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Sex chromosome degeneration by regulatory evolution

- 3
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- 10
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13 Highlights

- A new theory for Y degeneration, not based on selective interference
- 15 Initiated by X and Y *cis*-regulator divergence after the arrest of recombination
- Works faster and in larger populations than current theory
- Works on small non-recombining region on the Y

18 eTOC Blurb

Y chromosomes are often degenerate. This remarkable feature of the genome of plants and animals has been intensely investigated. Current theory proposes that this degeneration occurs from "selective interference" after the arrest of recombination between the X and Y chromosome, meaning that natural selection tends to be inefficient in the absence of recombination. This theory has been in place for more than 40 years. In this paper, we propose a new theory (termed degeneration by regulatory evolution) based on the instability of diploid expression between non-recombining chromosomes. We show that this theory can explain fast Y degeneration (especially when selective interference and regulatory evolution co-occur) and simultaneous Y silencing and dosage compensation. It is open to tests using genomic and transcriptomic methods that have been recently developed in many plant and animal species.

29 Abstract

30 In many species, the Y (or W) sex chromosome is degenerate. Current theory proposes that this 31 degeneration follows the arrest of recombination and results from the accumulation of 32 deleterious mutations due to selective interference--the inefficacy of natural selection on non-33 recombining genomic regions. This theory requires very few assumptions, but does not robustly 34 predict fast erosion of the Y (or W) in large populations or the stepwise degeneration of several 35 small non-recombining strata. We propose a new mechanism for Y/W erosion that works over 36 faster timescales, in large populations, and for small non-recombining regions (down to a single 37 sex-linked gene). The mechanism is based on the instability and divergence of *cis*-regulatory 38 sequences in non-recombining genome regions, which become selectively haploidized to mask 39 deleterious mutations on coding sequences. This haploidization is asymmetric, because *cis*-40 regulators on the X cannot be silenced (otherwise there would be no expression in females). This 41 process causes rapid Y/W degeneration and simultaneous evolution of dosage compensation, 42 provided that autosomal trans-regulatory sequences with sex-limited effects are available to compensate for cis-regulatory divergence. Although this "degeneration by regulatory evolution" 43 44 does not require selective interference, both processes may act in concert to further accelerate 45 Y degeneration.

46 Main

The contemporary theory for the evolution of sex chromosome crystallized in the 1970s [1–3] and applies to both XX/XY and ZZ/ZW sex determination systems, which share important convergent similarities [4]. Both cases involve a chromosome that is heterozygous (the Y or W) and present in only one sex. Although a broad range of situations has been described, in many

51 cases, most of the chromosome has stopped recombining and has degenerated considerably. 52 Current theory, which we term "Degeneration by selective interference" (DSI) has been substantially refined since the 70s', but its core idea--degeneration caused by selective 53 54 interference--has remained unchanged [3,5–8]. The theory about selective interference, as well 55 as its empirical evaluation, has also been largely developed since the 70s', well beyond the case 56 of Y degeneration [9-11]. DSI involves a sequence of steps that occur after the arrest of 57 recombination between the Y and X chromosomes (all our arguments also apply to Z/W 58 chromosome system): (a) degeneration of Y-linked genes by 'selective interference' (also known 59 as the 'Hill-Robertson effect'), due to processes such as Muller's Ratchet, hitchhiking, and 60 background selection [8], (b) facultatively, adaptive silencing of Y-linked genes, and (c) evolution 61 of dosage compensation. A variant of this theory proposes that the accumulation of deleterious 62 alleles in regulatory sequences by selective interference leads to reduced Y gene expression [12]. 63 Y-linked alleles, being partially hidden would then further accumulate deleterious mutations and 64 degenerate [13].

65 In this paper, we propose a new "Degeneration by Regulatory Evolution" (DRE) theory to 66 explain Y chromosome degeneration. The main differences from the DSI model are that our 67 theory does not require selective interference, and that steps a-c occur simultaneously after recombination suppression. We previously showed that, for autosomal genes, a 'cis-regulator 68 69 runaway' process occurs that leads stronger *cis*-regulators to become associated with 70 chromosomes with fewer deleterious mutations [14]. This favors the stronger *cis*-regulatory 71 alleles, provided they are tightly linked to their coding gene. We also showed that *cis*-regulators 72 diverge in asexuals, where diploid expression is unstable and quickly becomes "haploidized" [15]. 73 DRE theory involves such divergence of *cis*-regulators, but with an asymmetry between the X and 74 Y chromosomes (preventing the suppression of gene expression on the X). We investigate DRE 75 using individual-based stochastic simulations of a population of N_{pop} diploid individuals, with XY 76 males and XX females. In order to capture the essence of the mechanism, we first study a minimal 77 system with only four loci (Fig 1): a gene G, its cis-regulator C, and two trans-regulators T (we will 78 then extend the model to the case of a non-recombining region comprising a larger number of 79 genes). Trans-regulators, such as transcription factors, are not closely linked to their target gene,

80 and influence expression on both homologs, whereas cis-regulators, such as enhancers, control 81 the expression of the closely linked gene, and influence only the copy carried on the same 82 chromosome as themselves [16]. We assume that G and C are present on both sex chromosomes, 83 and that they recombine (in females only) at a rate R_c . We include trans-regulators in order to 84 examine whether, and over what time-scale, dosage compensation will evolve when expression 85 of the Y-linked allele decreases. With these trans-regulators, overall expression can be 86 maintained, i.e. dosage compensated, even if *cis*-regulators change and diverge between the X 87 and Y. Dosage compensation cannot evolve if the *trans*-regulators act in the same way in both males and females, or equally on the X and the Y. Hence we do not consider all potential trans-88 89 regulators, but only those that could influence dosage compensation. Specifically, we focus on 90 the simplest symmetrical case with one trans-regulator expressed in males T_m , and one in females T_f. Both cases have been described empirically (*C. elegans* dosage compensation works by halving 91 92 X expression in females, whereas in *Drosophila*, it works by doubling X expression in males [17]). 93 For simplicity, we assume that these T loci are autosomal and that they recombine freely with 94 each other and with the G and C loci.

95 This initial model is later extended to $n_L CGT_m T_f$ quadruplets of genes (where $n_L = 1, 50, T_f$ 96 500). In these models, we assume that the C and G loci are uniformly spaced on the sex 97 chromosomes, with two adjacent genes G recombining at a rate R_q in females, and where each C 98 locus is assumed to be closer to the G gene it regulates than to the next G gene (ten times closer 99 in the simulations,); again, recombination is assumed not to occur in males, representing a non-100 recombining region including the sex-determining locus, while all T factors are again assumed to 101 recombine freely with the sex-determining region. In this model, each CG pair is influenced by its 102 own $T_m T_f$ pair, which represents the lowest degree of pleiotropy of these *trans*-acting factors, but 103 involves a very high number of trans-regulators. We also considered a model where only one $T_m T_f$ 104 pair controls all the G and C loci, representing the other extreme case where *trans*-regulators are 105 maximally pleiotropic and influence many (here all n_L) genes.

