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## DECAY RATES FOR KELVIN-VOIGT DAMPED WAVE EQUATIONS II: THE GEOMETRIC CONTROL CONDITION

NICOLAS BURQ AND CHENMIN SUN

Abstract. We study in this article decay rates for Kelvin-Voigt damped wave equations under a geometric control condition. When the damping coefficient is sufficiently smooth  $(C<sup>1</sup>$  vanishing nicely, see  $(1.3)$ ) we show that exponential decay follows from geometric control conditions (see [5, 12] for similar results under stronger assumptions on the damping function).

#### 1. Introduction

In this paper we investigate decay rates for Kelvin-Voigt damped wave equations under geometric control conditions. We work in a smooth bounded domain  $\Omega \subset \mathbb{R}^d$  and consider the following equation

(1.1) 
$$
\begin{cases} (\partial_t^2 - \Delta)u - \operatorname{div}(a(x)\nabla_x \partial_t u) = 0\\ u|_{t=0} = u_0 \in H_0^1(\Omega), \quad \partial_t u|_{t=0} = u_1 \in L^2(\Omega)\\ u|_{\partial\Omega} = 0 \end{cases}
$$

with a non negative damping term  $a(x)$ . The solution can be written as

(1.2) 
$$
U(t) = \begin{pmatrix} u \\ \partial_t u \end{pmatrix} = e^{\mathcal{A}t} \begin{pmatrix} u_0 \\ u_1 \end{pmatrix},
$$

where the generator  $A$  of the semi-group is given by

$$
\mathcal{A} = \begin{pmatrix} 0 & 1 \\ \Delta & \text{div} a \nabla \end{pmatrix} \begin{pmatrix} u_0 \\ u_1 \end{pmatrix},
$$

with domain

$$
D(\mathcal{A}) = \{ (u_0, u_1) \in H_0^1 \times L^2; \Delta u_0 + \text{ div} a \nabla u_1 \in L^2; u_1 \in H_0^1 \}.
$$

The energy of solutions

$$
E(u)(t) = \int_{\Omega} (|\nabla_x u|^2 + |\partial_t u|^2) dx
$$

satisfies

$$
E((u_0, u_1))(t) - E((u_0, u_1))(0) = -\int_0^t \int_{\Omega} a(x) |\nabla_x \partial_t u|^2(s, x) ds.
$$

Our purpose here is to show that if the damping  $\alpha$  is sufficiently smooth, the exponential decay rate holds, dropping some unnecessary assumptions on the behaviour of the damping term where it becomes positive in previous works [5]. Namely we shall assume  $a(x) \geq 0$  is  $C^1(\overline{\Omega})$  and satisfy the regularity hypothesis

$$
|\nabla a| \leqslant C a^{\frac{1}{2}}.
$$

Our main result is

**Theorem 1.** Assume that  $\Omega$  is a compact Riemannian manifold with smooth boundary. Let  $a \in C^1(\overline{\Omega})$  be a nonnegative function satisfying  $(1.3)$ , such that the following geometric control condition is satisfied:

• There exists  $\delta > 0$  such that all rays of geometric optics (straight lines) reflecting on the boundary according to the laws of geometric optics eventually reach the set  $\omega_{\delta} = \{x \in \Omega : a(x) > \delta\}$  in finite time.

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Then there exists  $\alpha > 0$ , such that for all  $t \geq 0$  and every  $(u_0, u_1) \in H_0^1(\Omega) \times L^2(\Omega)$ , the energy of solution  $u(t)$ of  $(1.1)$  with initial data  $(u_0, u_1)$  satisfies

$$
E[u](t) \leqslant e^{-\alpha t} E[u](0).
$$

To prove this result, we first reduce it very classicaly in Section 2 to resolvent estimates. Since the low frequency estimates are true, we are reduced to the high frequency regime. The proof relies on resolvent estimates which are proved through a contradiction argument that we establish in Section 2. In Section 3 we prove a priori estimates for our sequences. The main task then is to prove a propagation invariance for these measures. A main difficulty to overcome is that it is not possible to put the damping term in the r.h.s. of the equation  $(1.1)$  and treat it as a *perturbation*. Instead we have to keep it on the left hand side and revisit the proof of the propagation property from [7]. In Section 4, we introduce the geometric tools necessary to tackle the boundary value problem and define semi-classical measures associated to our sequences. In Section 5 we prove the interior propagation result for our measures. Finally, in Section 6, we finish the proof of the contradiction argument by establishing the invariance of the semi-classical measures we defined up to the boundary. Here the proof uses crucially the main result in  $[7,$  Théorème 1].

**Remark 1.1.** Throughout this note, we shall prove that some operators of the type  $P - \lambda \text{Id}$ ,  $\lambda \in \mathbb{R}$  (resp.  $\lambda \in i\mathbb{R}$ ) are invertible with estimates on the inverse. All these operators share the feature that they have compact resolvent, i.e.  $\exists z_0 \in \mathbb{C}$ ;  $(P - z_0)^{-1}$  exists and is compact (or it will be possible to reduce the question to this situation). As a consequence, since

$$
(P - \lambda) = (P - z_0)^{-1} (\text{Id} + (z_0 - \lambda))^{-1}),
$$

and  $(\text{Id} + (z_0 - \lambda)^{-1})$  is Fredholm with index 0, to show that  $(P - \lambda)$  is invertible with inverse bounded in norm by A, it is enough to bound the solutions of  $(P - \lambda)u = f$  and prove

$$
(P - \lambda)u = f \Rightarrow ||u||_{L^2} \leqslant A||f||_{L^2}.
$$

**Remark 1.2.** Assume that a is the restriction to  $\Omega$  of a nonnegative  $C^2(\mathbb{R}^d)$  function. Then the hypothesis (1.3) is satisfied.

*Proof.* It is enough to prove (1.3) for  $\Omega = \mathbb{R}^d$ ,  $a \in C^2(\Omega)$ . Let  $x_0 \in \mathbb{R}^d$  and denote by  $z_0 = \nabla a(x_0)$  From Taylor's formula, we have for any  $s \in \mathbb{R}$ , there exists  $\theta \in (0, 1)$ , such that

$$
a(x_0 + sz_0) = a(x_0) + s|z_0|^2 + \frac{s^2}{2}a''(x_0 + \theta sz_0)(z_0, z_0) \ge 0
$$

Since this polynomial in s is non negative, we deduce tat its discriminant is non positive

$$
|z_0|^4 - 2||a''||_{\infty} |z_0|^2 a(z_0) \leq 0 \Rightarrow |\nabla_x a(x_0)||^2 \leq 2||a''||_{\infty} a(z_0).
$$

Notice that in the above lemma, the condition *cannot* be relaxed to  $a \in C^2(\overline{\Omega})$ ,  $a \ge 0$ . Indeed, consider the following example:  $\Omega = B(0,1)$  and  $a(x) = 1 - |x|^2$  for  $|x| \leq 1$ . Then obviously  $a \in C^2(\overline{\Omega})$ ,  $a \geq 0$ , but on the boundary,  $\nabla_x a \neq 0$ , while  $a = 0$ .

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## 2. CONTRADICTION ARGUMENT

It is well known that decay estimates for the evolution semi-group follow from resolvent estimates  $[1, 2, 4]$ . Here we shall need the classical (see e.g. [6, Proposition A.1])

Theorem 2. The exponential decay of the Kelvin Voigt semi-group is equivalent to the following resolvent estimate: There exists C such that for all  $\lambda \in \mathbb{R}$ , the operator  $(A - i\lambda)$  is invertible from  $D(A)$  to H and its inverse satisfies

$$
||(A - i\lambda)^{-1}||_{\mathcal{L}(\mathcal{H})} \leq C
$$

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Let us first recall that

(2.2) 
$$
(\mathcal{A} - i\lambda) \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} f \\ g \end{pmatrix} \Leftrightarrow \begin{cases} -i\lambda u + v = f \\ \Delta u + \text{div}a \nabla_x v - i\lambda v = g \end{cases}
$$

From [8, Section 2], we have the following low frequencies estimates of the resolvent of the operator  $\mathcal{A}$ :

**Proposition 2.1.** Assume that  $a \in L^{\infty}$  is non negative  $a \ge 0$  and non trivial  $\int_{\Omega} a(x) dx > 0$ . Then for any  $M > 0$ , there exists  $C > 0$  such that for all  $\lambda \in \mathbb{R}, |\lambda| \leq M$ , the operator  $\mathcal{A} - i\lambda$  is invertible from  $D(\mathcal{A})$  to  $\mathcal{H}$ with estimate

$$
||(A - i\lambda)^{-1}||_{\mathcal{L}(\mathcal{H})} \leq C.
$$

As a consequence, to prove Theorem 1 it is enough to study the high frequency regime  $\lambda \to +\infty$  and prove

**Proposition 2.2.** Assume that  $a \in C^1(\overline{\Omega})$  is a nonnegative function satisfying (1.3). Then under the geometric control condition, there exists  $\Lambda_0 > 0$  such that for any  $|\lambda| > \Lambda_0$  we have

$$
\|(\mathcal{A} - i\lambda)^{-1}\|_{\mathcal{L}(\mathcal{H})} \leq C.
$$

By standard argument, we can reduce the proof of Proposition 2.2 to a semi-classical estimate. We denote by  $0 < h = |\lambda|^{-1} \ll 1$  and

$$
P_h = -h^2 \Delta - 1 - ih \operatorname{div} a(x) \nabla.
$$

**Proposition 2.3.** There exists  $C > 0$ , such that for all  $0 < h \ll 1$ ,

(2.4) 
$$
||P_h^{-1}||_{\mathcal{L}(L^2)} \leq C h^{-1}.
$$

For the proof of Proposition 2.3, we argue by contradiction. Assume that there exist sequences  $(u_n)$  $H^2 \cap H_0^1$ ,  $(f_n) \subset L^2$  and  $h_n \to 0$ , such that  $P_{h_n} u_n = f_n$ ,  $||u_n||_{L^2} = 1$  and  $||f_n||_{L^2} = o(h_n)$ . We will use a semi-classical notation and denote by  $(u_h, f_h)$  the sequences with the properties

(2.5) 
$$
||u_h||_{L^2} = 1, \quad ||f_h||_{L^2} = o(h), \quad P_h u_h = f_h.
$$

Sometimes we even omit the subindex for  $u_h, f_h$ . In the following subsections, we will prove propagation estimates for such sequences.

