

# Global ocean surface carbon product

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# QUALITY INFORMATION DOCUMENT Global Ocean Surface Carbon Product MULTIOBS\_GLO\_BIO\_CARBON\_SURFACE\_REP\_015\_008

Issue: 123.0

Contributors: Trang Chau, Marion Gehlen, Frédéric Chevallier

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# **CHANGE RECORD**

lssue	Date	§	Description of Change Author		Validated by
0.0	January 2019	All	Creation of the document	Marion Gehlen, Thi Tuyet Trang Chau	Mercator Ocean
1.0	December 2019	All	Update of the description: new methods (reconstruction and uncertainty quantification) and the corresponding validation	Thi Tuyet Trang Chau, Marion Gehlen, Frédéric Chevallier	Mercator Ocean
2.0	September 2020	All	<ul> <li>Update of the description and validation results corresponding to changes in:</li> <li>input fields in reconstruction of surface ocean partial pressure of carbon dioxide,</li> <li>parameterization of surface ocean downward mass flux of carbon dioxide (Wanninkhof 2014 instead of Wanninkhof 1992), uncertainty quantification of surface ocean pH.</li> </ul>	Thi Tuyet Trang Chau, Marion Gehlen, Frédéric Chevallier	Stéphanie Guinehut
3.0	September 2021	All	<ul> <li>Update of the description and validation results corresponding to extensions of data coverage:</li> <li>spatial extension towards the coasts and high latitudes (above 60°N)</li> <li>temporal extension for the year 2020</li> </ul>	Thi Tuyet Trang Chau, Marion Gehlen, Frédéric Chevallier	Stéphanie Guinehut





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# I EXECUTIVE SUMMARY

# I.1 Products covered by this document

This document presents approaches and tools used to produce and validate the Global Ocean Surface Carbon Product **MULTIOBS\_GLO\_BIO\_CARBON\_SURFACE\_REP\_015\_008**.

Short Description	Product code	Area	Delivery Time
Surface Carbon	MULTIOBS_GLO_BIO_CARBON_SURFACE_REP_015_008	Global	Yearly

The product provides surface ocean partial pressure of carbon dioxide, surface ocean downward mass flux of carbon dioxide expressed as carbon (positive for flux into the ocean) and surface pH on a regular grid  $(1^{\circ}x1^{\circ})$  with a monthly resolution from 1985 onward.

## I.1 Summary of the results

<u>Surface ocean partial pressure of carbon dioxide</u> (**spco2**) is obtained from an ensemble of feed forward neural networks (FFNNs) which is referred to as CMEMS-LSCE-FFNNv2 (Chau et al., 2021). The models were trained on 100 subsampled datasets from the Surface Ocean CO<sub>2</sub> Atlas (SOCAT) (<u>https://www.socat.info/</u>). Like the original data, subsamples are distributed after interpolation on 1° by 1° grid cells along ship tracks. Sea surface salinity, temperature, sea surface height, mixed layer depth, atmospheric CO<sub>2</sub> mole fraction, chlorophyll, spco2 climatology, latitude and longitude are used as predictors.

The CMEMS-LSCE-FFNNv2 approach follows a leave-*p*-out cross-validation (*p* spco2 observations corresponding to the month of reconstruction are excluded from the training data), allowing the quality of the reconstruction to be assessed against independent SOCAT data. At the global scale and over the full period of reconstruction (1985-2020), the root-mean-square difference (RMSD) is ~2.13 Pa, the mean absolute difference (MAD) is 1.28 Pa and the coefficient of determination ( $r^2$ ) is 0.76. CMEMS-LSCE-FFNNv2 quantifies spatial and temporal model uncertainties in terms of standard deviations of the 100-member ensembles.

The SOCAT database is an extensive compilation of surface carbon observations. The period covered by the reconstruction corresponds to a percentage larger than 85% of that data set. As shown in Figure 2, the spatial distribution of observations is, however, very heterogeneous and the data density remains poor over large areas of the global ocean (e.g., large areas of the Pacific Ocean, Indian Ocean) or seasonally biased (high latitudes). The approach ensures an optimal usage of available data but remains sensitive to data density.

<u>Surface ocean downward mass flux of carbon dioxide expressed as carbon</u> (**fgco2**) was estimated using the gas exchange formulation fgco2=-k $\rho L(1-f_{\text{sea ice}})(\text{spco2}-\text{pCO2}^{\text{atm}})$ , where k is the piston velocity,  $\rho$  is the seawater density, L is the temperature-dependent solubility of CO<sub>2</sub> and  $f_{\text{sea ice}}$  is the sea ice fraction.

