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# Assessment of mechanical, thermal properties and crystal shapes of monoclinic tricalcium silicate from atomistic simulations

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### Abstract

The two most common polymorphs in industrial alite, M1 and M3, were characterized at the molecular scale. Different methods were employed and discussed to assess mechanical properties and specific heat of both polymorphs. The calculated homogenized elastic moduli and specific heat were found in good agreement with experimental measurements. A comparative analysis of spacial Young's modulus reveal isotropic and anisotropic spacial distribution for  $M_1$  and  $M_3$  respectively. A more isotropic compressive strength is also reported for  $M_1$  when compared to  $M_3$  polymorph. Cleavage energies computation allowed to proposed equilibrium shapes for both polymorph, with significant differences. While the lowest cleavage energies were found along (100) and (001) for both polymorphs, the constructed M1 crystal possesses 3 independent facets, against seven for the M3 polymorph.

*Keywords:* Tricalcium silicate. Mechanical properties. Thermal properties. Cleavage energy. Crystal shape. Molecular dynamics.

## 1. Introduction

The research in cementitious materials is experiencing new challenges mainly due to the need to preserve the environment and save energy. To reduce  $CO_2$  emissions and energy cost of production, alternative binders are under study [1]. However, ordinary Portland cement (OPC) should continue to be employed for a long time and understanding of its principal constituents is primordial for its improvement. Another way to reduce the environmental impact of Portland cement is to enhance its reactivity which will involve less content of cement in concrete for the same strength. Since alite is the principal phase of Portland clinker that most contributes to strength development of Portland cement and particularly at early ages, a deep understanding of its properties is crucial to

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<sup>11</sup> improve its quality and reactivity. Alite is a tricalcium silicate ( $C_3S$ ) with minor oxides <sup>12</sup> usually called impurities. It presents a large grade of polymorphism depending on dif-<sup>13</sup> ferent factors, among them: the nature and the amount of impurities, the temperature <sup>14</sup> of preheating or burning [2]. Seven structures were reported in industrial alite: three <sup>15</sup> triclinic ( $T_1$ ,  $T_2$  and  $T_3$ ), three monoclinic ( $M_1$ ,  $M_2$  and  $M_3$ ), and a rhombohedral form <sup>16</sup> R. These polymorphs appear via successive and reversible phase transitions [3]:

$$T_1 \xleftarrow{620 °C} T_2 \xleftarrow{920 °C} T_3 \xleftarrow{980 °C} M_1 \xleftarrow{990 °C} M_2 \xleftarrow{1060 °C} M_3 \xleftarrow{1070 °C} R$$
(1)

It is well known that impurities in alite stabilize high temperature polymorphs at low 17 temperatures [3, 4]. The two main C<sub>3</sub>S polymorphs present in industrial clinker are M<sub>1</sub> 18 and M<sub>3</sub>. According to Maki and Goto [5], MgO in clinker promotes the stable growth of 19 20 alite in favor of the occurrence of  $M_3$ . In contrary, decreased MgO/SO<sub>3</sub> ratio lead to  $M_1$ 21 stabilization [2, 5]. It was also reported that preheating of the raw meal may result in the disappearance of the M3 polymorph [2]. This modifications in the structure of  $C_3S$ 22 can have a significant impact on strength as reported in few experimental results in the 23 literature [2, 6]. The transformation of  $M_3$  to  $M_1$  polymorph may result in a 10% increase 24 in the compressive strength [2]. The origin of this observed variation in strength could be 25 explained by a greater amount of non-bonding electrons in oxygens of  $M_1 C_3 S$ , leading 26 to a higher reactivity when compared to the  $M_3$  polymorph. The impact of structure at 27 the nanoscale on the properties like strength is a complex topic and investigation at the 28 atomic level via molecular modelling and simulation should be helpful to improve our 29 understanding of Portland cement. 30

Over recent years, the properties of cementitious materials were addressed using atom-31 istic models, with particular attention on the main hydration product of OPC: calcium 32 silicate hydrates (C-S-H) [7, 8]. In comparison, only few studies at the atomic scale fo-33 cused on OPC clinker phases [9]. Computation of thermal and mechanical properties at 34 the molecular scale can provides important information on the behaviour of OPC clinker 35 and hydrated product. From the computation of surface energies, crystal shapes can be 36 theoretically constructed for different polymorphs and help to understand morphological 37 changes [10]. The knowledge of preferential cleavage planes and crystal shapes of  $C_{3}S$ 38 polymorphs is fundamental to understand their growth during the clinkering process [11]. 39 It could also explain  $C_3S$  dissolution mechanisms [12] or cleavage modes during clinker 40 grinding [13, 14]. Determination of such properties by experimental methods are most 41 of the time limited, especially in the case of surface energies [15]. In all cases, a proper 42 synthesis procedure of pure  $C_3S$  is necessary, and the determination of the amount of 43 each polymorph in a sample is neither trivial nor accurate [16]. 44

In this work, the mechanical, thermal and surface properties of  $M_1$  and  $M_3 C_3 S$  (the main forms of alite encountered in industrial OPC [17]) were characterized by molecular dynamics (MD) simulations. The present article is divided into four sections: crystal structures and force fields, mechanical properties, thermal properties, and cleavage energies and equilibrium shapes. The last three sections include a description of the method employed and a presentation and discussion of results. To finish, a general conclusion resumes the different findings.

