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TENSOR RANK: MATCHING POLYNOMIALS AND SCHUR RINGS

DIMA GRIGORIEV, MIKHAIL MUZYCHUK, AND ILYA PONOMARENKO

ABSTRACT. We study the polynomial equations vanishing on tensors of a given rank. By means of polarization we reduce them to elements A of the group algebra $\mathbb{Q}[S_n \times S_n]$ and describe explicit linear equations on the coefficients of A to vanish on tensors of a given rank. Further, we reduce the study to the Schur ring over the group $S_n \times S_n$ that arises from the diagonal conjugacy action of S_n . More closely, we consider elements of $\mathbb{Q}[S_n \times S_n]$ vanishing on tensor of rank $n - 1$ and describe them in terms of triples of Young diagrams, their irreducible characters and nonvanishing of their Kronecker coefficients. Also, we construct a family of elements in $\mathbb{Q}[S_n \times S_n]$ vanishing on tensors of rank $n - 1$ and illustrate our approach by a sharp lower bound on the border rank of an explicitly produced tensor. Finally, we apply this construction to prove a lower bound $5n^2/4$ on the border rank of the matrix multiplication tensor (being, of course, weaker than the best known one $(2 - \epsilon) \cdot n^2$, due to Landsberg, Ottaviani).

1. INTRODUCTION

In this paper we propose an approach to producing equations for tensors of a given rank with the goal of obtaining lower bounds on the rank. We recall (see e.g. [22, 25, 4, 12, 13]) that the rank $\text{rk}(A)$ of a tensor $A \in U \otimes V \otimes W$ is defined to be the minimal positive integer r such that there exist vectors $u^{(i)} \in U$, $v^{(i)} \in V$, $w^{(i)} \in W$, $i = 1, \dots, r$, for which

$$A = \sum_{1 \leq i \leq r} u^{(i)} \otimes v^{(i)} \otimes w^{(i)}.$$

(Throughout this paper we assume that the vector spaces U , V and W are defined over an algebraically closed field of characteristic zero.) Clearly, the concept of the rank of a tensor generalizes the one of the matrix rank. But unlike the matrix rank the tensor rank is not semicontinuous. That is why one studies the border rank $\underline{\text{rk}}(A)$ being the maximal semicontinuous function for which $\underline{\text{rk}}(A) \leq \text{rk}(A)$.

The tensor rank equals the multiplicative complexity of computing a family of bilinear forms [22]. One of the main inspiring problems in this context is to estimate the multiplicative complexity of $n \times n$ matrix multiplication, that is equal to the rank $\text{rk}(M_n)$ of the structure tensor M_n of the algebra of $n \times n$ matrices. The best known bounds are

$$2.5 \cdot n^2 - 3n \leq \text{rk}(M_n) \leq O(n^{2.38}),$$

we refer to [25, 4, 12, 13] for the development and the history of the upper bound, and to [2] for the lower bound. In [19] it was established a lower bound on the border rank $\underline{\text{rk}}(M_n) \geq 3n^2/2 + n/2 - 1$. In [17] the best known current bound $\underline{\text{rk}}(M_n) \geq (2 - \epsilon) \cdot n^2$ for any $\epsilon > 0$ was proved.

Thus, the gap between the upper and lower bounds is big. One of its reasons is the lack of explicit equations on the variety $\mathcal{T}_r \subset U \otimes V \otimes W$ of the tensors with the border rank less or equal to r . There are several approaches in this direction.

Strassen in [23] has constructed explicit equations on the variety \mathcal{T}_r for certain r 's in the case $\dim(U) = 3$ and $\dim(V) = \dim(W) = n$. This result was extended in [15] to more general tensors of order more than 3. Another approach is based on the general idea of "embedding" tensors into appropriate matrices (called flattenings) and estimating the rank of these matrices [16, 14, 7, 17]. A study of the closures of the $\mathrm{GL}(U) \times \mathrm{GL}(V) \times \mathrm{GL}(W)$ -orbits of tensors was proposed first in [24] being developed further in [5], the recent progress in [6] has allowed one to obtain a bound close to [19], relying on this study.

A similar problem of estimating the rank for the symmetric product (rather than the tensor product) was studied e.g. in [18] (see also the numerous references in the latter paper); earlier a method to obtain lower bound for the rank in this situation was suggested in [8]. We mention also a topological approach that was proposed in [9] for a related problem on lower bounds for the complexity of polynomials.

Let us briefly discuss the contents of our paper. In Section 2 we establish a reduction from general polynomials on tensors $A = (A_{ijk})$ to the *matching polynomials* which are homogeneous, and polylinear in a strong sense: the indices of variables X_{ijk} occurring in any given monomial form a 3-dimensional matching

$$(1) \quad \{(i^f, i^g, i^h) : i = 1, \dots, n\}$$

where n is the degree of the matching polynomial, and $f, g, h \in S_n$ are permutations depending on the monomial. One can treat such a matching polynomial on $n \times n \times n$ tensors, which vanishes on the rank $n - 1$ tensors, as a 3-dimensional analogue of the customary determinant (or more generally, a 3-dimensional sub-determinant vanishing on tensors of fixed rank $r < n$). Our reduction is a special polarization which preserves the property "to vanish on \mathcal{T}_r ". Subsequently, having a 3-dimensional determinant D one can pass to a polynomial vanishing on \mathcal{T}_r for tensors of a smaller size $n_1 \times n_2 \times n_3$ ($n_1, n_2, n_3 \leq n$) by means of depolarization just identifying suitable variables of D . Since the polarization and the depolarization are transformation being inverse to each other, one may reduce the study of equations on \mathcal{T}_r to matching polynomials.

In its turn the 3-dimensional matchings (1) are in 1-1 correspondence with the elements $(f^{-1}g, f^{-1}h)$ of the group $S_n \times S_n$. This enables us to identify a matching polynomial with an element of the group algebra $\mathbb{Q}[S_n \times S_n]$. In Section 3 we describe explicitly (linear) equations on the coefficients of an element of this algebra that corresponds to a 3-dimensional (sub)determinant vanishing on tensors of rank at most r . Since these equations, and thereby, their space of solutions

$$V_{n,n-r} \subset \mathbb{Q}[S_n \times S_n],$$

are invariant under the (diagonal) conjugacy action of S_n , the space $V_{n,n-r}$ is generated as a right ideal in $\mathbb{Q}[S_n \times S_n]$ by the intersection $V_{n,n-r} \cap \mathcal{A}$ where $\mathcal{A} \subset \mathbb{Q}[S_n \times S_n]$ is the Schur ring of this action (see Section 4). Moreover,

$$V_{n,n-r} \cap \mathcal{A} = \bigoplus_{\pi} V_{n,n-r} \cap \mathcal{A}_{\pi}$$

where the direct sum ranges over the irreducible representations of \mathcal{A} . Furthermore, in Section 4 we describe the latter representations in terms of triples of Young diagrams and nonvanishing of their Kronecker coefficients. Finally, in this section we provide the conditions (in terms of the Young diagrams) when the depolarization does not vanish identically.

In Section 5 we study more closely the case of the rank of $n \times n \times n$ tensors equal $n - 1$, and give an explicit criterion for a matching polynomial to be a 3-dimensional determinant; this criterion is expressed in terms of the triples of Young diagrams. Also in this section we show that, unfortunately, if a depolarization of 3-dimensional determinant does not vanish on $n_1 \times n_2 \times n_3$ tensors, then $n_i > n/3$ for some $i \in \{1, 2, 3\}$. This implies that in order to obtain nonlinear lower bound on the tensor rank one should consider elements of $V_{n,n-r}$ with $r < n - 1$ (perhaps, with the rank r significantly less than $n - 1$).

Finally, in Section 5 we construct a particular family of elements in $V_{n,n-1}$ which we apply in Section 6 to yield a $(2m + 1) \times (2m + 1) \times (2m + 1)$ tensor A such that $\text{rk}(A) = \underline{\text{rk}}(A) = 3m$. Also as an illustration of our approach we apply in Section 6 the latter construction to get a bound $\underline{\text{rk}}(M_n) \geq 5n^2/4$ (being, of course, weaker than the best known bound from [19]).

Notations.

For positive integers $m \leq n$ we set $[m, n] = \{m, m + 1, \dots, n\}$ and $[n] = [1, n]$.

Given a right action of a group G on a set Ω , we write ω^g for an image of $\omega \in \Omega$ under an action of $g \in G$.

The set of all (resp. r -class) partitions of $[n]$ is denoted by $\Lambda(n)$ (resp. $\Lambda(n, r)$). For the sake of convenience we agree that partitions can contain empty subsets (in particular, r can be greater than n). In other words, a partition $\lambda \in \Lambda(n, r)$ is treated as a map $\lambda : [n] \rightarrow [r]$. To make our notation consistent, we write x^λ instead of $\lambda(x)$.

The Young diagram of $\lambda \in \Lambda(n)$ is denoted by $[\lambda]$. Clearly, empty subsets from a partition do not influence on the Young diagram. The set of all Young diagrams with n nodes is denoted by $\mathbf{\Lambda}(n)$. The Young subgroup of a partition $\lambda \in \Lambda(n)$ is denoted by S_λ . Notice that $S_\lambda = \{g \in S_n \mid g\lambda = \lambda\}$.

Given a group G and a set $H \subset G$ the sum $\sum_{h \in H} h$ in the group algebra $\mathbb{Q}G = \mathbb{Q}\langle G \rangle$ is denoted by \underline{H} . If H is a subgroup of G , then $\frac{1}{|H|}\underline{H}$ is an idempotent of the group algebra $\mathbb{Q}G$ denoted by e_H . Recall that $H \leq G$ means that H is a subgroup of G . The product of two elements $x, y \in \mathbb{Q}G$ is written either as xy or $x \cdot y$. The identity of a group G is denoted by 1_G .

Inside a group $G = S_n^3$ we fix two subgroups: $D = \{(d, d, d) \mid d \in S_n\}$ and $S = \{(1, g_2, g_3) \mid g_2, g_3 \in S_n\}$. Notice that S is normal in G and $G = S \rtimes D$. The subgroup S is isomorphic to S_n^2 and we denote by $\iota : S_n^2 \rightarrow S$ the natural embedding $\iota((g_2, g_3)) = (1, g_2, g_3)$.

Given three elements $x^i = \sum_{g \in S_n} x_g^i g$ of a group algebra $\mathbb{Q}S_n$, we denote by $x^1 \otimes x^2 \otimes x^3$ the element $\sum_{g_1 \in S_n, g_2 \in S_n, g_3 \in S_n} x_{g_1}^1 x_{g_2}^2 x_{g_3}^3 (g_1, g_2, g_3) \in \mathbb{Q}S_n^3$.

For a set S the algebra of all rational $S \times S$ -matrices is denoted by $\text{Mat}_S(\mathbb{Q})$, or $\text{Mat}_n(\mathbb{Q})$ if $S = [n]$.