The global ocean  $CO_2$  sink corresponds to the global integral of fgco2 over space and time. In 2020 [resp. 2019], the global ocean  $CO_2$  sink was 2.75±0.15 [resp. 2.63±0.12] PgC/yr (Figure 1). The number behind





the ± sign corresponds to the standard deviation ( $\sigma$ ) computed from the ensemble and it is thus an estimate of the uncertainty associated with the reconstruction. The average over the full period 1985-2020 is 1.62±0.12 PgC/yr (including 0.15±0.01 PgC/yr of the coastal uptake) with an interannual variability (temporal standard deviation) of 0.48 PgC/yr. Taking into account the total ocean area of 361,900,000 km<sup>2</sup> and the outgassing of river carbon of 0.78 PgC/yr yields an anthropogenic carbon uptake by the ocean of 3.65±0.15 PgC/yr [3.51±0.13 PgC/yr] for 2020 [2019], and 2.46±0.15 PgC/yr averaged over the years 1985-2020. Our data-based estimates of the anthropogenic fluxes are above the estimates in Global Carbon Budget 2020 (GCB2020) by Friedlingstein et al. (2020) (see Table 5). The assessment in GCB2020, however, pointed out that the models might underestimate the global ocean CO<sub>2</sub> sink. In Hauck et al. 2020, the authors also suggested that further studies are needed to understand the model-data mismatch and to improve the riverine carbon inputs.

<u>Surface ocean pH on total scale</u> (**ph**) was computed from spco2 and reconstructed surface ocean alkalinity using the CO2sys speciation software (Van Heuven et al., 2011; Lewis and Wallace, 1998). Time and space varying alkalinity fields were obtained from a multivariate linear regression with salinity, temperature, dissolved silica and nitrate (Table 2) as independent variables. pH decreases in response to the uptake of CO<sub>2</sub> by the ocean. The rate of decrease computed over the period of reconstruction is 0.0016 pH units (Figure 1). The global RMSD between pH from GLODAPv2.2021 bottle data and the reconstructed pH over the period 1985-2020 is 0.0348 pH units and the  $r^2$  values is 0.5730.





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Global integrated surface downward flux of total CO<sub>2</sub>



2002

Year

200300

2005,000

200,002



8.06

8.05

 $-0.0016 \pm 0.0006 \text{ yr}^{-1}$ 

Copernicus Marine Service



# I.2 Estimated Accuracy Numbers

The quality of the reconstruction is assessed against independent SOCAT data in a leave-p-out cross-validation where p spco2 observations corresponding to a given month of reconstruction have been excluded from the training data. A ratio of 2:1 for training and validating is used. At the global scale and over the full period of reconstruction (1985-2020), the main statistics are reported in the following table.

For pH, the statistics are with respect to the independent GLODAPv2.2021 bottle data over the period 1985-2020 (https://www.glodap.info/index.php/merged-and-adjusted-data-product-v22021/).

Variable					
		MAD	RMSD	r²	Units
	global	1.28	2.13	0.76	
spco2	open	1.15	1.86	0.77	Ра
	coastal	2.41	3.72	0.70	
	global	0.0193	0.0348	0.5730	
ph	open	0.0173	0.0296	0.6061	pH total
	coastal	0.0531	0.0836	0.4045	scale

Table 1: Estimated skill over the global, open, and coastal oceans (see section III for definitions).





# **II PRODUCTION SYSTEM DESCRIPTION**

**Production centres name:** Laboratoire des Sciences du Climat et de l'Environnement (LSCE) (MULTIOBS-LSCE-GIF-FR)

## II.1 Production system name: CMEMS-LSCE-FFNNv2Origin of input fields

#### Table 2: Details of input fields

Reconstruction of surface ocean CO <sub>2</sub> partial pressure					
Target data: surface	e ocean CO <sub>2</sub> partia	al pressure.			
Measurements of	Bakker et al.,	SOCATv2021: https://www.socat.info/ (last access 16/06/2021)			
CO <sub>2</sub> fugacity	2016				
Predictor data					
Sea surface	CMEMS	MULTIOBS_GLO_PHY_TSUV_3D_MYNRT_015_012 (1993-2020)			
temperature	CMEMS	SST_GLO_SST_L4_REP_OBSERVATIONS_010_011 (1985-1992)			
Sea surface	CMEMS	MULTIOBS_GLO_PHY_TSUV_3D_MYNRT_015_012 (1993-2020)			
salinity		Climatology (1985-1992) computed from			
		MULTIOBS GLO PHY TSUV 3D MYNRT 015 012 (1993-2020)			
Sea surface height	CMEMS	MULTIOBS_GLO_PHY_TSUV_3D_MYNRT_015_012 (1993-2020)			
		Climatology plus linear trend (1985-1992) computed from			
		MULTIOBS GLO PHY TSUV 3D MYNRT 015 012 (1993-2020)			
N Alizza de la sua de se tile	N A - u - u - u - li -				
wixed layer depth	Menemeniis	ECCO2: "Estimating the Circulation and Climate of the Ocean" project Phase II (1992-			
	et al., 2008	2020) Climatelery (1005, 1001) commuted from ECCO2 (1002, 1007)			
Chlorophyll	ClahCalaur	Climatology (1985-1991) computed from ECCO2 (1992-1997)			
Chiorophyli	GIODCOIOUI,	http://www.globcolour.inio/products_description.ntml (1998-2020)			
	CMEMS	Climatology computed from GlobColour (1985-1997)			
Atmospheric CO <sub>2</sub>	Chevallier et	CO <sub>2</sub> atmospheric inversion from the Copernicus Atmosphere Monitoring Service			
mole fraction	al. 2005, 2010;	(https://atmosphere.copernicus.eu/)			
	Chevallier,				
	2013				
spco2 climatology	Takahashi et	LDEO spco2 climatology			
	al. (2009)	https://www.pmel.noaa.gov/co2/story/LDEO+Surface+Ocean+CO2+Climatology			

