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Contributions of Atmospheric Stochastic Forcing and Intrinsic Ocean

Modes to North Atlantic Ocean Interdecadal Variability

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ABSTRACT

9 Atmospheric stochastic forcing associated with the North Atlantic Oscilla-
10 tion (NAO) and intrinsic ocean modes associated with the large-scale baro-
11 clinic instability of the North Atlantic Current (NAC) are recognized as two
12 strong paradigms for the existence of the Atlantic Multidecadal Oscillation
13 (AMO). The degree to which each of these factors contribute to the low-
14 frequency variability of the North Atlantic is the central question in this paper.
15 This issue is addressed here using an ocean general circulation model run un-
16 der a wide range of background conditions extending from a super-critical
17 regime where the oceanic variability spontaneously develops in the absence
18 of any atmospheric noise forcing to a damped regime where the variability
19 requires some noise to appear. The answer to the question is captured by a
20 single dimensionless number Γ measuring the ratio between the oceanic and
21 atmospheric contributions, as inferred from the buoyancy variance budget of
22 the western subpolar region. Using this diagnostic, about two-third of the
23 sea surface temperature (SST) variance in the damped regime is shown to
24 originate from atmospheric stochastic forcing whereas heat content is domi-
25 nated by internal ocean dynamics. Stochastic wind-stress forcing is shown to
26 substantially increase the role played by damped ocean modes in the variabil-
27 ity. The thermal structure of the variability is shown to differ fundamentally
28 between the super-critical and damped regimes, with abrupt modifications
29 around the transition between the two regimes. Ocean circulation changes
30 are further shown to be unimportant for setting the pattern of SST variability
31 in the damped regime but are fundamental for a preferred timescale to emerge.

32 **1. Introduction**

33 The Atlantic Multidecadal Oscillation (AMO) is a major mode of climate variability explaining
34 nearly 40% of the spatially integrated annual mean sea surface temperature (SST) variance over
35 the North Atlantic (Delworth et al. 2007). The AMO does not only modulate the climate of the
36 surrounding continents on decadal to multidecadal timescales (Zhang and Delworth 2006; Knight
37 et al. 2006) but also directly impacts marine ecosystems (Edwards et al. 2013) and Arctic sea ice
38 (Mahajan et al. 2011; Zhang 2015). Several physical mechanisms have been put forth to explain
39 the origin of these low-frequency variations, but the diversity of those mechanisms does not allow
40 to provide a clear and robust picture as to which of the ocean or the atmosphere primarily drives the
41 AMO and how it works. More specifically, modelling studies led to the emergence of (at least) two
42 paradigms for the AMO: the first one is related to the integration of the atmospheric white noise
43 by the ocean; the second one has dynamical origins and is related to intrinsic unstable interdecadal
44 ocean modes. The two phenomena probably play a role in the low-frequency variability of the
45 North Atlantic climate as suggested by a number of studies (Delworth et al. 1993; Delworth and
46 Mann 2000; Dong and Sutton 2005; Gastineau et al. 2018), but their respective contributions in
47 establishing the pattern and amplitude, and even in determining the very existence, of the AMO
48 remains elusive and model dependent.

49 The simplest paradigm to explain low-frequency climate variability originates from the seminal
50 work of Hasselmann (1976) who showed that the integration of atmospheric white noise by the
51 ocean along with its large heat capacity gives rise to a reddened spectrum. This purely thermo-
52 dynamic response has been invoked by Clement et al. (2015) who questioned the role of ocean
53 circulation changes in the AMO by comparing results from fully coupled models and atmospheric
54 general circulation models coupled to slab-ocean models that do not permit circulation changes.

55 The pattern of SST variability is remarkably similar between the two families of models, lead-
56 ing the authors to conclude that ocean circulation changes are not essential in determining both
57 the pattern and existence of the AMO. Their analysis supports the null hypothesis that the ocean
58 merely integrates the white noise atmospheric forcing of the North Atlantic Oscillation (NAO) to
59 produce a red noise response. Similar conclusions were reached by Schneider and Fan (2007) who
60 showed that the null hypothesis is appropriate over much of the World Ocean in the diagnosis of
61 the SST variability in a coupled climate model. The lack of a distinct multidecadal spectral peak in
62 models (at least in the multi-model mean) is in contrast with a number of observations including
63 instrumental measurements (Tung and Zhou 2013), tree ring records (Delworth and Mann 2000;
64 Gray et al. 2004), ice-core records (Chylek et al. 2011), and multi-proxy based reconstructions
65 (Knudsen et al. 2011), that show enhanced variability in the 20-80 years range in the Atlantic
66 sector. Dommenges and Latif (2002) compared the statistics of large-scale SST variability in the
67 mid-latitudes of the Northern Hemisphere between different coupled models, slab ocean models
68 and observations. In contrast to Clement et al. (2015) these authors concluded that the SST vari-
69 ability in the midlatitudes is significantly different from a red noise response and that processes
70 in the ocean are responsible for these differences. Saravanan and McWilliams (1998) modified
71 the Hasselmann's model to include steady mean oceanic advection and a spatially variable noise
72 forcing. In contrast to Hasselmann (1976), a preferred timescale is selected by the circulation as
73 long as advective effects dominate thermal damping effects associated with air-sea heat exchanges,
74 leading to a phenomenon called spatial resonance.

75 The second paradigm relies on the large-scale baroclinic instability of the North Atlantic Current
76 and subsequent westward propagation of unstable planetary waves leading to interdecadal (20-30
77 yr) oscillations of the Atlantic Meridional Overturning Circulation (AMOC) (Colin de Verdière
78 and Huck 1999; te Raa and Dijkstra 2002). Instability occurs at high Peclet numbers through a

79 Hopf bifurcation. The growth rates at bifurcation $\mathcal{O}(1)$ year⁻¹ are on the order of effective damp-
80 ing of SST anomalies which explains why this mode could be damped in some coupled models
81 while active in others. Arzel et al. (2018) recently studied the bifurcation structure and pattern
82 of this intrinsic mode in the realistic configuration of an ocean general circulation model under
83 prescribed surface fluxes to show that the features previously identified in idealized contexts are
84 robust in a more realistic setting (geometry and physics). In particular, the SST variance now
85 peaks in the western subpolar gyre of the North Atlantic, a feature that is also clearly appar-
86 ent in observations (Deser et al. 2010). The variability disappears for eddy-induced diffusivities
87 $\mathcal{O}(500 - 1000)$ m²s⁻¹ (Huck and Vallis 2001; Arzel et al. 2018) that are in the range of those
88 derived from observations (Liu et al. 2012; Abernathy and Marshall 2013) in the subpolar area of
89 the North Atlantic, casting therefore some doubts on the relevance of this second paradigm. These
90 critical values are also in the range of those usually employed in current climate models (see Table
91 1 in Kuhlbrodt et al. 2012) suggesting that stochastic forcing, presumably by the atmosphere, may
92 be needed to sustain this mode in coupled models. Frankcombe et al. (2009) precisely focused
93 on this point to show that atmospheric stochastic forcing leads to oceanic variability in the regime
94 where the intrinsic ocean mode is damped. The effect is strong provided that the noise forcing has
95 a spatial structure (e.g. NAO) and some temporal coherence. What fraction of the variability is
96 driven by this internal mode of variability and the NAO forcing remains however to be determined,
97 in particular in the regime where the internal ocean mode is damped. While some studies show
98 a central role of the NAO forcing in the very existence of North Atlantic climate variability (Del-
99 worth and Greatbatch 2000; Eden and Greatbatch 2003; Chen et al. 2016), others point instead to
100 internal ocean dynamics with the noise forcing acting as an amplifier of the variability obtained
101 under climatological surface fluxes (Zhu and Jungclaus 2008; Gastineau et al. 2018).

102 The aim of this paper is to investigate in a systematic manner the role played by intrinsic ocean
103 modes in the variability of the Atlantic circulation of an ocean general circulation model subject to
104 atmospheric stochastic forcing. A dynamical system approach is used whereby the characteristics
105 and origins of the variability are systematically assessed against background oceanic conditions.
106 Different background states are achieved by using different magnitudes of eddy-induced diffusiv-
107 ity, one of the most critical parameter at the relatively low resolution used here. This approach
108 allows us to contrast different oscillatory regimes that have been previously identified in the liter-
109 ature, namely that driven by deterministic dynamics (self-sustained ocean mode) and that excited
110 by atmospheric weather noise (damped ocean mode). Special emphasis will be placed upon the
111 nature and origins of SST variability which is the relevant field in the context of air-sea interac-
112 tions. The paper seeks to address the following questions: What are the respective contributions
113 of the NAO-like atmospheric stochastic forcing and large-scale baroclinic instability mechanism
114 to the simulated North Atlantic SST and circulation variability? A central aspect is to determine
115 how these contributions depend on background oceanic conditions. Does the spatial pattern of
116 the variability, in particular in terms of horizontal propagation and vertical structure of tempera-
117 ture anomalies, obtained in the regime where the internal ocean mode is active, differ from that
118 obtained in the damped regime? Are oceanic circulation changes fundamental to explain the prop-
119 erties (pattern, amplitude and dominant timescale) of the low-frequency variability?

120 This paper is organized as follows. Section 2 describes the model and experimental design. The
121 main characteristics of the variability along with its sensitivity to background oceanic conditions
122 are presented in section 3. In section 4, the mechanisms responsible for the maintenance of the
123 variability against all sources of thermal damping are identified and the associated energy sources
124 are quantified. The role of ocean circulation changes is then investigated in section 5. Key findings
125 are summarized and discussed in section 6.

126 **2. Model and experiments**

127 *a. The ocean model*

128 The model used for this study is the MITgcm (Marshall et al. 1997) in a configuration identical
129 with that used by Arzel et al. (2018). The only difference lies in the surface heat and momentum
130 fluxes which now include a stochastic part. The ocean model is run at 1° horizontal resolution and
131 extends from 80°S to 80°N . There are 44 levels in the vertical with grid spacing increasing from
132 10 m at the surface to 250 m at the bottom. Static instability is removed by enhanced mixing (100
133 m^2s^{-1}). The vertical diffusivity increases downward following Bryan and Lewis (1979) with up-
134 per and bottom values of $0.5 \times 10^{-4} \text{m}^2\text{s}^{-1}$ and $1.3 \times 10^{-4} \text{m}^2\text{s}^{-1}$, respectively. These values are in
135 line with those inferred from large-scale inversion experiments (Lumpkin and Speer 2007), direct
136 measurements (Waterhouse et al. 2014) and more recent robust diagnostic calculations (Arzel and
137 Colin de Verdière 2016). We do not use any mixed layer turbulence parameterization. We use a
138 spatially uniform horizontal Laplacian viscosity ν_h of $5 \times 10^4 \text{m}^2\text{s}^{-1}$. The Gent-McWilliams (GM,
139 Gent and McWilliams 1990) parameterization of mesoscale eddies is implemented along with the
140 rotated eddy diffusion tensor for isopycnal mixing (Redi 1982). A parameter sensitivity analy-
141 sis in terms of the eddy-induced turbulent diffusivity K is carried out (Table 1). The isopycnal
142 mixing coefficient is set to $1000 \text{m}^2\text{s}^{-1}$ in all experiments. The equation of state is that proposed
143 by Jackett and McDougall (1995), which computes the in-situ density from potential temperature,
144 practical salinity and Boussinesq hydrostatic pressure. Ocean bathymetry is taken from the histor-
145 ical ETOPO1 dataset (Amante and Eakins 2009) interpolated onto the model grid using a simple
146 gaussian filter with a width of 100 km. The model uses a climatological seasonal wind stress
147 (Large and Yeager 2009) averaged over the years 1949-2006.