## 3. A priori estimates

In this section we establish a series of a *priori* estimates for the sequence defined in  $(2.5)$ .

**Lemma 3.1.** Assume that  $a \in L^{\infty}(\Omega)$  is a non-negative function, then

(3.1) 
$$
\int_{\Omega} (|u_h|^2 - |h\nabla u_h|^2) = \text{Re} \int_{\Omega} f_h \overline{u_h} = o(h);
$$

(3.2) 
$$
\int_{\Omega} a(x)|h\nabla u_h|^2 = h \operatorname{Im} \int_{\Omega} f_h \overline{u_h} = o(h^2);
$$

(3.3) 
$$
(3) \quad h^2 \|u_h\|_{H^2} = O(1).
$$

*Proof.* We get (1) and (2) by multiplying the equation  $P_h u = f$  by  $\overline{u}$ , integrating by part and taking the real and imaginary parts repectively. For (3), from the equation, we have

$$
h^{2} \Delta u + u + ih \nabla a \cdot \nabla u + ih a \Delta u = -f, \text{ i.e. } h^{2} \Delta u = -\frac{u + f + i \nabla a \cdot h \nabla u}{1 + ih^{-1} a(x)}.
$$

From the global estimate of the Poisson equation

$$
||w||_{H^2} \leqslant C||\Delta w||_{L^2}, \forall w \in H^2 \cap H_0^1
$$

,

we obtain that  $||h^2u||_{H^2} = O(1)$ .

**Corollary 3.2.** Assume that  $a \in C^1(\overline{\Omega})$  is a non-negative function satisfying (1.3), then (3.4)  $\|a^{\frac{1}{2}}u_h\|_{L^2} + \|a^{\frac{1}{2}}h\nabla u_h\| + \|a^{\frac{1}{2}}h^2\Delta u_h\|_{L^2} = o(h).$ 

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*Proof.* We only need to estimate  $\int_{\Omega} a(x)|u|^2$ , since  $\int_{\Omega} a(x)|h\nabla u|^2 = o(h^2)$  is just (3.2). Multiplying  $P_h u = f$  by  $a\overline{u}$  and taking the real part, we have

$$
\int_{\Omega} a(x)|u|^{2} = \text{Re} \int_{\Omega} h \nabla u \cdot h \nabla (a\overline{u}) - \text{Im} \, h \int_{\Omega} a(x) \nabla u \cdot \nabla (a(x)\overline{u}) + \text{Im} \int_{\Omega} a(x) f\overline{u}.
$$

Since  $|\nabla a| \leqslant C a^{\frac{1}{2}}$ , the first term on the r.h.s. can be bounded by

$$
||a^{\frac{1}{2}}h\nabla u||_{L^2}^2 + h||\nabla ah\nabla u||_{L^2}||u||_{L^2} = o(h^2).
$$

The third term of r.h.s is bounded by  $o(h)$   $\|a^{\frac{1}{2}}u\|_{L^2}$ , and the second term can be bounded by

$$
h\Big|\int_{\Omega} a\nabla a \cdot \overline{u} \nabla u \Big|\leqslant h \|a^{\frac{1}{2}}\overline{u}\|_{L^{2}} \|a^{\frac{1}{2}}\nabla a \nabla u\|_{L^{2}} \leqslant C \|a^{\frac{1}{2}} h \nabla u\| \|a^{\frac{1}{2}}u\|_{L^{2}} = o(h) \|a^{\frac{1}{2}}u\|_{L^{2}}.
$$

For the second derivative, we observe that

$$
a(x)^{\frac{1}{2}}h^2\Delta u = -\frac{a^{\frac{1}{2}}u + a^{\frac{1}{2}}f + iha^{\frac{1}{2}}\nabla a \cdot h\nabla u}{1 + ih^{-1}a(x)},
$$

thus  $||a^{\frac{1}{2}}h^2\Delta u||_{L^2} = o(h)$ . This completes the proof of Corollary 3.2.

Let  $\nu$  be the out-normal vector field on  $\partial\Omega$ . We denote by  $L^2(\partial) = L^2(\partial\Omega)$ . The following hidden regularity holds:

**Lemma 3.3.** Assume that  $a \in C^1(\overline{\Omega})$  is a nonnegative function satisfying (1.3), then

$$
||h \partial_{\nu} u||_{L^{2}(\partial)} = O(1), \quad ||a^{\frac{1}{2}} h \partial_{\nu} u||_{L^{2}(\partial)} = O(h^{\frac{1}{2}}).
$$

*Proof.* We use the standard multiplier method. Let  $L = b_j(x)\partial_j$  be an  $C^2$  extension of the out-normal vector field  $\nu$ , where  $b_j$ 's are supported in a neighborhood of  $\partial\Omega$ . Write  $P_h = P_{h,0} + iM_h$ , where

$$
P_{h,0} = -h^2 \Delta - 1, \quad M_h = -h \operatorname{div} a(x) \nabla
$$

are self-adjoint operators. Consider the commutator  $[P_h, L] = [P_{h,0}, L] + i[M_h, L]$ . Note that  $[P_{h,0}, L] =$  $\frac{1}{h}[P_{h,0}, hL]$  belongs to  $h^2\text{Op}(S^2)^*$ , we deduce that  $([P_{h,0}, L]u, u)_{L^2} = O(1)$ . By direct computation, we have

$$
-([M_h, L]u, u)_{L^2} = (h\partial_k[a\partial_k, b_j\partial_j]u, u)_{L^2} + h([ \partial_k, b_j\partial_j]a\partial_k u, u)_{L^2}
$$
  

$$
= (h\partial_k(a(\partial_k b_j)\partial_j u - b_j(\partial_j a)\partial_k u), u)_{L^2} + ((\partial_k b_j)h\partial_j(a\partial_k u), u)_{L^2}
$$
  

$$
= -((a\partial_k b_j)\partial_j u - b_j(\partial_j a)\partial_k u, h\partial_k u)_{L^2} - (a\partial_k u, h\partial_j((\partial_k b_j)u))_{L^2}
$$

From Corollary 3.2, the absolute value of the r.h.s. can be bounded by constant times

$$
||a\nabla u||_{L^2} + ||\nabla a\nabla u||_{L^2} = o(1).
$$

Therefore,  $([P_h, L]u, u)_{L^2} = O(1)$ . On the other hand, by developing the commutator and exploiting the equation, we have

$$
\begin{aligned} \left( [P_h, L]u, u \right)_{L^2} &= \left( P_h L u, u \right)_{L^2} - \left( L f, u \right)_{L^2} \\ &= \left( L u, P_h^* u \right)_{L^2} - \left( f, L^* u \right)_{L^2} + h^2 \| \partial_\nu u \|_{L^2(\partial)}^2 + ih \| a^{\frac{1}{2}} \partial_\nu u \|_{L^2(\partial)}^2. \end{aligned}
$$

Observe that

 $\left($ 

$$
Lu, P_h^*u\big)_{L^2} = (Lu, f - 2M_hu)\big|_{L^2} = o(1) - 2(Lu, M_hu)\big|_{L^2} = o(1) - 2(Lu, h\nabla a \cdot \nabla u + ha\Delta u)\big|_{L^2}.
$$

Since L is a first order differential operator and from Corollary 3.2 that  $||a^{\frac{1}{2}}h\Delta u||_{L^2} = o(1)$ , we have

$$
|(Lu, h\nabla a \cdot \nabla u + ha\Delta u)|_{L^2}| \leq ||h\nabla u||_{L^2} ||\nabla a \nabla u||_{L^2} + ||a^{\frac{1}{2}}\nabla u||_{L^2} ||a^{\frac{1}{2}}h\Delta u||_{L^2} = o(1).
$$

Therefore,

$$
||h \partial_{\nu} u||_{L^{2}(\partial)}^{2} + ih||a^{\frac{1}{2}} \partial_{\nu} u||_{L^{2}(\partial)}^{2} = O(1).
$$

<sup>\*</sup>Strictly speaking, the symbol of L is not  $C^{\infty}$ , but here we only need  $\frac{1}{h}[P_{h,0}, hL] = O(h^2)$  on  $L^2$ .

The proof of Lemma 3.3 is then completed by taking real and imaginary parts.

Let 
$$
\chi \in C_c^{\infty}(\mathbb{R})
$$
 such that  $\chi(z) \equiv 1$  for  $|z| \leq 1$  and  $\chi(z) \equiv 0$  for  $|z| > 2$ . We decompose

(3.5) 
$$
u_h = v_h + w_h, \quad v_h = \chi(ah^{-1})u_h, \quad w_h = (1 - \chi(ah^{-1}))u_h.
$$

In the rest of this note, we always assume that  $a \in C^1(\overline{\Omega})$  is a nonnegative function satisfying (1.3).

