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Investigation of organic precursors and plasma mixtures allowing control of carbon passivation when etching HgCdTe in hydrocarbon-based inductively coupled plasmas

Running title: a concise title Running Authors: Piet et al.

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The interest of introducing oxygen and/or nitrogen atoms in CH₄/H₂/Ar plasma mixtures, by means of organic precursors in the place of CH₄ or by partly substituting O₂ or N₂O for H₂ and thereby control carbon deposition and surface passivation when etching HgCdTe under low substrate bias conditions is investigated. Using *in situ* ellipsometry, *in situ* x-ray photoelectron spectroscopy, atomic force microscopy and secondary electron microscopy, we show that nitromethane as precursor and N₂O substitution are attractive alternatives: absence of deposition although no bias is applied, equivalent post-etch surface composition and for the latter comparable performances in terms of etch rate and surface roughness. Such approach could provide the soft dry etching conditions needed to reduce the pixel pitch of HgCdTe infrared photovoltaic detectors. The mechanisms that allow suppressing carbon deposition upon O₂ or N₂O substitution in CH₄/H₂/Ar plasmas is studied by combining plasma diagnostics (optical emission spectroscopy and actinometry, ion flux measurements) and surface characterisations. Firstly, using Si as a reference substrate, it is shown that deposition is suppressed whenever the O₂ and N₂O flow rate is half and twice

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that of CH₄ respectively. Moreover the study reveals that oxygen is the true etch reactant controlling the competition between film growth and etching in both substituted processes, whereas incorporation of nitrogen in the film upon N₂O substitution favours simultaneously its etching kinetics. Secondly, on HgCdTe, deposition of a hydrocarbonitride film is observed at low N₂O/CH₄ ratio (~0.6). SEM and SEM-EDS imaging suggest that the film nucleates and grows preferentially on regions where the Cd removal mechanisms happens to be less efficient. At high N₂O/CH₄ ratio (~4), oxidation of the HgCdTe surface is observed.

I. INTRODUCTION

The II-VI compound Mercury Cadmium Telluride (Hg(1-x)CdxTe) is widely used for fabrication of high performance infrared photovoltaic detector due to a bandgap tunability achieved by varying the cadmium content of the alloy^{1,2}. Thus, it covers a wide spectral range from near to far infrared (0.8 – 30 µm). The other important advantages of this compound are a high absorption coefficient, a great mobility and a long lifetime of carriers as well as a fast response time³. At last one can also point out the nearly invariant lattice parameter with composition (0.2% from CdTe to HgTe), which allows to epitaxy heterostructures addressing multi spectral ranges. Fabrication of a detector matrix requires etching steps in the form of deep trenches or low aspect ratio holes, to respectively define the pixel's dimension and pitch and to open the material for electrical contacts^{4,5}. In both

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cases etching can induce damages at the surface and even into the material. The most frequent concern the degradation of the electrical properties, the change of composition, the development of surface roughness and presence of process residues. The latter two complicate the deposition of electrical passivation layers and the making of electrical contacts.

Dry etching of HgCdTe is mainly performed with CH₄/H₂/Ar plasmas. Although some parts of the etching mechanism are still not thoroughly interpreted, past studies and literature data converge on the fact that the methyl group CH₃ allows to form the volatile product Cd(CH₃)₂, Te desorbs from surface due to formation of TeH₂ and Te(CH₃)₂ and Hg desorbs spontaneously due to its high vapour pressure^{6,7}. During the etching, a sufficient substrate bias is necessary to provide to the ion bombardment the required energy capable to prevent or remove carbon deposition at the bottom of the etched feature⁸, while at the pattern sidewall, carbon species can contribute to the etching anisotropy through the formation of a passivation layer. The CH₄/H₂ ratio is an important parameter of the plasmamaterial interaction mechanism. On the one hand, the greater this ratio, the larger the bias threshold between carbon film deposition and material etching⁸ and thus the larger the bias to be applied, with potential damage to the material^{8,9,10,11}. On the other hand the larger this ratio, the less disequilibrium between the removal mechanism of the HgTe fraction of the material and that of the CdTe fraction⁷ and the better chance to limit Hg depletion from the surface layer. Besides, the etched surface is generally reported to be rough and, in relation to the etch mechanism, plasmas rich in H₂ were observed to cause significantly rougher surfaces than plasmas with large CH₄/H₂ ratios^{10,11}. The origin being attributed to carbon deposition^{6,12}, residues rich in Cd¹³ or formation of a porous surface layer⁷. Literature This is the author's peer reviewed, accepted manuscript. However, the online version of record will be different from this version once it has been copyedited and typeset.

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reports a few studies carried out to minimize these defects. Most notably, N₂ and Ar have been added to CH₄/H₂ plasma to improve surface aspect and roughness¹⁴. This improvement is associated with the reduction of the hydrogen concentration, as H is consumed to form NH₃¹⁴. An increase of CH₃ concentration is also observed with presence of N₂ in the mixture ^{14,15}. Other works investigated O₂ addition to avoid polymer deposition for InP etching ^{16,17}. In conclusion the etching mechanism of HgCdTe in CH₄/H₂/Ar plasma obeys to an ion assisted chemical etching mechanism, as introduced by Coburn and Winters in the late 1970s ^{18,19,20,21} for the etching of Si based materials in fluorine plasmas ²² and verified since in a very large number of systems. In addition, the mechanism faces a situation of competition between etching and deposition as in fluorocarbon plasmas with the complication of a fragile ternary material and a strong requirement to limit plasma-induced damage, in particular with respect to ion bombardment.

Here we report on the interest of replacing CH₄ by other organic precursors whose chemical formula contains oxygen and nitrogen atoms or by adding N₂O or O₂ to a CH₄/H₂/Ar plasma. Purpose is to progress in the understanding of the impact of changing the precursor or the plasma chemistry on the plasma-surface interaction mechanism and notably for etching HgCdTe without carbon residues even at low or without substrate bias. In a first step, we used *in situ* ellipsometry to study the hydrocarbon film deposition produced on silicon by various organic precursors capable to etch HgCdTe and the removal of this deposit in an oxidizing plasma, described hereinafter as sample cleaning. Then, we analysed the composition of the plasma produced with the most interesting mixtures by optical emission spectroscopy (OES), in addition the total flux of positive ions was measured using a home-made capacitive planar probe^{23,24}, which design is inspired from

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the work of Braithwaite²⁵. Electron density and temperature were estimated using a cylindrical Langmuir probe. Finally, we etched HgCdTe samples for several precursor flow rates; overall surface composition was characterised thanks to *in situ* x-ray photoelectron spectroscopy (XPS). And it is worth to point out or recall that the pioneer work of J. Coburn in the use of *in situ* surface analysis for the understanding plasma or ion surface interaction mechanisms²⁶. Secondary electron microscopy (SEM) and energy dispersive x-ray spectroscopy (SEM-EDS) imaging allowed to probe the surface topography and surface chemical homogeneity in the micrometre scale range respectively and surface roughness was measured by atomic force microscopy (AFM).

II. EXPERIMENTAL SET UP AND METHODOLOGY

The etching vessel used in the study associates an inductively coupled plasma (ICP) source and a diffusion chamber in which the sample holder is located. The ICP source is composed of a 185 mm in diameter alumina tube surrounded by a circular antenna connected to a RF generator (13.56 MHz). The sample holder, located 240 mm below the plane of the source antenna, is biased using a variable power supply at 13.56 MHz. The substrate self-bias enables to control the ion energy independently from the production of the plasma. The sample holder receives Si wafers 100 mm in diameter that are clamped mechanically via an anodised aluminum ring. A cryostat ensures the temperature regulation of the sample holder, heat exchange between the wafer and the holder is obtained by a 10 mbar He static pressure at the wafer backside; in the present study temperature regulation was fixed to 20°C. Typical plasma process conditions are 1000 W for the source power, 4 mTorr of total pressure, 10 min of exposure time, no bias is applied to the sample holder

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unless indicated. Gas mixture, which is the main variable experimental parameter is indicated along the text. Plasma cleaning conditions are carried out in O_2/Ar (40/20) sccm mixture, or N_2O/Ar (40/20) in the case of the N_2O additive, at 1000 W source power and 4 mTorr total pressure, no bias is applied to the Si sample holder.

The OES measurements are carried out with a Jobin-Yvon iHR 550 monochromator that is fitted with a 1800 gr/mm holographic grating blazed for 250 nm. The light signal is measured with a CCD Open Electrode 1024x256 pixels from Synapse that enables to cover a 22 nm spectral range with a nominal resolution of 0.06 nm. The characteristic spectra are recorded with an exposure time of 0.1 s and a slot opening of 20 µm. The evolution of relative concentration of the species is monitored by using the 8% Ar present in the gas mixture as an actinometer, through the emission line at 750.4 nm.

The carbon film deposition and subsequent sample cleaning study is carried out with an *in situ* multi wavelengths ellipsometer M44 from Woollam Co. Measurement is carried out every 2.4 s. The model used to analyse the experimental data is composed of three layers: a Si bulk layer for the substrate, a SiO₂ layer to model the substrate initial native oxide as well as the Si oxidation after the sample cleaning. For both materials, optical parameters are taken from the WVase software library. The third layer is the deposition layer whose composition is unknown and represented by a Cauchy model. For each deposition condition, the Cauchy parameters are adjusted considering the data acquired during a period of time of 2 min (corresponding to 50 measurements) after the end of the deposition sequence when the layer is the thickest; the parameters are then taken fixed when analysing the complete set of temporal experimental data for this deposition condition. Comparing the values of the Cauchy parameters from one precursor to another

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and when using additives, we did not observe very significant variations. The experiments are carried out one after the other, each deposition being followed by an oxydising plasma for cleaning. The evaluation of the silicon oxidation, and therefore the SiO₂ thickness, is fulfilled after each cleaning in order to adjust the model before a new deposition measurement.

