

Monte Carlo simulation of free radical production under keV photon irradiation of gold nanoparticle aqueous solution. Part II: Local primary chemical boost

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Abstract

For the past two decades, gold nanoparticles (GNPs) have been investigated as a radiosensitizing agent for radiation therapy. Many theoretical studies have shown that GNPs increase the dose deposition for keV photon irradiation, both at macro and nano-scales, due to a high photon-gold interaction probability. We studied by Monte Carlo simulations the production of radiolysis chemical products (OH and H2O2) following an ionization event induced by a 20-90 keV photon in a nanoparticle (NP). We focused here on the primary chemical processes occurring around nanoparticles. In the micrometer range, we obtained an excess of chemical species following GNP ionization, as compared to a reference water nanoparticle (WNP) ionization. This difference came from the dominant processes of photon interaction, i.e., Compton for water and photoelectric for gold, which are characterized by different emitted-electron energy spectra. The overproduction of chemical species could be up to 5 times higher for GNP, depending on the photon energy. The mean concentration of chemical species in a 100 nm sphere is higher for GNPs compared to WNPs due to Auger electrons when the nanoparticle radius was equal to 5 nm. On the contrary, it was quite comparable when the nanoparticle radius was equal to 50 nm. This reveals that gold Auger-electrons do not necessarily induce a significant boost of chemical species in the vicinity of GNP, as compared to WNP. For large GNPs, the local effect (i.e., within a few hundreds of nm from the NP surface) is not to induce a large yield near the ionized GNP, but rather to increase the chance of this event to occur, due to higher photon-gold interaction probability than that of water.

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- We calculate free radical production around a gold nanoparticle (GNP) or a water nanoparticle (WNP) in water, for single keV photon absorption.
- In the micrometer range from NP surface, radicals are overproduced of radicals by GNP (photoelectric effect) compared to WNP (Compton scattering).
- In the nanometer range, radicals are overproduced by small GNP compared to WNP due to Auger electrons, and comparable for large NP.

Monte Carlo simulation of free radical production under keV photon irradiation of gold nanoparticle aqueous solution. Part II: local primary chemical boost.

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Abstract

For the past two decades, gold nanoparticles (GNPs) have been investigated as a radiosensitizing agent for radiation therapy. Many theoretical studies have shown that GNPs increase the dose deposition for keV photon irradiation, both at macro and nano-scales, due to a high photon-gold interaction probability. We studied by Monte Carlo simulations the production of radiolysis chemical products (\textdegree OH and H_2O_2) following an ionization event induced by a 20-90 keV photon in a nanoparticle (NP). We focused here on the primary chemical processes occurring around nanoparticles. In the micrometer range, we obtained an excess of chemical species following GNP ionization, as compared to a reference water nanoparticle (WNP) ionization. This difference came from the dominant processes of photon interaction, i.e., Compton for water and photoelectric for gold, which are characterized by different emitted-electron energy spectra. The overproduction of chemical species could be up to 5 times higher for GNP, de-

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pending on the photon energy. The mean concentration of chemical species in a 100 nm sphere is higher for GNPs compared to WNPs due to Auger electrons when the nanoparticle radius was equal to 5 nm. On the contrary, it was quite comparable when the nanoparticle radius was equal to 50 nm. This reveals that gold Auger-electrons do not necessarily induce a significant boost of chemical species in the vicinity of GNP, as compared to WNP. For large GNPs, the local effect (i.e., within a few hundreds of nm from the NP surface) is not to induce a large yield near the ionized GNP, but rather to increase the chance of this event to occur, due to higher photon-gold interaction probability than that of water. Keywords: gold nanoparticles, photon irradiation, water radiolysis, free radical production, Monte Carlo simulation

1. Introduction

Over the past two decades, the use of high-atomic-number (Z) nanoparticles (NPs) has been of high interest due to their radiosensitizing properties. Several pre-clinical studies have shown the efficiency of gold NPs (GNPs) to enhance the $\frac{1}{5}$ effect of radiation therapy, in particular when using low energy (keV) X-rays [1, 2]. The origin of NP enhanced radiotherapy may originate from complex physical, chemical and biological mechanisms. The relative contribution of each stage remains under investigation [3]. Theoretical approaches, such as Monte Carlo (MC) simulations, may help to better understand how early physical and chemical stages could impact a biological system and lead, for instance, to cell

death increase.