Deleterious mutations occur within genes *G* at a rate U_G per gene. Their fitness effect *s* is drawn from an exponential distribution with mean s_{mean} . The effects of multiple mutations in the same gene are assumed to be additive, but with a maximum effect per gene, s_{max} (which may be

109 interpreted as the fitness effect of a full gene knock-out). Their dominance depends on the 110 strength of their associated cis-regulator (see methods). The effects of alleles at the cis- (C) and 111 trans-regulators (T_m, T_f) are modelled as quantitative traits denoted by c, t_m , t_f , respectively, and 112 control the level of expression Q of the gene, which is under stabilizing selection with intensity I 113 (methods). The different events of the life cycle occur in the following order: diploid selection, 114 meiosis with recombination, mutation, and syngamy. Simulations are initialized with no 115 polymorphism present, and the optimal gene expression level (no deleterious allele, all c and t_m , 116 t_f alleles fixed to 1). After a burn-in phase where the chromosome evolves with recombination in 117 both sexes, we stop XY recombination in males to create a sex-linked region and follow the 118 frequencies and effects of deleterious mutations on the X and Y, as well as the evolution of the 119 regulatory genes. These outputs are averaged over different numbers of replicates depending on 120 the variance in the process under different parameter values (methods, Table S1). At regular 121 intervals, we compute *P_{halfsilent}*, the probability (across replicates) that Y allele-specific expression 122 $\phi_{Y,i}$ decreased by two fold from the initial value of 0.5 to $\phi_{Y,i}$ < 0.25. A complementary approach 123 to quantify partial silencing is to measure the dominance coefficient of deleterious alleles on the Y, measured by $h_{Y,i} = \phi_{Y,i}^{-\ln h/\ln 2}$ (see Methods). We also compute P_{silent} , the probability that 124 125 $\phi_{Y,i}$ becomes close to zero (below 0.01), so that alleles on the Y become nearly entirely recessive. The quantity *P*_{halfdead} then refers to the probability that, by a given number of generations after 126 127 the Y-linked region stopped recombining, deleterious mutations on the Y gene copy have reduced 128 fitness by an amount $s_{max}/2$, and P_{dead} that they reduced fitness by an amount s_{max} , indicating 129 that the gene has entirely degenerated on the Y.

130 Fig **2** illustrates the process with $n_L = 1$ (one gene, one *cis*-regulator, one male limited and one 131 female limited *trans*-regulator). The system does not generate any male-female or X-Y asymmetry 132 before recombination arrest (methods, Fig S5). After recombination arrest, the gene carried by 133 the Y degenerates: it becomes progressively recessive, as h_Y changes from h = 0.25 to zero, and 134 accumulates deleterious mutations (the overall fitness effect of mutations present on the Y copy 135 increases up to s_{max}), despite there being very limited selective interference (at most only 136 occurring between the gene and its cis-regulator). Silencing occurs first, and the accumulation of 137 deleterious mutations follows later in the process (the curve representing Phalfsilent is ahead of the one showing the accumulation of deleterious mutation on the Y, as measured by *P_{halfdead}*).
Degeneration also occurs with full dosage compensation, and overall expression never departs
from the optimum in either sex (Fig **2b**). Compensation typically involves, at least initially, a
mixture of upregulation of X gene copies in males and downregulation in females (methods, Fig.
S7).

143 What is the underlying cause of this asymmetrical degeneration? Once X and Y stop recombining, 144 diploid expression becomes unstable. Cis-regulators on the X and Y can diverge, eventually 145 leading to the haploidization of expression in males. This is not prevented by stabilizing selection 146 on expression levels as long as *trans*-regulators can coevolve to maintain near optimal total 147 expression in both sexes. When the strength of *cis*-regulators on the Y starts decreasing, the 148 process is accelerated by a "haploidization" positive feedback loop. Indeed, weak Y cis-regulators 149 become associated with coding sequences carrying more deleterious mutations, as they cause a 150 reduction in dominance. They are then selected to weaken further in order to mask those 151 deleterious mutations, which leads to the accumulation of even more deleterious mutations, and 152 so forth. By contrast, the other 'haploidized' situation (where the X is silenced in males) is 153 reversible, as X chromosomes with weak *cis*-regulators and a higher load of deleterious mutations 154 cannot fix, as they become homozygous and selected against in females when too frequent 155 (unlike partially silenced Y genes, which can spread as they stay heterozygous in males). 156 Therefore, the regulatory system has only one stable equilibrium, where the Y is silenced and 157 degenerate.

158 Selective interference plays no role in this process, explaining why degeneration occurs even for 159 a single Y-linked gene. However, the process is stochastic, as it is initiated by a random departure 160 from diploid expression with a sufficiently weak Y cis-regulator to trigger the "haploidization" 161 feedback loop. In individual simulation replicates, degeneration is indeed very abrupt, but occurs 162 at varying time points (Fig **2a**). Because of this stochasticity, the process is slowed down in larger 163 populations: it is ~ 10 times slower in ~ 10 times larger populations (Fig 2d). With the same 164 parameters but without mutation in the *cis*-regulator ($U_c = 0$, Fig **2c**), as in DSI theory, 165 degeneration does not occur, as expected, since there is neither selective interference nor *cis*-166 regulatory divergence. Degeneration does not occur either in the absence of mutation in the

167 *trans*-regulators ($U_t = 0$, Fig **2c**) and for the same parameter values, since *cis* and *trans*-regulators 168 have to coevolve to maintain total expression levels: if *trans*-regulators cannot evolve, the 169 divergence of *cis*-regulators is prevented and Y degeneration cannot occur. However, if the 170 intensity of stabilizing selection on expression levels is weak enough, degeneration evolves but is 171 not dosage compensated (Fig 2c). Otherwise, the intensity of stabilizing selection on dosage only 172 plays a marginal role in DRE (Fig **2c**). Control simulations without mutation in the coding gene (U_G 173 = 0, Fig 2c) show that *cis*-regulatory divergence and Y silencing can occur even in the absence of 174 deleterious mutations, but as expected, this silencing is slower, not being accelerated by the 175 "haploidization" feedback loop, and reversible (methods, Fig S6).

176 As expected, DRE and DSI combine when more than a single Y-linked gene is considered. The 177 effect is strong: Fig **3** shows that a 50-fold or 500-fold increase in the number of loci results in 178 degeneration being 5-fold and 10-fold faster, respectively: a larger non-recombining Y-linked 179 region degenerates faster than a small one. Without mutation in regulators (i.e. with only DSI), 180 degeneration occurs but is 23-36 fold slower with 50 loci (depending on the control used for the 181 comparison, methods, Fig 4). With 500 loci, however, the comparison with and without regulators 182 is problematic, as very quickly, a modest accumulation of deleterious mutations on the many Y-183 linked genes causes an important reduction in male average fitness, so that male fitness reaches 184 unrealistically low values (of the order 10⁻¹⁷). Even if a proportion of genes affecting male fitness 185 may be under soft selection, it seems unlikely that a population with such a low male fitness 186 would survive.

187 The drop in male fitness is less dramatic with regulatory evolution, as those mutations become 188 progressively more recessive as the Y degenerates. There is nevertheless a transient drop in male 189 average fitness, which can be quite large (e.g. 3% and 85% reduction for a 50-gene and 500-gene 190 Y-linked region, respectively, Fig 3). Data on divergence between sex chromosomes indicate that 191 Y degeneration is often sequential in chiasmate species, with several regions of various sizes, 192 termed "strata" [18], having stopped recombining at different time points. This high transitory 193 fitness drop may prevent large strata from occurring in small populations and may bias towards 194 scenarios involving multiple small strata as, for example, in humans [19]. Comparatively, these

scenarios involving small strata are more difficult to explain with DSI, as selective interference isweak on small non-recombining regions.

