

New insights into the structure and formation of coals, terrestrial and extraterrestrial kerogens from resonant UV Raman spectroscopy

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ABSTRACT

Resonant UV (244 nm-excitation) Raman spectroscopy was used to characterize a series of coals, type II kerogens, Insoluble Organic Matter (IOM) extracted from primitive chondrites 21 and two stratospheric Interplanetary Dust Particles. UV-Raman spectra of immature terrestrial kerogens and coals are distinct from those of extraterrestrial kerogens extracted from type 1 and 2 primitive chondrites, allowing unambiguous identification. The degree of disorder in the refractory carbonaceous matter in the considered IDPs is found to be higher than in primitive chondrites, confirming former interpretation of visible (514 nm-excitation) Raman spectra of IDPs. Spectral and structural features of laboratory analogues of chondritic organic 27 matter formed in cold plasma reactors at 500-700 °C differ from those of natural samples, challenging the hypothesis that chondritic organic matter within the protosolar disk may form through plasma reactions. Finally, UV Raman data shed new light in the structural evolution 30 of coals during maturation and support the presence of an $sp²$ structural transition at vitrinite reflectance Ro ~ 0.4-0.5%. Comparison with former visible Raman and infrared spectroscopic data confirms the existence of this structural transition, which is related to a dramatic drop of oxygenated species (carboxyl, hydroxyl, ketone and ether groups). Oxygen thus plays an indirect but significant role in the control of the Raman spectra of polyaromatic materials. A potential application of this technique is the determination of the biotic versus abiotic origin of kerogens in ancient rocks on Earth, and of soils and rocks on Mars that is otherwise difficult with classical visible-excitation Raman spectroscopy.

1. INTRODUCTION

On Earth, kerogens and coals are formed by the slow thermal degradation of living organisms. Their structure, at least in the first stages of their maturation, retains a memory of the polyaromatic structure and composition of their precursors (Van Krevelen, 1993; Vandenbroucke and Largeau, 2007). Extraterrestrial kerogens recovered from primitive chondrites were formed in local interstellar medium (ISM) or the protosolar disk through abiotic processes. There is no consensus to date on their formation process (Alexander et al., 47 2017). Their elemental composition is close to that of type III terrestrial kerogens, but their structure and composition is different. In particular, their polyaromatic structure is based on highly substituted polyaromatic units (1-4 rings) connected through a ramified aliphatic linkage with short alkyl chains (Cody and Alexander, 2005; Cody et al., 2002; Gardinier et al., 2000; Remusat et al., 2005a, 2005b; Wang et al., 2005), while in type III kerogens and coals the aliphatic linkage is made of longer chains, and overall the structure is less cross-linked (Vandenbroucke and Largeau, 2007).

Unravelling the biogenic versus abiotic origin of kerogens is a key issue in several fields of research. The search of ancient Life on Mars relies on investigations on Martian meteorites that host tiny refractory carbon inclusions, and in-situ analyses of the Martian soil and rocks at the surface or in the close subsurface by space missions (Steele et al., 2016, 2018). The surface of Mars is possibly inseminated by chondritic organic matter, due to meteoritic and micrometeoritic impacts (Quirico and Bonal, 2019). In this context, distinguishing chondritic kerogens from putative kerogens issued from a past Martian Life is a major challenge. A similar issue exists in the interpretation of ancient terrestrial kerogens (> 2 Gyrs), complicated in that case by the effects of severe thermal metamorphism (Bower et al., 2013; Foucher, 2019).

Raman spectroscopy, a versatile technique well suited to characterize solid natural samples, provides insights into the composition and structure of organic materials (Quirico and Bonal, 2019). The combined characterization of minerals and molecular species offers a valuable opportunity to investigate mineral-organic associations at the micrometric scale in metamorphic and sedimentary rocks, soils, sedimentary organic matter, meteorites and cometary dusts. Raman spectroscopy has been widely used in the laboratory for mineral and natural material identification, and is now available as portable instruments allowing field measurements. This includes two Raman instruments implemented aboard the Mars 2020 rover, which will investigate rocks and soils on the Mars planet: SuperCam, whose Raman sub-instrument operates at 532 nm and is designed to analyze targets as far as 14 m; and SHERLOC (Scanning Habitable Environments with Raman and Luminescence for Organics and Chemicals), holding a Raman sub-instrument operating with a UV 248.6 nm excitation at 76 a spatial resolution of 50 μ m (Beegle et al., 2020; Wiens et al., 2016).

Raman spectroscopy has been widely used to characterize the maturation grade of coals and kerogens, complementing the usual ranking method based on reflectance measurements (Jehlička et al., 2003; Kelemen and Fang, 2001; Quirico et al., 2005a; Wopenka and Pasteris, 1993), and to implement carbon thermometers (Beyssac et al., 2002; 81 Lahfid et al., 2010). The structure of carbonaceous matter as determined by Raman spectra is also influenced by other parameters, such as the nature of the precursor, pressure, oxidation 83 reactions, and kinetics in the case of short duration thermal events (Bonal et al., 2016; Quirico 84 et al., 2009, 2018). For instance, terrestrial coals and extraterrestrial kerogens hosted by primitive chondrites display significant differences in their first-order carbon bands collected with a 514 nm excitation, which may be due to a precursor effect or post-accretional parent 87 bodies conditions (Quirico et al., 2009). Disentangling the respective contribution of these processes is a difficult yet essential step in making Raman spectroscopy a quantitative tool for assessing the conditions of formation and precursors of carbonaceous matter in ancient terrestrial and extraterrestrial settings.

