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#### Deep Eddy Kinetic Energy in the Tropical Pacific from 1 Lagrangian Floats 2

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#### Key Points:

| 9  | • | Eddy kinetic energy at 1000 m in the tropical Pacific is investigated using Argo   |
|----|---|--|
| 10 |   | float drifts.  |
| 11 | • | Deep intra-annual variability is evidenced with 30-day period and 1000-km wave-    |
| 12 |   | length at the Equator and 70-day, 500-km off the Equator.                          |
| 13 | • | Eddy kinetic energy exhibits small scale features suggesting interactions with the |
| 14 |   | mean jet-like circulation at 1000 m.   |

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#### 16 Abstract

At the ocean surface, satellite observations have shown evidence of a large spectrum of waves 17 at low latitudes. However, very little is known about the existence and properties of the deep 18 variability. Most of the subsurface observations rely on localized measurements, which do 19 not allow for a global estimation of this variability. In this study, we use velocity estimates, 20 provided by Argo float drifts at 1000 m, to analyze the spatial and temporal distribution 21 of the deep Eddy Kinetic Energy (EKE) and its spectral signature with an unprecedented 22 time and space coverage. In the tropical Pacific, high EKE is found along the Equator, at 23 the western boundary and poleward of 7°N. EKE meridional distribution is also found to 24 vary at the scale of the meridionally-alternating mean zonal jets: it is higher inside eastward 25 currents. We develop an original statistical scale analysis to determine the temporal and 26 spatial scale-dependence of this deep EKE footprint. We show the presence of periodic 27 features whose characteristics are compatible with theoretical equatorial waves dispersion 28 relations. Annual and semi-annual Rossby waves are observed at the Equator, as well as 29  $\sim$ 30-day Yanai waves, consistent with surface Tropical Instability Waves. The location 30 and intensification of these waves match the downward energy propagation predicted by ray 31 tracing linear theory. Short-scale variability (with  $\sim$ 70-day periods and 500-km wavelength) 32 has also been detected poleward of 7°N. The generation mechanisms of this variability is 33 discussed, as well as its potential importance for the mean circulation. 34

#### <sup>35</sup> Plain Language Summary

Energy in the deep ocean is important as it is a potential driver of the deep circulation, 36 which has important climate feedbacks. Because of its singular dynamics, the equatorial 37 ocean is a preferential region of transfer of energy from the surface to the interior of the 38 ocean. Very little is known however about the energy content in the deep equatorial oceans. 39 In this study, we use the large number of floats, called Argo floats, drifting at 1000-m 40 depth in the ocean to describe the deep kinetic energy in equatorial regions. We show that 41 various energetic waves are present at 1000 m in the tropical Pacific and we discuss their 42 potential generation mechanisms as well as their implications for the circulation. These 43 new observations may help to validate some theories or numerical simulations of the deep 44 equatorial and tropical circulation. 45

#### 46 **1** Introduction

The mean circulation at low latitudes is structured into intense eastward and westward flowing currents, called jets. At the surface, currents develop in response to the trade winds, namely the westward North Equatorial Current (NEC) and South Equatorial Current (SEC) (Lagerloef et al., 1999) and the eastward North Equatorial Counter Current (NECC). The eastward Equatorial Undercurrent (EUC) flows inside the thermocline and is confined along the Equator (Wyrtki & Kilonsky, 1984) (see Fig. 1 for a schematic in the Pacific).

Below the thermocline, our knowledge of the circulation is more recent. Thanks to the large number of Argo floats deployed since the beginning of the program in 2000, deep velocity estimates have been made available basin-wide. Several studies have revealed the presence of strong eastward and westward jets, equatorward of 20° (e.g., Ollitrault et al. (2006); Lebedev et al. (2007); Cravatte et al. (2012); Qiu et al. (2013); Ollitrault and Colin de Verdière (2014)) and reaching depths of 2000 m (Cravatte et al., 2017).

The deep zonal velocity has been shown to follow an annual cycle (Cravatte et al., 2012; Zanowski et al., 2019), especially close to the Equator where a reversal of the jets is observed (Gouriou et al., 2006). This has been attributed to the downward propagation of annual Rossby waves (Lukas & Firing, 1985; Marin et al., 2010; Cravatte et al., 2012). At inter-annual timescales, the zonal velocity at 1000 m in the Pacific seems correlated to El Niño fluctuations with 6-month phase lag (Zanowski et al., 2019). <sup>65</sup> Understanding the energy sources and mechanisms at the origin of the deep jets remains <sup>66</sup> a challenge. Several mechanisms have been proposed (see Ménesguen et al. (2019) for a <sup>67</sup> review). Although they involve different physical processes, they all require an energy <sup>68</sup> source in the deep ocean. Based on numerical simulations and theoretical considerations, <sup>69</sup> several studies have shown that intra-annual waves at depth can provide this energy, driving <sup>70</sup> a realistic deep circulation (Hua et al., 2008; Ménesguen et al., 2009; Ascani et al., 2010, <sup>71</sup> 2015; Greatbatch et al., 2018; Bastin et al., 2020).

The variability in the equatorial regions is strong and has comparable amplitudes with the mean circulation. Intra-annual variability at depth could result either from a downward propagation of waves excited in the upper ocean, or could be generated directly at depth from instabilities of the strongest currents (like the deep western boundary current).

At the ocean surface, satellite-derived observations have revealed the presence of a broad 76 spatial and temporal spectrum of waves at low latitudes (Lindstrom et al., 2014). Among 77 the most energetic features are the Tropical Instability Waves (TIWs), arising from the shear 78 between the westward-flowing SEC and the eastward-flowing NECC and EUC (Philander, 79 1978). They develop along the temperature front at the border of the cold tongue in the 80 eastern part of the basin (Fig. 1) from July to November, when the temperature gradient 81 is the highest (see Willett et al., 2006, for a review). They have been observed both in 82 the Atlantic and Pacific oceans from satellite altimetry (Legeckis, 1977; Lyman et al., 2005, 83 2007; Farrar, 2011; Lindstrom et al., 2014, and references therein), but also in in-situ 84 meridional velocities (McPhaden, 1996; Lyman et al., 2007) and temperatures (McPhaden, 85 1996; Chelton et al., 2000; Contreras, 2002). In the Pacific, they have a signature at the 86 Equator and  $5^{\circ}N$  associated with periods of 17 and 30 days respectively (Lyman et al., 87 2007). 88

However, observational evidence of deep intra-annual variability remains scarce. Most 89 of the observations rely on sparse mooring measurements or cruise transects. Equatorial 90 moorings data have evidenced a strong signature in meridional velocity at 30-50 days periods 91 in the upper 600 m (Von Schuckmann et al., 2008, in the Atlantic) and in the range 20-90 92 days in the Pacific (Wang et al., 2016; Zhang et al., 2020). Deeper observations down to 3000 93 m (e.g., Bunge et al. (2008); Tuchen et al. (2018) in the Atlantic, Eriksen and Richman (1988) 94 in the Pacific) also revealed the presence of intra-annual variability. This variability has been 95 related to the downward propagation of mixed Rossby-Gravity waves possibly generated 96 by TIWs (Tuchen et al., 2018). In addition, in the Equatorial Pacific ocean, Farrar and 97 Durland (2012) have noted the presence of variability of surface dynamic height relative to 98 500 dbars, in the period range 5-15 days associated with Inertia-Gravity and mixed Rossby-99 Gravity waves. Along the western boundary in the Equatorial Pacific, deep intra-annual 100 variability has been attributed to the signature of strong subthermocline mesoscale eddies 101 (Firing et al., 2005). However, the information provided by the moorings or cruise transects 102 is very incomplete. It only gives a local estimate of the period or wavelength and does not 103 allow for full characterisation of scales. A global and more systematic assessment of this 104 deep variability is still lacking. 105

In this study, we assess the variability in the deep tropical Pacific, with a focus on intra-annual periods. We aim to characterise its spectral range (both temporal and spatial) and variations at the basin scale. We will in particular address the following questions: (1) What are the amplitudes and characteristic (temporal and spatial) scales of the energy present at depth in the tropical Pacific ? (2) Is there a spatial and temporal modulation of the observed signals ? (3) Is there coherence of the signals that could be related to particular physical processes, such as wave or eddy propagation ?

