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# Experimental characterization and theoretical analysis of cell tip oscillations in directional solidification

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Experiments performed in DECLIC-DSI on board the International Space Station evidenced oscillatory modes during the directional solidification of a bulk sample of succinonitrile-based transparent alloy. The interferometric data acquired during a reference experiment,  $V_p = 1 \ \mu m/s$  and  $G = 19 \ K/cm$ , allowed us to reconstruct the cell shape and thus measure the cell tip position, radius, and growth velocity evolution, in order to quantify the dynamics of the oscillating cells. This study completes our previous reports [Bergeon *et al.*, Phys. Rev. Lett. **110**, 226102 (2013); Tourret *et al.*, Phys. Rev. E **92**, 042401 (2015); Pereda *et al.*, Phys. Rev. E **95**, 012803 (2017)] with, to our knowledge, the first complete monitoring of the geometric cell tip characteristics variations in bulk samples. The evolution of the shape, velocity, and position of the tip of the oscillating cells is associated with an evolution of the concentration field, inaccessible experimentally but mediating the diffusive interactions between the cells. The experimental results are supported by 3D phase-field simulations which evidence the existence of transversal solute fluxes between neighboring cells that play a fundamental role in the oscillation dynamics. The dynamics of oscillation of an individual cell is analyzed using a theoretical model based on classical equations of solidification through the calculation of the phase relationships between oscillation of the different tip characteristics.

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#### I. INTRODUCTION

In a wide range of scientific fields, pattern formation, a 27 quite complex process occurring in highly nonlinear growth 28 regimes, is of paramount importance [1]. Nonequilibrium in-29 terface patterns can be obtained by directional solidification 30 of binary alloys. These patterns have been widely stud-31 ied because they are analogous to patterns formed in other 32 fields (combustion, fluid dynamics, geology, biology, etc.) and 33 34 because of their metallurgical relevance [2–4]. During directional solidification, the crucible containing a binary alloy of 35 nominal solute concentration  $C_0$  is placed inside a furnace 36 that imposes a temperature gradient G, and it is pulled at a 37 constant velocity  $V_p$  towards the cold region of the furnace. 38 For low pulling velocities, the interface remains stable and 39 planar but, when  $V_p$  exceeds a critical value [5,6], the solid-40 liquid interface undergoes the Mullins-Sekerka morphological 41 instability. The interface then develops fingerlike structures 42 called cells and, for even higher velocities, dendritic structures 43 with secondary and tertiary side arms. Further understating 44 of the basic physical mechanisms governing microstructure 45 formation during solidification are of major importance be-46 cause they directly benefit to the improvement of materials 47 processing [2,3]. 48

The cellular or dendritic patterns may undergo secondary instabilities in domains of control parameters where nonsteady-state growth solutions become dynamically selected. Interface patterns may present a diversity of secondary in-52 stabilities, very frequently occurring in a narrow range of 53 growth conditions [7-12]. One typical example of secondary 54 instability, generic of spatially modulated interface patterns, 55 is the oscillatory growth mode. In directional solidification, 56 the period-doubling oscillatory mode  $(2\lambda - O)$  has been ex-57 perimentally, theoretically, and numerically studied, in thin 58 samples for both cellular [7,8,12–16] and two-phase eutectic 59 interfaces [17–21]. Oscillatory modes have been predicted by 60 numerical studies of 3D cellular growth first [22,23] before 61 being recently experimentally observed [24–26]. 62

In thin samples of transparent alloys, Georgelin and Pocheau [14] experimentally evidenced and characterized the oscillation of cellular patterns, that appeared to be a  $2\lambda$ -O mode. Adjacent cells form pairs that oscillate in phase opposition with both tip position and cell width oscillation, nearly in phase quadrature. Recently, the origin and nature of oscillations was revisited by combining the former experiments of Georgelin and Pocheau [14] with 3D phase-field numerical simulations [16]. Phase-field simulations together with a solute balance model revealed the fundamental role of transverse solute fluxes between neighboring cells and provided a complete description of the physical mechanism of  $2\lambda$ -O oscillations.

Due to gravity-induced convection, 3D oscillating modes were never directly observed in experiments until recently when experiments were performed under microgravity conditions in bulk (3D) samples of a succinonitrile-0.24 wt% camphor alloy in the framework of joint project between the

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French Space Agency (CNES) and NASA. Experiments were 81 performed using the Directional Solidification Insert (DSI) of 82 the DEvice for the study of Critical LIquids and Crystalliza-83 tion (DECLIC) developed by CNES which is dedicated to *in* 84 situ and real-time characterization of the dynamical selection 85 of the solid-liquid interface morphology on bulk samples of 86 transparent materials [27–30]. For a limited range of exper-87 imental control parameters, extended cellular patterns, that 88 are globally disordered, form and display oscillations with 89 periods of few tens of minutes. Oscillating cells are usu-90 ally noncoherent due to array disorder. However, in regions 91 displaying short-range spatial ordering, groups of cells can 92 synchronize into oscillatory breathing modes. In case of a 93 local hexagonal ordering, three sublattices beating with an ap-94 proximately  $\pm \frac{2\pi}{3}$  phase shift with each other were observed; 95 for a local square ordering, two sublattices were beating in 96 phase opposition [24,25]. Dedicated large-scale phase-field 97 simulations were performed that produced oscillating modes 98 of comparable characteristics in terms of period as well as 99 spatiotemporal coherence [24,26]. In simulations, long-range 100 coherence of breathing modes could be achieved for a per-101 fect spatial arrangement of cells imposed as initial condition, 102 whereas, when realistic noisy initial conditions are used, a 103 104 global disorder was observed that prevents oscillation coherence as in experiments. The conditions of appearance of 105 oscillations were also specified and linked to the stable spac-106 ing range of a cellular array [26]. 107

In the previous works [24–26], 3D oscillating experimental 108 patterns were analyzed using the top-view images provided 109 in DECLIC-DSI: in this observation mode, oscillation is re-110 vealed by the periodic variation of the apparent cell area which 111 corresponds to the cell width oscillation seen from the top. 112 The characterization of the cell shape is therefore only partial 113 as tip shape and position are not measurable. As previously 114 mentioned, all these parameters were measured for the case 115 of thin samples observed from the side and were found to be 116 affected by the oscillation [14]. To overcome this limitation 117 and completely characterize the oscillating cell dynamics in 118 the 3D extended patterns, we used the DECLIC-DSI Mach-119 Zehnder interferometer which is installed along the axial 120 direction of the crucible. This interferometer was specifically 121 designed to capture the 3D geometry of the interface in real 122 time. In the frame of the DECLIC project, interferometric 123 data were previously used to quantitatively characterize the 124 shape of dendrites grown by directional solidification and 125 followed by in situ observation during their whole growth 126 [31]. In this paper, the interferometric images of a reference 127 experiment, whose control parameters lead to oscillating cel-128 lular patterns ( $V_p = 1 \ \mu m/s$  and  $G = 19 \ K/cm$ ), are analyzed 129 to reconstruct the shape and position of each cell during its 130 oscillation cycle and rebuild the oscillation cycle of the main 131 cell shape parameters, tip position, radius, and velocity. Dedi-132 133 cated phase-field simulations are used to better understand the driving mechanisms of cellular oscillation. The results shed 134 light on the mechanisms of oscillation which involves vertical 135 and horizontal solute fluxes. 136

In Sec. II the experimental device will be first briefly de scribed as well as the method of interferometric data treatment
 used to exploit the information encoded in the interferometric
 fringes. It produces detailed quantitative descriptions of the

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interface shapes and their time evolution. Tip velocity varia-141 tions can be evidenced with resolution of a few nanometers 142 per second. Section III covers the statistical characteristics 143 and mechanism of oscillation of individual cells, including 144 a detailed description of the quantities and phase relation-145 ships involved in an oscillating cell, the supporting phase-field 146 simulations and a proposed driving mechanism of cellular 147 oscillation. Conclusions will be summarized in Sec. IV. 148

