

Multivariate semi-blind deconvolution of fMRI time series

Hamza Cherkaoui, Thomas Moreau, Abderrahim Halimi, Claire Leroy, Philippe Ciuciu

▶ To cite this version:

Hamza Cherkaoui, Thomas Moreau, Abderrahim Halimi, Claire Leroy, Philippe Ciuciu. Multivariate semi-blind deconvolution of fMRI time series. 2020. hal-03005584v1

HAL Id: hal-03005584 https://hal.science/hal-03005584v1

Preprint submitted on 14 Nov 2020 (v1), last revised 2 Sep 2021 (v2)

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Multivariate semi-blind deconvolution of fMRI time series

Hamza Cherkaoui^{b,a,c}, Thomas Moreau^c, Abderrahim Halimi^d, Claire Leroy^b, and Philippe Ciuciu^{a,c,e}

^aCEA/NeuroSpin, Université Paris-Saclay, F-91191 Gif-sur-Yvette, France. ^bUniversité Paris-Saclay, CEA, CNRS, Inserm, BioMaps, Orsay, 91401, France. ^cParietal Team, Université Paris-Saclay, CEA, Inria, Gif-sur-Yvette, 91190, France. ^dSchool of Engineering and Physical Sciences, Heriot-Watt University, Edinburgh UK. ^eCorresponding author.

Abstract

Whole brain estimation of the haemodynamic response function (HRF) in functional magnetic resonance imaging (fMRI) is critical to get insight on the global status of the neurovascular coupling of an individual in healthy or pathological condition. Most of existing approaches in the literature works on task-fMRI data and relies on the experimental paradigm as a surrogate of neural activity, hence remaining inoperative on resting-stage fMRI (rs-fMRI) data. To cope with this issue, recent works have performed either a two-step analysis to detect large neural events and then characterize the HRF shape or a joint estimation of both the neural and haemodynamic components in an univariate fashion. In this work, we express the neural activity signals as a combination of piece-wise constant temporal atoms associated with sparse spatial maps and introduce an haemodynamic parcellation of the brain featuring a temporally dilated version of a given HRF model in each parcel with unknown dilation parameters. We formulate the joint estimation of the HRF shapes and spatio-temporal neural representations as a multivariate semi-blind deconvolution problem in a paradigm-free setting and introduce constraints inspired from the dictionary learning literature to ease its identifiability. An efficient alternating minimization algorithm is proposed and validated on both synthetic and real rs-fMRI data at the subject level. To demonstrate its significance at the population level, we apply this new framework to the UK Biobank data set, first for the discrimination of haemodynamic territories between balanced groups (n = 24 individuals in each) patients with an history of stroke and healthy controls and second, for the analysis of normal aging on the neurovascular coupling. Overall, we statistically demonstrate that a pathology like stroke or a condition like normal brain aging induce longer haemodynamic delays in certain brain areas (e.g. Willis polygon, occipital, temporal and frontal cortices) and that this haemodynamic feature may be predictive with an accuracy of 74 % of the individual's age in a supervised classification task performed on n = 459 subjects.

 $Preprint\ submitted\ to\ NeuroImage$

November 8, 2020

Keywords: BOLD signal, HRF, sparsity, low-rank decomposition, multivariate modeling, dictionary learning, UK Biobank

1 1. Introduction

² 1.1. Context

Functional magnetic resonance imaging (fMRI) non-invasively records brain ac-3 tivity by dynamically measuring the blood oxygenation level-dependent (BOLD) 4 contrast. The latter reflects the local changes in the deoxyhemoglobin concentra-5 tion in the brain (Ogawa et al., 1992) and thus indirectly measures neural activity 6 through the neurovascular coupling. This coupling is usually characterized as a 7 linear and time-invariant system and thus summarized by its impulse response, the 8 so-called haemodynamic response function (HRF) (Bandettini et al., 1993; Boyn-9 ton et al., 1996). The estimation of the response is of a primary interest: a change 10 in the haemodynamic response could be linked to the pharmacological mechanism 11 of a drug (Do et al., 2020), the effect of healthy aging (West et al., 2019) or the 12 consequence of a neuropathological process, for example Alzheimer's disease (Ase-13 mani et al., 2017). Thus, the HRF could be considered as a precious bio-marker 14 to investigate the neurovascular function of the brain in a healthy or pathological 15 condition. Moreover, its estimation also links the observed BOLD signal to the un-16 derlying neural activity, which can in turn be used to better understand cognitive 17 processes in the healthy brain or to uncover functional alteration in pathological 18 condition. 19

20 1.2. Related works

Several methods have been designed to estimate this haemodynamic response 21 in the case of task-related fMRI (tfMRI). In this setup, the participant is engaged 22 in an experimental paradigm (EP) during the imaging session, which alternates 23 between rest and task periods (Friston et al., 1998; Ciuciu et al., 2003; Lindquist 24 and Wager, 2007; Pedregosa et al., 2015). Commonly, supervised HRF estimation 25 methods fit a model to explain the observed BOLD signal from the EP (Goutte 26 et al., 2000; Ciuciu et al., 2003; Lindquist and Wager, 2007; Vincent et al., 2010; 27 Chaari et al., 2012; Pedregosa et al., 2015). A limitation of these approaches is 28 that the EP is used as a surrogate for the neural activity. Therefore they do not 29 account for possible delays in the subject's responses compared to the task onsets, 30 thus yielding a biased HRF estimate. Moreover, these methods cannot be used on 31 resting-state fMRI data (rs-fMRI), where the participant is laying still in the MRI 32 scanner and where no EP is available to serve as surrogate for neural activity. 33 On the other hand, a long-standing literature on fMRI deconvolution methods 34

has emerged since the late 90s to uncover the underlying *activity-inducing signal* at

the fMRI timescale of seconds, see (Glover, 1999) for Wiener filtering and smooth 36 estimation of activity-inducing signals and (Gitelman et al., 2003) for its general-37 ization to study psychophysiologic interactions at the neuronal level. Importantly, 38 within a temporal fMRI deconvolution framework, most recent works have re-39 lied on sparse regularization to recover a limited number of spike-like activations 40 directly in the time domain (Hernandez-Garcia and Ulfarsson, 2011; Caballero-41 Gaudes et al., 2012) or imposing sparsity in the activelet-domain, which is a 42 wavelet basis that is tailored to the haemodynamic properties (Khalidov et al., 43 2011). Next, a foundational work (Karahanoğlu et al., 2013) has proposed a 44 spatio-temporal model of the underlying activity-inducing signal including both 45 temporal and spatial sparsity-based regularization. By doing so, the recovered 46 neural activity profiles are used to define functional networks, hence converging to 47 the original approach proposed in (Wu et al., 2013) that uncovers functional net-48 works from deconvolved BOLD signals. However, the total activation work (Kara-49 hanoğlu et al., 2013) suffers from two main limitations: first, the HRF shape in 50 this modeling was fixed and kept constant throughout the brain, making this ap-51 proach mainly suitable for normal populations; second, for computational reasons, 52 spatial regularization was introduced within predefined brain regions in a given 53 atlas (Karahanoğlu et al., 2013) instead of impacting whole brain activity. 54

Alternatively some recent works have suggested to estimate both the neural 55 activity and the HRF profile at the same time (Cherkaoui et al., 2019; Farouj 56 et al., 2019). These approaches are often referred to as *semi-blind deconvolution* 57 schemes of the BOLD signal as they do not fully estimate an unknown HRF shape 58 from scratch but instead try to uncover a transformation from a pre-existing HRF 59 profile such as the canonical shape. Both (Cherkaoui et al., 2019) and (Farouj et al., 60 2019) rely on the hypothesis of a block-type property for the neural activation 61 signal as initially proposed in (Karahanoğlu et al., 2013) but are able to infer the 62 haemodynamic profile for instance with a limited parameterization to deal with 63 the magnitude and delay ambiguities between the neural input the haemodynamic 64 filter. 65

66 1.3. Goals and contributions

This paper extends preliminary contributions (Cherkaoui et al., 2019) and of-67 fers a new algorithm that aims to fit a rich multivariate decomposition of the 68 BOLD data using a semi-blind deconvolution and low-rank sparse decomposition. 69 The model distinguishes two major parts in the BOLD signal: the neurovascu-70 lar coupling and the neural activity signal. One of its main features is to tackle 71 the intra-subject haemodynamic variability by introducing regionwise HRF pro-72 files over a brain parcellation, encoding the spatial variations of the neurovascular 73 coupling. Also, in regard to neural activity, we follow ideas developed in the con-74 volutional dictionary learning literature to develop a low-rank approximation of 75

a signal (Grosse et al., 2007; Dupré La Tour et al., 2018). We thus model the
neural activation signals as a combination of a limited number of piece-wise constant temporal profiles associated with spatial maps that capture the magnitude of
functional networks. As such, the neural input signals are represented with their
own spatial representation that departs from the neurovascular parcellation. We of
course present a scalable optimization algorithm that is able to fit all parameters
of interest in the whole brain in a reasonable computing time.

The rest of the paper is organized as follows. Section 2 introduces our modeling 83 of the BOLD data and presents our semi-blind blind deconvolution algorithm. 84 Next, our technique is validated on simulated data and on real rs-fMRI data at 85 the individual level in Section 3. In Section 4 we illustrate the proposed framework 86 at the population level on the large UK Biobank database. Two main applications 87 were targeted, namely the neurovascular discrimination of patients with stroke 88 episodes as compared to healthy controls and the prediction of brain age. Section 5 89 discusses the potential impact of our method as well as its limitations. Conclusion 90 and outlook are synthesized in Section 6. 91

⁹² 2. Multivariate low-rank decomposition of the BOLD signal

In this section, we present our modeling of the BOLD signal and derive an efficient algorithm to estimate its parameters.

95 Notation

In what follows, y_i denotes the i^{th} entry in vector \boldsymbol{y} . Let $\widetilde{T} = T - L + 1$, the convolution of two signals $\boldsymbol{a} \in \mathbb{R}^{1 \times \widetilde{T}}$ and $\boldsymbol{v} \in \mathbb{R}^{1 \times L}$ is denoted by $\boldsymbol{v} * \boldsymbol{a} \in \mathbb{R}^{1 \times T}$. For $\boldsymbol{A} \in \mathbb{R}^{P \times \widetilde{T}}$, $\boldsymbol{v} \neq \boldsymbol{A} \in \mathbb{R}^{P \times T}$ is obtained by convolving each line of \boldsymbol{A} with \boldsymbol{v} . For $\boldsymbol{V} \in \mathbb{R}^{P \times L}$, $\boldsymbol{V} \neq \boldsymbol{A} \in \mathbb{R}^{P \times T}$ is obtained by convolving each line of \boldsymbol{A} with the corresponding line of \boldsymbol{V} . Let ∇ be the first-order difference operator such that $\forall \boldsymbol{x} \in \mathbb{R}^{\widetilde{T}}, \nabla \boldsymbol{x} \in \mathbb{R}^{\widetilde{T}-1}$ with $(\nabla \boldsymbol{x})_i = x_i - x_{i-1}, \forall i \in \{2, \dots, \widetilde{T}\}$.

¹⁰² 2.1. Linear and time-invariant modeling

103 2.1.1. Univariate modeling

A common model for the multivariate (*P* voxels, *T* scans) BOLD data $\boldsymbol{Y} \in \mathbb{R}^{P \times T}$ with $\boldsymbol{Y} = (\boldsymbol{y}_j)_{j=1}^{P}$ is the linear and time-invariant model (LTI) (Boynton et al., 1996). This model is illustrated in Fig. 1. For each voxel, the measured time series, denoted by $\boldsymbol{y}_j \in \mathbb{R}^{1 \times T}$, is the convolution of a neural activation signal $\tilde{\boldsymbol{a}}_j \in \mathbb{R}^{1 \times \tilde{T}}$, with a given HRF, $\boldsymbol{v} \in \mathbb{R}^{1 \times L}$ and $\boldsymbol{e}_j \in \mathbb{R}^{1 \times T}$ refers to an additive white Gaussian noise, which leads to:

$$\boldsymbol{y}_j = \boldsymbol{v} * \widetilde{\boldsymbol{a}}_j + \boldsymbol{e}_j$$
 . (1)



Figure 1: Illustration of the voxelwise BOLD signal modeling: \boldsymbol{a} is a time series encoding the neural activation signal, \boldsymbol{v} being the haemodynamic response function (HRF) and \boldsymbol{e} the additive Gaussian noise. The measured fMRI signal is denoted \boldsymbol{y} and obtained via $\boldsymbol{a} * \boldsymbol{v} + \boldsymbol{e}$.

Although the noise that contaminates the BOLD effect is serially correlated in time (Woolrich et al., 2001), we assume here that the fMRI data has been prewhitened. If not, an auto-regressive (AR) modeling for the noise is possible (Penny et al., 2003; Makni et al., 2008) at the expense of an increased computational burden, necessary for identifying the AR parameters.