2. TENSOR RANK, POLARIZATION AND MATCHING POLYNOMIALS

We observe that the variety \mathcal{T}_r (see Section 1) is defined over the field \mathbb{Q} . Therefore it suffices to look for polynomials which vanish on \mathcal{T}_r with rational coefficients. Thus throughout the paper we assume that all the polynomials have rational coefficients.

Let $\mathcal{P}(n_1, n_2, n_3)$ be the set of all homogeneous polynomials $P(X)$ on $n_1 \times n_2 \times n_3$ tensors; here $X = \{X_{ijk}\}_{i \in [n_1], j \in [n_2], k \in [n_3]}$ denotes the set of variables. Our goal is to find those polynomials $P(X)$ for which $P(A) = 0$ for all $n_1 \times n_2 \times n_3$ tensors A of rank at most r . The linear space of all these polynomials is denoted by $\mathcal{P}_r(n_1, n_2, n_3)$. The key point of our approach to look for elements of this space is the concept of a matching polynomial introduced below.

Given an arbitrary triple $g = (g_1, g_2, g_3)$ of functions $g_i : [n] \rightarrow [n_i], i = 1, 2, 3$ we define

$$(2) \quad M_g(X) = \prod_{i=1}^n X_{i^{g_1, i^{g_2}, i^{g_3}}}.$$

In the case when all g_i 's are permutations of $[n]$ we call the above product a *matching monomial*. Notice that different triples may define the same monomial. More precisely, we have the following

Lemma 2.1. *Given two triples $g = (g_1, g_2, g_3)$ and (h_1, h_2, h_3) of functions, $g_i, h_i : [n] \rightarrow [n_i]$, two monomials $M_g(X)$ and $M_h(X)$ are equal if and only if there exists a permutation $f \in S_n$ such that $f g_i = h_i, i = 1, 2, 3$.*

Proof. The "if" direction is trivial. Assume now that $M_g(X) = M_h(X)$. Then the multisets $\{(i^{g_1}, i^{g_2}, i^{g_3}) \mid i \in [n]\}$ and $\{(i^{h_1}, i^{h_2}, i^{h_3}) \mid i \in [n]\}$ are equal, that is there exists a bijection between the triples hereby producing the required $f \in S_n$. ■

It follows from the above statement that matching monomials are in one-to-one correspondence with the triples $g = (1, g_2, g_3), g_2, g_3 \in S_n$:

$$(3) \quad M_g(X) = \prod_{i=1}^n X_{i \ i^{g_2} \ i^{g_3}},$$

In what follows we will also abbreviate $M_{\iota(g)}(X)$ as $M_g(X)$ where $g \in S_n^2$. We say that $P(X) \in \mathcal{P}(n, n, n)$ is a (3-dimensional) *matching polynomial* if it is a linear combination of matching monomials. The linear space of matching polynomials is denoted by $\mathcal{M}(n)$.

Given a monomial $M(X) = \prod_{ijk} X_{ijk}^{m_{ijk}} \in \mathcal{P}(n_1, n_2, n_3)$, define $u \in \mathbb{Z}^{n_1}, v \in \mathbb{Z}^{n_2}$ and $w \in \mathbb{Z}^{n_3}$ as follows $u_s = \sum_{jk} m_{sjk}, v_s = \sum_{ik} m_{isk}, w_s = \sum_{ij} m_{ijs}$. The triple $(u, v, w) \in \mathbb{Z}^{n_1} \times \mathbb{Z}^{n_2} \times \mathbb{Z}^{n_3}$ will be called the *multidegree* of $M(X)$. Notice that we always have that $\sum_i u_i = \sum_j v_j = \sum_k w_k$ (it is the *degree* of $M(X)$). The sum of all monomials of a polynomial $P(X) \in \mathcal{P}(n_1, n_2, n_3)$ that have the same multidegree is called a *multihomogeneous* component of $P(X)$. The polynomial $P(X) \in \mathcal{P}(n_1, n_2, n_3)$ is multihomogeneous if it has exactly one multihomogeneous component, (u, v, w) ; the polynomial $P(X)$ is also called *N-uniform* where $N = \sum_i u_i$.

Polarization. Given an N -uniform polynomial $P(X) \in \mathcal{P}(n_1, n_2, n_3)$ one can construct a matching polynomial belonging to $\mathcal{M}(N)$ by means of its *polarization*.

Since the polarization is a linear operator it suffices to define it on a monomial $M(X) = \prod_{ijk} X_{ijk}^{m_{ijk}} \in \mathcal{P}(n_1, n_2, n_3)$.

Consider three partitions $\mu \in \Lambda(N, n_1)$, $\nu \in \Lambda(N, n_2)$, $\kappa \in \Lambda(N, n_3)$ with the cardinalities of their classes u, v, w , respectively. Rewrite $M(X)$ as a product (in an arbitrary order) of N variables X_{ijk} repeating the latter m_{ijk} times. Replace in this product u_i occurrences of subscript i by different elements of i -th class of μ (in an arbitrary way); similar for v_j occurrences of j and w_k ; and for w_k and κ , respectively. The resulting matching monomial denote by $\overline{M} \in \mathcal{M}(N)$. The polarization of $M(X)$ is defined as

$$(4) \quad \frac{1}{\prod_{1 \leq i \leq n_1} u_i! \cdot \prod_{1 \leq j \leq n_2} v_j! \cdot \prod_{1 \leq k \leq n_3} w_k!} \sum_{g_1 \in S_\mu, g_2 \in S_\nu, g_3 \in S_\kappa} \overline{M}_{g_1, g_2, g_3} \in \mathcal{M}(N)$$

Example. The polarization of monomial $X_{211}X_{121}X_{112}$ equals $\frac{1}{8}(X_{211}X_{123}X_{332} + X_{211}X_{323}X_{132} + X_{231}X_{123}X_{312} + X_{231}X_{323}X_{112} + X_{213}X_{121}X_{332} + X_{213}X_{321}X_{132} + X_{233}X_{121}X_{312} + X_{233}X_{321}X_{112})$

Lemma 2.2. *Let $P(X) \in \mathcal{P}_r(n_1, n_2, n_3)$. Then*

- (1) *each multihomogeneous component of $P(X)$ belongs to $\mathcal{P}_r(n_1, n_2, n_3)$,*
- (2) *if $P(X)$ is N -uniform, then the polarization of $P(X)$ belongs to $\mathcal{P}_r(N, N, N)$.*

Proof. To prove statement (1) take $n_1 + n_2 + n_3$ new variables $\varepsilon_{s_u}^{(u)}$ with $s_u \in [n_u]$ and $u \in [3]$. Let us consider

$$P(\dots, X_{ijk} := X_{ijk} \varepsilon_i^{(1)} \varepsilon_j^{(2)} \varepsilon_k^{(3)}, \dots).$$

as a polynomial in these variables. The coefficient of this polynomial at the monomial $M = \prod_{u, s_u} (\varepsilon_{s_u}^{(u)})^{d(s_u, u)}$ coincides with the multihomogeneous component of $P(X)$, the multidegree of which is $(\dots, d(s_u, u), \dots)$ where $d(s_u, u)$ is the degree of the variable $\varepsilon_{s_u}^{(u)}$ in M . Now, since $P(X) \in \mathcal{P}_r(n_1, n_2, n_3)$, the above coefficient at M is equal to zero. This implies that the multihomogeneous component of $P(X)$ that contains M , vanishes at any $n_1 \times n_2 \times n_3$ tensor of rank $\leq r$. Thus each multihomogeneous component of a polynomial $P(X)$ belongs to $\mathcal{P}_r(n_1, n_2, n_3)$.

To prove statement (2) denote by $Q(Y) \in \mathcal{M}(N)$ the polarization of $P(X)$ (see (4)). Thus, $P(X)$ is defined on $n_1 \times n_2 \times n_3$ tensors for some integers n_1, n_2, n_3 , while $Q(Y)$ is defined on $N \times N \times N$ tensors.

Take any $N \times N \times N$ tensor A of rank $\leq r$. Then there exist N -dimensional vectors $X^{(u)}, Y^{(u)}, Z^{(u)}$, $1 \leq u \leq r$ such that

$$(5) \quad A_{\alpha, \beta, \gamma} = \sum_{u=1}^r X_\alpha^{(u)} Y_\beta^{(u)} Z_\gamma^{(u)}$$

for all α, β, γ . Denote by $\mu_1, \dots, \mu_{n_1} \subseteq [N]$ the classes of partition μ , respectively by $\nu_1, \dots, \nu_{n_2} \subseteq [N]$ the classes of partition ν , and by $\kappa_1, \dots, \kappa_{n_3} \subseteq [N]$ the classes of partition κ (see (4)).

For non-empty subsets $I_1 \subseteq \mu_1, \dots, I_{n_1} \subseteq \mu_{n_1}$ denote by $X^{(u)}(I_1, \dots, I_{n_1})$ a vector of dimension n_1 with i -th coordinate equal $\sum_{\alpha \in I_i} X_\alpha^{(u)}$, $1 \leq i \leq n_1$. In a similar way, for non-empty subsets $J_1 \subseteq \nu_1, \dots, J_{n_2} \subseteq \nu_{n_2}$ we define n_2 -dimensional vector $Y^{(u)}(J_1, \dots, J_{n_2})$, and for non-empty subsets $K_1 \subseteq \kappa_1, \dots, K_{n_3} \subseteq \kappa_{n_3}$ we define n_3 -dimensional vector $Z^{(u)}(K_1, \dots, K_{n_3})$. Consider $n_1 \times n_2 \times n_3$ tensor

$A(I_1, \dots, I_{n_1}; J_1, \dots, J_{n_2}; K_1, \dots, K_{n_3}) := \sum_{1 \leq u \leq r} X^{(u)}(I_1, \dots, I_{n_1}) \otimes Y^{(u)}(J_1, \dots, J_{n_2}) \otimes Z^{(u)}(K_1, \dots, K_{n_3})$ (cf. (5)). Then

$$(6) \quad Q(A) = \frac{1}{\prod_{1 \leq i \leq n_1} u_i! \cdot \prod_{1 \leq j \leq n_2} v_j! \cdot \prod_{1 \leq k \leq n_3} w_k!} \sum_{I_i \subseteq \mu_i, 1 \leq i \leq n_1; J_j \subseteq \nu_j, 1 \leq j \leq n_2; K_k \subseteq \kappa_k, 1 \leq k \leq n_3} P(A(I_1, \dots, I_{n_1}; J_1, \dots, J_{n_2}; K_1, \dots, K_{n_3}))$$

where the summation ranges over non-empty subsets $I_1, \dots, I_{n_1}; J_1, \dots, J_{n_2}; K_1, \dots, K_{n_3}$. Since obviously $\text{rk}(A(I_1, \dots, I_{n_1}; J_1, \dots, J_{n_2}; K_1, \dots, K_{n_3})) \leq r$ for all subsets $I_1, \dots, I_{n_1}; J_1, \dots, J_{n_2}; K_1, \dots, K_{n_3}$ and $P(X) \in \mathcal{P}_r(n_1, n_2, n_3)$ the right-hand side of (6) vanishes, thus we are done. \blacksquare

Depolarization. Given three functions $\lambda_i : [N] \rightarrow [n_i], i = 1, 2, 3$, one obtains an algebra epimorphism called $(\lambda_1, \lambda_2, \lambda_3)$ -contraction, from $\mathbb{C}[X_{ijk}]_{i \in [N], j \in [N], k \in [N]}$ onto $\mathbb{C}[Y_{ijk}]_{i \in [n_1], j \in [n_2], k \in [n_3]}$ via $(X_{ijk})^{\lambda_1, \lambda_2, \lambda_3} = Y_{i\lambda_1 j\lambda_2 k\lambda_3}$. The image of a polynomial $P(X) \in \mathcal{M}(N)$ will be denoted as $P^{\lambda_1, \lambda_2, \lambda_3}(Y)$. Thus, $P^{\lambda_1, \lambda_2, \lambda_3}(Y) \in \mathcal{P}(n_1, n_2, n_3)$.