MC studies have shown that, for keV X-rays, a significant increase of dose deposition may be reached for sufficiently large gold concentration [4, 5]. At such energies, the photo-electric effect dominates for high-Z materials and the

 photon interaction probability with GNP is much larger than the interaction probability with biological tissues. Other MC studies have suggested that the heterogeneity of dose deposition in the vicinity of NPs may further contribute to the enhancement, due to an hypothetical release of low-energy electrons.

Following a photo-ionization of a high-Z NP, desexcitation processes result in

 the emission of a cascade of secondary electrons called Auger cascade. It might be responsible for a high energy deposition in the vicinity of the GNP, and has often been associated with GNP efficiency, by presumably inducing an increased biological effectiveness similar to that observed in hadrontherapy [4, 5, 6, 7, 8].

While there have been many MC studies of the physical stage and dose deposi-

- $_{25}$ tion, simulation studies of the chemical stage are scarce [9, 10, 11]. In particular, GNP impact on water radiolysis has hardly been investigated, although it was suggested to be a crucial step that may connect the physical effect to the biological consequences [3]. In a previous work [12], we studied, on a macroscopic scale, the impact of GNPs on free radical yields for 20-90 keV photon irradiation.
- 30 We showed that for a GNP concentration of $1 \text{ mg} \cdot \text{mL}^{-1}$, the increase of yields is well correlated to the macroscopic dose enhancement. Yields were found to mostly depend on the photon energy and, to a lesser extent, on the NP radius for a given mass concentration of GNPs. As previous MC studies at nanometric scale pointed out the importance of dose heterogeneity and, in particular, the
- high energy deposition in the vicinity of the GNP [13, 8, 14, 15, 16, 17, 18], we wished to focus, in this study, on the production of chemical species at the nanoscale. In particular we searched for a possible boost of radical production near the GNP, which might lead to local enhancement of radical recombination.

The goal of this work was thus to investigate, in a systematic way, the production

- of free radicals for keV photon irradiation in water around one GNP, following the absorption of a photon by this nanoparticle. It was compared to the production of chemical species following a photon absorption in a nanoparticle made of water (WNP), to highlight the specificity of gold material. We focused in particular on the production of oxydative species (hydroxyl radicals •OH and
- hydrogen peroxide H_2O_2). To calculate these chemical species production, we implemented a MC simulation which models the physical, physico-chemical and chemical steps.

This paper is organized as follows. In section 2, the MC tool and simulation

Figure 1: Schematic view of the geometry. Orange dots represent excited or ionized water molecules or chemical species produced following the NP ionization. On the right, the dashed green and blue area represents the scoring volume used to calculate radical species concentration in spherical concentric shells, $c_X(x)$ and $C_X(x)$.

geometry are presented. Section 3 presents the radial distribution of chemical species around a NP. Section 4 discusses and concludes on the results.

2. Material and methods

2.1. Geometry

The geometry considered for the calculation is described in Fig. 1. We defined a GNP placed at the center of a volume V filled with liquid water. The half length

- of V was chosen to be larger than the maximum range of the most energetic photo-electron in water. The GNP was ionized by a photon whose energy varied from 20 to 90 keV. The half length of the volume was hence larger than 160 µm. The systematic study of the NP-size impact on chemical species yields were performed with four NP radii (5 nm, 12.5 nm, 25 nm and 50 nm). To analyze
- the specificity of gold material, the calculation was also performed for a spherical NP made of water with the same radius.

2.2. Monte Carlo simulation for water radiolysis

Our calculation was based on the Monte Carlo simulation MDM, and the full description of the model is available elsewhere [12, 19, 20]. In short, 3 con- secutive stages were performed to calculate the yield of chemical species. The physical stage consisted of an event-by-event tracking of electrons in the different media (water or gold) down to thermalization energy at $300 \text{ K } (37 \text{ meV})$. Photons were not explicitly tracked, and we only considered the photoelectric effect and the Compton scattering as they dominate at the investigated photon energies. The photon ionization impact was randomly distributed within the NP. At the end of the physical stage, the surrounding water molecule may be found either excited (H_2O^*) or ionized $(H_2O^+$, $H_2O^2^+$ and H_2O^-), and the medium contained thermalized electrons. The electrons in GNP for which the energy was too low to escape the GNP surface were no longer tracked, as they did not lead to additional water molecule excitation or ionization.

