

Evidence of a large "prehistorical" earthquake during Inca times? New insights from an indigenous chronicle (Cusco, Peru)

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1 Evidence of a large «prehistorical» earthquake during Inca times? New insights

- 2 from an indigenous chronicle (Cusco, Peru).
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20 Abstract

A colonial chronicle written by the indigenous Peruvian author Pachacuti Yamqui 21 Salcamaygua ([1613?]) relates a legend of the sudden appearance of a huge animal -22 kilometres in length and approximately 4 m in width - and described as the Andean snake-23 like deity amaru. Pachacuti Yamqui alleged that this fantastic event occurred on the day that 24 the sovereign Pachacuti Inca Yupanqui's eldest son was born around 1440 CE, and was 25 26 named "Amaru". We suggest that the underlying event was an earthquake, and that the propagation of the surface rupture across the landscape resembled a sudden appearance of a 27 snake-like being wriggling over the mountains and leaving an undulating surface trace. The 28 concordance between the snake's route and the layout of a major fault complex above Cusco, 29 as well as several ethnographic testimonies, support this hypothesis. Although little is known 30 about pre-1532 CE seismicity, the current tectonic settings of the Cusco area point to seismic 31 awareness of the Incas (ca.1300–1532 CE). Independent results from architectural and 32 paleoseismological fields in the Cusco area corroborate a significant impact of large 33 earthquakes on local societies. In Peru, without pre-Hispanic written sources, the oral folklore 34 and traditions preserved in Spanish chronicles offer a relevant, but still underexploited 35 resource for identifying paleo-extreme events. Combining multidisciplinary geomorphic 36 observations, archaeological evidence and historical sources, we revisit this legendary episode 37 and its possible implications. 38

40 **1. Introduction**

What could be the nature and degree of influence of thousands of years "long-term" 41 geological dynamics on decade-scale, "short-term", human expansion and evolution? Without 42 considering and adopting a deterministic perspective, this question remains nevertheless 43 44 worthy of debate (Force and McFadgen, 2010; King and Bailey, 2006; Nomade et al., 2016). Severely affected by floods, climate variations, volcanic eruptions, and earthquakes, the 45 Andes appear to be suited well for assessing the influence of natural phenomena on past 46 47 human societies. In Peru, particularly, numerous studies have demonstrated correlations 48 between climatic events and the emergence or demise of archaeological settlements (Binford et al., 1997; Chepstow-Lusty et al., 2003, 2009; Christol et al., 2017; Sandweiss, 2003; 49 50 Sandweiss et al., 2009, 1996). Volcanoes and sudden eruptions have also had a great impact 51 on the worldview and organization of the pre-Columbian cultures (Bouysse-Cassagne, 2006; 52 Bouysse-Cassagne and Bouysse, 1984; Chávez Chávez, 2001; Reinhard, 1983). Few academic investigations, however, seem to have focused on the impact of earthquake activity, 53 and almost exclusively in the Mesoamerican world (Garduño Monroy et al., 2020; Garduño-54 Monroy, 2016). Although valuable work has been carried out in the aftermath of devastating 55 earthquakes that struck the Peruvian coast during colonial and modern times (D'Ercole et al., 56 2007; Seiner Lizárraga, 2013; Walker, 2018, 1999), little has yet been done regarding pre-57 Hispanic seismic risk management and perception. Beyond megathrust earthquakes that affect 58 mainly coastal areas, crustal faults within the Andes present a major threat for local 59 populations as demonstrated by the 1946 Ancash (Silgado Ferro, 1951) and 1950 Cusco 60 (Kubler, 1952) earthquakes. It therefore seems unlikely that earthquakes large enough to 61 rupture the earth's surface did not affect local inhabitants before the Spanish Conquest (1532 62 63 CE).

In the absence of an intelligible pre-Columbian writing system, indigenous oral tradition and 64 65 folk tales preserved in colonial chronicles represent a particularly relevant source of information for the identification and perception of past disastrous events. Often disregarded 66 67 for their lack of scientific rigor and chronological context, transgenerational oral memories originate, however, from empirical environmental knowledge that may describe true natural 68 69 events in an alternative narrative system (Cohen et al., 2017; King et al., 2007; Masse and Masse, 2007; McMillan and Hutchinson, 2002; Nunn, 2014; Troll et al., 2015). Through a 70 71 geological, archaeological and ethno-historical reading, we argue in this paper for a 72 reinterpretation of an extract from Pachacuti Yamqui Salcamaygua's chronicle (Pachacuti

Yamqui Salcamaygua, 1993). Recounting seemingly a mythological event, a passage from the *Relación de antiguedades deste reyno del Piru*, written in the mid-XVIIth century, alludes to
the activation of the major fault system crossing the Cusco Basin. Dated around 1440, it
might be the oldest seismic event reported by written sources in South America.