Lemma 2.3. *Let $g = (g_1, g_2, g_3), h = (h_1, h_2, h_3) \in S_N^3$ be arbitrary elements. Then*

$$(M_g(X))^{\lambda_1, \lambda_2, \lambda_3} = (M_h(X))^{\lambda_1, \lambda_2, \lambda_3} \iff DgS_\lambda = DhS_\lambda$$

where $S_\lambda = S_{\lambda_1} \times S_{\lambda_2} \times S_{\lambda_3}$.

Proof. It follows from

$$\begin{aligned} (M_{(g_1, g_2, g_3)}(X))^{\lambda_1, \lambda_2, \lambda_3} &= M_{(g_1\lambda_1, g_2\lambda_2, g_3\lambda_3)}(X), \\ (M_{(h_1, h_2, h_3)}(X))^{\lambda_1, \lambda_2, \lambda_3} &= M_{(h_1\lambda_1, h_2\lambda_2, h_3\lambda_3)}(X) \end{aligned}$$

and Lemma 2.1 that the proclaimed equality holds if and only if there exists $d \in S_N$ such that $dg_i\lambda_i = h_i\lambda_i$ holds for each $i = 1, 2, 3$. This is equivalent to $h_i^{-1}dg_i \in S_{\lambda_i}$. Now the claim follows. \blacksquare

Lemma 2.4. *In the above notations $P \in \mathcal{P}_r(N, N, N) \Rightarrow P^{\lambda_1, \lambda_2, \lambda_3} \in \mathcal{P}_r(n_1, n_2, n_3)$.*

Proof. Given an $n_1 \times n_2 \times n_3$ tensor A set

$$(A^{\lambda_1, \lambda_2, \lambda_3})_{i, j, k} = A_{i\lambda_1, j\lambda_2, k\lambda_3}, \quad i, j, k \in [N].$$

Then $A^{\lambda_1, \lambda_2, \lambda_3}$ is an $N \times N \times N$ tensor, and it is easily seen that $\text{rk}(A) = \text{rk}(A^{\lambda_1, \lambda_2, \lambda_3})$. It follows immediately from the definition of a $(\lambda_1, \lambda_2, \lambda_3)$ -contraction that

$$P^{\lambda_1, \lambda_2, \lambda_3}(A) = P(A^{\lambda_1, \lambda_2, \lambda_3})$$

and we are done. \blacksquare

For any multihomogeneous polynomial $P(X)$ the result of its polarization with a subsequent depolarization such that partitions $\mu = \{\lambda_1^{-1}(1), \dots, \lambda_1^{-1}(n_1)\}; \nu = \{\lambda_2^{-1}(1), \dots, \lambda_2^{-1}(n_2)\}; \kappa = \{\lambda_3^{-1}(1), \dots, \lambda_3^{-1}(n_3)\}$ coincides with $P(X)$ since the depolarization of each term from the sum (4) coincides with $M(X)$. Therefore, any multihomogeneous polynomial from $\mathcal{P}_r(n_1, n_2, n_3)$ can be obtained as the depolarization of a certain matching polynomial from $\mathcal{P}_r(N, N, N)$ where N is the degree of the polynomial due to statement (2) of Lemma 2.2.

3. A REDUCTION TO THE GROUP ALGEBRA OF $S_n \times S_n$

Throughout the section we fix a number $d \in [n]$. Under a *defect d cubic determinant* we mean any matching polynomial belonging the set $\mathcal{P}_{n-d}(n, n, n)$. This name is justified since such a polynomial vanishes at any tensor of the rank less or equal to $n - d$ (generalizing polynomials in matrices). A transition from $\mathcal{M}(n)$ to the group algebra $\mathbb{Q}S_n^2$ is provided by the linear space isomorphism

$$F_n : \mathcal{M}(n) \rightarrow \mathbb{Q}S_n^2, \quad \sum_{g \in S_n^2} a(g)M_g \mapsto \sum_{g \in S_n^2} a(g)g.$$

The vector space $\mathcal{M}(n)$ has a natural structure of a $\mathbb{Q}S_n^3$ -module via the action of S_n^3 on matching monomials defined by $(M_s(X))^g = M_{sg}(X)$. Writing a matching monomial in a reduced form $M_s, s \in S$ we obtain

$$M_{\binom{g_1, g_2, g_3}{s_1, s_2, s_3}}(X) = M_{(g_1, s_2 g_2, s_3 g_3)}(X) = M_{(1, g_1^{-1} s_2 g_2, g_1^{-1} s_3 g_3)}(X).$$

Thus the action of S_n^3 on matching monomials is equivalent to the action of S_n^3 on $S_n \times S_n$ defined by the formula

$$(7) \quad (s_2, s_3)^{(g_1, g_2, g_3)} = (g_1^{-1} s_2 g_2, g_1^{-1} s_3 g_3).$$

Thus the permutation module arising from this action is isomorphic to $\mathcal{M}(n)$ and the subspace $V_{n,d} = F_n(\mathcal{P}_{n-d}(n, n, n)) \subseteq \mathbb{Q}S_n^2$ is a $\mathbb{Q}S_n^3$ -submodule.

The action (7) is faithful and transitive. The normal subgroup S of S_n^3 acts regularly on S_n^2 and can be identified with the right regular action of S_n^2 . The point stabilizer of $(1, 1)$ coincides with the diagonal subgroup $D \leq S_n^3$. Thus $S_n^3 = S \rtimes D$ and each element $g \in S_n^3$ has a unique decomposition into a product $g = \delta s$ with $\delta \in D$ and $s \in S$. Using this decomposition one can describe the above action (7) by the formula

$$(8) \quad \iota(\chi^g) = \delta^{-1} \iota(\chi) \delta s, \quad \text{where } \chi \in \mathbb{Q}S_n^2.$$

The module corresponding to the action (7) is isomorphic (as a $\mathbb{Q}S_n^3$ -module) to the right ideal generated by \underline{D} (because D is a point stabilizer of the action). A direct check shows that the linear map Φ defined by the formula

$$\Phi(\underline{D}(g_1, g_2, g_3)) = (g_1^{-1} g_2, g_1^{-1} g_3)$$

is an isomorphism between two $\mathbb{Q}S_n^3$ -modules: $\underline{D} \cdot (\mathbb{Q}S_n^3)$ and $\mathbb{Q}S_n^2 \cong \mathcal{M}(n)$. Since $G = S \rtimes D$, each element of the ideal $\underline{D} \cdot (\mathbb{Q}S_n^3)$ has a unique presentation as a product $\underline{D}\iota(\chi)$ with $\chi \in \mathbb{Q}S_n^2$. This yields the following formula

$$\Phi(\underline{D}\iota(\chi) \cdot g) = \chi^g.$$

For each $g \in S_n$ we define $\Delta_1(g) = (g, g)$, $\Delta_2(g) = (g, 1)$, $\Delta_3(g) = (1, g)$. Notice that each $\Delta_i : \mathbb{Q}S_n \rightarrow \mathbb{Q}(S_n \times S_n)$ is an algebra monomorphism. The importance of these monomorphisms follows from the following identity

$$\Phi(x(g_1, g_2, g_3)) = \Delta_1(g_1^{-1})\Phi(x)\Delta_2(g_2)\Delta_3(g_3), \quad x \in \underline{D} \cdot \mathbb{Q}G.$$

This identity implies that for any $x \in \underline{D} \cdot \mathbb{Q}G$ and arbitrary triple of elements $a, b, c \in \mathbb{Q}S_n$ it holds that

$$(9) \quad \Phi(x \cdot (a \otimes b \otimes c)) = \Delta_1(a^{-1})\Phi(x)\Delta_2(b)\Delta_3(c).$$

Lemma 3.1. *Let $\chi \in \mathbb{Q}S_n^2$ and $P(X) = F_n^{-1}(\chi) = \sum_{g \in S_n^2} \chi(g)M_g(X)$. Then P is a cubic determinant of defect d if and only if χ is a solution of the following system of linear equations:*

$$(10) \quad \underline{S}_\lambda^2 \cdot \chi = 0, \quad \lambda \in \Lambda(n, r)$$

where $S_\lambda^2 = S_\lambda \times S_\lambda$ and $r = n - d$.

Proof. To prove the sufficiency suppose that χ is a solution of system (10). Take an $n \times n \times n$ tensor A of rank $\leq r$. Then there exist n -vectors $X^{(u)}$, $Y^{(u)}$ and $Z^{(u)}$, $u = 1, \dots, r$, such that

$$A_{ijk} = \sum_{u=1}^r X_i^{(u)} Y_j^{(u)} Z_k^{(u)}, \quad i, j, k \in [n].$$

Without loss of generality we can assume that the elements of the vectors $X^{(u)}$, $Y^{(u)}$ and $Z^{(u)}$ are independent pairwise commuting variables. Then $P(A)$ is a polynomial on these variables, and for any monomial $M_g(X)$, $g \in S_n^2$, of the polynomial $P(X)$ we have (see (3)):

$$M_g(A) = \prod_{v=1}^n \left(\sum_{u=1}^r X_v^{(u)} Y_{v^{g_1}}^{(u)} Z_{v^{g_2}}^{(u)} \right).$$

This implies that any monomial of the polynomial $M_g(A)$, and hence any monomial of the polynomial $P(A)$, is uniquely determined by a map $\theta : [n] \rightarrow [r]$, in terms of which this monomial can be written as follows:

$$M(g, \theta) = \prod_{v=1}^n X_v^{(\theta(v))} Y_{v^{g_1}}^{(\theta(v))} Z_{v^{g_2}}^{(\theta(v))}.$$

Though not all of these monomials are distinct, we have

$$(11) \quad P(A) = \sum_{g \in S_n^2} \chi(g)M_g(X) = \sum_{g \in S_n^2} \sum_{\theta: [n] \rightarrow [r]} \chi(g)M(g, \theta)$$

where $\chi(g)$ is the coefficient of χ at g . For any two functions $\theta, \mu : [n] \rightarrow [r]$ the products $\prod_v X_v^{\theta(v)}$, $\prod_v X_v^{\mu(v)}$ are equal if and only if $\theta = \mu$. Therefore two monomials $M(g, \theta)$ and $M(g', \theta')$ are equal if and only if $\theta = \theta'$, $g_1^{-1}\theta = g_1'^{-1}\theta'$, $g_2^{-1}\theta = g_2'^{-1}\theta'$. This shows that

$$M(g, \theta) = M(g', \theta) \iff g' \in S_\lambda^2 g.$$

where λ is the partition of $[n]$ with $r' \leq r$ non-empty classes $\theta^{-1}(i)$, $i \in [r]$.