Raman spectroscopy that uses excitation in the deep UV (200-250 nm) has been shown to present advantages over classical Raman spectroscopy that uses excitation in the visible to near IR range. UV-Raman spectra are generally devoid of fluorescence, and a certain number of species have resonant transitions enhancing their detection limit (Asher, 1993). Drawbacks have also been identified, such as sample damage due to photolysis or annealing, and low scattering yield due to a weak penetration of UV photons. We report a 244 nm Raman survey of a series of terrestrial sedimentary organic matter samples that includes coals and three type II kerogens, covering a broad range of maturities. Only few UV Raman spectra have been published so far on sedimentary organic matter, and these data should be helpful in the context of the study of carbonaceous matter in ancient rocks on Earth, meteorites and the Mars 2020 mission. We also report new Raman spectra of Insoluble Organic Matter (IOM) extracted from the Renazzo (CR) and Murray (CM) primitive chondrites, along with spectra of IOMs and stratospheric IDPs published earlier (Dobrica et al., 2011; Quirico et al., 2014). We first address technical aspects on the implementation of 244 nm Raman spectroscopy. Then, we report new insights into the structure of these terrestrial and extraterrestrial kerogens, and discuss fours issues: (1) distinguishing terrestrial biogenic kerogens from abiotic chondritic kerogens; (2) the structure of carbonaceous matter in IDPs compared to chondrites; (3) the putative formation of chondritic kerogen by plasma reactions and (4) the investigation of coal maturity and the role of oxygen in the Raman signature.

2. MATERIALS AND METHODS

115 Coal samples were provided by the Penn State data bank (Table 1). Their maturity is rated by their mean maximum vitrinite reflectance (Ro, %), and their elemental ratio H/C and O/C are also provided. Type II kerogens were provided by Institut Français du Pétrole (Rueil-Malmaison France; courtesy of Mrs M. Vandenbroucke). They were recovered from different Toarcian wells and outcrops: MENIL/VAIR, outcrop (K99296); DICKEL4, 1416 m (K20505) and REHDEN9, 1306 m (K20507). The H/C and O/C elemental ratios were also provided, as well as the Ro reflectance of allochthonous vitrinite for K20507 and K20505. Allochtonous vitrinite is not a fully reliable tracer, because its diagenetic history before incorporation into the type II kerogen is unknown. For K20505 with respect to K20507, the vitrinite reflectance of 0.57 and 1.25 %, respectively, are consistent with the H/C values of 1.09 and 0.80, respectively. As K99296 is obviously more immature than the two other kerogens (H/C=1.23), the maturity of these samples is as following: K20507>K20505>K99296.

Chondrites were supplied by the Muséum National d'Histoire Naturelle (MNHN - Paris, France). Stratospheric IDPs, L2008x3 and W7013I1, were supplied by the NASA-129 Johnson Space Center, and were earlier characterized by 514 nm Raman spectroscopy (Bonal et al., 2011). The 244 nm Raman spectra of L2008x3 were presented in Dobrica et al. (2011) but not quantitatively analyzed.

IOM samples from Renazzo and Murray were extracted following two methods. Murray IOM was obtained through protocol very similar to the one described in Gardinier et 134 al. (2000) and adapted to \sim 100 mg samples. The sample was ground by hand with a pillar and mortar, and ~100 mg was introduced into a polypropylene micro-tube. A first step consisted 136 in the elimination of soluble compounds through: (i) washing in distilled water $V(H_2O)=1$ ml, 15 min sonication, centrifugation (30 min 6000 rpm), supernatant removal; followed by 138 (ii) washing in 0.5 ml $CH_2Cl_2:CH_3OH$ (v/v=1/2), 30 min stirring, centrifugation and

supernatant removal. The second step consisted in eliminating carbonates: 37% HCl, 140 V(HCl)=V(H₂O)=0.75 ml. Sonication for 1 h. Neutralization of the solid residue by addition of distilled water until getting a 6-7 pH. The third step had for objective the dissolution of 142 silicates: HCl (37%) and HF (48%), V(HF) = $2V(HCl/H_2O = 1/1) = 0.5$ ml, 8 h sonication and 143 $\,$ N₂ bubbling, centrifugation and neutralization, operation executed three times. The fourth step 144 consisted in the elimination of neoformed minerals (fluorures): $V(HCl) = V(H_2O) = 0.75$ ml, 145 80°C 1 h sonication, repeated 2-3 times. The fifth and last step was similar to the second step, 146 for removing encaged soluble molecules.

Renazzo IOM was obtained with a protocol dedicated to very small amount of sample. Around ten grains of 100-500 µm of maximum dimension were deposited on an Au foil with curved edges in order to prevent grain loss. The foil was then inserted in a Teflon holder, placed in an alumina box covered with a polycarbonate window, which allowed a visual control of operations with a binocular microscope (Fig. 1a). Inside the reactor, liquids were 152 simultaneously injected and expelled with a peristaltic pump $(90.5 \mu l.min^{-1})$. Viton® tube were used to carry the liquids, and were hold inside the reactors by two micromanipulators (Fig. 1b). The reactor was continuously purged with gaseous nitrogen or argon to ensure a non-oxidizing atmosphere, and to minimize micromanipulators etching. The chemical procedure was similar to that previously described, except the lack of sonication or mechanical stirring. Demineralized grains were then recovered from the gold foil, and transferred on glass slides for Raman analysis (Fig. 1c). The present protocol has been 159 validated by comparing etched μm-sized matrix grains, with IOM extracted with the standard procedure dedicated to macroscopic samples. In both cases, a significant enrichment in carbon was observed through energy dispersive X-ray spectrometry in a scanning electron microscope, but with a variety of sulfides that survived the acid attack (detection of S and Fe).

2.2 Raman spectroscopy

Spectra were collected with a JOBIN-YVON-Horiba LabRam system with a 166 frequency-doubled Ar⁺(244 nm) excitation and a microscope equipped with an OFR™ 40× objective with a 240-360 nm broad band transmission (> 80%). (Laboratoire de Géologie de Lyon Terre, Planètes, Environnement/ENS-Lyon France). The laser spot on the sample had a diameter of 3–5 micrometers. Two gratings were used, 3600 and 600 gr/mm, that allow 170 covering spectral ranges of $400-1500$ and $400-3500$ cm⁻¹, respectively. In the case of 171 immature coals ($\text{Ro} \leq 1\%$) and type II kerogens, IOM samples and IDPs, the laser power delivered to the sample was fixed to 300 μW. For most of the measurements, the acquisition time was 2x150 s and the 600 gr/mm grating was used. When seeking for faint narrow spectral features (see below), the acquisition time was increased up to 4x300 s, and both 175 gratings were used. The mature coals with $Ro>1\%$ were measured with a laser power of ~500 μ W, acquisition time of 2x300 s and a 3600 gr/mm grating.

