

## Charge-Transfer versus Charge-Separated Triplet Excited States of [Re I (dmp)(CO) 3 (His124)(Trp122)] + in Water and in Modified Pseudomonas aeruginosa Azurin Protein

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# Charge transfer vs. charge separated triplet excited states of [Re<sup>I</sup>(dmp)(CO)<sub>3</sub>(His124)(Trp122)] in water and in modified pseudomonas aeruginosa azurin protein.

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Abstract: We present a computational investigation of the triplet excited states of a Rhenium complex electronically coupled with a tryptophan side chain and bound to an azurin protein. In particular, using high-level molecular modelling, we evidence how the electronic properties of the excited state manifolds strongly depend on the coupling with the environment. Indeed, only when explicitly taking into account the protein environment, two stable triplet states of metal-to-ligand charge transfer or charge separated nature can be recovered. Their interconversion gives rise to a ns time scale electron-transfer process observed experimentally. In addition, we also demonstrate how the Re complex plus tryptophan system in a water environment, experiences a too high flexibility preventing the two chromophores to be electronically coupled. This occurrence disables the formation of a charge-separated state, rationalizing the experimental observation. Our successful strategy requires a multiscale approach combining molecular dynamics and quantum chemistry. In this context, we also present the strategy used to parameterize the force fields for the electronic triplet states of the metal complex.

#### Introduction

The excited states properties of isolated metal complexes are the subject of numerous computational investigations based on electronic structure theory and quantum dynamics for the interpretation of their fascinating behavior [1–6]. However only a few pioneering studies have been devoted to the effects of the molecular surroundings on these properties [7–11]. On the other hand, since metal complexes properties are most often deployed in complex environments, including solid state, organized materials, and biosystems, their rationalization is of crucial importance.

Most of the time, solvent effects are modeled implicitly by a simple continuum polarizable medium around a cavity, as the

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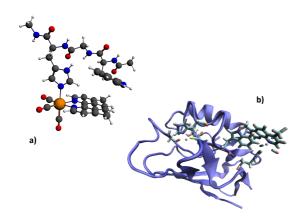
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Polarizable Continuum Model (PCM) [12–15] or the Conductor-like Screening Model (COSMO) [16–18]. Even if undoubtedly successful, this approach suffers from severe drawbacks, in particular lacking the flexibility to describe specific effects such as hydrogen bonds that may tune specific photophysical behaviours. Furthermore, the electronic density reorganization leading to charge separation between the metal complex and its biological environment[19–21] is entirely neglected. Those factors can lead to an erroneous description of the excited states' electronic densities and consequently to an incorrect interpretation of specific photophysical or photochemical features. As a paradigmatic example, one can cite the study of the emission properties and absorption spectra of organometallic Ru(II) DNA intercalators [7,22,23] or of a Re(I) complex probe for DNA-mediated charge transport [10].

In particular, charge separated (CS) excited states are of paramount importance in photo-induced electron transfer processes initiated by visible light absorption for transition metal complexes possessing low-lying metal-to-ligand-charge-transfer (MLCT) excited states. This has been firmly established by the study of mutants of pseudomonas aeruginosa azurin redox protein [19-21,24], sensitized with UV-visible light absorbing Ruor Re-complexes, able to ultimately lead to coherent photoinduced charge transfer to the native copper center over more than 30 Å. The charge transfer process is supposed to be initiated by the population of MLCT states localized on the metal complex, followed by charge-separation with the formation of a CS state between the metal complex and a coupled, close-by, tryptophan whose evolution will ultimately lead to a chargetransfer to the copper center. Indeed, time-resolved experiments have put in evidence the formation of a <sup>3</sup>CS in the protein by two different channels, namely via a direct ultra-fast decay (< 1 ps) from metal complex chromophore,  $[Re^{I}(dmp)(CO)_{3}(His124)(Trp122)]$ (dmp phenanthroline), or via a slower process (0.5-1.5 ns) across a MLCT/3CS equilibrium. Furthermore, while the long-range charge-transfer phenomena is found to proceed efficiently when the chromophores are organized by the protein scaffold (Figure 1a), a model system composed of the metal complex covalently bound to the tryptophan residue in water solution (Figure 1b) does not show any evidence of this CS state formation.

