

Base-pairing requirements for small RNA-mediated gene silencing of recessive self-incompatibility alleles in Arabidopsis halleri

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- 1 Base-pairing requirements for small RNA-mediated gene
- 2 silencing of recessive self-incompatibility alleles in *Arabidopsis*
- 3 halleri.

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18 SI dominance and sRNA silencing

19 **Key Words**

- 20 Dominance/recessivity. Sporophytic self-incompatibility. RT-qPCR. Allele-specific
- 21 expression assay. Arabidopsis halleri.

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32 Abstract

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Small non-coding RNAs are central regulators of genome activity and stability. Their regulatory function typically involves sequence similarity with their target sites, but understanding the criteria by which they specifically recognize and regulate their targets across the genome remains a major challenge in the field, especially in the face of the diversity of silencing pathways involved. The dominance hierarchy among selfincompatibility alleles in Brassicaceae is controlled by interactions between a highly diversified set of small non-coding RNAs produced by dominant S-alleles and their corresponding target sites on recessive S-alleles. By controlled crosses, we created numerous heterozygous combinations of S-alleles in Arabidopsis halleri and developed an RT-qPCR assay to compare allele-specific transcript levels for the pollen determinant of self-incompatibility (SCR). This provides the unique opportunity to evaluate the precise base-pairing requirements for effective transcriptional regulation of this target gene. We found strong transcriptional silencing of recessive SCR alleles in all heterozygote combinations examined. A simple threshold model of base-pairing for the sRNA-target interaction captures most of the variation in SCR transcript levels. For a subset of S-alleles, we also measured allele-specific transcript levels of the determinant of pistil specificity (SRK) and found sharply distinct expression dynamics throughout flower development between SCR and SRK. In contrast to SCR, both SRK alleles were expressed at similar levels in the heterozygote genotypes examined, suggesting no transcriptional control of dominance for this gene. We discuss the implications for the evolutionary processes associated with the origin and maintenance of the dominance hierarchy among selfincompatibility alleles.

Introduction 55 56 Small non-coding RNAs are short RNA molecules (20-25nt) with a range of regulatory 57 functions (Vazquez et al., 2010; Aalto & Pasquinelli, 2012). The best-known members of 58 this class of molecules are microRNAs, which are typically involved in post-transcriptional 59 gene silencing and regulate the activity of their target gene in trans by either mRNA 60 cleavage (quickly followed by degradation) or by blocking translation (Li et al., 2014). In 61 some cases, the action of microRNAs leads to the production of secondary phased short 62 interfering RNAs (pha-siRNAs) by their target coding or non-coding sequence, which in 63 turn can regulate other downstream targets (Fei et al., 2013). Another major set of small 64 RNAs is heterochromatic short interfering RNAs (hc-siRNAs) which are mediating 65 transcriptional silencing of repeat sequences in the genome through epigenetic 66 modification by the RNA-dependent DNA methylation pathway (RdDM, Matzke et al., 67 2009). 68 Both microRNAs and siRNAs guide their effector molecules (members of the 69 ARGONAUTE gene family: AGO1 and AGO4, respectively) to their target sites by 70 sequence similarity through base-pairing. For plant microRNAs, sequence similarity with 71 the target sequence is typically very high and appears to be a shared feature of all 72 functionally verified interactions (Wang et al., 2015). High base-pairing complementarity, 73 however, is not the sole determinant of target specificity, and the position of the 74 mismatches along the microRNA:target duplex is also important. Indeed, expression assays 75 showed that while individual mismatches typically have limited functional consequences, 76 they can also entirely inactivate the interaction when they hit specific positions such as, for example, the 10th and 11th nucleotide, corresponding to the site of cleavage (Jones-Rhoades 77

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et al., 2006). Furthermore, the position of mismatches along the microRNA:target duplex also seems to be crucial, with a greater tolerance in the 3' than the 5' region of the microRNA (up to four mismatches generally have limited functional consequences in the 3' region, while only two mismatches in the 5' region seem sufficient to abolish the target recognition capability; Liu et al., 2014, Mallory et al., 2004; Parizotto et al., 2004; Schwab et al., 2005). These observations have led to the formulation of general "rules" for microRNA targeting (Axtell & Meyers, 2018), but at the same time they also revealed a large number of exceptions. As a result, in silico prediction of microRNA target sites currently remains a difficult challenge (Ding et al., 2012; Axtell & Meyers, 2018). For other types of small RNAs (pha-siRNAs and hc-siRNAs), even less is known about the base-pairing requirements for targeting, mostly because of the absence of experimentally confirmed examples of discrete, single siRNA target sites either in cis or in trans (Wang et al., 2015). In this context, the recent discovery by Tarutani et al. (2010), Durand et al. (2014) and Yasuda et al., (2016) of a highly diversified set of small non-coding RNAs at the gene cluster controlling self-incompatibility (SI) in Brassicaceae, provides an experimentally tractable model to evaluate the base-pairing requirements for silencing by a set of sRNAs that are regulating expression of a single gene. Sporophytic SI is a genetic system that evolved in several hermaphroditic plant lineages to enforce outcrossing by preventing selffertilization, hence avoiding inbreeding depression (De Nettancourt, 2001). In the Brassicaceae family, SI is controlled by a single genomic region called the "S-locus", which contains two tightly linked genes, namely SCR and SRK, that encode the pollen Slocus cysteine-rich and the stigma S-locus receptor kinase recognition proteins,

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respectively. This system involves a polymorphism in which multiple deeply diverged allelic lines are maintained, and accordingly a large number of S-alleles is typically found in natural populations of self-incompatible species (Castric & Vekemans, 2004). With such a large allelic diversity and the very process of self-rejection, most individual plants are heterozygotes at the S-locus. Yet in most cases, only one of the two S-alleles in a heterozygous genotype is expressed at the phenotypic level in either pollen or pistil, as can be revealed by controlled pollination assays on pollen or pistil tester lines (Llaurens et al., 2008; Durand et al., 2014). Which of the two alleles is expressed is determined by their relative position along a dominance hierarchy, whose molecular basis for the pollen phenotype has been initially studied in the genus Brassica. In this genus, dominance is controlled at the transcriptional level in pollen (Schopfer 1999, Kakizaki et al. 2003). Transcriptional silencing of recessive alleles by dominant alleles is caused by 24nt-long trans-acting small RNAs produced by dominant S-alleles and capable of targeting a DNA sequence in the promoter sequence of the SCR gene of recessive S-alleles, provoking DNA methylation (Shiba et al. 2006). Details of how these sRNAs achieve their silencing function remain incompletely understood (Finnegan et al., 2011), but it is clear that their biogenesis is similar to that of microRNAs (i.e., they are produced by a short hairpin structure), while their mode of action is rather reminiscent of that of siRNAs (i.e., the transcriptional gene silencing functions through recruitment of the methylation machinery). Strikingly, the full dominance hierarchy in the Brassica genus seems to be controlled by just two small RNAs called Smi and Smi2 (Tarutani et al., 2010, Yasuda et al. 2016). Smi and Smi2 target distinct DNA sequences, but both are located in the promoter region of SCR, and both seem to involve DNA methylation and 24-nt active RNA molecules.

