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#### Diverging taxonomic and functional trajectories following 1

#### disturbance in a Neotropical forest 2

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# 12 ABSTRACT

13 In the current global change context, it is urgent to anticipate the fate of tropical forests. 14 This means understanding tree community response to disturbance and the underlying 15 processes. In that respect, we aim here to clarify taxonomic and functional post-disturbance 16 trajectories, and determine the scope of the Intermediate Disturbance Hypothesis (IDH) that 17 remains debated in tropical forests. We analyzed community trajectories following a 18 disturbance gradient from 10 to 60% of above-ground biomass loss in a Neotropical forest 19 over 30 years. We considered trajectories along time of community taxonomic and functional 20 trajectories in terms of richness, evenness, composition, and redundancy. We based on the 21 annual botanical inventories of 75 ha of a Neotropical forest and on large trait datasets 22 comprising seven leaf, stem, and life-history traits. We identified a decoupling between 23 taxonomic composition, differing among communities, and functional composition, similar 24 among communities and convergent in the functional space. The taxonomic diversity 25 followed humped-shaped trajectories along time after disturbance depending on the initial 26 disturbance intensity, which validated the IDH (Intermediate Disturbance Hypothesis). The 27 functional diversity trajectories, however, were homogeneous among plots and dismissed 28 the IDH. We explained this decoupling by the variations in community functional 29 redundancy that mitigated the functional impact of disturbance. Although consistent, the 30 recovery of community composition, diversity, and redundancy remained divergent from 31 the initial state after 30 years. These results acknowledged the need of decades-long cycles 32 without disturbance to ensure community complete recovery.

33 KEY WORDS Community Ecology, Disturbance Trajectories, Intermediate Disturbance
 34 Hypothesis, Mid-term Resilience, Neotropical Forests, Taxonomic and Functional
 35 Biodiversity

#### 36 **1. INTRODUCTION**

37 The large areas covered with tropical forests worldwide hold crucial environmental, economic, and social values. They provide wood and multiple non-timber forest products, 38 39 shelter a diversified fauna, and ensure cultural and human well-being. They regulate local 40 and regional climates, as well as carbon, water and nutrient cycles. However, the growing demand in forests products together with current global changes increase the pressure on 41 42 remaining undisturbed forests (Morales-Hidalgo, Oswalt, and Somanathan 2015). These threats may change the frequency and magnitude of the natural disturbance regime that 43 defines and maintains the structure, composition, and functioning of tree communities 44 45 (Schnitzer and Carson 2001; Anderson-Teixeira et al. 2013; Sist et al. 2015). To anticipate 46 the fate of tropical forests, it is urgent to understand tree community response to 47 disturbance, and the underlying ecological processes. The forest cover is generally maintained following disturbance, but modifications in the fluxes of light, heat, and water 48 49 (Goulamoussène et al. 2017) change community abiotic and biotic environments. These 50 changes translate into post-disturbance community trajectories that have been largely 51 studied through trajectories of forest structural parameters such as aboveground biomass, 52 tree height or stem density (Piponiot et al. 2016; Rutishauser et al. 2016). Some of the 53 determinants of post-disturbance biomass trajectories are already identified, like the 54 structure and composition of the pre-disturbance community, or the post-disturbance

environmental parameters (Hérault and Piponiot 2018). Community diversity and
composition trajectories, however, have not been as thoroughly understood (Guitet et al.
2018; J. F. Molino and Sabatier 2001), and manifold biodiversity trajectories might emerge
given the variety of species response to disturbance and the diversity of tropical forests
(Lindenmayer, Burton, and Franklin 2012; Garcia Florez et al. 2017).

60 An early conceptual basis of the linkage between biodiversity and disturbance is the 61 Intermediate Disturbance Hypothesis (IDH). The IDH states a relationship between the 62 community diversity and the intensity and frequency of disturbance events, and postulates 63 a diversity peak at intermediate level of disturbance (Connell 1978). This is based on the 64 fluctuations of community environment following disturbance that foster both competitively 65 superior species and fast colonizers, and prevents competitive exclusion (Shea, Roxburgh, 66 and Rauschert 2004; Pulsford, Lindenmayer, and Driscoll 2016). In tropical forests, some 67 studies advocate community deterministic response to disturbance, as for example in the 68 dry and wet forests in Ghana (F. Bongers et al. 2009) or in the guianese rainforests (J. F. 69 Molino and Sabatier 2001), where intermediate disturbance proved to increase the 70 community richness by increasing the proportion of pioneers or heliophilous species in the 71 community while maintaining the richness in old-growth forest species. In other cases, 72 however, observations of the IDH diverge from theoretical expectations (Randall Hughes et 73 al. 2007; Sheil and Burslem 2003; Norden et al. 2017). Some studies refute the role of 74 disturbance intensity, as observed in forest gaps in Panama where no difference in species 75 richness was found between forest gaps and undisturbed areas (Hubbell 2001), or in costa-76 rican forests where forests that did not follow deterministic convergence display stochastic 77 trajectories (Norden et al. 2015).

The high diversity of tropical forests may foster the emergence of numerous facilitation, adaptation, and inter- and intra-specific competition following disturbance (Garcia Florez et al. 2017; F. Bongers et al. 2009). These interactions can result in a variety of responses to disturbance (Lindenmayer, Burton, and Franklin 2012), which question the validity of the IDH in tropical forests (Hubbell 2001; Fox 2013; Sheil and Burslem 2013).