#### II. EXPERIMENTAL

#### A. The directional solidification device DECLIC-DSI

The DSI insert of the DECLIC facility includes a 151 Bridgman-type furnace and the experimental cartridge; more 152 complete descriptions of DECLIC and its inserts can be found 153 elsewhere [29,30]. The Bridgman furnace is composed of hot 154 and cold zones located at the top and bottom of the adiabatic 155 zone, respectively, so that a temperature gradient G can be 156 generated (between 10 and 30 K/cm). Solidification is per-157 formed by pulling the experimental cartridge containing the 158 alloy from the hot zone towards the cold zone at a constant 159 pulling rate  $V_p$  (between 0.1 and 30  $\mu$ m/s). The experimental 160 cartridge includes the quartz crucible and a system of volume 161 compensation made of stainless steel that is mandatory to 162 accommodate the specimen volume variations associated to 163 phase changes. The cylindrical crucible has an inner diameter 164 of 1 cm and a length that enables about 10 cm of solidification, 165 thus allowing the study of the whole development of extended 166 3D patterns from their initial stages till permanent regime of 167 growth morphology. The crucible is equipped with a flat glass 168 window at the bottom and with an immersed lens in the melt 169 at the top. The main observation mode takes advantage of 170 the complete axial transparency of the cartridge provided by 171 these last two elements: the light coming from LEDs passes 172 through the cartridge from the bottom to the top, therefore 173 crossing the interface, the image of which is formed on a CCD 174 camera (top-view image); this observation mode provides 175 top-view images of the microstructure and is used to study 176 array dynamics and cell characteristics. On the same cartridge 177 axis, a Mach-Zehnder interferometer is also set using a He-Ne 178 laser ( $\lambda = 632.8$  nm). The basic principles of the analyses of 179 the interferometric images can be found in Refs. [31,32] but 180 the ultimate method that we currently use will be presented 181 in Sec. IIB. In the transverse mode, the light coming from 182 two LEDs crosses the sample from one side to the other, 183 which provides a real-time control of interface position and 184 curvature (side-view image). Due to the cylindrical shape of 185 the crucible, this observation mode has a quite low resolution 186 and cannot be used for the detailed analysis of cell shape. In 187 this study, only the images obtained by the direct and interfer-188 ometric axial observations are analyzed. It is worth noticing 189 that since those two diagnostics share the same optical path, 190 they cannot be active simultaneously. 191

The organic transparent alloy Succinonitrile (SCN) - 192 0.24 wt% camphor is used (Table I). SCN purified by NASA 193 by both distillation and zone melting was used to fill the crucible. All procedures for sample preparation were carefully 194 realized under vacuum to avoid humidity contamination. A 196 single crystalline solid seed with a direction (100) parallel to 197

Physical parameter	Symbol	Magnitude	Unit					
Succinonitrile								
Melting temperature	$T_m$	331.24	K					
Molar mass	М	80.09	g/mol					
Latent heat of fusion	$\Delta H$	3.713	kJ/mol					
Liquid density	$ ho_L$	970	kg/m <sup>3</sup>					
Solid density	$ ho_S$	1016	kg/m <sup>3</sup>					
Liquid thermal conductivity	$\lambda_L$	0.223	J/(ms K)					
Solid thermal conductivity	$\lambda_S$	0.224	J/(ms K)					
Thermal expansion coefficient	$\beta_T$	$7.85  imes 10^{-4}$	$K^{-1}$					
Interface energy	γ	$8.95  imes 10^{-3}$	$J/m^2$					
Kinetic viscosity	v	2.6	mm <sup>2</sup> /s					
Succinonitrile-camphor								
Solute (camphor) concentration	$C_0$	0.24	wt%					
Liquidus slope	m	-1.365	K/wt%					
Solute diffusion coefficient (liq.)	$D_L$	270	$\mu m^2/s$					
Thermal diffusion coefficient	$D_{th}$	$1.15 \times 10^{5}$	$\mu m^2/s$					
Solute partition coefficient	k	0.07	_					
Gibbs-Thomson coefficient	Г	0.06478	$K \mu m$					
Anisotropy strength	$\epsilon_4$	0.011	_					

TABLE I. Properties of succinonitrile and succinonitrilecamphor alloy [33–39].

the pulling axial direction was prepared on ground and kept
during all the experimental campaign. Further details about
the experimental procedure can be found in previous works
[28,40].

No direct in situ measurement of the thermal gradient is 202 available in the DSI as no thermocouple is inserted inside 203 the sample to avoid thermal perturbations. A complete dis-204 cussion of the thermal gradient determination can be found 205 in Ref. [41]: two different thermal gradients were estimated 206 by thermal numerical simulation [42] at  $G_1 = 19$  K/cm and 207  $G_2 = 12$  K/cm. A range of pulling velocities from 0.25 to 30 208  $\mu$ m/s was studied which covers the whole range of possible 209 unstable microstructures. 210

The oscillation mode was observed in a narrow range of 211 pulling rates: from 0.5 to 1.5  $\mu$ m/s for a thermal gradient 212 of 19 K/cm, and at 0.5  $\mu$ m/s for a gradient of 12 K/cm. 213 The most striking oscillatory experiment, which was the basis 214 of our previous analyses, was obtained for  $V_p = 1 \ \mu m/s$  and 215 G = 19 K/cm [24,25]. These last conditions are then chosen 216 to perform the experiment with sequences of interferometric 217 observation followed by white light acquisitions of the same 218 duration (approximately 45 min). 219

#### B. Interferometry in the context of cellular interface characterization

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Interferometric images are used to determine the inter-222 face shape and growth velocity. Interference fringes appear 223 at the recombination of the laser beam passing through the 224 crucible with a reference beam passing outside. These fringes 225 can be considered as level curves of the solid-liquid interface. 226 The spatial variation of the interferogram contains the infor-227 mation related to the 3D shape of the cell tips, whereas its 228 temporal evolution is required to follow the height of an in-229

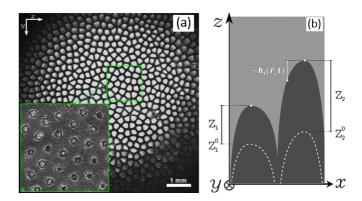


FIG. 1. (a) Global view of the solid-liquid interface obtained by direct observation ( $V_p = 1 \ \mu m/s$ ,  $G = 19 \ K/cm$ ,  $t = 15.6 \ h$ ). The green square is zoomed to show the corresponding interferometric observation. (b) Frames of reference used for interferometric reconstruction. The instantaneous position  $Z_i$  of each cell is taken relative to its initial position  $Z_i^0$  defined at t = 0. The shape of a cell is described by the height of the interface  $h_i(\vec{r}, t)$ , which is a function of  $\vec{r}$ , a 2D vector with origin fixed at the cell tip position.

terface point with time [31]. A snapshot of a cellular interface 230 obtained by direct and interferometric observations is given 231 in Fig. 1(a). In the interferometric view, each group of rings 232 corresponds to a cell, separated from its neighbors by a region 233 where beam coherence is lost. Therefore, the interferogram 234 conveys information only near the cell tips; as there are no 235 fringes in the grooves between cells, it is not possible to 236 directly relate the tip heights of different cells. Temporally, 237 we can obtain only the variation of an interface point height 238 relative to its initial height at the start of interferometric ac-239 quisition but not its absolute height. 240

We define Z as the pulling and optical axis. The simplest 241 representation of a cellular interface is a height map  $z_{\phi}(\vec{r}, t)$ 242 with  $\vec{r}$  the location of an interface point in the (x, y) plane 243 normal to Z [Fig. 1(b)] and the subscript  $\phi$  stands for the tip 244 phase shift, which will be detailed in the next subsection. As 245 there is no continuity of fringes from one cell to its neighbors, 246 it is convenient to separate  $z_{\phi}(\vec{r}, t)$  into the tip-position com-247 ponent  $Z_i(t)$  and the shape component  $h_i(\vec{r}, t)$ : 248

$$z_{\phi}(\vec{r},t) = Z_i(t) + h_i(\vec{r},t).$$
(1)

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The frames of reference  $\vec{r}$  and h are centered on the tip position of the *i*th cell [Fig. 1(b)].

We can detail the variation of the tip height:

$$Z_i(t) = Z_i^0 + V_p t + \delta Z_i(t), \qquad (2)$$

where  $Z_i^0$  is the initial height of the ith's cell tip at the start 252 of the sequence (it depends exclusively on i),  $V_p t$  is the av-253 erage front elevation during growth and  $\delta Z_i(t)$  is the possible 254 deviation of a cell tip from this average, resulting for example 255 from velocity oscillations (it depends on i and t). The position 256 of the interface  $z_{\phi}(\vec{r}, t)$  can then be separated into an absolute 257 front position component  $Z_{ifront}(t) = Z_i^0 + V_p t$ , a relative cell 258 tip position component  $\delta Z_i(t)$  and a shape component  $h_i(\vec{r}, t)$ : 259

$$z_{\phi}(\vec{r},t) = Z_{\text{ifront}}(t) + \delta Z_i(t) + h_i(\vec{r},t).$$
(3)

The main objectives are then to calculate  $h_i(\vec{r}, t)$  to access the interface shape, and to calculate  $\delta Z_i(t)$  and its time 260

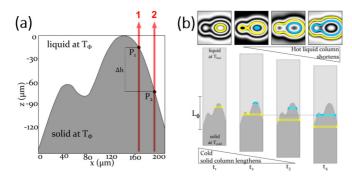


FIG. 2. (a) Side cut of the solid-liquid interface. Two adjacent rays 1 and 2 have similar paths save for the short distance  $\Delta h$ where  $P_1$  travels through a solid, and  $P_2$  travels through a liquid. Their difference in phase can then be attributed solely to the shape of the interface. (b) Time evolution: as pulling rate is applied, the entire interface advances, and the fringes slide towards lower parts of the interface. The interface shape is considered constant within short durations, and the temporal change in *L* is attributed solely to changes in length of the solid and liquid columns above and below the interface.

derivative to characterize the oscillation of the cell tip in terms

of height and velocity. Each cell is treated independently.
 The starting point is the interferogram and its spatiotemporal
 intensity variations.

