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ORIGINAL ARTICLE

Human Brain Ages With Hierarchy-Selective Attenuation of Prediction Errors

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Abstract

From the perspective of predictive coding, our brain embodies a hierarchical generative model to realize perception, which proactively predicts the statistical structure of sensory inputs. How are these predictive processes modified as we age? Recent research suggested that aging leads to decreased weighting of sensory inputs and increased reliance on predictions. Here we investigated whether this age-related shift from sensorium to predictions occurs at all levels of hierarchical message passing. We recorded the electroencephalography responses with an auditory local–global paradigm in a cohort of 108 healthy participants from 3 groups: seniors, adults, and adolescents. The detection of local deviancy seems largely preserved in older individuals at earlier latency (including the mismatch negativity followed by the P3a but not the reorienting negativity). In contrast, the detection of global deviancy is clearly compromised in older individuals, as they showed worse task performance and attenuated P3b. Our findings demonstrate that older brains show little decline in sensory (i.e., first-order) prediction errors but significant diminution in contextual (i.e., second-order) prediction errors. Age-related deficient maintenance of auditory information in working memory might affect whether and how lower-level prediction errors propagate to the higher level.

Key words: aging, auditory perception, electroencephalography (EEG), prediction errors, predictive coding

Introduction

Predictive processing is fundamental to audition. This is because the auditory system essentially deals with sequential inputs, which contains relevant information in the imminent future. The predictive coding model of perception further delineates that the perceptual system uses a hierarchical generative model to proactively represent the statistical structure of sensory inputs (Friston 2005, 2009; Egner et al. 2010; Feldman and Friston 2010; for reviews see Clark 2013; Heilbron and Chait 2018).

Specifically, perception involves the matching of a cascade of top-down predictions with sensory inputs, which aims to minimize prediction errors (or the inconsistency between anticipated and incoming signals) in the system.

How are these predictive processes modified as we age? Normal aging typically degrades precision of peripheral and central processing, which leads to decreased weighting of sensory inputs and increased reliance on predictions (Wolpe et al. 2016; Chan et al. 2017). Recent neurocomputational research

quantifying the synaptic coupling underlying the mismatch negativity (MMN) also found an age-related attenuation of learning-dependent increase in forward connectivity from primary auditory cortex speaking to a reduced sensitivity to ascending afferents of prediction errors (Moran et al. 2014) as well as an age-related increase of inhibitory effect at inferior frontal gyrus indicating increased firing rate of the inhibitory neurons (Cooray et al. 2014) over lifespan. It seems that older brains are less predisposed to updating the prior probability estimate, leading to a perception of the environment increasingly dominated by top-down information. In other words, age turns our brain into a stubborn prediction machine where the sensorium is weighted less to optimize predictions. This is consistent with reports on age-related shifts in neuronal recruitment from sensory to frontal regions (Davis et al. 2008).

However, it is currently unclear whether this age-related shift from sensorium to predictions occurs at all levels of hierarchical message passing, which includes sensory (i.e., first-order) predictions and contextual (i.e., second-order) predictions. A well-established auditory local-global paradigm (Bekinschtein et al. 2009) probing auditory prediction at 2 hierarchical levels of deviancy found that the detection of local/global deviancy involves distinct neuronal systems (Wacongne et al. 2011; Chennu et al. 2013; El Karoui et al. 2015). Local deviancy elicited the MMN (regardless of participants' task), which reflects a preattentive, automatic, and nonconscious response to a first-order violation of participants' expectation constructed on the basis of recent sensory history. It originated from a restricted network involving bilateral Heschl's gyrus, superior temporal gyrus, and frontal region at a smaller extent (Chennu et al. 2016; for reviews see Alho 1995) with a slight delay (Rinne et al. 2000). Global deviancy, on the other hand, elicited the P3b (only when participants were aware of the violations of global regularities), which reflects a second-order violation of participants' expectation of a given contextual rule. It is thought to index conscious access and updating in working memory (Donchin and Coles 1988; Polich 2004, 2007). Its putative generators involve a brain-scale cortical network including prefrontal, parietal, temporal, and cingulate regions (Tarkka et al. 1995; Polich 2004, 2007; Patel and Azzam 2005). Such dissociation of the consciousness-independent/-dependent capacity to hierarchically predict the local/global structure of the auditory environment is shared by human (Faugeras et al. 2011, 2012; King et al. 2013; Strauss et al. 2015) and nonhuman primates (Uhrig et al. 2014, 2016).

An indiscriminate age-related shift from sensorium to predictions should be associated with reduced MMN and P3b, signaling reduced prediction errors due to decreased weighting of sensory inputs at both sensory and contextual levels. Nevertheless, conclusions are difficult to draw from the literature. Previous research examining the age-related changes in the MMN yielded equivocal results. While some studies found no evidence of age-dependent attenuation of the MMN (Pekkonen et al. 1993, 1996; Schroeder et al. 1995; Gunter et al. 1996; Kazmierski et al. 1997; Amenedo and Díaz 1998), other studies found seniors to exhibit a smaller MMN (Czigler et al. 1992; Gaeta et al. 1998, 2001; Alain and Woods 1999; Cooper et al. 2006; Schiff et al. 2008). The lack of consensus was likely due to variations in how participants' attention was monitored, the length of the stimulus onset asynchrony (SOA), etc., making it difficult to judge whether age attenuates the first-order prediction errors. On the other hand, while P3b was commonly reported to show age-related changes in its amplitude, latency, and topography (Schiff et al.

2008; van Dinteren et al. 2018; for reviews see Friedman et al. 1997; Friedman 2003), its measurement was usually based on the classification of the auditory deviant/standard stimuli that first elicited the MMN. Therefore, it remains undetermined to what extent the P3b unconfoundedly reflects the second-order prediction errors.

To test whether the age-related shift from sensorium to predictions is hierarchy selective, here we recorded the electroencephalography (EEG) responses with an auditory local-global paradigm, which orthogonally manipulated local (i.e., first-order) and global (i.e., second-order) regularities (Fig. 1). In a cohort of 108 healthy participants from 3 groups: seniors (≥ 55 years old), adults (19–54 years old), and adolescents (≤ 18 years old), we found that older brains show little decline in the MMN signaling sensory (i.e., first-order) prediction errors but significant diminution in the P3b signaling contextual (i.e., second-order) prediction errors, which is related to deficient maintenance of auditory information in working memory.

Materials and Methods

Participants

A total of 108 healthy volunteers including 36 seniors (age between 55 and 82 years, mean = 65.31, standard deviation [SD] = 7.03), 36 adults (age between 19 and 27 years, mean = 21.17, SD = 2.01), and 36 adolescents (age between 15 and 18 years, mean = 16.97, SD = 0.81) participated in the experiment with no history of neurological, neuropsychiatric, or visual/hearing impairments as indicated by self-report. Participants provided us with information about their gender, handedness, level of education, and marital status. Participants gave written informed consent and were paid for participation. The study was conducted in accordance with the Declaration of Helsinki and approved by the Research Ethics Committee at National Taiwan Normal University. A power analysis was conducted in G*Power 3.1.9.7 (Faul et al. 2007, 2009) using a model for 1-way analysis of variance (ANOVA) with 3 groups, each with a sample size of 36. With alpha set at 0.05, the power to detect a medium-sized effect ($f = 0.25$) was 0.63 and the power to detect a large-sized effect ($f = 0.40$) was 0.96.