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The dominance hierarchy in Brassica is, however, peculiar in that only two ancestral allelic lineages segregate in that genus (the class I and class II alleles referred to above, see e.g. Leducq et al., 2014), whereas self-incompatible species in Brassicaceae typically retain dozens of highly divergent ancestral allelic lineages (Castric & Vekemans, 2004). A recent study showed that in Arabidopsis halleri, a Brassicaceae species with multiple allelic lineages at the S-locus, the dominance hierarchy among S-alleles in pollen is controlled by not just two but as many as eight different sRNA precursor families and their target sites, whose interactions collectively determine the position of the alleles along the hierarchy (Durand et al., 2014). In that genus, much less is known about the mechanisms by which the predicted sRNA-target interactions translate into the dominance phenotypes. First, the expression dynamics of the SCR gene across flower development stages is poorly known. Indeed, Kusaba et al. (2002) measured expression of SCR alleles in A. lyrata, but focused on only two S-alleles (SCRa and SCRb, also known as AlSCR13 and AlSCR20, respectively, in Mable et al. 2003) and showed striking differences in their expression dynamics in anthers. Hence, the developmental stage at which the transcriptional control of dominance in pollen should be tested is not precisely known. Second, while they did confirm monoallelic expression, consistent with the observed dominance relationship between the two alleles (SCRb > SCRa, Kusaba et al. 2002), the fact that only a single heterozygote combination was measured among the myriad possible combinations given the large number of S-alleles segregating in that species (at least 43 S-alleles: Genete et al., 2020) prevents generalization at this step. Hence, a proper experimental validation of the transcriptional control of dominance among S-alleles in the Arabidopsis genus is still lacking. Third, Durand et al., (2014) observed rare sRNA-target interaction predictions that

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did not agree with the observed dominance phenotype. In particular, they identified pairs of S-alleles where no sRNA observed as being produced by the dominant allele was predicted to target the SCR gene of the recessive one, while the dominance phenotype had been well established phenotypically by controlled crosses (e.g. Ah04>Ah03) suggesting the possibility that mechanisms other than transcriptional control may be acting. Conversely, in other rare cases, sRNAs produced by a recessive S-allele were predicted to target the SCR gene of a more dominant allele, suggesting exceptions to the set of basepairing rules used to predict target sites. Fourth, the target sites for the two sRNAs in Brassica were both located in the promoter sequence (Tarutani et al., 2010, Yasuda et al. 2016), and can thus reasonably be expected to prevent transcriptional initiation through local modification of the chromatin structure associated with DNA methylation. Many of the predicted sRNA target sites in A. halleri, however, are rather mapped to the SCR intron or the intron-exon boundary (beside some in the promoter as well, Durand et al. 2014), which suggests that distinct silencing pathways might be acting (Cuerda-Gil & Slotkin, 2016). It thus remains to be determined whether transcriptional control is also valid when the targets are at other locations along the SCR gene structure. Finally, the dominance hierarchy at the female determinant SRK differs from that at SCR, co-dominance being more frequent than on the pollen side both in Brassica (Hatakeyama et al., 2001) and in A. halleri (Llaurens et al., 2008). Limited transcriptional analysis in Brassica and Arabidopsis suggests that dominance in pistils is not associated with SRK expression differences, but again the number of allelic pairs tested has remained limited (Suzuki et al. 1999; Kusaba et al. 2002).

Here, we take advantage of the fact that dominance interactions in Arabidopsis SI are controlled in pollen by a diversity of sRNAs and the diversity of their target sites to determine the base-pairing requirements for successful small-RNA mediated transcriptional silencing of recessive SCR alleles. We first used controlled crosses to obtain a large collection of A. halleri plants in which S-alleles were placed in various homozygous and heterozygote combinations for which pairwise dominance interactions had been determined. We then developed and validated a qPCR protocol for allele-specific expression of a set of nine SCR and five SRK alleles in A. halleri. This enabled us to analyse the expression dynamics across four flower developmental stages of each of these alleles and test the transcriptional control of dominance for both genes in many heterozygote combinations. We quantified the strength of silencing of recessive SCR alleles and propose a quantitative threshold model for how sequence identity between the small non-coding RNAs and their target sites results in silencing. We discuss the implications of this model on the evolutionary processes associated with the origin and maintenance of the S-locus dominance hierarchy in Brassicaceae.

Material & Methods

Plant material

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We used controlled crosses to create a collection of 88 *A. halleri* plants containing nine different S-alleles (S1, S2, S3, S4, S10, S12, S13, S20, and S29) in a total of 37 of all 45 possible homozygous and heterozygous combinations. Some *S*-locus genotypes were obtained independently by different controlled crosses and were considered below as

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"biological replicates" (different genetic backgrounds, on average n=2.05 biological replicates per S-locus genotype, Table S1 & S2). Three plants were cloned by cuttings and considered as "clone replicates" (identical genetic background, Table S1) that we used to evaluate the expression variance associated with different genetic backgrounds. Each plant was genotyped at the S-locus using the PCR-based protocol described in Llaurens et al. (2008). Pairwise dominance interactions between S-alleles of the heterozygote combinations were either taken from Llaurens et al. (2008); Durand et al. (2014); Leducq et al. (2014) or were newly determined by controlled pollination assays following the protocol of Durand et al., (2014). In a few instances, relative dominance status of the two alleles had not been resolved phenotypically and were inferred from the phylogeny of SRK alleles, which is largely consistent with the dominance hierarchy (Durand et al. 2014). The pairwise dominance interactions between these alleles as determined by pollen and pistil compatibility phenotypes of heterozygote plants are reported in Table S3. RNA extraction and reverse transcription On each plant, we collected flower buds at four developmental stages: 1) five highly immature inflorescence extremities (more than 2.5 days before opening, buds below 0.5mm, stages 1-10 in A. thaliana according to Smyth et al., 1990); 2) ten immature buds (2.5 days before opening, between 0.5 and 1mm, approximately stage 11); 3) ten mature buds (one day before opening, longer than 1mm, approximately stage 12); and 4) ten open flowers (approximately stages 13-15). These stages were characterized by establishing the size distribution within each stage and measuring the time to flower opening based on ten

buds. Samples collected were flash-frozen in liquid nitrogen, then stored at - 80°C before

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RNA extraction. Tissues were finely ground with a FastPrep-24 5G Benchtop Homogenizer (MP Biomedicals, Model #6004-500) equipped with Coolprep 24 x 2mL adapter (6002-528) and FastPrep Lysis Beads & Matrix tube D. Total RNAs were extracted with the Arcturus "Picopure RNA isolation" kit from Life Science (PN: KIT0204) according to the manufacturer's protocol, including a step of incubation with DNAse to remove gDNA contamination. We normalized samples by using 1 mg of total RNA to perform reverse-transcription (RT) using the RevertAid Fermentas enzyme following the manufacturer's instructions. Primer design A major challenge to study expression of multiple S-alleles is the very high levels of nucleotide sequence divergence among them, precluding the possibility of designing qPCR primers that would amplify all alleles of the allelic series (both for SRK and SCR). Hence, we rather designed qPCR primers specifically targeted towards each of the SCR and SRK alleles, and for each heterozygote genotype we independently measured expression of both alleles of each gene. Primers were designed based on genomic sequences from BAC clones (Goubet et al. 2012; Durand et al. 2014; Novikova et al. 2017), with a length of ~20 nucleotides, a GC content around 50% and a target amplicon size around 150nt (Figure S1). For SCR, we focused on a set of 9 S-alleles. Whenever possible, we placed primers on either side of the SCR intron to identify and discard amplification from residual gDNA. However, because the coding sequence of the SCR gene is short, the number of possible primers was limited and this was not always possible. In two cases (SCR01 and SCR20), both primers were thus located within the same exon. For SRK alleles, the primers were also designed on either side of the first intron to avoid genomic contamination (Figure S2).

