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¹ Hydrophobization of Cellulose Nanocrystals for Aqueous Colloidal ² Suspensions and Gels

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15 coupling primary alkylamines of different alkyl chain lengths (6, 8, and 12 carbon atoms). The synthetic route permitted the 16 retention of surface charge, ensuring good colloidal stability of hydrophobized CNCs in aqueous suspensions. We compare surface 17 properties (surface charge, ζ potential), hydrophobicity (water contact angle, microenvironment probing using pyrene fluorescence 18 emission), and surface activity (tensiometry) of different hydrophobized CNCs and hydrophilic CNCs. Association of 19 hydrophobized CNCs driven by hydrophobic effects is confirmed by X-ray scattering (SAXS) and autofluorescent spectroscopy 20 experiments. As a result of CNC association, CNC suspensions/gels can be produced with a wide range of rheological properties 21 depending on the hydrophobic/hydrophilic balance. In particular, sol–gel transitions for hydrophobized CNCs occur at lower 22 concentrations than hydrophilic CNCs, and more robust gels are formed by hydrophobized CNCs. Our work illustrates that 23 amphiphilic CNCs can complement associative polymers as modifiers of rheological properties of water-based systems.

24 INTRODUCTION

25 Advanced functional materials and devices based on nanoma-26 terials capitalize on the unique properties of the nanoparticles 27 used as building elements.^{1,2} A vast diversity of potential 28 applications has generated demand for nanoparticles of 29 different shapes (spheres, rods, fibrils, platelets, etc.), sizes, 30 and chemical compositions. Progress in the chemical synthesis 31 of nanoparticles has been complemented by their isolation or 32 construction from materials of biological origin.^{3,4} These latter 33 approaches provide sustainable routes for nanoparticle 34 generation. In this respect, nanomaterial isolation from various 35 cellulose-rich biomass (wood, plants, algae, bacterial biomass, 36 etc.) becomes an established approach to generate highly 37 crystalline nanoparticles of high aspect ratio with diameters 38 from 5 to 100 nm and lengths from tens to several hundred 39 nanometers.^{5,6} The high crystallinity of cellulose nanomaterials 40 (CNMs) defines their exceptional strength and stiffness. Also, 41 CNM morphology, reactivity, and topochemistry provide a 42 versatile platform for advanced functional materials.

⁴³ The success in CNM utilization as building blocks largely ⁴⁴ depends on their directed or self-assembly in isolation, or in ⁴⁵ combination with other components into specific struc-⁴⁶ tures.^{7–9} Assembly of nanoparticles requires certain chemical motifs on their surface. For example, sulfated cellulose 47 nanocrystals (CNCs) form stable chiral nematic liquid 48 crystalline phases,¹⁰ while low surface charge CNCs tend to 49 assemble at the liquid—liquid interface due to the hydrophobic, 50 or hydrophilic, nature of their different crystal faces.¹¹ 51 Therefore, surface modification or functionalization is an 52 important, very often indispensable, step toward functional 53 nanomaterials. On the molecular level, CNMs contain reactive 54 hydroxyl groups, which enable changes in surface properties via 55 very diverse and well-established chemical pathways.^{12,13}

Hydrophobization of CNMs has been well-documented in 57 the literature. Various chemical routes have been employed for 58 functionalization of CNM surfaces with hydrophobic domains 59 via covalent binding. CNM hydrophobization has been 60 conducted via silvlation using alkyldimethylchlorosilanes with 61 various lengths of alkyl groups,^{14–16} esterification with acyl 62 chlorides,^{17,18} and urethanization using hydrophobic isocya- 63

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149

64 nates.^{19,20} These one-step modification methods involve water-65 sensitive reactants and, therefore, require organic solvents for 66 the reactions. Moreover, for these chemical routes there is a 67 risk of a reduction in the crystallinity of the cellulose, or even 68 obtaining soluble products if the degree of functionalization is 69 too high. Graft copolymerization has also been used for CNM 70 hydrophobization.^{21,22} Water-based hydrophobization of 71 anionic CNMs (sulfated CNCs, TEMPO-oxidized CNMs) 72 has been achieved via ionic interactions with cationic 73 surfactants containing long alkyl groups.²³⁻³⁴ This is a simple 74 approach for the hydrophobization of CNMs. However, 75 binding is reversible, and modifying surfactants can be released 76 from the CNM surface. Reductive amination is another 77 modification route for CNMs, which can be conducted in 78 aqueous media.³⁵ In this case, CNMs are activated by 79 periodate oxidation leading to partial transformation into so dialdehyde cellulose, which is followed by a reaction of the 81 formed aldehyde groups with primary amines. Unlike ionic 82 binding, reductive amination results in covalent binding of the 83 modifying agent, which can be a hydrophobic amine. For 84 example, modification with butylamine isomers using this route 85 enabled isolation of hydrophobized CNCs from Kraft pulp 86 without acid hydrolysis.^{36,37} Preactivation of CNMs can also 87 be omitted since cellulose chains contain aldehyde groups at 88 the reducing end.³⁸ CNM activation for covalent binding of 89 hydrophobic amines has been achieved by coating CNCs with 90 tannic acid as a reactive primer.³⁹ For this approach, all 91 modifications steps were also conducted in aqueous media.

92 The interest in hydrophobic derivatives of CNMs has mainly 93 been driven by the potential applications in two fields: polymer 94 nanocomposites and emulsion stabilization. The exceptional 95 strength and stiffness of CNMs have also raised great 96 expectations for the development of polymer nanocompo-97 sites.⁴⁰ However, most synthetic polymers are hydrophobic 98 materials, which results in poor wettability of CNMs and weak 99 adhesion with the polymer matrix. Thus, surface hydro-100 phobization has been considered as a means for improving the 101 compatibility between nanocomposite compo-102 nents.^{18,19,32,41-44} Emulsion stabilization by unmodified 103 CNMs has also given impetus for functionalization of CNMs 104 with hydrophobic domains for the enhancement of emulsifying 105 properties.^{11,17,28,29,31,36–38} However, the literature lacks 106 studies focused on hydrophobized CNMs in aqueous systems, 107 despite the fact that water-soluble polymers with moderate 108 contents of hydrophobic moieties are widely used as viscosity 109 modifiers in various aqueous industrial formulations such as 110 paints, pharmaceuticals, cosmetics, foods, etc.⁴⁵⁻⁴⁷ These 111 applications are based on the ability of such polymers to 112 form a transient network due to reversible association between 113 the hydrophobic groups. Recently it was demonstrated that 114 properly adjusted hydrophobization of charged CNCs resulted 115 in derivatized CNCs forming stable aqueous suspensions.^{21,48} 116 Such associative CNCs undergo sol to gel transitions in 117 aqueous suspensions at significantly lower concentrations 118 compared with their hydrophilic counterparts. Gels of 119 hydrophobized CNCs exhibited higher viscosities and stronger 120 elastic responses, which was attributed to the formation of 121 transient networks driven by hydrophobic effects. With these 122 hydrophobized CNCs, hydrophobic effects can be utilized for 123 the design of hybrid systems consisting of nanoparticles and 124 other water-soluble polymers as it was demonstrated in 125 combinations with starch, a helical polysaccharide,⁴⁸ and 126 thermally responsive hydroxypropyl methyl cellulose.⁴⁹ Thus,

hydrophobized CNMs have a potential to complement a group 127 of associative materials for their use in water-based systems. 128