Typically, the HRF \boldsymbol{v} has a restricted support in time of about 20 s. The 109 challenge with HRF modeling is to find a fair trade-off between a flexible model 110 that is able to capture the true haemodynamic response in each brain area and a 111 reliable one that limits overfitting by reducing the number of degrees of freedom 112 (diminishing the variance). Since our approach estimates the neural activity along 113 with the HRF, reducing the number of degrees of freedom is critical to avoid the 114 aforementioned overfitting. In this paper, we assume M different HRF with v_m 115 being the HRF corresponding to the mth region Θ_m . Numerous approaches have 116 been proposed to model this haemodynamic response \boldsymbol{v}_m . The Finite Impulse Re-117 sponse (FIR) (Dale, 1999; Glover, 1999) model does not assume any particular 118 shape for the HRF which make it very flexible but prone to data overfitting in the 119 presence of noise. Regularization has thus been introduced to constrain the overall 120 HRF shape in FIR models and limit their tendency to overfitting, see for instance 121 penalization over the second-order derivative to end up with physiologically plau-122 sible smooth HRF estimates (Ciuciu et al., 2003; Casanova et al., 2008). Alterna-123 tively, the HRF has been modeled as a linear decomposition of predefined atoms 124 such as B-splines (Zhang et al., 2007; Vakorin et al., 2007), wavelets (Khalidov 125 et al., 2011), a sensitivity-selected set (Woolrich et al., 2004) or more physiologi-126 cally informed patterns such as the canonical HRF and its derivatives in time and 127 with respect to the dispersion parameter (Friston et al., 1998). All these methods 128 intend to capture fluctuations in haemodynamic delay or shape with the mini-129 mum number of parameters. Last, to constrain even more the parameter values 130 and reduce variance estimates, parametric models such as the *inverse logit trans*-131 form (Lindquist and Wager, 2007) have been proposed and successfully tested 132 when benchmarking over multiple fMRI data sets (Lindquist et al., 2009). In this 133 work, we propose the time dilation HRF model (Cherkaoui et al., 2019), which cap-134 tures the haemodynamic delay by dilating a reference HRF pattern: $\boldsymbol{v} = \boldsymbol{v}_{ref}(\delta t)$ 135



where v_{ref} is a reference temporal profile, here the canonical HRF. This approach is efficient while simple as it encodes delay fluctuations through a single scalar parameter δ (one degree of freedom). One limitation of this choice is that it leads to the simultaneously variation of the full width-at-half-maximum (FWHM) of the HRF and its time-to-peak (TTP) (see also Fig. 2). Our voxelwise model reads as follows:

$$\boldsymbol{y}_j = \boldsymbol{v}_\delta * \boldsymbol{\tilde{a}}_j + \boldsymbol{e}_j \quad . \tag{2}$$

142 2.1.2. Multivariate modeling

To better account for the spatial structure of the neurovascular system and the intrinsic organization of functional networks, we extend this model to the multivariate setting. Our multivariate model reads as follows:

$$\boldsymbol{Y} = \boldsymbol{v}_{\delta} \ \bar{\ast} \ \boldsymbol{A} + \boldsymbol{E} \ , \tag{3}$$

where $\widetilde{A} = (\widetilde{a}_j)_{j=1}^P \in \mathbb{R}^{P \times \widetilde{T}}$ and $E = (e_j)_{j=1}^P \in \mathbb{R}^{P \times T}$. One limitation of this straightforward approach is that it constrains the haemodynamic response to be the same across the whole brain. As the HRF shape depends on the neurovascular coupling, its features vary in space over different brain areas and between individuals (Handwerker et al., 2004; Badillo et al., 2013). This suggests that, for a given subject, the HRF should be modeled locally in the brain. An appropriate approach for doing so is to rely on existing brain parcellation (Varoquaux and Craddock, 2013). Ideally to accurately fit the real haemodynamic response function in a subject, we would favor a large number of regions. However, the larger this number, the smaller the number of voxels per region, which could impair the stability of HRF estimation. For that reason, each region should at least consist of a few hundred voxels. In this work, we rely on the Havard-Oxford probabilistic brain atlas (Desikan et al., 2006). We threshold the probabilities to obtain a fine brain parcellation that offers enough flexibility to adapt to the true haemodynamic system. In what follows, we mathematically introduce a brain parcellation with M regions with $(\Theta_m)_{m=1}^M \in \{0,1\}^p$ 1 if the i^{th} voxel belongs to the m^{th} region and 0 if not. This allows us to extend Eq. (3) as follows:

$$\boldsymbol{Y} = \left(\sum_{m=1}^{M} \boldsymbol{\Theta}_{m}^{\top} \boldsymbol{v}_{\delta_{m}}\right) \, \dot{\ast} \, \widetilde{\boldsymbol{A}} + \boldsymbol{E} \, , \qquad (4)$$

The activation signals \widetilde{A} capture for each voxel the periods of time during which any voxel is involved either in task performance or in spontaneous BOLD signal fluctuations. This model remains univariate as P independent neural activation signals $(\widetilde{a}_j)_{j=1}^P \in \mathbb{R}^{P \times \widetilde{T}}$ are estimated.

In our work, we rather introduce a low-rank constraint and learn both K temporal 147 atoms (with $K \ll P$) and corresponding spatial maps. These maps encode various 148 functional networks, each of them being summarized by specific neural activation 149 profile. Mathematically, this can be modeled by replacing each vector \tilde{a}_j in Eq. (4) 150 with a linear combination of neural activation patterns $\mathbf{Z} = (\mathbf{z}_k)_{k=1}^K \in \mathbb{R}^{K \times \widetilde{T}}$, with $\mathbf{z}_k \in \mathbb{R}^{1 \times \widetilde{T}}$, modulated in space by the spatial maps $\mathbf{U} = (\mathbf{u}_k)_{k=1}^K \in \mathbb{R}^{K \times P}$, with $\mathbf{u}_k \in \mathbb{R}^{1 \times P}$, such that: $\widetilde{\mathbf{A}} = \sum_{k=1}^K \mathbf{u}_k^\top \mathbf{z}_k$. In other words, the spatial configuration 151 152 153 \boldsymbol{u}_k encodes which voxels are linked to a given neural activation profile $\boldsymbol{z}_k \in \mathbb{R}^{1 \times \widetilde{T}}$. 154 Note that a voxel may belong to different functional networks. This is coherent 155 with the fact that a voxel contains about one hundred thousands neurons for a 156 typical spatial resolution (1.5 mm isotropic), and thus the underlying neural acti-157 vation signals are combined with possibly different temporal fingerprints. Finally, 158 our forward model for BOLD fMRI data is given by Eq. 5 (see also Fig. 3): 159

$$\boldsymbol{Y} = \left(\sum_{m=1}^{M} \boldsymbol{\Theta}_{m}^{\top} \boldsymbol{v}_{\delta_{m}}\right) \div \left(\sum_{k=1}^{K} \boldsymbol{u}_{k}^{\top} \boldsymbol{z}_{k}\right) + \boldsymbol{E} \quad .$$
 (5)

¹⁶⁰ 2.2. Prior information and regularizing constraints

The number of unknown parameters $Z \in \mathbb{R}^{K \times \tilde{T}}$, $U \in \mathbb{R}^{K \times P}$ and $\delta \in \mathbb{R}^{1 \times M}$ is lower than the number of available data Y. Indeed, the observed fMRI data has Pvoxels of T scans while the proposed model has to infer K temporal components of \tilde{T} entries, K spatial components of P voxels each, and M HRF dilation parameters. Hence, the number of unknown parameters to be set is $M + (K \times P) + (K \times \tilde{T})$. Importantly, if the number of scans T is large enough and if we adequately choose M and K, then we get $P \times T \gg M + (K \times P) + (K \times \tilde{T})$.

The forward model described in Eq. (5) is *trilinear* as it depends on both unknown spatial (\boldsymbol{U}) and temporal (\boldsymbol{Z}) inputs and unknown convolution filters ($\boldsymbol{v}_{\delta_m}$). This means that any timing variation in neural activation signals \boldsymbol{Z} can be symmetrically compensated by the opposite variation in the haemodynamic filter $\boldsymbol{v}_{\delta_m}$



Figure 3: Illustration of the low-rank multivariate BOLD signal model (the colors are here for illustrative purposes). \boldsymbol{Y} stands for the observed BOLD data, $\boldsymbol{v_m}$ the mth HRF, $\boldsymbol{\Theta_m}$ represents the predefined brain parcellation, $\boldsymbol{U} = (\boldsymbol{u}_k)_{k=1}^K$ defines the K spatial components and $\boldsymbol{Z} = (\boldsymbol{z}_k)_{k=1}^K$ the corresponding "neural" activation signals. Last, \boldsymbol{E} represents the additive white Gaussian noise.

or that any sign or scale variation in U can be compensated by the inverse vari-172 ation in Z or v_{δ_m} . To overcome these sign, scale and time-shift ambiguities, we 173 introduce some regularizing constraints in the computation of the parameter esti-174 mates. First, to avoid any sign ambiguity in the convolution between the neural 175 input signals and the haemodynamic filter, the HRF filter has a constant and pos-176 itive maximal amplitude (see Fig. 2). Second, as there is an interplay between 177 the spatial and temporal components in the input signals, we also impose a non-178 negativity constraint over the entries of each spatial map \boldsymbol{u}_k , i.e. $\forall j, k_{kj} \geq 0$. 179 By doing this, we only allow the deactivation of a functional network to be en-180 coded in the corresponding z_k . Third, to deal with the scale ambiguity, we impose 181 $\|\boldsymbol{u}_k\|_1 = \eta, \forall k = 1, \dots, K$, where $\eta \in \mathbb{R}_+$ is a user-defined parameter that sets 182 the magnitude of each spatial map. As our HRF model has a constant maximal 183 amplitude, only the neural activity signals Z capture the observed BOLD signal 184 fluctuations. Forth, to deal with the time-shift ambiguity, we constrain the dila-185 tion parameter δ in the HRF model to be in [0.5, 2.0]. This should also permit 186 the recovery of physiologically plausible haemodynamic delays. Complementary 187 to these constraints, akin to (Caballero-Gaudes et al., 2012; Karahanoğlu et al., 188 2013; Cherkaoui et al., 2019) we will also assume the neural activation signals Z to 189 be temporally piecewise constant or equivalently assume their first-order deriva-190 tive $\nabla \boldsymbol{z}_k, \forall k$ to be sparse. Practically speaking, we add a total variation (TV) 191 regularization term to our model. 192

193 2.3. Optimization problem

In this section, we derive an algorithm for estimating the dilation parameters $\boldsymbol{\delta} = (\delta_m)_{m=1}^M$, the spatial maps \boldsymbol{U} and the neural activation signals \boldsymbol{Z} from the model depicted in Eq. (5) and the aforementioned regularizing constraints. The

estimates for these parameters can be obtained by solving the following constrained minimization problem:

$$\underset{(\boldsymbol{U},\boldsymbol{Z},\boldsymbol{\delta})}{\operatorname{arg\,min}} \frac{1}{2} \left\| \boldsymbol{Y} - \left(\sum_{m=1}^{M} \boldsymbol{\Theta}_{m}^{\top} \boldsymbol{v}_{\delta_{m}} \right) \right\|_{K} \left(\sum_{k=1}^{K} \boldsymbol{u}_{k}^{\top} \boldsymbol{z}_{k} \right) \right\|_{F}^{2} + \lambda \sum_{k=1}^{K} \| \nabla \boldsymbol{z}_{k} \|_{1} , \quad (6)$$

subject to $\forall k, \| \boldsymbol{u}_{k} \|_{1} = \eta, \quad \forall j, u_{kj} \ge 0, \quad \forall m, \delta_{m} \in [0.5, 2.0] .$

The Gaussian noise hypothesis leads to a quadratic data fidelity term, to measure 194 how well we reconstruct the observed fMRI signals. Moreover, in alignment with 195 with Caballero-Gaudes et al. (2012); Karahanoğlu et al. (2013); Cherkaoui et al. 196 (2019), we enforce the first-order derivative of the temporal atoms $(\nabla \boldsymbol{z}_k)_{k=1}^K$ to 197 be sparse in order to constrain each \boldsymbol{z}_k to a piecewise constant signal. For that 198 purpose, we use a TV regularization term, which corresponds to the ℓ_1 norm of the 199 gradient in time $\nabla \boldsymbol{z}_k$. Importantly, this modeling of the neural activation signals 200 allows us to fully adapt to task-fMRI and rs-fMRI experiments and to perform 201 paradigm-free fMRI data analyses. In the first case, as the task-related BOLD 202 signal is classically modeled as the convolution of an input block signal, repre-203 senting the experimental paradigm (the onsets of the stimulus trials) with a HRF 204 filter, we can recover neural activation signals close to the experimental paradigm. 205 The neural activation profiles being inferred from the data, we can estimate both 206 input signals corresponding to block and event-related designs, the only difference 207 between the two being the length of activation blocks, which in the latter case can 208 be restricted to a single time point. More interestingly, the proposed framework 209 is even more appealing for processing rs-fMRI data and uncover spontaneous and 210 time-varying fluctuations of brain activity as the the block duration may change 211 from one instance to the next. 212

Moving to the technical aspects for solving the constrained optimization prob-213 lem (6), it is worth mentioning that it is not globally convex. However, when U214 and δ are fixed, problem (6) becomes convex in Z and similarly when Z and δ 215 are fixed, it becomes convex in U. Our minimization strategy of Eq. (6) thus re-216 lies on a block-coordinate descent algorithm, where we alternate the minimization 217 between the two convex problems in U and Z followed by the non-convex one 218 involving V. Also, the non-negativity constraints are activated when solving for 219 the spatial maps U and the boundary constraints over δ are handled in parallel 220 for each m, i.e. each HRF pattern when solving for V. Algorithm 1 details these 221 three main steps. 222

223

Algorithm 1: Multivariate deconvolution and low-rank decomposition of the BOLD signal.

Input: BOLD signal \boldsymbol{Y}, ϵ 1 initialization: $\forall k, \boldsymbol{z}_k^{(0)} = \boldsymbol{0}_{\widetilde{T}}, \boldsymbol{u}_k^{(0)} = \boldsymbol{u}_k^{(init)}, \boldsymbol{\delta}^{(0)} = \boldsymbol{\delta}^{(init)}, i = 1;$ 2 repeat

s | Estimate the temporal atoms $Z^{(i)}$ with fixed $U^{(i-1)}$ and $\delta^{(i-1)}$:

$$(\boldsymbol{z}_{\boldsymbol{k}}^{(i)})_{\boldsymbol{k}} = \operatorname*{argmin}_{(\boldsymbol{z}_{\boldsymbol{k}})_{\boldsymbol{k}}} \frac{1}{2} \left\| \boldsymbol{Y} - \left(\sum_{m=1}^{M} \boldsymbol{\Theta}_{m}^{\top} \boldsymbol{v}_{\delta_{m}}^{(i-1)} \right) \right\| \times \left(\sum_{k=1}^{K} \boldsymbol{u}_{\boldsymbol{k}}^{(i-1)^{\top}} \boldsymbol{z}_{\boldsymbol{k}} \right) \right\|_{F}^{2} + \lambda \sum_{k=1}^{K} \| \nabla \boldsymbol{z}_{\boldsymbol{k}} \|_{1}$$

4 Estimate the spatial maps $U^{(i)}$ with fixed $Z^{(i)}$ and $\delta^{(i-1)}$:

$$\begin{split} (\boldsymbol{u}_{\boldsymbol{k}}^{(i)})_{\boldsymbol{k}} &= \operatorname*{arg\,min}_{(\boldsymbol{u}_{\boldsymbol{k}})_{\boldsymbol{k}}} \frac{1}{2} \left\| \boldsymbol{Y} - \left(\sum_{m=1}^{M} \boldsymbol{\Theta}_{m}^{\top} \boldsymbol{v}_{\delta_{m}}^{(i-1)} \right) \right. \\ & \left. \left. \left. \left\{ \forall k, \quad \| \boldsymbol{u}_{\boldsymbol{k}} \|_{1} = \eta \quad \text{and} \quad \forall j, \quad u_{kj} \geq 0 \right\} \right. \right. \end{split} \right\}$$