Participants underwent a neuropsychological evaluation of cognitive functions. Their working memory was measured with 3 subtests in Wechsler Adult Intelligence Scale-Fourth Edition, including Digit Span, Letter-Number Sequencing, and Arithmetic. Their depressive symptoms were measured with the Center for Epidemiological Studies-Depression (CES-D) scale (Radloff 1977; Chien and Cheng 1985). It is a 20-item self-report scale that asks one to rate how often over the past week he/she experienced symptoms associated with depression. Response options range from 1 to 4 for each item (1 rarely or none of the time [< 1 day], 2 some or little of the time [1–2 days], 3 occasionally or a moderate amount of time [3–4 days], 4 most or all of the time [5–7 days]). Their level of perceived stress was measured with the Perceived Stress Scale (PSS) (Cohen et al. 1983; Chu 2005). It is a 14-item self-report scale that asks about one's feelings and thoughts during the last month. Response options range from 0 to 4 for each item (0 never, 1 almost never, 2 sometimes, 3 fairly often, 4 very often). All tests were administered and scored by a licensed counseling psychologist according to standard procedures. Demographic characteristics and cognitive functions of the participants are summarized in Table 1.

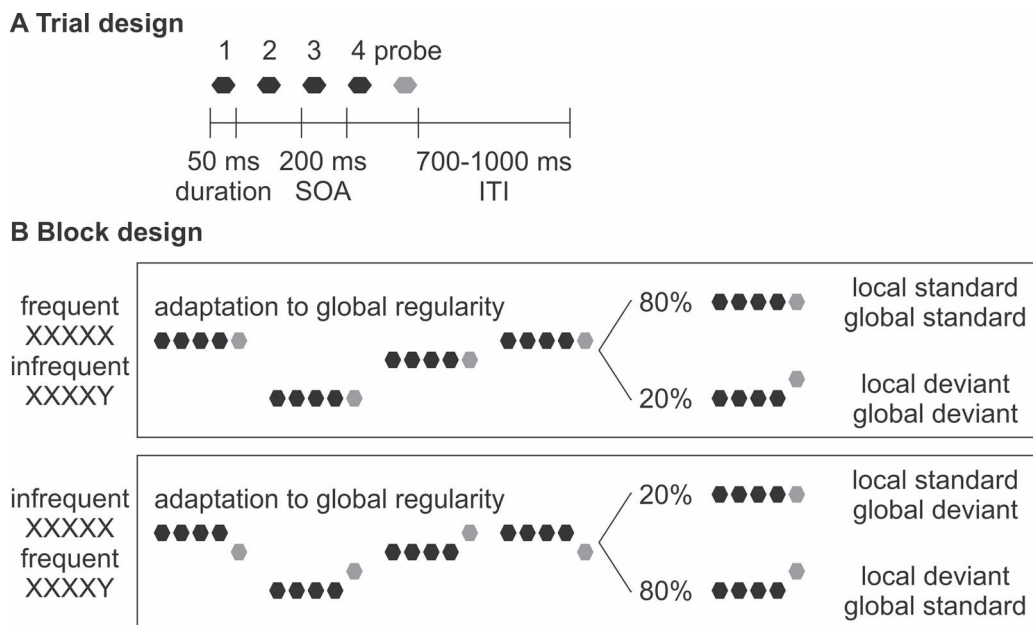


Figure 1. Schematic illustration of the auditory local–global paradigm. (A). On each trial, 5 sinusoidal tones of 50-ms duration were presented with a 200 ms SOA. Each trial was followed by a jittered ITI of 700–1000 ms. (B). In each block, 2 different types of tone quintets were presented, the first using the 5-time repetition of the same tone (i.e., XXXXX) and the second with a change in the last tone (i.e., XXXXY). Half of the blocks contained frequent repetition and infrequent change in the last tone (upper: 80% XXXXX and 20% XXXXY) and half contained infrequent repetition and frequent change in the last tone (lower: 20% XXXXX and 80% XXXXY). The last tone in each tone quintet (i.e., probe) is marked in gray as it is the tone of interest. Each block started with at least 20 frequent tone quintets to establish the global regularity before the first infrequent tone quintet appeared. Participants were instructed to 1) identify infrequent trials and 2) count the number of infrequent trials in each block.

Stimuli

A total of 14 sinusoidal tones were generated using Sound Forge Pro 10.0 (Sony Creative Software Inc.). The duration of each tone was 50 ms (including 5 ms rise/fall times). The frequency of each tone was within the range of 261.626–987.767 Hz, matching the absolute frequency of a series of 14 natural keys on a modern piano (i.e., C4 D4 E4 F4 G4 A4 B4 C5 D5 E5 F5 G5 A5 B5) (Table 2).

Unlike previous research using 2 tones in the auditory local–global paradigm (Bekinschtein et al. 2009; Wacongne et al. 2011; Chennu et al. 2013; El Karoui et al. 2015) (i.e., blocks of frequent repetition and infrequent change: 80% AAAAA + 20% AAAAB or 80% BBBB + 20% BBBBA; blocks of infrequent repetition and frequent change: 20% AAAAA + 80% AAAAB or 20% BBBB + 80% BBBBA), here we introduced variation in pitch using 14 tones. Specifically, from the pool of 14 tones, 2 different types of tone quintets were created, the first using the 5-time repetition of the same tone (i.e., the XXXXX type, which could be C4–C4–C4–C4–C4, D4–D4–D4–D4–D4, E4–E4–E4–E4–E4, etc.) and the second with a change in the last tone (i.e., the XXXXY type, which could be C4–C4–C4–C4–A5, D4–D4–D4–D4–B4, E4–E4–E4–E4–C5, etc.). Thereafter, for blocks of frequent repetition and infrequent change (Fig. 1B upper) 80% of the trials were randomly sampled from the XXXXX type and 20% from the XXXXY type, whereas for blocks of infrequent repetition and frequent change (i.e., Fig. 1B lower) 20% of the trials were randomly sampled from the XXXXX type and 80% from the XXXXY type. Such variation in pitch not only decreased stimulus-specific effects (ensuring that neurophysiological responses indeed reflect prediction errors per se) but also increased task difficulty (which helps reveal individual differences in task performance).

Procedures

A total of 1000 tone quintets were presented in 8 blocks in randomized order. Half of the blocks contained frequent repetition and infrequent change in the last tone (i.e., Fig. 1B upper: 80% XXXXX and 20% XXXXY) and half contained infrequent repetition and frequent change in the last tone (i.e., Fig. 1B lower: 20% XXXXX and 80% XXXXY). Both block types presented a local regularity where the fifth tone could be identical or different from preceding tones (hence the local standard and the local deviant) and a global regularity where one tone quintet could be more or less common than the other (hence the global standard and the global deviant) (Fig. 1B). In each block, the number of tone quintets varied between 110 and 140, with the number of frequent tone quintets varied between 88 and 112 and the number of infrequent tone quintets varied between 22 and 28 to maintain the 80:20 frequency ratio. Each block started with at least 20 frequent tone quintets to establish the global regularity before the first infrequent tone quintet appeared.