This study reports the properties of aqueous colloidal 129 systems with hydrophobized CNCs, with a focus on the impact 130 of hydrophobic effects on CNC association and gelation in 131 aqueous media. A synthetic route based on reductive 132 amination was adopted for CNC hydrophobization with the 133 intention to use water-based modification leading to covalent 134 binding of hydrophobic groups of various length to the CNC 135 surface. Detailed characterization of these hydrophobized 136 CNCs in aqueous suspensions was obtained compared to the 137 parent hydrophilic CNCs by surface tensiometry, electro- 138 phoretic light scattering, fluorescence anisotropy, autofluor- 139 escent spectroscopy, small-angle X-ray scattering (SAXS), 140 oscillatory rheology, and steady-shear viscometry. We demon- 141 strate that self-association driven by hydrophobic effects 142 induces sol-gel transformation at lower concentrations of 143 hydrophobized CNCs and leads to the formation of more 144 robust gels. Thus, amphiphilic derivatives of CNCs, or other 145 CNMs, could further expand the selection of associative 146 polymers to offer more flexibility in modifications of 147 rheological properties of water-based systems. 148

EXPERIMENTAL METHODS

Chemical Modification of CNCs. The CNC surfaces were 150 modified by binding alkylamines of different chain length, hexylamine 151 (C_6-CNC_8) , octylamine (C_8-CNC_8) , and dodecylamine $(C_{12}-152)$ CNCs) according to a procedure previously described.⁴⁹ First, the 153 CNC surfaces were activated by oxidation in aqueous suspension (1.6 154 wt %) using sodium periodate (1.68 mmol of NaIO₄ per 1 g of CNC) 155 at room temperature for 48 h. After oxidation, the CNC suspension 156 was dialyzed against deionized (DI) water for 24 h using a cellulose 157 membrane with a molecular cutoff of ~14 kDa. Alkylamines (7.7 158 mmol per 1 g of CNCs) were added to the purified suspension of 159 oxidized CNCs. Reaction of oxidized CNCs with alkylamines was first 160 conducted at 45 $^\circ C$ for 3 h, and then for a further 21 h at room 161 temperature after adding NaBH₃CN (40 mM). Modified CNCs were 162 purified using centrifugation and a 2 wt % NaCl solution in an 163 isopropanol/water mixture (50/50 v/v) as a washing solvent. Finally, 164 modified CNCs were redispersed and dialyzed in and against DI 165 water. The purified modified CNC suspensions were concentrated by 166 allowing water evaporation through the dialysis membrane. To 167 evaluate noncovalent binding of alkylamines to the CNCs' surface, the 168 oxidation step was omitted, and CNC suspensions were directly 169 treated with octylamine. Reagent ratio and purification steps were the 170 same as in the preparation of covalently modified CNCs. All modified 171 CNCs were stored as never-dried materials. 172

Nuclear Magnetic Resonance (NMR) Spectroscopy. Solid- 173 state NMR experiments were performed using a Bruker Avance III 174 spectrometer equipped with a 4 mm triple resonance probe operating 175 at frequencies of 300.13 MHz (¹H) and 75.47 MHz (¹³C). C₆-CNC, 176 C_8 -CNC, and C_{12} -CNC powders were tightly packed in an 80 μ L 177 rotor and spun at a MAS rate of 12 kHz. ¹H-¹³C CP/MAS NMR 178 spectra (referenced with respect to TMS) were acquired at room 179 temperature using 20k scans, a recycle delay of 10 s, and a contact 180 time of 1 ms. It should be noted that it has been previously shown 181 that ¹H-¹³C CP/MAS NMR spectra of cellulose can be considered 182 quantitative for CP contact times larger than 600 μ s.⁵⁰ CNC specific 183 surface area and degree of functionalization were calculated from the 184 peaks of C6 located in the interior and surface domains as described 185 in the SI.

Characterization of Surface Properties of CNCs. The content 187 of sulfate groups on the surface of the CNCs was determined by 188 conductometric titration^{S1} using 20 mL of a CNC suspension with a 189 concentration of ~3 mg mL⁻¹. A 1.5 mM NaOH solution was used as 190 the titrant. The conductivity values were corrected for dilution effects. 191 The ζ potentials of CNCs were measured with a Zetasizer Nano ZS 192 197

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RESULTS AND DISCUSSION

193 instrument (Malvern Instruments Ltd.) using 0.5 mg mL⁻¹ CNC 194 suspensions in DI water. ζ potential was estimated as an average of 15 195 measurements. Water contact angle measurements were conducted to 196 estimate hydrophobicity of CNCs. Films of CNCs were prepared by drying 1 wt % suspensions on glass slides. The automatic dispenser of 198 a DSA100 drop shape analyzer (Krüss, Germany) was used to inject a 199 droplet of known volume of 2 μ L on a substrate surface. ADVANCE 200 software (Krüss, Germany) was used to analyze images of sessile drops and calculate static water contact angles.

Characterization of CNC Suspensions. Surface tension of CNC 202 203 aqueous suspensions was measured using an advanced surface tensiometer K100 (Krüss, Germany) equipped with a standard 204 measuring probe (PL01) and by the Wilhelmy plate method. 205 206 Measurements at different CNC concentrations were performed by automatic serial dilutions of CNC suspensions with an initial 207 concentration of 7000 mg L⁻¹ using two microdispensers 208 (DS0810). Pyrene fluorescence emission was used for probing the 209 210 microenvironment in CNC suspensions. Aliquots (100 μ L) of 0.4 211 mM pyrene solution in ethanol were dispensed into 7 mL glass vials 212 and allowed to dry at room temperature in the dark. A 4 mL portion 213 of CNC suspensions with different concentrations was added to the vials resulting in a 10^{-5} M pyrene concentration. The fluorescence 214 215 emission spectra of the pyrene probe at various CNC concentrations 216 were recorded from 340 to 500 nm with 334 nm excitation wavelength by a FluoroMax-4 fluorescence spectrometer (HORIBA 217 Instruments). The slit settings for excitation and emission were 2 and 218 nm, respectively. 219 1

SAXS Measurements. Suspensions of unmodified and modified 2.2.0 221 CNCs at different concentrations (ranging from 1 to 10 wt % for 222 unmodified CNCs, 0.1 to 6 wt % for C8-CNCs) and suspensions in 223 the presence of 0.1 M KCl were loaded in 1.5 mm diameter 224 capillaries, sealed, and measured using small-angle X-ray scattering 225 (SAXS). Part of the measurements was done at the I22 beamline of 226 the Diamond Light Source (Didcot, Oxfordshire), operating at a wavelength $\lambda = 1$ Å (E = 12.4 keV), giving the following *q*-range: 4 × 2.2.7 228 $10^{-2} < q < 0.25$ Å⁻¹. The data were collected using a Pilatus P3-2 M 229 instrument (Silicon hybrid pixel detector, DECTRIS) averaging 10 230 frames of 100 ms exposure time each. Complementary measurements 231 were done using a SAXSLab Ganesha 300XL instrument (SAXSLAB, 232 ApS, Skovlunde, Denmark), operated at a wavelength $\lambda = 1.54$ Å and 233 equipped with a moveable Pilatus 300 K 2D detector. A similar q-234 range as Diamond $(4 \times 10^{-2} < q < 0.25 \text{ Å}^{-1})$ was obtained by 235 merging the patterns obtained at 3 different sample-detector 236 distances and recorded for 1800, 3600, and 7200 s, respectively. 237 For both I22 and Ganesha data, signals of the solvent and capillary 238 were subtracted, and Lupolen was used for an absolute scaling 239 calibration.