We address these questions by analyzing the fluctuations of the 10-day averaged Lagrangian velocity measured by the Argo float displacements at 1000 m in the tropical Pacific. We develop statistical methods of scale analysis that extract information from these numerous and sparse velocity estimates. The relationship between the velocities measured at the



Figure 1. Schematics of the Pacific ocean circulation. Light blue: surface currents, red: thermocline currents, deep blue: deep currents. NEC: North Equatorial Current, SEC: South Equatorial Current, NECC: North Equatorial Counter Current, SECC: South Equatorial Counter Current, HLCC: Hawaiian Lee Counter Current, STCC: Subtropical Counter Current, TIWs: Tropical Instability Waves, EUC: Equatorial Undercurrent, LEIC: Lower Equatorial Intermediate Current, LLICs: Low-Latitude Intermediate Currents. Reproduced after Smith et al. (2019).

same time between pairs of floats gives insight into the spatial scales of the dynamical struc-117 tures. Similarly, the relationship between sequential measurements gives information on the 118 temporal scales of these structures. In addition, combined spatial and temporal dependence 119 can give information on the propagation of the signals. To be valid, a statistical analysis 120 requires a critical number of data. This was made possible by the increasing number of 121 Argo floats since the beginning of the program (Fig. 2), and in particular by the seeding 122 of hundreds of floats in the Equatorial Pacific in 2014 (Roemmich et al., 2019). Given the 123 temporal data sampling of the Argo program, this study focuses on periods from 20 days to 124 annual. 125

The paper is organized as follows: Section 2 describes the data set and the statistical methods. Section 3 describes the spatial and temporal variations of the eddy kinetic energy in the tropical Pacific and identifies some energetic regions. Then, in each identified region, the scale dependence of the energy content is analyzed. Section 4 discusses these results in the light of processes associated with propagating Equatorial waves and their potential implication for the regional dynamics. Some limitations of our study are also identified along with suggestions for future research.

<sup>133</sup> 2 Data and Method

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#### 2.1 Database description

The data used in this study are the deep velocity estimates from the displacements 135 of Argo floats at their parking depth. These velocities are computed from the float drifts 136 along their trajectories, estimated from the floats differential surface positions fixed by 137 satellite between each dive cycle. These estimates result in a series of nearly 10-day averaged 138 Lagrangian deep velocities. These estimates include horizontal velocities measured when 139 profiling from 0-2000 m and back (about a half-day out of every 10 day cycle). This source 140 of uncertainty is usually small (around  $0.4 \text{ cm s}^{-1}$  in the Tropical Pacific, see Cravatte et 141 al. (2012) and Ollitrault and Rannou (2013)) and can be neglected on average. 142

We denote N the total number of velocity estimates,  $N_i$ , the total number of velocity estimates for the float *i* (corresponding to the number of dive cycles for the float *i*) and M the total number of floats, such that  $\sum_{i=1}^{M} N_i = N$ . For a given float *i*, we



Figure 2. YoMaHA database statistics. (a) Number of 1000 m velocity measurements per year in the tropical Pacific (update through August 2019). The 2014-2018 period corresponds to the most sampled period with a density exceeding 20000 measurements per year. (b-d) Mean displacement  $\delta(\text{lon})$ ,  $\delta(\text{lat})$  of floats over different periods (30, 70, 180 and 365 days) in the different regions: (b) Equatorial Pacific (west and east)  $165^{\circ}\text{E}-90^{\circ}\text{W}$ ,  $3^{\circ}\text{S}-3^{\circ}\text{N}$ , (c) Tropical Pacific (north and south),  $170^{\circ}\text{E}-90^{\circ}\text{W}$ ,  $7^{\circ}-17^{\circ}$  S and N and (d) western boundary  $125^{\circ}\text{E}-135^{\circ}\text{W}$ ,  $0^{\circ}-17^{\circ}\text{N}$ .

denote zonal and meridional velocities series respectively as  $(U_n^i(x_n^i, y_n^i, t_n^i))_{n \in \{1..N_i\}}$  and  $(V_n^i(x_n^i, y_n^i, t_n^i))_{n \in \{1..N_i\}}$ , where  $x_n^i$ ,  $y_n^i$  and  $t_n^i$  are the mean longitude, latitude and time for the dive cycle n.

The deep velocity estimates used are provided by the YoMaHA database updated 149 through August 2019 (Lebedev et al., 2007). Although similar databases exist with per-150 haps more accurate velocity estimates (e.g., ANDRO from Ollitrault and Rannou (2013)), 151 the YoMaHA database has been preferred in this study because it is nearly real-time up-152 dated and provides the densest coverage in our region of interest. The majority of the 153 floats (63%) are programmed for a 1000 m parking depth. In the remainder of this paper, 154 we perform our diagnostics on the 1000 m velocity estimates only by keeping floats whose 155 parking depth is between 950 m and 1050 m. The other depths do not provide a sufficient 156 coverage for a statistical approach and are discarded. Speeds in excess of  $40 \text{ cm s}^{-1}$ , found 157 in 0.07% of the samples, were rejected as outliers. Some statistics about the number of floats 158 and measurements of this dataset available in the tropical Pacific, as well as the different 159 regions considered in this study (Section 3), are presented in Table 1 and Fig. 2. 160

In the following, we consider either timeseries of velocity for each float  $i: (U^i(t_n^i))_{n \in \{1..N_i\}}, (V^i(t_n^i))_{n \in \{1..N_i\}}$ (Section 2.2.2), or series of independent velocity estimates, regardless of the float number:  $(U_n, V_n)_{n \in \{1..N\}}$  (Section 2.2.3).

#### <sup>164</sup> 2.2 Method

#### <sup>165</sup> 2.2.1 Energy variables definition

As the aim of this study is to characterize the deep variability, we perform a classical Reynolds decomposition of the velocity to separate the mean and the variable part of the flow:

$$\begin{cases} U(x, y, t) = \overline{U}(x, y) + U'(x, y, t) \\ V(x, y, t) = \overline{V}(x, y) + V'(x, y, t) \end{cases}$$
(1)

where the overbar indicates the time average for the whole period 1997-2019 and the prime the fluctuations with respect to this average.

<sup>171</sup> We define the Mean Kinetic Energy (MKE) and Eddy Kinetic Energy (EKE) at any <sup>172</sup> given location x, y as twice the kinetic energy per unit mass contributed by their respective <sup>173</sup> velocity components:

$$MKE_{q}(x,y) = \overline{q(x,y,t)}^{2} = \overline{q}(x,y)^{2}$$
$$EKE_{q}(x,y) = \frac{\overline{q'(x,y,t)^{2}}}{\left(q(x,y,t) - \overline{q}(x,y)\right)^{2}}$$
(2)

where  $q = (U_n)_{n \in \{1..N\}}$  or  $q = (V_n)_{n \in \{1..N\}}$ 

Previous studies have shown that the annual cycle is the dominant mode of variability of the 1000 m zonal currents in the equatorial region (Cravatte et al., 2012). In order to estimate the other periods of variability, we define  $U^*$  as the zonal velocity where the monthly climatology has been filtered out.

$$U^{*}(x, y, t) = U(x, y, t) - U^{m}(x, y, t)$$
(3)

where the supscript m refers to the monthly climatology of U. The associated eddy kinetic energy is:

$$\mathrm{EKE}_{U}^{*}(x,y) = \overline{U^{*}(x,y,t)^{2}}$$

$$\tag{4}$$

EKE $_{U}^{*}$  contains thus both the intra-annual and inter-annual components of the zonal velocity fluctuations.

In practice,  $(U_n)_{n \in \{1..N\}}$  and  $(V_n)_{n \in \{1..N\}}$  are unstructured fields: position and time change for each measurement, and there are no repeated measurements at any location. The time-averaged fields  $\overline{U}$ ,  $\overline{V}$  and  $U^m$  are thus obtained from a gridding method. Here we use optimal interpolation of the fields from Cravatte et al. (2012). The EKE field is then computed from a simple binned-average with a bin size of  $1^{\circ} \times 1^{\circ}$ .

Following Roullet et al. (2014), we define the Eddy Available Potential Energy (EAPE) as:

$$EAPE(x,y) = -\frac{g}{2\rho_0} \overline{\zeta'(x,y)\rho'(x,y)}$$
(5)

where  $\zeta'$  is the vertical isopycnal displacement and  $\rho'$  the density anomaly associated with this displacement. The EAPE used in this study comes from the dataset (Roullet, 2020). The EAPE has been computed for each Argo profile (0-2000 m) at 63 vertical levels and binned on a 0.25° ×0.25° horizontal grid. In this study we extracted the 1000 m-level. Outliers exceeding one standard deviation have been discarded. This corresponds to 1.3% of the data.

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#### 2.2.2 Estimation of dominant periods of varibility from wavelet transform

The dominant period is computed from the deep velocity time series associated with each individual float trajectory (e.g., Fig. 3a-c). A continuous wavelet transform using a complex Morlet wavelet with periods from 10 to 450 days and a 1-day increment is performed on these time series (Lee et al., 2019). This method results in a local estimation of the amplitude of the signal at each frequency (Fig. 3d). Note that it is not possible to estimate the wavelet transform for all periods near the beginning or the end of the time series, where edge effects potentially contaminate the signal (Torrence & Compo, 1998). Periods within this cone of influence are not considered (Fig. 3d). For each measurement time (or equivalently position), the period with the largest amplitude,  $A_n$ , is selected as the dominant period  $P_n$ . Amplitude weighted histograms of dominant periods,  $H_{\Omega}$ , are then constructed in a given region  $\Omega$  of the (x, y) plane, following Eq. 6:

$$H_{\Omega}(p, p + dp) = \frac{1}{N_{\Omega}} \left( \sum_{\substack{n \mid (x_n, y_n) \in \Omega \\ p < P_n < p + dp}} A_n \right)$$
(6)

where p is a given period and dp an increment. Here, we use p going from 10 to 450 days, with dp = 10 days. N<sub>Ω</sub> is the total number of measurements in the region of consideration. Note that this method retains only the most energetic frequency, regardless of the energy present at other frequencies.

