

Climate affects neighbour-induced changes in leaf chemical defences and tree diversity-herbivory relationships

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1 Climate affects neighbour-induced changes in leaf chemical defences and tree

2 diversity-herbivory relationships

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42 Abstract

Associational resistance theory predicts that insect herbivory decreases with increasing
 tree diversity in forest ecosystems. However, the generality of this effect and its underlying
 mechanisms are still debated, particularly since evidence has accumulated that climate
 may influence the direction and strength of the relationship between diversity and
 herbivory.

2. We quantified insect leaf herbivory and leaf chemical defences (phenolic compounds) of
silver birch (*Betula pendula*) in pure and mixed plots with different tree species
composition across twelve tree diversity experiments in different climates. We investigated
whether the effects of neighbouring tree species diversity on insect herbivory in birch, *i.e.*associational effects, were dependent on the climatic context, and whether neighbourinduced changes in birch chemical defences were involved in associational resistance to
insect herbivory.

- 3. We showed that herbivory on birch decreased with tree species richness (i.e. associational
 resistance) in colder environments but that this relationship faded as mean annual
 temperature increased.
- 4. Birch leaf chemical defences increased with tree species richness but decreased with the
 phylogenetic distinctiveness of birch from its neighbours, particularly in warmer and more
 humid environments.
- 5. Herbivory was negatively correlated with leaf chemical defences, particularly when birch
 was associated with closely related species. The interactive effect of tree diversity and
 climate on herbivory was partially mediated by changes in leaf chemical defences.
- 64 6. Our findings demonstrate the complexity and context dependency of patterns and 65 mechanisms underlying associational resistance to insect herbivory in mixed forests.

66 Keywords

Associational effects, *Betula pendula*, evolutionary distinctiveness, leaf phenolics, mixed
 forests, phylogenetic distinctiveness, plant-insect interactions, TreeDivNet

69 Introduction

70 The influence of plant species diversity on plant-herbivore interactions is an old but still topical 71 question for ecologists (Castagneyrol, Kozlov, Poeydebat, Toïgo, & Jactel, 2019; Haddad et al., 72 2009; Root, 1973; Russell, 1989; Siemann, Tilman, Haarstad, & Ritchie, 1998). Ecological studies have demonstrated that increased plant species diversity generally leads to lower 73 74 amount of damage caused by insect herbivores including in forests (Castagneyrol, Jactel, Vacher, Brockerhoff & Koricheva, 2014; Iverson et al., 2014; Jactel & Brockerhoff, 2007; Jactel, 75 76 Moreira, & Castagneyrol, in press; Letourneau et al., 2011), a phenomenon known as associational resistance (Barbosa et al., 2009). But several other studies have also reported no 77 78 change (Cardinale et al., 2011; Haase et al., 2015) or even increased insect herbivory when mixing tree species, *i.e.* associational susceptibility (Castagneyrol, Jactel, & Moreira, 2018; 79 80 Loranger et al., 2014; Schuldt et al., 2010). These inconsistent findings demonstrate the need 81 for improved understanding of ecological processes underlying tree diversity effects on insect 82 herbivory in forests.

Plant diversity can reduce insect herbivory through host density-dependent or host trait-83 dependent processes. First, several studies established that plant diversity not only dilutes the 84 85 amount of resources available to a given herbivore (the resource concentration hypothesis, 86 Hambäck, Inouye, Andersson, & Underwood, 2014; Root, 1973), but also disrupt host-finding processes through reduced host chemical and visual apparency (Barbosa et al., 2009; 87 Castagneyrol, Giffard, Péré, & Jactel, 2013; Feeny, 1976; Jactel, Birgersson, Andersson, & 88 Schlyter, 2011; Zhang & Schlyter, 2004). Second, plant diversity effects on insect herbivory can 89 be indirectly driven by changes in plant chemical defences (i.e. indirect trait-mediated effects). 90 91 Several reviews have shown that tree diversity can promote plant productivity (Zhang, Chen, & Reich, 2012; Liang et al., 2016; Jactel et al., 2018), which in turn could result in reduced 92 93 production of leaf chemical defences because of a trade-off between growth and defences 94 (Coley, Bryant, & Chapin, 1985; Herms & Mattson, 1992; Endara & Coley, 2011). Consistently, increased tree diversity was found to be associated with lower concentrations of leaf chemical 95 defences, including polyphenols, tannins, glycosids and alkaloids (Castagneyrol et al., 2018; 96 97 Muiruri et al., 2019; Rosado-Sánchez, Parra-Tabla, Betancur-Ancona, Moreira, & Abdala-Roberts, 2018; Walter et al., 2012; but see Moreira, Abdala-Roberts, Parra-Tabla, & Mooney, 98 99 2014).

100 Assuming that tree species traits involved in interactions with herbivores or in resource 101 acquisition are phylogenetically conserved, greater tree phylogenetic diversity should amplify 102 trait dissimilarity between species (Srivastava, Cadotte, MacDonald, Marushia, & Mirotchnick, 2012) and hence, the magnitude of associational resistance mechanisms including the effect 103 104 of tree diversity on defences. In particular, greater plant functional diversity is expected to i) extend resource concentration effects to herbivore species with wider diet breadth 105 106 (Castagneyrol et al., 2014), ii) foster host-finding disruption due to the greater complexity of the visual and chemical environments (the semiochemicals diversity hypothesis, Zhang & 107 108 Schlyter, 2004), and iii) increase resource use complementarity between plant species resulting in even higher growth and lower defence levels. Consistently, the degree of 109 phylogenetic or functional dissimilarity between focal plant species and their heterospecific 110 neighbours was found to affect associational effects on herbivory, with greater impacts than 111 112 plant species richness per se in most cases (Castagneyrol et al., 2014; Dinnage, 2013; Jactel & Brockerhoff, 2007; Ness, Rollinson, & Whitney, 2011; Schuldt et al., 2014; Yguel et al., 2011). 113

