

Lipid bilayers: Phase behavior and nanomechanics

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1 Lipid bilayers: phase behavior and

² nanomechanics

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- 21

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43 1. Abstract

Lipid membranes are involved in many physiological processes like recognition, signaling, fusion 44 or remodeling of the cell membrane or some of its internal compartments. Within the cell, they 45 are the ultimate barrier, while maintaining the fluidity or flexibility required for a myriad of 46 processes, including membrane protein assembly. The physical properties of in vitro model 47 48 membranes as model cell membranes have been extensively studied with a variety of 49 techniques, from classical thermodynamics to advanced modern microscopies. Here we review 50 the nanomechanics of solid-supported lipid membranes with a focus in their phase behavior. 51 Relevant information obtained by quartz crystal microbalance with dissipation monitoring (QCM-D) and atomic force microscopy (AFM) as complementary techniques in the 52 53 nano/mesoscale interface is presented. Membrane morphological and mechanical 54 characterization will be discussed in the framework of its phase behavior, phase transitions and 55 coexistence, in simple and complex models, and upon the presence of cholesterol.

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56 2. Introduction

57 Cells can be thermodynamically defined as open systems in constant exchange of mass, energy and information with the environment. The membrane is the ultimate boundary for the cell, 58 59 confining it from the medium and some of its internal compartments. The cell membrane is a 60 fundamental structure of the cell, providing a support matrix for proteins and carbohydrates. As complex systems, cell membrane performance is the result of lipids and proteins working 61 62 together, with main functions like being barriers, mediating the exchange of molecules and 63 information, promoting signaling and adhesion, and being metabolically self-renewing 64 structures.

65 Cell membranes are materials with unique physical properties allowing cells to rapidly change 66 shape, squeeze, stretch, pinch off smaller units, fuse, and reseal. This is the result of being 67 viscous sheets with both fluid and elastic properties. Cell membranes are supramolecular 68 structures, where the lipids and proteins interact through non-covalent bonding. Membranes 69 are curved surfaces, vesicular in nature, whose curvature is deeply influenced by the lipid 70 packing. The lipid bilayer, made of millions of building blocks held together by weak interactions, 71 is in part responsible for the physical, dynamic and mechanical properties of the cell membrane. 72 In the membrane, lipids are mainly organized in lamellar phases, where two leaflets of lipids are 73 self-assembled exposing the polar headgroups to the aqueous interface and keeping the fatty-74 acyl chains aligned opposed and parallel to one another to form the hydrophobic core.

75 The enterprising scientist Alec Bangham was the discoverer in 1958 of the 'multilamellar smectic 76 mesophases' or, as himself referred to less seriously, the 'bangasomes' (Bangham et al., 1958) which were eventually named 'liposomes' to define microscopic lipid vesicles (Sessa & 77 78 Weissmann, 1968). When the Babraham Institute (Cambridge, UK) acquired its first electron 79 microscope in 1961, Bangham had the privilege to firstly observe the dispersions of 80 phospholipids in water solutions of negative stains (Bangham & Horne, 1964). In the following 81 years, Bangham and his collaborators performed the key experiments to demonstrate that lipid 82 bilayers maintain concentration gradients of ions such as potassium and sodium. Indeed, David 83 D. Deamer wrote in his memoir "It was the membrane equivalent of finding the double helix 84 structure of DNA, another Cambridge discovery in the life sciences" (Deamer, 2010). This was 85 reflected in the well-known lecture by Bangham in the University of Bristol in 1975, entitled "Membranes came first", where he proposed that something similar to liposomes had been 86 87 available to house the first forms of life. Indeed, all living organisms have a membrane.

Later in the early 1970s, Singer and Nicolson proposed the fluid-mosaic-model, depicturing the cell membranes as two-dimensional liquids where all lipid and protein molecules diffuse easily (Singer & Nicolson, 1972). Concerning the composition, besides all the proteins and carbohydrates, lipids are the main components of the cell membrane in terms of molar fraction. Lipids are a broad family which covers many different chemical structure sphingolipids (ceramides, sphingomyelin, gangliosides, sphingosines), sterols (cholesterol and vitamins), and phospholipids, each of them contributing to different and crucial physicochemical properties.

95 Gradual progress was made in the knowledge of the complexity of the biological membranes. 96 The most famous hypothesis in the field is the membrane raft proposed in 1997 by K. Simons 97 and E. Ikonen (Simons & Ikonen, 1997). The idea was based on the fact that portions of 98 membrane were found to be detergent resistant, that may give rise to a virtual 99 compartmentalization of the cell. This hypothesis generated a lot of literature and became a 100 recursive concept in the field. Nowadays it is well known that there is a variety of nanostructures 101 in the membrane of heterogeneous sizes and functions, and the methods that allow us to 102 observe these nanodomains in vivo are only beginning to emerge (Goñi, 2019b; Pinkwart et al., 103 2019). Nanodomains can be considered putative heterogeneous structures within the 104 membrane which are due partly to phase separation.

105 The mechanical role of the lipid membrane in force-triggered and force-sensing mechanisms in 106 cells is of significance and adds to the better-established role of the mechanosensitive proteins 107 (Vogel, 2006)(Kechagia et al., 2019). Membrane conformational changes such as bending, 108 vesiculation or tubulation are involved in cellular processes including adhesion, signaling, 109 endocytosis or membrane resealing (van Meer & de Kroon, 2011)(van Meer et al., 110 2008)(Hassinger et al., 2017). For instance, in endocytosis, the endocytic system needs to 111 generate enough force to form an endocytic vesicle by bending the membrane. These 112 mechanisms generally require the membrane separation from the cytoskeleton as well as strong 113 bending, for which the membrane chemical composition and physicochemical properties, often 114 highly localized and dynamic, are key players (Sheetz, 2001)(van Meer et al., 2008). It is now 115 clear that the lipid packing and composition are direct modulators of the membrane curvature 116 and elasticity, at different scales, even locally at the nanometer scale (Yeagle, 1989)(Vereb et 117 al., 2003). Understanding the lipid bilayers structural and mechanical properties and the 118 involvement and role of each individual component turns out to be essential in order to identify 119 their contribution to the overall membrane traits. Structural and physical properties of lipid 120 bilayers include shape and local distribution of components (phases and domains), and related 121 mechanical stability in response to compression, bending or stretching, their ability to fuse, etc.

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122 We review the nanomechanics of solid-supported lipid membranes and how these relate to lipid 123 membrane phase behavior. To this end, we chose two complementary techniques with 124 nano/mesoscale sensitivity to mechanical and viscoelastic properties, namely quartz crystal 125 microbalance with dissipation monitoring (QCM-D) and atomic force microscopy (AFM). QCM-D 126 is a label-free surface-sensitive technique, whose working principle is based on the inverse 127 piezoelectric effect. Real-time simultaneous measurements of resonant frequency and energy 128 dissipation make QCM-D very suitable for gravimetric and viscoelastic characterization of solid-129 supported nanoscale sized films. AFM is a technique that allows the observation of surfaces 130 under controlled liquid environment, to resolve topographical features with nanoscale 131 resolution and to measure and apply forces in the pN-nN range. Therefore, AFM is appropriate 132 for imaging the topography of solid-supported lipid membranes and probing the physical and 133 mechanical properties at the nanoscale by means of force spectroscopy, providing high spatial 134 and force resolution. QCM-D is complementary to AFM, useful for probing changes in 135 viscoelastic properties during solid-supported film formation. The complementarity of AFM and 136 QCM-D manifests at different levels: i) AFM measurements are performed at a local level, while 137 QCM-D provides a global characterization of the solid-supported films; ii) both techniques 138 enable dynamic mechanical analysis by applying a small oscillatory stress, however, the AFM tip 139 scans and deforms supported layers from the top, whereas QCM-D measures the sensor 140 oscillation from the bottom. The combination of AFM and QCM-D for supported lipid 141 membranes has been employed in previous works (see, for instance (R. Richter et al., 2003, 142 2003; Van Lehn et al., 2014). In the following sections we briefly describe the membrane models 143 and discuss the working principles behind both approaches, highlighting the quantitative and 144 qualitative mechanical information that can be extracted using each technique. Specific 145 examples on the usefulness to monitor phase transitions on one-component to more complex 146 bilayers, and resolve domain coexistence are also provided, including the key role of cholesterol.

147 3. Experimental approaches to study membrane mechanics

148 3.1. Model systems: supported vesicles layers (SVLs) lipid bilayers (SLBs)

Due to the extreme complexity of biological membranes, cell membrane mimetics are excellent approaches to study membrane properties and biological processes at the cellular and subcellular level. A great deal of what we know about these processes comes from modeling lipid bilayers *in vitro*. Various membrane systems are established as biomimetic structures. They include vesicles: freely-suspended and supported liposomes, giant unilamellar vesicles (GUVs); micelles, bicelles; suspended and supported lipid films: Langmuir monolayers, phospholipid bilayers nanodiscs, black lipid membranes (BLM), supported lipid bilayers (SLB), tethered bilayer -5 - 1 "Lipid bilayers: phase behavior and nanomechanics" in *Membrane Biomechanics*,

"Lipid bilayers: phase behavior and nanomechanics" in *Membrane Biomechanics*, Volume 86. Ed. Levitan, I.; Trache, A.; 2020. ISBN: 9780128210215, *Elsevier* 156 lipid membranes (tBLM), polymer-cushioned membranes, protein-tethered bilayer lipid 157 membranes (ptBLM) and hybrid bilayers (Sebaaly et al., 2019)(Dimova, 2019)(Doktorova et al., 158 2018) (Siontorou et al., 2017) (Rascol et al., 2016). Among these, the giant unilamellar vesicles 159 (GUVs) have been extensively used since they offer a perfect stage to study the mechanical, 160 thermodynamic, electrical, and rheological properties of the overall GUV and lipid bilayer as a 161 function of membrane composition, surrounding media and temperature (Dimova, 2019)(Evan 162 Evans et al., 2003)(Kahya et al., 2004). Being heterogeneous and dynamic at the nanoscale, 163 nanotechnology-based techniques can further explore biomembranes locally with resolution at 164 the nanometric level. For many of these techniques, like surface analytical techniques, solid-165 supported models are the most adequate, including supported lipid monolayers, supported 166 vesicles layers (SVLs) or supported lipid bilayers (SLBs).

167 SVLs are the precursor solid-supported systems to planar SLBs formed by vesicle fusion and 168 rupture. They result from spontaneous adsorption of small vesicles of diameter d \leq 200 nm onto 169 solid surfaces. The geometry of SVLs embodies membrane curvature, tension and osmotic stress 170 within the supported layer. This makes SVLs useful biomimetic platforms to probe membrane 171 deformation using surface-sensitive techniques and testing ground for adhesion, budding and 172 lipid membrane exchange and fusion (Lipowsky & Seifert, 1991; Hurley et al., 2010; Tabaei et 173 al., 2016; Steinkühler et al., 2019). SVLs are generally formed by small soft adsorbates which are 174 mimics to small endosomes or exosomes. These are optically inaccessible systems and the 175 experimental investigation of their deformation is not straightforward. Adsorbed vesicles onto 176 inorganic surfaces also serve as model systems relevant to biocompatibility studies. Vesicle 177 adsorption is very often accompanied by vesicle deformation upon contact with the solid 178 support. The extent of vesicle deformation depends on several factors such as vesicle size, 179 mechanical properties of the vesicles, adhesion strength of the surface, osmotic pressure 180 difference over the vesicle, etc. The fate of vesicles upon adsorption on a solid support depends 181 both on vesicle-vesicle and vesicle surface interactions. In the absence of osmotic stress and at 182 a concentration where vesicle surface coverage enhances fusion probability, the rupture of 183 vesicles will depend strongly on the adhesion strength. Specifically on how this imbalances the 184 energetic competition between adhesion energy and an elastic stretching of the membrane 185 (Lipowsky & Seifert, 1991). If the adhesion strength W is large enough and exceeds the lysis 186 tension of the membrane, the vesicles will rupture. SVLs are typically formed on surfaces whose 187 adhesion strength is not sufficient to induce vesicle rupture, such as Au, TiO₂, Pt (Tero, 2012).