	Reconstruction of surface ocean downward mass flux of CO <sub>2</sub>						
6-hourly wind	ERA5	https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5					
speed							
Atmospheric CO2	Chevallier et	CO2 atmospheric inversion from the Copernicus Atmosphere Monitoring Service					
mole fraction al. 2005, 2010;		(https://atmosphere.copernicus.eu/)					
Chevallier,							
	2013						
Total pressure	ERA5	https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5					
Sea ice fraction	CMEMS	SST_GLO_SST_L4_REP_OBSERVATIONS_010_011					
		SST_GLO_SST_L4_NRT_OBSERVATIONS_010_001					





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Reconstruction of surface ocean pH on total scale						
Nitrate, dissolved silica	World Ocean Atlas v2 2018	https://www.ncei.noaa.gov/data/oceans/woa/WOA18/				
pH (validation data)	GLODAPv2.20 21 bottle data (Lauvset et al 2021)	https://www.glodap.info/index.php/merged-and-adjusted-data-product-v22021/				

In addition to the predictors listed in this table, latitude and longitude are also used as predictors for the reconstruction of surface ocean  $pCO_2$ .



Figure 2 Distribution of SOCAT v2021 – ship traces for the period Jan 1985-Dec 2020 (Bakker et al., 2016). Monthly data on 1°x1° regular grid.





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# **II.2 Description of system**

#### (a) Reconstruction of surface ocean carbon dioxide partial pressure

Central to the production process is the reconstruction of surface ocean carbon dioxide partial pressure (spco2) by a Feed Forward Neural Network (FFNN) model following an ensemble-based approach (Chau et al. 2021). Taking advantage of the Surface Ocean CO<sub>2</sub> Atlas (SOCAT) (Bakker et al., 2016), the ensemble-based FFNN approach is used to reconstruct the global distribution of spco2 with a monthly resolution over the global 1°×1°-gridded surface ocean. FFNN models were fitted on 100 datasets sub-sampled randomly from the drivers and SOCAT data with a ratio of 2:1 for training and validating. The model is sensitive to the observational coverage. This limitation is partly overcome by the FFNN approach as the reconstruction of monthly global ocean distributions draws on a larger data set such that FFNN outputs remain close to realistic values. The approach further uses observations in a 3-month gliding window centered on the month of reconstruction. Note that the data from the month of reconstruction is excluded from the training data in order to reduce model overfitting. The new network was developed at the *Laboratoire des Sciences du Climat et de l'Environnement* (LSCE) and validated as an improvement of LSCE-FFNN-v1 (Denvil-Sommer et al. 2019). The model outputs are part of the Copernicus Marine Environment Monitoring Service (CMEMS). It is hence referred to CMEMS-LSCE-FFNNv2.

The means ( $\mu$ ) and standard deviations ( $\sigma$ ) are computed from the 100-member ensembles for each of the three variables contributing to the global carbon product. The standard deviation stands for the associated model uncertainty. In this report, the notation  $\mu \pm \sigma$  expresses an estimate of the surface ocean partial pressure of CO<sub>2</sub> (as well as surface ocean downward mass flux of CO<sub>2</sub> or pH) along with its relative uncertainty. In cases of the uncertainty reported for the spatial mean and/or temporal mean of carbon variables, spatial and temporal decorrelation length scales are used to reduce the bias in variance estimates of the mean quantities.

#### (b) Reconstruction of surface ocean downward mass flux of carbon dioxide expressed as carbon

The surface ocean downward mass flux of CO<sub>2</sub> is computed from the gas exchange formulation fgco2=- $k\rho L(1-f_{sea\,ice})(spco2-pCO2^{atm})$ 

where k is the piston velocity,  $\rho$  the seawater density, L is the temperature-dependent solubility of CO<sub>2</sub> (Weiss, 1974) and  $f_{\text{sea ice}}$  is the sea ice fraction. The piston velocity is estimated after Wanninkhof (2014) with wind speed computed from 6-hourly ERA5 wind speed and the sea ice fraction extracted from OSTIA data. spco2 corresponds to the surface ocean pCO<sub>2</sub>, reconstructed by the neural network CMEMS-LSCE-FFNNv2 model and pCO<sub>2</sub><sup>atm</sup> was derived from the atmospheric CO<sub>2</sub> mixing ratio fields provided by the CAMS inversion (Chevallier et al. 2005, 2010; Chevallier 2013).