SAXS patterns were fitted using a model of interacting stiff rods. 240 241 The rods are characterized by an elliptical cross-section of minor and 242 major radii R_{\min} and R_{\max} (both in nm), respectively, and a length L (in nm). Interactions between CNCs were modeled using the PRISM 243 model which depends on the strength of interaction via the so-called 244 "excluded volume parameter" $u_{\rm RPA}$ (>0 for repulsive interaction 245 246 between CNC) and a "hard-sphere" radius R_{cq} ($\geq R_{max}$), corresponding to the section radius along the rods which is not accessible to any 2.47 other rod. A full description of this model and its use for TEMPO-248 249 oxidized cellulose nanofibrils has previously been reported.⁵²

Rheological Measurements. A Discovery HR-1 rotational 2.50 251 rheometer (TA Instrument) operating with a stainless steel cone plate geometry (diameter 40 mm, angle 4°) and a Peltier plate for 252 temperature control was used for the rheological measurements of the 253 254 CNC suspensions and gels. An isothermal dynamic amplitude sweep 255 was performed to determine the linear viscoelastic (LVE) region and 256 confirmed that 1.5% strain was inside the LVE region for all tested 257 samples. Frequency sweeps were conducted in strain-controlled mode 258 at 1.5% strain for an angular frequency range from 0.4 to 100 rad s^{-1} . 259 Steady-state shear viscosity was measured within the shear rate range $260 \ 0.01 - 100 \ \mathrm{s}^{-1}$.

Surface Modification of CNCs. With the aim to use water 262 as a medium for CNC modification, a reductive amination of 263 aldehyde groups with primary amines has been selected as the 264 chemical route for hydrophobization. CNCs produced by 265 hydrolysis in concentrated solutions of sulfuric acid are, in fact, 266 functionalized nanoparticles due to the formation of sulfate 267 half-ester groups on the CNC surface. As a result, the covalent 268 linkage of alkylamine to the CNC surface can be accompanied 269 by ionic binding of alkylamines due to interaction between 270 sulfate and amine groups. Although ionic binding has been 271 used for CNC hydrophobization in some applications, 23-34 it 272 is reversible and potentially can lead to undesired or even 273 harmful release of a cationic modifier. Therefore, the 274 procedure for CNC modification was designed to minimize 275 ionic binding. To avoid the establishment of ionic interactions 276 between protonated amines, the pH of the reaction media was 277 maintained slightly alkaline due to dissolved alkylamines. 278 Purification of modified CNCs was conducted by washing in a 279 water/isopropanol (50/50 vol/vol) mixture which ensures the 280 dissolution of alkylamines.

The presence of alkyl chains in modified CNCs was 282 confirmed by ¹H-¹³C CP/MAS NMR experiments (Figure 283 fi 1). To demonstrate that the alkyl moieties were covalently 284 fi



Figure 1. Typical ¹H-¹³C CP/MAS NMR spectra of covalently hydrophobized C₆-CNC (blue), C₈-CNC (red, from ref 48), and C₁₂-CNC (green) powders acquired at 12 kHz MAS rate at room temperature. The ¹³C peaks corresponding to the alkyl moieties are magnified in the inset. The three spectra are scaled to match the same intensity for the sC6 peak.

attached to CNCs, and not just physically adsorbed, we also 285 carried out ¹H and ¹H-¹³C CP/MAS NMR experiments for a 286 noncovalently modified hydrophobized CNC, namely, C₈- 287 CNC_{NC} (SI, Figure S1). Interestingly, while the ¹H NMR 288 spectrum of noncovalently modified C8-CNCNC clearly 289 showed the presence of alkyl groups in the material, no alkyl 290 peaks were detected in the ${}^{1}H^{-13}C$ CP/MAS experiment (10- 291 50 ppm; SI, Figure S1). It is most likely that the alkyl chains in 292 this material are too mobile to cross-polarize effectively and, 293 hence, are not covalently attached to the CNC surface. The 294 ¹H-¹³C CP/MAS NMR can be used to probe for covalent 295 surface functionalization of CNCs, and we have demonstrated 296 297 that covalent hydrophobization was successful for C_6 -, C_8 -298 and C_{12} -CNC samples (Figure 1).

The spectral deconvolution of the *i*C6 and *s*C6 peaks from 300 ${}^{1}\text{H}-{}^{13}\text{C}$ CP/MAS NMR spectra enabled us to estimate the 301 surface areas (eqs S1 and S2) of nonmodified CNC, C₆-CNC, 302 and C₁₂-CNC (Table 1; SI, Figures S2 and S3). Similar

Table 1. Summary of the Calculated Parameters Obtained from Spectral Deconvolution of *i*C6 and *s*C6 Peaks of the ¹H ¹³C CP Spectra of Covalently Hydrophobized C₆-CNC, C₈-CNC, and C₁₂-CNC Powders

	CNC	C ₆ -CNC	C_8 -CNC ^{<i>a</i>}	C ₁₂ -CNC		
9	0.57 ± 0.02	0.49 ± 0.02	0.52 ± 0.02	0.51 ± 0.02		
$\sigma_{ m fibril} \ [m^2 \ g^{-1}]$	805 ± 40	674 ± 34	693 ± 35	701 ± 35		
DSF [%]	n/a ^b	3.6 ± 0.4	4.1 ± 0.4	2.6 ± 0.3		
^{<i>a</i>} From Nigmatullin et al. ⁴⁸ ^{<i>b</i>} n/a: not applicable.						