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Four approximations are made for the computation of the dominant period:

First, we consider the velocity from the float time series as a real time series made of instantaneous velocities, while the velocity estimates given by the Argo product are ~ 10-day averaged Lagrangian velocities along float trajectories. This will filter out signals with periods shorter than 10 days. In addition, the time series has a 10-day sampling rate following the Argo cycle. The corresponding Nyquist frequency is one cycle per 20 days. Aliasing of higher frequencies is reduced but not eliminated by the 10-day averaging.

Second, we neglect the Lagrangian character of the measurements and take the velocity 219 time series as an Eulerian time series. This assumption is valid as long as the distance 220 travelled by the float during the estimated wave period is short with respect to the wave-221 lengths of the waves. The mean displacement of the floats increases with the estimated 222 period, and is maximal in the equatorial regions (Fig. 2b-d). It reaches 1.5° longitude and 223  $0.5^{\circ}$  latitude at intra-annual periods (typically 70 days) and  $6^{\circ}$  longitude and  $1^{\circ}$  latitude 224 at annual periods. The zonal displacements are short compared to the wavelengths derived 225 from the Equatorial waves dispersion relations ranging from a few hundreds of kilometers at 226 intra-annual periods to thousands of kilometers at annual periods. Likewise, the meridional 227 displacements remain shorter than the equivalent meridional wavelength of the Equatorial 228 waves (which are trapped within a few degrees of the Equator). 229

Third, we neglect the Doppler shift. In presence of a mean flow, the apparent period of the wave is indeed modified (e.g., Barbot et al. (2018)). This effect is stronger as the mean flow approaches the phase speed of the wave (see Appendix A).

Fourth, we neglect the Stokes drift, a non-linear advection of a particle in a wave motion, directed along the phase speed of the wave. It is generally weak (Weber, 2017) and is assumed to be negligible with respect to the mean currents.

As shown in the next sections, these approximations are validated *a posteriori* by the consistency of our results with other diagnostics.

#### 2.2.3 Statistical Scale Analysis

One of the aims of this study is to determine the scale dependence associated with the deep EKE footprint. Classical spectral analyses, including Fourier transforms, generally require uniformly sampled data. This condition is difficult to achieve with in-situ observations unless applying interpolation pre-processing. Moreover such methods can introduce significant errors, in particular when data are scarce. We thus develop in this study a met-



**Figure 3.** (a) Example of an individual float trajectory as a function of time (colorscale) from the YoMaHA database (float n°3557) (b) float U time series, (c) float V time series, (d) wavelet analysis of the V time series. The dashed area indicates the cone of influence; in this area, the estimations are biased by edge effects and are thus not considered.

ric that measures both the spatial and temporal scale dependence of the horizontal velocity
 anomalies from the unstructured Argo measurements.

This metric has been largely inspired by structure functions (Babiano et al., 1985; 246 Sérazin et al., 2020), developed to compute the scale dependence of kinetic energy from 247 irregular data sampling (Morel & Larcheveque, 1974). They have been successfully applied 248 to Lagrangian float measurements to study turbulent energy cascades (McCaffrey et al., 249 2015; Balwada et al., 2016). Most of the studies using structure functions however assume 250 homogeneous, stationary and isotropic flow statistics. These assumptions seem reasonable 251 252 for mid-latitude fully developed turbulence regimes but not for low-latitude wave-dominated regimes. 253

We define the Statistical Scale Function (hereafter SSF) as the mean squared difference between quantities at points separated by a given distance and time lapse. Because it considers both space direction and time separations, SSF accounts for the anisotropy of the flow and captures propagating patterns. It still assumes a statistical stationarity (the spectral characteristics of the variability do not evolve in time).

<sup>259</sup> Denoting  $\delta q$  the increment of a quantity q between two independent measurements (i, j)<sup>260</sup> with positions  $\vec{x}_i$  and  $\vec{x}_j$  and time  $t_i$  and  $t_j$ :

$$\delta q(\overrightarrow{x_i}, t_i, \overrightarrow{x_j}, t_j) = q(\overrightarrow{x_j}, t_j) - q(\overrightarrow{x_i}, t_i).$$
<sup>(7)</sup>

Under the assumption of homogeneity (the statistics do not depend on space and time in the region of focus), we define the SSF  $D_q$ , of a quantity q as:

$$D_q(\overrightarrow{dx}, dt) = \frac{1}{n_{ij}} \sum_{\substack{\{i,j\} \mid \overrightarrow{x_j} - \overrightarrow{x_i} = \overrightarrow{dx} \\ t_j - t_i = dt}} \delta q(\overrightarrow{x_i}, t_i, \overrightarrow{x_j}, t_j)^2$$
(8)

where  $\overrightarrow{dx}$  and dt are given separation vector and time lapse,  $\{i, j\}$  are the possible pairs of measurements that satisfy the condition  $\overrightarrow{x_j} = \overrightarrow{x_i} + \overrightarrow{dx}$  and  $t_j = t_i + dt$ , with  $n_{ij}$  the number of such pairs.  $D_q(\overrightarrow{dx}, dt = 0)$  corresponds exactly to the second order structure function of the quantity q.

Here, q is taken as U, V for the zonal and meridional components of the velocity. 267 In addition, we generally only take into account the zonal component of the separation 268 scale  $(\overrightarrow{dx} = dx \overrightarrow{i})$  as we mostly expect zonal propagation, and will simply write  $D_q(dx, dt)$ . Taking the full separation vector  $(\overrightarrow{dx} = dx \overrightarrow{i} + dy \overrightarrow{j})$  would considerably reduce the number 269 270 of pairs available at a given separation scale and would not lead to robust statistics. As a 271 consequence, computing SSF assumes homogeneous variables along the meridional direction. 272 For this assumption to be valid, we apply this metric in regions where we expect homogeneity 273 (Section 3.3). Tests have shown that our results are not particularly sensitive to the borders 274 of the regions within which we calculate SSF. 275

The separation scales, dx, dt, of the increments are binned on a  $0.5^{\circ} \times 5$  days grid, 276 yielding at least 100 pairs by bin. The size of the bins used for the gridding directly 277 determines the scales that are resolved for dx and dt. Assuming that the effective resolution 278 is approximately 3 times the grid resolution, the chosen grid resolves scales  $dx > 1.5^{\circ}$  and 279 dt > 15 days. Given the time series length and the region dimensions, periods longer than 280 9 years and zonal wavelengths shorter than  $160^{\circ}$  are filtered out. In order to avoid large 281 noise, only scales (dx, dt) for which the number of measurements is greater than 100 are 282 considered. 283

As an example, we consider the ideal case of a velocity field corresponding to a plane wave propagating along the x-direction. The wave is associated with the following velocity field:

$$\begin{cases} u(x,t) = 0\\ v(x,t) = v_0 sin(k_0 x - \omega_0 t) \end{cases}$$
(9)

It can be shown that the SSF is equal to zero along the phase line of the wave. Denoting  $c_0$  the phase speed of the wave  $(c_0 = \frac{\omega_0}{k_0})$ , the phase lines are defined by the equation  $dx = c_0 dt$ , and:

$$D_{v}(c_{0}dt, dt) = \frac{1}{n_{i}} \sum_{i} [v_{0}sin(k_{0}x_{i} - \omega_{0}t_{i}) - v_{0}sin(k_{0}(x_{i} + \frac{\omega_{0}}{k_{0}}dt) - \omega_{0}(t_{i} + dt))]^{2} = 0 \quad (10)$$

In particular, the SSF is equal to zero when the separation distance is equal to the wavelength and dt = 0 or when the time difference is equal to the period of the wave and dx = 0:

$$\begin{cases} D_v(0, \frac{2\pi}{\omega_0}) = 0\\ D_v(\frac{2\pi}{k_0}, 0) = 0 \end{cases}$$
(11)

The amplitude of the wave can be estimated from the amplitude of the SSF along its maximal amplitude lines (where either the distance is equal to the half wavelength or the time lapse is equal to the half period of the wave):

$$D_{v}(\frac{2\pi}{2k_{0}},0) = \frac{1}{n_{i}}\sum_{i} [v_{0}sin(k_{0}x_{i}-\omega_{0}t_{i})-v_{0}sin(k_{0}(x_{i}+\frac{2\pi}{2k_{0}}dt)-\omega_{0}t_{i}]^{2}$$
(12)

$$= 4v_0^2 \frac{1}{n_i} \sum_i \sin(k_0 x_i - \omega_0 t_i)^2 = 2v_0^2$$
(13)

assuming that (x,t) is uniformly randomly sampled and therefore that  $\frac{1}{n_i} \sum_i \sin(k_0 x_i - \omega_0 t_i)^2 = \frac{1}{2}$ .