Estimate the HRFs $\boldsymbol{\delta}^{(i)}$ with fixed $\boldsymbol{U}^{(i)}$ and $\boldsymbol{Z}^{(i)}$:

$$\begin{aligned} (\boldsymbol{v}_{\boldsymbol{m}}^{(i)})_{m} &= \operatorname*{arg\,min}_{(\delta_{m})_{m}} \frac{1}{2} \left\| \boldsymbol{Y} - \left(\sum_{m=1}^{M} \boldsymbol{\Theta}_{m}^{\top} \boldsymbol{v}_{\delta_{m}} \right) \right\|_{F}^{*} \left(\sum_{k=1}^{K} \boldsymbol{u}_{\boldsymbol{k}}^{(i-1)^{\top}} \boldsymbol{z}_{\boldsymbol{k}}^{(i)} \right) \right\|_{F}^{2} ,\\ \text{subject to} \quad \delta_{m} \in [0.5, 2.0] . \end{aligned}$$

 $5 \ \text{until} \ \frac{J((\boldsymbol{z}_{k}^{(i-1)})_{k},(\boldsymbol{u}_{k}^{(i-1)})_{k},(\boldsymbol{v}_{\boldsymbol{m}}^{(i-1)})_{m}) - J((\boldsymbol{z}_{k}^{(i)})_{k},(\boldsymbol{u}_{k}^{(i)})_{k},(\boldsymbol{v}_{\boldsymbol{m}}^{(i)})_{m})}{J((\boldsymbol{z}_{k}^{(i-1)})_{k},(\boldsymbol{u}_{k}^{(i-1)})_{k},(\boldsymbol{v}_{\boldsymbol{\delta}\boldsymbol{m}}^{(i-1)})_{m})} \leq \epsilon;$

In regard to the $(\boldsymbol{z}_k)_{k=1}^K$ step, we performed the minimization using an adaptive-225 restart accelerated forward-backward algorithm (O'Donoghue and Candes, 2015). 226 In regards to the $(\boldsymbol{u}_k)_{k=1}^K$ step, we first benchmark various algorithms in the dic-227 tionary learning literature and selected the most efficient, namely the one used to 228 update the dictionary in Mairal et al. (2009). Last, for the minimization with re-229 spect to $(\delta_m)_{m=1}^M$ we used the accelerated forward-backward algorithm (Combettes 230 and Pesquet, 2009) after checking that it leads to a correct estimation of δ . The 231 reader can found all details of the gradient computation w.r.t $(\boldsymbol{z}_k)_{k=1}^K$ and $(\boldsymbol{u}_k)_{k=1}^K$ 232 in Cherkaoui et al. (2019), for the gradient w.r.t δ the computation is reported 233 in the supplementary material Section 7.1. For each step, we implemented the 234 corresponding gradient (i.e. forward move) in an efficient manner to limit multiple 235 computations over the iterations. 236

Critical steps for the efficiency of this algorithm are the computation of proximal operators for the non-smooth regularizers. In regards to the neural activation patterns (\mathbf{Z} step), as the minimization is sequentially performed over the K components, we only need to compute the proximal operator of the TV norm, i.e. $g_z(\mathbf{z}_k) = \lambda \|\nabla \mathbf{z}_k\|_1$. This remains a challenging issue as this operator is not closed form. A seminal contribution has been done in the literature for TV minimization Chambolle (2004). Here, we rather used the Taut-String algorithm proposed

224

by Barbero and Sra (2018) for which we use an efficient Python implementation available in an open source package¹. In regards to the constraints on the spatial maps (\boldsymbol{U}), we also proceed separately on the K components: the proximity operator of $g_u(\boldsymbol{u}_k) = \mathbb{1}_{\|\boldsymbol{u}_k\|_1=\eta} + \mathbb{1}_{u_{kj}\geq 0}$ where $\mathbb{1}$ stands for the indicator function², is given by:

$$prox g_u(\boldsymbol{u}_k) = [(u_{kj} - \mu)_+]_{1 \le j \le P}$$

$$\tag{7}$$

where μ is defined as $\sum_{j=1}^{P} \max\{0, u_{kj} - \mu\} = \eta$ and an efficient implementation has been proposed by Condat (2016). We propose to set λ as a fraction of λ_{\max} which is the minimal value of λ for which **0** is solution of Eq. (6). For the rest of the paper, we will refer to λ as the fraction of λ_{max} , such as $\lambda = \lambda_f \lambda_{\max}$, with $\lambda_f \in [0, 1]$.

Algorithm 1 converges to a local minimizer Eq. (6) when each main iteration does not decrease sufficiently the cost function. In practice less than 50 iterations of the main loop are needed to converge. To initialize the spatial maps $(\boldsymbol{u}_k)_{k=1}^K$, we apply an Independent Component Analysis (ICA), implemented in scikit-learn (Pedregosa et al., 2011), on the BOLD signals \boldsymbol{Y} and retain the produced spatial maps, we initialize the $(\boldsymbol{z}_k)_{k=1\dots K}$ to zero and each entry of $(\delta_m)_{m\in\{1\dots M\}}$ to 1.

249 3. Model Validation

We first validate the proposed approach on numerical simulations to illustrate 250 the gain achieved by jointly estimating the neural activity profile and the HRF 251 shape compared to a single deconvolution scheme. Next, we will demonstrate the 252 usefulness of the proposed framework on real rs-fMRI data at the individual level. 253 In particular, we will highlight the impact of the hyper-parameter selection on the 254 decomposition, describe the interpretation of its component and its stability. The 255 usefulness of our method in the context of large cohorts will be investigated in 256 Section 4. 257

258 3.1. Numerical simulations

259 3.1.1. Synthetic data

We generated two temporal Dirac signals of length $\tilde{T} = 500$ with a fixed sparsity level. Each generated Dirac signal is composed of randomly drawn signed spikes, with location chosen uniformly in time and intensity drawn from a Gaussian distribution $\mathcal{N}(0, 1)$. To produce the corresponding block signals Z – shown

¹https://pypi.org/project/prox_tv/

²This function is zero-valued inside the constraint set and equals infinity elsewhere.

in blue in Fig. 4[right panels] – we integrated over time these signals and con-264 volved them with a predefined HRF v_{δ} to yield two corresponding pure BOLD 265 time series. The chosen HRF has length L = 25 and is shown in blue in Fig. 4[left 266 panel]. For the sake of simplicity, we considered a single HRF profile (M = 1)267 in this synthetic setting, so the haemodynamic properties were supposed constant 268 in space. We then assigned these BOLD signals to spatial locations. Hence, we 269 defined K = 2 corresponding 2D maps $\boldsymbol{U} = (\boldsymbol{u}_k)_{k=1}^2$ (10 × 10, i.e. P = 100). 270 Each spatial map has a single activating region consisting of 4 pixels. Each ac-271 tive pixel has a randomly drawn non-negative magnitude, the other ones being 272 set to zero. Then, we normalized each map by its ℓ_1 -norm. Finally we added 273 Gaussian random noise to produce observed, i.e. noisy BOLD signals Y of length 274 T = T + L - 1 = 524 scans (TR = 1s) with a signal-to-noise-ratio (SNR) of -1 dB. 275 The mean synthetic BOLD signals are reported in black traces in Fig. 4(a)-(b) for 276 both activated regions (in bottom panels) while standard deviation across acti-277 vated voxels is encoded by transparency around these mean curves. 278

279 3.1.2. Numerical results

In a first step, we only estimated the pair (\mathbf{Z}, \mathbf{U}) from the synthetic fMRI time 280 series Y and kept the HRF profile v constant. The results are reported in Fig. 4(a). 281 The HRF shape used in this deconvolution process is shown in green in Fig. 4(a)282 and actually differs from the true shape used for simulating the data. Because 283 of this discrepancy in terms of haemodynamic delay and peak magnitude, the 284 neural activation signals are not properly recovered (orange traces in Fig. 4(a)). 285 The magnitude of the estimates \widehat{Z} is much larger than the true one. This is 286 partly due to compensate for the smaller magnitude of the HRF (green trace in 287 Fig. 4(a) used for deconvolving the BOLD signals. Consequently, the residual 288 mean square errors (RMSEs) computed on the neural activation signals are pretty 289 large. However, we noticed that in both spatial maps, the non-negative magnitudes 290 U are very well estimated. This is a direct consequence of using non-overlapping 291 activating regions for the two neural traces. 292

In a second step, on the same data set Y we jointly estimated (Z, U) and v293 using our full semi-blind deconvolution scheme. We kept the same initialization for 294 the HRF shape for the sake of consistency. The results are reported in Fig. 4(b). 295 The HRF estimate \hat{v} is shown in orange (dashed line) and actually matches the true 296 curve. Consequently, the neural activation signals \widehat{Z} are properly estimated both in 297 time and in magnitude and the corresponding RMSEs are one order of magnitude 298 smaller than those reported in the previous simulated results (see Fig. 4(a)). This 299 second synthetic setting did not impact the spatial maps, which are still well 300 estimated. 301

These results on synthetic data confirmed the good expected behavior of the proposed method. From a computational viewpoint, the estimation with constant HRF ran in 0.5s while the full estimation took 1s approximately on a machine with 15 GB of RAM and an Intel processor i7-7600U (2 physical cores, 2.80 GHz).

306 3.2. Single-subject analysis on rs-fMRI data

307 3.2.1. Data set and parameter setting

To illustrate the proposed semi-blind deconvolution algorithm, we analyzed 308 a single subject extracted from the UKBB resting-state fMRI data set. More 309 investigation on a larger cohort of this data set will be presented in Section 4. The 310 rs-fMRI data was 6 min10s long with TR = 0.735s. The first ten seconds were 311 discarded (dummy scans) so that we end up with T = 490 scans (6min). The data 312 was collected on a 3T Skyra Siemens MAGNETOM MR system at an isotropic 313 resolution of $2.4 \times 2.4 \times 2.4$ mm³ using the multi-band GRE sequence (mb = 314 Standard pre-statistics processing steps were applied: motion correction 8).³. 315 using MCFLIRT Jenkinson et al. (2002); grand-mean intensity normalisation of 316 the entire 4D data set by a single multiplicative factor; high-pass temporal filtering 317 (Gaussian-weighted least-squares straight line fitting); EPI unwarping; gradient 318 distortion correction unwarping. Finally, structured artefacts are removed by ICA 319 processing, see the documentation⁴ for a full description. 320

In this subsection, we manually set the temporal regularization parameter to 321 $\lambda_f = 0.8$ (remember $\lambda_f \in [0,1]$). This setting achieves a bias-variance trade-off 322 between two extreme situations, namely data overfitting ($\lambda_f = 0$) on one hand 323 and entirely sparse neural activation signals ($\lambda_f = 1$ as $\lambda = \lambda_{max}$) on the other 324 hand. The question of the unsupervised tuning of λ_f is critical. It could be driven 325 either from a statistical viewpoint (e.g. using the maximum likelihood criterion) 326 that characterizes how likely the measured time series may be observed or using an 327 external task and its corresponding metric such as classification performance (e.g. 328 accuracy in prediction). Because the former approach does not admit a closed 329 form solution, we explored in the Supplementary Material (see Section 7) the 330 impact of changing the temporal regularization (i.e. amount of sparsity in the 331 activation neural signals) on the spatial map of haemodynamic delays. The spa-332 tial consistency we reported across regularization levels gave us confidence on the 333 haemodynamic parameter estimates to be further used in subsequent classification 334 tasks (see Section 4). In this setting, we implemented a cross-validation step with 335 a leave-one-out loop to tune λ_{\max} in an unsupervised way. 336

In regard to the number of spatio-temporal atoms K, we set it using the explained variance (or R^2 -score) as target metric in a preliminary study. For this set

³Acquisition details can be found at https://www.fmrib.ox.ac.uk/ukbiobank/protocol/. ⁴Preprocessing details can be found at https://biobank.ctsu.ox.ac.uk/crystal/ crystal/docs/brain_mri.pdf

of parameters, the model estimation took around 1 minute on a machine with 15
GB of RAM and an Intel processor i7-7600U (2 physical cores, 2.80 GHz).

341 3.2.2. Results

Model selection. The first question we addressed on real rs-fMRI data was to optimally set the number of spatio-temporal atoms K and to find the best compromise between model complexity and model accuracy. For this purpose, we looked at two complementary criteria. The first one is standard and corresponds to the R^2 score that quantifies the variance explained by model (5) over the total sum of squares whereas the second one is given by the determinant of the correlation matrix between the neural activation signals.

The R2-score is defined as follows: $R^2 = 1 - \frac{SS_{\text{res}}}{SS_{\text{tot}}}$ where SS_{tot} quantifies the variance of the data \boldsymbol{Y} and SS_{res} the variance of the residuals after fitting model (5) 349 350 by minimizing the cost function described in Eq. (6). The R^2 -score may vary from 351 $-\infty$ in pathological cases to 1 for a perfectly matching model. A good model is 352 normally associated with $R^2 > 0$ and means that the L_2 norm of the residual is 353 lower than the variance of the data. We therefore ran multiple model fitting for 354 K in a range of $\{2, 3, 4, \dots, 10, 15, 20, \dots, 50\}$. The results are shown in Fig. 5(a) 355 and illerustrate that the model accuracy first increases as a function of K up to 356 reaching a plateau around $R^2 \simeq 0.55$ for K = 20. So adding more spatio-temporal 357 components no longer improves its ability to capture variability in the data while 358 it becomes more complex. 359

The second information measure we used to help us select K was based on the 360 determinant of the correlation matrix $\boldsymbol{\Sigma}_{K} = (\mathbb{E}[(\boldsymbol{z}_{k} - \boldsymbol{m}_{k})(\boldsymbol{z}_{\ell} - \boldsymbol{m}_{\ell})^{T}/\sigma_{k}^{2}\sigma_{\ell}^{2}])_{k,\ell}$ 361 between the temporal atoms $(\boldsymbol{z}_k)_{k=1}^K$. The quantities σ_k^2 and σ_ℓ^2 define the variance 362 of the neural activation signals z_k and z_ℓ . As Σ_K is semi-positive definite with 363 entries between 0 and 1, its eigenvalues are positive or null and so its determinant 364 varies between 0 and 1: det $\Sigma_K = 1$ when matrix Σ_K defines a basis, which means 365 that all atoms are orthogonal and decorrelated like in a PCA decomposition. In 366 contrast, det $\Sigma_K = 0$ when matrix Σ_K is not of full rank so at least one atom 367 could be obtained as a linear combination from the others. Therefore, as before 368 we ran multiple model fitting for K in a range of $\{2, 3, 4, ..., 10, 15, 20, ..., 50\}$ 369 and we plotted in Fig. 5(b) the evolution of the determinant of Σ_K as a function 370 of K. The results show us that beyond $K \ge 20$, we get a correlation matrix with 371 det $\Sigma_K \leq 10^{-10}$ which tends to zero. According to this criterion, from the we 372 should therefore not exceed 20 temporal atoms. Thus, from the R2-score criterion 373 and this det Σ_K criterion, in our following experiments we will keep K = 20. 374

Analysis of spatial decomposition. Fig. 6 shows the spatial maps of this
spatio-temporal decomposition for this individual and Tab. 1 summarizes the list
of main regions and functional networks retrieved in this setting.