A gray fixation cross against black background remained on the screen for the duration of each block (viewed from a distance of 120 cm). In each trial, a tone quintet was presented with a 200 ms SOA and an intensity of maximum 82 dB (56–82 dBA; 65–82 dBC). Each trial was followed by a jittered intertrial interval (ITI) of 700–1000 ms. Participants were instructed to 1) identify infrequent trials and 2) count the number of infrequent trials in each block. The whole experiment took around 29 min (i.e., 1000 trials × 1700 ms). E-prime version 2.0 (Psychology Software Tools) was used for stimulus presentation. Stimulation was randomized individually for each participant and delivered binaurally via headphones (Sennheiser PX200-II).

Table 1 Demographic characteristics and cognitive functions of the participants

		Seniors	Adults	Adolescents
Gender	Male	10	13	20
	Female	26	23	16
Handedness	Right	33	33	34
	Left	1	2	2
	Ambidextrous	2	1	0
Level of education	Primary	2	0	0
	Secondary	19	26	36
	Tertiary	15	10	0
Marital status	Single	3	35	36
	Married	27	1	0
	Divorced	2	0	0
	Widowed	4	0	0
Working memory (Digit Span)	Min	17	29	24
	Max	43	46	47
	Mean	30.39	36.56	36.64
	SD	5.93	4.69	5.54
Working memory (Letter-Number Sequencing)	Min	13	16	17
	Max	26	30	29
	Mean	19.36	23.86	24.00
	SD	3.02	3.36	2.81
Working memory (Arithmetic)	Min	9	11	12
	Max	23	22	23
	Mean	15.69	18.42	19.00
	SD	4.03	2.64	2.56
Depressive symptoms (CES-D)	Min	20	23	23
	Max	40	68	58
	Mean	27.92	35.58	34.14
	SD	4.87	9.84	8.23
Level of perceived stress (PSS)	Min	0	17	14
	Max	35	41	31
	Mean	25.72	25.58	25.33
	SD	6.21	5.18	4.60

Table 2 Frequency (Hz) of each tone

Frequency	C4	D4	E4	F4	G4	A4	B4
	261.63	293.67	329.63	349.23	392.00	440.00	493.88
Frequency	C5	D5	E5	F5	G5	A5	B5
	523.25	587.33	659.26	698.46	783.99	880.00	987.77

Data Recording and Analysis

EEG Recording and Preprocessing

EEG was recorded from 62 sintered Ag/AgCl electrodes on a Neuroscan quik-cap according to the extended 10–20 system. The ground electrode was placed at AFz and the reference electrode was placed between Cz and CPz. Eye movements were monitored by additional 4 electrodes placed above and below the left eye and at the outer canthi of both eyes, which were bipolarized online to yield vertical and horizontal electrooculogram, respectively. All signals were amplified and online filtered at 0.1–100 Hz with the Neuroscan Synamps 2 amplifier (Compumedics Neuroscan) and sampled at 500 Hz.

Epochs extended from –900 to 498 ms relative to probe onset, using a –100 to 0 ms prestimulus baseline. Ocular artifact correction was conducted with independent component analysis in EEGLab (Delorme and Makeig 2004). The data were recomputed to average reference, high-pass filtered at 0.5 Hz and low-pass filtered at 20 Hz. Epochs containing voltage deviations

exceeding ± 100 μ V relative to baseline at any of the electrodes were rejected. The trial numbers after artifact rejection in each condition are listed in Table 3.

Statistical Analysis of Event-Related Potential (ERP) Amplitude

Local effect was defined as the difference between all local deviants and local standards (averaged across global manipulation). Global effect was defined as the difference between all global deviants and global standards (averaged across local manipulation). Group difference in local and global effects was defined as local as well as global difference ERPs (i.e., deviant–standard) between seniors, adults, and adolescents.

Cluster-based permutation statistics were performed in BESA Statistics 2.0 (Brain Electric Source Analysis, Germany) on the ERPs from 0 to 498 ms relative to probe onset. Cluster-based permutation statistics have 2 essential steps (Maris and Oostenveld 2007). First, the desired test (local and global effects: paired-sample *t*-test between deviant and standard; group effect: 1-way

Table 3 Range, mean, and SD of trial numbers after artifact rejection in each condition

		Seniors	Adults	Adolescents
Local standard	Min	190	299	259
	Max	420	414	424
Global standard	Mean	361.56	367.36	375.64
	SD	50.95	29.28	30.81
Local deviant	Min	42	71	63
	Max	105	103	106
Global deviant	Mean	91.33	93.00	93.94
	SD	12.82	7.98	8.05
Local standard	Min	59	70	64
	Max	102	104	104
Global deviant	Mean	92.17	93.72	93.67
	SD	9.92	7.55	8.46
Local deviant	Min	211	298	235
	Max	406	407	412
Global standard	Mean	363.17	370.39	374.36
	SD	42.61	30.14	33.37

ANOVA between seniors, adults, and adolescents) is run over all time points and electrodes. Values below a certain threshold (cluster alpha 0.05) are clustered based on temporal adjacency (consecutive time points) and spatial adjacency (electrode distance 4 cm). All *t*-values/*F*-values of the clusters are summed to form the cluster test statistic. Second, a permutation distribution to estimate the cluster test statistic is generated with the bootstrapping method, which randomly reassigns the condition/group labels and performs the aforementioned testing and clustering procedure again (number of permutations 3000). If the observed cluster test statistic in contrast to permutation distribution is $<0.05/6$ (local and global effects in 3 groups) = 0.008, the observed cluster is considered to be statistically significant.

Statistical Analysis of Behavioral Measures

One-way ANOVA (performed in SPSS 23) between seniors, adults, and adolescents was conducted to examine group difference on cognitive functions (including working memory, depressive symptoms, and level of perceived stress) as well as task performance (including identification accuracy and counting errors).

To further explore the relation between cognitive functions and EEG, we conducted a partial correlation between working memory and the size of local and global effects, where participants' scores on CES-D and PSS were controlled for to remove the potential influences of psychological factors (i.e., depressive symptoms and level of perceived stress) on cognitive functions. We also conducted a stepwise multiple linear regression to evaluate whether all scores on working memory subtests were necessary to predict the size of local and global effects.

Results

Cognitive Functions

Significant group difference was found on all but the last measure (Digit Span: $F_{2,105} = 15.81$, $P < 0.001$; Letter-Number Sequencing: $F_{2,105} = 26.63$, $P < 0.001$; Arithmetic: $F_{2,105} = 11.29$, $P < 0.001$; CES-D: $F_{2,105} = 9.52$, $P < 0.001$; PSS: $F_{2,105} = 0.05$, $P = 0.95$). Post hoc comparison for the group difference using Tukey's Honest Significant Difference (HSD) test indicated that, in working memory subtests and CES-D (but not PSS), seniors scored significantly lower than the 2 younger groups, whereas

the 2 younger groups did not significantly differ from each other (Table 4 and Fig. 2).

