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# **Versatile and robust synthesis process for the fine control of the chemical composition and core-crystallinity of spherical core-shell Au@Ag nanoparticles**

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## **ABSTRACT**

Au nanoparticles (NPs) characterized by distinct surface chemistry (including dodecanethiol or oleylamine as capping agent), different sizes (~5 and ~10 nm) and crystallinities (polycrystalline or single crystalline), were chosen as seeds to demonstrate the versatility and robustness of our two-step core-shell Au@Ag NP synthesis process. The central component of this strategy is to solubilize the shell precursor ( $\text{AgNO}_3$ ) in oleylamine and to induce the growth of the shell on selected seeds under heating. The shell thickness is thus controlled by the temperature, the annealing time, the [shell precursor] / [seed] concentration ratio, seed size and crystallinity. The shell thickness is thus shown to increase with the reactant concentration and to grow faster on polycrystalline seeds. The crystalline structure and chemical composition were characterized by HRTEM, STEM-HAADF, EELS and Raman spectroscopy. The plasmonic response of Au@Ag core-shell NPs as a function of core size and shell thickness was assessed by spectrophotometry and simulated by calculations based on the discrete dipole approximation (DDA) method. Finally, the nearly monodisperse core-shell Au@Ag NPs were shown to form micrometer-scale faceted 3D fcc-ordered superlattices (SLs) after solvent evaporation and deposition on a solid substrate. These SLs are promising candidates for applications as a tunable surface-enhanced Raman scattering (SERS) platform.

## 1 Introduction

Nanostructured bimetallic systems, in two-phase or alloyed form, offer a wide range of new properties, potentially extending the already numerous applications of monometallic nanoparticles. Noble metals are of particular interest among bimetallic materials [1–3], because of their specific properties. Silver and gold are the noblest metals (almost full d orbital and high cohesive energy) [4]. Under an electromagnetic field, the electron cloud of such nanoparticles can undergo a coherent collective oscillation movement resulting in the so-called surface plasmon resonance, which is attractive for optical applications [5,6]. They also present specific catalytic properties with a high activity [7]. The synergy between these two metals is highly promising, enhancing their functionality and opening up potential applications in catalysis, spectroscopy and medicine [8–16].

Bimetallic Au@Ag core-shell nanoparticles have received extensive interest for many years due to the possibility of tuning their properties through the size of the core, the thickness of the shell and the structural coupling between the core and the shell. In this way, it becomes possible to modify and control the plasmon resonances for surface-enhanced Raman scattering (SERS) applications, catalysis, and chemical sensing [13,17–22]. The synthesis of alloys or core-shells is facilitated for gold and silver by their similar crystal structure (fcc) and lattice constants ( $a = 0.408$  nm for Au and  $0.409$  nm for Ag) [19]. The fabrication of Au@Ag core-shell structures results in most cases from the reduction of gold to form a core followed by the reduction of silver ions to coat it [12,15,17,23–27]. Due to the close match of the lattice constants an epitaxial growth of Ag on the Au seeds is expected [28]. However, the morphology of the gold seeds can evolve after the deposition of the silver shell depending on experimental conditions such as the type of Au seeds (size, shape, crystalline structure and coating agent) [18,24,25], the precursor of silver ions, the reductant, the capping agent present in the reaction solution [17,18,25], the solvent and the temperature [23]. A wide variety of synthesis protocols have thus been developed in recent years that play on these multiple parameters. Different morphologies of Au@Ag nanoparticles were obtained, including sphere, cube, nanorod, octahedron or decahedron shapes [18,20,21,23,24,29].

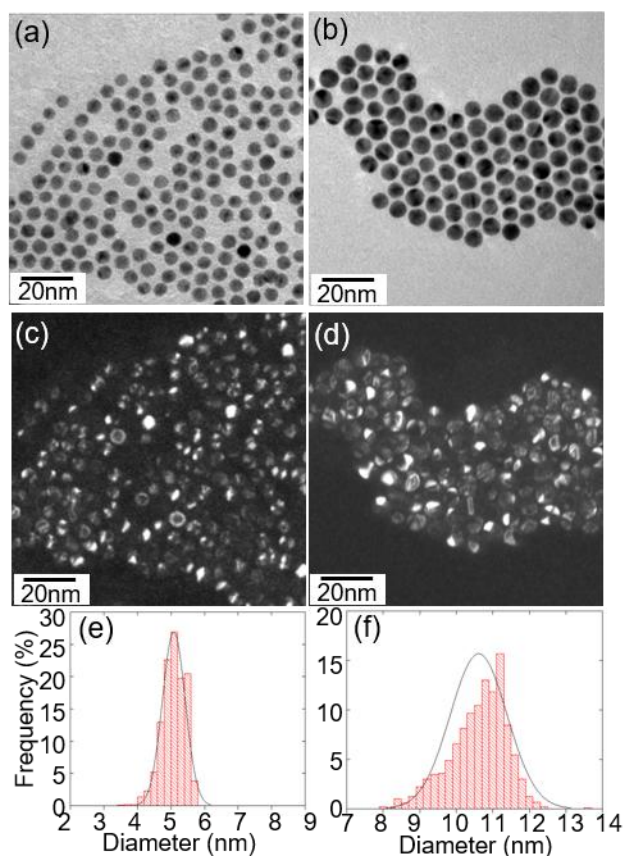
This work is based on the development of a versatile and robust synthesis process, which allows the growth of the silver shell on gold seeds under a variety of conditions (seed size, seed crystallinity, and seed surface chemistry). It is based on the use of oleylamine to solubilize and reduce the silver metallic precursor for the shell under annealing for a controlled time at the surface of the Au seed, which is itself synthesized by different pathways

in an organic medium. The resulting Au@Ag core-shell NPs are of controlled crystallinity and composition; factors that play a fundamental role in their optical and catalytic properties [13,30,31]. Furthermore, they have a narrow size distribution that facilitates their 3D assembly after deposition on a solid substrate for further applications as new SERS platforms with tunable optical properties[32]. SEM, STEM-HAADF, EELS, UV-Visible and low-frequency Raman spectroscopies (see the section on experimental methods) are used to characterize the crystallinity and chemical composition of the NPs, and their organization. The last two spectroscopic techniques mentioned above allow us to probe the large-scale uniformity of the samples in terms of structure, chemical composition and size.

## 2 Results and Discussion

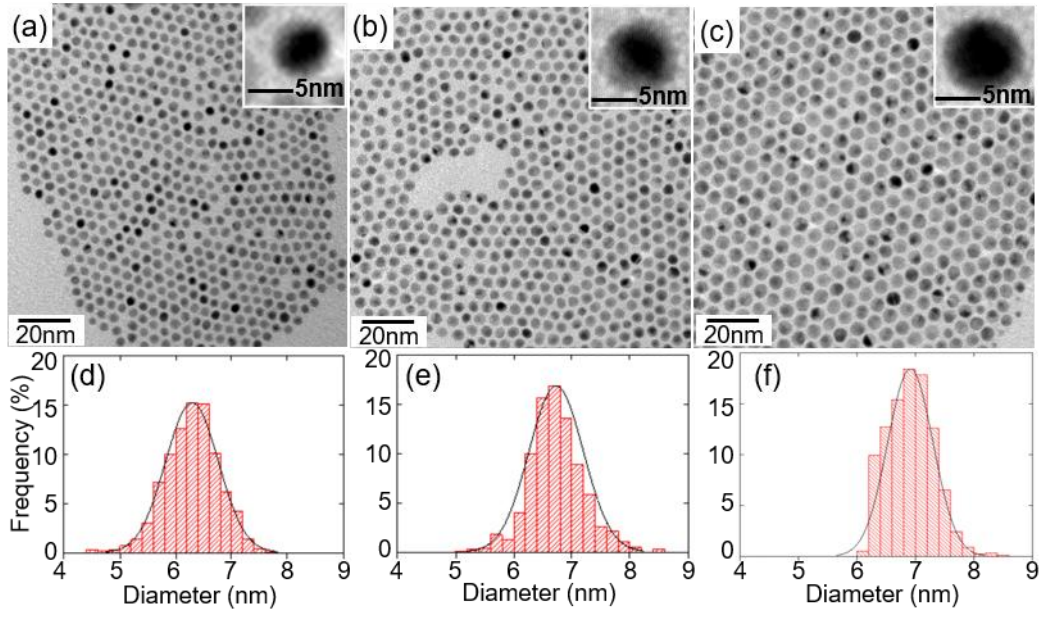
### 2.1 Synthesis of Au@Ag core-shell nanoparticles from different PC core sizes.