303 surface areas were obtained for C₆-CNC and C₁₂-CNC, $_{304}$ which are comparable to the value previously reported for C_8 - $_{305}\ \mathrm{CNC}^{48}$ (within the experimental error, Table 1). In contrast, 306 nonmodified CNC showed a surface area significantly larger 307 than the three hydrophobized CNCs (SI, Figures S2). From 308 the same ¹H-¹³C CP/MAS NMR, the spectral deconvolution 309 of the alkyl peaks of C₆-CNC and C₁₂-CNC (SI, Figure S3) 310 was used to calculate the degree of surface functionalization 311 (DSF, eq S3) of modified CNCs. A DSF of $3.6 \pm 0.4\%$ and 2.6 $_{312} \pm 0.3\%$ was determined for C₆-CNC and C₁₂-CNC, 313 respectively (Table 1). Hence, the DSF of C_6 -CNC is ³¹⁴ comparable, within the experimental error, to the value ³¹⁵ reported previously for C_8 -CNC,⁴⁸ whereas degree of 316 functionalization was slightly less efficient for C_{12} -CNC. It 317 might be caused by lower solubility of dodecylamine compared with hexyl- and octylamine. 318

³¹⁹ **Physicochemical and Surface Properties of Hydro**-³²⁰ **phobized CNCs.** The CNCs used in this study were ³²¹ produced by hydrolysis in concentrated sulfuric acid solution. ³²² It is well-known that such an isolation of CNCs results in ³²³ highly charged rod-shaped nanoparticles due to esterification ³²⁴ of cellulose hydroxyl groups with sulfuric acid.^{51,53} The ³²⁵ $-SO_3H^-$ group content for CNCs used in this study was ³²⁶ around 235 mmol kg⁻¹ of CNC determined from conducto-³²⁷ metric titration. This results in nanoparticles with high ³²⁸ negative surface charge as characterized by ζ potential, ca. ³²⁹ -48 mV (Table 2), which plays a crucial role in their colloidal

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Table 2. Surface Properties of Initial and Hydrophobized CNCs

CNC type	-SO ₃ H ⁻ , mmol kg ⁻¹	ζ potential, mV	water contact angle, deg
CNCs	235 ± 30	-48.3 ± 0.5	40.6 ± 2.5
C ₆ -CNCs	112 ± 18	-41.4 ± 1.2	59.5 ± 1.3
C ₈ -CNCs	118 ± 8	-44.1 ± 0.8	62.6 ± 2.6
C ₁₂ -CNCs	131 ± 13	-43.3 ± 0.6	66.0 ± 0.5

330 stability.^{54,55} Hydrophobization of the CNCs via binding of 331 alkylamines was accompanied by a decrease of the sulfate half-332 ester group content by almost half (Table 2). Nevertheless, the 333 ζ potential, the determination of which is based on the 334 electrophoretic mobility of the nanoparticles, decreased by 335 only a few units for hydrophobized CNCs. Only small variations in sulfate group content and ζ potentials were 336 observed for CNCs modified with alkylamines of different 337 lengths. 338

Sulfate half-esters in cellulose derivatives give several 339 characteristic Raman bands (Zhang, Brendler et al. 2010). 340 The Raman bands located at ~825 and ~1270 cm⁻¹, 341 attributed to the C—O—S stretching vibration and the O= 342 S=O asymmetric stretching vibrations, respectively, decreased 343 after CNC oxidation with sodium periodate (SI, Figure S4). 344 This confirms that partial desulfation of CNCs took place 345 during the oxidation stage. Despite this desulfation, a 346 significant fraction of sulfate half-ester groups remained intact 347 in hydrophobized CNCs. The absolute value of ζ potentials of 348 modified CNCs did not decrease below ~40 mV which is 349 usually considered to be sufficient for high nanoparticle 350 colloidal stability in aqueous suspensions. 351

To confirm the introduction of hydrophobic domains to the 352 surface, water contact angles were measured for CNC films 353 prepared by drying 1 wt % suspensions on glass slides. The 354 water contact angle for the unmodified CNC film was ~40° 355 demonstrating wettability with water and thereby CNC 356 hydrophilicity (Table 1 and SI, Figure S5). However, 357 wettability of films made of modified CNCs notably decreased, 358 and water contact angles rose to >60°. A gradual increase in 359 water contact angle was observed with an increase in 360 alkylamine chain length. Thus, modified CNCs are more 361 hydrophobic than CNCs, and hydrophobicity of modified 362 CNCs increased in the following order: C_6 -CNCs < C_8 - 363 CNCs < C_{12} -CNCs. 364

Binding hydrophobic groups to the CNC surface is expected $_{365}$ to change the microenvironment in aqueous CNC dispersions, $_{366}$ similar to surfactant layers adsorbed onto particle surfaces. $_{56}^{56}$ $_{367}^{56}$ Pyrene, the fluorescence emission of which is sensitive to the $_{368}$ solvent polarity, is a common probe in micellar systems and $_{369}^{369}$ has been used to characterize microenvironments at inter- $_{370}^{57}$ figure 2 presents the changes in the intensity ratios for $_{371}$ f2 the third (I_3) and first (I_1) vibronic bands of pyrene fluorescent $_{372}^{372}$ emission at 382 and 370 nm, respectively with concentration $_{373}^{374}$ for different modified CNCs. Hydrophilic unmodified CNCs $_{374}^{375}$



Figure 2. Change in the pyrene fluorescent emission intensity ratio I_3/I_1 in aqueous suspensions of unmodified (CNC) and modified CNCs (C_6 -CNC, C_8 -CNC, C_{12} -CNC) with a variation in the concentration of CNCs.

f3

375 had very little effect on the pyrene fluorescence response, with 376 I_3/I_1 ratios not exceeding 0.56, a value characteristic for water. 377 Similar values were observed for modified CNCs when their 378 concentrations were below 0.25 wt %. Thus, under these 379 conditions, pyrene was in a polar, hydrophilic microenviron-380 ment. In contrast, I_3/I_1 values for modified CNCs monotoni-381 cally increased with concentration providing evidence that the 382 microenvironment became increasingly nonpolar in aqueous 383 dispersions of modified CNCs. In a similar trend to contact 384 angle measurements, C12-CNCs generated the most nonpolar 385 microenvironment followed by C_8 - and C_6 -CNCs. I_3/I_1 386 values for modified CNCs ranged from 0.51 to 0.94, which 387 are typical values for aqueous micellar systems.^{56,58} However, 388 the concentration dependence of I_3/I_1 ratios in surfactant 389 systems has an S-shaped pattern with a sharp increase around 390 the critical micellar concentration due to the increase of pyrene 391 solubilization in micelles. The observed monotonic increase in 392 I_3/I_1 ratio with increasing modified CNC concentration is 393 probably due to the combination of two different mechanisms 394 of pyrene solubilization: solubilization at interfaces of CNCs 395 containing hydrophobic domains and solubilization in a 396 volume formed via aggregation driven by hydrophobic effects. 397 The latter is similar to pyrene solubilization in micelles, while 398 the former has been reported for dispersion of hydrophobized 399 particles or particles with adsorbed surfactants.^{56,5}

400 Characterization of surface properties of modified CNCs 401 provides evidence that both hydrophilic and hydrophobic 402 domains are present on the surface of modified CNCs. As a 403 result, modified CNCs exhibited surface activity and decrease 404 interfacial tension (Figure 3). Unmodified CNCs did not



Figure 3. Dependence of modified CNC surface tension on concentration. Solid lines are linear fits of a plateau region and linearly dependent regions preceding the plateau. Critical aggregation concentrations (CACs) ca. 0.51, 0.25, and 0.27 wt % were determined for C_6 -, C_8 -, and C_{12} -CNCs, respectively, as the intersection between these linear fits.

