

Cognitive and hormonal regulation of appetite for food presented in the olfactory and visual modalities

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The ability to regulate appetite is essential to avoid food over-consumption. The 44 desire for a particular food can be triggered by its odor before it is even seen. 45 Using fMRI, we identify the neural systems modulated by cognitive regulation 46 when experiencing appetizing food stimuli presented in both olfactory and 47 visual modalities, while being hungry. Regulatory instruction modulated bids 48 for food items and inhalation patterns. Distinct brain regions were observed for 49 up and down appetite-regulation, respectively the dorsomedial prefrontal cortex 50 (dmPFC) and dorsolateral PFC. Food valuation engaged the ventromedial PFC 51 52 and bilateral striatum while the amygdala was modulated by individual food preferences, indexed by rank-ordered bids. Furthermore, we identified a 53 neurobiological marker for up-regulating success: individuals with higher blood 54 levels of ghrelin were better at exercising up-regulation, and engaged more the 55 dmPFC. This characterizes the neural circuitry regulating food consumption and 56 suggests potential hormonal and neurofunctional targets for preventing eating 57 disorders. 58

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60 Keywords: food, regulation, fMRI, Ghrelin

61 Introduction

Eating disorders such as binge eating disorder represent a public health 62 challenge because they are associated with high comorbidity and serious health 63 consequences (Hoek et al., 2016). The rising rates in obesity (Flegal et al., 2016; 64 Gallus et al., 2015; Sturm et al., 2013) also emphasize the crucial need to understand 65 the neurocomputational mechanisms underlying the regulation of food consumption, 66 especially in a context of overexposure to food stimuli. Food consumption may be 67 68 regulated through the implementation of strategies, known as cognitive regulation. These strategies use attention, language and executive control to modulate the value 69 people attribute to features of visual stimuli (Adcock et al., 2006; Galsworthy-Francis 70 and Allan, 2014; Yokum and Stice, 2013). Cognitive regulation thus serves as an 71 important strategy by which the brain can control food craving. 72

Most of our knowledge about the neurobiological mechanisms underlying 73 cognitive regulation of food stimuli is derived from fMRI studies using food images only 74 (Hutcherson et al., 2012; Inui et al., 2004; Kober et al., 2010). These studies reported 75 76 that presentation of visual food-cues engages brain regions associated with reward and valuation, the bilateral striatum and the ventromedial prefrontal cortex. 77 Accumulated evidence indicate that the lateral prefrontal cortex (IPFC) also plays a 78 key role in modulating food cue induced signals by the use of cognitive strategies 79 (Hollmann et al., 2012; Hutcherson et al., 2012b; Kober et al., 2010; Siep et al., 2012a; 80 Yokum and Stice, 2013 Schmidt et al., 2018). Early studies reported that the IPFC, 81 supporting cognitive regulation, acts on value signals encoded in the ventromedial 82 PFC (vmPFC) (Hare et al., 2009; Kober et al., 2010, Hutcherson et al., 2012b). 83 However, a recent study found that the vmPFC activity may be unsensitive to 84 regulatory goals during cognitive regulation (Tusche and Hutcherson, 2018), 85

suggesting that cognitive regulation acts upstream of the integrated value signal by
modulating specific attributes value (Inzlicht et al., 2016).

Yet, little is known about the neurobiological mechanisms underlying appetite 88 regulation of food stimuli presented in a more realistic and ecological fashion, such as 89 when combining visual cues with food odors. Food odors are potent signals for 90 triggering appetite. For example, the smell of a croissant wafting from a patisserie, can 91 92 trigger a strong desire for this food in the absence of any visual cue. Parallels may exist between the neural mechanisms engaged in cognitive strategies used for 93 94 emotion regulation and for the regulation of appetite (Buhle et al., 2014; Kober et al., 2010). Both types of regulatory mechanisms may engage common brain regions, such 95 as the dorsolateral PFC (dIPFC) for down-regulation (Frank et al., 2014) and the 96 anterior medial part of the PFC for up-regulation (Ochsner et al., 2004). We therefore 97 hypothesized that the lateral PFC may play a role in down-regulating food craving 98 whereas the medial part of the PFC may support up-regulation of appetite. 99

Furthermore, homeostatic peptide hormones such as ghrelin, produced in the 100 gastrointestinal tract and leptin, produced in adipose cells and the small intestine, 101 convey energy balance information to the brain that affect food intake. Ghrelin acts 102 both on the homeostatic hypothalamic-brainstem circuits regulating energy balance 103 and on systems involved in reward and motivation (Mason et al., 2013; Perello and 104 105 Dickson, 2015). High levels of ghrelin, either due to ghrelin injection or fasting, increase motivation for food rewards and modulate the reward system (Abizaid et al., 106 2006; Han et al., 2018; Karra et al., 2013; Kroemer et al., 2013; Shirazi et al., 2013). 107 108 However, it remains unknown whether ghrelin modulates the brain systems engaged in appetite up-regulation in humans. 109

110 Here, we used fMRI to investigate the neural processes involved in appetite regulation during successive presentation of food odor and image. Healthy hungry 111 participants made real food purchase decisions under a Natural control condition and 112 two cognitive regulation conditions after smelling a food odor paired with a 113 corresponding food picture. We investigated: 1) whether cognitive regulation 114 modulates sniffing and subjective preferences for food, as assessed by willingness to 115 pay; 2) which brain areas support valuation of food cues presented sequentially in the 116 olfactory and visual modalities; 3) whether distinct or common PFC areas support up-117 118 and down-regulation of salient food items; 4) whether brain regions involved in the valuation of food cues are functionally modulated by regulatory regions; 5) whether 119 individual preferences for specific odors have a specific neural signature (by rank 120 121 ordering each food category according to each participants' preferences); 6) what are the contributions of ghrelin and leptin to appetite regulation under cognitive regulation. 122

123 **Results**

124 Behavior

125 Prior to scanning, participants rated their appetite on a continuous scale (ranging from "0" = not hungry at all, 50 = moderately hungry; to "100" = never been so 126 hungry). The food quantity participants would be willing to eat prior to scanning was 127 also assessed on a similar continuous scale. Participants rated their appetite at 60.4% 128 129 (SEM= 4.7) and their food quantity at 75.1 (SEM= 3.2). This procedure allowed us to ensure that participants felt subjectively hungry and were willing to eat a large quantity 130 131 of food. We also found a positive correlation between participants' appetite and their blood level of ghrelin (r=0.495, p=0.016) and a positive trend between blood level of 132 ghrelin and the quantity of food participants were willing to eat (r=0.406, p=0.054). 133

We found that cognitive regulation had a significant effect on average bidding 134 behavior (F (2,46) = 240.951, p<0.001) (Figure 2A). Post hoc analysis revealed that, 135 compared to the Natural condition (the no cognitive regulation condition) (mean (M) 136 $1.52 \pm (SEM) 0.011$, participants bid significantly more under the Indulge (the positive 137 cognitive regulation condition) ((M) $2.03 \pm (SEM) 0.011$; paired t(24) = 12.508 p<0.001) 138 and less under the Distance condition (the negative cognitive regulation condition) 139 $((M) 0.871 \pm (SEM) 0.007; paired t(_{24}) = 11.197 p<0.001)$ (paired t-test with Bonferroni 140 correction). We also controlled for possible interactions between regulatory conditions 141 and food odor category (Apricot, Pineapple, Milk Chocolate or Dark Chocolate). This 142 analysis revealed no significant interaction between cognitive regulation and types of 143 food odor categories (F $_{(3,72)}$ = 0.934, p=0.469). This analysis also revealed that 144 participants' bids differed according to the food category (F $_{(3,72)}$ = 118.079, p<0.001) 145 (Figure 2B). Post-hoc tests revealed that participants bid less for Pineapple ((M) 1.32 146 \pm (SEM) 0.016) compared to milk chocolate ((M) 1.32 \pm (SEM) 0.016) (p < 0.005, 147

148 paired $t_{(24)} = -3.145$) and compared to dark chocolate ((M) $1.32 \pm$ (SEM) 0.016) (p < 149 0.05, paired $t_{(24)} = -2.789$).