Lemma 3.4. We have

$$
||w_h||_{L^2} + ||h\nabla w_h||_{L^2} = o(h^{\frac{1}{2}}), \quad ||a^{\frac{1}{2}}v_h||_{L^2} + ||a^{\frac{1}{2}}h\nabla v_h||_{L^2} = o(h),
$$

and

 $||u_h||_{H_h^1(a\geqslant ch)} + ||v_h||_{H_h^1(a\geqslant ch)} = o(h^{\frac{1}{2}}).$ 

Proof. By definition,

$$
\int_{\Omega} (|w|^2 + |h\nabla w|^2) \leq \int_{a \geq h} (|u|^2 + |h\nabla u|^2 + |\nabla a|^2 |u|^2).
$$

The conclusion then follows from Corollary 3.2 and the fact that  $|\nabla a|^2 \leq C a$ . Similarly, for any other cutoff to the region  $a \geqslant ch$ , we deduce that  $||u_h||_{H^1_h(a\geqslant ch)} = o(h^{\frac{1}{2}})$ . For the estimate of v, note that  $a^{\frac{1}{2}}h\nabla v =$  $a^{\frac{1}{2}} \chi h \nabla u + a^{\frac{1}{2}} \nabla a \chi' v$ , from Corollary 3.2, we have

$$
||a^{\frac{1}{2}}h\nabla v||_{L^2} \leq ||a^{\frac{1}{2}}h\nabla u||_{L^2} + ||\chi' a^{\frac{1}{2}}(a^{\frac{1}{2}}v)||_{L^2} = o(h).
$$

This completes the proof of Lemma 3.4.

#### 4. Geometry, semi-classical measures

Having the *a priori* estimates of the previous section at hand, we can now study  $v_h$ . For some subsequence of  $v_h$ , we will associate it a semi-classical measure and then prove the invariance of the measure under the generalized geodesic flow. First recall some geometric preliminaries from [7].

4.1. Geometry. Denote by  ${}^bT\Omega$  the bundle of rank d whose sections are the vector fields tangent to  $\partial\Omega, {}^bT^*\Omega$ the dual bundle (Melrose's compressed cotangent bundle) and  $j : T^*\Omega \to {}^bT^*\Omega$  the canonical map. In any coordinate system where  $\Omega = \{x = (x_d > 0, x')\}\)$ , the bundle  ${}^bT\Omega$  is generated by the fields  $\frac{\partial}{\partial x'}$ ,  $x_d \frac{\partial}{\partial x_d}$  and j is defined by

(4.1) 
$$
j(x_d, x', \xi_d, \xi') = (x_d, x', v = x_d \xi_d, \xi').
$$

Denote by Car $P_0$  the semi-classical characteristic manifold of  $P_0 = -h^2\Delta - 1$  and Z its projection

(4.2) 
$$
\text{Car} P_0 = \left\{ (x, \xi) = (x', x_d, \xi', \xi_d) \in T^* \mathbb{R}^d \mid_{\overline{\Omega}}; p(x, \xi) = 0 \right\}, \qquad Z = j(\text{Car} P_0).
$$

The set  $Z$  is a locally compact metric space.

Consider, near a point  $x_0 \in \partial\Omega$  a geodesic system of coordinates for which  $x_0 = (0,0)$ ,  $\Omega = \{(x_d, x') \in \Omega\}$  $\mathbb{R}^+ \times \mathbb{R}^{d-1}$  and the operator  $P_0$  has the form (near  $x_0$ )

(4.3) 
$$
P_{h,0} = -h^2 \Delta - 1 = h^2 D_{x_d}^2 - R(x_d, x', h D_{x'}) + h Q(x, h D_x),
$$

with R a second order tangential operator and Q a first order operator.

We recall now the usual decomposition of  $T^*\partial\Omega$  (in this coordinate system). Denote by  $r(x', x_d, \xi')$  the semi-classical principal symbol of R and  $r_0 = r |_{x_d=0}$ . Then  $T^*\partial\Omega$  is the disjoint union of  $\mathcal{E}\cup\mathcal{G}\cup\mathcal{H}$  with

(4.4) 
$$
\mathcal{E} = \{r_0 < 0\}, \mathcal{G} = \{r_0 = 0\}, \mathcal{H} = \{r_0 > 0\}.
$$

Remark that j gives a natural identification between  $Z|_{\partial M}$  and  $\mathcal{H}\cup\mathcal{G}\subset T^*\partial M$ . In  $\mathcal{G}$  we distinguish between the diffractive points  $\mathcal{G}^{2,+} = \{r_0 = 0, r_1 = \partial_{x_d} r \mid_{x_d=0} > 0\}$  and the gliding points  $\mathcal{G}^- = \{r_0 = 0, r_1 = \partial_{x_d} r \mid_{x_d=0} \leq 0\}$ . We will make the assumption ( $\Omega$  has no infinite order contact with its tangents) that for any  $\varrho_0 \in T^*\partial M$ , there exists  $N \in \mathbb{N}$  such that

$$
H_{r_0}^N(r_1) \neq 0
$$

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The definition of the generalized bicharacteristic flow,  $\varphi_s$  associated to the operator  $P_0$  is essentially the definition given in  $[11]$ :

**Definition 4.1.** A generalized bicharacteristic curve  $\gamma(s)$  is a continuous curve from an interval  $I \subset \mathbb{R}$  to Z such that

- (1) if  $s_0 \in I$  and  $\gamma(s_0) \in T^*\Omega$  then close to  $s_0, \gamma$  is an integral curve of the Hamiltonian vector field  $H_{\tilde{p}}$
- (2) If  $s_0 \in I$  and  $\gamma(s_0) \in \mathcal{H} \cup \mathcal{G}^{2,+}$  then there exists  $\varepsilon > 0$  such that for  $0 < |s s_0| < \varepsilon$ ,  $x_d(\gamma(s)) > 0$
- (3) If  $s_0 \in I$  and  $\gamma(s_0) \in \mathcal{G}^-$  then for any function  $f \in C^\infty(T^*\mathbb{R}^d \mid_{\overline{\Omega}})$  satisfying the symmetry condition

(4.5) 
$$
\forall \varrho_0 \in Z, \forall \widehat{\varrho}_0, \widetilde{\varrho}_0 \in j^{-1}(\varrho_0) \cap \text{Car}(\widetilde{P}), f(\widehat{\varrho}_0) = f(\widetilde{\varrho}_0)
$$

then

$$
\frac{d}{ds} f(j(\gamma(s)) \mid_{s=s_0} = H_{\widetilde{p}} \mid_{j^{-1}(\gamma(s_0))} f(j^{-1}(\gamma(s_0)))
$$

It is proved in [11] that under the assumption of no infinite order contact, through every point  $\varrho_o \in {}^bT^*M \setminus \{0\}$ there exists a unique generalized bicharacteristic (which is furthermore a limit of bicharacteristics having only hyperbolic contacts with the boundary). This defines the flow Φ.

4.2. Wigner measures. Consider functions  $a = a_i + a_{\partial}$  with  $a_i \in C_0^{\infty}(T^*M)$ , and  $a_{\partial} \in C_0^{\infty}(\mathbb{R}^{2d-1})$ . Such symbols are quantized in the following way: take  $\varphi_i \in C_0^{\infty}(M)$  (resp  $\varphi_{\partial} \in C_0^{\infty}(\mathbb{R}^d)$ ) equal to 1 near the x-projection of supp $(a_i)$  (resp the x-projection of supp $(a_{\partial})$ ) and define

$$
(4.6) \quad \text{Op}_{h}^{\varphi_{i},\varphi_{\partial}}(a)(x,hD_{x})f = \frac{1}{(2\pi h)^{d}}\int e^{i(x-y)\cdot\xi/h}a_{i}(x,\xi)\varphi_{i}(y)f(y)dyd\xi + \frac{1}{(2\pi h)^{d-1}}\int e^{i(x'-y')\cdot\xi'/h}a_{\delta}(x_{d},x',\xi)\varphi_{\delta}(x_{d},y')f(x_{d},y')dy'd\xi'.
$$

Remark that according to the symbolic semi-classical calculus, the operator  $Op_h^{\varphi_i,\varphi_0}(a)$  does not depend on the choice of functions  $\varphi_i, \varphi_\partial$ , modulo operators on  $L^2$  of norms bounded by  $O(h^\infty)$ . For conciseness we shall in the sequel drop the index  $\varphi_i, \varphi_\partial$ .

Denote by  $\mathcal{A}^h$  the space of the operators which are a finite sum of operators obtained as above in suitable coordinate systems near the boundary and for  $B \in \mathcal{A}$ , by  $b = \sigma(B)$  the semiclassical symbol of the operator A. For such functions b we can define  $\kappa(b) \in C^0(Z)$  by

$$
\kappa(b)(\rho) = b(j^{-1}(\rho))
$$

(the value is independent of the choice of  $j^{-1}(\rho)$  since the operator is tangential).

(4.8) 
$$
\{\kappa(b), b = \sigma(B), B \in \mathcal{A}^h\}
$$

is a locally dense subset of  $C_c^0(Z)$ .

