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- 2 Working title: páramo assemblages Andes
- 3 Full title:
- 4 The fate of páramo plant assemblages in the sky islands of the northern Andes

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21 Abstract

Aims: Assessing climate change impacts on biodiversity is a main scientific challenge,
especially in the tropics, therefore, we predicted the future of plant species and communities
on the unique páramo sky islands. We implemented the *Spatially Explicit Species Assemblage Modelling* framework, by i) calculating species' maximum dispersal distance,
ii) modelling species distributions at present up to 2100, iii) assembling models into
communities. Finally, we assessed the vulnerability of sky islands based on richness and
composition changes.

29 Location: Ecuadorian super-páramo (>4200 m)

30 *Methods:* Using species trait data, the maximum dispersal distance of 435 species was 31 calculated. Species distribution models (SDM) were fitted to obtain current and future 32 distribution predictions based on dispersal and bioclimatic factors. The final assemblages 33 for present and 2100 were achieved by stacking all probabilistic SDMs and applying the 34 probability ranking rule. The vulnerability of each sky island was evaluated by quantifying 35 richness and composition changes.

36 Results: Maximum dispersal distances ranged between 0.008-6027 m/year, and across all 37 scenarios, 70% of models showed a net loss in species distribution while 9% of all species 38 were predicted to undergo extinction by 2100. Local richness was estimated to decrease by 39 56.63% on average, and composition changes in each sky island suggested a mean loss of 64.74% of their original species pool against a 12.97% gain. Finally, 5% of the sky island 40 41 floras reconverted from high-elevation to low-elevation species. These numbers were 42 usually more important for high-elevation species and the mountains Pichincha, Ilinizas and 43 Antisana.

44 *Conclusions:* Our study is methodologically pioneer and provides novel insight on the future 45 of páramo biodiversity. Significant losses in species distribution and changes in community 46 richness and composition suggest drastic impacts and call for further study considering 47 additional factors, such as land-use. Finally, we recommend focusing monitoring and 48 conservation strategies on the northern sky islands in priority.

- 49 Key words: Andes, community assemblages, climate change, maximum dispersal
- 50 distance, páramo, plant species, Species Distribution Models, Spatially Explicit Species
- 51 Assemblage Modelling, sky islands.

53 Introduction

The climatically-driven redistribution of life on Earth is one of the most challenging 54 55 environmental threat humanity faces today (Pecl et al. 2017; Diaz et al. 2019). It is widely accepted that the current climate change is human-induced (Team et al. 2014) and that its 56 main impacts on biodiversity include: shifts in phenology (Visser, & Both, 2005); changes 57 58 in population densities (Graae et al. 2018), local extinctions (Panetta, Stanton, & Harte, 59 2018), species range shifts (Lenoir, & Svenning 2015), and accelerated changes in species 60 richness and assemblages (Bertrand et al. 2011; Steinbauer et al. 2018). Because biodiversity 61 redistribution implies important changes in ecosystem functioning, human well-being and 62 climate change itself (Pecl et al. 2017), there is an urgent need to increase our knowledge on 63 the complex interplay between climate change and biodiversity and implement integrative 64 scientifically-supported strategies to adapt and mitigate climate change (Diaz et al. 2019).

65 The high-elevation ecosystems in the northern Andes belong to the páramo biogeographical province, a tropical biodiversity hotspot critically threatened by climate 66 67 change (Tovar, Arnillas, Cuesta, & Buytaert, 2013; Cuesta et al. 2019). The páramo is the 68 youngest biodiversity hotspot and the richest tropical alpine province on Earth, totaling 15 69 different phytogeographical units and around 5000 plant species, of which 60-80% are 70 endemic (Sklenář, Hedberg, & Cleef, 2014; Peyre, Balslev, & Font, 2018). It can be 71 altitudinally divided at present into three main elevation belts: i) the sub-páramo (~ 3000-72 3500 m) or lower tree or shrub-dominated ecotone with Andean forests; ii) the mid-páramo 73 (~ 3500-4200 m), or páramo proper, including vast grasslands and giant rosette communities; and iii) the super-páramo (> 4200 m), with gradually scattering vegetation 74 75 (Cuatrecasas, 1958). Contrarily to the sub-páramo and mid-páramo, which show certain 76 spatial connectivity and are strongly shaped by human activities, the super-páramo is, hitherto, geographically isolated and above the current agriculture frontier (~ 4200m). The 77 78 super-páramo hence represents the iconic sky islands of the northern Andes and hosts a very 79 rare and endemic biodiversity with little species migration capacity on mountain tops.

Recent estimations predicted a temperature rise of 3°C (± 1.5) across the páramo by
the end of the century, accompanied by a general increase up to + 300 mm in vertical
precipitation (rain), however highly variable depending on geography and topography
(Urrutia, & Vuille, 2009; Anderson et al. 2011; Buytaert, Cuesta-Camacho, & Tobón, 2011).
Although there is a general consensus on the need to increase our knowledge on the climate
change impacts on the páramo biota and ecosystems, studies remain very scarce to date
(Tovar et al. 2013; Feeley, Stroud, & Perez, 2017; Anthelme, & Lavergne, 2018; Anthelme,

& Peyre, 2019). For instance, Anderson et al. (2011) predicted a general upslope migration 87 88 of 600 m for species to track the isotherms throughout the Tropical Andes by 2100. Other 89 studies have also predicted up to a 50-60% increase in threats and local extinction risks by 90 the second half of the century in the region (Ramírez-Villegas et al. 2014). At the ecosystem 91 level, changes in structure and functions are suggested for the Tropical Andes (e.g. Tovar et 92 al. 2013; Cuesta et al. 2019) but lack proper quantification for the páramo alone, and the 93 consequent impacts on species assemblages and ecosystem services remain unclear (Buytaert et al. 2011). In order to fill the important knowledge gap on species redistribution 94 95 under anthropogenic climate change and the subsequent structural re-organisation of plant 96 assemblages in the páramo, new endeavors such as the Institute von Humboldt's Biomodels 97 (http://biomodelos.humboldt.org.co) or the Pontificia Catolica Universidad del Ecuador's 98 Bioweb (https://bioweb.bio), as well as individual research initiatives (e.g. Ramírez-Villegas 99 et al. 2014), looking to model the potential distribution of páramo species under climate 100 change using species distribution models (SDMs) fitted on bioclimatic data are increasing.