Reaction Times (RTs) also differed between conditions ($F_{(2,46)} = 16.247$, p<0.001) (Figure 2C). Participants' bids were faster in the Indulge condition ((M) 1.50 ± (SEM) 0.015) compared to the Natural ((M) 1.90 ± (SEM) 0.024; paired t₍₂₄₎ = 5.825 p<0.001) and Distance conditions ((M) 1.95 ± (SEM) 0.025) (paired t₍₂₄₎ = 5.221 p<0.001).

155

156 Olfactomotor responses (sniffing)

A significant effect of regulatory instructions was observed on the duration of 157 the first sniff (Friedman test, $\chi^2_{(3)} = 30.064$, p = 0.001) (Figure 2D, Duration of first 158 sniff). There was a significant decrease in the duration of sniffing in the Distance ((M) 159 160 $1.86 \pm (SEM) 0.015$) compared to the Natural condition ((M) $1.94 \pm (SEM) 0.016$) (p =0.011, paired $t_{(24)}$ = -2.92). Participants inhaled for a shorter period during the Air-161 clean ((M) 1.76 ± (SEM) 0.012) condition compared to the Distance, Indulge ((M) 1.95 162 ± (SEM) 0.016) and Natural conditions. No significant difference in sniffing duration 163 was found between the Indulge and Natural conditions (paired $t_{(24)}$, = 2.587, p = 164 0.096). 165

A significant effect of regulatory instructions was observed concerning the amplitude of the first sniff (F $_{(3,72)}$, = 4.248, p = 0.008) (Figure 2E, Amplitude of first sniff). A *post-hoc* test with the Bonferroni correction showed a significant decrease in the amplitude of sniffing in the Distance ((M) 2.47 ± (SEM) 0.035) compared to the Indulge condition ((M) 2.61 ± (SEM) 0.033) (p = 0.025, paired t(₂₄) = -3.139). No significant effect of condition was observed on the volume of the first sniff (F $_{(3,72)}$, = 2.587, p =0.06).

We next considered the entire sniffing period during odor presentation. We found a significant difference between conditions with respect to the total number of sniffing cycles (F $_{(3,72)}$, = 3.962, p = 0.011) (Figure 2F, Mean sniff number). *Post-hoc* analysis revealed that participants had more sniffing cycles in the Natural condition compared to the Air-clean condition (p = 0.024, paired t(₂₄) = -2.65).

A significant effect of conditions was observed on the average sniffing 178 amplitude during the total sniffing period (F $_{(3,92)}$, = 6.068, p = 0.001) (Figure 2G, cycle 179 amplitude). A *post-hoc* test with Bonferroni correction showed a significant increase in 180 the average amplitude of sniffing in the Indulge ((M) 2.45 ± (SEM) 0.035) compared to 181 the Natural conditions ((M) $2.34 \pm$ (SEM) 0.035) (p = 0.037, paired t(₂₄) = -2.448). The 182 Post-hoc test also revealed a significant increase in the amplitude of sniffing in the 183 Indulge compared to the Air-clean conditions ((M) $2.35 \pm (SEM) 0.034$) (p = 0.011, 184 paired $t(_{24}) = -2.346$). Finally, no significant difference concerning the sniffing 185 amplitude was observed between the Indulge and Distance conditions. 186

A significant effect of conditions was observed on the average sniff volume 187 during the total sniffing period (F $_{(3,72)}$, = 7.863, p = 0.001) (Figure 2H, Mean sniff 188 volume). A post-hoc test with the Bonferroni correction showed a significant increase 189 in the mean volume of sniffing in the Indulge ((M) $2.41 \pm (SEM) 0.037$) compared to 190 the Natural condition ((M) 2.26 \pm (SEM) 0.036) (p = 0.028, paired t(₂₄) = -2.448). They 191 192 also revealed an increase in the average volume of sniffing in the Indulge compared to Distance conditions ((M) 2.22 ± (SEM) 0.036). Finally, the post-hoc test also 193 revealed a significant increase in the average volume of sniffing in the Indulge 194 condition compared to the Air-clean trials ((M) $2.20 \pm (SEM) 0.036$) (p = 0.004, paired 195 $t(_{24}) = -2.346$). 196

¹⁹⁷ No significant effect of conditions was observed concerning the mean duration ¹⁹⁸ of sniffing during the entire period of odor presentation (F $_{(3,72)}$, = 1.313, p = 0.277).

We also investigated potential differences between men and women in their 199 capability to regulate their appetite. Using a Two-way ANOVA, we found no significant 200 difference between sex concerning bid ($F(_{5,120}) = 4.229$, p = 0.132) or RTs ($F(_{5,120}) =$ 201 5.603 p = 0.099). When performing the same analysis on breathing parameters, we 202 observed no significant differences for the volume ($F(_{7,168}) = 0.014$; p = 0.907), 203 amplitude ($F(_{7,168}) = 1.31$; p = 0.282) and the duration of the first sniff ($F(_{7,168}) = 4.42$; 204 205 p = 0.065). Despite the fact that men have a greater total lung capacity (LoMauro and Aliverti, 2018) we found no differences between men and women in breathing 206 parameters. 207

208

209 **fMRI results**

210 Neurocomputational mechanisms of cognitive regulation of food

First, using the GLM1, we searched for brain regions engaged in cognitive 211 regulation during the odor/image presentation. As shown in Figure 3, Table 1, when 212 averaging over the period of odor/image presentation, BOLD response in the 213 214 dorsomedial PFC was significantly higher in the Indulge compared to Natural condition (comparison Indulge > Natural) (x,y,z: -4, 58, 21; t = 5.43; p<0.05 Family-Wise Error 215 216 (FWE) whole brain cluster corrected). To illustrate the response in this brain region, 217 we extracted beta parameters in the three different conditions and plotted them (Bar Graphs). We also investigated the opposite contrast (Natural > Indulge). No brain 218 region showed greater activity under Natural trials compared to Indulge trials. 219

220 Comparison of the Distance condition with the Natural condition (Distance > 221 Natural) revealed a significant increase of the BOLD signal in the bilateral superior

PFC (x,y,z: -24, 52, 22; 20, 46, 30; t = 6.76 and 4.94 for Left and Right respectively), 222 the right dIPFC (x,y,z: 44, 16, 46; t = 5.35), the anterior cingulate cortex/supplementary 223 motor area (ACC/SMA) (x,y,z: 3, 8, 62; t = 5.20) and bilateral angular gyrus (x,y,z: 56, 224 -54, 27; -46, -60, 39; t = 4.22 and t = 4.13 for right and left respectively) (p<0.05 FWE 225 whole brain cluster corrected) (Figure 4, Table 1). Again, we also plotted the beta 226 parameters from these regions in the three different conditions (Figure 4). Finally, 227 228 investigation of the contrast (Natural > Distance) revealed no brain region showing greater activity in Natural trials as compared to Distance trials. 229

230

Relationships between Ghrelin/leptin levels and brain activity related to different
 regulatory conditions