4.2.1. Elliptic regularity. The sequence  $v_h$  satisfies (with  $\chi_h = \chi(a/h)$ )

$$
P_h v_h = \chi_h P_h u_h - h^2 \text{div}(\nabla \chi_h u_h) - h^2 \nabla \chi_h \nabla u_h - ih \text{div}(a \nabla \chi_h u_h) - ih \nabla \chi_h \cdot \nabla u_h.
$$

Since  $\nabla \chi_h = h^{-1} \chi'(a/h) \nabla a$  and  $|\nabla a| \lesssim a^{\frac{1}{2}}$ , by Corollary 3.2, we have

$$
P_h v_h = o_{L^2}(h) + o_{H^{-1}}(h^2).
$$

Thus

The set

$$
(h2 \Delta + 1)vh = -ih \operatorname{div}(a \nabla(\chi_h u)) + o_{L^2}(h) + o_{H^{-1}}(h2).
$$

Using Corollary 3.2 again and the fact that  $a \lesssim h$  on the support of  $\chi_h$ , we deduce that  $ha\nabla(\chi_h u) = o_{L^2}(h^{\frac{3}{2}})$ , hence

$$
(h^{2} \Delta + 1)v_{h} = o(h^{\frac{3}{2}})_{H^{-1}(\Omega)} + o_{L^{2}}(h).
$$

We deduce, by standard elliptic regularity results

**Proposition 4.2.** If  $a_i$  is equal to 0 near  $\text{Car}(P_0)$  then

(4.9) 
$$
\lim_{k \to +\infty} (\text{Op }_{h_k}(a_i)v_{h_k}, v_{h_k})_{L^2} = 0,
$$

while near the boundary (see e.g.  $[7,$  Appendice A.1] in a slightly different context) we get

**Proposition 4.3.** If  $a_{\partial}$  is equal to 0 near Z (i.e.  $a_i$  is supported in the elliptic region) then

(4.10) 
$$
\lim_{k \to +\infty} (a_{\partial}(x', x_d, h_k D_{x'}) v_{h_k}, v_{h_k})_{L^2} = 0.
$$

**Remark 4.4.** Note that if we regard the damping term  $h \text{div}(a \nabla(\chi_h u)) = o_{H^{-1}}(h^{\frac{3}{2}})$  as a source term, we are not able to use the classical propagation theorem for  $h^2\Delta + 1$  as a black box, as such a strategy would require smaller r.h.s., namely  $o_{H^{-1}}(h^2) + o_{L^2}(h)$ . On the other hand, an integration by parts shows from Lemma 3.4

$$
(\text{div}(a\nabla(\chi_h u)), \chi_h u)_{L^2} = -\|a^{1/2}\nabla_x \chi_h u\|_{L^2}^2 = o(1),
$$

and this will ensure that in the propagation estimates such terms are invisible. The key of our analysis in the sequel will be to systematically uses this procedure: testing the damping term on expressions like  $Q_h\chi_h u$ , doing the integration by part and then balancing  $a^{\frac{1}{2}}$  to the other side. It is to perform this analysis that we need the condition  $|\nabla a| \leqslant C a^{\frac{1}{2}}$  to ensure the gain  $O(h)$  from the commutator  $[a^{\frac{1}{2}}, Q_h]$ . More precisely, we shall need the following lemma:

**Lemma 4.5.** Assume that  $Q_h, B_{0,h}, B_{1,h}$  are tangential h-pseudodifferential operators of order 0 and  $B_h$  =  $B_{0,h} + B_{1,h} h D_{x_d}$ , then

$$
h^{-1}(Q_h M_h u, B_h u)_{L^2} = o(1),
$$

where  $M_h = -h \text{div} a \nabla$ .

*Proof.* Since  $M_h u = -\nabla a h \nabla u - a h \Delta u$ , from Corollary 3.2, we have

$$
h^{-1} | (Q_h \nabla a h \nabla u, B_h u)_{L^2} | \leq C || \nabla a \nabla u ||_{L^2} ||u||_{H^1_h} = o(1).
$$

To estimate  $(Q_h a \Delta u, B_h u)_{L^2}$ , we write  $Q_h a \Delta u = a^{\frac{1}{2}} Q_h a^{\frac{1}{2}} \Delta u + [Q_h, a^{\frac{1}{2}}] a^{\frac{1}{2}} \Delta u$ . From Corollary 3.2,  $a^{\frac{1}{2}} \Delta u =$  $o_{L^2}(h^{-1})$ . By Corollary A.2,  $[Q_h, a^{\frac{1}{2}}], [B_h, a^{\frac{1}{2}}] = O_{\mathcal{L}(L^2)}(h)$ . Therefore,

$$
(Q_h a \Delta u, B_h u)_{L^2} = (Q_h a^{\frac{1}{2}} \Delta u, B_h a^{\frac{1}{2}} u)_{L^2} + o(1).
$$

Again from Corollary 3.2, we have  $B_h a^{\frac{1}{2}}u = O_{L^2}(h)$ , hence  $(Q_h a \Delta u, B_h u)_{L^2} = o(1)$ . The proof of Lemma 4.5 is complete.  $\Box$ 

4.2.2. Definition of the measure. The following results gives the existence of semi-classical measures.

**Proposition 4.6.** Let  $(v_{h_{k_p}})$  be a sequence bounded in  $L^2(\Omega)$ . There exists a subsequence  $(k_p)$  and a Radon positive measure  $\mu$  on  $Z$  such that

(4.11) 
$$
\forall Q \in \mathcal{A}^{h_{k_p}} \quad \lim_{p \to \infty} (Qv_{h_{k_p}}, v_{h_{k_p}})_{L^2} = \langle \mu, \kappa(\sigma(Q)) \rangle.
$$

The proof of this result relies on the Gårding inequality for tangential operators (see G. Lebeau [10] for a proof in the classical context and [3, 9] for the semi-classical construction). As before, we drop the indexes  $k_p$ and denote by  $(v_h)$  the extracted sequence.

**Proposition 4.7** (First properties of the measure  $\mu$ ). We have

$$
\mu(\mathcal{H}) = 0.
$$

Moreover, for any tangential symbol b,

(4.13) 
$$
\limsup_{k \to +\infty} |(\text{Op}_h(b)h_k D_{x_d} v_{h_k}, v_{h_k})_{L^2}| \leq C \sup_{\varrho \in \ supp(b)} |r|^{1/2}|b|.
$$

Proof. The first property  $(4.12)$  follows from the fact that the trajectories near a hyperbolic point is transversal to the boundary. It follows from [7], with additional attention to the damping term *ihdiva* $\nabla v_h$ . We factorize  $P_{h,0} = -h^2 \Delta - 1$  as  $(hD_{x_d} - L_h^+)(hD_{x_d} - L_h^-) + \mathcal{O}_{H^\infty}(h^\infty)$  (see [7, Lemme 6.1]) near  $\rho_0 \in \mathcal{H}$  and choose  $L_h^{\pm}$  with principal symbols  $\pm l(x', x_d, \xi') = \pm \sqrt{r(x', x_d, \xi')}$ , we denote by  $q_0(x', \xi') \in C_c^{\infty}(\mathcal{H})$  and  $q^{\pm}(y, x', \xi')$  solutions of

$$
\partial_{x_d} q^{\pm} \mp \{l, q^{\pm}\} = 0, \quad q^{\pm}|_{x_d=0} = q_0.
$$

Denote by

$$
u^{\pm} := \psi(x_d) Q_h^{\pm} (h D_{x_d} - L_h^{\mp}) u,
$$

where  $\psi(x_d) \equiv 1$  if  $0 \leq x_d \leq \epsilon_0$ . We have

$$
(hD_{x_d} - L_h^{\pm})u^{\pm} = \psi(x_d)[hD_{x_d} - L_h^{\pm}, Q_h^{\pm}](hD_{x_d} - L_h^{\mp})u + Q_h^{\pm}f_h + \frac{h}{i}\psi'(x_d)Q_h^{\pm}(hD_{x_d} - L_h^{\mp})u
$$
  
+ $iQ_h^{\pm}M_hu + O_{L^2}(h^{\infty})$ 

where  $M_h = h \text{div} a \nabla$  and  $f_h = o_{L^2}(h)$ . By definition of  $q^{\pm}$ , the first term of r.h.s. is  $O(h^2)$ , hence

(4.14) 
$$
(hD_{x_d} - L_h^{\pm})u^{\pm} = g_h^{\pm} - ih\psi'(x_d)Q_h^{\pm}(hD_{x_d} - L_h^{\mp})u + iQ_h^{\pm}M_hu, \quad g_h^{\pm} = o_{L^2}(h).
$$

We have

$$
h\frac{d}{dx_d}(u^{\pm}, u^{\pm})_{L^2(\partial)} = -2\operatorname{Im}(g_h^{\pm} - ih\psi'(x_d)Q_h^{\pm}(hD_{x_d} - L_h^{\mp})u + iQ_h^{\pm}M_hu, u^{\pm})_{L^2(\partial)} + i((L_h^{\pm} - L_h^{\pm,*})u^{\pm}, u^{\pm})_{L^2(\partial)}.
$$

For  $y_0 \leq \epsilon_0$ , we have

$$
||u^{\pm}(y_0)||_{L^2(\partial)}^2 \le ||u^{\pm}(0)||_{L^2(x_d=0)}^2 + Ch^{-1}||g_h^{\pm}||_{L^2(x_d\le y_0)}||u^{\pm}||_{L^2(x_d\le y_0)} + C||u^{\pm}||_{L^2(x_d\le y_0)}^2
$$
  
+  $Ch^{-1}|(Q_h^{\pm}M_hu, u^{\pm})_{L^2(x_d\le y_0)}|$ 

The second line of r.h.s. is  $o(1)$ , due to Lemma 4.5, and the first line of r.h.s. can be bounded by

$$
||u^{\pm}(0)||_{L^{2}(x_{d}=0)}^{2}+C||u^{\pm}||_{L^{2}(x_{d}\leqslant y_{0})}^{2}+o(1).
$$

Integrating both sides over  $y_0 \le \epsilon_0$ , letting  $h \to 0$  and then  $\epsilon_0 \to 0$ , we deduce that  $\langle \mu \mathbf{1}_{y=0}, q_0 \rangle = 0$ . This proves  $(4.12).$ 