SLBs are very manageable platforms relatively simple to obtain and that retain two-dimensional
order and lateral mobility. They are ideal to study lipid lateral interactions and membrane local
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190 mechanical properties, growth of lipid domains, as well as interactions between the lipid 191 membrane and proteins, peptides and drugs, cell signaling, etc. They also offer an excellent 192 environment for inserting membrane proteins. There are many different methods that can be 193 used to obtain SLBs, like the spin-coating and hydration (Mennicke & Salditt, 2002), 194 microcontact printing (Strulson & Maurer, 2011), solvent-exchange deposition (Tabaei et al., 195 2016; Hohner et al., 2010), and the most widely used methods like the Langmuir-196 Blodgett/Schäfer deposition to prepare mono and bilayers (Kurniawan et al., 2018), and the 197 liposome fusion and rupture method for bilayers (Hardy et al., 2013)(Mingeot-Leclercq et al., 198 2008). The liposome rupture method remains the most popular and simple one, based on 199 depositing small unilamellar vesicles (SUVs) from a suspension onto a flat substrate where the 200 adhesion strength is high, generally mica or silicon oxide, but can also be formed on bare or 201 gold-coated glass, following the needs of the analysis technique (Mingeot-Leclercq et al., 2008; 202 Ralf P Richter & Brisson, 2005)(Choi et al., 2016; B. Gumí-Audenis et al., 2018; Seeger et al., 2010; 203 Winkler et al., 2020). Once in contact with the substrate the SUVs start fusing between them, 204 deforming, flattening, and finally rupturing to form a continuous film. It is important to have in 205 mind that the final SLB structure is affected by variables like the lipid vesicles composition, 206 concentration, and size, the physicochemical environment pH, temperature, and ionic strength, 207 as well as the surface roughness and charge density (Reimhult et al., 2003).

208 3.1 QCM-D

Quartz crystal microbalance with dissipation monitoring (QCM-D) is an acoustic-based surface sensitive technique that enables label-free, real-time simultaneous measurements of wet-mass
 and viscoelastic properties of solid-supported nano/meso-scaled adlayers.

212 The QCM-D sensor consists of an AT-cut quartz crystal being sandwiched between two 213 electrodes. When an AC voltage is applied across the electrodes with a frequency close to the 214 resonant frequency of the quartz crystal, a mechanical deformation is induced, resulting in a 215 standing shear wave. The surfaces of the electrodes coincide with the antinodes of the standing shear wave with wavelength $\lambda = 2d/n$, with d the quartz thickness and n the (odd) overtone 216 number. The resonance frequency at each overtone is $f_n = nv / 2d$, with v the speed of sound in 217 218 quartz. The shear waves propagate as evanescent waves decaying across the boundary between the crystal and the fluid environment (air or liquid) with a penetration depth $\delta = \sqrt{\eta_{\rm c}/\pi f_{\rm n}\rho_{\rm c}}$, 219 220 which depends on the overtone frequency f_{ρ} and on the viscosity η_{L} and density ρ_{L} of the fluid in 221 contact with the sensor surface (Reviakine et al., 2011). The penetration depth of a 5 MHz shear 222 wave in water is $\delta \sim 250$ nm, rendering QCM-D surface-specific. D is defined as the ratio 230

between the dissipated energy during one vibration period and the total energy of the crystal at that instant $D = E_{lost} / 2\pi E_{stored}$. In the so-called 'ring-down method' measurements, energy dissipation *D* can be calculated when the AC voltage driving the quartz crystal oscillation is turned off. The working principle of QCM-D is reflected in Figure 1, upon the presence of a soft, viscoelastic layer such as a layer of lipid SVLs. The amplitude of the crystal oscillation decays exponentially and much faster when in contact with a viscoelastic layer, characterized by a large energy dissipation response.



Figure 1. Schematic view of a QCM-D sensor excited by an AC voltage. Left panel: Bare Au-coated
sensor in liquid environment, the dampening of the oscillations takes place slowly. Right panel:
The presence of an adsorbed lipid vesicle layer (SVL) induces a faster damping of the oscillations
and thus a larger energy dissipation.

235 Commercial QCM-D instruments allow for monitoring changes in frequency and dissipation for 236 several overtones (n = 3, 5, 7, ..., 13), Δf_n and ΔD_n , upon the presence of a nano/mesoscale layer. 237 The spectroscopic data at multiple frequencies with varying detection range enables assessing 238 the spatial homogeneity and rigidity of the adsorbed layer. For homogeneous thin and rigid films, $\Delta D_n \sim 0$, Δf_n overtones overlap and a simple relationship between mass adsorbed and 239 change in frequency holds, the so-called Sauerbrey relation: $\Delta m = -C\Delta f / n$, with C being the 240 Sauerbrey constant which for a 5 MHz quartz crystal reads $C = d\rho_{auartz} / f_0 = 17.7 ng / cm^2$ 241 (Sauerbrey, 1959). For thicker and softer films $\Delta D_n > 0$, overtones do not overlap and the 242 243 ensemble quartz-film-fluid can be represented by simple models combining elastic components 244 and viscous dashpots. These models enable to extract effective viscoelastic parameters of the 245 adsorbed film such as shear viscosity, thickness and shear storage modulus (Cho et al., 2007; 246 Voinova et al., 1999).

The capability of monitoring the viscoelastic properties during film formation is particularly useful in the case of solid-SLB formation. QCM-D has been a valuable tool in deciphering the kinetic pathways of SLB formation from precursor vesicles and how those depend on relevant

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conditions such as solid surface adhesion strength, medium ionic strength, vesicle mechanics,
etc. (Cho et al., 2010; Jing et al., 2013; C. A. Keller & Kasemo, 1998; Pramanik et al., 2016; R P
Richter, 2006).

253 Figure 2 shows a typical QCM-D experiment where real-time monitoring of the adsorption of 254 zwitterionic DOPC vesicles onto SiO₂ and oxidized Au was carried out at 37 °C. The vesicles are 255 dispersed in TRIS-buffer (10 mM TRIS, 100 mM NaCl, pH 8) at a concentration of 0.1 mg/mL and 256 their hydrodynamic diameter $d = 79 \pm 20$ nm. As initially discussed by Keller and Kasemo (C. A. 257 Keller & Kasemo, 1998), the adsorption kinetics is surface-specific. The mechanistic picture of Δf 258 and ΔD changes is governed by a delicate balance between the adhesive contribution to the free 259 energy from lipid-surface interactions and the opposing effect of bending and stretching the 260 membrane (Lipowsky & Seifert, 1991). DOPC vesicles adsorbing on SiO₂ follow a two-step 261 adsorption process consisting of i) adsorption of a critical number of vesicles and ii) fusion, 262 rupture and formation of an SLB. This is reflected in the Δf_n and ΔD_n signals depicted in the upper 263 panels of Figure 2. After a ~ 10 min baseline in buffer (Δf_n and $\Delta D_n = 0$), DOPC vesicles added at 264 a very small flow rate adsorb onto the SiO₂-coated quartz sensor (Δf_n decreases and ΔD_n 265 increases) until a maximum number (minimum in Δf_n and maximum in ΔD_n). Adsorbed vesicles 266 then fuse and rupture as a consequence of the large adhesion strength of SiO₂, thus releasing 267 the entrapped aqueous buffer. As a consequence, Δf_n increases (mass loss) to a constant plateau of $\Delta f_n \sim -25$ Hz and the ΔD_n signal decreases back to a very small value ($\Delta D_n < 0.5 \cdot 10^{-6}$). The final 268 269 Δf_n and ΔD_n values and the fact that overtones signals overlap is consistent with the formation 270 of a homogeneous rigid and thin DOPC SLB. The pathway of SLB formation is not unique and 271 depends strongly on the ionic conditions (head group charges of the constituent lipids, buffer 272 ionic strength presence of divalent cations, pH). Vesicles containing positively charged lipids like 273 DOTAP SUVs rupture individually on SiO₂ as a consequence of stronger vesicle-support 274 electrostatic interactions (R. Richter et al., 2003).

275 When DOPC vesicles adsorb onto an oxidized Au surface, a monotonic Δf_n decrease and ΔD_n 276 increase can be observed reaching constant non-zero plateau values with non-overlapping 277 overtones. Such time-dependent responses provide evidence that oxidized Au facilitates non-278 ruptured vesicle adsorption towards the formation of acoustically non-rigid vesicle layers with 279 saturated coverage. As pointed out by Lind and Cárdenas (Lind & Cárdenas, 2016), it is worth 280 mentioning that local vesicle rupture events and formation of small bilayer patches cannot be 281 ruled out. As a matter of fact, QCM-D is very sensitive to hydrodynamic (wet) mass and the local, 282 partial formation of SLBs might be masked by the adsorption of vesicles on top or in between 283 the bilayer patches. In this case, complementary QCM-D and AFM measurements are - 9 - |

"Lipid bilayers: phase behavior and nanomechanics" in *Membrane Biomechanics*, Volume 86. Ed. Levitan, I.; Trache, A.; 2020. ISBN: 9780128210215, *Elsevier* particularly useful to obtain a complete picture of the heterogeneous layers SLBs with coadsorbed vesicles formed. For example, the complementarity of AFM and QCM-D has proven successful in experimentally confirming defect (protrusion)-driven nanoparticle interactions with SLBs (Van Lehn et al., 2014).



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Figure 2. Time dependence of Δf_n and ΔD_n during a QCM-D experiment of DOPC vesicle adsorption at 37°C onto SiO₂ (upper panels) and Au (lower panels).

Apart from kinetic information, QCM-D can be also useful to estimate the extent of vesicle 291 292 deformation upon adsorption following a model-free approach introduced by Tellechea et al. 293 (Tellechea et al., 2009). This method consists in plotting $-\Delta D/\Delta f$ ratio vs $-\Delta f$ for all overtones 294 during initial adsorption, which shows a linear decrease over a large range of frequency shifts. 295 Extrapolation of this linear decrease to a frequency-independent intercept with the $-\Delta f$ axis 296 (where overtones intersect) provides a value of the thickness of the adsorbed vesicle layer h or Sauerbrey thickness: $h = -\Delta f C / \rho$, where C = 17.7 ng/cm² Hz and $\rho = 1$ g/cm³ is the density of 297 298 the film. This approach assumes a complete surface coverage, where the presence of trapped 299 buffer has been diminished to occupy only the void spaces between densely packed vesicles (the 300 $-\Delta D/\Delta f$ ratio is close to zero and the $-\Delta f$ intercept values were the same on the extrapolation of 301 a linear regression) (Tellechea et al., 2009; Reviakine et al., 2012; Olsson et al., 2013). Figure 3

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302 displays the extrapolated Sauerbrey thickness for DOPC SUVs adsorbed onto SiO_2 and Au 303 surfaces. The respective effective frequencies read $h(SiO_2) = 30 \pm 2$ nm and $h(Au) = 62 \pm 5$ nm. If 304 one compares these values with the original vesicle diameter in bulk measured by DLS (d =79 \pm 305 20 nm), vesicles were deformed to a greater extent onto SiO₂ surfaces. A more exact approach 306 based on a hydrodynamic model was recently introduced by Cho and co-workers (Gillissen et 307 al., 2017) to estimate the deformation of small vesicles at low surface coverage. The model 308 enables to estimate adsorbed vesicle shape and bending energy by using hydrodynamic 309 spectroscopy (frequency shifts at several overtones). It treats vesicles as ellipsoids and relates 310 the so-called QCM-D force to the scaled viscous penetration depth δ/a with δ the viscous 311 penetration depth, and a the non-deformed vesicle radius, in order to probe the adsorbed 312 particle aspect ratio. Further details on the model can be found in (Gillissen et al., 2017).