The $Hg_{(1-x)}Cd_xTe$ layers (x = 0.2) used in this work are grown by liquid phase epitaxy on (111)-oriented CdZnTe substrates^{27,28}. Before use the HgCdTe wafer is etched in a Br₂/methanol solution; this allows to remove about 1 μ m out of the 8 μ m thick HgCdTe layer and ensures to carry on the experiments on a reproducible material surface. The wafers are then cut into 1 cm² samples and experiments are carried out on samples from the same wafer. These samples are fixed onto the Si wafer using a thermal paste (HTCP from Electrolube) at the back of the sample, which ensures a good thermal contact.

The sample surface is analysed by quasi *in situ* X-ray photoelectron spectroscopy, using a Phoibos HR150 analyser and a Focus500 monochromator from Specs GmbH. Transfer sequence is as follows. After the process the plasma vessel is pumped down to its nominal base pressure (5E-7 mbar) then the sample is transferred to a buffer chamber (base pressure 5E-9 mbar), in a third step the sample is transferred to the XPS chamber (base pressure 1E-9 mbar); this procedure prevents the XPS from a direct connection with the plasma vessel and allows to maintain vacuum and surfaces as clean as possible. Spectrum emission is achieved with a monochromatic AlKα at 1486.74 eV powered at 400 W (15 kV, 27 mA). Survey spectra and narrow spectra are recorded with a pass energy of 30 and 14 eV and an energy step of 0.5 and 0.025 eV respectively; the latter combination giving an experimental spectral width at half maximum of 0.51 eV on Ag3d5/2. The XPS

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measurement angle with respect to the sample surface normal is 0° , the angle between the X-ray and the analyser is 60° . Data treatment was carried out with Casa XPS²⁹.

The etch rate is measured on patterned samples with a Veeco Dektak 8 profilometer. Atomic force microscopy is used to characterise the surface roughness and morphology. The equipment used is a Nano Wizard 3 from JPK Instruments with a tip PPP-NCHR-50b from Nanosensors with a radius of curvature less than 7 nm. Scanning is carried out in intermittent contact mode, the scan is $10x10 \ \mu m^2$. SEM and SEM-EDS imaging are carried out with JEOL JSM 7600F and 5800LV instruments respectively and operated at 5 kV, no metallization is necessary prior to the analysis.

III. STUDY OF VARIOUS PRECURSORS AND ADDITIVES

A. Choice of precursors

Precursors are chosen according to their predisposition to produce CH₃ radicals upon dissociation and according to their vapour pressure. An additional selection is made by studying the time required to etch in the oxygen plasma the carbonaceous film previously deposited in the process plasma (precursor/H₂/Ar mixture) at various precursor flow rates. Experiments are carried out by monitoring the deposition and the cleaning sequences using *in situ* ellipsometry on a silicon wafer. The same procedure is used when O₂ or N₂O are partly substituted for H₂ in the CH₄-H₂-Ar mixture. In such case the cleaning is carried out in O₂/Ar or N₂O/Ar respectively.

Figure 1 reports the evolution of the ratio between the oxygen plasma cleaning time and the plasma process time in a precursor/H₂/Ar mixture as a function of the precursor

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flow, for the precursors methane, ethane, methanol, ethanol, acetone, isopropanol and nitromethane. Results show that clean time/process time ratio (Tc/Tp) for methanol is smaller than for methane; in the case of nitromethane the ratio is even null since no deposition occurs and all other precursors tested show larger Tc/Tp values. This leads to select methanol or nitromethane as organic precursor alternative to methane.

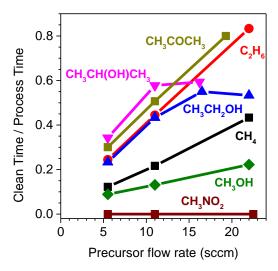


Figure 1: Ratio between the oxygen plasma cleaning time and the plasma process time in a precursor/ H_2 /Ar mixture (X/(55-X)/5 sccm) as a function of the precursor flow, for the precursors methane (\blacksquare) and ethane (\bullet) methanol (\spadesuit), ethanol (\spadesuit), acetone (\blacksquare), isopropanol (\blacktriangledown) and nitromethane (\blacksquare).

Figure 2 presents the evolution of the deposition rate and Tc/Tp ratio *versus* the O₂ or N₂O flow rate as these gases are partly substituted to H₂ in CH₄/H₂/Ar mixtures. One can observe that when O₂ is introduced into the CH₄/H₂/Ar mixture the deposition rate decreases. For 10 sccm of CH₄, 4 sccm of O₂ are necessary to reach a deposition rate of zero. Replacing O₂ by N₂O brings a different result. At low N₂O flow rates deposition rate increases when increasing the N₂O flow rate. A maximum deposition rate is observed at 5

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sccm, beyond which the deposition rate decreases. 18 sccm of N₂O is necessary to avoid deposition. Thus introducing small amounts of N₂O to a CH₄/H₂/Ar plasma favours the growth of a hydrocarbon film on the Si wafer. Introducing 4 sccm of O₂ or 18 sccm of N₂O allow respectively to suppress deposition and therefore validates the concept of O₂ or N₂O partial substitution for H₂ to suppress deposition. Above 4 sccm O₂ or 18 sccm N₂O the "deposition rate" observed is attributed to the oxidation of the Si substrate. Comparing the Tc/Tp ratio for the CH₄/H₂/Ar situation (*i.e.* no additives) one observes values of 0.2 and 0.5 when the O₂/Ar plasma (Figure 2-a) and N₂O/Ar plasma (Figure 2-b) are used for cleaning respectively. This puts forward the better cleaning efficiency of the former.

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0.20 Deposition rate 5 Tc/Tp 00.0 OC 00.0 Clean time / Process time Deposition rate (nm/min) а 3 -2 Si oxidation 0 0.00 8 2 4 6 O, flow rate (sccm)

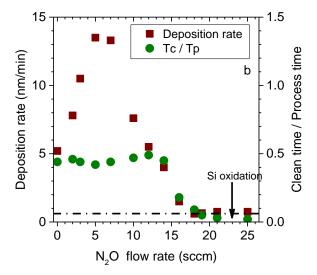


Figure 2: Deposition rate and Tc/Tp ratio *versus* O_2 and N_2O flow rate in (a) a $CH_4/O_2/H_2/Ar$ and (b) a $CH_4/N_2O/H_2/Ar$ plasma. Gas mixture is 10/Y/(45-Y)/5 sccm.

B. Plasma study

Figure 3 compares typical plasma emission spectra using methane, methanol and nitromethane precursors. When O₂ and N₂O are partly substituted for H₂ in CH₄/H₂/Ar, the plasma emission is similar to that of methanol and nitromethane, respectively. For the first

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mixture, characteristics lines of argon and hydrogen are principally detected. We also note that we do not detect the characteristic band of CH* at 431.2 nm, which suggests that hydrocarbon radicals produced upon dissociation of the precursors react rapidly with other reagents, including the surface of the vessel, to form various compounds. Thereafter, we will mainly focus on H α and Ar* lines at 656.3 nm and 750.4 nm respectively. When oxygen is present is the mixture, *i.e.* when using methanol or with the substitution of O2 for H2, the characteristic line and bands of O*, although very weak in the case of methanol, CO* and OH* appear. In the case of CH3NO2/H2/Ar and CH4/N2O/H2/Ar, introducing nitrogen induces the appearance of additional bands characteristic of N2*, NO*, NH* and CN*, which again indicates that numerous recombination pathways exist from precursor dissociation products and reactions with the vessel walls. The species studied subsequently by pseudo-actinometry are presented in Table 1. In the presentation hereinafter, to lighten the text we will call X* the actinometry ratios X*/Ar* (where X = CO, CN, O, H α , NH, OH). The correct denomination is however kept in the Figures.

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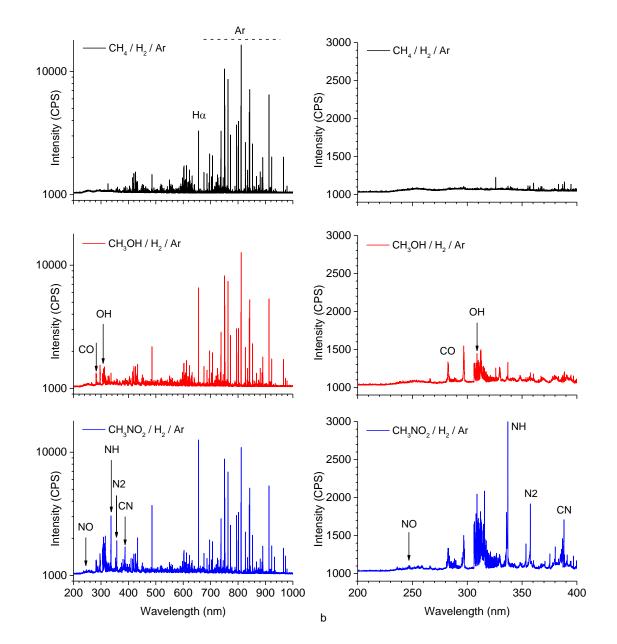


Figure 3: Typical optical emission spectra of CH₄/H₂/Ar, CH₃OH/H₂/Ar, CH₃NO₂/H₂/Ar plasmas obtained at 1000 W excitation power, 4 mTorr pressure, gas mixture is 8.5/46.5/5 sccm. Whole UV-vis. spectral range (a), blow up on the 200-400 nm range (b). Spectra are not corrected from the optical response of the measuring chain (fiber, monochromator, detector).

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Table 1: Plasma species studied by optical emission spectroscopy.