197 Last, degeneration is initially slower but faster overall when there is only one T_m and T_f controlling 198 all cis-regulators. Despite being highly pleiotropically constrained, having only two autosomal 199 trans-regulators precipitates degeneration: the tipping point where it is worth fully silencing the 200 Y is quickly reached when many very weakly deleterious mutations have accumulated on the Y. 201 This is consistent with the observation that dosage compensation can occur locally on a gene-by-202 gene basis or by chromosome-wide trans-acting effects [17]. Intermediate cases involving cis- and 203 trans-regulators with regional effects [as e.g. in Drosophila 20] may be worth investigating, but 204 are likely to behave similarly, as long as trans-regulators only target X genes that have a copy on 205 the Y non-recombining region.

Simulations for lower values of mutation rates and strength of selection against deleterious alleles are shown on Fig. S8 (for $n_L = 1$ and $n_L = 50$, methods). Unsurprisingly, reducing mutation rates slows degeneration, while the effect of *s* is more complicated. However, the acceleration of degeneration caused by regulatory evolution still holds. Fig. S8 also shows that a scaling argument from diffusion theory indicates that larger populations with weaker mutation and selection should also behave similarly, albeit on a longer timescale.

212 The DRE theory proposes a different view of sex-chromosome evolution compared to the DSI 213 theory that has been developed over the past 40 years. In both cases, degeneration starts after 214 the arrest of recombination in a genome region completely linked to the sex-determining locus. 215 In both cases, degeneration is slower in larger populations, but this is considerably less so in the 216 DRE model. However, there are important differences. With very few exceptions [21,22], DSI was 217 developed without explicitly modelling regulatory evolution. With DSI, regulatory evolution (Y 218 silencing and dosage compensation) is supposed to occur only after deleterious mutations have 219 accumulated on the Y [3,23–25], although it has also been proposed that silencing may result 220 directly from the accumulation of deleterious mutations in regulatory regions [13]. This is 221 certainly possible, as selective interference applies to all functional sequences and may contribute 222 to the fixation of many kinds of deleterious mutations, including those maintaining adequate 223 expression levels. DRE is based on a reverse causality: regulatory evolution initiates the

224 degeneration process. Contrary to the standard model where compensation is needed because 225 degeneration damages genes' function in males, compensation evolves here from the very 226 beginning of the process, by reducing the proportion of transcripts from Y-linked relative to X-227 linked alleles and maintaining an almost constant overall level of expression in both sexes. 228 However, compensation may not occur when expression levels are under weak stabilizing 229 selection (Fig 2c). Whether compensation occurs on a gene-by-gene basis or chromosome wide 230 depends on the availability of the corresponding *trans*-acting factor, but both can occur in DRE, 231 and, surprisingly, at approximately the same rate (Fig 3).

232 The specific mode of dosage compensation depends on the type of *trans*-regulators. Here we 233 considered trans-regulators with sex-limited expression, which can mimic several well-known 234 dosage compensation systems (female-limited factors corresponding to *C. elegans* or mammal 235 systems, while male-limited factors would be more similar to a Drosophila-like situation [17], 236 methods). The symmetric DRE model that we used often led to a Drosophila-like compensation, 237 where the X in males was eventually expressed twice as much compared to the situation at the 238 recombination arrest (Fig 2b). However, this is certainly dependent on the relative mutation rates 239 on the different types of *trans*-regulators. The theory should be extended to examine the diversity 240 of dosage compensation mechanisms, including sex-of-origin effects [26].

241 Overall, we have presented an alternative theory for the degeneration of sex chromosomes. 242 Although many underlying parameters are still poorly known, this theory could be tested 243 quantitatively, as it works faster than current theory, on smaller non-recombining regions, and 244 does not require small population sizes or recurrent beneficial mutations causing hitchhiking 245 effects. It does not exclude selective interference, which will necessarily co-occur as long as many 246 genes stop recombining simultaneously. However, a hallmark of DRE is that regulatory changes 247 occur very early. This is consistent with recent studies showing that dosage compensation evolves 248 early on [27,28] and that Y transcriptional downregulation accompanies degeneration of protein-249 coding genes from the start [29–31].

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255 Author contributions

Original idea TL, FF; Model conception TL, DR, FF; Code DR, TL, ES; Simulations TL, ES; Data
analyses TL; Interpretation TL, DR; First draft TL, FF; Editing TL, DR, ES; Reviews TL, DR; Project
management and funding TL.

259 Declaration of interest

- 260 No conflict of interest
- 261
- 262



265 Fig 1. Model presentation. (a) The simplest genetic model involves autosomal trans-regulators 266 expressed either in males or females, and a sex-linked *cis*-regulator controlling the expression of 267 a coding gene. A deleterious allele a at the coding gene is expressed at the same level as the wild type A allele if their associated *cis*-regulators have equal strength. (b) If there is *cis*-regulatory 268 269 variation, the deleterious allele may be over or under-expressed, depending on whether it is 270 associated with the stronger or weaker *cis*-regulator. This can be considered as determining the 271 dominance of the a allele. The black curve shows the fitness of an Aa heterozygote (y-axis) for 272 varying allele-specific expression levels (x-axis). (c) Selection also acts on the total amount of 273 protein produced (x-axis), with stabilizing selection around an optimal amount. The maximal 274 fitness effect of a departure from the optimal amount is s_{max} , the same as the maximal fitness 275 effect of a deleterious mutation in the coding gene.

276 Fig 2



277

278 Fig 2. Y degeneration by regulatory evolution. (a) x-axis: time in number of generations, in log-279 scale. Recombination stops between the X and Y chromosome at generation 250000 (vertical 280 dashed gray line); y-axis: The probability that a coding gene on the Y is expressed at less than half 281 the level of the X copy (half-silent, light green curve), entirely silenced (dark green curve), has 282 accumulated deleterious mutations reducing fitness effect half as much as a loss-of-function 283 mutation (half-dead, orange curve), or as much as a full loss-of-function mutation (dead, red 284 curve). Curves are averages over 100 replicates of the process. The model was run with $n_L = 1$ 285 (one gene, one *cis*-regulator, one female and one male limited *trans*-regulator). Parameter values are: population size $N = 10^5$; Mutation rates U_G , $U_C = 2 \times 10^{-4}$; $U_T = 10^{-4}$; recombination rate 286 between the gene and the *cis*-regulator $R_c = 5 \times 10^{-5}$ (in both sexes during the burn-in period, and 287 288 in females thereafter). The mean effect of each deleterious mutation in the coding gene s_{mean} = 289 0.05 (and loss-of-function effect s_{max} = 0.3, dashed gray line). The dominance coefficient of 290 deleterious mutations (when both alleles are equally expressed) is h = 0.25, and the intensity of 291 stabilizing selection on dosage I = 0.1. The figure also shows the dominance of deleterious 292 mutations carried on the Y (h_Y , blue curve) and the average fitness effect of alleles on the Y (s_Y , 293 purple curve). Some individual trajectories are indicated for h_Y and s_Y (same color code, thin lines) 294 to show that degeneration occurs abruptly in each replicate. (b) Time-variation of regulatory traits 295 corresponding to the case illustrated in panel a. x-axis: time in number of generations (in log-296 scale); y-axis: regulator trait values. Pink: X cis-regulator strength; Blue: Y cis-regulator strength; 297 Brown: trans-regulator strength (plain: female limited, dashed: male-limited). Optimal dosage is 298 2 (dashed gray line). Total expression in males and females is indicated by the dotted curves (male value in blue, female in red). Regulatory trait values before recombination arrest are not stable 299 300 due to runaway evolution[14], but are rescaled at 1 at generation 250000 for fair comparisons 301 across parameter values. They are represented at this rescaled value on the figure, to avoid 302 overloading the figure (see methods and Fig S5 for further details). (c) Values of sy, hy and P_{dead} at 303 generation 3 x 10⁶, for simulations like in panel (a) except for some parameter values. The star 304 indicates weaker stabilizing selection (I = 0.01) compared to I = 0.1 in panel (a). NOG ($U_G = 0$); REC 305 (no arrest of recombination); NOCT ($U_c = U_T = 0$); NOT ($U_T = 0$); REG (with mutations on gene and 306 regulators, as in panel a); FREE ($R_c = 0.5$); SYM (symmetrized stabilizing selection function, 307 method). (d) Accumulation of deleterious mutations on the Y for different population sizes $(10^3, 10^3)$ 10⁴ like in panel a, 10⁵). x-axis: number of generations in log-scale. y-axis: s_{γ} . Plain lines: with 308 309 evolving regulators. Dotted lines: without regulator evolution (NOCT simulations, $U_c = U_T = 0$).