101 Dispersal is key in enabling species to track climate change, essentially through the 102 mechanisms of seed production, migration over certain dispersal distance and along suitable 103 habitat routes, germination and establishment (Kammer, Schöb, & Choler, 2007; Vittoz, & 104 Engler, 2007; Lenoir, & Svenning, 2015). This factor prevails in alpine areas where plants 105 face many challenges to disperse upslope or across mountains, this due to i) often reduced-106 dispersal capacity (Vittoz, Dussex, Wassef, & Guisan, 2009; Morgan, & Venn, 2017), ii) the 107 presence of biogeographic barriers such as forests and valleys, and iii) steep gradients 108 favouring certain dispersal paths (Dirnböck & Dullinger 2004; Engler, Hordijk, & Guisan, 109 2012). Accounting for dispersal when studying changes in species distribution in tropical 110 mountains over time is crucial, because in comparation to higher latitudes, plants' dispersal 111 capacities tend to be greater and more diverse (Chen, Tamme, Thomson, & Moles, 2019) 112 while spatial climatic variations are less pronounced. At the community level, the resulting 113 persistence vs. migration mismatch between local species, competitive regional pool and 114 newcomers, such as invasive species, translates in important restructuration and composition 115 changes (Singer et al. 2016; Alexander et al. 2018). Despite the general agreement on the 116 need to include dispersal capacity in SDMs to improve current and future species distribution 117 predictions (Araújo, & Guisan, 2006; Thuiller et al. 2008; Dullinger et al. 2012; Hattab et 118 al. 2017), this factor remains overlooked today. Moreover, when accounted for, it usually takes the form of an "all or nothing" parameter, i.e. no dispersal or full dispersal (Guisan, & 119

Thuiller, 2005; Araújo et al. 2006; Holloway et al. 2016), albeit partial dispersal scenarios 120 121 based on mean and/or maximum dispersal distance often are more reliable (Dullinger et al., 122 2012; Engler et al. 2012; Bateman, Murphy, Reside, Mokany, & VanDerWal, 2013). 123 Nevertheless, partial dispersal approaches are increasing thanks to new techniques allowing 124 to calculate significant approximations of dispersal distances based on a suite of plant trait 125 data (Vittoz & Engler, 2007; Thomson, Moles, Auld, & Kingsford, 2011; Tamme et al. 2014; 126 Bullock et al. 2017). Among the most dependable traits used to date is plant height, assuming 127 that a tall plant disperses further than a short one (Muller-Landau, Wright, Calderón, Condit, 128 & Hubbell, 2008; Thomson et al. 2011). Seed mass has also been evaluated as a significant 129 trait, generally suggesting that light seeds disperse further than heavy ones (Parolo, & Rossi, 130 2008), even though this pattern can be significantly influenced by the correlation between 131 plant height and seed mass (Moles, Falster, Leishman, & Westoby, 2004; Thomson et al. 132 2011). Finally, dispersal syndrome is usually considered important as, for instance flight-133 enhancing structures may increase anemochorous dispersal, and fruit characteristics can 134 influence zoochorous dispersal (Vittoz, & Engler, 2007; Tamme et al. 2014; Thomson, 135 Letten, Tamme, Edwards, & Moles, 2017).

136 Yet, to go beyond individual species predictions from SDMs, recent scientific 137 advances in ecology propose to model entire biotic communities, relying on species 138 distributions and adding a frame of abiotic and biotic factors such as historical-dispersal 139 constraints, ecosystem richness capacity and biotic interactions among species (Guisan, & 140 Rahbek, 2011; Wisz et al. 2013; Mod, le Roux, Guisan, & Luoto, 2015). In this context, the 141 Spatially Explicit Species Assemblage Modelling framework (SESAM; Guisan, & Rahbek, 142 2011) has been extensively used to predict species assemblages on a wide range of 143 taxonomic groups, from insects to plants, and for several habitats, from forests to grasslands 144 (e.g. D'Amen, Pradervand, & Guisan, 2015a; D'Amen et al. 2015b; Mod et al. 2015; 145 D'Amen, Rahbek, Zimmermann, & Guisan, 2017; Mateo, Mokany, & Guisan, 2017). A 146 wealth of research efforts has focused on improving the different aspects of SESAM, either 147 regarding the macroecological constraints that represent the carrying capacity of 148 communities (D'Amen et al. 2015a; Mateo et al. 2017), or the assembly rules that prioritize 149 certain species co-occurrences (Wisz et al. 2013; D'Amen et al. 2015b). However, the 150 historical-dispersal constraints remain overlooked to date and the need persists to improve 151 techniques and launch more integrative models to refine community modelling at present 152 and under climate change scenarios (D'Amen et al. 2017; Guisan et al. 2019).

153 The present study aims at implementing the SESAM framework, accounting for 154 dispersal constraints for the first time, to predict changes in plant distributions and assemblages of the páramo sky islands during the 21st century. First, maximum dispersal 155 156 distances were calculated and compared for mid-páramo and super-páramo species. It was 157 expected that tall species such as shrubs that present either light seeds and dispersal-158 enhancing structures, e.g. wings, or heavy seeds with fruits dispersed by efficient zoochory, 159 e.g. by birds, would be the best dispersing species. Second, the current and future distributions of each species up to the year 2100 were modelled based on bioclimatic 160 161 variables while accounting for dispersal constraints to explore migration and extinction rates 162 in the páramo. It was assumed that mid-páramo species would migrate further and faster 163 than super-páramo species, which would in turn present greater extinction risks. Third, 164 current and future plant communities were assembled on the sky islands by stacking all 165 previous probabilistic models and applying richness constraints and assembly rules. The 166 vulnerability of the network of sky islands was assessed based on the magnitude of changes 167 in species richness and species composition in terms of species numbers and ratio of mid-168 páramo and super-páramo species, expecting that locally diverse areas today (Peyre, Balslev, 169 Font, & Tello, 2019) would suffer faster and more drastic changes.

170 Methods

171 <u>Study area</u>

172 Ecuador was set as study case as a model representant of páramo countries due to its 173 abundance of páramos and equatorial geolocation. The most representative mountains 174 carrying the super-páramo belt, or sky islands, in Ecuador are from North to South: 175 Cayambe, Antisana, Pichincha, Ilinizas, Cotopaxi, Chimborazo, Tungurahua, Sangay and 176 Cajas (Fig. 1A). The super-páramo is usually found between 4200-4800 m a.s.l, although its 177 lower and upper limits as well as main vegetation strongly depend on local topography and 178 topoclimate. For example, low shrublands and cushion communities can be found at lower 179 elevations under a dense upper cloud elevation zone, while meadows, deserts with shrubs 180 and periglacial deserts are most likely to occur in the higher areas shaped by volcanic activity 181 and/or glacier melting (Fig. 1B; Sklenář, & Ramsay, 2001). To conduct the following 182 modelling analyses, the 4200 m isohypse and mountain tops were assumed as the lower and upper limits of the actual super-páramo belt respectively. Similarly, the 3000 m isohypse 183 184 was set to delimit the broader páramo province (mid-páramo plus super-páramo), in order to

185 consider upward migration of plant species from the mid-páramo to the super-páramo as186 climate will warm under future scenarios.