Thus given a right S_λ^2 -coset C in the group S_n^2 , the monomial $M(g, \theta)$ does not depend on $g \in C$; we denote it by $M(C, \lambda)$. Then by (11) we obtain that

$$P(A) = \sum_{\lambda \in \Lambda_r} \sum_{C \in C_\lambda} \sum_{g \in C} \chi(g)M(C, \lambda) = \sum_{\lambda \in \Lambda_r} \sum_{C \in C_\lambda} \left(\sum_{g \in C} \chi(g) \right) M(C, \lambda)$$

where C_λ is the set of all S_λ^2 -cosets in S_n^2 , and Λ_r is the set of all partitions of $[n]$ into at most r classes. Thus P is of defect d if and only if for any χ the following condition holds:

$$(12) \quad \forall \lambda \in \Lambda_r \quad \forall C \in C_\lambda \quad \sum_{g \in C} \chi(g) = 0.$$

In its turn, (12) is equivalent to the following one

$$\forall \lambda \in \Lambda_r \quad \underline{S}_\lambda^2 \cdot \chi = 0.$$

Therefore to finish the proof it remains to show that

$$(13) \quad \forall \lambda \in \Lambda_r \quad \underline{S}_\lambda^2 \cdot \chi = 0 \iff \forall \lambda \in \Lambda(n, r) \quad \underline{S}_\lambda^2 \cdot \chi = 0.$$

One direction follows immediately from the inclusion $\Lambda(n, r) \subseteq \Lambda_r$. To prove the other direction pick an arbitrary $\lambda \in \Lambda_r$. There exists a refinement $\mu \in \Lambda(n, r)$ of λ . Then $S_\mu^2 \leq S_\lambda^2$, implying $\underline{S}_\lambda^2 \cdot \underline{S}_\mu^2 = k \underline{S}_\lambda^2$ with $k = |S_\mu|^2$. Now we obtain

$$\underline{S}_\mu^2 \cdot \chi = 0 \Rightarrow \underline{S}_\lambda^2 \cdot \underline{S}_\mu^2 \cdot \chi = 0 \Rightarrow k \underline{S}_\lambda^2 \cdot \chi = 0 \Rightarrow \underline{S}_\lambda^2 \cdot \chi = 0.$$

■

Lemma 3.1 shows that $V_{n,d}$ coincides with the solutions of the linear system defined by (10). Clearly that $V_{n,d}$ set is a right ideal of the group algebra $\mathbb{Q}S_n^2$. Lemma 3.2 below enables us to reduce the system (10) to a single linear equation by means of the element

$$(14) \quad \zeta = \zeta_{n,d} = \sum_{\lambda \in \Lambda(n, n-d)} \underline{S}_\lambda^2.$$

For every partition $\lambda \in \Lambda(n)$ and a permutation $g \in S_n$, it holds that $g^{-1} S_\lambda g = S_{g^{-1}\lambda}$. This implies

$$(g, g)^{-1} \zeta (g, g) = \sum_{\lambda \in \Lambda(n, n-d)} \underline{S}_{g^{-1}\lambda}^2 = \zeta.$$

Therefore the coefficients of ζ are constant on the orbits of the coordinatewise conjugacy action of S_n on S_n^2 .

To compute the element $\zeta_{n,1}$, we note that every partition $\lambda \in \Lambda(n, n-1)$ has exactly one class of size 2 and $n-2$ singleton classes. Therefore S_λ^2 is a Klein group whose non-identity elements are (t, t) , $(1_n, t)$ and $(t, 1_n)$ for some transposition $t \in S_n$ where 1_n is the identity permutation in S_n . It follows that

$$(15) \quad \zeta_{n,1} = \binom{n}{2} \underline{1}_n + \underline{C}_1 + \underline{C}_2 + \underline{C}_3$$

where $C_1 = \text{Diag}(T \times T)$, $C_2 = \{1_n\} \times T$ and $C_3 = T \times \{1_n\}$ with $T = T_n$ being the set of transpositions in S_n .

Lemma 3.2. *In the above notations $V_{n,d} = \{\chi \in \mathbb{Q}S_n^2 : \zeta \cdot \chi = 0\}$.*

Proof. Clearly, $\zeta \cdot \chi = 0$ for all $\chi \in V_{n,d}$. Conversely, let $\chi \in \mathbb{Q}S_n^2$ be such that $\zeta \cdot \chi = 0$. We have to verify that $\underline{S}_\lambda^2 \cdot \chi = 0$ for all $\lambda \in \Lambda(n, r)$ where $r = n - d$. However,

$$(16) \quad \zeta \cdot \chi = 0 \Rightarrow \langle \zeta \cdot \chi, \chi \rangle = 0 \Rightarrow \sum_{\lambda \in \Lambda(n, r)} \langle \underline{S}_\lambda^2 \cdot \chi, \chi \rangle = 0$$

where $\langle \cdot, \cdot \rangle$ is the standard scalar product in $\mathbb{Q}S_n^2$. Since S_λ^2 is a subgroup of S_n^2 , the quadratic form $\langle \underline{S}_\lambda^2 \cdot \chi, \chi \rangle$ is positive semidefinite. Hence the right-hand side equation in (16) implies that $\langle \underline{S}_\lambda^2 \cdot \chi, \chi \rangle = 0$, and hence $\underline{S}_\lambda^2 \cdot \chi = 0$ for all $\lambda \in \Lambda(n, r)$.

■

We complete the section by giving a necessary and sufficient condition for a matching polynomial to have a nonzero contraction in terms of the Young subgroups of the corresponding partitions. For an element $\chi \in \mathbb{Q}S_n^2$ set

$$\chi^{\lambda_1, \lambda_2, \lambda_3} = \Delta_1(\underline{S}_{\lambda_1}) \cdot \chi \cdot \Delta_2(\underline{S}_{\lambda_2}) \cdot \Delta_3(\underline{S}_{\lambda_3}).$$

This element will be called the $(\lambda_1, \lambda_2, \lambda_3)$ -contraction of χ .

Lemma 3.3. *Let $P \in \mathcal{M}(n)$, $\chi = F_n(P)$ and $\lambda_1, \lambda_2, \lambda_3 \in \Lambda_n$. Then for $S_\lambda = S_{\lambda_1} \times S_{\lambda_2} \times S_{\lambda_3}$ we have*

$$P^{\lambda_1, \lambda_2, \lambda_3} \neq 0 \iff \underline{D} \cdot \iota(\chi) \cdot \underline{S}_\lambda \neq 0 \iff \chi^{\lambda_1, \lambda_2, \lambda_3} \neq 0.$$

Proof. It is enough to prove the first equivalence, since the second one follows from (9):

$$\Phi(\underline{D} \cdot \iota(\chi) \cdot \underline{S}_\lambda) = \Delta_1(\underline{S}_{\lambda_1}) \cdot \Phi(\underline{D} \cdot \iota(\chi)) \cdot \Delta_2(\underline{S}_{\lambda_2}) \cdot \Delta_3(\underline{S}_{\lambda_3}) = \Delta_1(\underline{S}_{\lambda_1}) \cdot \chi \cdot \Delta_2(\underline{S}_{\lambda_2}) \cdot \Delta_3(\underline{S}_{\lambda_3}).$$

By the definition of the mapping F_n we have

$$\begin{aligned} P(X) &= \sum_{g \in S_n^2} \chi(g) M_g(X) = \sum_{g \in S_n^2} \chi(g) M_{\iota(g)}(X) \Rightarrow \\ P(X)^{(\lambda_1, \lambda_2, \lambda_3)} &= \sum_{g \in S} \chi(\iota^{-1}(g)) M_g(X)^{(\lambda_1, \lambda_2, \lambda_3)}. \end{aligned}$$

By Lemma 2.1 $M_g(X)^{(\lambda_1, \lambda_2, \lambda_3)} = M_h(X)^{(\lambda_1, \lambda_2, \lambda_3)}$ iff $DgS_\lambda = DhS_\lambda$. Thus the monomial $M_h(X)^{(\lambda_1, \lambda_2, \lambda_3)}$ does not depend on a choice of h in the double coset $C = DgS_\lambda$. Denoting this monomial by $M_C(Y)$ we can write¹

$$P(X)^{(\lambda_1, \lambda_2, \lambda_3)} = \sum_{C \in D \backslash S / S_\lambda} \left(\sum_{g \in C \cap S} \chi(\iota^{-1}(g)) \right) M_C(Y).$$

Let $c_g, g \in S_n^3$ denote the number of pairs $(d, h) \in D \times S_\lambda$ satisfying $dgh = g$. Notice that $c_g = c_{g'}$ for any $g' \in DgS_\lambda$, and, $c_g = \frac{|D||S_\lambda|}{|DgS_\lambda|}$. Thus $\underline{D} \cdot g \cdot \underline{S}_\lambda = \frac{|D||S_\lambda|}{|DgS_\lambda|} \underline{DgS}_\lambda$ implying

$$\underline{D} \cdot \iota(\chi) \cdot \underline{S}_\lambda = \underline{D} \cdot \left(\sum_{g \in S} \chi(\iota^{-1}(g)) g \right) \cdot \underline{S}_\lambda = \sum_{C \in D \backslash S / S_\lambda} \frac{|D||S_\lambda|}{|C|} \left(\sum_{g \in C \cap S} \chi(\iota^{-1}(g)) \right) \underline{C}.$$

Now it follows from $\frac{|D||S_\lambda|}{|C|} > 0$ that both expressions $P(X)^{(\lambda_1, \lambda_2, \lambda_3)}$ and $\underline{D} \cdot \iota(\chi) \cdot \underline{S}_\lambda$ are nonzero simultanously. \blacksquare

4. A DECOMPOSITION OF THE CUBIC DETERMINANT SPACE VIA A SCHUR RING

Throughout this section we fix positive integers n and $d \in [n]$, and set $V = V_{n,d}$.