310 Fig 3



311

312 **Fig 3. DRE with many loci.** Y degeneration in non-recombining regions with n_L loci. (a) n_L = 50. (b) n_L = 500. Coding genes are positioned at regular interval on the X/Y chromosome, each at a 313 recombination rate $R_G = 5 \times 10^{-4}$ (map length of the non-recombining region is therefore 2.5 or 25 314 315 cM, respectively). Recombination occurs in both sexes during the burn-in period (ending at 2.5 x 10⁵ generations, vertical dotted line), and in females thereafter. *x*-axis: number of generation in 316 317 log-scale. y-axis: degeneration of the Y, as measured by P_{dead} , in log-scale (plain lines) or male 318 mean fitness (dashed lines). Red: the $n_L = 1$ case given for comparison. Dark red: n_L genes with n_L 319 male-limited trans-acting factors and n_{L} female-limited trans acting factors. Brown: n_{L} genes with 320 1 male-limited trans-acting factor and 1 female-limited trans acting factor influencing all cis-321 regulators. Gray: n_L genes without regulatory evolution (NOCT simulations, $U_C = U_T = 0$). Other 322 parameters are as in Fig 1a. Note the different time range in panels (a) and (b). In the absence of regulatory evolution (NOCT simulations), with n_L = 500, male average fitness drops quickly to a 323 vanishingly small number (to the order 10⁻¹⁷ after a million generation) that should lead to 324

population extinction, as indicated by the skull symbol. This drop occurs quickly, even before any appreciable mutation accumulation on genes (i.e. it is already down to the inverse of population size when s_Y reaches ~0.01).

328 Fig 4



Fig 4. Comparing DRE and DSI. Y degeneration in non-recombining regions with 50 loci. Coding 330 331 genes are positioned at regular interval on the X/Y chromosome, each at a recombination rate R_G 332 = 5×10^{-4} (map length of the non-recombining region is therefore 2.5 cM). Recombination occurs in both sexes during the burn-in period (ending at 2.5 x 10⁵ generations, vertical dotted line), and 333 334 in females thereafter. x-axis: number of generations in log-scale. y-axis: degeneration of the Y, as measured by P_{dead}, in log-scale. Dark red: 50 genes, with 50 cis-regulators, 50 male-limited trans-335 336 acting factors and 50 female-limited trans acting factors. Dark red, dashed: 50 genes, with 50 cis-337 regulators, no mutation in *trans*-regulators (NOT simulations, $U_T = 0$). Gray, dashed: 50 genes, with 50 *cis*-regulators, no *trans*-regulators (NOT simulations, $U_T = 0$), but dominance of the effect 338 of mutations on genes maintained at h = 0.25. Gray: 50 genes without regulatory evolution (NOCT 339 340 simulations, $U_c = U_T = 0$). Other parameters are as in Fig 2a.

- 342 Star Methods
- 343 RESOURCE AVAILABILITY
- 344 Lead Contact
- 345 Further information should be directed to and will be fulfilled by the Lead Contact, Thomas
- 346 Lenormand (thomas.lenormand@cefe.cnrs.fr).
- 347 *Materials Availability*
- 348 Not applicable
- 349 Data and Code Availability
- 350 The code used in this study is available on Github:
- 351 https://github.com/denisroze/Sex chromosome degeneration
- 352 QUANTIFICATION AND STATISTICAL ANALYSIS
- 353 Not applicable
- **354 METHOD DETAILS**
- 355 *Model*
- 356 *Mutation and selection*

357 Mutations in *cis* and *trans* regulators are assumed to occur at rates U_c and U_t, respectively, and 358 add a Gaussian deviate to allelic values for these traits $(c + dc \sim N(0, \sigma_c), t + dt \sim N(0, \sigma_t))$. 359 Negative trait values are counted as zero. These values are used to compute the total and allele-360 specific expression values for each coding gene G. In the following expressions, 1 and 2 denote 361 the two copies of a gene (and its *cis*-regulator) present in an individual (male or female), where 362 by convention (and without loss of generality) 1 denotes the gene copy carrying deleterious 363 mutations with the strongest overall effect. Mutations present on copy 1 of gene i would decrease 364 fitness by $s_{1,i}$ in a homozygous individual, while mutations present on copy 2 would decrease fitness by $s_{2,i}$ ($s_{1,i} > s_{2,i}$). The overall fitness effect of deleterious mutations in gene *i* depends on 365 366 the *cis*-regulator strengths associated with alleles 1 and 2, denoted $c_{1,i}$ and $c_{2,i}$. We assume that the fraction of the protein expressed from allele 1 is $\phi_{1,i} = c_{1,i}/(c_{1,i} + c_{2,i})$, and that the resulting effective dominance coefficient of allele 1 is given by

369
$$h_{1,i} = \phi_{1,i}^{-\ln(h)/\ln(2)}$$
 (Eq. 1).

where *h* is a parameter measuring the dominance of the fitness effect of deleterious mutations in a heterozygote when both alleles are equally expressed ($\phi_{1,i} = 1/2$ leads to $h_{1,i} = h$, see below for a discussion of this and other model assumptions)[14], which will be fixed to 0.25. According to Eq. 1, if allele 1 is relatively more expressed ($\phi_{1,i} > 1/2$), it is assumed to have a larger fitness effect ($h_{1,i} > h$). The fitness effect resulting from the presence of deleterious mutations in gene *i* is then

376
$$W_i^G = 1 - s_{2,i} - h_{1,i}(s_{1,i} - s_{2,i})$$
 (Eq. 2).

Together, Eq. 1 and 2 link the relative rate of expression $\phi_{1,i}$ of the most deleterious allele present at locus *i* to the contribution of gene G_i to fitness, illustrated by Fig 1b.