83 Analyzing community response to disturbance and grasp all aspects of community changes 84 requires a wide array of metrics (Sheil and Burslem 2003; Shea, Roxburgh, and Rauschert 85 2004; Mayfield and Levine 2010). The analysis should first consider community 86 composition, which is crucial for conservation issues and reveals the pool of species fostered 87 or hampered by disturbance (S. Lavorel and Garnier 2002; Bellwood et al. 2006). This should 88 be completed with diversity metrics encompassing both community richness and evenness 89 to assess the changes in community abundance distribution. Besides, functional approaches 90 have been shown to usefully complement pure taxonomic approaches as they shed light on 91 the species biological attributes directly linking community diversity, composition, and 92 redundancy to ecosystem functioning (Violle et al. 2007; Baraloto et al. 2012). In that respect, 93 a vast literature allowed recognizing major traits representing species ecological strategy 94 and determining how they respond to changing conditions (Díaz et al. 2005). Specifically, in 95 tropical forests, the functional approach revealed the emergence of deterministic processes 96 following disturbance. Such deterministic processes entailed a shift from a dominance of 97 "conservative" slow-growing species dealing with scarce resources, to a dominance of 98 "acquisitive" fast-growing species with rapid and efficient use of abundant resources (Rees 99 et al. 2001; Reich 2014; Hérault et al. 2011). This shift is translated into the trajectories of 100 average community value of key functional traits related to resource acquisition, as leaf and

stem traits, and life-history strategy, as seed mass and maximum size (Wright et al. 2004;
Steege et al. 2006; Westoby and Wright 2006; Chave et al. 2009).

The functional approach also encompasses the analysis of functional redundancy, which quantifies the amount of shared trait values among species (Carmona et al. 2016). The typical high functional redundancy of hyper-diverse tropical forests (Bellwood et al. 2006) mitigates the impacts of species removal on ecosystem functioning, and determines community resilience after disturbance (Elmqvist et al. 2003; Díaz et al. 2005).

108 Here, we monitored over 30 years the response of 75 ha of Neotropical forest plots set up 109 on a gradient of disturbance intensity, from 10 to 60% of above-ground biomass (AGB) loss. 110 We made use of a large functional traits database encompassing major leaf, stem, and life-111 history traits in order to draw the taxonomic and functional trajectories in terms of richness, 112 evenness, composition, and redundancy. Specifically, (i) we drew taxonomic and functional 113 post-disturbance trajectories and examined the underlying ecological processes, (ii) we 114 discussed the scope of the IDH regarding taxonomic and functional facets of community 115 diversity, and (iii) we analyzed community resilience and time to recovery. We hypothesized 116 that community functional and taxonomic trajectories might not track each other, given the 117 high functional redundancy in tropical forests. While functional diversity would be enhanced 118 by the environmental changes following disturbance, specifically the increase in light 119 availability, taxonomic diversity would only increase until a disturbance intensity threshold, 120 as pioneers recruited following disturbance are not as diverse as late-successional species. 121 Community taxonomic and functional trajectories would hence follow different rates of 122 recovery.

#### 123 **2. MATERIAL AND METHODS**

#### 124 2.1. STUDY SITE

125 Paracou station in French Guiana (5° 18'N and 52° 53'W) is located in a lowland tropical 126 rain forest in a tropical wet climate with mean annual temperature of 26° C, and mean annual 127 precipitation averaging 2980 mm.y<sup>-1</sup> (30-y period). The climate comprises a 3-month dry 128 season (< 100 mm.month<sup>-1</sup>) from mid-August to mid-November, and a one-month dry season 129 in March (Wagner et al. 2011). Across all plots, elevation ranges from 5 to 50 m, and the 130 topography mainly corresponds to hilltops or hillsides, while bottomlands cover less than 1 131 % of the area. Plots are shallow ferralitic acrisols over a layer of transformed saprolite with 132 low permeability and lateral drainage. Soil conditions are homogeneous, to the exception of 133 the highest hilltops where the thick surface allows a free vertical drainage (Gourlet-Fleury, 134 Guehl, and Laroussinie 2004).

The experiment is a network of twelve 6.25 ha plots, distributed over a 400ha area (Table
1, Supp. Mat. Fig. S1), that undergone three disturbance treatments in 1987 according to a
randomized plot design (Gourlet-Fleury, Guehl, and Laroussinie 2004).

The experiment intended to simulate logging intensities and distinguished the treatments for non-commercial and commercial species, logged at 40cm DBH to simulate thinning operations. The experiment comprised three replicates of three sylvicultural treatments (hereafter plots T1, T2, and T3), and three control plots (T0). Control plots are set in mature forest stand that did not undergone any logging nor intense anthropic activities for the last 500 years. All treatments T1, T2, and T3 comprised the logging of 10 trees/ha with 50 cm minimum DBH that belonged to a set of 58 commercially exploited species (Gourlet-Fleury,