148 *b. Experimental design*

149 We use flux boundary conditions at the surface for both temperature and salinity, similar to
150 Arzel et al. (2018). The absence of feedback between sea surface salinity (SSS) and freshwater
151 flux justifies the use of a flux formulation for salinity. The use of a flux formulation for temper-
152 ature resides on the well-established result that on timescales much longer than the atmospheric
153 response time, typically 10 days, atmospheric thermal damping of SST anomalies is relatively
154 weak. Vallis (2009) estimates this damping timescale to be 4.4 years, which is on the same order
155 as a typical e-folding time of perturbations found in models forced by prescribed surface fluxes
156 (Huck et al. 2001; Arzel et al. 2018). Arzel et al. (2018) showed that the addition of a surface
157 restoring flux with a damping timescale α^{-1} of one year has little influence on the characteristics
158 of the interdecadal variability obtained under deterministic conditions (zero stochastic forcing).
159 The main effect of thermal damping is to completely damp out the variability near bifurcation,
160 consistent with baroclinic growth rates $\mu \sim \alpha$, providing a zero net growth of perturbations there.
161 Away from bifurcation (i.e. towards higher Peclet numbers) $\mu \gg \alpha$ in agreement with the stronger
162 circulation leading to a relatively minor impact of surface damping on the variability. On the ba-
163 sis of these results we have chosen to use prescribed surface heat and freshwater fluxes in all
164 numerical experiments.

165 Following Bryan (1987), surface buoyancy fluxes are diagnosed from a model integration un-
166 der restoring boundary conditions, rather than prescribed from observations. The procedure to
167 compute those fluxes is detailed in Arzel et al. (2018) but is given here for completeness. For
168 each value of K , the model is first brought to equilibrium through relaxation of the SST and SSS
169 fields toward the World Ocean Atlas climatology (Locarnini et al. 2010; Antonov et al. 2010). The
170 restoring procedure occurs on a monthly timescale in order to mimick seasonal variations of the

171 surface buoyancy flux. The temperature and salinity restoring timescales are fixed to 10 days and
172 6 months respectively. These experiments, termed RTRS (Restoring T Restoring S), start from the
173 same initial condition corresponding to the end state of a previous 6000 yr long model integration.
174 Each RTRS run is 1200 years long, which is sufficient to reach a new equilibrium. Monthly mean
175 surface heat and freshwater fluxes (Q_T and Q_S respectively) are diagnosed from the equilibrated
176 states of each RTRS experiment to form a synthetic seasonal cycle. Stochastic surface fluxes are
177 then added to the climatological surface heat flux Q_T and observed seasonal surface wind-stress
178 τ_{obs} as follows

$$Q(x, y, t) = Q_T(x, y, t) + Q_{NAO}(x, y)\zeta(t),$$
$$\tau(x, y, t) = \tau_{obs}(x, y, t) + \tau_{NAO}(x, y)\zeta(t),$$

179 where Q and τ are the total surface heat and momentum fluxes. The patterns $Q_{NAO}(x, y)$ and
180 $\tau_{NAO}(x, y)$ have been obtained by regressing the corresponding annual mean anomalies (1949-
181 2006) from Large and Yeager (2009) onto the winter mean (DJFM) NAO index (Hurrell 1995)
182 multiplied by one standard deviation of the NAO index (Fig. 1). The stochastic forcing is only
183 applied to the North Atlantic. The random discrete timeseries $\zeta(t)$ has been built from a first order
184 auto-regressive process with a decorrelation timescale of 10 days. This timescale corresponds to
185 estimates inferred by Feldstein (2000) using daily means from the NCEP-NCAR reanalysis. The
186 noise forcing has a sampling frequency (8100 s) corresponding to the time step of the model, yield-
187 ing a timeseries of 5,760,000 points for a 1,500 years integration under flux boundary conditions
188 (experiments named FTFS, prescribed flux for temperature T and salinity S). The variance of $\zeta(t)$
189 has been adjusted so that the timeseries built from the monthly means of $\zeta(t)$ has a variance equal
190 to 1, similar to Herbaut et al. (2002). The sensitivity of the model to the eddy-induced diffusiv-
191 ity K is assessed by performing twelve experiments with values of K ranging from 200 to 1800

192 m^2s^{-1} . In all experiments, the eddy-diffusivity K is held constant in space. Those values of K span
193 the observed range of eddy diffusivities but do not attempt to capture the strong spatial variations
194 (Abernathey and Marshall 2013). All experiments with both stochastic heat and momentum fluxes
195 are repeated with a stochastic heat flux component only (Table 1). The aim of those experiments
196 is to determine the additive effect of stochastic wind-stress forcing on both the characteristics and
197 energy sources of the variability. Additional experiments are designed to determine the precise
198 role of circulation changes in North Atlantic SST variability (details given in section 5).

199 **3. Results**

200 *a. AMOC variability*

201 In all stochastically-forced experiments, a pronounced decadal to multidecadal variability of the
202 Atlantic ocean circulation develops. This can be seen in the timeseries and power spectrum of
203 the AMOC index in Fig. 2 for four different values of K (500, 800, 1200 and $1600 \text{ m}^2\text{s}^{-1}$). The
204 AMOC index used here is defined as the maximum value of the annual mean meridional overturn-
205 ing streamfunction below 1000 m and north of 30°N in the North Atlantic. A clear distinction can
206 be made between the AMOC variability obtained with $K < 600 \text{ m}^2\text{s}^{-1}$ from that obtained with
207 $K > 600 \text{ m}^2\text{s}^{-1}$. As shown earlier by Arzel et al. (2018), the critical value $K = K_c = 600 \text{ m}^2\text{s}^{-1}$
208 corresponds to the existence of a threshold separating a super-critical regime ($K < K_c$) where the
209 variability spontaneously emerges under deterministic conditions from a damped regime ($K > K_c$)
210 where the oceanic variability does not emerge in the absence of any noise forcing. In the super-
211 critical regime ($K < K_c$) the oscillations in the AMOC are large and appear quite regular, thereby
212 producing a distinct spectral peak. In the damped regime ($K > K_c$), the oscillations have much
213 weaker amplitude and appear less regular with a much broader spectrum.

214 *b. Patterns of temperature variability*

215 Because density anomalies are dominated by temperature changes rather than salinity changes
216 (not shown), we restrict the description that follows in terms of temperature only. Figure 3 shows
217 the standard deviations of the annual mean SST field as computed from 1000 years of model output
218 from the FTFS experiments for the same four values of K as above. In all cases SST changes are
219 maximum in the western subpolar gyre. Similarly to the AMOC variability (Fig. 2), a clear
220 distinction can however be made between the patterns obtained with $K < K_c$ from those obtained
221 with $K > K_c$. For $K < K_c$, SST changes are large in the mid-latitudes, typically between 40°N and
222 60°N , and much weaker elsewhere. There is a significant drop in the amplitude of SST changes
223 around $K = K_c$, in particular in the western subpolar region where the internal ocean mode has
224 its largest fingerprint (Arzel et al. 2018). The amplitude of SST changes in the subtropics is in
225 contrast nearly insensitive to K , suggesting that the variability is mostly constrained by the NAO
226 forcing there. As a result, SST changes appear more uniform across the basin in the damped
227 regime with similar amplitudes between the subpolar and subtropical regions.

228 *c. Propagation of SST anomalies*

229 The time evolution of temperature anomalies in relation with the AMOC shows some striking
230 differences between the damped and super-critical regimes. Figure 4 shows composites of SST
231 anomalies as obtained for the same four values of K used above and for periods when the AMOC
232 is maximum (AMOC index larger than the mean plus one standard deviation), when the AMOC
233 anomaly is close to zero and decreasing, when the AMOC is minimum (AMOC index lower
234 than the mean minus one standard deviation), and when the AMOC anomaly is close to zero and
235 increasing.

236 For $K < K_c$ the large-scale propagation signals obtained under stochastic surface boundary con-
237 ditions are almost undistinguishable from those obtained in the deterministic case. With the same
238 ocean model and configuration, Arzel et al. (2018) shows that the interdecadal variability in the
239 deterministic case is driven by a large-scale baroclinic instability of the North Atlantic Current
240 (NAC). The strong resemblance between the deterministic and stochastic cases suggests that the
241 same mechanism operate when a noise forcing is present. The effect of the noise forcing on the
242 characteristics of the variability thus appears very limited in the super-critical regime, supporting
243 the idea that the variability mostly originates from internal ocean processes rather than from the
244 NAO forcing in this regime. The time evolution of SST anomalies can be described as follows.
245 When the AMOC is at its maximum, a prominent SST dipole centered around the mean path of
246 the NAC is present, with a warm anomaly in the east and a cold anomaly in the west, resulting in
247 a stronger than usual NAC via thermal wind balance. As the AMOC decreases, the cold anomaly
248 propagates westward until reaching the western boundary while the warm anomaly splits into two
249 distinct parts on either side of the NAC, one propagating southeastward and the other westward.
250 By the meantime, a cold anomaly has emerged along the NAC consistent with a reduced poleward
251 heat transport during that period. As time proceeds, this cold anomaly grows up while the western
252 warm anomaly barely evolves. At the AMOC minimum, the situation is exactly opposed to that
253 obtained at the AMOC maximum with an eastern cold anomaly and a western warm anomaly. The
254 subsequent evolution of SST anomalies is similar to that obtained during the decaying phase of
255 the AMOC, but with opposite signs. Central to the existence of the oscillation is the reversal in
256 the sign of the anomalous zonal pressure (temperature) gradient across the NAC. The overall se-
257 quence of events is typical of the variability found in idealized models forced by constant surface
258 buoyancy fluxes where westward propagating unstable baroclinic planetary waves grow upon the
259 mean circulation and stratification (Colin de Verdière and Huck 1999; te Raa and Dijkstra 2002).

260 In the damped regime ($K > K_c$), the effect of the NAO forcing becomes clearly apparent with the
261 SST anomalies now circulating in a large portion of the North Atlantic from the western subtrop-
262 ical gyre to the mid-latitudes. At midlatitudes, the resemblance with the time evolution of SST
263 anomalies obtained for $K < K_c$ is striking. Whether this implies that the SST variability draws
264 its energy from the large-scale baroclinic instability mechanism, as obtained in the super-critical
265 regime, remains to be determined however, and this will be the subject of section 4. The oscil-
266 lation cycle in this regime is similar to that described previously for $K < K_c$ but now large-scale
267 SST signals originating from the subtropics come into play. Subtropical SST anomalies are ad-
268 vected northeastward along the NAC from the Gulf Stream region to the eastern part of the basin
269 at mid-latitudes from where subsequent westward propagation occurs. This pattern of variability
270 is similar to that reported by Eden and Jung (2001) and Eden and Greatbatch (2003) in ocean only
271 simulations either forced by realistic monthly mean surface fluxes associated with the NAO or
272 coupled to a simple stochastic atmosphere model. The fact that similar patterns are obtained is
273 consistent with the result that the internal oceanic variability is damped in Eden and Greatbatch
274 (2003). It should be stressed that subtropical SST anomalies are also present for $K < K_c$ under
275 stochastic surface boundary conditions but their amplitude is much smaller than those present at
276 mid-latitudes so that their overall contribution to the North Atlantic SST variability is negligible.

277 *d. Vertical structure of temperature anomalies*

278 To provide further insight into the pattern of the variability, we examine here the vertical struc-
279 ture of temperature anomalies in the subpolar region. In all cases, temperature variability in the
280 western subpolar region (30-60°W, 40-60°N) is surface intensified (Fig. 5a) and decreases with
281 depth. In the damped regime ($K > K_c$), there is a sharp decrease of the temperature variability in
282 the first 100 m and a weaker decrease below as revealed by the vertical derivatives of the stan-

283 dard deviations in the inset of Fig. 5a. This relatively strong surface attenuation of temperature
284 changes is consistent with the theoretical vertical scale $\sqrt{K_v/\pi\nu_0}$ inferred from the heat diffusion
285 equation $\partial_t T = K_v \partial_z^2 T$ where K_v is the vertical mixing coefficient in the upper ocean (assumed
286 uniform and equal to $5 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$) and ν_0 is the characteristic oscillation frequency of the sur-
287 face temperature. With periods ranging from 25 to 50 years in the damped regime (see below),
288 the vertical attenuation scale varies from 110 to 160 m, in rough agreement with model results.
289 In the super-critical regime the variability is strongly attenuated around 500 m depth (see inset in
290 Fig. 5a). There is also a clear secondary maximum at 3000 m depth in the super-critical regime,
291 much less pronounced in the damped regime, that coincides with the depth at which temperature
292 anomalies are exported southward from the convective region along the deep western boundary
293 current. The vertical structure of temperature anomalies is deduced from an Empirical Orthogonal
294 Function (EOF) analysis of horizontally averaged annual mean temperature anomalies over a re-
295 gion encompassing the mean path of the NAC (50-55°N, 25-35°W). In the super-critical regime,
296 the temperature anomalies are strongly phase-shifted on the vertical and change sign around 600
297 m depth (Fig. 5b). This should not be surprising since the North Atlantic Current is baroclinically
298 unstable in this regime and a (westward) vertical tilt of buoyancy anomalies is exactly what is
299 required for the waves to be unstable. Such a vertical organization of temperature anomalies is
300 not captured by the first EOF in the damped regime. The second EOF (accounting for 21% of the
301 variance, not shown) does however capture a clear sign change around a depth of 250 m in very
302 good agreement with the same EOF in the super-critical regime (not shown). This suggests that
303 the interdecadal mode characteristic of the super-critical regime is excited by the noise forcing
304 in the damped regime, in agreement with Frankcombe et al. (2009). A remarkable feature is the
305 radically different flavors of temperature variability between the two regimes (Fig. 5). Clearly, the
306 characteristics of the temperature variability in the western subpolar area vary abruptly around the

307 critical threshold at $K = K_c$. The same is true in the eastern part of the basin at mid-latitudes (not
308 shown).