#### 266 C. From the interferogram to the cell tip characterization

For a point of location  $z_{\phi}(\vec{r}, t)$  attached to a given cell, the corresponding intensity  $I(\vec{r}, t)$  recorded at instant t on the CCD camera results from the difference of optical paths  $L(\vec{r}, t)$  between the ray crossing the interface at  $\vec{r}$ , and its corresponding reference ray:

$$I(\vec{r},t) = 2I_0\{1 + \cos[\varphi(\vec{r},t)]\} \text{ with } \varphi(\vec{r},t) = 2\pi \frac{L(\vec{r},t)}{\lambda}.$$
(4)

 $\varphi(\vec{r}, t)$  is simply the optical path phase difference at a given point  $\vec{r}$  and time *t*; it can be rewritten in analogy to  $z_{\phi}$ :

$$\varphi(\vec{r},t) = \phi_i(t) + \theta_i(\vec{r},t), \tag{5}$$

where  $\phi_i(t)$  is the tip phase shift taken at the tip position due to  $Z_i(t)$ , and  $\theta_i(\vec{r}, t)$  is the component of the phase shift due to the shape component  $h_i(\vec{r}, t)$ . These phase differences are linked to the optical paths:

$$L_{Si}(\vec{r},t) = \frac{\theta_i(\vec{r},t)\lambda}{2\pi} \text{ and } L_{Ti}(t) = \frac{\phi_i(t)\lambda}{2\pi}, \qquad (6)$$

where  $L_{Si}$  corresponds to the part of L due to shape [i.e., related to  $h_i(\vec{r}, t)$ ], and  $L_{Ti}$  corresponds to the part of L due to the tip height [i.e., related to  $Z_i(t)$ ].

During a solidification experiment, the main variations of 281 optical paths are attributed to variations of the lengths of the 282 two different phases (solid and liquid). The optical paths of 283 parallel rays crossing the interface at different locations  $P_1$  and 284  $P_2$  at the same time t [Fig. 2(a)] reveal the interface shape. 285 If  $P_1$  and  $P_2$  lie on two neighboring black fringes [blue and 286 vellow lines in Fig. 2(b)], their L differs by a laser wavelength 287  $\boldsymbol{\lambda}.$  Similarly in time, if a pixel is at its darkest at a given time, 288

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the next time it will be at its darkest again is when its L is one laser wavelength  $\lambda$  longer.

The optical path L depends both on the position of the 29 solid-liquid interface  $z_{\phi}(\vec{r}, t)$ , and of the entire temperature 292 field of the sample  $T(\vec{r}, z, t)$ , because of the dependence of the 293 optical index on the temperature. In DECLIC-DSI, there is no 294 access to the temperature field, so a simplified model is used 295 as shown in Fig. 2. Homogeneous temperature  $T_{\phi}$  along the 296 interface and its close vicinity is assumed. Far from the inter-297 face, the liquid is at temperature  $T_{hot}$ , and the solid is at  $T_{cold}$ . 298 The shape component is obtained by comparing the optical 299 paths of two rays crossing the interface at different locations, 300 namely, at  $\vec{r}$  and at the tip ( $\vec{r} = \vec{0}$ ). The corresponding paths 30 differ only close to the interface over a length  $h(\vec{r})$ : 302

$$L_{Si}(\vec{r}, t) = L(\vec{r}, t) - L(\vec{0}, t)$$
  
=  $-h(\vec{r})n_{\phi L} + h(\vec{r})n_{\phi S}$  (7)

$$\Rightarrow h(\vec{r}) = \frac{L_{Si}(\vec{r}, t)}{\Delta n_{\phi}},\tag{8}$$

where  $n_{\phi L}$  and  $n_{\phi S}$  are the refractive indices at the interface 303 temperature on the liquid and the solid side, respectively, 304 and  $\Delta n_{\phi} = n_{\phi S} - n_{\phi L}$ . The time component is obtained by 305 following the L variation at one location. Due to the motion 306 of the crucible, a length  $V_p \Delta t$  of cold solid replaces the same 307 length of hot liquid during a time  $\Delta t$ . If the local tip velocity 308 varies about the pulling velocity (in case of oscillation for ex-309 ample), the tip location is shifted by  $\delta Z_i(t)$  ahead or below the 310 average interface location (steady-state growth). If  $\delta Z_i(t) > 0$ , 311 a corresponding length of solid at temperature  $T_{\phi}$  has replaced 312 the liquid during  $\Delta t$ : 313

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$$L_{Ti}(t) = L(\vec{0}, t + \Delta t) - L(\vec{0}, t) = V_p \Delta t \Delta n_{SL} + \delta Z_i(t) \Delta n_{\phi},$$
(9)

where  $\Delta n_{SL}$  is the difference of refractive indices between the cold solid and hot liquid ( $\Delta n_{SL} = n_{ST_{cold}} - n_{LT_{hot}}$ ), and in steady state  $\delta Z_i(t) = 0$ .

Several measurements were performed to determine the 317 variation of the refractive index of pure liquid SCN as a 318 function of temperature (Inatomi et al. [43] and unpublished 319 data by Trivedi et al.). The solid phase was explored less, and 320 the only extensive characterization of n(T) was done by Mac-321 Farlane *et al.* [44]. From the literature data,  $\Delta n_{SL} = 0.034$  and 322  $\Delta n_{\phi} = 0.019$ . These values are critical to the characterization 323 of the interface, so they have been reassessed in situ during 324 the experimental campaign in microgravity [45]. The value 325 of  $\Delta n_{\phi}$  was refined to 0.013 by analyzing the interferogram 326 of a macroscopically curved interface, whose curvature was 32 observed by transverse observation. The value of  $\Delta n_{SL}$  was 328 refined to 0.0348 by counting precisely the number of fringes 329 that traversed the middle of the interface during a long exper-330 iment of precisely known pulling length. These latter values 331 are used for analysis. 332

Based on Eqs. (4)–(9), we see that to reconstruct the interface shape and to get the local tip velocities, the interferograms need to be analyzed to extract first the phase shift and then to deduce the different components of optical paths  $L_{Si}$  and  $L_{Ti}$ . The problem is then to extract an adequate phase shifting that monotonously describes the tip profile, in spite of the periodic variation of the intensity, and in spite

of unavoidable noise in intensity variations of the interfer-340 ograms. To solve this problem, the phase-shifting algorithm 341 proposed by Wang and Han [46] was implemented in an in-342 house procedure written in Python with extensive use of the 343 open-source scientific libraries NumPy and SciPy. At instant 344 t, a small subset of consecutive interferograms, of sufficiently 345 short duration to consider that the cell shape is not changing, 346 is used to calculate the phase-map image  $\theta_i(\vec{r}, t)$  that reflects 347 the morphology; successive subsets of images superpose to 348 ensure the continuity of the results. The successive subsets 349 are used to determine  $\phi_i(t)$ . 350

The duration of an interferometry sequence is 45 min, 351 which amounts to  $\approx 800$  images. The treatment was applied 352 to an interface area of  $5.0 \times 4.3 \text{ mm}^2$  enclosing M = 337353 cells. To analyze the oscillating cells, gliding subsets of 30 354 images, with a shift of three images between successive sub-355 sets, are used. For each cell, the result of the treatment is a 356 curve corresponding to  $Z_{ifront}(t) + \delta Z_i(t)$  and a sequence of 357 cell shapes  $h_i(\vec{r}, t)$  at different times. Due to the lack of an 358 absolute reference, inherent to interferometry, the origin of Z 359 is taken to be 0, meaning that  $Z_i^0 = 0$  and  $Z_{ifront}(t) = V_p t$ . This 360 procedure was repeated for each observed cell, the Z position 361 of the cell tips was averaged, and the average was taken as the 362 front position  $Z_{front}(t) = \frac{1}{M} \sum_{i=1}^{M} z_{\phi,i}(\vec{0}, t) = V_p t = Z_{ifront}(t).$ 363 Subtracting this average component from  $z_{\phi,i}(\vec{r}, t)$ , the two 364 characteristics affected by oscillation,  $\delta Z_i(t)$  and  $h_i(\vec{r}, t)$ , can 365 be obtained from Eq. (3). 366

To calculate the growth velocity deviation  $\delta V_i(t) \left[ \frac{d\delta Z_i(t)}{dt} \right]$ from the raw measured data,  $\delta Z_i(t)$  is smoothed by applying a Savitzky-Golay filter (windowed linear least squares fit) with a window of 3 min, before being differentiated with respect to time. A filter window of 3 min was chosen by trial and error to reduce noise without significantly altering the shape of the curve.