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Because no differences in transcript levels were previously observed between dominant and recessive SRK alleles (Suzuki et al. 1999; Kusaba et al. 2002), and given the effort required to optimize new qPCR primers, we decided to place more effort on SCR and focused on a more limited number of SRK alleles (n=5). To obtain relative expression levels across samples, we used actin 8 (At1g49240) as a housekeeping gene for standardization after we verified that the A. thaliana and A. halleri sequences are identical at the primer positions (An et al. 1996). Primer sequences are reported in Table S4. Quantitative real-time PCR On each cDNA sample, at least three qPCR reactions (referred to below as "technical" replicates) were performed for actin 8 and for each of the S-alleles contained in the genotype (one S-allele for homozygotes, two S-alleles for heterozygotes). The runs were made on a LightCycler480 (Roche) with iTaq Universal SYBR Green Supermix (Bio-rad, ref 172-5121). Amplified cDNA was quantified by the number of cycles at which the fluorescence signal was greater than a defined threshold during the logarithmic phase of amplification using the LightCycler 480 software release 1.5.0 SP3. The relative transcript levels are shown after normalisation with actin amplification through the comparative $2^{-\Delta Ct}$ method (Livak & Schmittgen, 2001). The Ct_{SCR} and Ct_{SRK} values of each technical replicate were normalized relative to the average Ct_{actin} measure across the three replicates. Validation of qPCR primers at the dilution limits Given the very large nucleotide divergence between alleles of either SCR or SRK, crossamplification is unlikely. However, to formally exclude that possibility, we first performed cross-amplification experiments by using each pair of SCR primers on a set of cDNA samples that did not contain that target SCR allele but instead contained two other SCR

alleles in various heterozygous genotypic combinations (*n*=7 on average). In order to evaluate our ability to measure expression of *SCR* alleles in biological situations where they are expected to be transcriptionally silenced, we then used a series of limit dilutions to explore the loss of linearity of the relationship between *Ct* and the dilution factor (six to eight replicates per dilution level). Then we examined the shape of the melting curves to determine whether our measures at this limit dilution reflected proper PCR amplification or the formation of primer dimers. Finally, we used water in place of cDNA to evaluate the formation of primer dimers in complete absence of the target template DNA.

Expression dynamics and the effect of dominance

We used generalized linear mixed models (Ime4 package in R; Bates et al., 2014) to decompose Ct values normalized by the actin~8 control (as the dependent variable) into the effects of five explanatory variables. Two of them were treated as fixed effects: developmental stage (4 categories) and relative dominance of the allele studied in the genotype (3 categories: recessive, dominant, homozygous). Because expression of the different SCR (and SRK) alleles was quantified by different primer pairs with inevitably different amplification efficiencies, Ct values cannot be directly compared across alleles and accordingly we included the identity of SCR or SRK alleles as random effects. Biological and clone replicates were also treated as random effects, with clones nested within biological replicates (Table S5). We visually examined normality of the residuals of the model under different distributions of $2^{-\Delta Ct}$, including Gaussian, Gamma and Gaussian with logarithmic transformations. We tested whether the different S-alleles have different expression profiles across developmental stages, as suggested by Kusaba et~al. (2002) for SCR in A. Iyrata, by using ANOVA to compare nested models in which a random effect

for the interaction between the "allele measured" and "stage" effects was either absent (model 1) or introduced (model 2, Table S5b) in addition to the fixed effect of stage. The existence of this interaction was tested for *SCR* and *SRK* separately.

Target features and silencing effect.

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Expression of SCR in heterozygote genotypes in A. halleri is controlled by a small RNAbased regulatory machinery (Durand et al. 2014). We then sought to determine how SCR transcript levels were affected by specific features of the small RNA-target interactions between S-alleles. We retrieved sRNA sequencing data from individuals carrying eight of the nine S-alleles considered (S01, S03, S04, S10, S12, S13 and Ah20 from Durand et al. (2014) and S02 from Novikova et al. (2017)). No sRNA sequencing data were available for the last S-allele (S29). We used these sRNA sequencing data to determine the complete set of sRNA molecules uniquely produced by the annotated sRNA precursors of each of these eight S-alleles. To do that, we mapped the sRNA reads to the sRNA precursor sequences carried by the respective S-alleles after excluding those that mapped to other locations in the closely related A. lyrata genome (Durand et al. 2014). For each sRNA produced by a given S-allele, we then predicted putative target sites on the SCR gene of all other S-alleles including 2kb of genomic nucleotide sequence both upstream and downstream of SCR using a dedicated alignment algorithm and scoring matrix, as described in Durand et al. (2014). Briefly, alignment quality was assessed by a scoring system based on the addition of positive or negative values for matching nucleotides (+1), mismatches and gaps (-1), taking into account the non-canonical G:U interaction (-0.5). For each pair of alleles considered, only the sRNA/target combination with the highest score was selected for further analysis (Table S6). The analysis was performed regardless

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of the dominance relationship (i.e. we predicted putative target sites of sRNAs produced by dominant S-alleles onto recessive S-alleles, and reciprocally from recessive S-alleles onto dominant S-alleles). Because the mechanisms by which silencing is achieved remain unclear at this stage, we did not filter these sRNA further in terms of length or identity of the 5' nucleotide, in line with Durand et al. (2014). In the cases where the target with the highest score was due to a sRNA with non-canonical size (anything but 21 or 24nt), we also reported the best target score among the set of 21 and 24nt sRNA molecules produced by the same S-allele (Table S6). We used Akaike Information Criteria (AIC) to compare how well different base-pairing scores for target site identification predicted the level of SCR expression (and hence the silencing phenomenon), varying the threshold from 14 to 22. Lower values of AIC are associated with a best fit of the model. We then added a new fixed effect in our basal model to test whether targets at different positions along the SCR gene (5 categories: 5' portion, exons, intron, overlapping the exon-intron boundary or 3' portion of the gene) are associated with different strengths of silencing. For this analysis, we included only targets above the threshold identified (score ≥ 18). Effective silencing of recessive SCR alleles in Brassica rapa is dependent upon combinations of individual sequence mismatches between the Smi & Smi2 small RNAs and their target sites in the class II alleles (Yasuda et al., 2016), but interaction in this study relied on raw counts of nucleotide mismatches and were thus not directly comparable to our results. To determine whether the base-pair requirements for silencing are similar, we thus reanalysed these interactions using our scoring system to compare the small RNAtarget alignment scores between Brassica and Arabidopsis (Tarutani et al., 2010, Yasuda et al., 2016).

Finally, we used the phylogeny in Durand *et al.* (2014) to classify sRNA/target interactions into "recent" (mir867 and mirS4) and "ancient" (mirS1, mirS2 mirS3, mirS5, mir1887 and mir4239). Based on this classification, we used a linear regression to compare the alignment score for recent and ancient sRNAs and tested the hypothesis that interactions with base-pairing scores above the threshold at which silencing was complete correspond to recently emerged interactions that have not yet accumulated mismatches.

336 Results

Validation of the qPCR protocol and the allele-specific primers

The specificity test confirmed the absence of cross-amplification between alleles, as the *Ct* measures for water control and cross amplification were comparably high (around *Ct*=34) and both were higher than the positive controls (median *Ct*=22, Figure S3). Overall, serial dilutions of the template cDNA confirmed linearity of the *Ct* measure within the range of values observed for a given allele across the different conditions examined (Figure S4a).

Because we study a silencing phenomenon, we then explored how signal was lost at the dilution limits. As expected, linearity started to be lost at very low cDNA concentrations (in particular for alleles *SCR01*, *SCR02*, *SCR04*, *SCR13* and *SCR20*, Figure S4a), and examination of melting curves under these conditions indicated the formation of primer dimers rather than the expected transcripts. Hence, we note that comparing levels of expression for a given allele between different recessive contexts (*e.g.* when silenced by different sRNAs) should be challenging, especially for the above-mentioned alleles.