In practice, the velocity is unlikely to be a pure wave field and velocities available 297 from the Argo dataset are not instantaneous Eulerian velocities but averaged Lagrangian 298 velocities. So we do not expect the SSF to be equal to zero at the scales corresponding to the 299 wavelength and wave period, but rather to reach a minimum, and we expect the amplitude 300 of the SSF to be underestimated. Similarly, if (x,t) is not uniformly sampled, the SSF at the 301 half-period and half-wavelength may depart from the theoretical formula given in Eq. 13. 302 In addition the waves might be dissipated, or wavetrains with different phases might coexist 303 and will reduce the coherence of the SSF signal at large dx and dt. The signature of the 304 dominant wave, if any, will thus more likely stand out for space time separation within a 305 few wavelengths or periods near the origin (dx = 0, dt = 0). 306

This method has been validated by advecting synthetic floats within a prescribed wave field and by controlling that the estimated wavelength and period match the prescribed ones (see Appendix B). Fig. B1 gives the typical SSF pattern if a wave signal dominates the dynamics. Given the limits of the method discussed above, we expect the amplitude to be generally underestimated. There are however fewer approximations with this method than with wavelet transforms described in Section 2.2.2.

#### 313 **3 Results**

# 314

### 3.1.1 Inhomogeneity of the spatial distribution of EKE

The deep (1000 m) zonal EKE (EKE<sub>U</sub>) is spatially inhomogeneous. It is larger at the Equator, especially in the  $170^{\circ}$ E-160°W longitude range where it can reach up to 200

3.1 Deep Eddy Kinetic Energy (EKE) in the tropical Pacific

 $cm^2s^{-2}$  (Fig. 4a and 5a). Part of this signal can be attributed to the annual variability, 318 which is strong at the Equator and decreases poleward to  $8^{\circ}$  (Cravatte et al., 2012). Once 319 the mean annual cycle is filtered out, the residual EKE (EKE<sub> $U^*$ </sub>) containing the intra- and 320 inter-annual variability still shows a maximum along the Equator, although its amplitude 321 is reduced to  $170 \text{ cm}^2\text{s}^{-2}$ , and the western part ( $170^\circ\text{E}\text{-}160^\circ\text{W}$ ) of the signal has been 322 considerably reduced (Fig. 4b). A second relative  $\text{EKE}_U$  maximum (~40 cm<sup>2</sup>s<sup>-2</sup>) also shows 323 up north of the Equator ( $10^{\circ}$ N- $17^{\circ}$ N), while the southern hemisphere ( $< 5^{\circ}$ S) exhibits a 324 very weak  $\text{EKE}_U$  (< 10 cm<sup>2</sup>s<sup>-2</sup>). 325

The deep (1000m) meridional EKE (EKE<sub>V</sub>) also has an heterogeneous regional distribution. The dominant signal (> 100 cm<sup>2</sup>s<sup>-2</sup>) is found along the western boundary (Fig. 4c). The EKE<sub>V</sub> is also larger at the Equator, with distinct maxima in the western part (~170°E-170°W) and in the eastern part (~130°W-110°W) of the basin (Fig. 4c). A relatively large amount (~30 cm<sup>2</sup>s<sup>-2</sup>) of EKE<sub>V</sub> is also found in the northern part of the basin (10°N-17°N) (Fig. 4c and 5b), while the EKE<sub>V</sub> in the southern hemisphere is concentrated around the many islands, west of 170°W (Fig. 4c).

Superimposed on this large regional scale distribution of zonal and meridional EKE, variations of the amplitude are also observed at small meridional scales (Fig 5a and 5b), with zonally-coherent, meridionally-alternating maxima and minima of EKE. When compared to the structure of the meridionally-alternating mean zonal velocities (Cravatte et al. (2012), blue curve Fig. 5), local EKE maxima are found to coincide with eastward jets and local EKE minima with westward jets equatorward of 15°. EKE<sub>U</sub> local maxima are also observed in westward jets at 4°S and 7°S though.

Based on these results, we define 5 regions in which we analyze the characteristics of the large-scale EKE distribution in the following sections 3.2 and 3.3: the Eastern Equatorial region (EE: 155°W-90°W, 3°S-3°N), the Western Equatorial region (EW: 165°E-175°W, 3°S-3°N), the North Tropical Pacific (TN: 170°E-125°W, 7°N-17°N), the South Tropical Pacific (TS: 160°W-90°W, 7°S-17°S) and the Western Boundary region (WB: 125°E-135°E, 0°-17°N) (Fig 4c). The small-scale (jet-scale) EKE distribution is investigated in Section 3.4.

#### 3.1.2 Annual modulation of the EKE

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A monthly climatology of the EKE shows that some regions undergo an annual inten-347 sification of the EKE. This is the case in particular in EE region, where stronger  $EKE_V$  (up 348 to  $35 \text{ cm}^2 \text{ s}^{-2}$ ) is observed in boreal autumn and winter (September to February) (Fig. 6a). 349 Similarly in the TN region,  $EKE_V$  increases from 10 to 15 cm<sup>2</sup> s<sup>-2</sup> between June and De-350 cember. Although it is less pronounced, the EW region also follows the same annual cycle, 351 with an increase of  $\text{EKE}_V$  from 15 to 17-20 cm<sup>2</sup> s<sup>-2</sup> between May and December-January. 352 The  $EKE_U$  at the Equator also present annual intensification mostly during spring and 353 summer times (Fig. 6b). In the EW region,  $EKE_{II}$  increases to 225 cm<sup>2</sup> s<sup>-2</sup> between June 354 and September. In the EE region,  $EKE_U$  is intensified (up to 120 cm<sup>2</sup> s<sup>-2</sup>) between May 355 and August (Fig. 6b). Conversely, TS and WB regions do not present any climatological 356 annual variations. 357

#### 358 **3.2** Dominant Periods of Variability

This section investigates the temporal scales (periods) associated with the velocity anomalies (U', V' and U\*) in the different regions identified in section 3.1. Figure 7 shows the dominant periods in the different regions from the amplitude weighted histograms, as described in Section 2.2.2.

The zonal velocity U' is mostly associated with long periods (> 150 days). The Equatorial region (EW and EE) shows a dominant annual period of  $\sim$ 360 days, that can be associated with the well-documented vertically-propagating annual Rossby wave (Fig. 7b) (Kessler & McCreary, 1993; Marin et al., 2010). In addition the EE region has energy at



Figure 4. Map of (a)  $\text{EKE}_U$ , (b)  $\text{EKE}_U^*$  (corresponding to the EKE associated with the zonal component of the velocity for which the mean annual cycle has been filtered out), (c)  $\text{EKE}_V$  computed from the 1000m velocity anomalies and binned on a  $1^{\circ} \times 1^{\circ}$  horizontal grid over the period 1997-2019.



**Figure 5.** Mean zonal velocity  $\overline{U}(y)$  (blue) and (a)  $\text{EKE}_U$ , (b)  $\text{EKE}_V$  (red) at 1000 m averaged from 180° to 120°W (black box shown in Fig. 4) over the period 1997-2019.



Figure 6. Monthly climatology (average from the first day to the last day of each month) of the  $\text{EKE}_U$  (dashed) and  $\text{EKE}_V$  (solid) in the different regions (colors) zoomed between (a) 0-50 cm<sup>2</sup>s<sup>-2</sup> and (b) 50-250 cm<sup>2</sup>s<sup>-2</sup>.



Figure 7. Periodograms for U' (top),  $U^*$  (middle) and V' (bottom) at the western boundary (left), in the equatorial regions (middle) and in the extra-equatorial regions (right). The periodograms are computed from wavelet analysis as  $\frac{H}{H_{max}}$ , where H follows Eq. 6. It is computed for periods from 10 to 450 days with 10-day increments for U' and V' and from 10 to 145 days with 5-day increments for  $U^*$ .

semi-annual period. Off-Equatorial regions (TN and TS) have peak energy amplitude in the
368 350-380 period range (Fig. 7c). When filtering out the mean annual cycle (U\*) and focusing on the intra-annual variability, a dominant period of 120 days is found at the Equator
(Fig. 7e) and an energy peak is found in the period range 60-80 days in the WB region and
around 75 days in TN and TS regions (Fig. 7d and 7f respectively).

Conversely, the meridional velocity V' is dominated by intra-annual periods (< 150 days) in all regions. Dominant periods of 30 days are found in the EE region (Fig. 7h), 50-day periods in the WB and EW regions (Fig. 7g and 7h) and ~75-day periods in the TN and TS regions (Fig. 7i).

As explained in Section 2.2.2, this method retains the dominant period, but variability at other periods may be present too. In particular, this could explain why maxima of U' and V' variability are not found in the same period ranges. The two variables are the dominant signature of different variability sources. It is however interesting to notice the similarity between U\* and V'. Both variables have intra-annual variations with a dominant period around 75 days off the Equator.