Species	Wavelength (nm)	Electronic transition	Energy of the upper level (eV)	Reference
NO*	247.1	$A^2\Sigma^+ \to X^2\Pi$	5.46	30, 33
CO*	283.3	$b^3\Sigma \to a^3\Pi$	10.41	30, 34
OH*	308.9	$A^2\Sigma^+ \to X^2\Pi$	4.04	30, 35
NH*	336.0	$A^3\Pi \to X^3\Sigma$	3.69	30, 35
N_2*	357.7	$C^3\Pi_u \to B^3\Pi_g$	11.06	30, 36
CN*	388.3	$B^2\Sigma \to X^2\Sigma$	3.20	30, 37
H*	656.3	${ m H}_{lpha}$	12.09	31, 38
Ar*	750.4	$3s^23p^54p^1(^3P_0) \rightarrow 3s^23p^54s^1(^1P_1)$	13.48	31, <u>39</u>
O*	777.2	$2s^22p^33p^1({}^5P_{1,2,3}) \rightarrow 2s^22p^33s^1({}^5S_2)$	10.74	31, 40

From Table 1 on can see that the energy required to excite NO, NH, OH and CN species to the transition upper level (from 3.20 to 5.46 eV) is much smaller than that required to excite Ar, H, O atoms and CO (from 10.41 to 13.48 eV). Therefore the evolution of the intensity ratios presented below in Figure 4 and Figure 5 *versus* the N₂O and O₂ flow rate could be affected if the electron temperature changes along with this variable. Some electrical measurement (not shown) were carried out in methane, methanol and nitromethane based plasmas using a cylindrical Langmuir probe. No significant variation of electron temperature is observed when varying the precursor flow rate between 4 to 25 sccm, with typical values of 2.9 ± 0.04 eV, 2.7 ± 0.1 eV and 3.0 ± 0.15 eV for methane, methanol and nitromethane precursors respectively. Such experiments could not be carried out when O₂ and N₂O are partly substituted for hydrogen. However the close values observed when changing the precursor (2.9, 2.7 and 3.0 eV) and the very close overall composition of the input gas mixture comparing on one hand $CH_4(10)/O_2(Y)/H_2(45-Y)/Ar(5)$ with $CH_3OH(X)/H_2(55-X)/Ar(5)$ and on another hand $CH_4(10)/N_2O(Y)/H_2(45-Y)/Ar(5)$ with $CH_3OH(X)/H_2(55-X)/Ar(5)$ and on another hand $CH_4(10)/N_2O(Y)/H_2(45-Y)/Ar(5)$

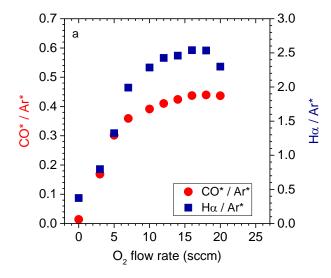
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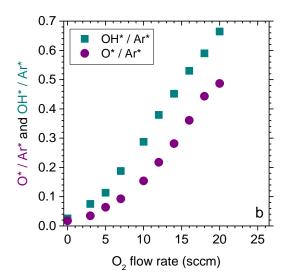
Y)/Ar(5) with CH₃NO₂(X)/H₂(55-X)/Ar(5) as X varies from 4 to 25 sccm and Y from 0 to 20 sccm make us believe that the electron temperature is likely to be weakly affected when varying the O₂ and N₂O flow rate within the investigated range. Under this assumption the evolution of intensity ratios reported in Figure 4 and Figure 5 should quite reasonably represent the evolution of the species density ratios.

For methanol and nitromethane precursors, intensity of the new characteristic lines and bands, i.e. O*, OH*, CO* for the former and the same plus N₂*, NH* and CN* for the latter, increases typically linearly as the amount of precursor is increased in the gas mixture (not shown). When substituting O₂ or N₂O the situation is quite different as shown in Figure 4 and Figure 5. In the $CH_4/O_2/H_2/Ar$ plasma the CO* and H\alpha emission first increase sharply upon incorporation of O₂ to the gas phase (Figure 4-a); but a saturation is observed above 5 sccm of O₂, which corresponds typically to the amount of O₂ needed to suppress carbon deposition onto the Si wafer. Opposite the constant increase of O* and OH* bands indicates a constant rise of production of atomic oxygen and reaction products (OH, H₂O) between oxygen and hydrogen or hydrocarbon radicals. In the CH₄/N₂O/H₂/Ar plasma the CN* emission increases sharply from 0 to 10 sccm N₂O before decreasing (Figure 5-a). Meanwhile the NO* emission first remains low before rising significantly. Our interpretation is that the formation of cyanyl is first limited by the amount of N2O incorporated in the gas mixture (Y < 10) and then limited by the amount of CH₄ (Y > 10). The transition between these two regimes takes place typically as the amount of N₂O equals that of CH₄. The NH* emission increases up to 12 sccm of N₂O and then saturates (Figure 5-b); this saturation could be related to the fact that the addition of N₂O is made to the detriment of the H₂ flow. The intensity of the H\alpha line follows a similar evolution.

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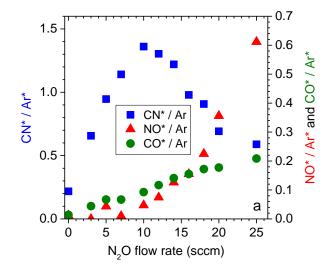
Moreover, the intensity of the emission of O^* line, OH^* and N_2^* bands increases linearly with the flow of N_2O ; the formation of these species is therefore not affected by the reaction kinetics involving CN (Figure 5-c). The continuous increase in the intensity of the CO^* band indicates that, in the range studied, the formation of this molecule is globally proportional to the number of oxygen atoms brought by N_2O .

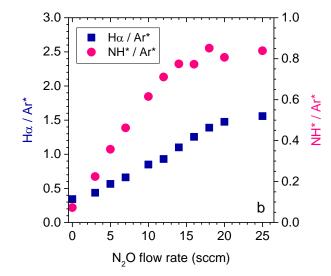




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Figure 4: Evolution of the actinometry ratios X^*/Ar^* for (a) $X^* = CO^*$, H^* and (b) $X^* = OH^*$ and O^* when varying the amount of O_2 in $CH_4/O_2/H_2/Ar$ (10/Y/45-Y/5 sccm) mixture.





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0.7 ¬ 2.5 OH* / Ar* 0.6 O* / Ar 2.0 N₂* / Ar* 0* / Ar* and OH* / Ar* 0.5 С 1.5 0.4 0.3 1.0 0.2 0.5 0.1 0.0 0.0 15 20 25 5 10 N₂O flow rate (sccm)

Figure 5: Evolution of the actinometry ratios X^*/Ar^* for (a) $X^* = CN^*$, NO, CO^* , (b) $X^* = NH^*$, H^* and (c) $X^* = OH^*$ and O^* when varying the amount of N₂O in CH₄/N₂O/H₂/Ar (10/Y/45-Y/5 sccm) mixture.

Ion flux measurements (not shown) reveal that whatever the precursor and whatever the flow rate within the investigated range, the flux of positive ions remains typically alike. This important result points out that, at constant substrate bias, changing the precursor between methane, methanol, or nitromethane does not affect the energy flux brought to the surface by the ion bombardment *i.e.* the physical contribution to the plasma surface interaction mechanism. Still, similarly to what is generally observed when varying the gas mixture 15,41,42,43, the ion composition may evolve when changing the precursor, but such changes will contribute to the chemical part of the interaction mechanism. Therefore any change in the deposition mechanism on the Si wafer is likely to be due to a change in the overall chemical composition of the plasma.

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C. Surface analysis of the film deposited at low amount of O_2 and N_2O additives

The carbonaceous film deposited onto the Si wafer in CH₄/H₂/Ar plasma and when substituting in part O₂ or N₂O for H₂ has been analysed by means of *in situ* XPS.

Survey scan results (not shown) can be resumed as follows. As expected the spectrum for the deposit formed in CH₄/H₂/Ar shows only a carbon signature with the C1s core level at ~284.8 eV. With the introduction of 2 sccm of O₂ into the gas mixture, the analysis reveals the presence of oxygen in the layer deposited in CH₄/O₂/H₂/Ar plasma, as shown by the O1s core level at ~532.4 eV. Yet the amount is small as quantification gives only ~8 atom% (Table 2). Finally, incorporation of 5 sccm N₂O produces a C(H)Nx layer containing ~8% O, 28% N and 64% C (Table 2), with an additional signal N1s signal at ~398.7 eV.

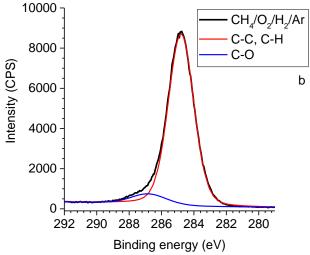
High resolution spectra of the C1s and N1s core levels are shown in Figure 6 and curve fitting results are presented in Table 2. This detailed analysis confirms that oxygen is only a minor element in the film produced in CH₄/O₂/H₂/Ar (Figure 6-b). For the film deposited in CH₄/N₂O/H₂/Ar both the C1s and N1s spectra show evidence of various chemical environments involving carbon and nitrogen atoms in the film network (Figure 6c, 6d). Following literature data^{44,45,46,47}, the C1s components can be tentatively ascribed to C(sp2)-N: 285.9 eV, C(sp3)-N, C=N and N-C(sp2)-N: 287.3 eV and CN (cyano group): 288.7 eV. In the same way we propose the following assignment, N-C: 400.1 eV, N=C and CN (cyano): 398.9 eV and N-H: 397.8 eV, for the N1s components. Concerning oxygen, once again it appears as a minor element in the film composition.

