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# Identification of a transient but key motif in the living coordinative chain transfer cyclo-copolymerization of ethylene with butadiene

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**ABSTRACT:** Copolymers of ethylene and butadiene were prepared using the ansa-bisfluorenyl  $\text{Me}_2\text{Si}(\text{C}_{13}\text{H}_8)_2\text{NdR}$  complex in combination with dialkylmagnesium as a chain transfer agent. Thorough kinetic studies and computational mechanistic investigations of this copolymerization reaction were performed. Combined with detailed analyses of the polymer microstructure and chain-ends, these studies demonstrate that the entitled copolymerization operates according to a living coordinative chain transfer copolymerization of ethylene and butadiene. Besides, in addition to the formation of the previously described 1,2-cyclohexane inner chain cyclic motif, the presence of bicyclic 1,5-decalin units via the formation of transient vinylcyclohexyl-methyl chain-end is discussed in the present communication. The non-accumulation of the vinylcyclohexane motif within the chains is explained by the reversibility of its formation, as interpreted with the help of DFT calculations, or by its rapid conversion into decalin motif after one ethylene insertion. Finally, this study also illustrates the ability of the fluorenyl ligand to adjust its binding mode on demand in order to avoid inhibition of catalyst.

**KEYWORDS:** *ethylene; butadiene; cyclo-copolymerization; mechanism; cyclohexane-unit; decalin-unit*

## INTRODUCTION

Olefins and conjugated dienes are readily available monomers. The copolymerization of these two classes of monomers is particularly attractive since it provides a wide range of polyolefins bearing unsaturated groups and possibly intra-chain rings.<sup>1</sup> Nevertheless, the copolymerization of olefins with conjugated dienes is a difficult challenge as the copolymerization of these two monomers requires distinct catalysts and polymerization conditions. Indeed, group 4 metallocenes, which are highly active towards olefin polymerization, have shown a dramatic decrease in activity in the presence of butadiene together with a low butadiene insertion. Efficient copolymerization catalysts have only recently been developed.<sup>1</sup> Depending on the polymer composition, thermoplastics or elastomers are produced. The microstructure of the inserted butadiene units depends on the regio- and stereo-selectivity of butadiene insertion leading to internal *cis* or *trans* double bonds as well as vinyl ramifications.<sup>2-6</sup> Rings in the resulting copolymers of ethylene and butadiene are formed by intramolecular cyclization involving vinyl units. Cyclopropane and cyclopentane<sup>7-9</sup> rings have been obtained with group 4 metallocene catalysts while cyclohexane rings have been obtained with neodymium metallocene catalysts.<sup>10-14</sup> Since then, the selectivity for ring formation has been investigated computationally at the DFT level.<sup>15</sup>

Lanthanide metallocenes have shown an unprecedented efficiency for the copolymerization of olefins with conjugated dienes.<sup>2,11,16,17</sup> More recently, cationic half sandwich scandium catalysts have been used for the copolymerization of olefin and conjugated dienes providing copolymers with novel microstructures.<sup>18-20</sup> In our seminal contributions, we have prepared new elastomers obtained by copolymerization of ethylene and butadiene with the complex  $\{\text{Me}_2\text{Si}(\text{C}_{13}\text{H}_8)_2\text{Nd}(\text{BH}_4)_2\text{Li}(\text{thf})\}_2$  used in presence of  $(n\text{Bu})(n\text{Oct})\text{Mg}$  (BOMAG) as an alkylating agent. These elastomers were named EBR for Ethylene Butadiene Rubbers and they contain *trans*-1,4-butadiene, vinyl-butadiene and 1,2-cyclohexane units.<sup>10,14</sup>

In the case of the homopolymerization of ethylene mediated by a lanthanidocene, the dialkylmagnesium not only acts as an alkylating agent but also as a chain transfer agent.<sup>21-24</sup> The fast and reversible chain transfer between neodymium and magnesium leads to the formation of dipolyethylenylmagnesium by Coordinative Chain Transfer Polymerization (CCTP).<sup>25-29</sup> In the course of our investigations,<sup>10</sup> we have suggested that BOMAG is also a chain transfer agent during the copolymerization of ethylene and butadiene mediated by Nd-based catalysts. In this communication, we demonstrate that BOMAG does act as a reversible chain transfer agent. Considering the complexity of the microstructure of the EBR obtained with the

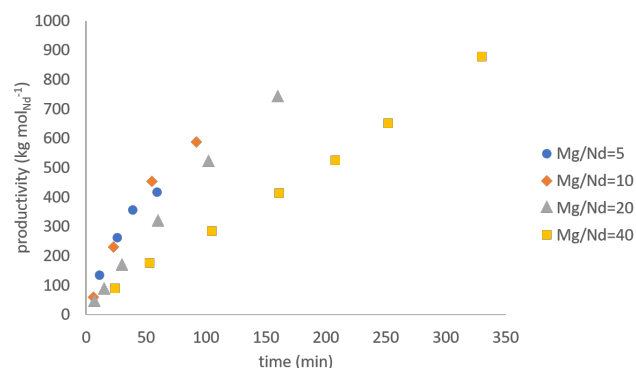
$\{\text{Me}_2\text{Si}(\text{C}_{13}\text{H}_8)_2\text{Nd}(\text{BH}_4)_2\text{Li}(\text{thf})\}_2$  / BOMAG catalytic system, a diversity of chain-ends can be obtained and potentially transferred to magnesium. This makes the understanding of the mechanism of cyclo-copolymerization of ethylene and butadiene performed under CCTP conditions more complex. Therefore, in the present work, we report a detailed investigation of the kinetics and chain transfer reaction involved in the cyclo-copolymerization of ethylene and butadiene, and we streamline the key-role in controlling the polymerization reaction played by the formation of unprecedented unit in the copolymers.

## RESULTS AND DISCUSSION

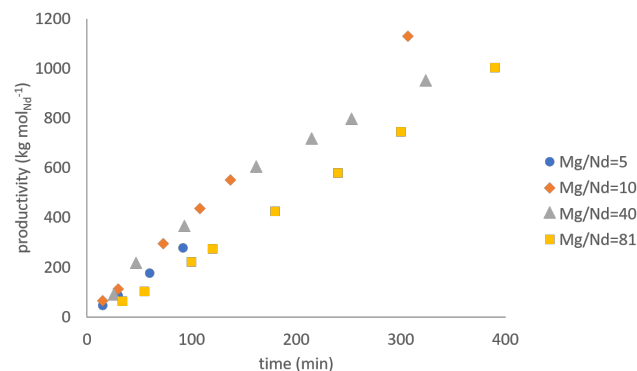
Kinetics and evolution of molar masses with productivity are investigated for the cyclo-copolymerization of ethylene with butadiene catalyzed by  $\{\text{Me}_2\text{Si}(\text{C}_{13}\text{H}_8)_2\text{Nd}(\text{BH}_4)_2\text{Li}(\text{thf})\}_2$  / BOMAG system. Two sets of experiments were performed using a feed of 5 and 20 mol% of butadiene and various  $[\text{Mg}]/[\text{Nd}]$  concentration ratios. Aliquots were regularly withdrawn from the polymerization medium. The productivity is obtained by measuring the concentration of polymer ( $\text{g L}^{-1}$ ) of the aliquots. Collected samples were then further analyzed by size exclusion chromatography (SEC). Figures 1 and 2 show the evolution of productivity with time. The productivity increases linearly with time when using 20 mol% of butadiene in the feed showing a stable activity. Regarding polymerizations performed with 5 mol% of butadiene, a stable activity is obtained at elevated  $[\text{Mg}]/[\text{Nd}]$  ratios (20 and 40). Kinetics are merely impacted by the concentration of magnesium when the feed contains 20 mol% of butadiene.