187 <u>Vegetation data</u>

188 All vegetation plots corresponding to the Ecuadorian páramo were downloaded from 189 VegParamo, an open access database for floristic and vegetation páramo data, compiling 190 information from 40 data sources (www.vegparamo.com; Peyre et al. 2015). Because 191 VegParamo comprises the original authors' classification of the plots into sub-páramo, mid-192 páramo, super-páramo and azonal vegetation, i.e. Polylepis forests, bogs and marshes and 193 rupicolous vegetation, only the plots belonging to the zonal mid-páramo and super-páramo 194 elevational belts were retained. Plots located outside the study area, e.g. on Amazonian 195 mountains, were also removed, as well as plots with a coarse georeferencing precision 196 superior to 1km in the UTM system. The final plots, all sampled according to the 197 phytosociological method (Braun-Blanquet, 1964), were rescaled into presence/absence 198 data.

199 The floristic contents of the plots were checked, and the following groups were 200 eliminated from the dataset: pteridophytes, bryophytes, lichens and vascular plants 201 determined at the genus level or higher. When a taxon was determined at the infra-specific 202 level, such as varieties and subspecies, it was aggregated at the species level. Taxonomic 203 synonymy was checked using the Plant List (www.plantlist.com) and Tropicos 204 (www.tropicos.org). Because the consequent vegetation dataset showed an important 205 imbalance of species presences versus absences, additional occurrence data was 206 complemented for these species from biological and herbarium databases consulted online, 207 Tropicos, Aarhus University Herbarium (www.aubot.dk) and GBIF including 208 (www.gbif.org). The additional occurrence data was revised to remove outlier points and 209 check duplicates between occurrence data and vegetation plots (based on UTM coordinates). 210 Decimal spatial coordinates were then obtained for all plots, using the centroid of the 211 corresponding UTM cell, as well as for the new added occurrence data, relying on the 212 original georeferencing. Species that still presented less than 6 occurrences were removed 213 and the complete dataset includes 642 vegetation plots from VegParamo (157 UTM) and 214 2095 additional occurrences for 435 vascular plant species (Fig. S1; Table S1, S2; Peyre, 215 2020). Finally, species were classified into super-páramo and mid-páramo species, when at 216 least 50% of their occurrence data occurred above and below the 4200 m isohypse, 217 respectively, resulting in 84 super-páramo species and 351 mid-páramo species.

218 <u>Bioclimatic data</u>

219 19 bioclimatic variables were downloaded from the CHELSA V1.2 database (www.chelsa-220 climate-org; Karger et al. 2017) and adjusted to the study area. All variables were obtained 221 for the period 1979-2013 and averaged across this time interval, considered as the current 222 conditions (year 2000), which fitted the occurrence and vegetation data (1976-2014). 223 Multicollinearity between variables was evaluated using a variance inflation factor 224 correlation analysis (vif function, usdm R package; Naimi et al. 2014), and all variables below a threshold value of 0.7 for the Pearson correlation coefficient were retained 225 226 (Dormann et al. 2012), resulting in the following selection: mean diurnal temperature range 227 (bio2); temperature seasonality (bio4); mean temperature of the wettest quarter (bio8); 228 precipitation seasonality (bio15); and precipitation of the coldest quarter (bio19). This set of 229 predictor was not fitted per species (D'Amen et al. 2015a; Araújo et al. 2019) but was 230 considered appropriate for the study area as a whole because of its certain focus on 231 precipitation-related factors and seasonality, which usually prevail as drivers of plant 232 diversity variation in tropical mountain areas (Peyre et al. 2019). To represent future scenarios and in order to encompass sufficient variance while reducing uncertainty in 233 234 predictions (Thuiller, Guéguen, Renaud, Karger, & Zimmermann, 2019), a total of 8 climate 235 change scenarios were selected, based on two representative concentration pathways 236 (RCPs): CIMC5-RCP45 and CIMC5-RCP85, as well as four global circulation models (GCMs): bbc-csm1-1, CESM1-BGC, HadGEM2-AO and MRI-CGCM3. These GCMs were 237 238 privileged based on their dissimilarity, according to the distance matrix calculated for all 239 available GCMs presented in Sanderson, Knutti, & Caldwell (2015). Future bioclimatic 240 predictions for each variable of interest were then downloaded from CHELSA V1.2 for 241 every scenario at two dates, 2050 and 2070, and later cropped to fit the study area. Because 242 dispersal is considered here as a key factor to constrain future species distributions, the 243 bioclimatic data was interpolated by decade to provide frequent steps to include dispersal 244 limitations (Engler et al. 2012). To do so, simple linear regressions were used to obtain for 245 each bioclimatic variables the 2010, 2020, 2030 and 2040 values using a coefficient 246 calculated for the 2000-2050 period following a similar procedure to the one employed by 247 Adhikari et al. (2018). The same procedure was applied for 2060 based on the 2050-2070 period, and for decades 2080, 2090 and 2100, values by extrapolating the 2050-2070 248 249 regression coefficient into the future. All statistical analyses were conductet in R 4.3.1 (R 250 Core Team, 2019).

251 <u>Dispersal capacity</u>

252 The widely-used *dispeRsal* function developed by Tamme et al. (2014) was chosen to 253 estimate dispersal capacity in form of maximum dispersal distance, which is usually more 254 suitable to include in SDMs than mean distance (Vittoz, & Engler, 2007; Thomson et al. 255 2011). Beforehand, a basic trait database including plant height, vegetation stratum, main 256 dispersion mode and seed mass was compiled for the 435 species. For plant height and 257 vegetation stratum, information at the species level was retrieved from online herbarium material, such as the Field Museum (https://plantidtools.fieldmuseum.org), COL herbarium 258 259 (www.biovirtual.unal.edu.co) and Plant JSTOR (https://plants.jstor.org), directly measured 260 and averaged using at least four specimens per species when available. Dispersion mode and 261 seed mass data at the species level were usually unavailable and therefore, information 262 provided at the genus level from the Kew Botanical Garden seed collection initiative 263 (http://data.kew.org/sid), and specific páramo literature were used (Frantzen, & Bouman, 264 1989; Melcher, Bouman, & Cleef, 2000, 2004). Assuming for simplicity reasons that species 265 would disperse only once yearly, the *dispeRsal* function was run as a kernel-shape 266 probability density function of dispersal distances to calculate maximum dispersal distances 267 (in m/yr) (Tamme et al. 2014; Bullock et al. 2017). The raw results were then upscaled to 268 km per decade to fit the future bioclimatic predictions. Finally, dispersal capacity of mid-269 páramo and super-páramo species were sommely compared by means of a t-Student test.

