

# The fast and the curious II: performance, personality, and metabolism in Karoo bush rats

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- 29 30

#### 31 Abstract

32 Personality traits (e.g., activity, exploration, boldness) are frequently correlated with each 33 other and with various other traits of biological importance. According to the performance, 34 allocation, and independent models of energy management, the relationship between 35 personality traits and resting metabolic rate (RMR) is predicted to be either positive, 36 negative, or nil. As for the relationship between personality traits and locomotor 37 performance, the trait compensation and co-specialisation hypotheses respectively predict a 38 positive and negative relationship. To test for associations between personality, metabolism, 39 and performance, we studied a wild population of Karoo bush rat (Myotomys unisulcatus) in 40 South Africa. During summer 2018 we captured 45 individuals (38 females and 7 males) a 41 total of 293 times and repeatedly measured docility (time spent immobile during a bag test), 42 exploration (distance moved in an open-field test), sprint speed, and RMR. We found a 43 behavioural syndrome in our population, as more docile individuals covered less distance in 44 the exploration test ( $r\pm$ SE=-0.74 $\pm$ 0.21). RMR was not correlated with any trait; therefore, the 45 independent energy management model applies in this population. Fast sprinters were less 46 explorative in the novel environment than slow sprinters ( $r\pm$ SE=-0.41±0.21), going against 47 the prediction of the phenotypic compensation hypothesis and suggesting co-specialisation 48 of these traits. A similar result was previously observed in two other rodent species, 49 suggesting that exploratory behaviour and locomotor performance may interact in an 50 additive instead of compensatory way. Given the apparent complexity of the links between 51 performance, behaviour, and metabolism, more studies are needed in order to understand 52 their relationships.

53 Keywords: Among-individual correlations, basal metabolic rate, personality, running
54 performance, resting metabolic rate.

## 56 Significance Statement

57 In this study, we test alternative energy management models and hypotheses predicting 58 different relationships between behavioural, performance, and metabolic traits. Our results 59 support the independent model of energy management, since resting metabolic rate was 60 not correlated with either docility nor exploration. The negative relationship between 61 exploration and sprint speed is counter to the intuitive idea that more explorative individuals 62 should be better equipped to deal with the increased risks of predation, but instead 63 supports the co-specialisation hypothesis. Finally, we emphasize the importance of 64 partitioning the variance in raw measurements as a way to better appreciate variability in 65 measurements of metabolic rate and locomotor performance. Such multilevel analyses 66 provide an idea of the relative variability at among-individual level vs. other levels (tests, 67 trials, and residuals), which might have important implications for the understanding of how 68 factors like stress and motivation might potentially generate or obfuscate relationships 69 between behavioural, performance, and metabolic traits.

#### 71 Introduction

72 The field of animal personality – defined as repeatable individual differences in activity, 73 exploration, boldness, aggressiveness, and sociability – has experienced a major surge in 74 interest over the last 20 years (Stamps 1991; Dingemanse and Réale 2005; Dingemanse et al. 75 2010; Stamps and Groothuis 2010; Carter et al. 2013). Salient features of animal personality 76 studies are 1) the focus on individual variation and 2) the use of standardised tests to 77 measure individual behavioural reactions to various situations (e.g., novel, familiar, and risky 78 situations). Although behavioural tests are sometimes conducted in an unnatural context 79 (e.g., the open-field test), individual differences captured in these tests are thought to relate 80 to behaviours exhibited in various natural situations such as habitat use, predation 81 avoidance, dispersal, or social behaviours (Dingemanse et al. 2003; Dall et al. 2004) and have 82 been validated in many cases (Fraser et al. 2001; Boon et al. 2008; Boyer et al. 2010; van 83 Overveld and Matthysen 2010; Yuen et al. 2016). As such, personality traits have been found 84 to affect fitness components (Dingemanse and Réale 2005; Moiron et al. 2020 but see Smith 85 and Blumstein 2008)

86 Personality traits are frequently correlated with each other, in which case they can 87 be referred to as behavioural syndromes (Sih et al. 2004). Behavioural syndromes imply 88 limited plasticity in behaviour (Sih et al. 2004). Although individuals may display different 89 levels of aggressiveness depending on contexts, more aggressive individuals typically remain 90 more aggressive in all contexts (i.e., rank order maintained) and are also generally bolder 91 than less aggressive individuals (Riechert and Hedrick 1993). Behavioural syndromes are 92 especially relevant to the field of animal behaviour as they may help us understand the 93 maintenance of inter-individual variation in behaviour. If personality traits are correlated

across different contexts, personality traits may not evolve independently from each other,
but instead as a suite of correlated traits (Price and Langen 1992). Furthermore, personality
traits may not only correlate with each other, but also with other traits of biological
importance such as circulating hormones levels (Chang et al. 2012), aspects of the immune
system (Butler et al. 2012; Monceau et al. 2017), locomotor performance (Careau and
Garland 2012) and metabolic rate (Careau et al. 2008).

100 All biological processes in an organism require energy, for which individuals must 101 match metabolic fuel supply (lipids, carbohydrates, and protein) to ever-changing energy 102 demands (Weber 2011). The rate at which an individual oxidizes substrates to produce 103 energy has been defined as the metabolic rate. Due to the highly variable nature of 104 metabolic rate in response to various factors (e.g., temperature, biosynthesis, activity), a 105 certain degree of standardisation is required to compare metabolic rate among individuals. 106 Basal metabolic rate (BMR) - the lowest rate at which substrates are oxidized by an 107 endotherm to stay alive – is often measured as the O<sub>2</sub> consumption of an animal that is alert 108 but resting, fasting (i.e., post-absorptive), not reproducing or growing, within its thermal 109 neutral zone, and is measured during the inactive part of the animal's daily cycle (Speakman 110 2013). Despite the highly standardised nature of BMR measurements, there usually remains 111 a large degree of inter-individual variation within populations (Speakman et al. 2004). In 112 many circumstances, especially in field studies, it is not possible to meet all of the criteria to 113 measure BMR, in which case measurements are referred to as resting metabolic rate (RMR). 114 BMR and RMR are nevertheless considered analogous traits (hereafter referred to as RMR). 115 The links between RMR and animal personality have been intensely explored over 116 the last decade (Careau et al. 2011; Killen et al. 2011; Le Galliard et al. 2013; Bouwhuis et al.