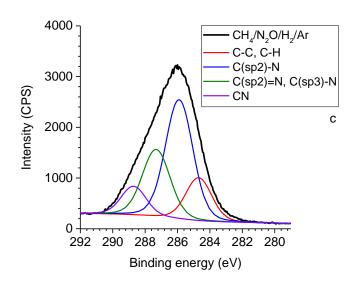
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10000 | CH₄/H₂/Ar | a | CH₄/H₂/Ar | a





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2000 - CH₄/N₂O/H₂/Ar - N=C, CN - N-C - N-H d d d 402 400 398 396 394 392 Binding energy (eV)

Figure 6: C 1s (a, b, c) and N1s (d) spectra of the layers deposited on Si in (a) CH₄/H₂/Ar, (b) CH₄/O₂ (2 sccm) /H₂/Ar and (c, d) CH₄/N₂O (5 sccm) /H₂/Ar plasmas.

Table 2: *In situ* XPS quantification and spectrum curve fitting results for the layers deposited in CH₄/H₂/Ar, CH₄/O₂ (2 sccm) /H₂/Ar and CH₄/N₂O (5 sccm) /H₂/Ar plasmas.

		C1s			O1s	N1s			
Species		C-C, C-H	C-O C-N	C=N	CN	O-C	N-H	N=C	N-C
CH₄/H₂/Ar	Atom %	100			-		-		
	BE (eV)	284.8	-	-	-	-	-	-	-
	FWHM (eV)	1.81	-	-	-	-	-	-	-
	Fraction (%)	100	-	-	-	-	-	-	-
CH ₄ /O ₂ / H ₂ /Ar	Atom %	92			8		-		
	BE (eV)	284.8	286.8	-	-	532.4	-	-	-
	FWHM (eV)	1.85	2.40	-	-	2.39	-	-	-

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	Fraction (%)	93	7	=	=	100	-	-	-
$\left. \left. \left$	Atom %	64				8	28		
	BE (eV)	284.7	285.9	287.3	288.7	531.5	397.8	398.9	400.1
	FWHM (eV)	1.89	1.99	2.02	1.81	2.66	1.99	1.83	2.02
CH	Fraction (%)	16	47	27	10	100	9	32	59

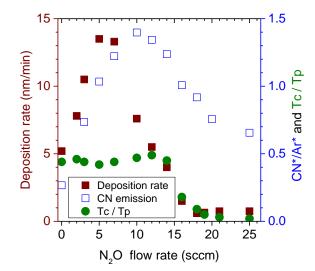
D. Discussion on the role of the additives with respect to film deposition

The Tc/Tp ratio evolves as the deposition rate for the CH₄/O₂/H₂/Ar plasma (Figure 2-a). The process time (Tp) being fixed for all experiments, this "proportional regime" corresponds to a situation in which the cleaning time is proportional to layer thickness *i.e.* the clean rate is also constant. Therefore the presence of O₂ in the gas mixture introduces an etching mechanism competing with the deposition mechanism.

In the case of the CH₄/N₂O/H₂/Ar plasma the Tc/Tp ratio is typically constant for low N₂O flux and becomes "proportional" to the deposition rate above 12 sccm of N₂O (Figure 2-b). Since the process time is fixed, a constant Tc/Tp ratio in the first regime means a constant clean time, whatever the thickness deposited. This suggests that introducing small amounts (Y < 12) of N₂O in the gas mixture has an important impact on the layer composition and structure, but unfortunately we do not have XPS analyses of the film for other mixtures than 5 sccm N₂O. However the comparison of the deposition rate with the OES CN* emission gives an interesting perception of the dominant mechanism (Figure 7). First, the deposition rate increases together with the amount of CN species formed in the plasma. Second, the N₂O flow rate where the CN concentration starts to decrease is also typically the point where the Tc/Tp ratio stops to be constant and becomes proportional to the deposition rate.

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Our interpretation is the following: The nitrogen species incorporated in the gas mixture by N_2O favour the formation of a carbonaceous film onto the Si wafer. However film composition and structure evolve in such a way as the amount of N_2O is increased that its further etching in an oxidising plasma is eased and most likely the higher the proportion of nitrogen in the film the more easy the etching. Since oxygen atoms are introduced simultaneously in the gas mixture, the film growth mechanism is in constant competition with an etching mechanism. This second one takes over gradually as Y > 7 sccm, as supported by the decrease of the deposition rate from this point, which is accompanied by the rise of the NO^* and CO^* (Figure 5-a). At Y = 12 the deposition rate has fall back to its initial value when no N_2O is substituted (Y=0), this means that in relation to the proportion of methane in the mixture, the opposite effects of nitrogen and oxygen are balanced. And the film deposited at higher N_2O behaves like those deposited with O_2 . Finally oxygen atoms appear as being the true etch reactant, whereas incorporation of nitrogen in the film favours its etching kinetics.



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Figure 7: Deposition rate, clean time / process time ratio and CN*/Ar* ratio versus the N_2O flow rate in a $CH_4/N_2O/H_2/Ar$ plasma. Gas mixture is 10/Y/(45-Y)/5 sccm.

IV. INTEREST FOR HgCdTe ETCHING

A. Comparison of precursors

Interest of methanol and nitromethane precursors or partial substitution of N₂O for H₂ in CH₄/H₂/Ar mixture for the etching of HgCdTe at low bias and potentially with low carbon contamination is investigated. Substitution of O₂ is not studied. HgCdTe samples are etched at a pressure of 4 mTorr and a source power of 1000 W. A substrate bias is necessary with the CH₄/H₂/Ar and CH₃OH/H₂/Ar plasmas in order to avoid carbon deposition and ensure the etching; bias power of 50 W and 10 W are chosen respectively. No substrate bias is applied with the nitromethane precursor or with incorporation of N₂O. For the latter case, following the data presented in Figure 2 the amount of N₂O is fixed as twice that of methane.

Table 3 collects typical data for the etch rate and surface roughness and presents typical AFM and SEM images. The etch rate is larger with the methanol precursor than with any other precursor, but the average roughness is also significantly greater; this observation led us not to further develop studies with this precursor. Looking at nitromethane precursor and incorporation of N₂O to CH₄/H₂/Ar, it comes out that etching HgCdTe without bias is possible with these two mixtures and the etch rate for the latter case is only 25% less than with the methane precursor, with a comparable average roughness. Another observation is the presence of small bumps on the surface etched in

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CH₄/H₂/Ar as seen on the AFM and SEM images. These bumps are not observed when replacing methane by nitromethane or using CH₄/N₂O/H₂/Ar.

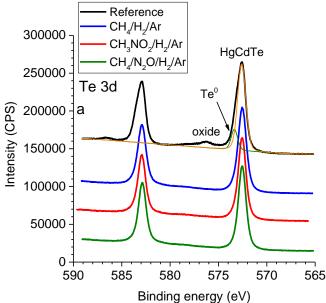
Table 3: AFM image, average roughness and SEM image after etching in CH₄/H₂/Ar, CH₃OH/H₂/Ar, CH₃NO₂/H₂/Ar and CH₄/N₂O/H₂/Ar plasmas.

Gas mixture	CH4 / H2 / Ar	CH3OH / H2 / Ar	CH3NO2 / H2 / Ar	CH4 / N2O / H2 / Ar
Bias power (W)	50	10	0	0
Etch rate (nm/min)	77 ± 8	116 ± 10	19 ± 2	58 ± 6
AFM images	2 μm	2 μm	2 μm.	2 μm
Average roughness (nm)	14 ± 1	21 ± 1	18 ± 1	12 ± 1
SEM images	200 am	== 200 nm	2 00 nm	200 sm

In situ XPS analysis were carried out on sample etched in CH₄/H₂/Ar, CH₃NO₂/H₂/Ar and CH₄/N₂O/H₂/Ar plasmas and compared to the reference material before etching. Results from high resolution spectra are presented in Figure 8 and Table 4.

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The reference sample presents Te3d, Cd3d and Hg4f core level spectra characteristic of the HgCdTe material^{48,49}. However the surface presents slight contributions from TeO₂ (Te3d5/2 at 576.3 eV)⁴⁹ and Te⁰ (elemental Te, Te3d5/2 at 573.4 eV)^{50,51}. Presence of Te⁰ on the surface results from the sample cleaning procedure involving Br₂/methanol wet etching^{51,52}. For the three precursors, analysis after etching reveals only one contribution corresponding to the HgCdTe material (Figure 8 and Table 4). In particular no Te oxide component nor Te⁰ component are observable. Two significant differences with respect to the reference material are noticed: the first is the increase of the intensity of the Cd signal, accompanied by the decrease of the Hg signal, which is the signature of the depletion in mercury in the surface layer. The second is an increase of the spectral widths (FWHM) that can be interpreted as due to the surface amorphisation and disorganisation caused by the etching mechanism (Table 4). Reference CH₄/H₂/Ar 300000 CH2NO2/H2/Ar HgCdTe



Reference Cd 3d CH₄/H₂/Ar 200000 CH₃NO₂/H₂/Ar b CH₄/N₂O/H₂/Ar HgCdTe 150000 Intensity (CPS) 100000 50000 410 405 415 PLEASE CITE THIS ARTICLE AS DOI: 10.1116/6.0000397 Binding energy (eV)

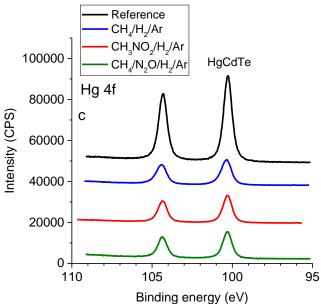


Figure 8: Te3d (a), Cd3d (b) and Hg4f (c) spectra for the HgCdTe samples etched in CH₄/H₂/Ar, CH₃NO₂/H₂/Ar and CH₄/N₂O/H₂/Ar plasmas.

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Table 4: *In situ* XPS spectrum curve fitting results for HgCdTe reference and samples etched in CH₄/H₂/Ar, CH₃NO₂/H₂/Ar and CH₄/N₂O/H₂/Ar plasmas.