Linearity was good for most *SRK* alleles (Figure S4b) except for *SRK12* (data not shown), so this allele was excluded from further analyses.

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SCR and SRK expression dynamics across flower development stages In total, we performed 344 RNA extractions and RT-PCR from the 37 different S-locus genotypes sampled at four developmental stages. For SCR, we measured 1,838 Ct_{SCR}/Ct_{actin} expression ratios (i.e. an average of 26.9 expression measures per S-allele in each diploid genotype, Table S1). For SRK, we measured 480 Ct_{SRK}/Ct_{actin} ratios (i.e. an average of 11.1 expression measures per S-allele in each diploid genotype, Table S2). Distribution of the residuals of the generalized mixed linear model was closest to normality after logtransformation of the ratios (Figure S6). As expected, measured expression levels were more highly repeatable across clones than across biological replicates for a given S-locus genotype (deviance estimates of 0.40, 1.08, respectively, Table S5a). The deviance associated with the allele's expression dynamic was higher (deviance = 4.56), although we note that the technical error was also important (deviance = 6.08, Table S5a). We first examined the expression dynamics of the different SCR alleles. Because recessive SCR alleles were consistently silenced (see below), we isolated the effect of developmental stages by focusing only on genotypes in which each focal allele was known to be dominant at the phenotypic level (Figure 1a). Overall, we observed a strong pattern of variation among stages (F-value: 10.76, p-value: 5.7e-5, Table S5c) with high expression of SCR in buds at early developmental stages (<0.5 to 1mm), and low expression in late buds right before opening and in open flowers. This pattern is consistent with degeneration in these stages of the anther tapetum, the cellular layer where SCR is expected to be expressed. The expression dynamics of SRK was sharply different from that of SCR, with monotonously

increasing expression in the course of flower development, with lowest expression in immature buds (<0.5mm) and highest expression in open flowers (Figure 1b, F-value: 4.411, *p*-value: 0.007, Table S5h). We found evidence that the expression dynamics varied across *S*-alleles, not only for *SCR* (Chi²: 308.19, *p*-value < 2.2e-16, Table S5b) in line with Kusaba *et al.*, (2002), but also for *SRK* (Chi²: 6.9103, *p*-value 0.00857, Table S5g).

Transcriptional control

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Based on these results, we averaged $2^{-\Delta Ct}$ values across <0.5mm to 1mm stages to compare expression of a given focal SCR allele between genotypic contexts where it was either dominant or recessive relative to the other allele present in the diploid genotype. Of the 54 pairwise interactions for which the dominance phenotype had been firmly established by controlled crosses and the qPCR assay had been performed for both SCR alleles (Table S3), as many as 51 (94.4%) are associated with strong asymmetries in transcript levels, with high expression of the dominant SCR allele and low expression of the recessive SCR allele (Figure 2). Hence, our expression data were largely consistent with the hypothesis of transcriptional control of the dominance hierarchy in pollen genotypic combinations. SCR transcripts of the most recessive allele (S1) were only detected in an S1S1 homozygote genotype, but not in any other genotypic combination. Climbing up the dominance hierarchy from most recessive to most dominant, expression of SCR was detected in an increasing number of heterozygous combinations, in strong agreement with phenotypic dominance (Figure 2). At the top of the dominance hierarchy, the two most dominant alleles, SCR13 and SCR20, were expressed in all heterozygous contexts, including when they formed a heterozygote combination with one another (S13S20), also as expected

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given the codominance observed between them at the phenotypic level (Durand et al., 2014). This general rule had a few exceptions however (indicated by arrows on Figure 2). Specifically, we observed low expression for both SCR01 and SCR12 when in heterozygote combination (S01S12 genotypes) and for both SCR10 and SCR12 in heterozygote combination (S10S12 genotype), which is not consistent with the documented phenotypic dominance of these alleles in pollen (S12>S01 and S12>S10; see Table S3). We also detected expression of both SCR02 and SCR29 when placed in heterozygote combination, which might explain the unusual phenotypic data indicating robust rejection of pollen from this heterozygote genotype on the [S02] tester line, but only partial compatibility on the [S29] tester line (Table S3). Hence, the dominance interaction between these two alleles may be partial, both at the transcriptional and phenotypic levels. Interestingly, these two alleles belong to class III, which in A. lyrata tend to show inconsistent (or leaky) SI responses (Kusaba et al. 2001). Overall, in spite of these three exceptions, we observed a striking contrast in transcript levels for a given allele according to its relative phenotypic dominance status in the genotype (F-value = 19.538; p-value < 2.2e-16, Table S5c), suggesting complete silencing of recessive alleles. Specifically, we observed an average 145-fold decrease in transcript abundance in genotypes where a given focal allele was phenotypically recessive as compared to genotypes in which the same focal allele was dominant. We note that the silencing was so strong that the Ct values associated with recessive SCR transcripts were comparable with those of the negative controls (Figure S3) and close to the detection limits of our method as determined by the break of linearity of the dilution experiment, such that the magnitude of the calculated fold-change value is probably under-estimated (Figure S1).

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In strong contrast, we found no significant effect of dominance in pistils on SRK expression (F-value: 6.8884 p-value: 0.068244; Figure 3, Table S5h), confirming the absence of transcriptional control of dominance for SRK. Target features and silencing effect Levels of SCR expression of any given focal allele varied sharply with the alignment score of the "best" target available for the repertoire of canonical sRNAs produced by the other allele present in the genotype (Figure 4a). Specifically, we observed on average high levels of SCR transcripts when the score of their best predicted target was low, but consistently low levels of SCR transcripts when the score of the best target was high (Figure 4a, Table S5d). Strikingly, the transition between high expression and low expression was abrupt (around an alignment score of 18), suggesting a sharp threshold effect rather than a quantitative model for transcriptional silencing. In two cases, the presence of a target with a high score within the SCR gene of the dominant allele was associated with high relative SCR expression (in agreement with the dominant phenotype established by controlled crosses), confirming the absence of silencing (target of Ah04mir4239 on SCR20, score=20; and target of Ah10mir4239 on SCR20, score =21; Figure 5a) and suggesting that these interactions are not functional. Examining in detail these two exceptions did not reveal mismatches at the 10-11th nucleotide position, suggesting that mismatches at other positions have rendered these sRNA-target interactions inactive (Figure 5a). We note that the target of Ah10mir4239 with the highest score is predicted for a sRNA with non-canonical size (25nt), but this precursor also produces a canonical 24nt isomir with a score above the threshold (score = 20, Table S6). These two sRNAs (Ah04mir4239 and Ah10mir4239) have a 5' nucleotide

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different from the expected "A" for 24nt sRNAs, possibly suggesting that loading into an improper AGO protein may have rendered these predicted interactions inactive. Another exception concerns the observed low score (15.5) for the best match between a sRNA from the dominant allele Ah04mirS4 and its best putative target at the recessive SCR03 (Figure 5b). Whether SCR04 silences SCR03 through this unusual target or through another elusive mechanism remains to be discovered. In spite of the generally very low expression of all recessive alleles, we found marginal evidence that the strength of silencing experienced by a given SCR allele varies across genotypic combinations for a given allele (F-value=2.221, p-value = 0.0756, Table S5i). However, there was no evidence that the position of the target site on the measured allele (promoter; intron; intron-exon boundary; upstream vs. downstream) could explain this variation (F-value=1.7061, p-value = 0.1928, TableS5e). We also found no effect of the inferred age of the miRNA on the mean alignment score (mean= 20.41 and 20.22 for recent or ancient miRNAs, respectively; F-value: 0.0362; p-value = 0.8504, Table S5j). Finally, we compared the alignment scores observed here in Arabidopsis with those in Brassica for Smi & Smi2 on their SCR target sequences. A clear threshold was also observed, but in Brassica the alignment score threshold distinguishing dominant from recessive interactions was 16.5 instead of 18 (Table S6), suggesting distinct base-pairing requirements for effective silencing in these two systems.

