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# Mo(VI) dithiocarbamate with no pre-existing Mo-S-

## Mo core as an active lubricant additive

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## **Abstract (100 words)**

MoDTC molecular additives reduce friction in boundary lubricated steel-steel contacts through formation of  $MoS_2$  sheets. The chemical pathway from MoDTC to  $MoS_2$  is investigated for optimizing  $MoS_2$  formation. Our experiments show that a MoDTC molecule containing sulfur only in its thiocarbamate ligands forms  $MoS_2$  sheets during friction, demonstrating that the presence of peripheral thiocarbamate ligands can be sufficient to provide the required sulfur source. This molecule is not only competitive with MoDTC containing sulfur in the core of the molecule but also more efficient at high contact pressures. Mechanistic investigations on the chemical transformation of MoDTC to  $MoS_2$  reveal that thermal activation alone is not sufficient thus suggesting that pressure and/or shear are necessary for  $MoS_2$  generation in this system.

### 1 Introduction

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Energy saving has become a critical societal stake that stimulates drastic technological changes in the transportation and energy sectors [1]. In the field of tribology, reducing friction losses over extended durations is a key challenge. Several solutions have been developed, in particular Transition Metal Dichalcogenides (TMDs), like MoS<sub>2</sub>, have been known as efficient solid lubricants for more than fifty years [2–4]. MoS<sub>2</sub> is used in tribological contacts as coating but it can also be formed in situ through tribochemical reactions from molecular lubricant additives [5-10]. Among molecular lubricant additives effective under boundary lubrication for steel-steel contacts, the "all-in-one" types of molecules, that is the ones which provide the molybdenum and the sulfur atoms within a single molecule, are commonly used. Molybdenum DiThioCarbamates (MoDTC) are representative examples of these types of molecules (Figure 1). Even if the mechanism of action of MoDTC has been widely studied, [7-9,11-15] the understanding of the chemical pathway allowing the generation of MoS2 sheets from the MoDTC molecule is still attracting substantial interest because of the potential insight in novel molecule design. Concerning the elementary steps of MoDTC decomposition, several approaches are found in literature. Initially, only the sulfur atoms present in the core of dimeric molecules, that is as bridging atoms across molybdenum two centers as in (R<sub>2</sub>NCS<sub>2</sub>) [Mo(O)(μ-**S)**<sub>2</sub>Mo(O)] (S<sub>2</sub>CNR<sub>2</sub>) were considered able to contribute to the formation of MoS<sub>2</sub> sheets [8,13]. Later on, it was also proposed that the sulfur atoms from the thiocarbamate ligand, (R<sub>2</sub>NC**S**<sub>2</sub>)  $[Mo(O)(\mu-S)_2Mo(O)]$  (S<sub>2</sub>CNR<sub>2</sub>) could be involved in the generation of MoS<sub>2</sub> sheets, either by prior linkage isomerism, as in  $(R_2NCOS)$  [Mo(S)( $\mu$ -S)<sub>2</sub>Mo(O)] (S<sub>2</sub>CNR<sub>2</sub>) [11,12] or by cleavage of carbon-sulfur bonds of the ligand, schematized as  $(R_2NC)[(S_2Mo(O)(\mu-S)_2Mo(O)S_2)](CNR_2)$ [7,16]. All these approaches suggest that core [Mo<sub>n</sub>S<sub>m</sub>] fragments called MoS<sub>x</sub> intermediate products [7] are needed at some stage in generating well-organized MoS2 lamellar sheets during friction. In this work, the performance of a molecular precursor MoDTC 1, containing only one molybdenum atom and no pre-formed core [Mo<sub>n</sub>S<sub>m</sub>], is compared with that of a well-established "all-in-one" MoDTC 2 molecule, which contains preformed [Mo(μ-S)<sub>2</sub>Mo] core classically used in engine lubrication. We show that the tribological properties of molecule MoDTC 1 are competitive with those of well-established MoDTC 2 and show better performances at high contact pressures.

## 2 Experimental methods

#### 2.1 Lubricant additive synthesis

- 57 Two different MoDTC molecules were synthetized (Figure 1). MoDTC 1 has no pre-existing
- core [Mo-( $\mu$ -S)-Mo], nor terminal sulfur atoms (Mo=S) and is in oxidation state Mo(+VI).
- MoDTC **2** contains the  $[Mo(\mu-S)_2Mo]$  sulphided core and is in oxidation state Mo(+V). Molecule
- MoDTC 2 is used here as a representative example of the classical molecules used in engine
- 61 lubrication.

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- The lubricant composition is prepared in a beaker on a stirrer hot plate by adding a weight
- 63 percentage of 0.3 w% of each molecule of MoDTC in the synthetic base oil PAO4 at a
- temperature of 50°C, whilst stirring for 30 min. Solutions were transparent after this mixing
- procedure showing the good solubility of the additives in base oil.
- Note that the atomic concentration of Mo in lubricants containing MoDTC 1 or MoDTC 2 is in
- the same range since the contribution of Mo to the mass of MoDTC1 (23 wt%) is extremely
- close to that in MoDTC2 (21 wt%). Thus the difference in molybdenum atomic content in both
- 69 lubricants is not significant enough to explain potential differences in tribological behaviors.
- 70 The content of sulfur atoms is a bit larger in MoDTC 1 than in MoDTC 2. As both molecules
- don't have the same atomic S/Mo ratio (4 for MoDTC 1 and 3 for MoDTC 2), it is anyway not
- 72 possible to have the exact same content of molybdenum and sulfur in each lubricant.

#### Synthesis method of MoDTC 1:

- 75 The complex was prepared according to literature [17]. Under air, ammonium molybdate 76 tetrahydrate [(NH<sub>4</sub>)<sub>6</sub>Mo<sub>7</sub>O<sub>24</sub>] • 4 H<sub>2</sub>O (3.20 g, 2.59 mmol) was dissolved in 25 mL of distilled water. 3.05 g (13.54 mmol) of sodium diethyldithiocarbamate trihydrate, dissolved in 10 mL of 77 distilled water, were then slowly added over the course of 30 min under vigorous stirring, during 78 79 which time the colour of the solution gradually turned from yellow to orange and then red with the formation of a precipitate. The red precipitate was isolated on a fritted glass connected to 80 a vacuum Erlenmeyer flask then washed with distilled water (3x10 mL). After washing, the 81 sample was dried under vacuum. Yield, 2.10 g (56 %). Anal. Calcd for MoC<sub>10</sub>H<sub>20</sub>N<sub>2</sub>O<sub>2</sub>S<sub>4</sub> (MW= 82
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#### Synthesis method of MoDTC 2:

The complex was prepared according to the literature [17]. Ammonium molybdate tetrahydrate

424.48 g.mol<sup>-1</sup>): C 28.30, H 4.75, N 6.60; Found C 27.94, H 5.09, N 6.51%.

- 87 [(NH<sub>4</sub>)<sub>6</sub>Mo<sub>7</sub>O<sub>24</sub>] 4 H<sub>2</sub>O (4.22 g, 3.41 mmol) was dissolved in 20 mL of distilled water. 30 mL
- of dimethylformamide (DMF) were poured into the solution and stirred vigorously. Then 9 g of
- dioctylamine (37.27 mmol) and 30 mL of DMF were added at room temperature. The reaction

medium was cooled in an ice-water bath and finally, 3 mL of carbon disulphide CS<sub>2</sub> (49.65 mmol) were added dropwise over a period of 15 min.