309 *e. Oscillation period*

310 The oscillation period is deduced from the frequency with maximum power in the multi-taper
311 spectrum of North Atlantic average kinetic energy density timeseries. A robust feature across all
312 experiments is a consistent increase of the period with K (Fig. 6). The period typically increases
313 from about 10-20 years in the super-critical regime to about 50 years for the most diffusive case.
314 Those values are in the range of those inferred from a variety of direct observations and paleo-
315 reconstructions (Gray et al. 2004; Chylek et al. 2011; Knudsen et al. 2011; Tung and Zhou 2013).
316 The increase of the period with K appears consistent with the decrease of the (westward) phase
317 speed of long baroclinic Rossby waves, given by $c = \beta R_d^2$, where R_d is in the internal Rossby
318 radius. As K increases, the circulation weakens and so does the northward ocean heat transport.
319 These changes induce a cooling of the North Atlantic, in particular at mid-latitudes and in the
320 upper 1000 m (typically a 3°C cooling when K varies from 200 to $1800 \text{ m}^2\text{s}^{-1}$). Salinity changes
321 are much weaker in term of their impact on the potential density field. The temperature changes
322 in turn induce a decrease of the stratification below 300 m and an increase above. Note that these
323 changes in the stratification cannot be explained by the direct effect of the GM scheme because of
324 its quasi-adiabatic character. The Rossby radius averaged between 40°N and 60°N and between
325 60°W and 30°W has been obtained by solving the Sturm-Liouville eigenvalue problem for the
326 vertical structure of the vertical velocity. The calculation indicates a decrease from 20 km to 15
327 km as K increases from 200 to $1800 \text{ m}^2\text{s}^{-1}$, consistent with the decrease of the stratification below
328 300 m. Fig. 3 shows that the perturbations propagate westward from the NAC in the form of
329 monopoles (mode $1/2$) and that the zonal extent L over which this propagation occurs increases

330 with K as the NAC veers more eastward. The oscillation period is therefore found to better scale
331 with $2L/c$ rather than L/c (as would be the case for a mode 1 propagation). Using length scales L
332 increasing from 2000 km for $K = 200 \text{ m}^2\text{s}^{-1}$ to 3000 km for $K = 1800 \text{ m}^2\text{s}^{-1}$, we obtain periods
333 between 21 and 57 years, consistent with those diagnosed from the numerical model. It should
334 be stressed that the rough agreement between the diagnosed oscillation periods in the numerical
335 model and the theoretical values inferred from the phase speeds of long baroclinic Rossby waves
336 does not rule out the possibility that other effects, such as the mean flow and horizontal density
337 gradients, play a role. Determining the contribution of each of these factors to the period is not
338 addressed here.

339 *f. Bifurcation diagrams*

340 Figure 7a shows that the mean AMOC strength is strongly impacted by K with a decrease
341 of about 60% when the diffusivity increases from 200 to 1800 m^2s^{-1} . This sensitivity was ra-
342 tionalized by Marshall et al. (2017) using scaling laws built upon the strong interplay between
343 the AMOC changes, Southern Ocean upwelling and strength of the abyssal cell emanating from
344 Antarctica. In addition to the weakening of the circulation, the North Atlantic Current tends to veer
345 more eastward as K increases (Fig. 3). Because stronger vertical shears lead to larger growth rates
346 of (large-scale) baroclinic instability, and because the stabilizing influence of β (the meridional
347 gradient of planetary vorticity) is maximum in the zonal direction (Pedlosky 1987), the simulated
348 changes in the circulation when K increases lead to a damping of the internal ocean mode. A
349 critical threshold is indeed confirmed and clearly visible at $K = K_c = 600 \text{ m}^2\text{s}^{-1}$ for all quantities
350 under deterministic conditions (Fig. 7b-c-d). This threshold has the nature of a super-critical Hopf
351 bifurcation, where the amplitude of oscillations in the vicinity of the bifurcation increases with the
352 square root of the distance from the bifurcation with the Peclet number as the control parameter

353 (Colin de Verdière and Huck 1999; Arzel et al. 2018). For $K > K_c$, no variability emerges under
354 deterministic conditions since baroclinic growth rates are too weak to overcome the large damping
355 rates associated with eddy mixing rates: the internal ocean mode is damped in this regime. For a
356 given value of K , the annual mean AMOC strength in the RTRS experiments (where noise forcing
357 is absent) is very close to that obtained in the FTFS runs. This shows that rectification of the
358 long-term annual mean flow strength by stochastic forcing does not occur in our model, or at least
359 is of minor importance.

360 The amplitude of the variability in the FTFS experiments is measured in terms of changes in
361 North Atlantic kinetic energy density, AMOC strength, and western subpolar SST (the region
362 where SST changes are maximum, Fig. 3) and is illustrated in Fig. 7b-c-d. In the super-critical
363 regime, the effect of the noise forcing on the variability is relatively weak away from the bifurca-
364 tion and strong near the bifurcation. In the damped regime, a low-frequency variability emerges
365 unlike the deterministic case with peak-to-peak AMOC variations of 1-3 Sv depending on K and
366 noise forcing characteristics. Interestingly, the amplitude of SST changes in the western subpolar
367 gyre in this regime are relatively insensitive to eddy mixing rates unlike the amplitude of circula-
368 tion changes that consistently decrease with increasing diffusivities. This behaviour is at odds with
369 the common understanding that the amplitude of SST changes are positively correlated with the
370 amplitude of circulation changes, as is the case in the super-critical regime for instance. This sug-
371 gests that subpolar SST changes become decoupled from the circulation anomalies in the damped
372 regime, an hypothesis that will be further explored in section 5. Those bifurcation diagrams fur-
373 ther show that the AMOC, SST and extra-tropical (north of 20°N) kinetic energy variability in the
374 damped regime ($K > 600 \text{ m}^2\text{s}^{-1}$) are mainly driven by noise heat flux forcing (see also the AMOC
375 timeseries in Fig. 2) in agreement with Delworth and Greatbatch (2000) with the stochastic wind
376 component having a small amplifying effect.

377 4. Energy sources of the variability

378 To which physical process does the SST variability mostly owe its existence? Is it primarily
379 related to atmospheric stochastic forcing or large-scale oceanic baroclinic instability or a combi-
380 nation of both? How do the energy sources associated with each of those two processes depend on
381 the background state? The analysis of the SST patterns in the previous section provides a possible
382 answer to these questions and suggests that the physical mechanism driving the SST variability is
383 not the same across experiments: the NAO forcing is the leading process in the damped regime
384 ($K > K_c$) whereas intrinsic ocean dynamics is dominant for $K < K_c$.

385 *a. Method*

386 In order to provide a quantitative estimate of the contribution of each of these two processes
387 (i.e. atmospheric versus oceanic energy source) in the variability we refer to the buoyancy vari-
388 ance budget which has proven to be a powerful tool to infer the origins of the variability. Such
389 an approach has been previously and successfully applied to the interdecadal climate variability
390 problem in either oceanic (Colin de Verdière and Huck 1999; Arzel et al. 2006, 2018) or coupled
391 models (Arzel et al. 2007, 2012; Buckley et al. 2012; Jamet et al. 2016; Gastineau et al. 2018)
392 with complexities ranging from idealized to fully coupled and realistic. We consider the linearized
393 buoyancy variance equation

$$\frac{1}{2} \frac{\overline{\partial b'^2}}{\partial t} = -\overline{\mathbf{u}'_h b'} \cdot \nabla_h \bar{b} - \overline{w' b'} \partial_z \bar{b} - \frac{1}{2} \overline{\mathbf{u}} \cdot \nabla \overline{b'^2} + \overline{b' Q'_b} + \overline{b' D'_b}, \quad (1)$$

394 where the overbar denotes a time-mean average and the prime the perturbation. The third-order
395 term associated with advection of buoyancy variance by the disturbed flow is between one and
396 three orders of magnitude smaller than $-\overline{\mathbf{u}'_h b'} \cdot \nabla_h \bar{b}$ for all values of K (not shown) and has been
397 dropped during the linearization procedure. Here the velocity \mathbf{u} is the Eulerian velocity and ex-

398 cludes the eddy transport velocity associated with the GM scheme. The GM term destroys buoy-
399 ancy variance and is therefore not relevant to the growth of perturbations. The GM term is included
400 in the dissipation term D'_b in the form of a skew flux. The objective here is to focus on the energy
401 sources of the variability, that is the positive terms on the rhs of (1). Focusing on the buoyancy
402 b rather than the temperature variance equation is suitable since temperature variations dominate
403 the buoyancy changes for all experiments (not shown). The first term on the rhs of (1) is a source
404 of buoyancy variance when transient buoyancy fluxes $\overline{\mathbf{u}'_h b'}$ are oriented down the mean buoyancy
405 gradient, where \mathbf{u}_h is the horizontal Eulerian velocity. This configuration is typical of baroclinic
406 instability for which potential energy is extracted from the mean stratification. This term has been
407 pinpointed as the primary source of the variability in many ocean-only and coupled models (see
408 references above). Associated with baroclinic instability is a conversion of potential to kinetic en-
409 ergy of perturbations through the positive exchange term $\overline{w' b'}$. Under such unstable conditions, the
410 second term in (1) is always negative (provided $\partial_z \bar{b} > 0$ in stably stratified waters) and is therefore
411 a sink of buoyancy variance. The third term represents the spatial redistribution of buoyancy vari-
412 ance by the three dimensional background flow $\bar{\mathbf{u}}$. It plays an important role at the regional scale by
413 decreasing or increasing the variance, but cannot be at the very origin of the variability at a global
414 scale since its global average is identically zero. The fourth term is a source of buoyancy variance
415 when the surface buoyancy anomalies and the surface buoyancy flux anomalies $Q'_b = g_0 \alpha_T Q' / C_o$
416 (with g_0 is the acceleration of gravity at the sea surface, α_T is the spatially varying surface thermal
417 expansion coefficient, C_o is the specific heat capacity of the first top model layer and Q' is the
418 anomalous surface heat flux) are positively correlated. The dissipation term $\overline{b' D'_b}$, which contains
419 contributions from eddy-induced, vertical and isopycnal mixing processes, is a sink of buoyancy
420 variance and is always negative.

421 We next take the spatial average (denoted by angle brackets below) of (1) over the western
 422 subpolar area (40-60°N, 30-70°W) where maximum SST changes consistently occur in all exper-
 423 iments. We define the quantities $S_A = \langle \overline{b'Q'_b} \rangle$, $S_O = - \langle \overline{\mathbf{u}'_h b'} \cdot \nabla_h \bar{b} \rangle$ and $R_O = - \langle \frac{1}{2} \overline{\mathbf{u}} \cdot \nabla \overline{b'^2} \rangle$.
 424 To objectively determine which of the ocean or the atmosphere explains the most the growth of in-
 425 terdecadal oscillations against dissipation, we concentrate in what follows on the ratio $\Gamma = S_O/S_A$
 426 between the oceanic and atmospheric energy sources: the origin of the growth of perturbations in
 427 the region of interest will be ascribed to internal ocean dynamics when $\Gamma \gg 1$ and to the NAO
 428 forcing when $\Gamma \ll 1$, while $\Gamma = \mathcal{O}(1)$ corresponds to cases where the ocean and atmosphere play
 429 equal roles in the growth of perturbations. The input of buoyancy variance by the mean currents is
 430 evaluated against the atmospheric energy source by computing the ratio $\Lambda = R_O/S_A$.