114 The effects of climate on herbivore activity, abundance and diversity, as well as on plant growth 115 and the production of plant anti-herbivore defences, including leaf phenolics, are well 116 documented (Rodríguez-Castañeda, 2013; Jactel et al., 2019a; Kozlov, Lanta, Zverev, & Zvereva, 117 2015; Moreira et al., 2018a). It has been shown that increased temperature may result in higher developmental rate and lower body mass of herbivorous insects (Pineau et al., 2017), 118 as well as in higher rate of consumption by herbivores due to higher metabolic losses 119 (Bauerfriend & Fischer, 2013). Most studies to date have reported an increase of herbivore 120 121 diversity and damage towards lower latitudes and elevations, which is generally interpreted as a positive effect of temperature and precipitation on herbivory (Kozlov et al., 2015; Moreira, 122 123 Abdala-Roberts, Parra-Tabla, & Mooney, 2015; Wang et al., 2016; but see Moles, Bonser, 124 Poore, Wallis, & Foley, 2011; Anstett, Chen, & Johnson, 2016; Loughnan & Williams, 2018). Besides, there is growing evidence that climate alters the chemistry of plants including trees 125 and, hence, their nutritional quality for herbivores. For example, leaf nutritional quality may 126 be reduced under drought conditions due to reduced leaf water and nitrogen contents, as well 127 as increased leaf content in defensive phenolic compounds including flavonoids and tannins 128 (Jactel et al., 2012; Moreira et al., 2018a; Mundim & Pringle, 2018 but see Gutbrodt, Dorn, & 129 Mody, 2012; Walter et al., 2012). Plant nutritional quality is also expected to vary with 130

temperature, with studies reporting a decrease of tree leaf phenolic content with increasing
temperature (Kuokkanen, Julkunen-Tiitto, Keinänen, Niemelä, & Tahvanainen, 2001; Moreira
et al., 2018a; Veteli, Kuokkanen, Julkunen-Tiitto, Roininen, & Tahvanainen, 2002).

134 The climate-dependency of associational effects has lately emerged as plausible explanation for the observed variability in diversity-herbivory relationships. A recent study suggested that 135 136 the effects of tree species diversity on ecosystem functioning, including tree-insect interactions, might be modulated by climatic conditions (Ratcliffe et al., 2017). Furthermore, 137 previous latitudinal and altitudinal studies have demonstrated that the strength and even the 138 139 direction of plant-herbivore interactions can change with climate (Abdala-Roberts, Moreira, 140 Rasmann, Parra-Tabla, & Mooney, 2016; Rodríguez-Castañeda, 2013; Roslin et al., 2017; 141 Schemske, Mittlebach, Cornell, Sobel, & Roy, 2009). To date, climatic factors have been largely 142 overlooked in studies on relationships between plant diversity and insect herbivory both at local and large scales (but see Castagneyrol et al., 2018; Jactel, Koricheva, & Castagneyrol, 143 2019a; Jactel, Poeydebat, van Halder, & Castagneyrol, 2019b; Kambach, Kühn, Castagneyrol, & 144 145 Bruelheidee, 2016; Walter et al., 2012).

146 Using a unique network of tree diversity experiments ranging from temperate to boreal 147 biomes (TreeDivNet; Paquette et al., 2018), we quantified insect leaf herbivory and leaf 148 chemical defences (phenolic compounds) in silver birch (Betula pendula) in plots with different tree species composition across twelve locations with different climates. First, we addressed 149 150 the effects of tree species diversity on insect herbivory and leaf chemical defences in silver 151 birch, asking which of tree species richness, birch phylogenetic distinctiveness or their 152 combination best explained both response variables. We hypothesized that tree diversity was 153 associated with lower herbivory (associational resistance) and lower chemical defence levels 154 (due to higher complementarity and growth-defence trade-off), and that these effects were 155 stronger when considering phylogenetic distinctiveness of the focal species instead of species richness, because phylogenetic diversity accounts for niche differentiation. Second, we tested 156 whether diversity-herbivory and diversity-defences relationships depended on climate. Since 157 158 there is no consensus in the literature to date, we had no particular directional hypothesis 159 regarding the influence of climate. Finally, we tested whether climate and diversity effects on 160 insect herbivory were mediated by changes in leaf chemical defences. Our study is one of the first to investigate defence-mediated associational effects on insect herbivory in relation with 161

the climatic context. We aimed at building toward a more comprehensive understanding ofthe interactive effects of tree species diversity and climate on forest resistance to insect pests.

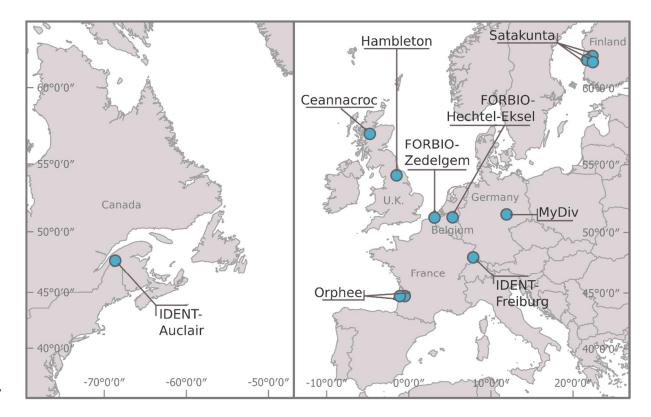
164 Material and Methods

165 Natural history

The silver birch (*Betula pendula* Roth, Betulaceae) is a deciduous tree native to most of Europe
(Beck, Caudullo, de Rigo, & Tinner, 2016) that tolerates an extremely wide range of climatic
and edaphic conditions. In its native range, silver birch supports a large community of insect
herbivores, especially lepidopteran and hymenopteran (i.e. sawflies) leaf chewers and miners
(Atkinson, 1992; Beck et al., 2016; Zúbrik, Kunca, & Csóka, 2013).

171 Plot and tree selection in TreeDivNet experiments

172 TreeDivNet consists of 27 long-term tree diversity experiments specifically designed to investigate the effects of tree species diversity on forest functioning (Grossman et al., 2018; 173 Paquette et al., 2018; Verheyen et al., 2016). Because the experiments are globally distributed, 174 175 TreeDivNet is particularly well suited to explore how tree diversity effects on herbivory vary with climate. We collected data from twelve sites belonging to six tree diversity experiments 176 177 where silver birch was present (Fig. 1; Supporting Information Table S1). These sites encompassed temperate and boreal biomes of the northern hemisphere and spanned over 17 178 decimal degrees in latitude, covering about half of the latitudinal span of silver birch (Beck et 179 al., 2016). At each site, we selected silver birch monoculture plots and mixed species plots 180 181 where silver birch was present. Tree species richness in those mixtures ranged from two to six 182 species (including silver birch) and included broadleaved or coniferous species, or a mix of 183 both. The species composition of mixture plots varied among sites. At certain sites, species 184 composition types were replicated in two to three blocks. We randomly selected three to five birch trees in the core area of each experimental plot (*i.e.* avoiding border trees to limit edge 185 effects). The final dataset was derived from 564 trees planted in 157 plots. 186