Task Performance

Participants Were Asked to Identify Infrequent Trials

Participants could identify which tone quintets were global deviants with an average accuracy of 0.91 ± 0.02 standard error (SE) (seniors: 0.78 ± 0.04 SE; adults: 0.97 ± 0.01 SE; adolescents: 0.98 ± 0.01 SE). There was a significant group difference ($F_{2,105} = 22.93$, $P < 0.001$) where seniors showed lower identification accuracy than the 2 younger groups, whereas the 2 younger groups did not significantly differ from each other (Tukey's HSD test: seniors–adults: -0.18 ± 0.03 , $P < 0.001$; seniors–adolescents: -0.20 ± 0.03 , $P < 0.001$; adults–adolescents: -0.02 ± 0.03 , $P = 0.85$).

Participants Were Asked to Count the Number of Infrequent Trials

Participants' counting errors were quantified as the absolute difference between the actual and reported number of global deviants. The average discrepancy was 6.47 ± 0.56 SE (seniors: 8.99 ± 1.00 SE; adults: 5.49 ± 0.97 SE; adolescents: 4.93 ± 0.79 SE). There was a significant group difference ($F_{2,105} = 5.64$, $P < 0.01$) where seniors showed larger counting errors than the 2 younger groups, whereas the 2 younger groups did not significantly differ from each other (Tukey's HSD test: seniors–adults: 3.51 ± 1.31 , $P < 0.05$; seniors–adolescents: 4.06 ± 1.31 , $P < 0.01$; adults–adolescents: 0.55 ± 1.31 , $P = 0.91$).

EEG

Figure 3 shows the topographical distributions of local and global effects (i.e., deviant–standard) in each group. Overall, the pattern was similar across groups, with seniors diverging more from the 2 younger groups. Local effect mainly manifested as a frontocentral negativity at around 100–150 ms (i.e., MMN), a frontocentral positivity at around 200–300 ms (i.e., P3a), and a frontocentral negativity at around 350–450 ms (i.e., reorienting negativity [RON]). Global effect mainly manifested as a frontocentral negativity at around 200 ms and a parietal positivity at around 250–450 ms (i.e., P3b).

Table 4 Post hoc comparison for the group effect using Tukey's HSD test (mean difference \pm SE)

	Seniors–adults	Seniors–adolescents	Adults–adolescents
Digit Span	$-6.17 \pm 1.28, P < 0.001$	$-6.25 \pm 1.28, P < 0.001$	$-0.08 \pm 1.28, P = 1.00$
Letter-Number Sequencing	$-4.50 \pm 0.72, P < 0.001$	$-4.64 \pm 0.72, P < 0.001$	$-0.14 \pm 0.72, P = 0.98$
Arithmetic	$-2.72 \pm 0.74, P \leq 0.001$	$-3.31 \pm 0.74, P < 0.001$	$-0.58 \pm 0.74, P = 0.71$
CES-D	$-7.67 \pm 1.87, P < 0.001$	$-6.22 \pm 1.87, P < 0.010$	$1.44 \pm 1.87, P = 0.72$

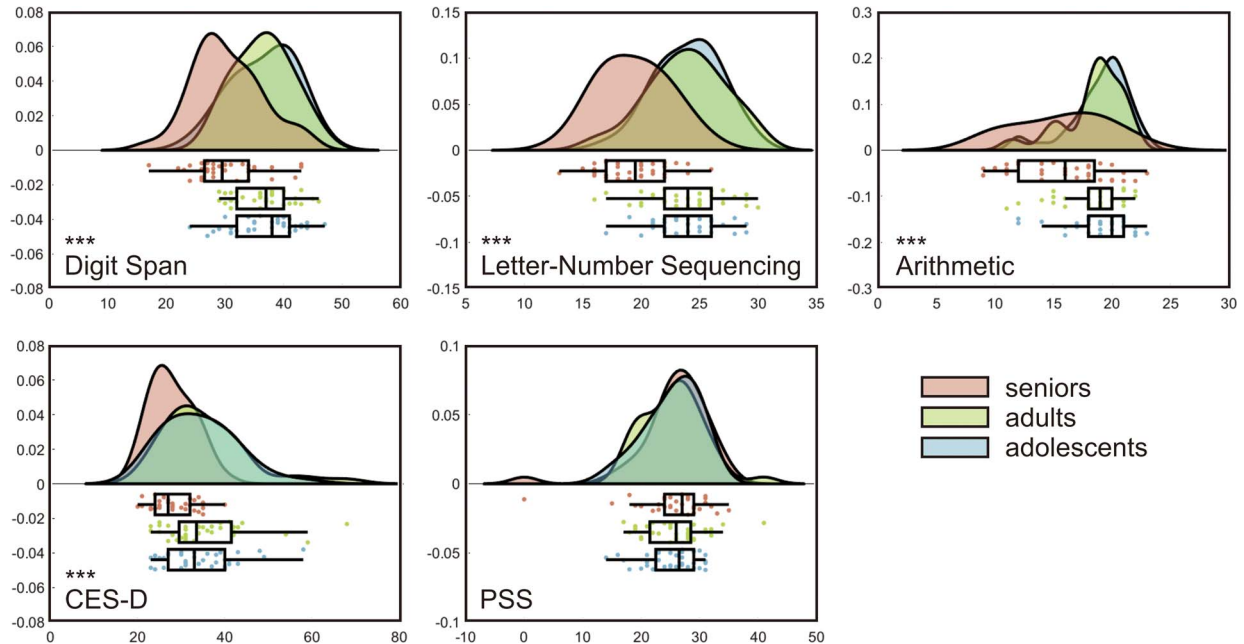
**Figure 2.** Raincloud plots (Allen et al. 2019) of cognitive functions measured with working memory subtests, CES-D, and PSS. Significant group effects were found in all but the last measure (*** $P < 0.001$).

Figure 4A shows the grand averaged ERPs of local and global effects on representative electrodes (i.e., FCz and Pz) in each group. Figure 4B shows the 2-D (time-by-participant) views of the ERPs to illustrate the putative group difference in local and global effects. For local effect (upper), there was a lack of fronto-central negativity at around 350–450 ms (i.e., RON) in seniors. For global effect (lower), there was a lack of frontocentral negativity at around 200 ms and a diminished parietal positivity at around 250–450 ms (i.e., P3b) in seniors.