Because up-regulation during the Indulge condition selectively increased 233 activity in the dorsomedial dmPFC, we thought to investigate the link between this 234 brain response and leptin/ghrelin levels. To determine the potential relationship 235 236 between hormone levels and regulatory mechanisms, we conducted a correlation analysis between the beta extracted from the dmPFC ROI (8 mm sphere centered on 237 the peak dmPFC cluster identified in the comparisons Indulge>Natural) and 238 239 leptin/grehlin levels. We observed a positive correlation between total ghrelin and betas extracted from the dmPFC ROI in the Indulge (r = 0.469, p = 0.024) and between 240 ghrelin and betas in the Natural condition (r = 0.511, p = 0.013). No significant 241 correlation was found between beta parameters from regions revealed by the contrast 242 Distance > Natural and ghrelin level (Figure. 3B). The same procedure was conducted 243 for leptin level but no significant correlations were revealed. Finally, we defined the 244 'regulatory success of Willingness To Pay' (WTP) as the WTP difference between the 245 Indulge and Natural conditions for a given food (represented by an odor followed by a 246

picture) in each case where participants bid more in the Indulge condition compared 247 to Natural condition. The same procedure was used to compute the absolute value of 248 the difference in WTP between Distance and Natural conditions for the cases where 249 participants bid less in the Distance condition than in the Natural condition. We then 250 conducted Pearson correlation analysis to determine if the ghrelin level is correlated 251 with regulatory success when up- or down regulating. We observed a significant 252 253 correlation between ghrelin levels and up-regulation (r= 0.373; p = 0.002) but not between ghrelin levels and down-regulation (p = 0.516) (Figure 3C). 254

255

256 Subjective value computation at the time of Willingness to Pay

Next, we searched for brain areas engaged in odor/image value computation 257 using GLM2. We found that the vmPFC (x,y,z: 6, 42, 3; t = 3.96), and bilateral VSTR 258 (x,y,z: -6, 14, -4; t = 4.20 and 6, 18, -2; t = 3.62 respectively left and right) positively 259 correlated with participants' bid in the Natural condition (p<0.05, FWE corrected within 260 small volume correction) (Figure. 5, Table 2). Note that we used Natural trials only to 261 determine brain areas correlating with WTP because there was not enough variation 262 263 in the bids in the Indulge and Distance conditions to perform regression analyses between WTP and the BOLD signal. Indeed, WTP were always high in the Indulge 264 265 condition and always low in the Distance condition, relative to the Natural condition. This prevented us to observe value signals across all conditions and to test for 266 changes in slopes between value representation (as indexed by brain regressions with 267 WTP) and regulatory conditions. Thus, we defined ROIs to investigate whether 268 regulatory instructions modulated activity in the vmPFC and bilateral striatum. That is, 269 we defined vmPFC and bilateral VSTR as spherical ROIs based on previous analyses 270 271 reporting these regions as key areas for valuation (Clithero and Rangel, 2013;

Hutcherson et al., 2012; Kober and Mell, 2015; Metereau and Dreher, 2015; Todd A.
Hare, Colin F. Camerer, 2007) Within these ROIs, we used GLM1 to search for
significant differences between regulatory instructions. The results revealed no
significant differences across conditions within these ROIs. To illustrate this, we
extracted beta parameters from these ROIs in the three different conditions and plotted
them (Figure 5).

278

279 Neural representations of individual odor ranking

To test for brain regions involved in the individual ranking preferences of food 280 281 categories, we used GLM3 (see methods) classifying food categories for each participant from the most preferred to the least preferred food category, based on 282 mean bid, regardless of condition. Re-ranking each odor from the least preferred odor 283 (R2) to the most preferred odor (R5) for each individual subject, we then tested for 284 individual processing of food categories using linear combination: R2; R3; R4 and R5, 285 286 and then used a regression test R2<R3<R4<R5 at the group level to identify brain regions encoding individual ranking preferences of food during the odor presentation. 287 This analysis revealed that the right amygdala (x,y,z: 24, 2, -20; t =4.76), and bilateral 288 occipital cortices (x,y,z: -24, -96, -12 / 22, -93, -9) correlated robustly and positively 289 with food ranking preferences at the time of odor presentation (Figure 6, Table 3, 290 p<0.05 FWE whole brain peak cluster corrected). The left amygdala was also found to 291 be engaged in this correlation at a lower threshold (p<0.005, uncorrected). 292

To test for any effect of conditions-by-preferences interaction we used a flexible factorial design including regressors denoting individual ranking preferences per condition during odor presentation. No significant BOLD response was observed in

any brain areas supporting individual ranking preferences (Table 3, p<0.05 FWE
whole brain cluster corrected).

298

299 Functional connectivity analysis

To test for changing functional connectivity according to regulation conditions 300 between brain regions engaged in cognitive regulation (i.e. dIPFC and dmPFC 301 302 identified with the GLM1) and a core component of the valuation network, i.e. the vmPFC, we performed a generalized PsychoPhysiological Interaction (gPPI) using the 303 304 CONN toolbox. A gPPI model allows us to explore the physiological response (HRF convolved BOLD signal) in one region of the brain in terms of the context-dependent 305 response of another region. This effectively provides a measure of task-modulated 306 307 connectivity between two or more regions. Here, we conducted two ROI-to-ROI gPPI analysis using the CONN Toolbox (www.nitrc.org/projects/conn, RRID:SCR 009550). 308 The first analysis was performed to investigate the functional connectivity between the 309 dmPFC and the vmPFC during the Indulge compared to the Natural conditions, taking 310 the dmPFC as a seed region (gPPI-1). The second analysis investigated the functional 311 connectivity between the bilateral superior PFC, the dIPFC and the vmPFC during the 312 Distance compared to the Natural conditions (gPPI-2). 313

The results revealed no significant differences in functional connectivity between the cognitive regulation brain regions identified using the GLM1 and the vmPFC during the perception of the combined olfacto-visual stimuli during the Indulge regulation compare to the Natural condition (Table 4). They neither revealed significant differences in functional connectivity between the right dIPFC or bilateral superior PFC and the vmPFC during the Distance condition compared to the Natural condition (peak

- voxel-level at p < 0.001, corrected at the cluster-level using a family-wise error rate
- 321 (FDR) of p < 0.05 two-sided) (Table 4).

323 **Discussion**

One important aspect of this study was to investigate, in food deprived 324 participants, the neural mechanisms engaged in cognitive regulation of food stimuli 325 presented in the visual and olfactory domains. Both willingness to pay for food and 326 327 sniffing parameters were modulated by regulatory conditions, indicating reliable regulatory mechanisms at the behavioral and physiological levels. At the brain system 328 level, increased dorsomedial PFC activity occurred during up-regulation of appetite 329 330 (Figure 3A), whereas a brain network, including the bilateral IPFC, the right dIPFC and the ACC was more engaged during down-regulation of appetite as compared to the 331 Natural condition (Figure 4). Activity from to the valuation system, including the vmPFC 332 and bilateral striatum correlated with increasing WTP assigned to the food, but was 333 not modulated by appetite regulatory instructions, confirming that engagement of this 334 335 brain system is relatively automatic (Figure 5). Finally, the amygdala response positively correlated with the individual preference for the specific food presented, and 336 this 'odor-specific preference' response was also resilient to neuroregulatory 337 338 instructions. Together, these results demonstrate the existence of separate brain systems responding or not to appetite regulation when subjects are hungry. 339