This puzzling kinetics calls for a theoretical interpretation, including environment effects, of the elementary steps that lead to  ${}^3\text{CS}$ , key state for the two-step electron tunnelling in Re(I)-modified azurin [19]. For this purpose it is necessary to develop new methods and specific Force Fields (FF) able to model the lowest triplet states of the Re(I) complex in various environments and to characterize the presence of both  ${}^3\text{MLCT}$  and  ${}^3\text{CS}$  in the protein.



**Figure 1.** a) Optimized structure of  $[Re^l(dmp)(CO)_3(His124)(Trp122)]$  model system in water solution and b) the structure of the functionalized azurin protein, with the Re (right) and Cu (left) complexes evidenced in licorice representation.

In the present contribution we resort to an extended molecular modelling and simulation of the behaviour [Re<sup>1</sup>(dmp)(CO)<sub>3</sub>(His124)(Trp122)] both in water and embedded in the azurin protein. In particular, we will consider the environment effects on the properties of singlet and triplet excited states ensembles, proving the crucial role of the protein environment in providing a stable CS state exploiting hybrid quantum mechanics/molecular mechanics (QM/MM) frameworks [25]. In addition, we also investigated the role of the environment in shaping the conformational space of both the ground and the triplet states manifold by using classical molecular dynamics (MD). To this end we also report the explicit parameterization of force fields describing not only the metal complex ground state but also the behavior of the different triplet states.

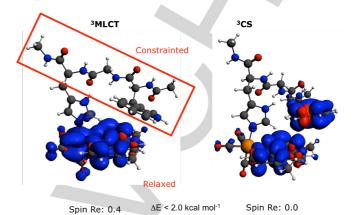
The objective of this work is on one side to characterize the <sup>3</sup>MLCT/<sup>3</sup>CS states observed experimentally in the protein, and on the other side to rationalize the lack of charge transfer observed for the model system in water solution. Our results will also constitute the basis for further studies modelling the kinetic of the photoinduced charge transfer process by means of either biased QM/MM molecular dynamics or non-adiabatic molecular dynamics techniques. At the same time, our contribution will allow a better comprehension of the subtle and complex equilibrium involving dynamic and electronic effects in environmentally driven photo-excited phenomena experienced by organometallic compounds.

#### **Results and Discussion**

Electronic structure of [Re<sup>I</sup>(dmp)(CO)<sub>3</sub>(His124)(Trp122)] in water

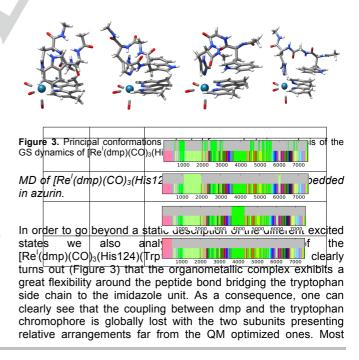
The optimized structure of the singlet ground state of [Re<sup>I</sup>(dmp)(CO)<sub>3</sub>(His124)(Trp122)] in water is depicted in Figure 1a, whereas the optimized structures of the low-lying triplet excited states <sup>3</sup>MLCT and <sup>3</sup>CS are represented in Figure 2 together with their spin densities. Without geometrical constraints the <sup>3</sup>MLCT optimization converges to the <sup>3</sup>CS. It is worth noting that only when using different functionals, namely PBE0 [26] for the <sup>3</sup>CT state and M06 [27] for the <sup>3</sup>CS state with

PCM-H<sub>2</sub>O solvent model, the two structures have been obtained without any constraint by Blanco-Rodriguez et al. [19]. This illustrates the limit of a static approach when investigating the structural properties of excited states in transition metal complexes with flexible ligands.