The ideal $\underline{D} \cdot \mathbb{Q}G$ is generated by an idempotent $e_D = \frac{1}{|\underline{D}|} \underline{D}$. Therefore, its ring of endomorphisms coincides with $e_D(\mathbb{Q}G)e_D$. In order to describe the image $\Phi(e_D(\mathbb{Q}G)e_D)$ inside $\mathbb{Q}S_n^2$ we introduce the vector space \mathcal{A} spanned by the simple quantities $\underline{s}^D, s = (s_2, s_3) \in S_n^2$. Recall that $s^D = \{s^d \mid d \in D\}$ and by (8) $(s_2, s_3)^{(g, g, g)} = (g^{-1}s_2g, g^{-1}s_3g)$. Notice that the diagonal subgroup D acts on S_n^2 as an automorphism group and $\mathcal{A} = \{\chi \in \mathbb{Q}S_n^2 \mid \forall d \in D \chi^d = \chi\}$. In other words, \mathcal{A}

¹Below $D \backslash S / S_\lambda$ stands for the set of double cosets $DsS_\lambda, s \in S$.

is a D -fixed point subalgebra of $\mathbb{Q}S_n^2$. This algebra is also a *Schur ring* (see [26, Chapter IV]) which arises from the action of $\mathbb{Q}S_n^3$ on S_n^2 .

Lemma 4.1. $\mathcal{A} = \Phi(e_D(\mathbb{Q}S_n^2)e_D)$ and $\tilde{\Phi} = |D|\Phi$ is an algebra isomorphism between $e_D(\mathbb{Q}S_n^2)e_D$ and \mathcal{A} .

Proof. We can replace $e_D(\mathbb{Q}S_n^2)e_D$ by $\underline{D}(\mathbb{Q}G)\underline{D}$, because those subspaces are equal. Pick an arbitrary $s \in S_n^2$. Then

$$\Phi(\underline{D}\iota(s)\underline{D}) = \sum_{d \in D} \Phi(\underline{D}\iota(s)d) = \sum_{d \in D} s^d \in \mathcal{A}$$

implying $\mathcal{A} = \Phi(\underline{D}(\mathbb{Q}G)\underline{D})$ (recall that the elements $\underline{D}\iota(s)\underline{D}$, $s \in S_n^2$ span $\underline{D}(\mathbb{Q}G)\underline{D}$).

To prove that $\tilde{\Phi}$ is an isomorphism it is sufficient to show that

$$\tilde{\Phi}(xy) = \tilde{\Phi}(x)\tilde{\Phi}(y)$$

holds for any pair x, y of the form $x = \underline{D}\iota(s)\underline{D}$, $y = \underline{D}\iota(t)\underline{D}$ where $s, t \in S_n^2$. First we notice that for any $r \in S_n^2$ it holds that

$$\underline{D}\iota(r)\underline{D} = \underline{D} \left(\sum_{d \in D} d^{-1}\iota(r)d \right) = \underline{D} \left(\sum_{d \in D} \iota(r^d) \right) = |C_D(r)| \cdot \underline{D} \cdot \iota(r^D),$$

where $C_D(r) = \{d \in D \mid r^d = r\}$. Thus $\tilde{\Phi}(x) = |D||C_D(s)|\underline{s}^D$ and $\tilde{\Phi}(y) = |D||C_D(t)|\underline{t}^D$. Now we have

$$\begin{aligned} \tilde{\Phi}(xy) &= |D|((\underline{D}\iota(s)\underline{D})(\underline{D}\iota(t)\underline{D})) = |D|^2\Phi(\underline{D}\iota(s)\underline{D}\iota(t)\underline{D}) = \\ &= |D|^2|C_D(t)|\Phi(\underline{D}\iota(s)\underline{D}\iota(\underline{t}^D)) = |D|^2|C_D(t)||C_D(s)|\Phi(\underline{D}\iota(\underline{s}^D)\iota(\underline{t}^D)) = \\ &= |D|^2|C_D(t)||C_D(s)|\Phi(\underline{D}\iota(\underline{s}^D \cdot \underline{t}^D)) = |D|^2|C_D(t)||C_D(s)|\underline{s}^D \cdot \underline{t}^D = \tilde{\Phi}(x)\tilde{\Phi}(y) \end{aligned}$$

■

The statement below gives a characterization of $\mathbb{Q}S_n^3$ -submodules of $\mathbb{Q}S_n^2$.

Lemma 4.2. A vector subspace $W \subseteq \mathbb{Q}S_n^2$ is a $\mathbb{Q}S_n^3$ -submodule iff it is a D -invariant right ideal of the group algebra $\mathbb{Q}S_n^2$.

Proof. follows immediately from (7). ■

Since V is a $\mathbb{Q}S_n^3$ submodule of $\mathbb{Q}S_n^2$, it is a D -invariant right ideal of the group algebra $\mathbb{Q}S_n^2$.

Since $\mathbb{Q}S_n^2$ is a semisimple module of $\mathbb{Q}S_n^3$, the submodule V is a direct sum of irreducible ones. In order to study irreducible components of $\mathbb{Q}S_n^2$ we will use the algebra \mathcal{A} . Denote by $\text{lrr}(\mathcal{A})$ the set of all \mathbb{Q} -irreducible characters of \mathcal{A} . As we will see below (Lemma 4.4) these characters are absolutely irreducible. By the Wedderburn theorem this implies that

$$(17) \quad \mathcal{A} = \bigoplus_{\pi \in \text{lrr}(\mathcal{A})} \mathcal{A}_\pi$$

where \mathcal{A}_π is a simple algebra isomorphic to $\text{Mat}_{n_\pi}(\mathbb{Q})$ with $n_\pi = \pi(1_{S_n^2})$. The following statement shows how the space of cubic determinants of defect d can be constructed from spaces $V \cap \mathcal{A}_\pi$ where $V = V_{n,d}$.

Lemma 4.3. *Let $W \subseteq \mathbb{Q}S_n^2$ be a $\mathbb{Q}S_n^3$ -submodule. Then the linear space W is generated (as a right ideal of the algebra $\mathbb{Q}S_n^2$) by the linear space $W \cap \mathcal{A}$. Moreover,*

$$(18) \quad W \cap \mathcal{A} = \bigoplus_{\pi \in \text{Irr}(\mathcal{A})} W \cap \mathcal{A}_\pi.$$

Proof. Since $\mathbb{Q}S_n^3$ is a semisimple algebra, every $\mathbb{Q}S_n^3$ -module is a direct sum of the irreducible ones. Thus it is enough to prove the statement for irreducible submodules. Let now assume that W is irreducible (minimal) submodule of $\mathbb{Q}S_n^2$. Applying module isomorphism Φ^{-1} we obtain that $J = \Phi^{-1}(W)$ is a minimal right ideal of $R = \mathbb{Q}S_n^3$ contained in $e_D R$. It follows from semisimplicity of R that $J = eR$ for some idempotent $e \in R$. The inclusion $e_D R \supseteq eR$ implies $e_D e = e$. An element ee_D is non-zero, because $ee_D e = e \neq 0$. Now, by minimality of $J = eR$, we obtain $ee_D R = eR$. Together with $ee_D \in (e_D R e_D \cap J)$ this implies $(e_D R e_D \cap J)R = J$. In other words, the set $e_D R e_D \cap J$ generate J as ideal. Applying now Φ to both sides, we conclude that $\Phi(e_D R e_D) \cap W = \mathcal{A} \cap W$ generate W as a $\mathbb{Q}S_n^3$ -module, that is $W = \text{Span}\{(\mathcal{A} \cap W)^g \mid g \in S_n^3\}$. Now it follows from $(\mathcal{A} \cap W)^D = \mathcal{A} \cap W$ that $W = (\mathcal{A} \cap W)(\mathbb{Q}S_n^2)$. To prove the second statement we note that $W \cap \mathcal{A}$ is a right \mathcal{A} -ideal. Therefore (18) immediately follows from (17). ■

Given a character $\pi \in \text{Irr}(\mathcal{A})$ denote by e_π the central primitive idempotent² of the algebra \mathcal{A} that corresponds to π . Then $\mathcal{A}_\pi = e_\pi \cdot \mathcal{A}$. Therefore to study the linear space $V \cap \mathcal{A}_\pi$ we find the explicit expression for the idempotent e_π in terms of the irreducible characters of the group S_n . For this purpose let us fix some notations. First, given $\rho \in \text{Irr}(S_n)$ we denote by e_ρ the central primitive idempotent of the algebra $\mathbb{Q}S_n$ that corresponds to ρ .³ The rationality of ρ implies that

$$(19) \quad e_\rho = \frac{\rho(1_n)}{n!} \cdot \sum_{g \in S_n} \rho(g)g.$$

Second, given any two irreducible characters $\mu, \nu \in \text{Irr}(S_n)$ we have $\mu \cdot \nu = \sum_\rho k_{\mu, \nu}^\rho \rho$ where ρ runs over the set $\text{Irr}(S_n)$ and $k_{\mu, \nu}^\rho$ is a nonnegative integer called the *Kronecker coefficient* [3].

Lemma 4.4. *Given a character $\pi \in \text{Irr}(\mathcal{A})$ there exist uniquely determined characters $\rho, \mu, \nu \in \text{Irr}(S_n)$ such that $k_{\mu, \nu}^\rho \neq 0$ and $e_\pi = e_{\rho, \mu, \nu}$ where*

$$(20) \quad e_{\rho, \mu, \nu} = \Delta_1(e_\rho) \cdot \Delta_2(e_\mu) \cdot \Delta_3(e_\nu).$$

Proof. By Lemma 4.1 $e_\pi = \tilde{\Phi}(f)$ for some central idempotent $f \in e_D(\mathbb{Q}G)e_D$. Pick a primitive central idempotent g of the algebra $(1 - e_D)(\mathbb{Q}S_n^2)(1 - e_D)$. Then $f + g$ is a primitive central idempotent of $\mathbb{Q}S_n^3$ and $f = (f + g)e_D$. Since each primitive central idempotent of $\mathbb{Q}S_n^3$ has a form $e_{\pi'}$ for some $\pi' \in \text{Irr}(S_n^3)$, we conclude that $f = e_D e_{\pi'}$.

Notice that $e_D e_{\pi'} \neq 0$ if and only if $\sum_{g \in D} \pi'(g) \neq 0$. Each irreducible character π' of $\mathbb{Q}S_n^3$ is a tensor product of irreducible characters ρ, μ, ν of S_n , that is

²Recall that a central idempotent is called primitive if it cannot be decomposed into an orthogonal sum central idempotents.

³Here and below we are working in the algebra $\mathbb{Q}S_n$, because the irreducible representations of S_n over \mathbb{Q} are absolutely irreducible.