Our findings provide novel insights into the neurobiological mechanisms 340 involved in the cognitive regulation of ecological bimodal food cues. First, cognitive 341 regulation modulated the duration (Figure 2D) and the amplitude (Figure 2E) of the 342 first inspiration, showing that physiological parameters of the olfactory system are 343 under modulation of cognitive regulation. Our results extend early findings on cognitive 344 regulatory mechanisms, and highlight the fact that human sniffing is influenced by 345 internal states, such as motivation or homeostatic state. For example, hunger 346 increases sniff duration compared to satiety, even when sniffing clean air (Prescott et 347

al., 2010). Cognitive regulation also modulated parameters of the entire sniffing period 348 (Figures 2H, 2G). These results suggest that cognitive regulation can control sniff 349 parameters and modulate behavior. This is consistent with the fact that breathing 350 phase modulates discrimination of fearful faces, as fearful faces were recognized more 351 quickly during expiration compared to inspiration (Zelano et al., 2016). Together, these 352 inhalation results suggest that subjects have meta-cognition about the impact of odors 353 354 in their self-control, and that they try to influence their regulatory abilities by accessing this mechanism. This is an important finding because much remains to be learnt about 355 356 the channels through which individuals exercise dietary control. Finally, participants' WTP for food was higher in the Indulge condition, as compared to the Natural 357 condition, whereas they bid less under the Distance condition (Figure 2A). These 358 findings extend previous results restricted to the visual modality to multimodal food 359 stimuli (Boswell et al., 2018; Hutcherson et al., 2012) and further show that humans 360 are able to regulate their WTP when the food is presented in both olfactory and visual 361 modalities. 362

The increased engagement of the dorsomedial PFC (dmPFC) observed with 363 up-regulation of appetite towards food stimuli is consistent with the fact that this brain 364 region shows a specific heightened response to food when subjects are hungry 365 (Anderson et al., 2006; Giannopoulou et al., 2018; LaBar et al., 2001) (Figure 3A). This 366 367 finding supports the idea that this brain region modulates motivation towards food. These results suggest that during up-regulation, activity within the dmPFC increases 368 together with a concurrent increase in appetite towards food stimuli. In obese 369 populations, a meta-analysis revealed higher activity of the dmPFC when viewing food 370 pictures (Brooks et al., 2013) while patients suffering from Binge Eating Disorders rate 371 food stimuli as significantly less desirable than healthy controls (Uher et al., 2004). 372

Conversely, the dIPFC, bilateral superior PFC and the ACC were more active 373 when participants down-regulated their appetite towards food odor/image stimuli 374 (Figure 4). The dIPFC is known to be engaged in regulation of appetite for visually 375 presented food stimuli (Hutcherson et al., 2012a; Kober et al., 2010; Lewis and Bates, 376 2014, Tusche and Hutcherson, 2018) and the ACC plays a critical role in response 377 inhibition and in the selection of appropriate behavior to resolve situations such as 378 379 action suppression (Cole and Schneider, 2007; Simmonds et al., 2008). Moreover, the bilateral superior PFC has been associated with cognitive strategies to suppress the 380 desire for food stimuli (Siep et al., 2012b). Engagement of a brain network including 381 the dIPFC has been reported in emotional down-regulation of odors (Billot et al., 2017). 382 Moreover, regulation-related neural activation patterns in a right dIPFC area has been 383 shown to reliably predict how well participants decrease taste weights attribute in food 384 choices (Tusche et al., 2018). Together, these findings are consistent with the 385 hypothesis that cognitive regulation of appetite and emotional regulation may share 386 common neural substrates because increased dIPFC response has been observed 387 during down-regulation of negative emotion, and decreased dIPFC activity has been 388 found during down-regulation of positive emotion (Ochsner and Gross, 2005). 389

When investigating the brain systems engaged in the valuation of food items in 390 response to ecological cues in the absence of cognitive regulation (Natural condition), 391 392 we observed engagement of the valuation brain system, consisting of the vmPFC and the bilateral striatum (Figure 5). These brain regions have previously been shown to 393 be key for valuation of food items presented visually (Clithero and Rangel, 2013). We 394 extend these previous results to multimodal situations in which food is experienced in 395 the visual and olfactory modalities. Such vmPFC engagement in the valuation of a 396 food item is much closer to experiencing real food (combining vision, smell and taste). 397

This brain region has also been observed during anticipation of salient food (liquid) reinforcers that are really delivered inside the scanner (Metereau and Dreher, 2015; O'Doherty et al., 2002). Thus, vision and smell play a key role in constructing a unified and co-occurring percept defined as flavor when anticipating and experiencing food items.

It should be noted that none of the brain valuation regions were modulated by 403 404 cognitive regulation. In fact, distinct brain regions were engaged during the Indulge and Distance conditions. This confirms that valuation is relatively automatic, as 405 previously suggested (Lebreton et al., 2009) and that cognitive regulation of food odor 406 and image does not modulate the valuation system itself. A number of previous studies 407 using similar paradigms but only with food presented in the visual domain (Hare et al., 408 409 2009, 2011; Hutcherson et al., 2012; Krishna, 2012), have reported that regulation involves the modulation of value signals in the vmPFC, as well as interaction with 410 regions like the dIPFC. To investigate whether this was the case in the current dataset, 411 we performed a functional connectivity analysis between the regions engaged in 412 cognitive regulation i and the vmPFC. Using ROI-to-ROI gPPI analysis, we 413 investigated changes in connectivity pattern between the dmPFC and the vmPFC 414 during the Indulge as compared to the Natural conditions. We also investigated 415 changes in connectivity patterns between the right dIPFC, the bilateral superior IPFC 416 417 and the vmPFC during the Distance as compared to the Natural conditions. These analyses failed to reveal that cognitive regulation is exerted by changed in functional 418 connectivity between the dmPFC and the vmPFC during the Indulge vs Natural 419 420 conditions. No functional change in connectivity was observed between the dIPFC or bilateral superior IPFC and vmPFC for the Distance vs Natural conditions either (see 421 results section and Table 4). Although these findings may be surprising at first stake, 422

a number of previous failures to observe changes in modulation of the vmPFC during 423 cognitive regulation of decision making suggest an alternative hypothesis (Hollmann 424 et al., 2012; Yokum and Stice, 2013; Tusche et al., 2018). It is possible that in our 425 study, as in these previous studies, cognitive regulation alters value representations 426 at a relatively low level, by amplifying or diminishing attribute representations directly 427 in a distributed set of specific, dedicated attribute-coding areas. Consistent with this 428 429 possibility, a recent study observed that cognitive regulation did not operate at higher levels in centralized, domain-general value integration area such as the vmPFC 430 431 (Tusche and Hutcherson, 2018). Instead, cognitive regulation of decision-making altered value representations at a relatively low level, representing food attributes in a 432 dIPFC region. Further work will be needed to understand the respective roles of the 433 vmPFC and dIPFC, as well as their interactions during cognitive regulation of food 434 stimuli presented in multimodal domains. 435

Investigation of the brain regions encoding individual odor preferences, based 436 on rank ordering of the foods presented, showed that activity of the amygdala robustly 437 increased as a function of increasing odor preference (Figure 6). The cognitive 438 regulation conditions did not modulate this amygdala response, and there was also no 439 interaction between regulatory instruction and odor ranking at the behavioral level. 440 This amygdala response may thus correspond to a relatively automatic route that 441 442 integrates information about potential outcome value and action-outcome association to guide choice behavior (Balleine and Killcross, 2006; O'Doherty, 2004; Saez et al., 443 2017; Seymour and Dolan, 2008). Consistent with this interpretation, a previous study 444 showed that the amygdala encodes subjective valence of odors (Jin et al., 2015). 445