In the case of ethylene homopolymerization, it has been observed that the higher the  $[\text{Mg}]/[\text{Nd}]$  ratio, the lower the apparent  $k_p$  ( $k_p^{\text{app}}$ ). This behavior was interpreted on the basis of an equilibrium between the active metallocene that is dissociated from the chain transfer agent, and a dormant heterobimetallic species.<sup>21</sup> Thereof, an increase in magnesium concentration leads to a decrease in active species concentration and of  $k_p^{\text{app}}$ . In other words, the energetic cost of the dissociation of the dormant heterobimetallic species contributes to the overall energy barrier for ethylene insertion.<sup>21</sup>

Computational mechanistic investigations have also shown that butadiene insertion in the Nd-allyl intermediate formed after butadiene insertion is not a limiting kinetic step for the  $\{\text{Me}_2\text{Si}(\text{C}_{13}\text{H}_8)_2\text{Nd}(\text{BH}_4)_2\text{Li}(\text{thf})\}_2$  / BOMAG catalytic system.<sup>15</sup> Hence, we assume that the formation of the metal-alkyl and metal-allyl species from ethylene or butadiene insertions respectively does not limit the rate of polymerization. In order to fully explain the kinetic profiles of this cyclo-copolymerization, we have considered the reactivity of the intermediates formed after intramolecular cyclization (*vide infra*).



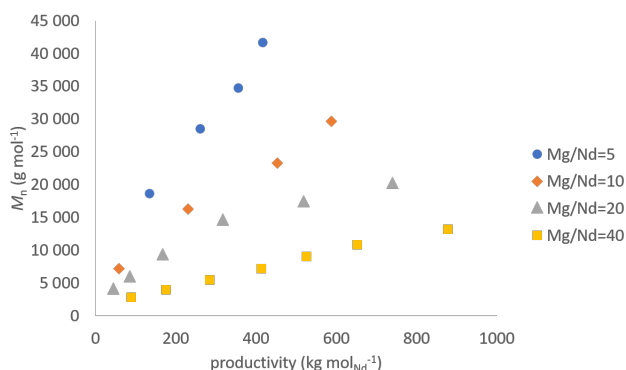
**Figure 1.** Evolution of productivity vs. polymerization time at different  $[\text{Mg}]/[\text{Nd}]$  concentration ratios for a feed containing 5 mol% of butadiene.



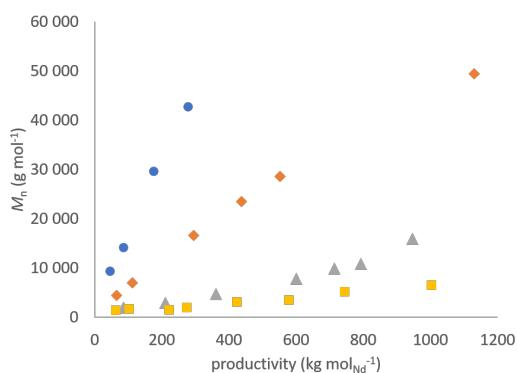
**Figure 2.** Evolution of productivity vs. polymerization time at different  $[\text{Mg}]/[\text{Nd}]$  concentration ratios for a feed containing 20 mol% of butadiene.

In order to assess the livingness of the copolymerization of ethylene and butadiene with  $\{\text{Me}_2\text{Si}(\text{C}_{13}\text{H}_8)_2\text{Nd}(\text{BH}_4)_2\text{Li}(\text{thf})\}_2$  / BOMAG catalyst, the evolution of the number-average molar masses has been plotted vs. productivity in Figures 3 and 4 (see also Tables S1 and S2 in ESI). For all the polymerizations, the number-average molar masses linearly increase with productivity in agreement with a pseudo-living polymerization. Figure 5 shows a smooth increase in molar masses for a  $[\text{Mg}]/[\text{Nd}]$  concentration ratio of 40. However, the number of chains per magnesium is lower than the theoretical value of two for which each alkyl group initiates a polymer chain at the beginning of polymerization, but tends towards this value upon polymerization. Note that 1.6 to 1.8 chains per magnesium are obtained with a feed containing 5 mol% of butadiene at elevated  $[\text{Mg}]/[\text{Nd}]$  ratios.

These results are consistent with a relatively low chain transfer constant to BOMAG resulting in a time delay required to balance the set of equilibria between active and dormant organometallic species. Additionally, a broadening of molar mass distribution has been observed for some high molar mass sample (up to 2.3). This phenomenon has been ascribed to an increase in viscosity in the polymerization medium as part of the reaction medium stuck on the reactor wall. In conclusion, the observed linear increase of molar masses with productivity and the number of chains per magnesium are consistent with a polymerization operating according to a degenerative chain transfer between Mg and Nd centers. To our knowledge, this is the first demonstrated example of coordinative chain transfer co-polymerization of ethylene and butadiene.

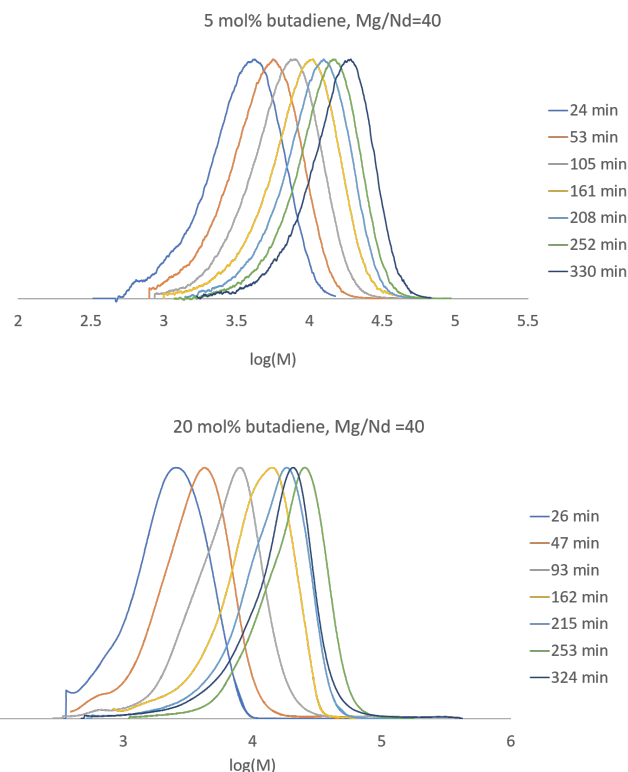


**Figure 3.** Evolution of number-average molar masses with productivity at different  $[Mg]/[Nd]$  concentration ratio for a feed containing 5 mol% of butadiene.