382 3.3 Regional Statistical Scale Analysis

Hovmöller diagrams of the zonal and meridional velocity anomalies estimated from individual floats along given latitudes (eg., along the Equator in Fig. 8) show an organisa-



**Figure 8.** Hovmöller diagram of (a) zonal (U) and (b) meridional (V) 1000 m velocities along the Equator, within the latitude range 2°S-2°N.

tion of the positive and negative anomalies of the velocity into tilted lines, consistent with westward propagation of waves or eddies

The period and wavelength can be estimated as the interval between two anomalies 387 of the same sign on the Y-axis and X-axis respectively. The zonal velocity is associated 388 with longer periods and wavelengths (around 1 year and several thousands of km) (Fig. 8a) 389 than the meridional velocity (few days and few degrees) (Fig. 8b). In addition, zonal and 390 meridional velocities do not share constant periods, wavelengths and amplitudes across the 391 basin. The zonal velocity has longer periods ( $\sim 1$  year) in the western part (west of 220°) 392 than in the eastern part of the basin ( $\sim$  half a year east of 220°E) (Fig. 8a). The meridional 393 velocity shows a clearer pattern of phase lines east of  $180^{\circ}$ , where the amplitude of the 394 velocity is also larger (>10 cm s<sup>-1</sup>). 395

Although the Hovmöller diagram already determines the period and wavelength associated with the observed anomalies, it presents some limitations. First, it requires a large number of measurements concentrated in some longitude-time intervals. This requirement is met only in certain regions such as the eastern Equatorial Pacific. Second, the short scales are difficult to capture with this method (e.g., Fig. 8b).

These reasons led us to develop the statistical scale analysis approach presented in Section 2.2.3. This approach no longer considers the time and position of each observation but rather the time difference and the distance between pairs of observations. This takes better advantage of all available information, leading to a more robust estimation. In the remainder of this section, the temporal and spatial characteristics of the velocity components are investigated in each region defined in Table 1 and Fig. 4c using the SSF described in Section 2.2.3.

#### 3.3.1 Western Equatorial Pacific (EW)

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The  $SSF_{U'}$  in the EW region shows three relative minima and two relative maxima 409 along tilted lines (Fig. 9a). A minimal amplitude of the SSF is associated with a maximal 410 phase correlation with respect to the origin. The time lapse dt and distance dx at which 411 SSF is minimal are multiples of the period and wavelength (Section 2.2.3). The period can 412 be easily estimated by finding where minimal lines cross the dx = 0 axis. This is found 413 for dt = 0, dt = 380 and dt = 720, giving a period estimate of around 360 days. The 414 half-wavelength can be estimated by extending the first maximum line until reaching the 415 dt = 0 axis. This gives  $dx = 70^{\circ}$  a wavelength estimate of about 140°. The estimated phase 416

speed is  $0.5 \text{ m s}^{-1}$ . In the WE region, the zonal velocity anomalies thus show a westward-417 propagating signal at an annual period and a basin-scale wavelength ( $\sim 140^{\circ}$ ), coherent over 418 at least two years and  $15^{\circ}$  longitude (Fig. 9a). This confirms the importance of the annual 419 cycle in this region, taking the form of a Rossby wave, as shown by Cravatte et al. (2012). 420 The amplitude of the  $SSF_{U'}$  is 400 cm<sup>2</sup>s<sup>-2</sup>, which corresponds to a wave amplitude of 10 421  $\mathrm{cm} \mathrm{s}^{-1}$  (Section 2.2.3), also compatible with Cravatte et al. (2012). The meridional velocity 422 anomalies also show a westward-propagating signal with a smaller phase speed  $(0.2 \text{ m s}^{-1})$ 423 and a shorter period (50 days) and wavelength ( $\sim$ 750 km) (Fig. 9b). In addition, the signal 424 amplitude (3.2 cm s<sup>-1</sup>) and coherence (<100 days and <10°) are much weaker than the 425 ones for the zonal velocity. This can be caused for example by a time modulation of the 426 source, or by the presence of a strong vertical component of propagation. 427

<sup>428</sup> Zonal and meridional EKE period estimates from the SSF are consistent with those <sup>429</sup> from Section 3.2. This shows the consistence of both methods.

#### 3.3.2 Eastern Equatorial Pacific (EE)

In the EE region, a westward propagation of the zonal velocity anomalies at semi-431 annual (~200 days) periods with a wavelength of  $60^{\circ}$ , a phase speed of 0.38 m s<sup>-1</sup> and an 432 amplitude close to 6 cm s<sup>-1</sup> is observed (Fig. 10a). Meridional velocity anomalies also have 433 a westward phase propagation  $(0.39 \text{ m s}^{-1})$ , but at intra-annual period (30 days) and with 434 a wavelength of 1000 km (Fig. 10b). This signal shows an amplitude of  $\sim 4.5$  cm s<sup>-1</sup> and a 435 strong phase coherence over at least  $20^{\circ}$  and 300 days. It is, however, modulated by a low 436 frequency annual cycle (Fig. 8b shows an intensification at the end of 2016 and beginning 437 of 2017, during boreal winter time). 438

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#### 3.3.3 North Tropical Pacific (TN)

In the TN region, a westward propagation of meridional velocity anomalies with phase speed around 0.09 m s<sup>-1</sup> is observed (Fig. 11a). It is associated with periods of 70 days and wavelengths of ~500 km. The SSF<sub>V</sub> has an amplitude of ~30 cm<sup>2</sup>s<sup>-2</sup>, which corresponds to anomalies of amplitude ~3 cm s<sup>-1</sup>. The signal loses coherence at dt>100 days and dx>10°.

#### 3.3.4 South Tropical Pacific (TS)

The SSF<sub>V'</sub> in the TS region shares features with the TN region, including a westward propagation with phase speed around 0.09 ms<sup>-1</sup> (Fig. 11b) and similar scales (periods of 70 days and wavelengths of ~500 km). Its amplitude (14 cm<sup>2</sup>s<sup>-2</sup>) is, however, much weaker than in the TN region, as already evidenced from Fig. 4c, and the phase decoherence occurs at shorter scales. This suggests that although they are energetically important, the waves or eddies associated with these patterns are very transient and localized, which makes them difficult to capture.

#### 3.3.5 Pacific Western Boundary (WB)

<sup>453</sup> In the WB region, we performed SSF in the x and in the y direction, but no particular <sup>454</sup> patterns have been found. This can result from the fact that many different scales are <sup>455</sup> present and superimposed.

3.3.6 Conclusions

In summary, the variability associated with U' is intensified in the equatorial band and is found on large scales (annual and semi-annual periods with wavelengths of the order of the basin scale). The variability associated with V' is found at the Equator and north of the Equator at shorter scales (periods smaller than 70 days and wavelengths smaller than 1000 km) (Table 2).



**Figure 9.** SSF for (a) U' and (b) V' at 1000 m depth in the box EW of Fig 4. All grid cells contain at least 100 realizations.



Figure 10. Same as Fig. 9 in the box EE.



Figure 11. Same as Fig. 9 for V' only in (a) TN and (b) TS.

#### 3.4 Strengthening of EKE at the jet-scale

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The aim of this section is to better document the characteristics of the maxima of EKE found in eastward jets (Fig. 5). The method used in the previous sections to estimate the period and wavelength associated with the EKE relies on statistical approaches within large regions (Sections 2.2.2 and 2.2.3). Therefore, it cannot be applied at such a small scale as the jets scale. The approach here is to document and quantify the possible origin of this meridional distribution of EKE.

Distributions of zonal and meridional velocity anomalies, U' and V', are unimodal in 469 eastward and westward jets (Fig. 12). The standard deviation  $\sigma$  measures the spread of the 470 distribution values. Eastward jets have higher  $\sigma$  (7.1 and 4 for U' and V') than westward jets 471 (5.2 and 2.7 for U' and V'). So velocities reach more frequently extreme values in eastward 472 jets. The eastward to westward standard deviation ratio for V' ( $\sigma_e/\sigma_w=1.5$ ) is stronger 473 than for U' ( $\sigma_e/\sigma_w=1.3$ ), showing a stronger signature of the variability in V' than in U'. In 474 addition, this variability is also associated with a strong signature in EAPE: Fig. 13 shows 475 local maxima of EAPE inside eastward jets, showing that the jet-scale EKE is associated 476 with strong isopycnal fluctuations. All these results suggest the presence of waves or eddies 477 intensified locally inside the eastward jets. 478

The jet-scale intensified EKE can be generated either locally (through instability of the 479 mean flow for example), or remotely and reach these depths and regions through propa-480 gation. For geostrophic flows, barotropic instability occurs when the gradient of potential 481 vorticity changes sign within an isopycnal layer. In this case, the condition can be approxi-482 mated by a change of sign of  $-\partial_{uu}\overline{U} + \beta$ , where  $\beta$  is the meridional gradient of the Coriolis 483 parameter (Rayleigh, 1879; Drazin & Howard, 1966; Cushman-Roisin & Beckers, 2011). In 484 the jets, this condition is almost never satisfied, except possibly in the westward jet near 485  $3^{\circ}$ S (Fig. 14a). The mean circulation is stable to barotropic perturbations. We thus do not expect the MKE to transfer energy to the EKE locally. The EKE has to come from a 487 remote energy source or other physical processes, such as baroclinic instability. 488