313

Figure 3. $\Delta D_n / -\Delta f_n$ ratio as a function of frequency at different overtones for DOPC vesicles adsorbed onto SiO₂ and Au.

316 3.2. Atomic Force Microscopy (AFM)-based methodology

317 Classical structural techniques such as X-ray, NMR or electron microscopy rely on ensemble 318 averaging of molecular properties. Yet, by these means, the underlying molecular dynamics can 319 be hidden, since the signal comes from the average of many unsynchronized molecules in the 320 bulk. The picture changes when using single-molecule techniques. The measured signal of one -321 or a few- molecules reflects the stochasticity of thermally induced processes when randomly 322 crossing free-energy barriers. Single-molecule optical microscopy techniques are particularly 323 well suited for the study of the dynamics of molecules. However, these latter methods rely on 324 the detection of fluorescent markers attached to proteins or lipids, which means that the 325 resolution is limited to ~200 nm due to diffraction of light and to a few tens of nm thanks to 326 super-resolution techniques. The atomic force microscopy (AFM) was since its invention in 1986 327 (Binnig et al., 1986) quickly positioned among the single-molecule, high-resolution structural - 11 - |

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328 analysis techniques. It provides information concerning structure, function-related 329 conformational changes and supramolecular assemblies, crucial for a complete understanding 330 of biological processes. Nowadays, AFM is in its thirties and has become an invaluable tool for 331 studies at the micro- and nanoscale. As a stand-alone, high-resolution imaging technique and 332 force transducer, it is today an established methodology among the biophysical community. The 333 AFM uses a nanometer-sharp tip -resembling a record player needle- attached to a 334 (micro)cantilever to sense the sample surface while scanning it using piezoelectric elements. An 335 optical system is used to detect the cantilever deflection (or force), thanks to a laser diode beam reflected on the back of the cantilever and detected by a photodiode. Changes in deflection due 336 337 to sample protrusions while the tip scans the sample are translated into electrical signals thanks 338 to a feedback mechanism. This is schematically represented in Figure 4.



339

Figure 4. Schematic representation of an AFM instrument. The deflection of the cantilever in the
normal direction is monitored by a photodetector which collects the reflection of a laser beam
focused at the back of the AFM cantilever. In the tapping mode, thanks to a piezoactuator, the
AFM cantilever is excited near its resonance frequency.

One of the main strengths of AFM relies on the possibility of working in a controlled environment (medium composition and temperature) and with great spatial resolution. AFM-imaging mode provides submolecular resolution on a large variety of biological samples: from single molecules, that is, DNA or proteins, to supramolecular assemblies such as SLBs, or even entire cells (Giles et al., 1993)(Dufrêne et al., 2017; Parot et al., 2007). Therefore, AFM has become a wellestablished technique for imaging the topography of lipid membranes that show homogeneous or phase-separated morphology, permitting then to increase the bilayer complexity, from bilayers of one component to multicomponent ones (El Kirat et al., 2010; B. Gumí-Audenis et al.,

352 2016a; Morandat et al., 2013; L Redondo-Morata et al., 2014).

353 For the study of biological samples, it is common to use AFM-imaging dynamic modes that have 354 an intermittent tip-sample contact. Among them, the most often used is oscillation or tapping 355 mode, in which the AFM cantilever is excited near its resonance frequency. The resulting 356 oscillating tip is intermittently contacting -tapping- the surface, giving rise to a damped 357 oscillation amplitude. Additionally, the resonance frequency is also shifted due to the probe-358 surface interaction. The topography of the surface is reconstructed by monitoring the amplitude 359 of the oscillating cantilever, which is kept constant by adjusting the z-piezo position through a 360 feedback loop. While scanning in the X-Y direction, the amplitude of the cantilever oscillation is 361 kept constant thanks to a feedback control. However, biomolecules are dynamic in essence; 362 hence, to understand how biomolecules work it was only natural to think about increasing the 363 temporal resolution of conventional AFMs. The first time that the concept of High-Speed (HS) 364 AFM was mentioned -to our knowledge- was in 1991 by Barrett and Quate (Barrett, 1991), who 365 did a fairly attempt with the technology at that time. Other research groups, as Paul Hansma's, 366 would challenge the practical speed limitations. It was only in 2010 when the laboratory leaded 367 by Toshio Ando in Kanazawa University (Japan), filmed individual myosin molecules walking on 368 an actin filament (T Ando et al., 2008), operating their AFM instrument at a speed about 1000 369 times faster than the conventional instruments of that time. Besides the visual impact and 370 scientific insight of those movies, these experiments illustrated that HS-AFM could obtain 371 concomitantly structural and dynamic data, providing insights inaccessible by any other method 372 (Toshio Ando, 2017; Chiaruttini et al., 2015; Kodera et al., 2010; Mierzwa et al., 2017). The basic 373 principles, advantages and limitations of the most common AFM bioimaging mode are nicely 374 detailed in a recent review from Dufrêne et al. (Dufrêne et al., 2017).

375 Following the capability of the AFM for mechanical manipulation, to sense and apply small forces 376 accurately (pN-nN range), it rapidly developed into an excellent technique to study interactions 377 -inter and intramolecular forces- at the molecular level, the so-called AFM-based force 378 spectroscopy (AFM-FS). The manipulation of single molecules (single molecule force 379 spectroscopy) has led to fascinating new insights in the mechanics of proteins, polysaccharides, 380 synthetic polymers, and DNA at the molecular level (Florin et al., 1994)(Lee et al., 381 1994)(Radmacher, 1997)(Noy et al., 1997)(Clausen-Schaumann et al., 2000)(Rief et al., 382 1997)(Hugel & Seitz, 2001)(P E Marszalek et al., 1999; Piotr E Marszalek et al., 2001)(Giannotti 383 & Vancso, 2007).

384 In the case of lipid bilayers, AFM offers the unique opportunity to probe local physical and 385 mechanical properties, determining the interaction forces with nanoscale lateral resolution, 386 thereby providing new insights into membrane molecular mechanisms. For the nanomechanical 387 characterization with AFM, an SLB is generally first imaged and then a series of force-distance 388 curves is recorded over the same area. In each force-distance curve, the AFM tip away from the 389 surface is approached and retracted at constant velocity while the cantilever deflection is 390 recorded as a function of the Z piezo position. Each portion of the force-distance curve provides 391 information about the physical and mechanical properties of the bilayer, schematized in Figure 392 5. Upon mechanical contact during approach, the cantilever deflection increases and the SLB is 393 elastically compressed by the AFM probe until the tip suddenly breaks through the SLB, jumping 394 into a contact with the substrate (Figure 5, b). The first part of the curve, corresponding to small 395 elastic deformation upon compression, can be used to estimate elastic descriptors of the 396 membrane, like the Young modulus (E), the area compression-expansion modulus (K_A) and 397 bending modulus (k_c) (Dufrêne & Lee, 2000; Picas et al., 2012; L Redondo-Morata et al., 2016). 398 When performing very small elastic deformations (far from the bilayer rupture) it is also often 399 used for this calculation the retraction part of the curve. To estimate the elasticity of the lipid 400 film, the most common model used is the Hertz model, where the surface is approximate to an infinitely half-space of an isotropic elastic solid and the indenter as non-deformable. The 401 402 parameter describing the sample is the Poisson's ratio (v), which depends on the nature of the 403 material. For soft biological samples, the Poisson's ratio is generally set to 0.5 (as for 404 incompressible materials). The geometry of the indenter determines the contact area. The 405 original Hertz model considers the shallow contact between two spherical bodies, but several 406 extensions were made for different indenter geometries (D. C. Lin et al., 2007). Again, when 407 performing experiments with small deformations far from the onset of the lipid bilayer rupture, 408 the hysteresis found between the approach and the retract curves is considered as dissipation 409 work and can be used to obtain intrinsic viscoelastic parameters of the viscoelastic material, by 410 the adaptation of common viscoelastic models as power-law rheology or the Kelvin-Voigt model 411 (Garcia et al., 2020).

412 Moreover, different types of short-range interactions can be measured -DLVO, hydration or 413 steric forces-, for further information review here (Lorena Redondo-Morata et al., 2012).

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414

Tip-sample separation [nm]

415 Figure 5. Schematic representation of a representative force curve typically plot in vertical force 416 vs. tip-sample separation. The red curve represents the tip approaching (a). Right after the 417 contact area, short-range tip-sample interactions from different nature appear, predominantly 418 electrostatic (highlighted in pink) and steric (highlighted in green) interactions. When keeping the 419 tip velocity constant, elastic deformation of the membrane is followed by a sudden jump (b) 420 which is interpreted as the breakthrough force ($F_{\rm b}$) or the maximum force the bilayer is able to 421 withstand before breaking. $F_{\rm b}$ has been extensively used as a hallmark of the bilayer stability, 422 some of these related works are reviewed in this chapter. The AFM tip reaching the hard substrate 423 underneath is represented as a vertical in the force-separation curve. From the distance in the 424 curve between the breakthrough force and the substrate it can be estimated the minimal bilayer 425 thickness (h) (fully compressed). Afterwards, tip retracts at constant velocity (c). Elastic modulus 426 is usually estimated from the slope of the curve which displays membrane deformation. It is often 427 observed a hysteresis between the approach and retract curves (yellow area) which is related to 428 dissipation work and therefore to the viscoelasticity of the material. During retraction, negative 429 force values are used to estimate the adhesion work between the sample and the AFM tip. 430 Sometimes, a force plateau when retracting the AFM tip away from the SLB can be observed. It 431 characterizes a tube pulling process (c'), defined by the tube growing force (F_{tube}) until eventually 432 the AFM tip completely detaches.

The penetration of the AFM tip through the bilayer appears as a discontinuity in the approaching force-separation curve (Figure 5, b). The vertical force at which this discontinuity happens corresponds to the maximum force the bilayer is able to stand before breaking and is defined as the breakthrough force (*F*_b) or yield threshold force, first observed in the end of the 90's by

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437 Dufrêne et al. (Dufrene et al., 1997, 1998)(Dufrêne & Lee, 2000; S Garcia-Manyes & Sanz, 2010; 438 B. Gumí-Audenis et al., 2016a; L. Redondo-Morata et al., 2012; Schneider et al., 2000). F_b is 439 generally of several nanonewtons (nN) and it is considered as a direct measurement of the 440 lateral interactions within the lipid bilayer, at a specified loading rate. From the step in the 441 separation before and after the breakthrough, the SLB thickness at different compression forces 442 (and at zero force) can be extracted.

443 The mechanical rupture of lipid bilayers is of thermal fluctuation nature, whose destructive 444 action is facilitated and directed by the application of the external force. The penetration of the 445 AFM tip through the SLB has been modeled and conceived as a two-state process with an associated energy barrier (Butt & Franz, 2002; Franz et al., 2002; Simona Loi et al., 2002). 446 447 Specifically, two models describe the activation process. The first is the continuum nucleation 448 model, which considers the bilayer as a molecular thin homogeneous film -a two-dimensional 449 fluid layer- between the solid substrate and the solid surface of the AFM tip. The second model 450 ponders the molecular nature of the lipid bilayer and proposes that each molecule in the SLB 451 has specific binding sites corresponding to energetically favorable positions. These sites are 452 energetically equivalent, and as the SLB is pressed by the AFM tip, the energy of the molecules 453 significantly increases, leading them to jump apart and create a hole under the tip. After a critical 454 number of molecules have jumped out of the contact area, the tip indents the SLB due to the 455 high pressure of the remaining molecules, breaking the bilayer. Determining the energy barriers, 456 represented by the Arrhenius law, governing the lipid membranes rupture process contributes 457 to the understanding of the extent of the lateral interactions in the bilayer, and this is generally 458 achieved by following the variation of the F_b with the loading rate (r) by varying the tip-sample 459 approaching velocity (v) in what is called the dynamic force spectroscopy (DFS). Out of 460 equilibrium, the F_b increases linearly with the logarithm of r (and of v as well) (Butt & Franz, 461 2002; S Loi et al., 2002). The loading rate dependence with the thermomechanically activated 462 nature of the bilayer rupture kinetics leads to the evaluation of the activation energy of the bilayer failure in the absence of an external force (ΔE_0). The activation energy ΔE for the 463 464 formation of a hole in the bilayer that is large enough to initiate rupture and lead the tip 465 breakthrough can then be calculated following the expression proposed by Butt et al.:

$$\Delta E(F_b) = -k_B \cdot T \cdot ln\left[\left(\frac{0.693 \cdot k_S}{A}\right) \cdot \frac{dv}{dF_b}\right]$$
(Eq. 1)

466 where $k_{\rm B}$ is the Boltzmann factor, T is the absolute temperature, $k_{\rm s}$ is the cantilever spring 467 constant, A is the frequency at which the AFM tip attempts to penetrate the bilayer, generally

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468 approximated to the resonance frequency of the cantilever, v is the tip velocity, and $F_{\rm b}$ the 469 breakthrough force.