It is worth mentioning that the sensory networks (visual, auditory and motor) 378 are quite well retrieved by a single or multiple components, respectively located 379 respectively in the occipital (components 10, 16 and 19), temporal (component 380 7) and motor (components 6, 15 and 18) cortices. The maps associated with the 381 motor network are split and lateralized (6 and 18 on the right hemisphere while 382 15 in the left). The different areas of the visual system are split too between the 383 primary visual cortex (component 10) and the extrastriate cortex (components 16, 384 19). The language system has also been identified by a single component. Part 385 of the well known intrinsic resting-state networks (RSN; Menon 2015) have been 386 captured: (i) the right and left-lateralized fronto-parietal resting-state networks 387 spatially similar to the bilateral dorsal attention network are captured by com-388 ponent 1 and 4, respectively while the left and right lateral frontoparietal central 389 executive networks appear in component 9. The default mode network (DMN), 390 which deactivates during demanding cognitive tasks is represented in component 2 391 and 20: the angular gyrus (AG) appear in both components while the posterior cin-392 gulate cortex (PCC) is captured only by component 20 and the medial prefrontal 393 cortex (mPF) by component 2. However, we found that component 9 actually 394 mixes the left AG in the DMN with a left-lateralized fronto-parietal network that 395 perfectly matches the CEN (Menon, 2015). In contrast, we did not clearly re-396 trieve neither the salience network – usually anchored in anterior insula and dorsal 397 anterior cingulate cortex – nor the right CEN. 398

Spatio-temporal decomposition. To fully illustrate our method on real rs-399 fMRI data, we show the whole set of output features (neural activation signals, 400 spatial maps, HRF shapes) in Fig. 7. We also depict a voxel-based denoised 401 BOLD signal reconstructed a the convolution between the neural input and the 402 HRF estimate. Fig. 7(a) represents together a neural activation signal in the 403 primary visual cortex and the corresponding spatial map (component 10 in the 404 above mentioned decomposition). The proposed axial views allow us to identify the 405 primary visual cortex and the calcarine fissure. Fig. 7(b) depicts similar features 406 in the DMN (component 2) and the Pearson correlation coefficient with the neural 407 time course in the visual cortex. Its negative value confirms a negative correlation 408 between the task-positive and the DMN network. Both time courses actually 409 present alternating periods of positive and negative activity but they are almost 410 uncorrelated. Fig. 7(c)-(d) illustrate the fastest and slowest HRF time courses 411 estimated in the regions of interest depicted in red. The fastest haemodynamic 412 response (FWHM_f = 5.1s) was found in the middle temporal gyrus while the 413 slowest (FWHM_s = 8.0s) is located in the frontal orbital cortex. Fig. 7(e) finally 414 shows how well our approach is able to fit the rs-fMRI time course measured 415 in voxel marked by the black cross in Fig. 7(c). The neural activation signal is 416 piecewise constant and ahead in time compared to the BOLD time series. Once 417

Table 1: Taxonomy of brain regions and functional networks involved in the spatiotemporal decomposition (5) with K = 20. We only refer to the main regions in each component. dAN: dorsal Attention Network; DMN=Default Mode Network; IPS=Intra-Parietal Sulcus; FEF: Frontal Eye Fields; CEN: Central Executive Network; R and L stand for left and right hemispheres. The region in bold font matches the location of the cross in Fig. 6 and have been identified from the AAL template.

Network	# Comp.	Brain areas
Visual	10	R calcarine fissure and surrounding cortex
	16	L superior occipital gyrus
	19	Inferior occipital gyrus
Auditory	7	R superior temporal gyrus
Motor	6	R post-central
	15	L precentral gyrus
	18	R precentral gyrus
DMN	2	R superior frontal gyrus, dorsolateral, mPF,
		AG
	9	L angular gyrus
	20	R precuneus
dAN	1	R inferior parietal, L inferior parietal, R FEF,
		R inferior frontal gyrus
	4	L inferior parietal, L FEF, L inferior frontal
		gyrus
Language	14	L middle temporal gyrus, Broca's area
CEN	9	left fronto-parietal
Unclassified	3	R middle frontal gyrus
	5	L inferior frontal gyrus, orbital part
	8	L supramarginal gyrus, R supramarginal gyrus
	11	L Rolandic Operculum
	12	R inferior frontal gyrus, triangular part
	13	R middle frontal gyrus
	17	R middle frontal gyrus , L middle frontal gyrus

convolved with the HRF profile, the denoised BOLD signal appears as a smoother
version of the measured BOLD time course: its magnitude is smaller and its
fluctuations in time are slower. This is a direct consequence of the temporal
regularization used to recover sparse input signals.

Analysis of correlation structure. Next, to go beyond the spatial analysis, 422 Fig. 8 depicts the correlation matrix between the corresponding neural activation 423 signals. It is then insightful to notice that the correlation between the multiple 424 components in a given network are quite strong. For the visual network we ob-425 served a correlation coefficient varying between 0.35 and 0.69, the largest value 426 being reached for areas located both in the extrastriate cortex. The same con-427 clusion holds in the motor network with a correlation level varying between 0.5 428 and 0.65. In regard to the DMN, component 2 plays the role of a hub as it 429 correlates with components 9 and 20 pretty strongly⁵ between 0.27 and 0.4. How-430 ever, component 9 is almost decorrelated from component 20 as it mixes regions 431 in the dAN and the DMN. Overall, this analysis shows that the proposed ap-432 proach does not separate RSN in single components. However, it still achieves a 433 meaningful decomposition. For illustrative purposes, the spatial decomposition for 434 $K \in \{5, 8, 10, 15, 30, 40, 50\}$ and the corresponding correlation matrices between 435 the temporal atoms are reported in the Supplementary Material. Interestingly, 436 these results show that some RSNs are either not recovered or mixed together for 437 small $K \leq 15$ whereas for large values of $K \in \{25, 30, \dots, 50\}$ each RSN is split in 438 multiple components. Increasing the number of components extends the range of 439 variation of the correlation coefficients in both positive and negative senses. This 440 confirms that our approach does not have statistical independence guaranties like 441 PCA and ICA do when decomposing the neural activity. 442

443 3.3. haemodynamic estimation stability over time

The shape of the HRF is controlled by the neurovascular coupling including 444 both neural and non neural factors such as glial cell activity, cerebral energy 445 metabolism, and the cerebral vasculature. Abnormalities in the local vascular sys-446 tem or cell communication due to pathological state or changes in cerebral blood 447 flow upon psychoactive drugs could influence this haemodynamic response. As we 448 expect the HRF estimate to be stable if none of those events took place, we propose 449 to study the intra-subject stability of HRF estimates over time, namely between 450 consecutive time periods. For doing so, we compare the intra-subject variability of 451 the HRF whole brain dilation parameter vector δ to the inter-subject variability 452 of the same quantity. We thus introduce two reference ℓ_2 distances, namely the 453 within-subject distance WS(δ_1^s, δ_2^s) = $\|\delta_1^s - \delta_2^s\|_2^2$ where $(\delta_i^s)_i$ correspond to the 454

⁵no statistical test performed at the individual level

vectors of spatially aggregated HRF dilation parameters that were estimated over two periods of time T_1 and T_2 in the same individual s. Similarly, for any pair of subjects (s_1, s_2) and a given period T, we measure the between-subject distance between $(\boldsymbol{\delta}_T^{s_i})_i$ vectors as follows: $\mathrm{BS}(\boldsymbol{\delta}_T^{s_1}, \boldsymbol{\delta}_T^{s_2}) = \|\boldsymbol{\delta}_T^{s_1} - \boldsymbol{\delta}_T^{s_2}\|_2^2$. The goal is then to compare the within- and between-subject distances across individuals and show that the intra-subject variability is significantly lower than the inter-subject one over a sufficiently large population.

462 3.3.1. Data set and numerical analysis

We selected 100 healthy subjects from the Human Connectome Project (HCP) 463 data set (Van Essen et al., 2013) at random. We used this data set because 464 of the availability of a 12-min long rs-fMRI run with a short time of repeti-465 tion (TR=0.72s), see Glasser et al. (2013) for a full description of the acquisi-466 tion parameters and the pre-statistics processing steps. In this rs-fMRI run for 467 each individual, we extracted two segments of 4 minutes each, denoted as T_1 and 468 T_2 hereafter, the first and last parts of the recording. We then applied the pro-469 posed multivariate spatio-temporal decomposition to each segment using K = 8470 spatio-temporal atoms $(\boldsymbol{z}_k, \boldsymbol{u}_k)_{k=1}^K$ and a brain atlas $\boldsymbol{\Theta} = (\Theta_m)_{m=1}^M$ (Desikan et al., 471 2006) composed of M = 96 regions of interest (ROIs). This haemodynamic brain 472 parcellation thus yields 96 HRF dilation parameters $\boldsymbol{\delta} = (\delta_m)_{m=1}^M$ for each in-473 dividual. In practice, in the definition of $WS(\cdot, \cdot)$ and $BS(\cdot, \cdot)$, the true vectors 474 $\boldsymbol{\delta}_{T_i}^{s_i}$ $(i = 1, \dots, 100, j = 1, 2)$ have been replaced by their estimates $\widehat{\boldsymbol{\delta}}_{T_i}^{s_i}$ computed 475 by solving Eq. (6) for the two 4-min rs fMRI data sets $(T_1 \text{ and } T_2)$. To make sure 476 that our conclusions hold for a large scale of temporal regularization parameters, 477 we spanned the range $\lambda_f \in [0, 1]$ and repeated the same procedure over 10 discrete 478 values of λ_f within this interval. 479

480 3.3.2. Results

In Fig. 9, the box plot in blue shows the within-subject distance WS($\hat{\delta}_{T_1}^{s_i}, \hat{\delta}_{T_2}^{s_i}$) 481 between the two 4-min rs-fMRI segments for all individuals and across 5 values 482 of λ_f covering the whole interval [0, 1]. The orange and green box plots in Fig. 9 483 depict the between-subject distances computed over the first and second segments 484 respectively, namely $BS(\widehat{\delta}_{T_1}^{s_i}, \widehat{\delta}_{T_1}^{s_j})$ and $BS(\widehat{\delta}_{T_2}^{s_i}, \widehat{\delta}_{T_2}^{s_j})$ with $i \neq j$. We observed that 485 the within-subject (i.e. inter-segment) variability is systematically lower than the 486 between-subject variability and that all metrics remain stable across regulariza-487 tion levels. To go further, we performed a statistical analysis (paired t-test) by 488 comparing the mean of the WS and BS distributions and we obtained significant p-489 values $(p < 10^{-8})$ showing that the within-subject haemodynamic variability is sig-490 nificantly lower than the between-subject fluctuations. In contrast, the statistical 491 inter-individual comparison between the two segments is not significant $(p \simeq 10^{-2})$. 492

These results are valid for all tested regularization levels indicating a minor impact of the regularization parameter onto the haemodynamic parameter estimate. In sum, this analysis demonstrates that the whole brain characterization of the vascular system remains stable in a given individual between two periods shortly spaced in time, compared to the same analysis between individuals and so that the haemodynamic response discriminates each subject from the others.

499 4. Clinical validation at the population level

In the previous section, the numerical experiments were devoted to demonstrate 500 the meaningfulness and reliability of the proposed multivariate spatio-temporal 501 within-subject decomposition of fMRI data, especially in resting-state experiments. 502 In this section, our main objective is to showcase the application of this approach 503 to clinical diagnosis. For this purpose, we leverage the functional features (haemo-504 dynamic delays, neural activation signals, etc.) output by our approach to first 505 characterize patients with history of stroke compared to healthy controls and then 506 to discriminate middle-age vs elderly subjects. In both analyses, we again used 507 the 6-min long rs-fMRI data from the UK Biobank database. 508

509 4.1. Characterization of patients with an history of stroke

Stroke is a medical condition in which the blood supply to is interrupted or 510 reduced in a brain area, resulting in ischemic brain tissue and neuronal damage. 511 This pathology is considered as a major health issue nowadays (England, 2018). 512 In this field, multiple studies (Min et al., 2018) have proposed approaches to better 513 estimate the stroke risk for patients. However, in these attempts a major issue is 514 the precise estimation of the brain damage that occurs in the neurovascular system 515 during and after a stroke episode. To that purpose, we tested our approach to 516 characterize the effect of stroke on the haemodynamic response in the brain. 517

We considered 24 patients of both genders and various ages who suffered from 518 a stroke in the past from the UK Biobank database. For comparison purposes, we 519 selected 24 healthy controls matched in age and gender from the same database. 520 We applied the same decomposition $(K = 20, M = 96, \text{ same } \lambda_f)$ to each patient 521 and healthy control. Fig. 10(a) and Fig. 10(b) show respectively the corresponding 522 normalized maps of haemodynamic dilation parameters $(\boldsymbol{\delta}_m)_{m=1}^{96}$ in a healthy con-523 trol and stroke patient, respectively. The normalization has been done by dividing 524 all dilation parameter values by their within-subject average, namely $\bar{\delta}^{HC}$ and $\bar{\delta}^{SP}$ 525 respectively. We first observed that the dilation parameters were larger in average 526 in the healthy condition compared to stroke $(\bar{\delta}^{HC} > \bar{\delta}^{SP})$. This corresponds to 527 shorter and more homogeneous TTPs in the brain in the healthy condition. The 528 shortest TTP found in the healthy control was actually located in the primary 529

visual cortex (axial slice, z=-2, left hemisphere), a result consistent with the liter-530 ature on fastest haemodynamic responses often detected in visual areas (Handw-531 erker et al., 2004; Badillo et al., 2013). In contrast, Fig. 10(b) illustrates that the 532 haemodynamic dilation parameters $\delta^{\rm SP}$ are smaller (so the TTPs longer) in the 533 stroke patient Also, we found less variability in the healthy subject since the dif-534 ference between the maximum and minimum TTPs were smaller ($\Delta_{\text{TTP}}^{\text{HC}} = 1.25 \text{ s}$) 535 compared to the stroke patient ($\Delta_{\text{TTP}}^{\text{SP}} = 2.25 \text{ s}$). Importantly, Fig. 10(a) illustrates 536 the relative symmetry of haemodynamic territories that exists in normal subjects 537 between both hemispheres (Raemaekers et al., 2018). 538

On the contrary, Fig. 10(b) reveals a wider asymmetry between the two hemispheres in the stroke patient. Interestingly, in this patient we noticed the presence of larger TTPs in the middle left precentral gyrus and left motor cortex (resp. z=44 and z=60), namely the brain regions supposedly impacted by the stroke episode.