270 Species distribution models

271 The current distribution of each species was modelled based on actual environmental 272 conditions, represented by the 5 bioclimatic variables selected, and dispersal capacity. The 273 latter factor was introduced as a new variable, set by log-transforming the species maximum 274 dispersal distance previously computed from plant traits and scaling the obtained values 275 between 0 and 1 to rank species from bad to good dispersers (function *iForce*, *iSDM* 276 package; Hattab et al. 2017). SDMs were then run using two different families of algorithms 277 fitted for presence-absence data: i) generalized linear models (GLMs), which explore the 278 linear relationship between explanatory and explained variables through a flexible Gaussian-279 identity distribution-link approach and were fitted using second degree polynomial curves 280 and ii) random forests (RFs) that integrate the data through an ensemble learning, coupling 281 decision trees with classification and regression settings/approaches (biomod2 package; 282 Thuiller et al. 2016). For each species, the data was divided into a 75% training dataset and 283 a 25% testing dataset, and run with 50 models per algorithm. The true skill statistic (TSS) value was computed for each model to evaluate SDMs' performances and all models with a
TSS value greater than 0.6, either obtained with the GLM or RF algorithms, were retained
(Allouche, Tsoar, & Kadmon, 2006). The final models were then ensembled to obtain the
final probabilistic preditions (Araújo, & New, 2007; Marmion, Parviainen, Luoto,
Heikkinen, & Thuiller, 2009), which were finally transformed into binary values using a
threshold approach that equalizes the sensitivity and specificity metrics (*optimal.threshold*function; *ecospat* package; Liu, Berry, Dawson, & Pearson, 2005; Di Cola et al. 2017).

291 The calibrated current models were then used to project species' distributions into 292 the future per decade and under each of the 8 different climate-change scenarios. To do so, 293 the previoulsy-used dispersal covariate was set to 0 and future distributions were projected 294 against bioclimatic predictors only, in order to alleviate the static species-specific dispersal 295 constraint. As formerly done, the probabilistic projections for each decade were then transformed into binary values and constrained in temporal order by the species maximum 296 297 dispersal distance (Engler et al. 2012), hence allowing to track progressive changes in 298 species distributions depending on available bioclimatic conditions and capacity to disperse 299 from the previous distribution. Additional biogeographical barriers represented by the 300 Andean forest and anthropogenic activities from the lowlands were also considered by using 301 a raster mask at each time step that assumed that all pixels below the 3000 m isohypse were 302 unsuitable. Thus, a species could only cross the matrix of unsuitable conditions in a time-303 step if its maximum dispersal distance was greater than the distance separating two suitable 304 pixels. The set of decadal binary maps obtained for each species separately offered time 305 series of species redistribution that can be used to assess the species-specific proportion of 306 areas that were lost, gained or that remained occupied by the focal species between present 307 and 2100 (functions migclim.distance and migclim.plot, migclim package; Engler et al. 308 2012). Finally, the 2100 binary prediction was used to fit the 2100 probabilistic prediction 309 for richness capacity (see below).

Changes in species distribution for the 21st century were evaluated as a binary result, net loss or net gain, by comparing and quantifying the number of pixels belonging to the 2000 and 2100 distributions. Finally, extinction risk was considered when a species had at least one scenario predicting its complete extinction (i.e. the species completely disappeared from the studied area) by 2100. The severity of the risk was evaluated based on the number of scenarios predicting extinction by 2100, i) low risk (1-2 scenarios), ii) intermediate risk (3-4 scenarios), high risk (5-6 scenarios) and extinct (7-8 scenarios).

318 Model richness and assemblages

319 The SESAM framework relies on the predict first assemble later principle (Guisan, & 320 Rahbek, 2011), meaning that final community predictions are achieved after applying an 321 assembly procedure that consists in selecting species from the predicted pool until reaching 322 the carrying capacity of a community defined as potential richness (Guisan, & Rahbek, 2011; 323 Mateo et al. 2017). This local carrying capacity (at pixel level) is often estimated by either 324 stacking the probabilities predicted by the SDMs or by using macroecological models (D'Amen et al. 2015a; Mateo et al. 2017) but the former has the advantage of being 325 326 embedded within a same S-SDM framework and allowing for a varying timeline, so that we 327 used it here. All SDMs in their probabilistic form were stacked in 2000 and 2100 for each 328 scenario to evaluate richness. Net richness change at the pixel level was assessed as a 329 percentage of the current (2000) richness, with positive and negative values meaning a net gain and loss, respectively, in potential species richness. 330

331 Finally, species composition at the pixel level was predicted through the probability 332 ranking rule (PRR; D'Amen et al. 2015b), which consists in selecting species from the 333 predicted pool in decreasing order of their SDM probability of occurrence until reaching the 334 previously predicted potential richness. The PRR was applied to the stacks of SDM 335 predictions for 2000 and 2100 using the prr.ecospat function of the ecospat package (Di 336 Cola et al. 2017). Changes in composition, expressed as numbers of species gained and lost, 337 as well as ratio of mid-páramo and super-páramo species to emulate upward migration and 338 high-elevation competition, were evaluated for the 10 main páramo sky islands. Lastly, 339 based on the estimated changes in richness and composition, local vulnerability to climate 340 change was assessed.

341 Results

342 Species varied greatly in terms of their maximum dispersal distance, ranging from 0.008 to 343 6027 m/yr in a negative exponential manner with a mean of $193 \text{ m/yr} (\pm 647)$ (Fig. S2; Table 344 S2). Mid-páramo and super-páramo species showed significantly different maximum 345 dispersal distances (t-test: 4.2972, df: 423.26, p-value: 2.148e-05), with mid-páramo species 346 dispersing relatively well in average at 227.14 m/yr (± 714), while super-páramo species 347 showed shorter dispersal distances at 51.39 m/yr (± 136). Long dispersal distances were 348 usually associated with shrub genera with relatively heavy seeds (10-100 mg) and using 349 zoochory or assisted anemochory, such as Monnina (Polygalaceae) and Gaiadendron 350 (Loranthaceae), whereas short dispersal distances was common in small herbaceous plants
351 with lightweight seeds (0.0001-0.001 mg) and using unassisted anemochory or autochory as
352 principal dispersal mode, as seen in *Aa* (Orchidaceae) and *Ourisia* (Plantaginaceae).