Finally, correlational analysis revealed a positive relationship between blood levels of ghrelin and BOLD response in the dmPFC that up-regulated the subjective

value of food items in the Indulge condition (Figure 3B). Individuals with higher blood 448 levels of ghrelin were better at exercising up-regulation as they showed higher up 449 regulatory success when comparing bids from the Indulge vs Natural conditions 450 451 (Figure 3C). Thus, the relationship between dmPFC activity and ghrelin levels may be a neurobiological marker for up-regulation success. Ghrelin regulates food intake 452 (Date et al., 2001; Müller et al., 2015) and levels of ghrelin positively correlate with 453 454 increased hunger and with increasing activity in large brain networks involved in the regulation of feeding and in the appetitive response to food cues (Batterham et al., 455 2007; Goldstone et al., 2004; Jones et al., 2012; Wei et al., 2015; Zanchi et al., 2017). 456 All these studies showed increased neural response to food pictures in regions of the 457 brain engaged in encoding the automatic incentive value of food cues, but did not 458 459 investigate how ghrelin modulates brain regions engaged with up-regulation of food cues, as in the current study. Ghrelin may act on the brain through several 460 mechanisms, including ghrelin receptors in the gut relaying information via the vagus 461 nerve (Date, 2013), the hypothalamus regulating feeding behavior and the 462 dopaminergic system (Abizaid et al., 2006; Perello et al, 2012). Our results suggest 463 that the dmPFC plays a crucial role in the relationship between ghrelin and up-464 regulation of feeding behavior. 465

Together, our results demonstrate that in the context of hunger, up- and downregulation of appetite towards realistic food stimuli presented in the olfactory and visual domains are mediated by distinct brain networks. The medial prefrontal cortex is engaged in up-regulation whereas the lateral prefrontal cortex is engaged in downregulation. Our findings also provide new insights to the relationship between higherlevel brain regions engaged in up-regulating food consumption and ghrelin.

472

473 Materials and Methods

474 **Participants**

Twenty five healthy volunteers (12 females, 13 males; age range 18-33 years; 475 and mean age (M) $22.45 \pm (SEM) 3.88$) were recruited through a mailing list from the 476 University of Claude Bernard Lyon 1. All participants had a normal Body Mass Index 477 (BMI) (mean (M) 21.74 ± (SEM) 0.36). For inclusion in the study, participants were 478 required to follow the following criteria: french-speaking, right-handed, no current 479 480 medical treatment, no history of neurological or psychiatric disorders and no auditory, olfactory or visual deficits. Furthermore, volunteers were screened for general MRI 481 contra-indications. A physician conducted medical examinations concerning inclusion 482 criteria such as physical and psychological health. Participants gave their written 483 consent and received monetary compensation for the completion of the study. This 484 study was approved by the Medical Ethics Committee (CPP Sud-Est III, ID RCD: 2014-485 A011661-46). 486

487

488 Stimuli and delivery

Olfactory stimuli (apricot, pineapple, dark chocolate, milk chocolate, all 489 EURACLI products, Chasse-sur-Rhone, France; Respective concentration vol/vol: 490 75%, 25%, 75%, 75%) and corresponding visual stimuli (depicting desserts; 8 different 491 images per odor type (Figure 1B) were presented using a device adapted for fMRI 492 olfactory/visual experiments and described in details in Sezille et al., (Sezille et al., 493 2013). Airflow control, odor concentration and stimulus duration, as well as collection 494 of participants' responses were all managed by the system, which is composed of a 495 series of modules: 1/ an airflow source, 2/ a diffusion module controlling odorant 496 duration and concentration through regulation of airflow, 3/ a mixing head used to (i) 497

mix non-odorized air from the first module with a specific odorant (controlled by the
second module) and (ii) send the diluted odor to the nose, 4/ a software enabling
presentation of verbal material (instructions) and visual stimuli, 5/ a response box to
provide subjective ratings.

To ensure synchronization between fMRI measures and odor diffusion, 502 olfactory stimuli were diffused at the beginning of each nasal inspiration. To this end, 503 504 the respiratory signal was acquired using an airflow sensor that was integrated with an amplifier interface. A microbridge mass airflow (AWM2100V, Honeywell, MN, USA) 505 506 allowed acquisition of both inhalation and exhalation phases. The airflow sensor was connected to a nasal cannula (Cardinal Health, OH, USA; 2.8 mm inner diameter tube) 507 positioned in both nostrils. Sniffing was digitally recorded at 100 Hz and stored in the 508 odor diffusion computer. Sniffs were pre-processed by removing baseline offsets, and 509 aligned in time by setting the point when the sniff entered the inspiratory phase as time 510 zero. Inspired volume, max amplitude rate and sniff durations were calculated for the 511 first sniff of every trial. Mean sniffing parameters during the entire odor presentation 512 was also recorded. 513

The whole system was controlled using LabVIEW® software. A multiple function board (National Instruments, TX, USA) was used to acquire all experimental events (olfactory, visual, instructions), signals from the respiratory sensor and the response box, which allowed synchronization with the external system (fMRI scanner).

518

519 Experimental design

Each trial started with a visual instruction indicating the type of trial (i.e., Indulge, Distance or Natural) for 3 s (seconds) (Figure 1A), followed by the diffusion of one of the four categories of odors (apricot, pineapple, dark chocolate or milk chocolate) for

 3.2 ± 0.8 s, and synchronized with the respiration of the participants. Afterwards, a 523 visual image corresponding to the odor was presented for 2 s (e.g. a visual picture of 524 a pineapple pie following the smell of pineapple) (Figure 1B). Finally, the participants 525 had 5 s to select the price they were willing to pay for the presented food. Participants 526 were able to choose one price from the five depicted on the screen (i.e. $\in 0.50$, $\in 1.00$, 527 € 1.50 €2.00 or € 2.50); consistent with auction rules described by Becker-DeGroot-528 529 Marschack (BDM) (M. Becker, Morris H. DeGroot, 1964; Plassmann et al., 2007). Following participant's response, a fixation cross was presented for $5.4 \pm 0.6s$. 530

531 Before the "Modulatory instruction and bidding task" began, participants received specific modulatory instructions for each trial type. For the Indulge condition, 532 participants were asked to smell the odor and to keep looking at the presented food 533 image while adopting thoughts that would increase their desire to eat the presented 534 food immediately. For the Distance condition, participants were asked to smell the 535 odor and keep looking at the presented food image while adopting thoughts that would 536 decrease their desire to eat the presented food immediately. For the Natural condition, 537 participants were asked to smell the odor and keep looking at the presented food 538 image while allowing any thoughts and feelings that came naturally in that moment. 539

Before scanning, participants were asked to rate how hungry they felt on a 540 continuous scale ranging from 0= "not hungry at all", 50 = "moderately hungry", to 100= 541 542 "never been so hungry". They also rated the quantity of food they were able to eat, based on a similar continuous scale range from 0, "I cannot eat anything", to 100, "I 543 could eat anything". This allowed us to check the subjective hunger level of each 544 participant. The fMRI task consisted of 120 trials divided into four sessions. Each 545 session included 30 trials, 24 trials divided into the three trial types (i.e. 8 trials for each 546 condition) and six resting trials called Air-clean (i.e. in which no instruction, no odor 547

and no image were presented). Each session comprised a fixed order of presentationof 30 trials. The four sessions were presented randomly to participants.