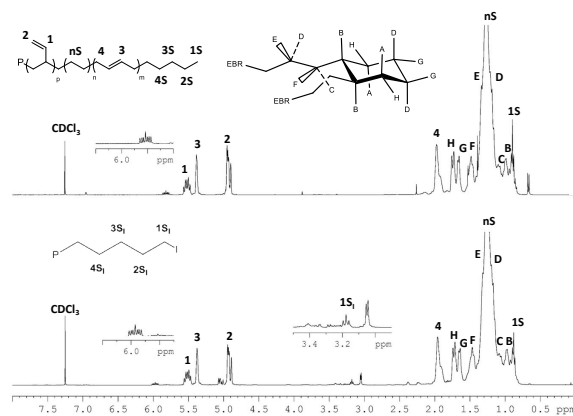


**Figure 4.** Evolution of number-average molar masses with productivity at different  $[Mg]/[Nd]$  concentration ratios for a feed containing 20 mol% of butadiene.

Under the polymerization conditions, most of the resulting copolymer chains are bound to Mg centers. As already demonstrated for ethylene homopolymerization,<sup>30</sup> the reactivity of the polymer-Mg bound is a very valuable tool to both assess the livingness of the chains and produce end-functionalized reactive polyolefins. In the case of cyclo-copolymerization of ethylene and butadiene, the identification of the chain end motif can bring additional and valuable information on the selectivity of the exchange reaction between Nd and Mg with respect to the nature of the last co-monomer inserted. A copolymer of low molar mass was prepared at 4 bars and 70°C using a  $[Mg]/[Nd]$  concentration ratio of 80 and a butadiene feed of 20 mol% (Table S3). A fraction of the polymerization medium was deactivated with methanol leading to copolymer 1 and the remaining fraction with iodide in order to functionalize the copolymer with an iodo group providing copolymer 2. Chain-ends of these copolymers were investigated by  $^1H$  and  $^{13}C$  NMR in  $CDCl_3$  at 300 K (Figure 6, S1 and S2).



**Figure 5.** Evolution of number average molar mass with polymerization time at  $[Mg]/[Nd]$  concentration ratio of 40 and for a feed containing 5 mol% (top) and 20 mol% (down) of butadiene.

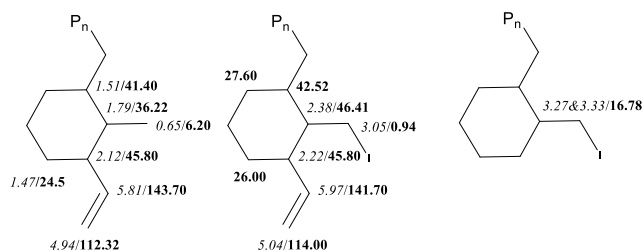


**Figure 6.**  $^1H$  NMR spectrum of poly(ethylene-co-butadiene) prepared with  $\{Me_2Si(C_{13}H_8)_2Nd(BH_4)_2Li(thf)\}_2$  / BOMAG (top – copolymer 1) and  $^1H$  NMR spectrum of iodo functionalized poly(ethylene-co-butadiene) prepared with  $\{Me_2Si(C_{13}H_8)_2Nd(BH_4)_2Li(thf)\}_2$  / BOMAG (bottom - copolymer 2) ( $CDCl_3$ , 300 K).

$^1H$  NMR spectra of copolymers 1 and 2 (Figure 6) show characteristics resonances of ethylene, trans-1,4-butadiene, 1,2-butadiene and cyclohexane units.<sup>2,11</sup> Methyl chain ends are observed (terminal methyl 1S) at 0.88 ppm and no signals of vinyl chain ends could be detected in the region between 4.5 and 6.5 ppm. This means that no  $\beta$ -H elimination, nor transfer to monomers, occurs during the copolymerization. This agrees with the pseudo-living character of this polymerization. Compared to the  $^1H$  NMR spectrum already recorded for high molar mass copolymers obtained under the same conditions

(Figure S3), the  $^1\text{H}$  NMR spectrum of copolymer 1 shows two intense signals at 0.65 (doublet) and 5.81 ppm (multiplet)

These resonances, which are hardly detectable for a copolymer of higher molar masses, can be assigned to chain end protons. Indeed, the spectrum of the iodo end-functionalized copolymer 2 shows a shift of the signals at 5.81 up to 5.97 ppm. An overlapped signal with that of vinyl units in copolymer 1 at ca 4.90 is also shifted to 5.04 ppm. In addition, the almost complete disappearance of the signal at 0.65 ppm ( $J = 7$  Hz) is observed and additional resonances between 3.0 and 3.5 ppm are now visible. The resonances between 3.0 and 3.5 ppm correspond to protons close to the iodo group introduced on the chain end of copolymer 2. In particular, a doublet appears at 3.05 ppm ( $J = 5$  Hz). Signals at 3.05 ppm, 5.04 ppm and 5.97 are ascribed to an unexpected chain end. Besides, the 1:2 relative intensity of the signals at 5.97 and 5.04 ppm in the spectrum of copolymer 2 is consistent with the presence of a vinyl group on this terminal motif. The accurate determination of the chain ends in both copolymers 1 and 2 required a thorough NMR analysis that was performed with the help of 1D- $^{13}\text{C}$  NMR and 2D- $^1\text{H}/^1\text{H}$  COSY and TOCSY and 2D- $^1\text{H}/^{13}\text{C}$  HSQC and HMBC experiments. The corresponding spectra are collected and fully assigned in Figures S5 to S8. Chain-end structures featuring vinylcyclohexyl-methyl moiety depicted in Scheme 1 could then be proposed.

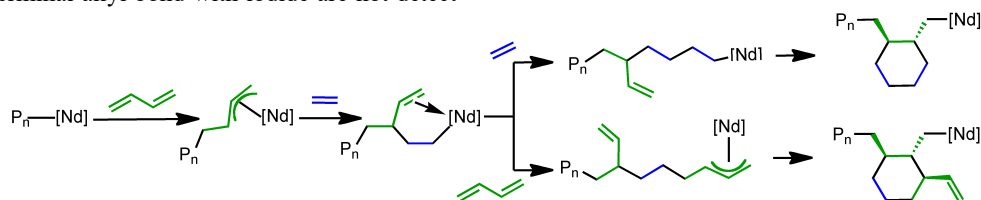


**Scheme 1.** Chemical structures and chemical shift assignments for vinylcyclohexyl-methyl, vinylcyclohexyl-iodo-methyl and cyclohexyl-iodo-methyl chain-ends found in copolymers 1 and 2 prepared with  $\{\text{Me}_2\text{Si}(\text{C}_{13}\text{H}_8)_2\text{Nd}(\text{BH}_4)_2\text{Li}(\text{thf})\}_2$  / BOMAG (proton chemical shifts in italics, carbon chemical shifts in bold).