Local and Global Effects

Cluster-based permutation statistics suggested that significant local and global effects appeared in all 3 groups. Violation of the local regularity (Fig. 5A upper) modulated 3 clusters of ERPs in seniors (cluster 1: 56–498 ms [$P < 0.0001$]; cluster 2: 96–380 ms [$P < 0.0001$]; cluster 3: 374–498 ms [$P < 0.008$]), adults (cluster 1: 94–498 ms [$P < 0.0001$]; cluster 2: 172–498 ms [$P < 0.0001$]; cluster 3: 68–168 ms [$P \leq 0.001$]), and adolescents (cluster 1: 98–498 ms [$P < 0.0001$]; cluster 2: 172–498 ms [$P < 0.0001$]; cluster 3: 60–164 ms [$P < 0.001$]). Violation of the global regularity (Fig. 5A lower) modulated 2 clusters of ERPs in seniors (cluster 1: 150–498 ms [$P < 0.0001$]; cluster 2: 134–498 ms [$P < 0.0001$]), adults (cluster 1: 102–498 ms [$P < 0.0001$]; cluster 2: 124–498 ms [$P < 0.0001$]), and adolescents (cluster 1: 96–498 ms [$P < 0.0001$]; cluster 2: 124–498 ms [$P < 0.0001$]).

Group Difference in Local and Global Effects

Cluster-based permutation statistics revealed significant group difference in both local and global effects. Local effects modulated 2 clusters of ERPs (cluster 1: 14–498 ms [$P < 0.0001$]; cluster 2: 262–422 ms [$P < 0.008$]) (Fig. 5B upper). Post hoc comparisons showed significant difference between seniors and adults (cluster 1: 274–498 ms [$P < 0.001$]; cluster 2: 274–412 ms [$P < 0.008$]) as well as between seniors and adolescents (cluster 1: 266–498 ms [$P < 0.0001$]; cluster 2: 262–422 ms [$P \leq 0.001$]). There was no difference between the 2 younger groups. Global effects modulated 1 cluster of ERPs (130–498 ms [$P < 0.0001$]) (Fig. 5B lower). Post hoc comparisons showed significant difference between seniors and adults (cluster 1: 166–498 ms [$P < 0.0001$]; cluster 2: 176–498 ms [$P < 0.001$]) as well as between seniors and adolescents (132–498 ms [$P < 0.0001$]). There was no difference between the 2 younger groups.

Correlation Between Cognitive Functions and EEG

To explore the relation between cognitive functions and EEG, we conducted a partial correlation between working memory and the size of local and global effects, while controlling for participants' scores on CES-D and PSS. Working memory was quantified as the scores on working memory subtests. The size of local and global effects was quantified as difference ERPs (i.e., deviant–standard) on electrodes and time points showing

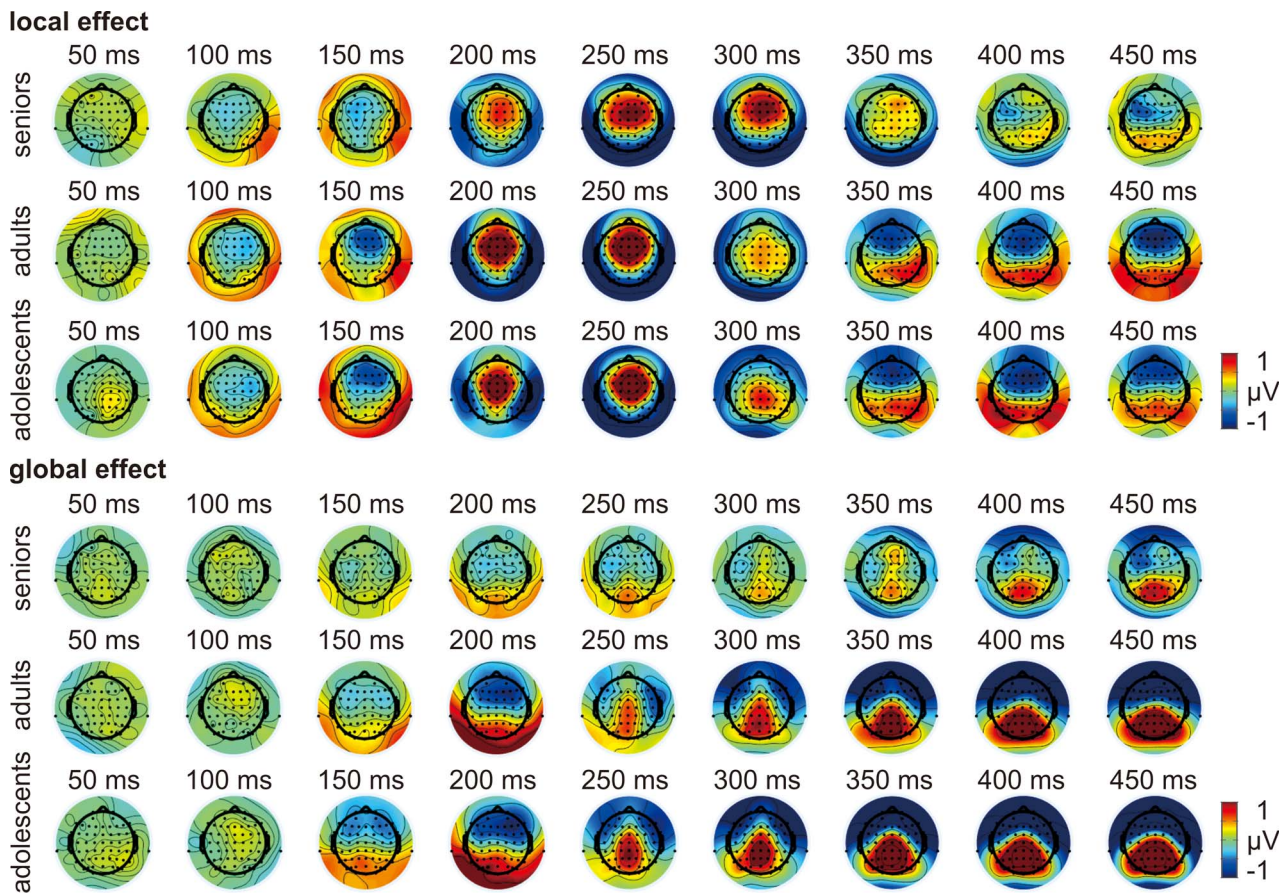


Figure 3. Topographical distributions of local and global effects (i.e., deviant–standard) in each group. Scalp maps are plotted from 50 to 450 ms after the onset of the probe (i.e., tone of interest).

Table 5 Partial correlation table (* $P < 0.05$ after Benjamini–Hochberg procedure)

	Local effect F2 over 358 ± 10 ms	Local effect P8 over 360 ± 10 ms	Global effect CP1 over 460 ± 10 ms
Digit Span	$r(104) = -0.30^*$	$r(104) = 0.28^*$	$r(104) = 0.40^*$
Letter-Number Sequencing	$r(104) = -0.26^*$	$r(104) = 0.31^*$	$r(104) = 0.37^*$
Arithmetic	$r(104) = -0.20^*$	$r(104) = 0.17$	$r(104) = 0.35^*$

the most significant group difference in cluster-based permutation statistics (local effects: F2 over 358 ± 10 ms and P8 over 360 ± 10 ms; global effect: CP1 over 460 ± 10 ms). The results showed that working memory was significantly related to the size of local and global effects (Table 5 and Fig. 6). Worse working memory was associated with smaller RON in local effect (manifesting as smaller frontocentral negativity and smaller parietal positivity) and smaller P3b in global effect. Notably, no correlation remained significant when we also controlled for participants' age in addition to their scores on CES-D and PSS.