145 Guehl, and Laroussinie 2004). Treatment T2 additionally comprised a thinning treatment by 146 poison-girdling of non-commercially exploited species randomly selected with an average of 147 30 trees/ha with 40 cm minimum DBH. Treatment T3 additionally comprised the logging of 148 15 trees/ha with 40 cm minimum DBH, and the poison-girdling of 20 trees/ha with a 50 cm 149 minimum DBH, all belonging to non-commercially exploited species. Considering the 150 silvicultural treatments and the following damage, disturbance intensity was measured as 151 the percentage of aboveground biomass (%AGB) lost between the first inventory in 1984 152 and five years after disturbance (Piponiot et al. 2016) estimated with the BIOMASS R 153 package (Réjou-Méchain et al. 2017). Tree diameter were provided in the experimental 154 dataset, wood density was extracted from global wood density (GWD) database (A. E. Zanne 155 et al. 2009), and tree height was retrieved from a generic H–D model based on a single 156 bioclimatic predictor (eqn 6a in (Chave et al. 2014)). The AGB was measured from the 157 generalized allometric model eqn 4 of (Chave et al. 2014). The three treatments were then 158 transformed into a continuous disturbance intensity gradient with increasing above-ground 159 biomass (AGB) loss.

Table1. Intervention table, summary of the disturbance intensity for the 4 plot treatments in
Paracou. Treatment intensities are defined by the minimum logging DBH (Diameter at Breast
Height), the type of logged species (commercially exploited or not), the density of logged trees,

and the increasing percentage loss of above-ground biomass (AGB) after treatment.

Treatment	Timber	Thinning	Fuelwood	%AGB Lost
Control	-	-	-	0

T1	50 cm ≤ DBH , Commercial species, ≈ 10 trees.ha-1	-	-	[12-33]
T2	50 cm ≤ DBH , Commercial species, ≈ 10 trees.ha-1	40 cm ≤ DBH , non-valuable species, ≈ 30 trees.ha-1	-	[33–56]
T3	50 cm ≤ DBH , Commercial species, ≈ 10 trees.ha-1	50 cm ≤ DBH , non-valuable species, ≈ 15 trees.ha-1	40 cm ≤ DBH ≤ 50 cm, non-valuable species, ≈ 15 trees.ha-1	[35-56]

164

#### 165 2.2. INVENTORIES PROTOCOL AND DATASET COLLECTION

166 The study site corresponds to a tropical rainforest typical of the Guiana Shield with a 167 dominance of Fabaceae, Chrysobalanaceae, Lecythidaceae, and Sapotaceae. In the 12 168 experimental plots, all trees above 10 cm DBH have been mapped and measured annually 169 since 1984. Trees are first identified with a vernacular name assigned by the forest worker 170 team, and afterward with a scientific name assigned by botanists during regular botanical 171 campaigns. In 1984, specific vernacular names were given to 62 common or commercially 172 exploited species. More infrequent species were identified under general identifiers only 173 distinguishing trees and palms. From 2003, botanical campaigns have been conducted every 174 5 to 6 years to identify all trees at the species level. In 2015, however, identification levels 175 still varied among plots and campaigns.

This variability of protocols in time raised methodological issues as vernacular names usually correspond to various botanical species. This resulted in significant taxonomic uncertainty that had to be accounted for in the measure of composition and diversity metrics. Uncertainty propagation was implemented within a Bayesian framework using vernacular/botanical names associations to reconstitute complete inventories at genus level from real incomplete ones. Vernacular names were replaced through multinomial trials based on the association probability  $[\alpha_1, \alpha_2, ..., \alpha_V]$  observed across all inventories between each vernacular name *v* and all species  $[s_1, s_2, ..., s_N]$ :

184 
$$M_{\nu}([s_1, s_2, \dots, s_N], [\alpha_1, \alpha_2, \dots, \alpha_V])$$

See Supplementary Materials -Fig. S2 and Aubry-Kientz et al. (2013) for the detailedmethodology.

187 Six functional traits representing the leaf economics (*i.e.* leaf thickness, toughness, total 188 chlorophyll content, and specific leaf area), and the stem economics (*i.e.* wood specific 189 gravity and bark thickness) were obtained from the BRIDGE project.<sup>1</sup> Trait values were 190 assessed from a selection of individuals located in nine permanent plots in French Guiana, 191 including two in Paracou, and comprised 294 species belonging to 157 genera. Whenever a 192 species was in the dataset but missed some trait values (10% of the species), missing values 193 were filled using multivariate imputation by chained equation (van Buuren and Groothuis-194 Oudshoorn 2011). To account for the phylogenetic signal in the imputation process, 195 imputations were based on samples of species from the same genus or from the same family. 196 Whenever a species was not in the dataset, it was attributed a set of trait values randomly 197 sampled among species of the next higher taxonomic level (same genus or family). Two life-198 history traits, maximum specific height and seed mass, came from the Mariwenn database.<sup>2</sup> 199 The database compiles information from a vast literature on the flora of French Guiana

<sup>&</sup>lt;sup>1</sup> http://www.ecofog.gf/Bridge/

<sup>&</sup>lt;sup>2</sup> https://www.ecofog.gf/mariwenn/

(Ollivier, Baraloto, and Marcon 2007) and comprises 362 species belonging to 188 genera.
As seed mass information was classified into classes, no data filling process was applied and
analyses were restricted to the botanical species recorded.

203 Confidence intervals of the composition and diversity metrics were computed after 50204 iterations of the uncertainty propagation framework.