353 The performance of SDMs varied between species, and the average values were 354 considered acceptable overall (across models: mean TSS = 0.614, Sensitivity = 79.835, 355 Specificity = 78.952; Table 1). In general, the RF algorithm performed better than the GLM 356 algorithm, and more of its models were retained, approximately 29% across all species. 357 About 70% of the SDMs across all scenarios predicted a net loss in species' spatial 358 distribution and only 30% predicted a net gain (Fig. 2). A total of 65 species (15% of all 359 species) presented a degree of extinction risk under at least one scenario by the end of the 360 century, 18 of which as a low risk, eight as an intermediate risk, 15 as a high risk and finally 361 39 were predicted to become totally extinct from the studied area by 2100. The most 362 endangered genera encountered in numbers were Cerastium (3 species), Draba (3 species), 363 Gentianella (4 species) and Viola (3 species). In addition, 22 of the 65 species were super-364 páramo species, 5 of which are known endemics at the national scale: Aetheolaena 365 involucrata, Bartsia pumila, Festuca chimborazensis, Loricaria antisanensis and Viola 366 polycephala. Finally, of the 39 species with the highest extinction risk, 10 were super-367 páramo species known as páramo endemics, for example Cerastium candicans, Draba 368 depressa, Lachemilla tanacetifolia, Lupinus alopecuroides and Xenophyllum crassum.

369 Regarding net richness changes in the páramo sky islands, the general trend at pixel 370 level (alpha diversity) was a loss of 56.63 % (\pm 20.83) of the original species richness 371 between the present and 2100 (Fig. 3). The most affected areas were the northern mountains 372 of Pichincha and Ilinizas with an average loss of 82.64 (\pm 2.15) and 77.24% (\pm 3.95) 373 respectively. Contrarily, the least affected areas were the central mountains of Tungurahua 374 and Altar with an average loss of 13.52 % (\pm 11.12) and 31.90 % (\pm 6.52) respectively, and 375 punctual pixels with positive values hence gaining species, up to 18.73 % on the 376 Chimborazo.

At the sky island level, important significant composition changes in the final plant communities were observed (Fig. 3; Fig S3; Table S3). Sky islands lost an average 64.74% (± 14.12) of their original species pool (gamma diversity), Pichincha and Ilinizas being the most significantly affected with losses of 85.68% (\pm 5.70) and 74.73% (\pm 5.12) respectively. By contrast, Altar and Tungurahua were the least affected, losing 46.49 (\pm 10.76) and 50.00% (\pm 9.74) respectively. Gains at this scale did not compensate losses, with the average gain reaching 12.97 % (\pm 11.41), some páramos gaining more species such as Tungurahua and Altar with 39.21% (\pm 5.03) and 20.86% (\pm 6.31), while others gained less, such as Cayambe and Antisana with 7.17 % (\pm 3.02) and 7.47 % (\pm 3.05). The most drastic changes were therefore observed for the northern mountains of Cayambe and Pichincha.

387 On average in 2000, the ratio between mid-páramo and super-páramo species in the 388 páramo sky islands reached $68.79 / 31.21 (\pm 6.09)$, and changed across all scenarios in 2100 389 to $73.61 / 26.39 (\pm 4.46)$, meaning that approximately 4.82% of super-páramo species were 390 replaced with mid-páramo ones (Table 2). The most drastic tendencies were observed for 391 Ilinizas and Antisana, losing 9.76 and 8.01% of their super-páramo species respectively, 392 while the least affected sky islands were Cayambe, which gained 0.78% of super-paramo 393 species, and Sangay that lost 0.48% of super-paramo species. Based on richness at pixel and 394 sky island level and composition changes, Ilinizas, Pichincha and in lesser means Antisana 395 were therefore considered as most threatened by climate change.

396 Discussion

397 Mountains are particularly sensitive to climate change because they are often prone to 398 accelerated changes and more rapid responses of biota in comparison with lowlands 399 (Bertrand et al. 2011; Smith, Edmonds, Hartin, Mundra, & Calvin, 2015; Steinbauer et al. 400 2018). Neotropical mountains in particular are classified as highly vulnerable to climate 401 change (Team et al. 2014) and are expected to suffer drastic changes in biodiversity and its 402 associated ecosystem services that will affect millions of people on the short to mid-term 403 (Buytaert et al. 2011; Tovar et al. 2013; Anthelme, & Lavergne, 2018). In addition, the fast 404 and important changes in rural occupation and land uses that are occurring due to improving 405 socio-economic conditions and the subsequent population growth, contribute greatly to the 406 extent and intensity of environmental degradation (Hofstede, Segarra, & Vásconez, 2003). 407 It is also in this region that predicting the impacts of future global changes becomes 408 particularly challenging, due to data availability and the lack of knowledge on biodiversity, 409 climatic variations, anthropogenic dynamics and the responses of biodiversity to these 410 drivers (Anderson et al. 2011; Ramírez-Villegas et al. 2014; Lenoir, & Svenning 2015; 411 Feeley et al. 2017).

412 <u>Dispersal capacity</u>

413 To date, trait data and functional analyses for the páramo remain scarce, especially regarding 414 non-leaf characteristics (e.g. Sánchez et al. 2014), and so far few works have focused on 415 seed-related traits and characterized the dispersal modes of páramo species (Frantzen, & 416 Bouman, 1989; Melcher et al. 2000; Melcher et al. 2004). Our estimates of species maximum 417 dispersal distances based on plant dispersal traits highlighted that páramo species disperse 418 on the lower end of the terrestrial angiosperm dispersal spectrum (Kinlan, & Gaines, 2003) 419 and in general similarly to other alpine floras (Vittoz, & Engler, 2007; Morgan, & Venn, 420 2017). Our result showed some support for the hypothesis that low-elevation shrubs, 421 characterized by heavy seeds and usually using either zoochory (e.g. Ericaceae) or assisted 422 anemochory (e.g. Asteraceae), disperse best. Indeed, the correlation favouring plant height 423 over seed mass seemed particularly advantageous for these shrub species, at least at the 424 landscape level (Parolo, & Rossi, 2008; Thomson et al. 2011, 17), whereas within a same 425 dispersal syndrome or vegetation type, light-seed species that disperse anemochorously are 426 usually considered as more efficient (Muller-Landau et al. 2008). Our best dispersers were 427 often zoochorous and presenting relatively large fruits visible to vertebrate animals, such as 428 birds, which undoubtley enhanced local dispersal (Thomson et al. 2011; Tamme et al. 2014) 429 and also increased frequency of long-distance dispersal events, for example between 430 mountain tops (Vittoz et al. 2009). Consequently, an upcoming challenge for these plant 431 species in the future is facing their own responses to climate change but also those of their 432 animal disperser (Travis et al. 2013). With increasing elevation and distance from the 433 treeline, Poaceae become progressively dominant in the páramo ecosystems, ensuing a larger 434 proportion of anemochorous species that often present disperal-enhancing structures, i.e. 435 wings or pappi. Such structures are also crucial in helping plants overcome the long-distance 436 dispersal limitations they face in alpine areas (Vittoz et al. 2009; Morgan, & Venn, 2017; 437 Thomson et al. 2017). In the super-páramo belt, small characteristic plants such as 438 Nototriche, Draba and Ourisia (Peyre et al. 2018) are often featuring perreniality, small 439 light-seeds, high autogamy rate and little seed productivity, which might explain the short-440 distance dispersal tendency observed. In addition, the yet unhospitable environment and 441 unavailability of efficient animal dispersers on these sky-islands often forces plant species 442 to recur to vegetative (clonal) reproduction in priority and limit their sexual reproduction 443 (Vittoz et al. 2009). Accounting for establishment capacity, the availability of microsites, 444 good microclimatic and edaphic conditions, and strong species interactions, such as 445 facilitation of assisted dispersal, is therefore key to finally assess the migration capacity of 446 these species (Scherrer, & Körner, 2011; Anthelme, Cavieres, & Dangles, 2014; Hupp,