To go one step further, we quantified the spatial asymmetry of the haemodynamic structure within each individual. To this end, we computed the interhemispheric haemodynamic ℓ_2 distance (IHD) between the HRF dilation parameter vectors estimated over the left and right hemispheres in laterally matched brain regions, respectively denoted $\delta_{\rm L}$ and $\delta_{\rm R}$. This intra-subject distance is defined as follows:

IHD
$$(\boldsymbol{\delta}_{\mathrm{R}}^{s}, \boldsymbol{\delta}_{\mathrm{L}}^{s}) = \|\boldsymbol{\delta}_{\mathrm{L}}^{s} - \boldsymbol{\delta}_{\mathrm{R}}^{s}\|_{2}, \quad \forall s = 1, \dots, 24.$$

A zero-valued distance thus reflects a perfect symmetry of the estimated haemodynamic responses. In contrast, we expect to uncover asymmetry between haemodynamic territories respectively located in the ischemic and normal hemispheres.

By pulling down the values of IHD across all individuals within each group (HC 547 vs SP), we estimated the IHD distributions for the two populations of interest, as 548 shown in Fig. 10(c). In the latter graph, we illustrate how different the two cohorts 549 are in terms of neurovascular asymmetry. The group of 24 stroke patients exhibit 550 larger haemodynamic differences between the ischemic and normal hemispheres. 551 We statistically assessed such difference between the two distributions using a two-552 sample Kolmogorov-Smirnov test and found a significant p-value ($p = 3.8 \, 10^{-4}$). 553 This quantification thus confirmed preliminary visual assessment. We report this 554 p-value with a temporal regularization defined such as $\lambda_f = 0.001$. However, we 555 obtain similar p-value results when using the 5 others levels of temporal regular-556 ization ($\lambda_f \in [0.001, 0.9]$). 557

In summary, this analysis has shown that the proposed framework is instrumental in discriminating healthy subjects from stroke patients, both at the individual and group-levels, using haemodynamic features and an neurovascular asymmetry index, which allowed us to localize pathological haemodynamic delays.

⁵⁶² 4.2. Middle-age vs elderly subjects classification

In the previous part, we performed group-level statistical analysis in the clas-563 sical way. In this part, we intend to assess the prediction power of the proposed 564 framework in order to classify middle-age vs elderly subjects using standard ma-565 chine learning tools (Pedregosa et al., 2011). The reason for choosing this classi-566 fication task between middle-age and elderly individuals lies first in the fact that 567 multiple studies have pointed out the modification of the haemodynamic system 568 with healthy aging (Ances et al., 2009; Li et al., 2018; West et al., 2019) and 569 second in a regain of interest in the literature for brain age analysis using multi-570 ple neuroimaging techniques (Engemann et al., 2020). We thus intend to assess 571 whether our approach is able to capture the effect of aging and if so, whether the 572 haemodynamic features reflect more brain aging compared to the neural activity 573 signals. 574

For that purpose, we still relied on the UK Biobank database as in the previous 575 experiments as the short TR (TR=0.735 s) in the rs-fMRI data set (6 min long) 576 provides a suitable setting to investigate the HRF evolution with aging. Here, we 577 selected 459 healthy subjects of both genders and divided them in two balanced 578 groups: the middle-age (MA: 40-44 yo) and elderly (E: 64-70 yo) groups. We 579 applied the decomposition (6) to each subject using 5 levels of temporal regular-580 ization $(\lambda_f \in [0.001, 0.9]), K = 20$ temporal components and we used the same 581 brain parcellation of M = 96 ROIs as before to segregate the HRFs in space. 582

First, we analyzed the haemodynamic differences between the two populations 583 by computing a two-sample t-test on the distributions of dilation parameters. We 584 used the temporal regularization parameter $\lambda_f = 0.675$ which is the one selected 585 through cross validation for our classification model in the subsequent paragraph. 586 The results were first quantified with t-scores to compare the dilation parame-587 ters in each region. The results are presented in Fig. 11(a) and illustrate large 588 differences between the two populations. More specifically, higher dilation param-589 eters or shorter TTP were retrieved in middle-age subjects as the t-scores were 590 mostly positive for the comparison $\bar{\delta}_m^{\text{MA}} > \bar{\delta}_m^{\text{E}}$. This is notably visible in the Willis 591 Polygon, temporal cortices, angular gyri, the medial prefrontal cortices and the su-592 perior frontal cortices. To assess the statistical significance, we also computed the 593 log-transformed p-values, i.e. $-\log_{10} p_{\rm val}$ (shown in Fig. 11(b)), after correcting 594 for multiple comparisons using the Bonferroni correction across the M = 96 ROIs. 595 We noticed first that a large majority of significant brain regions appear bilaterally 596 indicating larger haemodynamic dilation parameters or shorter TTPs in younger 597 individuals. Second, the negative t-values reported in the cerebellum are not sta-598 tistically significant after correcting for multiple comparisons. 599

Then, in an attempt to be exhaustive we constructed three different logistic regression (LR) models based either on the individual (i) neural activity signals,

(ii) HRF shapes and (iii) haemodynamic dilation parameters. We did not consider 602 the spatial activity maps as input features in these models as they do not permit 603 to perform dimension reduction. We trained these LR models using the Scikit-604 Learn software (Pedregosa et al., 2011) to predict the age label for each subject 605 (1 for the elderly people, 0 for middle-aged people). A ℓ_2 -norm regularization was 606 used in the estimation of the LR model parameters with an hyper-parameter $\beta >$ 607 0. We grid-searched the temporal regularization parameter λ_f and the classifier 608 hyper-parameter β (see Fig. S3 in Supplementary Materials for the stability of the 609 setting). We chose the accuracy as the classification metric and cross-validated the 610 score to provide an estimation of the generalization error with a 10 times repeated 611 stratified 4-fold split. 612

Fig. 12(a) shows that the haemodynamic properties have an improved predic-613 tion power to discriminate the age compared to the neural activation signals (i.e. 614 temporal components). The latter actually reaches an average accuracy score of 615 0.557, whereas the mean accuracy associated with the HRF shape and haemo-616 dynamic dilation parameter estimates respectively goes up to 0.741 and 0.743. 617 Also, the distribution of accuracy scores across trials is more concentrated for the 618 HRF dilation parameters compared to the whole HRF shape. This is likely due 619 to the dimension reduction operated to extract this parameter which fluctuates 620 less than the complete profile of the haemodynamic response. This analysis thus 621 demonstrates that our decomposition is able to capture the brain age based on 622 neurovascular information. However, due to the large between-subject variability 623 even within each class of age the neural activation signals do not define a good 624 feature for the brain age prediction. Complementary to that, Fig. 12(b) illustrates 625 the progression of the mean accuracy score with the number of individuals in-626 volved in the LR model and clearly depicts that a plateau is reached around 459 627 subjects (the total size of the sampled cohort) both for the haemodynamic dila-628 tion parameter. Also, one can see the rapid progression of the mean accuracy with 629 the number of individuals for the LR model based on haemodynamic properties 630 compared to the one constructed from the neural activation signals. 631

Overall, this experiment has permitted to demonstrate that haemodynamic features are a good biomarker of the normal aging, as already reported in the literature (Grady and Garrett, 2014; West et al., 2019). Moreover, it highlighted that the inter-hemispheric asymmetry in neurovascular coupling brings key information to discriminate middle-age from elderly people.

637 5. Discussion

Separating vascular and neuronal components on fMRI BOLD signals. Both neu ral and non neural factors such as glial cell activity, cerebral energy metabolism and
 the cerebral vasculature contribute independently and synergistically to the fMRI

BOLD signal. A mis-estimation of individual and regional HRFs may lead to an in-641 terpretation that haemodynamic changes as neural variations could have consider-642 able implications for the interpretability and reliability of findings in fMRI studies. 643 Previous literature supports the notion that HRF variability corrupts fMRI data 644 analysis (Rangaprakash et al., 2017, 2018; Yan et al., 2018). Deconvolution-based 645 approaches such as the one presented here allows discrimination of cerebrovascular 646 components from neural activations and minimizes the confound of HRF variabil-647 ity in the exploration of brain physiology, functional connectivity and cognitive 648 processes. 649

In this work, we developed a new algorithm that proposed the joint estimation 650 of the HRF and neural activation signal as a semi-blind deconvolution multivariate 651 problem in a paradigm-free setting. Synthetic and real resting-state fMRI data 652 allowed us to demonstrate that this approach is able to faithfully capture the 653 individual's haemodynamic response function and intrinsic functional networks 654 with low intra-subject variability and relative minimal impact of hyper-parameters 655 on the reliability of HRF estimation. Aims of this paper were also to verify that 656 these methodological developments have practical impacts as related to detection 657 and classification. Further demonstrations using well defined research protocols 658 will be required to refine the tool for use in clinical applications. 659

Whole brain analysis of haemodynamic properties. Generally, supervised HRF es-660 timation methods explain the observed BOLD signal by focusing on a set of brain 661 areas (e.g. visual, auditory and sensorimotor cortices) that are typically involved 662 in a specific activation paradigm (Goutte et al., 2000; Marrelec et al., 2003; Handw-663 erker et al., 2004; Lindquist and Wager, 2007; Vincent et al., 2010; Pedregosa et al., 664 2015). To the best of our knowledge, the proposed approach is the first time a 665 particular method is proposed to perform whole brain analysis of haemodynamic 666 properties using resting-state fMRI data in a paradigm-free manner. It should 667 be noted that we used a common brain parcellation – the Havard-Oxford proba-668 bilistic atlas (Desikan et al., 2006) – across all individuals, to ensure group-level 669 analysis and facilitate between-group comparisons. As such, the major findings we 670 reported on the asymmetries in haemodynamic features between stroke patients 671 and healthy controls, on one hand and the differences related to normal aging on 672 the other hand, are dependent on this atlas and could slightly differ with another 673 parcellation. This question is left for future research. 674

Interest for analyzing normal aging. Regional variability in the HRF is partly dictated by the size of surrounding blood vessels (Handwerker et al., 2004; Havlicek and Uludağ, 2020). Vascular aging is known to cause progressive deterioration in the cellular structure of the blood vessel wall, with the development of arteriole

tortuosity and reduction in capillary density that undoubtedly impact both resting-679 state cerebral blood flow and the ability to adjust it during neuronal activity. In 680 that context, as concordant with various imaging studies on aging (Bangen et al., 681 2009), our algorithm has proven its sensitivity to classify middle-age vs elderly 682 subjects on the basis of HRF parameters. Indeed, using recent rs-fMRI data 683 findings obtained on the large-scale CamCAN task-fMRI data set (Shafto et al., 684 2014) our study confirmed a clear reductive process of the neurovascular coupling 685 in elderly people in multiple brain areas (occipital, temporal and frontal regions). 686 Beyond the age-related statistical comparison, we also validated on a large 687

cohort (459 subjects) the estimated haemodynamic features as potential predictors 688 of brain age in a supervised classification task. Importantly, we demonstrated that 689 the HRF features and particularly the dilation parameter achieves better accuracy 690 scores (0.74) compared to the neural activation signals (0.56) just above chance 691 performance). This confirms that these neural signatures are much more variable 692 across individuals and even groups. This kind of study was made possible due 693 to the fast convergence of our algorithm and its numerous code optimizations. 694 The underlying hemolearn Python package is open source⁶ and available to the 695 neuroimaging community for the sake of reproducible science. 696

Interest for monitoring patients after a stroke episode. Our approach, using the 697 asymmetry index, has also proven its utility to individually detect, in patients with 698 a history of stroke, very slow haemodynamic delays in a restricted brain territory 699 probably related to local ischemic tissue consecutive to stroke. This finding is per-700 fectly consistent with the literature (Altamura et al., 2009) showing that the delay 701 in peak latency that arises as patients advance from the acute to the subacute 702 stroke phase is related to the deterioration of cerebral haemodynamics. Conse-703 quently, remodeling the fMRI haemodynamic response function in stroke patients 704 may optimize the detection of BOLD signal changes. MRI is of course one of the 705 most powerful diagnostic tools in contemporary clinical medicine. However, in the 706 acute episode of stroke, diffusion-weighted MRI and perfusion imaging (e.g. ASL) 707 remain the reference imaging modalities to perform the diagnosis in a noninvasive 708 way. In the post-acute period, rs-fMRI acquisition equipped with the proposed 709 method would be extremely valuable to measure as a prediction of subsequent re-710 covery of function as it does not require patient's engagement in an experimental 711 paradigm. 712

Perspectives for applications. Such findings bring new opportunities for the exploration of brain plasticity and pathogenesis in humans. In this way, even older

⁶code available at https://github.com/hcherkaoui/hemolearn.

adults in relatively good health may have undetected, clinically silent vascular 715 pathology and ischemic brain changes such as silent stroke (D'Esposito et al., 2003) 716 . As this could affect neurovascular coupling, it is of critical interest to assess for 717 cerebrovascular function and to consider vascular risk factors in the pathogenesis 718 or exacerbation of age-related degenerative diseases like Alzheimer. The current 719 challenge for diagnostic imaging methods is to find metrics that capture relevant 720 information or biomarkers. Such works on haemodynamic deconvolution, which 721 are not yet used routinely, might help uncover these biomarkers. 722

This present study constitutes a proof of concept in terms of interest and fea-723 sibility of the proposed approach. However, many other applications on clinically 724 well-characterized populations could be undertaken to improve and demonstrate 725 its robustness. Aside from stroke and neurological vascular diseases, vascular risk 726 factors are associated with an increased risk of epilepsy and could represent a siz-727 able proportion of cryptogenic cases of epilepsy (Ogaki et al., 2020). Although 728 abnormal neural activities generating interictal epileptiform discharges provoke 729 haemodynamic changes and BOLD activation, (Bénar et al., 2006; Zijlmans et al., 730 2007) standard MRI scans fail to visualize epileptic source precisely. Some au-731 thors have shown that standard HRF in the GLM framework can introduce errors 732 on the extension and localization of activating brain areas. However, modeling 733 haemodynamic response function to permit some flexibility in the HRF shape im-734 proves sensitivity of fMRI data to delineate epileptogenic area (Storti et al., 2013). 735 This improvement is particularly valuable in epileptic patients with drug-resistant 736 focal seizures, where resection of the epileptogenic brain area remains the best 737 therapeutic outcome. In that context, approaches that employ haemodynamic de-738 convolution – as presented in this paper – promise a more faithful investigation of 739 the cerebral pathology. 740

Limitations and extensions. Some limitations of our tool do exist. First, there 741 are free parameters in the proposed modeling (K, λ_f, M) that need to be set 742 in an appropriate manner. We explored two model selection criteria for setting 743 K, namely the R^2 score and the determinant of the correlation matrix between 744 the neural activation signals. Based on these metrics, we found a fair compromise 745 between accuracy and model complexity for K = 20. We thus constantly used this 746 value hereafter in the individual decomposition. Of course, other model selection 747 approaches might be envisaged to optimize K and λ_f using for instance a (widely) 748 Bayesian information criterion (Neath and Cavanaugh, 2012; Watanabe, 2013), or 749 the log-likelihood in the standard classical framework. The selected model would 750 thus be the one associated with the lowest BIC value or largest log-likelihood. More 751 recently, the concept of bi-level optimization (Bennett et al., 2006) has emerged to 752 set hyper-parameters. In this case, an upper-level cost function (e.g. a supervised 753 training score on the features of the decomposition) has to be minimized with 754

respect to the unknown hyper-parameters while staying intrinsically connected to the lower-level problem, namely the multivariate decomposition. Because of the extra-computation cost required by these approaches, such aspects are beyond the scope of this paper. Second, the proposed regional analysis is conditioned by the parcellation atlas (and the value of M). It would be interesting to deepen this research by testing the reproducibility of the tool with some atlas variations and the creation of an atlas using subject-specific assessment of the cerebral vasculature.