Sample stability under 244 nm laser irradiation is a critical issue. Photochemical or thermal damages can be induced by the focused laser beam, depending on the power deposited on the sample and on its fragility. The presence of a crater or color modification at the laser spot was visually inspected for each measurement. Measurement conditions (i.e. laser power, collection time) were optimized through time-resolved measurements at the same spot location (see Appendix). Tests were run in air and under argon atmosphere by means of an environmental cell. Using an inert atmosphere reduces photo-oxidation, which causes possible fluorescence and evolution of the Raman spectra with time. The gain was however too small compared to signal loss through the cell window, and spectra were mostly collected in air. Because laser-induced damages can be almost instantaneous, we also investigated the effect of exposure time on a coal sample by using a rotating sample holder. We found no spectral difference with measurements performed under static conditions. In contrast, similar measurements under static and rotating conditions on a cellulose sample revealed dramatic spectral modification reflecting alteration of the sample for both conditions. Coal samples are probably more resistant because they underwent metamorphism during burial in the sediment pile or due to tectonic events, and their most fragile and thermally labile fraction was expelled or transformed during thermal maturation. However, even for mature coals and kerogens, a limited photochemical or thermal alteration cannot be ruled out.

The raw UV-Raman spectra of terrestrial an extraterrestrial kerogens are available in the GhoSST/SSHADE spectral database (Quirico, Eric; Montagnac, Gilles (2006a,b)).

The Raman spectra were corrected with a linear baseline between and 1925 cm⁻¹. The Raman G- and D-carbon bands were fitted with the so-called Lorentzian-Breit-Wigner-Fano (LBWF) spectral model following Ferrari and Robertson (2000) (Fig. 2):

$$
I(\omega) = I_G \frac{[1 + 2(\omega - \omega_G)/Q.FWHM_G]^2}{1 + [2(\omega - \omega_G)/FWHM_G]^2} + \frac{I_D}{1 + 4(\omega - \omega_D)^2/FWHM_D^2}
$$

202 where ω_G and ω_D are the resonant frequencies (in cm⁻¹) of the G and D bands, I_G and 203 I_D their peak intensity, FWHM_D and FWHM_G their full width at half maximum, and Q the 204 coupling coefficient. The fit finally provided, for each sample, $FWHM_D$, $FWHM_G$, ω_G and 205 ω_{D} , as well as the ratios of peak intensities (I_D/I_G) and integrated intensities 206 ($A_{DDG}=A_D/[A_D+A_G]$). Note that the peak position of the G band does not correspond to the 207 $\omega_{\rm G}$, and is equal to $\omega_{\rm G}$ +FWHM $_{\rm G}$ /2Q.

For the sake of comparison with former studies (Quirico et al. (2014) and Kuga et al. (2015)) that used a slightly different (with or without constant) LBWF fit, the Raman spectra 210 from Quirico et al. (2014) have been reanalyzed and the Raman parameters of Kuga et al. 211 (2015) have been rescaled by applying a correction factor. These earlier studies used similar 212 experimental conditions as those used here $-300 \mu W$ on the sample, 40x objective and 600 213 g/mm grating -, making the comparison possible.

214 The electronic data collected in this study can be freely downloaded from the

215 SSHADE/GHOST spectroscopic database (https://www.sshade.eu/), at

216 https://doi.org/10.26302/SSHADE/EXPERIMENT_LB_20200414_001

- 217
- 218 **3. RESULTS**
- 219
- 220 *3.1 Coal and kerogen samples*

221 Raman spectra obtained with 244-nm excitation are different from those collected with 222 a visible excitation (Fig. 3). This wavelength dependence is due to the resonant process, i.e. 223 the excitation photon is absorbed before being inelastically scattered. Different photon 224 energies then lead to probing different fraction of the carbonaceous material (Merlen et al., 225 2017). Spectra of immature samples are devoid of the fluorescence background that occurs 226 with visible excitation, and display an intense and sharp G-band (centred at $1600-1610 \text{ cm}^{-1}$), 227 accompanied by a broad D band centred at $1360-1440 \text{ cm}^{-1}$, weaker in intensity with respect 228 to the G band by a factor of \sim 2-5. A weak feature at \sim 1750 cm⁻¹ appears as a shoulder of the 229 G band, along with other weak and narrow substructures in the D band at \sim 1190, 1280 and 230 1450 cm^{-1} (Figs. 3, 4). Spectral variations with vitrinite reflectance are subtle in the range 0.3-231 2 % Ro and become more significant above this value (Figs. 4,5). The LBWF fit parameters, 232 FWHM_G, ω_{D} , I_D/I_G and A_{DDG} fairly correlate with the vitrinite reflectance for values larger 233 than ~ 1 % R_O (Fig. 6; Table 2). In contrast, the peak position of the G band and the width of 234 the D band are nearly insensitive to maturity variations in the range Ro= 1 to ~3%. Only the 235 anthracite sample with the highest maturity shows a clear modification of ω G and FWHM_D. In 236 the range 0.3-0.8 % R_O, FWHM_D, FWHM_G and A_{DDG} vary strongly between 0.3 % R_O and 237 0.5 % Ro and become stable above this value (Fig. 7). In contrast, I_D/I_G , ω_G and ω_D do not 238 show systematic variation with the vitrinite reflectance in the range 0.3 -0.8%.

239 The spectra of type II kerogens are similar to those of coals $(Fig. 5)$, and do not 240 present the strong fluorescence that prevents the detection of Raman features in spectra 241 collected with a 514 nm excitation. At first inspection, the UV Raman spectra of three 242 kerogen samples are identical. However, fitted parameters reveal significant differences 243 between these samples (Fig. 8), with a low I_D/I_G ratio (Fig. 8a) for K20507 and distinct values 244 of FWHM_G and ω _G among the three samples (K20507, K99296 and K20505, with H/C= 0.80, 245 1.23 and 1.09, respectively). In these diagrams, kerogens K99296 and K20505 are very close 246 to the more mature coals $(Ro=0.52$ and $0.73\%)$.