Figures 1(a) and 1(b) show typical TEM images of Au NPs with diameters around 5 and 10 nm and functionalized by dodecanethiols or oleylamine respectively. After synthesis, the 5 nm NPs were selected in crystallinity in order to obtain polycrystalline (PC) NPs. They are characterized by a narrow size distribution (both <8%) (figures 1(a), 1(b), 1(e) and 1(f)) and an average diameter  $D_{core}$  of  $5.3 \pm 0.4$  or  $10.6 \pm 0.8$  nm depending on the synthesis process used (Table 1). They have a rather spherical shape and are polycrystalline as seen in the dark-field TEM images (figures 1(c) and 1(d)) in which a significant proportion of NPs exhibiting inhomogeneous contrast are present. The different seeds are referred to as Au<sub>5nm</sub>(PC)-C<sub>12</sub> and Au<sub>10nm</sub>(PC)-C<sub>18</sub>.



**Figure 1.** Representative TEM images (a)  $\text{Au}_{5\text{nm}}(\text{PC})\text{-C}_{12}$ , (b)  $\text{Au}_{10\text{nm}}(\text{PC})\text{-C}_{18}$  seeds and (c, d) the corresponding dark-field TEM images and (e, f) the corresponding size histograms.

The silver shell overgrowth on gold cores was induced by mixing the colloidal solution with a solution of Ag-oleylamine complex under annealing at  $90^\circ\text{C}$  for 2 or 5 hours depending on the core size (for more details see section 4 experimental methods). The silver growth on the Au seeds takes place via a surface-catalyzed reaction of  $\text{Ag}^+$  by oleylamine at  $90^\circ\text{C}$  [33]. A much higher temperature is indeed required to reduce silver nitrate directly in oleylamine and to form nanoparticles [34]. At  $90^\circ\text{C}$ , any nucleation of new silver NPs can thus be avoided. The TEM images of the  $\text{Au}_{5\text{nm}}(\text{PC})@\text{Ag}$  NPs obtained from three different Au seed concentrations ( $C_{\text{seed}}$ ,  $C_{\text{seed}}/2$  and  $C_{\text{seed}}/4$ ) and an annealing time fixed at 5 hours are reported in figures 2(a)-(c). As the gold seed concentration decreases, the overall size of  $\text{Au}_{5\text{nm}}(\text{PC})@\text{Ag}$  NPs increases from  $6.3 \pm 0.5$  to  $6.6 \pm 0.5$  and  $7 \pm 0.6$  nm in diameter and the obtained polydispersity remains low (around 8%) (figures. 2(d)-(f)) with a Gaussian profile characteristic of a homogeneous growth of silver at the surface of the seeds. The values of the shell thickness  $e$  were estimated assuming that the average size of gold cores was  $D_{\text{core}} = 5.3 \pm 0.4$  nm and the deposited silver layer was uniform (Table 1 and figure 3).



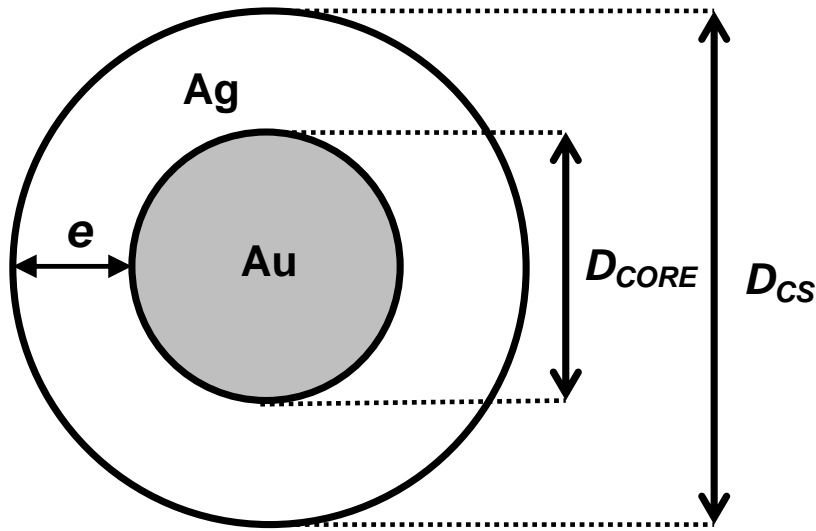
**Figure 2.** Representative TEM images of  $\text{Au}_{5\text{nm}}(\text{PC})@\text{Ag}$  NPs obtained from different seed concentrations (a)  $C_{\text{seed}}$ , (b)  $C_{\text{seed}}/2$  and (c)  $C_{\text{seed}}/4$  where  $C_{\text{seed}} = 1.4 \mu\text{mol L}^{-1}$  and (d, e, f) the corresponding histogram. In inset: TEM images at higher magnification.

**Table 1:** Experimental conditions used for the preparation of  $\text{Au}_{5\text{nm}}(\text{PC})@\text{Ag}$  NPs, where  $e$  is the silver shell thickness,  $V_{\text{shell}}$  is the silver shell volume ( $V_{\text{shell}} = V_{\text{Au}@Ag} - V_{\text{Au seed}}$ ) and  $R$  is the  $[\text{Ag precursor}] / [\text{Au seed}]$  concentration ratio.

Samples	[Au seed ] ( $\mu\text{mol L}^{-1}$ )	[Ag <sup>+</sup> ] ( $\text{mmol L}^{-1}$ )	$e$ (nm)	$V_{\text{shell}}$ ( $\text{nm}^3$ )	$R$
1	1.4	0.825	0.5	53	600
2	0.7	0.825	0.65	72	1200
3	0.35	0.825	0.85	101	2400
4	1.4	1.65	0.45	47	1200
5	1.4	3.3	0.8	94	2400

Furthermore, the TEM images (insets in figures. 2(a)-(c)) confirm the formation of  $\text{Au}_{5\text{nm}}(\text{PC})@\text{Ag}$  core-shell NPs, as most of the particles have a dark central part and a bright outer part, in agreement with a gold core and a silver shell since gold atoms scatter electrons more strongly than silver. However, strong diffraction contrast affects the visibility of the shell, which is somewhat variable as a function of particle crystallinity and orientation [35].

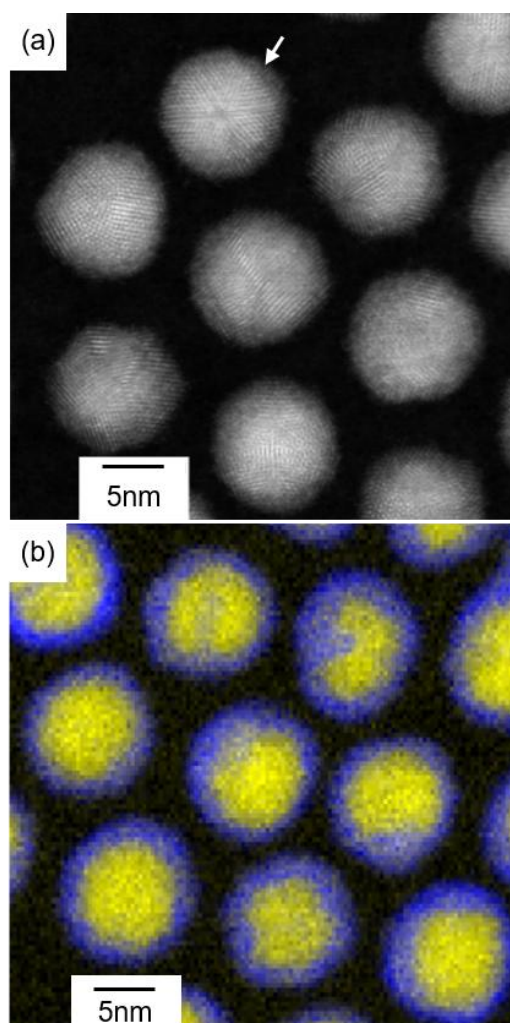
The two elements Au and Ag have the same lattice structure (fcc), similar lattice parameters (lattice mismatch less than 0,1%) and very close electronegativities (2.5 and 1.9 for Au and Ag respectively) [19]. These metals thus satisfy the Hume-Rothery rules and are therefore highly miscible [36]. Core-shell Au@Ag nanoparticles are often obtained by depositing silver on Au seeds. Their conversion to homogeneous alloys is then possible by heating at high temperatures (250-1000°C) [37,38]. Our synthesis process in two steps and at low temperature (90°C) is thus favorable to the formation of core-shell NPs in agreement with the obtained results. By changing the silver precursor concentration  $C_{Ag}^+$  but maintaining similar [Ag precursor] / [Au seed] concentration ratios, we observe an evolution of the silver layer thickness close to the one observed previously by changing the seed concentrations (see Table 1 and figure S1 in supporting information).