Third, to recover more structured spatial maps, an advanced regularization 762 model based on TV-elastic net (de Pierrefeu et al., 2017) or structured sparsity (Je-763 natton et al., 2012; Baldassarre et al., 2012) could be used in space while keeping 764 the same algorithmic structure. Recent progress in solving the TV proximity op-765 erator (Cherkaoui et al., 2020) for instance could also be directly plugged into 766 the current algorithm. Fourth, as in standard multivariate data-driven methods, 767 the inter-subject comparison of spatial maps is currently difficult in the proposed 768 formulation. In the same spirit as group-ICA (Calhoun et al., 2009), canonical 769 ICA (Varoquaux et al., 2010) or multi-subject dictionary learning (Varoquaux 770 et al., 2011), the current within-subject decomposition could be extended to the 771 group-level to become more stable. One possibility would be to impose the same 772 spatial maps across all individuals like in Calhoun et al. (2009) while another more 773 flexible approach would permit spatial variations around a group-level spatial tem-774 plate Varoquaux et al. (2011). In this context, the neural activation signals could 775 remain subject-specific with large fluctuations both in timings and magnitudes. 776 This kind of extension will be investigated in the near future. 777

Fifth, we experimentally observed both on numerical simulations and real fMRI 778 data (ADHD cohort (Milham et al., 2012)) that a TR larger than 1s may be 779 detrimental to a precise estimation of the haemodynamic dilation parameter. For 780 that reason, all analyses were performed on fMRI acquisitions with short TR. This 781 type of data is usually collected using simultaneous multi-slice imaging (Feinberg 782 and Setsompop, 2013; Hesamoddin et al., 2019) to keep this parameter below 1s. 783 Sixth, because the proposed HRF model relies solely on a time dilation pa-784 rameter, its magnitude is fixed and the fluctuations of the BOLD signal across 785 the brain are thus captured through the neural activity atoms $(\boldsymbol{z}_{\boldsymbol{k}})_{k=1}^{K}$ on one 786 hand and the spatial maps $(\boldsymbol{u}_k)_{k=1}^K$ on the other hand. However, the norm 787 of the spatial maps being constrained, the real BOLD signal amplitude is cap-788 tured by the neural activation signals. A recent work Tsyetanov et al. (2019) 789 has shown that the resting-state fluctuation amplitude is crucial to predict brain 790 age in healthy subjects. One possible enhancement of the current model would 791 be to add a magnitude parameter to each HRF. In that case, we should fix the 792 scale ambiguity issue by setting the amplitude of the temporal atoms $(\boldsymbol{z}_k)_{k=1}^K$. 793 This modification would significantly increase the computational complexity due 794

to the calculation of the proximal operator associated with the new regularization term $g_z((\boldsymbol{z}_k)_k) = \lambda \sum_{k=1}^{K} (\|\nabla \boldsymbol{z}_k\|_1 + I_{\|\boldsymbol{z}_k\|_{\infty}=\alpha})$. Last, thus far we have used the canonical HRF as the reference shape in \boldsymbol{v}_{ref} . This setting could be easily updated to perform investigations in specific populations (e.g. newborns) where the true haemodynamic response function is known to deviate from the canonical shape (Arichi et al., 2012).

801 6. Conclusion

In this paper, we have presented a semi-blind deconvolution approach to jointly 802 estimate the haemodynamic response function and the neural activity signals 803 across the whole brain. As the proposed methodology is paradigm-free, it en-804 ables the analysis of resting-state fMRI data in an semi-supervised manner as the 805 regularization parameters (K, λ_f) may be tuned using a trade-off between model 806 accuracy and complexity. Beyond the model validation on synthetic and real fMRI 807 data, we have demonstrated the interest of the proposed approach in two appli-808 cations in neuroscience. Both aimed at characterizing cerebral haemodynamic 809 delays in specific populations, namely stroke patients and elderly people by con-810 trasting them with healthy and younger controls. Most importantly, we proposed 811 an haemodynamic asymmetry index to lateralize the stroke episode while con-812 firming the presence of a prolonged haemodynamic delay in these patients. We 813 also demonstrated that haemodynamic properties are predictable of brain age. Fi-814 nally, this new framework opens the door to new research avenues for functional 815 connectivity analysis based on the neural input signals instead of the BOLD sig-816 nal themselves. In contrast to existing techniques (Wu et al., 2013), our approach 817 would be less biased by a constant haemodynamic response shape across the whole 818 brain. 819

820 Acknowledgment

This work was supported by a CEA PhD scholarship, the UK Royal Academy of Engineering under the RF/201718/17128 grant and the SRPe PECRE 1718/15 Award. We would like to thank our colleagues Bertrand Thirion, Kamalaker Reddy Dadi and Thomas Bazeille from Inria for fruitful discussions that helped us investigate the proposed data analyses.

826 References

S. Ogawa, D. W. Tank, R. Menon, J. M. Ellerman, S. G. Kim, H. Merkle, K. Ugurbil, Intrinsic signal changes accompanying sensory stimulation: functional brain
mapping with magnetic resonance imaging., in: Proceedings of the National
Academy of Sciences, volume 89, pp. 5951–5955.

- P. A. Bandettini, A. Jesmanowicz, E. C. Wong, J. S. Hyde, Processing strategies
 for time-course data sets in functional MRI of the human brain., Magnetic
 resonance in medicine 30 (1993) 161–173.
- G. M. Boynton, S. A. Engel, G. H. Glover, D. J. Heeger, Linear systems analysis of
 functional magnetic resonance imaging in human V1., Journal of Neuroscience
 16 (1996) 4207–4221.
- C.-T. Do, Z.-M. Manjaly, J. Heinzle, D. Schöbi, L. Kasper, K. P. Pruessmann,
 K. E. Stephan, S. Frässle, Hemodynamic modeling of aspirin effects on bold
 responses at 7t., medRxiv (2020).
- K. L. West, M. D. Zuppichini, M. P. Turner, D. K. Sivakolundu, Y. Zhao, D. Abdelkarim, J. S. Spence, B. Rypma, BOLD hemodynamic response function
 changes significantly with healthy aging., Neuroimage 188 (2019) 198–207.
- D. Asemani, H. Morsheddost, M. A. Shalchy, Effects of ageing and alzheimer
 disease on haemodynamic response function: a challenge for event-related fMRI.,
 Healthcare Technology Letters 4 (2017) 109–114.
- K. J. Friston, P. Fletcher, O. Josephs, A. Holmes, M. D. Rugg, R. Turner, Eventrelated fMRI: characterizing differential responses., Neuroimage 7 (1998) 30–40.
- P. Ciuciu, J.-B. Poline, G. Marrelec, J. Idier, C. Pallier, H. Benali, Unsupervised
 robust nonparametric estimation of the hemodynamic response function for any
 fMRI experiment., IEEE transactions on Medical Imaging 22 (2003) 35–51.
- M. A. Lindquist, T. D. Wager, Validity and power in hemodynamic response
 modeling: a comparison study and a new approach., Humain brain mapping 28
 (2007) 764–784.
- F. Pedregosa, M. Eickenberg, P. Ciuciu, B. Thirion, A. Gramfort, Data-driven
 HRF estimation for encoding and decoding models., NeuroImage (2015) 209–
 220.
- C. Goutte, F. A. Nielsen, L. K. Hansen, Modeling the haemodynamic response
 in fMRI using smooth FIR filters., IEEE transactions on Medical Imaging 19
 (2000) 1188–1201.
- T. Vincent, T. Rissern, P. Ciuciu, Spatially adaptive mixture modeling for analysis
 of fMRI time series, IEEE transactions on Medical Imaging 29 (2010) 59–74.
- L. Chaari, L. Forbes, T. Vincent, P. Ciuciu, Hemodynamic-informed parcellation of fMRI data in a joint detection estimation framework, in: proceedings of

- International Conference on Medical Image Computing and Computer-Assisted
 Intervention, volume 15, pp. 180–188.
- G. H. Glover, Deconvolution of impulse response in event-related bold fMRI.,
 Neuroimage 9 (1999) 416–429.
- D. R. Gitelman, W. D. Penny, J. Ashburner, K. J. Friston, Modeling regional
 and psychophysiologic interactions in fMRI: the importance of hemodynamic
 deconvolution., Neuroimage 19 (2003) 200–207.
- L. Hernandez-Garcia, M. O. Ulfarsson, Neuronal event detection in fMRI time
 series using iterative deconvolution techniques., Magnetic resonance imaging 29
 (2011) 353–364.
- C. Caballero-Gaudes, F. I. Karahanoglu, F. Lazeyras, D. Van De Ville, Structured
 sparse deconvolution for paradigm free mapping of functional MRI data., in:
 International Symposium on Biomedical Imaging, volume 9, pp. 322–325.
- I. Khalidov, J. Fadili, F. Lazeyras, D. Van De Ville, M. Unser, Activelets: Wavelets
 for sparse representation of hemodynamic responses., Signal processing 91 (2011)
 2810–2821.
- F. I. Karahanoğlu, C. Caballero-gaudes, F. Lazeyras, D. Van De Ville, Total activation: fMRI deconvolution through spatio-temporal regularization., NeuroImage
 73 (2013) 121–134.
- G. R. Wu, W. Liao, S. Stramaglia, J. R. Ding, H. Chen, D. Marinazzo, A blind
 deconvolution approach to recover effective connectivity brain networks from
 resting state fMRI data., in: Medical Image Analysis, volume 17, pp. 365–374.
- H. Cherkaoui, T. Moreau, A. Halimi, P. Ciuciu, Sparsity-based Semi-Blind Deconvolution of Neural Activation Signal in fMRI., in: IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP), pp. 1323–1327.
- Y. Farouj, F. I. Karahanoğlu, D. Van de Ville, Bold signal deconvolution under uncertain haemodynamics: A semi-blind approach., in: International Symposium
 on Biomedical Imaging, pp. 1792–1796.
- H. Cherkaoui, T. Moreau, A. Halimi, P. Ciuciu, fMRI BOLD signal decomposition using a multivariate low-rank model., in: European Signal Processing
 Conference (EUSIPCO), pp. 1–5.
- R. Grosse, R. Raina, H. Kwong, Y. N. Andrew, Shift-invariant sparse coding for
 audio classification., in: proceedings of Uncertainty in Artificial Intelligence,
 volume 23, pp. 149–158.

- T. Dupré La Tour, T. Moreau, M. Jas, A. Gramfort, Multivariate convolutional sparse coding for electromagnetic brain signals., in: Advances in Neural Information Processing System (NeurIPS), pp. 3292–3302.
- M. W. Woolrich, B. D. Ripley, M. Brady, S. M. Smith, Temporal autocorrelation in univariate linear modeling of fMRI data., Neuroimage 14 (2001) 1370–1386.
- W. Penny, S. Kiebel, K. Friston, Variational bayesian inference for fMRI time
 series., NeuroImage 19 (2003) 727–741.
- S. Makni, J. Idier, T. Vincent, B. Thirion, G. Dehaene-Lambertz, P. Ciuciu, A fully
 bayesian approach to the parcel-based detection-estimation of brain activity in
 fMRI., Neuroimage 41 (2008) 941–969.
- A. M. Dale, Optimal experimental design for event-related fMRI, Human Brain
 Mapping 2 (1999) 109–114.
- R. Casanova, S. Ryali, J. Serences, L. Yang, R. Kraft, P. J. Laurienti, J. A. Maldjian, The impact of temporal regularization on estimates of the bold hemodynamic response function: a comparative analysis., NeuroImage 40 (2008)
 1606–1618.
- C. M. Zhang, Y. Jiang, T. Yu, A comparative study of one-level and two-level
 semiparametric estimation of hemodynamic response function for fMRI data.,
 Statistics in medicine 26 (2007) 3845–3861.
- V. A. Vakorin, R. Borowsky, G. E. Sarty, Characterizing the functional MRI response using tikhonov regularization., Statistics in medicine 26 (2007) 3830–3844.
- M. W. Woolrich, T. E. J. Behrens, S. M. Smith, Constrained linear basis sets for hrf modelling using variational bayes., NeuroImage 21 (2004) 1748–1761.
- M. A. Lindquist, J. Meng Loh, L. Y. Atlas, T. D. Wager, Modeling the hemodynamic response function in fMRI: efficiency, bias and mis-modeling., NeuroImage
 45 (2009) S187–S198.
- D. A. Handwerker, J. M. Ollinger, M. D'Esposito, Variation of bold hemody namic responses across subjects and brain regions and their effects on statistical
 analyses., Neuroimage 21 (2004) 1639–1651.
- S. Badillo, T. Vincent, P. Ciuciu, Group-level impacts of within-and betweensubject hemodynamic variability in fMRI., Neuroimage 82 (2013) 433–448.

G. Varoquaux, R. C. Craddock, Learning and comparing functional connectomes
 across subjects., NeuroImage 80 (2013) 405–415.

R. S. Desikan, F. Segonne, B. Fischl, B. T. Quinn, B. C. Dickerson, D. Blacker,
R. L. Buckner, A. M. Dale, R. P. Maguire, B. T. Hyman, M. S. Albert, R. J.
Killiany, An automated labeling system for subdividing the human cerebral
cortex on MRI scans into gyral based regions of interest., Neuroimage 31 (2006)
968–980.