118 According to the allocation model, which assumes that there is a finite amount of energy 119 that an animal can spend on competing processes, behaviours such as activity should be 120 negatively correlated with RMR, as a higher RMR will result in less energy available to spend 121 on activity, and vice versa (Speakman 1997; Careau et al. 2008). Alternatively, according to 122 the performance model, RMR and activity should be positively correlated because more 123 active individuals sustaining higher levels of energy expenditure should require a larger 124 "machinery" (larger organs) which, at rest, leads to a higher RMR (Daan et al. 1990; Careau 125 et al. 2008). Finally, the independent model recognizes that physical activity has a direct 126 impact on daily energy expenditure, but assumes that RMR and physical activity are independent (Careau and Garland 2012). 127

2014; Gifford et al. 2014; Krams et al. 2017; Chen et al. 2019; Cornwell et al. 2020).

117

128 The behavioural repertoire of an animal is, by definition, confined within a space set 129 by its performance abilities (Bennett 1989). Performance – the ability of an individual to 130 perform a task when maximally motivated – includes repeatable traits such as speed, 131 strength, and endurance (Garland and Losos 1994). Intuitively, an individual that can run fast 132 or has a strong bite could behave more boldly and aggressively than an individual that run 133 slow or has a weak bite (Herrel et al. 2009). This intuitive idea corresponds to the "trait 134 compensation" hypothesis developed in the context of co-adaptations between anti-135 predator behaviour and morphological defence (Dewitt et al. 1999). With respect to 136 locomotor performance, the trait compensation hypothesis received some empirical 137 support. In zebrafish (Danio rerio), artificial selection for boldness resulted in the bold line 138 having higher locomotor performance than the shy line (Kern et al. 2016). In the delicate 139 skink (Lampropholis delicata), bolder individuals with a "hot thermal type" had faster sprint 140 speeds than shyer individuals with a "cold thermal type" (Michelangeli et al. 2018). In the

141 Asian agamid lizard (*Phrynocephalus vlangalii*), time spent moving during a novel

142 environment test was positively correlated with endurance (Chen et al. 2019).

143 According to the trait compensation hypothesis, a fast sprinter can take more risks 144 (e.g., forage farther from refuge) because it could potentially escape a predator more easily 145 than a slow sprinter. An alternative possibility is that both behaviour and performance 146 enhance overall protection from predation, which corresponds to the "trait co-147 specialisation" hypothesis that predicts a negative relationship between boldness and 148 locomotor performance (Dewitt et al. 1999). We are aware of three studies that support the 149 trait co-specialisation hypothesis, all on rodents. In Barbary ground squirrels (Atlantoxerus 150 getulus), escape speed was negatively correlated with time of entrance into the open field 151 (i.e., faster sprinters were shyer; Piquet et al. 2018). Similarly, sprint speed was negatively 152 correlated with distance moved during a novel environment test in Eastern chipmunks 153 (Tamias striatus) (Newar and Careau 2018). In Yellow bellied marmots (Marmota 154 flaviventris), sprint speed was positively correlated with vigilance while foraging (i.e., faster 155 sprinters were more vigilant; Blumstein et al. 2004). Given the contrasting results supporting 156 both the trait compensation and co-specialisation hypotheses, further research is needed on 157 the links between personality and performance, and how these two aspects of the 158 phenotype covary with metabolic rate. 159 Here, we test for associations between behaviour, metabolism, and performance in a

wild population of Karoo bush rats (*Myotomys unisulcatus*) in South Africa. Karoo bush rats are diurnal (crepuscular) central-place foragers for which locomotor performance abilities such as sprint speed are important to rapidly travel across open spaces between bushes and therefore play a functional role in survival. At our field site (see below), Karoo bush rats are

164	solitary living (JT and CS, unpublished data), which prevents an influence of social status on
165	personality. We repeatedly captured marked individuals and measured different aspects of
166	their personality (exploration and docility), energy metabolism (RMR), and locomotor
167	performance (sprint speed). Such repeated sets of measures of these traits also allowed us
168	to partition phenotypic correlations into the among-individual correlations ( $r_{ind}$ ; correlation
169	between individual means) and within-individual correlations ( $r_e$ ; correlation between
170	deviations from the individual means). Although we found no relationship between
171	personality and RMR (supporting the independent model), we found a negative $r_{ind}$ between
172	exploration and sprint speed, thus supporting the trait co-specialisation hypothesis.

#### 174 Methods

175 Study site

176 Karoo bush rats were monitored from February to April 2018 in an area surrounding the

177 Succulent Karoo Research Station in the Goegap Nature Reserve, Northern Cape Province,

178 South Africa (29°41′56″S, 18°1′60″E, altitude 912m). The Succulent Karoo is an arid

179 biodiversity hotspot with a mean annual rainfall of 160mm, our study took place during a

- 180 drought with ~76mm of rainfall for 2018. At our field site, the minimum night-time and
- 181 maximum daytime temperatures are respectively -1.5 and 24°C during winter and 4 and
- 182 42°C during summer (CS, unpublished data).

184 Captures

185	Sherman-like traps baited with a mix of bran flakes, oil, raisins, salt, and freshly cut apples
186	were used to trap individuals around their nests. Traps were set before the sun was
187	illuminating the valley (6:00-7:00) and checked at first after 45min and again after 90min.
188	For every capture done by one of two observers (PA or JT), individuals were removed from
189	the trap and a bag test was performed before any other handling occurred. The bag test
190	consisted of transferring the individual from the trap into a plastic handling bag, where it
191	was suspended at an arm's length for 1 min while the number of seconds spent immobile
192	was measured using two stopwatches (one for the duration of the test and another for the
193	time spent immobile). This test was previously used to capture aspects of docility and
194	freezing behaviour in the presence of humans (Martin and Réale 2008; Newar and Careau
195	2018). The bag test was not done when individuals were accidently captured by members of
196	another research team working on striped mice (47 out of 292 captures), in which case the
197	individuals were identified and directly brought to the laboratory without bag test.
198	After the bag test, individuals were identified (or tagged if this was the first capture
199	of an individual, see below), sexed, and weighed using a digital scale (KERN EMB 500, 0.1g