Concerning other chain-ends, the well-resolved triplet at 3.18 ppm in copolymer 2 can be assigned to protons in  $-\text{CH}_2-\text{CH}_2-\text{I}$  consistently with chains having an ethylene terminal unit.<sup>31</sup> The HSQC spectrum shows the correlation of two doublets at 3.27 and 3.33 ppm with a methylene carbon at 16.78 ppm (Figure S4). These signals are ascribed to a cyclohexyl-iodo-methyl terminal group (Scheme 1). In addition,  $^{13}\text{C}$  NMR spectrum of copolymer 1 does not feature any signals corresponding to vinyl ( $-\text{CH}=\text{CH}_2$ ) or vinylene ( $-\text{CH}=\text{CH}-\text{CH}_3$ ) chain-end. Eventually, chain ends corresponding to the functionalization of terminal allyl bond with iodide are not detect-

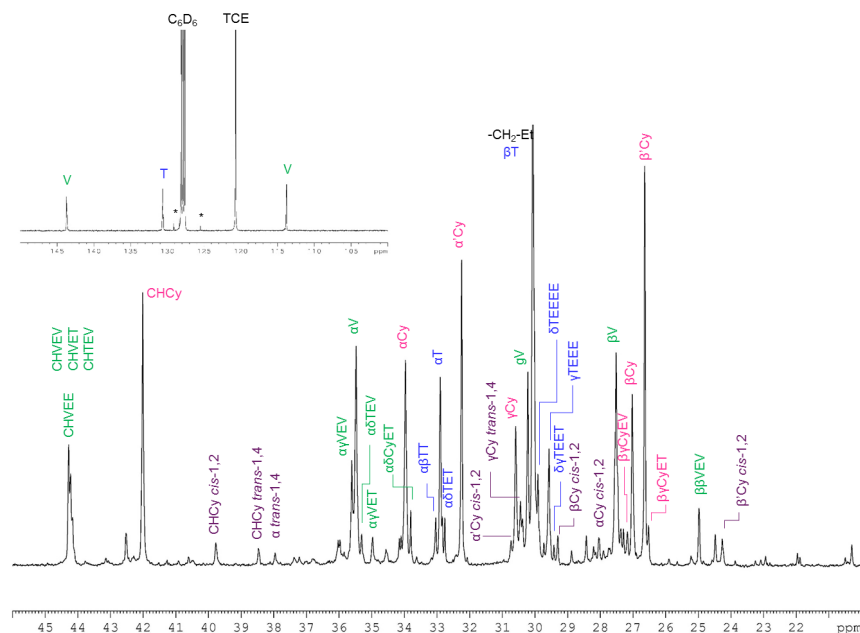
ed in copolymer 2 (expected chemical shifts at 3.78 ppm). This result supports the absence of allyl-terminated chains shuttling from Nd to Mg centers, as previously reported.<sup>15</sup>

A mechanism for the formation of these vinylcyclohexyl-methyl groups is proposed in Scheme 2. This results in the formation of copolymer chain exhibiting the already reported microstructure<sup>11</sup> and alkyl, cyclohexyl-methyl and vinylcyclohexyl-methyl chain-ends. Considering the rather high proportion of terminal vinylcyclohexyl-methyl in copolymer 1 and the corresponding vinylcyclohexyl-iodo-methyl chain-ends in copolymer 2, the presence of vinyl-cyclohexane units in the backbone of high molar mass copolymers of ethylene and butadiene could be expected. However, this motif has not been detected in the polymer chain so far. The detailed investigation of the  $^{13}\text{C}$  NMR spectrum of a high molar mass copolymer (composition of 18.2% of butadiene, 20.6% of *trans*-1,4, 40.5% of vinyl, 39.0% of 1,2-cyclohexane,  $M_n = 50,000$  g  $\text{mol}^{-1}$  and  $D = 1.50$ ) does not show intense signals remaining unassigned (Figure 7). In particular, only *trans*-1,4 and vinyl butadiene units are detected in the unsaturated region of the spectrum. Consequently, no other unit bearing a vinyl group such as a vinyl-cyclohexane appears to be present in the copolymer backbone. In the saturated region of the spectrum, signals corresponding to vinyl units resulting from the insertion of butadiene in 2,1 manner, *trans*-1,4 units as well as the typical *trans*-1,2-cyclohexane rings are assigned. Furthermore, sequences of the constitutive units of the copolymer (VEV, VEE, TEV, VET, TEE, TET, TT, etc...) these notations are defined in Figure 7 and correspond to those adopted by Llauro et al.<sup>11</sup>) were carefully ascribed. We also assigned signals corresponding to *trans*-1,4-cyclohexane and *cis*-1,2-cyclohexane rings that were observed and previously assigned by our group in the case of copolymerization of ethylene and butadiene mediated by the  $[\text{Me}_2\text{Si}(2,7\text{-tBu}_2\text{C}_{13}\text{H}_6)_2\text{Nd}(\text{BH}_4)_2\text{Li}(\text{ether})_3]$  complex.<sup>14</sup> However, a closer inspection of the corresponding  $^{13}\text{C}$  NMR spectrum reveals the presence of small and yet unassigned resonances. Indeed, signals with comparable low intensities are observed at 20.30, 24.97, 28.42 and 42.51 ppm. The latter signal corresponds to a CH group. Nevertheless, the complexity of the  $^{13}\text{C}$  NMR does not permit to ascribe these signals to vinyl-cyclohexane units. In addition, we estimate that these signals correspond to the presence of an unknown structure (*vide infra*) and not to a vinyl-cyclohexane unit since no new vinyl moiety is observed as mentioned above. Due to the enhanced steric hindrance induced by the vinylcyclohexyl-methyl terminal group towards further monomer insertion, we assume that the reactivity of this intermediate is a rate limiting step of the copolymerization reaction. To further comprehend the mechanism of formation of this peculiar moiety and its subsequent reactivities, computational mechanistic studies were undertaken.



**Scheme 2.** Proposed mechanism for the formation of cyclohexyl-methyl and vinylcyclohexyl-methyl moieties.





**Figure 7.** Assignment of main structures in a high molar mass poly(ethylene-co-butadiene) prepared with  $\{\text{Me}_2\text{Si}(\text{C}_{13}\text{H}_8)_2\text{Nd}(\text{BH}_4)_2\text{Li}(\text{thf})\}_2$  / BOMAG (TCE/ $\text{C}_6\text{D}_6$  2/1 v/v, 363 K). The notation corresponds to that used by Llauro et al.<sup>11</sup> (E stands for inserted ethylene units, T for *trans*-1,4 inserted butadiene units, V for butadiene units inserted in 2,1 manner and Cy for the cycles, residual toluene signals are assigned with an asterisk).

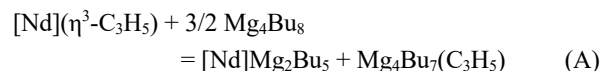
For these studies, we have used the computational approach and methodology depicted in our previous communications regarding the modeling of polymerization reactions mediated by neodymocene complexes under chain exchange conditions enabled by a dialkylmagnesium as chain transfer agent.<sup>15,21</sup> The fully detailed computational procedures are available in the ESI.

In summary, the hybrid functional B3PW91 and the basis set 6-311G(*d,p*) have been used for C, H and O atoms. The same basis set, augmented by diffuse functions, has been used for Mg. Si is represented by a pseudo relativistic ECP in association with its polarized basis set. 11-electrons large core RECP in association with its polarized basis set has been used to represent the lanthanide center. This computational level has shown to offer a good compromise between accuracy and computational cost for studying the reactivity of lanthanide (III) complexes,<sup>15,21,32,33</sup> and has been intensively used by Perrin, Maron, and Eisenstein in mechanistic studies involving lanthanide III complexes.<sup>34-37</sup> In the present study, solvation (SMD-toluene) has been considered by default during optimization, as well as dispersion as a single point D3-BJ empirical correction.<sup>15,21,33</sup>

As a structural model, growing chains are considered to contain at least four carbon atoms in order to avoid spurious artifacts.<sup>38</sup> Dialkylmagnesium has been explicitly included in the chemical model as depicted in our previous communication.<sup>15,21</sup> The experimental catalyst  $\text{Me}_2\text{Si}(\text{C}_{13}\text{H}_8)_2\text{NdR}$  has been fully represented in all calculations. Thermal and entropy contributions have also been included by means of frequency calculation within the harmonic approximation. The potential electronic surface has been explored by hand, considering as much as realistic conformers as possible, however no automated conformational search procedure has been used. Only the most stable conformers are reported. In addition, most

insertion selectivities have been tested; for each step, profiles of the two lowest energy pathways are at least depicted.