- B. O'Donoghue, E. Candes, Adaptive restart for accelerated gradient schemes.,
 Foundations of Computational Mathematics 15 (2015) 715–732.
- J. Mairal, F. Bach, J. Ponce, G. Sapiro, Online dictionary learning for sparse
 coding., in: International Conference on Machine Learning, volume 26, pp.
 689–696.
- P. L. Combettes, J. C. Pesquet, Proximal splitting methods in signal processing.,
 Fixed-Point Algorithms for Inverse Problems in Science and Engineering (2009).
- A. Chambolle, An Algorithm for Total Variation Minimization and Applications.,
 Journal of Mathematical Imaging and Vision 20 (2004) 89–97.
- A. Barbero, S. Sra, Modular proximal optimization for multidimensional totalvariation regularization., in: Journal of Machine Learning Research, volume 19,
 pp. 1–89.
- L. Condat, Fast projection onto the simplex and the l1 ball., Mathematical
 Programming Series A 158 (2016) 575–585.
- F. Pedregosa, G. Varoquaux, A. Gramfort, V. Michel, B. Thirion, O. Grisel,
 M. Blondel, P. Prettenhofer, R. Weiss, V. Dubourg, J. Vanderplas, A. Passos,
 D. Cournapeau, M. Brucher, M. Perrot, E. Duchesnay, Scikit-learn: Machine
 learning in Python., Journal of Machine Learning Research 12 (2011) 2825–2830.
- M. Jenkinson, P. Bannister, M. Brady, S. Smith, Improved optimization for the
 robust and accurate linear registration and motion correction of brain images.,
 NeuroImage 17 (2002) 825–41.
- V. Menon, Large-scale functional brain organization., Brain mapping: An ency clopedic reference 2 (2015) 449–459.
- D. C. Van Essen, S. M. Smith, D. M. Barch, T. E. J. Behrens, E. Yacoub, K. Ugurbil, The wu-minn Human Connectome Project: An overview., NeuroImage 80 (2013) 62–79.

- M. Glasser, S. Sotiropoulos, J. Wilson, T. Coalson, B. Fischl, J. Andersson, J. Xu,
 S. Jbabdi, M. Webster, J. Polimeni, V. DC, M. Jenkinson, The minimal preprocessing pipelines for the human connectome project, NeuroImage 80 (2013)
 105.
- P. H. England, Briefing document: First incidence of stroke estimates for england
 2007 to 2016., Public Health England publication (2018).
- S. N. Min, S. J. Park, D. J. Kim, M. Subramaniyam, K. S. Lee, Development of
 an algorithm for stroke prediction: A national health insurance database study
 in korea., European Neurology 79 (2018) 214–220.
- M. Raemaekers, W. Schellekens, N. Petridou, N. F. Ramsey, Knowing left from
 right: asymmetric functional connectivity during resting state., Brain Structure
 and Function 223 (2018) 1909–1922.
- B. M. Ances, C. L. Liang, O. Leontiev, J. E. Perthen, A. S. Fleisher, A. E. Lansing,
 R. B. Buxton, Effects of aging on cerebral blood flow, oxygen metabolism, and
 blood oxygenation level dependent responses to visual information stimulation.,
 Human Brain Mapping 30 (2009) 1120–1132.
- Y. Li, W. J. Choi, W. Wei, S. Song, Q. Zhang, J. Liu, , R. K. Wang, Agingassociated changes in cerebral vasculature and blood flow as determined by
 quantitative optical coherence tomography angiography., Neurobiol Aging 70
 (2018) 148–159.
- D. A. Engemann, O. Kozynets, D. Sabbagh, G. Lemaître, G. Varoquaux, F. Liem,
 A. Gramfort, Combining magnetoencephalography with magnetic resonance
 imaging enhances learning of surrogate-biomarkers., Elife 9 (2020).
- C. L. Grady, D. D. Garrett, Understanding variability in the bold signal and why
 it matters for aging., Brain Imaging Behaviour 8 (2014) 274–283.
- D. Rangaprakash, M. N. Dretsch, W. Yan, J. S. Katz, T. S. Denney Jr, G. Desh pande, Hemodynamic response function parameters obtained from resting-state
 functional MRI data in soldiers with trauma., Data in brief 14 (2017) 558–562.
- D. Rangaprakash, G.-R. Wu, D. Marinazzo, X. Hu, G. Deshpande, Hemodynamic response function (HRF) variability confounds resting-state fMRI functional connectivity., Magnetic resonance in medicine 80 (2018) 1697–1713.
- W. Yan, D. Rangaprakash, G. Deshpande, Aberrant hemodynamic responses in
 autism: Implications for resting state fMRI functional connectivity studies.,
 NeuroImage: Clinical 19 (2018) 320–330.

- G. Marrelec, H. Benali, P. Ciuciu, M. Pélégrini-Issac, J.-B. Poline, Robust
 Bayesian estimation of the hemodynamic response function in event-related
 BOLD MRI using basic physiological information., hbm 19 (2003) 1–17.
- M. Havlicek, K. Uludağ, A dynamical model of the laminar BOLD response., NeuroImage 204 (2020) 116–209.
- K. J. Bangen, K. Restom, T. T. Liu, A. J. Jak, C. E. Wierenga, D. P. Salmon,
 M. W. Bondi, Differential age effects on cerebral blood flow and BOLD response
 to encoding: associations with cognition and stroke risk., Neurobiology of aging
 30 (2009) 1276–1287.
- M. A. Shafto, L. K. Tyler, M. Dixon, J. R. Taylor, J. B. Rowe, R. Cusack, A. J.
 Calder, W. D. Marslen-Wilson, J. Duncan, T. Dalgleish, et al., The cambridge
 centre for ageing and neuroscience (Cam-CAN) study protocol: a cross-sectional,
 lifespan, multidisciplinary examination of healthy cognitive ageing., BMC neurology 14 (2014) 204.
- C. Altamura, M. Reinhard, M.-S. Vry, C. P. Kaller, F. Hamzei, F. Vernieri, P. M. Rossini, A. Hetzel, C. Weiller, D. Saur, The longitudinal changes of BOLD response and cerebral hemodynamics from acute to subacute stroke. A fMRI and TCD study., BMC neuroscience 10 (2009) 151.
- M. D'Esposito, L. Y. Deouell, A. Gazzaley, Alterations in the BOLD fMRI signal with ageing and disease: a challenge for neuroimaging., Nature Reviews
 Neuroscience 4 (2003) 863–872.
- A. Ogaki, Y. Ikegaya, R. Koyama, Vascular abnormalities and the role of vascular endothelial growth factor in the epileptic brain, Frontiers in Pharmacology 11 (2020).
- C.-G. Bénar, C. Grova, E. Kobayashi, A. P. Bagshaw, Y. Aghakhani, F. Dubeau,
 J. Gotman, EEG-fMRI of epileptic spikes: concordance with EEG source localization and intracranial EEG., Neuroimage 30 (2006) 1161–1170.
- M. Zijlmans, G. Huiskamp, M. Hersevoort, J.-H. Seppenwoolde, A. C. van Huffelen, F. S. S. Leijten, EEG-fMRI in the preoperative work-up for epilepsy surgery., Brain 130 (2007) 2343–2353.
- S. F. Storti, E. Formaggio, A. Bertoldo, P. Manganotti, A. Fiaschi, G. M. Toffolo,
 Modelling hemodynamic response function in epilepsy., Clinical Neurophysiol ogy 124 (2013) 2108–2118.

- A. A. Neath, J. E. Cavanaugh, The Bayesian information criterion: background, derivation, and applications., Wiley Interdisciplinary Reviews: Computational Statistics 4 (2012) 199–203.
- S. Watanabe, A widely applicable Bayesian information criterion., Journal of
 Machine Learning Research 14 (2013) 867–897.
- K. P. Bennett, J. Hu, X. Ji, G. Kunapuli, J.-S. Pang, Model selection via bilevel
 optimization., in: The 2006 IEEE International Joint Conference on Neural
 Network Proceedings, pp. 1922–1929.
- A. de Pierrefeu, T. Löfstedt, F. Hadj-Selem, M. Dubois, R. Jardri, T. Fovet,
 P. Ciuciu, V. Frouin, E. Duchesnay, Structured sparse principal components
 analysis with the TV-elastic net penalty., IEEE transactions on medical imaging
 37 (2017) 396-407.
- R. Jenatton, A. Gramfort, V. Michel, G. Obozinski, E. Eger, F. Bach, B. Thirion,
 Multiscale mining of fMRI data with hierarchical structured sparsity., SIAM
 Journal on Imaging Sciences 5 (2012) 835–856.
- L. Baldassarre, J. Mourao-Miranda, M. Pontil, Structured sparsity models for brain decoding from fMRI data., in: 2012 Second International Workshop on Pattern Recognition in NeuroImaging, pp. 5–8.
- H. Cherkaoui, J. Sulam, T. Moreau, Learning to solve tv regularised problems with
 unrolled algorithms., in: 34th Conference and Workshop on Neural Information
 Processing Systems (NeurIPS), pp. 1–21.
- V. D. Calhoun, J. Liu, T. Adalı, A review of group ICA for fMRI data and ICA
 for joint inference of imaging, genetic, and erp data., Neuroimage 45 (2009)
 S163–S172.
- G. Varoquaux, S. Sadaghiani, P. Pinel, A. Kleinschmidt, J. B. Poline, B. Thirion,
 A group model for stable multi-subject ICA on fMRI datasets., NeuroImage 51
 (2010) 288–299.
- G. Varoquaux, A. Gramfort, F. Pedregosa, V. Michel, B. Thirion, Multi-subject dictionary learning to segment an atlas of brain spontaneous activity., in: Biennial International Conference on information processing in medical imaging, pp. 562–573.
- M. Milham, D. Fair, M. Mennes, S. Mostofsky, The adhd-200 consortium: a model to advance the translational potential of neuroimaging in clinical neuroscience., Frontiers in Systems Neuroscience 6 (2012) 62.

D. A. Feinberg, K. Setsompop, Ultra-fast MRI of the human brain with simulta neous multi-slice imaging., Journal of magnetic resonance 229 (2013) 90–100.

J. Hesamoddin, S. Holdsworth, T. Christen, H. Wu, K. Zhu, A. B. Kerr, M. J.
Middione, R. F. Dougherty, M. Moseley, G. Zaharchuk, Advantages of short
repetition time resting-state functional MRI enabled by simultaneous multi-slice
imaging., Journal of Neuroscience Methods 311 (2019) 122–132.

K. Tsvetanov, R. N. A. Henson, P. S. Jones, H. J. Mutsaerts, D. Fuhrmann, L. K.
Tyler, J. B. Rowe, The effects of age on resting-state bold signal variability is
explained by cardiovascular and neurovascular factors., preprint bioRxiv (2019).

T. Arichi, G. Fagiolo, M. Varela, A. Melendez-Calderon, A. Allievi, N. Merchant,
N. Tusor, S. J. Counsell, E. Burdet, C. F. Beckmann, et al., Development
of BOLD signal hemodynamic responses in the human brain., Neuroimage 63
(2012) 663-673.

K. J. Friston, O. Josephs, G. Rees, R. Turner, Nonlinear event-related responses
in fMRI., Magnetic Resonance in Medicine 39 (1998) 41–52.

R. Tibshirani, Regression Shrinkage and Selection via the Lasso., Journal of the
Royal Statistical Society: Series B (statistical methodology) 58 (1996) 267–288.



Figure 4: **Top (a): deconvolution with** *fixed* **HRF.** The top row shows the two true spatial maps and their accurate estimates. The bottom row shows on the left the true HRF shape and the filter used for deconvolution. In the middle and on the right hand side, the true and estimated neural temporal profiles are depicted in blue and orange, respectively for the two activating regions (first atom for map 1, second atom for map 2). The average BOLD time series over the four activating pixels is shown in black and the gray shading is used to report on the variability across activating pixels. **Bottom (b): Semi-blind deconvolution with** *learned* **HRF.** The top row shows the two true spatial maps and their accurate estimates. The bottom row shows on the left the true HRF shape, the initial filter used and the final HRF estimate for semi-blind deconvolution. In the middle and orange, respectively for the two activating regions (first atom for map 1, second atom for map 2). The average BOLD time series over the four activating pixels is shown in black and the gray shading is used to report on the variability across activating pixels. Bottom (b): Semi-blind deconvolution with *learned* **HRF.** The top row shows the two true spatial maps and their accurate estimates. The bottom row shows on the left the true HRF shape, the initial filter used and the final HRF estimate for semi-blind deconvolution. In the middle and orange, respectively for the two activating regions (first atom for map 1, second atom for map 2). The average BOLD time series over the four activating pixels is shown in black and the gray shading is used to report on the variability of BOLD signals across activating pixels.



Figure 5: Model selection: compromise between model complexity and accuracy. (a): Evolution of the R^2 score as a function of the number of spatio-temporal atoms K in model (5) ranging from 2 to 50. (b): Evolution of the determinant of the correlation matrix Σ_K between neural activation signals as a function of K ranging in the same interval as mentioned earlier.



Figure 6: Spatial decomposition of rs-fMRI data for K = 20. From top to bottom and left to right, the twenty labeled spatial maps are shown using the three orthogonal views (coronal on the left, sagittal in the middle and axial on the right). The labeling is arbitrary and the coordinates are given in the MNI space.



Figure 7: Single subject results from rs-fMRI semi-blind deconvolution analysis.. Top Row (a): Neural activation signal \hat{z}_{10} (left) and corresponding spatial map (axial views) \hat{u}_{10} (right), mostly involving activated voxels in the visual cortex. Second row (b): Neural activation signal \hat{z}_2 (left) and corresponding spatial map (axial views) \hat{u}_2 (right), mostly involving activated voxels in the default mode network (DMN). Third row, left (c): Fastest haemodynamic region. Fastest HRF estimate \hat{v}_{δ_f} (left) located in the middle temporal gyrus as shown on the parcel mask Θ_f (right). Third row, right (d): Slowest haemodynamic region. Slowest HRF estimate \hat{v}_{δ_s} (left) located in the frontal orbital cortex as shown on the parcel mask Θ_s (right). (Bottom row (e): Voxelwise time courses. Estimate of the neural activation signal (in blue), superimposed on the denoised BOLD signal (in orange) computed as the convolution with the local HRF estimate. The observed BOLD time course in shown in black.



Figure 8: Correlation matrix between neural activation signals for K = 20. Triangular inferior view of the semi-definite positive matrix Σ_K for K = 20. All entries vary between -1 and +1 as they reflect correlation coefficients.



Figure 9: Within-subject vs between-subject analysis of the haemodynamic variability. The box plots show respectively in blue, orange and green the distribution of WS($\hat{\delta}_{T_1}^{s_i}, \hat{\delta}_{T_2}^{s_i}$) for all subjects (i = 1, ..., 100), BS($\hat{\delta}_{T_1}^{s_i}, \hat{\delta}_{T_1}^{s_j}$) and BS($\hat{\delta}_{T_2}^{s_i}, \hat{\delta}_{T_2}^{s_j}$) with $i \neq j$. These distributions are assessed for 5 levels of temporal regularization ($\lambda_f \in \{10^{-3}, 0.22, 0.45, 0.67, 0.9\}$) and remain stable. Statistical analysis (paired *t*-test) was conducted to assess the significance of the difference between the mean of the within- and between-subject ℓ_2^2 norm distributions. Significant differences are marked with a *.