For  $(4.13)$ , it suffices to prove the inequality for u instead of v. By Cauchy-Schwarz,

$$
\left| \left( \operatorname{Op}_h(b) h \partial_{x_d} u, u \right)_{L^2} \right| \leqslant \left| \left( \operatorname{Op}_h(b)^* \operatorname{Op}_h(b) h \partial_{x_d} u, h \partial_{x_d} u \right)_{L^2} \right|^{\frac{1}{2}} \| u \|_{L^2}.
$$

Doing integration by part, we have

$$
(\text{Op}_h(b)^* \text{Op}_h(b) h \partial_{x_d} u, h \partial_{x_d} u)_{L^2} = -(\text{Op}_h(b)^* \text{Op}_h(b) h^2 \partial_{x_d}^2 u, u)_{L^2} + O(h).
$$

Replacing  $h^2 \partial_{x_d}^2 u$  by equation  $h^2 \partial_{x_d}^2 u = -R_h u - iM_h u + O_{L^2}(h)$ , we deduce that

$$
|(\text{Op}_h(b)^*\text{Op}_h(b)h^2\partial_{x_d}^2u,u)_{L^2}| \leq |(\text{Op}_h(|b|^2)R_hu,u)_{L^2}| + O(h) + |(\text{Op}_h(b)^*\text{Op}_h(b)M_hu,u)_{L^2}|.
$$

From Lemma 4.5, the third term of r.h.s. is  $o(h)$ . Passing  $h \to 0$ , we complete the proof of Proposition 4.7.  $\Box$ 

4.3. Invariance of the measure. The key to prove the invariance of the measure will be to apply the propagation theorem in  $[7,$  Théorème 1].

Theorem. The two following properties are equivalent

- (1) The measure  $\mu$  is invariant along the generalised flow.
- (2) The measure  $\mu$  satisfies  $\mu = 0$  and  $\mu(\mathcal{G}_2^+) = 0$  in the sense that  $\langle \mu, \{p, q\} \rangle = 0$  holds for any even symbol  $q \in C_c^{\infty}(\text{Car}(P_0)),$  i.e.  $q(x', x_d = 0, \xi', \xi_d) = q(x', x_d = 0, \xi', -\xi_d)$ .

Remark 4.8. Technically, Theorem 4.3 is proved in [7] for time dependent measures, i.e. measures depending in addition on two additional variables  $(t, \tau) \in T^*\mathbb{R}$ , and p is replaced by  $p - \tau^2$ . However, it is easy to apply the results from [7] by considering the measure

(4.15) 
$$
\widetilde{\mu} = \mu_{x,\xi} \otimes dt \otimes \delta_{\tau=1},
$$

which is supported in Car( $-\Delta + \partial_t^2$ ) and satisfies  $\tilde{\mu} = 0$  in the sense that  $\langle \mu, \{p - \tau^2, q\} \rangle = 0$  holds for any even<br>symbol  $g \in C^\infty(C_2 r(P_2 - \tau^2))$  i.e.  $g(x', x_1 = 0, t, \xi', \xi, \tau) = g(x', x_1 = 0, t, \xi', -\xi, \tau)$ . Bomark that symbol  $q \in C_c^{\infty}(\text{Car}(P_0 - \tau^2))$ , i.e.  $q(x', x_d = 0, t, \xi', \xi_d, \tau) = q(x', x_d = 0, t, \xi', -\xi_d, \tau)$ . Remark that though we shall not use it, the measure  $\tilde{\mu}$  is, in the sense of [7, Section 2], the microlocal defect measure on the sequence<br> $\mu_{\mu}$  (a) the series for the sense from the H<sub>1</sub> numerication of the series on in [7]. More  $v_n(t,x) = h_n e^{ith_n^{-1}} u_n(x)$  (the pre-factor  $h_n$  comes from the  $H^1$  normalisation of the sequence  $v_n$  in [7]). Now, the generalised bicharacteristic flow for  $p_0 - \tau^2$ ,  $\Psi_s$  is given in terms of the generalised bicharacteristic flow for  $p_0, \psi_s$  by

$$
\Psi_s(t, x, \tau = 1, \xi) = (t - 2s, \tau = 1, \psi_s(x, \xi)),
$$

The set of diffractive points  $\tilde{G}^{2,+}$  in the time dependent frame-work is given by

$$
\widetilde{\mathcal{G}}^{2,+} = \mathcal{G}^{2,+} \times \mathbb{R} \times \{ \tau = \pm 1 \}
$$

and consequently,

$$
\mu(\mathcal{G}^{2,+}) = 0 \Leftrightarrow \widetilde{\mu}(\widetilde{\mathcal{G}}^{2,+}) = 0,
$$

and in view of the particular form (4.15), the invariance of  $\tilde{\mu}$  by  $\Psi_s$  is equivalent to the invariance of  $\mu$  by  $\psi_s$ .

Let us now briefly explain the procedure we are going to follow.

- First from Proposition 4.6 and the elllipticity (Proposition 4.9, Proposition 4.10), the measure  $\mu$  is defined on  $Z = j(\text{Car}(P_0))$  by testing on symbols of the form  $q = q_i + q_{\partial}, q_i \in C_c^{\infty}(T^*\Omega)$  and  $q_{\partial}$ tangential (which is dense in  $C_0(Z)$ ).
- Using the fact  $\mu(\mathcal{H}) = 0$  (Proposition 4.7), the measure  $\mu$  can be extended to test on functions of  $Car(P<sub>0</sub>)$  which admits a representation (thanks to Malgrange's theorem)

 $q(x', x_d, \xi', \xi_d) = q_0(x', x_d, \xi') + \xi_d q_1(x', x_d, \xi'), \text{ on } \xi_d^2 = r(x', x_d, \xi').$ 

Then, we will show in Proposition 4.9 that for tangential h-pseudodifferential operators  $B_{0,h}, B_{1,h}$ , the quadratic form

$$
((B_{0,h_k} + B_{1,h_k} \frac{1}{i} h_k \partial_{x_d}))v_{h_k}, v_{h_k})
$$

converges to  $\langle \mu, b_0 + b_1 \xi_d \mathbf{1}_{\rho \notin \mathcal{H}} \rangle$ , by a suitable limit procedure for symbols in  $\mathcal{A}^h$ . Consequently, for any  $q \in C_c^{\infty}(\operatorname{Car}(P_0))$ , we can make sense of the expression

$$
\langle \mu, \{p,q\} \rangle
$$

 $\mu$ -a.e., by viewing  $\{p,q\} = 2\xi_d \partial_{x_d} q \mathbf{1}_{\rho \notin \mathcal{H}} - \{r,q\}$ . We remark that to calculate  $\{p,q\}$ , it is enough to choose one representation  $q = q_0 + q_1 \xi_d$  on  $\text{Car}(P_0)$ , since  $\{p, p\} = 0$  and  $p = 0$  on supp $(\mu)$ .

- Finally, to prove that the measure  $\mu$  is invariant along the Melrose-Sjöstrand flow, we apply Theorem 4.3, for which we need to verify the following conditions:
	- (a)  $\mu(\mathcal{G}^{2,+})=0$
	- (b)  $\mu = 0$ , in the sense that  $\langle \mu, \{p, q\} \rangle = 0$  holds for any even symbol  $q \in C_c^{\infty}(\text{Car}(P_0))$ , i.e.  $q(x', x_d =$  $0, \xi', \xi_d) = q(x', x_d = 0, \xi', -\xi_d).$

The verification of (a),(b) in our context is based on the propagation formula: Proposition 5.1 and Proposition 6.1. Especially, starting from Proposition 6.1, by choosing suitable test symbols of the form  $q_0 + q_1 \xi_d$ , we are able to verify the conditions (a) and (b).

**Proposition 4.9.** If  $B_{0,h}$ ,  $B_{1,h}$  are two tangential h-pseudodifferential operators of with principal symbols  $b_0$ ,  $b_1$ of order 0, then we have

$$
\lim_{k \to \infty} ((B_{0,h_k} + B_{1,h_k} \frac{1}{i} h_k \partial_{x_d}) v_{h_k}, v_{h_k})_{L^2} = \langle \mu, b_0 + b_1 \xi_d \mathbf{1}_{\rho \notin \mathcal{H}} \rangle.
$$