Before exploring the energy landscape of monomer insertions, the absence of allyl shuttling from Nd to Mg centers raised our attention. In order to model this chain exchange reaction, that is formally a transmetalation between [Mg]-alkyl and [Nd]-allyl sites, we have considered  $\text{Me}_2\text{Si}(\text{C}_{13}\text{H}_8)_2\text{Nd}(\eta^3\text{-C}_3\text{H}_5)$  and  $\text{Mg}_4\text{Bu}_8$  to represent [Nd]-allyl and [Mg]-alkyl sites, respectively. Thermodynamically, starting from  $[\text{Nd}](\eta^3\text{-C}_3\text{H}_5)$  and  $\text{Mg}_4\text{Bu}_8$ , the allyl/alkyl exchange to form  $[\text{Nd}]\text{Bu}$  is endergonic by 11.6 kcal mol<sup>-1</sup> as shown in Figure 8. However, association of the  $[\text{Nd}]\text{Bu}$  active site with a magnesium cluster according to Equation A leads to an overall exergonic balance of 4.6 kcal mol<sup>-1</sup>. Hence the transfer of allyl site from the catalyst to the chain transfer agent is not limited thermodynamically but kinetically. Thereof, we explored the reaction pathways leading to this exchange reaction.



First of all, no single alkyl/allyl group exchange mechanism could be identified. In addition to the catalyst-chain transfer agent association/dissociation steps, characterized by transition states **TS**<sup>1</sup> and **TS**<sup>3</sup> (Figure 8), the reaction proceeds via successive and complex rearrangements in bridging heterobimetallic species. The complexity of the mechanism results from the versatility of the binding modes of the allyl ligand within polymetallic clusters and the floppiness of the potential energy surface, as illustrated by selected bimetallic intermediates **BM**<sup>1</sup> to **BM**<sup>7</sup> whose energies range from -2.1 kcal mol<sup>-1</sup> to 7 kcal mol<sup>-1</sup> with respect to the reactant (Figure 8). In order to illustrate the overall shape of the potential energy surface, the

scan from **BM**<sup>1</sup> to **TS**<sup>3</sup> is provided in the ESI (Figure S9). Among the transition states, only the one corresponding to the transfer of the allyl group from Nd to Mg (**TS**<sup>2</sup>) was properly converged. However, according to the set of intermediates optimized, two successive steps are at least needed to fulfill the sliding of the allyl group from Nd to Mg. In **BM**<sup>1</sup> the bridging allyl group is  $\eta^3$  coordinated to Nd and  $\eta^1$  coordinating to Mg, while in **BM**<sup>7</sup> this same group is  $\mu$ - $\eta^1$ - $\eta^1$  with respect to Mg and  $\eta^1$  with respect to Nd. Concerning the transfer of the alkyl chain, it can proceed either by rotation of the chain or inversion of configuration as indicated by the different orientations of the lone pair at C<sup>1</sup> (see **BM**<sup>2</sup>, **BM**<sup>3</sup> and **BM**<sup>6</sup> on Figure 8).

Though we were not able to fully depict this chain shuttling mechanism, we were able to capture the step that account for the absence of allyl shuttling from Nd to Mg. It corresponds to the dissociation of the Mg-allyl group from the lanthanide fragment. The energy barrier for this step has been computed at 21 kcal mol<sup>-1</sup>, i.e. 3 to 6 kcal mol<sup>-1</sup> more than monomer

insertion barriers (see section below and Figure 8). This apparently results from the release of the unsaturated [Nd]-Bu site. According to this mechanism, the [Nd]-allyl site also reversibly binds the chain transfer agent to form slightly more stable bimetallic complexes such as **BM**<sup>1</sup> but cannot fulfill site exchange or chain exchange as the [Nd]-alkyl site does. This association between the [Nd]-allyl site and the chain transfer agent merely impacts the energy profile of insertion and will not be included in the following section that account for the exploration of monomer insertion mechanism and selectivities.

For convenience, insertion sequences are letter coded. For minima, the last letter gives the nature of the active site: E for alkyl site, B for allyl site and C for alkyl site generated after cyclization. The other letters track the history of insertions. Insertion of monomer into the Nd-C<sup>2</sup> bond of a B site yields to a <sup>21</sup>B unit and insertion into the Nd-C<sup>4</sup> bond to a <sup>14</sup>B unit (Figure 9).

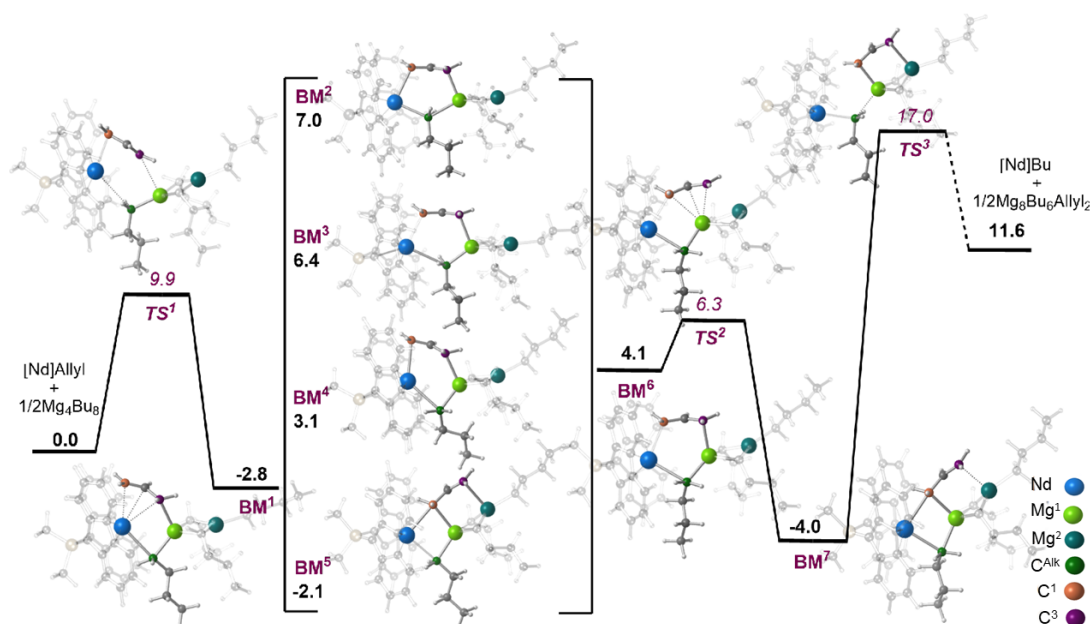
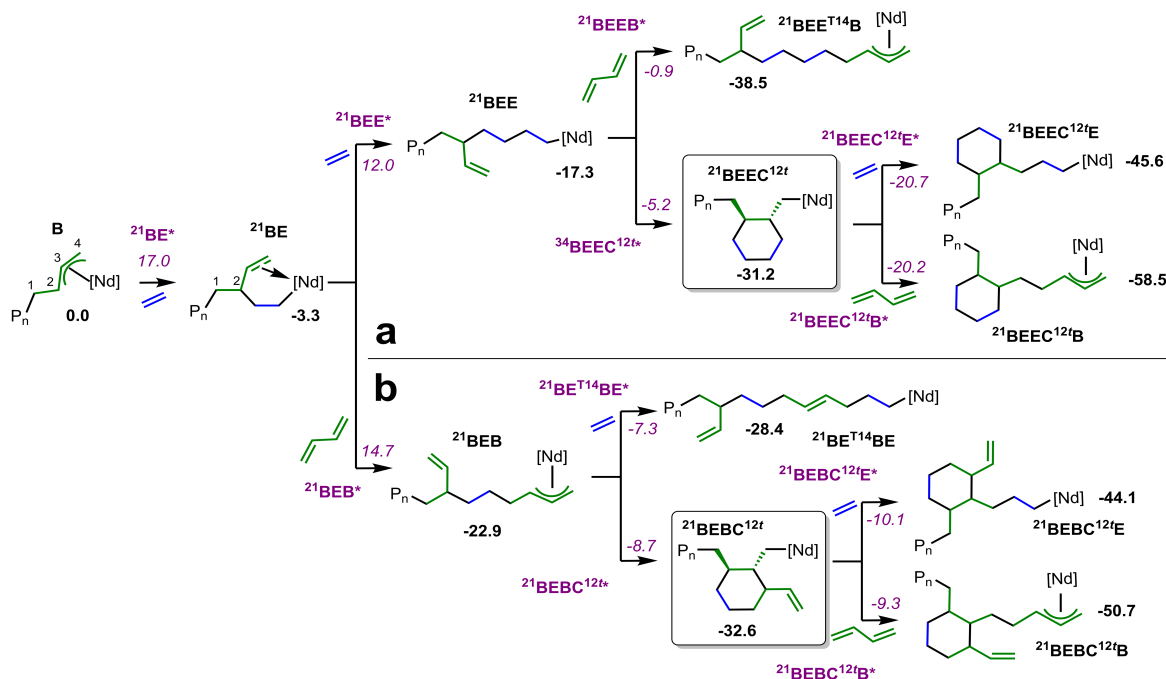