**Figure 3.** Schematic diagram of a core-shell Au@Ag nanoparticle made of an Au core with diameter  $D_{CORE}$ , a total diameter  $D_{CS}$  and an Ag thickness  $e = (D_{CS} - D_{CORE})/2$ .

Further morphology observations were performed by HAADF-STEM. The HAADF approach can detect the variation in chemical composition of the multi-component sample with an atom-level resolution due to the contrast difference between different elements (Z-contrast imaging). As the atomic number difference between Ag(47) and Au(79) is rather high, Z-contrast imaging can distinguish the Au and Ag within the nanoparticles [23]. Figure 4(a) presents a typical HAADF-STEM image of quasi-spherical core-shell Au<sub>5nm</sub>(PC)@Ag NPs (sample 1 in table 1) with Au core and Ag shell in bright and darker contrast respectively.

This is further verified by the corresponding EELS maps (figure 4(b)). A silver thickness  $e = 0.6$  nm is estimated in agreement with the value deduced from TEM images ( $e = 0.5$  nm see Table 1). However, this latter value is less precise, due to a sampling difference. In fact, a minimum of 500 particles were measured by TEM compared to about ten particles by STEM-HAADF. More generally, we observe polycrystalline NPs including multiply-twinned particles (MTP) with tetrahedral sub-units corresponding to an icosahedron (see arrow on figure 4(a)). Furthermore, it is important to note that because of their low size distribution, the  $\text{Au}_{5\text{nm}}(\text{PC})@\text{Ag}$  NPs self-organize into a 2D hexagonal network [39].



**Figure 4.** Electron microscopy characterization of  $\text{Au}@\text{Ag}$  core-shell NPs (a) Typical HAADF-STEM dark field image of  $\text{Au}_{5\text{nm}}(\text{PC})@\text{Ag}$  NPs obtained for  $C_{\text{seed}} = 1.4 \mu\text{mol L}^{-1}$ , the white arrow indicates an icosahedral NP (b) corresponding EELS map showing the Au core (yellow) and the silver shell (blue).

When using  $\text{Au}_{10\text{nm}}(\text{PC})\text{-C}_{18}$  NPs as seeds, the silver shell overgrowth takes place during annealing at  $90^\circ\text{C}$  for only 2 hours. The TEM images confirm the formation of core-shell



Au<sub>10nm</sub>(PC)@Ag NPs with a total diameter  $D_{CS} = 12.2 \pm 1.0$ ,  $14 \pm 1.0$  or  $14.8 \pm 1.2$  nm obtained for three seed concentrations  $C_{seed}$ ,  $C_{seed}/2$  and  $C_{seed}/4$  respectively, with a clear difference of contrast between the core and the shell (figures 5(a)-(c) and insets, Table 2). The corresponding size histograms show narrow size distributions: around 8% (figures 5(d)-(f)). Furthermore, the silver shell volumes ( $V_{shell}$ ) directly linked to the overall amount of Ag deposited in the shell were calculated for Au<sub>10nm</sub>@Ag and Au<sub>5nm</sub>@Ag core-shell NPs. These calculations allow us to compare shell growth kinetics on both sizes of seed (Tables 1 and 2). For similar gold seed concentrations and R values,  $V_{shell}$  values for Au<sub>10nm</sub>-C<sub>18</sub>@Au NPs are significantly higher than those obtained for Au<sub>5nm</sub>(PC)-C<sub>12</sub>@Ag NPs (see Tables 1 and 2).

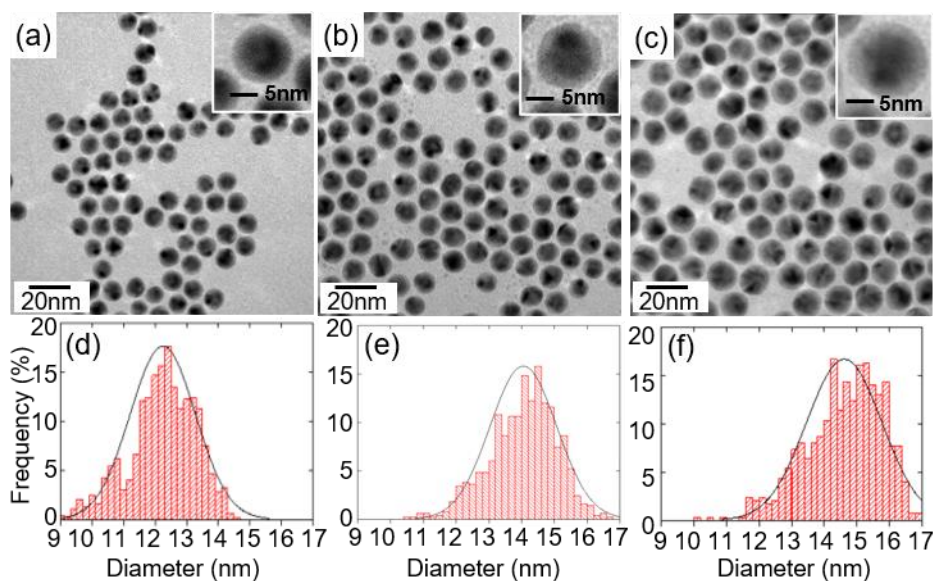
**Table 2:** Experimental conditions used for the preparation of Au<sub>10nm</sub>(PC)@Ag NPs, where  $e$  is the silver shell thickness,  $V_{shell}$  is the silver shell volume ( $V_{shell} = V_{Au@Ag} - V_{Au seed}$ ) R is the [Ag precursor] / [Au seed] concentration ratio.

Samples	[Au seed ] ( $\mu\text{mol L}^{-1}$ )	[Ag <sup>+</sup> ] ( $\text{mmol L}^{-1}$ )	$e$ (nm)	$V_{Shell}$ ( $\text{nm}^3$ )	R
6	1.4	0.825	0.8	327	600
7	0.7	0.825	1.7	813	1200
8	0.35	0.825	2.1	1073	2400

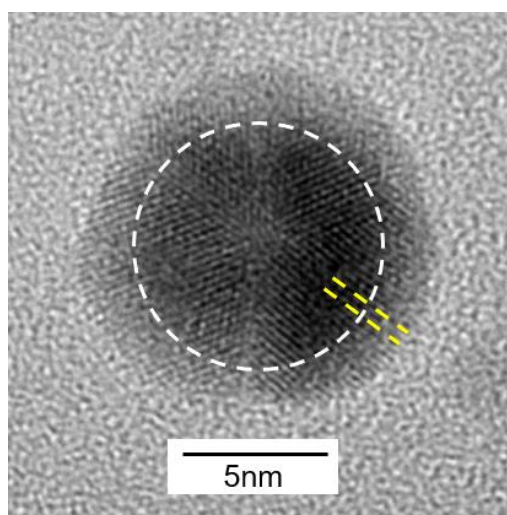
The silver growth process is thus faster and more efficient on Au<sub>10nm</sub>-C<sub>18</sub> than on Au<sub>5nm</sub>-C<sub>12</sub>. Larger nanoparticle sizes indeed offer a larger surface area accessible to silver ions, which promotes the growth of the silver layer and gives a greater silver thickness than on smaller nanoparticles. In addition, silver is deposited on the Au area in the presence of a capping agent. The silver growth process thus requires a desorption of the capping layer, deposition of Ag on the exposed Au surface and subsequent re-encapsulation of the Au surface by the capping agents [40]. Oleylamine as a capping agent is more weakly bound to the gold surface than dodecanethiol and is very labile [41,42], thereby promoting the growth of silver on the gold surface.

A typical HRTEM image of Au<sub>10nm</sub>(PC)@Ag NPs with overall size  $12.2 \pm 1.0$  nm after the silver shell growth is shown in figure 6. The icosahedral particle with rather rounded edges shows a dark central part and a brighter outer part in agreement with a gold core and a silver shell. Moreover, the HRTEM image suggests an epitaxial growth relationship between the

icosahedral Au core and Ag shell as the growth of the shell perfectly follows the morphology of the underlying gold core.