$\pi'((g_1, g_2, g_3)) = \rho(g_1)\mu(g_2)\nu(g_3)$ and $e_{\pi'} = e_\rho \otimes e_\mu \otimes e_\nu$. Therefore $e_D e_{\pi'} \neq 0$ if and only if

$$0 \neq \sum_{d \in S_n} \pi'((d, d, d)) = \sum_{d \in S_n} \rho(d)\mu(d)\nu(d) = n! \kappa_{\mu, \nu}^\rho.$$

Thus $e_\pi = \tilde{\Phi}(e_D(e_\rho \otimes e_\mu \otimes e_\nu)) = \Delta_1(e_\rho)\Delta_2(e_\mu)\Delta_3(e_\nu)$. \blacksquare

Let us consider the contraction of a cubic determinants (see Section 2 and Lemma 3.3). For this purpose denote by λ_ρ the Young diagram corresponding a character $\rho \in \text{Irr}(S_n)$, and for a partition $\lambda \in \Lambda(n)$ by π_λ the permutation character of the group S_n acting on the right cosets of S_λ by multiplications. Then by [11, Corollary 2.2.22] we have

$$(21) \quad \langle \pi_\lambda, \rho \rangle \neq 0 \quad \Leftrightarrow \quad \lambda_\rho \supseteq [\lambda]$$

where \supseteq denotes the partial order on $\Lambda(n)$ in which $\lambda \supseteq \mu$ (λ dominates μ) if and only if for all i the inequality $\sum_{j=1}^i \lambda_j \geq \sum_{j=1}^i \mu_j$ holds.

Lemma 4.5. *Given $\rho, \lambda, \mu \in \text{Irr}(S_n)$ and $\lambda_1, \lambda_2, \lambda_3 \in \Lambda(n)$ set $e = e_{\rho, \mu, \nu}$ and set $\Lambda_i = \{\lambda_i \in \Lambda(n) : [\lambda_i] = \lambda_i\}$, $i = 1, 2, 3$. Then*

- (1) *if $\lambda_1 \not\supseteq \lambda_\rho$ or $\lambda_2 \not\supseteq \lambda_\mu$ or $\lambda_3 \not\supseteq \lambda_\nu$, then $\chi^{\lambda_1, \lambda_2, \lambda_3} = 0$ for all $\chi \in e\mathbb{Q}S_n^2$ and all $\lambda_i \in \Lambda_i$,*
- (2) *if $\lambda_1 \supseteq \lambda_\rho$ and $\lambda_2 \supseteq \lambda_\mu$ and $\lambda_3 \supseteq \lambda_\nu$ and $k_{\mu, \nu}^\rho \neq 0$, then $e^{\lambda_1, \lambda_2, \lambda_3} \neq 0$ for some $\lambda_i \in \Lambda_i$.*

Proof. To prove statement (1) suppose that $\lambda_1 \not\supseteq \lambda_\rho$ or $\lambda_2 \not\supseteq \lambda_\mu$ or $\lambda_3 \not\supseteq \lambda_\nu$. Then by (21) for any partitions $\lambda_1 \in \Lambda_1$, $\lambda_2 \in \Lambda_2$ and $\lambda_3 \in \Lambda_3$ we have

$$\langle \pi_{\lambda_1}, \rho \rangle = 0 \quad \text{or} \quad \langle \pi_{\lambda_2}, \mu \rangle = 0 \quad \text{or} \quad \langle \pi_{\lambda_3}, \nu \rangle = 0.$$

On the other hand, the idempotents of the algebra $\mathbb{Q}S_n$ corresponding the permutation characters π_{λ_1} , π_{λ_2} and π_{λ_3} coincide up to multiple $1/a_\lambda$ where $a_\lambda = |S_{\lambda_1}|$, with the elements $\underline{S}_{\lambda_1}$, $\underline{S}_{\lambda_2}$ and $\underline{S}_{\lambda_3}$ respectively. Thus one of the elements $\underline{S}_{\lambda_1} \cdot e_\rho$, $\underline{S}_{\lambda_2} \cdot e_\mu$, $\underline{S}_{\lambda_3} \cdot e_\nu$ is equal to 0. Therefore due to (20) for any $g \in S_n^2$ we obtain that

$$(e \cdot g)^{\lambda_1, \lambda_2, \lambda_3} = \Delta_1(\underline{S}_{\lambda_1} e_\rho) \cdot \Delta_2(\{g_1\} \cdot \underline{S}_{\lambda_2} e_\mu) \cdot \Delta_3(\{g_2\} \cdot \underline{S}_{\lambda_3} e_\nu) = 0$$

(here we used the facts that the elements $\Delta_2(X)$ and $\Delta_3(Y)$ commute each to other for all $X, Y \in \mathbb{Q}S_n$, and that the elements e_ρ , e_μ and e_ν belong to the center of the algebra $\mathbb{Q}S_n$). Now, statement (1) follows from Lemma 3.3.

To prove statement (2) suppose that $\lambda_1 \supseteq \lambda_\rho$ and $\lambda_2 \supseteq \lambda_\mu$ and $\lambda_3 \supseteq \lambda_\nu$. Then again as above from (21) it follows that

$$\sum_{\lambda_1} \underline{S}_{\lambda_1} \cdot e_\rho = a_\lambda \cdot e_\rho, \quad \sum_{\lambda_2} \underline{S}_{\lambda_2} \cdot e_\mu = a_\lambda \cdot e_\mu, \quad \sum_{\lambda_3} \underline{S}_{\lambda_3} \cdot e_\nu = a_\lambda \cdot e_\nu,$$

where λ_i runs over all the partitions in Λ_i . Since $k_{\rho, \mu}^\nu \neq 0$ by Lemma 4.4 we have

$$0 \neq a_\lambda^3 e^{\lambda_1, \lambda_2, \lambda_3} = \sum_{\lambda_1} \Delta_1(\underline{S}_{\lambda_1} \cdot e_\rho) \cdot \sum_{\lambda_2} \Delta_2(\underline{S}_{\lambda_2} \cdot e_\mu) \cdot \sum_{\lambda_3} \Delta_3(\underline{S}_{\lambda_3} \cdot e_\nu).$$

Since any element of the form $\Delta_1(\underline{S}_{\lambda_1} \cdot e_\rho) \cdot \Delta_2(\underline{S}_{\lambda_2} \cdot e_\mu) \cdot \Delta_3(\underline{S}_{\lambda_3} \cdot e_\nu)$ is an idempotent in $\mathbb{Q}S_n^2$, at least one of them is not zero, and we are done. \blacksquare

It is easily seen that any $(\lambda_1, \lambda_2, \lambda_3)$ -contraction of the element $e_{\rho, \mu, \nu}$ is a nonnegative multiple of an idempotent of the algebra $\mathbb{Q}S_n^2$. Therefore under the condition of statement (2) of Lemma 4.5 the sum of all $(\lambda_1, \lambda_2, \lambda_3)$ -contractions of $e_{\rho, \mu, \nu}$ is

not zero. Thus, summing up the results from Lemmas 4.3, 4.4 and 4.5 we come to the following statement.

Theorem 4.6. *The linear space $V_{n,d}$ is generated as a right ideal of the algebra $\mathbb{Q}S_n^2$ by the sets*

$$V_{\rho,\mu,\nu} = \{\chi \in \mathcal{A}_{\rho,\mu,\nu} : \zeta_{n,d} \cdot e_{\rho,\mu,\nu} \cdot \chi = 0\}$$

where ρ, μ, ν run over the set $\text{lrr}(S_n)$ with $k_{\mu,\nu}^\rho \neq 0$. In particular, $V_{\rho,\mu,\nu} = \mathcal{A}_{\rho,\mu,\nu}$ if and only if $e_{\rho,\mu,\nu} \in V_{n,d}$. In the latter case the sum of all $(\lambda_1, \lambda_2, \lambda_3)$ -contractions of the element $e_{\rho,\mu,\nu}$ is not zero whenever the partitions λ_1, λ_2 and λ_3 have the same Young diagram and this diagram dominates the diagrams $\lambda_\rho, \lambda_\mu$ and λ_ν . ■

Given a Young diagram λ denote by $r(\lambda)$ the number of rows of λ . Then it is easily seen that $\lambda \succeq \mu$ only if $r(\lambda) \leq r(\mu)$. Therefore the following statement immediately follows from statement (1) of Lemma 4.5.

Corollary 4.7. *Let $\rho, \mu, \nu \in \text{lrr}(S_n)$ and $\lambda_1, \lambda_2, \lambda_3 \in \Lambda(n)$. Then any cubic determinant in $\mathcal{M}(n)$ that corresponds to an element of $V_{\rho,\mu,\nu}$ has a nonzero $(\lambda_1, \lambda_2, \lambda_3)$ -contraction only if $r(\lambda_1) \leq r(\lambda_\rho)$, $r(\lambda_2) \leq r(\lambda_\mu)$ and $r(\lambda_3) \leq r(\lambda_\nu)$. ■*

5. CUBIC DETERMINANTS OF DEFECT 1

In this section we refine Theorem 4.6 for the case $d = 1$. To compute the elements $\zeta \cdot e_\pi$ with $\zeta = \zeta_{n,1}$ and $\pi \in \text{lrr}(\mathcal{A})$ we need the following auxiliary lemma.

Lemma 5.1. *Let C and ρ be a conjugacy class and an irreducible character of S_n , respectively. Then*

- (1) $\underline{C} \cdot e_\rho = \frac{|C|\rho(g)}{\rho(1_n)} e_\rho$ for any $g \in C$,
- (2) $\Delta_i(\underline{C}) \cdot \Delta_j(e_\rho) = \Delta_j(e_\rho) \cdot \Delta_i(\underline{C})$ for $i, j = 1, 2, 3$.

Proof. To prove statement (1)⁴ we note that the element \underline{C} belongs to the center of the group algebra $\mathbb{Q}S_n$. Therefore $\chi := \underline{C} \cdot e_\rho = a \cdot e_\rho$ for some $a \in \mathbb{Q}$. After comparing the coefficients in both sides of the latter equality at 1_n , we get that $a = \chi(1_n)/e_\rho(1_n)$. However, by (19) we have

$$\chi(1_n) = |C|\rho(g) \frac{\rho(1_n)}{n!} \quad \text{and} \quad e_\rho(1_n) = \frac{(\rho(1_n))^2}{n!}$$

where $g \in C$. Thus $a = |C|\rho(g)/\rho(1_n)$ and we are done.

Statement (2) is obvious whenever $\{i, j\} \subset \{2, 3\}$ or $i = j = 1$. Suppose that $i = 1$ and $j = 2$ (the remaining three cases are proved in a similar way). Then

$$\begin{aligned} \frac{n!}{\rho(1_n)} \Delta_1(\underline{C}) \cdot \Delta_2(e_\rho) &= \sum_{g \in C} \sum_{h \in S_n} \rho(h)(g, gh) = \sum_{g \in C} \sum_{h' \in S_n} \rho(g^{-1}h'g)(g, h'g) = \\ &= \sum_{g \in C} \sum_{h' \in S_n} \rho(h')(g, h'g) = \frac{n!}{\rho(1_n)} \Delta_2(e_\rho) \cdot \Delta_1(\underline{C}) \end{aligned}$$

whence the required statement follows. ■

⁴Although this statement is a direct consequence of (7.11), [1], we give here a direct proof to make the text self-contained.