470 When retracting the AFM tip away from the SLB, it sometimes remains connected to the bilayer 471 through a lipid tube (Figure 5, d). While the tip moves further away, the membrane tube grows 472 longer until it breaks at a certain distance, and the cantilever returns to the equilibrium position. 473 This tube pulling process occurs at constant force, the tube growing force (F_{tube}) (Armond et al., 474 2011; Berta Gumí-Audenis et al., 2018; Maeda et al., 2002; Ralf P Richter & Brisson, 2003), and 475 it is observed as a force plateau in the retract part of the force-separation curve (Figure 5), at 476 several tens of pN from which F_{tube} and the tube growing distance (d) can be recorded. Pulling 477 lipid tubes with an AFM tip out of SLBs is a simplified but analogous situation to some biological 478 processes involving mechanical and conformational adjustments -bending, vesiculation, 479 tubulation-like formation of membrane tethers, endocytic vesicles, etc. (Schmidtke & Diamond, 480 2000; Shao et al., 1998; Sheetz, 2001; van Meer et al., 2008). Separation of a membrane segment 481 from the cytoskeleton as well as strong membrane bending are both involved, for which the 482 membrane chemical composition and physicochemical properties are key players. Pulling 483 tethers from cells with an AFM probe is a widely explored approach to evaluate the membrane 484 mechanics on entire cells (Brochard-Wyart et al., 2006; Marcus & Hochmuth, 2002; Nawaz et 485 al., 2015; Sun et al., 2005). Recently, it has also been established as a method to locally probe 486 SLB mechanics and the contribution of the underlying substrate to the measured properties on 487 SLBs (Berta Gumí-Audenis et al., 2018).

488 When pulling tethers from cells, the F_{tube} depends on the membrane properties like bending 489 stiffness (k_c) and in-plane membrane tension (σ), and also on the adhesion between the 490 membrane and the cytoskeleton (Sheetz, 2001). In regions where the membrane has separated 491 from the cytoskeleton -bleb or free membrane-, as there is no direct interaction with the 492 cytoskeleton, F_{tube} strictly relies on k_c and σ (Dai & Sheetz, 1999). However, the cytoskeleton 493 adhesion and σ are difficult terms to separate, and therefore the concept of apparent membrane 494 tension, σ_{app} , has been proposed to include the adhesion energy parameter γ (Sheetz, 2001), 495 $\sigma_{app} = \sigma + \gamma$. When the lipid tube grows under thermodynamic equilibrium, at the limit of zero 496 velocity (static thermodynamic analysis), the following mathematical expression relates the 497 membrane parameters mentioned above with the F_{tube} (Armond et al., 2011; Canham, 1970; 498 Daillant et al., 2005; E Evans & Yeung, 1994; Berta Gumí-Audenis et al., 2018; Hochmuth et al., 1996; Marcus & Hochmuth, 2002; Roux, 2013): $\sigma_{app} = \frac{F_{tube}^2}{8k_c \pi^2}$. 499

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The plus of this approach, AFM-based pulling lipid tubes from SLBs, is that it combines the advantage of the AFM local probing with nanoscale lateral and force resolution, with the simplicity of the SLB model preparation. Besides, the local nanomechanical properties of SLBs can be explored through the combination of the tubing force spectroscopy approach and the F_b analysis exposed before, within the same experimental procedure (Berta Gumí-Audenis et al., 2018).

506 Although it will not be extensively discussed here, we find important to mention that the 507 constant force approach can also be used to evaluate the membrane rupture kinetics in SLBs 508 and supported lipid multibilayers (SLMs). The AFM tip is approached at constant velocity, and 509 then compresses the membrane at a constant force (force clamp, FC). Through measuring the 510 time it takes to penetrate the bilayer -time to breakthrough (t_b) - distribution at different 511 compression forces, the AFM-FC mode allows to estimate the rate of bilayer failure and calculate 512 the location of the energy barrier maximum -distance to the transition state- along the reaction 513 coordinate (Δx) and the activation energy (E_0) that characterize the energy barrier (L Redondo-514 Morata et al., 2012a; Relat-Goberna et al., 2017). Both E_0 as well as Δx were found to be higher 515 for SLBs than for supported lipid multibilayers, endorsing previous suspicion that the mechanical properties of lipid bilayers obtained from nano-indentation are strongly influenced by the 516 517 underlying hard substrate.

4. Phase behavior and nanomechanics. From one componentmembranes to higher complexity

520 4.1. One-component membranes

521 4.1.1. The gel and the fluid phase

To start with the simplest system and increase the complexity in this revision -which does not intend to be exhaustive- consider a one-component phospholipid bilayer, which is thermalized at a temperature far from its T_m , the temperature of the main phase transition, at which a disordering of the hydrophobic tails happens followed by a sudden increase of the lateral mobility. We are then able to distinct two different main phases, gel-like or solid ordered phase (s_o) below T_m , and fluid-like phase or liquid disordered (I_d) above T_m .

A mechanical descriptor of lipid bilayers that shows significant differences between both phases is the bending modulus k_c . At T < T_m, k_c is an order of magnitude larger than at T > T_m, rendering lipid vesicles quite stiff, while softer and more deformable above T_m. In the case of SVLs, where probing deformation is not straightforward, QCM-D has proved to be a useful tool to estimate the extent of vesicle deformation upon adsorption onto surfaces. Reviakine and co-workers (Reviakine et al., 2012) reported deformation measurements of small DMPC vesicles on TiO₂
above and below T_m, and experimentally found out that adsorbed vesicle deformation correlates
with the bilayer bending modulus. Liposomes in the fluid phase bear a smaller bending modulus
and deform to a greater extent.

537 When imaged with the AFM, the SLBs show typically 4-6 nm of height. The measured height is 538 also influenced by both the elasticity of each bilayer and the particular AFM methodology, *i.e.* 539 acoustic-modulation "tapping" mode, contact mode, etc, where the representation of the 540 interface of the lipid bilayer changes. In terms of its mechanical properties, whether we are 541 measuring the elastic deformation or the yield threshold force, a one-component SLB yields a 542 monomodal distribution. While the distribution of deformations or breakthrough forces of the 543 bilayer, being a stochastic process, have been often approached to Gaussian distributions, other 544 distributions based on different theoretical frameworks can also be found in the literature, like 545 the models proposed by Franz et al. (Butt & Franz, 2002) for the two-state activation process of 546 the bilayer rupture, mentioned in section 3.2.

547 In the first place, it could be said that, in a general way, the bilayer thickness depends on the 548 nature of the lipids -solid-like bilayers are usually higher than liquid-like counterparts of similar 549 number of carbons in the hydrocarbon chains. In an s_0 phase, the hydrocarbon chains are 550 stretched, so the apparent height is greater, and this is observed when imaging SLBs by AFM. 551 Consequently, stretched acyl chains lead to stronger van der Waals interactions between 552 neighboring molecules, which translates into a shorter intermolecular distance between 553 phospholipids, and a greater mechanical resilience, both elastically and upon AFM tip 554 penetration.

555 For example, let's briefly consider the elastic deformation of DOPC and DPPC, as models of I_{d} 556 and s_0 SLBs, respectively. The slope of the curve in the contact zone (elastic regime), as we have commented in section 3.2, is related to the elastic modulus of the material. At room 557 558 temperature, the slope for the DOPC SLB, which is in the fluid phase, is lower than that for the 559 solid DPPC SLB. This indicates that DPPC bears a larger Young modulus than DOPC and thus the 560 membranes in the s_0 phase are therefore stiffer than in the I_d phase. Both slopes are smaller 561 than the one that can be measured on the bare substrate (often assumed as an infinitely stiff 562 material, such as mica).

Besides the evidenced difference in elasticity for the fluid and gel-like membranes, I_d SLBs show in general lower F_b values than s_0 SLBs. A good example are homologous series phospholipids; while at room temperature DLPC ($T_m \sim -2$ °C) SLBs break at force values between 2 and 13 nN, 566 according to the ionic environment, DPPC (T_m ~ 41.5 °C) SLBs are pierced by the AFM probe, in 567 similar conditions, in the force range that goes from 7 to 30 nN (Lorena Redondo-Morata et al., 568 2012). It is also noticeable that the $F_{\rm b}$ increase with the logarithm of the loading rate is lower for 569 the fluid than for the gel membranes (Lorena Redondo-Morata et al., 2012). This can be 570 expected, if we consider the Bell-Evans or assimilable model, the most likely breaking force depends linearly on the logarithm of the loading rate r, $F(r) = \left(\frac{k_B T}{x}\right) \ln \frac{rx}{K_0 k_B r}$, where k_B is the 571 572 Boltzmann constant, x is the distance to the transition state and K_0 is the off-rate constant at 0 573 force (E Evans & Ritchie, 1997). In this case, x is directly related to the distance between 574 neighboring phospholipid molecules. This intermolecular distance, therefore x, is smaller in s_{\circ} 575 than in I_d .

576 Early work showed how AFM-FS is able to explore quantitatively the molecular determinants 577 that provide mechanical stability to the supported membrane (S Garcia-Manyes, Oncins, et al., 578 2005a; Sergi Garcia-Manyes et al., 2010). By systematically and independently changing the 579 chemical composition of the lipid headgroup, the length of the hydrophobic tail, and the number 580 of chain unsaturations, {Citation} it was possible to describe the mechanical stability of each 581 bilayer as a direct signature of membrane lateral organization (S Garcia-Manyes et al., 2010). 582 The distribution of $F_{\rm b}$ values as a function of the length of the hydrophobic chain showed that 583 on average, increasing each hydrocarbon tail by 2 extra $-CH_2$ - groups results in ~ 7 nN increase of the F_b , equivalent to an enthalpy increase by roughly 2 kJ/mol (Berg et al., 2002). In the same 584 585 study, the mechanical properties of the linear DPPC were compared with its analogous branched 586 phospholipid, DPhPC, to investigate the effect of acyl chain branching. The distribution of $F_{\rm b}$ 587 showed a decrease from ~20 nN to ~12 nN, revealing an important contribution of the lateral -588 CH₃ groups on the molecular packing of the bilayer. This observation can be rationalized in terms of the larger area per lipid for the branched DPhPC (76.8 Å²) compared to that for the linear 589 590 DPPC (62.0 Å²), as revealed by molecular dynamics simulations conducted in the fluid phase 591 (Shinoda et al., 2007). The nanomechanical effect of the –cis double bonds present in the acyl 592 chains was also investigated. The $F_{\rm b}$ values distributions for each particular bilayer showed an 593 inversely proportional trend between mechanical stability and number of unsaturations. It was 594 estimated that upon introduction of one additional unsaturation in each acyl chain, $F_{\rm b}$ values 595 decrease by ~5 nN. Interestingly, introducing an asymmetric unsaturation resulted in an even 596 further mechanical destabilization. The resulting molecular tilt reduces the effective packing 597 between molecules, giving rise to a lower T_m (Berg et al., 2002). The findings regarding the 598 mechanical implications of the headgroup chemistry were, however, far less straightforward 599 and highly unanticipated. Comparing phospholipids with the same hydrocarbon tails but - 20 - | "Lipid bilayers: phase behavior and nanomechanics" in Membrane Biomechanics,

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600 different headgroups, a huge range of forces required to indent the bilayer in the same 601 experimental conditions, spanning from ~3 nN (DPPA) all the way up to ~66 nN (DPPG).