This stage was followed by a physico-chemical stage during which the medium relaxes, leading to the production of primary chemical species. The different branching ratios of each molecular rearrangement are given elsewhere [20]. At the end of this stage, the outcome consisted of spatial distribution of chemical ⁸⁰ species in water, with a majority of e^-_{aq} , H₃O⁺ and [●]OH.

Finally, during the chemical stage, the primary chemical species diffused and interacted with each other. More than 50 chemical reactions were considered. In our simulation, this phase was simulated up to 10^{-6} s. The method used to calculate the yields and to optimize the computing time, as well as the list of standard chemical reactions of water radiolysis, are available elsewhere and will

not be discussed in this paper [20, 21, 22, 12]. In this study, we did not consider any possible specific reactions at the surface of the GNP.

2.3. Calculated quantities

We calculated 4 different quantities to characterize the production and distri-bution of chemical species around one NP. We calculated (1) the mean number

of chemical species X following one single ionization event in the NP R_X^1 ; (2) the radial number of chemical species, which is the differential of this number in the radial distance $\frac{\partial R_X^1}{\partial r}$; (3) the radial concentration of the chemical species $X, c_X(r)$, as the concentration of X averaged over the spherical shell of radius γ ₉₅ r and of thickness δr and centered around the GNP, as represented in dashed green and blue in Fig. 1. This quantity is related to the previous one through the relation,

$$
c_X(r) = \frac{1}{4/3\pi((r^3 + \delta r) - r^3)} \int_r^{r + \delta r} \frac{\partial R_X^1}{\partial r'} dr'. \tag{1}
$$

Finally, we calculated (4) the spherical concentration defined as concentration per ionized NP, averaged over sphere centered on the nanoparticle and limited by the nanoparticle surface on one side, and the radial distance r on the other side, as represented in blue in Fig. 1,

$$
C_X(r) = \frac{1}{\frac{4}{3}\pi (r^3 - R_{\rm NP}^3)} \int_{R_{\rm NP}}^r \frac{\partial R_X^1}{\partial r'} \mathrm{d}r'.\tag{2}
$$

To have a common origin for all NP radii, the results are presented as a function of the distance from NP surface, $x = r-R_{\rm NP}$. We shall refer to $c_X(r)$ and $C_X(r)$ as $c_X(x)$ and $C_X(x)$.

3. Results

3.1. Average number of chemical species per nanoparticle ionization

Tab. 1 gives the average number of chemical species $(R_{\bullet\text{OH}}^1$ and $R_{\text{H}_2\text{O}_2}^1$ for various E_{photon} , two NP radii ($R_{\text{NP}} = 5$ and 50 nm), calculated both at 10^{-12} and 10^{-6} s following the photon absorption.

110 For the smallest GNPs, the number of \bullet OH produced at 10^{-12} s varied from 721 $(E_{\text{photon}} = 20 \text{ keV})$, up to 2912 $(E_{\text{photon}} = 70 \text{ keV})$, due to the increase of the photo-electron energy. It then dropped at $E_{\text{photon}} = 90 \text{ keV}$, as there is a high fluorescence emission in this case. The mean free path of the emitted photons is

very large compared to the volume of the simulated system and the probability

- of interaction is low. This latter was neglected in our simulations. If the GNP was embedded in a volume of water larger than the photon mean free path, the photon would deposit its energy in the volume, but most of the time far away (several mm at least) from the ionized GNP. The same variation of $R_{\bullet\rm OH}^1$ and $R^1_{\text{H}_2\text{O}_2}$ was obtained with regard to E_{photon} , regardless of other parameters (e.g., radius, time ...). The number of chemical species decreased with increasing GNP
- radius due to the energy loss in the GNP. This was particularly visible at 20 keV: at 10−¹² s, the number of •OH decreased from 721 to 571 when the radius of the GNP increased from 5 nm to 50 nm. The same trends were observed with $H₂O₂$.
- For WNP, the number of chemical species was hardly impacted by the NP size. The number of chemical species, at fixed time, first decreased from 20 keV to 50 keV, before increasing again. The first drop was due to the fact that, at 20 keV, the photo-electric effect remained non-negligible, in which case all the photon energy was deposited. As the Compton effect became dominant, only part of the photon energy was deposited. This was compensated by the fact that the average Compton electron energy increased with the photon energy, so that the number of species per photo-ionization increased above 50 keV.