Below given three irreducible characters $\rho, \mu, \nu \in \text{Irr}(S_n)$ we set

$$q(\rho, \mu, \nu) = \frac{\rho(2_n)}{\rho(1_n)} + \frac{\mu(2_n)}{\mu(1_n)} + \frac{\nu(2_n)}{\nu(1_n)}$$

where $2_n = (1, 2)$ is the transposition in S_n .

Theorem 5.2. *Let $V = V_{n,1}$ and $\mathcal{A} = \mathcal{A}_n$. Then*

$$V \cap \mathcal{A} = \bigoplus_{\pi: e_\pi \in V} \mathcal{A}_\pi.$$

Moreover, $e_\pi = e_{\rho, \mu, \nu} \in V$ if and only if $q(\rho, \mu, \nu) = -1$.

Proof. Set $\zeta = \zeta_{n,1}$ and $T = T_n$. Then by (15) we have

$$\zeta = \binom{n}{2} \mathbb{1}_n + \Delta_1(\underline{T}) + \Delta_2(\underline{T}) + \Delta_3(\underline{T}).$$

By Lemma 4.4 given a character $\pi \in \text{Irr}(\mathcal{A})$ there exist characters $\rho, \mu, \nu \in \text{Irr}(S_n)$ such that $e_\pi = \Delta_1(e_\rho) \cdot \Delta_2(e_\mu) \cdot \Delta_3(e_\nu)$. Now by Lemma 5.1 we have

$$\begin{aligned} \zeta \cdot e_\pi &= \left(\binom{n}{2} \mathbb{1}_n + \Delta_1(\underline{T}) + \Delta_2(\underline{T}) + \Delta_3(\underline{T}) \right) \cdot \Delta_1(e_\rho) \cdot \Delta_2(e_\mu) \cdot \Delta_3(e_\nu) = \\ & \binom{n}{2} e_\pi + \Delta_1(\underline{T}e_\rho) \cdot \Delta_2(e_\mu) \cdot \Delta_3(e_\nu) + \Delta_1(e_\rho) \cdot \Delta_2(\underline{T}e_\mu) \cdot \Delta_3(e_\nu) + \Delta_1(e_\rho) \cdot \Delta_2(e_\mu) \cdot \Delta_3(\underline{T}e_\nu) = \\ & \binom{n}{2} e_\pi + \frac{|T|\rho(2_n)}{\rho(1_n)} e_\pi + \frac{|T|\mu(2_n)}{\mu(1_n)} e_\pi + \frac{|T|\nu(2_n)}{\nu(1_n)} e_\pi = \binom{n}{2} (1 + q(\rho, \mu, \nu)) e_\pi. \end{aligned}$$

This proves the second statement, and by Theorem 4.6 also the first one. \blacksquare

As an immediate consequence of Theorem 5.2 and Lemma 4.4 we obtain the following statement.

Corollary 5.3. *The linear space $V_{n,1}$ is generated as a right ideal of the algebra $\mathbb{Q}S_n^2$ by the set of all elements $\Delta_1(e_\rho) \cdot \Delta_2(e_\mu) \cdot \Delta_3(e_\nu)$ where $\rho, \mu, \nu \in \text{Irr}(S_n)$ are such that $k_{\mu, \nu}^\rho \neq 0$ and $q(\rho, \mu, \nu) = -1$. \blacksquare*

Example: The symmetric group S_4 has 5 irreducible representations; denote them by χ_i , $i = 1, \dots, 5$. The values $\chi_i(2_n)$ and $\chi_i(1_n)$ for all i are given in the following table (here and below we use tables from [11]):

i	1	2	3	4	5
$\chi_i(1_n)$	1	3	2	3	1
$\chi_i(2_n)$	1	1	0	-1	-1
λ_{χ_i}	[4]	[3, 1]	[2, 2]	[2, 1, 1]	[1 ⁴]

A direct check shows that $q(\chi_i, \chi_j, \chi_k) = -1$ for $1 \leq i \leq j \leq k \leq 5$ only if (i, j, k) is one of the following triples: $(1, 5, 5)$, $(3, 3, 5)$, $(2, 4, 5)$ and $(4, 4, 4)$. In all these cases $k_{\chi_i, \chi_j}^{\chi_k} = 1$. Thus

$$\begin{aligned} V_{4,1} &= V_{1,5,5} \oplus V_{5,1,5} \oplus V_{5,5,1} \oplus \\ & V_{3,3,5} \oplus V_{3,5,3} \oplus V_{5,3,3} \oplus \\ & V_{2,4,5} \oplus V_{2,5,4} \oplus V_{4,2,5} \oplus V_{4,5,2} \oplus V_{5,2,4} \oplus V_{5,4,2} \oplus \\ & V_{4,4,4} \end{aligned}$$

where $V_{i,j,k}$ is the right ideal $e_{\chi_i, \chi_j, \chi_k} \mathbb{Q}(S_4 \times S_4)$. The dimensions of the ideals in the first row are 1, in the second - 4, in the third - 9 and in the fourth - 27. \blacksquare

Let $\rho \in \text{lrr}(S_n)$ and $\lambda = \lambda_\rho$. Then (see the remark in the proof of Theorem 3.5 of [21])

$$(22) \quad \frac{\rho(2_n)}{\rho(1_n)} = \frac{1}{\binom{n}{2}} \sum_i \left(\binom{\lambda_i}{2} - \binom{\lambda'_i}{2} \right)$$

where λ_i (resp. λ'_i) is the size of the i th row (resp. the i th column) of λ . The negative part of the sum in the right-hand side of this equality is more or equal than the ratio $-\lambda'_1/n$: this bound is obtained by maximizing $\sum_i (\lambda'_i)^2$ provided that $\lambda'_1 \geq \lambda'_2 \geq \dots \geq 0$ and $\sum_i \lambda'_i = n$. Applying this bound to each summand of $q(\rho, \mu, \nu)$ we come to the following statement.

Lemma 5.4. *Given $\rho, \mu, \nu \in \text{lrr}(S_n)$ we have $q(\rho, \mu, \nu) = -1$ only if at least one of the diagrams $\lambda_\rho, \lambda_\mu, \lambda_\nu$ has at least $\lfloor n/3 \rfloor$ rows. \blacksquare*

It is not so difficult to construct infinite families of triples $\rho, \mu, \nu \in \text{lrr}(S_n)$ for which $q(\rho, \mu, \nu) = -1$. For example a straightforward computation by formula (22) shows that if all the diagrams $\lambda_\rho, \lambda_\mu, \lambda_\nu$ are hooks (resp. rectangles), then an infinite family is defined by the condition $r(\rho) + r(\mu) + r(\nu) = 2n + 1$ (resp. the conditions $r(\rho) = r(\mu) = n/2$ and $r(\nu)(r(\nu) - 3) = n$). However, even in these rather simple cases almost nothing is known on the Kronecker coefficients $k_{\rho, \mu}^\nu$ (see [3]).

We complete the section by giving an explicit construction of a family of cubic determinants of defect 1. Take an arbitrary partition $[n] = I \cup J \cup K$, and denote by λ_I, λ_J and λ_K the partitions of $[n]$ into $|I| + 1, |J| + 1$ and $|K| + 1$ classes containing as a class respectively the complements to the sets I, J and K . The following lemma shows that the cubic determinant corresponding to the element

$$(23) \quad D(I, J, K) = \Delta_1(\chi_I) \cdot \Delta_2(\chi_J) \cdot \Delta_3(\chi_K)$$

with

$$\chi_I = \sum_{g \in S_{\lambda_I}} (-1)^{\text{sgn}(g)} g, \quad \chi_J = \sum_{g \in S_{\lambda_J}} (-1)^{\text{sgn}(g)} g, \quad \chi_K = \sum_{g \in S_{\lambda_K}} (-1)^{\text{sgn}(g)} g,$$

is of defect 1.

Lemma 5.5. *For a partition $[n] = I \cup J \cup K$ set $\chi = D(I, J, K)$. Then $\chi \neq 0$ and*

- (1) $\chi \in V_{n,1}$,
- (2) $\chi^{\lambda_1, \lambda_2, \lambda_3} = 0$ for all $\lambda_1, \lambda_2, \lambda_3 \in \Lambda(n, r)$ with $r < 2n/3$.

Proof. It is easily seen that the coefficient of the element χ at the identity is equal to 1. Therefore $\chi \neq 0$. Next, by the definition of $V_{n,1}$ to prove statement (1) it suffices to check that given a transposition $t = (i, j) \in S_n$ we have

$$\Delta_2(\underline{T}) \cdot \Delta_3(\underline{T}) \cdot \chi = 0$$

where $T = \{1_n, t\}$. Suppose first that $\{i, j\} \not\subset J \cup K$, say i does not belong to $J \cup K$. Then given a permutation $f \in S_{\lambda_I}$ the element j is not contained in one of the sets $J^{f^{-1}}$ or $K^{f^{-1}}$. In the former case, $\{i, j\} \cap J = \emptyset$, and hence $(f + tf)\chi_J = 0$, whereas in the latter case $\{i, j\} \cap K = \emptyset$, and hence $(f + tf)\chi_K = 0$. Thus in any case

$$\Delta_2(\underline{T}) \cdot \Delta_3(\underline{T}) \cdot \Delta_1(f) \cdot \Delta_2(\chi_J) \cdot \Delta_3(\chi_K) = \Delta_2((f + tf)\chi_J) \cdot \Delta_3((f + tf)\chi_K) = 0$$

for all $f \in S_{\lambda_I}$, and we are done. To complete the proof of (1) let us assume that $\{i, j\} \subset J \cup K$. Then by a direct computation we obtain

$$\Delta_2(\underline{T}) \cdot \Delta_3(\underline{T}) \cdot \chi_I = \chi' \cdot \Delta_1(\underline{T} \cdot \chi_I) = 0,$$

because of $\underline{T} \cdot \chi_I = 0$ where $\chi' = (1_n, 1_n) + (t, 1_n)$.