Conversely, the EKE can have a local effect on the mean flow. The  $-\overline{U}\partial_u\overline{U'V'}$  term 489 acts as a source term in the MKE budget (e.g., Gula et al. (2016); Capó et al. (2019)). This 490 term is found to be predominantly positive within  $7^{\circ}$  of the equator (Fig. 14b), revealing a 491 transfer of energy from EKE to MKE except in the jet near 3°S  $(-\overline{U}\partial_u \overline{U'V'} < 0)$ , where 492 barotropic instability can be active in transferring MKE to EKE (Fig. 14a). The amplitude 493 of the EKE to MKE transfer is roughly  $2.10^{-11}$  m<sup>2</sup>s<sup>-3</sup>. Given the amplitude of the MKE 494  $(2.5 \times 10^{-3} \text{ m}^2 \text{s}^{-2} \text{ based on a 5 cm s}^{-1} \text{ mean velocity } \overline{U})$ , the time scale for the EKE to 495 significantly impact the MKE is of order 1000 days, which should remain shorter than the 496 dissipative timescale. In the next section, a potential mechanism that is compatible with 497 the idea of energy transfer from EKE to the mean flow is discussed. 498

#### 499 4 Summary and Discussion

Generally zonal velocities are found to vary on large temporal and spatial scales, from 500 semi-annual to annual periods and several thousands of kilometers (Table 2) with a large 501 amplitude that accounts for 64% of the total kinetic energy (not shown). The meridional 502 velocities are found to vary on much shorter temporal and spatial scales at intra-annual 503 periods (30-100 days) and few hundreds of kilometers. When annual variability is filtered 504 out, the zonal velocities also exhibit intra-annual periods, compatible with the meridional 505 velocities off the Equator. The EKE is also larger close to the Equator and tends to decrease 506 poleward, although local maxima are observed, especially in the northern part of the basin. 507



Figure 12. Statistics of (a) U' and (b) V' in eastward jets (red histograms) and westward jets (blue histograms). Histograms are normalized such that the integral over the range is 1. They represent the probability density function at the bin. Statistical indicators are given in the legend.  $\overline{U'}$ ,  $\overline{V'}$ : velocity fluctuations averages,  $\sigma$ : standard deviation,  $\beta$ : kurtosis.



**Figure 13.** Mean zonal velocity  $\overline{U}(y)$  (blue) and EAPE: Eddy Available Potential Energy (red) at 1000 m averaged from  $180^{\circ}$  to  $120^{\circ}$ W.



Figure 14. Mean zonal velocity  $\overline{U}(y)$  (blue) and (a) Barotropic instability criterion (red); (b) production term in the MKE budget that corresponds to EKE $\rightarrow$ MKE energy transfer (red) at 1000 m averaged from 180° to 120°W.

#### 4.1 Waves propagation and possible generation mechanisms

The aim of this section is to examine how the observed EKE can be consistent with the signature of propagating planetary waves and to discuss their possible generation mechanisms.

We define the non-dimensional parameter M as:

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$$M = \frac{Ak^2}{\beta} \tag{14}$$

where A is the amplitude of the variability in zonal or meridional velocity, k its meridional 512 or zonal wavenumber respectively and  $\beta$  the meridional gradient of the Coriolis parameter. 513 M is a measure of the non-linearity of planetary flows (e.g., Gill (1974)).  $M \ll 1$  indicates 514 quasi-linear flow and M>1 indicates non-linear flow. This parameter is computed in each 515 region using the characteristics found from the scale analysis (Table 2). Note that for U', 516 the equivalent meridional wave scale is taken as the latitude range length of the regions in 517 which the SSF is applied and can be underestimated. In all regions,  $M < 10^{-1}$ . This suggests 518 that the deep EKE footprint is more likely to be associated with linear waves than with 519 non-linear eddies. The different scales signature for  $EKE_{II}$  and  $EKE_{V}$  can result from the 520 different KE signature of Equatorial waves. Long waves have indeed higher KE associated 521 with the zonal component of the velocity, while short waves have higher KE associated with 522 the meridional component of the velocity. 523

The Equatorial zonal velocity anomalies are associated with annual periods in the west-524 ern part ( $\sim 180^{\circ}$ ) of the basin and with semi-annual periods in the eastern part ( $\sim 125^{\circ}$ W). 525 Both scales are compatible with long Rossby waves dispersion relation (Fig. 15). The east-526 west difference can be explained by the vertical propagation of the energy associated with 527 Equatorial Rossby waves of annual and semi-annual periods. Annual Rossby waves have a 528 shallower ray path and reach 1000 m at about  $70^{\circ}$  west of their generation site (Fig. 16). If 529 they are generated close to the eastern boundary by reflection of wind-generated equatorial 530 Kelvin waves (as suggested by White (1977)), they are expected to reach 1000 m around 531  $180^{\circ}$ , as observed in the western part (EW). Our data also show that annual variability can 532 be present in the middle or eastern part of the basin (Fig. 7b). This is compatible with 533 earlier observations (Kessler & McCreary, 1993; Cravatte et al., 2012) that have detected an-534



Figure 15. Dispersion relation for Equatorially-trapped and barotropic Rossby waves for a realistic basin-averaged stratification from the climatology CARS (Ridgway & Dunn, 2007). Green: Kelvin waves, Black: Yanai Waves, Red: Inertia-Gravity waves, Blue: Rossby waves. Thick lines : First baroclinic modes. Solid lines: odd meridional modes. Dashed lines : even meridional modes. Yellow: barotropic Rossby wave dispersion relation for  $k_y = 0$ ,  $10^{-6}$ ,  $2 \times 10^{-6}$  m<sup>-1</sup> and  $4 \times 10^{-6}$  m<sup>-1</sup>. Colored dots : (k,  $\omega$ ) for V' from estimation in the different regions (Table 2). Colored squares: same for U'.

nual Rossby waves at 140°W. This could be explained by the propagation of annual Rossby 535 waves in the presence of the mean currents (Vallis, 2017). Similarly, semi-annual Rossby 536 waves reach 1000 m at  $40^{\circ}$  west of their generation site (Fig. 16). If generated close to the 537 eastern boundary, they are thus expected around  $130^{\circ}$ W, a longitude consistent with the 538 one observed. This supports the idea of vertically-propagating long Rossby waves generated 539 at the surface close to the eastern boundary. The filtered equatorial zonal velocity,  $U^*$  has 540 periodic fluctuations around 75 days and 120 days (Fig. 7e), which are compatible with 541 wind-driven Equatorial Kelvin waves as reported from satellite altimetry and subsurface in 542 situ data analysis (Cravatte et al., 2003). 543

The equatorial meridional velocity anomalies present a strong signal in the spectral 544 range of the Tropical Instability Waves (TIWs) at 30 days, 1000 km in the eastern part 545 of the basin. This signal is compatible with high baroclinic mode or vertically-propagating 546 Yanai waves (Fig. 15). It can be related to the downward propagation of TIWs, as evidenced 547 from moorings observations in the Atlantic ocean (e.g., Bunge et al. (2008); Von Schuckmann 548 et al. (2008); Tuchen et al. (2018)). The TIWs in the equatorial Pacific are also associated 549 with periods of 17 days (Lyman et al., 2007). Although our method does not enable us to 550 estimate periods shorter than 20 days (Section 2.2.2 and Appendix A), a possible aliasing 551 of shorter periods cannot be excluded. The phase speed of the V' propagation (Fig. 10) 552 is compatible with estimates for TIWs (Chelton et al., 2000; Willett et al., 2006). In 553 addition, TIWs are known to be intensified at the surface from July to November (Willett 554 et al., 2006). We observe the intensification of the deep TIWs-like signal from September to 555 February (Fig. 6a). This temporal lag is consistent with the downward energy propagation 556 time of about 3 months for Yanai waves of period 30 days and wavelength  $10^{\circ}$  (Fig. 16). 557 All these elements support the vertical propagation of TIWs at depths of at least 1000 558 m. In the western part of the basin, waves at 50-day periods and 750-km wavelengths are 559 observed, matching the dispersion relation for second meridional and first baroclinic mode 560



Figure 16. Ray tracing of Equatorial Rossby waves of meridional mode 1 with an annual period (blue line) and semi-annual period (orange line) and of a Yanai wave of period 30 days (green line) initially generated at the surface. The lines indicate the vertical propagation of the energy, they are graduated with a scale of one month (dots), the arrows indicate the phase speed of the wave. The stratification is taken from the climatology CARS (Ridgway & Dunn, 2007) averaged over the entire tropical Pacific.

short Rossby waves (Fig. 15). Although they have not been extensively mentioned in the
 literature, they are compatible with the observations of Bunge et al. (2008) and Tuchen et
 al. (2018) in the Atlantic ocean.