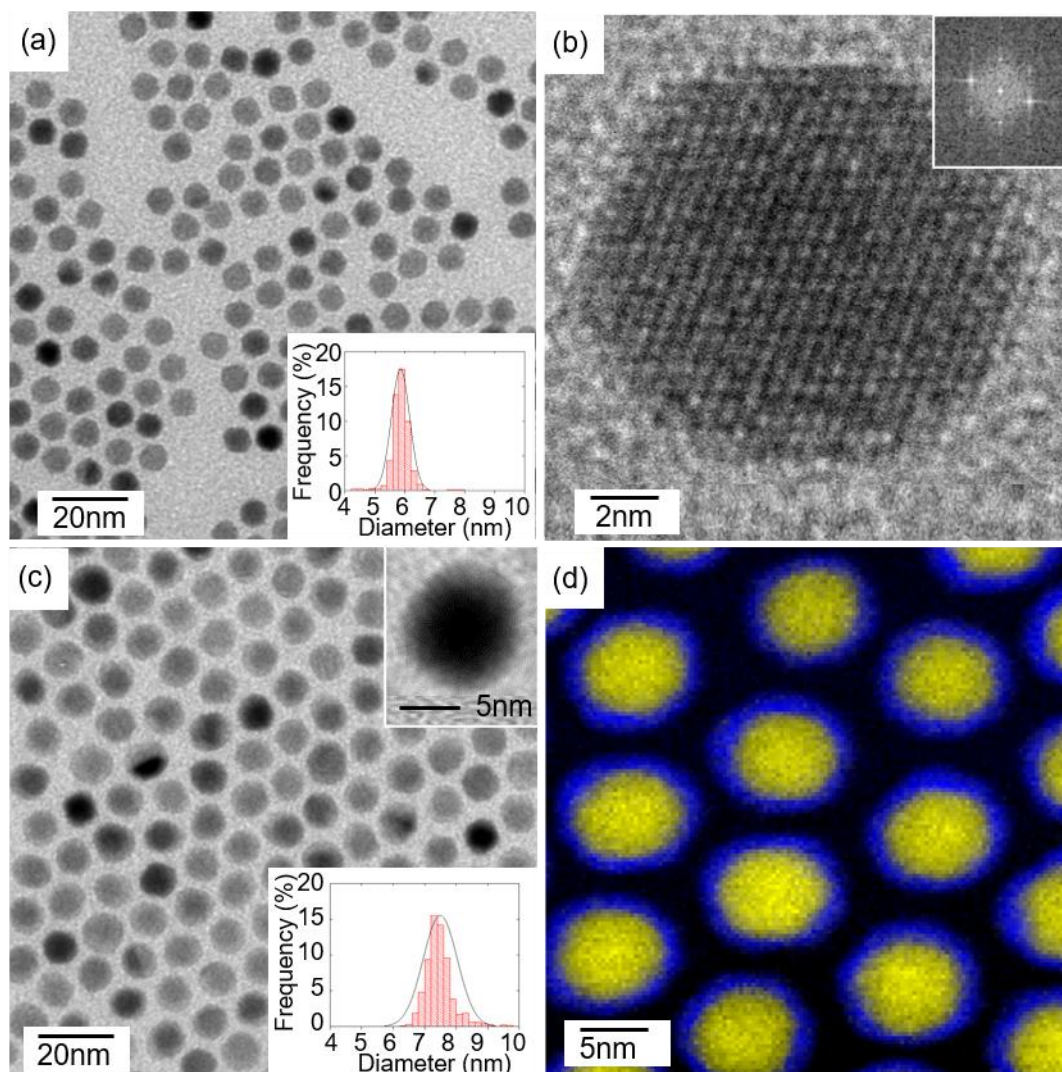
**Figure 5.** Representative TEM images of Au<sub>10nm</sub>(PC)@Ag core-shell NPs from different seed concentrations (a)  $C_{\text{seed}}$ , (b)  $C_{\text{seed}}/2$ , (c)  $C_{\text{seed}}/4$  where  $C = 1.4 \mu\text{mol L}^{-1}$  and (d, e, f) the corresponding size histograms. In inset: representative TEM images at higher magnification



**Figure 6.** Typical HRTEM image of Au<sub>10nm</sub>(PC)@Ag NPs obtained for  $C_{\text{seed}} = 1.4 \mu\text{mol.L}^{-1}$ . The white circle delineates the gold core-silver shell interface and the yellow dotted lines illustrate the epitaxial relationship between the core and the shell.

## 2.2 Synthesis of Au@Ag core-shell nanoparticles from SC Au seeds.

Figure 7(a) is a typical TEM image of SC Au<sub>5nm</sub> NPs with an average diameter of  $5.8 \pm 0.3$  nm obtained after crystallinity selection (see experimental section). Most of the NPs shown exhibit indeed a homogeneous contrast which clearly indicates their single-crystalline structure. The corresponding high resolution TEM image and Fourier transform of the image (figure 7(b) and inset) show that these NPs are single crystal cuboctahedrons (or truncated octahedrons).



**Figure 7.** Electron microscopy characterizations: (a) TEM images of Au<sub>5nm</sub>(SC) seeds, (b) the corresponding HRTEM image and corresponding FFT (c) typical TEM image of Au<sub>5nm</sub>(SC)@Ag core-shell NPs with an overall size =  $7.5 \pm 0.5$  nm and (d) corresponding EELS map showing the Au core (yellow) and the silver shell (blue).

The silver shell overgrowth was induced as previously in a solution of Ag-oleylamine complex under an annealing temperature of 90°C and with concentrations in Ag<sup>+</sup> ions and

Au<sub>5nm</sub>(SC) seeds of  $C_{Ag}^{+}=0.825 \text{ mmol L}^{-1}$  and  $C_{seed}=1.4 \text{ } \mu\text{mol L}^{-1}$  respectively and  $R=[\text{Ag precursor}]/[\text{Au seed}]=600$ . The size of the NPs is slightly increased and the deduced average Ag thickness of 0.2 nm is around half that obtained on PC Au<sub>5nm</sub> seeds in similar conditions (see figures S2(a) and S2(b) in supporting information). The silver shell appears thus to grow faster on PC Au seeds. This is consistent with the fact that PC NPs present more corners and edges which are sub-coordinated atomic sites of higher energy and hence more active for the heterogeneous nucleation of Ag at the Au surface. When the Ag-oleylamine complex concentration is doubled ( $C_{Ag}^{+}=1.65 \text{ mmol L}^{-1}$ ,  $R=1200$ ), the SC nanoparticles flocculate after a reaction time of 1 hour due to a significant increase in their sizes and thus in van der Waals attractions but also due to a tendency to assemble originating from the atomic alignment between their facets [43]. A slight decrease in the heating temperature to 80°C (keeping  $C_{Ag}^{+}=1.65 \text{ mmol L}^{-1}$ ,  $R=1200$ ) was observed to slow down the growth of silver on the gold surface, leading to an average silver thickness around 4 times greater than previously ( $e=0.85 \text{ nm}$ ) (figure 7(c)). Furthermore, the TEM image in inset figure 7(c) confirms the formation of core-shell Au@Ag NPs via the difference of contrast between the core and the shell. The core-shell structure is further verified by the EELS map (figure 7(d)). An average silver thickness  $e$  around 1 nm is estimated and is close to the value deduced from TEM images.

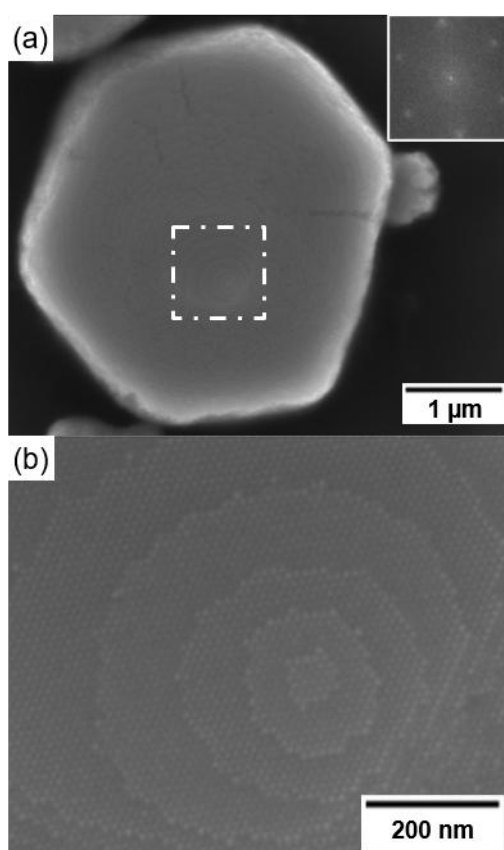
### 2.3 3D organization of Au@Ag core-shell.