247

248 *3.2 IOM and IDPs*

249 244 nm Raman spectra with good signal-to-noise ratio could not be obtained from raw 250 matrix fragments of chondrites, due to the small penetration of incoming UV photons in the 251 sample (Fig. 9). Therefore, matrix fragments were treated through a HF/HCl acid attack prior 252 to UV-Raman measurements. At first sight, the spectra share similarities with those of coals 253 and kerogens (Fig. 10). They display an intense and narrow G band, and a weaker and broader 254 D band. A weak feature at 1750 cm^{-1} is sometimes observed. The second-order carbon bands $(2500-3500 \text{ cm}^{-1})$ could be observed, but they did not provide additional information to those 256 of the first-order G and D bands. Besides first-order similarities, a major spectral difference 257 between coal and kerogens and extraterrestrial samples is the shape of the D band. The D-258 band looks like a shoulder of the G-band in IOM spectra (Fig. 11d), and the minimum 259 between the D- and G-bands is much fainter in IOM than in coals. Raman parameters I_D/I_G 260 (Fig. 11a) and ω_G (Fig. 11b) are, with a few exceptions, lower in chondrites than in coals. On 261 the other hand, type II kerogens plot along with chondrites and coals. Overall, coals, kerogens 262 and chondrites display similar $FWHM_G$. The ω_D parameter unambiguously distinguishes 263 extraterrestrial materials and coals, and type II kerogens display values across those two 264 groups. The A_{DDG} parameter efficiently discriminates extraterrestrial and terrestrial samples 265 (Fig. 11c).

UV-Raman spectra were collected on two stratospheric IDPs: L2008X3 and W7013I1, and were partly published in Dobrică et al. (2011) (Fig. 12). Due to the small size of those particles, no HF/HCl acid attack was attempted. The signal-to-noise ratio of L2008X3 spectra is similar to that of IOM extracted from chondrites, but it is too low in W7013I1 to attempt 270 spectral fitting. In the latter sample, the peaks at $800-840$ cm⁻¹ are attributed to iron-rich olivine. Accordingly, we infer that the abundance of polyaromatic organic matter is higher in L2008X3. The IDP L2008X3 displays a much higher value of FWHMG and much lower 273 value of ω G than chondrites, coals and kerogens (Fig. 11a).

274 The insert in figure 12 shows raw and smoothed spectra in the range $1900-2400 \text{ cm}^{-1}$. 275 pointing to faint features at 2220 and 2325 cm⁻¹. These features, unobserved in 514 nm 276 Raman spectra, are likely resonant with a UV excitation wavelength. The band at 2320 cm^{-1} 277 corresponds to the stretching vibration mode of the N_2 molecule whose nature, atmospheric 278 versus adsorbed on the sample (Smudde et al., 1995) cannot be resolved due to the low signal-279 to-noise ratio. The atmospheric O_2 stretching vibration modes at $\sim 1550 \text{ cm}^{-1}$ was not detected 280 due to the merging with the carbon G band. The weak feature at 2220 cm^{-1} is likely due to the 281 cyanide –CN functional group, as proposed by Quirico et al., (2008a). Indeed, no other 282 organic functional group is plausible in the range $2200-2250$ cm⁻¹, and this band has already 283 been confidently identified by 244 nm Raman spectroscopy in heated N-rich tholins (Bonnet 284 et al., 2015). Cyanide groups have been identified in N-rich Ultra-Carbonaceous Antarctic 285 Micro-Meteorites (UCAMMs) (Dartois et al., 2013), and tentatively detected in Orgueil IOM 286 by Nuclear Magnetic Resonance (NMR) spectroscopy (Remusat et al., 2005b). The –CN functional group is known to be thermally labile. Its presence in L2008X3 and absence in most chondritic IOMs (except possibly Alais), support the view that refractory organics in this IDP were less thermally processed.

4. DISCUSSION

4.1 Coal and kerogens maturity

In the range Ro=0.3-1%, several fitted Raman band parameters show a large evolution below 0.5% and constant values above (Fig. 7). In Raman spectra acquired with a 514 nm 296 excitation, a linear correlation between $FWHM_G$ and ω_G is reported for immature coals 297 (Quirico et al., 2003). Looking back in detail this old dataset, we observe that the data points 298 cluster into three groups in a FWHMG vs. $\omega_{\rm G}$ diagram: R_O < 0.4%, 0.4 < R_O (%) < 1 and R_O > 1% (Fig. 13). It suggests the existence of a small but significant change of the polyaromatic 300 structure of coals at Ro ~ 0.4 %, and points to a significant evolution above 1 Ro%. Thus in 301 the maturity range $Ro \le 1\%$, coals clearly experience a chemical evolution (modifications of H/C and O/C, Table 1), but also some structural modifications. This point is consistent with 303 the infrared spectroscopic measurements of Chen et al. (2012) that show that coals with Ro \leq 1.5 % experience a progressive aromatization. They also show that the size of the 305 polyaromatic units (i.e. the degree of aromatic condensation) increases with increasing Ro in 306 the range 0.4-2 %, while no significant variations are observed for Ro \leq 0.4 %. The number 307 and diversity of oxygenated functions also show a dramatic drop above R₀ \sim 0.4 - 0.5 % (Petersen et al., 2008). This includes carboxylic, ketone, hydroxyl (essentially phenolic), 309 quinone and ether groups. Raman spectroscopy probes the $sp²$ structure of carbonaceous material (Ferrari and Robertson, 2000), which in coals is essentially present as aromatic and polyaromatic units (Van Krevelen, 1993). Phenol and quinone groups are part of the

polyaromatic structure, and ether groups may also be involved when bridging polyaromatic units. In the case of very disordered carbonaceous materials, the parameters that control the Raman spectra are not only the size of their very small aromatic units, but also the molecular environment of those units (e.g. degree of substitution, nature of the branched species) (Ferrari and Robertson, 2000). It results in a complex control of the first order carbon bands, and sometimes in a degeneracy issue as different carbonaceous materials may exhibit similar spectra at a given excitation wavelength (Ferrari and Robertson, 2001). In the case of immature coals, we think it is premature to decipher between polyaromatic units size and oxygen speciation as controlling parameters of the Raman spectra. Both parameters most likely play a role. In conclusion, the analysis of spectra acquired at both 244 and 514 nm laser wavelength supports the view that significant structural changes happen in coals at Ro~0.4 %.