188 Figure 1. Map of the TreeDivNet experimental sites included in the study.

189 Leaf collection and damage assessment

Fifty leaves per birch tree were haphazardly sampled in mid-July 2017 (2014 for the three 190 191 Finnish sites). We assessed insect leaf herbivory as the overall percentage of leaf area removed by three common feeding guilds of insect herbivores: chewers, miners and 192 skeletonizers. We assigned each leaf to one of seven classes of damage: (A) 0 % of leaf area 193 removed, (B) 1 to 5%, (C) 6 to 15%, (D) 16 to 25%, (E) 26 to 50%, (F) 51 to 75%, and (G) 76 to 194 195 100%. To reduce unconscious bias in insect herbivory assessment, we split leaves from each tree into two equal pools that were separately processed by two independent observers 196 197 unaware of leaf origin. Then, we aggregated insect herbivory estimates at the tree level by averaging the median values of damage class of all leaves. In the case of the three Finnish sites 198 199 (Satakunta areas 1, 2 and 3), the methodology differed slightly but was still consistent (see Muiruri et al., 2019). On average, insect herbivores damaged 3.91% (± 2.60%) of leaf area 200 201 (damages ranged from 0.36 to 13.03% of leaf area; Supporting Information Table S2). We are 202 confident that we did not underestimate herbivory by overlooking missing leaves since leaves 203 did not start falling at the sampling time. The observed levels of insect herbivory were low and comparable with those observed in other studies on silver birch (e.g. Castagneyrol et al., 2018;
Kozlov et al., 2015; Muiruri, Milligan, Morath, & Koricheva, 2015; Muiruri et al., 2019).

206 Phylogenetic isolation

207 Given that tree species diversity effects on herbivores can be affected by phylogenetic 208 dissimilarity between the tree species in the mixture, we used phylogenetic information to account for differences in tree species composition of mixed stands across the experiments 209 (Srivastava et al., 2012). Many metrics have been developed to characterize phylogenetic 210 diversity of a pool of species (Miller, Farine, & Trisos, 2017). Here, we were primarily 211 212 interested in the phylogenetic contrast between B. pendula and associated species in the 213 mixtures. We therefore used evolutionary distinctiveness (ED), that is a species-specific metric 214 described by Redding and Mooers (2006), to estimate phylogenetic isolation of silver birch from other tree species present in each plot. 215

We used the phylomatic function from the brranching package in R (with tree R20120829; 216 Chamberlain, 2018) to obtain an overall phylogenetic tree comprising the overall pool of tree 217 species (Supporting Information Fig. S1). Node ages down to family level were derived from 218 219 Magallón, Gómez-Acevedo, Sánchez-Reyes and Hernández-Hernández (2015). Genus node ages were approximated by dividing the length of the edge from the family node to the tip by 220 221 two. The same was subsequently done for species nodes considering edge length from the 222 genus node to the tip. For each plot, we pruned the overall phylogenetic tree to obtain a subtree corresponding to the pool of tree species present in the plot. Then, birch ED was 223 calculated using the evol.distinct function (equal splits method; Redding & Mooers, 2006; 224 225 Redding et al., 2008) from the picante package (Kembel et al., 2010) in R, as follows:

$$BirchED_{(T)} = 0.5^n \sum_{e \in s(T,R)}^R L_e$$

226

where T is the phylogenetic tree for the pool of tree species present in the plot, *R* the root of
the phylogenetic tree, *e* an edge along the path from the root of the tree to the *B. pendula* tip, *L* the length of the edge *e* and *n* the number of nodes between the edge *e* and the *B. pendula*tip. Low and high ED values correspond to low and high phylogenetic isolation, respectively.
Birch ED was positively correlated with species richness (see Supporting Information Fig. S2)

and ranged from 12.15 million years in birch monocultures (which corresponds to the length
of the edge from *B. pendula-Betula papyrifera* bifurcation to *B. pendula* tip) to 324.40 million
years in mixed plots where birch was associated with coniferous species only.

235 Climate data

236 We extracted mean annual temperature and total annual rainfall averaged over the 1979-2013 period (hereafter referred to as "temperature" and "rainfall" respectively) for each study 237 site using the Climatologies at High resolution for the Earth's Land Surface Areas dataset 238 (CHELSA; Karger et al., 2017; Supporting Information Fig. S3a). In the ORPHEE experiment, half 239 240 of the plots were located in irrigated blocks sprinkled with 3 mm of water per night from May 241 to October. An annual surplus of 552 mm was thus added to the rainfall amount obtained from the CHELSA database in these plots. To account for this additional irrigation treatment, 242 data collected in the ORPHEE experiment were considered as data from two distinct sites 243 (irrigated vs non-irrigated). Overall, our network of tree diversity experiments covered a 17° 244 latitudinal gradient and encompassed 10°C of variation in the mean annual temperature and 245 246 964 mm of variation in the annual rainfall.

247 Leaf phenolics

248 Leaf phenolics have been reported to confer resistance against insect herbivores in several tree species including birch (Forkner, Marquis, & Lill, 2004; Lahtinen et al., 2004; Moreira, 249 Galmán, Francisco, Castagneyrol, & Abdala-Roberts, 2018b; Riipi et al., 2005) and therefore 250 251 represent a suitable proxy for assessing leaf chemical defences (or leaf nutritional quality to 252 herbivores). We quantified the concentration of phenolic compounds on a subsample of five birch leaves - with little (<5%) or no damage - per tree, following a procedure based on ultra-253 254 high performance liquid chromatography (as in Moreira et al., 2018b; Visakorpi, Riutta, Martinez-Bauer, Salminen, & Gripenberg, 2019). Following drying (at 45°C during 72 hours) 255 256 and grinding of leaves, we extracted phenolic compounds from 20 mg of powdered dry leaf tissue with 1 mL of 70% methanol in an ultrasonic bath for 15 min, followed by centrifugation 257 258 (Moreira et al., 2014). We then transferred the extracts to chromatographic vials. Ultra-High-259 Performance Liquid-Chromatograph (UHPLC Nexera LC-30AD; Shimadzu) equipped with a 260 Nexera SIL-30AC injector and one SPD-M20A UV/VIS photodiode array detector was used to 261 perform the chromatographic analyses. The compound separation was carried out on a

Kinetex[™] 2.6 µm C18 82-102 Å, LC Column 100 × 4.6 mm, protected with a C18 guard cartridge. 262 The flow rate was 0.4 mL min⁻¹ and the oven temperature was set at 25°C. The mobile phase 263 consisted of two solvents: water-formic acid (0.05%) (A) and acetonitrile-formic acid (0.05%) 264 (B), starting with 5% B and using a gradient to obtain 30% B at 4 min, 60% B at 10 min, 80% B 265 266 at 13 min and 100 % B at 15 min. The injection volume was 3 µL. We identified four groups of phenolic compounds: flavonoids, ellagitannins and gallic acid derivates (hydrolysable tannins), 267 268 proanthocyanidins (condensed tannins) and hydroxycinnamic acid (precursors to lignins). We quantified flavonoids as rutin equivalents, condensed tannins as catechin equivalents, 269 270 hydrolysable tannins as gallic acid equivalents, and precursors to lignins as ferulic acid equivalents (Moreira et al., 2018a, b). Quantification of these phenolic compounds was 271 achieved by external calibration using calibration curves at 0.25, 0.5, 1, 2, and 5 µg mL⁻¹. Total 272 phenolic concentration was equal to 334.57 ± 107.48 mg g⁻¹ of leaf dry matter on average and 273 ranged from 13.51 to 775.08 mg g⁻¹ (see Table S3 in Supporting Information for more details). 274