405 however induce a decrease in the interfacial tension in 406 suspensions with concentrations up to 0.8 wt % (data not 407 shown). However, there appeared to be no direct correlation 408 between the length of alkyl chains attached to the CNC surface 409 and surface activity. The highest decrease in surface tension, to 410 \sim 51 mN m⁻¹, was observed for the C₈-CNCs suspension at 411 concentrations higher than 0.25 wt %. Despite showing higher hydrophobicity, demonstrated by contact angle and pyrene 412 probe measurements, C12-CNCs caused only a moderate 413 decrease (to $\sim 62 \text{ mN m}^{-1}$) in surface tension. Stronger 414 hydrophobic effects in C12-CNCs suspensions might induce 415 association of the C12-CNCs and decrease the content of 416 individualized CNCs in the suspension. Since individualized 417 CNCs are expected to be drawn to the interface, C₁₂-CNCs 418 had lower surface activity in comparison with C_8 -CNCs. The 419 lowest surface activity was exhibited by C₆-CNCs, suggesting 420 that the surface charge and other hydrophilic cellulose groups 421 prevail over the hydrophobic domains, resulting in their 422 dispersion in the water bulk rather than being drawn to the air/ 423 water interface. All three hydrophobized CNCs showed 424 concentration dependence typical for amphiphiles, exhibiting 425 concentration dependent segments at low concentrations, and 426 regions of constant surface tension when concentration 427 exceeded a critical value. A critical aggregation concentration 428 (CAC), defined as the onset of a steady state value of surface 429 tension, is associated with the formation of aggregated 430 structures in the bulk of the solution. The CAC values for 431 C₆-, C₈-, and C₁₂-CNCs were found to be ca. 0.51, 0.25, and 432 0.27 wt %, respectively (Table 3). There were no detectable 433 t3 changes in the form of the pyrene emission curves (Figure 2) 434 around these CAC values, confirming the hypothesis that 435 different mechanisms are involved in pyrene solubilization in 436 these systems. This is unlike for micellar systems where pyrene 437 is mostly solubilized in micelles with a drastic increase in the 438 I_3/I_1 ratio at concentrations close to the critical micellar 439 concentration.

Structure of Aqueous CNC Suspensions. To probe the 441 effect of hydrophobization on CNC interaction in aqueous 442 media, multichannel confocal laser scanning spectroscopy 443 (MCLSS) and SAXS experiments were conducted for 444 unmodified and modified CNC suspensions at various 445 concentrations. The former technique is based on the 446 autofluorescence of cellulose materials. Recently it was 447 demonstrated that the autofluorescence of cellulose could be 448 used to track microfibrils and nanocrystals in composite 449 structures.^{44,59} Two autofluorescent emission bands were 450 found to be dominant: one at 463.5-472.5 nm (herein 451 referred to as the 468 nm band) and one at 499.5-508.5 nm 452 (herein referred to as the 504 nm band). We theorize that the 453 468 nm band is related to intraparticle forces (hydrogen 454 bonding, van der Waals forces, and electrostatic forces), while 455 the 504 nm band is related to those associated with 456 interparticle interactions due to an expected lower excita- 457 tion-emission conversion efficiency. To confirm this, the ratio 458 between the 468 and 504 nm bands was tracked as the 459 concentration of CNCs was increased from 0.1 to 11 wt % 460 (Figure 4 and SI, Figure S6). As the concentration increases, 461 f4 the ratio between the two bands decreases, suggesting an 462 increase in the intercrystal interactions. Taking the initial and 463 final gradients of an exponential curve according to the 464 equation 465

$$y = Ae^{(1-Bx)} + C$$
 (1) 466

fitted to the data, where *A*, *B*, and *C* are constants (see Table 3 467 for fit data), an interception point for unmodified CNCs is 468 3.28 wt % (Table 3), which falls within the range at which 469 chiral nematic phases are known to form for CNC 470 suspensions;^{60,61} this confirms the fluorescent ratio depend- 471 ence on CNC interaction. Hydrophobization of the CNCs 472

CNM	CNCs	C ₆ -CNCs	C ₈ -CNCs	C ₁₂ -CNCs
CAC [wt %]		0.51	0.25	0.27
MCLSS interception point [wt %]	3.28 ± 0.09	1.40 ± 0.77	0.16 ± 0.00	0.25 ± 0.01
468:504 nm ratio [a.u.] (0 wt %)	1.30 ± 0.01	1.20 ± 0.01	1.30 ± 0.02	1.14 ± 0.00
468:504 nm ratio [a.u.] (100 wt %)	0.94 ± 0.00	1.23 ± 0.00	1.05 ± 0.00	1.04 ± 0.00





Figure 4. Effect of CNM concentration on ratio of emission intensity at 468 nm (associated with intracrystal fluorescence) and 504 nm (associated with intercrystal fluorescence). Exponential curves (eq 1) fitted for each data series. Error bars: \pm SE N = 1, n = 3.

⁴⁷³ results in their aggregation, as inferred from the interception ⁴⁷⁴ point, at lower concentrations than the hydrophilic CNCs ⁴⁷⁵ (Table 3) with the chain length series ($C_8 < C_{12} < C_6$) ⁴⁷⁶ matching that observed in surface tension experiments.

⁴⁷⁷ Further information on the nature of the interactions ⁴⁷⁸ between the hydrophobic CNCs may also be obtained from ⁴⁷⁹ the absolute 468:504 nm emission ratio values at theoretical ⁴⁸⁰ concentrations of 0 and 100 wt %. Unlike the other materials, ⁴⁸¹ the C₆-CNCs exhibit an increase in the emission ratio as the ⁴⁸² concentration increases. We theorize that the secondary amine ⁴⁸³ groups present, formed as a result of alkylamine coupling, ⁴⁸⁴ interact with the sulfate half-ester groups present on the CNC ⁴⁸⁵ surface. This results in a hypsochromic (blue) shift in the ⁴⁸⁶ spectrum and an increase in the emission intensity (SI, Figures S6 and S7). We confirm that this is feasible by observing a 487 similar shift when a CNC gel is combined with a chitosan 488 solution (SI, Figure S8). In contrast, the lengths of the C8 and 489 C12 carbon chains inhibit this interaction, resulting in the 490 typical increase in the 504 nm band upon increasing 491 concentration. 492