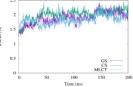
**Figure 2.** Spin densities of  $^3$ MLCT (left) and  $^3$ CS (right) excited states optimized structures of [Re $^1$ (dmp)(CO) $_3$ (His124)(Trp122)] in water (COSMO model).

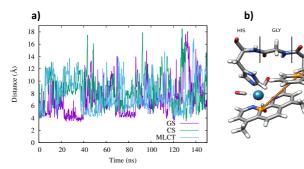
The low-energy absorption spectrum is characterized by six CS states, namely three singlets of modest oscillator strengths (~ 10<sup>-3</sup>) calculated at 2.80, 3.0 and 3.36 eV and three triplet calculated at 2.79, 2.98 and 3.36 eV. Two <sup>1</sup>MLCT states absorb at 3.26 eV and 3.43 eV with oscillator strengths of 8.0 10<sup>-3</sup> and 3.0 10<sup>-2</sup>, respectively. Low-lying <sup>3</sup>MLCT range between 3.04 and 3.22 eV. The complete electronic structure data including frontier molecular orbitals and one-electron contributions of the lowest excited states is collected in Table S1 of SI. The experimental evidence of the appearance of a <sup>3</sup>CS state in less than 1 ps [19] could be explained by an ultrafast decay from the <sup>1</sup>MLCT absorbing states of [Re<sup>1</sup>(dmp)(CO)<sub>3</sub>(His124)(Trp122)] to the lowest <sup>3</sup>CS state calculated at 2.79 eV.



notably, the same coupling loss between the chromophores is also evident in the case of both <sup>3</sup>MLCT and <sup>3</sup>CS state, as can be inferred from the tryptophan-dmp distance reported in Figure 4 for the three electronic states, with a distance between dmp and

the tryptophan moiety reaching up to 1 oscillating behavior is observed, with conformations populated for very limited the MD simulation.





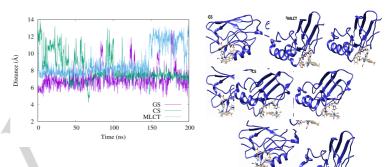
**Figure 4.** a) Time evolution of the dmp-tryptophan distance over the MDs of the complex in water solution; b) Explicit definition of the atoms used to calculate the distances (orange).

The important flexibility and the consequent loss of the stacking between dmp and tryptophan  $\pi$ -conjugated systems bring however important photophysical consequences. Indeed, the absence of a favorable coupling can certainly lead to the hampering of the electron-transfer process that has been observed in the protein. Indeed, in a Marcus like picture non-stacked chromophores can be related to both an increase of the kinetic activation energy required and to a decrease in the reorganization energy, all in all leading to a drop on the transfer kinetic constant. Hence, the absence of an organized structure in this model system easily explains that electron-transfer is not observed experimentally.

The picture is instead greatly different when the conformation space of the chromophore is constrained by the protein environment. Indeed, in this case (Figure 5) the dmp-tryptophan distance is relatively constant all along the MD simulation for the GS, showing only very limited and punctual oscillations and peaking at around 7 Å. Similarly, a more constrained evolution compared to the water solution case, is observed for the two triplet states for which the distance between the two conjugated rings stays relatively short, even if an increase can be observed for <sup>3</sup>MLCT after 150 ns of simulation. Note that the <sup>3</sup>CS state necessitates a longer time to equilibrate and experiences a general much higher flexibility.