A stepwise multiple linear regression was conducted to evaluate whether all scores on working memory subtests were necessary to predict the size of local and global effects. For local effect on F2 (i.e., frontal negativity of the RON), Digit Span score entered into the regression model at step 1 and a significant regression equation was found ($F_{1,106} = 12.81$, $P \leq 0.001$, adjusted $R^2 = 0.10$). The regression equation was: predicted size of local effect = $-0.07 \times$ Digit Span score + 1.81. For local effect on P8 (i.e.,

parietal positivity of the RON), Letter-Number Sequencing score entered into the regression model at step 1 and a significant regression equation was found ($F_{1,106} = 12.03$, $P \leq 0.001$, adjusted $R^2 = 0.09$). The regression equation was: predicted size of local effect = $0.09 \times$ Letter-Number Sequencing score – 1.48. For global effect on CP1 (i.e., P3b), Digit Span score entered into the regression model at step 1 and a significant regression equation was found ($F_{1,106} = 21.43$, $P < 0.001$, adjusted $R^2 = 0.16$). The regression equation was: predicted size of global effect = $0.09 \times$ Digit Span score – 1.60.

Discussion

Here we used an auditory local–global paradigm to investigate whether the age-related shift from sensorium to predictions occurs at all levels of hierarchical message passing. An indiscriminate age-related change should be associated with

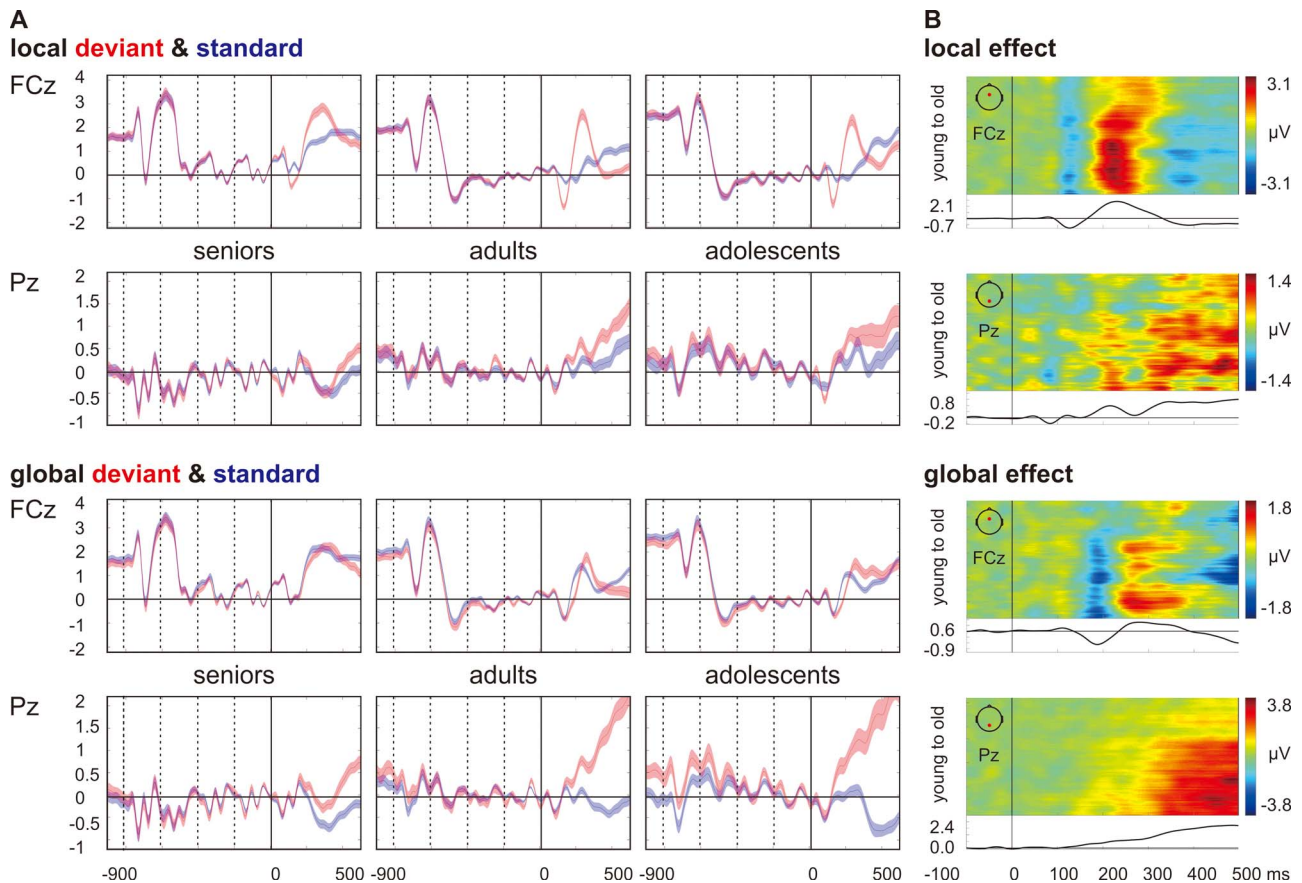


Figure 4. (A). Grand averaged ERPs of local and global effects on representative electrodes (i.e., FCz and Pz) in each group. Shaded area represents the standard error of the mean. Time 0 ms marks the onset of the probe (i.e., tone of interest). Dotted lines mark the onset of the 4 preceding tones. (B). The 2-D (time-by-participant) views of the ERPs in which local and global effects (i.e., deviant–standard) are sorted along participants' age, smoothed across neighboring participants using a rectangular (boxcar) moving average (smoothing width = 10), and color coded. The trace below each ERP image shows the grand-averaged ERPs across all participants. The red dots on scalp maps mark the position of representative electrodes for ease of reference.

reduced MMN and P3b, signaling reduced prediction errors due to decreased weighting of sensory inputs at both sensory and contextual levels. Measuring hallmarks of hierarchical prediction errors, we found that older brains show little reduction in the MMN signaling sensory (i.e., first-order) prediction errors but significant attenuation in the P3b signaling contextual (i.e., second-order) prediction errors. The results suggest that, in normal aging, our brain as a predictive machine does not undergo an indiscriminate decline but a specific attenuation of Bayesian updating.