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78 **2. Background**

79 2.1. Incas and ground motions

While earthquakes have frequently shaken the Cusco region (Peru) in the last 500 years, 80 Spanish chronicles are surprisingly quiet about the occurrence of any seismic event during 81 Inca times (ca. 1300–1532 CE). The only references to earthquakes before the Spaniards 82 arrived are imprecise and come from secondary sources (Garcilaso de la Vega, 1609, pp. 477-83 Lib IX, CAP XIV; Silgado Ferro, 1978, p. 16). This situation is all the more striking because 84 Bernabé Cobo mentioned in his Relación de las guacas del Cuzco (1979, pp. 16-Ch-2:1) the 85 existence of a powerful Inca shrine, Guaracince, whose location was considered as the focal 86 point of ground shakings. Convinced of the occurrence of destructive "prehistorical 87 88 earthquakes" in the Cusco area, Bandelier (1906) was the first to address the issue of the perception of natural hazards by pre-Columbian societies. He demonstrated the relevance of 89 examining and interpreting pre-Columbian legends and myths to assess the volcanic risk at 90 this period, for the Quinsachata and Misti eruptions. 91

In spite of abundant evidence of destruction (burnt daub, collapsed walls, smashed vessels) 92 registered in archaeological sites from the Late Intermediate Period (LIP: 1000-1400 CE) and 93 94 Late Horizon (LH: 1400–1532 CE) around Cusco (Covey, 2006; Kendall et al., 1992; McEwan et al., 2005; Quave et al., 2018; Vargas P., 2007), very few were interpreted as 95 consequences of earthquakes (Fig.1 - note A). The extended layer showing collapsed 96 structures within the site of Warq'ana, near Huchuy Qosqo, constitutes unique evidence of a 97 hypothesized seismic event in the Cusco area (Kendall et al., 1992, p. 224). Even recognizing 98 99 that earthquakes do not provide the sole explanation of such types of evidence (instead, for example, of war, raiding, or ritual behaviour), the small number of inferences of earthquakes 100 101 as the potential cause of destruction is particularly striking.

102 Recent paleoseismological and archaeoseismological research carried out within the Cusco 103 region may shed new light on this topic. The paleoseismological trenches excavated in only 104 two segments of the Cusco Fault System (Palomino Tacuri, 2020; Rosell Guevara, 2018 -105 Fig.1) indicate at least four large and crustal seismic events ($M_w>6$) during the last three millennia, and argue for a reappraisal of the seismic impact on Cusquenan populations.
Although in its infancy, the results of an archaeoseismological survey in Machu Picchu attest
to the occurrence of at least one major and regional earthquake during the occupation phase
(Rodríguez-Pascua et al., 2019). Sudden and violent, this type of natural hazard may have
generated stress and panic in the population, leaving its imprint in the pre-Hispanic
worldview.

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2.2. The *amaru* entity in the Andean worldview

114 In contrast to common snakes called mach'aqway in Quechua (Santo Tomás, 2003, p. 285), amaru describes a mythological and chthonian serpent-like monster (likened to the European 115 116 dragon in the first Spanish description). Based on colonial definitions, the amaru was associated with snakes of great dimension (Gonçález Holguín, 2007, p. 408) and coming from 117 118 the hot and humid Amazonian lowlands (Garcilaso de la Vega, 1609, pp. 196, 221; Huaman Poma de Ayala, 1979, p. 190). This deity, particularly important in the Andean pantheon, and 119 having inspired names of Inca and indigenous leaders, as well as the appellation of the large 120 Inca compound of Cusco, the Amarukancha, seems to have covered a wide spectrum of 121 meanings and cosmologic notions. Urton (1981) described it as a double-headed and rainbow 122 serpent whose extremities are anchored in water sources. Its relation with meteorological 123 processes may have been much broader, such as associations of the amaru with the wet 124 season, with water streaming or lightning (Boelens, 2014). Finally, as Hocquenghem (1989, 125 pp. 212–213) explained, the rupture of equilibrium in the Andean conception of the world, 126 called a *pachacuti*, is often synonymous with the liberation of an *amaru* and its subsequent 127 petrification. Several phenomena could have triggered this disequilibrium: war, epidemics, 128 129 floods, and earthquakes.

Snakes and earthquakes are commonly linked in human folklore, all around the world. The 130 131 animal is often described as a reptile, a serpent, or even a dragon of great dimensions living underground (Ludwin et al., 2007, p. 88). Ground shaking, as occurs during earthquakes, is 132 133 hence explained as the direct consequence of the movement of the serpent-like animal (Fig.2; Kirikov, 1992). The Chumash Indians that inhabited the south coast of California believed in 134 135 the existence of two underground snakes that were responsible of the frequent ground shaking produced by earthquakes on the San Andreas Fault (Fradkin, 1999, p. 70). Although less 136 137 common in Mediterranean legends, the snake or the dragon appears, nonetheless, as a secondary character (Piccardi, 2007, 2005, pp. 98, 103). If this quick overview does not 138

demonstrate a widespread representation of earthquakes by serpent-like entities in past human
societies, it reveals at least a common association between this animal and the seismic
phenomenon, based probably on environmental and ecological observations. Several
references to the snake in the Andean mythology seem to endorse this assumption.