379 In addition to the fitness consequences of carrying deleterious mutations in the coding gene, we 380 also assume that the overall expression level of coding genes is under stabilizing selection with an optimum value Q_{opt} . In males, the total expression level Q_i equals $(c_{1,i} + c_{2,i})\overline{t}_{m,i}$, where $\overline{t}_{m,i}$ is 381 382 the average strength of the trans-regulators expressed in males, which assumes that both cis- and 383 trans-regulators are essential for proper expression (neither can be zero). Symmetrically, it is $(c_{1,i} + c_{2,i})\bar{t}_{f,i}$ in females. We assume that $\ln(Q_i)$ is under Gaussian stabilizing selection around 384 385 $\ln(Q_{opt})$ (with $Q_{opt} = 2$). We use log-scale to ensure that, irrespective of the intensity of stabilizing 386 selection, the fitness effect of complete regulatory silencing ($Q_i = 0$) would be s_{max} , the maximum 387 permitted fitness effect of deleterious alleles on the coding gene (Fig 1c), which we assume to be 388 the same as the effect of a gene knock-out. Denoting by / the intensity of stabilizing selection on the expression level, the fitness resulting from the departure from optimal dosage W_i^Q is 389

390
$$W_i^Q = 1 - s_{max} \left(1 - e^{-I \left(\ln Q_i - \ln Q_{opt} \right)^2} \right)$$
 (Eq. 3)

This function is equivalent to assuming that fold-changes in expression levels are under symmetric stabilizing selection, while selection on expression levels Q_i is asymmetric (Fig **1c**) — in order to investigate whether this asymmetry influenced the results, we also considered a symmetrized version of this function (see below). Finally, the overall fitness of an individual was computed as the product over all genes *i* of $W_i^G W_i^Q$.

396 *Dominance*

397 The relationship between relative expression and dominance, as given by Eq. 1 is based on several 398 considerations. First, it is reasonable to assume that there is a smooth and monotonic curve 399 relating the relative expression of a deleterious mutation and its dominance. This curve also must 400 include three fixed points with fitness 1, 1-hs, 1-s at 0%, 50%, and 100% relative expression, 401 respectively. Given these constraints, the curve relating relative expression and fitness is perhaps 402 not exactly given by Eq. 1, but with a very similar shape and curvature. In addition, Eq. 2 assumes 403 that the identity of the precise mutation in a given gene does not matter in computing fitness and 404 dominance. For instance, a heterozygous individual carrying two different deleterious mutations 405 at a given gene, each with an effect s, will have a fitness equal to 1-s, i.e. the same fitness than an 406 individual homozygous for the same deleterious mutation with effect s. Finally the value of h =407 0.25 used throughout is based on observations made in a diversity of systems [see survey in 32].

408 *Effect of mutations*

409 We suppose that all mutations occurring on the gene are deleterious. We used an average effect 410 of 0.05 based on direct estimates [see Table 1 in 33]. We used a simple one-parameter 411 exponential distribution to model the diversity of selective effects. Observed distributions of 412 selective effects are similar, especially in absence of beneficial mutations [33]. The model is not 413 considering compensatory mutations in the genes. However, it includes compensatory mutations 414 on regulators, modeled as quantitative traits. In addition, mutations on *cis*-regulators, by partially 415 silencing the effect of deleterious mutations on the genes, also act as compensatory mutations 416 for mutations in the genes. DRE is based on the fact that they cause a long-lasting compensatory 417 effect, on all future deleterious mutations that will occur on the gene (when a gene becomes 418 partially silenced, all future deleterious on that gene will experience a lower dominance). 419 Although more work is needed to investigate this point in detail, it is unlikely that compensatory 420 mutations in the gene will qualitatively alter the results, as long as they are less frequent than 421 deleterious mutations. Once the expression of a gene on the Y has started decreasing (through

the evolution of *cis* and *trans* regulators), selection becomes less effective on the Y copy of the gene, leading to an accumulation of deleterious alleles, which further favors reduced expression. This accumulation may proceed more slowly due to the occasional fixation of a reverse mutation, but should occur anyway as long as the rate of reverse mutations is weak. Eventually, when a gene becomes nearly completely silenced, back mutations on the gene become irrelevant, as they will have no effect (being also silenced).

428 *Cis-regulators runaway*

429 For autosomal genes, a 'cis-regulator runaway' process occurs that leads to stronger cis-430 regulators to become associated with better purged chromosomes with fewer deleterious 431 mutations [14]. This favors stronger *cis*-regulatory alleles, provided they are tightly linked to their 432 coding gene. This process occurs during the burn-in phase of all simulations presented here. 433 Consequently, when recombination stops, cis and trans trait values differed from their initial 434 values (arbitrarily set to one for all regulatory traits) to an extent that differed among simulations 435 with different parameter values (notably population sizes, intensity of stabilizing selection), but 436 not between the sexes or sex chromosomes (Figure S5a). To avoid introducing a bias from this 437 burn-in phase effect, we rescaled all regulatory trait values at the arrest of recombination, by 438 dividing them by their average over the whole population. This allows for a better comparison 439 across parameter values and is not biologically consequential as the absolute value of these traits 440 is arbitrary. Fig S5a shows this runaway for the case illustrated in Fig. 2. This trait variation and 441 scaling is not represented on Fig 2 to avoid overloading the figure. While runaway before the 442 arrest of recombination plays no important role, as it does not introduce a sex or sex chromosome 443 bias, we investigated whether it played a role after recombination arrest. At this point, cis-444 regulators on the Y become more tightly linked to their gene, which should cause a higher 445 runaway rate on the Y. We expect this effect to be minor as the rate of runaway shows a plateau 446 towards lower recombination rates [14]. This small asymmetry can be seen immediately following 447 recombination arrest: Y cis-regulator increases slightly faster than X cis-regulator (Fig S5a). This 448 effect is slowing down degeneration as it is initiated by a slight Y relative silencing (which is less 449 likely to occur if Y cis-regulators are on average slightly stronger than X cis-regulators). To confirm 450 this interpretation, we used simulation with larger recombination rate between the *cis*-regulator

and the gene. We found indeed that it further delayed degeneration (see results with *R_c* artificially
set to 0.5, Fig 2c, "FREE" simulations). In any case, after this slight initial asymmetry in runaway
rates, *cis*-regulatory divergence between the X and Y takes over.

454 Initial conditions

455 Simulations were set up to ensure that no X-Y or male-female asymmetry occurred during the 456 burn-in period. As explained in the previous paragraph on *cis*-regulators runaway, there is an 457 inherent instability of regulatory systems based on *cis* and trans control of gene expression. To 458 allow for possible dosage compensation while X and Y *cis*-regulators diverge, it is necessary to 459 introduce *trans*-regulators with male-limited or female-limited expression. Introducing only male 460 or female-limited *trans*-regulators would introduce an initial asymmetry in the runaway process 461 that may cause male-female differences before the arrest of recombination. For instance, if *cis*-462 regulators runaway can only be compensated by a female-limited trans-regulator, it will lead to a 463 situation where expression is near optimal dosage in females (since the *trans*-regulator with 464 female-limited expression ensures that expression level stays optimal in females), but would 465 overshoot in males (since no *trans*-regulators compensate for *cis*-regulator runaway in males). 466 This initial male overexpression would greatly facilitate Y silencing immediately after 467 recombination arrest, when X and Y cis-regulators can start diverging. Indeed, Y silencing would 468 be directly selected for to correct for this male overexpression. This silencing would reflect this 469 initial unbalanced expression, rather than a mechanism that inherently breaks X and Y symmetry 470 after recombination arrest. The reciprocal initial condition (with only trans-regulator with male-471 limited expression) would on the contrary oppose Y silencing (as overexpression in females would 472 rather tend to decrease X cis-regulator strength, which would lead to stronger Y relative 473 expression in males). To avoid these initial conditions effects, where male female symmetry is 474 broken before recombination arrest, we considered the symmetrical case with both male and 475 female limited trans-regulators. However, this introduce twice as many trans- versus cis 476 mutations, as there are two *trans*- for one *cis*-regulator. To avoid introducing a higher mutational variance to trans versus cis-effects, we therefore halved mutation rates on the two trans-477 478 regulator loci ($U_c = 2 U_T$). The absence of *cis*- versus trans trait bias during the burn-in period is

shown on Fig S5b. Overall, we used initial conditions that did not introduce male versus female
biases and that did not introduce *cis*- versus *trans*-regulators trait biases.