Figure 8. Free energy profile for the allyl/alkyl exchange between Nd and Mg centers. For the sake of clarity, only the atoms implied in the exchange are highlighted, remaining atoms are shadowed. Gibbs energies (1 atm, 298 K) are relative to Me<sub>2</sub>Si(C<sub>13</sub>H<sub>8</sub>)<sub>2</sub>Nd( $\eta^3$ -C<sub>4</sub>H<sub>7</sub>) and Mg<sub>4</sub>Bu<sub>8</sub>.



**Figure 9.** Mechanisms and energetics for the formation of di- (panel a) and tri-substituted (panel b) cyclohexane units. Gibbs energies (1 atm, 298 K) are relative to  $\text{Me}_2\text{Si}(\text{C}_{13}\text{H}_8)_2\text{Nd}(\eta^3\text{-C}_4\text{H}_7) \text{B}$  ( $[\text{Nd}] = \text{Me}_2\text{Si}(\text{C}_{13}\text{H}_8)_2\text{Nd}$ ), ethylene and butadiene and are given in  $\text{kcal mol}^{-1}$ . Energies of transition states are in italic violet, energies of minima are in bold black.  $\text{P}_n = \text{H}$ .

Insertion of ethylene is labeled **E**. Cyclizations are labeled **C** and the numbers after **C** define the regio- and stereochemistry of the ring formed. Adduct of a monomer to an active site is labeled with an underscore between the active site and the monomer to be inserted (e.g., **E\_E** adduct of ethylene to an alkyl site). Transition states (TS) are identified with an asterisk after the inserted monomer (e.g., **EE\*** ethylene insertion TS into an alkyl site). As previously shown,<sup>10,11,15</sup> the sequence by which cyclohexane units are formed starts from the migratory insertion of ethylene (transition state **21BEE\***, **Figure 9, panel a**) in a Nd-allyl site that is modeled here by  $[\text{Nd}](\eta^3\text{-C}_4\text{H}_7) \text{B}$ ,  $[\text{Nd}] = \text{Me}_2\text{Si}(\text{C}_{13}\text{H}_8)_2\text{Nd}$ .

Starting from complex **21BE**, insertion of ethylene is kinetically the most favorable with an associated transition state **21BEE\*** at  $12.0 \text{ kcal mol}^{-1}$  above the reactants and afford the precursor of 1,2-cyclohexane unit **21BEE**. The kinetics of this intramolecular cyclization is favored by  $4.3 \text{ kcal mol}^{-1}$  over the most favorable intermolecular insertion of butadiene whose **21BEB\*** transition state lies at  $-0.9 \text{ kcal mol}^{-1}$  relative to the initial allyl complex **B**. As shown by the small energy difference of  $0.5 \text{ kcal mol}^{-1}$  between transition states **21BEEC12tE\*** and **21BEEC12tB\*** (12t refers to a 1,2-*trans*-cyclohexane ring), both butadiene and most likely ethylene undergo insertion after cyclization.

The sequence by which the tri-substituted vinylcyclohexyl-methyl- moiety is formed differs from the previously described sequence by the 1,4 insertion of butadiene (transition state **21BEB\***) instead of ethylene (transition state **21BEE\***) before cyclization (**Figure 9, panel b**). At this point, insertion of butadiene only requires  $2.7 \text{ kcal mol}^{-1}$  more than the insertion of ethylene and is thus likely to occur during polymerization. Then, intramolecular cyclization to offer the **21BEB** requires  $14.2 \text{ kcal mol}^{-1}$  to proceed and is kinetically more favored than ethylene insertion by  $1.4 \text{ kcal mol}^{-1}$ . Though the presence of the additional vinyl ramification raises the steric

demand on the metallocene active site, the formation of the tri-substituted cyclohexane unit is almost as exergonic as the formation of the di-substituted cyclohexane unit. Moreover, the coordination of the vinyl ramification by the metal center brings additional stability to the Nd complex, as the product of cyclization **21BEB** is thermodynamically more stable than the product of ethylene insertion **21BE<sup>T14</sup>BE** by  $4.2 \text{ kcal mol}^{-1}$ .

Though the kinetics and thermodynamics associated to the formation of di- and tri-substituted cyclohexane motifs are similar, their post reactivity towards ethylene or butadiene insertion significantly differs. Indeed, whereas insertions of ethylene and butadiene at **21BEEC12t** respectively require  $10.5$  and  $11.0 \text{ kcal mol}^{-1}$  to proceed, insertions of these two monomers at the bulky **21BEB** site kinetically require ca.  $12 \text{ kcal mol}^{-1}$  more. This trend can be explained by the rigid coordination of the vinyl ramification to the metal center and suggests that for this catalytic system, a second monomer cannot assist the insertion of a first one.<sup>39</sup>

The representation of the 3D structure of **21BEEC12t** and **21BEB** and of the subsequent ethylene insertion transition states **21BEEC12tE\*** and **21BEB** are shown in **Figure 10**. Whereas the disubstituted cyclohexyl site and the subsequent ethylene insertion transition state show typical geometries for the front-side insertion into an alkyl site,<sup>21,34</sup> ethylene insertion into the trisubstituted cyclohexyl site shows significant distortion. First of all, in the complex **21BEB**, the  $\eta$ -system of the vinyl interaction is clearly in interaction with the Nd center as indicated with distances of  $3.11 / 3.13 \text{ \AA}$  that are shorter by  $0.3 \text{ \AA}$  than those computed for the  $\eta^2$ -ethylene adduct to  $\text{Me}_2\text{Si}(\text{C}_{13}\text{H}_8)_2\text{NdBu}$  or in **21BEEC12tE**.<sup>21</sup> This strong coordination has consequences on the acidity of the metal that is decreased by  $0.08e$  between **21BEEC12t** and **21BEB**, as indicated by the natural population analysis (NPA) of these two complexes. The influences of the coordination of the vinyl



ramification in  $^{21}\text{BEBEC}^{12\text{t}}$  are magnified at the transition state of ethylene.