323 In the range $R_0 > 1\%$, the FWHM_G and ω_D parameters, and to a lesser extent I_D/I_G and 324 A_{DDG} (data points around Ro \sim 2 % are more scattered), correlate well with the vitrinite 325 reflectance (Fig. 6). These results are consistent with those obtained with a 514 nm excitation, 326 in the case of the FWHM_G, ω_D , I_D/I_G and A_{DDG} parameters (Quirico et al., 2005a). FWHM_D 327 and ω G provide no significant information, except that the anthracite sample is clearly 328 different from other coals (Fig. 6). The ω G is found poorly useful with either the 244 or 514 329 nm excitations. FWHM_D, which is a very sensitive and powerful maturity tracer of spectra 330 acquired at 514 nm, is useless with a 244 nm excitation, in this maturity range at least. The 331 244 nm excitation efficiently traces maturity for $Ro > 1\%$. The main parameter that controls 332 the Raman spectra is likely the size of the aromatic units. Above $Ro \sim 0.5\%$, a sharp decrease 333 of elemental oxygen (i.e., oxygenated groups) is observed (Table 1), leading to a low oxygen 334 concentration at Ro $> 1\%$ (O/C \lt 0.04). Only hydroxyl and ether groups remain in the 335 structure, with variable concentration ratio from one coal to another (Petersen et al., 2008).

The UV-Raman spectra of the three kerogens K99296, K20505 and K20507 are close to those of immature coals. The 244 nm excitation presents a clear advantage for these samples as no 514 nm Raman spectra could be collected on K99296 and K20505 due to high 339 fluorescence that is absent with UV excitation. In the graphics $FWHM_D$ vs. I_D/I_G and 340 FWHM_G vs. ω _G (Fig. 8), the more mature kerogen K99296 (with H/C = 0.80) plots apart the other samples. K20505 and K20507 plot at the edge of the area defined by immature coals in both graphs. The maturity of K20505 and K20507 is rated by allochthonous vitrinite mean maximum reflectance Ro, 0.57 and 1.25 %, respectively (Table 1). These two samples do not plot with coals that have similar Ro values (Fig. 8), pointing to a possible precursor effect as type II kerogens and coals originate from different marine (planktonic) and continental (higher ligno-cellulosic plants) environments. However, caution should be used when using allochtonous vitrinite reflectance. As their prior history before incorporation into the kerogen in unknown, they may not properly trace the actual thermal history of the kerogen. In addition, maturation happens in an open system in the sediments in the case of kerogens, and a rather closed environment in the case of coals. The carbonization processes may thus play a role in shaping the different structure and chemistry and coals and kerogens.

4.2 Insoluble organic Matter in Chondrites and IDPs

The 244 nm Raman parameters of chondritic IOMs evidence little difference between 355 IOM of the studied carbonaceous chondrites (Fig. 11). I_D/I_G may point to a possible distinction of Murchison/Orgueil/Ivuna from Tagish Lake (heated lithology), as previously suggested by Quirico et al. (2014). However, they do not confirm the distinction with Cold Bokkeveld, a CM that experienced a mild heating event (Quirico et al., 2018). Murray (CM) displays a low FWHMD value, and the primitive CR Renazzo plots close to Tagish Lake. 360 FWHM_D and I_D/I_G do not clearly discriminate chondrites having experienced mild heating events, and the other parameters do not provide further information. Structural differences are faint and unconstrained by UV Raman spectroscopy. Visible excitation appears to be more effective for deciphering the subtle differences in IOM maturity in response to metamorphic intensity on the different parent bodies (Quirico et al. 2018).

The stratospheric IDP L2008X3 is easily distinguished from chondrites, with its large 366 FWHM_G, high I_D/I_G values, and low ω _G. This IDP also displays, for data collected at 514 nm, 367 low FWHM_G and ω _G parameters compared to primitive carbonaceous chondrites (Bonal et al., 2011). Combining 244 nm and 514 nm spectral data shows that the dispersion of the G 369 band is $\Delta_G = (\omega_G^{244} - \omega_D^{514})/(514 - 244) \sim 0.015$ cm⁻¹/nm slightly above dispersion values 370 of unheated C2 chondrites ~ 0.010 cm⁻¹/nm; Quirico et al., 2014). The question arises whether these data can be interpreted in term of differences in structural order. In the case of pre-graphitic materials, the degree of structural order is assessed through the so-called Tuinstra and Koenig relationship that connect the ID/IG ratio with the length of coherent domains (Tuinstra and Koenig, 1970). However, this relationship does not apply to amorphous or highly disordered carbon materials, including coals and kerogens.

We follow here the framework defined by Ferrari and Robertson (2000) and Ferrari et al. (2003) that characterizes evolution stages between four end-members as graphite (G), 378 nano-crystalline graphite (nc-G), amorphous sp^2 -rich (a-C) and sp^3 -rich (ta-C) carbon materials. These materials are pure or hydrogenated carbon materials formed in the 380 laboratory. The sp²-rich carbon materials (C-sp³ < 20%) between nc-G and a-C contain a growing number of defects (bond angle and length defects) that result in shifting the position 382 of the G-peak towards low values, increasing the G-peak dispersion and decreasing the I_D/I_G 383 ratio. From a-C to ta-C the increase of $sp³$ bonds constitutes an additional source of disorder 384 (hybridization disorder), resulting in the decrease of I_D/I_G , and the increase of ω_G . Disorder is experimentally produced through irradiation, or formation conditions at low temperature.

386 Ordering is usually generated through annealing, which tends to increase $sp²$ clustering and to transform any carbon films into nc-G. For instance, the annealing of a ta-C:H film leads to the 388 sharp drop of its G-peak dispersion, the increase of I_D/I_G and the decrease of $FWHM_G$ in both 244 and 514 nm spectra (Ferrari and Robertson, 2001). *Thus the G-peak dispersion, FWHM^G and ID/IG probe the degree of disorder*.