According to Martín de Murúa, a snake called chipiroque ou pichiniqui appeared to the 143 Indians some time before the Huaynaputina eruption (1600 CE) to warn them about this 144 subterranean punishment (Bouysse-Cassagne and Bouysse, 1984, p. 58). In the Huarochirí 145 manuscript (Ávila, 1980), the appearance of an *amaru*, created by the pre-Hispanic deity 146 147 Huallalu Carhuinchu during his legendary struggle with Pariacaca, is also accompanied by intense ground shaking. Similarly, a Jesuit text of 1624 (Polia Meconi, 1999, sec. 37) 148 149 describes how the arrest of a sorceress that was breeding snakes in her house triggered lightning strikes and tremors. As a chthonian creature, related to the underground world, the 150 151 serpent seems to be frequently related to earth motions in the Andean worldview (Gentile, 2017; Hocquenghem, 1989). 152

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3. The study area - The Cusco Valley and its seismic setting

155 At an elevation of 3400 m, Cusco lies in the south-eastern part of Peru, in the Eastern Cordillera. The region displays a long human occupation that dates back to, at least, 156 157 10,000BP. Characterized, until the end of the Middle Horizon (MH: 600–1000 CE) by smallclustered polities, the Cusco Valley experienced then a gradual state formation process 158 throughout the LIP (Bauer, 2018; Covey, 2006) that turned it into the geographical centre and 159 political core of the Inca empire during the XVth century. Densely inhabited since the 160 161 Formative Period (~2000 BCE-400 CE), the Cusco Basin has resulted from the continuous action of active tectonic processes, which probably foster human settlement. 162

The Cusco Basin is an intra-montane valley bounded along its northern margin by a complex 163 of active crustal faults. Trending mainly NW-SE, the Qoricocha, Tambomachay, Pachatusan 164 and Cusco normal faults control the subsidence and the filling of the basin (Benavente 165 Escobar et al., 2013; Cabrera, 1988; Cabrera and Sébrier, 1998 - Fig.1). Overlying the 166 Cenozoic and Mesozoic basement is a thick, extensive layer of unconsolidated lacustrine and 167 fluvial sediment of Quaternary age (Gregory, 1916; Marocco, 1978). Suitable for water 168 169 retention and farming activity that unconsolidated sandy sediment also amplifies seismic 170 waves, producing major site effects and making the area more sensitive to ground motions than where rock is solid. Indeed, the lake deposits are ~300 m thick and show deformed 171

sedimentary structures (seismites), which are secondary effects of earthquakes (Benavente
Escobar et al., 2013, pp. 27–44).

The active tectonic structures crossing the Heartland of the Incas are part of the Cusco 174 Vilcanota fault zone (CVFZ) that generates frequent seismic activity (Fig.1). Historical 175 documents refer regularly to *temblores* (slight shakings) that cause stress and panic (Esquivel 176 y Navia, 1980; Gade, 1970; Palma and Biblioteca Nacional, 1901). Two violent terremotos 177 (devastating earthquakes) in 1650 (Julien, 1995; Villanueva Urteaga, 1970) and 1950 178 (Ericksen et al., 1954; Kubler, 1952) led to these acute reactions. In terms of structural 179 180 impacts, and despite their limited scope, both earthquakes destroyed buildings in the city of Cusco, and the main colonial structures in particular. The high level of amplification of the 181 182 soft sediment lying in the Cusco valley-bottom explains a large part of the severity of the damage. 183

In terms of cultural impact, the high frequency of ground shaking (Silgado Ferro, 1978) has caused a persistent trauma in inhabitants' minds since the Spanish Conquest, while both maintaining and fostering a collective seismic concern. The Lord of the Earthquakes (*Señor de los Temblores*) cult, still celebrated each Holy Monday in Cusco, is one of the most salient examples. The intense devotion attached to the patron saint originated in the 1650 earthquake, but may have taken root in a pre-Columbian belief (Gade, 1970; Hajovsky, 2018; Rossano Calvo, 1994).

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4. Methods

193 4.1. Historical material

Juan de Santa Cruz Pachacuti Yamqui Salcamaygua was an indigenous chronicler living 194 during the XVIIth century. We know little about him, for his own writings constitute the only 195 source of information. Native of the Canas-Canchis region, approximately 130 km southeast 196 of Cusco, he claims a direct familial ascendency in the local curacas of Guaygua Canchi, 197 198 which soon converted to Catholicism. Through his Relación de antiguedades deste reyno del Piru, Pachacuti Yamqui (1993) claims to have written about the main events that shaped the 199 200 history of Peru. Imbued with catholic references (Duviols, 2017), his text is, arguably too 201 often, regarded exclusively as an early indigenous Christian worldview. Because of the direct 202 influence and contribution of the oral tradition in his work, we consider this source particularly valuable for studying mythological stories coming from the local collective 203 204 memory. In his presentation of the Inca sovereign Pachacuti Inca Yupanqui, Pachacuti

Yamqui wrote about a fantastic event that allegedly occurred the day of birth of the eldest sonof the emperor.