Comparison of the geometries of  $^{21}\text{BEEC}^{12\text{t}}\text{E}^*$  and  $^{21}\text{BEEC}^{12\text{t}}\text{E}^*$  shows that, in the case of the tri-substituted cyclohexyl motif, the insertion of the incoming monomer induces a haptotropic rearrangement of one fluorenyl group from an  $\eta^{3,2}$  to an exocyclic-  $\eta^3$  mode ( $\eta^{3\text{exo}}$ ) (Figure 10 and Figure S10 in ESI). This suggests that the fluorenyl ligand is more labile and adaptive than the heavily constrained vinyl ramification. In addition, the distances that are characteristic of the ethylene insertion shows that transition state  $^{21}\text{BEEC}^{12\text{t}}\text{E}^*$  is late relative to transition state  $^{21}\text{BEEC}^{12\text{t}}\text{E}^*$ .

Remarkably enough, the competitive transition states for cyclization  $^{21}\text{BEEC}^{12\text{t}}\text{E}^*$  and for ethylene insertion

$^{21}\text{BEEC}^{12\text{t}}\text{E}^*$  that control the occurrence of the tri-substituted units in the polymers only differ by 1.4 kcal mol<sup>-1</sup> (Gibbs energy value). Thereof, the formation of the trisubstituted cyclohexane ring can be seen as reversible. Due to this equilibrium, transition states  $^{21}\text{BEEC}^{12\text{t}}\text{E}^*$  and  $^{21}\text{BEEC}^{12\text{t}}\text{E}^*$  become interlinked, and their Gibbs energies only differ by 2.8 kcal mol<sup>-1</sup>, these two pathways are competitive. Interestingly, in  $^{21}\text{BEEC}^{12\text{t}}\text{E}$  a 6-heptenyl-Nd scaffold (Figure 11,  $^{21}\text{BEEC}^{12\text{t}}\text{E}$  bold bonds) is formed and can potentially be subject to intramolecular cyclization leading to an unprecedented decalin polymer unit. This hypothesis has been explored computationally.

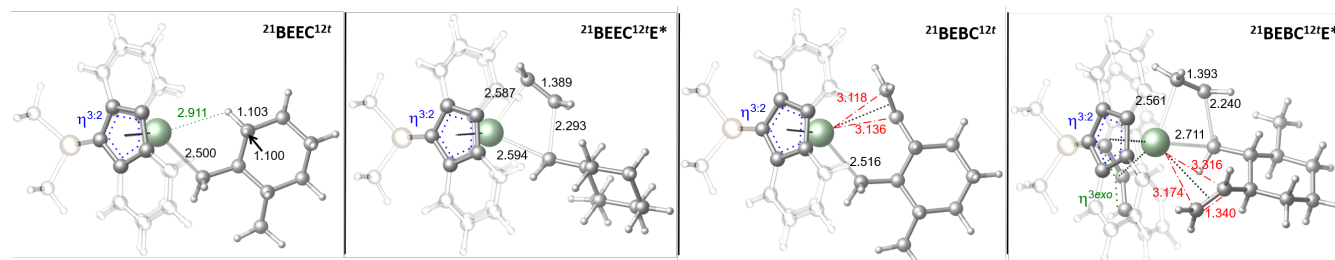


Figure 10. Representation of the 3D structures of the di- and tri-substituted cyclohexylmethyl active sites  $^{21}\text{BEEC}^{12\text{t}}$  and  $^{21}\text{BEEC}^{12\text{t}}\text{E}^*$  as well as the subsequent transition states for ethylene insertion. Distances in Å. The  $\eta^{3,2}$  binding mode refers to an  $\eta^5$ -like interaction between the neodymium center and the cyclopentadienyl group. However, the carbon atoms of the cyclopentadienyl group are not involved in the same way in this interaction as in a  $\eta^5$  binding mode.

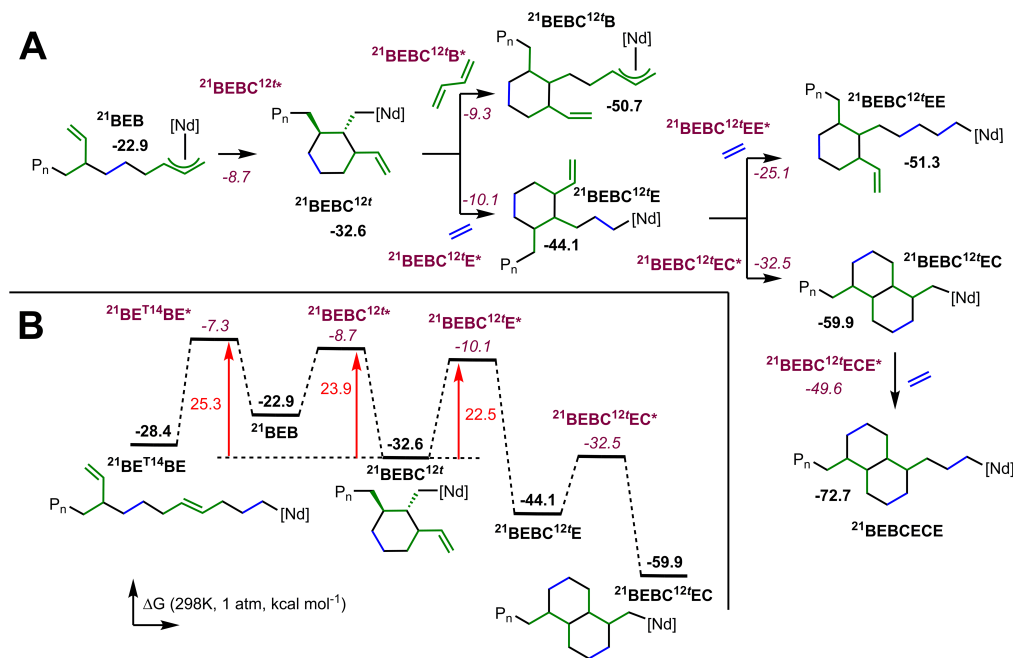
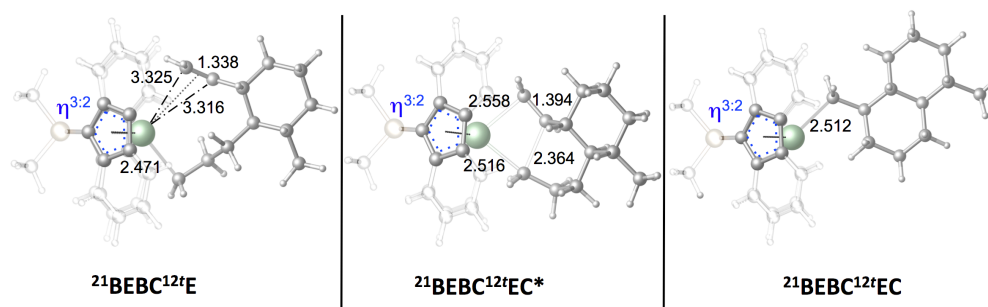


Figure 11. Mechanisms and energetics for the formation and the post-reactivity of tri-substituted cyclohexane- and decalin units: (A) profile as a reaction sequence; (B) energy profile for the reversible formation of tri-substituted cyclohexane unit. Gibbs energies are relative to  $\text{Me}_2\text{Si}(\text{C}_{13}\text{H}_8)_2\text{Nd}(\eta^3\text{-C}_4\text{H}_7)$  B ([Nd] =  $\text{Me}_2\text{Si}(\text{C}_{13}\text{H}_8)_2\text{Nd}$ ), ethylene and butadiene and are given in kcal mol<sup>-1</sup>. Energies of transition states are in italic violet, energies of minima are in bold black. Red values are relative to the tri-substituted site  $^{21}\text{BEEC}^{12\text{t}}$ .  $\text{P}_n = \text{H}$ .