200 precision). Upon first capture, individuals were permanently marked using ear-tags (National

201 Band and Tag Co., Newport, KY, USA) for individual recognition, and immediately released.

202 Ear tagging is a technique considered relatively harmless and more efficient than

203 alternatives techniques of marking, but that can increase tick load (Wood and Slade 1990;

204 Kuenzi et al. 2005; Ostfeld et al. 2017). After the bag test and standard manipulations were

205 done, individuals were either released because they were brought to the lab on the previous

206 day (107 out of 245 captures) or placed back into their traps and transported to an adjacent 207 on-site laboratory where aspects of their behaviour, performance, and metabolism were 208 measured. Up to 9 individuals per day were transported to the laboratory, but not all tests 209 were conducted on all individuals on a given day to avoid rapid habituation to the novel 210 environment and because our respirometry system allowed for measuring only 3 individuals 211 per day. Individuals were released at their nest of capture after completing, one, two or the 212 three tests, depending on their last capture date, and were never kept longer than 7 hours in 213 the laboratory. It was not possible to record data blind because our study involved focal 214 animals in the field.

215

216 Behavioural test

217 Once in the laboratory, the first test conducted was an open-field test (Archer 1973). All 218 open-field tests were done between 9:00 to 10:30. Karoo bush rats were released in a white-219 painted rectangle test arena (80×95cm), where their behaviour was recorded with a camera 220 (Microsoft LifeCam Cinema H5D-00018) for 5 min. Black curtains were placed around the 221 arena to ensure that the individuals could not see the surroundings and were not disturbed. 222 The software EthoVision XT (Noldus Information Technology, Wagenigen) was used to track 223 the individual and extract the total distance moved.

224

225 *Performance test* 

The second laboratory test, usually conducted between 10:30 to 11:30, was designed to

227 measure sprint speed on a 6m long by 12cm wide plastic racetrack with marks at 1, 2, 3, 4,

and 5m allowing to measure the sprint speed over 4m (starting at 1 and ending at 5).

229 Individuals were released at the beginning of the racetrack and allowed to explore shelters 230 (square metal box) at both ends of the racetrack where they could rest/hide in between 231 trials. The individual was then chased by an observer who ran and screamed behind the rat 232 using a piece of cardboard to scratch the surface of the racetrack behind the rat. Videos 233 were recorded using a wide-angle camera at 30 frame per second (DanCoTech A9-DCH-BA). 234 Trials in which the individuals did not run, stopped, or jumped out of the racetrack were 235 discarded. A total of three trials per test were conducted on each rat on a given day. The 236 status of the individual was closely monitored throughout the test to make sure the 237 procedures did not induce any adverse effects (such as injuries or complete exhaustion that 238 could lead to lasting effects after the test ended, which never occurred). Running speed was 239 determined by using the Tracker software (Open Source Physics) by counting frames in 240 between marks. For each test, we extracted the trial that yielded the fastest speed over one 241 meter, this value was retained as the maximum sprint speed for that individual on that day.

242

243 Respirometry

244 Respirometry tests were conducted after open-field and sprint speed tests, between 13:00 245 and 16:00. This period corresponds to the non-active phase of the diurnal cycle in Karoo 246 bush rats (they are considered crepuscular and therefore lower their activity in the 247 afternoon to avoid heat; du Plessis et al. 1991). Metabolic rate was measured using a flow-248 through respirometry system with three chambers and one baseline channel. Ambient air 249 was pumped and directed into a manifold where it was split into 4 different streams. Each 250 individual air stream was directed through a mass-flow meter (FB8, Sables Systems) and sent 251 into one of the 4 chambers (three chambers containing individuals and one empty chamber

252 as baseline) at a flow rate of  $\sim$ 700mL·min<sup>-1</sup>. Outcoming air was directed through O<sub>2</sub>, CO<sub>2</sub>, and 253 water vapor sensors (FoxBox, RH-300; Sable systems). After monitoring the baseline channel 254 for 5 min, the system sequentially sampled the 3 chambers for 10 min each, after which 255 another baseline measurement was taken. This process was repeated 3 more times, yielding 256 a total of four 10 min samples for each individual on a given day. For each 10 min sample, we 257 extracted the lowest mean O<sub>2</sub> consumption over a period of 4:30 min. The lowest of these 258 four estimates was retained as the RMR of that individual on that day. While in the 259 chambers, individuals were monitored using a webcam (Microsoft Livecam HD-3000) to 260 ensure that they were resting (i.e., not moving). We did not discard any estimates as 261 individuals were always immobile for the timestamps corresponding to the lowest O<sub>2</sub> 262 consumptions.

263

#### 264 Statistical analyses

265 Statistical analyses were done using ASRemI-R version 3 (Gilmour et al. 2009). All traits were 266 standardised to a mean of 0 and a variance of 1. Before analysing sprint speed and RMR, we 267 wanted to gain a better understanding of the variability in the raw measurements at various 268 levels. Indeed, variation in running speed occurs at four different levels; among individuals, 269 among tests within individuals, among trials within tests, and among successive meters 270 within trials. To capture variance at each of these levels, we used a multilevel approach, 271 where random effects of 1) individual identity (ID) captured among-individual variance ( $V_{ind}$ ), 272 2) ID combined with test captured variance among tests within individuals ( $V_{\text{test}}$ ), and 3) ID 273 combined with test and trial number captured variance among trials within tests ( $V_{trial}$ ). After 274 accounting for these levels of variance, the residual variance ( $V_e$ ) represented the variance in