"In that time, they say that news arrived of a miracle in Cuzco, consisting of something 207 like a *yauirca* or *amaru* [snake in Aymara or Quechua, respectively – note B] that had 208 209 emerged from the mountain of Pachatusan, a very fierce beast, half a league long and thick, of two and a half fathoms in width, and with ears and fangs and whiskers. And it 210 came by Yuncay Pampa and Sinca, and from there it entered the lake of Quibipay, and 211 so, two sacacas of fire came out of Aosancata, and [one] passed Pontina of Arequipa; 212 213 and the other came down to and passed *Guamanca*, where there are three or four very high mountains covered with snow, those in which they say that there are animals with 214 215 wings and ears, and tails and four feet, and on top of their backs many spines like a fish, and from afar they say that it appeared to them [to be] all fire. (f.21-21v / Translation 216 modified from Bauer and Dearborn, 1995, p. 148 – note C) 217

The extract cited above reports the sudden appearance of a snake-like animal, commonly 218 called *amaru* in the Andean mythology. Due to the importance of the event, the Inca 219 Pachacuti decided to name his son Amaru Tupa Yupanqui. The supernatural entity had 220 impressive dimensions: 2.75 km long and almost 4.2 m thick (note D). The quoted text 221 describes the pathways of the snake to different key places along the traces of active faults 222 223 that define the geologic landscape of Cusco. The snake appeared at Pachatusan (point 1 on 224 Fig.3), the highest mountain in the Cusco valley and considered sacred by the Incas (Silva Gonzales and Loza García, 2007). The animal continued westward along the ridge crest that 225 borders Cusco to the north. It crossed "Yuncay Pampa" known currently as Yuncaypata (point 226 227 2 on Fig.3), a small locality 4 km north of Cusco, and then passed through "Sinca" that corresponds to the Singa Mountain (point 3 on Fig.3). It finally vanished in the Quibipay 228 229 lagoon (point 4 on Fig.3).

The exact location of the latter remains unknown, up to now. Mentioned a second time in the book by Pachacuti Yamqui, the place was defined as a flat area where Atahualpa gathered the main ethnic leaders of Cusco after the final battle against the Huascar's army (Pachacuti Yamqui Salcamaygua, 1993, p. 178 f.42). Others placed it one league, i.e. approximately 5 km, from Cusco (Mendiburu, 1902, p. 74), west of Cusco behind the Sinqa Mountain (Zuidema, 1974, p. 215), or north-east of Cusco (Gentile, 2017, p. 310).

237 4.2.Geomorphological analysis

We combined the analysis of the historical source with a geomorphological and neotectonic investigation. Topographical data from the Cusco Basin were processed using two Digital Elevation Models (DEM). The creation of a high-resolution DEM (1.5m) based on Pleiades images and covering the western part of the Cusco Basin allows us to relate the location of the Quibipay lake with a classic feature of tectonic geomorphology. To this end, topographic features were studied thanks to basic GIS analysis including a slope map, hill shadings, and topographic profiles.

245 Based on a larger ASTER DEM (30m) we executed a "visibility analysis" from the archaeological site of Amaru Marka Wasi, settled on a calcareous plateau above Cusco 246 247 (AMW on Fig.3). Composed mainly of rocky outcrops containing carvings this site was the seventh shrine of the first ceque of the Antisuyu (An 1:7 in Bauer, 1998, p. 76). Considered to 248 249 be the former residence of the eldest son of the sovereign Pachacuti Inca Yupanqui, the site 250 most probably was dedicated to ceremonial activities. Due to its sacred character, its geographic proximity with the localities mentioned in the story, and its direct relation with 251 Amaru Tupa Yupanqui, we considered it worth questioning the link that may have existed 252 between the outcrop and the Amaru story. The "visibility analysis" realized thanks to Global 253 Mapper software allows us to display the area visible from the summit of the outcrop. This 254 particular GIS analysis highlights the main features of the environment visible from the site 255 and provides some indications of its ceremonial purpose. We fixed as initial parameters an 256 257 observer of 1.65m tall and, a visibility radius from 20 km, and we kept the standard value of 258 atmospheric correction (1.333 - Brunner, 1984). Field reconnaissance carried out around the archaeological site allowed to validate the region visible from the site. Finally, the GIS 259 260 platform enabled us to cross check the results with places mentioned in the text and distribution of the main faults (Benavente Escobar et al., 2013). 261

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5. Results and discussion

264 5.1. Archaeological places as "geolandmarks"?