The off-equatorial meridional velocity anomalies (poleward of  $7^{\circ}$ ) have a dominant spec-564 tral signature at 70 days and 500 km. These spectral characteristics are compatible with the dispersion relation of barotropic Rossby waves for non-zero meridional wavenumber or high 566 meridional and baroclinic mode Rossby waves. These characteristics are also compatible 567 with the presence of westward-propagating eddies with diameters of 200-250 km, which is 568 the typical scale expected at these latitudes (Chelton et al., 2011; Yang et al., 2013). An 569 important source of eddy generation is located along the central America coast, where the 570 wind form eddies of diameter around 150-300 km, that propagate further west at speeds 571 of 5-15 cm s<sup>-1</sup> (Stumpf & Legeckis, 1977; Willett et al., 2006; Kurczyn et al., 2012). The 572 distinction on the nature (waves or eddies) of the observed anomalies at depth is not triv-573 ial. Several arguments however, would support that these anomalies are associated with 574 waves rather than eddies. The non-linearity parameter is of order  $10^{-1}$  (Table 2) and the 575 signal is found to be coherent over the latitudinal extent of the TN region, that is at least  $7^{\circ}$ 576 (Fig. 11). This meridional coherence suggests large meridional scale anomalies. This is com-577 patible with the presence of barotropic Rossby waves, which are not equatorially-trapped 578 and can have large meridional extent. Farrar (2011) noted the presence of barotropic Rossby 579 waves radiating from the TIWs and reaching 20°. However, the period and wavelength he 580 measured are not compatible with those observed here. The question of the origin of these 581 waves remains. Some evidence of waves generated by barotropic and baroclinic instabil-582 ities of surface currents is given by Farrar and Weller (2006) from observations at  $10^{\circ}$ N. 583 125°W in the tropical Pacific, and by Tchilibou (2018) from a realistic mesoscale resolv-584

ing simulation of the tropical Pacific. In the latter study, the author shows that in the
North Tropical Pacific, the shear and density gradient between the westward NEC and the
eastward Subtropical Counter Current (STCC) and Hawaian Lee Counter Current (HLCC)
(Fig. 1) generate barotropic and baroclinic instabilities. A spectral energy budget shows
that baroclinic instability produces EKE at intra-seasonal periods (close to 50 days) and
short wavelengths (300km). Barotropic instability is smaller but produces EKE at 100-day
periods and 400-km wavelengths.

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## 4.2 A link with the formation and maintenance of the mean jet-structured circulation ?

Our study gives some insight into the possible origin of the mean deep circulation, 594 with its alternating zonal jets. The maxima of meridional variability (Fig. 5b) and EAPE 595 (Fig. 13) in eastward jets is inconsistent with the eddy-averaging hypothesis (Berloff et al., 596 2011), which would lead to maxima between the jets. Several studies have shown that waves, 597 and in particular short intra-annual waves, are a possible energy source for the mean deep 598 Equatorial circulation. They efficiently propagate energy downward because of their steep 599 ray paths (Cox, 1980) and they are prone to instabilities that develop jet-like structures 600 (Hua et al., 2008; Ménesguen et al., 2009). Forcing a numerical simulation with a wave 601 maker of 50-day period at the Equator, Ménesguen et al. (2009) were able to reproduce the 602 near-equatorial jets within the 3°S-3°N latitude range. Similarly, Ascani et al. (2010) were 603 able to reproduce meridionally-alternating jet-like structures in the near-equatorial band by 604 forcing a vertically-propagating Yanai wave beam at 30-day period and 1000-km wavelength. 605 The short waves (periods <100 days and wavelengths <1000 km) observed in this study at 606 the Equator and off the Equator at 1000 m depth give some observational evidence for the 607 energy sources required by this mechanism. 608

The modulation of the EKE and EAPE intensity at the jet scale with higher EKE and 609 EAPE inside eastward jets is compatible with a process called 'jet sharpening' that might 610 help maintain these jets (Dritschel & McIntyre, 2008; Dritschel & Scott, 2011). The presence 611 of the jets lead to a modification of the background potential vorticity gradient, shaped as a 612 staircase profile with fronts inside eastward jets (Delpech et al., 2020). The jet-sharpening 613 theory predicts that these potential vorticity staircases will modify the Rossby elasticity 614 and favor a wave regime inside the eastward jets (as Rossby waves need a potential vorticity 615 gradient to propagate) and a turbulent regime inside westward jets. This dual regime 616 maintains the potential vorticity staircases and thus the jets themselves. Therefore, we can 617 expect the wave dynamical signal to be intensified in regions of strong potential vorticity 618 gradients. The Argo observations provide a 10-day low pass filtered EKE, that will more 619 likely capture the wave regime than the high frequency turbulent mixing. The observations 620 of higher EKE and EAPE within eastward jets (Fig. 5 and 13) are thus consistent with the 621 jet-sharpening theory. Another consequence of the presence of jets and varying background 622 potential vorticity gradients is the local modification of the phase speed of the wave. This 623 deforms waves fronts and can create a convergence of energy flux (Greatbatch et al., 2018) 624 and a transfer of energy towards the mean flow (MKE), as evidenced from Fig. 14b. 625

To conclude, observations of intensified EKE and APE along eastward jets are consistent with a variability associated with propagating Rossby waves whose structure is locally modified by the deep jets structure. They can also possibly support the jet sharpening mechanism. These results remain qualitative and a full understanding of the mechanisms would require numerical simulations that are beyond the scope of this study.

#### 4.3 Perspectives

631

This study provides the first basin-scale description of the 1000 m EKE, from Lagrangian float displacements. Although the analysis of this unique database has provided new insight into the spatial and temporal variability of currents at 1000 m, it is, like all observational analyses, limited by the characteristics of the observations.

One limitation arises from the temporal and spatial sampling of the Argo drifts. Dur-636 ing the 2014-2018 period when sampling was most frequent, there were an average of 10 637 measurements per square degree per year. To retain robust statistics, we were unable to 638 choose a region that is too narrow as this limits the number of measurements. For this 639 reason, the scale analysis could not be refined to characterize the scales of energy in small 640 localized regions (as inside jets). However, the increasing number of floats over time, and 641 642 the recommendation of doubling Argo density in the equatorial ocean (Smith et al., 2019) should allow higher resolution in future studies. 643

Another limitation is imposed by the quasi-Lagrangian nature of the Argo drift velocities. Ideally, a systematic method to correct the Doppler shift would allow us to estimate the wavenumber and frequency of the EKE with a good precision for each float and would thus provide a local information about the different scales of variability, as well as their spatial and temporal variations. Such corrections are however not straightforward and often require strong assumptions (Barbot et al., 2018). No appropriate method exists to date and this would deserve a full investigation in future studies.

Finally, although the methodology developed in this study has been applied to provide 651 a description of the spectral content at 1000 m depth only, it will hopefully be useful to 652 investigate the energy scale dependence at other depths. In particular, a comparison with the 653 scale dependence of surface variability, using for example drifters velocities, in combination 654 with theoretical energy ray tracing would help determining which part of the observed deep 655 spectrum is surface driven and which part is internally driven. In addition, a more thorough 656 analysis of the vertical energy propagation using the EAPE at the different depths would 657 be valuable as an attempt to address the question of the vertical energy propagation in the 658 equatorial regions. 659

|                        | 142            | 6                | 4.2                    | 9.2                              | 755                                     | 7735   | 89                          | WB   |           |
|------------------------|----------------|------------------|------------------------|----------------------------------|---|--|-----------------------------|--|-----------|
|                        | 1009           | 35               | 3.3                    | 15.6                             | 1325                                    | 45782  | 402                         | TN   |           |
|                        | 1233           | 34               | 2.5                    | 11.6                             | 1160                                    | 46079  | 451                         | $\operatorname{SL}$                            |           |
|                        | 1236           | 18               | 2.2                    | 15.5                             | 903                                     | 21278  | 267                         | EE   |           |
|                        | 405            | 7                | 1.9                    | 13.9                             | 656                                     | 8237   | 172                         | EW   |           |
|                        | 19994          | 179              | 4.0                    | 16.5                             | 1416                                    | 256139                                       | 1879                        | trp. Pacific                                   |           |
|                        | # clim.        | # synchron.      | avg. y-dist<br>(° lat) | avg. x-dist<br>(° lon)           | avg. lifetime<br>(days)                 | # measurements                               | # floats                    |  |           |
| lim.) represents the   | rements (# c   | tological measu  | umber of clima         | lays and the n<br>field (Eq. 3). | intervals of 10 c<br>instruct the $U^m$ | the region per time<br>ical month used to co | urements in<br>r climatolog | mean number of meas<br>per of measurements per | ihe<br>mt |
| ments (# synchron.)    | ous measure    | iber of synchron | gion. The num          | y in a given re                  | ch float trajector                      | ional extension of eac                       | l and merid                 | averaged maximal zona                          | Je        |
| rg. y-dist) y-distance | -dist) and (av | distance (avg. x | The average x-         | a given region.                  | by each float in a                      | e averaged time spent                        | presents the                | fetime (avg. lifetime) re                      | e li:     |
| 6°E, 0°-17°N (Fig 4).  | B: 125°E-135   | W, 7°S-17°S, W   | TS: 160°W-90°          | W, 7°N-17°N.                     | TN: 170°E-125°V                         | °W-90°W, 3°S-3°N.                            | °N. EE: 155                 | W: 165°E-175°W, 3°S-3                          | E         |