From SAXS experiments (Figure 5 and SI, Figures S9 and 493 f5 \$10), unmodified CNC rods (Figure 5a) are found to have an 494 elliptical cross-section ($R_{\rm min}$ = 1.6 \pm 0.1 and $R_{\rm max}$ = 15.0 \pm 0.1 495 nm) and a length L fixed at \sim 110 nm in agreement with TEM 496 measurements. With increasing concentration, a growing 497 correlation peak emerges. This peak sharpens with concen- 498 tration and moves toward larger q values (around $q \sim 0.015$ 499 $Å^{-1}$ at 10 wt %). This correlation peak is due to increased 500 excluded volume interactions between unmodified CNCs and 501 is modeled using the PRISM model. From the fits, two 502 parameters are extracted: excluded volume parameter, ν_{RPA} , 503 which is dependent on the interacting rod concentration, and 504 the radius of excluded volume, R_{cq} , associated with the 505 intercylinder distance in concentrated regimes (Figure 6). $\nu_{\rm RPA}$ 506 f6 was found to increase linearly with concentration, as is 507 expected from increasing particle-particle interactions, due to 508 the electrostatic repulsion between the charged nanorods. On 509 the other hand, the local excluded volume parameter R_{cq} 510 decreased with concentration, indicating a denser packing of 511 CNCs. The decrease is pronounced until a 4 wt % CNC 512 concentration, and much weaker for concentrations above this 513 value. Moreover, the fits show differences with the data in the 514 small q range (see individual fits in the SI, Figure S9). This is 515 probably due to the fact that the isotropic-to-nematic phase 516 transition is reached at a concentration above 4 wt %, and the 517 suspensions are biphasic with liquid-crystalline and isotropic 518 regions.⁶⁰ This will be further discussed in the rheology 519 section. 520



Figure 5. SAXS patterns, I(q) versus q, in absolute scaling for (a) unmodified CNC suspensions (from Diamond) and (b) C_8 -CNC (Ganesha) at various concentrations. The fits made using the model of rigid interacting cylinders are given as solid black lines. The same patterns are plotted individually in Figures S9 and S10 in the SI. Data measured at Diamond for C_8 -CNC were also recorded for low concentrations and are given in Figure S11.



Figure 6. Influence of CNC concentration on ν_{RPA} (filled symbols) and R_{cq} (open symbols) determined from SAXS experiments for unmodified (a) CNCs and (b) C_8 -CNC. The lines are a guide to the eye.

To study the effect of CNC surface modification, C8-CNC 521 522 suspensions were also characterized by SAXS at various concentrations (0.1-6 wt %) (Figure 5b). From the fit in 523 the dilute regime (0.1 wt %), where the signal is attributed to 524 isolated CNCs with negligible interactions, the form factor of 525 526 the particles can be extracted. The cross-section of the rods is 527 found to be $R_{\rm min}$ = 1.6 ± 0.1 nm and $R_{\rm max}$ = 9.2 ± 0.1 nm for the minor and major radii, respectively. Modification of the 528 529 CNC induced a significant reduction in the major radius compared to unmodified CNCs. Above 0.25 wt %, interactions 530 $_{531}$ between C₈-CNCs are needed to fit the data. Interestingly, in 532 opposition to unmodified CNC, $\nu_{\rm RPA}$ increases only up to ca. 2 wt % (with slightly stronger repulsion for C_8 -CNC than their 533 unmodified counterparts at 1 and 2 wt %). Above 2 wt %, ν_{RPA} 534 remains relatively constant. A similar trend is observed for R_{co}, 535 536 which strongly decreases up to 2 wt %, before stabilizing, or 537 only weakly decreasing, to reach 20 nm at 6 wt %. The rapid 538 stabilization of the repulsion forces in the system around ca. 2 539 wt % corresponds to the concentration at which an invertible 540 gel is formed with C_8 -CNC (SI, Figure S12). Hence, this 541 trend could be explained by the formation of the self-standing 542 gel, with a network spanning throughout the entire suspension, 543 with contact points between CNCs at larger dimensions than 544 probed in this q-range formed between C₈-CNC nanorods 545 due to hydrophobic effects. This would "freeze" the C_8 -CNC 546 in a disordered fashion, preventing the formation of a nematic 547 phase.

Rheological Properties of CNC Suspensions. The 548 549 rheological properties of aqueous suspensions of CNCs produced by hydrolysis with sulfuric acid have been previously characterized in detail.^{54,60-63} These previously published 550 551 works also covered suspensions of CNCs of the same source as 552 used in our study.⁶³ It is generally agreed that the rheological 553 properties of suspensions of unmodified CNCs are defined by 554 555 their ability to form biphasic systems of isotropic and chiral 556 nematic phases. CNC suspensions exhibit Newtonian fluid 557 behavior at low concentrations when suspensions are isotropic. However, at higher concentrations, CNCs form a liquid 558 559 crystalline phase transforming the suspension into a biphasic 560 system with the liquid crystal phase fraction being dependent 561 on CNC concentration. These structural changes transform 562 the suspensions into viscoelastic fluids. Ultimately, at 563 sufficiently high concentrations, randomly entangled gels are 564 formed. The aspect ratio and surface charge of the rodlike 565 cellulose nanoparticles and the ionic strength of the aqueous

media determine the critical concentrations for the transitions 566 between these states. 567

The rheological properties of hydrophobized and unmodi- 568 fied CNCs were investigated at a wide range of concentrations, 569 which covered systems from fluids to gels. Our results for 570 unmodified CNCs are in good agreement with previous 571 findings^{54,60-63} as outlined above. For example, frequency 572 sweeps in oscillatory rheology demonstrated that suspensions 573 of unmodified CNCs exhibited liquidlike behavior (G'' > G') 574 for CNC concentrations <5 wt % (SI, Figure S13a). When 575 concentrations exceeded 5 wt %, elastic properties became 576 dominant (G' > G''). Hydrophobization of CNCs drastically 577 changed the viscoelastic properties, significantly increasing 578 values of G' (SI, Figure S13b-d). Dominance of elastic 579 properties (G' > G'') was extended to the suspensions with 580 concentrations as low as 1 wt % for C₈-CNCs and C₁₂-CNCs 581 but only to 4 wt % for C_6 -CNCs. Interestingly, values of G' 582 were larger than G'' for suspensions of hydrophobized CNCs 583 that did not form invertible gels (concentrations forming 584 invertible gels were 1.5, 2.5, and 4 wt % for C₁₂-, C₈-, and 585 C₆-CNCs respectively; SI, Figure S12). For suspensions at 586 concentrations below the invertible gel concentration, differ- 587 ences in G' and G'' were less than a decade (tan $\delta \approx 0.1$). 588 These values are characteristic for "weak gels" or "structured 589 fluids" which are usually formed by tenuous association of 590 mesoscopic domains.⁶⁴ 591

The frequency dependence of the storage modulus was 592 analyzed quantitatively by fitting a simple power law 593 relationship, according to the equation 594

$$G' \propto \omega^p$$
 (2) ₅₉₅

where ω is the angular frequency of oscillation, and p is the 596 storage modulus power law index. 597

There were only slight variations in the power law index in 598 the studied range of concentrations for C_8 -CNCs and C_{12} -599 CNCs. For C_8 -CNCs, *p* decreased slightly from 0.09 for a 1 600 wt % C_8 -CNC suspension to 0.07 for 7 wt %, while *p* was 0.06 601 for 1 wt % C_{12} -CNCs and was constant (ca. 0.04) for 602 concentrations between 2 and 5 wt %. Thus, the frequency 603 independence of *G'* was observed even for suspensions at the 604 lowest concentrations of C_8 -CNCs and C_{12} -CNCs. However, 605 for C_6 -CNCs, *G'* was strongly dependent on frequency for the 606 suspensions with concentrations between 2 and 4 wt % (*p* was 607 ca. 2, 0.7, and 0.12 for 2, 3, and 4 wt %, respectively). A 608



Figure 7. (a) Storage modulus and (b) tan δ as a function of concentration of unmodified and hydrophobized CNCs at an angular frequency of 6.34 rad s⁻¹ and strain 1.5%.