275	running speed between successive meters within trials and measurement error. For
276	metabolic rate, we took 4 repeated measurements per respirometry test; therefore variation
277	in metabolic rate occurs at three different levels; among individuals ( $V_{ind}$ ), among tests
278	within individuals ( $V_{\text{test}}$ ), and among successive measurements within tests ( $V_e$ ).
279	In a second step, we extracted daily maximum running speed (hereafter called sprint
280	speed) and the daily minimum metabolic rate (hereafter called RMR) that we used to
281	calculate repeatability and estimate among- and within-individual correlations. We first ran
282	univariate mixed models to assess the effects of Julian day, sex, and test sequence (i.e., the
283	number of times the individual passed the test) on body mass, docility, distance moved in
284	the open field, sprint speed, and RMR. All univariate mixed models included body mass as a
285	fixed effect (except the one in which body mass was the response variable) and included
286	individual identity as a random effect to partition the phenotypic variance (after
287	conditioning on the fixed effects above) into an among-individual variance ( $V_{ind}$ ) and within-
288	individual variance ( $V_e$ ; residuals). Adjusted repeatability of sprint speed, RMR, time spent
289	immobile in the bag test, and distance moved in the open field were calculated as the ratio R
290	= $V_{ind}/(V_{ind}+V_e)$ . The approximate standard errors (se) for R were calculated using the delta
291	method using the pin function in nadiv (Wolak 2012).



estimate  $V_e$  and  $r_e$  between traits. The 95% confidence intervals for  $r_{ind}$  and  $r_e$  were

calculated using profile likelihoods with the proLik function in nadiv (Wolak 2012). Best

300 linear unbiased predictors (BLUPs) were extracted from the multivariate mixed model to

301 obtain a graphic representation of  $r_{ind}$  and  $r_e$ .

302 ASRemI-R uses a model-based missing data augmentation method (more specifically, 303 "full information maximum likelihood") for the estimation of missing values in the response 304 variables of a multivariate mixed model, which allowed us to fully consider all observations 305 made on all individuals throughout the study in our multivariate mixed model. The missing 306 data procedures in ASRemI-R can improve the estimation of among-individual correlations 307 and are preferable over "complete cases analyses" (Noble and Nakagawa 2018). Note that 308 although traits were not always all measured on a given day, there was enough overlap 309 between measurements to estimate  $r_e$  (e.g., sprint speed and RMR were measured 113 310 times on the same day, sprint speed and exploration were measured 110 times on the same 311 day, and RMR and exploration were measured 87 times on the same day). Our protocol also 312 means that individuals experienced different combinations of testing in the laboratory. We 313 tested the possibility of carry-over effects by creating a dummy variable indicating if the 314 individual was tested in the open field prior to the other measurements, but this variable 315 was not significant for sprint speed and RMR (P > 0.05 in both cases). Similarly, a dummy 316 variable indicating if the individual was tested in the race track prior to respirometry did not 317 have a significant effect on RMR (P > 0.05). Therefore, we are confident that carry-over 318 effects of sequential testing did not influence the results.

Finally, the multilevel analysis above revealed substantial variance in running speed
from one meter to the next within performance trials (see below). Therefore, we wanted to

321 explore if behaviour and running speed covary differently at different points along the race

322 track. We fitted a second multivariate model using the same fixed and random effects as

323 above (body mass, sex, test sequence, and Julian day, ID) in which the response variables

- 324 were the two behavioural traits and running speeds at each meter (speed 1, speed 2, speed
- 325 3 and speed 4) of the race track. A 6 × 6 correlation matrix was fitted at the among-
- individual and residual levels to provide the  $r_{ind}$  and  $r_e$  between behavioural traits and
- 327 running speed at each meter of the race track.

328

#### 329 **Results**

#### 330 Descriptive statistics

We captured and tested a total of 45 individuals (38 females, 7 males), of which 37 were repeatedly tested (8 individuals were only tested once). A total of 244 bag tests, 119 openfield tests, 123 respirometry tests, and 177 sprint speed tests were performed with repeated measures varying between 1 to 14 for each individual (see Table 1).

335 Time spent immobile during the bag test averaged 53.7 seconds (see Table 1 for 336 descriptive statistics). While many individuals remained "frozen" (immobile) for the whole 337 test, a few individuals were more active with the shortest time spent immobile being 5.8 338 seconds. During the open-field test, most rats moved around the walls of the arena, avoiding 339 the centre and moved over relatively short distances (13m) with some individuals showing 340 higher levels of exploration than others (range: 1-40 m). Sprint speed ranged between 1.5 to 4.3 m·s<sup>-1</sup> for an average of 3.2 m·s<sup>-1</sup> which is within the range of the sprint speed recorded 341 342 on similar sized rodents (Djawdan and Garland 1988). RMR ranged from 0.62 to 2 mlO<sub>2</sub> min<sup>-1</sup>

with an overall mean of 1.2 mlO<sub>2</sub>·min<sup>-1</sup>, which is in accordance with values measured for
similar-sized grazing rodents (Bozinovic 1992).

345

#### 346 Variance in running speed and metabolic rate

Considering all running speed tests and trials, we found that 35.1% of the variance was due to differences between individuals (as estimated by the V<sub>ind</sub> random effect), while 11.4% was due to differences between days within individuals (as estimated by the V<sub>test</sub> component) (Table S1). Additionally, only 6.2% of the variance was due to differences between trials within days (as estimated by V<sub>trial</sub>). Finally, 52% of the variance in running speed occurred among meters within trials (as estimated by V<sub>e</sub>). The analysis of sprint speed below is based on the fastest speed recorded over one meter on a given test (day).

Considering all respirometry tests, 31.7% of the variance in metabolic rate was due to differences between individuals (*V*<sub>ind</sub>), whereas 36.2% was due to differences between days within individuals (*V*<sub>test</sub>) (Table S1). Finally, 31.9% of the variance occurred among successive measurements within trials, representing measurement error and variation in metabolic rate (probably due to activity and stress) when rats were restrained within metabolic chambers. The lowest metabolic rate value recorded over the four sampling periods on a given test (day) was extracted as RMR, and which always corresponded periods of inactivity.

361

#### 362 Effect of mass, sex and test sequence

363 Males were heavier than females (Table 2A; Fig. 1A). Sex also had a significant effect on

364 sprint speed with males showing slower sprint speed than females (Table 2D; Fig. 1B). Body

mass did not affect docility, exploration, and sprint speed (Table 2), but as expected there
was a positive effect on RMR (Table 2E; Fig. 1C). Test sequence had a negative effect on
distance moved in the open field (Table 2C), especially between the first and second
measurements (Fig. 1D), suggesting an habituation effect. Test sequence also had a positive
effect on sprint speed (Table 2D; Fig. 1E), suggesting that individuals would perform better
as they repeated the sprint speed test. Finally, Julian day had a positive effect on RMR (Table
2E).