The number of chemical species generated per photo-ionization was systematically higher for GNPs than WNPs, with two exceptions. For $E_{\text{photon}} = 20 \text{ keV}$ ¹³⁵ and $R_{\rm NP} = 50$ nm, the number of chemical species for GNPs was below that of WNP, due to a particularly high energy absorption within the GNP. At 10^{-6} s, $E_{\text{photon}} = 20 \text{keV}, R_{\text{NP}} = 5 \text{ nm}, \text{ and regardless of the time, the number of } ^{\bullet} \text{OH}$ for GNP was also below that of WNP, while it was not the case of H_2O_2 . This

 $_{140}$ ticularly important, leading to a high number of H_2O_2 . Finally, as expected, the largest difference between GNPs and WNPs was obtained at 70 keV. In this case, the photo-electron emitted from the GNP had the largest kinetic energy, while the Compton electron in water still had a limited kinetic energy.

denotes the fact that in this specific case, the recombination of •OH was par-

			10^{-12} s		10^{-6} s							
		\cdot OH		H_2O_2		\cdot OH		H_2O_2				
Energy	GNP	WNP	GNP	WNP	GNP	WNP	GNP	WNP				
	$R_{\rm NP}=5~\rm nm$											
20 keV	721	673	33	28	256	288	135	118				
50 keV	2070	460	81	20	934	198	350	81				
70 keV	2912	501	112	21	1385	211	482	88				
90 keV	1560	618	63	25	671	264	270	108				
	$R_{\rm NP} = 50$ nm											
20 keV	571	674	25	28	212	288	107	118				
50 keV	1960	455	76	19	906	196	329	80				
70 keV	2820	500	107	21	1365	211	462	88				
90 keV	1401	617	56	26	625	263	239	108				

Table 1: Average number of chemical species produced per GNP/WNP ionization for different times, photon energies and GNP radii. The statistical uncertainty was below 1 %.

3.2. Spatial distribution

Figure 2: Radial concentration $c \cdot_{\text{OH}}(x)$ as a function of $x (E_{\text{photon}} = 50 \text{ keV} \text{ and } t = 10^{-6}),$ for two $R_{\rm NP}$. Solid line shows results for a GNP and dashed line for a WNP.

¹⁴⁵ Fig. 2 shows the radial concentration $c \cdot_{\text{OH}}(x)$ of OH following an ionization event in the NP, as a function of the distance to the NP surface $x = r-R_{\text{NP}}$.

The radial concentration was maximum near the NP surface and dropped severely for higher values of r. This decrease was proportional to $1/r^2$. It is due to the dependency in r^2 of spherical shell volume when r increases, and to a lesser ex-

 tent to the differential number of chemical species. Such tendency was similar regardless of the photon energy, both at 10^{-12} s (not shown here) and 10^{-6} s. The global shape of the radial distribution was not specific to the material, as WNP showed the same tendency.

Due to [•]OH recombination and diffusion, the radial concentration decreased

- ¹⁵⁵ with increasing t over the whole r range for \textdegree OH. For H₂O₂ (not shown here), $c_{\text{H}_2\text{O}_2}(x)$ dropped for $x < 200$ nm over the time period 10^{-12} to 10^{-6} . This drop was due to outward diffusion, which is of the order of 100 nm for 1 µs. On the contrary, H_2O_2 increased for larger radii.
- Increasing the NP radius $R_{\rm NP}$ decreased $c \cdot_{\rm OH}(x)$ below 200 nm, regardless of photon energy, time t, chemical species or material. There are two reasons for this. First, by definition of the radial concentration, the number of species is normalized to the volume of the shell. For a given value of x , this volume increases with increasing $R_{\rm NP}$. Second, the part of the absorbed energy inside the NP, which increases with increasing $R_{\rm NP}$, corresponds to the stopping of low-energy electrons. When increasing the NP radius, less low-energy electrons escape the NP and less species are produced close to the surface.