The addition of  $CS_2$  resulted in a gradual colour change of the suspension from white to yellow, then orange and finally purple-red. The solution was then refluxed at 105°C under argon until the colour changed from purple-red to green. The resulting viscous residue was collected on a fritted glass connected to a vacuum Erlenmeyer flask, then washed with acetone (3x50 mL). After washing, a yellow precipitate appeared. After washing, the sample was dried under vacuum Yield, 1.10 g (10 %). Anal. Calcd for  $Mo_2C_{34}H_{68}N_2O_2S_6$  (MW = 921.19 g.mol<sup>-1</sup>): C 44.33, H 7.44, N 3.04; Found C 41.13, H 7.10, N 3.78%.

The XPS characterizations of MoDTC **1** and MoDTC **2** are reported in supplementary information (SI 1) and corroborate the structures reported in Figure 1.

$$\begin{array}{c} & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ &$$

Figure 1: Synthesis of the MoDTC derivatives 1 and 2 (only one linkage isomer represented).

#### 2.2 Friction experiments

Balls and flats counterfaces used for tribotests are both in steel AISI52100. The hardness is around 800 HV. The roughness of the flats and balls are respectively Ra =  $12 \pm 5$  nm and Ra =  $40 \pm 1$  nm. The base oil used is a PAO4. A reciprocating ball-on-flat tribometer [18] was used for tribotests. No external sulfur supply was added to the system. During the test, normal force (strain gage), tangential force (piezoelectric sensor and bi-blades) and temperature (thermocouple type K) were recorded.

Standard conditions of boundary lubrication were as follow: average sliding speed of 56 mm/s, temperature of  $100^{\circ}$ C and an initial Hertz maximum pressure of 1 GPa (Load of 17 N).

For other tests, the temperature was varied as well as load in order to vary the initial Hertz maximum contact pressure. The contact conditions are summed up in Table1.

Contact conditions	
Samples	Flat
	ball (R = 6.7 mm)
Load	4 N → 180 N
Speed	56 mm/s
Temperature	20°C, 100°C, 180°C
Stroke length	7 mm
Kinematic	Linear
Frequency	4 Hertz
Maximum Hertz pressure	0.62 GPa → 2.3 GPa
Number of cycles	18000
Immersion volume	80 μL

Table 1: Contact conditions of reciprocating ball-on-flat tribotests.

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Representative curves of the friction coefficient as the function of number of cycles are reported. Steady state friction coefficient are calculated from the last 8000 cycles of each test. As tests were repeated at least four times, average steady state friction coefficients and their standard deviations were calculated from the values obtained from the different repeatability tests.

127 After each test, wear scar diameters were measured by optical microscopy.

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#### 2.3 Characterization of tribofilms

- All samples were cleaned with *n-heptane* for 10 min in ultrasonic bath before characterization by XPS, Raman spectroscopy and FIB-TEM.
- XPS analyses were carried out on a PHI 5000 Versaprobe II apparatus from ULVAC-PHI Inc.
- A monochromatized AlK $_{\alpha}$  source (1486.6 eV) was used with a spot size of 20  $\mu$ m. A charge
- neutralization system is used to limit charge effect. The remaining charge effect was corrected
- fixing the C-C bond contribution of C1s peak at 284.8 eV. Spectra of Mo<sub>3d5/2</sub> and S<sub>2p</sub> regions
- were obtained using a pass energy of 23.5 eV. All the peaks were fitted with CasaXPS software
- using a Shirley background. Quantification was carried out using the transmission function of
- the apparatus and angular distribution correction for a 45° angle. Sensitivity factors were
- extracted from [19], they integrate cross section and escape depth correction.
- Raman spectra were collected using a LabRam HR800 spectrometer from Horiba Scientific.
- Two different laser wavelengths were used 785 nm (MoDTC 1) and 532 nm (MoDTC 2 and
- tribofilms). Typical laser powers of 5 mW were used.

143 FIB sections were performed across tribofilms for TEM observations. A platinum layer was deposited as a protective layer on tribofilm before nanomachining with Ga<sup>+</sup> ions. Subsequent 144 thinning was carried out to ensure that lamella is thin enough for TEM observations. 145 The TEM observations were carried out on JEOL2010F equipped with EDS and operating at 146 147 an accelerating voltage of 200 kV. Tribofilm compositions could slightly vary in terms of composition regarding position on the 148 149 wear track. Because of reciprocating oscillatory motion, the contact conditions are most severe 150 at the extremity of wear tracks, where the change of friction directions occurs. The Raman and 151 XPS analyses where done close to these area as the amount of MoS<sub>2</sub> was the most important. 152 Then, some discrepancies in the results between XPS and Raman analyses could be related 153 to the fact that the size of the analyzed area was slightly different for each technics (20 µm of 154 diameter for XPS analyses and around 1 µm for Raman analyses) and to the fact that XPS spectroscopy is more surface sensitive than Raman. 155 156 157

#### 3 Results

# 3.1 Low friction with MoDTC (1) under standard boundary lubricated conditions

#### 3.1.1 Friction results with MoDTC (1)

MoDTC 1 has been tested under our standard conditions of boundary lubrication (T = 100°C, V = 56 mm/s,  $P_{Hertzmax}$  = 1 GPa, 18 000 cycles). The evolution of the friction coefficient as function of the number of cycles is presented in Figure 2.a as well as statistical data processing from several measurements. The average steady state friction coefficient for MoDTC 1 is 0.063  $\pm$  0.001 that is within the range obtained with the reference molecule MoDTC 2 (Figure 2.b -  $\mu_{MoDTC 2}$  = 0.0644  $\pm$  0.009). The values for the two molecules are statistically similar and in the expected range for the formation of MoS<sub>2</sub> in a boundary lubricated contact [6,15]. It can be also noticed that MoDTC 1 requires longer induction time to reach the low friction steady state regime than MoDTC 2. No loss of friction reduction capabilities is observed over the full experiment (18000 cycles) for each molecule.

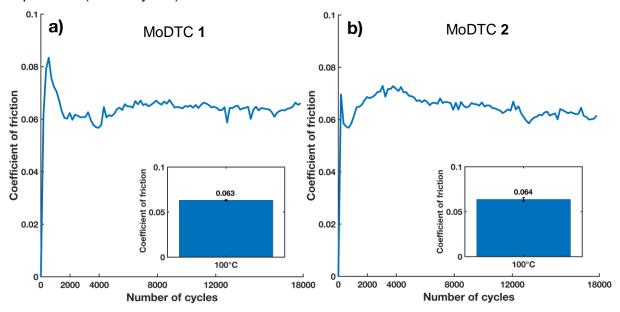


Figure 2: Coefficient of friction at 100°C for a) molecule MoDTC 1 b) molecule MoDTC 2. The tests were performed at an initial maximum Hertz pressure of 1 GPa and an average sliding speed of 56 mm/s. Representative curves of the friction coefficient as the function of number of cycles are shown as well as average steady state friction coefficients calculated from several tests with the related standard deviation.

#### 3.1.2 MoDTC 1 Tribofilm characterization

TEM tribofilm characterizations (Figure 3) confirm the presence of MoS<sub>2</sub> in the tribofilm (flat sample) obtained with MoDTC 1 in the absence of external sulfur supply. In TEM images of tribofilm obtained with MoDTC 1 in standard conditions, MoS<sub>2</sub> sheets are found at the top of the tribofilm of thickness between 30 and 40 nm. The presence of sheets is confirmed by EDS analyses with prominent molybdenum and sulfur peaks, and by interlayer distance around 0.6 nm on HRTEM images, consistent with MoS<sub>2</sub> lamellar sheets observed in tribofilms [15].