As mentioned above, only the regions near the tips are ac-374 cessible through interferometry. The lowest observable point 375 of  $h_i(\vec{r}, t)$  is typically 300  $\mu$ m below the cell tip. These regions 376 have a mean diameter of 86  $\mu$ m, in contrast to 154  $\mu$ m con-377 sidering the apparent cell areas during direct observation. The 378 measurement of tip radius is chosen to quantitatively charac-379 terize the cell shape and its evolution. An elliptical paraboloid 380 is fitted to the reconstructed depth map  $h_i(\vec{r}, t)$  which allows 381 estimating the mean radius of curvature  $\rho_i(t)$  for each cell. 382 Considering the mean radius of curvature or Gaussian radius 383 of curvature leads to very similar results, so it was decided to 384 consider only the mean radius. 385

#### **III. RESULTS**

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Before turning to the cell oscillation dynamics, it is impor-387 tant to briefly describe the main characteristics of the extended 388 2D cellular pattern. The in situ and real-time observation of 389 the solidification allows one to follow the primary spacing 390 evolution as function of time. Primary spacing is one of the 391 main characteristics describing the interface morphology and 392 is defined as the average center-to-center distance between 393 a cell and its first neighbors. The average primary spacing 394 increases until it reaches a steady-state value. If an average 395 is made throughout the whole interface, the primary spacing 396 at steady state is equal to  $288 \pm 42 \ \mu m$  which compares 397



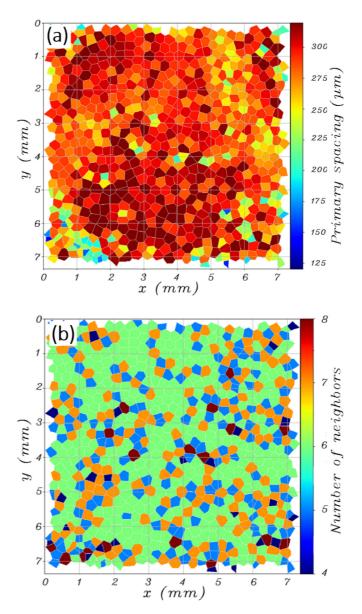


FIG. 3. Maps of primary spacing (a) and nearest neighbors (b) at steady state ( $V_p = 1 \ \mu m/s$ ,  $G = 19 \ K/cm$ ,  $t = 15.6 \ h$ ).

well with the previously analyzed experiment (without in-398 terferometry) [25]. The primary spacing map at the end of 399 solidification is given in Fig. 3(a): the primary spacing is 400 homogeneously dispersed and does not depend on the cell's 401 placement on the interface. The number of nearest neighbors 402 is also determined which gives information on the quantity 403 of topological defects in the pattern: a perfect hexagonal 404 tiling would correspond to six nearest neighbors for each cell. 405 Figure 3(b) shows the map of nearest neighbors at steady 406 state: there is a high number of topological defects that are 407 mainly instances of five and seven nearest neighbors. Similar 408 to our previous work [24-26], the experiments show a com-409 plex interface dynamics, with a constantly evolving spatial 410 organization of cells, nourished by regular tip-splitting and 411 elimination events. The pattern does not display any long-412 range order. 413 414

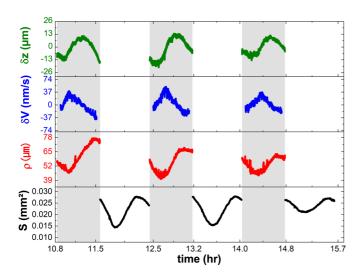


FIG. 4. Example of the data obtained for a single cell during three interferometric (shaded region) and three direct observation sequences.  $\delta Z$ ,  $\delta V$ ,  $\rho$ , and S have oscillation amplitudes of 11  $\mu$ m, 26 nm/s, 12  $\mu$ m, and 0.005 mm<sup>2</sup>, respectively ( $V_p = 1 \ \mu$ m/s,  $G = 19 \ \text{K/cm}$ ).

#### A. Oscillation of cell tip parameters

Each interferometric or white light acquisition sequence 415 represents approximately one period of the oscillations. The 416 white light acquisitions yield the evolution of the cell visible 417 surface S(t), and the interferometric acquisitions yield the 418 radius of curvature evolution  $\rho_i(t)$ , and cell position devia-419 tion  $\delta Z_i(t)$ . Figure 4 shows an example of the data extracted 420 from the sequences of direct and interferometric observations 421 of one cell: pseudo-sinusoidal periodic oscillations are evi-422 denced. A movie of the evolution of one cell throughout the 423 sequences is given in the Supplemental Material Ref. [47] to 424 visually check that the same cell has been followed through-425 out. The data are then fitted with a sine function y(t) =426  $A \sin\left(\frac{2\pi}{T}t + \phi\right) + B$ , where A is the amplitude, T is the period, 427  $\phi$  is the phase and B the offset. The physical quantities  $\delta Z_i(t)$ , 428  $\delta V_i(t)$ ,  $\rho_i(t)$ , and  $S_i(t)$  are fitted simultaneously, using the 429 same period throughout the fit, but different amplitudes, phase 430 shifts, and offsets. 431

Statistical analyses conducted on 336 cells enable us to 432 evaluate the amplitudes of oscillations of all these data, which 433 appear to vary widely from one cell to the other. Some cells 434 do not oscillate, or the amplitude of their oscillation is too 435 small to be measured, other cells may present a phase shift in 436 oscillation so that the fit is not accurate. The 104 cells with 437 the best sinusoidal fit were used below. Sinusoidal fits of  $\delta Z$ 438 and S exhibit a similar mean period of  $52 \pm 6$  min. These 439 measurements are close albeit slightly higher than the average 440 value obtained for the other solidification experiment at  $V_p =$ 441 1  $\mu$ m/s that was observed in white light (48 min) [24–26]. 442 Typical amplitudes of oscillation of tip position, growth ve-443 locity, and tip radius are, respectively, of 12  $\mu$ m ( $\approx$ 1% of the 444 thermal length), 25 nm/s ( $\approx$  3% of the pulling velocity), and 445 9  $\mu$ m ( $\approx$ 17% of the average tip radius), even if quite large 446 dispersions are observed. The order of magnitude of the oscil-447 lation amplitudes is in good agreement with the one measured 448

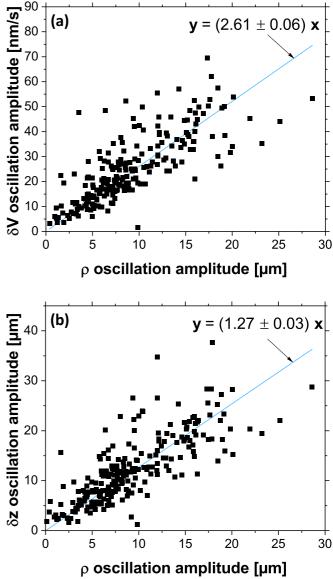


FIG. 5. Amplitudes of oscillation of the data extracted from interferometric measurements. Amplitudes for growth velocity (a) and tip position (b) are represented as a function of the tip radius oscillation amplitudes, to reveal their correlations ( $V_p = 1 \ \mu m/s$ , G =19 K/cm).

in  $2\lambda - O$  modes in thin samples [14]. As expected, amplitudes of oscillation of these different characteristics extracted from interferometry measurements show marked correlations as illustrated in Fig. 5:  $A_{\delta V}$  [nm/s] =  $(2.61 \pm 0.06)A_{\rho}$  [ $\mu$ m] and  $A_{\delta z}$  [ $\mu$ m] =  $(1.27 \pm 0.03)A_{\rho}$  [ $\mu$ m].

The next step is to analyze the phase relations between the 454 different oscillating variables. To obtain phase relationships 455 between S(t), extracted from direct observation, and  $\delta Z$  and 456  $\rho$ , extracted from interferometric measurements that are not 457 simultaneous, the curves are individually fitted to sinusoids, 458 and the phases of the fits are compared. The histogram of 459 Fig. 6(a) depicts the phase difference between  $\rho(t)$  and S(t), 460 whereas Fig. 6(b) points out the correlation of their amplitudes 461  $[A_S \text{ [mm^2]} = (9.861 \pm 0.01) \times 10^{-3} A_{\rho} \text{ [}\mu\text{m}\text{]}\text{]}$ . It appears that 462 the radii of curvature and the apparent cell areas are generally 463