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*Proof.* Since  $B_{0,h}$  and  $B_{1,h}$  are all tangential, by the definition of the measure, the first term  $(B_{0,h_k}v_{h_k}, v_{h_k})_{L^2}$ converges to  $\langle \mu, b_0 \rangle$ . It remains to prove the convergence of the second term  $(B_{1,h_k} \frac{1}{i} h_k \partial_{x_d} v_{h_k}, v_{h_k})_{L^2}$ . For this, we pick  $\epsilon > 0, \delta > 0$  and define

$$
B_{1,h_k,\epsilon} = \left(1 - \psi\left(\frac{x_d}{\epsilon}\right)\right) B_{1,h_k} \left(1 - \psi\left(\frac{x_d}{2\epsilon}\right)\right), \quad B_{1,h_k}^{\epsilon} = B_{1,h_k} - B_{1,h_k,\epsilon},
$$
  

$$
B_{1,h_k}^{\epsilon,\delta} = \text{Op }_{h_k} \left(\psi\left(\frac{r}{\delta}\right)\right) B_{1,h_k}^{\epsilon}, \quad B_{1,h_k,\delta}^{\epsilon} = B_{1,h_k}^{\epsilon} - B_{1,h_k}^{\epsilon,\delta},
$$

where  $\psi$  is a cutoff function which is 1 near 0. Now by the definition of  $\mu$  and the dominating convergence,

$$
\lim_{\epsilon \to 0} \lim_{k \to \infty} (B_{1,h_k,\epsilon} \frac{1}{i} h_k \partial_{x_d} v_{h_k}, v_{h_k})_{L^2} = \langle \mu, b_1 \xi_d \mathbf{1}_{x_d > 0} \rangle = \langle \mu, b_1 \xi_d \mathbf{1}_{\rho \notin \mathcal{H}} \rangle,
$$

since  $\mu(\mathcal{E}) = \mu(\mathcal{H}) = 0$ . Now from Proposition 4.7, the contribution of

$$
\lim_{\epsilon \to 0} \limsup_{k \to \infty} |(B^{\epsilon,\delta}_{1,h_k} h_k \partial_{x_d} v_{h_k}, v_{h_k})_{L^2}| \leqslant C\delta^{\frac{1}{2}},
$$

which converges to 0 if we let  $\delta \to 0$ . Finally, by Cauchy-Schwarz,

$$
|(B_{1,h_k,\delta}^{\epsilon}h_k\partial_{x_d}v_{h_k},v_{h_k})_{L^2}|\leqslant \|h_k\partial_{x_d}v_{h_k}\|_{L^2}\|(B_{1,h_k}^{\epsilon,\delta})^*v_{h_k}\|_{L^2}.
$$

Notice that

$$
\lim_{k \to \infty} \|(B_{1,h_k}^{\epsilon,\delta})^* v_{h_k}\|_{L^2}^2 = \lim_{k \to \infty} (B_{1,h_k}^{\epsilon,\delta} (B_{1,h_k}^{\epsilon,\delta})^* v_{h_k}, v_{h_k})_{L^2}
$$
  
=  $\langle \mu, (1 - \psi(\frac{r}{\delta})) [\psi(\frac{x_d}{\epsilon}) (1 - \psi(\frac{x_d}{2\epsilon})) b_1 + (1 - \psi(\frac{x_d}{\epsilon}) \psi(\frac{x_d}{2\epsilon})) b_1] \rangle$ ,

taking the double limit  $\limsup_{\delta \to 0} \limsup_{\epsilon \to 0}$ , we obtain that

$$
\limsup_{\delta \to 0} \limsup_{\epsilon \to 0} \lim_{k \to \infty} \|(B_{1,h_k}^{\epsilon,\delta})^* v_{h_k}\|_{L^2}^2 \leq \langle \mu, b_1^2 \mathbf{1}_{x_d=0} \mathbf{1}_{r \neq 0} \rangle = 0,
$$

since  $\mu \mathbf{1}_{\mathcal{E} \cup \mathcal{H}} = 0$ . This completes the proof of Proposition 4.9.

### 5. Interior propagation estimate

**Proposition 5.1** (Interior propagation). Let  $Q_h = \tilde{\chi} Q_h \tilde{\chi}$  be a h-pseudodifferential operator of order 0, where  $\widetilde{\chi} \in C_c^{\infty}(\Omega)$ , then we have

$$
\frac{1}{ih} ([h^2 \Delta + 1, Q_h] v_h, v_h)_{L^2} = o(1).
$$

*Proof.* Denote by  $P_h = P_{h,0} + iM_h$  with  $M_h = -h \text{div } a \nabla$  and  $P_{h,0} = -h^2 \Delta - 1$ , we have

$$
\frac{1}{ih} ([P_{h,0}, Q_h]v, v)_{L^2} = \frac{1}{ih} (Q_h v, P_{h,0} v)_{L^2} - \frac{1}{ih} (P_{h,0} v, Q_h^* v)_{L^2}
$$
\n
$$
= \frac{1}{ih} (Q_h v, \chi P_{h,0} u)_{L^2} - \frac{1}{ih} (\chi P_{h,0} u, Q_h^* v)_{L^2} + \mathcal{R}_1
$$
\nwith  $\mathcal{R}_1 = \frac{1}{ih} (Q_h v, [P_{h,0}, \chi] u)_{L^2} - \frac{1}{ih} ([P_{h,0}, \chi] u, Q_h^* v)_{L^2}.$ 

By using the equation  $P_{h,0}u = f - iM_hu$ , we have

(5.1) 
$$
\frac{1}{ih} ([P_{h,0}, Q_h]v, v)_{L^2} = \mathcal{R}_1 + \mathcal{R}_2 + o(1),
$$

where

$$
\mathcal{R}_2 = \frac{1}{h} (Q_h v, \chi M_h u)_{L^2} + \frac{1}{h} (\chi M_h u, Q_h^* v)_{L^2}.
$$

Note that

$$
-[P_{h,0}, \chi] = h\nabla (\nabla a\chi'(a/h)) + 2\nabla a\chi'(a/h)h\nabla,
$$

since  $h\nabla(\chi(a/h)) = \nabla a\chi'(a/h)$ . • Claim 1:  $\mathcal{R}_1 = o(1)$ 

It suffices to show that  $ih^{-1}(B_h v, [P_{h,0}, \chi]u)_{L^2} = o(1)$  for any compact supported h-pseudo  $B_h$  of degree 0. By integration by part,

$$
-\frac{1}{ih}\big(B_h v, [P_{h,0}, \chi]u\big)_{L^2} = -\frac{1}{ih}\big(B_h v, h \operatorname{div}\big(\chi'(\frac{a}{h})\nabla a u\big) + \chi'(\frac{a}{h})\nabla a h \nabla u\big)_{L^2}
$$

and we simply apply Corollary 3.2, to get for each term  $o(1)$ .

• Claim 2:  $\mathcal{R}_2 = o(1)$ 

It suffices to prove that  $(Q_h v, \chi \text{div} (a \nabla u))_{L^2} = o(1)$ . We write

$$
(Q_h v, \chi \operatorname{div} a \nabla u)_{L^2} = -((\nabla \chi) Q_h v, a \nabla u)_{L^2} - (\chi [\nabla, Q_h] v, a \nabla u)_{L^2} - (\chi Q_h \nabla v, a \nabla u)_{L^2}.
$$

Since  $|a^{\frac{1}{2}}\nabla \chi| = h^{-1}|a^{\frac{1}{2}}\chi' \nabla a| \leqslant C$ , from Corollary 3.2, the first term of r.h.s. can be bounded by

$$
||Q_h v||_{L^2} ||a^{\frac{1}{2}} \nabla u||_{L^2} = o(1).
$$

The second term of r.h.s. can be bounded by  $o(h)$ . Observe that  $\nabla (a^{\frac{1}{2}}) = \frac{1}{2}a^{-\frac{1}{2}}\nabla a$  is bounded, thus from Corollary A.2,

$$
[a^{\frac{1}{2}}, Q_h] = O_{\mathcal{L}(L^2)}(h).
$$

Therefore,

$$
\left|\left(\chi Q_h \nabla v,a\nabla u\right)_{L^2}\right|\leqslant \left|\left(\chi Q_h a^{\frac{1}{2}}\nabla v,a^{\frac{1}{2}}\nabla u\right)_{L^2}\right|+\left|\left(\chi[a^{\frac{1}{2}},Q_h]\nabla v,a^{\frac{1}{2}}\nabla u\right)_{L^2}\right|
$$

The second term is bounded by  $Ch \|\nabla v\|_{L^2} \|a^{\frac{1}{2}}\nabla u\|_{L^2} = o(1)$ , and the first term can be bounded by  $o(1)$ , due to Lemma 3.4. This completes the proof of Proposition 5.1.  $\Box$ 

## 6. Propagation near the boundary

Recall that  $v_h = \chi(a/h)u_h$ . Consider the operator

$$
B_h = B_{0,h} + B_{1,h} \frac{h}{i} \partial_{x_d}
$$

where  $B_{j,h} = \tilde{\chi}_1 \text{Op}_h(b_j) \tilde{\chi}_1$ ,  $j = 0,1$  are two tangential operators and  $\tilde{\chi}_1$  has compact support near a point  $z_0 \in \partial\Omega$ . Note that in the local coordinate system,

$$
P_{h,0} = -h^2 \Delta - 1 = -\frac{1}{\sqrt{|g|}} h \partial_{x_d} \sqrt{|g|} h \partial_{x_d} - R_h,
$$

where  $R_h$  is a self-adjoint tangential operator of order 2. The operator involving the damping can be written as

$$
M_h = -\frac{h}{\sqrt{|g|}} \partial_{x_d} \sqrt{|g|} a \partial_{x_d} - \frac{h}{\sqrt{|g|}} \partial_{x'_k} \sqrt{|g|} a g^{jk} \partial_{x'_j}
$$

Proposition 6.1 (Boundary propagation).

$$
\frac{1}{ih}([P_{h,0},B_h]v,v)_{L^2} = (B_{1,h}|_{x_d=0}(h\partial_{x_d}v)|_{x_d=0},(h\partial_{x_d}v)|_{x_d=0})_{L^2(\partial)} + o(1).
$$

*Proof.* We give the proof in the case  $B_{0,h} = 0$ . The  $B_{0,h}$  terms are handled by slightly simpler versions of the same computations. By developing the commutator, we have

$$
\frac{1}{ih}([P_{h,0},B_h]v,v)_{L^2} = \frac{1}{ih}(B_hv,P_{h,0}v)_{L^2} - \frac{1}{ih}(B_hP_{h,0}v,v)_{L^2} + (B_{1,h}|_{x_d=0}(h\partial_{x_d}v)|_{y=0},h\partial_{x_d}v|_{x_d=0})_{L^2(\partial)},
$$

where the boundary term (the third) comes from the integration by part of the term

$$
\frac{1}{ih}\left(\frac{1}{\sqrt{|g|}}h\partial_{x_d}\sqrt{|g|}h\partial_{x_d}v,v\right)_{L^2},\,
$$

since  $R_h$  is self-adjoint tangential operator. It suffices to show that

(6.1) 
$$
I_h := \frac{1}{ih} \big( B_{1,h} h \partial_{x_d} v, P_{h,0} v \big)_{L^2} - \frac{1}{ih} \big( B_{1,h} h \partial_{x_d} P_{h,0} v, v \big)_{L^2} = o(1).
$$

.