The uncertainty of the surface downward mass flux is quantified after applying the gas exchange formula to the difference between the 100-member ensemble of monthly spco2 estimates and the corresponding atmospheric  $pCO_2$  values.

#### (c) Reconstruction of surface ocean pH

Surface ocean pH is calculated from reconstructed spco2 and alkalinity using the speciation software CO2sys (Van Heuven et al., 2011; Lewis and Wallace, 1998). Time and space varying surface ocean alkalinity fields are obtained from the multivariate linear regression model LIAR (Carter et al., 2016;





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2018) as a function of sea surface temperature and salinity, as well as climatogical nitrate and dissolved silica from World Ocean Atlas v2018 (https://www.ncei.noaa.gov/data/oceans/woa/WOA18/). Uncertainties of input fields are taken as constant in time but spatially variable. For temperature and salinity, the uncertainty is defined as the RMSD between the products and observations, and for the nitrate and dissolved Si climatologies the uncertainties are defined as 15% of the values (see https://archimer.ifremer.fr/doc/00651/76336/77327.pdf).

Total uncertainty of the monthly output fields ( $\sigma_{tot, m}$ ) is evaluated by taking into account empirical variances of two sources: model randomness ( $\sigma_{ran, m}$ ) and systematic errors ( $\sigma_{sys, m}$ ):

$$\sigma_{\rm tot,\ m}^2 = \sigma_{\rm ran,\ m}^2 + \sigma_{\rm sys,\ m}^2$$

The first uncertainty represents the dispersion of the pH field computed from 100 ensemble members of the reconstructed spco2, and the latter is assessed through uncertainty propagation following Orr et al. (2018) and the speciation software CO2sys (Lewis and Wallace, 1998; Van Heuven et al., 2011). Inputs to the uncertainty propagation routine are default values for dissociation constants, measurement errors, uncertainty estimates for alkalinity fields from LIAR (Carter et al., 2016; 2018), and reconstruction errors between of spco2 estimates from the CMEMS-LSCE-FFNNv2 approach and SOCAT observations.





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# **III VALIDATION FRAMEWORK**

### III.1 spco2 reconstruction

Only two thirds of the SOCAT target data are used for the training algorithm, leaving one third for model validation. The models are trained separately for each month, resulting in adaptive models with a common architecture but trained on different data. To increase the amount of data available for training, the models are trained using as target data from a 3-month moving window of the entire period from 1985 onward but excluding the reconstructed month.

Model output is assessed on the independent SOCAT data and collocated reconstructed data. A leave**p**-out cross-validation approach is applied (where **p** is the amount of data in the month considered for reconstruction) and 100 random subsamples of independent data are drawn from the SOCAT dataset. The network was run on each subsample. From these 100 results the mean was chosen as an estimate of monthly spco2 per grid cell.

All metrics are computed over the full reconstruction period and the sub-period (2001-2016) for the comparison with the LSCE-FFNN-v1 (see section VI). The assessment is broken down into the regions defined after Gurney et al. (2008) with modification from Landschützer et al. (2014) (Table 13 and Figure 3). Reconstructed data along the coast are evaluated within the coastal zones defined from the MARgins and CATchments Segmentation (MARCATS; Laruelle at al. 2013) and collocated on the 1°x1° SOCAT grid.

# III.2 fgco2 reconstruction

There is no independent data for validating fgco2. CMEMS-LSCE-FFNNv2 estimates of air–sea  $CO_2$  fluxes are assessed by comparison to published estimates derived from an ensemble of global ocean biogeochemical models (GOBMs) used in the Global Carbon Project (GCP, Friedlingstein et al., 2020). Note that in order to obtain estimates for the anthropogenic fluxes the data-based estimates need to be corrected for the preindustrial outgassing of riverine carbon input (0.78 PgC/yr, Resplandy et al., 2018).



Figure 3. Map of the Ocean Inversion regions (Gurney et al. 2008, modified by Landschützer et al., 2014) used for model assessment. The coastal mask (grey) is defined with the MARgins and CATchments Segmentation (MARCATS; Laruelle et al, 2013) collocated on 1°x1° SOCAT grid.



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Number	Name
1	Arctic
2	Subpolar Atlantic
3	Subpolar Pacific
4	Subtropical Atlantic
5	Subtropical Pacific
6	Equatorial Atlantic
7	Equatorial Pacific
8	South Atlantic
9	South Pacific
10	Indian Ocean
11	Southern Ocean

Table 3: Ocean Inversion regions (Gurney et al. 2008, modified by Landschützer et al., 2014)

# III.3 Surface ocean pH reconstruction

The pH from GLODAPv2.2021 bottle data (https://www.glodap.info/index.php/merged-and-adjusteddata-product-v22021/) are used for the evaluation of reconstructed surface ocean pH during 1985-2020. Only data from depths shallower than 10 m are used. Data are averaged per month and on a regular 1°x1° grid, which resulted in 10813 data points. The reconstruction metrics are calculated from colocated reconstructed values at these data points.