205 2.3. COMPOSITION AND DIVERSITY METRICS

206 Because of the variable precision of botanical identification efforts, we had to conduct the 207 taxonomic composition and diversity analysis at the genus level. Functional composition, 208 diversity, and redundancy measures were conducted at species level. Taxonomic and 209 functional trajectories of community composition were drawn in a two-dimensional NMDS 210 ordination plane. Two NMDS using abundance-based (*i.e.* Bray-Curtis) dissimilarity 211 measures were conducted to map either taxonomic or functional composition, the latter 212 based on the seven leaf, stem, and life history traits (without seed mass classes). Trajectories 213 along time were reported through the Euclidean distance between the target inventories and 214 the 1984 pre-disturbance inventories of reference. Univariate trajectories of the leaf, stem, 215 and life-history traits were also visualized with the community weighted means (CWM) 216 (Díaz et al. 2007). Species seed mass were given in 5 mass classes, and seed mass trajectories 217 were reported as the proportion of each class in the inventories (Supplementary materials).

The taxonomic and functional diversity trajectories were analyzed from the 1989 predisturbance inventories of reference. The taxonomic diversity was reported through species richness and the Hill number translation of the Simpson index (Hill 1973). The comparison between these two metrics assesses community taxonomic richness and evenness:

222 thereafter, results will be discussed directly in terms of taxonomic richness and evenness. 223 Both indices are recommended for diversity studies (Marcon and Hérault 2015), and belong 224 to the set of Tsallis or generalized entropy corresponding, respectively, to the 0 and 2 order 225 of diversity (q). Diversity metrics were measured using the Hqz function from the entropart 226 package (Marcon and Hérault 2015). The functional diversity was reported using the 227 functional richness and functional evenness. Both functional richness and evenness were 228 measured through a distance-based dissimilarity matrix built with the daisy function of the 229 cluster R package (Maechler et al. 2019), using the Gower distance between species, similar 230 to Hérault and Honnay (2007), Hérault (2007). The functional diversity was measured 231 through the Rao index of quadratic entropy. The Rao index combines the community 232 abundance distribution, from species relative abundance, and the average pairwise 233 functional dissimilarity between species computed by the Gower distance. The comparison 234 between these two metrics assesses community functional richness and evenness: 235 thereafter, results will be discussed directly in terms of functional richness and evenness.

236 The impacts of the initial disturbance levels on the maximum gain or loss in richness and 237 evenness were tested with the Spearman rank correlation tests. Richness and evenness 238 trajectories were besides analyzed through polynomial regression or simple linear models 239 between (i) taxonomic and functional richness and evenness, and (ii) the initial %AGB loss 240 at 10, 20, and 30 years after disturbance. The best model fitting, between linear or quadratic 241 model, was chosen based on their respective AIC criterion. The explanatory power of the fit 242 were measured to adjusted r-square values. Models' significance was assessed with the p-243 value of the regression coefficient.

244 Finally, functional redundancy was measured as the overlap among species in community 245 functional space (Carmona et al. 2016). First, the individuals of the trait database were 246 mapped in the plane of the first two axes from a PCA analysis. The PCA analysis lowered the 247 weight of correlations among traits as the axes are combinations of the most decoupled 248 traits. The two main PCA axis respectively hold 26% and 19% of the trait dataset variance. 249 For each species, the traits probability density (TPD) were computed from the mapping of 250 individuals through two-dimension kernel density estimators. We used the kde2d function 251 of the MASS package (Venables and Ripley 2002) that is a gaussian density estimator for a 2-252 dimensional space. Second, for each community, the TPD weighted by species abundance 253 were summed across the functional space. Third, the functional space was divided into a 100 254 x 100 grid, and the number of species with a positive TPD was counted in each cell. The 255 average count across cells minus 1 returned the Community Functional Redundancy, which 256 was the average number of species in the community that share the same trait values.

257 **3. RESULTS** 

#### 258 3.1. COMMUNITY COMPOSITION

From 1984, the first pre-disturbance inventory, to 2015, 28 years after disturbance, 828 388 individual trees and 591 botanical species spanning 223 genera and 64 families were recorded.

In undisturbed plots, both taxonomic and functional composition remained stable (Fig. 1).
In disturbed plots, both trajectories followed marked and consistent trajectories over time.

The functional composition trajectory resembled, in disturbed communities, to cyclic compositional changes with an incomplete recovery of the initial composition (Fig. 1). The 266 maximum dissimilarity with the initial state was positively correlated with the disturbance 267 intensity for both taxonomic and functional composition ( $\rho_{Spearman}^{Taxonomic} = 0.94$  and 268  $\rho_{Spearman}^{Functional} = 0.90$ , respectively). The maximum dissimilarity with the initial state was 269 reached for taxonomic composition between 15 to 25 years, and between 20 to 25 years for 270 functional composition.