372

#### 373 Among- and within-individual correlations

374 After taking the above-mentioned fixed effects into account, all traits were significantly 375 repeatable, with estimates ranging from  $R \pm SE = 0.903 \pm 0.021$  for body mass to  $R \pm SE =$ 376 0.203±0.115 for RMR (Fig. 2). None of the within-individual correlations were significant 377 (Table 3). At the among-individual level, however, there were two significant correlations 378 (Table 3). There was a strong negative correlation between docility and exploration ( $r_{ind} \pm$ 379 SE=  $-0.740 \pm 0.216$ ) indicating that individuals who spent more time immobile during the bag 380 test moved over shorter distances during the open-field test (Fig. 3A). There was also a 381 negative correlation between sprint speed and exploration ( $r_{ind} \pm SE = -0.399 \pm 0.213$ ), 382 indicating that the fastest sprinters moved over shorter distances during the open-field test 383 (Fig. 3B).

384

#### 385 Behaviour vs running speed

386 Here, we consider all speed measurements from the trial for which sprint speed was

387 extracted and look at the covariance with behaviour. As can be expected, consecutive

388 speeds at each meters were correlated with each other with estimates ranging from 0.999 to 389 0.854 at the among-individual level and 0.563 to 0.230 at the within-individual level (Table 390 S2). At the among-individual level, exploration was negatively correlated with running speed 391 at all positions of the race track, with significant relationships at 3 of the 4 positions (first, 392 second and fourth meter; Table S2; Fig. 4). None of the within-individual correlations were 393 significant between exploration and running speed (Table S2; Fig. 4). By contrast, docility 394 was not correlated with running speed at the among-individual level, but the within-395 individual correlations changed as function of the position of the race track; the only 396 significant within-individual correlation was with running speed recorded over the first 397 meter of the race track (Table S2; Fig. 4).

398

#### 399 **Discussion**

400 Our objective was to test for correlations between personality, performance, and metabolic 401 rate at the among- and within-individual levels. As previously found in many studies on 402 rodents, we found a behavioural syndrome in our population in which docility and 403 exploration were negatively correlated at the among-individual level. We did not find 404 correlations between RMR and any other traits, including docility and exploration. 405 Therefore, our results support the independent model (Careau and Garland 2012). We found 406 a negative correlation between exploration and sprint speed at the among-individual level. 407 Altogether, our results and those from three other studies on rodents (Blumstein et al. 2004; 408 Newar and Careau 2018; Piquet et al. 2018) provide empirical support for the idea that 409 personality and performance traits are co-specialised.

410

#### 411 The fast and the curious

412 If performance determines what individuals can do behaviourally, higher sprinting abilities 413 should allow individuals to take more risks, because those individuals are better equipped to 414 escape potentially dangerous situations (Careau and Garland 2012). Interestingly, however, 415 we found that the individuals with the highest locomotor performance (fastest sprinters) 416 were also less explorative in the open-field test. This is the opposite result to what is 417 predicted from the trait compensation hypothesis (Dewitt et al. 1999). Instead, the negative 418 correlation between sprint speed and exploratory behaviour lends support to the trait co-419 specialisation hypothesis (see Dewitt et al. 1999), where both traits additively reinforce 420 protection from predators. Although trait compensation seems to be one of the most 421 commonly observed pattern among species between behavioural and morphological 422 defenses, whereby species with larger defenses (e.g., shells) are bolder and/or more 423 explorative (Mikolajewski and Johansson 2004; but see Hossie et al. 2017), studies 424 conducted so far on the functional relationship between behaviour and performance at the 425 individual level suggest that the prevailing pattern is trait co-specialisation in mammals (this 426 study; Blumstein et al. 2004; Newar and Careau 2018; Piquet et al. 2018). In other words, 427 shy or less explorative individuals are less prone to encounter a predator, and in the event of 428 an encounter, their higher sprinting abilities further increase chance of successful escape, 429 and therefore survival. 430 An alternative explanation for the negative relationship between sprint speed and 431 exploratory behaviour could be that the stress response of the individuals covary with 432 individual variation in motivation during the performance tests. For example, if shy

433 individuals are more fearful and anxious than bold individuals, they might be less motivated

434 to explore the arena during the novel environment test, but more motivated to run down 435 the race track at their fastest when being chased. For that scenario to be true, however, 436 there has to be individual variation in motivation, while performance protocols are typically 437 designed to eliminate such variation. Nevertheless, Losos et al. (2002) identified motivation 438 as "the major problem bedevilling studies of performance", as previous studies showed that 439 some individuals do not use their maximal capacities during the tests (Pough 1989; Garland 440 and Losos 1994). Sub-maximal trials – when animals are judged as not having performed to 441 their maximum – are usually excluded from performance studies, but deciding which trials to 442 exclude may vary across studies. Losos et al. (2002) pointed out that 49% of the 65 studies 443 published between 1979 and 1999 on lizard sprinting abilities did not mention sub-maximal 444 trials, and that only a few studies clearly stated that they excluded these trials. Although we 445 excluded all sub-maximal trials, no individual was excluded from our study. Nevertheless, 446 some variation in motivation must remain among and within retained trials, and, because of 447 the potential covariance it can induce between performance and behaviour, a better 448 appreciation of variation in raw performance measures is warranted (Berberi and Careau 449 2019).

450

451 Variation in running speed across levels

To our knowledge, only two studies so far have followed a multilevel approach (Araya-Ajoy
et al. 2018) and used extra random effects to partition variance in running speed across
different temporal scales (Berberi and Careau 2019; Lailvaux et al. 2019). In these studies,
17-19% of the variance in running speed occurred at the among-individual level, whereas
35% of the variance occurred at that level in our dataset. These relatively low estimates of

457 long-term repeatability imply that many repeated tests per individual are required to 458 properly quantify individual differences in locomotor performance. In contrast to Berberi 459 and Careau (2019) and Lailvaux et al. (2019), we retained running speed measures taken at 460 each meter of the racetrack. Interestingly, the levels with the lowest amount of variance 461 were  $V_{\text{test}}$  and  $V_{\text{trial}}$ . Therefore, running speed did not vary much between tests conducted on 462 a given individual throughout the season and between trials conducted on a given day, 463 which is quite encouraging because it suggests that variation in motivation was low at these 464 levels.