Since  $v = \chi u$  and  $P_{h,0}u = P_hu - iM_hu = f_h - iM_hu$ , we have

$$
P_{h,0}v = \chi P_{h,0}u + [P_{h,0}, \chi]u = \chi f_h - i\chi M_h u + [P_{h,0}, \chi]u.
$$

Therefore,

$$
I_h = o(1) + I_{h,1} + I_{h,2},
$$

where

$$
I_{h,1} = \frac{1}{ih} (B_{1,h} h \partial_{x_d} v, [P_{h,0}, \chi] u)_{L^2} - \frac{1}{ih} (B_{1,h} h \partial_{x_d} [P_{h,0}, \chi] u, v)_{L^2}
$$

and

$$
I_{h,2} = \frac{1}{h} \left( B_{1,h} h \partial_{x_d} v, \chi M_h u \right)_{L^2} - \frac{1}{h} \left( B_{1,h} h \partial_{x_d} \chi M_h u, v \right)_{L^2}
$$

• Claim 1:  $I_{h,1} = o(1)$ .

Indeed, from integration by part, the second term

$$
ih^{-1}(B_{1,h}h\partial_{x_d}[P_{h,0},\chi]u,v)_{L^2}=ih^{-1}([P_{h,0},\chi]u,h\partial_{x_d}A_hv)_{L^2}
$$

for some tangential operator  $A_h$ , hence it has the same structure as the first term. It suffices to show that

$$
h^{-1}(B_{1,h}h\partial_{x_d}v, [P_{h,0}, \chi]u)_{L^2} = o(1).
$$

Since

$$
-[P_{h,0}, \chi]u = h\nabla \cdot (\nabla a\chi'(a/h))u + 2\nabla a\chi'(a/h) \cdot h\nabla u,
$$

doing integration by part, we obtain that

$$
-h^{-1}(B_{1,h}h\partial_{x_d}v, [P_{h,0}, \chi]u)_{L^2} = -(\nabla(\overline{u}B_{1,h}h\partial_{x_d}v), \nabla a\chi')_{L^2} + 2h^{-1}(B_{1,h}h\partial_{x_d}v, \nabla a\chi'h\nabla u)_{L^2}
$$
  
= -(\nabla B\_{1,h}h\partial\_{x\_d}v, \nabla a\chi'u)\_{L^2} + h^{-1}(B\_{1,h}h\partial\_{x\_d}v, \nabla a\chi'h\nabla u)\_{L^2}.

Note that  $v = \chi u$ , if one of the derivatives  $h\partial_{x_d}$ ,  $h\nabla$  fall on  $\chi(a/h)$  we can bound them from Corollary 3.2 by  $o(h)$ . If all the derivatives fall on u in anyone of the two terms, by Lemma 3.1 and Corollary 3.2, these terms can be bounded by

$$
||h\nabla \partial_{x_d} u||_{L^2} ||\nabla a u||_{L^2} + h^{-1} ||h \partial_{x_d} u||_{L^2} ||\nabla a h \nabla u||_{L^2} = o(1).
$$

• Claim 2:  $I_{h,2} = o(1)$ . It suffices to prove that

$$
h^{-1}(B_{1,h}h\partial_{x_d}(\chi u), \chi M_h u)_{L^2} = o(1).
$$

Note that  $-M_h u = \nabla a h \nabla u + a h \Delta u = o_{L^2}(1)$  and  $h \partial_{x_d}(\chi u) = \partial_{x_d} a \chi' u + \chi h \partial_{x_d} u$ . We have

$$
h^{-1} | (B_{1,h} \partial_{x_d} a \chi' u, \chi M_h u)_{L^2} | \leq h^{-1} || \nabla a u ||_{L^2} || \chi M_h u ||_{L^2} = o(1),
$$

since  $\|\nabla au\|_{L^2} = o(h)$  from Corollary 3.2. It remains to show that

$$
h^{-1}(B_{1,h}\chi h\partial_{x_d}u, \chi(\nabla a \cdot h\nabla u + ah\Delta u))_{L^2} = o(1).
$$

Since  $\|\nabla ah\nabla u\|_{L^2} = o(h)$ , we have  $h^{-1}(B_{1,h}\chi h\partial_{x_d}u, \chi(\nabla a \cdot h\nabla u))_{L^2} = o(1)$ . Finally, we show that  $h^{-1}(B_{1,h}\chi h\partial_{x_d}u, \chi ah\Delta u)_{L^2} = o(1).$ 

Recall that from  $|\nabla(a^{\frac{1}{2}})| \leq C$  and Corollary A.2,

$$
[B_{1,h}, a^{\frac{1}{2}}] = O_{\mathcal{L}(L^2)}(h),
$$

we have

$$
h^{-1} | (B_{1,h} \chi h \partial_{x_d} u, \chi ah \Delta u)_{L^2} | \leq h^{-1} | (B_{1,h} a^{\frac{1}{2}} \chi h \partial_{x_d} u, \chi a^{\frac{1}{2}} h \Delta u)_{L^2} | + h^{-1} | ([B_{1,h}, a^{\frac{1}{2}}] \chi h \partial_{x_d} u, \chi a^{\frac{1}{2}} h \Delta u)_{L^2} |
$$
  

$$
\leq C h^{-1} \| a^{\frac{1}{2}} h \nabla u \|_{L^2} \| a^{\frac{1}{2}} h \Delta u \|_{L^2} + C \| h \nabla u \|_{L^2} \| a^{\frac{1}{2}} h \Delta u \|_{L^2} = o(1).
$$

This completes the proof of Proposition 6.1.  $\Box$ 

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To show that the semi-classical measure  $\mu$  of  $(v_{h_k})$  is invariant along the Melrose-Sjöstrand flow (to complete the proof of Proposition 2.3), we need to verify the condition  $(2)$  in Theorem 4.3. We will make use of the propagation formula, i.e. Proposition 6.1. Formally, for  $B_h = B_{0,h} + B_{1,h} \frac{1}{i} h \partial_{x_d}$ , the principal symbol of  $\frac{i}{h}[P_{h,0}, B_h]$  is given by

$$
\{\eta^2 - r, b_0 + b_1 \xi_d\} = a_0 + a_1 \xi_d + a_2 \xi_d^2,
$$

where

(6.2) 
$$
a_0 = b_1 \partial_{x_d} r - \{r, b_0\}', \quad a_1 = 2 \partial_{x_d} b_0 - \{r, b_1\}', \quad a_2 = 2 \partial_{x_d} b_1,
$$

and  $\{\cdot,\cdot\}'$  is the Poisson bracket for  $(x',\xi')$  variables. On the other hand, by calculating the commutator, we find

(6.3) 
$$
\frac{i}{h}[P_{h,0},B_h] = A_0 + A_1hD_{x_d} + A_2h^2D_{x_d}^2 + hOp_h(S_\partial^0 + S_\partial^0 \xi_d),
$$

where  $A_0, A_1, A_2$  are tangential operators with symbols  $a_0, a_1, a_2$ , with respectively. We will prove the following propagation formula:

**Corollary 6.2.** Assume that  $B_h = B_{h,0} + B_{h,1} h D_{x_d}$ , where  $B_{h,0}, B_{h,1}$  are tangential operators of order 0 with symbols  $b_0, b_1$ , with respectively. Assume that  $b = b_0 + b_1 \xi_d$ . Define the formal Poisson bracket

$$
\{p, b\} = (a_0 + a_2r) + a_1 \xi_d \mathbf{1}_{\rho \notin \mathcal{H}},
$$

where  $a_0, a_1, a_2$  are given by (6.2). Then the defect measure  $\mu$  satisfies the equation

$$
\langle \mu, \{p, b\} \rangle = -\langle \nu_{\partial}, b_1 \rangle,
$$

where  $\nu_{\partial}$  is the semiclassical measure of  $(h\partial_{x_d}v_h|_{x_d=0})$ . Moreover, if b is an even symbol (i.e.  $b(x', x_d =$  $(0, \xi', \xi_d) = b(x', x_d = 0, \xi', -\xi_d)$ , then we have

$$
\langle \mu, \{p, b\} \rangle = 0.
$$

In particular, by combining Proposition 5.1, we have  $\dot{\mu} = 0$ .

*Proof.* From Proposition  $6.1$  and the decomposition  $(6.3)$ , we have

(6.4) 
$$
\left( (A_0 + A_1 h_k D_{x_d} + A_2 h_k^2 D_{x_d}^2) v_{h_k}, v_{h_k} \right)_{L^2} = - \langle v_{\partial}, b_1 \rangle + o(1).
$$

From Lemma 3.4, we can also replace the function  $v_{h_k}$  on the l.h.s. by  $u_{h_k}$ . Using the equation of  $u_{h_k}$ :

$$
(h^2 D_{x_d}^2 - R_{h_k}) u_{h_k} = i M_{h_k} u_{h_k} - f_{h_k} + O_{L^2}(h_k),
$$

we deduce that

$$
(A_2h_k^2D_{x_d}^2u_{h_k}, u_{h_k}) = (A_2R_{h_k}u_{h_k}, u_{h_k})_{L^2} + o(1),
$$

thanks to Lemma 4.5. Therefore, from Proposition 4.9,

$$
\lim_{kh \to \infty} ((A_0 + A_1 h_k D_{x_d} + A_2 R_{h_k}) u_{h_k}, u_{h_k})_{L^2} = \langle \mu, a_0 + a_1 \xi_d \mathbf{1}_{\rho \notin \mathcal{H}} + a_2 r \rangle = \langle \mu, \{p, b\} \rangle.
$$

Now if  $b = b_0 + b_1 \xi_d$  is an even symbol, we must have  $b_1|_{x_d=0} = 0$ , therefore,  $\langle \mu, \{p, b\} \rangle = -\langle \nu_{\partial}, b_1 \rangle = 0$ . The proof of Lemma 6.2 is complete.