The present analysis led us to identify a small community now belonging to the extended agglomeration of Cusco, Cruz Verde de Quehuepay (Point 4 on Fig.3), as the former Quibipay plain and lagoon mentioned in the text of Pachacuti Yamqui. As commented in 4.1., this place seems to have held a special significance for the inhabitants of the Cusco region, since it is the place chosen by the sovereign Atahualpa and his court to speak to the main kingroups and to request their obedience after he defeated his brother's army.

The etymological similarity of Quehuepay and Quibipay as well as its distance from the 271 Cusco historic centre (less than 4 km westward) strongly support the placement of the 272 Quibipay lagoon in Cruz Verde de Quehuepay. In spite of the present dense urbanization, the 273 new high-resolution DEM clearly highlights two important facts. First, Cruz Verde de 274 Quehuepay occupies the flattest part of the area (~2% of declivity) and lies against the Cusco 275 fault scarp (CVQ on Fig.4). Second, the small lagoon seems to have formed as a sag pond, a 276 277 classic feature of tectonic geomorphology (Burbank and Anderson, 2011) that develops where ruptures bend or where fault segments are offset from one another; in either case the floor of 278 279 the pond drops with respect to surroundings during recurrent seismic rupturing (Fig.5).

Hence, the Quibipay plain, as well as the other toponyms mentioned in the legend, can be associated directly with active tectonic structures and supports a seismic interpretation of the Pachacuti Yamqui's extract. Three large fault segments run through the region where the legend takes place (the Cusco, Tambomachay and Pachatusan faults) and match with the Amaru's route (Fig.3).

The "visibility analysis" computed from the high-resolution DEM at Amaru Marka Wasi enhanced the observation that standing atop the rocky promontory it is possible to see each of the places mentioned by Pachacuti Yamqui (Fig.3). Instead of providing an overview on the Cusco valley bottom and the Inca city, the archaeological site offers a wide panorama of the mountain ridges bordering the northern part of the Cusco Basin. The Tambomachay fault, which runs all along the mountainside, marks a clear topographic anomaly and forms the most salient element of the landscape (Fig.6).

It is even more striking that all the sites mentioned in the legend and settled along the fault 292 segments contain important venerated places. Pachatusan, Picol and Singa were three of the 293 294 six most important sacred mountains in the Cusco region and hosted places of worship. Not only was Paukarcancha (Pa in Fig.3), an important ceremonial and pilgrimage site displaying 295 Inca material, built on the top of Pachatusan (Bauer, 1998, p. 94; Silva Gonzales and Loza 296 García, 2007), but the Picol summit (Pi in Fig.3) and the Sinqa waka located on the southern 297 flank of the Singa Mountain (Si in Fig.3) were also significant places of offerings. We suggest 298 that just as ceremonial sites in the southern part of the Inca Empire are associated with 299 volcanoes (Chávez Chávez, 2001; Reinhard, 1983), the sacred dimension of some Inca 300 shrines of the Cusco Basin are related to this tectonic landform resulting from active faults. 301

303 5.2. A wavy fault scarp

Based on anthropological studies, Zuidema (1974, p. 215) interpreted the snake described in the Pachacuti Yamqui story as a metaphor of a rainbow. Unable to verify the veracity of this association, however, he simply offered a conceptual discussion about Andean mythological representations. Nonetheless, Bandelier (1906) and Gentile (2017) have noticed the geological interest of the passage, and postulated yet, without providing convincing arguments, the hypothesis of a volcanic eruption or seismic event.

In the landscape above Cusco, anywhere fault scarps cut moraines as in Tambomachay, 310 311 Pachatusan or many other localities, a peculiar feature stands out: a serpent-like form of the scarp (Fig.7). Moreover, in some interviews in the Huancavelica province (Zuidema, 1967, p. 312 313 49) as well as in our conversations in the southern part of the Cusco region (Sicuani), local people have described openings in the ground or cracks as "amaru". Cristóbal de Albornoz 314 315 (Duviols, 1967, p. 23) corroborates the frequent association of snakes and geological features in the Andean mythology. The reader may notice that the little quebrada north of Picol 316 317 summit and driven by one Pachatusan fault segment is currently called Amaro (Fig.3). We can easily suppose that pre-Hispanic populations observed the undulating form of the fault 318 trace in the landscape and tried to relate it to something familiar. It is noteworthy that the size 319 of the creature could be consistent with a segment of a surface rupture approximately 2.5 320 kilometres long and an opening of several meters in width. Relying on rupture of such 321 dimension and considering the seismic disaster of 1950 only associated with small-scale 322 surface rupturing (Cabrera, 1988; Ericksen et al., 1954), we postulate that this "prehistorical" 323 earthquake generated its highest intensities within the Cusco Basin. 324