602 Furthermore, the lateral packing differences observed between fluid and gel-like bilayers is also 603 reflected in the tube growing force, pulled as the AFM probe moves away from the SLBs, as this 604 approach has demonstrated to be sensible to the membrane chemical composition in one-605 component SLBs (Maeda et al., 2002)(Berta Gumí-Audenis et al., 2018). Higher F_{tube} values for 606 DPPC with respect to DMPC have already been observed by Maeda et al. (Maeda et al., 2002) 607 and, as reported in Gumí-Audenis et al. (Berta Gumí-Audenis et al., 2018), F_{tube} is lower for I_{d} 608 mica-SLBs (in the range of 65–80 pN) than for s_0 bilayers of the same headgroup (in the range of 609 90–110 pN) (Figure 6a). Additionally, while in the I_d state the F_{tube} slightly augments from PE to 610 PC (66 \pm 15 pN for DOPE, 80 \pm 25 pN for DOPC and 75 \pm 13 pN for DOPG), it clearly increases 611 when changing the headgroup for s_o membranes from PE to PC and then PG (92 ± 20 pN for 612 DPPE, 104 ± 30 pN for DPPC and 112 ± 30 pN for DPPG). Hence, F_{tube} is generally higher for s_o 613 than for I_d and goes along with the lateral packing associated to different phospholipid 614 headgroups, in a way that is comparable to the trend observed on the $F_{
m b}$ approach, as can be 615 seen in Figure 6b.



617 Figure 6. AFM-pulling lipid tubes sensible to the membrane composition in one-component SLBs. **a**) F_{tube} values for single component SLBs of different composition (DOPE, DPPE, DOPC, DPPC, **b** DOPG and DPPG). Boxchart for F_{tube} values show the mean (•), SE (box) and SD (bars). b) **620** Correlation between F_b and F_{tube} values for single component SLBs. The individual phospholipids **621** contain a constant tail length of 18 C with 1 unsaturation (DOPE, DOPG and DOPC) or 16 C and **622** fully saturated (DPPE, DPPC and DPPG), in fluid and gel state at room temperature (RT), **623** respectively. All the measurements were performed in 150 mM NaCl, 20 mM MgCl₂, 20 mM

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HEPES (pH 7.4) buffer solution and at RT. Adapted from Ref. (Berta Gumí-Audenis et al., 2018)with permission from the Royal Society of Chemistry.

626 Taken together, force spectroscopy experiments on SLBs are in qualitative agreement with the 627 literature that correlates the physicochemical properties of membranes with the chemical 628 composition of their phospholipid hydrophobic chains (Rawicz et al., 2000). Noteworthy, 629 thermodynamic quantities such as the melting temperature or the order parameter can be 630 translated into the realm of mechanical stability. The order parameter is usually calculated from 631 NMR-based measurements and provides information about the overall membrane order and 632 more particularly the conformations that the atoms within the lipid tails adopt (Piggot et al., 633 2017).

634 4.1.2. The thermal transition

635 4.1.2.1. Main transition

636 A useful application of QCM-D is the detection of thermotropic phase transitions within SLBs 637 and SVLs, with the asset that it is label-free, it does not require long temperature equilibration 638 times nor a large amount of sample. When temperature is varied in the absence of any 639 transition, Δf and ΔD typically show a regular, linear temperature dependence, as a reflection of 640 regular, temperature-dependent changes of the viscosity and density of the surrounding liquid 641 medium. When approaching the main phase transition temperature, both frequency and the 642 dissipation responses will differ from a regular temperature dependence, displaying anomalies 643 that reveal that structural changes are taking place (Losada-Pérez et al., 2014; Neupane et al., 644 2018). Figure 7 displays changes in the frequency and its derivative of an SVL of DMPC of vesicles 645 of around 130 nm, with main transition temperature $T_m \sim 24$ °C in a rather narrow temperature 646 range. The shape of frequency shift curves and its respective temperature derivative are 647 reminiscent of the enthalpy jump and its heat capacity derivative typically obtained from 648 calorimetric measurements (Heimburg, 1998; Losada-Pérez et al., 2014). Dissipation typically 649 shows jumps and minima in the same temperature range. Therefore, extrema in the first-order 650 derivative of frequency and dissipation curves stand as a token of the layer thermotropic phase 651 transitions. Calorimetry is a state-of-the art technique for the detection of phase transitions 652 based on changes in thermal properties when lipids undergo the main (first-order) transition 653 (heat absorption or release), while QCM-D is based on changes in viscoelastic properties of the 654 adsorbed vesicles as a result of changes in bilayer thickness, rigidity and vesicle shape. Although 655 also detectable in SLBs, the size of the jumps in Δf and ΔD is several orders of magnitude smaller 656 than the size of the jumps observed for SVLs (Wargenau & Tufenkji, 2014; Hasan & Mechler, 657 2015).

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Figure 7. Temperature dependence of the frequency shift $d\Delta f_3/dT$ (black solid line) and its firstorder derivative (blue solid line) of a DMPC SVL upon heating at 0.2 °C/min.

661 Reviakine and coworkers provided a comprehensive interpretation of the mechanisms by which 662 QCM-D detects phase transitions in lipid membranes and the related relative contribution of 663 thickness, stiffness and hydrodynamic channels to the Δf and ΔD fingerprints (Peschel et al., 664 2016). Upon heating, lipid bilayers in both SVLs and SLBs change from a thicker and stiffer s_0 665 phase to a thinner and less stiff I_d one. Both stiffness and thickness changes in the lipid layer 666 properties across the transition encompass changes in the Δf signal (decrease upon heating). In 667 the case of the ΔD , the stiffness and the thickness contributions oppose each other since stiffer 668 layers dissipate less. In the SLBs, this leads to an overtone dependence of the transition peak (Peschel et al., 2016). In thicker and softer SVLs, changes in the shape of the adsorbed vesicles 669 670 might induce further hydrodynamic channels that contribute to ΔD . As a consequence, the size 671 of the maximum in SVLs is several orders of magnitude larger than the one observed in SLBs.

The thermotropic phase behavior of pure SLBs has been widely related with the (nano)mechanical properties of the bilayer. Combining the previously exposed approaches, the AFM-FS sensitivity to the lipid phase of SLBs is evidenced, positioning it as an appropriate tool to determine the phase thermal transitions. Temperature controlled-AFM has been established as a suitable tool to analyze both the topographical and mechanical evolution of biologically relevant issues that are temperature dependent at the nanometer scale.

Seminal work of Leonenko *et al.* demonstrated the existence of several phase transitions in DPPC
and DOPC mica-supported bilayers (Z. V. Leonenko et al., 2004). Data of both topographical
imaging and force measurements provide invaluable insight into membrane behavior during
phase transitions. For DPPC SLBs, *F_b* values decrease as temperature increases, and beyond the

682 main transition, DPPC shows similar force plots as the fluid-phase DOPC bilayers. This 683 dependence of the $F_{\rm b}$ on the phase state of the SLB below and above $T_{\rm m}$ has been further reported for different lipids, like DMPC ($T_m \sim 24$ °C) that changes F_b from 13-14 nN at 20 °C 684 below T_m to about 6 nN at or 40 °C DPPC ($T_m \sim 42$ °C) that shows a switch of F_b from around 20-685 686 25 nN at 27 °C to around 3-5 nN at 55 °C (S Garcia-Manyes, Guell, et al., 2005; L Redondo-Morata 687 et al., 2012b). When the DPPC SLB is maintained a temperature slightly above T_m , coexistence 688 of the gel and fluid phase occurs and a bimodal $F_{\rm b}$ distribution is obtained (Figure 8), as reported 689 in Gumí-Audenis et al. (B. Gumí-Audenis et al., 2016a). This is because the thermal transitions in 690 SLBs generally happen at temperatures slightly higher and in a broader range than in vesicle 691 suspensions as a consequence of the underlying substrate affecting lateral order and interleaflet 692 coupling (S Garcia-Manyes, Guell, et al., 2005; Z. V Leonenko et al., 2004; L Redondo-Morata et 693 al., 2012b; Seeger et al., 2010). In Garcia-Manyes et al. it was shown that the main phase 694 transition occurs in a range of temperatures of *ca*. 10-13 °C (S Garcia-Manyes, Oncins, et al., 695 2005b).

696 There is an effect of temperature on the mechanical stability for the SLBs because the increase 697 of temperature directly affects the molecules fluctuations provoking an increment in the area 698 per molecule -lower packing density-, especially noticeable in the gel phase, with the 699 consequent decrease of the F_b values. At temperatures around the T_m of the lipid, and for a 700 temperature range of a few °C, a pronounced drop in $F_{\rm b}$ takes please, as the SLB gradually 701 transitions to the fluid phase, thereby softening. Several degrees over the T_m , the F_b values are 702 on the order of the ones typically observed for $I_{\rm d}$ SLBs at room temperature (Alessandrini & Facci, 703 2012; S Garcia-Manyes, Oncins, et al., 2005a; L Redondo-Morata et al., 2012b). This can be seen 704 in the example shown in Figure 8d, where the DPPC mica-SLB shows an $F_{\rm b}$ value around of 21 705 nN at 27 °C and slight decreases with temperature as the 42–45 °C range is reached. At higher 706 temperatures, the F_b remains approximately constant at ca. 3.5 nN. The F_b -temperature trend 707 displays a break around the T_m value of DPPC.



708

709Figure 8. Phase transition of DPPC bilayers: a) DSC thermogram of DPPC vesicle suspension; b) 6710 μ m x 2.5 μ m AFM images of DPPC silicon-SLB at 27 °C and 44 °C; c) F_b histogram at 44 °C and

711 gaussian fits to the bimodal distribution. All experiments performed in 150 mM NaCl, 20 mM

712 MgCl₂, 20 mM HEPES (pH 7.4) buffer solution. Adapted from Ref. (B. Gumí-Audenis et al., 2016a).

- 713 d) Mean $F_{\rm b}$ value of DPPC mica-SLB as a function of temperature, in 10 mM HEPES, 20 mM MgCl₂
- and 150 mM NaCl (pH 7.4). The shadowed vertical line marks the observed transition
 temperature range. Adapted from Ref. (L Redondo-Morata et al., 2012b)

Additionally, Mouritsen and coworkers proposed that AFM topography at a varying temperature could decouple the phase transition of the two different leaflets of the mica-supported bilayer (D. Keller et al., 2005). The low temperature transition was proposed to be related to the melting of the leaflet far from the substrate surface, and the second transition to the phase transition of the leaflet in contact with the mica. Such decoupling would respond to the stronger interaction between the lipid headgroups of the proximal monolayer and the mica support.

722 *4.1.2.2. Pretransition*

723 Some lipids present a pretransition before the main first-order transition which gives rise to an 724 intermediate order in the lipid bilayers referred to as ripple phase. Ripple phases are curved 725 structures with undulating surface topography. AFM imaging revealed the structure of the ripple 726 phase in hydrated conditions at the nanometer scale (Kaasgaard et al., 2003), and such particular 727 structure has been recently explored nanomechanically (Majewska et al., 2020). It can be found 728 when solid phase lipids experience an increase in temperature and go into the fluid phase, and 729 alternatively it occurs when the fluid phase is cooled down. The observation of this phase 730 transition process is characterized by a melting point temperature of the ripple phase to fluid 731 phase, nucleation point and growth directionality for the formation of the ripple phase. HS-AFM 732 coupled to a temperature-controlled system can report directly ripple to fluid phase transitions 733 (reversibly) in real time and at high resolution (Takahashi et al., 2016). Transition processes from 734 ripple phase to fluid phase and from ripple phase to metastable ripple phase to fluid phase, 735 could be reversibly, phenomenologically and quantitatively studied. In Takahashi et al., results 736 showed phase transition hysteresis in fast cooling and heating processes, while both melting 737 and condensation occurred at 24.15 °C in quasi-steady state situation. A second metastable 738 ripple phase with larger periodicity was formed at the ripple phase to fluid phase transition when 739 the buffer contained Ca²⁺. Thermodynamically, a lipid system containing small domains does not 740 necessarily correspond to a phase coexistence regime, but they may constitute a one phase 741 regime with micro- or nanoscale heterogeneities¹ (Heimburg, 2000). Altogether, these findings

¹ We will further visit this topic in Section 5).

may have relevance in more complex cellular systems where lipid nanodomains form anddissociate reversibly.