481 *Regulatory system instability*

482 As soon as recombination stops between the X and Y, *cis*-regulators can start diverging on the X 483 and Y. This divergence can be compensated for by the corresponding evolution of trans-regulators 484 expressed in males and in females. Hence, X and Y regulatory systems can drift apart even in 485 absence of deleterious mutations in the coding sequences, while maintaining optimal expression 486 levels in both sexes. In the absence of coding sequence degeneration, the regulatory system can 487 however return to X and Y coexpression after a period where only the X or Y was expressed in 488 males. This is not possible when the Y has degenerated as an increased expression of a 489 dysfunctional gene copy cannot be favored back. In addition, the divergence of the regulatory 490 system in absence of deleterious mutations in the coding sequence is slower than when the 491 'haploidization' feedback loop occurs. These two effects are illustrated on Fig S4 (see also Fig. 2c, 492 NOG results).

493 *Small population sizes*

494 If population size become small (N_{pop} = 1000, Fig 2d), Y degeneration can still occur, without DSI 495 or DRE, simply because effective population size of the Y becomes small enough (and smaller than 496 that of the X) to quickly fix deleterious mutations [see [6] for details about this regime]. The 497 asymmetry between the X and the Y results from the lower effective population size of the latter 498 (there are 3 X for one Y in a population with balanced sex-ratio). With small population size, 499 deleterious mutations can also accumulate on the X, for the same reason, although at a much 500 smaller rate (Fig S5d). This may cause population extinction if the non-recombination region is 501 large enough. This mutational meltdown is mitigated if compensatory mutations are included in 502 the model, which is not considered here.

503 Symmetrized stabilizing selection

To model stabilizing selection on expression levels, we used Gaussian selection on the log of total expression. We used this log to ensure that, irrespective of the intensity of stabilizing selection, the fitness effect of complete regulatory silencing would be s_{max} , the maximum permitted effect 507 of deleterious alleles on the coding gene. This fitness function introduces an asymmetry on the 508 natural scale for total expression (Fig. 1c). This asymmetry is not biological implausible in most 509 cases. In particular, decreasing expression to zero is likely more deleterious than increasing 510 expression by the same amount. Quite generally, the cost of producing a protein (or the 511 opportunity cost it represents) is likely to be lower than the loss-of-function caused by the 512 shortage of that protein. However, even if biologically plausible, we investigated whether the 513 asymmetry of this fitness function influenced our results. To do so, we used a symmetrized 514 version of the fitness function.

515

516

$$W_{i}^{Q} = 1 - s_{max} \left(1 - e^{-I(\ln Q_{i} - \ln Q_{opt})^{2}} \right) \quad if \quad Q_{i} < Q_{opt}$$

$$W_{i}^{Q} = 1 - s_{max} \left(1 - e^{-I(\ln(2Q_{opt} - Q_{i}) - \ln Q_{opt})^{2}} \right) \quad if \quad Q_{i} > Q_{opt}$$
(Eq. 4).

517

518 This fitness function is identical to Eq. 3 when $Q_i < Q_{opt}$, but is reflected around $Q_i = Q_{opt}$ for $Q_i > Q_{opt}$ 519 Q_{opt} . It is not defined for $Q_i > 2Q_{opt}$, which makes it not biologically very plausible. However it is 520 entirely symmetrical around $Q_i = Q_{opt}$, and with identical effects than the function we use on the 521 left of Q_{opt} , i.e. for expression levels corresponding to silencing ($Q_i < Q_{opt}$). With this fitness 522 function, Y degeneration occurs almost identically (Fig 2c, Fig S6), indicating that the specific form 523 of the stabilizing selection function plays a limited role in the process. It also indicates that the 524 fitness effects corresponding to large overexpression plays minimal role. This is expected as the 525 population almost never evolves to such extreme trait value, due to the stabilizing selection 526 around *Q*_{opt}.

527 *Modes of dosage compensation*

528 Many different mechanisms of dosage compensation have been described in a diversity of 529 organisms. It is possible to relate these mechanisms to the model we use. Once the Y is fully 530 silenced ($c_{Y,i} = 0$), and assuming that the population stays at Q_{opt} in both males and females 531 (which is a very good approximation unless stabilizing is very weak), we have

$$Q_{opt} = c_{X,i}\overline{t}_m = 2c_{X,i}\overline{t}_f$$
 (Eq. 5).

534

We therefore have $\bar{t}_m = 2\bar{t}_f$, which defines dosage compensation (Fig S7). Hence the way dosage 535 compensation works, i.e. the triplet $(\bar{t}_m, \bar{t}_f, c_{X,i})$ can be described by a single parameter. We can 536 537 choose e.g. to use \bar{t}_m for this description. Compared to the initial system with $\bar{t}_m = \bar{t}_f = c_{X,i} =$ 538 $c_{Y,i} = 1$, a final compensation characterized by $\bar{t}_m = 1$ (i.e. $\bar{t}_m = 1$, $\bar{t}_f = 0.5$, $c_{X,i} = 2$, $c_{Y,i} = 0$) 539 would correspond to the Caenorhabditis elegans case, where the X is inherently expressed twice 540 as much ($c_{X,i} = 2$) to obtain optimal expression in males, while a female-limited *trans*-regulator 541 halves expression ($\bar{t}_f = 0.5$) to recover optimal expression in females. This is also very similar to 542 the mammal case where a female-limited *trans*-regulator halves expression by randomly silencing one X (rather than halving expression of each X like in C. elegans). The case \bar{t}_m = 2 (i.e. \bar{t}_m = 543 2, $\bar{t}_f = 1$, $c_{X,i} = 1$, $c_{Y,i} = 0$) would correspond to the *Drosophila* case, where a male-limited 544 545 trans-acting factor doubles X expression to obtain optimal expression in males (nothing being changed in females, $\bar{t}_f = 1$, $c_{X,i} = 1$). Several other compensation mechanisms may occur, such 546 547 as trans-acting factors specifically targeting the X or Y in males, based on parent-of-origin imprints 548 [26,but see 34]: indeed, maternal or paternal imprints can easily identify proto-X and proto-Y in 549 males. The current model should be extended to examine this diversity of dosage compensation 550 mechanisms including the possibility of mixture of mechanisms [35]. This extension may also 551 require introducing different types of constraints to stabilize the runaway of *cis*-regulators, in the 552 period preceding the arrest of recombination, so that the type of compensation could be 553 evaluated against a stable regulatory system.