Table 1. YoMaHA database statistics of 1000 m velocity estimates in the tropical Pacific basin for the whole Argo period 1997-2019. trp. Pacific: 120°E-70°W, represents th The average represent th minimal nur  $20^{\circ}\text{S}-20^{\circ}\text{N}.$ 

| ). Note that the equivalent meneter, is a non-dimensional par.<br>, $M \gg 1$ indicates a strong non $\left  \begin{array}{c} T \\ T \\ \bullet \\ \end{array} \right $ (day<br>$\bullet \\ EW \\ \bullet \\ TN \\ \bullet \\ TN \\ \end{array}$ | $\gamma$ linearity, th<br>$\lambda$<br>$\gamma$ (km)<br>$\gamma$ -5000<br>$\gamma$ -15000<br>$\gamma$ - | $\begin{array}{c} U'\\U'\\A\\(\mathrm{cm.s}^{-1})\\6.3\\10\\-\end{array}$ | $(m.s^{-1})$ | iated with e<br>$\begin{bmatrix} M\\ (-)\\ \leq 5.10^{-3}\\ \leq 5.10^{-3} \end{bmatrix}$ | $\begin{array}{c} \text{Iddies.} \\ T \\ (\text{day}) \\ 30 \\ 50 \\ 70 \end{array}$ | $\begin{array}{c}\lambda\\(\mathrm{km})\\750\\500\end{array}$ | $\begin{array}{c}V'\\A\\({\rm cm.s^{-1}})\\4.5\\3.5\\3\end{array}$ | $egin{array}{cc} c_{arphi} \ ({ m m.s}^{-1}) \ 0.39 \ 0.22 \ 0.09 \ \end{array}$ | $\begin{matrix} M \\ (-) \\ 1.10^{-2} \\ 1.10^{-1} \\ 2.10^{-1} \end{matrix}$ | $U_{*}$ $U_{*}$ $U_{*}$ $(day)$ $120$ $120$ $120$ 70 | absoclated with |
|--|---|---|--------------|---|--|---|--|--|---|--|-----------------|
| • TS 360   | -   | I   |              |   | 70   | 500   | 1.5  | 0.09   | $1.10^{-1}$   | Ι  |                 |
| • WB 360   |   | I   | l            | I   | $\sim 60$  | I   | I  | I  |   | $\sim 80$  |                 |

Table 2. Summary of characteristic scales found in each region defined in Fig. 4 for the zonal velocity (U), the meridional velocity (V) and the zonal velocity minus the seasonal cycle  $(U^*)$ . The seasonal cycle is mostly present in the 5°S-5°N band,  $U^*$  is thus computed for the EE and EW regions only. T is the period,  $\lambda$  the

## Appendix A Wavelet Analysis validation: Doppler Shift influence on period estimation

The purpose of this appendix is to quantify the error made on the estimation of the 662 period by neglecting the Doppler shift. We perform experiments with a single synthetic 663 float. The synthetic float is advected in a prescribed wave field with a background mean 664 flow. The positions of the float are then subsampled every 10 days along its trajectory 665 and the velocities are estimated using the differential positions to reconstruct an Argo-like 666 dataset. The period of the wave is then estimated by performing a wavelet analysis on the 667 float velocity time series. The estimated period (P) is then compared with the true period 668 of the wave (P) for different waves and background mean flow velocities. 669

670

For all the experiments, we consider a plane wave propagating along the zonal direction with a wavenumber k and a frequency  $\omega$ , and a zonal background mean flow  $\overline{u_0}$ . The float is thus advected in the Eulerian velocity field given by Eq A1:

$$\begin{cases} u = \overline{u_0} \\ v = v_0 \sin(kx - \omega t) \end{cases}$$
(A1)

A first experiment with  $\overline{u_0} = 0$  shows that every period larger than the period corresponding to the Nyquist frequency can be estimated with very little error (Fig. A1). There is little influence of taking the 10-day averaged velocities as instantaneous velocities and the Lagrangian time series as an Eulerian time series. This ensures that the method described in Section 2.2.2 is valid in spite of the two first approximations made.

Three other experiments with  $\overline{u_0} = 2$ , 4 and 6 cm s<sup>-1</sup> are performed. In practice the deep mean flow in the tropical oceans is mainly zonal and does not exceed 6-8 cm s<sup>-1</sup> (eg., Fig. 5). These experiments are thus representative of realistic conditions. The wave period is estimated with a greater error compared to the true period as the background mean flow velocity increases. The error increases also with the period and depends on the wavelength.

More generally, the error increases as the background mean velocity approaches the 681 phase speed of the wave (Fig. A2). The error is "linear" with the velocity sign: for positive 682 waves phase speed, a positive background mean flow leads to an overestimation of the wave 683 period and a negative background mean flow leads to underestimation of the wave period. 684 For high phase speed waves (Fig. A2b), the error remains small (because the mean flow will 685 never reach the phase speed) and when periods are averaged over a region large enough to 686 encompass both eastward and westward jets, the individual error might compensate and 687 give a good estimate for the period. For low phase speed waves however, the error can be as large as the wave period. In this study, it has been checked that the waves phase speeds 689 are always large compared to the mean currents, so that the calculated periods are reliable. 690



Figure A1. Period estimated by the wavelet analysis method as a function of the true period. The velocity time series are reconstructed following the Argo protocol: the float is advected with a high resolution Eulerian velocity field, the trajectory is subsampled at a 10-day sampling period and the velocity time series is reconstructed from the differential float positions. Each color corresponds to a background mean flow velocity amplitude. The wave has a wavelength of 1000 km. The blue line indicates the period corresponding to the Nyquist frequency, and the red line indicates the first bisector y = x where the estimated period fits the true period.



Figure A2. Ratio of estimated period to the true period as a function of the mean zonal velocity  $u_0$  for a (a) (70 days, 500 km,  $c_{\varphi} = 0.08$ ) wave and (b) (33 days, 1000 km,  $c_{\varphi} = 0.35$ ) TIW-like wave. The red line corresponds to a ratio of 1 where the estimated period fits the true period. A maximum of the error on the estimated period is reached when the velocity  $u_0$  matches the phase speed of the wave.

#### <sup>691</sup> Appendix B Statistical Scales Functions (SSF) validation

The purpose of this appendix is to validate the statistical method developed in Section 2.2.3 to infer waves properties (period, wavelength and amplitude) from random velocity measurements at depth. We perform experiments with synthetic floats within a prescribed wave field. We numerically advect 50 synthetic floats during three years with random start dates and positions in an idealized wave velocity field with a superimposed zonal current given by Eq. B1. The float positions are subsampled every 10 days along the trajectories.

$$\begin{cases} u = \overline{u_0} + v_0 \sin(kx - \omega t) \\ v = v_0 \cos(kx - \omega t) \end{cases}$$
(B1)

where k is the wavenumber,  $\omega$  the period and  $v_0$  the amplitude of the wave. The background mean flow is set to  $\overline{u_0}=5 \text{ cm s}^{-1}$ . The velocity are estimated using the differential positions in order to reconstruct an Argo-like dataset (U<sub>syn</sub>, V<sub>syn</sub>). The SSF<sub>U<sub>syn</sub> and SSF<sub>V<sub>syn</sub></sub> are then computed as described in Section 2.2.3.</sub>

Two experiments with a period of 70 days, a wavelength of 500 km and amplitudes of 3 cm s<sup>-1</sup> (Fig. B1a) and 10 cm s<sup>-1</sup> (Fig. B1b) are tested. In Fig. B1 only the  $SSF_{V_{syn}}$  is shown but the  $SSF_{U_{syn}}$  is identical.

The period and wavelength of the wave are estimated with a good precision. In particu-705 lar, this method is not sensitive to the Doppler shift (even in the case where the amplitude of 706 the wave is small compared to the background current). As expected, the amplitude of the 707 SSF varies with the amplitude of the wave. However, the estimated amplitude  $(2.5 \text{ cm s}^{-1})$ 708 for the first experiment and 7 cm  $s^{-1}$  for the second one) is slightly underestimated. This 709 can be due to the 10-day average resulting from the dataset construction (Lebedev et al., 710 2007). This average acts as a boxcar low-pass filter that can yield significant attenuation, 711 even for frequency a bit lower than the filter length. The SSF is therefore a very powerful 712 tool to measure the spectral signature of the signal provided by the Argo floats, although 713 the amplitudes estimated from the SSF can be underestimated. 714



Figure B1. SSF for a synthetic floats in an analytical wave field of period 70 days, wavelength 500 km and amplitudes of (a)  $3 \text{ cm s}^{-1}$  and (b)  $10 \text{ cm s}^{-1}$ .

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