Llambí, Ramírez, & Callaway, 2017; Alexander et al. 2018). Finally, considering the
competitiveness of super-páramo species against advantaged shrub and herbaceous species
from lower elevations, including opportunistic and exotic species, is necessary to evaluate
the intensity of the threat (Pauchard et al. 2009; Llambí, Hupp, Saez, & Callaway, 2018).

451 The importance of dispersal capacity in shaping a species distribution has long been 452 acknowledged and increasingly included to improve SDM performances in the last decade 453 (Thuiller et al. 2008; Dullinger et al. 2012; Bateman et al. 2013; Hattab et al. 2017). 454 Community modelling has been considerably improving in parallel, with considerable 455 efforts being put on better accounting for community assembly processes, including the 456 carrying capacity of communities and assembly rules (D'Amen et al. 2017; Mateo et al. 457 2017), nonetheless, dispersal constraints had remained mostly overlooked in community 458 modelling (D'Amen et al. 2017; Guisan et al. 2019). This study supports that dispersal is a 459 key factor in defining the future distribution of species and their assemblages, as illustrated 460 in Fig. 2 by the overall overprediction of potential versus realized distributions quantified as 461 the *suitable but unoccupied area*. Our finding is therefore in agreement with previous works 462 maintaining that dispersal capacity is particularly important in tropical mountains, where 463 climate has had an important but not exclusively prevailing influence on biota distribution 464 (e.g. Flantua, O'dea, Onstein, Giraldo, & Hooghiemstra, 2019). Our results also suggest that 465 super-páramo plants might not be able to migrate altitudinally along the same mountain but 466 also be prevented in their attempts to colonize new mountain ranges, sustaining the key role 467 of topographic distance and isolation (Sklenář, & Jørgensen, 1999; Flantua et al. 2019).

468 <u>Distribution responses of plant species</u>

469 Of all species, 70% were predicted to show a significant distribution loss by 2100, which 470 complements previous findings in which 50-60% of tropical Andean species reduced their 471 distribution in the second half of the 21st century (Ramírez-Villegas et al. 2014). Even more 472 concerning is that 15% of all páramo species showed a significant extinction risk by 2100, 473 and 25% of all super-páramo species, supporting our hypothesis that super-páramo species 474 are generally more at risk than mid-páramo ones. Among the vulnerable super-páramo 475 species are several diagnostic species of super-páramo phytogeographical units, for example 476 Ourisia muscosa of the Upper humid super-páramo and Draba depressa of the Upper dry 477 super-páramo (Peyre et al. 2018). Furthermore, our results posed as threatened many super-478 páramo species that are páramo endemics such as Cerastium candicans, Draba depressa and 479 Xenophyllum crassum or even national páramo endemics, for example Bartsia pumila,

480 Loricaria antisanensis and Viola polycephala. Our study alerts of maximum extinction risk 481 for 9% of all studied species, some of which were already classified following the IUCN 482 system as threatened, for example Bartsia pumila (VU) and Gentianella hirculus (EN), but 483 also some considered as not threatened, such as Gentianella limoselloides (LC) and Halenia 484 taruga-gasso (NT) (León-Yánez, 2012). Because SDMs can be a useful complement to 485 further conduct UICN evaluations (Breiner, Guisan, Nobis, & Bergamini, 2017; but see Akcakaya, Butchart, Mace, Stuart, & Hilton-Taylor, 2006), we therefore urge, based on the 486 487 SDM results to focus further study on these predicted-extinct species, especially regarding 488 population health and individual fitness, and encourage ex-situ conservation efforts such as 489 seed banks to preserve this unique biodiversity.

490 <u>Changes in richness and plant assemblages</u>

491 Local richness at the pixel level has been found to significantly decrease in most sky islands, 492 even accounting for potential colonization from lower elevations. The northern sky islands 493 of Ilinizas and Pichincha were the most affected, which contradicts previous findings stating 494 richness stability in this region (Ramírez-Villegas et al. 2014), and exceptions to the rule 495 included few central mountains such as Tungurahua and Altar where richnesst would remain 496 stable or even increase slightly. As a result, these last sky islands might be experiencing the 497 worldwide-observed accelerated colonization process on mountains (Steinbauer et al. 498 2018), but buffered by specific environmental conditions and a particularly vulnerable 499 species pool. Overall, we found no support for the negative relationship hypothesis between 500 actual richness and future richness changes, as the more diverse (at the local scale, or plot 501 scale) eastern mountains suffered less drastic changes than the less diverse northern 502 mountains (Peyre et al. 2019), Because this finding plausibly supposes that the communities' 503 richness capacity is not reached on these sky islands, we recommend further scientific focus 504 on that particular macroecological hypothesis.

505 Composition changes were also predicted as very abrupt in the region, estimating 506 important species losses and little gains at the sky island level (gamma diversity). Northern 507 mountains were predicted to lose substantial amounts of species as much as 85% in 508 Pichincha and gain very few species overall. By contrast, central mountains saw moderate 509 losses and in the case of Tungurahua, a potential even loss and gain, depending on the 510 climate change scenario considered. Several complementary hypotheses to climate change 511 might be advanced to explain such a pattern, for example the geographic isolation and elevation of the massif, which could either facilitate or constrain dispersal and migration 512

(Sklenář, & Jørgensen, 1999). Finally, the ratio of super-páramo vs. mid-páramo species decreased by 2100, especially in northern mountains, sky islands generally losing 5% of their super-páramo species to mid-páramo ones. Our results therefore support previous findings that mid-páramo species are moving upslope and competing with super-páramo species, although the role of adaptation, microenvironmental refugia and biotic interactions remain to be considered for more refined plant responses.