MoS<sub>2</sub> flakes

Tribofilm

C)

Mo, S

Cr, O, Mo

Fe

10 nm

Figure 3: a) and b) TEM images of FIB-cross section of tribofilm (flat) obtained with MoDTC 1 after 18 000 cycles of rubbing under standard contact conditions c) EDX spectrum recorded on the MoS<sub>2</sub> sheets area.

The XPS analysis of the  $Mo_{3d}$  and the  $S_{2p}$  transitions carried out on the tribofilms obtained after 18 000 cycles with MoDTC 1 at 100°C is reported in Figure 4; details of the fits can be found

217 in Table 2.

Two main molybdenum contributions are found: one with a  $Mo_{3d5/2}$  peak around 232.1 eV ( $Mo_{3d}$  (C), pink) corresponding to a Mo(+VI) environment related to  $MoO_3$  or  $FeMoO_4$  [7,20,21] and another one with a  $Mo_{3d5/2}$  peak around 229.0 eV ( $Mo_{3d}$  (B), blue) attributed to a Mo(+IV) environment that could correspond to  $MoS_2$  [6,20] or  $MoS_3$  [22,23]. One minor  $Mo_{3d}$  (A) contribution is found at lower binding energy (228.2 eV,  $Mo_{3d}$  (A), light blue). This  $Mo_{3d}$  (A) contribution at low binding energy is related to a reduced molybdenum species. Such a contribution has been observed on sulfided molybdenum oxide thin films [21,22,24]. It has been proposed to be a defective  $Mo_3$  environment possibly formed in the present context by friction. The peaks near 226 eV (yellow/brown) correspond to sulfur  $S_{2s}$  contributions related to  $S_{2p}$  contributions discussed in the following paragraph.

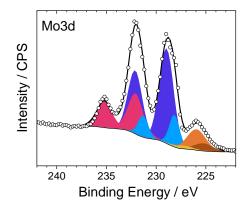
The  $S_{2p}$  spectrum shows sulfide-type environment at binding energies near 161 eV. The shape and width of the sulfide part of the spectrum leads to the deconvolution of 3 separate contributions. The main  $S_{2p}$  (B) contribution at 161.8 eV matches with  $S^2$  anion in  $MoS_2$  or in  $MoS_3$ . This contribution is consistent with the  $Mo_{3d}$  results. A lower binding energy contribution around 161.0 eV  $S_{2p}$  (A) would correspond to a more electron-rich sulfur. Such a contribution has been ascribed to sulfur depleted  $MoS_2$  environments that could correspond to the reduced  $S_{2p}$  (A) species films [21].  $S_{2p}$  (C) at around 163.1 eV matches either with FeS<sub>2</sub>, with thiomolybdate or  $(S_2)^2$  in  $MoS_3$  [22].

To summarize, XPS analyses performed at  $100^{\circ}$ C after tribotest under standard conditions in presence of MoDTC 1 suggest that MoS<sub>2</sub> is the main Mo based compound present at the top surface of the tribofilm. This is supported by quantification of sulfur (S<sub>2p</sub> (A) and (B)) over molybdenum (Mo (B)) species characteristic of MoS<sub>2</sub> yielding a S/Mo ratio close to 2. MoS<sub>3</sub> could be also considered as peak position of Mo<sub>3d</sub> (B), S<sub>2p</sub> (B) and S<sub>2p</sub> (C) are in agreement with [22], but in much lower amount compared to MoS<sub>2</sub> regarding the at% of S<sub>2p</sub>(C) peak (Figure 4 and Table 2).

Sample Id.	Element/Transition	Peak energy (eV)	FWHM (eV)	Atomic concentration (at %)	Assignment
		Mo <sub>3d</sub> s			
	Mo <sub>3d</sub> - (A)	228.2 - 231.4	1.2	6.1	Reduced MoS <sub>2</sub>
	Mo <sub>3d</sub> - (B)	229.0 - 232.2	1.7	25.3	MoS <sub>2</sub> ou MoS <sub>3</sub>
MoDTC 1	Mo <sub>3d</sub> - (C)	232.1 - 235.3	1.7	11.9	MoO <sub>3</sub> / FeMoO <sub>4</sub>
		S <sub>2p3/2</sub>			
	S <sub>2p</sub> - (A)	161 - 162.1	1.1	13.6	S <sup>2-</sup> in reduced MoS₂
	S <sub>2p</sub> - (B)	161.8 - 162.9	1.1	37.7	S <sup>2-</sup> in MoS <sub>2</sub> or in MoS <sub>3</sub>
	S <sub>2p</sub> - (C)	163.1 - 164.2	1.1	5.4	(S <sub>2</sub> ) <sup>2-</sup> in FeS <sub>2</sub> or thiomolybdate or MoS <sub>3</sub>

Table 2: Peak fitting details related to Figure 4 e.i. XPS analyses of tribofilms obtained with MoDTC 1 after 18 000 cycles of rubbing at 100°C at an initial maximum Hertz pressure of 1 GPa and an average sliding speed of 56 mm/s.





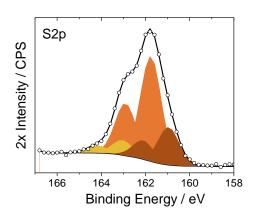


Figure 4: XPS analyses (Mo3d) carried out of tribofilms (flats) obtained with MoDTC 1 after 18 000 cycles of rubbing at 100°C at an initial maximum Hertz pressure of 1 GPa and an average sliding speed of 56 mm/s.

The characteristic Raman analyses of the tribofilm obtained at  $100^{\circ}$ C under standard conditions with MoDTC **1** are presented in Figure 5. The two MoS<sub>2</sub> characteristic Raman shifts  $E_{2g}^1$  and  $A_{1g}$  at 373 cm<sup>-1</sup> and 405 cm<sup>-1</sup>, respectively, are detected, in agreement with Raman analyses of MoDTC tribofilms [10,14,25]. The presence of amorphous MoS<sub>3</sub> compound [26] could explain the background shape near  $E_{2g}^1$  and  $A_{1g}$ . The presence of Fe(MoO<sub>4</sub>) is suggested by the presence of the Raman peak at 925 cm<sup>-1</sup> [7] and is consistent with the Mo (+VI) oxide contribution detected by XPS at 232.3 eV on Mo<sub>3d</sub> peak.

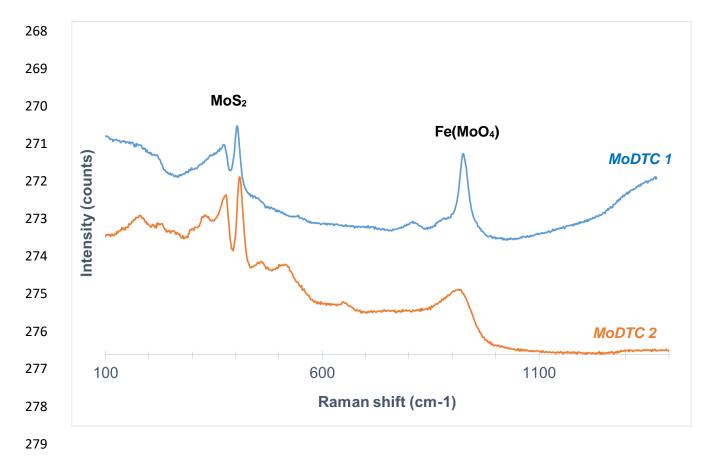
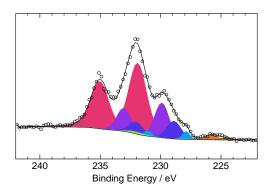


Figure 5: Raman analyses on tribofilms (flats) obtained with MoDTC 1 and MoDTC 2 after 18 000 cycles of rubbing at 100°C at an initial maximum Hertz pressure of 1 GPa and an average sliding speed of 56 mm/s.