The low dispersion of the G-peak clearly distinguishes chondritic kerogens from amorphous a-C, a-C:H and ta-C:H, and points to similarity with rather disordered nc-G. In addition, the G-band peak position and its width are very similar to those of nc-G. However, the use of dispersion in chondritic kerogens requires caution because they are not strictly s similar to carbon thin films produced in the laboratory. The abundance of $sp²$ (aromatic carbon) in an unheated primitive chondrite like Murchison is 60-68 % (Cody et al., 2002; 397 Gardinier et al., 2000). Around 24-28% of aliphatic carbons are detected, with $\sim 20\%$ hybridized to hydrogen, which has no detectable effects on the Raman spectra (Ferrari and Robertson, 2000). The remaining carbons are located in carbonyl and carboxyl groups (9-11 at%). Thus the presence of oxygen is a major difference with the synthetic carbon films used by Ferrari and Robertson (2000) and Ferrari et al. (2003). According to results from section 4.1, oxygen has an effect on the Raman spectra (in fact, essentially O atoms tightly linked to sp^2 clusters, e.g. ether bridge), but this effect remains weak.

404 G-peak dispersion of ~ 0.015 cm⁻¹/nm for IDP L2008x3 points to an sp² structure very 405 close to that of nc-G. Its large FWHM_G and low ω_{G} , in both 244 nm (this study) and 514 nm data of Bonal et al. (2011) reflect a low degree of order in the decreasing ordering sequence across ta-C:H, a-C:H and nc-G. In IDP W7013I1, the low signal-to-noise ratio prevents fitting 408 the 244 nm Raman spectra, but we can estimate the G-band dispersion to $\sim 0.02 \text{ cm}^{-1}/\text{nm}$ using the peak position in raw data and using the 514 nm data of Bonal et al. (2011) (Table 3). The higher dispersion value points to a higher degree of structural order in the IDP W7013I1

411 than in L2008x3. The 514 nm measurements of Bonal et al. (2011) also provide FWHMG and 412 ω G values that are lower and larger than those of IDP L2008x3, respectively, also indicative of higher degree of structural order.

Systematic measurements on stratospheric IDPs and Antarctic micro-meteorites (AMMs) collected since the pioneering study of Wopenka (1988) show that (1) the G-band is weakly dispersive (Starkey et al., 2013) and (2) 514 nm Raman spectra point to larger FWHMG and lower ωG parameters in comparison to primitive carbonaceous chondrites (Battandier et al., 2018; Busemann et al., 2009; Dobrică et al., 2011; Merouane et al., 2013; Quirico et al., 2005b). These observations, in the light of the discussion above, support the view that the refractory organic materials in IDPs and AMMs have a lower degree of structural order than chondritic kerogens, in the definition of Ferrari and Robertson (2000).

4.3 Relevance of laboratory analogues

The origin of chondritic organic matter is still a pending issue, and its elucidation partly relies on experimental simulations that produce disordered carbonaceous materials. A comprehensive study on a variety of amorphous and disordered carbonaceous materials (Ferrari and Robertson, 2000) shows that polymeric a-C:H amorphous carbon displays 244 nm spectra fairly similar to coals, but a much larger G-peak dispersion (Ferrari and 429 Robertson, 2001). Heated ta-C:H films (600 °C) display 244 nm Raman spectra akin to those of chondritic IOM and with a similar G-peak dispersion. This ta-C:H amorphous carbon was 431 formed in a radio-frequency 13.6 MHz cold plasma chamber, from a C_2H_2 gas at $\sim 10^{-4}$ mbars 432 (Morrison et al., 1999). No insight into the CH₃/CH₂ cross-linking is provided in this publication, but the infrared study of carbon films synthesized in a similar chamber from CH⁴ shows a high CH3/CH2 ratio, as observed in IOM from primitive chondrites (Mutsukura and Akita, 1999). This reflects a random growth of the carbon network, containing short alkyl chains, at odd with the coal structure inherited from lignine and cellulose. Such materials then appear as potential analogues of chondritic IOM, except they do not contain heteroatoms like oxygen, nitrogen and sulfur.

Oxygen-bearing IOM analogues have been synthesized in the cavity of a microwave 440 (2.45 GHz) plasma reactor, for temperatures ranging between 520 and 730 °C and characterized by Raman spectroscopy (Kuga et al. (2015). We have calculated their G-band dispersion from their Raman spectra collected at 244 and 514 nm (Figs 3 and S2 in Kuga et 443 al., 2015) and obtained G-band dispersions in the range 0.05 -0.09 cm⁻¹/nm. These values are 444 similar to those of the ta-C:H film annealed between 450 and 500 °C in Ferrari and Robertson 445 (2001), and confirm the key role of temperature in ordering carbonaceous materials.

446 Dispersion values for the synthetic materials (Kuga et al., 2015) are higher than those 447 of IOM in primitive chondrites $(0.01 \text{ cm}^{-1}/\text{nm})$; *Quirico et al. 2014*). The 244 nm Raman parameters of these analogues are also different from those of chondritic IOM, and of immature coals (Fig. 11). These significant differences point to a different mechanism of formation and evolution, suggesting that cold plasma reactions may not be a likely route of formation of chondritic IOM and IDPs or that the formation process was subsequently erased by metamorphism on the parent bodies of carbonaceous chondrites.

4.4 A possible past life tracer for Early Earth and planetary exploration

Complex organics are widespread across the Solar System; they have been found at the surface of Mars (Freissinet et al., 2015), asteroids (Campins et al., 2010), comets (Capaccioni et al., 2015), satellites of ice-giants (Cruikshank et al., 2014) and Trans-Neptunian-Objects (Stern et al., 2015). The presence of organic compounds does not imply the presence of life, and the identification of chemical biosignatures is a major challenge of Solar System exploration (Quirico and Bonal, 2019), and in the quest of biosignatures in ancient sediments on Earth.

Mars shows plenty of evidence for the action of liquid water, including the presence of long-lasting bodies of water (i.e. the Gale crater lake, Grotzinger et al. 2014), and is a prime target for the quest of bio-related organic matter. Organic matter on Mars could have different endogenous or exogenous origins: *(i)* terrestrial: brought through successive space missions/rovers; *(ii)* chondritic: brought by meteorites and dusts; *(iii)* synthesized through biotic or abiotic processes on Mars. The high sensitivity of Raman spectroscopy to carbonaceous matter has led to the presence of Raman spectrometer onboard of future landed missions. The payloads of these rovers will include visible Raman (SuperCam/Mars2020- NASA, RLS/ExoMars/ESA) and UV Raman (SHERLOC/ Mars2020-NASA) spectrometers operating at the excitation frequency (248.6 nm) close to the one used in the present work (244 nm) (Beegle et al., 2020; Wiens et al., 2016).