# IV VALIDATION RESULTS

# IV.1 spco2 reconstruction

	Latitude	MAD	(Pa)	RMSI	D (Pa)	r <sup>2</sup>	
Region	boundaries	Open	Coastal	Open	Coastal	Open	Coastal
Global(287984, 33864)		1.15	2.41	1.86	3.72	0.77	0.70
Subpolar Atlantic(25705, 13749)	49°N to 76°N	1.46	2.16	2.43	3.19	0.77	0.80
Subpolar Pacific(11575, 4145)	49°N to 76°N	2.04	4.03	2.92	5.52	0.65	0.57
Equatorial Pacific (47893, 258)	18°S to 18°N	1.11	1.90	1.74	2.69	0.79	0.47
South Pacific (18396, 1276)	44°S to 18°S	0.81	0.95	1.21	1.44	0.74	0.62
<b>Southern Ocean</b> (31760, 5798)	90°S to 44°S	1.27	2.51	1.96	3.60	0.61	0.64

Table 4: Statistical validation for CMEMS-LSCE-FFNNv2 over the period 1985-2020. Comparison between reconstructed surface ocean spco2 and spco2 values from the SOCAT data that were not used during the algorithm training. Global statistics and regional examples (Figure 3), number of measurements of SOCATv2021 per (open, coastal) region between brackets.

Table 4 exemplifies skill scores computed for 5 regions. It illustrates - for the full period - that the highest RMSDs (open: 2.92 Pa, coastal: 5.52 Pa) and MAD (open: 2.04 Pa., coastal: 4.03 Pa) are associated with the region having the lowest data density and high temporal and/or spatial pCO<sub>2</sub> variations (e.g., Subpolar Pacific, 15720 observations). The CMEMS-LSCE-FFNNv2 reconstruction over the coastal regions for the full period is roughly twice less effective than over the open ocean in terms of RMSD and MAD while it shows a rather good fit with  $r^2 = 0.70$ . The high RMSD reflects local high model errors along the continental shelves (Figure 5) which are characterized by complex physical and biological dynamics leading to high variability at small scales. Further model improvement is needed in order to capture such high spatial and temporal variability of surface ocean pCO<sub>2</sub> present in observations (see in Bakker et al. 2016; Laruelle et al., 2017, and references therein). An in-depth analysis on the model reconstruction over different oceanic basins for both open and coastal regions is presented in Chau et al. 2021.

The average surface ocean spco2 for the period 1985-2020 is shown on the left panel of Figure 4. High surface ocean spco2 values are associated to the upwelling of deep water, which is naturally enriched in DIC (Dissolved Inorganic Carbon, e.g., Equatorial Pacific upwelling). Low CO<sub>2</sub> partial pressures are found in cold northern and southern latitudes, a combination of the temperature effect on the solubility of CO<sub>2</sub> and the drawdown of DIC by biological activity. The spatial uncertainty of spco2 corresponding to the standard deviation of outputs from the 100 models from the CMEMS-LSCE-FFNNv2 is displayed on the right plots of Figure 4. These maps illustrate the confidence level in the reconstruction of spco2. Large estimates of the uncertainties were found in the coastal regions, regions sparse or devoid of SOCAT data (see Figure 2) such as Indian Ocean, Southern Ocean, and regions with high or low surface pressure of  $CO_2$  such as East Pacific, Labrador Sea, North Western Pacific.





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Figure 4. Reconstructed surface ocean carbon dioxide partial pressure averaged over over the global ocean (top) and coasts (bottom) for 1985 to 2020. Mean (left) and standard deviation (right) of the 100-member CMEMS-LSCE-FFNNv2 model outputs are shown. The uncertainty estimate of the temporal mean per grid cell was corrected with a constant decorrelation length scale of 3 months.



Figure 5. Map of the mean differences between MULTIOBS spco2 and the entire SOCAT dataset [Pa].

While the average bias of reconstructed surface ocean partial pressure compared to the SOCAT data is small, there are areas with persisting strong positive or negative biases (e.g., coastal seas and continental shelves, subpolar and polar regions, Eastern Pacific Ocean, Western South Atlantic). Figure 5 shows the full dataset of the differences from the observations for the period 1985-2020. It hides the still sparse data coverage for individual months, which challenges any reconstruction method. Even more problematic is the poor data coverage of large regions (e.g., coasts, high latitudes, Indian Ocean and



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South Pacific Ocean). Reconstructed monthly fields are very noisy with high uncertainty over areas with poor data coverage (see Figure 2) and/or high variations of pCO<sub>2</sub>, and should be viewed with caution.