After deposition of colloidal solution drops on a silicon substrate and solvent evaporation (toluene in this case) the Au NPs, irrespective of their size ( $\sim 5$  or  $\sim 10 \text{ nm}$ ), coating agent (dodecanethiol or oleylamine) or crystallinity (SC or PC), self-organize to form well faceted 3D superlattices (SLs) as is shown in the SEM images (see figures S3 (a), S3(d) and S3(g) in supporting information). Similar SL sizes are obtained whatever the NP size, capping agent and crystallinity. Nevertheless, the SLs shapes are better defined, with the appearance of triangular shaped SLs, for higher NP sizes and for SC NPs. These well-defined shapes are characteristic of homogeneous growth in solution that could be favored by an increase in NP-NP interactions between larger NPs due to larger van der Waals interactions or between SC NPs due to the presence of larger facets [44,45]. Furthermore, previous results showed that the nature of the interactions between NPs (attractive or repulsive) depend both on the nature of the capping agent and the van der Waals attractions between the cores for a given solvent [44,45]. Although the interdigitation is partial for oleylamine molecules (unlike dodecanethiols) due to the C=C double bond [46], a larger metal core size can still induce 3D organization into colloidal crystals. Ordered assemblies of gold NPs 16 to 21 nm in diameter

coated with oleylamine have indeed been reported in the literature [41] as well as 11 nm diameter  $\text{Fe}_x\text{O}/\text{CoFe}_2\text{O}_4$  NPs coated with oleic acids of molecular structure comparable to oleylamine [47].

After silver layer overgrowth, Au@Ag NPs form 3D SLs with similar sizes and shapes to those obtained from the Au seeds, whatever their overall size (see figure S3 in supporting information). This is consistent with a uniform Ag overgrowth, maintaining a low size and shape distribution of the core-shell NPs.

A HRSEM image and corresponding Fourier transform of a typical 3D superlattice made of  $\text{Au}_{10\text{nm}}(\text{PC})@\text{Ag}$  core-shell NPs with average overall size of 14 nm demonstrates that the nanoparticles are well ordered in a compact surface plane corresponding to the [111] fcc orientation (figure 8(a) and inset). At higher magnification, the HRSEM image (figure 8(b)) shows clearly the stacking of NP monolayers organized in a hexagonal network.



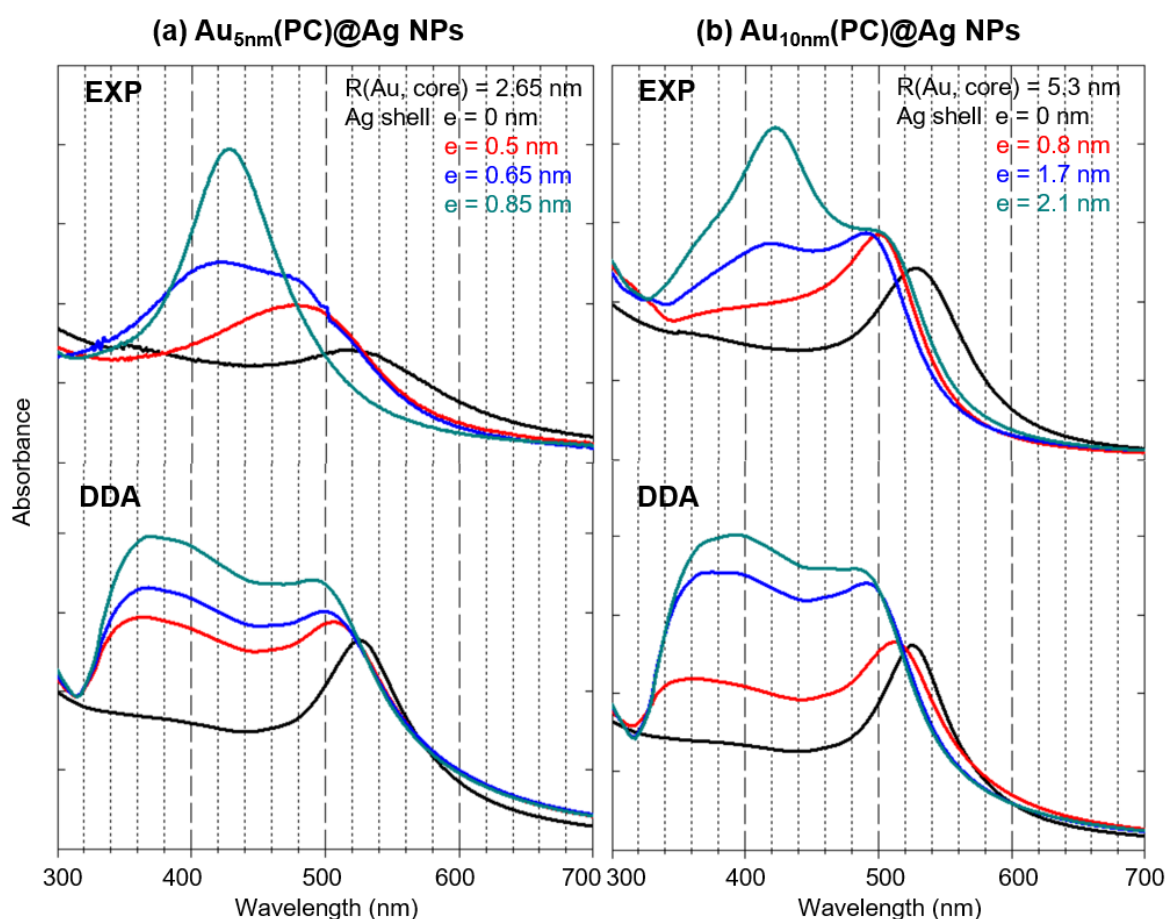
**Figure 8.** HR-SEM image (a) of colloidal crystal made of core-shell  $\text{Au}_{10\text{nm}}(\text{PC})@\text{Ag}$  NPs with overall size of 14 nm after the shell growth. In inset the corresponding Fourier transform. (b) Magnified HR-SEM image of the area delimited by white rectangle in a.

All these samples were also analyzed by EDS in the SEM to determine the NP composition. When the measured variation? does not exceed one percent, we consider such NPs to be

homogeneous in composition. EDS measurements confirm the homogeneous composition of the bimetallic nanoparticles everywhere on the substrate and agree with the atomic compositions calculated from the core-shell structures deduced from TEM images (see Table S1 in supporting information).

#### 2.4 Optical properties of Au(PC)@Ag NPs

We studied the optical properties of  $\sim 5\text{nm}$  and  $\sim 10\text{nm}$  Au@Ag NPs formed only from polycrystalline gold cores, through UV-visible absorption measurements (figure 9). The spectra were recorded from colloidal solutions at room temperature with a fixed concentration of  $0.14\ \mu\text{mol L}^{-1}$ .



**Figure 9.** Experimental and DDA calculated absorption spectra of core-shell (a)  $\text{Au}_{5\text{nm}}(\text{PC})@\text{Ag}$  NPs and (b)  $\text{Au}_{10\text{nm}}(\text{PC})@\text{Ag}$  NPs with various shell thicknesses as indicated in both panels



Significant changes are observed in the UV-visible spectra depending on the core size and composition of the Au(PC)@Ag NPs (figures 9(a) and 9(b)). In figure 9(a), Au<sub>5nm</sub>(PC) seeds exhibit an absorbance band at 520 nm corresponding to the surface plasmon resonance (SPR) of the nanoparticles. For core-shell Au<sub>5nm</sub>(PC)@Ag NPs, a blue shift (30 nm) and an increase in the SPR band intensity are observed when the Ag shell grows to  $e = 0.5$  nm thickness ( $\sim 1$  atomic layer). When the thickness of the silver layer reaches 0.65 nm ( $\sim 2$  atomic layers), the SPR band appears to be structured, with two components centered at 410 nm and 490 nm. The higher-wavelength SPR component is attributed to the Au core while the other one corresponds to the silver shell [9,10,12]. Finally, the absorption spectrum of Au<sub>5nm</sub>(PC)@Ag NPs with around 3 Ag atomic layers ( $e = 0.85$  nm) only exhibits the low-wavelength SPR component whose profile and wavelength correspond to the SPR of silver NPs of similar size. This experiment was reproduced several times. It seems that the absorption of the silver shell is dominant in spite of its thinness. In the case of Au<sub>10nm</sub>(PC) seeds, a sharp and narrow SPR band centered at 530 nm is observed (figure 9(a) below). After the growth of a silver shell of thickness 0.8 nm ( $\sim 3$  atomic layers) the SPR band becomes more intense and shifts to the blue at 510 nm while a shoulder starts to grow on the low-wavelength side of the SPR. When the silver thickness is further increased to reach  $e = 1.7$  nm ( $\sim 5$  atomic layers), two SPR components are observed at 505 and 405 nm. As previously, the splitting into two components arises from the respective contributions of the gold core and the silver shell to the absorption. Finally, for a silver thickness of 2.1 nm ( $\sim 6$  atomic layers) the lower-wavelength SPR component attributed to the Ag shell becomes dominant.

We thus experimentally observe that SPR features of Au@Ag NPs are significantly modulated by the layer thickness and core size in agreement with the literature [18,48].