A deeper insight on the structural properties of the excited states can be seen from the dominant configurations obtained from a clustering analysis on the three states, as reported in Figure 5. Indeed, in the case of the GS one can observe an almost ideal stacking of the dmp and thryptophan side chain that can also be easily correlated to the very marginal oscillation of the distance distribution. The behavior of the triplet states is however less intuitive and presents some unexpected characteristic worth of analysis. Indeed, in the case of the <sup>3</sup>MLCT state, one can observe a rotation of the peptide bond bridging the tryptophan and its displacement towards the (CO)<sub>3</sub> area, hence partially

disrupting the dmp-tryptophan  $\pi$ -stacking arrangement but maintain a dmp-tryptophan proximity (ca.~8 Å in the first 150 ns of simulation). This feature can be understood in terms of the electronic density reorganization in the triplet state leading to a positive charge accumulation in the carbonyl region and hence to a favorable electrostatic interaction with dmp. On the other hand, in the case of the  $^3$ CS state the positively and negatively charged dmp and tryptophan strongly interact together, with dominant electrostatic interaction over dispersion and leading to a partial loss of the  $\pi$ -stacking conformation. Also in this case, dmp and tryptophan side chain remain close, with a distance between 7 and 8 Å when a stable conformation is found (mainly after 100 ns).



**Figure 5.** Time evolution of the dmp-tryptophan distance in the azuring and three representative conformations of the GS,  $^3$ MLCT, and  $^3$ CS { [Re $^1$ (dmp)(CO) $_3$ (His124)(Trp122)] as extracted from the respective MD trajectory.

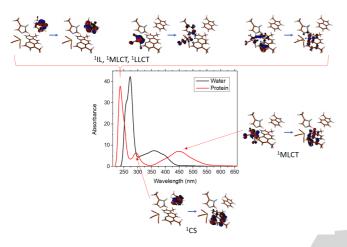
However, even if the stacking is partially loss in the excited triplet state manifold, the role of the protein environment in constraining the conformation and Metolose proximity of the intermediate in the protein environment in the prot

ep increase in the at the end of the attendance, appears as everestimated. Indeed, in the photophysical process the system will most likely span regions of space closer to the GS conformation, i.e. evolve in a relatively well stacked environment.

Characterization of the low-lying <sup>3</sup>MLCT and <sup>3</sup>CS excited states of [Re<sup>1</sup>(dmp)(CO)<sub>3</sub>(His124)(Trp122)] in water and in the protein

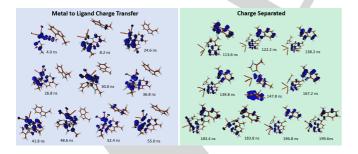
The absorption spectrum in the protein environments was calculated at QM/MM level and are presented in Figure 6 also compared with the one obtained in water. An analysis of the convergence of the simulated absorption spectrum with the number of snapshots is presented in Supplementary Information (Figure S1). One can immediately note that its main characteristic, such as the low lying ¹MLCT band, describing a transition from Re(CO)₃ to dmp, is present when considering both environments. Nevertheless, a red shift of ca. 90 nm is observed from water (360 nm) to protein (450 nm), most probably due to the modification in the electrostatic surroundings. In any case, excitation to the ¹MLCT is also confirmed by the application of 400 nm laser-pulses in previous experiments [20], followed by intersystem crossing to populate the relevant ³MLCT state

At higher energies (ca. 290 nm) a second peak is found in the protein, corresponding to a <sup>1</sup>CS state and thus involving tryptophan side chain and dmp. This transition can be found also in water (see Table S1 in Supporting Information) but is mainly hided by the largely unstructured shape of the low lying <sup>1</sup>MLCT band, suggesting once more that the high flexibility shown by the complex in water hampers the possibility to correctly describe the real system. At even higher energies the most intense peak is found to be due to the sum of different types of transition, mainly MLCT to the histidine side chain, inter-ligand (IL) and partially ligand-to-ligand charge transfer (LLCT), as expected for such complexes in the UVB region.



**Figure 6.** Calculated absorption spectrum as a Gaussian convolution (FWHM = 0.2 eV) from 100 snapshots along the GS dynamics, considering both water (black) and protein (red) environments. Moreover, occupied (left) and virtual (right) natural transition orbitals are shown, for each peak of the protein spectrum.