To prove statement (2) suppose that $\lambda_1, \lambda_2, \lambda_3 \in \Lambda(n, r)$ are partitions such that $\chi^{\lambda_1, \lambda_2, \lambda_3} \neq 0$. It is easily seen that

$$\chi^{\lambda_1, \lambda_2, \lambda_3} = \Delta_1(\underline{S}_{\lambda_1} \chi_I) \cdot \Delta_2(\chi_J \underline{S}_{\lambda_2}) \cdot \Delta_3(\chi_K \underline{S}_{\lambda_3}),$$

and hence

$$(24) \quad \underline{S}_{\lambda_1} \cdot \chi_I \neq 0, \quad \chi_J \cdot \underline{S}_{\lambda_2} \neq 0, \quad \chi_K \cdot \underline{S}_{\lambda_3} \neq 0.$$

On the other hand, let a transposition t and the set T be as above. Then obviously $\underline{T} \cdot \chi_I = \chi_I \cdot \underline{T} = 0$ whenever $\{i, j\} \cap I = \emptyset$. Since in the latter case $S_{\lambda_1} = S_{\lambda_1} T$, we conclude by (24) that at least one of any two distinct elements i, j from the same class of the partition λ_1 belongs to the set I . It follows that a class of λ_1 of size a intersects the set I in at least $a - 1$ elements. Therefore $r(\lambda_1) \geq n - |I|$. Similarly, one can prove that $r(\lambda_2) \geq n - |J|$ and $r(\lambda_3) \geq n - |K|$. This implies that

$$3r = r(\lambda_1) + r(\lambda_2) + r(\lambda_3) \geq 3n - (|I| + |J| + |K|) = 2n.$$

Thus $r \geq 2n/3$ as required. \blacksquare

6. APPLICATIONS TO THE BORDER RANK OF A CUBIC TENSOR

In this section we return to the questions discussed in the introduction. The following metascheme (based on Lemmas 2.4, 3.1 and 3.3) provides a tool to prove that given a positive integer r the border rank $\underline{\text{rk}}(A)$ of a cubic $n \times n \times n$ tensor A is at least r :

- choose a positive integer $N \geq n$,
- find $\chi \in V_{N, N-r}$ such that $\chi^{\lambda_1, \lambda_2, \lambda_3} \neq 0$ for some $\lambda_1, \lambda_2, \lambda_3 \in \Lambda(N, n)$,
- set $P = (F_N^{-1}(\chi))^{\lambda_1, \lambda_2, \lambda_3}$ (depolarization),
- if $P(A) \neq 0$, then $\underline{\text{rk}}(A) \geq r + 1$.

Let us apply this metascheme to the two following concrete examples. The first one shows, in particular, that the bound from the second statement of Lemma 5.5 is attained, whereas the second one gives a lower bound for the border rank of the matrix multiplication tensor.

Example 1. Given a positive odd integer $n = 2m + 1$ we define an $n \times n \times n$ zero-one cubic tensor $A = A_n$ such that $A_{i,j,k} = 1$ if and only if $(i, j, k) \in Q_n$ where

$$Q_n = \begin{aligned} &\{(n, i, i) : 1 \leq i \leq m\} \cup \\ &\{(j, n, j + m) : 1 \leq j \leq m\} \cup \\ &\{(k, k, n) : m < k < n\}. \end{aligned}$$

We claim that

$$(25) \quad \underline{\text{rk}}(A_n) = \text{rk}(A_n) = 3m = \frac{3(n-1)}{2}.$$

Indeed, according to our metascheme take $r = 3m - 1$ and $N = 3m$. Then by statement (1) of Lemma 5.5 (with $n = N$) the linear space $V_{N, N-r} = V_{N, 1}$ contains the nonzero element $\chi = D(I, J, K)$ where

$$I = \{1, \dots, m\}, \quad J = \{m + 1, \dots, 2m\}, \quad K = \{2m + 1, \dots, 3m\}.$$

For $i = 1, 2, 3$ set λ_i to be the partition in $\Lambda(3m, 2m + 1)$ of the shape $[m, 1^{2m}]$ the unique nonsingleton class of which coincides with the set I, J and K respectively. Then to prove that $\underline{\text{rk}}(A) \geq r + 1$ it suffices to verify that $P(A) \neq 0$ where $P(X)$ is the $(\lambda_1, \lambda_2, \lambda_3)$ -contraction of the matching polynomial $F_N^{-1}(\chi)$. For this purpose choose the functions $\lambda_i, i = 1, 2, 3$ as follows

$$i^{\lambda_1} = \begin{cases} n, & \text{if } i \in I, \\ i - m, & \text{if } i \in J \cup K, \end{cases}, \quad k^{\lambda_3} = \begin{cases} k, & \text{if } k \in I \cup J, \\ n, & \text{if } k \in K, \end{cases}$$

and

$$j^{\lambda_2} = \begin{cases} j, & \text{if } j \in I, \\ n, & \text{if } j \in J, \\ j - 2m, & \text{if } j \in K, \end{cases}$$

Due to (3) and the definition of the $(\lambda_1, \lambda_2, \lambda_3)$ -contraction, the polynomial $P(X)$ can be written in the form

$$(26) \quad P(X) = \sum_{g \in S_N^2} \chi(g) M_g^{\lambda_1, \lambda_2, \lambda_3}(X),$$

where $\chi(g) \in \{0, \pm 1\}$ is the coefficient of χ at g . It immediately follows from the definitions of the tensor A and the functions λ_a that $M_{(g_2, g_3)}^{\lambda_1, \lambda_2, \lambda_3}(A) \neq 0$ only if the permutations g_2 and g_3 leave the sets I, J, K fixed (as sets) and

$$g_2^I = g_3^I, \quad g_3^J = 1_J, \quad g_2^K = 1_K$$

where 1_J and 1_K are the identical permutations of J and K respectively. Denote the set of all such pairs $g = (g_2, g_3)$ by $H \subseteq S_N^2$. Then the latter conditions imply that (a) the coefficient $\chi(g)$ is nonzero and does not depend on $g \in H$ (see (23)), and (b) the monomial $M_g^{\lambda_1, \lambda_2, \lambda_3}(X)$ does not depend on $g \in H$, and hence $M_g^{\lambda_1, \lambda_2, \lambda_3}(A) = 1$. Therefore

$$P(A) = \sum_{g \in H} \chi(g) M_g^{\lambda_1, \lambda_2, \lambda_3}(A) = \chi(g_0) |H| \neq 0$$

where g_0 is an arbitrary element from H . According to our metascheme this means that $\underline{\text{rk}}(A) \geq 3m$. The converse inequality holds because $\underline{\text{rk}}(A) \leq \text{rk}(A)$, and $\text{rk}(A)$ does not exceed the number of nonzero entries of A that is $|Q_n| = 3m$.

Example 2. Set M_n to be the structure tensor of $n \times n$ -matrix multiplication. As it was mentioned in the introduction $\underline{\text{rk}}(M_n) \geq (2 - \epsilon) \cdot n^2$ [17]. At present we can not improve this bound, but we can easily apply our metascheme to obtain the lower bound

$$(27) \quad \underline{\text{rk}}(M_n) \geq \frac{5}{4} n^2.$$

Without loss of generality we can assume that $n = 2m$ is even. Set $r = 5m^2 - 1$ and $N = 5m^2$. By statement (1) of Lemma 5.5 (with $n = N$) the linear space $V_{N, N-r} = V_{N, 1}$ contains the nonzero element $\chi = D(I, J, K)$ where

$$I = \{1, \dots, m^2\}, \quad J = \{m^2 + 1, \dots, 3m^2\}, \quad K = \{3m^2 + 1, \dots, 5m^2\}.$$

Now, to prove inequality (27) it suffices to find some partitions λ_1, λ_2 and λ_3 in the set $\Lambda(5m^2, 4m^2)$ such that $P(M) \neq 0$ where $M = M_n$ and $P(X)$ is the $(\lambda_1, \lambda_2, \lambda_3)$ -contraction of the matching polynomial $F_N^{-1}(\chi)$. In fact, it is enough for our purposes that $\lambda_i \in \Lambda(5m^2, n'_i)$ for some $n'_i \in [4m^2]$ (in this case not all

variables X_{ijk} occur in the polynomial $P(X)$). To construct the partitions choose arbitrarily three bijections:

$$\begin{aligned} g_1 : [4m^2] &\rightarrow [2m] \times [2m], \\ g_2 : [3m^2] &\rightarrow [2m] \times [m] \cup [m] \times (m, 2m], \\ g_3 : [3m^2] &\rightarrow [2m] \times (m, 2m] \cup (m, 2m] \times [m], \end{aligned}$$

and define three maps $\lambda_i : [5m^2] \rightarrow [2m] \times [2m]$, $i = 1, 2, 3$, such that

$$\begin{aligned} i^{\lambda_1} &= \begin{cases} (\lceil \frac{i}{m} \rceil, \lceil \frac{i}{m} \rceil), & \text{if } i \in I, \\ i^{g_1}, & \text{if } i \in J \cup K, \end{cases} \\ j^{\lambda_2} &= \begin{cases} (\lceil \frac{j-m^2}{m} \rceil, \lceil \frac{j-m^2}{m} \rceil), & \text{if } j \in J, \\ j^{g_2}, & \text{if } j \in I \cup K, \end{cases} \\ k^{\lambda_3} &= \begin{cases} (\lceil \frac{k-3m^2}{m} \rceil, \lceil \frac{k-3m^2}{m} \rceil), & \text{if } k \in K, \\ k^{g_3}, & \text{if } k \in I \cup J, \end{cases} \end{aligned}$$

From the definition it immediately follows that

$$\begin{aligned} \lambda_1 &\in \Lambda(5m^2, 4m^2) & \text{and} & \quad [\lambda_1] = [(m+1)^m, 1^{4m^2-m}], \\ \lambda_2 &\in \Lambda(5m^2, 3m^2+m) & \text{and} & \quad [\lambda_2] = [(m+1)^m, m^m, 1^{3m^2-m}], \\ \lambda_3 &\in \Lambda(5m^2, 3m^2+m) & \text{and} & \quad [\lambda_3] = [m^{2m}, 1^{3m^2}]. \end{aligned}$$

Due to (3) and the definition of a contraction the polynomial $P(X)$ can be written in the form (26). It immediately follows from the definitions of the tensor M that given $g \in S_N \times S_N$, we have $M_g^{\lambda_1, \lambda_2, \lambda_3}(M) \neq 0$ only if $(i^{\lambda_1}, i^{\lambda_2 g_2}, i^{\lambda_3 g_3}) = (uv, vw, wu)$ for some elements $u, v, w \in [2m]$ where $uv = (u, v)$, $vw = (v, w)$ and $wu = (w, u)$. In this case the above triple obviously belongs to one of the following sets:

$$\begin{aligned} \{(uv, vu, uu) & : uv \in [m] \times [2m]\}, \\ \{(uv, vv, vu) & : uv \in (m, 2m] \times [2m]\}, \\ \{(uu, uw, wu) & : uw \in [m] \times (m, 2m]\}. \end{aligned}$$

Now, denote by H the set of all $g = (g_2, g_3) \in S_N^2$ for which $M_g^{\lambda_1, \lambda_2, \lambda_3}(M) \neq 0$. Then the argument as in Example 1 shows that (a) the coefficient $\chi(g)$ is nonzero and does not depend on $g \in H$, and (b) the monomial $M_g^{\lambda_1, \lambda_2, \lambda_3}(X)$ does not depend on the element $g \in H$. Therefore $P(M) \neq 0$, and hence $\underline{\text{rk}}(M) \geq 5m^2$.

Remark. The above proof shows that the structure tensor of the $2m \times 2m$ -matrix multiplication contains a $4m^2 \times (3m^2 + m) \times (3m^2 + m)$ subtensor the border rank of which is at least $5m^2$.

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