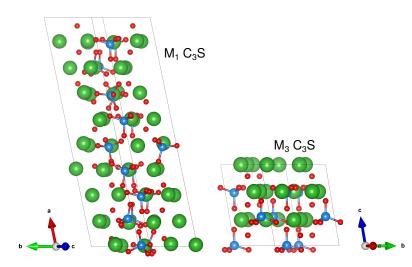


Figure 1: Unit cells of  $M_1 C_3 S$  (cell parameters: a = 27.874 Å, b = 7.059 Å, c = 12.257 Å,  $\beta = 116.03^\circ$ ) [17] and  $M_3 C_3 S$  (cell parameters: a = 12.235 Å, b = 7.073 Å, c = 9.298 Å,  $\beta = 116.31^\circ$ ) [18]. Color code: calcium cations in green, oxygen anions and silicate oxygen in red and silicon atoms in blue

52

# 2. Crystal structures and force fields

The atomistic systems investigated were built from the pure  $M_1$  [17] and  $M_3$  [18] 53 crystal structures depicted in Fig. 1. While the latter has already been used [13, 19, 20], 54 this is the first time that a  $M_1 C_3 S$  model has been employed in a MD investigation, 55 despite of its predominance in alite of Portland clinker with high  $SO_3$  content [5]. Re-56 garding atomic structural organization along the (010) direction, and b parameters, the 57 two cells are very close. However, the two models are shifted by 1/4 in cell units in the 58 (010) direction, meaning that the (010) Ca-rich plane of the M<sub>1</sub> model corresponds to the 59 (040) plane of the  $M_3$  model. The atomic organization along (001) axis in the  $M_1$  model 60 is close to  $M_3$  model in the (100), and the c and a parameters in  $M_1$  and  $M_3$  respectively 61 are almost equal. Conversely, the a parameter of the M<sub>1</sub> unit cell is approximately 3 62 times larger than the c parameter for  $M_3$ , and the major structural difference is expected 63 in the (100) direction for  $M_1$  and (001) direction for  $M_3$ . 64

Understanding the mechanical properties of  $C_3S$  is important for various reasons; 65 among them: 1) the total hydration of a cement paste is never achieved, so clinker 66 components are, to some extent, involved in the final microstructure of hydrated cement 67 [21, 22], and mainly at early ages; and 2) to optimize the grinding of clinker during cement 68 manufacturing. The investigation of elastic properties of cementitious materials are most 69 of the time related to hydrated products and very few data can be found in the literature 70 concerning elastic properties of clinker components. Synthetic alite can be made by solid 71 state sintering of decarbonated calcium oxide and fine silica, with possible addition of 72 impurities (alumina, magnesium, sulfates), depending on the polymorph to be reached 73 [23]. The elastic properties are typically determined by nanoindentation experiments 74 and at the macroscale by resonance frequency measurements [24, 25]. Nanoindentation 75 experiments are most of the time performed on hydrated mortar or cement paste [22, 26– 76 28], and more rarely on pure and doped clinker phases [24]. Unhydrated clinker phases
are known to exhibit stiffnesses by 3–5 times larger and hardnesses by one order of
magnitude larger than hydrated phases [22, 24, 29].

Two force fields (FF) introduced in the *cemff* database [9] were employed to describe atomic interactions in C<sub>3</sub>S: INTERFACE FF (IFF) [13, 30] and ClayFF [31]. The PCFF implementation of IFF, used inhere, includes quadratic bonded terms for covalent bonds in silicates, an electrostatic term and a 9-6 Lennard-Jones (LJ) potential for short-range interactions:

$$E_{IFF} = \sum_{ij} \sum_{n=2}^{4} K_{r,ij} (r_{ij} - r_{0,ij})^n + \sum_{ijk} \sum_{n=2}^{4} K_{\theta,ij} (\theta_{ij} - \theta_{0,ij})^n + \frac{1}{4\pi\varepsilon_0\varepsilon_r} \sum_{ij} \frac{q_i q_j}{r_{ij}} + \sum_{ij} \varepsilon_{0,ij} \left[ 2\left(\frac{\sigma_{ij}}{r_{ij}}\right)^9 - 3\left(\frac{\sigma_{ij}}{r_{ij}}\right)^6 \right]$$
(2)

<sup>85</sup> ClayFF is a general force field initially developed to describe interfaces between clays <sup>86</sup> and water [31], and in particular adsorption of ions for, inter alia, environmental appli-<sup>87</sup> cation. It uses a flexible single point charge (SPC) water model and its potential energy <sup>88</sup> includes a 12-6 Lennard-Jones potential:

$$E_{ClayFF} = K_{r,ij}(r_{ij} - r_{0,ij})^2 + K_{\theta,ij}(\theta_{ij} - \theta_{0,ij})^2 + \frac{1}{4\pi\varepsilon_0\varepsilon_r} \sum_{ij} \frac{q_i q_j}{r_{ij}} + \sum_{ij} \varepsilon_{0,ij} \left[ \left(\frac{\sigma_{ij}}{r_{ij}}\right)^{12} - \left(\frac{\sigma_{ij}}{r_{ij}}\right)^6 \right]$$
(3)

For dehydrated species, its interaction potential is the sum of a 12-6 LJ potential and 89 electrostatic interactions. ClayFF does not account explicitly for covalent bonding in sil-90 icates, and larger charges are used for silicon atoms (2.1e) when compared to IFF (1.0e). 91 The ionic nature of these bonds is thus overestimated in ClayFF, and impacts substan-92 tially computed surface tension [13]. We also expect an influence of this assumption on 93 the elastic behaviour [30]. Results in better agreement with experimental measurements 94 are expected with IFF, for which parameters were optimized especially for  $C_3S$  [13]. Ev-95 ery simulation in the present paper were performed with the LAMMPS simulation code 96 [32]. A 12 Å cutoff, and an Ewald summation with precision of  $10^{-5}$  were adopted for 97 short-range and long-range interaction, respectively. 98

#### <sup>99</sup> 3. Mechanical properties

#### <sup>100</sup> 3.1. Methods

Elastic properties of solids are generally computed by applying a strain or a stress in the desired directions and by determining the strain-stress or strain-energy relations. Two type of methods are used and discussed in this work: static optimization methods and time integration methods. 1. Static optimization methods are typically applied at 0 K, or where anharmonical 105 vibrations can be neglected, although lattice vibration frequency can be included 106 through quasi-harmonic approximation techniques [33]. In this case, a small strain 107  $\Delta \varepsilon_j$  is applied positively and negatively in each direction j: 108

$$C_{ij}^{+} = -\frac{\sigma_i(\Delta\varepsilon_j) - \sigma_i(0)}{\Delta\varepsilon_j}$$

$$C_{ij}^{-} = \frac{\sigma_i(-\Delta\varepsilon_j) - \sigma_i(0)}{\Delta\varepsilon_j}$$
(4)

The stiffness constants can be obtained by averaging  $C_{ij}^+$  and  $C_{ij}^-$  and the symmetric 109 constants:

$$C_{ij} = \frac{C_{ij}^+ + C_{ij}^- + C_{ji}^+ + C_{ji}^-}{4} \tag{5}$$

This method performs quick calculation, minimizing the energy of the system before and after application of a small strain  $\Delta \varepsilon$ . However, it does not provide the stressstrain behavior nor give a prediction of the failure point. This computational scheme can be extended by applying a strain on multiple steps followed by an energy minimization after each step. The stiffness constants are therefore obtained by linear regression on the desired strain range.