To gain deeper insights into the relationship between the SPR bands and the core size and shell thickness of the Au@Ag NPs, DDA calculations were carried out to simulate the absorption spectra of Au<sub>5nm</sub>(PC)@Ag and Au<sub>10nm</sub>(PC)@Ag core-shell NPs [9]. In these calculations, the refractive index of the surrounding medium is set to 1.5 to simulate the toluene used as the solvent [49]. The size-corrected complex dielectric functions for Ag shells and Au cores were calculated according to the procedure described in the supporting information. The calculated spectra obtained for different Au core sizes and silver shell thicknesses are plotted in figure 9(b) and are consistent with the general trend observed in the experimental spectra. Nevertheless, for the Au<sub>10nm</sub>(PC)@Ag NPs spectra, the contribution from the silver component increases with the shell thickness but remains slightly smaller than

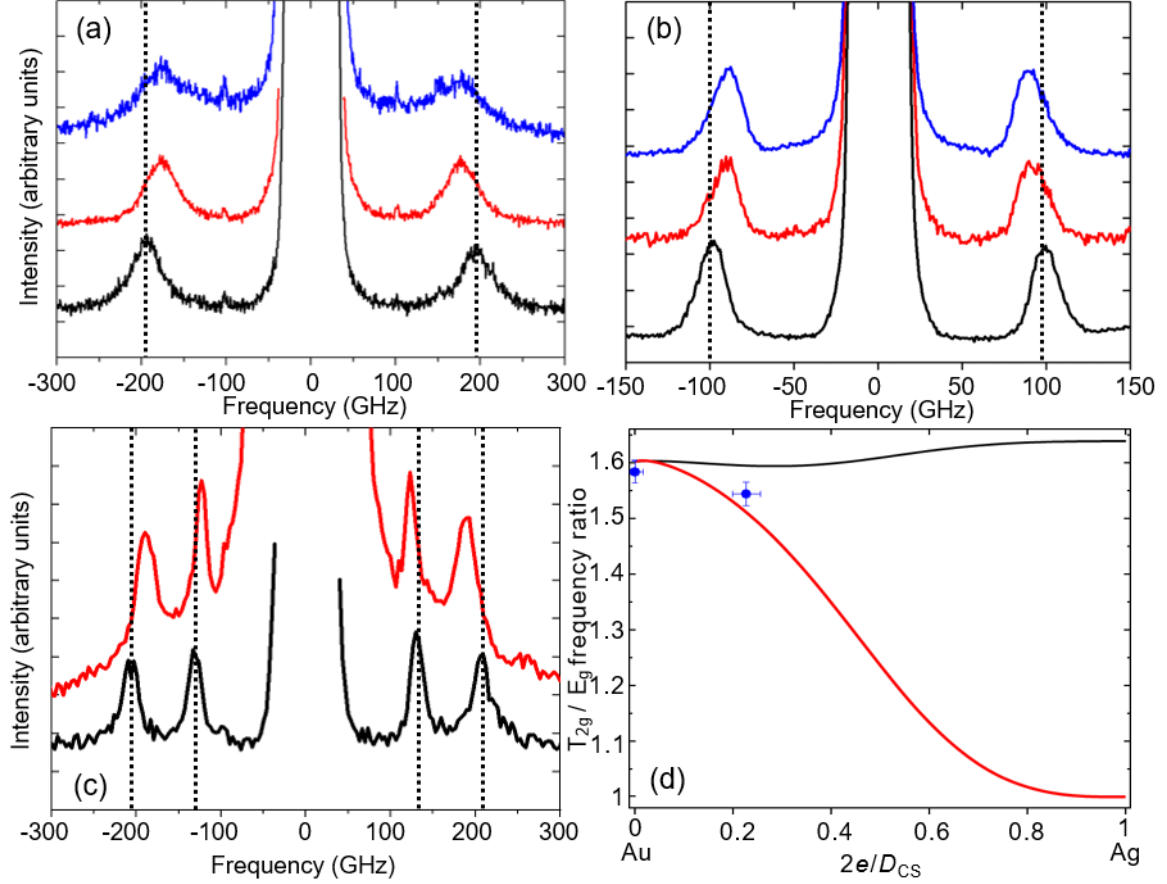
in the experiment. For  $\text{Au}_{5\text{nm}}(\text{PC})@\text{Ag}$  NPs, in each calculated spectrum, the contribution of the gold core remains more pronounced whatever the silver shell thickness, although it decreases with the thickness of silver layer as experimentally observed. The disagreement is greatest when the number of silver layers reaches 3. The discrepancies between the experimental and calculated spectra may arise from the slight deviation from the ideal concentric spherical shape, as revealed in figure 4(b) by the EELS mapping of the core-shell NPs and also from a more or less uniform shell thickness especially as it increases (see the HRTEM image of  $\text{Au}_{10\text{nm}}@\text{Ag}$  NPs in figure 6). The presence of pure Ag NPs in coexistence with core-shell  $\text{Au}@\text{Ag}$  NPs, which could explain the more pronounced contribution of the silver component in the experimental spectra must be excluded according to the EDS results (see table S1 in supporting information) and LFRS spectra performed on these samples (figure 10). Finally, we can note that the peak corresponding to the contribution of silver to the plasmon resonance appears at shorter wavelengths in all simulated spectra than in experimental ones. We cannot exclude an Au-Ag alloy layer at the interface according to EELS profiles (see figure S4 in supporting information). The interface width in the  $\text{Au}(\text{SC})@\text{Ag}$  NPs (figure 7) is no more than 1nm, with a likely value of half of this or less, corresponding to about 1 unit cell. The  $\text{Au}(\text{PC})@\text{Ag}$  nanoparticles are more difficult to analyze in this way, with some localized regions in which the silver layer penetrates further into the gold (figure 4). Nevertheless, there is no particular reason to believe the interface width here would be significantly different. This alloy layer could disturb the optical spectra and be at the origin of the disagreement with the DDA calculations.

### 2.5 Effect of Ag shell growth on acoustic vibrations of $\text{Au}@\text{Ag}$ NPs

Acoustic vibrations of  $\text{Au}_{5\text{nm}}(\text{PC})@\text{Ag}$ ,  $\text{Au}_{5\text{nm}}(\text{SC})@\text{Ag}$  and  $\text{Au}_{10\text{nm}}(\text{PC})@\text{Ag}$  core-shell NPs with different silver shell thicknesses were investigated by LFRS to confirm the crystallinity of the Au core and to study the strength of the core-shell bond. The corresponding Stokes and anti-Stokes LFRS spectra are presented in figure 10. Each spectrum obtained from  $\text{Au}_{5\text{nm}}(\text{PC})@\text{Ag}$  and  $\text{Au}_{10\text{nm}}(\text{PC})@\text{Ag}$  core-shell NPs (figures 10(a) and 10(b)) exhibits an intense band ascribed to the Raman scattering by the quadrupolar vibrational mode of the NPs. Whatever the  $D_{\text{CORE}}$  value, this band shifts towards lower frequencies when the shell thickness is increased. Such a behavior qualitatively agrees with the usual dependence of the vibrational frequencies on the inverse of the NP diameter [50]. The measured frequencies at the maximum of the quadrupolar band are listed in Table 3 and compared to those calculated



using a model based on Lamb's theory [51] and Eringen and Suhubi's equations [52]. The same approach was already described in a previous work [53] to numerically determine the frequencies of the free vibrational modes of core-shell and onion-like systems.



**Figure 10.** Stokes / anti-Stokes Raman scattering spectra of Au@Ag core-shell NPs with different silver thicknesses  $e$  and core crystallinities (PC or SC). (a) Comparison of the spectrum of Au<sub>5nm</sub>(PC) NPs (black curve) with those of Au<sub>5nm</sub>(PC)@Ag with  $e = 0.5$  nm (red curve) or  $0.65$  nm (blue curve). (b) Comparison of the spectrum of Au<sub>10nm</sub>(PC) NPs (black curve) with those of Au<sub>10nm</sub>(PC)@Ag NPs with  $e = 0.8$  nm (red curve) or  $1.7$  nm (blue curve). (c) Comparison of the spectrum of Au<sub>5nm</sub>(SC) NPs (black curve) with those of Au<sub>5nm</sub>(SC)@Ag NPs with  $e = 0.85$  nm (red curve). All the spectra are normalized and vertically shifted for clarity. (d) Calculated and measured (blue points)  $T_{2g}/E_g$  frequency ratio of Au<sub>5nm</sub>(SC)@Ag NPs as a function of the relative shell thickness variation defined by  $2e/D_{CS}$  for epitaxial (black curve) and isotropic silver shell (red curve).

**Table 3** Measured quadrupolar vibrational mode frequencies of  $\text{Au}_{5\text{nm}}(\text{PC})@\text{Ag}$  and  $\text{Au}_{10\text{nm}}(\text{PC})@\text{Ag}$  core-shell NPs compared to those calculated for a free elastic isotropic nanosphere with a gold core - silver shell structure. The thickness  $e$  is equal to  $(D_{\text{CORE}} - D_{\text{CS}})/2$ .