275 Statistical analyses

First, we used linear mixed models (LMM) to test for the effects of tree species richness and 276 birch phylogenetic distinctiveness on insect herbivory and leaf phenolic concentration (two 277 278 normally distributed response variables). To test whether tree species richness, phylogenetic 279 distinctiveness of birch or the combination of both best predicted the response variables, we built three models for each response variable with either (i) tree species richness, (ii) birch 280 evolutionary distinctiveness (ED) or (iii) tree species richness and birch ED (main effects plus 281 282 interaction) as predictors. We calculated the Akaike Information Criterion corrected for small 283 sample size (AICc) of each model to identify the best model – with the lowest AICc - for a given response variable (Burnham & Anderson, 2002; Johnson & Omland, 2004). If the AICc 284 285 difference between two models was less than two, they were considered equally likely. The 286 best herbivory and phenolic models were used in the subsequent analyses.

Second, we used LMMs to test for the effects of climate on insect herbivory and leaf phenolic concentration. For each response variable, we used the full version of the best model(s) (with the lowest AICc) from the first step to which we added temperature and rainfall main effects, as well as all two- and three-ways interactions. 291 Third, we tested whether the variability in insect herbivory was accounted for by phenolic 292 concentration. Specifically, we included leaf phenolic concentration as a covariate in the full 293 model(s) from the second step. Two-ways interactions involving leaf phenolic concentration and either temperature, rainfall or tree diversity were also included in the model(s) to test for 294 295 interactive effects. By comparing results of insect herbivory models without (second step) vs with leaf phenolics (third step), we tested whether the effects of tree diversity and climate on 296 297 insect herbivory were mediated by changes in leaf chemical defences. That would be the case if a significant effect of tree species diversity and/or climate on insect herbivory became non-298 299 significant after including leaf phenolic concentration as a covariate.

In the last two steps, full models were simplified following a backward selection approach, which consisted of sequentially dropping the terms with the lowest impact on model fit, starting with the highest order interactions. Model simplification was done by using loglikelihood tests based on a χ^2 distribution with significance threshold set at $\alpha = 0.05$.

In all models, we accounted for the hierarchical structure of data by using Plot nested within 304 305 Block, nested within Site, as a random factor (i.e., 1|Site/Block/Plot in R syntax). By doing so, we also accounted for any variance explained by uncontrolled parameters (e.g., experimental 306 307 design, soil properties). In all models, predictors were scaled and centred, which made it 308 possible to compare the magnitude of the effects even when interaction terms were significant (Schielzeth, 2010). Collinearity among all predictors was found to be weak enough 309 to limit inflation of the variance of estimated model parameters (variation inflation factors 310 311 [VIFs] less than two). Model parameters were estimated by restricted likelihood estimation 312 and the significance (α = 0.05) of the regression coefficients was tested with Student t-tests 313 and Satterthwaite's approximation for degrees of freedom. We evaluated model fit by calculating the percentage of variance explained by fixed (R^2_m) and by fixed plus random 314 315 effects (R²_c) (Nakagawa & Schielzeth, 2013). All analyses were conducted in R (version 3.5.1; R Core Development Team, 2013) with the following packages: ImerTest (Kuznetsova, 316 Brockhoff, & Christensen, 2017), car (Fox & Weisberg, 2018), and MuMIn (Barton, 2018). 317

Concentrations of all types of phenolic compounds were positively correlated with each other (Supporting Information Fig. S4), which made it inappropriate to use all phenolic types as predictors of insect herbivory in the same model (inflation of the variance of estimated model parameters). Concentrations of all types of phenolic compounds and concentrations of total phenolics co-varied with climate and diversity predictors, *i.e.* direction of effects were consistent across phenolic types (Table 1; Supporting Information Table S4). Based on this, we choose to present results for total phenolic concentration only.

325 **Results**

326 Tree species diversity effects on insect herbivory and leaf phenolics

We found no significant effect of species richness or birch evolutionary distinctiveness (ED) *per se* on insect herbivory, and no interactive effect of the two diversity metrics either (Table 1a). The herbivory model with species richness and the herbivory model with birch ED had the lowest AICc values, not differing by more than two units (Table 1a). These two models were thus used in the subsequent analyses while the herbivory model with both diversity metrics, which had a higher AICc value (Table 1a), was eliminated.

333 We found a significant negative effect of birch ED on leaf phenolic such that birch leaves were less defended when birches were more phylogenetically distinct from their neighbours (Table 334 1b). In contrast, we found a significant and positive effect of tree species richness on leaf 335 phenolic concentration. The phenolics model with birch ED only had a lower AICc value than 336 the model with species richness only (Table 1b), but both of these models had higher AICc 337 338 values than the model with both diversity variables included (Table 1b). Hence, the best phenolics model that was used in subsequent analyses was the model with both species 339 340 richness and birch ED.

341 Effects of climate and tree diversity on insect herbivory

We found that insect herbivory on birch leaves significantly increased with increasing temperature (Fig. 2a; Table 2a) both in the model with tree species richness and in the model with birch ED. Rainfall had no significant effect on insect herbivory.

The effect of tree species richness on insect herbivory was contingent upon temperature (significant species richness × temperature interaction; Table 2a). In particular, insect herbivory decreased with increasing tree species richness at low temperatures but was not affected by tree species richness at higher temperatures (Fig. 2b). In the final simplified model, tree species richness and temperature collectively explained 22% of the variability in insect herbivory ($R^2m = 0.22$; $R^2c = 0.59$). By contrast, there was no significant effect of the interaction between birch ED and temperature or rainfall (Table 2a). Independent effects of birch ED and temperature collectively explained 21% of the variability in insect herbivory (R^2_m = 0.21; R^2_c = 0.59).

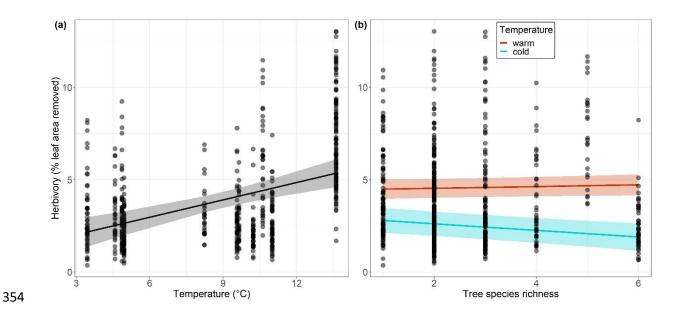
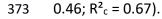


Figure 2. Relationships (a) between insect herbivory on silver birch leaves and mean annual temperature and (b) between insect herbivory and tree species richness for two contrasted temperature levels. The figure shows observed data (points) as well as model predictions (solid lines) and standard errors (shaded areas). In panel (a), species richness was set at a median value to compute predictions. "Warm" and "cold" temperature levels corresponded to 0.25 and 0.75 quartiles of the observed temperature range, respectively.