465 About half of the variance in running speed resided in the  $V_e$  component, which 466 consists of variation in motivation and measurement error. To reduce measurement error in 467 our running speed measurements, we should have used a high speed camera that records at 468 a faster rate than 30 frames per sec. Still, variation in motivation from meter to meter within 469 trials was evident (PA, pers. obs.), which gave us the idea of exploring how behaviour 470 covaries with running speeds recorded at different positions of the race track. We found that 471 docility was correlated with running speed recorded over the first meter of the race track at 472 the within-individual level. Therefore, on a given test day, an individual who remained more 473 immobile during the bag test than its own average ran faster than usual on the first meter of 474 the racetrack. This suggests that individuals who were, for unknown reasons, more fearful of 475 humans on a given day, froze for a longer period during the bag test and displayed a higher 476 acceleration when running away from the chaser. Given that docility and speed were 477 measured in different locations and using different tools, correlated measurement error is 478 unlikely to have caused the  $r_e$  between the two traits. Therefore, the  $r_e$  must have been 479 caused by correlated phenotypic plasticity with respect to factors that were unaccounted for 480 in our experiment (Brommer and Kluen 2012; Careau and Wilson 2017a, b). Note that the

relationship between docility and speed was not apparent in the analyses of sprint speed
(Table 3) because the fastest running speed is usually reached in the second and third
meters of the race track. Hence, our results show the insights that can be gained from
analysing raw running performance measurements, especially when trying to relate
performance to behaviours that involve reactions to human presence.

486

487 *Repeatability and behavioural syndrome* 

488 Repeatability of behavioural traits is generally higher in the field than in the laboratory,

489 presumably because individuals live in heterogenous environments that permanently

490 influence their behaviour, increasing among-individual differences and therefore

491 repeatability (Bell et al. 2009). In our case, however, adjusted repeatability was R=0.319 for

492 docility and R=0.30 for exploration, respectively, which is slightly under the reported average

493 repeatability of behaviours (R=0.37) (Bell et al. 2009). We also found that the more

494 explorative individuals were less docile. Similar behavioural syndromes have been previously

495 observed in a number of other populations of mammals between docility and exploration

496 (Montiglio et al. 2012; Careau et al. 2015) and between docility, exploration, and activity

- 497 (Petelle et al. 2015). A similar behavioural syndrome is also exhibited in birds, between
- 498 docility (assessed with a "back test") and exploration (Hall et al. 2015) and between docility,

aggressivity, and breathing rate (Brommer and Kluen 2012). Thus, the behavioural syndrome

500 we found in bush Karoo rats is very similar to what has been reported for many other

501 species.

502 These behavioural syndromes appears to be reflective of the "flight or fight" 503 response, and have been associated with the proactive-reactive continuum of coping style

504	strategies (Koolhaas et al. 1999). According to the literature on coping styles, individuals
505	consistently differ in their physiological and behavioural response towards stressful
506	situations. Proactive individuals tend to interact with the stressor, while reactive individuals
507	tend to avoid it. Applying this idea to our species, proactive individuals were likely those who
508	moved more in the handling bag trying to escape (therefore being less docile), and who also
509	travelled longer distances in the open-field test (being more explorative). By contrast,
510	reactive individuals were likely those who froze during the bag test and did not travel long
511	distances in the open-field test. More stress-related measures are needed to confirm the
512	associations between docility and exploration behaviour with coping styles in our species.

- - -

#### 514 Lack of relationship with RMR

515 RMR has been previously found to be a repeatable trait in many taxa, with an average 516 estimate of R = 0.39 in mammals (White et al. 2013). In this study, the repeatability of RMR 517 was R=0.203 after controlling for date, body mass, sex, and test sequence. In other words, 518 only ≈20% of the total variance in RMR was attributed to differences among individuals and 519 ≈80% of the variance was due to within-individual variation (plasticity) and measurement 520 error. Generally, the repeatability of metabolic rate declines with increasing time between 521 measurement (White et al. 2013), but we think this is an unlikely explanation for the 522 relatively low R observed in our study considering the number of repeated measures we took over a relatively short field season (February 2<sup>nd</sup> to May 21<sup>st</sup>). The relatively low 523 524 repeatability of RMR might be explained by the fact that this study was conducted on wild-525 caught animals where natural conditions (i.e., food availability and predation risk) varying 526 within individuals affect differently the expression of the metabolism, compared to more

stable laboratory conditions (Dingemanse et al. 2009; Kontiainen et al. 2009; Auer et al.
2016). The field conditions also forced us to use relatively short respirometry tests (i.e.,
animals were only kept 4h in the metabolic chambers), which might have amplified the
influence of stress in our RMR measures.

531 We did not find correlations between RMR and any of the other traits we measured 532 (docility, exploration, or sprint speed). Given the relatively low repeatability of RMR and 533 number of individuals sampled, it is possible that we had low power to detect any 534 relationship with RMR. Nevertheless, our results support neither the allocation nor the 535 performance model; instead, it appears the independent model applies in our population, in 536 which RMR and behaviours are not correlated but where non-resting measurements of 537 metabolism (such as the daily energy expenditure) are expected to be correlated to 538 behaviour (Careau and Garland 2012). A recent meta-analysis on the covariance between 539 RMR and behaviour pointed out that RMR was positively correlated with behaviours that 540 likely have consequences for energy gain (e.g., foraging), or expenditure (e.g., sustained 541 running speed), but was not correlated with behaviours that have uncertain energetic 542 outcomes such as the two behavioural traits used in this study (Mathot et al. 2019). 543 Although RMR and behaviours are not correlated in the independent model, behavioural 544 traits still have an influence on the non-resting part of the energy budget (e.g., (Careau et al. 545 2015). In such cases where the independent model applies, the energetic impacts of 546 personality would only be detectable through the measurement of daily energy expenditure, 547 which includes the energetic costs of activity in addition to basal costs of living (and other 548 costs, e.g., thermoregulation).