# IV.2 fgco2 reconstruction

Table 5 presents a comparison between CMEMS-LSCE-FFNNv2 and an ensemble of global ocean biogeochemical general circulation models (GOBMs) used in Global Carbon Budget 2020 (GCB2020, Friedlingstein et al. 2020) for the reconstruction of air-sea CO<sub>2</sub> fluxes. The global ocean CO<sub>2</sub> sink corresponds to the global integral of fgco2 over space and time. The reconstructed field covers both the open ocean and coastal regions and hence approximately 96.15% of the total ocean area (361,900,000 km<sup>2</sup>). In 2020 [resp. 2019], the global ocean CO₂ sink was 2.75±0.15 [resp. 2.63±0.12] PgC/yr (Figure 1). The average of yearly contemporary fluxes over the full period 1985-2020 is 1.62±0.12 PgC/yr (including 0.15±0.01 PgC/yr from the coastal uptake) with an interannual variability (temporal standard deviation) of 0.48 PgC/yr. Taking into account the total ocean area of 361,900,000 km<sup>2</sup> and the outgassing of river carbon of 0.78 PgC/yr yields an anthropogenic carbon uptake by the ocean of 3.65±0.15 PgC/yr [3.51±0.13 PgC/yr] for 2020 [2019] and 2.46 ±0.15 PgC/yr for the years 1985-2020. Our data-based estimates of the anthropogenic uptake are above the model-based estimates by Friedlingstein et al. (2020) (see Table 5). However, it is demonstrated in Friedlingstein et al. (2020) and Hauck et al. (2020) that the CMEMS-LSCE-FFNNv2 flux estimates are in line with alternative data-based estimates. The spatial distribution of CO<sub>2</sub> sources and sinks, as well as decadal trends of data-based and model-based estimates are consistent at global and regional scales. However, the mismatch in magnitude of these estimates and uncertainty from global scaling and river flux adjustment are still large and remain to be resolved.

The new ensemble-based approach yields spatio-temporal varying uncertainties which are consistent with uncertainty estimates of an ensemble of data-based and model-based approaches in Hauck et al. (2020). However, they are lower than the previous GCB published total estimate of  $\pm$  0.6 PgC/yr. The latter estimate corresponds to the mean of flux estimates from global ocean biogeochemical general circulation models (GOBMs). The uncertainty estimate combines the interannual variability derived from GOBMs ( $\pm$  0.4 PgC/yr) and the uncertainty of the mean ocean sink over the 90s, which is taken as a reference ( $\pm$  [0.2-0.4] PgC/yr).

Mathada		Periods					
Methods		1990s	2000s	2010s	2019		
	Contemporary	1.36 ± 0.12	$1.55 \pm 0.11$	$2.14 \pm 0.12$	2.63 ± 0.12		
CIVIEIVIS-LSCE-FFININVZ	Anthropogenic	2.20 ± 0.12	$2.40 \pm 0.11$	3.01 ± 0.12	3.51 ± 0.13		
GCB2020*		2.0 ± 0.5	2.1 ± 0.5	2.5 ± 0.6	2.6 ± 0.6		

Table 5: Air-sea CO2 flux (PgC/yr) integrated over the global ocean. Comparison between CMEMS-LSCE-FFNNv2 and other estimates for periods in 1985-2020 (\*source: Friedlingstein et al. 2020). The estimates of CMEMS-LSCE-FFNNv2 anthropogenic flux were computed by adjusting the contemporary flux estimates with the global ocean area of 361,900,000 km2 and the riverine flux of 0.78 PgC/yr.





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The map of average air-sea fluxes of  $CO_2$  for the period 1985-2020 (Figure 6) highlights the regional variability of fluxes over the global ocean (top) and the coastal regions (bottom). Outgassing of  $CO_2$  is associated with the upwelling of  $CO_2$ -rich subsurface waters (e.g., Equatorial Pacific). The northern and southern mid to high latitudes are sink regions. The North Atlantic stands out as a major area of  $CO_2$  uptake explained by a marked strong cooling in winter and a vigorous phytoplankton bloom in spring and early summer. A region of enhanced uptake is also associated with the subtropical convergence zone in the southern hemisphere. Based on the gas exchange formulation the uncertainties derived from the 100-member ensemble of fgco2 estimates can be interpreted as the scalar product of the spco2 uncertainties resulted from the CMEMS-LSCE-FFNNv2 and the gas transfer coefficients ( $k\rho L(1-f_{sea\,ice})$ ). Shown on the right panels of Figure 6, the uncertainty at the Southern Ocean with strong and variable winds is higher than the other regions.



Figure 6. Reconstructed surface downward flux of carbon dioxide averaged over the global ocean (top) and coastal regions (bottom) for 1985 to 2020. Mean (left, positive values correspond to ocean uptake) and standard deviation (right) of the computed from the 100-member ensemble of CMEMS-LSCE-FFNNv2 are shown. The uncertainty estimate of the temporal mean per grid cell was corrected with a constant decorrelation length scale of 3 months.