**Figure 12.** Representation of the 3D structure of the complexes involved in the formation of the decalin unit. Distances in Å.

After ethylene insertion at the tri-substituted methyl-vinyl-cyclohexyl site  $^{21}\text{BEBC}^{12t}$ , intramolecular cyclization is highly kinetically favored ( $\Delta G^\ddagger = 11.6 \text{ kcal mol}^{-1}$ ,  $^{21}\text{BEBC}^{12t}\text{EC}^*$ ) over ethylene insertion as indicated by a difference of transition Gibbs energy of  $7.4 \text{ kcal mol}^{-1}$ . As shown in **Figure 12**, the most favorable configuration of the 1,5-disubstituted decalin units is all *trans*.

As this decalin motif is not substituted by a vinyl group, its reactivity towards insertion is similar to that of a di-substituted cyclohexane motif. Indeed, the barrier for ethylene insertion at this specific site is similar ( $10.5$  vs  $10.0 \text{ kcal mol}^{-1}$ ) than for the insertion of ethylene after a 1,2-cyclohexane unit (**Figure 8**).

As shown in **Figure 12**, adduct  $^{21}\text{BEBC}^{12t}\text{E}$  and transition state  $^{21}\text{BEBC}^{12t}\text{EC}^*$  almost display usual geometrical parameters for ethylene insertion into a [Nd]-alkyl site.<sup>21</sup> Comparatively to the highly sterically encumbered trisubstituted cyclohexyl site, the decalin site appears as accessible as a trisubstituted cyclohexyl site. This is corroborated by its reactivity towards ethylene insertion.

Based on this experimental investigation, analyses were carried out in order to identify decalin units within the copolymers. As mentioned above, a punctilious assignment of all the  $^{13}\text{C}$  NMR signals in the spectrum of a high molar mass copolymer has been carried out (**Figure 7**). A series of unassigned signals have been detected in particular at 20.30, 24.97, 28.42 and 42.51 ppm. Considering, the  $^{13}\text{C}$  NMR spectrum of a 1,5-dialkyl-decalin simulated with ChemDraw (**Figure S11**), it appears that the chemical shift of observed signals fits with that of a decalin unit (CH of decalin (42.51 ppm), two  $\text{CH}_2$  of the ring at 20.30 and 24.97 ppm and  $\text{CH}_2$  in  $\alpha$ -position of the decalin motif at 28.42 ppm). Nevertheless, it was not possible to definitively conclude that decalin units was present since the second methine possibly overlapped with that of methine signal of vinyl units was not detected.

In order to provide more information for the identification of the decalin motif in the copolymer backbone, the analysis of the copolymer by pyrolysis coupled with gas chromatography and mass spectrometry (Pyrolysis-GC-MS) was envisaged (**Figure S12**). The chromatogram shows the presence of alkenes resulting from the decomposition of the linear sequences of the copolymer and of cyclohexane and cyclohexene molecules (absent in the case of a polyethylene – **Figure S13** and **Table S5**) consistent with the presence of these rings in the polymer backbone (**Table S4** in ESI). Interestingly, the analysis reveals a peak at 16.08 minutes whose mass spectrum corresponds to decalin (*cis* or *trans*). Thus, this result suggests the presence of the decalin motif in the copolymer. The peak

intensity is small suggesting that decalin content in the copolymer is low. This explains the difficulties encountered to identify this motif by NMR and also underline the value added of the computational studies to the interpretation of the experimental data.

## CONCLUSION

Copolymerization of ethylene with butadiene was performed using  $\text{Me}_2\text{Si}(\text{C}_{13}\text{H}_8)_2\text{Nd}(\text{BH}_4)_2\text{Li}(\text{thf})_2/\text{BOMAG}$  catalyst. We have shown that this copolymerization system follows a coordinative chain transfer polymerization governed by a reversible degenerative chain transfer between Nd and Mg centers. The functionalization of the resulting Mg-bound copolymer chains was performed using iodine. This allowed the identification of peculiar vinyl-cyclohexyl-methyl chain-ends that have not been identified so far in these systems. The rather high content of these chain-ends drove us to identify 3-vinyl-1,2-cyclohexane motif along the chains, yet in a vain attempt. DFT calculations further show that the formation vinyl-cyclohexyl-methyl site may either be reversible or lead to a decalin motif in the copolymer chain. The presence of this motif, that is suspected in the  $^{13}\text{C}$  NMR spectrum of the corresponding copolymers, was shown by Pyrolysis GC-MS analyses of the copolymer. 1,2,3-trisubstituted cyclohexane and all *trans* 1,5-disubstituted decalin units are two additional motifs that have not been observed so far in the large panel of motifs already reported in the literature for the cyclo-copolymerization of ethylene and butadiene including vinyl, *cis*-1,4 or *trans*-1,4 butadiene,<sup>2-4</sup> 1,2-cyclohexane,<sup>10-12</sup> 1,4-cyclohexane,<sup>13,14</sup> cyclo-pentane and -propane,<sup>7-9</sup> and 1,1- and 1,3 units.<sup>5,6</sup>

The reversible formation of the furtive 1,2,3-trisubstituted cyclohexane unit is not only the key precursor of the decalin motif but bring additional control to the polymerization by adequately raising the gap between the kinetic window of chain shuttling and propagation. This polymerization scheme shares some similarities with some of the reversible-deactivation radical polymerization (RDRPs) techniques for which both reversible termination and transfer reactions can be simultaneously be involved to improve the control of the chain growth.<sup>40,41</sup> Additionally, the analysis of the geometries of monomer insertion after the 1,2,3-trisubstituted cyclo-hexyl explains the crucial role played by the fluorenyl ligand by adjusting its hapticity ( $\eta^5$ ,  $\eta^{3:2}$  or  $\eta^{3\text{exo}}$ ) to the steric demand and the saturation need of the metal center.<sup>42,43</sup> Finally, the computational mechanistic investigation of the allyl/alkyl site exchange reveals that [Nd]-allyl sites bind dialkylmagnesium clusters. Further analysis of this interaction and its conse-

quences on activities and selectivities are under progress and will be reported in due time.

## ASSOCIATED CONTENT

### Supporting Information

Supporting tables, figures and spectra, as well as the detailed computational procedures are available as supporting document (PDF). In addition, Cartesian coordinates and associated energies of all computed structures are available as a Mercury archive (XYZ). The following files are available free of charge.

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The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript. ‡ These authors contributed equally.

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