			Te3d5/2		Cd3d3/2	Hg4f7/2
	HgCdTe	element	oxide	HgCdTe	HgCdTe	
	Atom % (HgCdTe)		70		6	24
Defense	BE (eV)	572.6 573.4 576		576.3	405.1	100.3
Reference	FWHM (eV)	0.99	0.78	0.99	0.64	0.66
	Fraction %	82	15	2	100	100
	Atom % (HgCdTe)		53		37	10
CH ₄ /H ₂ /Ar 50 W bias	BE (eV)	572.5	-	-	405.2	100.4
30 W blas	FWHM (eV)	1.09	-	-	0.85	0.77
	Atom % (HgCdTe)		52		32	16
CH ₃ NO ₂ /H ₂ /Ar No bias	BE (eV)	572.6	-	-	405.2	100.3
- No blas	FWHM (eV)	1.02	-	-	0.85	0.70
	Atom % (HgCdTe)		57		36	7
CH ₄ /N ₂ O/H ₂ /Ar No bias	BE (eV)	572.6	-	-	405.2	100.3
NO Dias	FWHM (eV)	1.04	-	-	0.86	0.69

B. Effect of N₂O in the CH₄/N₂O/H₂/Ar mixture

In the comparison presented above, the amount of N₂O substituted to H₂ CH₄/N₂O/H₂/Ar was adjusted according to the deposition rate results obtained on Si to suppress deposition. To complete this study we carried out etching experiments on HgCdTe samples for the following three characteristic conditions: 5sccm, 17sccm, 35sccm of N₂O, which correspond on the Si wafer to, the larger deposition rate, the suppression of the deposition and a clearly non depositing regime respectively.

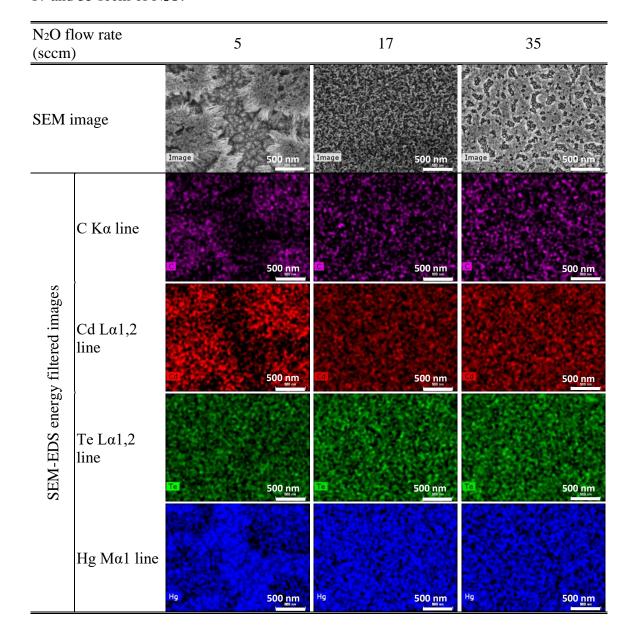
SEM and SEM-EDS images of HgCdTe samples are presented in Table 5. At 5 sccm N_2O , the SEM image shows large patches on the surface. To these patches corresponds high intensity domains in the carbon (C K α line) and cadmium (Cd L α _{1,2} line)

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energy filtered images and low intensity domains in the mercury image (Hg $M\alpha_1$ line) whereas the tellurium image (Te $L\alpha_{1,2}$ line) is rather homogeneous. Therefore at low N₂O flow rate the natural propensity for the plasma to produce deposition also occurs on the HgCdTe surface, although the mechanism is more balanced towards etching since the film is discontinuous. The correspondence between domains "rich in Cd" and "rich in C" suggest a correlation with the Cd removal mechanisms that involves CH_x species and the formation of Cd(CH₃)₂ as etch product. Our interpretation is that regions were, whatever the reason, the Cd removal mechanisms happens to be less efficient, the subsequent local accumulation of C(N)Hx species act as seeds for the growth of a carbonaceous film. The 17 sccm N₂O flow rate condition shows homogeneous SEM-EDS images that indicate that the deposition/etching balance is definitely on the etching side. Finally at 35 sccm N₂O, the energy filtered images show a homogeneous intensity, which indicates a homogeneous composition; but the SEM image clearly shows some structuration of the surface in the form of a (pale gray) overlayer above the (darker) underlying material.

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Table 5: SEM and SEM-EDS images of HgCdTe samples etched in $CH_4/N_2O/H_2/Ar$ for 5, 17 and 35 sccm of N_2O .



In situ XPS analysis results are presented in Figure 9. At 5 sccm N₂O, the signal for the HgCdTe core levels (Te3d, Cd3d and Hg4f) is characteristic of the etched material, in terms of position and shape (FWHM data are given in each figure). However the most important fact is the observation of a significant carbon contribution as evidenced by the

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C1s signal. Furthermore the observation of the N1s core level (see Cd3d spectrum) and the evidence of more than one carbon environment are a strong indication that this carbon layer contains CNx groups. Considering the curve fitting analysis, the main C1s component falls at ~ 286.3 eV and the two minor ones at 287.7 eV and 289.3 eV, which is about 1.6 eV greater than the nominal position for C-C/C-H species (284.7 eV) and CNx species (285.9 for C-N and 287.3 for C=N as identified in Table 2) respectively. In relative accordance the same observation can be made for the N1s spectra with the major component at 401.0 eV and the minor at 399.8 eV i.e. 0.9 eV greater than nominal position for N-C (400.1 eV) and N=C (398.9 eV) (Table 2). Our conclusion is that the patches observed on the SEM image correspond to the hydrocarbonitride XPS signal. The discrepancy with the nominal binding energy positions can be attributed to a specific charging effect as this layer is most probably not in good electrical contact with the HgCdTe sample. Since the layer is not "infinite" in thickness no reliable quantification of the layer composition can be made by XPS. The presence of this layer attenuates the signal coming from the HgCdTe material, this is the reason why the intensity of the Te3d, Cd3d and Hg4f is significantly lower as compared to the 17 sccm case that we will present now. At 17 sccm N₂O the Cd3d, Te3d and Hg4f core levels spectra again are characteristic of the HgCdTe material. The carbon signal is negligible, therefore the inhibition effect concerning the carbon deposition observed on the Si wafer when introducing 17 sccm of N₂O in the gas mixture is also efficient on the HgCdTe surface. The absence of carbon layer can be correlated with the homogeneity of the SEM and SEM-EDS images. At 35 sccm N₂O the surface is free of carbon (not shown), but the main change with respect to the 17 sccm case is the presence

of additional components on the Te3d and Cd3d spectra. These new components are

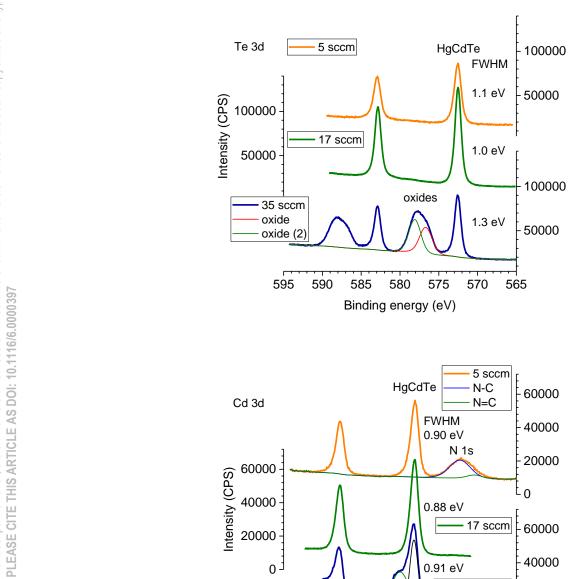
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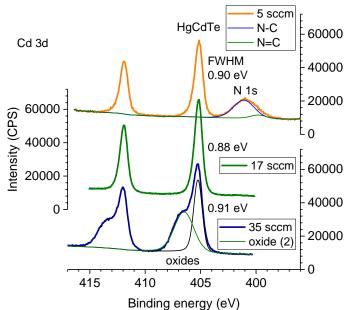
located at 576.7 eV and 578.1 eV (Te3d5/2) and 406.5 eV (Cd3d5/2). The first corresponds to Te oxide in the TeO₂ environment^{48,49}. To this component one can associate the O1s component at $530.9 \, \text{eV}^{48,52}$, a binding energy position characteristic for metal oxides 53 . The second Te3d and O1s component and that of Cd3d are shifted by 1.3 eV from the first or from the Cd(HgCdTe) component respectively. Cadmium oxides^{49,50,54} and Hg oxides⁵⁴ present very limited chemical shifts (< 0.5 eV) with respect to HgCdTe. Therefore CdO or HgO species, corresponding to the Te oxide and the O1s component at 530.9 eV could exist under the same envelope as the Cd(HgCdTe) contribution⁴⁸, in the same way CdOH could produce the O1s component at 533.8 eV; however the FWHM of the Cd3d and Hg4f spectra showing no significant widening with respect to the 17sccm case, the existence of such species remain a hypothesis. We interpret the second group of peaks including the Te3d5/2 at 578.1 eV, the Cd3d5/2 at 406.5 and the O1s at 535.3 eV, which are all shifted from the nominal oxide positions, to oxide species (TeO₂ and CdO) shifted by differential surface electrical charging. We envisage the possibility that such species correspond to the (pale grey) regions observed on the SEM image. In any case the XPS analysis shows that introducing in the gas mixture more N₂O than necessary leads to the oxidation of the material surface and some surface structuration.