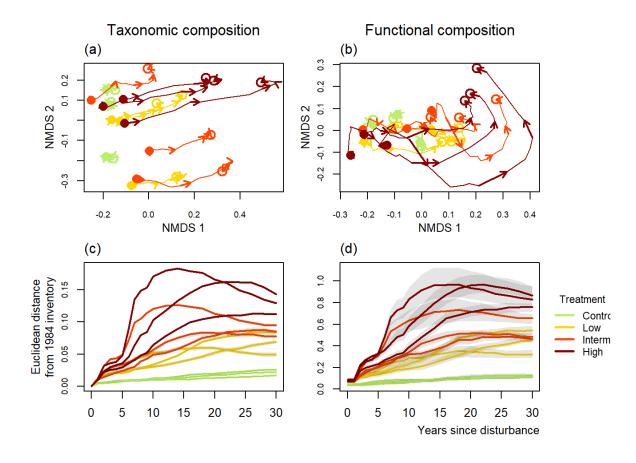
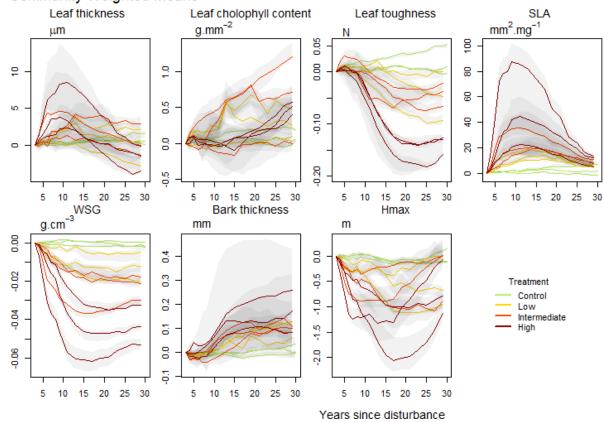


Figure 1: Plot trajectories in terms of taxonomic composition ((a) and (c)), and functional composition ((b) and (d)) in a two-dimensional NMDS plane. Lower panels ((c) and (d)) represent the Euclidean distance to initial condition along the 30 sampled years. Shaded areas are the credibility intervals.

276 In control plots, Community Weighted Means (CWM) of functional traits remained stable 277 in time. In disturbed plots, they mostly followed unimodal trajectories, either stabilizing or 278 returning towards their initial values, to the exception of leaf chlorophyll content, which 279 continued to increase 30 years after disturbance for 4 out of 6 highly disturbed plots. Main 280 tendencies for disturbed plots were a decrease followed by a slight increase of maximum 281 height at adult stage (Hmax), leaf toughness, and wood specific gravity (WSG), but trait 282 values remained significantly lower than their initial value (Fig. 2). Bark thickness and 283 specific leaf area (SLA) both increased along time. Bark thickness remained substantially 284 high after 30 years, and SLA had almost recovered to its initial value. Whatever the functional 285 traits, the maximum difference to initial value was highly correlated to the disturbance 286 intensity. Positive correlations were observed for leaf thickness, chlorophyll content, SLA and bark thickness ( $\rho_{Spearman}^{Leafthickness} = 0.79$ ,  $\rho_{Spearman}^{Chlorophyllcontent} = 0.61$ ,  $\rho_{Spearman}^{SLA} = 0.99$ , 287  $\rho_{Snearman}^{Barkthickness} = 0.68$ ). Maxima were observed between 5 and 15 years following disturbance 288 289 for leaf thickness and SLA while chlorophyll content and bark thickness kept increasing after 290 30 years for leaf chlorophyll content and bark thickness. Negative correlation was observed for leaf toughness, WSG, and Hmax ( $\rho_{Spearman}^{Leaf toughness} = -0.47$ ,  $\rho_{Spearman}^{WSG} = -0.80$ ,  $\rho_{Spearman}^{Hmax} = -0.80$ 291 292 -0.28). Minima were observed between 5 and 15 years following disturbance for Hmax, 10 293 and 20 years for WSG, and between 15 and 25 years following disturbance for leaf toughness. 294 The proportions of the three lightest seed mass classes increased in all disturbed plots. After 295 30 years the proportion of lightest seed mass class decreased while it stabilized for the two 296 other lightest seed mass classes (Supp. Mat. - Fig. S3).



Community Weighted Means

297

Figure 2: Trajectories of community weighted means over 30 years after disturbance of four
leaf traits (leaf thickness, chlorophyll content, toughness, and specific area), two stem traits
(wood specific gravity and bark thickness), and one life history trait (species maximum height
at adult stage).

# 302 3.2. COMMUNITY TAXONOMIC AND FUNCTIONAL DIVERSITY

Taxonomic richness and evenness remained stable in control plots over the 30 years of monitoring. In disturbed communities, after low disturbance intensity the taxonomic richness increased, reaching a maximum gain of 14 botanical genera. After intense disturbance the taxonomic richness followed a more complex trajectory, decreasing for ten years after disturbance before recovering to pre-disturbance values. The maximum rate of 308 change compared to initial richness was positively correlated with the disturbance intensity 309 ( $\rho_{Spearman}^{Richness} = 0.73$ ).

In all disturbed plots, to the exception of plots 5 (T2) and 12 (T3) that showed a small initial drop, the evenness first increased until a maximum reached after around 20 years. This maximum was positively correlated with the disturbance intensity ( $\rho_{Spearman}^{Evenness} = 0.82$ , Fig. 6). The evenness then stabilized except for two intensively-disturbed plots (number 8 and 12) for which it kept increasing (Fig. 3).