744 4.2 Phase coexistence

745 Phase segregation and coexistence in lipid bilayers generally happens in the simplest models 746 when mixing a fluid and a gel-like phospholipids, and in the more complex mixtures, like those 747 containing phospholipids, sphingomyelins, ceramides, and cholesterol. At room temperature 748 the bilayers composed of a mixture of a gel and a fluid phospholipid generally segregate into 749 different domains, showing a continuous fluid phase enriched with I_d lipid and thicker dispersed 750 domains associated to a phase enriched in the s_0 lipid. When the T_m of the gel-phase lipid is 751 overcome, the binary phospholipid system becomes homogeneous and fluid. Among the many 752 that can be found in the literature, we here mention some examples of these binary systems.

753 The capability of QCM-D to detect lateral phase coexistence and map phase diagrams for binary 754 lipid mixtures of saturated phospholipids will be provided for binary mixtures of homologous 755 phosphatidylcholines DMPC and DSPC, whose chemical structures differ in four methylene 756 groups. This system has been thoroughly investigated experimentally and theoretically in free 757 standing lipid systems (Ehrig et al., 2011; Fidorra et al., 2009; Mabrey & Sturtevant, 1976). 758 DMPC:DSPC mixing behavior is driven by the large hydrophobic mismatch between the two 759 components and departs from ideality as a consequence of the large difference in T_m of the pure 760 constituents.

761 Figure 9 (left panel) shows representative QCM-D signature of phase transitions we now revisit 762 taking place upon heating pure SVLs of pure DMPC and three DMPC:DSPC mixtures (Losada-763 Pérez, Mertens, et al., 2015). The diameter of the precursor vesicles forming the SVLs was 764 around 130 nm. For pure DMPC, the main transition takes place in a rather narrow temperature 765 range around $T_m \sim 24$ °C. The first-order derivatives of Δf curves capture the typical behaviour 766 of two-component lipid mixtures displaying s_0 and I_d phase coexistence. As very low DSPC 767 concentration (DSPC mole fraction = 0.1), the transition is shifted towards higher temperatures 768 and takes place in a broader temperature range indicating a loss of intermolecular cooperation 769 between DMPC molecules to undergo the main transition due to the presence of DSPC. For the 770 mixtures close to the equimolar concentration two small peaks can be observed indicating the 771 coexistence of two phases, s_0 and l_d . The peaks are not simple additions of the peaks of the 772 individual constituents, the size of each peak scales with the concentration of each lipid in each 773 coexisting phase. For instance, the inset of Figure 9 displays the peaks of the mixture 774 DMPC:DSPC (40:60). The low-temperature peak corresponds to the DMPC-rich phase, while the

- high-temperature peak to the DSPC-rich phase. As expected, the size of the latter is higher, since
- the concentration of DSPC in the mixture is larger.
- The analysis of the peaks using the tangent method (Ehrig et al., 2011) to determine the onset
- and completion temperatures enables to map the phase diagram of this mixture. The solid lines
- are added as a guide to the eye, delimiting the phase coexistence region, dark red (liquidus line),
- 780 light blue (solidus line) and follow experimental heat capacity values by Maubrey *et al*. (Mabrey
- 781 & Sturtevant, 1976) obtained for multilamellar vesicles of the same concentration.



782

Figure 9. Left panel: temperature profiles of the first-order temperature derivative of the
frequency shifts for pure DMPC and DMPC:DPSC mixtures 10%, 40% and 60% mole fraction (in
percentage) of DSPC. Right panel: phase diagram obtained from extracting onset and completion
temperatures from the peaks (Losada-Pérez, Mertens, et al., 2015).

787 As discussed in the previous sections, the nanomechanical properties determined by AFM-FS on 788 SLBs are distinctive of the chemical structure and molecular organization of a bilayer at specific 789 environmental conditions. This allows for a direct correlation of the elastic modulus, F_{b} , or F_{tube} 790 values with the composition in phase-segregated membranes. In most cases, the AFM 791 topographical characterization easily identifies the segregation of an SLB in different domains, 792 due to their difference in thickness and compressibility. However, it is possible to reveal the 793 presence of different domains in an SLB on the basis of their different mechanical properties 794 even when the domains have a height difference which is too small to be detected by 795 conventional imaging.

Bernchou *et al.* studied, using combined fluorescence microscopy and AFM, the nucleation and growth of domains in equimolar binary SLBs composed by DOPC and DPPC (Bernchou et al., 2009), a mixture that shows coexistence of I_d and s_o domains at room temperature (Z. V. Leonenko et al., 2004). These authors could observe that during cooling the shape of the domains evolved from compact to a branched morphology, which they discussed as the domain growth being controlled by the diffusion of DPPC from the liquid phase toward the solid domain
interface. In the late stages of the growth, the size and overall shape of the domains depend on
the position of the nucleation points relative to the surrounding nucleation point positions.

804 A mechanical contribution concerning the elastic deformation of the membrane before the 805 breakthrough point should be considered to fully comprehend the force-distance curve. A 806 method to study the elastic properties consists of quantitative nanomechanical mapping (Picas 807 et al., 2012). In (L Redondo-Morata et al., 2016), the equimolar binary lipid mixture DPPC:DOPC 808 was studied, where I_d and s_0 coexisting domains in SLBs are large and directly visually discernable 809 by AFM imaging (Figure 10a) with clearly distinguishable heights (~0.8 nm height difference, 810 Figure 10b). Elastic modulus nano-mechanical mapping (Figure 10c) shows good agreement 811 with the E values in the literature, characterized mechanically by AFM (Picas et al., 2012; Rawicz et al., 2000) and micropipette aspiration (Dieluweit et al., 2010). The mean E values are 812 26^{+58}_{-12} MPa for the I_d domain and 33^{+75}_{-15} MPa for the s_o domain, respectively (Figure 10d). 813





Figure 10. PF-QNM AFM Topography and Elasticity mapping of DOPC:DPPC (1:1) SLBs. a)
Topography image (nm) and b) height histogram analysis of the topography (a). The dashed lines
in a) represent the domain edges defined by edge detection. The solid lines in b) are Gaussian fits
to the height distribution, indicating an average height difference between the DOPC *l*_d (blue line)

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and DPPC s_o (red line) domains of 0.82 nm. c) The corresponding stiffness map (log scale, MPa) and d) Young's modulus values histograms (log scale, MPa) of the regions outlined in the topography (a) and stiffness (c) maps, corresponding to the DOPC (blue) and DPPC (red) domains. The solid lines are Gaussian fits to the distributions peaking at 26^{+58}_{-12} Mpa (DOPC) and 33^{+75}_{-15} Mpa (DPPC) (from reference (L Redondo-Morata et al., 2016) with permission).

Using the Young modulus for each domain of the DOPC:DPPC mixture together with the experimental bilayer thickness (*h*), the thin shell theory can be used to calculate the area compression-expansion modulus (K_A) and bending modulus (k_C) as (Rawicz et al., 2000):

827
$$K_A = \frac{Eh}{(1-\nu^2)}$$
 and $k_c = \frac{Eh^3}{24(1-\nu^2)}$

828 When lipid domains separate in lipid mixtures, or when lipids surround membrane embedded 829 proteins there is, in general, a hydrophobic mismatch at the domain interfaces (Wallace et al., 830 2006) or protein/bilayer interfaces (Mouritsen & Bloom, 1984), with an ensuing compression or 831 stretching of the hydrocarbon chains in the vicinity of the interface. This hydrocarbon tail 832 stretching or compression may influence the distribution of domain sizes and the kinetics of 833 domain separation, as well as membrane protein function. The compression/stretching of the 834 acyl chains of the s_o and l_d domains can be determined by minimizing the elastic energy of the 835 system, $G_s(x)$, expressed assuming volume conservation (Wallace et al., 2006) as

836
$$G_s = \frac{K_0 A_0}{2h_0^2} (x - h_0)^2 + \frac{K_D A_D}{2h_D^2} (x - h_D)^2$$
(2)

837 where K_o and K_d are the stretch moduli for s_o and I_d , respectively. A_o and A_d are the lipid area per molecule for s_o and l_d , respectively. A values are well-documented in literature (notably 838 839 through X-ray diffraction and Langmuir methods), being 0.72 nm² for I_d DOPC and 0.49 nm² for 840 s_o DPPC at room temperature (Nagle & Tristram-Nagle, 2000). h_o and h_d are the measured 841 bilayer thickness of s_o and l_{d_i} respectively. The estimated G_s values for the DOPC l_d and the DPPC 842 s_o domains are 0.27 k_BT. Hence, the experimental determination of E allows to estimate the 843 stretch modulus and the bending stiffness of the lipid membrane, as well as the energetic cost 844 of the lipid domains to accommodate to different thickness.

For the SLB system composed of a POPE:POPG mixture (Picas et al., 2009), AFM images revealed the existence of two separated phases, the higher showing a region with protruding subdomains. AFM-FS was then used to clarify the nature of each phase. The values of $F_{\rm b}$, adhesion force and height extracted from the force curves were assigned to the corresponding $s_{\rm o}$ (the mechanically stable domains) and $I_{\rm d}$ phase (the mechanically labile phase). In Crespo850 Villanueva et al., while the SLB composed of equimolar DSPC:DOPC was shown to phase 851 segregate, clearly demonstrated in the AFM topographical images and the correlative F_b maps 852 and bimodal distributions around \sim 40 nN and \sim 5 nN, the equimolar mixture of the two I_{d} lipids DOPC:DOPS led to a homogeneous topography and narrow $F_{\rm b}$ distribution around 3.5 nN 853 854 (Crespo-Villanueva et al., 2018). In Domenech et al., they studied ternary mixtures of 855 POPC:POPE:CL, a minimum system that mimics the mitochondrial inner membrane (Domenech 856 et al., 2007). They could observe laterally segregated domains whose height decreased with 857 increasing temperatures.

858 Pulling lipid tubes with the AFM probe also allows to characterize phase segregated bilayers and 859 laterally resolve the different domains in multicomponent SLBs (Berta Gumí-Audenis et al., 860 2018). This is exemplified in Figure 11, a bilayer composed of the I_d lipid DOPE and the s_0 lipid 861 DPPG in ratio DOPE:DPPG 25:75, that at room temperature forms SLB patches with coexisting 862 domains: gel-phase rich in DPPG, dispersed in fluid-phase rich in DOPE. When indented by the AFM tip at a constant velocity of 1 μ m s⁻¹, a bimodal F_b distribution is obtained, with 863 864 differentiated mean $F_{\rm b}$ values associated with the fluid (~5 nN) and gel (~12 nN) domains. 865 Analyzing the retract force-separation curves that showed the tube pulling event allowed to 866 represent the F_{tube} distribution and map, where the lower force values (red pixels) are 867 distributed in the peripheral part of the SLB patch and higher forces (yellow pixels) in the center, 868 and the F_{tube} distribution is resolved when sorting according to the corresponding F_b , giving a mean value of 75 \pm 20 pN for the DOPE-rich phase, and 112 \pm 25 pN for the DPPG-rich phase. 869 870 The fact that F_b and F_{tube} for the domains slightly differ from the values for pure DOPE and DPPG 871 SLBs allows to infer partial miscibility among them.