## 3.1.3 MoDTC 2 Tribofilm characterization

Raman (Figure 5) and XPS (Figure 6 and Table 3) characterizations were also performed on tribofilm obtained with MoDTC **2** at 100°C and 1 GPa for comparison with MoDTC **1**. Both results show the presence of MoS<sub>2</sub> and FeMoO<sub>4</sub> for MoDTC **2** tribofilm, like for MoDTC **1**. This is in accordance with literature works since XPS analyses of tribofilm obtained with MoDTC often propose the presence of two main contributions attributed to Mo+IV and Mo+VI [15,27]. The presence of molybdenum oxysulfide (purple contribution B' in Figure 6 and table 3) is also detected for MoDTC **2** tribofilm by XPS and was previously suggested in literature [6,20].



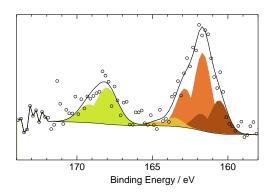


Figure 6: XPS analyses (Mo3d) carried out of tribofilms (flats) obtained with MoDTC 2 after 18 000 cycles of rubbing at 100°C at an initial maximum Hertz pressure of 1 GPa and an average sliding speed of 56 mm/s.

Sample Id.	Element/Transition	Peak energy (eV)	FWHM (eV)	Atomic concentration (at%)	S (at%) /Mo (at%) ratio)	Assignement
		Mo <sub>3d5/2 - 3/2</sub>				
	Mo <sub>3d</sub> - (A)	227.9- 231.0	0.7	1.7		Reduced MoS <sub>2</sub>
	Mo <sub>3d</sub> - (B)	228.9 - 232.0	1.4	7.4		MoS <sub>2</sub> or MoS <sub>3</sub>
	Mo <sub>3d</sub> - (B')	229.9- 233.0	1.4	14.6		MoO <sub>x</sub> S <sub>y</sub>
	Mo <sub>3d</sub> - (C)	231.9 – 235.0	1.8	42.0		MoO <sub>3</sub> / FeMoO <sub>4</sub>
MoDTC 2		S <sub>2p3/2 - 1/2</sub>	•	0.5		
	S <sub>2p</sub> - (A)	160.6 – 161.8	1.3	6.7		S <sup>2-</sup> in reduced MoS <sub>2</sub>
	S <sub>2p</sub> - (B)	161.7 - 162.9	1.3	15.8		S <sup>2-</sup> in MoS <sub>2</sub> or in MoS <sub>3</sub>
						(S <sub>2</sub> ) <sup>2-</sup> in FeS <sub>2,</sub>
	S <sub>2</sub> p - (C)	163.6 - 164.9	1.3	1.9		thiomolybdate $MoO_xS_y$ or
						MoS <sub>3</sub>
	S <sub>2p</sub> - (E)	168.0 – 169.2	1.3	10		Sulfate

Table 3: Peak fitting details related to Figure 6 e.i. XPS analyses of tribofilms obtained with MoDTC 2 after 18 000 cycles of rubbing at 100°C at an initial maximum Hertz pressure of 1 GPa and an average sliding speed of 56 mm/s.

#### 303 3.2 Effect of temperature 3.2.1 Friction and wear results 304 The friction performances of molecules MoDTC 1 and MoDTC 2 have been studied at three 305 different temperatures (T = 20°C, 100°C and 180°C at V = 56 mm/s, P<sub>Hertzmax</sub> = 1 GPa, Figure 306 307 7). 308 The optimal tested temperature for both molecules is 100°C as a durable and low friction regime is achieved in both cases (cf §3.1.1). 309 310 At 20°C, MoDTC 2 performs only marginally worse than at 100°C, while MoDTC 1 significantly underperforms ( $\mu = 0.074$ vs 0.099, respectively). Considering the overall monotonous 311 decrease of the friction coefficients over the whole experiment (Total number of cycles = 18 312 000) for both molecular lubricants, it might be possible that neither system has reached steady 313 state by the end of the experiment. Given the more pronounced decrease of the friction 314 coefficient (both in terms of starting absolute value and in terms of overall drop) for MoDTC 1 315 than for MoDTC 2, the induction process seems more pronounced for MoDTC 1 than for 316 317 MoDTC 2. At 180°C, the loss of friction reduction capabilities is observed after a few thousand cycles for 318 319 both MoDTC 1 and MoDTC 2 and very high friction coefficient are observed for most of the 320 experiment, leading to high (apparent) steady state coefficients. 321 The wear behaviors obtained with the two molecules over the three temperatures appear to 322 follow the same trend as the apparent steady state coefficients described above (cf Figure 8). The lowest wear is found at 100°C for both molecules MoDTC 1 and MoDTC 2, with MoDTC 323 1 still marginally outperforming MoDTC 2 (width of the wear = 180 µm vs. 222 µm, 324 325 respectively). Both wear (MoDTC 1 and MoDTC 2 at 100°C) are just slightly superior to Hertz diameter (red line) which represent the size of the contact before friction without any wear. The 326 loss in performance at 20°C is more noticeable for MoDTC 1 than for MoDTC 2 (width of the 327 wear = 558 μm vs. 299 μm, respectively). The poorest performances are observed at 180°C 328 for both lubricants (width of the wear = 620 µm for MoDTC 1 and 650 µm for MoDTC 2). 329 330 331 332

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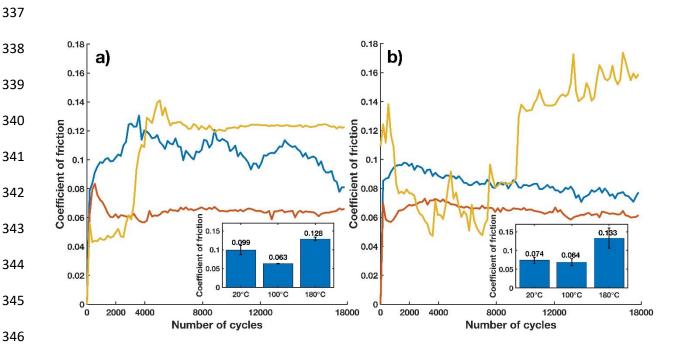


Figure 7: Coefficient of friction at 20°C (blue), 100°C (orange) and 180°C (yellow) for a) molecule MoDTC 1 b) molecule MoDTC 2. The tests were performed at an initial maximum Hertz pressure of 1 GPa and an average sliding speed of 56 mm/s. Representative curves of the friction coefficient as the function of number of cycles are shown as well as average steady state friction coefficients calculated from several tests with the related standard deviation.



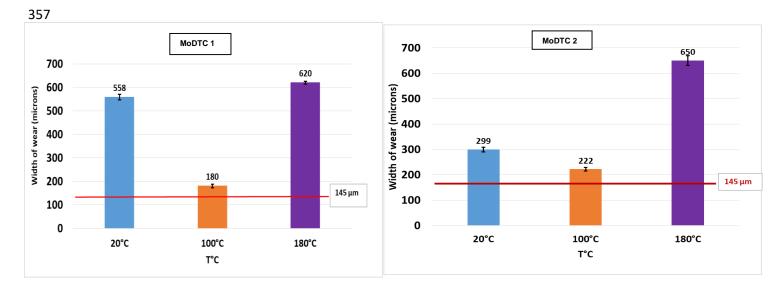


Figure 8: Width of ball wear obtained at 20°C, 100°C and 180°C for a) molecule MoDTC 1 b) molecule MoDTC 2. The tests were performed at an initial maximum Hertz pressure of 1 GPa and an average sliding speed of 56 mm/s. The red line represents the Hertz diameter (size of the contact before friction).