431 *b. Growth of sea surface temperature variance*

432 Focusing first on the origin of the growth of SST variance, we see that $\Gamma \gg 1$ in the super-
 433 critical regime whereas $\Gamma \ll 1$ in the damped regime (Fig. 8a). This shows that the NAO forcing is
 434 the leading process for generating surface buoyancy (temperature) variance in the damped regime
 435 whereas internal ocean processes associated with large-scale baroclinic instability is the leading
 436 one in the super-critical regime. The decrease of Γ with K can only be explained by a reduction in
 437 S_O (the internal generation of buoyancy variance in the ocean) since S_A is nearly insensitive to the
 438 eddy diffusivity K (Figs. 8b and 9). The result that the covariance term $S_A = \langle \overline{b'Q'_b} \rangle$ be nearly
 439 independent on K could be unexpected since the amplitude of SST changes in the damped regime
 440 is significantly less than in the super-critical regime (Fig. 7d). However the correlation (strictly
 441 equal to the normalized covariance) between SST anomalies and surface heat flux anomalies over
 442 the region of interest is considerably larger in the damped ($r = 0.5 - 0.6$) than in super-critical
 443 regime ($r = 0.2 - 0.3$, not shown). One explanation for such a behaviour is to note that the kinetic

444 energy density variability is significantly lower in the damped regime (Fig. 7b). Low anomalous
 445 oceanic advection tend to keep the noise-forced SST anomalies in the forcing region, a process
 446 that favors relatively high correlations between the forcing and the SST field. This increase of
 447 the correlation with K compensates for the decrease in the SST variance leading to an almost un-
 448 changed covariance term S_A across the range of values of K explored here. Near the bifurcation,
 449 $\Gamma = \mathcal{O}(1)$ indicating that the oceanic and atmospheric energy sources contribute almost equally
 450 to the growth of SST variance in the western subpolar region. To sum up, this analysis reveals
 451 that although the internal ocean mode is clearly excited by the noise forcing in the damped regime
 452 ($S_O > 0$), its role in the existence of SST variability in the northern North Atlantic in this regime
 453 is much weaker than that associated with the NAO forcing. At this point, it is important to recall
 454 that this analysis says nothing about the role of the ocean in setting the oscillation period (a ques-
 455 tion that will be tackled in the next section) but instead provides firm answers about the physical
 456 processes sustaining the interdecadal oscillations against all sources of thermal damping.

457 The same conclusions hold when focusing at a specific location in the western subpolar area.
 458 Figure 9 shows that the oceanic production term $-\overline{\mathbf{u}'_h b' \cdot \nabla_h \bar{b}}$ is very localized along the NAC in
 459 the super-critical regime with values much larger than $\overline{b' Q'_b}$. The oceanic term is at least an order
 460 of magnitude larger than its atmospheric counterpart at virtually all locations in the subpolar re-
 461 gion in this regime. The covariance term $\overline{b' Q'_b}$ has a much broader spatial structure with subpolar
 462 and subtropical centers of action corresponding to those of the NAO forcing. Beyond the bifur-
 463 cation, the oceanic generation of buoyancy variance along the NAC falls drastically and becomes
 464 more uniformly distributed across the western subpolar region. As such the patterns of $\overline{b' Q'_b}$ and
 465 $-\overline{\mathbf{u}'_h b' \cdot \nabla_h \bar{b}}$ in the western subpolar region in the damped regime bear some resemblance as op-
 466 posed to what occurs in the super-critical regime. This resemblance suggests that the NAO forcing
 467 projects similarly onto the atmospheric and oceanic production terms in the subpolar area in the

468 damped regime, an effect that will be confirmed below and shown to be caused by the presence
469 of a stochastic wind forced component. Similarly to the statistics averaged over the subpolar box,
470 the atmospheric production term in the damped regime is larger than its oceanic counterpart at
471 virtually all locations in the western subpolar region.

472 We finally mention that S_O is similar between the deterministic and stochastic cases in the super-
473 critical regime (as indicated by stars in Fig. 8a) demonstrating the limited ability of the noise
474 forcing to increase the creation of buoyancy variance by internal ocean dynamics in this regime.
475 Advection of buoyancy variance by the mean circulation tends to extract surface buoyancy vari-
476 ance from the western supolar gyre in the super-critical regime but deposits surface buoyancy
477 variance in the damped regime (Fig. 8a). The amplitude of the terms R_O and S_O is similar in the
478 damped regime (at least for some K values), but their combined effect still remains much smaller
479 than the energy input associated with the NAO forcing.

480 *c. Growth of upper ocean heat content (UOHC) variance*

481 When the buoyancy variance budget is carried out over the upper 1000 m rather than over the
482 forcing layer (10 m thick), the surface forcing contribution is reduced by a factor 100. The oceanic
483 energy source (Fig. 8e) is also reduced but much less, typically by a factor ~ 4 in the super-
484 critical regime and up to a factor ~ 40 (~ 7) in the damped regime when stochastic wind-stress
485 forcing is present (absent). Consistently larger values of Γ are therefore obtained in this case
486 whereas the sensitivity to K remains unchanged (Fig. 8d). The key here is that Γ becomes now
487 larger than one over a large portion of the damped regime in contrast to what has been obtained
488 previously for the surface buoyancy variance budget (where $\Gamma \ll 1$). When averaged over the
489 upper 1000m, advection by the mean flow always extracts buoyancy variance from the western
490 subpolar gyre (not shown) and thereby acts to reduce the growth of perturbations in this region.

491 The analysis therefore demonstrates that fundamentally different mechanisms govern the SST and
492 UOHC variability in the damped regime: the NAO forcing is the leading process for maintaining
493 SST variability whereas UOHC variability is mostly sustained by internal ocean dynamics.

494 *d. Effect of a stochastic wind component*

495 The presence of stochastic momentum fluxes does not alter the above conclusions but has never-
496 theless a substantial effect on the internal generation of buoyancy variance in the ocean. Its effect
497 is strong in the damped regime and in particular near the surface and negligible in the super-critical
498 regime. Figure 8b shows that the presence of a stochastic wind component increases the oceanic
499 term S_O at the surface by a factor ranging from $O(1)$ at bifurcation to about 20 for the most diffu-
500 sive case compared to experiments using only stochastic surface heat fluxes. The oceanic term S_O
501 results from the interaction of transient buoyancy fluxes and time mean horizontal buoyancy gradi-
502 ents. These latter are very similar between the cases with and without stochastic wind forcing (not
503 shown). As a result, the much stronger value of S_O obtained when stochastic winds are present
504 can only be caused by the much larger transient buoyancy fluxes. This feature is illustrated in Fig.
505 10 where the meridional contribution $\overline{v'b'}$ (the largest contribution to the total buoyancy fluxes) is
506 shown at the surface for $K = 1000 \text{ m}^2\text{s}^{-1}$ for cases with and without stochastic wind-stress forc-
507 ing. Averaging over a greater depth considerably reduces the differences between the two cases
508 (not shown), thereby highlighting the central role of anomalous Ekman velocities in increasing the
509 internal generation of buoyancy variance at the surface.

510 With stochastic surface heat fluxes only, the NAO forcing explains about 90% (computed as
511 the ratio $S_A/(S_O + S_A)$) of the total production of surface buoyancy variance by the ocean and
512 atmosphere in the damped regime (Fig. 8c). This ratio falls to about 65% in the presence of
513 a stochastic wind component. Therefore it is estimated that about one third of the creation of

514 SST variance by the ocean-atmosphere system can be directly ascribed to the noise excitation
515 of damped ocean modes. Focusing on the creation of UOHC variance, the relative contribution
516 of the atmosphere (Fig. 8f) is close to zero in the super-critical regime and increases with K in
517 the damped regime. For $K > 1300 \text{ m}^2\text{s}^{-1}$ the dominant energy source for the UOHC variance
518 switches from the atmosphere for noise heat flux forcing only to the ocean when both stochastic
519 heat and wind-stress forcing are applied. For smaller values of K the ocean provides the largest
520 contribution in all cases.

521 **5. The role of circulation changes**

522 This section examines the role that ocean circulation changes have in determining the amplitude,
523 pattern and timescale of North Atlantic SST variability in the FTFS experiments.

524 In the super-critical regime ($K < K_c$), transient buoyancy fluxes associated with (westward)
525 planetary wave propagation are at the heart of the existence of the variability: these fluxes are the
526 process by which unstable waves extract energy from the mean flow to grow against all dissipative
527 processes (Colin de Verdière and Huck 1999). It is therefore not surprising to see that in this
528 regime circulation changes are central to the variability as we shall confirm below. In the damped
529 regime ($K > K_c$), the buoyancy variance budget analysis shows that the NAO forcing is essential in
530 maintaining the SST variability against all sources of dissipation. It is thus tempting in this case to
531 expect circulation changes to be of minor importance, at least for determining both the amplitude
532 and pattern of the SST variability.

533 To determine the role of ocean circulation changes in North Atlantic SST variability, we compare
534 the reference experiments where the circulation is free to evolve to experiments with prescribed
535 oceanic velocities from the climatological seasonal cycle diagnosed from the RTRS runs. In these
536 experiments, the circulation is decoupled from the buoyancy field which is thus passively advected

537 by the seasonally varying prescribed circulation but can still respond to atmospheric stochastic
538 forcing. The noise forcing includes only a heat component (Table 1). Adding a stochastic wind-
539 stress component in those experiments has no effect on the ocean circulation (which is prescribed
540 by definition) and thereby on the oceanic tracer field. Figure 11 compares the amplitude of SST
541 variations in the subpolar box (40-60°N, 30-70°W) between cases with and without circulation
542 changes against the eddy diffusivity K . When the circulation is prescribed, the subpolar SST vari-
543 ance increases with eddy mixing rates: the larger the eddy diffusivity K , the slower the circulation
544 and the larger the SST response consistent with the Hasselmann's theory modified by the addition
545 of steady mean oceanic advection (Saravanan and McWilliams 1998). In the super-critical regime,
546 circulation changes substantially increase the subpolar SST variance compared to the case with
547 prescribed oceanic currents. In the damped regime, a significant fraction (typically between 70%
548 and 85%) of the subpolar SST variance obtained when both stochastic heat and wind-stress forcing
549 are present is captured by the pure thermodynamic response without circulation changes. As ex-
550 pected, the SST patterns strongly project onto the NAO forcing when the circulation is prescribed
551 (Fig. 12), with the leading EOF explaining about 70% of the spatially integrated annual mean
552 SST variance. The comparison of the SST patterns between the prescribed and free circulation
553 cases further indicates the minor (strong) impact of changes in ocean circulation on the leading
554 pattern (Fig. 12) and amplitude of SST variability (Figs. 3 and right panels in Fig. 12) in the
555 damped (super-critical) regime. We finally note that the pure thermodynamic response obtained
556 with a prescribed circulation shows maximum SST variance in the western subpolar gyre. These
557 SST changes add up to the internally-generated SST changes that also reach their maximum in
558 this area.

559 We now investigate whether oceanic circulation changes are essential in setting the oscillation
560 period. Figure 13 shows power spectra of western subpolar SST for three different values of K

561 covering both the super-critical and damped regimes. In all cases changes in ocean circulation
562 are essential to produce a preferred timescale in the system. The purely thermodynamic response
563 obtained with a prescribed circulation is consistent with a red noise response which demonstrates
564 that the spatial resonance mechanism put forth by Saravanan and McWilliams (1998) does not
565 operate in our simulations. The case with $K = 1600 \text{ m}^2\text{s}^{-1}$ and prescribed circulation (Fig. 13c)
566 does indicate enhanced power in the 40-50 years range, as in the free circulation case, but is not
567 statistically significant. As discussed in section 3e, Fig. 13 clearly shows that the peak period
568 increases with K in agreement with Fig. 6.