$D_{\text{CORE}}$ (nm)	$D_{\text{CS}}$ (nm)	$e$ (nm)	Measured frequency (GHz)	Calculated frequency (GHz)
5.3	-	-	199	200
5.3	6.3	0.50	177	185
5.3	6.6	0.65	171	181
10.6	-	-	100	100
10.6	12.2	0.80	91	92
10.6	14.0	1.70	88	88

For the  $\text{Au}(\text{PC})@\text{Ag}$  NPs the calculated vibrational frequencies reported in Table 3 are in good agreement with the measured ones, especially for the largest values of  $D_{\text{CORE}}$ . Although the NPs self-assemble to form 3D superlattices (see figure S3 in supporting information), in which they are in contact with neighboring NPs via the capping agents, their acoustic vibrations are successfully estimated in the frame of a free elastic sphere model. The calculated frequencies are determined by modeling the core-shell NPs as free elastic spheres made of a gold core and a silver shell. Both materials are assumed to have isotropic elasticity. The transverse and longitudinal sound velocities used for gold and silver are respectively  $v_t[\text{Au}] = 1250 \text{ m s}^{-1}$ ,  $v_t[\text{Ag}] = 1740 \text{ m s}^{-1}$ ,  $v_l[\text{Au}] = 3330 \text{ m s}^{-1}$  and  $v_l[\text{Ag}] = 3747 \text{ m s}^{-1}$ . In addition, to mimic a perfect contact between the core and shell materials, it is assumed that there is continuity of both the vibrational displacement and the force at the Au/Ag interface. The agreement between the measured and calculated vibrational frequencies supports the core-shell structure of the NPs, i.e. the separation of the two metals. Moreover, it makes sense from this comparison to infer a perfect contact between the gold core and the silver shell.

For the  $\text{Au}_{5\text{nm}}(\text{SC})$  seeds and the corresponding  $\text{Au}_{5\text{nm}}(\text{SC})@\text{Ag}$  NPs with a total diameter  $D_{\text{CS}}$  of 7.5 nm, two bands are observed and correspond to a splitting of the quadrupolar vibration into two components (figure 10(c)) which was shown in previous papers as being an effect of crystallinity [43,54,55]. This quadrupolar mode splitting into  $E_g$  and  $T_{2g}$  modes is related to the variation of the transverse sound speeds with the propagation direction of

phonons in the cubic lattice. The ratio of the calculated frequencies of the  $T_{2g}$  and  $E_g$  peaks is about 1.6 for gold, which makes it a powerful indicator of the crystallinity of the NPs. Calculations for core-shell NPs were performed for an Au(SC) core surrounded by a shell made of either epitaxial or multiply-twinned silver (modeled as isotropic silver) with varying thicknesses, using the elastic constants for bulk materials [56] and the sound speeds given above for the isotropic approximation of silver (see table S2 in supporting information). We focus on the  $T_{2g}/E_g$  frequency ratio in the following to monitor the elastic anisotropy of the core-shell NPs. The results are presented in figure 10(d). The shell thickness variation is represented by  $2e/D_{CS}$ . On the left side of the graph ( $e = 0$ ) the NPs are Au(SC). On the right side ( $e \gg D_{CORE}$ ), the core can be neglected and the NPs are Ag(SC or PC) depending on the model. For an epitaxial silver shell, the  $T_{2g}/E_g$  frequency ratio hardly changes with  $e$  while in the case of a multiply twinned silver shell the splitting vanishes as  $e$  increases. The experimental ratios are also plotted in this graph with error bars indicating the experimental precision. As already reported in previous works, calculations overestimate the experimental splitting for the Au (SC) NPs [55]. As we expect the same to be true for the Au(SC)@Ag core-shell NPs, we should compare the variations of the  $T_{2g}/E_g$  frequency ratio as the shell thickness increases. For the epitaxial silver shell, the variation of the calculated  $T_{2g}/E_g$  frequencies ratio between the Au NP and the Ag@Au NP is small ( $\sim 0.008$ ). For the isotropic silver shell, it is about ten times more ( $\sim 0.09$ ). The experimental variation falls in between those two values ( $\sim 0.04$ ) but with non-negligible error bars. This intermediate value probably indicates that the actual structure of the core-shell Au<sub>5nm</sub>(SC)@Ag NPs is more complex than previously thought. We assumed in these calculations an abrupt interface between the core and a shell of a constant thickness. These may be good first-order approximations only. As already discussed before, we cannot rule out the presence of interdiffusion between gold and silver. The presence of such an intermediate layer would modify the frequencies. Also, a thinner shell on the (110) facets of the Au(SC)@Ag core-shell NPs would increase the calculated  $T_{2g}$  frequencies and improve the agreement between the experimental data and the epitaxial model.

### 3. Conclusion

Au@Ag core-shell NPs were successfully obtained by a versatile and robust synthesis process. Cores of varying sizes and crystallinities were produced that can be coated by

different types of capping agents. The thickness of the silver shell is shown to be finely controllable via several parameters such as the Au seed and silver ion concentrations, the reaction temperature and annealing time. These conditions are adapted according to the nature of the capping agent, the size of the seeds and their crystallinity. The growth rate of silver is faster when the size of the gold seed increases (from 5 nm to 10 nm) but also when the ligands are more easily desorbed (oleylamine is more weakly bonded to gold surfaces than dodecanethiol) allowing the silver ions to be deposited on the free surface of gold. The growth rate of silver is also faster on Au<sub>5nm</sub>(PC) compared to Au<sub>5nm</sub>(SC) and this is consistent with the fact that Au(PC) NPs present more active sites (corners and edges) for the heterogeneous nucleation of Ag at the Au surface. It is possible to solve this problem by adjusting the silver ion concentration and the reaction temperature. Comparable silver layer thicknesses were obtained on both types of seeds.

By combining UV visible spectrophotometry measurements and DDA calculations, we showed significant changes in the plasmonic response depending on the core size and silver thickness. Finally, the vibrational spectroscopy demonstrated a good control of the core-shell structure of the bimetallic nanoparticles synthesized in this work. The control of the crystallinity of the Au core for Au<sub>5nm</sub>@Ag NPs is also confirmed from PC to SC for Au<sub>5nm</sub>@Ag NPs by LFRS. Furthermore, micrometer-scale well-faceted 3D superlattices of ordered Au@Ag NPs were obtained and imaged by HR-SEM. The high quality of the NPs, whether in size control, chemical composition or crystallinity makes it possible to envisage these 3D superlattices as new SERS platforms with tunable optical properties [32,57,58]. The modulations in size and crystallinity of the core-shell Au@Ag NPs will promote their application in catalysis, where these parameters are known to play a fundamental role [13,30,31]. In addition, recent preliminary results have shown that the versatility of this synthesis process allows one to replace both the gold core (with other plasmonic materials such as silver or copper) and the Ag shell (with catalytic materials such as Pt or Pd) all with a fine control of the composition and overall size.

#### **4. Experimental methods**

All chemicals were used without further purification. 1-dodecanethiol ( $\geq 98\%$ ), hexane ( $\geq 99\%$ ) and ethanol absolute ( $\geq 99\%$ ) were purchased from Sigma-Aldrich. Tert-butylamine borane complex ( $\geq 97\%$ ) is from STREM Chemicals. Toluene ( $\geq 99\%$ ) and oleylamine (80-90%) were bought from ACROS Organics. Gold(I) chloride triphenylphosphine (ClAu(PPh<sub>3</sub>))

was purchased from STREM, gold(I) chloride trihydrate ( $\text{HAuCl}_4$ ) and silver nitrate ( $\text{AgNO}_3$ ) from Aldrich.

#### *4.1 Synthesis of the gold nanoparticles*

##### *4.1.1 synthesis of 5 nm dodecanethiol-functionalized Au NPs*

5 nm Au NPs were synthesized through a revisited method from the Stucky group [59,60]. Briefly, 0.25 mmol of gold(I) chlorotriphenylphosphine ( $\text{AuClPPh}_3$ ) complex was dissolved in 25 mL of toluene with 500  $\mu\text{L}$  of 1-dodecanethiol. The gold precursor was reduced by adding a solution of tert-butylamine borane (TBAB) complex (2.5 mmol dissolved in 5 mL of toluene). The reaction took place at  $100^\circ\text{C}$  under vigorous stirring for 5 min. The formation of Au NPs was evidenced by the color change of the solution from colorless to dark red. After the reaction, a washing step was necessary to remove all by-products. First, all the solvent was evaporated and the same amount of ethanol was added, then the solution was centrifuged at 5000 rpm for 5 min. The precipitate was then dispersed in toluene or hexane. These NPs were a mixture of polycrystals (PC) (decahedra and icosahedra) and single crystals (SC) [61] and were characterized by a narrow size distribution around 8% (see figure S5 in supporting information). They were then used for the selection in crystallinity and the obtaining of polycrystal (PC) or single crystal (SC) Au seeds of similar diameters around 5nm.