For a system of particles with a volume V, the stress components can be computed as the sum of the kinetic and virial terms over the N particles:

$$\sigma_{ij} = \frac{\sum_{k}^{N} m_k v_{ki} v_{kj}}{V} + \frac{\sum_{k}^{N} r_{ki} f_{kj}}{V}$$
(6)

where *i* and *j* are the directions *x*, *y* and *z*.  $m_k$ ,  $r_{ki}$ ,  $v_{ki}$  are the mass, position and velocity respectively, and  $f_{kj}$  is the force applied on the particle *k*. In the case of a molecular mechanics (MM) optimization, the kinetic term is zero.

2. Time integration methods use equilibrium MD (EMD) or non-equilibrium (NEMD) 122 simulations to compute the deformation of the simulation box while controlling the 123 stress or vice versa. In EMD, the equilibrium is reached before each production 124 run, which provides time-averaged values of the computed properties. In NEMD, 125 the strain, or the stress, is changed continuously during the run. This is convenient 126 because only a single run is needed. However, the strain/stress rate may influence 127 the result. The simulation can be either strain or stress controlled. In the first case, 128 a strain rate is applied on the desired direction and with a fixed stress (usually 129 0 GPa) on the other directions. In the second case, a stress rate is applied in one 130 direction while keeping the others at 0 GPa. The simulation box is thus allowed to 131 relax in the other directions. 132

The elastic properties of  $M_1$  and  $M_3 C_3 S$  were first computed from the static MM <sup>133</sup> calculation method on unit cells. The enthalpy of the cell was minimized at 0 GPa, <sup>134</sup> allowing free movement of atoms and cell parameters. Then a deformation was applied <sup>135</sup> in the desired direction and the energy of the system was minimized, allowing the atoms to <sup>136</sup> move while fixing the cell parameters. The process was repeated negatively and positively <sup>137</sup> in each direction, to calculate the 21 components of the stiffness matrix according to the <sup>138</sup> Eq. (5). The unit cells experienced maximal deformations of  $\pm 0.2$ , with increments of  $1 \times 10^{-4}$ , but the values  $C_{ij}^{\pm}$  were obtained by linear fitting on values from zero to  $\pm 0.02$  deformation. Homogeneous values of bulk and shear moduli for large crystals randomly dispersed were obtained by calculating Reuss and Voigt bounds. The Voigt-Reuss-Hill (or VRH) estimation for monoclinic crystals is obtained as the arithmetic average of Voigt and Reuss bounds on bulk and shear modulus [34–36].

In order to determine elastic properties at finite temperature supercells of 1296 145 atoms  $(1 \times 4 \times 2 \text{ and } 2 \times 4 \times 3, \text{ with dimensions } 27.87 \text{ \AA} \times 28.24 \text{ \AA} \times 24.52 \text{ \AA} \text{ and } 24.47$ 146  $A \times 28.29 A \times 27.89 A$ , for M<sub>1</sub> and M<sub>3</sub> C<sub>3</sub>S respectively) were created from the unit cells 147 presented in Fig. 1. For each polymorph, three replicas were created by using different 148 seeds for the initial velocities of atoms. Equilibration runs were performed during 500 ps 149 at 300 K in the NpT ensemble at hydrostatic pressure  $\sigma$  varying between 0 and 15 GPa, 150 followed by a production run of 1 ns. Nose-Hoover thermostat and barostat [37, 38] 151 were employed with the Verlet algorithm [39] to integrate Newton's equations of motion. 152 Long-range interaction were computed with an Ewald summation with precision of  $10^{-5}$ 153 and a cutoff of 10 Å was applied for van der Waals interactions. 154

The bulk modulus K was calculated from EMD simulations in the NpT ensemble 155 with incremental equilibrium pressure. In NEMD simulations, the supercells underwent 156 1 ns runs of compression and tension at a strain rate of  $1 \times 10^8 \, \mathrm{s}^{-1}$  up to 20%, while 157 maintaining the pressure to 0 GPa in the other directions. The stress components were 158 computed from Eq. (6). No noticeable influence was reported for rates of one order 159 of magnitude above and bellow  $1 \times 10^8 \, \mathrm{s}^{-1}$ . This is predictable because the resulting 160 dislocation velocity is  $\sim 0.28 \,\mathrm{m\,s^{-1}}$  for the largest dimension. This dislocation velocity 161 is large when compared to macroscale tests, but is negligible compared to the velocity 162 of acoustic waves in  $C_3S$ . Based on the values of bulk modulus K, Poisson's ratio 163  $\nu$  and density  $\rho$  from previous acoustic measurements, compressive and shear waves are 164 calculated as 7200 and  $3700 \text{ m s}^{-1}$  respectively [40]. This ensures that atoms will respond 165 instantaneously to the deformation of the simulation box [41, 42]. Elastic parameters 166 were calculated by the direct relations, where  $i \neq j$  are the x, y and z coordinates: 167

$$E_{ii} = \frac{\sigma_{ii}}{\varepsilon_{ii}}$$

$$G_{ij} = \frac{\sigma_{ij}}{\varepsilon_{ij}}$$

$$\nu_{ij} = -\frac{\varepsilon_{ii}}{\varepsilon_{jj}}$$
(7)