554 Number of selected loci

555 The quantitative comparison between DRE and DSI is not straightforward as most models of DRI 556 do not involve regulatory loci. At first sight, it might be possible to 'convert' *cis*-regulators into 557 genes exposed to deleterious mutations to make a comparison that would use the same number 558 of selected loci, mutation load and the same genetic map. This is however not trivial, as selective 559 effects on *cis*-regulators are not directly comparable to those on genes. Regulatory traits are 560 modelled as traits under stabilizing selection, i.e. with deleterious and beneficial mutations, and 561 influencing the dominance of mutations on the genes. On Fig. 4, we present two better control 562 cases. The first corresponds to simulations in the absence of mutation in *trans*-regulators. This is 563 slowing down degeneration by making *cis*-regulatory divergence more difficult, but the regulatory 564 feedback loop is still present (dashed red curve). However, the effect of *cis*-divergence on 565 silencing is still present, and this is therefore not representing DSI well. A second control considers 566 the same situation, but where the link between regulatory trait values and dominance of 567 mutation on the genes is artificially removed (setting h constant and equal to 0.25 in HFIX 568 simulations). In this case, regulatory traits are just quantitative traits with no effect on genes, and 569 the simulation therefore has the same number of loci, load and map compared to a full DRE 570 simulation with the regulatory effects removed (gray dashed line). In this case, we observe that 571 degeneration occurs faster than when all regulators are removed, as expected as there are more 572 interfering loci. However, the dynamics are still much slower than when the regulatory feedback 573 loop is occurring. Specifically, it is 23 times slower to reach the time where 50% of genes are 574 degenerate, compared to DRE.

575 Scaling and parameter effects

576 Fig S8 shows additional simulation results for lower values of mutations rates and strength of 577 selection against deleterious mutations, and test a scaling argument from diffusion theory.

578 *Mutation rates*

579 With smaller mutation rates, all processes are slower. Fig S8a shows results for mutation rates 10 580 times lower. Results show that the dynamics with only one gene are the same, just ten times 581 slower. This scaling holds true for simulations with or without regulators; therefore, all our 582 conclusions remain the same. Results with 50 genes show that degeneration is slowed down by a 583 factor ≈20 when mutation rates are 10 times lower. This scaling also holds for simulations with or 584 without regulators, and thus also leaves our main conclusions unchanged (in particular, regarding 585 the fact that regulatory evolution may considerably accelerate degeneration). The difference in 586 scaling between the 1 and 50 genes cases may be due to the fact that the speed of degeneration 587 caused by selective interference increases faster than linearly with the mutation rate. Since the 588 one-gene case is less impacted by lowering the mutation rate than the 50 locus case, we conclude overall that DRE is robust to our assumptions. If anything, it should play a greater role at lowermutation rates, compared with selective interference.

591 *Effect of deleterious mutations*

592 Fig S8b shows the results of simulations with lower mean effect *s_{mean}* of deleterious mutations. 593 Interpreting the effect of smean is not straightforward, as the fitness effect of deleterious 594 mutations has a non-monotonic effect on the rate of fitness decline in Muller's ratchet. With 10 595 times lower *s_{mean}*, we find that when regulators evolve, degeneration occurs twice as fast with 596 only one selected gene, but occurs at about the same rate with 50 genes. Simulations without 597 regulatory evolution show a different scaling (degeneration is 10 times faster and 5 times faster 598 with 1 and 50 loci, respectively). This difference in scaling may be expected as with regulator 599 evolution, dominance of the mutations carried on the Y declines, and tends to zero, which makes the dynamics less dependent on smean. In any case, as with the simulations with lower mutation 600 601 rates, degeneration always occurs much more rapidly in simulations with regulatory evolution 602 than in simulations without. Our main conclusion is thus robust to our choice of parameters, even 603 if quantitative differences can arise with different parameter values. This is to be expected in all 604 cases, as the simulations with regulatory evolution also necessarily include the effect of selective 605 interference. It only adds an extra process that accelerates degeneration. Degeneration by 606 regulation can occur 'alone' (as in the 1 locus simulations), but it will necessarily combine and add 607 to selective interference in other cases.

608 *Scalings*

609 From diffusion theory, one expects that allele frequency dynamics should be roughly insensitive 610 to population size, as long as the product of population size N_{pop} with the other parameters 611 (mutations rates, strength of selection, etc.) remains constant, and when time is measured in 612 units of N_{pop} generations (provided that N_{pop} is sufficiently large, while mutation and selection are 613 sufficiently weak). This scaling argument is interesting, as it indicates that the behaviour of very 614 large populations (that could not be simulated in a reasonable amount of time) can be deduced 615 from simulations of smaller populations [e.g. 36]. In order to test if such a scaling may hold in our 616 model, we compared simulations with N_{pop} = 1000 and our default parameter values (REG

simulations) with simulations with N_{pop} = 10000, and where the values of the parameters σ_C , σ_T , 617 618 s, I, U_g , U_c , U_{tm} , U_{tf} , R_g and R_c were divided by 10, so that the product between N_{pop} and these 619 parameters stayed constant (SCAL simulations). The results show that SCAL simulations are 620 comparable but about 100 times slower on the accumulation of deleterious mutations on the Y 621 (10x caused by the scaling of time expressed in units of N_{pop} generations, and 10x caused by the 622 scaling of the variable measured $N_{pop} s_{Y}$). This scaling works for SCAL simulations with 1 or 50 623 genes, suggesting that our results may be extrapolated to other parameter combinations, 624 provided the products of deterministic parameters with N_{pop} are kept constant.

625

627 KEY RESOURCES TABLE

Software and Algorithms						
C++ Simulations	This paper	https://github.com/denisroze/Sex_chromosome_degenerat ion				
Mersenne Twister random number generator	M. Matsumoto and T. Nishimura (1998). Mersenne Twister: A 623-dimensionally equidistributed uniform pseudorandom number generator. ACM Trans. on Modeling and Computer Simulation 8:3-30	http://www.math.sci.hiroshima-u.ac.jp/~m- mat/MT/VERSIONS/C-LANG/MersenneTwister.h				

628

629 Supplementary Fig S5



Fig S5. Control simulations. (a) *Cis*-regulator runaway during the burn-in phase. Variation of regulatory traits corresponding to the case illustrated in Fig 2, zooming on the burn-in phase. Regulatory trait values are rescaled to one at the arrest of recombination (Generation 250 000) for a fair comparison across simulations with different parameter values. Before recombination arrest, *cis*-regulator strength tends to increase while *trans*-regulator strength decreases

636 (achieving the same overall expression level). These variations are however symmetric for the 637 cis-regulators on the X and Y, and for female limited or male limited trans-regulators. Pink: X cis-638 regulator strength; Blue: Y cis-regulator strength; Brown: trans-regulator strength (plain: female 639 limited, dashed: male-limited). (b) Cis- and trans-regulator evolution in absence of deleterious 640 mutations in the gene. Variation of regulatory traits corresponding to the case illustrated in (a), 641 except that $U_G = 0$. Pink: X *cis*-regulator strength; Blue: Y *cis*-regulator strength; Brown: *trans*-642 regulator strength plain: female limited, dashed: male-limited. (c) Y degeneration under 643 regulatory evolution. Same as Figure 2a. Plain line correspond to simulations using Eq. 2 for 644 stabilizing selection, while dotted lines use the symmetrized version given in Eq. 4. (d) 645 Accumulation of deleterious mutations on the X for different population sizes $(10^3, 10^4, 10^5)$. Same parameter values than in Fig 2. x-axis number of generations in log-scale. y-axis: s_x . Plain 646 647 lines: with evolving regulators. Dotted lines: without regulator evolution (NOCT simulations, U_c = $U_T = 0$), but both are confounded at each population size. 648