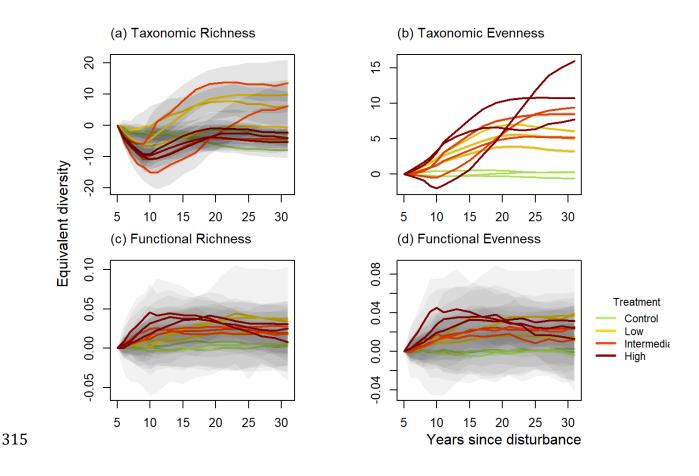


Figure 3: Trajectories of community taxonomic richness (a), taxonomic evenness, (b),
functional richness (c), and functional evenness (d). Values correspond to the difference over

318 30 years of community diversity with the values of 1989 inventories of reference, 5 years after
319 disturbance. Shaded areas are the credibility intervals

320 Functional richness and evenness remained stable in control plots over the 30 years of 321 monitoring. In disturbed communities, both functional richness and evenness trajectories 322 increased following disturbance, with maxima positively correlated to %AGB loss  $\rho_{Spearman}^{Richness} = 0.76$  and  $\rho_{Spearman}^{Evenness} = 0.60$  (Fig. 6). For low and intermediate disturbance 323 324 intensity, functional richness and evenness displayed a low but long-lasting increase up to a 325 maximum reached after 20-25 years. For high disturbance intensity, they generally 326 displayed a fast but short increase followed, after 10 years, by a slow decrease towards the 327 initial values.

328 The second-degree polynomial regressions between (i) the percentage AGB loss, and (ii) 329 the taxonomic and functional diversity showed various shapes depending on the diversity 330 indices and on the time since disturbance (Fig. 4). Regarding taxonomic diversity, the 331 taxonomic richness showed a humped-shaped trajectory with the disturbance intensity, and 332 peaked at 20% of initial AGB loss. The relationship between disturbance intensity and 333 taxonomic evenness, however, was humped-shaped only 20 years after disturbance and 334 linear for 10 and 30 years after disturbance times. Regarding functional diversity, the 335 relationship was linear for both taxonomic richness and evenness, except for the functional 336 diversity after 30 years.

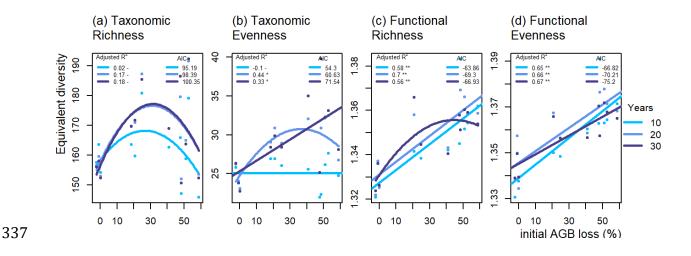
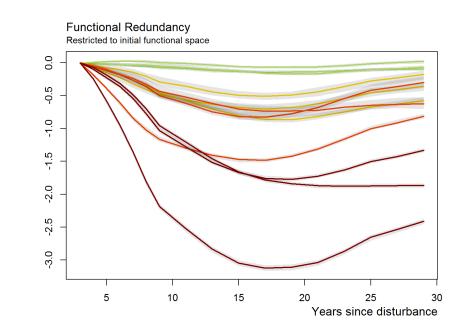


Figure 4: Relationship between the initial %AGB loss and community taxonomic richness (a), taxonomic evenness (b), functional richness (c), and functional evenness (d) at 10, 20, and 30 years after disturbance. Models R-squared and AIC values are provided, along with models' significance with – indicating a non-significant model, \* a p-value under 0.05, and \*\* a p-value under 0.01.

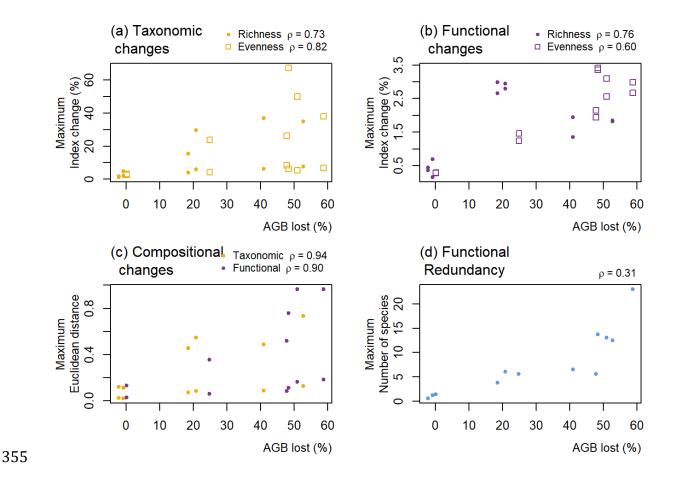
#### 343 3.3. FUNCTIONAL REDUNDANCY

Control plots displayed stable functional redundancy over time. All disturbed plots had lower functional redundancy than control plots and followed similar humped-shaped trajectories (Fig. 5). The maximum redundancy loss was positively correlated with the disturbance intensity ( $\rho_{Spearman} = 0.31$ , (Fig. 6)) and the recovery trajectory had not attained initial values for any disturbed communities after 30 years.



349

Figure 5: Trajectories of the functional redundancy within the initial functional space over 30 years after disturbance. The redundancy is measured in a two-dimensional plan summarizing 45% of species variance in the functional space of the 7 leaf, stem, and life history traits. Redundancy value represent the average number of species in the community that share the same trait values. Shaded areas are the credibility intervals.