519 Our results evidenced that sky islands from northern Ecuador, including Pichincha 520 and Ilinizas, were evaluated as the most vulnerable to future climate change. These páramos 521 are located along the inter-Andean valley where an important population resides, i.e. Quito 522 and Latacunga, making the anthropogenic change expected for the end of the century in the 523 form of fast and intense land-use changes an even bigger threat than climate change 524 (Hofstede et al. 2003). Because the agricultural frontier could move upslope too when soil 525 develops sufficiently at high altitude, entire super-páramo ecosystems could suffer drastic 526 changes in their structure and functions. Therefore, the predictions provided here, based on 527 climate-change solely, should be considered as conservative scenarios and even more 528 forceful impacts should be expected under a global change scenario. In addition, exotic and 529 efficiently dispersed opportunistic species could take advantage of the anthropogenic change 530 to migrate and invade the sky islands, competing directly with local species and forming no-531 analog communities with little ecological value (Le Roux, & McGeoch, 2008; Anthelme, & 532 Peyre, 2019). Precise monitoring should therefore be tracking the anthropogenic changes 533 associated to climate change on these sky islands in priority so to prevent a critical threat to 534 the sky islands of the northern Andes currently holding the reservoir or museum of super-535 páramo species.

536 <u>Study limitations and future recommendations</u>

537 Regarding dispersal estimates, we consider that even though trait-based dispersal distance 538 methods such as Tamme et al. (2014) are very useful and give reasonable predictions, the 539 fact that they have been shown to underestimate distances for wind-dispersed species, an 540 important proportion of our species pool (Herrmann et al. 2016, Morgan, & Venn, 2017), 541 would support the use of more detailed techniques in the future, for example mechanistic 542 models that acknowledge wind velocities and dispersal pathways (Skarpaas et al. 2004; 543 Holloway et al. 2016). Moreover, we did not account for certain crucial variation such as i) 544 potential changes in biogeographical barriers with climate change such as glacier retreat or

forest expansion, which might affect the dispersal reach of species (Caplat et al. 2016), nor 545 546 did we consider ii) that specific dispersal distances might change over time (Travis et al. 547 2013) or iii) the importance of long dispersal events promoted by climate change 548 consequences such as the frecuency and intensity of storms (Hellmann, Byers, Bierwagen, 549 & Dukes, 2008). Another limitation regards data availability and coverage, a commonly 550 encountered issue in tropical research. In fact, the species list was recovered from 551 VegParamo, which is a pioneer database with important representativity of the páramo taxa, although it still accounts for certain geographic and taxonomic knowledge gaps (Peyre et al. 552 553 2015; Bottin et al. 2019). Finally, climatic interpolations in tropical mountain areas that are topographically and environmentally complex are particularly challenging to obtain, 554 555 especially for a broad study area and future climatic scenarios, and more fitted climatic data 556 with a finer resolution could have improved the models performance and predictions.

557 Future recommendations include complementing the trait data with observed data and 558 calculate distance on site to provide better estimates of dispersal capacity of plants in the 559 region. To improve the models' accuracy and ecological meaning, it would be useful to 560 account for different dynamic factors unavailable at the time (Anthelme et al. 2014; Graae 561 et al. 2018), for example: i) additional abiotic variables at the macroscale, such as horizontal 562 precipitation that represents an important water intake for plants, but also meso and 563 microscale, for example local night freezing, that could balance macroeffects (Scherrer, & 564 Körner, 2011; Mod et al. 2015), ii) fine biotic interactions to incorporate into the assembly 565 rules, competition but also facilitation to dispersal or establishment (Llambí et al. 2018), and 566 iii) land-use changes, whose intensity and expansion might increase under future climate 567 change (Hofstede et al. 2003; Anderson et al. 2011).

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570 Author contributions

- 571 G.P. and A.G. conceived of the research idea; G.P., M.G. and A.G. processed the data;
- 572 G.P. performed the statistical analyses and wrote the paper; all authors discussed the
- 573 methods, results and commented on the manuscript.

574 Data accessibility

- 575 The vegetation data is freely available from VegParamo (<u>www.vegparamo.com</u>), and on
- 576 Dryad (<u>https://datadryad.org/;</u> Peyre, 2020).

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880 Tables

- **Table 1:** Evaluation metrics and their standard deviation (in parenthesis) showing the overall
- 882 performance of the GLM and RF models for 435 plant species as well as their comparison
- 883 between algorithm according to a paired t-student test.

	TSS	Sensitivity	Specificity	Percentage of models kept	
				(over 50 runs)	
GLM	0.574	79.483 (11.85)	81.520 (13.03)	23.171 (15.79)	
	(0.17)				
RF	0.652	82.171 (10.40)	80.477 (10.40)	28.891 (15.73)	
	(0.16)				
Significant	SI	SI	NO	SI	
differences	(t = -14.487,	(t = -4.1044, df =	(t = 1.6303, df = 432,	(t = -11.812, df = 432, p-value < 2.2e-16)	
between	df = 432, p-	432, p-value =	p-value = 0.1038)		
algorithms	value <	4.846e-05)			
argoritimis	2.2e-16)				

- 885 **Table 2:** Composition changes (in %) in terms of proportions of mid-páramo and super-
- páramo species at the sky island level between 2000 and 2100. The final super-páramo
- balance shows net gain (in green) or loss (in red) of super-páramo species, expressed as a
- 888 percentage.