325 Normal faults, which mark the fronts of many of the ridges in the Cusco region (Benavente 326 Escobar et al., 2013) differ from strike-slip faults, like the San Andreas Fault in California, whose traces at the earth's surface are straight for tens of kilometres. Instead, normal faults, 327 328 commonly consist of short segments – a few kilometres long – that, when linked together, form an escarpment that undulates across the landscape. Thus, whereas the trace of a strike-329 slip fault bears little resemblance to a snake, linked normal-fault segments can easily 330 engender such an image, especially during trauma induced by severe ground shaking. 331 332 Moreover, when an earthquake occurs, slip does not suddenly, and simultaneously, occur on all of the linked fault segments; rather, slip initiates at the epicentre, and the locus of slip 333 334 propagates away from it at a speed of ~1-3 km/s. Thus, for a fault that is, say, 30 km long and visible to a single observer, approximately 10 to 30 seconds will elapse as the locus of slip 335

wriggles across the landscape from start to finish. As slip on faults during earthquakes commonly occurs at ~1 m/s (Bizzarri, 2012; Brune, 1970), and total amounts of slip during earthquakes of the magnitude that have caused damage in the Cusco region are commonly 1-2 m, slip at any point on the fault will last ~1-2 seconds. Thus, slip within a segment of the rupture will occur concurrently, but segments several kilometres apart will not slip simultaneously, enhancing the undulating and emerging serpent-like aspect of the landform (Fig.7).

- As explained in 2.2., the emergence of a chthonian creature within the world of the living was 343 344 understood in the Andean worldview as a *pachacuti*, a time and space upheaval, disturbing the entire course of action (Bouysse-Cassagne and Bouysse, 1984, p. 57; Huaman Poma de 345 346 Ayala, 1979, p. 68). The amaru in Quechua and its rough translation yauirca in Aymara (Bertonio, 1879, p. 396), correspond to one of these subterranean entities that may surge in 347 348 the context of destructive events such as landslides and earthquakes. The monstrous creature remains then petrified and turns one landscape feature into the remnant of a geological 349 350 phenomenon (Hocquenghem, 1989, pp. 212-213). The landmark is thus the sign and metonymy of the pachacuti and the restoration of order. A document from the quipucamayocs 351 352 seems to confirm the occurrence of a *pachacuti* in the region during Inca times and its close 353 relation with the Quibipay place, identified in this work. That document, indeed, quotes the following words of the Atahualpa generals addressed to the ethnic leader in Quibipay after the 354 355 fratricidal war between Atahualpa and Huáscar: "once again should begin (a new world) of Ticcicapac Inga" (Randall, 1987, p. 92). The Atahualpa generals consider their victory over 356 Huáscar as a new upheaval and seem to refer to a previous one that would have taken place in 357 Quibipay. Despite the less devastating impact of a tectonic event, we can easily consider a 358 large fault rupture affecting the capital of the Inca Empire as a tremendous turmoil. 359
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- 361 5.3."And so, two sacacas of fire came out…"

The second part of the extract from Pachacuti Yamqui Salcamaygua's chronicle deals with the simultaneous appearance of two balls of fire (*sacacas*). The meaning of this Aymara word is not entirely clear, but could be defined as a fire emanating visibly in the sky, and different from comets, which are called *wara-wara hali* by Aymara speakers (Bertonio, 1879, p. 304). Previous studies did not establish a direct link between this celestial event and the occurrence of the snake. These "fire exhalations" were understood as merely simple falling stars or indirect evidences of the eruption of El Misti (hundreds of kilometres to the west near Arequipa) dated between 1440 and 1470 CE (Bandelier, 1906; Thouret et al., 2001). We
suggest two new possible interpretations of this phenomenon.

During colonial times tremors in Cusco were frequently symbolically associated with celestial 371 events (Anónimo, 1819; Esquivel y Navia, 1980). Although we are not able to explain why 372 both phenomena are commonly linked, the appearance of a comet could be mentioned as a 373 divine sign after a catastrophe. The great influence of Christianity in the writings of Juan de 374 Santa Cruz (Duviols, 2017) would support that hypothesis. The second explanation is based 375 on the still poorly explained, but sometimes observed "earthquake lights" (EQL) that have 376 377 been reported before or during the ground shaking from major earthquakes (Fidani, 2010; Stothers, 2004; Whitehead and Ulusoy, 2015). The sacacas could be an expression of fireball-378 379 like lights described in various places such as in Lima during the 2007 Pisco earthquake (Heraud and Lira, 2011) as well as in L'Aquila in 2009 (Fidani, 2010). Theriault et al. (2014) 380 381 noted that reports of earthquake lights seem to be more common in tectonic environments 382 with crustal extension such as the Cusco Basin.

383

6. Conclusions

In ancient and modern Peru, earthquakes frequently shook the ground, modified the landscape, and devastated human settlements. In Cusco, time after time, people built and rebuilt monumental architecture in the vicinity or even along seismically active faults. Ongoing research indeed demonstrates the resilience of Inca buildings affected by past earthquakes (Hinzen and Montabert, 2017; Rodríguez-Pascua et al., 2019).