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Discussion Determining the base-pairing requirement for sRNA silencing in plants has remained challenging because the "rules" used for target prediction have typically been deduced from observations that conflate distinct microRNA genes and their distinct mRNA targets over different genes. Moreover, detailed evaluations of the functional consequences of mismatches have relied on heterologous reporter systems (typically GFP in transient tobacco assays), hence limiting the scope of the phenotypic consequences that can be studied. Here, we build upon the inter-allelic regulatory system controlling transcriptional activity of alleles of the SI system in Arabidopsis revealed in Durand et al. (2014), where multiple sRNAs regulate target sites on alleles of a single gene (SCR), and in which we are able to make a direct link between the sRNA-target interactions, the level of SCR transcript and the encoded phenotype (dominance/recessivity interaction). The first step was to clarify several aspects of the expression pattern of the genes controlling SI in A. halleri, which was necessary to confirm that the dominance interactions in Arabidopsis involve transcriptional regulation. **Expression profile** Earlier accounts had suggested that alleles of the allelic series may differ from one another in their expression profile (Kusaba et al., 2002). In line with Kakizaki et al., (2003), Suzuki et al., (1999); Schopfer et al., (1999); Takayama et al., (2000) and Shiba et al., (2002), we found maximal expression of SCR in early buds but low or no expression at the open flower stage. This expression pattern is consistent with in situ hybridization experiments showing that SCR transcripts are localized in the tapetum, a specialized layer of cells involved in pollen grains coating (Iwano et al., 2003) which undergoes apoptosis

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and is quickly degraded as the development of pollen grains inside the anther progresses (Murphy & Ross, 1998; Takayama et al., 2000). We confirmed that differences exist in the temporal dynamics of expression among alleles, as suggested by Kusaba et al. (2002) in A. lyrata, possibly as the result of strong sequence divergence of the promotor sequences of the different SCR alleles. Finally, we confirmed that SCR and SRK have sharply distinct expression dynamics throughout flower development. Indeed, transcript levels of SRK increased steadily along development and were very low in early buds, consistent with the observation that SI can be experimentally overcome to obtain selfed progenies by "budpollination" (Llaurens et al. 2009). Generality of the transcriptional control of dominance in Arabidopsis Based on this clarified transcriptional dynamics, we confirmed the generality of the transcriptional control of dominance for SCR, with as much as 96.3% of the documented dominance interactions associated with mono-allelic expression of the dominant SCR allele and complete silencing of the recessive SCR allele in heterozygote genotypes. Even in the single heterozygote genotype where in our previous study (Durand et al., 2014) no sRNA produced by the phenotypically dominant allele was predicted to target the sequence of the phenotypically recessive SCR allele (e.g. S04>S03), transcripts from the recessive SCR03 allele were undetected. This suggests either that some functional sRNAs or targets have remained undetected by previous sequencing and/or by our *in silico* prediction procedures, or that mechanisms other than sRNAs may cause transcriptional silencing for some S-allele combinations. Regardless of the underlying cause, the generality of the transcriptional control of dominance suggests that the simple comparison of transcript levels between the two alleles in a heterozygote genotype could be used as a first approximation to determine

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their relative dominance levels. In contrast, we confirmed the absence of transcriptional control for SRK, for which both alleles were consistently expressed at similar levels in all heterozygote genotypes examined, irrespective of the (pistil) dominance phenotype. For SRK, other dominance mechanisms must therefore be acting, which are yet to be discovered (e.g. Naithani et al., 2007). Variation in the strength of silencing An important feature of the silencing phenomenon is that the decrease of transcript levels for recessive SCR alleles was very strong in heterozygous genotypes, bringing down transcript levels below the limits of detection in most cases. This is in line with the intensity of transcriptional silencing by heterochromatic siRNAs (typically very strong for transposable element sequences, see Marí-Ordóñez et al., 2013), while post-transcriptional gene silencing by microRNAs can be more quantitative (Liu et al., 2014). As a result of this strong decrease of transcript levels, the strength of silencing appeared independent from the position of the sRNA target along the SCR gene (promoter vs. intron), although we note that our power to distinguish among levels of transcripts of recessive alleles, which were all extremely low, is itself fairly low. It remains to be discovered whether the different positions of the sRNA targets (Durand et al., 2014) do indeed imply different transcriptional silencing mechanisms. A simple threshold model for sRNA-based silencing Based on the many allelic combinations where we could compare the agnostic prediction of putative target sites with the level of transcriptional silencing, we find that a simple threshold model for base-pairing between sRNAs and their target sites captures most of the variation in SCR expression in heterozygotes. This result provides a direct experimental

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validation of the ad-hoc criteria used in Durand et al., (2014). However, our results also indicate that this quantitative threshold is not entirely sufficient to capture the complexity of targeting interactions. Indeed, in three of the 54 cases tested this simple threshold model would inappropriately predict targeting of a dominant SCR allele by a sRNAs from a more recessive allele, yet the dominant SCR allele was expressed at normal levels with no sign of silencing in these heterozygote genotypes (Figure 5a). The targeting interaction may be abolished either by defects in the sRNA itself (e.g. for Ah04mir4239 the 5' nucleotide is a G, while the majority of functional 24 nt small RNA molecules end with a 5'A, which may interfere with loading in the appropriate AGO protein). Alternatively, the targeting interactions may be abolished by the position of the mismatches (at position 14 and 22 of the Ah10mir4239 and at position 13 and 21 of the Ah04mir4239, both on SCR20). Similarly, a single mismatch at position 10 in the *Smi* interaction in Brassica (Tarutani *et* al., 2010) and in other microRNA-targets interactions (Franco-Zorrilla et al., 2007) was shown to result in loss of function of the interaction (Table S6). Interestingly, quantitative differences may exist between Arabidopsis and Brassica, as the experimentally validated targets in Brassica (Tarutani et al., 2010; Yasuda et al., 2016) correspond to base-pairing threshold below the one that we find in Arabidopsis (i.e. a target score of 16.5 seems sufficient for silencing in Brassica vs. 18 in Arabidopsis). For Brassica, both class I and class II alleles have *Smi*, but a mismatch at the 10th position was proposed to explain why the class II Smi is not functional. Here, we show that this mismatch drives the alignment score below the 16.5 threshold and could be sufficient to explain the loss of function, regardless of its position. Overall, although these small RNAs achieve their function in a way that may be sharply different from classical microRNAs (DNA methylation vs.