#### 168 3.2. Results and discussion

The stiffness constants, homogenized stiffness constants and elastic moduli of  $M_1$  and 169  $M_3 C_3 S$ , computed by static MM method, as well as experimental results from literature, 170 are reported in Table 1. ClayFF tends to underestimate by a factor of approximately 2 171 the stiffness constants and thus the elastic moduli. This very probably results from the 172 non-bonded nature of atomic interactions in ClayFF, where the covalent nature of O-Si 173 bonds in silicates is underestimated, thus decreasing their stiffness. Previous calculations 174 on the same M<sub>3</sub> unit cell, via second derivative of the binding energy with the GULP 175 code, lead to very similar results [43]. The homogenized elastic moduli computed with 176 IFF agree relatively with experiments. The values obtained for  $M_3 C_3 S$  are smaller than 177

	Ν	[1	Μ	3	Exp	).
	IFF	ClayFF	IFF	ClayFF	Boumiz et al. <sup><math>a</math></sup> [40]	Velez et al. $[24]$
$C_{11}$	185.2(2)	89.3(2)	219.6(3)	118.6(2)	-	
$C_{12}$	62.11(9)	29.6(4)	77.54(9)	34.81(7)		
$C_{13}$	61.73(8)	27.83(7)	52.79(7)	35.98(5)		
$C_{15}$	17.35(3)	-0.12(7)	4.0(1)	17.69(4)		
$C_{22}$	216.1(3)	112.6(5)	216.0(3)	85.9(3)		
$C_{23}$	70.77(9)	33.31(8)	52.72(8)	27.95(8)		
$C_{25}$	-8.38(2)	-4.7(3)	-21.7(9)	1.39(4)		
$C_{33}$	212.7(3)	100.2(3)	189.6(2)	95.4(1)		
$C_{35}$	-9.2(3)	-7.93(3)	-34.04(2)	6.65(3)		
$C_{44}$	66.54(3)	34.93(4)	37.0(7)	33.01(5)		
$C_{46}$	-4.954(7)	-3.958(8)	-6.39(8)	3.28(5)		
$C_{55}$	66.44(3)	31.35(4)	43.4(6)	38.22(4)		
$C_{66}$	65.17(3)	32.21(4)	67.65(7)	32.16(6)		
K	111.1	53.2	104.7	53.9	105.2(5)	
G	66.7	33.5	54.3	33.5	44.8(6)	
E	166.8	83.0	139.0	83.2	117.6(8)	$147(5)^b/135(7)^c$
$\nu$	0.250	0.240	0.279	0.243	0.314(17)	
$E_{11}$	151.5	77.2	176.9	91.2		
$E_{22}$	180.6	96.2	174.6	71.7		
$E_{33}$	177.3	84.4	147.2	80.4		
$E_{44}$	66.2	34.4	36.3	32.7		
$E_{55}$	63.1	30.6	34.9	35.3		
$E_{66}$	64.8	31.7	66.5	31.8		

Table 1: Stiffness constants and elastic moduli of  $M_3 C_3 S$  obtained by static MM, in GPa. <sup>*a*</sup> Acoustic measurements. <sup>*b*</sup>Resonance frequency. <sup>*c*</sup> Nanoindentation.

for  $M_1$  and close to recent results from DFT calculations on the  $T_1 C_3 S$  [44]. Very similar results were obtained by Manzano et al. [29], employing the Buckingham FF and a  $M_3 C_3 S$  model proposed by de la Torre et al. [45]. The lowest elastic modulus is obtained in the x and z direction for the  $M_1$  and  $M_3$  polymorph respectively. This result is predictable because of the correspondence of the c parameter of the  $M_1$  unit cell with the a parameter of the  $M_3$  unit cell. Spacial distributions of Young's modulus were plotted with the ELATE open-source Python package [46] and reveal a much more anisotropic elasticity for  $M_3$  than for  $M_1 C_3 S$  (see Fig. 2).

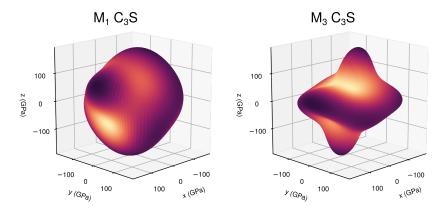


Figure 2: Spacial distribution of Young's modulus for the  $M_1 C_3 S$ , and  $M_3 C_3 S$  computed with IFF using the static MM method.

EMD calculations where performed with the IFF at 0, 1, 2.5 and 5 GPa. The bulk moduli obtained by linear fitting of the hydrostatic pressure with respect to the volume variation are  $(101 \pm 2)$  GPa and  $(103 \pm 2)$  GPa for M<sub>1</sub> and M<sub>3</sub> C<sub>3</sub>S polymorphs, respectively. A larger difference with static MM calculation is observed for M<sub>1</sub>. This could rely on the fact that the M<sub>1</sub> model, which is not averaged, experienced a structural relaxation during the MD run.

As an important feature of the  $C_3S$ , the change in coordination between calcium cations Ca and oxygen in silicates (Os) as a function of the hydrostatic pressure was analyzed by radial distribution function (RDF) (see Fig. 3). The increasing hydrostatic pressure seems to influence the  $C_3S$  structure at short range (~4Å). The second coordination shell is flattened and shifted to the left by the effect of the pressure. The same behavior is observed for both polymorphs.

The stress-strain curves for NEMD and static MM simulations are plotted in Fig. 4. 198 The general behavior of the M<sub>1</sub> and M<sub>3</sub> polymorphs seems similar. However, the com-199 pressive strength seems to be larger for the  $M_1$  polymorph in the x direction, and for the 200  $M_3$  polymorph in the z direction. The structural correspondence of the (001) direction 201 for the  $M_1$  unit cell with the (100) direction for the  $M_3$  unit cell explains this result. As 202 already noticed for the elastic behaviour (see Fig. 2), a more isotropic yield behaviour 203 is observed for  $M_1$  when compared to  $M_3$ . The difference in compressive strength along 204 the x and z directions is greater for  $M_3 C_3 S$  (~ 15 and 30 GPa) than for  $M_1 C_3 S$  (~ 21 205 and 16 GPa). The elastic moduli obtained from these NEMD simulation are presented in 206 Table 2. They are in good agreement with values from previous stress controlled NEMD 207

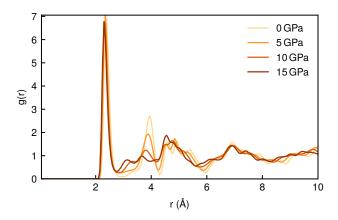


Figure 3: Radial distribution function of Ca-Os pairs as a function of the hydrostatic pressure for  $M_3 C_3 S$ , with IFF. The RDF obtained for the  $M_1$  polymorph is very similar.

simulations on  $M_3 C_3 S$  [13] and  $T_1 C_3 S$  [47].