On the other hand, the situation is significantly different when considering the behavior and in particular the spin density distribution of the MLCT and CS triplet states. Indeed, it should be recalled that in the case of the isolated system a geometrical constraint is needed to avoid the collapse of the <sup>3</sup>MLCT state on the <sup>3</sup>CS during the geometry optimization. This behavior was rather puzzling since the timescale reported from the pump probe experiments definitively points to the existence of stable <sup>3</sup>MLCT and <sup>3</sup>CS minima whose interconversion happens in the ns regime.



**Figure 7.** QM/MM spin densities obtained for the <sup>3</sup>MLCT and <sup>3</sup>CS state, respectively, after QM/MM optimization of selected MD snapshots, when including the whole azurin protein as environment.

As reported in the Supplementary Information, we note that for both trajectories QM/MM single-point calculations of selected snapshots are able to retrieve the correct electronic nature and in particular the spin distribution of the two states (Figure S2). Hence, in the case of the <sup>3</sup>MLCT the unpaired electrons are concentrated on the Re(CO)<sub>3</sub> and on the dmp unit, while for <sup>3</sup>CS the spin density peaks on the tryptophan and on dmp. Most importantly, as reported in Figure 7, such spin density pattern is also maintained upon geometry optimization. As a consequence, we may conclude that the environmental effects provided by the protein, either electrostatic or via geometric constraints, allows for the stabilization of the <sup>3</sup>MLCT state and the formation of a relative minimum that may be correlated to the metastable specie observed experimentally with a lifetime in the nanosecond domain. From a more methodological point of view, those results are also confirming the soundness of our strategy of FF parameterization, allowing the description of the time evolution of the triplet excited states of organometallic compounds.

#### **Conclusions**

We have performed a truthful multiscale characterization of the and excited state behaviors [Re<sup>1</sup>(dmp)(CO)<sub>3</sub>(His124)(Trp122)] both solution and embedded in an azurin protein. In particular, we exploited a combination of classic MD to sample the conformational space, with high-level quantum chemistry to obtain the relevant electronic structure properties. On this context we also present a strategy allowing the parameterization of force field for electronic excited triplet states in particular taking into account the different charge distribution.

We have evidenced that, differently from the situation in the GS environment, the of [Re<sup>I</sup>(dmp)(CO)<sub>3</sub>(His124)(Trp122)] exhibits a high flexibility, inducing an important distance between dmp and tryptophan units. Direct consequence of this flexibility is the strong decrease in the coupling between the chromophores, and hence in the hampering of the charge transfer state mechanisms. This explains why, in the case of the solvated model system, timeresolved spectroscopy has not evidenced any charge-transfer process. This situation is totally reversed when the system is embedded in a protein matrix. Indeed in this case the coupling between the two units is globally maintained, allowing for the transfer to take place. More interestingly performing QM/MM calculations on top of snapshots extracted from classic MD describing the 3MLCT and 3CS states, respectively, we have shown that the two states represent local minima in the potential energy surface and may hence give rise to metastable species. This aspect is in contrast with the situation observed for the isolated model system in which QM optimization was leading to the collapse on the <sup>3</sup>CS state, hence not enabling to describe the <sup>3</sup>MLCT state. The existence of metastable triplet states separated by a non-negligible energy barrier is indeed necessary to explain the ns time component observed experimentally for the formation of the <sup>3</sup>CS state.

Our results provide an important rationalization of the role of the azurin protein in tuning the evolution of the excited states potential and therefore the photophysical properties of organometallic processes. On the other hand, this work constitutes a fundamental preliminary step allowing the correct sampling of the different potential energy transfer involved in the

global process. In the future we will provide the full characterization of the experimentally observed photo-process including, on one side, the use of non-adiabatic molecular-dynamics to unravel the short time-scale and, on the other side, the performance of biased QM/MM dynamics to explore the free energy potential related to the slower <sup>3</sup>MLCT/<sup>3</sup>CS interconversion.