356 Figure 6: Maximum changes in taxonomic and functional diversity and composition against 357 disturbance intensity, expressed as percentage of initial disturbance. Upper panels display 358 taxonomic richness and evenness (a), and functional richness and evenness (b) expressed in 359 percentage of change compared to initial value. Lower panels display taxonomic and functional 360 *Euclidean distance from pre-disturbance inventory, respectively in the spaces of species and* 361 functional traits (c), and functional redundancy expressed as number of redundant species in 362 the community (d). In each case, the value of the Spearman correlation coefficient ( $\rho$ ) between 363 the maximum change considered and the percentage of AGB lost after disturbance is reminded.

#### **4. DISCUSSION**

365 Our analysis revealed that community post-disturbance trajectories in taxonomic and 366 functional diversity and composition were partly decoupled. Communities' specificity in 367 taxonomic composition before disturbance, *i.e.* the singular tree species composition that 368 differentiate them from one another, were maintained following disturbance, while their 369 functional composition converged in the functional space. In terms of diversity, only the 370 taxonomic trajectories validated the IDH that explained humped-shaped post-disturbance 371 trajectories with an amplitude depending on the disturbance intensity. The decoupling 372 between taxonomic and functional response could be explained by variations in community 373 functional redundancy. The loss of species or the changes in their abundance would impact 374 the taxonomic but not necessarily the functional diversity and composition, as redundant 375 species with the same functional characteristics remain in the community. The trajectory of 376 the functional redundancy hence appeared determinant for community recovery.

#### 377 4.1. DECOUPLED TAXONOMIC AND FUNCTIONAL TRAJECTORIES

378 From pre-disturbance to 30 years after disturbance, communities showed different 379 location along NMDS axis 2 that hardly changed along time. Specificities in community 380 taxonomic composition, materialized by the distinct location on the NMDS axis 2, existed 381 before disturbance. The disturbance led a displacement on the NMDS axis 1 only. Taxonomic 382 post-disturbance changes in composition were similar among plots and may correspond to 383 the recruitment of a group of pioneers, like *Cecropia spp.* or *Miconia spp.*, common to all plots, 384 whatever their initial taxonomic specificities and the intensity of the disturbance (Julie S 385 Denslow and Guzman 2000; F. Bongers et al. 2009). Taxonomic trajectories initiated a

386 recovery towards the initial composition. This recovery, although far from being achieved 387 after 30 years, suggested the resilience of community taxonomic composition. Although the 388 composition dramatically changed following disturbance, community specificities were 389 maintained (C. Folke 2006). This maintenance suggested that the recruitment came from (i) 390 a common set of pioneer and light-demanding species in all plots that shape the similar 391 trajectories on NMDS axis 1 and (ii) a very local set of late-successional species that signs 392 (and maintains in time) the initial position of each plot on NMDS axis 2. This can be related 393 to species dispersal limitation that is common among tropical species (Svenning and Wright 394 2005).

395 Community functional composition trajectories, in contrast, were similar in the functional 396 space. Such functional convergence contrasting with community taxonomic divergence was 397 already observed in plant communities (Fukami et al. 2005). The amplitude of the 398 compositional changes depended on the disturbance intensity. Functional trajectories were 399 probably driven by the recruitment of species infrequent or absent before disturbance, and 400 belonging to a pool of species common to all plots. This common pool was composed of 401 pioneers with "resource-acquisitive"" strategies displaying low leaf toughness, wood specific 402 gravity, maximum height, and high specific leaf area. The recruitment of these pioneers 403 drove a displacement to the right along the first NMDS axis (Westoby 1998; I. J. Wright et al. 404 2004; Reich 2014). This recruitment went along with an increase of the mean SLA, and a 405 decrease in the mean WSG in disturbed communities (Fig. 2). These fast and significant 406 changes in mean functional traits closely linked to species light acquisition (I. J. Wright et al. 407 2004; Chave et al. 2009) suggested major changes in the light environment following 408 disturbance that shape species assemblage in tropical forests (M. Peña-Claros et al. 2008;

409 Carreño-Rocabado et al. 2012). Thereafter, the first recruited pioneers were progressively 410 excluded by long-lived, more competitive, and shade-tolerant species. The recruitment of 411 these late-successional species marked the recovery of the initial functional composition 412 with more "resource-conservative"" strategies, corresponding to a fast decrease in 413 community mean SLA and a stabilisation of community WSG, suggesting the progressive 414 closing of forest canopy. This recovery translated in the functional plane by a displacement 415 left along the first axis and upward along the second axis (Fig. 1).

The decoupling between taxonomic and functional trajectories suggested that simultaneous operation of trait-based assembly rules and species-level priority effects shaped tree community assembly in Paracou forest. Tree community assembly would then be both deterministic in the functional space, and historically contingent in the taxonomic space.

We must temper these results with the low number of plots (3) for each treatment. However, the size of each plot is 6.25ha that has been regularly censused over 25 years so that the trajectories have been drawn using 75ha of permanent plot information, a spatial hold very rarely achieved in such studies. Given the relative homogeneity of environmental characteristics of terra firme forests over the Guiana Shield and even the amazonian basin, it seems reasonable that similar trajectories would apply to neighboring areas (Guitet et al. 2015; Guitet et al. 2018).