550 After scanning, blood samples were drawn by a nurse to latter assess ghrelin 551 and Leptin levels.

552

553 **fMRI data acquisition**

All MRI acquisitions were performed on a 3 Tesla scanner using EPI BOLD 554 sequences and T1 sequences at high resolution. Scans were performed on a Siemens 555 Magnetom Prisma scanner HealthCare, CERMEP Bron (single-shot EPI, TR / TE = 556 2500/21, flip angle 80°, 45 axial slices interlaced 2 mm thickness 2 mm gap, FOV = 557 232 mm and 116 die). A total of 1120 volumes were collected over four sessions during 558 the experiment, in an interleaved ascending manner. The first acquisition was done 559 560 after stabilization of the signal. Whole-brain high-resolution T1-weighted structural scans (1 x 1 x 1 mm) were acquired for each subject, co-registered with their mean 561 EPI images and averaged across subjects to permit anatomical localization of 562 functional activations at the group level. Field map scans were acquired to obtain 563 magnetization values that were used to correct for field inhomogeneity. 564

565

566 fMRI data preprocessing

Image analysis was performed using SPM12 (Wellcome Department of Imaging 567 Neuroscience, Institute of Neurology, London, UK, 568 fil.ion.ucl.ac.uk/spm/software/spm12/). Time-series images were registered in a 3D 569 space to minimize any effect that could result from participant head-motion. Once 570 DICOMs were imported, functional scans were realigned to the first volume, corrected 571 for slice timing and unwarped to correct for geometric distortions. Inhomogeneous 572

distortions-related correction maps were created using the phase of non-EPI gradient
echo images measured at two echo times (5.40 ms for the first echo and 7.86 ms for
the second). Finally, in order to perform group and individual comparisons, they were
co-registered with structural maps and spatially normalized into the standard Montreal
Neurological Institute (MNI) atlas space. Following this, images were spatially
smoothed with an 8 mm isotropic full-width at half-maximum (FWHM) Gaussian kernel
using standard procedures in SPM12.

580

581 fMRI data analysis and imaging statistics

582

To address the questions raised in the introduction, that is whether: (1) cognitive 583 regulation modulates both sniffing and bidding behavior; 2) a common valuation 584 system is involved in the valuation of odor/image stimuli; 3) there are distinct brain 585 regions (especially prefrontal regions: dmPFC vs dlPFC) supporting up- and down-586 regulation), we estimated three general linear models (GLMs). Each GLM was 587 estimated in three steps. First, we estimated the model separately for each individual. 588 Second, we calculated contrast statistics at the individual level. Third, we computed 589 second-level statistics by carrying out various statistical tests on the single-subject 590 contrast coefficients. 591

592 Statistical analyses were performed using a conventional two-level random-593 effects approach with SPM12. All GLMs included the six motion parameters estimated 594 from the realignment step. Statistical inference was performed at a standard threshold 595 of p < 0.05, family-wise error (FWE) cluster-level corrected for multiple comparisons, 596 with an initial cluster-forming threshold of p < 0.001 and an extent k = 40 voxels.

597

598 Analysis of cognitive regulation during odor/image presentation

To determine the brain regions involved in cognitive regulation during the 599 odor/image presentation, we used GLM1. This GLM1 consisted of 9 regressors of 600 interest. Regressors R1-R3 modeled brain response related to the instructions 601 according to the condition, respectively Natural (R1), Indulge (R2) and Distance (R3). 602 R1-R3 were modeled as a boxcar function time-locked to the onset of the instruction 603 with duration of 3 s. R4 to R6 denoted regressors during food stimuli delivery in Natural 604 605 (R4), Indulge (R5) and Distance (R6) trials and were modeled as a boxcar function beginning at odor presentation and during the entire period of food odor/image 606 presentation (mean 8.4 ± 1.6s). Finally, R7 to R9 modeled brain response related to 607 the rating in the three regulatory instructions Natural (R7), Indulge (R8) and Distance 608 (R9). R7-R9 were modeled as a boxcar function time-locked to the onset of the rating 609 610 (willingness to pay) period with duration of response times (RTs: 1.8 ± 0.5 s). Missed trials were modeled as a separate regressor over the duration of the entire trial. Finally 611 Air-clean trials were modeled separately using three distinct regressors. R10, denoting 612 the instructions period from the Air-clean trials and modeled as a boxcar function time 613 locked at the beginning of the instructions and during 3 seconds. R11, that denoted 614 the stimulus period from the Air-clean trials, starting from the beginning of stimulus 615 (even if the stimulus is a blank odor followed by a dark screen) and during 8 seconds. 616 And R12, that denoted the rating period from the Air-clean trials and modeled as a 617 618 stick function (because participants didn't have to indicate their willingness to pay). The model also included motion parameters and session constants as regressors of 619 no interest. To test for cognitive regulation, we computed the following contrasts: 620 [Indulge (R5) > Natural (R4)] (Figure 3; *Table 1*), [Distance (R6) > Natural (R4)] 621 (Figure. 4; Table 1) at the single level and then used a one-sample t-test at the group 622 level on the single-subject contrast coefficients estimated. We also computed the 623

opposite contrasts at the first level [Natural (R4) > Indulge (R5)] and [Natural (R4) > Distance (R6)] and then similarly used a one-sample t-test at the group level on the single-subject contrast coefficients.

627

628 Analysis of value computation in the Natural context

We used GLM2 in order to investigate brain regions involved in the computation 629 630 of value while experiencing food odor and image stimuli. We investigated the brain regions reflecting such value computation by searching for brain areas in which the 631 632 BOLD response correlated with bids during the Natural trials. GLM2 had one regressor of interest R1, consisting of the values of participants' bids in the Natural trials. The 633 hemodynamic response of this categorical function was convolved with a boxcar 634 beginning at the time of the first odor inspiration and terminating at the bid response 635 (average duration of $10,2 \pm 1.75$ s). The instruction period was regressed using a 636 boxcar function, starting from the beginning of instructions with a duration of 3s. GLM2 637 also includes regressors denoting others conditions stimuli (i.e. Indulge and Distance). 638 This regressor consisted of a boxcar function starting from the beginning of inspiration 639 and lasting until the end of rating (average duration of 10.2 ± 1.78 s). The model also 640 included motion parameters and session constants as regressors of no interest. 641 Finally, Air-clean and missed trials were modeled separately with a duration lasting for 642 643 the entire trial. In order to reveal brain areas involved in the computation of value, contrasts on the bid parametric modulator on Natural trials were computed. Then, a 644 one-sample t-test was performed at the group level on single-subject contrast 645 coefficients (Figure 5; Table 2). 646

647 We had strong *a priori* interest concerning the vmPFC and the ventral striatum 648 because previous studies revealed that these regions perform value computation

(Hare et al., 2009; Hutcherson et al., 2012; Kober and Mell, 2015; Metereau and 649 Dreher, 2015). Region of Interest (ROI) analysis was thus conducted in a vmPFC ROI 650 defined as an 8-mm diameter sphere, centered at x,y,z = -2, 40, 2, based on a previous 651 meta-analysis study showing that this region is involved in the processing of food value 652 presented visually (Clithero and Rangel, 2013), leading to a vmPFC ROI of 573 voxels. 653 Based on this same study, we also defined two ventral striatum ROIs (left VSTR, 654 655 defined as an 8-mm diameter sphere, centered at x,y,z = -8, 8, -6; right VSTR defined as an 8-mm diameter sphere, centered at x,y,z = 10, 14, -4, both including 573 voxels. 656 657 All ROI were defined using WFU_PickAtlas (http://fmri.wfubmc.edu/software/PickAtlas). After ROIs creation, they were coregister 658 on the functional images in order to keep voxel size. 659