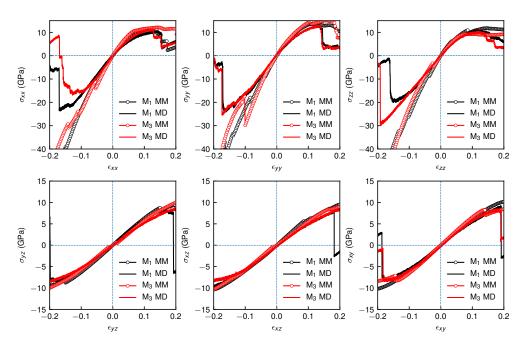


Figure 4: Stress-strain curves obtained by NEMD and MM calculations with IFF.

MM simulations results in a stiffer elastic behaviour than for MD simulations, due to the larger structural relaxation induced by the thermal motion. Nonetheless, the values obtained by these two methods are in good agreement. The static calculation method provides a good representation of elastic properties and is very fast, but does not allow to assess the yield stress properly, in particular in compression, since no relaxation is 210

	$M_1$	$M_3$	$M_3{}^a$ [13]
$E_{11} = E_{xx}$	141.8(2)	168.5(2)	152(6)
$E_{22} = E_{yy}$	164.4(2)	166.0(2)	176(3)
$E_{33} = E_{zz}$	155.8(2)	154.9(1)	103(11)
$E_{44} = G_{yz}$	62.6(5)	57.16(6)	
$E_{55} = G_{xz}$	59.22(7)	57.42(6)	
$E_{66} = G_{xy}$	59.77(5)	64.35(6)	
$\nu_{12} = \nu_{xy}$	0.220(1)	0.297(1)	0.303(42)
$\nu_{13} = \nu_{xz}$	0.236(2)	0.227(2)	0.273(43)
$\nu_{21} = \nu_{yx}$	0.241(2)	0.290(1)	0.225(21)
$\nu_{23} = \nu_{yz}$	0.239(2)	0.215(1)	0.197(27)
$\nu_{31} = \nu_{zx}$	0.245(2)	0.200(1)	0.372(41)
$\nu_{32} = \nu_{zy}$	0.230(1)	0.200(1)	0.299(58)

Table 2: Elastic moduli obtained from NEMD simulations with IFF.  $^a$ Results from previous stress controlled NEMD.

permitted in the transversal directions. This restriction causes hardening for negative
strains. The yield stress could be assessed by enthalpy minimization, but such calculation
is not trivial and the calculation can easily stuck in a local minima because the objective
function is changing while the simulation box dimensions change.

#### 218 4. Thermal properties

During its lifetime, concrete undergoes temperature changes. The thermal expansion 219 and contraction of concrete as temperature increases and decreases, is influenced by the 220 aggregate type, the cement type, and the water/cement ratio. Although the aggregate 221 type has the larger influence on the expansion and contraction of concrete, the thermal 222 properties of hydrated and dry cement is of great interest. Thermal cracking of concrete 223 generally occurs during the first days after casting. During the exothermic hydration of 224 cement, the temperature rises, and drops faster on the surface than in the bulk. The 225 surface tends to contract with the cooling and stress arises because the bulk remains hot, 226 resulting in cracks. Naturally, this phenomenon occurs more likely in larger volumes. 227 The assessment of vibrational spectra and specific heat of cement phase is a first step 228 toward a microstructural modelling and further understanding of heat propagation in 229 the cement paste. 230

### 231 4.1. Methods

In the canonical ensemble, one can derive the fluctuation relationship between specific heat and internal energy E:

$$C_V = \frac{1}{kT^2} \left\langle (\Delta E)^2 \right\rangle \tag{8}$$

where k is the Boltzmann constant. By using fluctuation methods, the specific heat can be computed at any temperature with a single, long enough run. However, these methods rely strongly on the temperature relaxation parameter used to thermostat the system (and in the case of the NpT ensemble, on the pressure relaxation parameter) 237 [48]. Moreover values obtained by fluctuation method depends on the time interval used 238 for block averages [48], often leads to large uncertainties and to bad agreements with 239 experimental results [49]. For this reason, non-fluctuation, or direct method, is preferred. 240 It consists on running several simulations at finite temperature and calculating the time 241 average energies for each one. The specific heat is calculated by definition, as the slope 242 of the internal energy with respect to the temperature, at the desired temperature. The 243 chosen temperature increment must be large enough to compute accurately the variation 244 of energy between each simulation, but small enough, for the fitting to be representative. 245

The specific heat capacity can also be computed from the velocity autocorrelation  $^{246}$  function (VACF) of atoms. Considering a solid made by quantum harmonic oscillator,  $^{247}$  the phonon density of states  $g(\omega)$  is proportional to the Fourier transform of the velocity  $^{248}$  autocorrelation function of the atoms:  $^{249}$ 

$$g(\omega) = \frac{1}{3NkT} \int_{-\infty}^{+\infty} \sum_{i=1}^{N} \langle \mathbf{v}_i(t) \cdot \mathbf{v}_i(0) \rangle e^{i\omega t} \mathrm{d}t$$
(9)

where k is the Boltzmann constant, N is the number of atoms and T is the temperature of the system. The occupational states of phonons follows a Bose-Einstein distribution  $f_{BE}$  and at energy largely below the Debye temperature, the internal energy of the system can be reduced to the vibrational energy  $E_v$  [50, 51]: 253

$$E_v = \int_0^{+\infty} \hbar \omega \left( g(\omega) f_{BE}(\omega) + \frac{1}{2} \right) \mathrm{d}\omega \tag{10}$$

The specific heat  $c_v$  in 3Nk units is calculated as the partial derivative of internal energy with respect to temperature: 255

$$c_v = \frac{\int_0^{+\infty} \frac{u^2 e^u}{(1 - e^u)^2} g(\omega) \mathrm{d}\omega}{\int_0^{+\infty} g(\omega) \mathrm{d}\omega}$$
(11)

where  $u = \hbar \omega / kT$ . One has to note that the phonon spectrum computed this way is semi-classical and is different from the quantum phonon spectrum [52]. Although the Eq. (9) includes quantum effects, the density of states is obtained by classical MD. We consider that this method is applicable at standard temperature and that anharmonic interactions are negligible.