Age-related changes in novelty detection have been the subject of numerous studies. Our findings extend previous empirical work by demonstrating the hierarchy-selective changes in predictive processing as we age. The detection of local deviancy seems largely preserved in older individuals at earlier latency (including the MMN followed by the P3a but not the RON). The lack of age-dependent difference at earlier latency is in line with previous research where participants' attention was focused on the auditory deviant/standard stimuli or less constrained on the cover task (MMN: Pekkonen et al. 1993, 1996; Schroeder et al. 1995; Gunter et al. 1996; Kazmerski et al. 1997; Amenedo and Díaz 1998; P3a: Mager et al. 2005; Berti et al. 2013). These findings suggested that auditory discrimination ability remains effective throughout the lifespan (at least with the help

of attention). Interestingly, the detection of local deviancy did diverge by age at later latency, with older individuals missing the RON (Mager et al. 2005; Getzmann et al. 2013). Moreover, participants' working memory was a significant predictor of the size of local effects at the RON, where worse working memory was associated with smaller RON. Together, the MMN/P3a/RON complex was thought to provide a neurophysiological index of the cascade of 3 main processes involved in involuntary attention controls to deviants (i.e., automatic change detection, orienting of attention, and recovery from distraction or reorienting of attention as a working memory process) (Schröger and Wolff 1998; Schröger et al. 2000; Berti and Schröger 2003; Berti et al. 2004; Berti 2008; Horváth et al. 2008). The normal MMN and P3a but the missing RON in older individuals likely reflects their intact capacity to detect and orient to sensory (i.e., first-order) prediction errors; their challenge lies in the more general attempt to recover from such perturbing distraction (i.e., local deviancy) to refocus on their task (i.e., to 1) identify infrequent trials and 2) count the number of infrequent trials in each block) due to limitations in working memory.

In contrast, the detection of global deviancy is clearly compromised in older individuals, as they showed lower accuracy in the identification of global deviants, more errors in counting global deviants, and attenuated P3b. The attenuated P3b

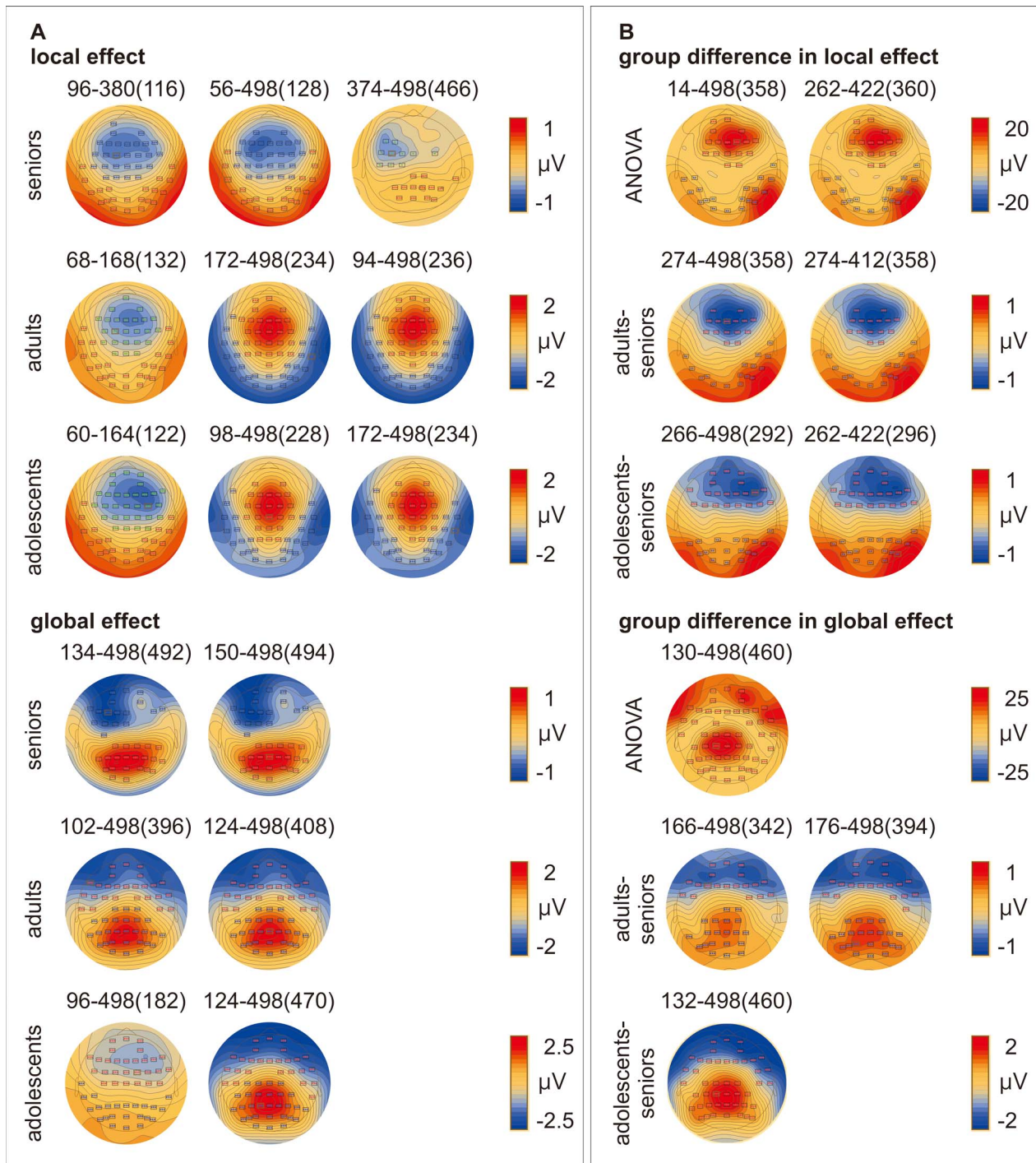


Figure 5. Topographical plots of cluster-based permutation statistics showing (A). local and global effects and (B). Group difference in local and global effects. The numbers above the topographical plots mark the intervals (time points of maximum effect) of each cluster. The rectangles in the topographical plots mark the electrodes forming each cluster.

in older individuals is consistent with previous reports linking aging with decrease in its amplitude, increase in its latency, and anterior shift in its topography (Schiff et al. 2008; van Dinteren et al. 2018; for reviews see Friedman et al. 1997; Friedman 2003). Furthermore, participants' working memory was a significant predictor of the size of global effect at the P3b, where worse working memory was associated with smaller P3b. From the

perspective of Bayesian inference, working memory can be conceptualized as a process of evidence accumulation in a temporal format (Parr and Friston 2017). Therefore, a straightforward explanation is that the age-related decrement in working memory makes it difficult for older individuals to accumulate evidence from successful detection of local deviancy, leading to deficient detection of global deviancy. However, there are at