The spatial distribution of chemical species also depended on photon energy. To better analyze this dependency, we calculated the radial number of chemical species, instead of the radial concentration as the latter was strongly impacted by the r^2 normalization. Fig. 3 displays the radial number of chemical species • OH, $\frac{\partial R_{\bullet\text{OH}}^1}{\partial r}$, as a function of the distance to the NP surface x.

For GNP, some structures are visible around $x = 100$ nm and 1000 nm, regardless of the photon energy. The first structure came from LLS $(S > N)$ Auger electrons emitted with an energy lower than 2 keV, which had ranges lower than $175 \sim 160$ nm in water. The second structure came from LMS (S > M) Auger electrons emitted with an energy between 8-12 keV, which corresponded to a range

in water around 1000-2000 nm. Another structure is visible for large x , which corresponded to the end of photo-electron path. As shown in Tab. 2, these photo-electrons have different energies depending on the photon energy and the shell from which they are ejected. This phenomenon was particularly visible for $E_{\text{photon}} = 90 \text{ keV}$. As the probability to ionize the K-shell was overwhelming in this case, many photo-electrons were ejected with an energy around 9 keV. These electrons therefore contributed to the boost of the number of chemical species observed around 1000 nm.

Figure 3: Radial number of chemical species $\frac{\partial R_{\bullet OH}^1}{\partial r}$ as a function of x. In each panel, results are given for GNP (solid line) and WNP (dashed line). Results are displayed for $E_{\text{photon}} = 20$, 50, 70 and 90 keV. $R_{\rm NP} = 5$ nm, and $t = 10^{-6}$ s.

¹⁸⁵ For WNP, the progressive decrease of $\frac{\partial R_{\bullet \text{OH}}^1}{\partial r}$ with x was related to the energy distribution of Compton electrons. An exception was noticeable for 20 keV: as the photo-electric effect remained non-negligible, the radial number of chemical species was a combination of the radial number following a photo-electric event producing a \sim 19.5 keV photo-electron, and the radial number following a Compton event producing a broad energy distribution below 1400 eV.

When comparing GNP and WNP, the first striking fact was that $\frac{\partial R_{\bullet_{\text{OH}}}^1}{\partial r}$ remained higher at longer distances ($x > \sim 10000$ nm) for GNP. This is explained by the fact that, on average, the Compton electron had an energy lower than a photo-electron energy. At very small distance $(x < 100 \text{ nm})$, the number of 195 chemical species was higher for GNP compared to WNP when $R_{\text{NP}} = 5$ nm. On the contrary, it was lower for GNP compared to WNP when $R_{\text{NP}} = 50$ nm.

Atomic shell	Κ			Ъ			> M		
$E_{\rm photon}$	Р.	Е.	R.	Р.	Ε.	R.	Ρ.	Е.	R.
20 keV	θ	-		77	$6 - 8$	$0.9 - 1.5$	23	>17	$5.3 - 7.4$
$50 \; \mathrm{keV}$	θ			77	17-18	$5.3 - 6$	23	47 \geq	35-42
70 keV	θ			77	56-58	$49 - 53$	23	67 \gt	68-74
90 keV	77	9	1.8	16	76-78	88-92		87	>111

Table 2: **Probability** (P. in $\%$) of ionizing the shell K,L or above, photo-electron energy (E. in keV) and range of the photo-electron in water (R. in µm) following an ionization event in gold with a photon of energy E_{photon} .