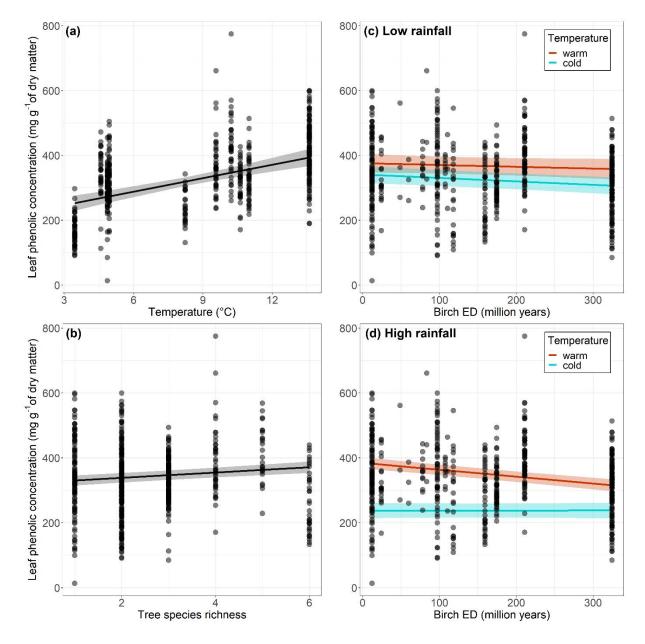
361 Effects of climate and tree diversity on leaf phenolic concentration

Leaf phenolic concentration significantly increased with increasing temperature and tended 362 363 to decrease with increasing rainfall but not significantly (Table 2b; Fig 3a). In addition, leaf phenolic concentration significantly increased with species richness (Table 2b; Fig. 3b) 364 365 regardless of the climate (no significant interactions with rainfall or temperature). In contrast, the effect of birch ED on leaf phenolic concentration was contingent upon temperature and 366 rainfall conditions (significant birch ED × temperature × rainfall interaction; Table 2b). 367 Specifically, leaf phenolic concentration decreased with increasing birch phylogenetic 368 369 distinctiveness independently of the temperature at low rainfall level (Fig. 3c), but decreased 370 more markedly with increasing phylogenetic distinctiveness of birch in warm conditions only at high rainfall level (Fig. 3d). In the final simplified model, climate and tree species diversity 371

372 collectively explained 46% of the variability in phenolic concentration of birch leaves (R^2_m =



374



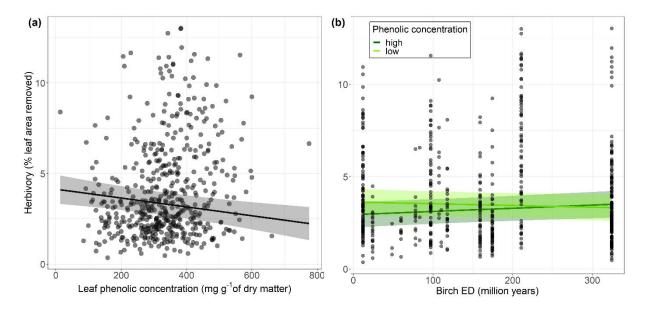
375 Figure 3. Leaf phenolic concentration in birch leaves as a function of (a) temperature, (b) tree species richness and as a function of birch evolutionary distinctiveness (ED) for two contrasted levels of 376 377 temperature under two contrasted levels of rainfall (c and d). The figure shows observed data (points) as well as model predictions (solid lines) and standard errors (shaded areas). "Warm" and "cold" 378 temperature levels corresponded to 0.25 and 0.75 quartiles of the observed temperature range, 379 respectively. "Low" and "high" rainfall levels corresponded to 0.25 and 0.75 quartiles of the observed 380 rainfall range, respectively. The predictors that were not involved in the relationships shown were set at 381 382 median values to compute predictions.

383 Indirect trait-mediated effects of tree diversity and climate on herbivory

When we included leaf phenolic concentration as a covariate in the herbivory models – with either species richness or birch ED - we found that insect herbivory decreased with increasing leaf phenolic concentration in both cases (Table 3; Fig. 4a). In the two models, the positive effect of temperature on insect herbivory remained significant (Table 3), which indicated that the effect of temperature on insect herbivory was not mediated by leaf phenolics.

In the herbivory model with species richness, we found that the effect of species richness – that was contingent upon temperature – became non-significant after including leaf phenolic concentration as a covariate (Table 3), indicating that the effect of tree species richness on insect herbivory was mediated by leaf phenolics. Temperature and leaf phenolic concentration collectively explained 24% of the variability in insect herbivory ($R^2_m = 0.24$; R^2_c = 0.58).

In the herbivory model with birch ED, we found that birch ED effect on insect herbivory was contingent upon phenolic concentration in birch leaves (significant birch ED x phenolic concentration interaction, Table 3). In particular, when birch leaves had low phenolic concentration, insect herbivory decreased with increasing birch ED, while when birch leaves had high phenolic concentration insect herbivory increased with increasing birch ED (Fig. 4b). Temperature, birch ED and leaf phenolic concentration collectively explained 25% of the variability in insect herbivory ($R^2_m = 0.25$; $R^2_c = 0.59$).



402

403 Figure 4. Relationship (a) between insect herbivory and leaf phenolic concentration and (b) between 404 insect herbivory and birch evolutionary distinctiveness (ED) for different levels of leaf phenolic 405 concentration. The figure shows observed data (points) as well as model predictions (solid lines) and 406 standard errors (shaded areas). "Low" and "high" phenolic concentration levels corresponded to 0.25 407 and 0.75 quartiles of the observed phenolic concentration range, respectively. The predictors that were 408 not involved in the relationships shown were set at median values to compute predictions.

409 **Discussion**

With this study, we showed that the effect of tree species diversity on insect herbivory on silver birch leaves, *i.e.* associational effects, were climate-dependent and, in particular, varied with temperature. Our findings also showed that tree species diversity modified chemical defence levels in birch leaves and further suggested that such changes in leaf chemistry induced by heterospecific neighbours were partly climate-dependent. Finally, we found that associational effects were mediated by changes in defences under certain climatic conditions. Below we discuss mechanisms underlying the observed patterns.