##### *4.1.2 Synthesis of 10 nm oleylamine-functionalized Au NPs*

10nm Au(PC) NPs were synthesized using only two reagents: oleylamine and gold(III) chloride trihydrate. The ability of oleylamine to act as surfactant, solvent and reducing agent is well known [62,63]. The reduction process was carried out via the amine which can undergo induced oxidation by the metal ions to nitrile [41,64]. For the synthesis of 10 nm spherical Au NPs, a solution of Au-oleylamine complex was produced by dissolving 25 mmol of gold(III) chloride trihydrate in 5 mL oleylamine at  $30^\circ\text{C}$  under magnetic stirring for 30 min. The complex formation was evidenced by IR spectroscopy (see figures S6(a) and S6(b) in supporting information), which showed that Au ions were bonded through N-H functional groups to oleylamine. Subsequently, the temperature was increased to  $110^\circ\text{C}$  ( $4^\circ\text{C min}^{-1}$ ) and maintained there for 30 min. The solution was cooled to room temperature and the nanoparticles were precipitated by adding an excess of ethanol and centrifuging at 5000 rpm for 5 min to remove all the by-products. The supernatant was discarded and the precipitate was dispersed in toluene.

#### 4.2 Nanocrystallinity selection of 5 nm dodecanethiols-functionalized Au NPs

The crystallinity selection of 5 nm Au NPs was carried out through a process based on the preferential self-assembly of gold single crystals. This process has been the subject of several articles [43,61,65]. Briefly, the washed Au NPs were dispersed in toluene and placed in a closed vessel to avoid solvent evaporation. After 8 days, a black precipitate corresponding to the single crystal (SC) NP superlattices was recovered after centrifugation at 5000 rpm for 10 min and dispersed in isooctane. This solution was then used as Au(SC) seeds. The supernatant contains the PC Au NPs that were also used as seeds.

#### 4.3 Synthesis of core-shell Au@Ag NPs with different seed sizes and crystallinity

Whatever their size or crystallinity (PC or SC), the Au NP seeds have to be washed before the silver growth in order to remove the excess of ligands (oleylamine or dodecanethiols). After adding ethanol, the solution was centrifuged at 2000 rpm for 4 min and the resulting precipitates were dispersed in 2 mL of toluene or isooctane for Au(PC) or Au(SC) seeds respectively. Isooctane replaced toluene for Au(SC) NPs to avoid their self-assembly into superlattices and thus their precipitation [43,44,66]. This step was repeated one more time. The growth of the silver shell was then induced in a solution of Ag-oleylamine complex. This solution is produced by a method adapted from Shen *et al* [63]. 0.055 mmol of AgNO<sub>3</sub> were dissolved in 5 mL pure oleylamine at 30°C and stirred during 30 min. After dissolution, the solution was used immediately. As in the case of Au-oleylamine, the complex formation was evidenced by IR spectroscopy (see figures S6(a) and S6(b) in supporting information), which showed that Ag ions, like Au ions, in a gold oleylamine complex are bonded through an N-H functional group to oleylamine. Then 100 µL of Au seed suspension was mixed with 150 µL Ag-oleylamine complex solution and the volume was completed to 2 mL with toluene or isooctane. The final concentration  $C_{Ag}^{+}$  of silver precursors was equal to 0.825 mmol L<sup>-1</sup> but could also be changed and multiplied by 2 or 4 for a fixed concentration of Au seed ( $C_{seed} = 1.4 \mu\text{mol L}^{-1}$ ). Furthermore, the final concentration of Au seeds could be also tuned from  $C_{seed}$  to  $C_{seed}/2$  or  $C_{seed}/4$  (See SI for the calculation of the concentrations and Table 1). The silver shell overgrowth took place under annealing at 90°C for 5 hours for dodecanethiol functionalized AuNPs and 2 hours for oleylamine functionalized AuNPs. A slightly lower temperature of 80°C was also used for 5nm dodecanethiol functionalized single crystalline AuNPs. The NPs were finally washed with 1 mL of acetone and dispersed in chloroform.

#### 4.4 Structural characterization

*4.4.1 Transmission electron microscopy (TEM) images* of Au seeds and Au@Ag NPs were acquired with a JEOL 1011 (100 kV) instrument. High resolution TEM (HRTEM) observations and chemical analyses were performed using a JEOL JEM-2011 UHR operating at 200 kV and fitted with an energy-dispersive X-ray spectroscopy (EDS) microanalyser (PGT-IMIX PC). The samples were prepared by depositing a 20 mL drop of NP solution on a carbon-coated copper grid. The size distribution of the nanoparticles was determined by measuring around 500 NPs diameters with Image J software on a minimum of five TEM images at a magnification of 80,000.

*4.4.2 Scanning TEM (STEM) images* were taken using a high-angle annular dark field (HAADF) detector in a Nion Ultrastem 200 Cs-corrected microscope operating at 100 kV with a probe size of about 0.07 nm. The probe convergence and HAADF detector minimum collection semi-angles were 35 and 75 mrad respectively, meaning that HAADF images were dominated by Z-contrast, although some diffraction contrast is possible. Elemental maps were obtained using electron energy-loss spectroscopy (EELS) in the spectrum-image (SI) collection mode using the Ag M- and Au M-edges. More detail and typical spectra are included in the supplementary information (see figure S7 in supporting information).

*4.4.3 Scanning electron microscopy (SEM) images* associated with energy dispersive spectroscopy (EDS) on the nanoparticles 3D superlattices were performed with a JEOL-5510LV. The samples were prepared by depositing several drops of colloidal solution onto a silicon wafer.

#### *4.5 IR and UV-Vis spectroscopies*

Infrared (IR) spectra of oleylamine, Au- and Ag-oleylamine complexes were recorded using an ATR diamond crystal accessory on a Bruker Equinox 55 spectrometer equipped with a Ge/KBr beamsplitter and a liquid nitrogen-cooled MCT detector. The extinction spectrum of NP solutions with a fixed concentration of  $0.14 \mu\text{mol L}^{-1}$  was measured at room temperature with a UV-vis-NIR spectrophotometer between 300 and 700 nm using a quartz cell ( $L = 1$  mm). All the Au(PC) and Au(PC)@Ag NPs were dispersed in toluene.

#### *4.6 Discrete dipole approximation (DDA) simulations*

All the calculations of the extinction spectra performed in this work were carried out using the discrete dipole approximation (DDA) method. This method is based on the theory originally developed by Purcell and Pennypacker for the study of interstellar dust grains [67]. Subsequent improvements have enabled its use for calculating the extinction, absorption and

scattering cross sections of other fine particles [68–70]. Due to its versatility this method has been widely used in the last few years to simulate the optical response of various metallic systems composed of either individual or multiple objects of any size and shape [71–76]. Here, the extinction spectra of Au(PC) and Au(PC)@Ag NPs were calculated using the free software DDSCAT 7.3 written by Draine and Flatau [77]. Size-corrected dielectric functions were calculated using the procedures described elsewhere for the spherical core [78,79] and the shell geometries [80]. This correction aims to account for the reduction of the effective electron mean free path  $L_{\text{eff}}$  due to the scattering of the conduction electrons from the particle surface as well as the core-shell interface. The aforementioned size correction was performed on the dielectric functions of bulk Au and Ag taken from Palik's handbook [81]. Further information on the calculation of the size-corrected dielectric functions are given in supporting information and their graphs are presented in figures S8-S10 in supporting information.

#### *4.7 Low-frequency Raman spectroscopy (LFRS)*

Samples for LFRS measurements were prepared by depositing several drops of colloidal solution onto a silicon wafer. The spectra were recorded with a six-pass tandem Fabry-Perot interferometer coupled with an Si avalanche photodiode for the detection of the scattered light. Because of the weak signal from the samples an acquisition time of several hours was required for each spectrum to obtain a sufficient signal-to-noise ratio. The 647.1 nm line of a  $\text{Kr}^+$  laser was used as excitation source. To prevent damage of the sample during the long irradiation time, the laser beam was focused on the sample surface at a low optical intensity of about  $10^{10} \text{ W m}^{-2}$ . This system allows us to record inelastic light scattering spectra with low frequency shifts ( $\nu < 300 \text{ GHz}$ ). To facilitate and support our interpretation of the LFRS spectra, the measured frequencies were compared with analytical calculations based on Lamb theory [53].

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