549

#### 550 **Conclusions**

551 Although it is intuitive to think that bolder and more explorative individuals should be better 552 equipped to deal with the increased risks resulting from those behaviours (trait 553 compensation), empirical evidence in rodents show this is not the case. Instead, it appears 554 that sprint speed is co-specialised with vigilance and exploratory behaviour in rodents (this 555 study; Blumstein et al. 2004; Newar and Careau 2018; Piquet et al. 2018). Future research 556 should tackle the implications of trait co-specialisation for the pace of life, especially survival 557 in prey species. It will also be important to evaluate the contribution of motivation 558 underlying individual co-variation in personality and performance. Doing so might involve 559 using methods for measuring performance with different levels of stress, for exemple tests 560 that rely on individual's survival instincts (i.e., forced swimming), tests with human-induced 561 stress, and tests where individuals perform without being forced. Finally, it will be important 562 to continue partitioning variance in raw performance measurements to get a better sense of 563 where most of the variation occurs.

564

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## 572 **Declarations**

## 573 Funding

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## 576 **Conflict of interest**

577 We have no conflict of interest to declare.

### 578 Ethics approval

- 579 All procedures were approved by the University of Ottawa Animal Care Committee (protocol
- 580 #BL-2659), certified by the Canadian Council on Animal Care. All applicable international,
- 581 national, and/or institutional guidelines for the use of animals were followed

## 582 **Consent to participate**

583 Not applicable.

## 584 **Consent for publication**

585 Not applicable.

## 586 Availability of data and material

587 Databases used in this study are available as supplementary material.

#### 588 Code availability

589 The code used to perform the statistical analyses is available as supplementary material.

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753	<b>Table 1</b> Descriptive statistics for body mass (measured in the field), docility (time spent
754	immobile during a 1-min bag test), exploration (distance moved in a 5 min open-field test),
755	sprint speed (fastest speed reached over 1 meter on a given day), and resting metabolic rate
756	(RMR; lowest $O_2$ consumption over 4.5 min) in Karoo bush rats in the Goegap Nature
757	Reserve (South Africa, 2018), including the number of individuals sampled ( $N_{ID}$ ), total
758	number of observations ( $n_{obs}$ ), units, mean, standard deviation (sd), and range (minimum to
759	maximum)

	Body mass	Docility	Exploration	Sprint speed	RMR
N <sub>ID</sub>	45	44	45	44	44
n <sub>obs</sub>	285	244	119	177	123
Units	g	sec	cm	m·s⁻¹	mlO₂∙min⁻¹
Mean	90.60	53.67	1309.65	3.22	1.20
sd	15.15	11.89	8.89	0.53	0.30
Min	50.20	5.80	1.00	1.54	0.62
Max	127.60	60.00	4008.02	4.29	2.03

Table 2 Effects of sex, test sequence, and Julian day on A) body mass, B) docility (time spent
immobile during bag test), C) exploration (distance moved in a 5min open-field test), D)
sprint speed (fastest speed reached over 1 meter on a given day), and E) resting metabolic
rate (RMR) in 45 Karoo bush rats, as estimated in separate univariate mixed models. Body
mass was also included as a covariate in B-E. Shown are the estimates (±SE), denominator
degrees of freedom ( $df_{den}$ ), Wald F-statistic, and P values. Bold values are significant
estimates (see Table 1 for sample size, Fig. 2 for variance estimates, and Table 3 for

	769	correlations obtained	from the	multivariate	model
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Trait	Source	Estimate	±	SE	$d\!f_{\sf den}$	F	Р
A) bo	dy mass						
	Intercept	0.199	±	0.190			
	Sex <sub>[m]</sub>	0.958	±	0.395	42.5	5.9	0.0196
	Test sequence	0.000	±	0.011	255.8	0.0	0.9895
	Julian day	-0.002	±	0.002	259.2	1.5	0.2164
B) do	cility						
	Intercept	0.314	±	0.635			
	Sex <sub>[m]</sub>	0.034	±	0.303	35.7	0.0	0.9118
	Body mass	-0.005	±	0.007	73.1	0.7	0.4214
	Test sequence	-0.005	±	0.033	100.3	0.0	0.8811
	Julian day	0.002	±	0.005	90.6	0.2	0.6752
C) ex	ploration						
	Intercept	1.111	±	0.622			
	Sex <sub>[m]</sub>	-0.033	±	0.313	40.5	0.0	0.9171
	Body mass	-0.003	±	0.006	101.3	0.3	0.6021
	Test sequence	-0.361	±	0.094	100.2	14.6	0.0002
	Julian day	-0.001	±	0.005	72.8	0.1	0.7951
D) sp	rint speed						
	Intercept	-0.185	±	0.346			
	Sex <sub>[m]</sub>	-1.043	±	0.339	40.3	9.5	0.0037
	Body mass	0.003	±	0.002	147.1	1.6	0.2148
	Test sequence	0.041	±	0.016	170.8	6.4	0.0120
	Julian day	-0.003	±	0.005	167.4	0.3	0.5696
E) RN	1R						
	Intercept	-4.685	±	0.499			
	Sex <sub>[m]</sub>	0.144	±	0.217	36.3	0.4	0.5129
	Body mass	0.036	±	0.005	44.4	48.2	<0.0001
	Test sequence	-0.053	±	0.078	114.3	0.5	0.4957
	Julian day	0.021	±	0.004	71.5	30.2	<0.0001

772	<b>Table 3</b> Among-individual correlations ( $r_{ind}$ ) and within-individual correlations ( $r_e$ ) between
773	docility (time spent immobile during bag test), exploration (distance moved in a 5min open-
774	field test), sprint speed (fastest speed reached over 1 meter on a given day), and resting
775	metabolic rate (RMR) in 45 Karoo bush rats. All estimates were extracted from a single
776	multivariate mixed model (see Table 1 for sample size and Fig. 2 for variance estimates).
777	Profile likelihoods were used to calculate the 95% confidence interval (CI) of $r_{ind}$ and $r_e$