417 Effects of tree diversity on insect herbivory are climate dependent

We found no significant effects of either tree species richness or birch phylogenetic 418 distinctiveness per se on background levels of insect herbivory on birch. In fact, we found 419 420 evidence that tree diversity effects on herbivory were dependent on climate. This result could partly explain the variable effects of tree diversity on herbivory previously reported in the 421 422 literature (Brezzi, Schmid, Niklaus, & Schuldt, 2017; Castagneyrol et al., 2014; Jactel et al., in press; Kambach et al., 2016; Ness et al., 2011; Ratcliffe et al., 2017; Schuldt et al., 2010, 2014, 423 424 2015; Vehviläinen, Koricheva, & Ruohomäki, 2007; Wein et al., 2016) including in studies 425 focusing on birch trees (Castagneyrol et al., 2018; Haase et al., 2015; Muiruri et al., 2015, 2019; Setiawan, Vanhellemont, Baeten, Dillen, & Verheyen, 2014). Specifically, we provided 426 427 evidence for changes in associational effects along the mean annual temperature gradient: associational resistance of birch to insect herbivory occurred in cold conditions whereas no 428 429 associational effects could be detected in warm conditions. The mitigation of associational resistance with increasing temperature could be due to the higher proportion of generalist (vs 430 specialist) herbivore species in warmer regions (Forister et al., 2015), that are less affected or 431 even benefit from plant diversity (Castagneyrol et al., 2014; Jactel & Brockerhoff, 2007). This 432 433 finding might also be explained by the greater abundance and activity of herbivores in warmer 434 climate that may in turn lower the resistance of mixed plant communities to herbivores. 435 Supporting this view, associational resistance to the bean beetle, *Callosobruchus maculatus*, 436 was found to decrease with the population density of this herbivore, likely because of conspecific avoidance behaviour (Merwin, Underwood, & Inouye, 2017; but see Fernandez-437 Conradi, Jactel, Hampe, Leiva, & Castagneryol, 2017). Higher herbivore density may also 438 increase the probability for a host tree to be located and attacked, simply because 439 440 proportionally more individuals will pass through the net of resistance mechanisms (e.g. resource concentration effect, host-finding disruption or predation by natural enemies), 441 resulting in lower apparent resistance to herbivores. In support of this density-dependence 442 hypothesis, our results showed that background insect herbivory on birch leaves, although 443 444 low, markedly increased with increasing mean annual temperature (Kozlov et al., 2015; Wang et al., 2016). Several mechanisms have been proposed to explain the positive effect of 445 446 temperature on herbivory, including direct effects on herbivores' developmental rate, winter survival and activity and indirect effects through reduced plant nutritional quality inducing 447 compensatory feeding (Bale et al., 2002; Bauerfeind & Fisher, 2013; Garibaldi, Kitzberger, & 448 449 Ruggiero, 2011; Klapwijk, Ayres, Battisti, & Larsson, 2012).

450 We found no effect of rainfall on insect herbivory, neither directly nor through changes in the 451 herbivore response to tree diversity. Yet, drought-induced water stress is known to increase tree susceptibility to defoliators (Carnicer et al., 2011; Jactel et al., 2012), and a previous study 452 453 reported an increase in insect herbivory on birch under drought (Castagneyrol et al., 2018). We could not assess the effect of drought *per se* in the present study, and it is possible that 454 455 annual rainfall does not reflect water availability to trees because of site-specific topology or edaphic conditions. Besides, ectophagous (skeletonizers and chewers) and endophagous 456 457 (miners) herbivores may respond inconsistently to rainfall conditions because they live on the 458 surface vs inside the leaves, which could also explain the absence of an overall response since we pooled the two groups. Therefore, the lack of effect of rainfall on herbivory in the present 459 study should be interpreted with caution and this question should be further explored. 460

461 Levels of leaf defences are shaped by both tree diversity and climate

462 Our results showed that tree diversity modifies leaf chemistry of focal birches – and hence 463 their quality for herbivores. Specifically, the concentration of leaf phenolics increased with 464 increasing tree species richness, but decreased with increasing birch evolutionary 465 distinctiveness, used as a proxy for birch functional distinctiveness in experimental plots 466 (Srivastava et al., 2012). Positive effect of plant species diversity on plant chemical defences 467 have been previously reported in birch (Castagneyrol et al., 2018; Muiruri et al., 2019) and other plant species (Bustos-Segura, Poelman, Reichelt, Gershenzon, & Gols, 2017; Kostenko, 468 469 Mulder, Courbois, & Bezemer, 2017; Moreira et al., 2014; Mraja, Unsicker, Reichelt, Gershenzon, & Roscher, 2011). The underlying mechanisms however are poorly understood 470 471 and the opposing effects of species richness and functional diversity suggest they are complex. On the one hand, defence induction in richer plant community could arise in response to 472 473 greater herbivory (Karban & Baldwin, 1997) due to associational susceptibility. However, herbivore-mediation of species richness effects on defences seems unlikely in our case since 474 475 our results mainly report associational resistance (Fig. 2b) and we found a negative association 476 between herbivory and defence concentration (Fig. 4a). On the other hand, it is plausible that 477 the production of leaf phenolics reflected a trade-off between growth and defences whereby increased allocation to growth in plots with functionally dissimilar species (e.g. through 478 complementarity or facilitation) leads to a concomitant reduction in defence investment 479 480 (Bryant, Chapin, & Klein, 1983; Herms & Mattson, 1992). In this sense, studies have reported 481 that experimental manipulation of resource availability (e.g. nutrients or water) can lead to 482 concomitant and opposite modulations of growth and defence production (Gutbrodt et al., 483 2012; Lange et al., 2019). This process could be particularly strong in birch, a fast-growing, 484 resource-acquisitive species. Consistently, a recent study found that tree species composition 485 affected leaf chemistry in birch, with less defence compounds in phylogenetically more diverse mixtures (Castagneyrol et al., 2018). 486

A meta-analysis by Koricheva, Larsson, Haukioja and Keinänen (1998) supports the view that 487 488 tree diversity primarily affects the local abiotic conditions (specifically nutrient, water or light 489 availability) and that such effects subsequently shape plant secondary chemistry. In particular, 490 studies have demonstrated that crown illumination can affect leaf chemical composition, with shading associated with lower carbohydrate and phenol concentrations in leaves of birch trees 491 (Henriksson et al., 2003) and other species (Larsson, Wirén, Lundgren, & Ericsson, 1986; Mole, 492 493 Ross, & Waterman, 1988). The opposing effects of tree species richness and birch phylogenetic 494 distinctiveness on birch leaf phenolics could both relate to the relative heights or growth rates of the trees present in the plots and the light available to birch trees. Indeed, birch is a fast-495