390 How much the Incas knew about earthquakes and faults is unclear. Described as a small piece of land where ground motions occurred, Guaracince (Ch. 2:1 - Cobo, 1979, p. 16) constitutes 391 392 a unique direct reference to an Inca worship site related to earthquakes. Our interpretation of the latter myth suggests nonetheless that the relationship between ceremonial Inca sites and 393 394 regional faults may be much closer and that modern archaeology and anthropology may have underappreciated the cultural significance of earthquakes for the Inca culture. This situation 395 396 echoes the well-established influence of the tectonic environment on the development of 397 sanctuaries in the Aegean area (De Boer and Hale, 2000; Partida, 2017; Stewart and Piccardi, 398 2017).

Historical and "prehistoric" seismicity must deal with large earthquakes that predate
instrumental seismology. Without an instrumental record, the identification and
characterization of ground-shaking episodes require a multi-proxy approach, combining

analyses not only of paleoseismological trenches and sediment cores, archaeological and
 neotectonic observations but also interpretations of (ethno)historical sources.

Given that first generation accounts from Spanish chroniclers did not mention any major 404 ground-shaking episodes in the Cusco region during pre-Columbian times, the reader may 405 legitimately wonder why we have based our discussion on a later indigenous chronicler. 406 407 Although descendants of Inca people do not seem to have referred directly to such violent natural phenomena, we argue that earthquake stories may still be "hidden" in mythological 408 episodes told to the first conquistadores. We consider thus the Amaru tale extracted from 409 410 Pachacuti Yamqui's chronicle as a relevant example of a disastrous event preserved indirectly 411 in a legendary story and transmitted over several generations. The "seemingly mythological" 412 content of the Relación de antiguedades deste reyno del Piru does not preclude its analysis as a valuable source of information. Moreover, it suggests that an earth science-based approach 413 414 can offer relevant insights regarding the nature and origin of myths in a given geodynamic and cultural setting. 415

Thus, despite the metaphorical dimension, various elements make the seismological interpretation of the legend plausible and worthy of consideration. We summarize again the arguments, enounced above, in favour of our hypothesis:

- the similarity of the Amaru's route to the layout of the main Cusco fault complex;

420 - in the south-eastern part of the Cusco region, indigenous people describe faults scarps
421 as "amaru".

- the lake where the snake vanished might be interpreted as having been a sag pond.
 Quibipay, known currently as Cruz Verde de Quehuepay, lies at the foot of the Cusco
 fault scarp; the snake diving into the water would be therefore a metaphor for the
 rupture ending in the lake.
- bright lights likened to fire described in the same extract by Pachacuti Yamqui might
 be linked with earthquakes, given the recurrent association of comets with earthquakes
 in colonial texts or as the occurrence of "earthquake lights".
- Following the traditional chronology of the Inca emperors made by John Rowe (1945, p.
 277), we date the potential earthquake, which occurred apparently around the December
 solstice (*Qhapaq Raymi*), between approximately 1438 CE (the beginning of Pachacuti's
 reign) and 1463 CE (when the second son of Pachacuti took command note E).
 Finally, this study highlights the relevant contribution that legends and myths in past risk
- 434 perception and management may bring. Due to the mythological content, inherent to this type

of source, geologic phenomena remain roughly constrained in terms of chronology. However,
folk tales and testimonies have yet proved their worth for describing pre-instrumental
earthquakes, in particular, in the San Andreas Fault region (MacLean, 1979; Meltzner and
Rockwell, 2004). A renewed paleoseismological and archaeoseismological effort is needed to
confirm the occurrence of such large seismic event during Inca times and affecting the Cusco
Basin. Improved characterization and mapping of the destructive evidence affecting the LIP
and LH settlements would provide useful complementary data.

442 According to the World Bank's Independent Evaluation Group, the number of events considered as natural disasters grew by 200% between 1975 and 2015, which demonstrates 443 the increased threat for our modern societies (CRED, 2015; IEG, 2006). Increasing resilience 444 445 to future earthquakes in the Cusco area will require fostering innovative approaches to address past human coping strategies. Cross-disciplinary interactions are shown here to be 446 447 necessary to study such an historic area, ranging from human and social sciences (e.g. archaeology, history, anthropology, sociology...) to physical and natural disciplines (e.g. 448 449 geology, seismology, hydrology, and geography) and engineering (e.g. architecture), with each, from its own perspective, providing new interpretations for improving hazard 450 451 assessment.