609 frequency independent G' was only observed for C₆-CNC 610 suspensions when concentration exceeded 5 wt %.

The viscoelastic properties of unmodified and hydro-611 612 phobized CNCs were compared at different CNC concen-613 trations (Figure 7). As expected, G' increased with 614 concentration for all CNCs. However, rheological properties 615 are strongly dependent on the hydrophobicity of CNCs, and 616 suspensions and gels of C₈- and C₁₂-CNCs exhibited $_{617}$ significantly higher G' values compared with suspensions of 618 unmodified CNCs and C₆-CNCs at the same concentration. 619 For example, at 5 wt %, the G' value of an C8-CNC gel 620 suspension is higher than for unmodified CNCs by almost 5 621 orders of magnitude, with values of 4300 and 0.05 Pa, 622 respectively. G' increased further to \sim 9000 Pa for 5 wt % of 623 C₁₂-CNCs. Modification with the shorter chain hexylamine 624 resulted in a moderate increase in G' to ca. 150 Pa for 5 wt % 625 C₆-CNCs. Also, a strong dependence of tan δ on 626 concentration was observed for unmodified CNC and C₆-627 CNC suspensions while tan δ was below 0.08 for the complete 628 concentration range for C_8 – and C_{12} – CNCs (Figure 7b). The 629 elastic properties of unmodified CNC and C₆-CNC 630 suspensions only became significant when concentrations 631 exceeded 5 and 3 wt %, respectively. When the concentrations 632 increased beyond these points tan δ fell below 1.0 which is 633 usually attributed to gel formation. However, self-supported 634 gels (invertible gels) are not formed when tan δ is equal to 1.0 635 at CNC concentrations 3 wt % for C₆-CNCs and 5 wt % for 636 unmodified CNCs (SI, Figure S12).

It is well-known that the gel formation of CNC suspensions 637 638 can be induced by the introduction of electrolytes. 54,63,65 Such 639 behavior has been observed for suspensions of charged 640 particles other than CNCs and attributed to the aggregation 641 of these particles with an increase in ionic strength. Viscoelastic 642 properties of CNCs were hence also compared for suspensions 643 prepared in 0.1 M KCl at a CNC concentration of 4 wt % 644 (Figure 8). The presence of electrolyte induced a significant 645 increase in G' for unmodified CNCs, and G' became larger 646 than G'' (tan δ around 0.1 compared with 2.0 in the absence of 647 salt). Suspensions of hydrophobized CNCs are characterized $_{648}$ by higher G' compared with unmodified CNCs. However, 649 unlike the suspensions in DI water, there was no direct 650 correlation with the number of carbon atoms of alkyl chain $_{651}$ (hydrophobicity) and G' values of suspensions with back-652 ground electrolyte: G' values for C_{12} -CNC suspension were



Figure 8. Dependence of storage modulus (filled symbols) and loss modulus (open symbols) on oscillation frequency of gels of unmodified and hydrophobized CNCs at 4 wt % in 0.1 M KCl. Strain 1.5%.

lower compared with values for the suspensions of C_8 -CNCs ⁶⁵³ and C_6 -CNCs. In fact, G' values for C_{12} -CNCs suspensions ⁶⁵⁴ in 0.1 KCl were lower than in DI water while the background ⁶⁵⁵ electrolyte induced an increase in G' values for C_6 - and C_8 - ⁶⁵⁶ CNC suspensions. It should be noted that hydrophobic effects ⁶⁵⁷ in hydrophobized CNCs had more pronounced influence on ⁶⁵⁸ CNC rheology compared with the electrolyte effect. For ⁶⁵⁹ example, at an angular frequency 6.34 rad s⁻¹, G' of 5 wt % ⁶⁶⁰ C₈-CNC suspension in DI water was 4300 Pa in comparison ⁶⁶¹ with 880 Pa for 5 wt % suspension of unmodified CNCs in 0.1 ⁶⁶² M KCl solutions.

As was discussed in previous sections, surface functionaliza- 664 tion of CNCs with hydrophobic moieties facilitates inter- 665 actions between CNCs due to hydrophobic effects. Therefore, 666 the formation of microgel aggregates is thought to occur even 667 at relatively low concentrations of hydrophobized CNCs, 668 especially for the more hydrophobic C_8 - and C_{12} -CNCs, 669 leading to phase separation. The elastic behavior of such a 670 weak gel is caused by the elasticity of the hydrophobized CNC 671 microgel dispersed in the aqueous phase depleted of CNCs. 672 However, such a system does not form self-supporting gels. 673

674 With an increase in concentration of hydrophobized CNCs, a 675 strong network of connected aggregates is formed, leading to 676 strong gels. It is worth noting that electrolytes have strong 677 effects on the rheological properties and gelation of hydro-678 phobized CNCs. Therefore, structural and rheological proper-679 ties of hydrophobized CNCs are defined by two competing 680 phenomena: electrostatic repulsion and association driven by 681 hydrophobic effects. Under different conditions (CNC and 682 electrolyte concentrations, hydrophobicity of modifying agent) 683 one phenomenon can prevail over the other; for example, 684 electrostatic repulsion is suppressed at higher ionic stress 685 leading to the dominance of the hydrophobic effect. This is 686 probably the reason for the decreased elastic properties of 687 C12-CNC gels in the presence of a background electrolyte 688 (Figure 8). For these most hydrophobic CNCs, when 689 electrostatic repulsion is suppressed the hydrophobic effect 690 became too strong, inducing excessive C_{12} -CNC aggregation. 691 As a result, G' is lower in the presence of electrolyte than in 692 C12-CNCs hydrogel prepared in DI water. At the same time, 693 G' increased in the presence of KCl for C_6 - and C_8 -CNCs. 694 Gel formation was also observed at slightly higher concen-695 trations of hydrophobized CNCs in 0.1 M KCl compared with 696 suspensions in DI water (data not shown). Thus, at relatively 697 low concentrations of hydrophobized CNCs, the addition of 698 electrolytes disrupted the network, causing the formation of a 699 phase-separated system. These observations indicate that the 700 surface charge contributes to the stabilization of the network formed via the CNC association driven by hydrophobic effects. 701 Rheological properties of CNC suspensions/gels were 702 703 further characterized in steady-shear experiments (SI, Figure 704 S14). The pattern of the response of unmodified CNC suspensions/gels to shear flow (SI, Figure S14a) was similar to previous independent studies.^{54,61,62} At CNC concentrations 705 706 sufficiently high for forming biphasic systems, there are three 707 regions in the flow curve (for example, curves for 5 and 6 wt % 708 in Figure S14a). The low shear rate region presents a strong 709 shear thinning behavior. It is followed by the region with a 710 weak dependence of the viscosity on shear rate and another 711 shear-thinning region at higher shear rates. The three-region 712 713 pattern has been also previously reported for other liquid 714 crystal systems.^{66,67} For CNCs it is generally agreed that the 715 first shear thinning region is caused by alignment of the 716 nematic liquid crystalline domains. When alignment of these domains is completed, an increase in shear rate destabilizes the 717 718 nematic mesophase, which manifests as weak dependences of 719 viscosity on shear rate. Finally, at high shear rates, shear thinning is due to alignment of the CNC nanorods. Different 720 regions of viscosity sensitivity to the shear rate were observed 721 at some concentrations only for C_8- and $C_{12}-CNCs$ (SI, 722 Figure S14c,d). However, only one regime of shear thinning 723 was observed for C₆-CNCs suspension/gels in the studied 724 range of concentrations. A set of viscosity values at various concentrations was extracted from flow curves for a shear rate 726 of 0.1 s^{-1} and presented in Figure 9. In agreement with the 727 728 results from oscillatory rheology experiments, viscosities of the 729 gels based on hydrophobized CNCs are significantly higher 730 than unmodified CNCs. For example, for systems containing 4 wt % of CNCs, shear viscosity increased from 0.07 Pa s for 731 732 unmodified CNCs to ca. 1350 Pa s in the case of C₁₂-CNCs. 733 There was a direct correlation between hydrophobicity of 734 CNCs (length of alkyl radical) and enhancement in viscosity 735 (unmodified CNCs < C_6 -CNCs < C_8 -CNCs < C_{12} -CNCs).