The global yearly integrated air-sea flux of  $CO_2$  seen in Figure 1 is characterized by interannual variability in response to modes of natural climate variability, which occurs superposed on the trend driven by increasing atmospheric  $CO_2$  levels. The Equatorial Pacific is a dominant source of  $CO_2$  to the atmosphere. Equatorial Pacific outgassing is strongly modulated by ENSO dynamics and imprints global air-sea fluxes of  $CO_2$  (Feely et al., 2010). During El Niño events (e.g., 1997-1998, 2015-2016), reduced upwelling translates into reduced outgassing of  $CO_2$  and an enhanced global ocean net air-sea  $CO_2$  flux. To the contrary, La Niña events are characterized by increased upwelling, enhanced outgassing and a decreased global ocean net air-sea  $CO_2$  flux (Chau et al. 2021 [Figure 9]).





# IV.3 Surface ocean pH reconstruction

The assessment of the quality of the CMEMS-LSCE-FFNNv2 reconstruction with respect to pH data from the GLODAPv2.2021 bottle data set yields a global RMSD of 0.0348 pH and an absolute bias of 0.0193 pH units. Model scores for the regional assessment are shown in Table 6 and Figures 7, 8. CMEMS-LSCE-FFNNv2 produces reliable estimates of pH over the open ocean between "60°S-60°N". Model errors (Figure 7) and uncertainty estimates (Figure 8) remain high over the coastal seas, continental shelves, and high latitudes.

Decien	Latitude	M	AD	RM	1SD	r <sup>2</sup>	
Region	boundaries	Open	Coastal	Open	Coastal	Open	Coastal
<b>Global</b> (10213, 600)		0.0173	0.0531	0.0296	0.0836	0.61	0.41
Subpolar Atlantic (1137, 244)	49°N to 76°N	0.0281	0.0339	0.0450	0.0523	0.29	0.34
Subpolar Pacific (403, 203)	49°N to 76°N	0.0409	0.0930	0.0644	0.1242	0.30	0.37
Equatorial Pacific (1171, 1)	18°S to 18°N	0.0129	0.0130	0.0200	0.0130	0.65	NaN
<b>South Pacific</b> (710, 0)	44°S to 18°S	0.0130	NaN	0.0157	NaN	0.80	NaN
Southern Ocean (1465, 33)	90°S to 44°S	0.0169	0.0326	0.0245	0.0499	0.54	0.28

Table 6: Statistical validation for CMEMS-LSCE-FFNNv2 over the period 1985-2020. The assessment is based on the comparison between reconstructed surface ocean pH and pH values from GLODAPv2 bottle data set over the period 1985-2020. Global statistics and regional examples (Figure 3), number of GLODAPv2.2021 data per (open, coastal) region between brackets.

Reconstructed surface ocean pH is given on total H<sup>+</sup> scale. Low pH values are found in upwelling regions (e.g., Equatorial Pacific, Arabian Sea) where old naturally dissolved inorganic carbon (DIC) enriched waters reach the surface ocean. Higher values are found in subpolar and polar waters, especially during spring and summer when photosynthesis draws DIC down (Figure 7). The uncertainty map of pH displayed on the right plot of Figure 7 is derived through a monthly uncertainty propagation for each member of 100-ensemble of spco2 following Orr et al. (2018) and the speciation software CO2sys (Lewis and Wallace, 1998; Van Heuven et al., 2011) (see II.2.c for details). Estimates of spco2 and associated uncertainties (see Figure 4) have the largest impact on the estimation of pH uncertainties.







Figure 7. Reconstructed surface ocean pH averaged over the global ocean (top) and coastal regions (bottom) for 1985 to 2020. pH estimate (left) and uncertainty (right) computed for the 100-member ensemble of CMEMS-LSCE-FFNNv2 are shown. The uncertainty estimate of the temporal mean per grid cell was corrected with a constant decorrelation length scale of 3 months.



Figure 8. Maps of the mean of the differences between MULTIOBS pH and GLODAPv2.2021 bottle dataset.





# V SYSTEM'S NOTICEABLE EVENTS, OUTAGES OR CHANGES

MULTIOBS\_GLO\_BIO\_CARBON\_SURFACE\_REP\_015\_008 is the new name of MULTIOBS\_GLO\_BIO\_REP\_015\_005.

This release corresponds to a reprocessed and extended time series of spco2, fgco2, ph. Estimates of spco2 are obtained from an ensemble of feed forward neural network (FFNNs) (Chau et al. 2021). It is referred to as CMEMS-LSCE-FFNNv2 and it is an improvement (method-wise) of the 1<sup>st</sup> version (LSCE-FFNN-v1) described in Denvil-Sommer et al. 2019. All variables are distributed with associated uncertainties derived from the 100-member ensemble.