The 244 nm Raman spectra collected in this study efficiently discriminate chondritic 475 kerogens from immature coals and type II kerogens (Fig. 11). As far as we know, chondritic OM has an abiotic origin, in contrast to terrestrial coals and kerogens derived from vascular ligno-cellulosic plants, and from from algae and plankton in marine environments for type II kerogens, respectively. Comparison of UV and visible Raman spectra may thus prove to be a valuable tool for deciphering the biotic vs. abiotic nature of organics in old sediments both on Earth and an Mars, in the event that carbonaceous materials are detected by future rovers.

The present study could also be useful for future *in situ* studies on the Martian surface by showing the strengths and weaknesses of UV Raman to analyze organic signatures in natural – and thus complex – samples. Obtaining UV spectra for raw carbonaceous chondrites 484 that typically contain 0.1 - 4 wt. % carbon (Alexander et al., 2017) is challenging. The

• The 244 nm excitation Raman spectra show that materials formed in cold plasma reactors have a different structure than chondritic organic matter, even for rather high 512 temperature conditions (500-700 °C), suggesting that cold plasma reactions may not be a likely route for chondritic IOM formation, or that metamorphic reactions on the parent body overprinted that signature.

- The maturity of coals is rated by UV Raman spectroscopy with a structural transition 516 observed around $R_0 \sim 0.4\%$, which is attributed to changes in oxygen speciation.
- UV-Raman spectra of type II kerogens, even those that are highly fluorescent with a visible excitation, are easily collected. Raman spectroscopy appears here as a potential tool to provide maturity tracers of type II kerogens.
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APPENDIX

Sample degradation is a critical issue in 244 nm Raman spectroscopy. This technique has been usually implemented on moving samples to limit the exposure to the laser beam. In the case of solid samples, a rotating sample holder with or without association to a random XY movement has been successfully used (Ferrari and Robertson, 2001; Quirico et al., 2008b). In the case of extraterrestrial material available in minute quantities, this approach is not suitable. To test the resistance of the sample to the laser beam, we first proceed with time-resolved measurements over 0-200s, during the focus optimization of the beam. Figure A1 reports tests on pure cellulose, and compare 244 nm spectra collected in this study with FT-Raman (1064 nm) (Agarwal, 2019). For a collection time of 20s, the cellulose sample starts 545 degrading, and we observe the emergence of a broad feature at $\sim 1600 \text{ cm}^{-1}$, while the rest of the spectrum still resembles that of cellulose. For a collection time of 200s, the sample is fully degraded. In the case of coals and IOMs, no dramatic evolution of the spectra is observed during the first tens of seconds. As these materials have experienced thermal processing in 549 their natural host rocks, we think that the effect of an ultra-fast $(5s)$ processing under the laser beam is unlikely.

Another issue is that of the evolution of the Raman spectra over a longer time, due to oxidation processes (Quirico et al., 2005a). Figure A2 reports the Raman spectra collected continuously for a collection time of 150s for each spectrum, under air and in a cell under an argon atmosphere. The results are qualitatively similar with those obtained with a 514 nm excitation: a progressive drop of the intensity, and slight spectral changes that lead to changes of the Raman parameters (Fig. A3). The Ar environment only slightly improves the data, and we observe that the evolution trend of the Raman parameters is much smaller than the dispersion due to sample heterogeneity or other factors not clearly identified (e.g. sample topography, porosity, etc.).

RESEARCH DATA

- Research Data associated with this article can be accessed from through the
- SSHADE/GHOST database (https://www.sshade.eu/), at
- 565 https://doi.org/10.26302/SSHADE/EXPERIMENT_LB_20200414_001
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FIGURES CAPTIONS

790 **Figure 8:** FWHM_D vs. I_D/I_G and FWHM_G vs. ω_D diagrams, for type II kerogens and immature 791 coals ($\text{Ro} < 1\%$).

Figure 9: 244 nm Raman spectra of Murchison as: raw matrix grains, Insoluble Organic Matter, and matrix grains treated with the micro-extraction device (see text).

Figure 10: 244 nm Raman spectra of primitive carbonaceous chondrites and IDP L2008x3.

Figure 11: FWHM_D vs. I_D/I_G (a), FWHM_G vs. ω_G (b) and A_{DDG} vs. ω_D (c) diagrams corresponding to coals, type II kerogen, chondritic IOM, IDP L2008x3 and analogs of Kuga et al. (2015). (d): comparison of corresponding Raman spectra, which clearly distinguishes sedimentary organic matter, chondritic IOM and IDP. Note that the data from Kuga et al. (2015) have been rescaled due to the use of different fit models.

Figure 12: Raman spectra of stratospheric IDPs L2008x3 and W7013I1. The blow up shows 805 the 1900-2500 cm⁻¹ spectral range for two individual spectra (red) and smoothed spectra 806 (blue). The weak features at 2220 and 2320 cm⁻¹ are assigned to the cyanide group –CN and 807 adsorbed N_2 , respectively.

Figure 13: The FWHMG versus ωG diagram of 514 nm Raman data earlier published in 810 Quirico et al. (2003). This diagram clearly distinguishes coals with Ro $\leq 0.4\%$ and $0.4 \leq Ro \leq$ 811 1%, in agreement with spectra collected with a 244 nm excitation (Fig. 7).