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mRNA cleavage), our results suggest that the sRNA-target complementarity rules for silencing in both cases are qualitatively consistent (Liu et al., 2014). Better understanding the molecular pathway by which these sRNAs epigenetically silence their target gene (SCR) will now be key to determine whether this threshold model can be generalized to more classical siRNAs found across the genome, as evidence is still missing for such classes of sRNAs. Implications for the evolution of the dominance hierarchy The existence of a threshold model has important implications for how the dominance hierarchy can evolve. In fact, our model suggests that a single SNP can be sufficient to turn a codominance interaction into a dominance interaction (and vice-versa), making this a relatively trivial molecular event. This is actually what Yasuda et al., (2016) observed in B. rapa, where the combination of single SNPs at the sRNA Smi2 and its SCR target sequences resulted in a linear dominance hierarchy among the four class II S-alleles found in that species. Strikingly, in some cases, we observed base pairing at sRNA-target interactions with very high alignment scores (up to 22), i.e. above the threshold at which transcriptional silencing was already complete (score =18). Under our simple threshold model, such interactions are not expected since complete silencing is already achieved at the threshold, and no further fitness gain is therefore to be expected by acquiring a more perfect target. A first possibility is that these interactions reflect the recent emergence of these silencing interactions. In fact, one of the models for the emergence of new microRNAs in plant genomes involves a partial duplication of the target gene, hence entailing perfect complementarity at the time of origin that becomes degraded over time by the accumulation of mutations (Allen et al., 2004). Under this scenario, the higher-than-

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expected levels of sRNA-target complementarity could reflect the recent origin of these sRNAs but we found no evidence of a difference in alignment score for young vs. old sRNA precursors. A second possibility is that selection for developmental robustness is acting to prevent the phenotypic switch from mono- to bi-allelic expression of SCR (especially during stress events, Boukhibar & Barkoulas, 2016) that could be devastating for the plant reproductive fitness (Llaurens et al. 2009). Indeed, we observed strong variation in overall SCR expression when the sRNA target score of the companion allele is below the threshold in the benign greenhouse conditions under which we grew our plants, and it is possible that under stress conditions the epigenetic machinery may be less efficient, hence requiring stronger base-pairing to achieve proper silencing. Finally, a third possibility is that sRNA-target complementarity above the threshold reflects the pleiotropic constraint of having a given sRNA from a dominant allele control silencing of the complete set of target sequences from the multiple recessive alleles segregating, and reciprocally of having a given SCR target in a recessive allele maintaining molecular match with a given sRNA distributed among a variety of dominant alleles. Comparing the complementarity score of sRNA/target interactions among sRNAs or targets that contribute to high versus low numbers of dominance/recessive interactions will now require a more complete depiction of the sRNA-target regulatory network among the larger set of S-alleles segregating in natural populations.

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The lower whisker extends from the hinge to the smallest value at most 1.5 * IQR of the hinge and the black dots represents outlier values. **Figure 2**: Expression of individual *SCR* alleles in different genotypic contexts. Pollen dominance status of the S-allele whose expression is measured relative to the other allele in the genotype as determined by controlled crosses are represented by different letters (**D**: dominant; C: codominant; R: recessive; U: unknown; H: Homozygote, Table S3). In a few instances, relative dominance status of the two alleles had not been resolved phenotypically and were inferred from the phylogeny (marked by asterisks). Thick horizontal bars represent the median of 2^{-\Delta Ct} values, 1st and 3rd quartile are indicated by the upper and lower limits of the boxes. The upper whisker extends from the hinge to the largest value no further than 1.5 * Inter Quartile Range from the hinge (or distance between the first and third quartiles). The lower whisker extends from the hinge to the smallest value at most 1.5 * IQR of the hinge and the black dots represents outlier values. We normalized values relative to the highest median across heterozygous combinations within each panel. Alleles are ordered from left to right and from top to bottom according to their position along the dominance hierarchy, with SCR01 the most recessive and SCR13 and SCR20 the most dominant alleles. Under a model of transcriptional control of dominance, high expression is expected when a given allele is either dominant or codominant and low expression when it is recessive. Exceptions to this model are marked by black vertical arrows and discussed in the text. "Na" marks homozygote or heterozygote genotypes that were not available. **Figure 3:** Expression of individual *SRK* alleles in different genotypic contexts. Putative pistil dominance status of the S-allele whose expression is measured relative to the other

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allele in the genotype is represented by different letters (**D**: dominant; **R**: recessive; **U**: unknown; **H**: Homozygote). Note that the pistil dominance hierarchy of the S-allele have been less precisely determined than the pollen hierarchy, and so many of the pairwise dominance interactions were indirectly inferred from the phylogenetic relationships (and marked by an asterisk) rather than directly measured phenotypically. Thick horizontal bars represent the median of 2^{-\Delta Ct} values, 1st and 3rd quartile are indicated by the upper and lower limits of the boxes. The upper whisker extends from the hinge to the largest value no further than 1.5 * Inter Quartile Range from the hinge (or distance between the first and third quartiles). The lower whisker extends from the hinge to the smallest value at most 1.5 * IQR of the hinge and the black dots represents outlier values.. We normalized the values for each allele relative to the higher median across heterozygous combination. We normalized values relative to the highest median across heterozygous combinations within each panel. Alleles are ordered from left to right and from top to bottom according to their position in the pistil dominance hierarchy, with SRK01 the most recessive and SRK04 the most dominant allele in our sample, based on the phenotypic determination in Llaurens et al. (2008). **Figure 4:** Base-pairing requirements for the transcriptional control of *SCR* alleles by sRNAs suggest a threshold model. a. Relative expression of SCR alleles as a function of the alignment score of the "best" interaction between the focal allele (including 2kb of sequence upstream and downstream of SCR) and the population of sRNAs produced by sRNA precursors of the other allele in the genotype. For each allele, expression was normalized relative to the genotype in which the $2^{-\Delta Ct}$ value was highest. Dots are coloured according to the dominance status of the focal SCR allele in each genotypic context (black:

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dominant; white: recessive; grey: undetermined). The black line corresponds to a local regression obtained by a smooth function (loess function, span=0.5) in the ggplot2 package (Wickham, 2009) and the grey area covers the 95% confidence interval. Vertical arrows point to observations that do not fit the threshold model of transcriptional control and are represented individually on Figure 5. **b.** Barplots of the Akaike Information Criteria (AIC) quantifying the fit of the generalized linear model for different target alignment scores used to define functional targets. Lower AIC values indicate a better fit. Figure 5: Predicted sRNA/target interactions that do not fit with the documented dominance phenotype or the measured expression. For each alignment, the sequence on top is the sRNA and the bottom sequence is the best predicted target site on the SCR gene sequence (including 2kb of sequence upstream and downstream of SCR). a. sRNA targets with a score above 18, while the S-allele producing the sRNA is phenotypically recessive over the S-allele containing the SCR sequence. **b.** sRNA target with a score below 18, while the S-allele producing the sRNA (S04) is phenotypically dominant over the S-allele containing the SCR sequence and transcript levels of the SCR03 allele is accordingly very low. This is the best target we could identify on SCR03 for sRNAs produced by S04. **Supplementary figures Figure S1.** Position of the SCR qPCR primers. Each primer is represented by a black arrow, according to its relative position to the gene. Positions indicated correspond to base pairs from the start codon on the cDNA.

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Figure S2. Position of the SRK qPCR primers. Each primer is represented by a black arrow, according to its relative position to the gene. Positions indicated correspond to base pairs from the start codon on the cDNA. **Figure S3.** Validation of the SCR qPCR primers. "Positive control": amplification assay with primers for SCR alleles that are expressed in the cDNA used. "Cross Amplification": amplification assay with primers for SCR alleles that are different from the ones in the cDNA used. "Water": amplification assay with primers but water instead of cDNA. "Recessive": amplification with primers for the phenotypically recessive SCR allele in the cDNA (mean Ct values of the biological and technical replicates). "Dominant": amplification with primers for the phenotypically dominant SCR allele in the cDNA (mean Ct values of the biological and technical replicates). Thick horizontal bars represent the median of 2^{-\Delta Ct} values, 1st and 3rd quartile are indicated by the upper and lower limits of the. The upper whisker extends from the hinge to the largest value no further than 1.5 * Inter Quartile Range from the hinge (or distance between the first and third quartiles). The lower whisker extends from the hinge to the smallest value at most 1.5 * IOR of the hinge and the black dots represents outlier values. **Figure S4:** qPCR amplification (non-transformed Ct values) in serial dilutions for each SCR (a) and SRK (b) allele. Solid lines are the linear regressions over all Ct values. Dashed lines are linear regressions excluding the highest dilution level. **Figure S5.** Expression of individual *SCR* alleles in different genotypic contexts, representing each biological and clone replicate separately. Symbols on top of the boxes indicate measures from identical clone replicates. See legend of Figure 2 for a full description.