#### **Computational Methodology**

Electronic structure of solvated [Re<sup>I</sup>(dmp)(CO)<sub>3</sub>(His124)(Trp122)]

Quantum Chemistry ground state calculations were performed by means of density functional theory (DFT) including solvent corrections based on COSMO [16–18] with dielectric constant  $\epsilon$  = 78.39 for water. The structure of [Re $^I$ (dmp)(CO) $_3$ (His124)(Trp122)] (Figure 1a) in its electronic singlet ground state and lowest triplet excited states,  $^3$ MLCT and  $^3$ CS, has been optimized with DFT and uDFT, respectively. In all cases the initial nuclear coordinates were extracted from the X-ray crystal structure reported in ref [24]. Convergence of the  $^3$ MLCT state has been achieved under geometrical constraint, namely by freezing partly the structure of the (His124)(Trp122) fragment in order to avoid convergence to the  $^3$ CS state. The calculations were performed using the B3LYP functional [28], including Grimme D3 dispersion corrections [29], and an all-electron triple- $\xi$  basis set [30]. The scalar relativistic effects were taken into account within the zeroth-order regular approximation (ZORA) [31]. The low-lying excited states have been computed by means of time-dependent DFT (TD-DFT) using the B3LYP functional. These calculations were performed with the ADF2013 code [32,33].

FF parameterizations

**Table 1.** Charges of the molecular fragments used to parameterize the FF for the different electronic states..

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State	Re(CO) <sub>3</sub>	dmp	Trp	Cu
GS	+1	0	0	+1
<sup>3</sup> MLCT	+2	-1	0	+1
<sup>3</sup> MC	+1	-1	+1	+1

The starting geometries used for the development of new FF parameters were the equilibrium structures of GS, MLCT and CS states obtained after QM optimization on the model system made up of the rhenium complex, the tryptophan side chain and a bridging glycine residue. The dangling C=O and N-H termini groups were capped by their respective terminal amide groups. Atomic point charges of the model system have been computed through a fit of the QM electrostatic potential, based on the conventional restrained electrostatic potential (RESP) [34] procedure at the DFT level of theory. Especially, the B3LYP functional was employed together with the 6-31G\* basis set for O, N, C and H atoms, and the LANL2DZ basis set for the rhenium atom (with a van der Waals radii set to 1.6 Å). In order to match the required formal charges for each residue in each case, a fragment approach was used. In particular for <sup>3</sup>MLCT, in which the electron density is drawn from the metal to the dmp ligand, charges were obtained considering a -1 charged dmp moiety, while a +1 positive charge was redistributed equally to each carbonyl CO and the Re atom. This choice is justified by the fact that Re and CO ligands are strongly correlated with hole density spread over those units in both triplet and singlet MLCT states [10]. In the case of CS state instead, a positively charged tryptophan moiety was considered together with the negatively charged dmp. The following procedure was applied: i) the charges of the glycine residue as well as the lateral CH2 chain bridging the aminoacid to the rhenium complex and the tryptophan were set to the canonical point charges from the Amber force field [35]; ii) the missing charge generated by the first step is equally redistributed over each other atom to obtain an exact total charge of +1; *iii*) based on the experimentally determined charge distribution for each case (see Table 1) the atomic point charges of each subunit (rhenium, dmp or tryptophan) have been separately shifted to obtain the correct final charges corresponding to either GS, MLCT or CS state, with a global charge always equal to +1. The force field bonded and non-bonded parameters of the Rhenium complex have been taken from previous work [10], and those of the tryptophan and glycine where taken from the AmberFF14 force field for amino acids [36]. Moreover, since the point charges and FF parameters of the backbone were directly taken from the AmberFF14 force field, the inclusion of the model system (without capping groups) in the azurin protein has been done without the need of any further modification or tuning. Only a redistribution of the atomic point charges of the capping residues in the model system over all other atoms has been performed.