	2000		2100			Final super-
	Mid-páramo species	Super-paramo species	Mid-páramo species	Super-páramo species	Standard deviation	páramo balance
Altar	66.91	33.09	74.54	25.46	4.15	-7.63
Antisana	67.30	32.70	75.30	27.70	6.67	-8.01
Cajas	81.82	18.18	84.21	15.79	6.10	-2.39
Cayambe	69.33	30.67	68.56	31.44	4.16	+0.78
Chimborazo	64.47	35.53	71.15	28.85	2.40	-6.68
Cotopaxi	67.11	32.89	71.16	28.84	1.72	-4.04
Ilinizas	59.78	40.22	69.55	30.45	7.35	-9.76
Pichincha	69.90	30.10	73.77	26.23	13.44	-3.87
Sangay	75.51	24.49	75.99	24.01	5.33	-0.48
Tungurahua	65.75	34.25	71.92	28.08	6.99	-6.17

889

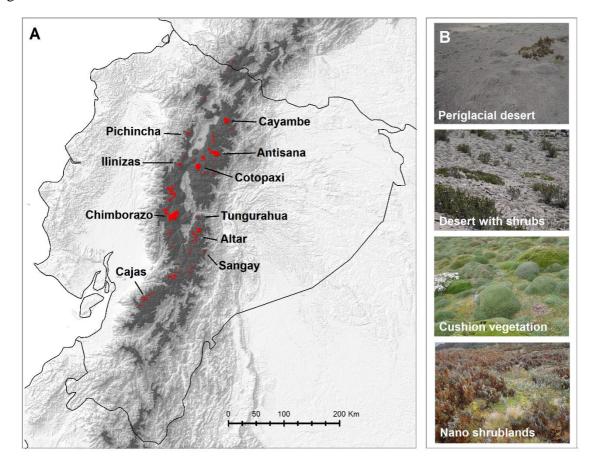
891 Figure captions

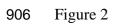
Figure 1: Current potential distribution of the páramo in Ecuador (A): in dark gray, midpáramo (3000-4200 m) and in red, super-páramo (> 4200 m); and characteristic superpáramo vegetation (B), from bottom to top, increasing with elevation.

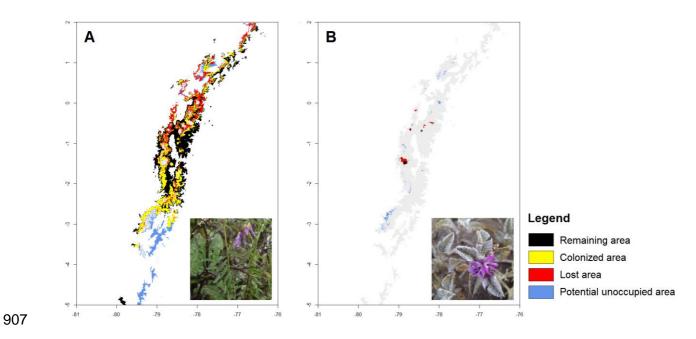
- Figure 2: Examples of distribution responses of páramo species to climate change by 2100,
- 896 according to the CIMC5-RCP45-CESM1-BGC scenario: A) a mid-páramo species
- 897 colonizing higher elevations (Vicia andicola) and B) a super-páramo species reducing its
- 898 distribution (*Astragalus geminiflorus*).
- Figure 3: Net richness changes at the pixel level (1 km²) between 2000 and 2100 in the 10
- 900 páramo sky islands above 4200 m (named in bold). Between parenthesis, balance of gained
- and lost species between the present and 2100 (in percentage of the original richness at the
- sky island level).

903 Figures

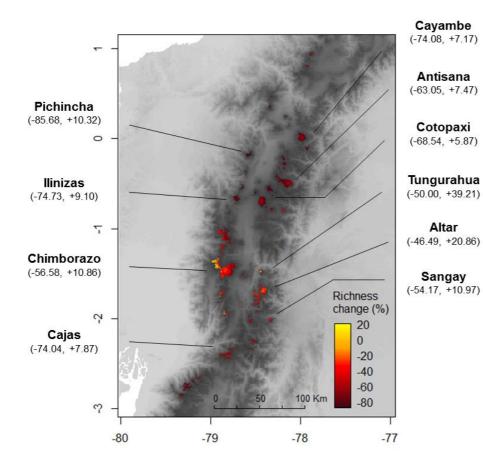
904 Figure 1







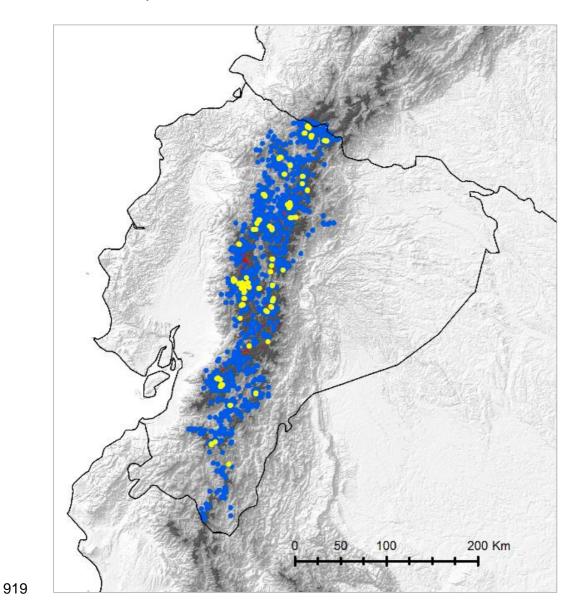
908 Figure 3



910 Supplementary materials

- 911 Table S1: vegetation and occurrence data used in this study (Peyre, 2020)
- 912 Table S2: List of species included in the analysis, including their representation in the final
- 913 dataset, mid-páramo versus super-páramo status and calculated maximum dispersal distance
- 914 (in m/yr).
- 915 Tabla S3: Species composition of the Ecuadorian sky islands for 2000 and 2100 according
- 916 to the modelling analyses and under the different climate change scenarios.

- 917 Figure S1: Distribution of the VegParamo plots (yellow) and additional data points (in blue)
- 918 across the study area.



- 921 Figure S2: Density plot of the dispersal capacity, as maximum dispersal distance, of the
 922 páramo species (m/yr), differentiating the mid-páramo and super-páramo species.

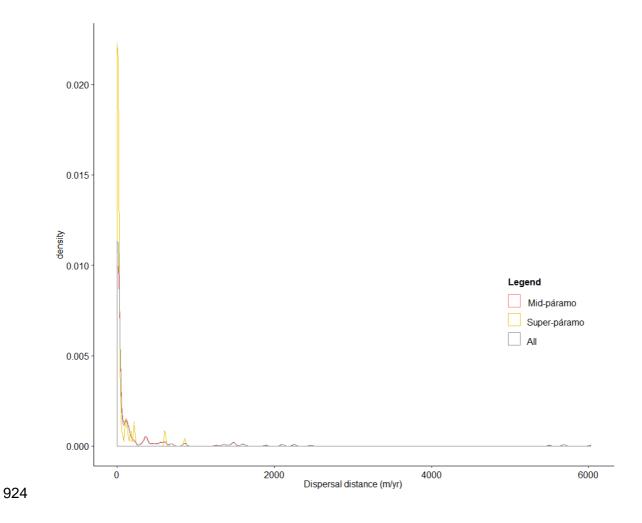


Figure S3: Balance of gained and lost species on the Ecuadorian sky islands between the
present and 2100 (in percentage of the original richness at the sky island level). Dark colours
represent lost species and light colours gained species.