496 growing, early successional species that is expected to be more shaded in monocultures or in 497 plots where it is present at high density (self-shading), than in mixtures where it is present at 498 lower density and mixed with slow-growing tree species. In our study, species richness increase was correlated with the probability to include broadleaved species growing slower 499 500 than birch trees and with a reduction of birch proportion (Supplementary Materials Figs S1 and S2). Hence, the positive effect of species richness on leaf phenolic concentration in birch 501 502 leaves might be explained by a reduction of shading in species-richer mixtures. On the opposite, the increase of birch phylogenetic distinctiveness was correlated with the 503 504 proportion of fast growing coniferous (vs broadleaved) neighbours such as larches or pines (Supplementary Materials Figs S1 and S2) that were generally taller than birch trees. The 505 506 decrease of leaf phenolic concentration with birch phylogenetic distinctiveness could 507 therefore result from lower light availability in plots where birch is more phylogenetically 508 isolated (mixed with a greater proportion of conifers). However, birches are able to adapt their crown architecture to better compete with their neighbours for light acquisition (Lintunen & 509 Kaitaniemi, 2010), therefore potentially limiting the impact of neighbours on crown 510 511 illumination and leaf chemistry and explaining the relatively low phenolic concentration 512 changes observed along tree diversity gradients.

513 Because our study was not designed to determine the mechanisms underlying neighbourinduced changes in leaf chemical defences, nor did it include tree growth or abiotic factors 514 515 measurements, our lines of arguments are mostly speculative. Few studies have explicitly addressed the implication of growth-defence trade-offs in associational effects and they were 516 517 inconclusive (Moreira et al., 2014; Rosado-Sánchez, Parra-Tabla, Betancur-Ancona, Moreira, & Abdala-Roberts, 2017). Future studies should specifically investigate the role that tree 518 519 relative heights and architectures play in neighbour-induced changes of focal species 520 chemistry.

We found that the concentration of chemical defences increased with temperature, which contrasts with the results of previous studies on oaks and birches in temperate and boreal biomes (Kuokkanen et al., 2001; Moreira et al., 2018a). Although there is ample literature on the variation of plant defences along climatic gradients, there is no consensus on the strength and direction of this relationship (Moles et al., 2011). Interestingly, we showed that climate also affected leaf phenolic concentration indirectly by modulating the tree diversity-defences 527 relationships. Specifically, decrease in chemical defence levels of birch associated with greater 528 tree phylogenetic diversity were stronger in warm and humid conditions. This indicates that 529 climate and tree species composition jointly determined tree investment in chemical 530 defences, likely through growth-defence trade-offs.

531 Do leaf chemical defences mediate effects of climate and diversity on insect herbivory?

We found a negative relationship between leaf phenolic concentration and insect herbivory, supporting the view that these secondary metabolites act as defences against herbivores (in addition to being involved in other physiological processes; Forkner et al., 2004; Harborne & Williams, 2000; Lahtinen et al., 2004).

We found evidence that the effect of temperature on leaf herbivory was independent of the level of chemical defences. However, our results showed that the interactive effects of temperature and tree species richness on insect herbivory were mediated by changes in leaf chemical defence levels. This finding suggests that defence-mediated associational effects on insect herbivory are also climate-dependent. In our case, such effects were only observed in cold climates where chemical defences levels were low and where an increase in defences may have a stronger effect on background insect herbivory levels.

543 We found that the effect of birch phylogenetic distinctiveness on herbivory varied with the levels of chemical defences in birch leaves. Specifically, associational effects shifted from 544 resistance to susceptibility with the increase of leaf phenolics concentration. This finding 545 546 suggests that mechanisms involved in birch associational resistance against herbivores, other 547 than chemical defence, might have been at play (e.g. host-finding disruption, and resource dilution), and that an undetermined factor was simultaneously controlling the concentration 548 549 of leaf chemical defences and interfering with these mechanisms. Forest structure, and more 550 specifically relative heights of tree species, may for instance influence at the same time (i) leaf 551 chemistry of a focal species by affecting crown illumination (Koricheva et al., 1998) and the synthesis of photo-protective flavonoids (Agati & Tattini, 2010) and (ii) the apparency of this 552 553 focal species to herbivores (Castagneyrol et al., 2019; Damien et al., 2016). In addition, 554 nutrient availability may affect growth of trees and the concentration of carbon-based 555 defences in leaves (Bryant et al., 1983; Koricheva et al., 1998). In turn, tree growth, as jointly 556 determined by tree diversity (the relative competitive ability of the species) and nutrient

availability, could affect apparency of the focal species to herbivores, as well as the abundance
and diversity of canopy arthropods (Stone, Gehring, & Whitham, 2010) with consequences for
multitrophic interactions.

560 **Conclusion**

By taking advantage of an international network of tree diversity experiments and a 561 standardized sampling protocol, we addressed the independent and interactive effects of tree 562 563 species diversity and climate on tree-herbivore interactions in temperate and boreal forests. Altogether, our findings show that insect herbivory depends on a complex interplay between 564 565 tree species diversity and climatic conditions, and that diversity effects on insect herbivory are 566 partially mediated by neighbour-induced changes in leaf chemical defences. Our findings also 567 confirm that tree species diversity can modify leaf chemistry of a focal species – and hence its quality for herbivores - but further suggest that such neighbour-induced changes are 568 569 dependent on climate. Nevertheless, our approach remains correlative in essence and the 570 ecological mechanisms underlying such patterns need to be further elucidated. In particular, future studies should be specifically designed to investigate whether diversity and climate 571 interactively shape leaf chemistry of a focal host plant because they jointly influence resource 572 573 availability and their allocation to growth vs defences by trees. Our study also supports the 574 view that the phylogenetic or functional diversity of tree species is complementary to species richness in predicting tree-herbivore relationships, likely because it accounts for additional 575 information relative to niche differentiation and functional dissimilarities between tree 576 species. Finally, our findings suggest that tree diversity effects on herbivory levels should be 577 viewed as a balance between multiple processes arising from different attributes of tree 578 579 diversity (inter-specific variation of different traits). Future research should investigate which 580 traits of tree species drive associational effects on herbivory and address simultaneously 581 multiple underlying mechanisms. For instance, it would be particularly interesting to explore 582 the role of forest structure and tree spatial arrangement in associational effects, as it may be implied in both neighbour-induced changes in chemical defences through effects on individual 583 crown illumination, as well as in focal plant apparency. Importantly, the climatic context in 584 585 which plant-herbivore interactions occur should be accounted for in future studies for a better 586 understanding of the processes at play. By doing so, the study of tree diversity effects on tree 587 resistance to insect herbivores interactions will move toward a more predictive framework.