## 3.2.2 Tribofilm characterizations with MoDTC 1 at 20°C, 100°C and 180°C (XPS and

#### Raman)

The XPS analyses of the  $Mo_{3d}$  and the  $S_{2p}$  transitions carried out on the tribofilms obtained with MoDTC 1 at different temperatures after 18 000 cycles are reported in Figure 9; details of the fits can be found in Table 4.

The fit explanation of the tribofilm obtained at 100°C is detailed in § 3.1.2.

For the tribofilm at 20°C, only one Mo environment is found around 232.1 eV (Mo<sub>3d</sub> (C), pink) corresponding to a Mo(+VI) environment related to MoO<sub>3</sub> or FeMoO<sub>4</sub>. Significant amount of SO<sub>x</sub> species is found but no evidence of MoS<sub>2</sub> is detected.

For the tribofilm at 180°C, two Mo environments are found. The main one with a  $Mo_{3d5/2}$  peak around 232.1 eV ( $Mo_{3d}$  (C), pink) corresponds to a Mo(+VI) environment related to  $MoO_3$  or  $FeMoO_4$ . The  $Mo_{3d}$  (B') contribution (229.9 eV, purple) at binding energy higher than  $MoS_2$  but lower than a typical Mo(+VI) signal could correspond to oxysulfide species  $MoO_xS_y$  [6,20].  $SO_x$  species are also detected.

Sample Id.	Element/Transition	Peak energy (eV)	FWHM (eV)	Atomic concentration (at%)	Assignment
		Mos			
	Mo <sub>3d</sub> - (C)	232.2 - 235.4	1.3	54.0	MoO <sub>3</sub> / FeMoO <sub>4</sub>
MoDTC 1		S <sub>2</sub> ,			
20°C	S <sub>2p</sub> - (B)	162.1 - 163.2	(S <sub>2</sub> ) <sup>2-</sup> in FeS <sub>2</sub> or Fe thiomolybdate		
	S <sub>2p</sub> - (D)	166.4 - 167.5	1.5	12.4	SO₃ species
	S <sub>2p</sub> - (E)	168.3 - 169.4	1.5	25.3	Sulfate
		Mo	3d5/2 - 3/2		
	Mo <sub>3d</sub> - (A)	228.2 - 231.4	1.2	6.1	Reduced MoS <sub>2</sub>
	Mo <sub>3d</sub> - (B)	229.0 - 232.2	1.7	25.3	MoS <sub>2</sub> ou MoS <sub>3</sub>
MoDTC 1	Mo <sub>3d</sub> - (C)	232.1 - 235.3	1.7	11.9	MoO <sub>3</sub> / FeMoO <sub>4</sub>
100°C		S <sub>2p</sub> .			
	S <sub>2p</sub> - (A)	161 - 162.1	1.1	13.6	S <sup>2-</sup> in reduced MoS <sub>2</sub>
	S <sub>2p</sub> - (B)	161.8 - 162.9	1.1	37.7	$S^{2-}$ in $MoS_2$ or in $MoS_3$
	S <sub>2p</sub> - (C)	163.1 - 164.2	1.1	5.4	$(S_2)^{2-}$ in $FeS_2$ or thiomolybdate or $MoS_3$
		Mo	3d5/2 - 3/2		
	Mo <sub>3d</sub> - (B')	229.9 - 233.0	1.9	26.2	$MoO_xS_y$
MoDTC 1	Mo <sub>3d</sub> - (C)	232.0 - 235.1	1.3	46.2	MoO <sub>3</sub> / FeMoO <sub>4</sub>
180°C		S <sub>2p</sub> .			
	S <sub>2p</sub> - (B)	163.0 - 164.1	1.7	9.0	(S <sub>2</sub> ) <sup>2-</sup> in FeS <sub>2</sub> or thiomolybdate
	S <sub>2p</sub> - (E)	168.3 - 169.4	1.7	18.6	Sulfate

Table 4: Peak fitting details related to Figure 9 e.i. XPS analyses of tribofilms obtained with MoDTC 1 after 18 000 cycles of rubbing at 20°C, 100°C and 180°C at an initial maximum Hertz pressure of 1 GPa and an average sliding speed of 56 mm/s

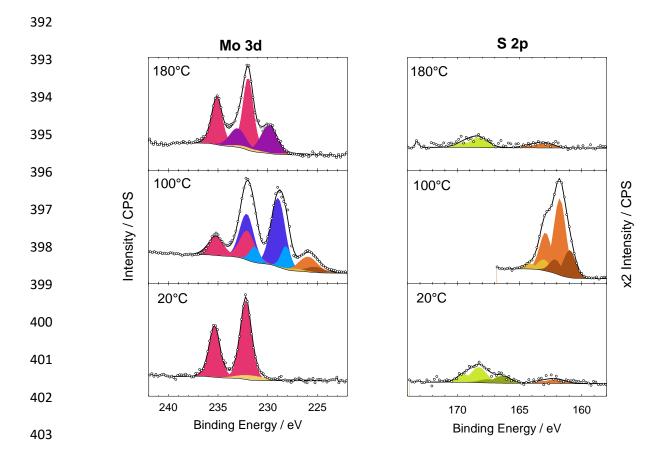


Figure 9: XPS analyses (Mo3d) carried out of tribofilms (flats) obtained with MoDTC 1 after 18 000 cycles of rubbing at 20°C. 100°C and 180°C at an initial maximum Hertz pressure of 1 GPa and an average sliding speed of 56 mm/s (see text for further details).

The Raman analyses of the MoDTC 1 tribofilms obtained at 20°C, 100°C and 180°C are presented in Figure 10.

At 20°C, the observed peaks are mostly related to iron oxides (Fe<sub>2</sub>O<sub>3</sub> and Fe<sub>3</sub>O<sub>4</sub>), like at 180°C [28] and molybdenum based species like MoO<sub>3</sub> are poorly detected [29]. Atomic ratio Mo/Fe calculated from XPS data (Mo/Fe<sub>20°C</sub> = 0.5 - Mo/Fe<sub>100°C</sub> = 2.9 - Mo/Fe<sub>180°C</sub> = 0.9) suggests that the amount of molybdenum compared to iron in tribofilms is much smaller at 20°C and 180°C than at 100°C. As Raman technic is much less surface sensitive than XPS, it could explain why such molybdenum-based compounds are poorly detected by Raman technics at 20°C and 180°C.

At 100°C, the two MoS<sub>2</sub> characteristic peaks  $E_{2g}^1$  and  $A_{2g}$  at 373 cm<sup>-1</sup> and 405 cm<sup>-1</sup>, respectively are detected. The presence of amorphous MoS<sub>3</sub> compound could explain the background shape near  $E_{2g}^1$  and  $A_{2g}$ . The presence of Fe(MoO<sub>4</sub>) at 100°C is suggested by the presence of the Raman peak at 925 cm<sup>-1</sup> and is consistent with the Mo (+VI) oxide contribution detected by XPS at 232.3 eV on Mo3d peak.