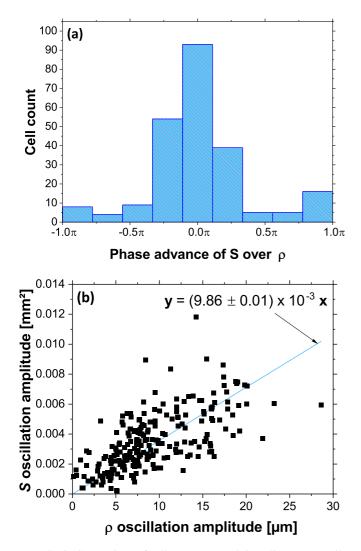


FIG. 6. Comparison of cell area, S(t), and tip radius,  $\rho(t)$ , oscillations: (a) phase difference; (b) correlation of oscillation amplitudes  $(V_p = 1 \ \mu \text{m/s}, G = 19 \text{ K/cm}).$ 

in phase and well correlated, albeit with a large dispersion. 464 Regarding the fact that the size of the apparent surface area 465 is limited mainly by the deviation of the light due to high 466 slope towards the grooves, it can be considered that S(t) and 467  $\rho(t)$  roughly describe the same phenomenon: an increase of 468 the tip radius corresponds to a larger and flatter tip therefore 469 associated to an increase of S(t). The large dispersion may be 470 attributed to the fit quality as the data are not simultaneously 471 acquired and are not perfectly sinusoidal. In the following, it 472 will then be considered that S(t) and  $\rho(t)$  both describe the 473 lateral stretching or shrinking of cells during oscillation and 474 the focus will be on phase relations between  $\rho$ ,  $\delta Z$ , and  $\delta V$ , 475 which are obtained from the sine fits. 476

As illustrated in Fig. 4, growth velocity is in phase advance compared to tip position oscillation, which is itself in phase advance compared to tip radius (and apparent cell surface) oscillation. If the direct measurements of  $\delta Z_i(t)$  and  $\delta V_i(t)$ are compared for each one of the cells *i*, the average difference between  $\delta Z$  and  $\delta V$  is  $\approx 0.52\pi$ , as expected from a pseudo-sinusoid and its derivative. As illustrated in Fig. 7, the

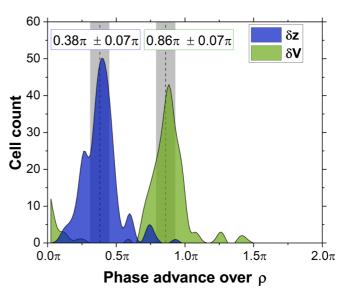


FIG. 7. Histograms of phase differences  $\rho - \delta Z$  (dark blue) and  $\rho - \delta V$  (light green). Only cells with the best sinusoidal fits are considered ( $\approx$ 104 cells). The gray intervals in each peak contain  $\approx$ 60% of the cells.

phase shift between  $\rho$  and  $\delta V$  is  $(0.86 \pm 0.07)\pi$ ; it is  $(0.38 \pm$ 484  $(0.07)\pi$  between  $\rho$  and  $\delta Z$  (the defined intervals gather about 485 60% of the cells). Regarding these data, the difference be-486 tween those phase shifts is equivalent to the phase difference 48 between  $\delta Z$  and  $\delta V$ : slightly reduced to  $\approx 0.48\pi$ , but in good 488 agreement with the direct measurements. Figure 8(a) shows an 489 idealized plot of these curves based on the mean phase values: 490 it can be seen as the evolution of the main characteristics for 49 a typical oscillating cell. 492

The variations of cell tip surface and tip undercooling 493 during oscillation predicted by previous 3D phase-field cal-494 culations for in-phase oscillation (Fig. 11 of Ref. [26]) can 495 be compared to these values considering that the cell tip 496 surface is in phase with the tip radius and that there is phase 497 opposition between tip position and undercooling. With these 405 assumptions, the phase-field results display a phase difference 499 of  $0.36\pi$  between  $\rho$  and  $\delta Z$ , consistent with our measure-500 ments. Recent phase-field simulations [16] applied to the 501 experiments in thin samples of Georgelin and Pocheau [14] re-502 port phase shifts comparable with our values:  $0.75\pi$  between 503  $\rho$  and  $\delta V$ , and  $0.25\pi$  between  $\rho$  and  $\delta Z$ . 504

New 3D phase-field simulations have been performed in 505 the frame of the analysis of this specific study and compared 506 to experimental results. We will now refer to those simulations 507 when comparing to our experiments. The phase-field model 508 used is based on the thin interface phase-field model extended 509 to the case of a binary dilute alloy [33], and is exactly the 510 same as in Ghmadh et al. [16]. Further details of simulation 511 procedures can be found in Ref. [26]. The model is adapted 512 to the present situation by using the physical parameters for 513 the SCN-camphor alloy given in Table I. Simulations are 514 performed for  $V_p = 1 \ \mu \text{m/s}$  and  $G = 24 \ \text{K/cm}$ . As pointed 515 out in our previous work, the thermal gradient used in phase 516 field simulations is taken slightly higher than the experimental 517 value to find stable oscillating patterns [26]. Coherent oscilla-518 tions of three groups of cells in a hexagonal array are obtained 519

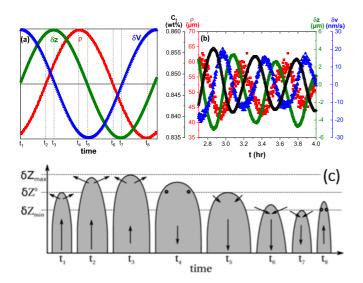


FIG. 8. (a) Scheme of the evolution of a cell shape during an oscillation period. Simplified representation of the  $\delta V$ ,  $\delta Z$ , and  $\rho$  curves of a cell, shown as sinusoids of normalized amplitude (markers blue  $\blacktriangle$ , green, and red  $\blacksquare$ , respectively). These curves are generated from the mean of the differences of the studied cells, when the phase of  $\delta Z$  is arbitrarily set to zero. (b) Example of the data obtained by phase-field simulation for a single cell during three oscillation periods ( $V_p = 1 \ \mu m/s$ ,  $G = 24 \ K/cm$ ,  $\lambda = 177 \ \mu m$ ):  $\delta Z$ , green ;  $\delta V$ , blue  $\blacktriangle$ ;  $\rho$ , red  $\blacksquare$ ;  $C_t$ , empty  $\diamond$ . (c) Cell shape evolution. The time labels correspond to the extrema and mean values of  $\delta Z$  and  $\rho$ . The arrows at the tips represent an increasing or decreasing tip radius ( $\circ$  for unchanging). The vertical arrows in the middle of the cells represent  $\delta V$ .

<sup>520</sup> using a domain containing 1.5 cells, with no-flux boundary <sup>521</sup> conditions in y and "helical" boundary conditions in x [26]. <sup>522</sup> Simulations were performed using a box of 265  $\mu$ m in width, <sup>523</sup> 156  $\mu$ m in thickness, and 3183  $\mu$ m in height.

These simulations lead to oscillating cells exhibiting 524 hexagonal ordering where three subarrays oscillate with a 525 phase shift of  $\approx \pm \frac{2\pi}{3}$ , which is in good agreement with 526 our experimental observations [24,25]. The evolution of the 527 three geometrical parameters, tip radius, velocity, and position 528 [Fig. 8(b)], lead to the phase shifts summarized in Table II and 529 a simplified representation very similar to the experimental 530 one. Additionally, the mean curvature radius found in phase-531 field simulations  $(54 \pm 7 \ \mu m)$  is in very good agreement 532 with the one found experimentally by interferometric analysis 533  $(54 \pm 5 \ \mu m)$ . 534

Thanks to these analyses, a scheme of the evolution of 535 cell shape during a period of oscillation can be given in 536 Fig. 8(c). While  $\delta Z$  advances from its mean position, tip 537 radius increases from near its minimum value. This tip radius 538 increase continues well after  $\delta Z$  has reached its maximum 539 and has started to recede. The cell tip is bluntest when  $\delta Z$ 540 is decreasing and about to reach its mean position. The cell 541 radius continues to decrease until after the cell reaches its 542 minimum  $\delta Z$ . The existence of phase differences between 543 the relevant characteristics of the cells is associated to the 544 dynamics of oscillation; classical steady-state considerations 545 such as, for example, that a faster tip is associated to a smaller 546 tip radius are not valid in that dynamical asymptotic regime. 547

TABLE II. Phase relationships and amplitude ratios between the geometrical characteristic of oscillating tips: radius  $\rho$ , position  $\delta z$ , and velocity  $\delta V$ . Comparison between measured results in experiments and in 3D phase field simulation (PF) and theoretical model for both cases. The phase-relationships obtained by PF of  $2\lambda - O$  oscillating patterns [16] are also given.

Phase shift	Experiments		3D PF		PF			
between	Meas.	Theor.	Meas.	Theor.	$2\lambda - O$			
$\rho$ and $\delta z$	0.38π	0.29π	0.38π	0.34π	0.25π			
$\rho$ and $\delta V$	$0.86\pi$	$0.79\pi$	$0.86\pi$	$0.84\pi$	$0.75\pi$			
$\delta z$ and $\delta V$	$0.52\pi$	$0.50\pi$	$0.48\pi$	$0.50\pi$	$0.50\pi$			
Amplitude ratios								
$\frac{A_v}{A_r}$ (s <sup>-1</sup> )	0.0021	0.0020	0.0037	0.0038	_			
$\frac{\frac{A_v}{A_z}}{\frac{A_{\rho}/\rho_0}{A_z}}(\mathrm{s}^{-1})$	0.013	0.002	0.032	0.005	_			

The experimental evolution scheme of Fig. 8(c) relating the cell tip shape and position (or undercooling) during oscillation is thus mandatory to propose an interpretation of the origin of oscillation.