To better quantify the relative difference between GNP and WNP, we calculated the difference of spherical concentration of GNP and WNP, to that of WNP,

$$
\frac{C_{X,\text{GNP}}(x) - C_{X,\text{WNP}}(x)}{C_{X,\text{WNP}}(x)},\tag{3}
$$

for the two extrema sizes of NPs investigated here. Results are shown in Tab. 3 ²⁰⁰ for ^{\bullet}OH. The results confirmed that, for $R_{\rm NP} = 50$ nm, the spherical concentration of WNPs for small volumes (10 and 100 nm thick) were higher than that of GNP, except when the photon energy was equal to 90 keV. This was due to non negligible energy loss in the GNP. When the considered volume increased $(x = 1000 \text{ nm})$, the concentration for GNPs was higher than that of WNP. For ²⁰⁵ even larger volumes $(x = 10 \text{ µm})$, the concentrations were higher for GNPs, with one exception $(E_{\text{photon}} = 20 \text{ keV})$. This translated the fact that, on average, GNPs generated more chemical species than WNP following one photon ionization event, but far away from the GNP (i.e., beyond 100 nm).

4. Discussion and conclusion

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 In this work, we investigated the production and spatial distribution of radical species following keV-photon ionization of a GNP or a WNP embedded in a volume of water. We focus on the local production of radicals, meaning that

Table 3: Relative difference (in $\%$) of spherical \bullet OH concentration in a x nm thick shell around a nanoparticle.

Compton and fluorescence photons, which release their energy at least millimeters away from the NP, were not taken into account. Radial concentrations showed a high maximum near the nanoparticle surface which rapidly decreased when the distance to the nanoparticle increased. This is a purely geometric effect due to the r^2 increase of the concentric shells used as scoring volume. As a consequence, both GNPs and WNPs showed very similar radial concentration profiles.

Different specificities were obtained for gold compared to water:

(1) We observed that, on average, a GNP ionization can produce up to ~ 5.5 more chemical species than an ionization event in a WNP, as a photo-electron carries more energy than a Compton electron, the later dominating photonwater interactions at the investigated energy. Besides, as photo-electrons are more energetic than Compton electrons, GNP radial concentrations are higher

- than WNP radial concentrations at distances larger than few micrometers.
	- (2) Chemical species produced by the interaction of Auger-electrons with water

molecules following an ionization event in GNPs induced a minor boost in the radial number. This boost appeared around 100 nm and 1000 nm.

230 (3) Both [•]OH and H_2O_2 had very similar distributions. In particular, H_2O_2 production was not particularly higher due to Auger electrons. The Auger electrons are indeed significant for GNP ionization, but their effect is rather comparable to that of Compton electrons produced by WNP ionization.

(4) The concentration of chemical species was not necessarily higher for GNP compared to WNP near the ionized NP (within a few hundreds of nm), despite the Auger electron cascade when the GNP was large. The absorption of lowenergy electrons within the GNP produced a drop of the number of chemical species near the surface with respect to the case of smaller GNPs.

- Attempting an extension of these results to biological systems, one may expect that, on average, a cellular target containing or located near a large ionized GNP $(x < 200 \text{ nm})$ does not suffer a higher concentration of chemical species, compared to the ionization of a water molecule. However, it may experience a higher occurrence of this event, as the cross section for gold-photon interaction is higher than that of water-photon interaction at these energies. In other words,
- the major effect of gold is not to induce a high concentration boost of chemical species compared to water, but rather to increase the chances of having an ionization, due to a high probability of photon-gold interaction compared to photon-water interaction.

In interpreting our results from a biological perspective, it is important to keep in mind that biological media contain many scavenging elements. Besides, cells might catalyse complex reactions inducing an additional boost of chemical species production. Our calculation may therefore only characterize the primary chemical events at very short time generated by one photo-ionization event. In vitro data showed that GNPs often appear clustered together in vesi-

 cles [23, 24, 25, 26, 27], which might roughly be represented by large GNPs. Our calculated spherical concentrations may be used to estimate the primary

chemical boost experienced by cellular components when they internalize GNPs. Typically, a size of 10 nm may represent the dimension of a membrane; a size of 100 nm may represent the dimensions of an organelle (mitochondria, lysosome);

 a size of 1000 nm may represent the dimensions of a large organelle (large lysosome); a size of 10 000 nm may represent a nucleus. As our calculation showed, the number of chemical species following an ionization event decreases for large GNPs, especially in its vicinity. At first sight, it thus appears less advantageous to have aggregates rather than isolated GNPs. However, if GNPs accumulate in a specific zone of the cell, an accumulation of damages may occur near the GNPs, due to a high probability of photon-gold interaction. Such accumulation

5. Acknowledgment

might be deleterious for the cell.

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