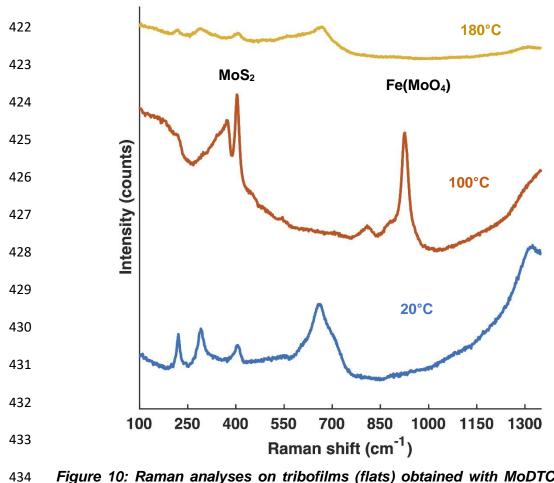


Figure 10: Raman analyses on tribofilms (flats) obtained with MoDTC 1 after 18 000 cycles of rubbing at 20°C. 100°C and 180°C at an initial maximum Hertz pressure of 1 GPa and an average sliding speed of 56 mm/s.

#### 3.2.3 Tribofilm characterizations with MoDTC 2

XPS and Raman analyses of tribofilms obtained with MoDTC **2** at 20°C are reported in Figures SI 2-1 and SI 2-2 for comparison. Like for tribofilm obtained with MoDTC **1** at 20°C, Mo+VI contribution is mostly detected by XPS on Mo3d peak as well as sulfate on S2p peak. The composition of the tribofilm dominated by molybdenum oxide at temperatures around 20°C-30°C was already observed in literature for such type of MoDTC [15,27].

The comparison of tribofilm composition of MoDTC 1 and MoDTC 2 at 100°C was presented in §3.1.3. As found in literature [15,27], the Mo+IV contribution (MoS<sub>2</sub>) increases in tribofilms obtained at higher temperatures, up to 80°C-100°C. Rai *et al.* [14] and Komaba *et al.* [15] have also suggested that such temperatures have an effect on the structural organization of the MoS<sub>2</sub> layers.

For temperatures higher than 100°C, only few data are found in literature [27].

#### 3.3 Effect of contact pressure

#### 3.3.1 Friction results

Friction results obtained at 100°C for the two molecules MoDTC **1** and MoTDC **2** at different initial maximum Hertz pressures (from 0.6 to 2.3 GPa) are reported in Figure 11 (V = 56 mm/s. T = 100°C, 18 000 cycles). Average steady state friction coefficients obtained from repeated tests and observed standard deviations are reported.

At low contact pressures, the friction behavior at the steady state is similar for the two molecules within standard deviations. Above an initial maximum Hertz contact pressure of 1.9 GPa, significant differences in friction behavior appear between the two molecules: MoDTC 1 still achieves a low friction regime whereas MoDTC 2 does not.

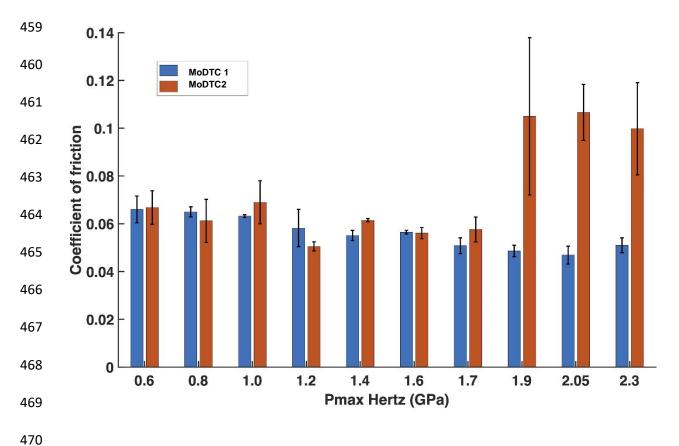


Figure 11: Average steady state friction coefficient for different Initial Hertz maximum contact pressures for molecule MoDTC 1 and molecule MoDTC 2. The tests were performed at a temperature of 100°C and an average sliding speed of 56 mm/s. Average steady state friction coefficients are calculated from several tests with the related standard deviation.

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#### 3.3.2 Tribofilms characterization

- The XPS and Raman characterizations performed on tribofilms obtained with MoDTC 1 at 480 different contact pressures are shown respectively in Figure 12/Table 5 and Figure 13.
- 481
- The interpretation of the XPS data follows the results presented in §3.1.2. For all tested contact 482
- 483 pressures, beside the main contributions (Mo<sub>3d</sub> (B), blue) attributed to MoS<sub>2</sub> or MoS<sub>3</sub>, Mo (+VI)
- oxide contributions attributed to Fe(MoO<sub>4</sub>) is also found in significant amount (namely, Mo<sub>3d</sub> 484
- 485 (C), pink and 925 cm<sup>-1</sup> Raman peak). At high contact pressures, Mo<sub>3d</sub> (B) is the main
- 486 contribution, and Mo<sub>3d</sub> (C) dominates at low contact pressure (0.8 GPa). The low binding
- 487 energy Mo<sub>3d</sub> (A) contribution is detected mainly at low contact pressures (0.8 GPa and 1 GPa)
- and would correspond to defective MoS2. No oxysulfide-type molybdenum is evidenced 488
- (binding energies expected between Mo3d (B) and Mo3d (C)) [6,20,22]. 489
- Concerning the  $S_{20}$  spectra, two main characteristic sulfur environments are found with no 490
- clear dependence on contact pressure: a sulfide-type environment at low binding energies 491
- $(S_{2p3/2} < 164 \text{ eV})$  and a  $SO_x$  type environment at higher binding energies  $(S_{2p3/2} > 166 \text{ eV})$ 492
- 493 characteristic of oxidized sulfur.
- In Raman spectra, different components, MoS<sub>2</sub>, Fe(MoO<sub>4</sub>), amorphous MoS<sub>3</sub> and 494
- carbonaceous matrix [30] are identified. It is difficult to further interpret the observed 495
- differences as they may be related to the localization of the analyzed area within the 496
- heterogeneous wear track, rather than to systematic dependence on contact pressure. 497