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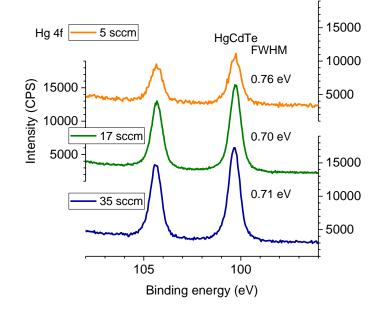


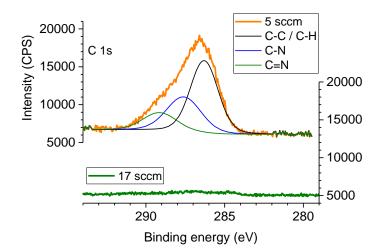


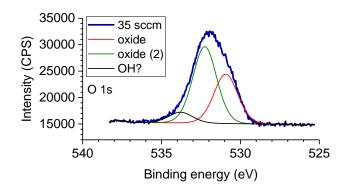
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Figure 9: Analysis of HgCdTe samples etched in CH₄/N₂O/H₂/Ar for 5, 17 and 35 sccm of N₂O: Te3d, Cd3d, Hg4f, C1s and O1s spectral regions. Each region containing more than one spectrum presents the equivalent number of ordinate scales, alternatively on the left and right axis; all scales are the same, only one is labelled to lighten the figure.

V. SUMMARY AND CONCLUSIONS

The interest of introducing oxygen and/or nitrogen atoms in CH₄/H₂/Ar plasma mixtures to control carbon deposition and surface passivation when etching HgCdTe under low substrate bias conditions has been investigated. This was carried out either by replacing methane by other organic precursors or by substituting in part O₂ or N₂O for hydrogen. The study (*in situ* ellipsometry) of the carbon deposition onto Si, without bias, and the subsequent cleaning sequence lead to select methanol and nitromethane as alternative precursors and to determine the necessary amount of O₂ (half that of CH₄) or N₂O (twice that of CH₄) substituent to be used in CH₄/H₂/Ar mixture to suppress deposition. Optical emission spectroscopy brought information on the change in the plasma chemical species. Considering the invariance of the ion flux measurements with the change of precursor, we concluded that any modification in the plasma-surface interaction mechanisms would be uniquely due to the change in the chemical composition of the plasma.

Using the OES data and *in situ* XPS analysis results, the role of the substituents with respect to film deposition and composition was investigated. We concluded that O₂ induces an etching mechanism that directly competes with the deposition mechanism. Opposite, N₂O contributes to the film growth in correlation with formation of CN plasma species, as shown by the presence of nitrogen in the film in the form of various CNx species. However this growth mechanisms is in competition with the etching mechanisms

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induced by the oxygen atoms brought by the substituent and the final dominant mechanism depends on the N₂O/CH₄ ratio. Comparing the subsequent etching sequences of the carbon film we come to the conclusion that oxygen atoms appear as the true etch reactant, during the deposition and cleaning sequences and for both substituents, and that incorporation of nitrogen in the film grown in CH₄/N₂O/H₂/Ar plasma favours its etching kinetics.

On HgCdTe demonstration is made that etching without sample bias is possible by using nitromethane as precursor or in CH₄/N₂O/H₂/Ar mixtures (at a N₂O/CH₄ ratio = 2), with a significant advantage for the latter with respect to the former concerning etch rate (~60 nm/min *vs* ~20 nm/min) and surface roughness (12 nm *vs* 18 nm). *In situ* XPS analysis shows that surface composition is very similar when comparing CH₄/H₂/Ar (50 W bias power), CH₃NO₂/H₂/Ar and CH₄/N₂O/H₂/Ar plasma processes. In line with the study onto Si, deposition in the form of a hydrocarbonitride is observed onto HgCdTe at low N₂O/CH₄ ratio (i.e. ~ 0.6). Moreover SEM and SEM-EDS imaging suggest that the film nucleates and grows preferentially on regions where the Cd removal mechanisms happens to be less efficient. Opposite, at high N₂O/CH₄ ratio (i.e. ~ 4), oxidation of the HgCdTe surface is observed.

In terms of application, the CH₄/N₂O/H₂/Ar mixture has the advantage, compared to the CH₃NO₂/H₂/Ar mixture, of allowing fine adjustment of the amount of "carbon deposition inhibitor" introduced into the process. Tuning the plasma chemistry and the substrate bias, one can think about obtaining an efficient etching with low surface roughness, no residues nor oxidation under low ion energy on surfaces strongly exposed to the ion bombardment (hole and trench bottom) while controlling deposition/passivation on the surfaces weakly exposed to the ion bombardment (typically feature sidewalls).

Applying these findings to HgCdTe patterning could provide the soft dry etching needed to reduce the pixel pitch of infrared photovoltaic detectors.

No conclusion can be drawn between O2 and N2O addition as regards HgCdTe etching

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Figure 1

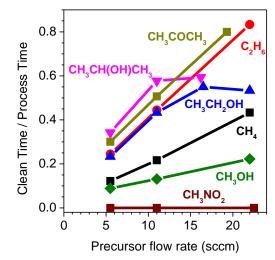


Figure 1: Ratio between the oxygen plasma cleaning time and the plasma process time in a precursor/ H_2 /Ar mixture (X/(55-X)/5 sccm) as a function of the precursor flow, for the precursors methane (\blacksquare) and ethane (\bullet) methanol (\spadesuit), ethanol (\spadesuit), acetone (\blacksquare), isopropanol (\blacktriangledown) and nitromethane (\blacksquare).

Figure 2

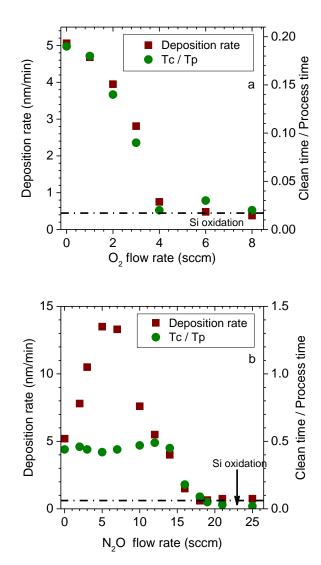


Figure 2: Deposition rate and Tc/Tp ratio *versus* O_2 and N_2O flow rate in (a) a $CH_4/O_2/H_2/Ar$ and (b) a $CH_4/N_2O/H_2/Ar$ plasma. Gas mixture is 10/Y/(45-Y)/5 sccm.

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Figure 3 Ar 3000 CH₄ / H₂ / Ar CH₄ / H₂ / Ar 10000 2500 (CPS) 2000 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 150 Intensity (CPS) $H\alpha$ 1000 1000 3000 CH₃OH / H₂ / Ar CH₃OH / H₂ / Ar 10000 2500 Intensity (CPS) 2000 Intensity (CPS) ОН OH CO CO 1000 1000 3000 NH CH₃NO₂ / H₂ / Ar CH₃NO₂ / H₂ / Ar NΗ 10000 2500 (CPS) 2000 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 1500 - 150 Intensity (CPS) N2 CN NO NO 1000 1000 600 700 800 900 1000 200 250 200 300 400 500 300 350 400 Wavelength (nm) Wavelength (nm) b

Figure 3: Typical optical emission spectra of CH₄/H₂/Ar, CH₃OH/H₂/Ar, CH₃NO₂/H₂/Ar plasmas obtained at 1000 W excitation power, 4 mTorr pressure, gas mixture is 8.5/46.5/5 sccm. Whole UV-vis. spectral range (a), blow up on the 200-400 nm range (b). Spectra are not corrected from the optical response of the measuring chain (fiber, monochromator, detector).

Figure 4

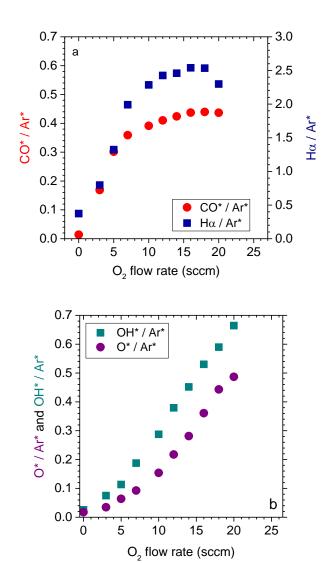
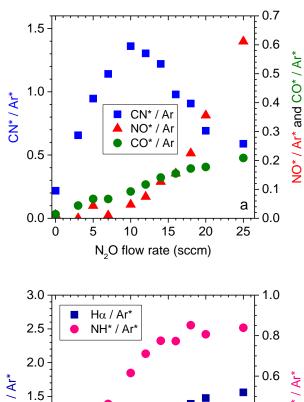


Figure 4: Evolution of the actinometry ratios X^*/Ar^* for (a) $X^* = CO^*$, H^* and (b) $X^* = OH^*$ and O^* when varying the amount of O_2 in $CH_4/O_2/H_2/Ar$ (10/Y/45-Y/5 sccm) mixture.

Figure 5



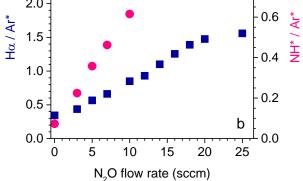


Figure 5 (end)

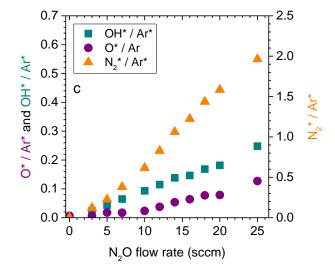


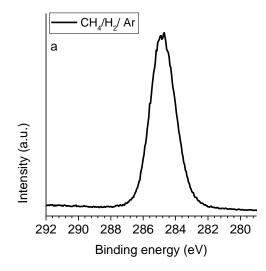
Figure 5: Evolution of the actinometry ratios X^*/Ar^* for (a) $X^* = CN^*$, NO, CO*, (b) $X^* = NH^*$, H^* and (c) $X^* = OH^*$ and O^* when varying the amount of N₂O in CH₄/N₂O/H₂/Ar (10/Y/45-Y/5 sccm) mixture.