Corollary 6.3. We have  $\mu(\mathcal{G}^{2,+})=0$ .

*Proof.* We will make use of the formula  $\langle \mu, {p, b} \rangle = -\langle \nu_{\partial}, b_1 \rangle$  by choosing  $b = b_{1,\epsilon} \eta$  with

$$
b_{1,\epsilon}(x',x_d,\xi') = \psi\left(\frac{x_d}{\epsilon^{\frac{1}{2}}}\right)\psi\left(\frac{r(x_d,x',\xi')}{\epsilon}\right)\kappa(x_d,x',\xi'),
$$

where  $\psi \in C_c^{\infty}(\mathbb{R})$  equals to 1 near the origin and  $\kappa(y, x', \xi') \geq 0$  near a point  $\rho_0 \in \mathcal{G}^{2,+}$ . Since  $\{p, b_{\epsilon}\}$  $(a_0 + a_2r) + a_1 \xi_d \mathbf{1}_{\rho \notin \mathcal{H}}$ , and  $a_0, a_1, a_2$  are given by the relation (6.2). In particular for our choice, by direct calculation we have

$$
a_0 = b_{1,\epsilon} \partial_{x_d} r, \quad a_1 = -\{r, \kappa\}^{\prime} \psi\left(\frac{x_d}{\epsilon^{\frac{1}{2}}}\right) \psi\left(\frac{r}{\epsilon}\right),
$$

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and

$$
a_2 = 2\partial_{x_d}b_{1,\epsilon} = 2\epsilon^{-\frac{1}{2}}\psi'\left(\frac{x_d}{\epsilon^{\frac{1}{2}}}\right)\psi\left(\frac{r}{\epsilon}\right)\kappa + 2\frac{\partial_{x_d}r}{\epsilon}\psi\left(\frac{x_d}{\epsilon^{\frac{1}{2}}}\right)\psi'\left(\frac{r}{\epsilon}\right)\kappa + 2\psi\left(\frac{x_d}{\epsilon^{\frac{1}{2}}}\right)\psi\left(\frac{r}{\epsilon}\right)\partial_{x_d}\kappa.
$$

Note that  $a_2$  is uniformly bounded in  $\epsilon$  and for any fixed  $(y, x', \xi')$ ,  $ra_2 \to 0$  as  $\epsilon \to 0$ . Thus by dominating convergence, we have

$$
\lim_{\epsilon\rightarrow 0}\langle \mu, \{p,b_{\epsilon}\}\rangle=\langle \mu, \kappa|_{x_d=0}\partial_{x_d}r\mathbf{1}_{r=0}\rangle\geqslant 0
$$

since  $\partial_{x_d} r > 0$  on  $\mathcal{G}^{2,+}$ . However,  $-\langle \nu_{\partial}, b_{\epsilon} \rangle \leq 0$ , we must have  $\mu \mathbf{1}_{\mathcal{G}^{2,+}} = 0$ . This completes the proof of Lemma  $6.3.$ 

From Lemma 6.2 and Lemma 6.3, we have verified that  $\mu = 0$  and  $\mu(\mathcal{G}^{2,+}) = 0$ , thus from Theorem 4.3, the semi-classical  $\mu$  is invariant along the Melrose-Sjöstrand flow. Thanks to the geometric control condition and the fact that  $a^{\frac{1}{2}}v_{h_k} = o_{L^2}(1)$ , we deduce that  $\mu = 0$ . This contradicts to the assumption that  $||v_{h_k}||_{L^2} =$  $||u_{h_k}||_{L^2} = 1 + o(1)$ , as  $k \to \infty$ . The proof of Proposition 2.3 is now complete.

## Appendix A. Some commutator estimates

**Lemma A.1.** Assume that  $b(x, y, \xi) \in L^{\infty}(\mathbb{R}^{3d}_{x, y, \xi})$  such that

$$
|\partial^{\alpha}_{\xi}b(x,y,\xi)|\lesssim_{\alpha} \langle\xi\rangle^{-(|\alpha|+1)}
$$

for all multi-index  $\alpha \in \mathbb{N}^d$ ,  $|\alpha| \leqslant d+1$ . Then the operator  $T_h$  associated with the Schwartz kernel

$$
K_h(x,y) := \frac{1}{(2\pi h)^d} \int_{\mathbb{R}^d} b(x,y,\xi) e^{\frac{i(x-y)\cdot\xi}{h}} d\xi
$$

is bounded on  $L^2(\mathbb{R}^d)$ , uniformly in  $0 < h \leqslant 1$ .

*Proof.* Using the Littlewood-Paley decomposition, we can decompose the operator  $T_h = \sum_{j\geqslant 0} T_{h,j}$  where each  $T_{h,j}$  has the Schwartz kernel

$$
K_{h,j}(x,y) = \frac{1}{(2\pi h)^d} \int_{\mathbb{R}^d} b_j(x,y,\xi) e^{\frac{i(x-y)\cdot\xi}{h}} d\xi,
$$

with  $b_j(x, y, \xi) = b(x, y, \xi)\psi_j(\xi)$  and  $\psi_j(\xi) = \psi(2^{-j}\xi)$  for some  $\psi \in C_c^{\infty}(\frac{1}{2} \leq \vert \xi \vert \leq 2)$ , if  $j \geq 1$  and  $\psi_0(\xi)$  is supported on  $|\xi| \leq 1$ . Note that

$$
(x-y)^{\alpha} K_{h,j}(x,y) = \frac{i^{-|\alpha|} h^{|\alpha|}}{(2\pi h)^d} \int_{\mathbb{R}^d} D_{\xi}^{\alpha} b_j(x,y,\xi) \cdot e^{\frac{i(x-y)\cdot \xi}{h}} d\xi,
$$

we have

$$
|K_{h,j}(x,y)| \lesssim \alpha \frac{h^{|\alpha|-d}}{|x-y|^{|\alpha|}} \cdot 2^{-j(|\alpha|+1-d)}.
$$

We have another trivial bound  $|K_{h,j}(x,y)| \lesssim_{\alpha} 2^{jd}h^{-d}$ . Therefore, for fixed  $x \in \mathbb{R}^d$ , by choosing  $|\alpha| = d+1$ , we have

$$
\int_{\mathbb{R}^d} |K_{h,j}(x,y)| dy \lesssim \int_{\mathbb{R}^d} \min \left\{ 2^{-j} \frac{2^{-j} h}{|x-y|^{d+1}}, 2^{jd} h^{-d} \right\} dy
$$
  

$$
\leqslant \int_{|z| \leqslant 2^{-\frac{j}{d+1}} \cdot 2^{-j} h} 2^{jd} h^{-d} dz + \int_{|z| > 2^{-\frac{j}{d+1}} \cdot 2^{-j} h} \frac{2^{-j} 2^{-j} h}{|z|^{d+1}} dz \lesssim 2^{-\frac{jd}{d+1}}.
$$

Similarly, for fixed  $y \in \mathbb{R}^d$ ,

$$
\int_{\mathbb{R}^d} |K_{h,j}(x,y)| dx \lesssim 2^{-\frac{jd}{d+1}}.
$$

By Schur's test, we have  $||T_{h,j}||_{\mathcal{L}(L^2)} \lesssim 2^{-\frac{jd}{d+1}}$ . Using the triangle inequality, we obtain that  $T_h$  is bounded on  $L^2(\mathbb{R}^d)$ , uniformly in  $0 < h \leq 1$ . The proof of Lemma A.1 is now complete.

**Corollary A.2.** Assume that  $\kappa \in W^{1,\infty}(\mathbb{R}^d)$  and  $b \in S^0(\mathbb{R}^{2d})$  is a symbol of order zero, then we have

$$
\|[\text{Op}_h(b), \kappa]\|_{\mathcal{L}(L^2)} = O(h).
$$

*Proof.* The kernel of  $[Op_h(b), \kappa]$  is given by

$$
K(x,y) = \frac{1}{(2\pi h)^d} \int_{\mathbb{R}^d} b(x,\xi)(\kappa(y) - \kappa(x)) e^{\frac{i(x-y)\cdot\xi}{h}} d\xi.
$$

Since  $\kappa \in W^{1,\infty}$ , there exists  $\Psi \in L^{\infty}(\mathbb{R}^d; \mathbb{R}^d)$  such that

$$
\kappa(y) - \kappa(x) = (y - x) \cdot \Psi(x, y).
$$

Thus

$$
K(x,y) = -\sum_{j=1}^d \frac{h}{i(2\pi h)^d} \int_{\mathbb{R}^d} \partial_{\xi_j} b(x,\xi) \Psi_j(x,y) e^{\frac{i(x-y)\xi}{h}} d\xi
$$

Applying Lemma A.1 to each  $\partial_{\xi_j} b(x,\xi) \Psi_j(x,y)$ , the proof of Corollary A.2 is complete.

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