Sample Id.	Element/Transition	Peak energy (eV)	FWHM (eV)	Atomic concentration (at%)	S (at%) /Mo (at%) ratio)	Assignement
	<u> </u>	Моза	15/2 – 3/2			
	Mo <sub>3d</sub> - (A)	227.9 - 231.1	1.4	3.7		Reduced MoS <sub>2</sub>
	Mo <sub>3d</sub> - (B)	228.7 - 231.9	2.0	6.7	1	MoS <sub>2</sub> or MoS <sub>3</sub>
MoDTC 1 0.8 GPa	Mo <sub>3d</sub> - (C)	231.8 - 235.0	2.0	28.2	1	MoO <sub>3</sub> / FeMoO <sub>4</sub>
		S <sub>2p3</sub>	/2 – 1/2	1.6		
	S <sub>2p</sub> - (A)	161.0 - 162.1	1.0	25.6	-	S <sup>2-</sup> in reduced MoS <sub>2</sub>
	S <sub>2p</sub> - (B)	162.1 - 163.3	1.0	11.9	1	S <sup>2-</sup> in MoS <sub>2</sub> or in MoS <sub>3</sub>
	S <sub>2p</sub> - (C)	163.0 - 164.1	1.0	10.8	1	(S <sub>2</sub> ) <sup>2-</sup> in FeS <sub>2</sub> or thiomolybdate or Mo
	S <sub>2p</sub> - (E)	168.6 - 169.8	1.7	13.1		Sulfate
	<u>_</u>	Моза	15/2 – 3/2			
	Mo <sub>3d</sub> - (A)	228.2 - 231.4	1.2	6.1	1	Reduced MoS <sub>2</sub>
	Mo <sub>3d</sub> - (B)	229.0 - 232.2	1.7	25.3	=	MoS <sub>2</sub> or MoS <sub>3</sub>
MoDTC 1	Mo <sub>3d</sub> - (C)	232.1 - 235.3	1.7	11.9	4.2	MoO <sub>3</sub> / FeMoO <sub>4</sub>
1 GPa	L	S <sub>2p3</sub>	/2 – 1/2		1.3	
	S <sub>2p</sub> - (A)	161 - 162.1	1.1	13.6	1	S <sup>2-</sup> in reduced MoS <sub>2</sub>
	S <sub>2p</sub> - (B)	161.8 - 162.9	1.1	37.7	1	S <sup>2-</sup> in MoS <sub>2</sub> or in MoS <sub>3</sub>
	S <sub>2</sub> p - (C)	163.1 - 164.2	1.1	5.4	=	(S <sub>2</sub> ) <sup>2-</sup> in FeS <sub>2</sub> or thiomolybdate or Mo
	I.	Моза	15/2 – 3/2			
	Mo <sub>3d</sub> - (B)	229.0 - 231.1	1.4	21.2		MoS <sub>2</sub> or MoS <sub>3</sub>
	Mo <sub>3d</sub> - (C)	232.4 - 235.6	1.6	9.1	=	MoO <sub>3</sub> / FeMoO <sub>4</sub>
MoDTC 1		S <sub>2p3</sub>	/2 – 1/2	-		
1.4 GPa	S <sub>2p</sub> - (A)	160.8 - 161.9	1.1	5.3	2.3	S <sup>2-</sup> in reduced MoS <sub>2</sub>
1.4 GFa	S <sub>2p</sub> - (B)	161.7 - 162.9	1.1	33.0	1	S <sup>2-</sup> in MoS <sub>2</sub> or in MoS <sub>3</sub>
	S <sub>2p</sub> - (C)	163.3 - 164.4	1.1	4.6		(S <sub>2</sub> ) <sup>2-</sup> in FeS <sub>2</sub> or thiomolybdate or Mo
	S <sub>2p</sub> - (D)	166.7 - 167.9	1.6	11.7	=	SO₃ species
	S <sub>2p</sub> - (E)	168.8 - 170.0	1.6	15.1		Sulfate
	1	Моза	15/2 – 3/2			
	Mo <sub>3d</sub> - (B)	228.8 - 231.9	1.6	26.5	-	MoS <sub>2</sub> or MoS <sub>3</sub>
MoDTC 1	Mo <sub>3d</sub> - (C)	231.9 - 235.1	2.0	12.6		MoO <sub>3</sub> / FeMoO <sub>4</sub>
MoDTC 1 2 GPa		$S_{2p3}$	/2 – 1/2	1.55		
20.4	S <sub>2p</sub> - (A)	160.5 - 161.7	1.1	13.6	1	S <sup>2-</sup> in reduced MoS <sub>2</sub>
	S <sub>2p</sub> - (B)	161.5 - 162.7	1.1	38.8	1	S <sup>2-</sup> in MoS <sub>2</sub> or in MoS <sub>3</sub>
	S <sub>2p</sub> - (C)	162.6 - 163.8	1.1	8.5	1	(S <sub>2</sub> ) <sup>2-</sup> in FeS <sub>2</sub> or thiomolybdate or Mo

Table 5: Peak fitting details related to Figure 12 e.i. XPS analyses of tribofilms obtained with MoDTC 1 after 18 000 cycles of rubbing at 100°C at an initial maximum Hertz pressure of 0.8 GPa, 1 GPa, 1.4 GPa, 2 GPa and an average sliding speed of 56 mm/s

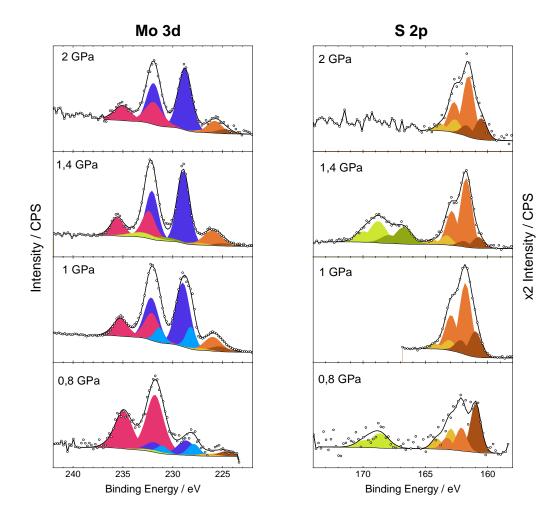


Figure 12: XPS analyses (Mo3d peak) carried out of tribofilms (flats) obtained with MoDTC 1 at various initial maximum Hertz pressure after 18 000 cycles of rubbing at 100°C and an average sliding speed of 56 mm/s.

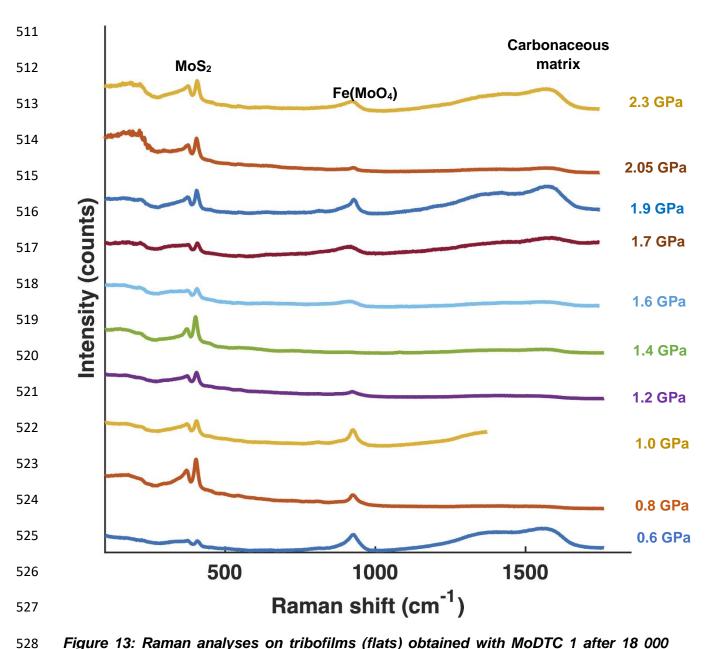


Figure 13: Raman analyses on tribofilms (flats) obtained with MoDTC 1 after 18 000 cycles of rubbing at different initial maximum Hertz pressure, at 100°C and at an average sliding speed of 56 mm/s.

XPS and Raman analyses of tribofilms obtained with MoDTC **2** at 0.8 GPa and 1.2 GPa are reported in Figures SI 2-3, SI 2-4 and SI 2-5 for comparison with MoDTC **1**. Like for tribofilms obtained with MoDTC **1**, MoS<sub>2</sub> is mainly detected by Raman and XPS. The low binding energy Mo<sub>3d</sub> (A) contribution detected by XPS and that would correspond to defective MoS<sub>2</sub> analyses is also mainly detected at low contact pressures (0.8 GPa) like for MoDTC **1**. The peak at 925 cm<sup>-1</sup> on Raman spectra attributed to Fe(MoO<sub>4</sub>) seems more significant in case of MoDTC **1** than for MoDTC **2**.