		Among-individual correlations			Residual correlations						
					95%	95% CI				95% CI	
Trait 1	Trait 2	$r_{\sf ind}$	±	SE	lower	upper	r <sub>e</sub>	±	SE	lower	upper
Exploration	Docility	-0.740	±	0.216	-0.968	-0.382	0.078	±	0.104	-0.060	0.211
Sprint speed	Docility	0.280	±	0.198	-0.009	0.531	0.096	±	0.090	-0.028	0.217
Sprint speed	Exploration	-0.399	±	0.213	-0.659	-0.076	-0.151	±	0.111	-0.296	0.002
RMR	Docility	-0.050	±	0.309	-0.485	0.363	0.108	±	0.106	-0.035	0.246
RMR	Exploration	-0.247	±	0.337	-0.666	0.254	-0.085	±	0.126	-0.250	0.087
RMR	Sprint speed	0.088	±	0.263	-0.278	0.443	0.140	±	0.111	-0.016	0.288
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## 789 Figure captions

790	<b>Fig. 1</b> A) Body mass (g) and B) sprint speed (cm · sec <sup>-1</sup> ) as function of sex, C) resting metabolic
791	rate (RMR; mlO <sub>2</sub> $\cdot$ min <sup>-1</sup> ) as function of body mass, and D) distance (m) moved during an
792	open-field test and E) sprint speed as function of test sequence in 7 males (blue dots) and 38
793	females (red triangles) Karoo bush rats. Shown are partial residuals (accounting for other
794	effects in the models, see Table 2)
795	
796	Fig. 2 Among- and within-individual variation in A) body mass, B) time spent immobile during
797	bag test, C) distance moved in the open-field test, D) sprint speed, and E) resting metabolic
798	rate (RMR) in 7 males (blue dots) and 38 females (red triangles) Karoo bush rats. Shown are
799	residuals from a linear model on the raw scale that included body mass (except in A), sex,
800	test sequence, and Julian day. In each panel, individuals are ordered along the x-axis
801	according to their mean trait values. Among-individual variance ( $V_{ind}$ ), residual variance ( $V_e$ ),
802	and repeatability (R) estimates with standard errors (SE) were extracted from linear mixed
803	models on z-standardised traits (mean = 0, total variance = 1)
804	
805	Fig. 3 Representation of the among-individual correlations ( $r_{ind}$ ) between (A) time spent
806	immobile in the bag test and distance moved in the open field and (B) sprint speed and
807	distance moved in the open field in 7 males (blue dots) and 38 females (red triangles) Karoo
808	bush rats. Displayed are the best linear unbiased predictors (BLUPs±SE) extracted from the
809	multivariate model (see Table 3 for correlation estimates) with <i>z</i> -standardised traits (mean =
810	0, variance = 1)

812	<b>Fig. 4</b> Representation of the among-individual correlations ( $r_{ind} \pm SE$ , blue dots) and within
813	individual correlations ( $r_{\rm e} \pm$ SE, red triangles) between distance moved in the open field
814	(Exploration), time spent immobile in the bag test (Docility) and running speeds at each
815	meters during the performance test for 7 male and 38 female Karoo bush rats. Correlation
816	estimates were extracted from the second linear mixed models on z-standardised traits
817	(total variance = 1). Asterisks denote estimates that are significantly different from 0
818	





821 Fig 1.











Fig. 4

# **Supplementary material (tables)**

# The fast and the curious II: performance, personality and metabolism in Karoo bush rats

Behavioral Ecology and Sociobiology

Paul Agnani\*, Jennifer Thomson, Carsten Schradin, and Vincent Careau

\*Author for correspondence: pagnani@uottawa.ca ORCID: 0000-0002-1137-0774 Department of Biology University of Ottawa 30 Marie Curie, Ottawa, ON, CANADA, K1N 6N5 Tel: (613) 562-5800 x6349 Fax: (613) 562-5486 **Table S1** Variance in running speed and metabolic rate across four distinct levels;  $V_{ind}$ : variance among individuals as estimated by individual identity fitted as random effect,  $V_{test}$ : variance among tests (on separate days) within individuals as estimated by the combination of individual identity and date as a random effect,  $V_{trial}$ : variance among trials within tests as estimated by the combination of individual identity, date, and trial as a random effect, and  $V_e$ : residual variance among successive measurements within in a given trial (for running speed) or test (for metabolic rate; there is no  $V_{trial}$  component for metabolic rate because only a single trial was conducted per respirometry test).

	Runni	Metabolic rate				
Variance component	estimate	±	SE	estimate	±	SE
Vind	0.351	±	0.098	0.325	±	0.112
V <sub>test</sub>	0.115	±	0.026	0.371	±	0.073
$V_{trial}$	0.062	±	0.017			
Ve	0.523	±	0.021	0.327	±	0.024

**Table S2** Among-individual correlations ( $r_{ind}$ ) and within-individual correlations ( $r_e$ ) between docility (time spent immobile during bag test), and exploration (distance moved in a 5 min open-field test) and running speed at each meter during the performance trial (speed-1 = first meter; speed-2 = second meter, speed-3 = third meter, and speed-4 = fourth meter) in 45 Karoo bush rats. All estimates were extracted from a single multivariate mixed model.

		Among-individual correlations		Residual correlation		
Trait 1	Trait 2	r <sub>ind</sub> ± SE		re	± SE	
Speed-2	Speed-1	0.993 ± 0.033		0.368	± 0.075	
Speed-3	Speed-1	0.897 ± 0.063		0.230	± 0.082	
Speed-4	Speed-1	0.854 ± 0.080	1	0.087	± 0.086	
Speed-3	Speed-2	0.970 ± 0.031		0.502	± 0.064	
Speed-4	Speed-2	0.970 ± 0.038		0.375	± 0.074	
Speed-4	Speed-3	0.999 ± NA		0.563	± 0.056	
Docility	Speed-1	$0.180 \pm 0.210$		0.236	± 0.085	
Docility	Speed-2	0.332 ± 0.202		0.036	± 0.088	
Docility	Speed-3	0.366 ± 0.195		-0.042	± 0.088	
Docility	Speed-4	0.372 ± 0.195		-0.066	± 0.089	
Exploration	Speed-1	-0.517 ± 0.190	1	-0.096	± 0.116	
Exploration	Speed-2	-0.622 ± 0.185		0.044	± 0.115	
Exploration	Speed-3	-0.380 ± 0.212		-0.055	± 0.111	
Exploration	Speed-4	-0.441 ± 0.208		-0.034	± 0.113	
Docility	Exploration	-0.822 ± 0.187		0.136	± 0.102	