reasonable
451 request. The code is still being used for other purposes and cannot be made publically available at this time.

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