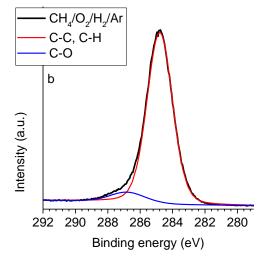
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Figure 6





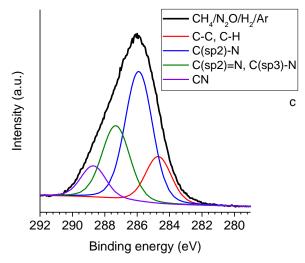


Figure 6 (end)

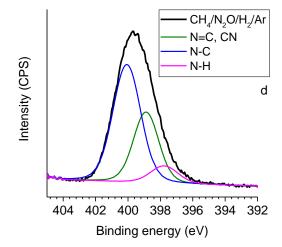


Figure 6: C 1s (a, b, c) and N1s (d) spectra of the layers deposited on Si in (a) CH₄/H₂/Ar, (b) CH₄/O₂ (2 sccm) /H₂/Ar and (c, d) CH₄/N₂O (5 sccm) /H₂/Ar plasmas.

Figure 7

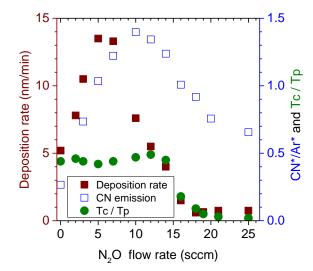
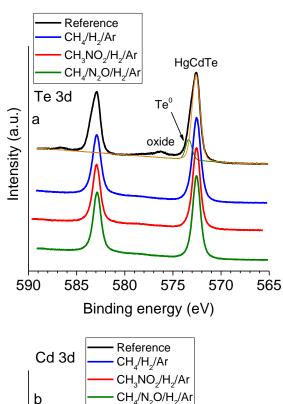


Figure 7: Deposition rate, clean time / process time ratio and CN*/Ar* ratio versus the N_2O flow rate in a $CH_4/N_2O/H_2/Ar$ plasma. Gas mixture is 10/Y/(45-Y)/5 sccm.

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Figure 8



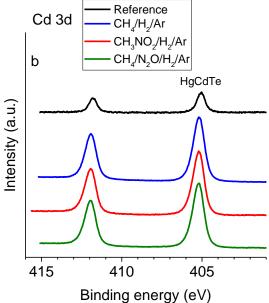


Figure 8 (end)

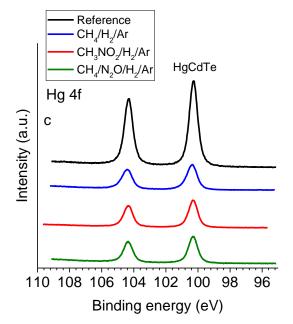
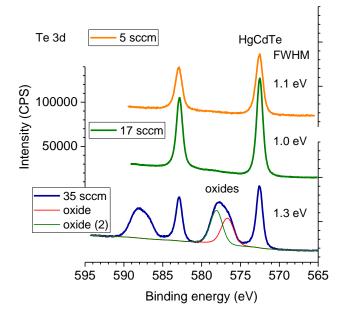


Figure 8: Te3d (a), Cd3d (b) and Hg4f (c) spectra for the HgCdTe samples etched in CH₄/H₂/Ar, CH₃NO₂/H₂/Ar and CH₄/N₂O/H₂/Ar plasmas.



Figure 9



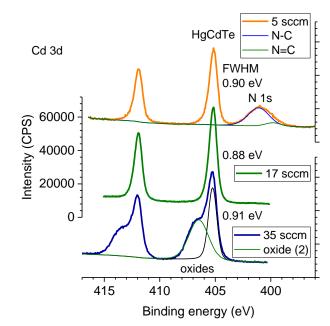
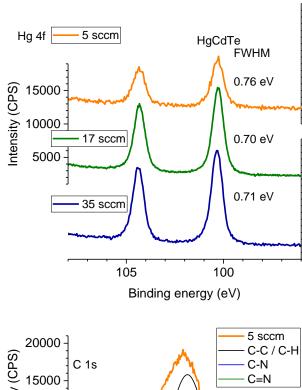




Figure 9 (continued)



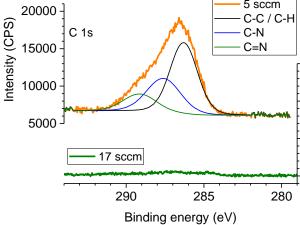


Figure 9 (end)

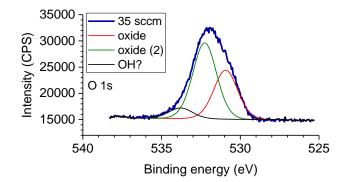


Figure 9: Analysis of HgCdTe samples etched in CH₄/N₂O/H₂/Ar for 5, 17 and 35 sccm of N₂O: Te3d, Cd3d, Hg4f, C1s and O1s spectral regions. Each region containing more than one spectrum presents the equivalent number of ordinate scales, alternatively on the left and right axis; all scales are the same, only one is labelled to lighten the figure.

Table 1

Table 1: Plasma species studied by actinometry.

Species	Wavelength (nm)	Electronic transition	Reference
NO*	247.1	$A^2\Sigma^+ o X^2\Pi$	19
CO*	283.3	$b^3\Sigma o a^3\Pi$	19
OH*	308.9	$A^2\Sigma^+ \to X^2\Pi$	19
NH*	336.0	$A^3\Pi \to X^2\Sigma$	19
N_2*	357.7	$C^3\Pi_u \to B^3\Pi_g$	19
CN*	388.3	$B^2\Sigma \to X^2\Sigma$	19
H*	656.3	H_lpha	20
Ar*	750.4	$3s^23p^54p^1(^3P_0) \rightarrow 3s^23p^54p^1(^1P_1)$	20
O*	777.2	$2s^22p^33p^1({}^5P_{1,2,3}) \rightarrow 2s^22p^33s^1({}^5S_2)$	20

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Table 2

Table 2: *In situ* XPS quantification and spectrum curve fitting results for the layers deposited in CH₄/H₂/Ar, CH₄/O₂ (2 sccm) /H₂/Ar and CH₄/N₂O (5 sccm) /H₂/Ar plasmas.

		C1s			O1s	N1s			
Species		C-C, C-H	C-O C-N	C=N	CN	O-C	N-H	N=C	N-C
CH4/H2/Ar	Atom %	100			-		-		
	BE (eV)	284.8	-	-	-	-	-	-	-
	FWHM (eV)	1.81	-	-	-	-	-	-	-
	Fraction (%)	100	-	-	-	-	-	-	-
CH4/O ₂ /H ₂ /Ar	Atom %	92			8		-		
	BE (eV)	284.8	286.8	-	-	532.4	-	-	-
	FWHM (eV)	1.85	2.40	-	-	2.39	-	-	-
	Fraction (%)	93	7	-	-	100	-	-	-
$ m CH_4/N_2O/H_2/$ Ar	Atom %	64			8		28		
	BE (eV)	284.7	285.9	287.3	288.7	531.5	397.8	398.9	400.1
	FWHM (eV)	1.89	1.99	2.02	1.81	2.66	1.99	1.83	2.02
	Fraction (%)	16	47	27	10	100	9	32	59



Table 3

Table 3: AFM image, average roughness and SEM image after etching in CH₄/H₂/Ar, $CH_3OH/H_2/Ar$, $CH_3NO_2/H_2/Ar$ and $CH_4/N_2O/H_2/Ar$ plasmas.

Gas mixture	CH4 / H2 / Ar	CH3OH / H2 / Ar	CH3NO2 / H2 / Ar	CH4 / N2O / H2 / Ar	
Bias power (W)	50	10	0	0	
Etch rate (nm/min)	77 ± 8	116 ± 10	19 ± 2	58 ± 6	
AFM images	2 μm	2 μm	2 μm.	2 µm	
Average roughness (nm)	14 ± 1	21 ± 1	18 ± 1	12 ± 1	
SEM images	200 am	200 am)	— 200 nm	200 om	



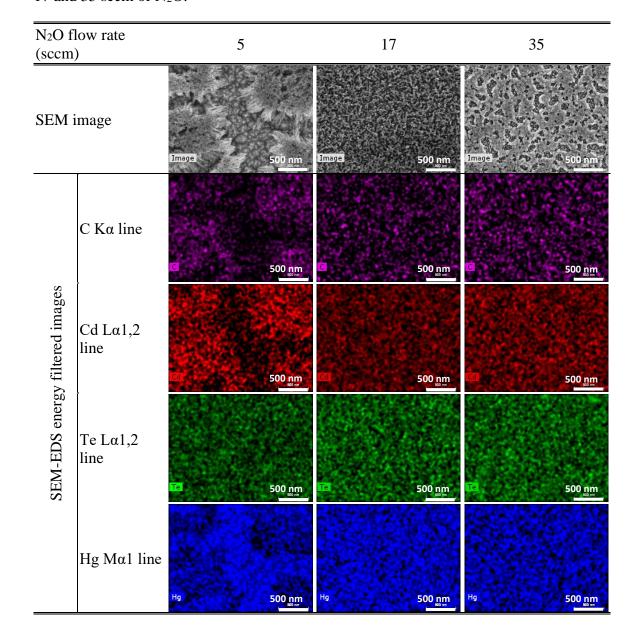
Table 4

Table 4: In situ XPS spectrum curve fitting results for HgCdTe reference and samples etched in $CH_4/H_2/Ar$, $CH_3NO_2/H_2/Ar$ and $CH_4/N_2O/H_2/Ar$ plasmas.