Figure 9. Dependence of steady flow viscosity of unmodified and hydrophobized CNC suspensions/gels in deionized water on CNC concentrations at a shear rate of 0.1 s^{-1} . Vertical lines indicate concentrations for forming invertible gels (the color of the lines matches with the color of symbols for the corresponding experimental points).

Dependence of the viscosity on concentration has been 736 proposed as a means for the identification of the transition of 737 aqueous CNC systems from isotropic to biphasic.⁶⁰ The region 738 of a sharp increase of viscosity indicates transformation of the 739 system into a biphasic system. For CNCs used in this study 740 this occurred at a concentration around 5 wt % (Figure 9). 741 Thus, quite high concentrations of CNCs used in this study are 742 required to enable assembly into structured liquid crystalline 743 domains. Figure 9 also shows concentrations corresponding to 744 the formation of invertible gels. These concentrations for 745 hydrophobized CNCs (1.5, 2.5, and 4 wt % for C_{12} -, C_8 -, and 746 C_6 -CNCs, respectively) are lower than the concentration of 747 the transition into biphasic phase for unmodified CNCs. This 748 might impede the formation of liquid crystalline phases in the 749 suspensions of hydrophobized CNCs, which agrees with the 750 C₈-CNC SAXS data (no obvious variation of the interactions 751 for concentrations above the formation of an invertible gel). 752 To check the formation of a liquid crystalline phase, 753 microscopic images in cross-polarized light of the suspensions 754 were obtained (SI, Figure S15). Formation of liquid crystalline 755 domains was confirmed for unmodified CNCs. However, all 756 hydrophobized CNCs did not exhibit birefringence, confirming 757 no formation of a liquid crystalline phase at concentrations 758 below and above gel formation, again in agreement with the 759 weak repulsive interaction observed in SAXS. Random 760 association of hydrophobized CNCs arresting the CNC 761 mobility in the gel state prevents the formation of a liquid 762 crystalline phase. Earlier inhibition of the formation of ordered 763 liquid crystalline structures due to gelation was assumed for the 764 systems based on cationically modified CNCs.⁶⁸ Although the 765 three-region pattern of flow curves was observed for some 766 concentrations of C₈- and C₁₂-CNCs, similar to the pattern 767 characteristic for unmodified CNCs (SI, Figure S14), the 768 underlying structural changes are different for these materials. 769 We suggest that the first shear thinning region is related to 770 defragmentation of a network formed by small aggregates of 771 hydrophobized CNCs. In the second region aggregates are 772 disassembled into individual nanorods or stacks of few 773

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774 nanorods. At high shear rates, shear thinning is caused by the 775 alignment of redispersed CNCs.

CONCLUSIONS 776

777 CNCs have been modified with alkylamines of different lengths 778 (C6, C8, and C12) to vary the hydrophilic-hydrophobic 779 balance. The properties of aqueous colloidal systems with 780 hydrophobized CNCs have been studied particularly to assess 781 the impact of hydrophobic effects on CNC association and 782 gelation in aqueous media. We have demonstrated that 783 hydrophobicity of modified CNCs correlated with the chain 784 lengths of alkylamines. Although a two-stage modification 785 process based on reductive amination led to a decrease in the 786 content of surface sulfate half-esters, at least half of this ionic group was preserved providing a good colloidal stability of 787 788 hydrophobized CNCs. Thus, the surface of modified CNCs 789 contained both hydrophilic and hydrophobic domains. As a 790 result, the modified CNCs are surface active nanoparticles 791 demonstrating amphiphilic properties. However, there ap-792 peared to be no direct correlation between the length of alkyl 793 chain attached to the CNC surface and surface activity; C_{12} -794 CNCs induced a smaller decrease in interfacial tension than 795 C_8 -CNCs which was attributed to stronger association driven 796 by hydrophobic effects. Self-association of hydrophobized 797 CNCs was confirmed by SAXS and autofluorescent spectros-798 copy experiments. Formation of transient networks by 799 hydrophobized CNCs due to this self-association induces 800 changes in gelation and rheological properties of CNC 801 suspensions. Critical concentrations of sol/gel transitions for 802 hydrophobized CNC are significantly lower than the hydro-803 philic CNCs and depend on hydrophobicity of modified 804 CNCs. Self-association of hydrophobic CNCs results in 805 stronger, more rigid gels. Our work highlights the versatility 806 and efficiency of modifying rheological properties of aqueous 807 systems via self-association of hydrophobized CNCs.

ASSOCIATED CONTENT 808

1 Supporting Information 809

810 The Supporting Information is available free of charge at 811 https://pubs.acs.org/doi/10.1021/acs.biomac.9b01721.

Solid state NMR spectra and peak deconvolution; 812 Raman spectrum of oxidized CNCs; pictures of sessile 813 drops on surfaces of unmodified and hydrophobized 814 CNCs films; results of multichannel confocal laser 815 scanning spectroscopy; results of SAXS experiments; 816 pictures of unmodified and hydrophobized CNC 817 suspensions of different concentrations; rheology results 818 for aqueous suspensions/gels; and images of gels in 819 820 cross-polarized light (PDF)

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ABBREVIATIONS

CAC, critical aggregation concentration; CNC, cellulose 884 nanocrystal; CNM, cellulose nanomaterial; DI, deionized; 885 LVE, linear viscoelastic; MCLSS, multichannel confocal laser 886 scanning spectroscopy; SAXS, small-angle X-ray scattering 887

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