Since the year 2021, the full CMEMS-LSCE-FFNN product including data over the coasts and high latitudes (above 60°N) has been submitted to CMEMS (and Global Carbon Project). Due to these changes, all statistics reported in this version of the document are significantly different from those in the previous version (2020). Note that the larger errors are derived from the reconstruction over the coasts and high latitudes. See Section IV and VI for further updates of the validation corresponding this new release.





# VI QUALITY CHANGES SINCE PREVIOUS VERSION

Skill scores are improved for this release, CMEMS-LSCE-FFNNv2 (2021), as documented for spco2 (Table 7) and ph (Table 8). In comparison to the reconstruction skill of the LSCE-FFNN-v1 using K-fold cross-validation over the period 2001-2016 (Denvil-Sommer et al. 2019), CMEMS-LSCE-FFNNv2 provides spco2 estimates with less errors and higher correlation to the observations for the global ocean and the majority of regions. Taking advantage of an increase in the number of observations available in SOCATv2021, CMEMS-LSCE-FFNNv2 (2021) slightly improves the reconstruction of spco2 compared to the model run in 2020. In addition, the new release results in pH estimates with similar skill scores as those of the previous year (Table 8).

	Latitude boundaries	MAD (Pa)			RMSD (Pa)			r <sup>2</sup>		
Region		v1*	v2 (2020)	v2 (2021)	v1*	v2 (2020)	v2 (2021)	v1*	v2 (2020)	v2 (2021)
Global		1.17	1.04	1.04	1.82	1.68	1.68	0.76	0.76	0.76
(300363, 321848)										
Subpolar Atlantic	49ºN to 76ºN	1.52	1.12	1.12	2.53	1.88	1.88	0.76	0 71	0 71
(36483, 39454)									0.71	0.71
Subpolar Pacific	10°N to 76°N	2.34	2.08	2 07	2 5 2	2 00	3 08	0.65	0.62	0.62
(14394, 15720)	49-N 10 70-N	2.54	2.00	2.07	5.52	3.05	5.00	0.05	0.02	0.02
Equatorial Pacific	18ºS to 18ºN	1.05	1.03	1.05	1.59	1.73	1.74	0.79	0.79	0.79
(+3000, +0131)										
South Pacific	44ºS to 18ºS	0.95	0.75	0.74	1.37	1.11	1.09	0.63	0.73	0.74
(18255, 19672)		0.00			,					
Southern Ocean	90ºS to 44ºS	1 21	1.07	1.06	1 76	1.57	1.57	0 58	0.55	0.55
(34158, 37558)	50 5 10 44 5			2.00	1.70	2.07	,	0.50	0.00	0.00

Table 7: Comparison between LSCE-FFNN-v1 and CMEMS-LSCE-FFNNv2 for reconstruction of surface ocean spco2 over the period 2001-2016. The assessment is on spco2 values from SOCAT data that are not used during algorithm training (\*source of LSCE-FFNN-v1 using SOCATv5: Denvil-Sommer et al., 2019, units modified). Global statistics and regional examples (Figure 3), total number of SOCAT measurements (v2020, v2021) used in training CMEMS-LSCE-FFNNv2 (2020, 2021) per region between brackets.

For a comparison with CMEMS-LSCE-FFNNv2 (2020) over the full period, CMEMS-LSCE-FFNNv2 (2021) learned on the SOCATv2021 data, and hence benefitting with an increase in the number of observations in recent years, results in spco2 estimates with slightly lower RMSD (Figures 9). Additional observations with high values of spco2 possibly lead to a higher estimate of the new monthly spco2 timeseries as seen in Figure 10. Consequently, the global ocean Carbon sink and surface pH estimated by CMEMS-LSCE-FFNNv2 (2021) are lower than those estimated by the CMEMS-LSCE-FFNNv2 (2020) in the last two decades.







Figure 9. Top: RMSD of reconstructed global mean monthly surface ocean carbon dioxide partial pressure. Middle: Number of observations of monthly SOCAT data. Bottom: Number of observations of monthly SOCAT data used for fitting CMEMS-LSCE-FFNNv2 models.

	MAD		RM	ISD	r <sup>2</sup>		
	v2	v2	v2	v2	v2	v2	
	(2020)	(2021)	(2020)	(2021)	(2020)	(2021)	
Global	0.0157	0.0157	0.0253	0.0253	0.6410	0.6416	

Table 8: Comparison of reconstructed pH data derived from spco2 of CMEMS-FFNN-LSCEv2 (2020, 2021) for global open ocean. The statistical assessment is based on the comparison between reconstructed surface ocean pH and pH values from GLODAPv2.2021 bottle data set over the common period 1985-2019.







Figure 10. Global mean monthly surface ocean carbon dioxide partial pressure (top), monthly integration of air-sea fluxes (middle), and global mean monthly pH (bottom) estimated by CMEMS-LSCE-FFNNv2 (2020, 2021).





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