660

661 Analysis of odor preferences encoding

To test for brain regions involved in the subjective preferences of food 662 categories during odor perception, we defined a last GLM, designated GLM3. First of 663 all, because there is no interaction between food category bidding behavior and 664 regulatory conditions, we classified food stimuli categories (i.e. apricot, pineapple, milk 665 chocolate, dark chocolate) from the least preferred to the most preferred, based on 666 the mean bid regardless of the condition for all subjects. This allowed us to classify 667 food categories for twenty-one participants. We were not able to classify food 668 categories for 3 participants because of equal average WTP for at least 2 food 669 categories. This GLM3 is composed of one regressor R1 denoting the instructions 670 period, consisting of a boxcar function starting at the beginning of a trial and lasting 3 671 s. GLM3 also included 4 regressors of interest R2-R5 denoting the least preferred food 672 odor categories (R2), the second less preferred food odor categories (R3), the second 673

most preferred food odor categories (R4) and the most preferred food odor categories 674 (R5). The hemodynamic response was convolved with a boxcar function beginning at 675 the first inspiration at the odor presentation and terminating at the end of the odor 676 presentation(duration 3.2 ± 0.8s). GLM3 also includes regressors denoting food 677 categories presented visually and ranked similarly to the odor (R6 to R9). The 678 hemodynamic response was convolved with a boxcar function beginning at the picture 679 presentation and lasting for 2 seconds duration. Additionally, the rating period was 680 modeled with a boxcar function starting from the beginning of the rating period and 681 682 during the entire rating period (1.8 ± 0.61) . The model also included motion parameters and session constants as regressors of no interest. To test for brain regions 683 processing individual ranking preferences of food odor categories, we computed the 684 following linear combination: R2, R3, R4 and R5 at the individual level. Then, we used 685 a regression test R2<R3<R4<R5 at the group level analysis to identify brain regions 686 encoding individual ranking preferences of odors (Figure 6; Table 3). 687

688

689 Functional connectivity between valuation and regulatory regions

A classical study reported that the dIPFC indirectly modulates the vmPFC via 690 the iFG to exert self-control during food choices (Hare et al., 2011). However, a 691 number of subsequent studies did not observe modulation of the vmPFC during 692 693 cognitive regulation by regulatory regions (Hollmann et al., 2012; Yokum and Stice, 2013; Tusche et al., 2018). Here, we tested whether brain regions involved in the 694 valuation of food cues are modulated by regulatory instructions during stimuli 695 696 perception. To do so, we conducted two ROI-to-ROI generalized Psychophysiological Interaction (gPPI), between regulatory regions (i.e. dmPFC for the Indulge condition 697 and bilateral superior IPFC and right dIPFC for the Distance condition) and valuation 698

regions (vmPFC and bilateral striatum) using the CONN Toolbox 699 (www.nitrc.org/projects/conn, RRID:SCR 009550). This allowed us to explore the 700 changes in connectivity patterns between the regulation and valuation regions 701 according to the regulatory instructions and so, to determine if valuation regions were 702 differentially connected to regulation regions according to the regulatory goals 703 demand. To perform this analysis, preprocessed functional images obtained from SPM 704 705 as well as the design matrix coming from the GLM1 were loaded into the CONN toolbox. The CONN toolbox implemented the anatomical component-based noise 706 707 correction method (Behzadi, 2008), extracting principal components related to the segmented CSF and white matter. This approach has been shown to increase the 708 validity, sensitivity and specificity of functional connectivity analyses (Chai et al., 709 710 2012). Therefore, white matter and CSF noise components as well as motion parameters (six dimensions with first temporal derivatives resulting in twelve 711 parameters) were regressed out during the denoising step. To control for simple 712 condition-related activation effects, we also included the main task effects (related to 713 GLM1 regressors) as confound regressors during the denoising step. 714

Two gPPI analyses were performed to investigate, in one hand, the difference 715 in functional connectivity between the vmPFC and dmPFC identified with the contrast 716 [Indulge (R5) > Natural (R4)] and, on another hand, the difference in functional 717 718 connectivity between the vmPFC and the regulation regions identified in the contrast [Distance (R6) > Natural (R4)] with the GLM1. Concerning the Indulge regulation 719 condition, we defined an 8 mm sphere ROI centered on the peak activity (x,y,z:-720 721 4,58,21) from the one-sample t-test [Indulge (R5) > Natural (R4)] contrast. For the valuation region, we used the ROI of the vmPFC used for the GLM2 and entered it as 722 target for the first gPPI ROI-to-ROI analysis gPPI-1 (Table 4). 723

For the Distance regulation condition, three others 8-mm diameter sphere ROIs were constructed from the clusters identified in the one-sample t-test [Distance (R6) > Natural (R4)] contrast, centered on the peak activity from the right IPFC, left IPFC and dIPFC (respectively x,y,z: 20,46,30; -24,52,22 and 44,16,46). Here again, the vmPFC ROI from the GLM2 was used as target in this second gPPI ROI-to-ROI analysis gPPI-2 (Table 4).

To account for false positives in multiple comparisons, results were thresholded at the peak voxel-level at p < 0.001, and then corrected at the cluster-level using a family-wise error rate (FDR) of p < 0.05 two-sided.

733

734 Behavioral analysis

735

Due to excessive head motion, one participant was removed from the fMRI 736 analyses (resulting in n=24 for fMRI analysis). Another participant had to be excluded 737 738 from the leptin/ghrelin Pearson correlation analysis because the hormonal assessment data from this participant was missing (resulting in n=23 for this analysis). 739 All statistical analyses were performed using SPSS v21.0 (SPSS Inc., Chicago, 740 741 IL, USA). Normal distribution was assessed with a Shapiro-Wilk test. If data distribution was not normal, we performed a Friedman test, otherwise a repeated measure 742 ANOVA was conducted. Then, we ensured that homoscedasticity of variances were 743 respected using a Mauchly test. If not, we applied a Greenhouse-Geisser correction 744 to our ANOVA. For multiple comparisons, *post-hoc* (with Bonferroni correction) 745 746 comparison was conducted according to the previous test used.

747

748 Author Contributions

Conceptualization, J.C.D., M. B.; Methodology, J.C.D, R. J., M. F.; Investigation, M.F.,

A. F, M.B.; Resources, J.C.D and M.B.; Writing – Original draft, R.J.; M. B. and J.C.D.;

751 Writing - Review & Editing, R.J; E.D. and J.C.D.; Funding Acquisition, J.C.D.;

752 Supervision, J.C.D.

753



Figure 1: Experimental Design. (A) Schematic overview of one trial of the task. The 756 task was composed of four steps. First, hungry participants were given instructions 757 (Indulge, Natural or Distance) to regulate their craving towards food items. Second, 758 they smelled one out of four odor categories (Apricot, Pineapple, Milk Chocolate or 759 Dark Chocolate). Third, a picture of a food item associated to the odor was displayed 760 (8 food pictures per odor category). Finally, participants were asked to rate how much 761 they wanted to pay to get the food on a 5 points rating scale (from 0.5€ to 2.5€ with 762 increment steps of 0.5€). (B) Overview of the food items presented. On each trial, a 763 combination of one odor and one congruent picture (from the same food category) 764 was presented. 765



767

Figure 2: Behavioral and physiological influence of cognitive regulation. (A) 768 Average bid across conditions of cognitive regulation. Bids decreased in the Distance 769 condition compared to Natural, while bids increased in the Indulge condition. (B) 770 Average bids across conditions and odor categories. Participants were less willing to 771 pay for pineapple as compared to milk chocolate and dark chocolate. (C) Average 772 Reaction Times. A decrease in RTs was observed in the Indulge compared to the 773 Natural and Distance conditions. (D) Average duration of the first sniff. The duration 774 775 of the first sniff was shorter in the Distance condition. (E) Average amplitude of the 776 first sniff. The average amplitude of the first sniff was greater in the Indulge condition compared to the Distance condition. (F) Mean number of sniffs during odor 777 presentation. Higher number of sniffs in the Natural compared to Air-Clean trials. (G) 778 Average amplitude of sniffs across the entire period of sniffing. Amplitude was greater 779 in the Indulge condition compared to Natural and Air-Clean conditions. (H) Average 780 volume of sniffs across the entire period of sniffing. Volume was greater in the Indulge 781 condition compared to Natural, Distance and Air-Clean conditions. Error bars show 782 SEM. *** means p<0.001 ** means p<0.01 and * means p<0.05. 783 784