- **Figure A1:** The spectrum of cellulose collected at 244 nm during 20 and 200 s, compared to the FT-Raman (1064 nm) of amorphous cellulose (Agarwal, 2019).
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- **Figure A2:** Automatic collection of 244 nm Raman spectra (acquisition duration 150 s; grating 600 gr/mm).
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- **Figure A3:** The Raman parameters derived from the spectra shown in figure A2, with a LBWF fit. The error bars are the standard deviation calculated from the data collected at different locations of the Murchison IOM, under air and with a collection time of 2x150s (Tab. 2).
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Intensity

Normalized Intensity

The coal samples were provided by the Penn State University data bank. Type II kerogen samples were provided by Institut Français du Pétrole (Rueil-Malmaison, France). TII: heating stage according to Nakamura (2005) classification, estimated by Quirico et al. (2018) . Ro: mean maximum reflectance of vitrinite, according to ADSTM classification (standard D-388). (a): allochthonous vitrinite. (b): spectra from Quirico et al. (2014), reanalyzed with a LBWF without constant (see text). (c): new IOM samples. (d): spectra from Dobrica et al. (2011), treated with a LBWF fit. H/C and O/C elemental ratio of Insoluble Organic Matter are from Alexander et al. (2007), except values tagged by a * mark that are from Gardinier et al. (2000). IDP: stratospheric Interplanetary Dust Particle.

	Type	$FWHM-G$ (cm ⁻¹)	ω _G (cm ⁻¹)	I_D/I_G	A _{DDG}	$FWHM-D$ (cm ⁻¹)	ω_D (cm ⁻¹)
PSOC 1532*	coal	74.5 ± 1	1612 ± 1	0.27 ± 0.01	0.40 ± 0.01	193 ± 6	1375 ± 3
DECS ₁₁		71 ± 2	1606 ± 1	0.25 ± 0.01	0.40 ± 0.01	201 ± 5	1368 ± 3
DECS ₉		70.5 ± 1	1606.5 ± 1	0.24 ± 0.01	0.40 ± 0.01	213 ± 3	1369 ± 2
PSOC 1482		71.5 ± 2	1606 ± 1	0.24 ± 0.02	0.37 ± 0.02	190 ± 6	1378 ± 10
DECS27		70.5 ± 1	1607 ± 1	0.25 ± 0.01	0.41 ± 0.01	212 ± 7	1371 ± 4
DECS ₂		70 ± 1	1610 ± 1	0.25 ± 0.01	0.43 ± 0.01	226 ± 5	1372 ± 3
PSOC 1501		70 ± 2	1608 ± 1	0.25 ± 0.01	0.43 ± 0.01	227 ± 6	1370 ± 3
PSOC 1532#		68 ± 5	1605 ± 1	0.28 ± 0.02	0.48 ± 0.03	255 ± 37	1370 ± 1
DECS ₃₀		61 ± 1	1607 ± 1	0.25 ± 0.01	0.50 ± 0.01	267 ± 3	1374 ± 6
PSOC 1540		62 ± 1	1606 ± 1	0.25 ± 0.01	0.50 ± 0.01	274 ± 6	1376 ± 4
PSOC 1516		58 ± 1	1608 ± 1	0.24 ± 0.01	0.47 ± 0.03	246 ± 23	1385 ± 7
PSOC 880		57 ± 2	1606 ± 1	0.20 ± 0.01	0.43 ± 0.04	244 ± 27	1399 ± 11
PSOC 383		57 ± 1	1607 ± 2	0.21 ± 0.02	0.45 ± 0.03	261 ± 8	1395 ± 9
PSOC 384		$57 + 2$	1607 ± 1	0.22 ± 0.01	0.46 ± 0.02	256 ± 12	1393 ± 8
PSOC 1515		58 ± 1	1607 ± 1	0.22 ± 0.01	0.47 ± 0.02	271 ± 28	1393 ± 3
DECS ₂₁		54 ± 1	1604 ± 2	0.16 ± 0.01	0.35 ± 0.02	233 ± 14	1432 ± 8
K99296	kerogen II	75 ± 2	1609 ± 1	0.25 ± 0.01	0.42 ± 0.02	231 ± 7	1378 ± 4
K20505		73 ± 2	1605.5 ± 1	0.26 ± 0.01	0.43 ± 0.01	229 ± 7	1374 ± 4
K20507		68.5 ± 2	1603 ± 2	0.23 ± 0.01	0.40 ± 0.01	224 ± 8	1389 ± 3
Orgueil	CI1	73 ± 2	1604 ± 2	0.19 ± 0.01	0.34 ± 0.01	217 ± 4	1401 ± 3
Alais	CI1	70 ± 2	1599 ± 2	0.17 ± 0.01	0.30 ± 0.02	201 ± 8	1400 ± 3
Murchison	CM2	73 ± 3	1610 ± 6	0.19 ± 0.02	0.32 ± 0.02	205 ± 12	1408 ± 10
Cold Bokkeveld	$CM2$ [TII]	73 ± 1	1600 ± 2	0.19 ± 0.01	0.35 ± 0.01	230 ± 8	1391 ± 3
Murray	CM2 [TII ?]	76.5 ± 3	1597 ± 2	0.20 ± 0.01	0.29 ± 0.01	184 ± 4	1399 ± 5
Tagish Lake	$C2$ -ung [TII]	73.5 ± 3	1602 ± 3	0.22 ± 0.01	0.37 ± 0.02	223 ± 12	1397 ± 10
Renazzo	CR ₂	72 ± 2	1604 ± 2	0.21 ± 0.01	0.37 ± 0.01	225 ± 12	1389 ± 3
L2008x3	IDP	102 ± 5	1592 ± 1	0.27 ± 0.01	0.31 ± 0.04	193 ± 15	1385 ± 3

Table 2 Spectral parameters from 244 nm Raman spectra

Immature coals (Ro<1%), collected with P=300 µW on the sample, 2x150 s and 600 gr/mm grating. * Mature coals (Ro>1%), collected with P=500 µW, 2x300 s and 3600 gr/mm grating (in italic in the table). All samples fitted with a LBWF model without constant (see text).

	FWHM-G (cm^{-1})	ω _G (cm ⁻¹)	I _D /I _G	FWHM-D $(cm-1)$	ω ^o (cm ⁻¹)
L _{2008x} 3	$125.3 + 3.8$	1575.7 ± 2.7	1.03 ± 0.04	294.7 ± 17.2	$1359.3 + 2$
W7013I1	108.5 ± 6.9	1686.5 ± 2.3	1.03 ± 0.04	235.2 ± 10.1	1359.6 ± 2.3

Table 3 Spectral parameters from 514 nm Raman spectra (Bonal et al., 2011)