Most of the time, experimental measurement of specific heat capacities is performed the constant pressure. From thermodynamics, the specific heat capacities at constant the volume and pressure  $c_v$  and  $c_p$ , are related by the equation: 263

$$c_p - c_v = T \frac{\alpha^2}{\rho\beta} \tag{12}$$

where  $\rho$  is the density,  $\alpha = (1/V)(\partial V/\partial T)_p$  is the thermal expansion coefficient, and  $\beta = -(1/V)(\partial V/\partial P)_T$  is the compressibility, inverse of the bulk modulus K. 265

Specific heat and thermal expansion coefficient were computed on three replicas of  $_{266}$  C<sub>3</sub>S supercells. The simulations were performed in the NpT ensemble with the same  $_{267}$ 

MD parameters as previously. The systems were relaxed during  $0.5 \,\mathrm{ns}$ , and the data 268 were collected for 1 ns. Within the direct method, the specific heat  $c_p$  was computed by 269 linear fitting of the enthalpy with respect to the temperature at five points around the 270 temperature of interest (e.g., 280, 290, 300, 310 and 320 K to compute the specific heat 271 at 300 K). The same method was employed to calculate the expansion coefficient, fitting 272 the volume variation with respect to the temperature. To avoid the external influence of 273 thermostating or barostating, the relaxed systems were equilibrated for 500 ps in the NVE 274 ensemble, before running simulation of 100 ps, dumping the trajectory at each time step 275 to be able to observe high vibrational frequencies. For the calculation using the ClayFF, 276 a geometric mixing rule for LJ parameters was used in place of the original arithmetic 277 mixing. Indeed, this mixing rule provides more accurate value of density obtained during 278 NpT simulations. The VACF were computed on ten correlation windows of 10 ps on three 279 replica for each polymorph. 280

	Temperature (K)	$\alpha ~({\rm K}^{-1})$	$\beta$ (Pa <sup>-1</sup> )	$\rho~({\rm gcm^{-3}})$	$c_p - c_v \left(\mathrm{J}\mathrm{g}^{-1}\mathrm{K}\right)$
$M_1$	200	$4.6(18) \times 10^{-5}$	$9.9(2) \times 10^{-12}$	3.147(5)	0.013(14)
	300	$4.4(15) \times 10^{-5}$	$9.7(3) \times 10^{-12}$	3.160(4)	0.019(13)
	400	$5.0(23) \times 10^{-5}$	$10.0(2) \times 10^{-12}$	3.147(6)	0.032(30)
$M_3$	200	$3.6(14) \times 10^{-5}$	$9.7(2) \times 10^{-12}$	3.139(5)	0.009(8)
	300	$4.0(16) \times 10^{-5}$	$9.7(3) \times 10^{-12}$	3.151(4)	0.016(13)
	400	$4.8(15) \times 10^{-5}$	$9.5(2) \times 10^{-12}$	3.128(6)	0.031(21)

281 4.2. Results and discussion

Table 3: Thermal properties of  $M_1$  and  $M_3 C_3 S$ .

The phonon density of states (DOS) obtained from simulations in the NVE ensemble 282 are presented in Fig. 5. The phonon DOS obtained for  $M_1$  and  $M_3$  are almost identical, 283 so as the resulting specific heat. Thus the structural difference between both polymorph 284 does not influence their thermal properties. However, the force field does affect the re-285 sults. The main difference between the phonon DOS obtained with IFF and ClayFF 286 relies principally in their description of bonds in silicate. In the case of IFF, where Si-O 287 bonds are described by harmonic oscillators in addition to the short and long range de-288 scription, the partial DOS (PDOS) of Os and Si atoms form a sharp peak near  $935 \,\mathrm{cm}^{-1}$ , 289 in agreement with infrared spectroscopy measurements [53], while the in-plane bending 290 vibration of Os-Si-Os angle is measured as a band bellow  $500 \,\mathrm{cm}^{-1}$  [53]. This bending 291 contribution happens at larger frequencies in our results (near  $550 \,\mathrm{cm}^{-1}$ ). Wave num-292 bers  $\omega$  bellow 500 cm<sup>-1</sup> are associated to stretching between calcium and oxygen atoms 293 [50, 54], in agreement with the PDOS obtained with both IFF and ClayFF. For ClayFF, 294 the purely non-bonded description of Si-Os bonds allows for more degrees of freedom 295 of atoms. The PDOS obtained for Si and Os atoms are thus more sparse. Generally, 296 for the IFF, a shift of the DOS is observed towards higher vibrational frequencies. No 297 significant variation of the DOS was observed between 200, 300 and 400 K. The error on 298 the calculation of the isobaric specific heat  $c_p$  are mostly related to the  $c_p - c_v$  quantity, 299 calculated from simulations in the NpT ensemble. The values of  $c_p - c_v$  were calculated at 300

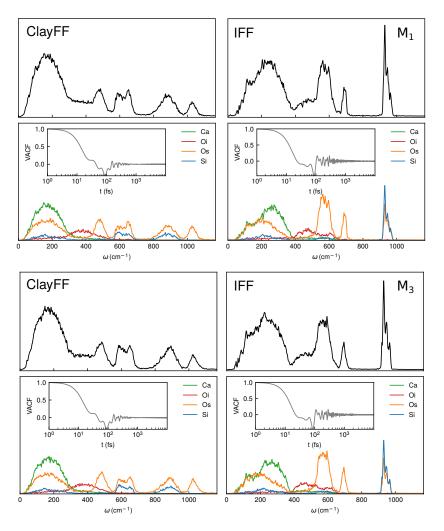


Figure 5: Total phonon density of states (top), and partial density of states with VACF in insets (bottom) of  $M_1$  and  $M_3 C_3 S$  obtained with IFF and ClayFF.