785 786

Figure 3: Up-regulation of appetite increases dmPFC activity and inter-individual 787 differences linking up-regulation success and ghrelin levels. (A) Up-regulation 788 during the Indulge condition increased activity in the dorsomedial PFC (x,y,z: -4, 58, 789 21), at a voxel-wise threshold of p<0.001 and cluster size > 40, corresponding to a 790 whole brain FWE cluster corrected threshold of p<0.05. Error bars show SEM. (B) 791 Positive correlation between blood level of ghrelin and parameter estimates from the 792 Indulge and Natural conditions. Correlation between beta in the Natural condition and 793 794 ghrelin level r=0.469 (p=0.024) and between beta from the Indulge condition and 795 ghrelin level r=0.511 (p=0.013). (C) Positive correlation between up-regulation success and ghrelin level (r=0.373, p<0.05). Participants showing higher levels of 796 ghrelin were also those showing the higher up regulatory success, as they were willing 797 798 to pay even more during the Indulge condition.



800 801

Figure 4: Down-regulation during the Distance condition increases activity of the bilateral superior PFC and the right dIPFC. From top to bottom: Left superior lateral PFC (x y z: -24 52 22) and right superior lateral PFC (x,y,z: 20, 46, 30); right dIPFC (x y z: 44 16 46) and ACC/pre-SMA (x,y,z: 3, 8, 62). Graphs indicate beta values extracted from clusters of activity. All activations are reported at a voxel-wise threshold of p<0.001 and cluster size > 40, which corresponded to a whole brain FWE cluster corrected threshold of p<0.05. Error bars show SEM.



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Figure 5: vmPFC and bilateral striatum correlate with bids in the Natural condition. The vmPFC (x,y,z: 6, 42, 3) and bilateral striatum (x,y,z: -6, 14, -4 and 6, 18, -2) correlate with the willingness to pay during the Natural condition with no differential effect of the regulation strategies on these regressions. All activations are reported at a whole brain FWE peak corrected threshold of p<0.05. Here the activation map is presented at p<0.001 uncorrected for display. Beta extracted within these regions come from GLM 2. Error bars show SEM.

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Individuals' odor ranking preferences (bid rank ordered)

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Figure 6: Amygdala activity correlates with individual food category ranking during odor presentation. *Left.* Right amygdala activity increased with higher individual ranking (x,y,z: 26, -2, -18). Activations are reported at a whole brain FWE peak corrected threshold of p<0.05. Similar correlation was observed in the left amygdala at a lower threshold (x,y,z: -21, 5, -18; p<0.005 uncorrected). Here the activation map is presented at p<0.005 unc for display purpose only. *Right.* Beta extracted in right the amygdala for each food category. Error bars show SEM.

	MNI peak cluster coordinates				
Effect of cognitive regulation during	x	у	z	k	Z score
food perception					
Indulge > Natural					
Right Superior Medial frontal **	-4	58	21	1505	4.31
Left Superior Medial frontal **	-32	38	12	317	4.01
pre-SMA **	8	16	54	271	3.78
Anterior Cingulate	0	32	-3	75	3.64
Left Superior Medial frontal	-16	45	45	131	3.59
ndulge < Natural					
No brain region					
Distance > Natural					
Left Superior Lateral frontal **	-24	52	22	6343	4.97
Dorsolateral Prefrontal cortex **	44	16	46	561	4.27
Right Anuglar **	56	-54	27	722	4.22
Left Angular **	-46	-60	39	781	4.13

Table 1. BOLD changes induced by cognitive regulation

Distance < Natural

Right Superior Lateral frontal **

No brain region

** cluster reported at p<0.05 FWE whole brain cluster corrected (initial cluster-forming threshold of p<0.001, uncorrected and minimum extent k = 40)

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46

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1118

4.03

832Table 1: BOLD changes induced by cognitive regulation during processing of food833items. **Clusters are reported at p < 0.05, family-wise error (FWE) cluster-level corrected for834multiple comparisons (with an initial cluster-forming threshold of p < 0.001 and an extent k =83540 voxels).

Table 2. Brain areas correlating parametrically to the bid

	MNI peak cluster coordinates				
	х	У	z	k	Z score
Ventromedial PFC *	6	42	3	1229	3.42
Left Ventral Striatum *	6	18	-2	627	3.19
Right Ventral Striatum *	-6	14	-4	619	3.58
WTP x condition interaction	6	42	3		
No brain region	6	18	-2		

* p<0.05, small-volume corrected within an ROI defined based on literature.

Table 2: Brain areas correlating parametrically to the bid. ROI analyses were performed using a family wise error (FEW) peak cluster corrected for multiple comparisons. *small volume correction within a sphere ROI of 8 mm centered on peak activity from previous literature (Clithero and Rangel, 2013; Metereau and Dreher, 2015).

	MNI peak cluster coordinates				
	x	У	Z	k	Z score
During odor presentation					
Right Amygdala †	24	-2	-20	348	5.56
Right Occipital **	-24	-96	-12	4429	10.26
Left Occipital **	22	-93	-9	3439	8.01
During picture presentation					
Right Occipital **	-36	-57	-15	48576	23.42
Middle Cingulate cortex **	-6	14	45	3686	13.07
Dorsolateral Prefrontal cortex **	38	6	36	2363	7.19
Right Anterior Insula **	32	30	2	782	6.07
Left Anterior Insula †	-30	26	-4	562	5.92
Right Inferior Parietal cortex †	3	-30	-6	486	4.73
Food preferences x condition					
interaction (odor)					
No brain region					
Food preferences x condition					
interaction (picture)					
No brain region					
During odor presentation					

Table 3. Brain regions correlating to preferences for foods ranked at the individual level

** cluster reported at p<0.05 FWE whole brain cluster corrected (initial cluster-forming threshold of p<0.001, uncorrected and minimum extent k = 40) † peak activity reported at p<0.05 FWE whole brain peak cluster corrected initial cluster-

forming threshold of (p<0.001, uncorrected and minimum extent k = 40)

Table 3: Brain regions correlating to food ranking preferences for each participant.

**Cluster are reported at p < 0.05, family-wise error (FWE) cluster-level corrected for multiple comparisons (with an initial cluster-forming threshold of p < 0.001 and an extent k = 40

voxels). † Cluster are reported at p < 0.05, family-wise error (FWE) peak-level corrected for

847 multiple comparisons (with an initial cluster-forming threshold of p < 0.001 and an extent k = 40 voxels).

	Sood	Target ROIs	p-val	p-val	t-value
	Seeu		unc.	FDR	
gPPI - 1	dmDEC		0.04	0.06	0.2
[Indulge>Natural]	ampro	VIIIPEC	0.04	0.96	-0.2
gPPI - 2	Left IPFC	vmPFC	0.83	0.83	-0,21
[Distance>Natural]	Right IPFC	vmPFC	0.71	0.99	-0.38
	dRight dIPFC	vmPFC	0.83	0.83	-0.21

Table 4. Results from the ROI-to-ROI gPPI functional connectivity analyses during stimuli perception.

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Table 4: Results from the ROI-to-ROI gPPI functional connectivity analysis. No significant functional connectivity was identified between regulatory regions and the vmPFC. Initial voxel-

level at p < 0.005 for cluster formation, and then corrected at the cluster-level FDR<0.05.

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