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597 <u>Author contributions</u>

598 BC and HJ designed the study. HJ, NB, JB, NE, OF, FG, DG, JK, BMa, EM, BMu, CN, AP, QP, MSL, 599 VS, MS, KV and BC collected data. XM and MF performed phenolics analysis. CP computed 600 extra data, extracted climatic data and run the analysis. CP, BC, HJ, XM and JK wrote the first 601 draft. All co-authors contributed substantially to subsequent revisions.

602

603 Data availability

- Data are available from the Data INRAe repository: https://doi.org/10.15454/SHCUXW.
- 605

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Tables

Table 1. Effects of tree species diversity on (a) insect herbivory and (b) leaf phenolic concentration. Comparison of models with species richness, birch
 evolutionary distinctiveness (ED) or both diversity metrics as predictors. Bold predictors have a significant effect. AICc*: best (lowest) AICc.

Predictors	Standardized estimate ± sd	df	t- value	P-value	$R^2_m (R^2_c)$	AICc	Random intercept effects (Variance ± sd)		
							Site	Block:Site	Plot:Block:Site
a) Herbivory									
w/ Sp. richness					0.00 (0.57)	2322.47*	3.10 ± 1.76	0.25 ± 0.50	0.46 ± 0.68
Intercept	3.81 ± 0.53	11.28	7.24	<0.001					
Species richness	-0.03 ± 0.09	143.74	-0.34	0.737					
w/ Birch ED					0.00 (0.57)	2322.57*	3.10 ± 1.76	0.25 ± 0.50	0.46 ± 0.68
Intercept	3.81 ± 0.53	11.28	7.25	<0.001					
Birch ED	0.01 ± 0.09	122.90	0.11	0.916					
w/ Sp. Richness and B	irch ED				0.00 (0.57)	2328.52	3.07 ± 1.75	0.25 ± 0.50	0.45 ± 0.67
Intercept	3.75 ± 0.53	11.42	7.12	<0.001					
Species richness	0.01 ± 0.10	140.37	0.11	0.916					
Birch ED	0.16 ± 0.13	128.18	1.20	0.231					
Sp. richness x Birch									
ED	0.27 ± 0.17	135.56	1.56	0.121					
b) Phenolics									
w/ Sp. richness					0.00 (0.65)	5819.74	5930 ± 77	0 ± 0	1663 ± 41
Intercept	332.29 ± 23.67	10.09	14.04	<0.001					
Species richness	4.35 ± 4.67	146.67	0.93	0.353					
w/ Birch ED					0.02 (0.65)	5810.79	5953 ± 77	0 ± 0	1446 ± 38
Intercept	331.80 ± 23.68	10.09	14.01						
Birch ED	-15.05 ± 4.71	126.40	-3.19	0.002					
w/ Sp. Richness and B	irch ED				0.03 (0.65)	5798.61*	5997 ± 77	0 ± 0	1375 ± 37
Intercept	332.00 ± 23.87	10.26	13.91	<0.001					
Species richness	10.74 ± 4.90	141.50	2.19	0.030					
Birch ED	-19.33 ± 6.87	147.95	-2.82	<0.006					
Sp. richness x Birch									
ED	-0.43 ± 8.98	156.27	-0.05	0.962					

Table 2. Effects of tree diversity, temperature and rainfall on (a) insect herbivory and (b) leaf phenolic

934 concentration. Predictors that were excluded from the final model during simplification are not shown.935 Bold predictors have a significant effect.

Predictors	Standardized estimate ± sd	df	t-value	P-value	$R^2_m (R^2_c)$
a) Herbivory					
w/ Sp. richness					0.22 (0.59
Intercept	3.81 ± 0.43	10.35	8.93	<0.001	·
Species richness	-0.05 ± 0.09	142.59	-0.551	0.582	
Temperature	1.21 ± 0.46	10.26	2.64	0.024	
Sp. richness x Temperature	0.19 ± 0.09	135.27	2.14	0.034	
	Variance ± sd				
Site	1.95 ± 1.40	_			
Block:Site	0.25 ± 0.50				
Plot:(Block:Site)	0.41 ± 0.64				
w/ Birch ED					0.21 (0.59
Intercept	3.82 ± 0.42	10.34	9.05	< 0.001	
Temperature	1.21 ± 0.45	10.24	2.68	0.023	
	Variance ± sd	_			
Site	1.91 ± 1.38				
Block:Site	0.25 ± 0.50				
Plot:(Block:Site)	0.45 ± 0.67				
p) Phenolics w/ Sp. Richness and Birch ED					
Intercept	327.30 ± 13.30	6.89	24.62	< 0.001	
Species richness	11.47 ± 4.39	148.33	2.61	0.010	
Birch ED	-13.37 ± 5.16	140.89	-2.59	0.011	
Temperature	53.06 ± 14.32	6.82	3.71	0.008	
Rainfall	-31.14 ± 13.33	6.83	-2.34	0.053	
Birch ED x Temperature	-8.84 ± 4.89	124.77	-1.81	0.073	
Birch ED x Rainfall	-5.15 ± 4.75	131.52	-1.09	0.280	
Temp. x Rainfall	29.79 ± 12.72	6.72	2.34	0.053	
Birch ED x Temp. x Rainfall	-13.22 ± 4.15	121.02	-3.19	0.002	
	Variance ± sd				
Site	1586.60 ± 39.82	-			
Block:Site	0.00 ± 0.00				
Plot:(Block:Site)	993.60 ± 31.52				

940 Table 3. Effects of leaf phenolic concentration on insect herbivory as a covariate of tree diversity,

941 temperature and rainfall. Predictors that were excluded from the final model during simplification are

942 not shown. Bold predictors have a significant effect.

Predictors	Standardized estimate ± sd	df	t-value	P-value	R ² _m (R ² _c)
Herbivory w/ Sp. Richness					
Intercept	3.96 ± 0.43	9.30	9.20	<0.001	
Temperature	1.41 ± 0.45	9.57	3.15	0.011	
Phenolics	-0.29 ± 0.12	466.99	-2.39	0.017	
	Variance ± sd	_			
Site	1.77 ± 1.33	-			
Block:Site	0.30 ± 0.55				
Plot:(Block:Site)	0.42 ± 0.65				
Herbivory w/ Birch ED					0.25 (0.59
Intercept	3.99 ± 0.44	9.29	9.11	<0.001	
Birch ED	0.02 ± 0.10	111.88	0.22	0.826	
Temperature	1.41 ± 0.46	9.54	3.11	0.012	
Phenolics	-0.25 ± 0.12	476.63	-2.04	0.042	
Birch ED x Phenolics	0.23 ± 0.10	285.52	2.42	0.016	
	Variance ± sd				
Site	1.84 ± 1.36	-			
Block:Site	0.31 ± 0.55				
Plot:(Block:Site)	0.35 ± 0.59				

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