<sup>301</sup> 200, 300 and 400 K and extrapolated linearly, because this quantity vary proportionally <sup>302</sup> with temperature (see Eq. (12)). The thermal expansion coefficient  $\alpha$ , the compressibil-<sup>303</sup> ity  $\beta$ , and the density  $\rho$ , computed from simulations in the NpT ensemble are presented <sup>304</sup> in Table 3.

The specific heat  $c_p$  obtained for  $M_1$  and  $M_3 C_3 S$  are plotted with respect to the temperature in Fig. 6. The values obtained at 300 K by the direct method are  $0.86 \pm 0.10$  and  $(0.87 \pm 0.04) J g^{-1} K^{-1}$  for  $M_1$  and  $M_3 C_3 S$  respectively, which is much larger than experimental measurements. As for the phonon DOS, no significant variation of  $c_p$  was found between  $M_1$  and  $M_3$ . The VACF method with ClayFF results in  $c_p = (0.751 \pm 0.013) J g^{-1} K^{-1}$ , which is very close to experimental values of  $0.756 J g^{-1} K^{-1}$  [55] and  $0.753 J g^{-1} K^{-1}$  [56]. The IFF provided a value slightly lower than experimental measurements:  $(0.723 \pm 0.013) J g^{-1} K^{-1}$ .

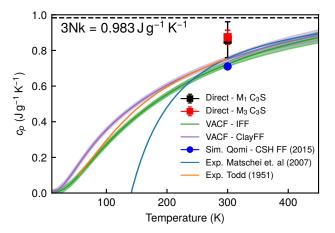


Figure 6: Specific heat of  $M_1$  and  $M_3 C_3 S$  obtained by the direct and VACF method, plotted as function of the temperature. The transparent areas represent the error. Previously computed value from VACF calculation, as well as fitting of experimental measurements [55] and direct measurements [56] are plotted in addition to the results.

# 313 5. Cleavage energies and equilibrium shapes

In the current section, the cleavage energies of  $M_1$  and  $M_3 C_3 S$  were calculated from energy difference of cleaved and unified slabs. From these energies, the crystal shapes of both polymorphs were constructed using the Wulff construction method [57].

#### 317 5.1. Methods

The calculation of cleavage energies for multiple planes of the two monoclinic C<sub>3</sub>S models under study involved creation of cleaved and unified systems. For non-symmetric planes, reorganization of surface ions was performed to minimize superficial dipole moments. For each plane, five unified and cleaved systems were constructed with random distribution of surface species. A 10 nm vacuum was employed in cleaved systems. Series of steep temperature gradients were applied on ions within the uppermost and lowermost

	$M_1 C_3 S$
Miller index	Cleavage energy $(J m^{-2})$
(100)	$1.04\pm0.04$
(010)	$1.41 \pm 0.04$
(040)	$1.76\pm0.03$
(003)	$1.20\pm0.03$
(008)	$1.20\pm0.04$
(110)	$1.45\pm0.04$
(101)	$1.51\pm0.03$
(011)	$1.17 \pm 0.04$
(111)	$1.43 \pm 0.03$
	$M_3 C_3 S$
	M <sub>3</sub> C <sub>3</sub> 5
Miller index	$\frac{M_3 C_{35}}{\text{Cleavage energy } (J \text{ m}^{-2})}$
Miller index (100)	
	Cleavage energy $(J m^{-2})$
(100)	Cleavage energy $(J m^{-2})$ $1.39 \pm 0.03$
$(100) \\ (300)$	Cleavage energy $(J m^{-2})$ $1.39 \pm 0.03$ $1.14 \pm 0.03$
	Cleavage energy $(J \text{ m}^{-2})$ $1.39 \pm 0.03$ $1.14 \pm 0.03$ $1.17 \pm 0.03$
	Cleavage energy $(J m^{-2})$ $1.39 \pm 0.03$ $1.14 \pm 0.03$ $1.17 \pm 0.03$ $1.55 \pm 0.04$
	Cleavage energy $(J m^{-2})$ $1.39 \pm 0.03$ $1.14 \pm 0.03$ $1.17 \pm 0.03$ $1.55 \pm 0.04$ $1.31 \pm 0.04$
$\begin{array}{c} \hline (100) \\ (300) \\ (800) \\ (010) \\ (040) \\ (001) \\ (002) \\ (003) \\ \end{array}$	Cleavage energy $(J m^{-2})$ $1.39 \pm 0.03$ $1.14 \pm 0.03$ $1.17 \pm 0.03$ $1.55 \pm 0.04$ $1.31 \pm 0.04$ $1.38 \pm 0.04$
$\begin{array}{c} \hline (100) \\ (300) \\ (800) \\ (010) \\ (040) \\ (001) \\ (002) \\ (003) \\ (00\overline{3}) \\ \end{array}$	Cleavage energy $(J m^{-2})$ 1.39 ± 0.03 1.14 ± 0.03 1.17 ± 0.03 1.55 ± 0.04 1.31 ± 0.04 1.38 ± 0.04 1.45 ± 0.04
$\begin{array}{c} \hline (100) \\ (300) \\ (800) \\ (010) \\ (040) \\ (001) \\ (002) \\ (003) \\ \end{array}$	Cleavage energy $(J m^{-2})$ $1.39 \pm 0.03$ $1.14 \pm 0.03$ $1.17 \pm 0.03$ $1.55 \pm 0.04$ $1.31 \pm 0.04$ $1.38 \pm 0.04$ $1.45 \pm 0.04$ $1.31 \pm 0.04$

Table 4: Cleavage energies of  $M_1$  and  $M_3 C_3 S$ . Only the lowest energy plane is given in the (100) direction for  $M_1$ . Results for  $M_3 C_3 S$  are from our previous study [58].

atomic layer of slabs in unified and cleaved systems. This method was previously employed and allows to relax the surfaces to the configuration of lower energy [13, 59]. The systems with lower energies were selected to performed the calculation over 300 ps runs, after 200 ps equilibration runs. For more details on the method, we refer the reader to ref. [58] 328