Figure 10: Haemodynamic discrimination between stroke patients (SP) and Healthy Controls (HC). Top (a)- Middle (b): Normalized haemodynamic dilation parameter maps in a healthy control and stroke patient (St), respectively. The maps have been respectively normalized by the within-subject mean value ($\bar{\delta}^s = \frac{1}{M} \sum_{m=1}^{96} \hat{\delta}^s_m$) computed for each subject s = HC, St. shortest TTP is reached in the visual cortex. Larger haemodynamic dilation parameters maps and thus shorter TTPs are retrieved in healthy condition ($\bar{\delta}^{\text{HC}} = 0.87 > \bar{\delta}^{\text{SP}} =$ 0.77). Stronger fluctuations around the mean are observed in the pathological condition as we reported a larger difference between the maximum and the minimum TTP ($\Delta_{\text{TTP}}^{\text{SP}} = 2.25$ s) for the stroke patient than for the Healthy Controls ($\Delta_{\text{TTP}}^{\text{HC}} = 1.25$ s). Bottom (c): Histograms of the normalized inter-hemispheric haemodynamic distance (IHD) between dilation parameters computed over the left and right hemispheres (i.e. $\hat{\delta}_{\text{L}}$ and $\hat{\delta}_{\text{R}}$, respectively) in HC (blue) and SP (red), respectively. The significant reported p-value ($p = 3.8 \, 10^{-4}$), which is associated with a two-sample Kolmogorov-Smirnov test between the two distributions, demonstrates that the neurovascular asymmetry in SP is significantly different and actually more spread compared to HC.



Figure 11: Statistical analysis of the haemodynamic differences between middle-age (MA) and elderly (E) subjects. (a): T-scores associated with the two-sample t-test between the distributions of haemodynamic dilation parameters in middle-age (MA) and elderly (E) subjects (null hypothesis $H_0: \bar{\delta}_m^{\text{MA}} = \bar{\delta}_m^{\text{E}}, \forall m = 1, ..., M$). Note that most of the T-values are positive meaning that $\bar{\delta}_m^{\text{MA}} > \bar{\delta}_m^{\text{E}}$ most often. (b): Thresholded statistical map $(-\log_{10} p_{\text{val}})$ associated with a two-sample *t*-test performed to assess the mean difference in terms of haemodynamic dilation parameter between the middle-age and elderly subjects. The p-values were Bonferonni corrected for multiple comparisons performed across all ROIs (M = 96). The map (axial slices) was thresholded at a significance level of $\alpha = 0.05$ corresponding to $p_{\text{val}} = 1.65$ on the color bar.



Figure 12: Accuracy score for classifying middle-age vs. elderly subjects (459 individuals sampled from the UK Biobank database). (a): The prediction was performed by pulling individual features either based on (i) the estimated neural activation signals $(\hat{z}_k)_{k=1}^{20}$, (ii) HRF shape estimates $(\hat{v}_{\delta_m})_{m=1}^{96}$ or (iii) the haemodynamic dilation parameters $(\hat{\delta})_{m=1}^{96}$. From top to bottom, the distribution of the classification scores is shown from for the predictive features (i)-(iii), respectively. The best accuracy scores (average 0.74) are reached using the haemodynamic parameters and the smallest variability in the prediction using specifically the dilation parameter estimates. (b): Learning curve of accuracy scores as a function of the number of individuals (middle-age vs elderly subjects) used for the training stage both for the haemodynamic dilation parameter (blue curve) and the temporal components (orange curve). As a plateau is reached for 459 people, we presented the corresponding performances in panel (a).

1081 7. Supplementary material

¹⁰⁸² 7.1. Gradient derivation w.r.t the HRF dilation parameter

In this subsection, we detail the gradient derivation of our cost-function from Eq. (6) – denoted J hereafter – $w.r.t \, \delta$. Let us define $\widetilde{A} = (\widetilde{a}_j)_{j=1}^P \in \mathbb{R}^{P \times \widetilde{T}}$ such as $\widetilde{A} = \sum_{k=1}^{K} \boldsymbol{u}_k^{\top} \boldsymbol{z}_k$. Moreover, we introduce θ_m the set of indices of voxels belonging to the mth region of the brain parcellation.

$$J(\boldsymbol{\delta}) = \sum_{m=1}^{M} \sum_{j \in \theta_m} \frac{1}{2} \|\boldsymbol{v}_{\delta_m} * \boldsymbol{a}_j - \boldsymbol{y}_j\|_2^2 + C_{\boldsymbol{U},\boldsymbol{Z}}$$

with $C_{U,Z}$ a constant that does not depend on δ . We aim to compute the gradient of J relative to the value of the parameters δ :

$$\nabla_{\boldsymbol{\delta}} J(\boldsymbol{\delta}) = \left[\frac{\partial J(\boldsymbol{\delta})}{\partial \delta_1}, ..., \frac{\partial J(\boldsymbol{\delta})}{\partial \delta_M}\right]^\top \in \mathbb{R}^M$$
(8)

To this end, we proceed componentwise:

$$\frac{\partial J(\boldsymbol{\delta})}{\partial \delta_{m}} = \frac{1}{2} \sum_{j \in \theta_{m}} \frac{\partial \|\boldsymbol{v}_{\delta_{m}} * \boldsymbol{a}_{j} - \boldsymbol{y}_{j}\|_{2}^{2}}{\partial \delta_{m}} \\
= \sum_{j \in \theta_{m}} \left(\frac{\partial (\boldsymbol{v}_{\delta_{m}} * \boldsymbol{a}_{j})}{\partial \delta_{m}} \right)^{\top} (\boldsymbol{v}_{\delta_{m}} * \boldsymbol{a}_{j} - \boldsymbol{y}_{j}) \\
= \left(\frac{\partial \boldsymbol{v}_{\delta_{m}}}{\partial \delta_{m}} \right)^{\top} \left(\sum_{j \in \theta_{m}} \boldsymbol{a}_{j}^{\top} * (\boldsymbol{v}_{\delta_{m}} * \boldsymbol{a}_{j} - \boldsymbol{y}_{j}) \right) \\
= \left(\frac{\partial \boldsymbol{v}_{\delta_{m}}}{\partial \delta_{m}} \right)^{\top} \underbrace{\left(\boldsymbol{v}_{\delta_{m}} * \sum_{j \in \theta_{m}} \boldsymbol{a}_{j}^{\top} * \boldsymbol{a}_{j} - \sum_{j \in \theta_{m}} \boldsymbol{a}_{j}^{\top} * \boldsymbol{y}_{j} \right)}_{\nabla_{\boldsymbol{v}_{\delta_{m}}} J}. \quad (9)$$

Note that $\sum_{j\in\theta_m} \boldsymbol{a}_j^\top * \boldsymbol{a}_j$ and $\sum_{j\in\theta_m} \boldsymbol{a}_j^\top * \boldsymbol{y}_j$ do not depend on δ_m , thus they can be pre-computed beforehand. The remaining step is to compute $\frac{\partial \boldsymbol{v}_{\delta_m}}{\partial \delta_m}$. We remind here that $\boldsymbol{v}_{\delta_m}$ is the discretization of the continuous function $\forall t \in \mathbb{R}^+$, $v_{\delta_m}(t) = v(\delta_m t)$. Thus:

$$\forall t \in \mathbb{R}^+, \quad \frac{\partial}{\partial \delta} v(\delta t) = t v'(\delta t)$$

with \boldsymbol{v}' the first-order derivative of function \boldsymbol{v} . Now, taking the definition of $v(\cdot)$ from (Friston et al., 1998), we get:

$$\forall t \in \mathbb{R}^+, \quad v(t) = \frac{t^{a-1}e^{-t}}{\Gamma(a)} - c\frac{t^{b-1}e^{-t}}{\Gamma(b)}$$

where a, b and c are constants which are given in (Friston et al., 1998). A straightforward computation gives us for $t \in \mathbb{R}^+$:

$$v'(t) = \left(\frac{a-1}{t} - 1\right) \frac{t^{a-1}e^{-\delta t}}{\Gamma(a)} - c\left(\frac{b-1}{t} - 1\right) \frac{t^{b-1}e^{-\delta t}}{\Gamma(b)}$$
(10)

$$\frac{\partial}{\partial\delta}v(\delta t) = tv'(\delta t) = \left(\frac{a-1}{\delta} - t\right)\frac{(\delta t)^{a-1}e^{-\delta t}}{\Gamma(a)} - c\left(\frac{b-1}{\delta} - t\right)\frac{(\delta t)^{b-1}e^{-\delta t}}{\Gamma(b)} \quad (11)$$

¹⁰⁸⁷ The value of $\frac{\partial \boldsymbol{v}_{\delta_m}}{\partial \delta_m}$ can thus be computed by taking the discrete time points ¹⁰⁸⁸ corresponding to the sampling rate of the BOLD signal and the length of the ¹⁰⁸⁹ considered HRF. By replacing its value in the computation of $\frac{\partial J(\boldsymbol{\delta})}{\partial \delta_m}$ from Eq. (9), ¹⁰⁹⁰ we obtain a closed form expression for the gradient of J w.r.t the HRF dilation ¹⁰⁹¹ parameter δ *i.e.* $\nabla_{\boldsymbol{\delta}} J(\boldsymbol{\delta})$.

7.2. Haemodynamic parameter estimate stability across various levels of temporal regularization

A well known limitation of regularization methods based on the l_1 -norm such 1094 as TV is that large coefficients – here in $(\boldsymbol{z}_k)_{k=1}^K$ – are shrunken toward zero (Tib-1095 shirani, 1996). Thus, the magnitude of the estimated neural activation signals 1096 $(\boldsymbol{z}_k)_{k=1}^K$ is biased. Moreover, this bias is tightly linked to the choice of the reg-1097 ularization parameter λ_f . Indeed, the larger this parameter is, the more $(\boldsymbol{z_k})_{k=1}^K$ 1098 are shrunken toward zero. To quantify this effect on our model, we applied the 1099 spatio-temporal decomposition with M = 96 ROI and K = 20 and various tem-1100 poral regularization level λ_f on the cohort of S = 459 subjects sampled from the 1101 UK Biobank resting-stage fMRI dataset used in Section 4.2. Fig. S1 reports the 1102 grand average of the dilatation parameters 1103

$$\bar{\delta} = \frac{1}{MS} \sum_{s=1}^{S} \sum_{m=1}^{M} \widehat{\delta}_{m}^{s}$$

and its variance with respect to the regularization parameter λ_f . We observed that the HRF dilation parameters decrease with the temporal regularization level – and thus the corresponding time-to-peaks increase with λ_f . This results from the fact that the model with large regularization parameters only accounts for sharp transition in the BOLD signal mean value, which are well approximated with fast HRF.

This previous result entails that the haemodynamic delay estimated by our model (6) may be biased. However, because there is a single temporal regularization parameter, we expect that this bias impacts the whole brain uniformly. To assess this shared effect on the estimated parameter, we observe the relative variations of δ_m . Fig. S2 displays the value of $\bar{\delta}_m(\lambda_f)$ relative to $\bar{\delta}(\lambda_f)$ for S = 459



Figure S1: Evolution of the group-level grand average haemodynamic dilation parameter $\bar{\delta}$ as a function of the temporal regularization level $\lambda_f \in [0, 1]$. The solid blue line reflects the decreasing evolution of $\bar{\delta}$ when $\lambda_f \to 1$, where the value of $\bar{\delta}$ was spatially averaged over the M = 96 parcels and across S = 459 subjects from the UK Biobank database. The transparent blue shadow represents the standard deviation around the mean parameter $\bar{\delta}$. In short, the larger λ_f , the smaller $\bar{\delta}$ and thus the larger the mean TTP.

subjects with three temporal regularization values $\lambda_f \in \{0.001, 0.5, 0.9\}$ on the 1115 MNI template. Precisely, for each regularization parameter and for each ROI m, 1116 we compute $\bar{\delta}_m/\bar{\delta}$ where $\bar{\delta}_m = \frac{1}{S} \sum_{s=1}^{S} \hat{\delta}_m^s$ is the average value of the dilation pa-1117 rameter across subjects. While the magnitudes change when the regularization 1118 changes, as seen in Fig. S1, the spatial structure of dilatation parameters in the 1119 brain is globally preserved. Indeed, the normalized maps look very similar for any 1120 choice of regularization parameter, showing that the relative variation between 1121 each area of the brain are preserve while changing the hyper-parameter. Thus, 1122 we can state that the haemodynamic response from the middle temporal gyrus is 1123 faster than the response from the frontal orbital cortex, as described in Fig. 7. This 1124 means that while the numerical value of the time-to-peak for a given area may not 1125 be reflect the actual haemodynamic delay in the brain, the estimated coefficients 1126 reflect the spatial variations of the delay between the different areas of the brain. 1127 Moreover, these variations are stable with the choice of temporal regularization. 1128 Hence, choosing a potentially suboptimal value for λ_f is of limited impact when 1129 the primary interest is investigating abnormalities in the neuro-vascular coupling. 1130 Finally, we assess the impact of the choice of λ_f on the prediction results from 1131 Section 4.2. Fig. S3 reports the accuracy score for the logistic regression relatively 1132 to the choice of regularization parameter β for the classification model and the 1133 temporal regularization parameter λ_f for our deconvolution model. The accuracy 1134 is almost not impacted by the choice of parameter λ_f , for any value of β . This

is almost not impacted by the choice of parameter λ_f , for any value of β . This observation confirms that the choice of λ_f is not critical when studying the relative



Figure S2: Group-level mean of haemodynamic dilation parameter maps normalized by the grand average $\bar{\delta} = \frac{1}{MS} \sum_{s=1}^{S} \sum_{m=1}^{M} \hat{\delta}_{m}^{s}$ as a function of temporal regularization ($\lambda_{f} \in [0,1]$). From top to bottom, axial slices showing the group-level values of the ratio between $\bar{\delta}_{m} = \frac{1}{S} \sum_{s=1}^{S} \hat{\delta}_{m}^{s}$ and $\bar{\delta}$ in each parcel *m* for increasing values of $\lambda_{f} \in \{0.001, 0.45, 0.9\}$. The spatial structure of the maps of haemodynamic dilation parameter remain remarkably stable for various λ_{f} .

- ¹¹³⁷ spatial structure of the haemodynamic delay and that our model can be used in
- ¹¹³⁸ practical cases to evaluate abnormalities in the haemodynamic response.



Figure S3: Evolution of the accuracy score w.r.t the logistic regression regularization parameter β and the temporal regularization parameter λ_f . The accuracy score is not impacted by the hyper-parameter λ_f , as moving this parameter mainly impact the magnitude of the estimated delays and not its spatial structure.