569 **6. Summary and discussion**

570 Understanding the ocean's response to atmospheric stochastic forcing requires to separate ex-
571 plicitly the thermodynamic contribution from the dynamical one, the latter being associated with
572 either self-sustained ocean modes or a noise excitation of damped ocean modes. This issue be-
573 comes fundamental when applied to the North Atlantic interdecadal climate variability problem,
574 and more specifically to the Atlantic Multidecadal Oscillation (AMO) for which the precise roles
575 of the ocean and atmosphere continue to be fiercely debated (Clement et al. 2015; Zhang et al.
576 2016; Cane et al. 2017; Zhang 2017). In this paper a method has been proposed to objectively
577 determine the contribution of atmospheric stochastic forcing and internal ocean dynamics to the
578 North Atlantic SST and circulation variability, in the limit of no feedback to the atmosphere. Nu-
579 merical simulations of an ocean general circulation model have been carried out at a 1° horizontal
580 resolution under prescribed surface fluxes including a climatological seasonal forcing and NAO-
581 related stochastic surface fluxes. The analysis was carried out across a range of eddy-induced
582 diffusivities that was chosen to be sufficiently large to explore the physics of two contrasting
583 regimes: a super-critical regime where intrinsic oceanic variability spontaneously develops in the

584 absence of any noise forcing and a damped regime where the oceanic variability requires some
585 atmospheric noise to show up.

586 A buoyancy variance budget in the western subpolar region is used to objectively determine
587 which of the ocean or atmosphere primarily sustains interdecadal oscillations against dissipation.
588 Our results demonstrates that the fraction of the variability explained by the ocean and atmosphere
589 is a strong function of background oceanic conditions. In the super-critical regime, intrinsic ocean
590 dynamics is the determining factor for all aspects of the low-frequency variability with stochastic
591 forcing having a relatively weak impact except near bifurcation, in agreement with Frankcombe
592 and Dijkstra (2009). In the damped regime, the analysis provides evidence of a stochastic excita-
593 tion of the intrinsic ocean mode. Despite this clear stochastic excitation however, the maintenance
594 of the SST variability in this regime is shown to be mostly caused by the NAO forcing. In con-
595 trast, upper ocean heat content (0-1000m) variability in the damped regime is mostly sustained
596 by internal ocean dynamics. Caution must therefore be granted when interpreting low-frequency
597 variability in terms of SST alone or upper ocean heat content alone.

598 Stochastic wind-stress forcing is shown to substantially increase the internal generation of buoy-
599 ancy variance in the ocean. The effect is strong in the damped regime and near the surface and is
600 shown to be caused by the much stronger transient buoyancy fluxes in relation with anomalous Ek-
601 man velocities in the western subpolar area. Without stochastic wind-stress forcing the growth of
602 surface buoyancy variance caused by atmospheric stochastic fluxes is between one and two orders
603 of magnitude larger than its oceanic counterpart. With stochastic wind-stress forcing, the atmo-
604 spheric energy source is only about twice larger than the oceanic energy source. To put this another
605 way, our results indicate that in the damped regime about 90% (65%) of the entire production of
606 surface buoyancy variance is accomplished by the atmosphere when stochastic wind-forcing is
607 absent (present).

608 The transition from the self-sustained to the damped regime produces changes in the spatial
609 structure of the variability that are consistent with baroclinic instability. In the super-critical
610 regime, the SST signal is strong and intensified at mid-latitudes and features a zonal dipolar struc-
611 ture centered around the mean path of the North Atlantic Current. In the damped regime, the
612 SST pattern has a much broader latitudinal extent and features a basin-scale dipole extending from
613 the western subtropical gyre to the subpolar area, in good agreement with the large-scale spatial
614 pattern of the NAO forcing. Temperature anomalies in the super-critical regime are baroclinic
615 with a clear westward phase shift with depth and are relatively deep. Temperature anomalies in
616 the damped regime do not exhibit such a vertical structure and are concentrated in the thermo-
617 cline. We further note that the SST variability be primarily stochastically-forced (damped regime)
618 or internally-generated (super-critical regime) does not modify the region of peak SST variance,
619 which is always found in the western part of the subpolar gyre.

620 Ocean circulation changes are shown to be unimportant for establishing the leading pattern of
621 SST variability in the damped regime but are fundamental to select a preferred timescale in the
622 system. Hence the spatial resonance mechanism (Saravanan and McWilliams 1998) does not
623 occur in our simulations. The amplitude of the variability in the damped regime is to a large extent
624 (from 70% to 85% depending on K and with stochastic wind-stress forcing) imposed by the pure
625 thermodynamic oceanic response to atmospheric stochastic forcing. In the super-critical regime by
626 contrast, ocean circulation changes are central to all aspects of the variability, as expected. Clement
627 et al. (2015) showed that ocean circulation changes are unimportant for establishing the pattern and
628 amplitude of the North Atlantic low-frequency SST variability in fully coupled climate models.
629 Clement et al. (2016) and Colfescu and Schneider (2017) further argue that changes in oceanic heat
630 transport convergence plays a minor role on interdecadal timescales in coupled climate models.
631 The present results suggest that this behaviour is consistent with damped interdecadal internal

632 ocean modes in fully coupled models with the NAO forcing providing the main energy source for
633 the growth of SST variance.

634 The above model results reveal that a clear dichotomy exists in the characteristics and lead-
635 ing patterns of the variability between the super-critical and damped regimes, that is remarkably
636 captured by a single dimensionless number Γ measuring the ratio between the oceanic and atmo-
637 spheric energy sources, as inferred from the buoyancy variance budget of the western subpolar
638 region. The abrupt change in Γ around the stochastic Hopf bifurcation ($\gg 1$ in the super-critical
639 regime and $\ll 1$ in the damped regime) strongly suggests that it is a very useful quantity to objec-
640 tively separate the two regimes, at least in the limit of no feedback to the atmosphere. In any case,
641 applying this diagnostic to coupled climate model configurations would be certainly very informa-
642 tive about the profound nature of the variability (either sustained by atmospheric noise or driven
643 by deterministic dynamics), as for instance recently done by Gastineau et al. (2018). Address-
644 ing this issue using observations remains unfortunately very difficult if not impossible because of
645 the too short instrumental record compared to the timescales of the AMO and the too low spatial
646 coverage, in particular at depth.

647 Nevertheless the comparison of our model results with the statistics of the observed ocean tem-
648 perature record can give some hints on the relative importance of the two mechanisms, stochastic
649 forcing and internal ocean mode, and eventually tell if the real ocean belongs to either the super-
650 critical or damped regime. First, the stochastic forcing is based on the actual amplitude of the at-
651 mospheric NAO forcing, so we can expect the amplitude of the oceanic response to be fairly well
652 constrained. In contrast, the amplitude of the internal ocean mode critically depends on model
653 parameters, here the strength of eddy-diffusivity, that is not sufficiently constrained (and varying
654 spatially) to infer the mode amplitude. The standard deviation of annual-mean SST in observations
655 (detrended to get rid of the warming signal) is globally stronger than in the model for the damped

656 regime. The peak amplitude, around 1°C , has a similar intensity and location, east of Newfound-
657 land, around 50°N - 45°W , but the pattern is more widely spread over the whole subpolar gyre (the
658 comparison in the subtropical region is probably not relevant because of the importance of air-
659 sea coupling). The vertical structure of the temperature variability in the western subpolar gyre,
660 based on annual anomalies of the World Ocean Atlas (Levitus et al. 2012) is also suggesting that
661 the variability in the damped regime is too weak by a factor of 4. The characteristic sign change
662 of temperature anomalies on the vertical in the super-critical regime is not seen in observations.
663 However observations extend only to 700 m, a depth close to that where the sign change is found
664 in the model. On the other hand, EOFs of SST anomalies (taken from the HADISST data set,
665 Rayner et al. 2003) show a dipole pattern in the meridional direction more similar to the damped
666 regime, whereas the internal ocean mode shows a dipole pattern in the zonal direction maximum
667 around 50°N as was shown in Fig. 12. As a whole the comparison with observations is not fully
668 conclusive and does not allow to rule out any of the two candidate mechanisms. Very likely, the
669 actual ocean regime is close to the bifurcation such that the internal ocean mode strengthens the
670 response to stochastic forcing at the surface, and increases the variability in the thermocline. If the
671 ocean mode is super-critical, its amplitude is probably similar to the oceanic response to stochastic
672 forcing at the surface, as found in coupled model simulations by Gastineau et al. (2018).

673 Our experimental setup has several simplifying assumptions, the most critical one being the ab-
674 sence of air-sea coupling. First the effective damping rate of SST anomalies by air-sea fluxes is
675 on the order of that associated with the large-scale baroclinic instability mechanism near bifurca-
676 tion. It is thus expected that the bifurcation structure of interdecadal variability be preserved under
677 coupling with the atmosphere with the transition between the two regimes occurring at slightly
678 higher horizontal Peclet numbers (Arzel et al. 2018). Barsugli and Battisti (1998) showed that the
679 effect of (local) ocean-atmosphere coupling is to reduce internal damping of temperature anoma-

680 lies causing greater thermal variance in both the ocean and atmosphere compared to an uncoupled
681 situation. Whether the same amplifying effect applies to the covariance terms of the buoyancy
682 variance equation remains to be determined, since thermal coupling between the ocean and atmo-
683 sphere does not only affect the variance of each quantity (in particular the oceanic temperature and
684 oceanic currents), but also the correlation between these quantities.

685 The eddy-induced diffusivity K has been used here to place the ocean state into either the damped
686 or super-critical regimes. The coefficient K was deliberately chosen to be spatially uniform. Ob-
687 servationally based studies show however that eddy mixing rates are highly variable in space (Liu
688 et al. 2012; Abernathey and Marshall 2013) with values ranging from $\mathcal{O}(10^2)$ to $\mathcal{O}(10^4)$ m^2s^{-1} at
689 mid-latitudes, including the Gulf Stream region. Coupled climate models traditionally use the Vis-
690 beck et al. (1997) parameterization or related schemes for representing the spatial heterogeneity
691 of K . Unfortunately it is not possible from these studies to relate the different variability regimes
692 (e.g. Delworth and Greatbatch 2000; Gastineau et al. 2018) to either the magnitude or spatial dis-
693 tribution of K because a myriad of other aspects come obviously into play (mean flow structure,
694 surface forcing, parameterizations, etc). Arzel et al. (2018) carried out ocean-only experiments
695 without atmospheric stochastic forcing and with such spatially variable K , and found the circula-
696 tion to be in the super-critical regime. However, the local values of K in those experiments are not
697 in full agreement with observational estimates.

698 Finally, our model configuration uses a relatively low spatial resolution and does not represent
699 mesoscale eddies. These latter do not only impact the mean current positions but also strongly in-
700 teract with the larger scales. Oceanic mesoscale turbulence can force strong interannual to decadal
701 fluctuations of the AMOC (Le Roux et al. 2018) and induce an inverse cascade of kinetic energy
702 toward the larger spatial scales and lower frequencies (Sérazin et al. 2018). Huck et al. (2015)
703 investigated the nature of the multidecadal variability in the presence of eddy turbulence using an

704 idealized ocean model configuration. Mesoscale eddies were shown to strongly rectify the mean
705 circulation but the generic mechanism driving the variability was found to be identical to that ob-
706 tained at coarse resolution. In view of the buoyancy variance budget investigated in the present
707 study, it remains to determine the impact that the oceanic mesoscale has on the internal generation
708 of temperature variance at large scales and multidecadal periods.

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Table 1. List of experiments. The low-frequency variability arising in the stochastic FTFS experiments is assessed against the value of the eddy-induced turbulent diffusivity K (m^2s^{-1}) and in the presence or absence of stochastic wind-stress forcing (noise heat flux forcing is always present). The role of circulation changes in North Atlantic SST variability is studied through the use of experiments with stochastic heat flux forcing only and prescribed oceanic circulation (details of the method given in section 5). The results obtained under stochastic forcing are also compared to those obtained by Arzel et al. (2018) under deterministic conditions (zero noise forcing). 42

K	Noise Heat	Noise Wind	Frozen Dynamics
200, 300, 400, 500	✓	✗	✗
600,700,800,1000	✓	✓	✗
1200, 1400, 1600, 1800	✓	✗	✓
200, 300, 400, 450, 500			
525, 550, 575, 600	✗	✗	✗
(Arzel et al., 2018)			

887 TABLE 1. List of experiments. The low-frequency variability arising in the stochastic FTFS experiments is
888 assessed against the value of the eddy-induced turbulent diffusivity K (m^2s^{-1}) and in the presence or absence
889 of stochastic wind-stress forcing (noise heat flux forcing is always present). The role of circulation changes
890 in North Atlantic SST variability is studied through the use of experiments with stochastic heat flux forcing
891 only and prescribed oceanic circulation (details of the method given in section 5). The results obtained under
892 stochastic forcing are also compared to those obtained by Arzel et al. (2018) under deterministic conditions (zero
893 noise forcing).