Furthermore, the mutant azurin is a native metalloprotein containing a copper atom bound to a cysteine residue and complexed with two histidine residues, a sulphur atom of a methionine residue and the oxygen atom of a close-by peptide bond. For this system the force field parameters have been taken from reference [37]. In this case the copper atom has been considered as bound to the cysteine with an atomic point charge set to +1.

#### MD simulations

The starting structure of each MD simulation (GS, MLCT and CS for model system and protein) was placed in a truncated octahedron containing a 10 Å buffer of water molecules as well as 1 (model system) or 2 (protein) Na+ ions to neutralize the global charge of the system. Periodic boundary conditions were employed as well as Particle Mesh Ewald for Coulomb interactions and the Shake algorithm to reduce the degrees of freedom of hydrogen atoms. Each simulation started with 4000 steps of energy minimization using the steepest descent algorithm followed by 4000 using conjugated gradient. Then a thermalization step has been performed in the NVT ensemble for 200 fs bringing the temperature from 0 to 300 K. This was followed by 400 fs of equilibration in the NPT ensemble to relax the density of the entire system and finally 200 ns of production runs (NPT ensemble) were performed. The temperature (300 K) and pressure (1 atm) were kept constant using the Monte Carlo thermostat and Langevin dynamics. All MD simulations were performed using the Amber suite of codes [38].

#### QM/MM calculations

All the QM/MM calculations have been performed on an ensemble of 100 snapshots extracted from each GS MD trajectory of the Re complex in water and including the azurin protein, while 10 snapshots were taken from the <sup>3</sup>MLCT and <sup>3</sup>CS MD trajectories. In all cases, the snapshots were chosen to represent the conformational space phase sampled by the respective MD trajectory. In all the cases the QM partition consisted of the Re atoms, the three CO units, dmp, the imidazole ring, and finally the coupled tryptophan side chain. All the other protein residues, including the bridging glycine, were treated at MM level as well as solvent molecules and the neutralizing ions. QM/MM calculations were performed at DFT level, with the unrestricted formalism in the case of the triplet states, using the B3LYP functional corrected with the D3 scheme of Grimme to include dispersion effects. A LANL2DZ basis set including pseudopotential for the Re atom was used throughout the calculation. From the GS snapshots, the absorption spectrum was calculated using TD-DFT formalism as a convolution of all the vertical transitions obtained for each snapshot, following a strategy currently used in our groups [10,39-44].

For each of the snapshots obtained from the <sup>3</sup>MLCT and <sup>3</sup>MC dynamics the lowest triplet state (T<sub>1</sub>) was calculated at DFT level and the spin density analysed to assure the stability of the electronic state. Finally a QM/MM geometry optimization of every snapshot was performed, calculating again the spin density. All the QM/MM calculations were carried out using the Terachem code [45] with its interface with Amber suite to treat the MM environment at electrostatic embedding level [46]. The Pulay's hybrid DIIS/A-DIIS algorithm [47] together with an increase threshold on the two electron integrals of 10<sup>-15</sup> was used to avoid SCF

convergence failure. Excited state nature has also been characterized in terms of natural transition orbitals (NTOs) [48,49].

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**Keywords:** Electron transfer • Metal Sensitized Proteins • Environmental Effects • QM/MM • Charge Separated States

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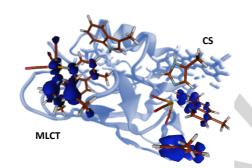


### **Entry for the Table of Contents**

Layout 1:

#### **FULL PAPER**

We present the molecular modeling and simulation of the lowest excited states of a Re sensitized azurine. We evidence the crucial role of the environment to allow the stabilization of charge separated states and hence providing a path to longrange electron transfer.



M. Marazzi, H. Gattuso, M. Fumanal, C. Daniel, and A. Monari

Page No. - Page No.

Charge transfer vs. charge separated triplet excited states of [Re<sup>I</sup>(dmp)(CO)<sub>3</sub>(His124)(Trp122)] in water and in modified pseudomonas aeruginosa azurin protein.