873Figure 11. DOPE:DPPG (25:75). a) AC-mode AFM topographical image; b) F_b map and distribution;874c) F_{tube} map and distribution; d) F_{tube} distributions resolved by filtering according to the F_b

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875 distribution. Reproduced from Ref. (Berta Gumí-Audenis et al., 2018) with permission from the876 Royal Society of Chemistry.

877 Higher complexity models, like the ternary lipid mixtures containing an unsaturated 878 phosphatidylcholine (PC), a saturated PC or sphingomyelin (SM), and Cholesterol (Chol) have 879 been probably the most studied due to the physiological abundance of these lipids. Depending 880 on the ratio of the components, these mixtures have shown to exhibit two coexisting fluid 881 phases: an I_0 phase that is enriched in Chol and SM or saturated PC, and an I_d phase that is 882 predominantly composed of unsaturated PC (Johnston, 2007), and the possibility of a coexisting 883 s_{\circ} phase. The group of Schwille has extensively analyzed the domain organization in 884 DOPC/SM/Chol model membranes by means of AFM in combination with fluorescence 885 correlation spectroscopy (FSC) (S Chiantia, Kahya, et al., 2006; S Chiantia, Ries, et al., 2006; 886 Salvatore Chiantia et al., 2007, 2008) and by performing AFM-FS experiments on the different 887 phases they have shown that the average force needed to pierce the l_{0} phase of the 888 DOPC/SM/Chol bilayer is about 4 nN higher than the one needed to pierce the I_d phase. In a 889 parallel study, Zou and coworkers explored the influence of different cholesterol contents on 890 the morphology and (nano)mechanical stability of phase-segregated DOPC/SM/Chol lipid bilayers by means of AFM imaging and force mapping (R M A Sullan et al., 2010). They observed 891 892 consistently higher F_b values in the SM/Chol-enriched I_o domains than in the DOPC-enriched I_d 893 phase, and that the (nano)mechanical stability of both coexisting phases counterintuitively 894 decreased with increasing Chol content. By following the dependence of the F_b value with the 895 loading rate in both phases, they calculated the activation energies (ΔE_0) of bilayer rupture at 896 zero applied force. ΔE_0 values obtained were in the range 75-125 kJ/mol for all tested Chol 897 contents and did not show a dependence on the different lipid phases.

898 Besides sphingolipids, ceramides (Cer) have also gained attention in physicochemical studies by 899 means of AFM, since they are known to be predominant in physiologically relevant nanodomains 900 -which have been controversially called for many years lipid rafts (Goñi, 2019a). Longo and 901 coworkers observed that DLPC/GalCer/Chol ternary mixtures only display I_d/s_0 coexistence and 902 no lo phase even at high Chol content (Blanchette et al., 2006). By measuring the area/perimeter 903 ratios of the segregated domains, the authors concluded that Chol was able to decrease the line 904 tension between the two phases. In another study (W.-C. Lin et al., 2007), they could also 905 observe a phase coexistence attributed to I_d/I_o phases with POPC and DOPC at 10 mol% Chol, an 906 indication that fatty acyl chain unsaturation, cholesterol ratio and lipid hydrophobic mismatch 907 in the organization of multi-component lipid systems.

908 Ceramide incorporation on coexisting fluid phase and ordered domains in phase-separated 909 binary and ternary lipid mixtures was shown to affect the lipid spatial organization in domains, 910 with the appearance of a Cer-enriched gel-like phase and the displacement of cholesterol from 911 rafts (S Chiantia, Kahya, et al., 2006; Salvatore Chiantia et al., 2007; Ira et al., 2009). In order to 912 directly probe and quantify the (nano)mechanical stability and rigidity of the Cer-enriched 913 platforms, Zou et al. performed AFM topographical images and force mapping that allowed the 914 determination of the F_b value and elastic modulus of the different phases (Ruby May A Sullan et al., 2009a, 2009b; Zou & Johnston, 2010). They confirmed the expulsion of cholesterol from 915 916 sphingolipid/Chol-enriched domains as a result of Cer incorporation, and observed an increased 917 mechanical stability attributed to the influence of Cer in the lipid organization and packing 918 behavior, also reported later on GalCer rich domains on model membranes with PC and Chol 919 (Berta Gumí-Audenis et al., 2015).

920 The group of Goñi has systematically explored the effect of the acyl compositions of 921 sphingomyelins and the corresponding ceramide counterparts in ternary mixtures with PC and 922 Chol (García-Arribas et al., 2016; González-Ramírez et al., 2019; Jiménez-Rojo et al., 2014)). 923 Combining confocal microscopy, DSC, AFM imaging and FS, they compared the effects of C24:1 924 Cer (nervonoyl ceramide, nCer) with those of C16:0 Cer (palmitoyl ceramide, pCer) in bilayers 925 composed basically of DOPC, SM (either C24:1, nSM or C16:0, pSM) and Chol (García-Arribas et 926 al., 2017). AFM-FS showed that nCer has a lower stiffening effect than pCer, while the presence 927 of nSM reduces the stiffness. When the proportion of phospholipid increases beyond 60 mol %, 928 a lateral phase separation occurs at the micrometer scale (González-Ramírez et al., 2019). The 929 authors interpreted this data as a pCer:Chol interaction, that would predominate at the lower 930 phospholipid concentrations. The putative pCer:Chol complexes (or nanodomains) would mix 931 well with the phospholipid. At higher SM concentrations pSM:pCer and pSM:Chol interactions would become more important, giving rise to the coexisting s_0 and l_0 phases, respectively. 932 933 Heterogeneity, or lateral phase separation, occurs more easily with pSM than with DPPC, 934 indicating a higher affinity of SM over DPPC for Chol or Cer. Altogether, the findings of Goñi and 935 coworkers show the sharp increase in complexity when membranes exhibit different 936 sphingolipids of varying N-acyl chains, which should be a common issue in an actual cell 937 membrane environment.

938 1.2. The role of cholesterol

939 Cholesterol is a fundamental constituent of the eukaryotic cell plasma membranes. Eukaryotic 940 cell membranes are not entirely in the conventional I_d phase. Instead, they are, at least partially, 941 in the Chol-rich liquid-ordered (I_o) phase or a phase with similar properties. I_o phase is

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942 characterized by a high degree of acyl chain order and is favored by high-T_m lipids with saturated 943 acyl chains such as sphingolipids, when they are mixed with Chol, as exposed in the previous 944 section. Chol plays the essential function of regulating the physical properties of the cell 945 membrane as it controls the lipid organization and phase behavior, regulates the membrane 946 fluidity and its mechanical strength, influencing the passive permeability of water and other 947 small molecules (Pan et al., 2008; Pinkwart et al., 2019). From the molecular perspective, Chol 948 is considered to produce a condensing effect and induce ordering to fluid phase lipids forming 949 the membrane and to have the opposite effect on phospholipids present in the gel phase (Hung 950 et al., 2007; Rog et al., 2009). However, several experiments pointed out the complex effect of 951 Chol on lipid bilayers, which depends on the molecular structure of the neighboring lipids: the 952 degree of chain unsaturation, the length of the hydrophobic tail and the chemistry of the 953 headgroup (Pan et al., 2008; Mills et al., 2009; Garcia-Manyes et al., 2010). In view of 954 acknowledging the particular role of cholesterol, let us briefly outline results on the phase 955 behavior of SVLs and SLBs consisting of binary mixtures of saturated phospholipids and 956 cholesterol using mechanical descriptors such as shear viscosity of SVLs and nanomechanics of 957 SLBs.

958 We first describe the phase behavior of SVLs containing binary mixtures of DMPC:Chol using 959 QCM-D. Upon the addition of Chol to phospholipid bilayers, the sharp main phase transition in 960 pure DMPC gradually diminishes and eventually disappears over a given Chol concentration. In 961 the case of DMPC, SVLs showed no transition from 30% cholesterol. Figure 12 shows normalized 962 shear viscosity $\eta_{norm} = [\eta(t)/\eta(t=0))$, with t the time] for pure DMPC and DMPC:Chol (20 mol %) 963 Chol) calculated using a Voigt-based viscoelastic model (Voinova et al., 1999). At intermediate 964 Chol concentrations, asymmetric peaks can be observed. These peaks encompass two main 965 contributions, a sharp, narrow peak and a broader one that overlaps with the former (see right 966 panel in Figure 12 for a more detailed view including Gaussian fitting). Two domains can be 967 identified, namely, the sharp contribution can be ascribed to the pure DMPC-rich domains 968 undergoing the main transition and the latter to the Chol-rich ones. The broader peak appears 969 as a result of the reduced mobility of the hydrophobic chains of DMPC in Chol-rich domains. The 970 presence and the size of these domains depend strongly on the concentration of cholesterol and 971 the temperature. On increasing the amount of cholesterol, the temperature range of the overall 972 transition increases as a result of the extension of the second broad contribution, while the size 973 of the maximum corresponding to the melting of the single lipid decreases. No phase transition 974 is detected at Chol concentration over 30 mol % indicating the formation of a homogeneous 975 liquid ordered I_o phase at a macroscopic level. Such a large cholesterol concentration inhibits

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the formation of extended domains. A similar pattern of behavior was observed for DPPC:Chol
SVLs, however, for this later system, the completion temperature of the overall transition at
intermediate cholesterol concentrations was not attained, due to the (upper) limited
temperature range of commercial QCM-D systems (Losada-Pérez, Khorshid, et al., 2015). These
results are in agreement with recent calorimetric studies of free-standing membranes of the
same systems (Mannock et al., 2010).



982

Figure 12. Left panel Temperature dependence of the normalized effective shear viscosity (dash
dotted lines) and its first-order derivative (solid line) of DMPC and DMPC + 20% cholesterol SVLs
on a supported vesicle layer on a gold-coated QCM-D quartz sensor upon heating. Right panel
closer view of the normalized shear viscosity first-order derivative for DMPC + 20% cholesterol
SVLs. Solid lines denote multiple peak gaussian fitting.

The role of Chol in the structure and nanomechanics of SLBs has been extensively studied by AFM (L Redondo-Morata et al., 2012b; R M A Sullan et al., 2010; Al-Rekabi & Contera, 2018; S Garcia-Manyes et al., 2010; Adhyapak et al., 2018), as well as its interplay with sphingolipids or other lipids (García-Arribas et al., 2016; 2006; Ahyayauch et al., 2002; Guyomarc'h et al., 2014) and further reviewed in (B. Gumí-Audenis et al., 2016b).

993 By means of temperature-controlled AFM imaging and AFM-FS to assess the influence of Chol 994 on the membrane ordering and stability (Redondo-Morata et al., 2012), this work analyzed a 995 DPPC:Chol in representative range of compositions up to 50 mol % Chol, studying the phase evolution upon heating (from room temperature to temperatures high above the T_m of DPPC) 996 997 and the corresponding (nano)mechanical stability. This provided a correlation between the 998 mechanical behavior and composition with the lateral order of each phase present in the 999 bilayers. It proved that low Chol contents lead to a phase segregated system, while high contents 1000 of Chol can give a homogeneous topography, although a bimodal F_b distribution was observed, 1001 possibly due to the presence of small heterogeneities that could not be resolved in the images. 1002 In all cases, it was demonstrated that Chol enhances the mechanical stability of the binary

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1003 membrane, and an extraordinarily stable system was observed for equimolar fractions (50 mol 1004 % Chol). In addition, even when no thermal transition was detected by the traditional bulk 1005 analysis techniques for vesicles with high Chol content (40 and 50 mol %), it was shown that 1006 temperature-controlled AFM-FS is capable of identifying a thermal transition for the SLBs. As 1007 detailed in Figure 13, for high-Chol contents -40 and 50 mol %- the DPPC:Chol system shows a 1008 double $F_{\rm b}$ distribution at room temperature, probably due to the presence of (space or time) 1009 non-resolved heterogeneities for these compositions. Although no thermal transition was observed in DSC thermograms of free-standing systems, when AFM-FS experiments were 1010 1011 performed at different temperatures for these systems, a gradual decrease of the mean *F*_b value 1012 was observed until temperature reached ca. 40 °C. The continuous decrease in the F_b value with 1013 increasing temperature may be ascribed to an increase in fluidity of the system, and it is evident 1014 that, although not detected in DSC of DPPC:Chol vesicles, a transition occurs for DPPC:Chol SLBs 1015 with 40 and 50 mol % Chol around 42-45 °C. Below this temperature, the system in the I_o phase 1016 presents extraordinary lateral order and may have properties that resemble a gel-like bilayer, 1017 and as temperature increases, it gradually becomes a system with higher lateral mobility (but 1018 still with higher order than the DPPC I_d phase). This behavior indicates that for DPPC:Chol SLBs, 1019 a thermal transition occurs even for high-Chol compositions, in a temperature range between 1020 ca. 42-47 °C independently of the membrane composition, and that it may probably be of 1021 second-order for the high-Chol DPPC:Chol bilayers (40-50 mol %), which generally appear as a 1022 very broad transition or it is even not detected in DSC experiments of free standing vesicles or 1023 QCM-D of SVLs.