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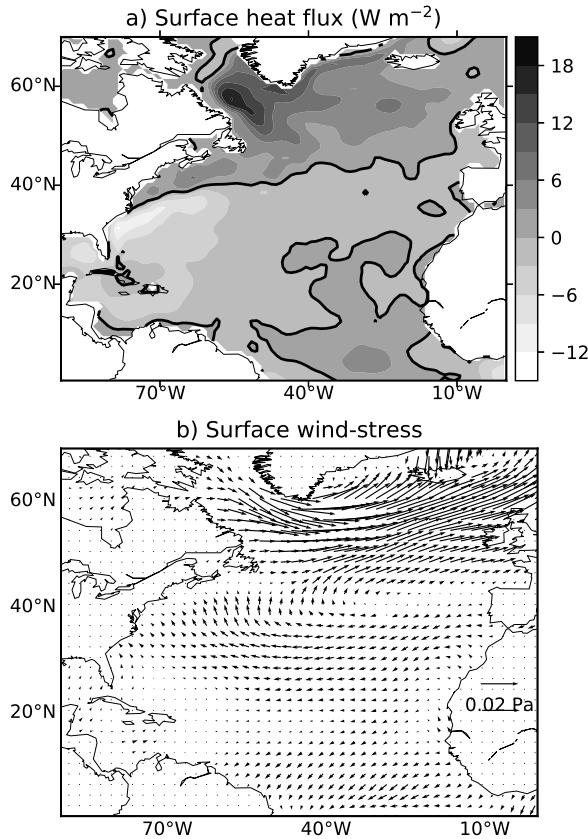
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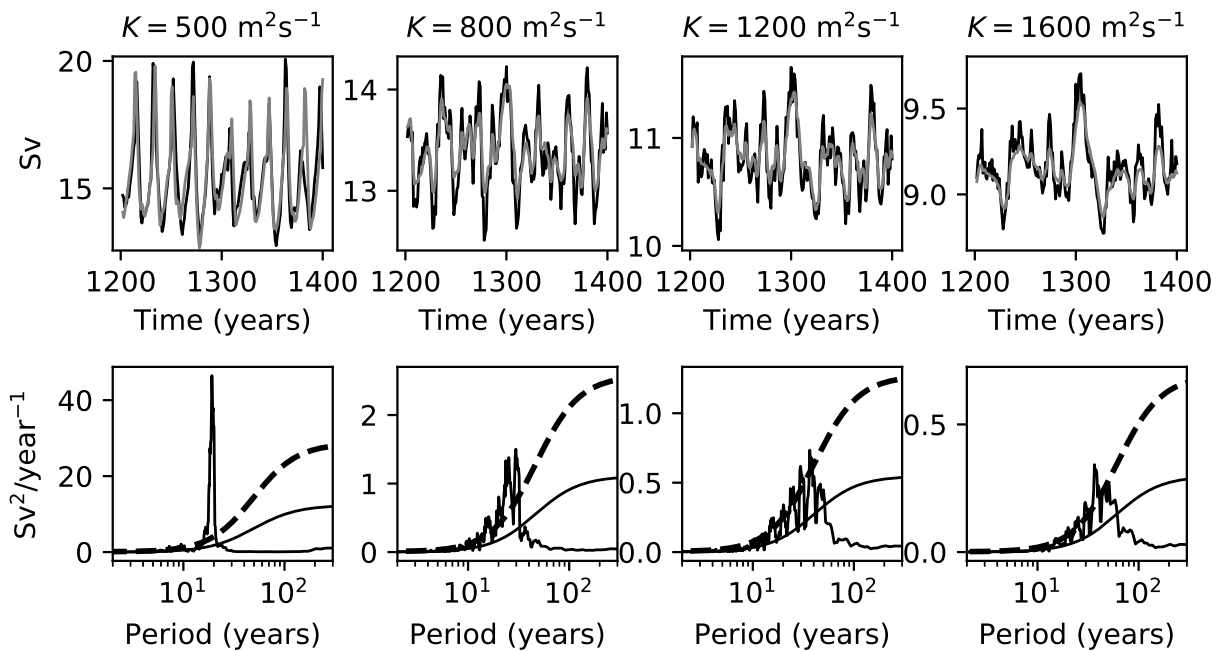
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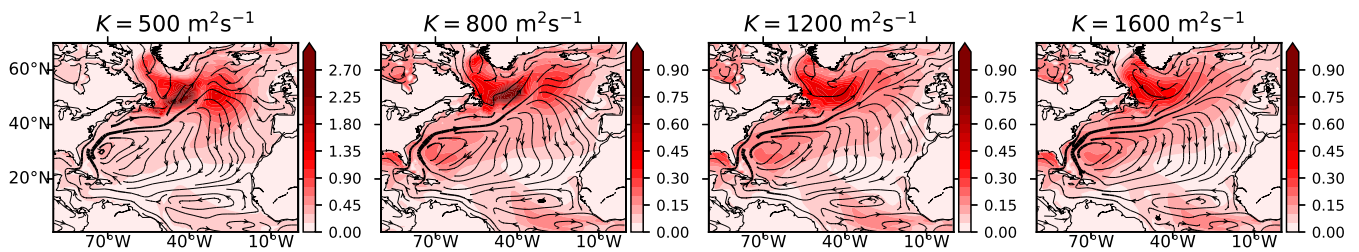
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990 fluxes for 3 different values of eddy-induced diffusivities K covering both the super-critical
991 and damped regimes. The blue (red) lines correspond to cases where the circulation is free to
992 evolve (prescribed). Estimation of power spectra is based on a multi-taper technique with 3
993 tapers. The smooth solid lines are the power of a red noise spectrum with the same AR1 (first
994 order auto-regressive) coefficient as the data, and the dashed lines are the 99% confidence
995 limits. The analysis is based on 1000 years of model output without any temporal filtering. . . . 58



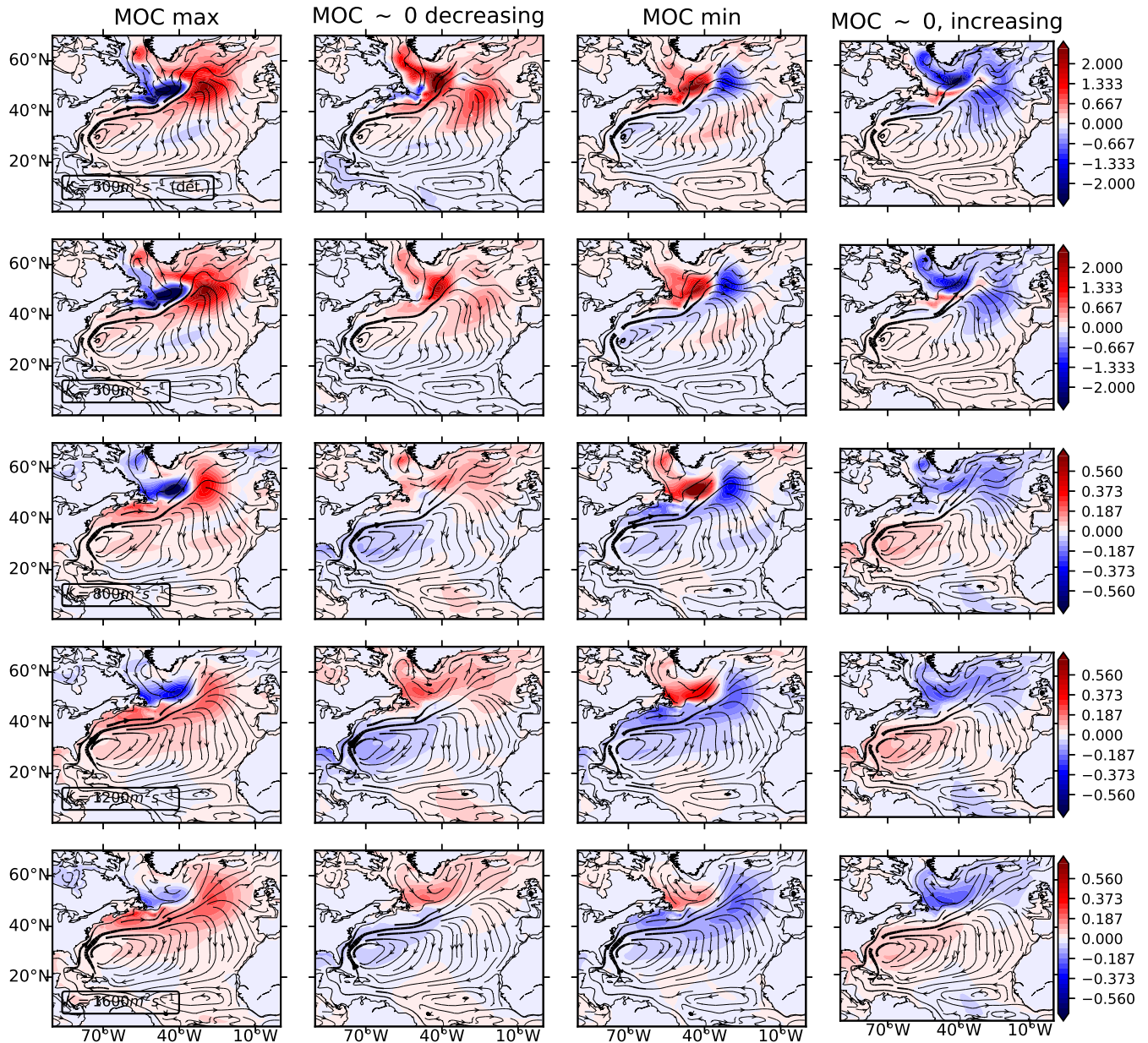
996 FIG. 1. The anomalies in turbulent (sensible + latent) surface heat flux (a) and wind-stress (b) associated
 997 with a positive NAO phase. The patterns are obtained by regressing the annual mean surface flux anomalies
 998 (1949-2006) from Large and Yeager (2009) onto the normalized station-based winter mean (DJFM) NAO Index
 999 (Hurrell 1995) and multiplying the patterns by one standard deviation of the NAO index. Positive fluxes of the
 1000 surface heat flux are directed out of the ocean.



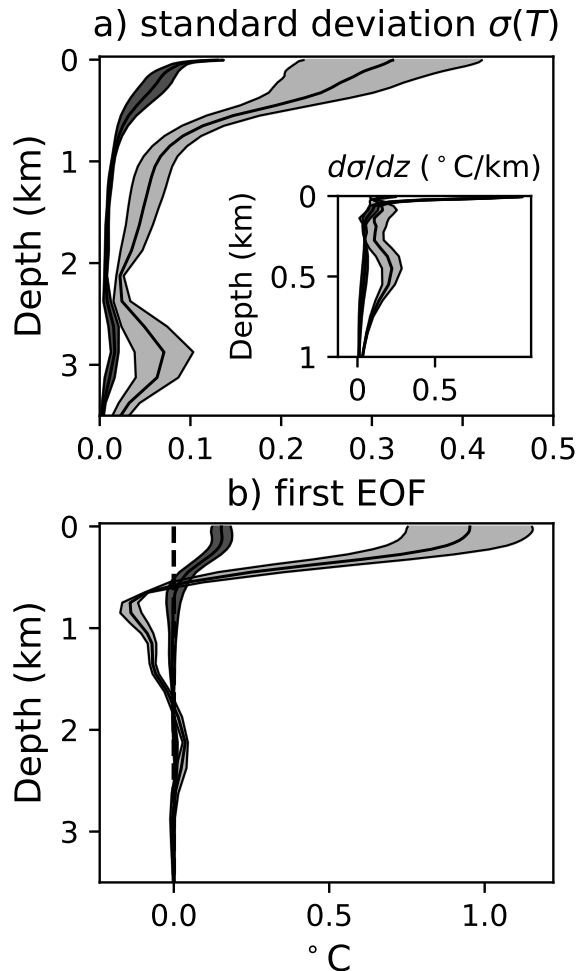
1001 FIG. 2. (top) AMOC index (Sv , $1 \text{ Sv} = 10^6 \text{ m}^3\text{s}^{-1}$) timeseries for four different values of K covering both the
 1002 super-critical and damped regimes. Experiments are carried out with stochastic heat flux forcing only (gray) and
 1003 with the addition of a stochastic wind-stress component (black). (bottom) Estimation of power spectra of the
 1004 AMOC index timeseries with both stochastic heat and wind-stress forcing applied. The calculation is based on a
 1005 multi-taper technique with 3 tapers. The smooth solid lines are the power of a red noise spectrum with the same
 1006 AR1 (first order auto-regressive) coefficient as the data, and the dashed lines are the 95% confidence limits. The
 1007 analysis is based on 1500 years of annual mean model output.