# 5.2. Results and discussion

The cleavage energy was computed classically from Eq. (13) and results are given in Table 4.

$$E_{cleav} = \frac{E_{cleaved} - E_{unified}}{2A} \tag{13}$$

The computed values are in the range of 1.14 to  $1.55 \,\mathrm{J\,m^{-2}}$  ( $1.32 \,\mathrm{J\,m^{-2}}$  in average) for  $_{332}$  M<sub>3</sub> C<sub>3</sub>S, and 1.04 to  $1.76 \,\mathrm{J\,m^{-2}}$  ( $1.35 \,\mathrm{J\,m^{-2}}$  in average) for M<sub>1</sub> C<sub>3</sub>S, in good agreement  $_{333}$  with previous atomistic simulation studies [19, 60]. In general, the average values are very close between the two models. The energies obtained for particular planes vary  $_{335}$  as a function of the structure of each polymorph, and are particularly influenced by  $_{336}$ 

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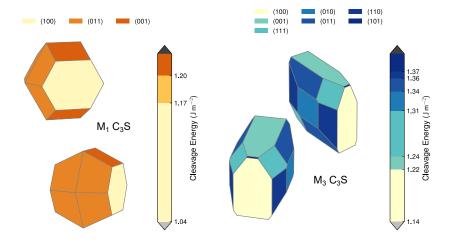


Figure 7: Equilibrium shapes of  $M_1$  and  $M_3 C_3 S$ 

coordination between calcium cations and oxygen atoms in silicates. The (100) direction of the  $M_1$  polymorph corresponds to the (001) direction of the  $M_3$  polymorph, and viceversa. For  $M_1 C_3 S$ , the present calculation indicates that the lowest energy plane is in the (100) direction, at 2 Å from the origin. The (100) direction of the  $M_1$  polymorph has many possible cleavage planes and the plane for which the lowest energy was computed has no equivalent in the  $M_3$  polymorph.

The Wulff construction can give theoretical insights on the shape of a crystal at equi-343 librium [57]. It is based on the assumption that a crystal growth in a such way that the 344 Gibbs free energy of its surface is minimized [61]. This results in a proportional rela-345 tionship between the surface energy of a facet and its distance from the crystal center. 346 From the lowest energy obtained in each direction, the equilibrium shapes of  $M_1$  and 347  $M_3 C_3 S$  in Fig. 7 were created with the construction algorithm implemented in the py-348 matgen library [15, 62]. In the M<sub>1</sub> polymorph, the crystal grows only along three planes, 349 while in the  $M_3$ , seven planes are available. Maki related that the equilibrium form of 350 alite is usually made up of three special forms: one pedion and two rhombohedra [63]. 351 The author proposed a morphology similar to the  $M_1$  obtained by Wulff construction, 352 though more flat and with only one rhombohedra form. Maki explains that the crystal 353 form of alite changes during recrystallization from platelet to massive granules with well 354 developed pyramidal faces  $(10\overline{1}1)$  and  $(1\overline{1}02)$  [64]. The ratio between the width and the 355 length of the platelet is function of the environment during the growth. One should note 356 that the obtained equilibrium shapes are not fully definitive, since they were determined 357 on a relatively finite number of planes. More calculations would probably refine these 358 shapes, and produce a more accurate prediction. 359

#### 360 6. Conclusion

This research aimed to provide knowledge at the atomic scale on the influence of alite polymorphism on its mechanical and thermal properties as well as on its equilibrium shape. The two main polymorphs of  $C_3S$  in industrial OPC,  $M_1$  and  $M_3$ , were investigated. This knowledge may contribute to the understanding of the influence of alite 364 polymorphism on the variation in strength of Portland cement. This work also provide 365 input data that are necessary in microscale modelling of Portland cement hydration and 366 the development of its mechanical and thermal behavior [65, 66]. Cleavage energy values 367 may improve our understanding of alite reactivity and dissolution kinetic, and crystal 368 shapes could help for identification of polymorphs by SEM. In addition, this study ex-369 plored and discussed different calculation methods and compared the performance of two 370 force fields widely used to described cementitious systems. 371

The elastic constants were calculated by static and dynamical methods. The moduli 372 found by Voigt-Reuss-Hill homogenization of the stiffness constants obtained in static 373 calculation with IFF were found in good agreement with experimental measurements. 374 However, the results obtained with ClayFF overestimated and underestimated experi-375 mental results, respectively. An isotropic distribution of elastic moduli in space was ob-376 served for the  $M_1$  polymorph, whereas an anisotropic distribution was found for  $M_3$ . The 377 assessment of stress-strain curves for both polymorphs also indicates a more anisotropic 378 behaviour for  $M_3 C_3 S$  regarding the yield compressive stress. Bulk modulus was ob-379 tained by EMD, and elastic, shear modulus, as well as Poisson's ratio were calculated 380 by NEMD. The results are in good agreement with static calculations and experimental 381 measurements. 382

Specific heat capacities were calculated by the direct method and from VACF. The 383 direct method provides results greater than experimental measurements, and with much 384 larger error than the VACF method. On the other hand, the VACF allowed to analyse the 385 phonon density of states and provide results much more accurate. The results obtained 386 with ClayFF are very close to previous experimental measurements, and results from 387 IFF are slightly smaller. The DOS obtained from VACF are in good agreement with 388 infrared spectroscopy measurements, and the differences between IFF and ClayFF arise 389 mainly from the bond description in silicates. 390

Cleavage energy calculations were performed on both  $M_1$  and  $M_3 C_3 S$  polymorphs, 391 using a temperature gradient method to relax superficial ions to configurations of lower 392 energy. These calculations allowed the construction of equilibrium shapes which are 393 significantly different. The  $M_1$  crystal possess three facets against seven for the  $M_3$ 394 polymorph. The energies obtained for both polymorphs are in the same range. Despite 395 different morphologies, low index crystal planes with the lowest energies are the (100) and 396 (001) for both polymorphs. The cleavage energies were calculated for a relatively limited 397 number of planes, and further calculation could lead to even more accurate shapes. 398

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