# 428 4.2. THE SCOPE OF THE INTERMEDIATE DISTURBANCE HYPOTHESIS

429 Trajectories of taxonomic richness and evenness differed markedly below and above an430 intensity threshold (Fig. 3). For low and intermediate disturbance, both taxonomic richness

431 and evenness increased according to the disturbance intensity (Martin et al. 2015; 432 Chaudhary et al. 2016). This suggested that the recruitment of pioneers, previously 433 infrequent or absent, increased the taxonomic richness, and that trees surviving after 434 disturbance remained numerous enough to maintain the richness of the pre-disturbance 435 community (F. Bongers et al. 2009). The pioneers thus recruited became abundant, which 436 balanced the usual hyper-dominance of tropical forests and increased the taxonomic 437 evenness (Baraloto et al. 2012). Above the intensity threshold, like for treatment 3 (high 438 intensity), the taxonomic richness did not exceed the initial value in the first years following 439 disturbance. No increase of the taxonomic richness suggested that the richness of surviving 440 trees was lower than this of the pre-disturbance community, and that this difference was not 441 offset by the recruitment of pioneers. In the Guiana shield, the pool of hard pioneers 442 specifically recruited after disturbance is restricted to a few common genera (e.g. Cecropia 443 *spp., Miconia spp., Tapirira spp.*) (Guitet et al. 2018). The disturbance intensity showed a 444 humped-shaped relationship with the post-disturbance increase in taxonomic richness at all 445 the different times after disturbance, and with the increase in taxonomic evenness 10 years 446 after disturbance (Fig. 4). Taxonomic richness, and to some extend taxonomic evenness, 447 were maximized at an intermediate intensity, around 20-25% of AGB lost.

# 448 4.3. THE FUNCTIONAL REDUNDANCY EXPLAINING THE TAXONOMIC-FUNCTIONAL449 DECOUPLING

450 Regarding community functional trajectories (Fig. 3), there was no intensity threshold 451 above which the diversity-disturbance relationship changed. The functional diversity kept 452 increasing along with the disturbance intensity, hence the IDH would not apply. Surprisingly,

although some species were lost after disturbance, the functional diversity did not decrease
in the first place. On the contrary, functional diversity increased, probably following the
rapid recruitment of pioneers that were functionally highly different from the predisturbance community (Julie Sloan Denslow 1980; J. F. Molino and Sabatier 2001).

457 The loss of species following disturbance, however, decreased the functional redundancy, 458 all the more so that disturbance was intense. All in all, because functional diversity was not 459 lowered by disturbance while functional redundancy was, this means that the species that 460 were lost in disturbance are, on the whole, functionally equivalent to the species that survive 461 the disturbance. This makes functional redundancy a key to understand community 462 dynamics at a functional level. In other words, the high redundancy of tropical forest mean 463 that several species occupy the same functional space, so changes in taxonomic diversity or 464 composition do not necessarily result in changes in community functional characteristics 465 because species are commutable. The loss of a redundant species doesn't change anything 466 for the community functional characteristics, so functional trajectories do not necessarily 467 track taxonomic ones. This commutability explains the taxonomic-functional decoupling and 468 the fact that the taxonomic diversity-disturbance relationship supports the IDH while it 469 functional diversity do not.

Following disturbance, the redundancy was progressively restored through the replacement of "resource-acquisitive" species by more late-successional "resourceconservative" species functionally closer to the pre-disturbance community. The time for the functional redundancy to recover would hence be important to assess community recovery.

474 **5. CONCLUSION** 

475 Post-disturbance trajectories of tree community composition and diversity would be 476 driven by the recruitment of a determined pool of pioneers, identical among local 477 communities, and independent of the disturbance intensity. The taxonomic composition 478 trajectories maintained the initial differences among communities, while the functional 479 trajectories were similar, and converged in the functional space towards the recovery of the 480 initial composition. The diversity trajectories were contrasted as well. While the functional 481 trajectories displayed the same tendency with a linear increase following all disturbance 482 intensity, taxonomic trajectories were markedly different after a threshold of 20-25% AGB 483 lost that maximized the taxonomic richness. The Intermediate Disturbance Hypothesis 484 would apply well to taxonomic diversity, but not to functional diversity. The decoupling 485 between taxonomic and functional trajectories was mediated by the variations in functional 486 redundancy, as the loss of a species does not necessarily entails the loss of its functional 487 characteristics. Community resilience, in terms of recovery of the pre-disturbance state, was 488 tangible but required several decades, and relied upon the random lottery recruitment of 489 rare species. Given the long-term impacts of disturbance observed, we suggest that 30 years 490 is not enough time for tropical communities to recover, even after relatively low intensity 491 disturbance. Much of community response to disturbance rely on the processes of species 492 recruitment. A more refined understanding of the post-disturbance trajectories would be 493 gained by a closer analysis of the recruitment process.

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# 501 **7. AUTHOR'S CONTRIBUTIONS**

AM, EM & BH designed the study, developed the analysis framework, and interpreted the results. AM wrote the manuscript with contributions by EM & BH. All authors gave final approval for publication.

#### 505 8. DATA AVAILABILITY

This article is based upon the dataset of the Paracou station, which is part of the Guyafor permanent plot network in French Guiana (Cirad-CNRS-ONF). The dataset is available upon request to the scientific director (https://paracou.cirad.fr).

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