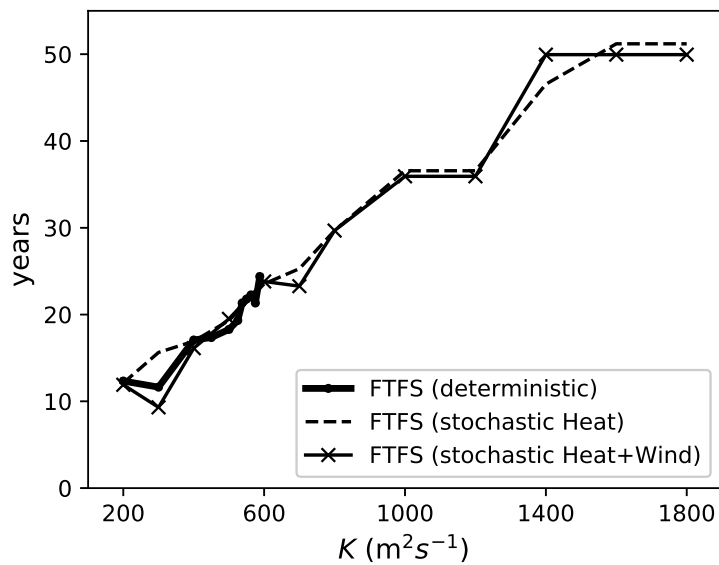
1008 FIG. 3. Standard deviations of annual mean SST anomalies in the stochastic (heat and wind-stress) FTFS
 1009 experiments for four different values of the eddy-induced diffusivity K . Note the different colorscales between
 1010 the super-critical ($K < K_c$) and damped ($K > K_c$) regimes. Long-term mean ocean currents averaged in the upper
 1011 250 m are superimposed. The calculation is based on 1000 years of model output.



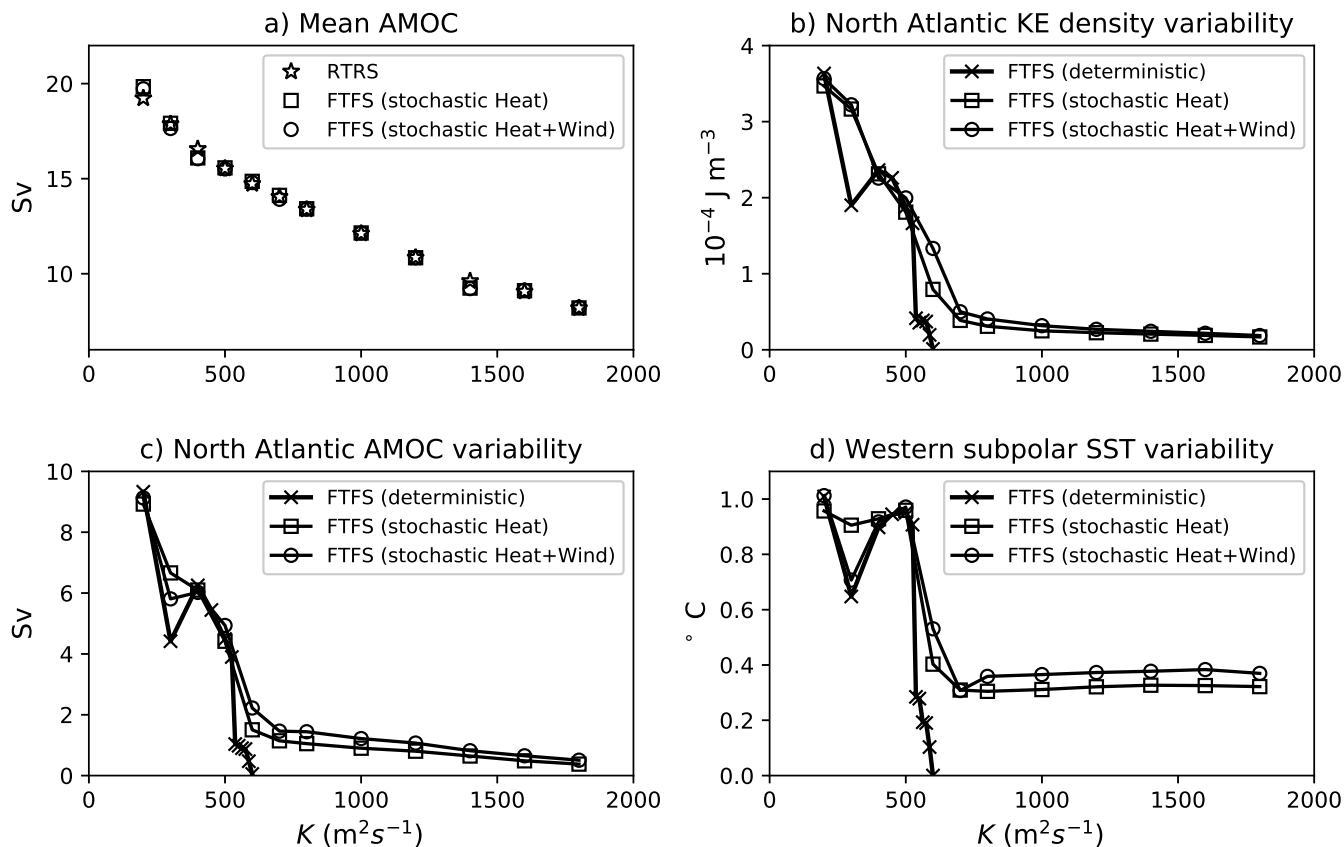
1012 FIG. 4. Composites of SST anomalies and mean upper ocean (250 m) currents associated with four phases
 1013 of the AMOC under deterministic conditions for $K = 500 \text{ m}^2\text{s}^{-1}$ (top), and under stochastic forcing (heat and
 1014 wind-stress) for $K = 500, 800, 1200$ and $1600 \text{ m}^2\text{s}^{-1}$ (from second row downward). The AMOC is maximum in
 1015 the first column, close to its mean value and decreasing in the second column, minimum in the third column, and
 1016 close to its mean value and increasing in the fourth column. The corresponding AMOC timeseries have been
 1017 discussed previously and shown in Fig. 2. Different colorscales have been used between the super-critical and
 1018 damped regimes because of the much lower amplitude of SST anomalies in the latter. The analysis is based of
 1019 1000 years of annual mean model output.



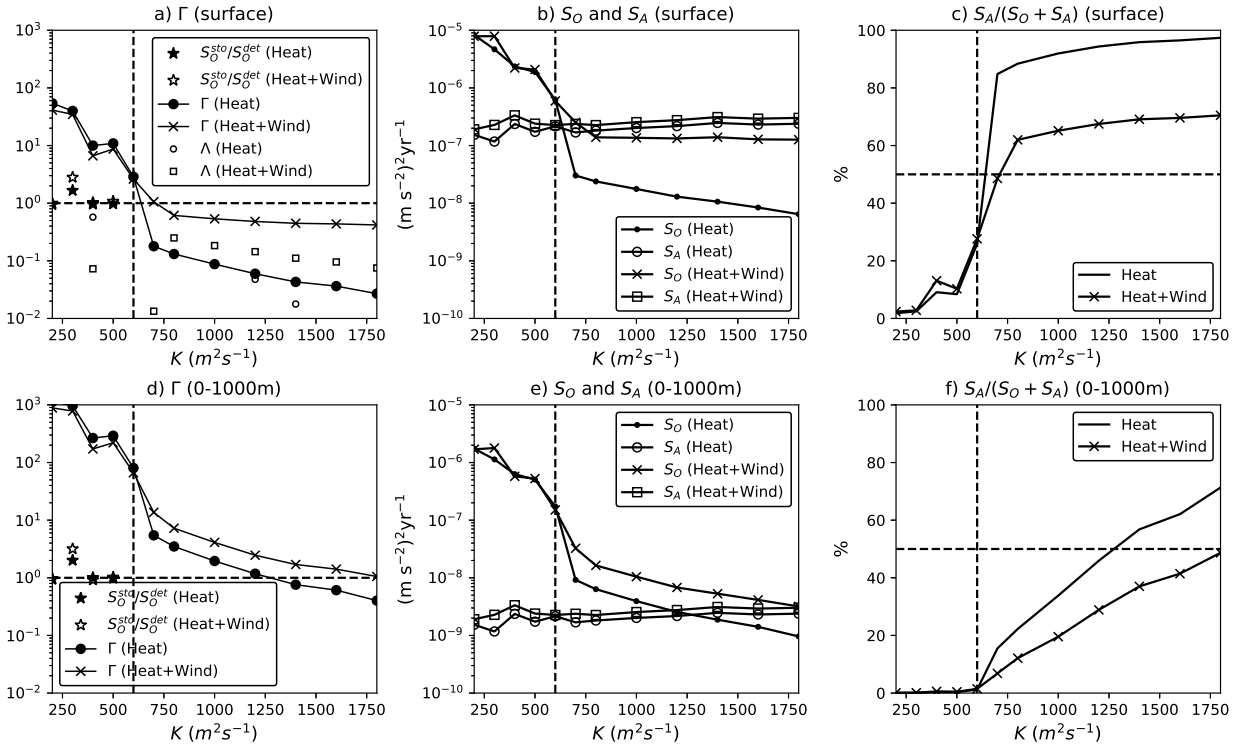
1020 FIG. 5. Vertical structure of temperature anomalies in the stochastic (heat and wind-stress) FTFS experiments.
 1021 Standard deviation (top) of horizontally-averaged temperature anomalies in the western subpolar area (30-60°W,
 1022 40-60°N). First (thickness-weighted) EOF (bottom) of horizontally averaged temperature anomalies over the
 1023 North Atlantic Current (50-55°W, 25-35°W). In average, the first EOF explains about 90% of the total variance
 1024 in the super-critical regime, and 74% in the damped regime. The light (dark) grey shading indicates the spread
 1025 across the super-critical (damped) regime (centered over the mean profiles \pm one standard deviation). The inset
 1026 in the top panel shows the vertical derivative of standard deviations of temperature anomalies in the first 1000
 1027 m. The calculation is based on 1000 years of annual mean model output.



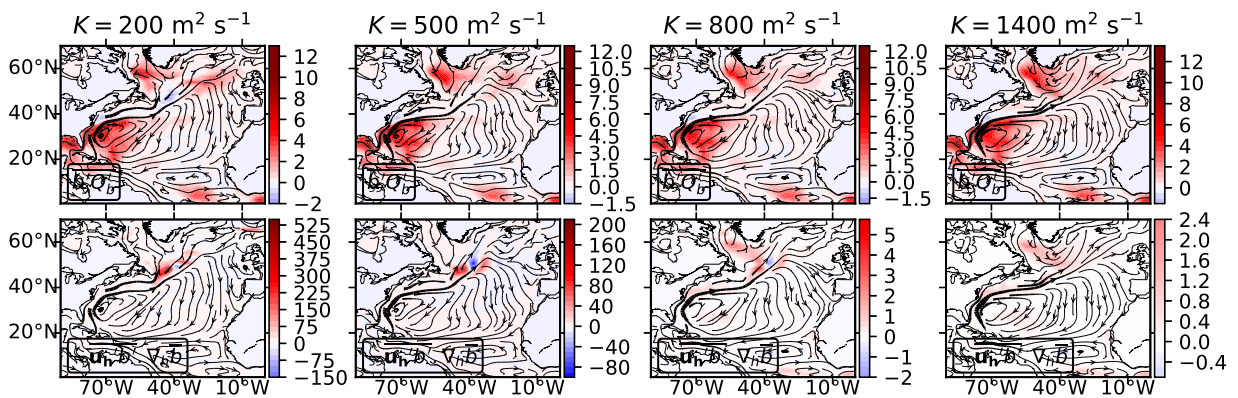
1028 FIG. 6. Dominant timescale of the variability as a function of the eddy diffusivity K in both the deterministic
 1029 and stochastic cases. The period is computed from a multi-taper spectral analysis of the North Atlantic average
 1030 kinetic energy density timeseries.