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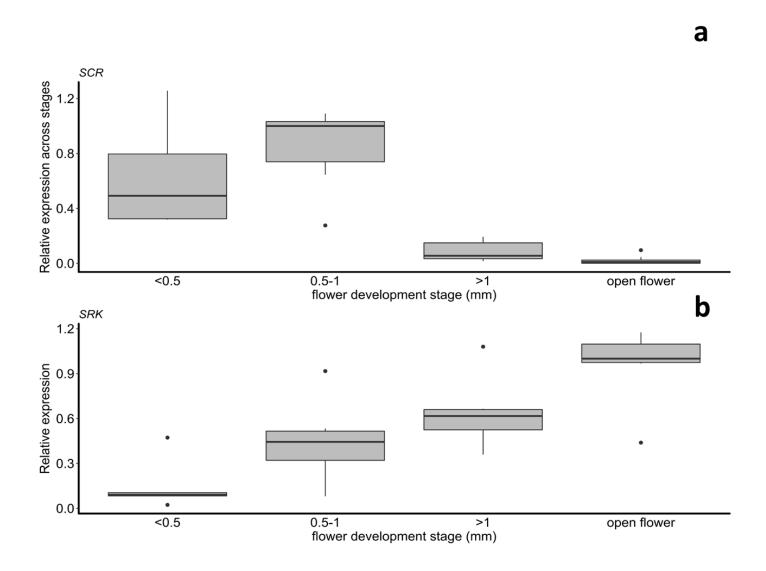
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Figure S6. Generalized linear mixed model used to test the effect of developmental stage and dominance status on the expression of SCR alleles (Ct values). The distribution shows that the residues of the full model are approximately normally distributed when taking allele identity, developmental stage and dominance status into account and using a logarithmic transformation of the Ct_{SCR} / Ct_{actin} ratios. **Table S1**. SCR samples analysed for each S-locus genotype, showing the number of biological and clone replicates over the four developmental stages sampled. "Allele 1" refers to the first allele noted in the genotype (for example in the S1S2 genotype, "allele 1" is S1 and "allele 2" is S2). **Table S2**: SRK samples analysed for each S-locus genotype, showing the number of biological and clone replicates over the four developmental stages sampled. The alleles are named accordingly to the Table S1. **Table S3**: Dominance relationships between alleles from the different genotypes included in this study as determined by controlled crosses. **Table S4:** qPCR primer sequences for each *SCR* and *SRK* alleles studied. **Table S5:** Detailed results from the generalized linear mixed models. **a.** Decomposition of the sources of variance across allele identity and the hierarchical levels biological, clones and technical replicates for SCR. b. Test of the variation of expression dynamic across SCR alleles. c. Test of the dominance and stage effects on SCR transcript levels, showing a significant interaction. **d**. Comparison of the fit of the model under different base-pairing score thresholds. e. Test of the effect of the position of the target on the strength of silencing. f. Decomposition of the source of variance across the technical replicates and the

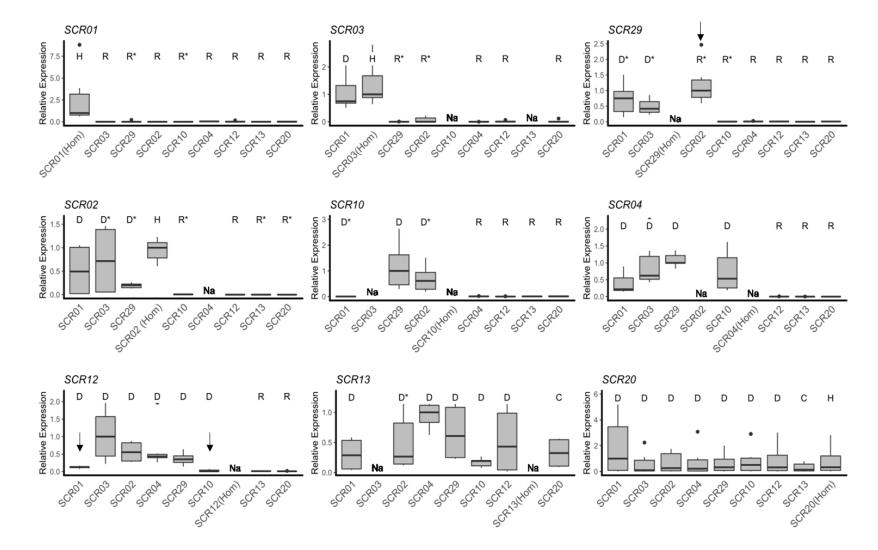
allele identity for *SRK*. **g**. Test of the variation of expression dynamic across *SRK* alleles. **h.** Test of the effect of stage and dominance on *SRK* transcript levels. **i.** Test of the effect of the identity of the companion allele on *SCR* transcript levels. **j**: Test of the effect of age on alignment score above the threshold of 18. **Table S6:** sRNA and *SCR* target identified as the best match for every pair of S-alleles. *Ct_{SCR}/Ct_{actin}* ratios are given for the target S-allele in the interaction and is calculated as the mean value across the two earliest developmental stages (see Figure 1). The positions of the targets are given relative to the beginning of the nearest exon of *SCR* for targets upstream from the gene or in the intron, and relative to the stop codon for downstream targets. R: Recessive; D: dominant as phenotypically determined by controlled crosses; H: homozygote; R* or D*: dominance as indirectly inferred from the phylogeny of *S*-alleles.

The size of the canonical (21 or 24nt-long) isomiR with the highest targeting score is given in parentheses. The targeting score of the best canonical isomiR is given in parentheses).