These results can be compared to those of Georgelin 552 and Pocheau [14] in thin samples. The scheme of Fig. 8(c)553 is quite similar to the images of Fig. 2 of Ref. [14]: the 554 medium tip position of cells corresponds to either large or thin 555 cells, the highest position of the tip corresponds to thick-but 556 not thickest—cell and the lowest position of tip corresponds 557 to thin-but not thinnest-cell. Georgelin and Pocheau [14] 558 reported a phase difference of  $0.56\pi$  of tip position leading 559 the cell width, 1.5 times larger than our measurement  $(0.38\pi)$ , 560 thus suggesting a faster dynamics of shape adjustment in our 561 case. At this stage, it is not possible to relate this difference 562 to the sample geometry or to growth parameters, as measure-563 ments are available for only one set of parameters in both 564 cases. 565

#### B. Analysis of solutal interactions driving oscillation

566

Experimentally, the analysis of the oscillating patterns us-567 ing interferometry made it possible to highlight and quantify 568 the evolution of shape, velocity and position of the oscillating 569 cells. They are associated to the evolution of the concentration 570 field, which is not accessible experimentally but is clearly the 571 key parameter to explain the coupling between neighboring 572 cells. The advantage of phase field simulations is that, in 573 addition to purely geometric characteristics of the cell tip, 574 they provide the spatiotemporal evolution of the concentration 575 field. 576

To evidence the very small variations of concentration associated to oscillation, Ghmadh *et al.* [16] have developed an original method of analysis based on the determination of local constitutional supercooling. The constitutional supercooling  $\Delta C(\vec{r}, t)$  is defined as the difference between the equilibrium concentration of a liquid point, which is the liquidus concentration and the actual concentration  $C(\vec{r}, t)$ : 579

$$\Delta C(\vec{r},t) = C_L(z,t) - C(\vec{r},t). \tag{10}$$

It may also be interesting to compare these data to the 3D phase-field simulations conducted by Ghmadh *et al.* [16] to 585

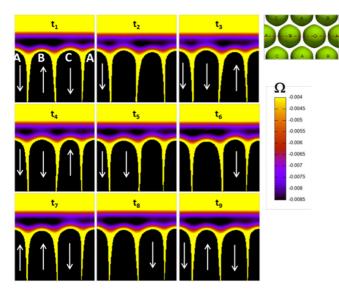


FIG. 9. Time evolution of the oscillation mode of a hexagonal array obtained numerically. Solid appears in black in the lower part; white arrows indicate the direction of the cells' motion; in the liquid phase, isosurfaces are drawn for the constitutional undercooling  $\Omega$ . One oscillation period corresponds to the time interval between  $t_1$  and  $t_9$ .

analyze  $2\lambda - O$  oscillating patterns obtained by Georgelin and Pocheau [14] in thin samples. The phase relationships are in very good agreement with the ones found experimentally as well as they are in the same range as those found by Ghmadh *et al.* [16]. Especially, in spite of slight differences of values, those phase shifts lead to a scheme of evolution similar to the one presented in Fig. 8(b).

A dimensionless undercooling  $\Omega$  can then be defined as 593  $\Omega = -\frac{k}{C_0}\Delta C$ . Once the interface is destabilized, a zone of 594 negative undercooling builds up in the liquid and  $\Omega$  goes 595 through a minimum at some distance ahead of the cell tips 596 (in the solid, the undercooling is uniformly set to an arbitrary 597 negative constant).  $\Omega$  becomes more negative when the con-598 stitutional supercooling increases. We applied the same kind 599 of analysis in this work, for the  $\frac{2\pi}{3}$ -oscillating patterns. 600

Figure 9 represents  $\Omega$  in the xz plane that intersects the 601 cell tips along the red dotted line in the top view. One can 602 follow the evolution of the geometric parameters [Fig. 8(b)] 603 by focusing attention on cell B of Fig. 9. Let us first con-604 sider the variation of tip velocity. At time  $t_2$ ,  $\delta V = 0$  and it 605 decreases until  $t_5$ . This decrease of velocity below the average 606 tip velocity means that the vertical flux rejected at the tip 607 decreases below its average value: the concentration ahead of 608 the tip also decreases with a small time shift, producing the 609 large black area that develops above the cell tip between  $t_4$  and 610  $t_7$ . The increase of velocity between  $t_7$  and  $t_9$  above the aver-611 612 age velocity induces the inverse phenomenon, with a resulting increase of concentration and the reduction of the black area 613 above the tip. The same behavior shifted by  $\frac{2\pi}{3}$  is observed 614 for the two other cells, A and B. Similar observations were 615 done by Ghmadh *et al.* [16] in the  $2\lambda - O$  oscillating patterns, 616 except that they had only two cells in phase opposition. 617

<sup>618</sup> Whatever the system is, the question at this point is to iden-<sup>619</sup> tify the mechanism that enables us to counteract the variations

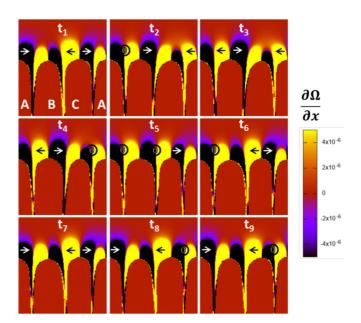


FIG. 10. Time evolution of the field  $\frac{d\Omega}{dx}$  during one oscillation period ( $t_1$  to  $t_9$ ). The arrows indicate the orientations of the horizontal solute currents that converge towards the neighbor cell;  $\circ$  denotes zero flow. At the bottom and the top of the frames, the zero current areas, respectively, represent the solid and the far-field liquid.

of velocity and concentration to go back to average values: 620 such a mechanism is necessary to explain why, for example, a 621 cell would not continue to recoil and eventually be eliminated 622 when the velocity decreases. As described in Ref. [16], it is 623 possible to evidence horizontal solute fluxes, by representing 624  $\frac{d\Omega}{dx}$  (in the solid,  $\frac{d\Omega}{dx} = 0$ ): Fig. 10 shows the time evolution of  $\frac{d\Omega}{dx}$  over an oscillation period. On the same basis used for 625 626 the  $2\lambda - O$  oscillating patterns [16], it is possible to explain 62 the cycle of oscillation, taking into account horizontal solute 628 fluxes coming from neighboring cells. When the concentra-629 tion is minimal above the cell tip B (large black area above 630 the cells), the concentration above cell tips A and C is higher 63 than above B: the horizontal solute currents coming from the 632 cells A and C converge, which induce a melting of the tip 633 and sides of cell B. The tip radius of B decreases to reach 634 its minimum, thus inducing an increase of diffusion currents 635 at the tip and consequently an increase of the tip velocity 636 and of the concentration above B. Compared to the  $2\lambda - O$ 637 oscillation mode, the main difference evidenced in Fig. 10 is 638 the asymmetry of the horizontal fluxes coming to or from B. 639 This probably results from the phase shift of  $\pm \frac{2\pi}{3}$  between the 640 three subpatterns, and this provokes an asymmetry of the cell 641 shape clearly visible in Fig. 10. 642

The two mechanisms that modify the solute concentration 643 (and thus the undercooling) above the tip are identified: a 644 vertical one and a horizontal one resulting from interaction 645 with neighbors. The coupling of these two mechanisms in-646 volved in the oscillation fixes the phase shifts between the 647 oscillation of the different cell tip characteristics, since one of 648 these mechanisms is based on neighbor interaction. We may 649 suspect that the pattern organization and the coherence and 650 synchronization mode of oscillation affect those phase shifts. 651

652

#### C. Theoretical analysis of the cell tip dynamics

Let  $\delta Z$ ,  $\delta V$ ,  $\delta \rho$ ,  $\delta C_t$ , respectively, denote the oscillating 653 components of the tip position, velocity, curvature radius 654 and interfacial liquid solute concentration at the cell tip. 655 Sine variations are considered which will be treated as com-656 plex numbers for easier calculations:  $\tilde{\delta}\rho = A_{\rho}e^{i(\omega t)}$ ,  $\tilde{\delta}v =$ 657  $A_v e^{i(\omega t + \phi_v)}$ ,  $\tilde{\delta}z = A_z e^{i(\omega t + \phi_z)}$ ,  $\tilde{\delta}C_t = A_c e^{i(\omega t + \phi_c)}$ . Since the ve-658 locity is given by the temporal derivative of the tip position, 659  $V(t) = \dot{z}(t)$ , we have 660

$$\frac{A_v}{A_z} = \omega \text{ and } \phi_v = \phi_z + \frac{\pi}{2}.$$
 (11)

<sup>661</sup> Moreover, local equilibrium at the interface implies that

$$\delta C_t = \frac{G\delta z}{m} \text{ with } m < 0 \tag{12}$$

662 so that

$$\frac{A_c}{A_z} = \frac{G}{|m|} \text{ and } \phi_c = \phi_z + \pi.$$
(13)