452

453 **Footnotes**

- A) We are not claiming in any way that the earthquake occurrence may explain all the evidence of destruction registered during the Killke (LIP) and Inca (LH) periods.
 Bearing in mind the absence of consideration of earthquakes in almost all the investigation interpretations, we may nonetheless wonder to what extent the current lack of awareness of the seismic risk might have affected interpretations of the archaeological records. We thus stress the need for future investigations to take into account the impact of this natural hazard.
- B) Juan de Santa Cruz Pachacuti Yamqui Salcamaygua came from an Aymara-speaking area, but in process of "Quechuaisation" during Inca and colonial times (Itier, pers.comm.). This particular situation explains the combined use of those two languages in his chronicle.
- C) "En este tiempo dizen que llegó la nueba como en el Cuzco ubo un milagro que como 465 un yauirca o amaro abía salido del serro de Pachatusan muy fiera bestia, media 466 legua de largo y gruesso de dos bragas y medio de ancho, y con orejas y colmillos <y 467 barbas>¹. Y viene por **Yuncay Pampa** y **Sinca**, y de allí entra a la laguna de 468 Quibipay, y entonges salen de Aosancata dos sacacas de fuego, y passa a Potina <de 469 Ariquipa>, y otro viene para más abaxo de Guamanca, que está y tres o quatro serros 470 muy altos cubierto de niebes, los quales dizen que eran animales con alas y orejas y 471 colas y quatro pies, y encima de las espaldas muchas espinas como de pescado, y 472 desde lejos dizen que les parecían todo fuego." (Pachacuti Yamqui Salcamaygua, 473 1993, p. 157-f.21–21v) 474
- 475
- Por caussa deste **amaro** puso por nombre a su hijo **Amaro Yupangui** (A III).

- D) We consider 1 league = ~ 5.5 km and 1 fathom = ~ 1.6718 m (Bauer, 2018, p. 47) 476 E) We base our chronological interpretation on the traditional Inca's chronology 477 elaborated by John Rowe. He considered that Pachacuti Inca Yupanqui ruled between 478 approximately 1438 CE and 1471 CE, based on colonial texts and calculations of the 479 number of years remaining until the Spanish Conquest. Since he died quite old (~70-480 481 80) according to chronicles, it seems probable that Amaru Tupa was born around 1440 CE. Our assumed dating is, for sure, tentative due to the questions that still surround 482 Inca genealogy and succession (Duviols, 1979; Marsh et al., 2017; Meyers, 2019; 483 Ogburn, 2012), but should be regarded as a baseline for future paleo-and 484 archaeoseismological investigations. The assumed relation between the Amaru legend 485 and the name of the eldest son of the emperor Pachacuti justifies the dating attempt. 486
- 487

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Fig.1. Map listing the archaeological evidence of destructive events (without a determined origin) dated from the Late Intermediate Period and the Late Horizon in the Cusco area (Covey, 2006; Kendall et al., 1992; McEwan et al., 2005; Quave et al., 2018; Vargas P., 2007). The earthquake hypothesis was only alleged in one case.



Fig.2. Wiggling of a Dragon-like creature as a metaphor of the ground motion during an earthquake: "let us resort to some imagination to fancy the picture of ground motion under a structure during an earthquake. In my opinion, it can be best illustrated by an image of a gigantic snake-like dragon moving under the building by throwing its body into vertical loops" (Kirikov, 1992, p. 11).



Fig.3. Map of the Cusco valley showing the layout of the Pachatusan-Tambomachay-Cusco Fault System as well as the geographical places (red stars) mentioned in the Pachacuti Yamqui's text. 1- Pachatusan; 2- Yuncaypata; 3- Sinqa summit 4- Cruz Verde de Quehuepay. "Pa" refers to the Paukarcancha site, "Pi" to the Picol summit and "Si" to the Sinca huaca. White areas show what can be seen from the Amaru Marca Wasi site (black point, AMW) and therefore highlight that large segments of the fault system are visible from that spot.



Fig.4. Topographic map of the Quehuepay area. The black star marks the location of Cruz Verde de Quehuepay (CVQ). The gentle slope illustrated in the topographic profile (AA') explains easily the former name Quibipay *pampa* and its ability to retain water.



Fig.5. A) Sketch of a "sag pond". A depression develops in the shear zone of the fault and interrupts the drainage. The subsequent lakes accommodate along this tectonic landform and highlight the fault trace even in steep landscapes. B) Example of sag pond at the foot of a Pachatusan fault segment.



Fig.6. Panorama from the Amaru Marca Wasi archaeological site. The Tambomachay Fault Segment and the Picol landslide represent the most salient features in the landscape visible from that location. Note that the Pachatusan Fault segment is also visible in the background, forming a comprehensive panorama of the "snake like" scarps.



Fig.7. A) Apotropaic figure in architectural elements, the serpent carved motif is a common representation in Inca/colonial walls of Cusco (photograph: Beaterio de las Nazarenas – Siete Culebras). B) Qoricocha fault scarp and C) Langui Layo fault segment. In a similar fashion to modern observers, people during pre-Columbian times might have easily perceived these landforms as a serpent making its way underground.











B) NE

Interrupted Channel

10m

SW

Pachatusan Fault System



Tambomachay Fault Scarps

PICOL

Tambomachay Fault Scarps

PACHATUSAN

Pachatusan Fault Segment

Picol Landslide