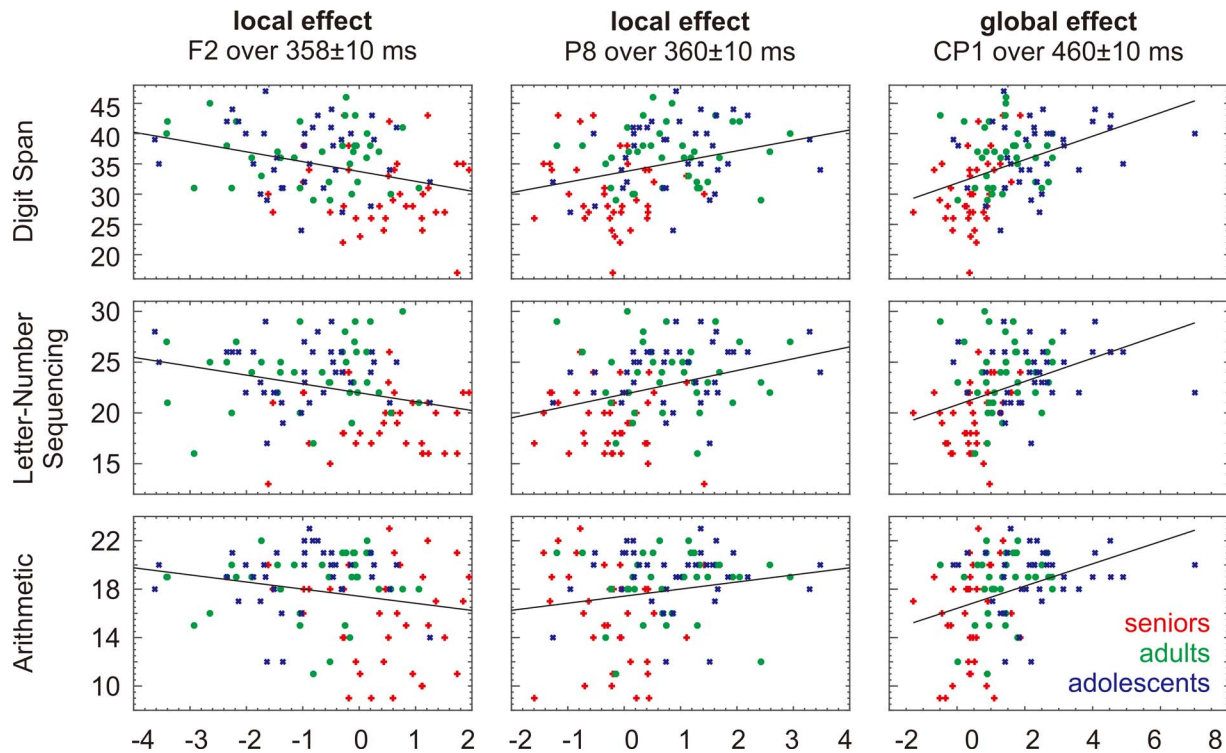


Figure 6. Scatterplots with least-squares lines representing the correlation between working memory and the size of local and global effects.

least 2 possible mechanisms underlying the hierarchy-selective Bayesian updating. One scenario is that lower-level prediction errors failed to propagate to the higher level. This would imply that the age-related decrement in working memory disrupts the hierarchical structure of cortical processes. Alternatively, it might be that lower-level prediction errors did propagate to the higher level but were significantly down weighted. This would imply that the age-related decrement in working memory degrades the precision of feedforward information, which in turn reduces the gain of neural activity (Feldman and Friston 2010). This is analogous to putting one in a low-precision context where he/she experiences low degree of certainty about sensory inputs. Under this circumstance, the most effective strategy would be to downweight sensory inputs to ensure that statistical learning is primarily driven by reliable rather than volatile contexts (Clark 2013; Hsu et al. 2015, 2018; Schröger et al. 2015).

For exploratory purposes, we tested post hoc for the local \times global interaction across groups following the analysis performed by Kompus et al. (2020) where the MMN was quantified as the mean amplitude \pm 20 ms around the peak in the time window of 50–250 ms on FCz and the P3b was quantified as the mean amplitude in the time window of 300–498 ms on Pz. On the MMN, there was no local \times global interaction ($F_{1,107} < 0.01$, $P = 0.95$). On the other hand, there were significant local effect ($F_{1,107} = 124.34$, $P < 0.001$) and global effect ($F_{1,107} = 6.54$, $P < 0.05$). On the P3b, there was a significant local \times global interaction ($F_{1,107} = 35.05$, $P < 0.001$). Post hoc comparisons showed significant local effect for global deviants ($t_{107} = -5.93$, $P < 0.001$) but not global standards ($t_{107} = 0.68$,

$P = 0.50$). However, there was significant global effect for both local deviants ($t_{107} = -11.46$, $P < 0.001$) and local standards ($t_{107} = -7.37$, $P < 0.001$). The results contradicted the finding of Kompus et al. (2020), which showed local \times global interaction on the MMN and the absence of such interaction on the P3b. Unfortunately, it is difficult to determine the causes of the discrepancies, as the 2 studies differed in several aspects such as sample size and age, stimulus feature, etc. More systematic reviews are needed to identify factors affecting the onset of local \times global interaction, which could shed light on how our brain performs hierarchical processing of statistical regularities.

Admittedly, while the predictive coding framework offers compelling explanations for the results, there are alternative views on this issue. For example, there are at least 2 competing hypotheses concerning the mechanism generating the MMN: the neuronal adaptation hypothesis (that MMN results from the attenuation and delay of the N1 to standard stimuli; e.g., Jääskeläinen et al. 2004) which interprets MMN as a bottom-up mechanism and the model adjustment hypothesis (that MMN reflects an online modification of a perceptual model based on a memory trace of previous stimuli; e.g., Näätänen et al. 2005) which attributes MMN to a comparison process within a predictive coding framework. It remains possible that the age-invariant MMN in the current study simply reflects intact neuronal adaptation rather than intact model adjustment in older individuals. Should it be the case, predictive processing at the local level is not necessarily unscathed in old age. Future research disambiguating effects of neuronal adaptation from model adjustment upon the detection of local deviancy should provide more unequivocal evidence on the topic.

The older individuals participating in the current study were well educated, cognitively intact, and physically healthy, allowing us to generalize these findings as a hallmark of normal aging. As we age, the estimation of prediction errors seems preserved at the local but not the global level. Although the lack of age-dependent difference in preattentive processing of prediction errors seems to contradict previous reports on age-related decline in automatic detection of auditory changes at first glance (Czigler et al. 1992; Gaeta et al. 1998, 2001; Alain and Woods 1999; Cooper et al. 2006; Schiff et al. 2008), it is noteworthy that, in most (although not all) of the aforementioned cases, participants' attention was diverted from the auditory stimuli to a more demanding task. It might well be that older individuals' deficits in automatic detection of auditory changes can be compensated for by top-down processes such as attention (Alain et al. 2004). The idea was based on their findings that, in the passive listening condition, the MMN to near-threshold deviants were elicited only in younger but not older participants. However, in the active listening condition, there were no age differences in participants' neurophysiological responses to deviants. Recent animal research also showed that degradation and impairments of ascending acoustic information in older rats can be compensated for by top-down influences that increase the gain of prediction errors (Cai et al. 2016). Our results extend the existing literature by suggesting that such top-down compensation is effective at the local but not the global level in normal aging.

Lastly, we found no difference between adults and adolescents here. It disagrees with, for example, previous findings that the amplitude of P3b reaches its peak in adolescence then declines for the rest of the lifespan (van Dinteren et al. 2014a, 2014b). It is possible that the 2 younger groups were too close in age to show any developmental changes. Future research enrolling even younger individuals should provide invaluable insight into the developmental trajectory of hierarchical predictive processing in the human brain.

Notes

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