The existence of local equilibrium at the interface implies 663 the solute diffusion time in the liquid to be much faster than 664 the oscillation period. As previously mentioned, the mean 665 oscillation period is equal to  $52 \pm 6$  min. The solute diffu-666 sion time can be estimated by the ratio between the square 667 of the diffusion length and the solute diffusion coefficient 668 in the liquid  $D_L$  (Table I). In our case, a relevant diffusion 669 length would be the primary spacing ( $288 \pm 42 \ \mu m$ ). So, the 670 solute diffusion time may be estimated as 5.1 min, which is 671  $\approx 10$  times smaller than  $\tau_{\rm osc}$ . We can then consider that the 672 conditions for local equilibrium are respected. An additional 673 selection criterion that defines the operating conditions at the 674 cell tip and relates the tip radius to the other oscillating tip 675 characteristics is imposed to determine the remaining phase 676 shift. Even if it is more adapted to the dendritic regime, we 677 consider that the tip radius is selected through the solvability 678 approach, taking into account capillarity [48,49]: 679

$$\rho^2(mG_c - G) = \frac{\Gamma}{\sigma^*} \Rightarrow 2\rho_0 \delta \rho(mG_{c,0} - G) + \rho_0^2 m \delta G_c = 0,$$
(14)

where  $G_c$  is the concentration gradient at the tip,  $\Gamma$  the Gibbs-Thomson coefficient, and  $\sigma^*$  the stability constant.  $\rho_0$  and  $G_{c,0}$ are the average values of  $\rho$  and  $G_c$ , respectively.

The concentration gradient at the tip may be defined by the solute flow balance:

$$G_{c}(t) = \frac{k-1}{D_{L}}C_{t}(t)V(t) \Rightarrow$$
  

$$\delta G_{c}(t) = \frac{k-1}{D_{L}}(V_{p}\delta C_{t} + C_{t,0}\delta V), \text{ and} \qquad (15)$$
  

$$G_{C,0} = \frac{k-1}{D_{L}}C_{t,0}V_{p},$$

where  $C_{t,0}$  is the average value of  $C_t$  and the average value of the velocity is equivalent to the pulling velocity,  $V_p$ . Combining Eqs. (14) and (15):

687 ing Eqs. (14) and (15):

$$2\frac{\delta\rho}{\rho_0} = -B\left(\frac{\delta C_t}{C_{t,0}} + \frac{\delta V_t}{V_p}\right) \tag{16}$$

688 with 
$$\frac{1}{B} = 1 - \frac{GD_L}{mV_pC_{t,0}(k-1)} > 0.$$

Using the complex notation previously defined, and the results of Eqs. (11)–(13), one gets 690

$$2\frac{A_{\rho}}{\rho_{0}} = e^{-i\pi} B\left(\frac{A_{c}}{C_{t,0}}e^{i\phi_{c}} + \frac{A_{v}}{V_{p}}e^{i\phi_{v}}\right)$$
$$= Be^{i\phi_{c}}\left(\frac{A_{c}}{C_{t,0}} - i\frac{A_{v}}{V_{p}}\right), \tag{17}$$

which is equivalent to

and

$$\frac{A_{\rho}}{A_z} = B \frac{\rho_0}{2} \sqrt{\left(\frac{G}{|m|C_{t,0}}\right)^2 + \left(\frac{\omega}{V_p}\right)^2}$$
$$\tan(\phi_z) = \frac{C_{t,0}}{V_p} \frac{\omega|m|}{G}.$$
(18)

The average tip concentration  $C_{t,0}$  can be calculated using the undercooling  $\Delta_{KP}$  defined in the Karma-Pelcé (KP) model [50,51]: 694

$$\Delta_{KP} = \frac{T_L - T_{t,0}}{T_L - T_S} = \frac{f_{s,0}k + (1 - f_{s,0})\frac{D_L}{V_P l_T}}{1 - f_{s,0}(1 - k)},$$
 (19)

where  $T_{t,0}$  is the average tip temperature,  $T_L$  and  $T_S$  are the 695 liquidus and solidus temperatures for the composition  $C_0$ , 696 respectively.  $l_T$  is the thermal length defined as  $\frac{mC_0(k-1)}{kG}$ .  $f_s$ 697 is defined as the solid fraction in a region behind the tip where 698 the cell width can be assumed constant;  $f_{s,0}$  is the average 699 value of  $f_s$ . It should be pointed out that Eq. (19) reduces to 700 the well-known Bower-Brody-Flemings model [52] when  $f_{s,0}$ 70 vanishes. From Eq. (19), we get 702

$$C_{t,0} = C_0 \left( 1 - \frac{k-1}{k} \Delta_{KP} \right).$$
 (20)

Equations (11)-(18) are used to calculate all the phase 703 shifts and oscillation amplitudes that define the oscillating 704 cell dynamics, to compare them with experimental and nu-705 merical simulation results. In addition to the experimental 706 control parameters and to the characteristics of the alloy, the 707 tip concentration is required for the calculations. For phase-708 field simulations, the tip undercooling is directly provided and 709 converted in tip concentration using Eq. (20). The tip concen-710 tration evolution is represented by the dark curve in Fig. 8(b), 711 for  $V_p = 1 \ \mu \text{m/s}$ , G = 24 K/cm and  $\lambda = 177 \ \mu \text{m}$ , and a sinu-712 solidal fit leads to  $C_{t,0} = 0.85$  wt% and  $A_c = 0.01$ . The analysis 713 of the sinusoidal fits of  $C_t$  and  $\delta z$  is in accordance with 714 Eq. (13). In experiments, the tip concentration is not available, 715 but we can estimate the solid fraction  $f_s$  deduced from the 716 measurements of the visible cell surface S and use Eqs. (19) 717 and (20) to characterize the experimental tip concentration. 718 Considering an ideal hexagonal pattern,  $f_s$  can be evaluated as 719 the ratio between S and the area of a hexagon with an apothem 720 equal to the half of the primary spacing. This calculation 721 leads to  $f_{s,0} \approx 0.60$  and  $A_{f_s} = 0.01$ , and  $C_{t,0} = 0.89$  wt% and 722  $A_c = 0.02$ . However, this determination of the solid fraction is 723 relatively imprecise as we cannot be sure that the cell visible 724 surface S exactly fits the cell size (it may be underestimated 725 depending on optical visibility) and the calculation of the 726 fraction is based on the hypothesis of a perfect hexagonal 727 pattern, which is not experimentally the case. The experi-728 mental and simulation values of  $C_{t,0}$  are nonetheless very 729 close. 730

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The values of phase shifts are gathered in Table II: the 731 theoretical values are compared to both experimental and 732 numerical values. Using the numerical oscillation period T =733 27 min and the estimated average tip concentration 0.85 wt%, 734 the theoretical phase shift of z is calculated  $\phi_z = 0.34\pi$ ; it 735 is quite similar to its value directly measured in PF and to 736 the experimental value (both  $0.38\pi$ ). With the experimental 737 parameters ( $T = 52 \min \text{ and } C_{t,0} = 0.89 \text{ wt\%}$ ), the theoretical 738 phase shift of Z is equal to  $\phi_z = 0.29\pi$ , significantly lower 739 than the experimentally measured value of  $0.38\pi$  as well. 740 It appears that this phase shift is very sensitive to the solid 741 fraction  $f_s$ : a  $f_{s,0} \approx 0.90$  would be necessary to get a perfect 742 agreement between theory and experiment. The other phase 743 shifts are deduced from the derivative relationship between V 744 and z, and the phase shifts between experiments, or simula-745 tion, and the theoretical calculation are quite consistent. 746

Regarding the ratio of oscillation amplitudes, the theo-747 retical  $\frac{A_v}{A_z} = 0.0020 \text{ s}^{-1}$  is very close to the experimental 748 one  $(0.0021 \text{ s}^{-1})$ , and, similarly, between the theoretical 749  $0.0038 \text{ s}^{-1}$  and the phase-field simulation  $0.0037 \text{ s}^{-1}$ . It 750 should be pointed out that this agreement is trivially expected 751 since the ratio  $\frac{A_v}{A_v}$  is fixed by the oscillation period follow-752 ing Eq. (11) and the period is accurately determined in both 753 experiment and simulation. Relation (18) is used to calculate 754 the theoretical value of  $\frac{A_{\rho}/\rho_0}{A_z}$ . For the phase-field simulation 755 working parameters, its value is 0.005  $\mu$ m<sup>-1</sup>, which is almost 756 six times lower than the one estimated using the directly 757 measured amplitudes of oscillation (0.032  $\mu$ m<sup>-1</sup>). Experi-758 mentally, the variations of  $\frac{A_{\rho}}{\rho_0}$  as a function of  $A_z$  lead to a 759 slope of 0.013  $\mu$ m<sup>-1</sup>, and the estimated value through Eq. (18) 760 is equal to 0.002  $\mu$ m<sup>-1</sup>, also six times lower. We may suggest 761 two different hypotheses for the discrepancy observed in the 762 ratio of oscillation amplitudes  $\frac{A_{\rho}/\rho_0}{A_z}$ . 763