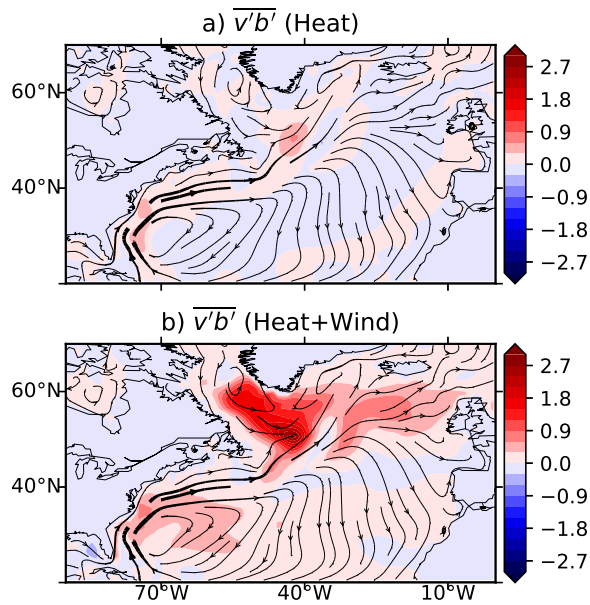
1031 FIG. 7. Statistics of key indices as a function of the eddy-induced diffusivity K under deterministic and
 1032 stochastic boundary conditions and for cases with (open circles) and without stochastic surface wind-stress
 1033 forcing (open squares). (a) Mean strength of the AMOC (Sv) in the RTRS and stochastic FTFS experiments.
 1034 The index is computed as the maximum value of the overturning streamfunction below 1000 m and north of 30°N
 1035 in the North Atlantic. (b) Amplitude of North Atlantic kinetic energy density (J m^{-3}) averaged in the upper 500
 1036 m and north of 20°N . (c) Amplitude of AMOC variations (Sv). (d) Amplitude of SST changes averaged in
 1037 western subpolar area ($30\text{-}70^\circ\text{W}$, $40\text{-}60^\circ\text{N}$). The amplitude of the variability in (b-c-d) has been estimated from
 1038 a composite analysis of the last 1000 years of each experiment.



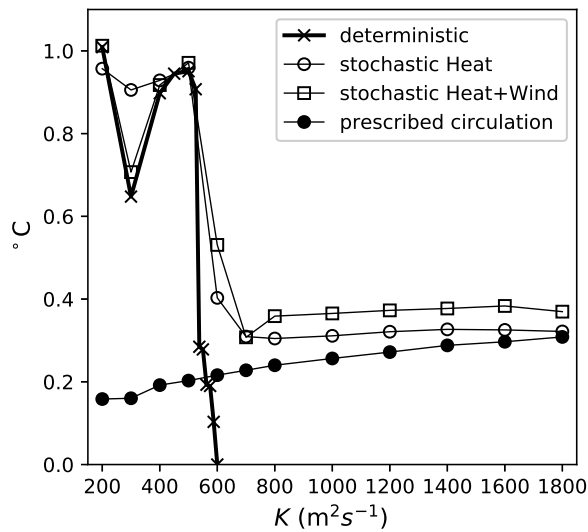
1039 FIG. 8. Buoyancy variance budget in the North Atlantic western subpolar region (40-60°N, 30-70°W) for
 1040 cases with (denoted by “Heat+Wind” in the legend) and without (denoted by “Heat” in the legend) stochastic
 1041 surface wind-stress forcing, for the surface (upper panels) and the upper 1000 m (lower panels). Shown in the left
 1042 panels are the ratios $\Gamma = S_O/S_A$ and $\Lambda = R_O/S_A$ (see text for the definitions) as a function of the eddy-induced
 1043 diffusivity K . The stars in the super-critical regime compare the internal generation of buoyancy variance in the
 1044 ocean under stochastic boundary conditions (denoted by S_O^{sto} in the legend) to that obtained under deterministic
 1045 conditions (denoted by S_O^{det} in the legend), where $S_O = -\langle \mathbf{u}_h^i b^j \cdot \nabla_h \bar{b} \rangle$. Note that the redistribution term R_O
 1046 averaged over the upper 1000 m is always negative in the region of interest, so that the term $\Lambda = R_O/S_A$ does not
 1047 appear in (d) where a log scale is used. Shown in the middle panels are the individuals energy sources S_O and S_A
 1048 as a function of the eddy-induced diffusivity K for cases with and without stochastic surface wind-stress forcing.
 1049 Shown on the right panels are the relative contribution ($S_A/(S_O + S_A)$) of the atmosphere to the total production
 1050 of buoyancy variance by the ocean-atmosphere system. The vertical dashed lines represent the position of the
 1051 Hopf bifurcation at $K = K_C = 600 \text{ m}^2\text{s}^{-1}$. The horizontal dashed lines in the left and right panels correspond the
 1052 pivotal value where $S_A = S_O$.



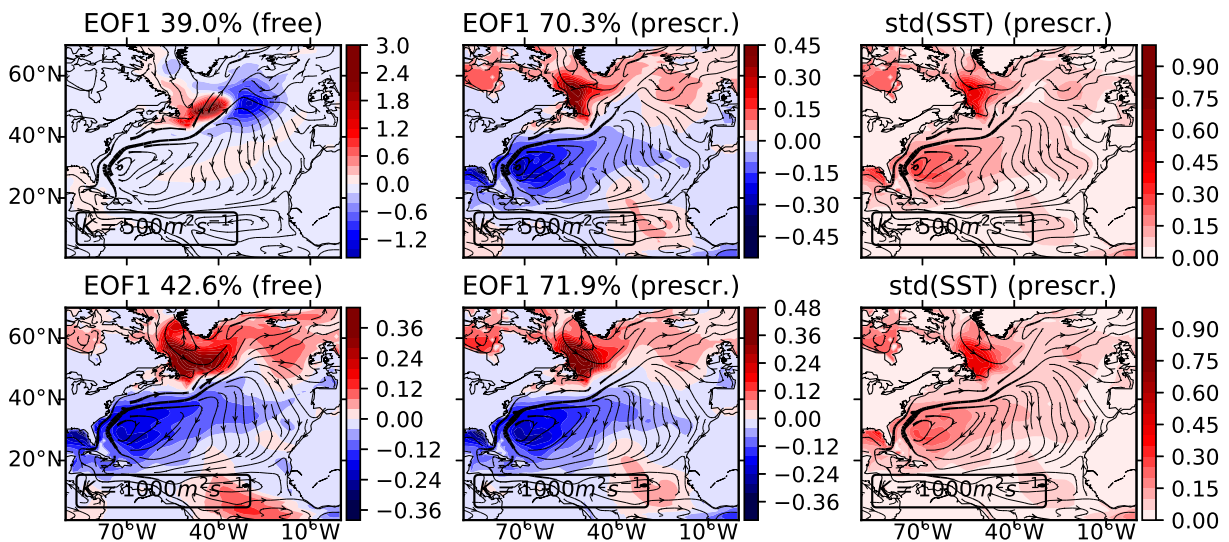
1053 FIG. 9. Surface patterns of atmospheric (top) and oceanic (bottom) energy sources ($\times 10^{-14} \text{ m}^2 \text{ s}^{-5}$) for four
 1054 different values of eddy diffusivity K covering both the super-critical and damped regimes, and for cases with
 1055 both noise surface heat and momentum fluxes applied. The amplitude of $\overline{b'Q'_b}$ barely varies with K whereas
 1056 $-\overline{\mathbf{u}'_h b'} \cdot \nabla_h \overline{b}$ experiences a strong decrease from the super-critical to the damped regime. The same colorscale is
 1057 applied for the top panels and lower right two panels. The streamlines indicate the long-term mean upper ocean
 1058 (250m) currents.



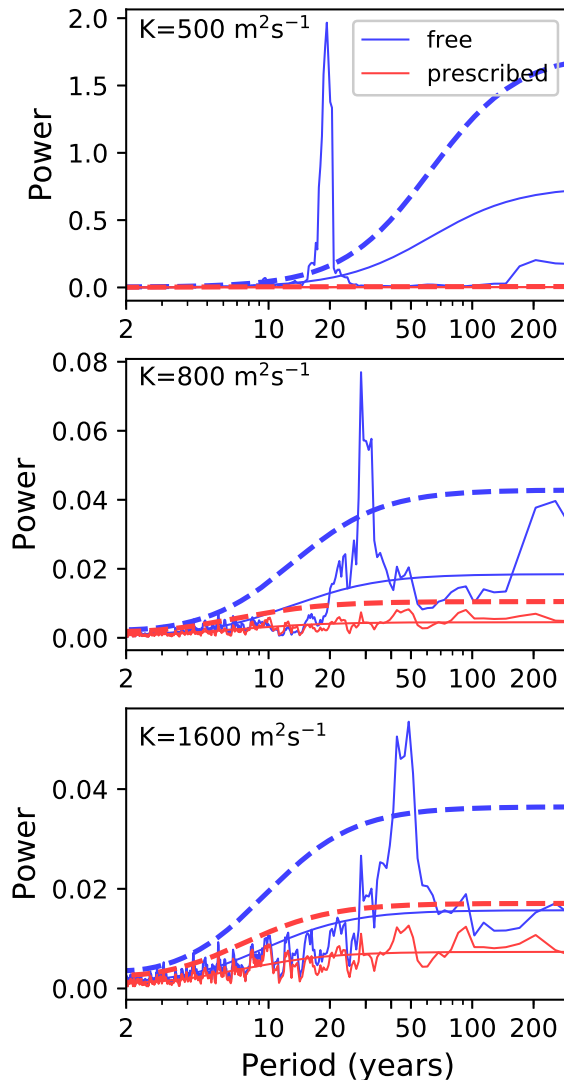
1059 FIG. 10. Meridional transient buoyancy flux $\overline{v'b'}$ ($10^{-6} \text{ m}^2\text{s}^{-3}$) at the surface for $K = 1000 \text{ m}^2\text{s}^{-1}$ (damped
 1060 regime). The top panel has stochastic heat flux forcing only whereas the bottom panel also includes a stochastic
 1061 wind forced component. The streamlines indicate the long-term mean upper ocean (250m) currents.



1062 FIG. 11. Impact of ocean circulation changes on the amplitude of SST changes in the western subpolar
 1063 region (30-70°W, 40-60°N). The amplitude of the changes is estimated from a composite analysis of the last
 1064 1000 years of each experiment. The crossed thick line corresponds to the deterministic case. Black dots (open
 1065 circles) correspond to the prescribed (free) circulation case with stochastic surface heat flux only. Open squares
 1066 correspond to the free circulation case with stochastic surface heat and wind-stress forcing.



1067 FIG. 12. Impact of circulation changes on SST patterns for two values of the eddy-induced diffusivity, namely
 1068 $K = 500 \text{ m}^2\text{s}^{-1}$ in the super-critical regime (upper) and $K = 1000 \text{ m}^2\text{s}^{-1}$ in the damped regime (bottom). Shown
 1069 is the leading (area-weighted) EOF of annual mean SST anomalies obtained when the circulation is free to
 1070 evolve (left) and prescribed to a repeating seasonal cycle diagnosed from the RTRS runs (middle). The right
 1071 panels show the standard deviations of the SST field for the prescribed circulation case. The analysis is based
 1072 on 1000 years of model output. The streamlines indicate the long-term mean upper ocean (250m) currents.



1073 FIG. 13. Power spectra of the western subpolar SST index, defined as the average of SST in the region (30-
 1074 70°W, 40-60°N). Shown are the results obtained under stochastic heat and momentum fluxes for 3 different
 1075 values of eddy-induced diffusivities K covering both the super-critical and damped regimes. The blue (red) lines
 1076 correspond to cases where the circulation is free to evolve (prescribed). Estimation of power spectra is based
 1077 on a multi-taper technique with 3 tapers. The smooth solid lines are the power of a red noise spectrum with
 1078 the same AR1 (first order auto-regressive) coefficient as the data, and the dashed lines are the 99% confidence
 1079 limits. The analysis is based on 1000 years of model output without any temporal filtering.