1024

Figure 13. a) Mean F_b value of DPPC:Chol SLBs in 10 mM HEPES, 20 mM MgCl₂ and 150 mM NaCl,
pH 7.4, with various Chol contents, as a function of temperature. The shadowed vertical line
marks the temperature range where the main transition in pure DPPC occurs. For DPPC:Chol SLBs
with 40 and 50 mol % Chol, although not detected in DSC of DPPC:Chol vesicles, a transition occurs
around 42–45 °C. b) F_b maps and distributions for DPPC:Chol SPB with 10 mol % Chol, in 10 mM
HEPES, 20 mM MgCl₂ and 150 mM NaCl, pH 7.4, with increasing temperature. Reprinted from (L
Redondo-Morata et al., 2012b).

Strikingly, information about the lateral in-plane structure of such systems can be instead 1032 1033 obtained by grazing incidence XR diffraction (GIXD). In (Gumi-Audenis et al., 2018), a silicon-SLB 1034 system was designed in order to deal with the requirement of the wetting preservation to 1035 guarantee the membrane stability while minimizing the background from the liquid 1036 environment. Using this methodology, it was confirmed that DPPC:Chol with low contents of 1037 Chol up to 30 mol % lead to separation into two coexisting phases at room temperature and 1038 concentrations higher than 30 mol % Chol appear to be a unique I_0 phase. This condition was 1039 consistent with the earlier work (Redondo-Morata et al., 2012b) demonstrating that such 1040 increase of nanomechanical resistance is associated to a strong lateral interaction mediated by Chol molecules placed between the DPPC ones, due to a highly stable structure with most 1041 1042 probably an equimolar DPPC:Chol ratio.

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"Lipid bilayers: phase behavior and nanomechanics" in *Membrane Biomechanics*, Volume 86. Ed. Levitan, I.; Trache, A.; 2020. ISBN: 9780128210215, *Elsevier* To date, efforts continue to understand the complex role of cholesterol in biomembranes. Still,
these discoveries cannot always be directly translated to the behavior of living cell membranes.
By means of advanced fluorescence imaging and spectroscopy, Sezgin and colleagues (Pinkwart
et al., 2019) have shown that cholesterol diffuses faster than phospholipids in live membranes,
but not in model membranes. Interestingly, a detailed statistical diffusion analysis suggested
two-component diffusion for cholesterol in the plasma membrane of live cells.

Recent work based on a systematic AFM-FS study on DOPC:Chol SLBs at varying ratios suggested, however, that cholesterol may not just be responsible of increasing the mechanical stability but also introduce irregularities across the leaflets (Adhyapak et al., 2018). At Chol ratios above 20%, they could observe two sudden jumps in the force-distance curve, the second jump always displaying shorten separation. They interpreted that cholesterol induced asymmetry across the (in the inner and outer leaflets) bilayer, related to the phenomena of interleaflet coupling and depending on Chol concentration.

1056 Recently, Contera and coworkers took a different approach to study the effect of Chol in the 1057 mechanical properties of lipid bilayers (Al-Rekabi & Contera, 2018). Applying different 1058 frequencies simultaneously in an AFM topography mode, namely amplitude modulation-1059 frequency modulation (AM-FM), they investigated the effect of Chol on DPPC bilayers in 1060 concentrations from 0 to 60 %. The lipid bilayers probed with this methodology displayed both 1061 elastic and viscous behavior. Cholesterol showed to modulates both, increasing elastic and 1062 viscous components as a function of Chol concentrations. The authors interpreted that their 1063 results may have a physiological relevance, where such viscoelastic properties may be used by 1064 cells to modulate the propagation (elastic) or attenuation (viscous) of mechanical signals across 1065 the cell membrane.

1066 5. Connection between nanoscale measurements and1067 thermodynamic descriptors of membranes

1068

When studying phase coexistence in lipid membranes a distinction between thermodynamically stable phases and transient local domains in lipid bilayer at equilibrium should be made. Let us briefly discuss this in line with the above-exposed results on phospholipid-cholesterol binary mixtures. PC-Chol binary mixtures have been studied for many years using a plethora of experimental techniques. Yet, despite their apparent simplicity being two-component systems, their phase behavior remains controversial and, in many cases, departs from the canonical phase diagram proposed by Vist and Davis (Vist & Davis, 1990). In fact, results depend strongly on the

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1076 spatio-temporal resolution of the different experimental techniques. For instance, unlike 1077 predicted by most phase diagrams, I_d-I_o phase coexistence was not observed for micron-sized GUVs of DPPC-cholesterol mixtures using fluorescence microscopy (Veatch & Keller, 2003), 1078 1079 while some other techniques captured this phenomenon for free standing MLVs vesicles (DSC 1080 (Mannock et al., 2010), volumetric (Miyoshi et al., 2014), NMR (Davis et al., 2009), SLBs (AFM)(L 1081 Redondo-Morata et al., 2012b), and SVLs (QCM-D)(Losada-Pérez, Khorshid, et al., 2015). These 1082 findings suggest that, instead of macroscopic phase separation, these systems are presumably 1083 filled with mesoscopic and nanoscopic domains, whose size and lifetime depends on 1084 temperature and mixture composition (Winkler et al., 2017).

1085 Classically, when dealing with phase separation the Gibbs' phase rule is customarily invoked, 1086 assuming macroscopic phase coexistence and neglecting the role of phase boundaries, which 1087 comparably, hardly contribute to the free energy. If domains are small, the interfaces between 1088 domains constitute an important part of the system and its contribution to the free energy is 1089 not negligible. In this respect, using the term phase in the classical thermodynamic sense might 1090 not be appropriate (Heimburg, 1998; Almeida et al., 2005). Following Hill (Hill, 2013) a system 1091 can no longer be called a phase when it ceases to behave like a macroscopic system and starts 1092 behaving like a small system.

1093 In this respect, both AFM and QCM-D have demonstrated to be valuable tools to detect domain
1094 (nano/mesoscopic sized domains) coexistence within supported membranes of binary lipid
1095 mixtures.

1096 6. Conclusions and future perspectives

1097 As mentioned in the previous sections, AFM-FS can interestingly resolve coexistence of domains 1098 at concentrations where height differences at domain boundaries are not detectable. 1099 Breakthrough force thus stands as a useful mechanical descriptor to resolve domain coexistence. 1100 Even though domains cannot be considered as phases in the purely thermodynamic standpoint, 1101 this does not mean that these systems cannot be treated in a rigorous thermodynamic way. As 1102 a matter of fact, the breakthrough force is able to detect a thermal transition at high cholesterol 1103 concentrations in binary PC:Chol SLBs, whose origin deserves to be revisited and further 1104 explored in the framework of either fluctuations arising in the vicinity of a critical point, the 1105 possibility of glassy-cholesterol-rich nanodomains or eventual cholesterol crystallization. In this 1106 sense, the development of HS-AFM will likely provide new insights due to its unprecedented 1107 spatio-temporal resolution, thanks to a direct correlation between structure and diffusion 1108 (Munguira et al., 2016). Similarly, HS Force Spectroscopy has the capacity to cover a wider force

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spectrum, which can help to validate theoretical frameworks of the field, as well as provide adirect correlation with Molecular Dynamics simulations (Rico et al., 2013).

1111 There is indeed plenty of room in fundamental lipid biophysics and phase behavior in particular 1112 to use both purely mechanical and viscoelastic properties as descriptors to provide a more 1113 complete picture of the origins of domain formation and coexistence. The ultimate lipid phase 1114 coexistence to be fully understood are transient nanodomains, often (confusively) referred to 1115 as lipid rafts. There is to date no agreement about the size and lifetime of rafts, and the term is 1116 used to referred to a collection of different structures (Goñi, 2019). Based on the current 1117 knowledge, microdomains in equilibrium are no longer considered suitable models for the 1118 biological structure that rafts represent. Fortunately, advanced super-resolution microscopies 1119 and fast techniques are becoming available and will shed some light in one of the most discussed 1120 topics in biomembrane studies.

1121 In light of this, multiscale spatio-temporal measurements of mechanical properties of supported 1122 membranes can help to experimentally address different scenarios where membrane micro- and nano-domain formation finds theoretical support. Starting from equilibrium ternary lipid 1123 1124 mixtures in the vicinity of a critical point, it might be worth exploring how the presence of critical 1125 fluctuations reflects in the membrane mechanical properties, and if these properties can be 1126 used as physical descriptors to test critical-point universality laws. Secondly, tuning membrane 1127 (local and global) environment by actively controlling curvature, leaflet asymmetry, solid 1128 support topography and addition of external components would help complete the manifold 1129 where domain formation occurs. Finally, the growing spatiotemporal capabilities of AFM are 1130 promising tools to explore membrane organization in the realm of non-equilibrium phenomena.

1131 7. Ackn

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1138

8. Glossary of lipid acronyms

1139

 Acronym
 Long name

 - 39 - |
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C16:0 palmitoyl Cer (aka palmitoyl Cer, pCer)	N-palmitoyl-D-erythro-sphingosine
C16:0 palmitoyl SM (aka palmitoyl SM)	N-palmitoyl-D-erythro-sphingosylphosphorylcholine
C24:1 nervonoyl Cer (aka nervonoyl Cer, nCer)	N-nervonoyl-D-erythro-sphingosine
C24:1 nervonoyl SM (aka nervonoyl SM)	N-Nervonoyl-D-erythro-sphingosylphosphorylcholine-d9
Cer	ceramide
Chol	cholesterol
CL	cardiolipin
DMPC	1,2-dimyristoyl-sn-glycero-3-phosphocholine
DOPC	1,2-dioleoyl-sn-glycero-3-phosphocholine
DOPE	1,2-dioleoyl-sn-glycero-3-phosphoethanolamine
DOPG	1,2-dioleoyl-sn-glycero-3-[phospho-rac-(3-lysyl(1-glycerol))]
DOTAP	1,2-dioleoyl-3-trimethylammonium-propane
DPPA	1,2-dipalmitoyl-sn-glycero-3-phosphate
DPPC	1,2-dipalmitoyl-sn-glycero-3-phosphocholine
DPPE	1,2-dipalmitoyl-sn-glycero-3-phosphoethanolamine
DPPG	1,2-dipalmitoyl-sn-glycero-3-phospho-(1'-rac-glycerol)
DSPC	1,2-distearoyl-sn-glycero-3-phosphocholine
GalCer	Galactosylceramides
PC	phosphocholine
PE	phosphoethanolamine
POPC	1-palmitoyl-2-oleoyl-glycero-3-phosphocholine
POPE	1-palmitoyl-2-oleoyl-sn-glycero-3-phosphoethanolamine
POPG	1-palmitoyl-2-oleoyl-sn-glycero-3-phospho-(1'-rac-glycerol)
SM	sphongomyelin

1140 9. References

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