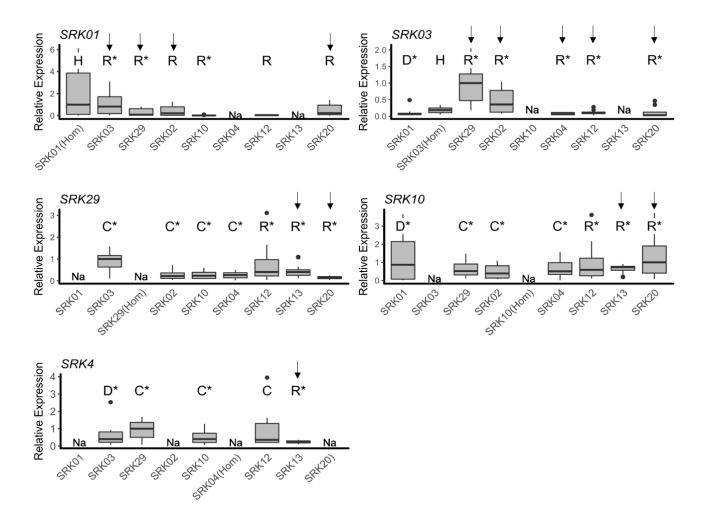
Figure 1



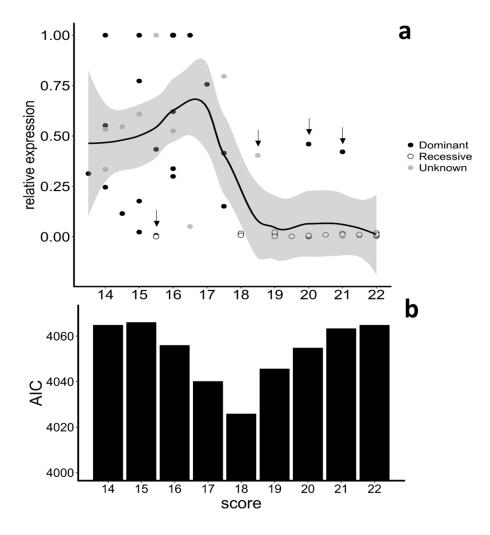
891 Figure 2



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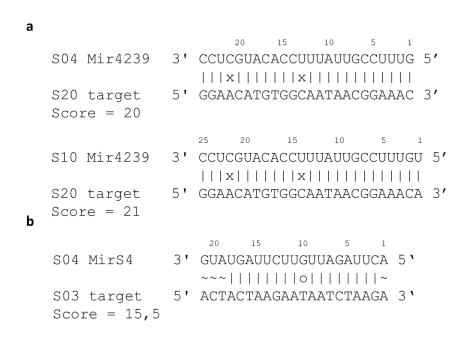
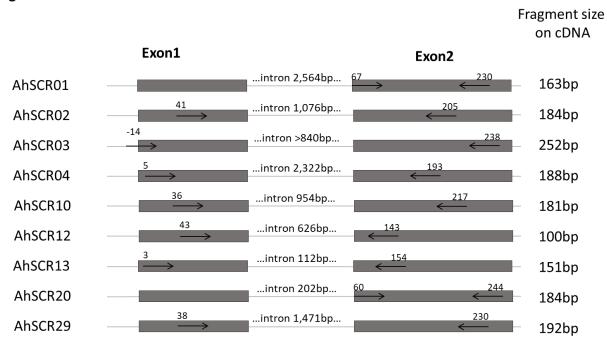
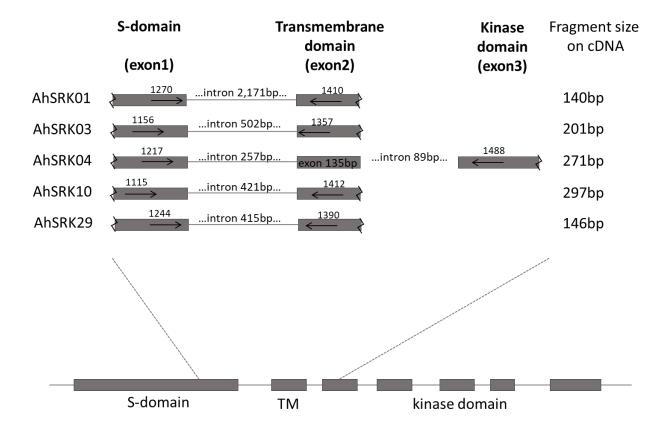


Figure S1



Positions indicated correspond to base pairs from the start codon on the cDNA

Figure S2



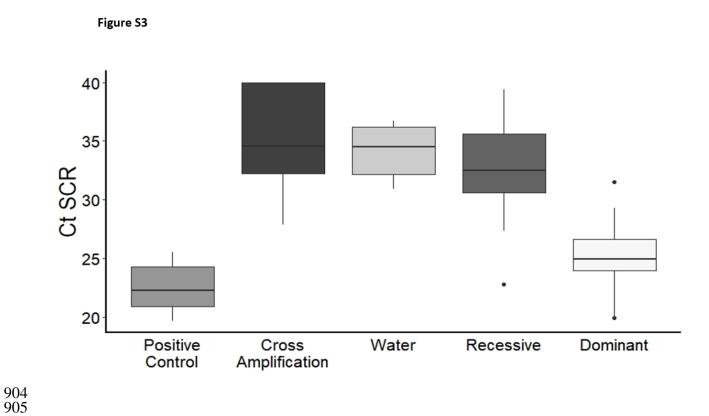


Figure S4

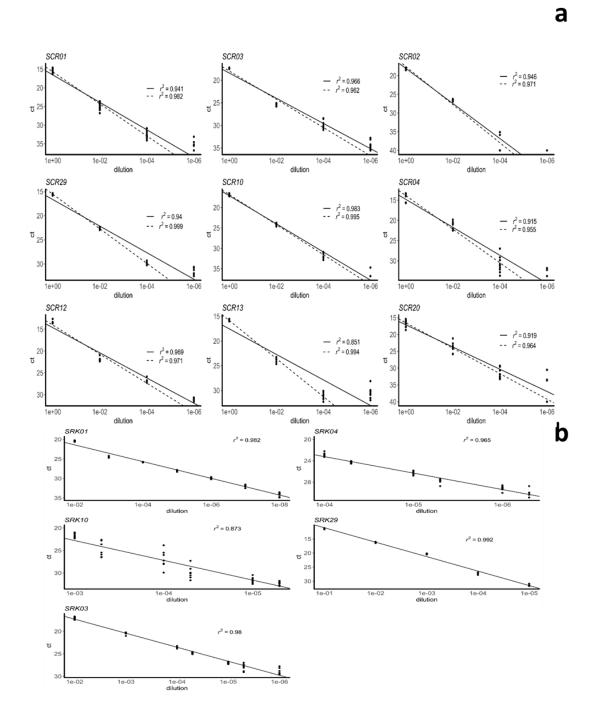


Figure S5

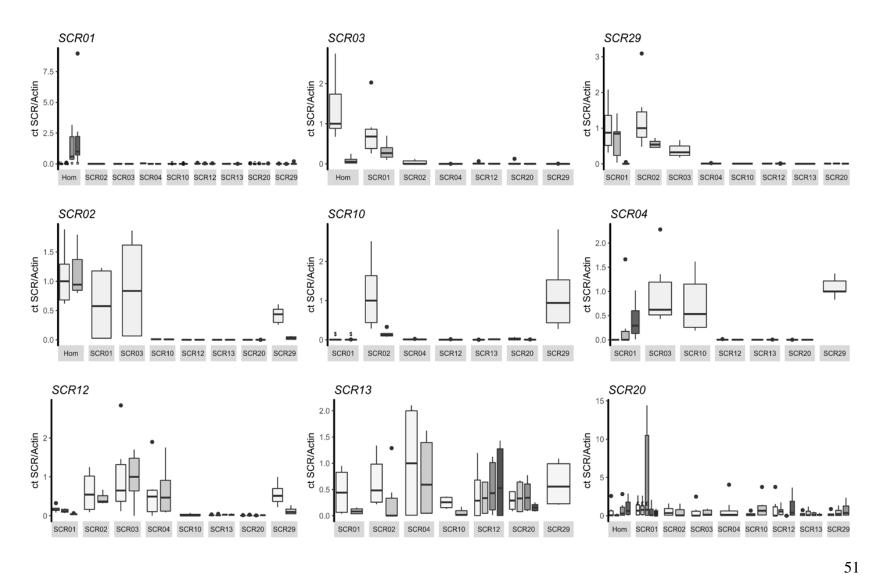


Figure S6

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lmer(log(s1$Ct_SCR.actine) ~
  (1|allele_measured:stage)+stage*dom_phenotype+
  (1|replicatBiol_genotype/replicat_Techclone), na.action=na.omit)
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