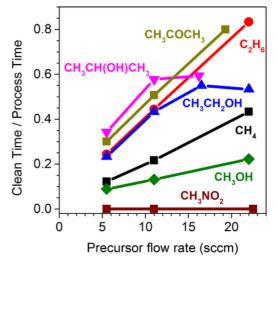
			Te3d5/2		Cd3d3/2	Hg4f7/2
	Species	HgCdTe	element	oxide	HgCdTe	HgCdTe
	Atom % (HgCdTe)		70		6	24
Defense	BE (eV)	572.6	573.4	576.3	405.1	100.3
Reference	FWHM (eV)	0.99	0.78	0.99	0.64	0.66
	Fraction %	82	15	2	100	100
	Atom % (HgCdTe)		53		37	10
CH ₄ /H ₂ /Ar 50 W bias	BE (eV)	572.5	-	-	405.2	100.4
	FWHM (eV)	1.09	-	-	0.85	0.77
	Atom % (HgCdTe)		52		32	16
CH ₃ NO ₂ /H ₂ /Ar No bias	BE (eV)	572.6	-	-	405.2	100.3
	FWHM (eV)	1.02	-	-	0.85	0.70
	Atom % (HgCdTe)		57		36	7
CH ₄ /N ₂ O/H ₂ /Ar No bias	BE (eV)	572.6	-	-	405.2	100.3
110 0103	FWHM (eV)	1.04	-	-	0.86	0.69

Table 5

Table 5: SEM and SEM-EDS images of HgCdTe samples etched in $CH_4/N_2O/H_2/Ar$ for 5, 17 and 35 sccm of N_2O .

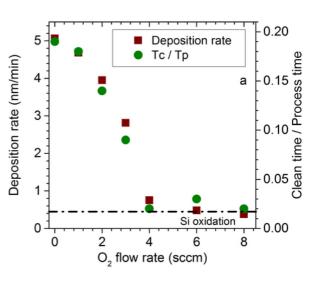


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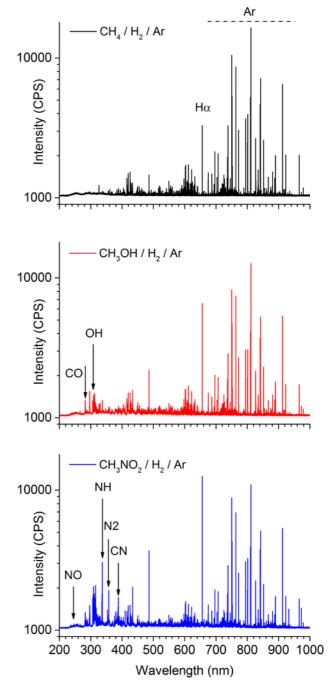


15 1.5 Deposition rate Tc / Tp Clean time / Process time Deposition rate (nm/min) b 1.0 10 0.5 5 Si oxidation 0.0 25 10 15 20 N₂O flow rate (sccm)

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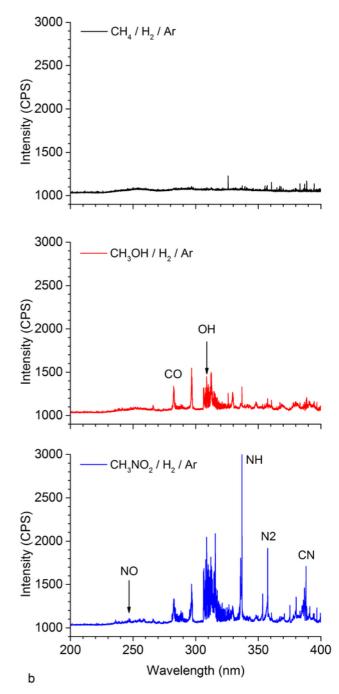




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3.0 0.7 0.6 2.5 0.5 2.0 CO* / Ar* 0.4 Ha / Ar 1.5 0.3 1.0 0.2 CO* / Ar* Hα / Ar* 0.5 0.1 0.0 0.0 25 5 10 15 O₂ flow rate (sccm) 20

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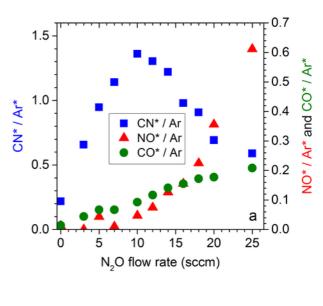
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0.7 OH* / Ar* O* / Ar* 0.6 O* / Ar* and OH* / Ar* 0.5 0.4 0.3 0.2 0.1 b 0.0 0 5 10 20 25 15 O₂ flow rate (sccm)

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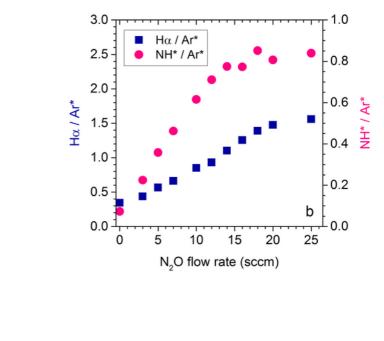
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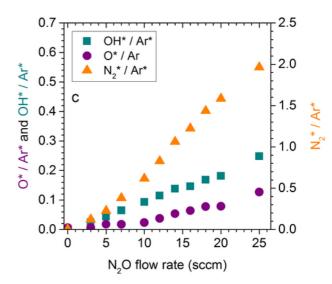
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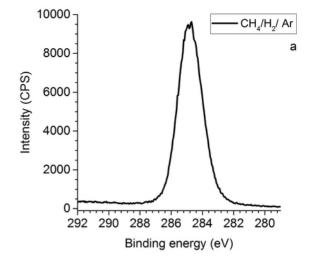
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10000 CH₄/O₂/H₂/Ar C-C, C-H C-O 8000 Intensity (CPS) b 6000 4000 2000 0 282 280 292 286 284 290 288 Binding energy (eV)

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4000 CH₄/N₂O/H₂/Ar C-C, C-H C(sp2)-N 3000 C(sp2)=N, C(sp3)-N Intensity (CPS) CN 2000 1000 0 292 290 288 286 284 282 Binding energy (eV)

С

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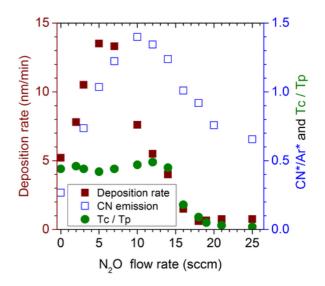
2000 - CH₄/N₂O/H₂/Ar N=C, CN N-C N-H d d d 402 400 398 396 394 392 Binding energy (eV)

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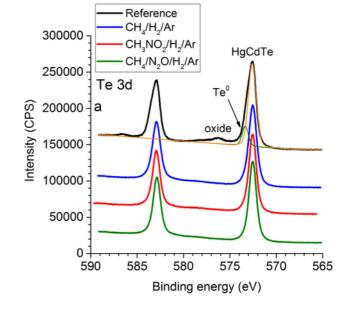




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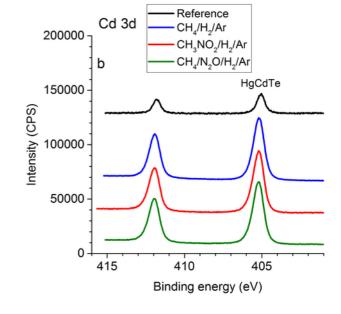
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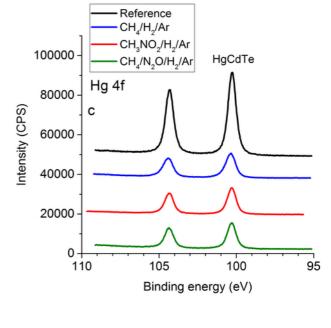
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Te 3d 5 sccm HgCdTe 100000 **FWHM** 1.1 eV 50000 Intensity (CPS) 100000 17 sccm 1.0 eV 50000 100000 oxides 35 sccm oxide 1.3 eV 50000 oxide (2) 595 590 585 580 575 570 565 Binding energy (eV)

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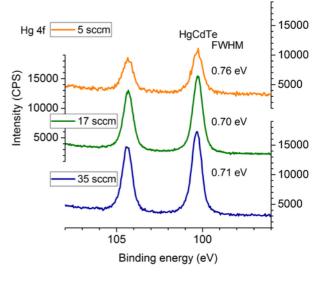


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5 sccm N-C N=C HgCdTe 60000 Cd 3d FWHM 0.90 eV 40000 N 1s 20000 60000 Intensity (CPS) Ŀο 40000 0.88 eV 17 sccm 60000 20000 -Εo 40000 0.91 eV 35 sccm 20000 oxide (2) oxides 405 415 410 400 Binding energy (eV)

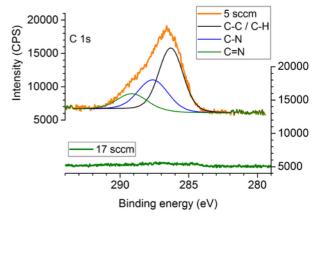
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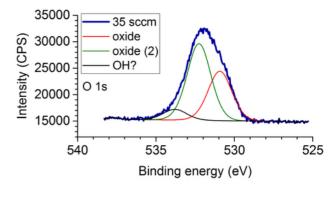
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Gas mixture	CH4 / H2 / <u>Ar</u>	CH3OH / H2 / <u>Ar</u>	CH3NO2 / H2 / <u>Ar</u>	CH4 / N2O / H2 / <u>Ar</u>
Bias power (W)	50	10	0	0
stch rate (nm/min)	77 ± 8	116 ± 10	19 ± 2	58 ± 6
as peen as pe	2 μm	2 μm	/2 μm	2 μm
Toughness	14 ± 1	21 ± 1	18 ± 1	12 ± 1
nt from the	200 am		2001tm	tandah



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N₂O flow rate 5 17 35 (sccm) C Ka line 500 nm Cd La1,2 500 nm 500 nm Te Lα1,2 500 nm 500 nm 500 nm Hg Mal line 500 nm 500 nm 500 nm