#### 3.4 Effect of temperature without contact pressure and shear

To test if the decomposition of both MoDTC molecules toward  $MoS_2$  can be thermally induced (with no other concurrent reagent), we performed Raman analyses after thermal degradation for both molecules at different temperatures in air and under argon atmosphere (Figure 14) in the absence of steel material. At high temperature ( $160^{\circ}$ C for open air tests and  $120^{\circ}$ C for argon atmosphere tests), the molecule MoDTC 1 is decomposed but no  $MoS_2$  formation is observed. In contrast, Raman spectra obtained from  $260^{\circ}$ C both in air and Ar atmosphere with MoDTC 2 exhibits Raman peaks around  $400 \text{ cm}^{-1}$ . This is not compatible with  $MoO_3$  and  $MoO_2$  [31] but that could be related to Mo-S vibrations. The  $E_{2g}^1$  and  $A_{1g}$  peaks are found at slightly lower positions than standard  $MoS_2$  analyzed at ambient temperature, but this may be due the temperature dependence of the peak position with temperature [32] or to the fact that it is more a  $MoS_x$  compound rather than  $MoS_2$  [33]. The decomposition in  $MoS_2$  of MoDTC closed to MoDTC 2 structure by thermal degradation was already found in literature [34].

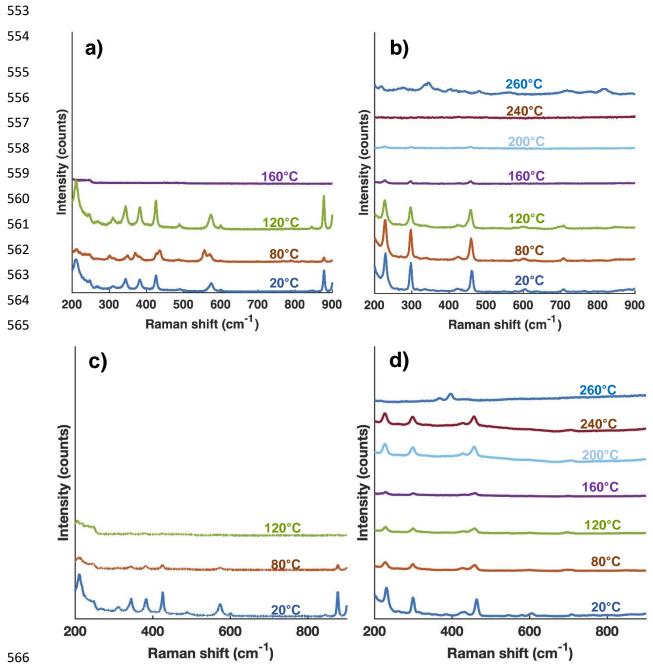


Figure 14: Raman analyses performed on pure additives in different atmosphere and at different temperatures: a) MoDTC 1 in air (laser wavelength 784 nm) and b) MoDTC 2 in air (laser wavelength 532 nm) c) MoDTC 1 under argon atmosphere (laser wavelength 784 nm) and d) MoDTC 2 under argon atmosphere (laser wavelength 532 nm).

#### 4 Discussion

The achievement of low friction coefficients (0.05 to 0.07) under boundary lubrication in steel-steel contact was observed for both molecules MoDTC 1 and MoDTC 2, and is concurrent with the formation of MoS<sub>2</sub>. The originalities of MoDTC 1 are to outperform MoDTC 2 at high contact pressures, and to allow MoS<sub>2</sub> formation without a preformed dimeric core in the molecule, external sulfur supply, and with a high starting oxidation number (Mo(+VI)). Such distinctive chemical properties open mechanistic questions on how such MoDTC molecules can lead to the formation of MoS<sub>2</sub>.

Concerning the oxidation state of molybdenum atom within the molecule, systems with a Mo(+VI) molybdate core have been described but they need to be blended with other additives to form  $MoS_2$  sheets [26]. Among the potential reductive sources, the organic oil or the organic ligands could play a chemical reducing role, but the formation of iron-molybdate involving both iron coming from the steel surface and molybdenum from the additive supports the idea of an active role of steel in these phenomena. This is further corroborated by the fact that  $MoS_2$  is not observed upon thermal heating of MoDTC powder in the absence of steel (results §3.4) and by the fact that molybdate is the main detected phase under less severe conditions at low contact pressure (Figures 12 and 13). Therefore, it can be suggested that the steel-steel contact lubricated with oil environment is reducing enough to reduce Mo(+VI) in MoDTC 1 into Mo(+IV), in the absence of other additives.

The two MoDTC molecules display a very different response during thermal degradation. Additive MoDTC  ${\bf 2}$  seems to degrade into MoS<sub>x</sub> compounds in both air and argon atmospheres near 260°C. This is in agreement with reported mechanism on thermal degradation of such molecules containing the [Mo( $\mu$ -S)<sub>2</sub>Mo] sulphided core as MoDTC  ${\bf 2}$  [34]. Conversely, as shown on Figures 14, MoS<sub>2</sub> is not formed from MoDTC  ${\bf 1}$  during thermal degradation. This suggests a strong role of mechanical stresses and a chemical role of iron. Consistently, we have evidenced the formation of MoS<sub>2</sub> from MoDTC  ${\bf 1}$  only in wear tracks of tribotests (Figures 3 and 4). As shown above, mechanical stresses for MoDTC  ${\bf 2}$  action does not seem necessary for forming MoS<sub>2</sub>, as it seems to form in thermal degradation experiments, but they may positively add to thermal degradation and/or chemical role of steel surface.

To summarize, MoDTC 1 is an interesting molecular additive to reduce friction in boundary lubricated steel-steel contacts. This efficient molecule achieves high performance up to high contact pressures by accessing mechanistic pathways that could be distinct from those of classical molecules such as MoDTC 2 or molybdate [26]. We ruled out the formation of MoS<sub>2</sub> by a mechanism based solely on the thermal degradation of MoDTC 1. The absence of core

sulfur in molecule MoDTC 1 also rules out (at least in the initial stages) the chemical degradation mechanism proposed by Grossiord *et al.* [8] where only sulfur atoms present in the core of the molecule contribute to the MoS<sub>2</sub> generation. The necessary involvement of the sulfur atoms in the ligands of MoDTC 1 remains compatible with at least two proposed mechanisms: the formation of a Linkage Isomer - MoDTC [11,12], or the cleavage of carbon-sulfur bonds of the ligand [7,16]. Then, MoS<sub>x</sub> intermediate products seems needed before generating well-organized MoS<sub>2</sub> lamellar sheets during friction as it was proposed previously [7,26].

### 5 Conclusion

In this work, the tribological properties and factors influencing the formation of MoS<sub>2</sub> from {[MoO<sub>2</sub>](S<sub>2</sub>CNEt<sub>2</sub>)<sub>2</sub>} (MoDTC 1) and {[Mo<sub>2</sub>(S/O)<sub>4</sub>](S<sub>2</sub>CNOc<sub>2</sub>)<sub>2</sub>} (MoDTC 2) were investigated. Unexpectedly, MoDTC 1, comprising Mo(+VI) and containing sulfur atoms only in its thiocarbamate ligands, is able to form MoS<sub>2</sub> sheets in lubricated steel/steel contacts under boundary lubrication conditions. This experimental study therefore demonstrates that, contrary to what was classically assumed, the presence of peripheral thiocarbamate ligands can be sufficient to provide the required sulfur source in these "all-in-one" types of molecules. This molecule is not only competitive with "classical" MoDTC used in engine lubrication, containing sulfur in the core of the molecule, but is even more powerful at high contact pressures. On the mechanistic point of view, this work confirms that the chemical pathway from MoDTC to MoS<sub>2</sub> is not only thermal. Pressure and shear take an important role in the tribochemical reactions route leading to the formation of MoS<sub>2</sub>. A substantial contribution of the surface, as mentioned in the discussion section, remains an important parameter to consider as well.

Those results give insights in molecule design and lubricant formulation to improve MoS<sub>2</sub>

sheets generation from several types of contact conditions

## 6 Acknowledgments

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