651 Supplementary Fig S6



652

653 Fig S6. Regulatory system instability. Regulatory system instability in absence of deleterious 654 mutation in the coding sequence. Same parameter values than in Fig 2, except that $U_G = 0$. (a) x-655 axis: time in number of generations, in log-scale. Recombination stops between the X and Y 656 chromosome at generation 250000 (vertical dashed gray line); y-axis: The probability that a 657 coding gene on the Y is expressed at less than half the level of the X copy (half-silent, light green 658 curve), entirely silenced (dark green curve). The figure also shows the dominance of deleterious 659 mutations carried on the Y (h_Y , blue curve). A particular replicate is added (thin blue line) to show 660 how it can reach $h_Y = 0$ and can then rebound with $h_Y > 0$. (b) Time-variation of regulatory traits 661 corresponding to the case illustrated in panel a. x-axis: time in number of generations in log-scale; 662 y-axis: regulator trait values. Pink: X *cis*-regulator strength; Blue: Y *cis*-regulator strength; Brown: 663 trans-regulator strength plain: female limited, dashed: male-limited. Optimal dosage is 2 (dashed 664 gray line). Total expression in males and females is indicated by the dotted curves (male value in 665 blue, female in red). Note that panel (b) shows averages across replicates. Even if there is no bias 666 in the average regulatory trait values, there is always a chance that at a given time, in a given 667 replicate, the Y is silent. The divergence of *cis*-regulators corresponds here to a random walk with 668 the only constraint being that the overall level of expression is maintained.

669 Supplementary Fig S7





Fig S7. Evolution of dosage compensation. Dosage compensation is measured by the \bar{t}_m/\bar{t}_f ratio (methods). Simulation parameters corresponding to Fig 2a. The data of all replicates are aggregated. *x*-axis: number of generations after Y silencing (defined as the time at which c_Y reaches 10⁻⁴). Yellow: period before Y silencing. Blue: period after Y silencing.

676 Supplementary Fig S8



677

678 Fig S8 Scaling and parameter values. (a). Simulations with lower mutation rates. y-axis: 679 accumulation of deleterious mutations on the non-recombining region of the Y (s_Y). Left panel, 1 680 gene simulations; right panel, 50 genes simulations. x-axis: time in generations for REG 681 simulations, but in units of 10 (left panel) or 20 (right panel) generations for LOWM simulations (in reality LOWM simulations are 10 or 20 times slower). Npop =10000 for all simulations. Red: REG 682 683 simulations as in Fig 2. Orange: NOCT simulations (i.e. simulation without mutations in 684 regulators). LOWM simulations are simulations where mutations rates are divided by 10. (b). 685 Simulations with lower average effect of deleterious mutations in the genes. y-axis: accumulation 686 of deleterious mutations on the non-recombining region of the Y (s_Y). Left panel, 1 gene 687 simulations; right panel, 50 genes simulations. x-axis: time in generations. Npop =10000 for all 688 simulations. Red: REG simulations as in Fig 2. Orange: NOCT simulations (i.e. simulation without 689 mutations in regulators). LOWS simulations are simulations where *s_{mean}* is divided by 10. Gray 690 numbers indicate the time scaling required for curves to superpose. (c) Comparison of simulations 691 in which the product of deterministic parameters and population size stays constant. y-axis: 692 accumulation of deleterious mutations on the non-recombining region of the Y (s_Y). x-axis: time 693 in generations for REG simulations, but in units of 100 generations for SCAL simulations (in reality 694 SCAL simulations are 100 times slower). Red: 1 gene, Orange : 50 genes. Dotted lines: REG 695 simulations, like in Fig 2d with N_{pop} = 1000. Plain line, SCAL simulations with all parameters divided 696 by 10 compared to the reference simulation in Fig 2a, except N_{pop} = 10000 and s_{max} = 0.3.

698 Supplementary table 1

Simulation	Replicates	Figure	Parameter values
REG	330	2a, 2b, 3a, 3b	Default
REG*	200	2c	/=0.01
NOG	100	2c, S3, S4	$U_g = 0$
REC	100	2c	<i>R</i> _c = 0.5
NOCT	150	2c, 2d	$U_c = U_t = 0$
NOC	100	2c	$U_c = 0$
ΝΟΤ	100	2c	$U_t = 0$
NOT*	100	2c	<i>U</i> _t = 0; <i>I</i> =0.01
SYM	200	2c, S5	Symmetrized stabilizing selection fitness function
SCAL	94	S8	Default parameters/10 except Npop and s _{max}
LOWM	40	S8	All mutation rates /10
LOWS	40	S8	S _{mean} /10
NOCTLOWM	40	S8	$Ug/10; U_c = U_t = 0$
NOCTLOWS	40	S8	S_{mean} /10; $U_c = U_t = 0$
REG 1000	300	2d	N _{pop} = 1000
REG 100000	190	2d	N _{pop} = 100000
NOCT 1000	200	2d	$N_{pop} = 1000; U_c = U_t = 0$
NOCT 100000	120	2d	$N_{pop} = 100000; U_c = U_t = 0$
REG 50 locus	40	За	n _L = 50
SING 50 loci	48	За	n_{L} = 50; single male- and single female-limited <i>trans</i> -regulator
NOT 50 loci	25	S7	$n_L = 50; U_t = 0$
HFIX 50 loci	25	S7	$n_L = 50$; $U_t = 0$; dominance always maintained to $h=0.25$
NOCT 50 loci	60	За	$n_L = 50; U_c = U_t = 0$
SCAL 50 loci	30	S8	$n_L = 50$; Default parameters/10 except Npop and s_{max}
LOWM 50 loci	20	S8	$n_L = 50$; All mutation rates /10
LOWS 50 loci	20	S8	n _L = 50; S _{mean} /10

NOCTLOWM 50 loci	11	S8	n _L = 50; <i>Ug/10; U_c</i> = U _t = 0
NOCTLOWS 50 loci	15	S8	n _L = 50; S _{mean} /10; U _c = U _t = 0
REG 500 locus	20	3b	n _L = 500
SING 500 locus	40	3b	n _L =500; single male- and single female-limited <i>trans</i> -regulator
NOCT 500 locus	20	3b	$n_L = 500; U_c = U_t = 0$

699 Table 1. Simulation plan and parameter values. List of simulations with their acronym, their 700 parameter values and numbers of replicates. Default parameters: Population size (N): 10000; 701 Standard deviation of mutational effects on regulators $\sigma_T = \sigma_C = 0.2$; Number of quadruplets gene, 702 *cis*-regulator, male-limited *trans*-regulator, female limited *trans*-regulator (n_L): 1; Number of 703 generations during burn-in phase: 250000; Average effect of deleterious mutations (s): 0.05; 704 fitness effect of a gene knock-out (smax): 0.3; Intensity of stabilizing selection (I): 0.1. Mutation 705 rates $U_q = U_c = 2 U_{tm} = 2 U_{tf} = 0.0002$; Recombination rate between consecutive genes (R_q): 0.0005; 706 Recombination rate between *cis*-regulator and its gene (R_c) : 0.00005.

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