First, we recall that Eq. (14) was developed for a dendritic 764 regime. In that case, tips can be approximated as isolated 765 parabolic tips, with almost no overlap of the concentration 766 field. It is not exact in case of cells and especially of oscil-767 lating cells for which the solute fluxes between neighboring 768 cells are critical during the oscillation cycle. To see how the 769 equations would be modified by using a specifically cellular 770 equation, we can replace Eq. (14) by an equation developed 771 in the theoretical framework of the analogy between cells and 772 Saffman-Taylor (ST) viscous fingers [50,53]. Its use is neither 773 fully justified because it applies theoretically within the limit 774 of small cell tip Péclet number (Pe =  $\frac{\lambda V_p}{D_L} \ll 1$ , where  $\lambda$  is the primary spacing), which is not our case (Pe  $\approx 1$ ). The cell 775 776 shape is determined by the relative width  $\Lambda$  of the cell, which 777 corresponds to the ratio of the cell width with the primary 778 spacing. In analogy with ST solutions, the selection of  $\Lambda$ 779 proceeds through a capillary function  $F_{\Lambda}(\Lambda)$  that depends on 780 a parameter that quantifies surface tension effects [54]: 781

$$\rho^2(mG_c - G) = \Gamma F_{\Lambda}(\Lambda).$$
(21)

We see that, if the term  $F_{\Lambda}(\Lambda)$  is set to a specific constant, we go back to Eq. (14) developed for a dendritic regime [48,49]. Equations (14) and (21) therefore describe two limit domains, our case being between them. The ST finger shape basically contains two shape parameters,  $\frac{\rho}{\lambda}$  and  $\Lambda$ , related to each other, so that only one of these variables is required to describe the shape:  $F_{\Lambda}(\Lambda) = F_{\Lambda}(\frac{\rho}{\lambda})$ . In the oscillating experiments, the primary spacing attains a steady-state value: the capillary function is then supposed to vary only due to tip radius oscillations and we can switch from  $F_{\Lambda}(\frac{\rho}{\lambda})$  to  $F_{\rho}(\rho)$ . After differentiation, we get

$$2\rho_0\delta\rho(mG_{c,0}-G) + \rho_0^2 m\delta G_c = \Gamma\delta F_\rho(\rho).$$
(22)

In a 3D pattern, we can consider that the relative width  $\Lambda$  is 793 similar to the solid fraction  $f_S \propto \frac{S}{\lambda^2}$ . As the apparent surface 794 S oscillates in phase with the tip radius, it thus follows that 795 the solid fraction, the relative width, the tip radius and the 796 capillary function oscillations are all in phase. We then define 797 the complex number for  $\delta F_{\rho}$ , with the tip radius fixing the origin of phases:  $\tilde{\delta} F_{\rho} = A_F e^{i(\omega t)}$ . The calculation is carried out 798 799 in the same way and with the same assumptions as previously 800 done, and Eqs. (17) and (18) can be replaced by 80

$$2\frac{A_{\rho}}{\rho_0} - \frac{A_F}{F_0} = Be^{i\phi_z} \left(\frac{A_c}{C_{t,0}} - i\frac{A_v}{V_p}\right),$$
 (23)

where  $F_0$  is the average value of  $F_{\Lambda}(\Lambda)$  defined as  $\frac{\rho_0^2(mG_{c,0}-G)}{\Gamma}$ . 802 Equation (23) is equivalent to 803

$$\frac{A_{\rho}}{A_z} = \frac{\rho_0}{2F_0} \frac{A_F}{A_z} + B \frac{\rho_0}{2} \sqrt{\left(\frac{G}{|m|C_{t,0}}\right)^2 + \left(\frac{\omega}{V_p}\right)^2}$$
  
d  $\tan(\phi_z) = \frac{C_{t,0}}{V_p} \frac{\omega|m|}{G}.$  (24)

We note that the theoretical phase shift of z is not affected 804 by the modification, so that the small differences between 805 measured and theoretical phase shifts do not come from the 806 incorrect choice of the equation for the operating point. How-80 ever, for amplitudes,  $\frac{A_{\rho}}{A_{z}}$  is increased by the capillary function 808 oscillation  $\frac{A_F}{F_0}$  when compared to the previous expression. It was previously observed that Eq. (18) leads to a large un-809 810 derestimation of  $\frac{A_{\rho}}{A_{\tau}}$  when compared to the measured value: 811 the correct description of the operating point could partly 812 explain the difference. Applying the limit case of ST analogy 813 described by Eq. (24), we can evaluate a relative oscillation 814 amplitude for the capillary function  $\frac{A_F}{F_0}$ : 24% and 28% with, 815 respectively, PF and experimental parameters. Those values 816 are almost twice the relative oscillation amplitude of tip ra-817 dius; inversely, if a ratio  $\frac{A_F}{F_0}$  similar to the relative oscillation amplitude of tip radius is used, the discrepancy between theo-818 819 retical and measured values of  $\frac{A_{\rho}/\rho_0}{A_z}$  decreases from a ratio of 6 to 1.4. A correct description of the operating point would 820 82 probably lead to an intermediate situation between the use 822 of Eqs. (14) and (21), with still an underestimation of the tip 823 radius oscillation, but largely reduced compared to the use of 824 Eq. (14). We also can postulate that the phase-shift expression 825 would not be modified. 826

The second element that could explain the discrepancy observed in the ratio of oscillation amplitudes  $\frac{A_{\rho}/\rho_0}{A_z}$  is the fact that this theoretical analysis does not take into account the solute fluxes that come from neighboring cells and that are essential in the oscillating mechanism. These fluxes modify the concentration field and the resulting tip concentration 832

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and gradient. It is difficult to include these fluxes in the 833 analysis but they also partly affect the oscillation amplitudes 834 ratio and more importantly, necessarily affect the phase shift: 835 as a matter of fact, the dynamics of these fluxes strongly 836 depends on the pattern organization and of the type of oscil-837 lation coherence. In the  $\frac{2\pi}{3}$  type or  $2\lambda - O$  oscillations, the 838 neighboring fluxes have different dynamics: we note that in 839 spatially extended PF and experimental cases, the underlying 840 pattern order is similar (hexagonal type) and the measured 841 phase shifts have the same value ( $\phi_z = 0.38\pi$ ) whereas in 842 simulation of  $2\lambda - O$ , the phase shift is smaller ( $\phi_z = 0.25\pi$ ). 843 As a conclusion, the basic solidification equations can be 844 a good starting point to describe the oscillation dynamics. A 845 qualitative analysis suggests that the oscillation amplitudes 846 would be better evaluated mainly by a more adequate de-847 scription of the operating point. The neighboring solute fluxes, 848

and therefore the underlying pattern order, mainly control the
 phase shifts of the different oscillating parameters.

#### IV. CONCLUSIONS

Experiments under low-gravity conditions were carried out 852 in the directional solidification insert (DSI) of the DECLIC 853 facility installed on board the International Space Station as 854 part of a joint research program between CNES and NASA. 855 The use of an organic transparent alloy in a large cylindri-856 cal crucible enabled the real-time and in situ observation of spatially extended patterns, and microgravity enabled growth 858 in a diffusive transport mode. These exceptional conditions 859 allowed us to observe, for the first time, the dynamics of 860 extended oscillating cellular 3D patterns. In some previous 861 articles [24-26], we characterized the oscillations through the 862 study of the periodic variation of the apparent area of cells 863 in the xy plane—which is in fact associated to a tip radius 864 oscillation-using top-view interface observation. However, oscillating cells also display variations of the tip position and 866 velocity in the vertical Z direction. In the present work, the 867 interferometric data available in DECLIC-DSI were used to 868 reconstruct cell shape and measure oscillations of the cell tip 869 position  $\delta Z$  and growth velocity  $\delta V$ , in order to completely 870 quantify the dynamics of oscillating cells. Moreover, the in-871 terface shape was reconstructed for each cell which allowed 872 measuring the radius of curvature and its oscillations. The 873 method of interferometric images analysis, for which it is not 874 trivial to reach the highest resolution in spite of noise and 875 nonuniform contrast conditions, was described. The individ-876 ual cell shapes were then reconstructed with a  $\rho$  resolution 877 of  $\approx 3 \,\mu$ m, and the evolution of cell growth velocity with a 878 resolution of a few nm/s. Comparison with the cell apparent 879 surfaces showed that S and  $\rho$  are correlated and in phase. A 880 value of  $0.86\pi$  was measured for the phase shift between  $\rho$ 881 and  $\delta V$ , showing that cell tip radius and cell velocity are part of a rather complex dynamic of advance and bulging. These 883

analyses allowed us to propose a scheme of the evolution of the cell shape during one period of oscillation. While Z advances from its mean position,  $\rho$  increases from its minimum value and it continues well after Z has reached its maximum position and started to recede. The cell tip is bluntest when Z is decreasing and about to reach its mean position.

The oscillations of tip shape parameters and tip position were correlated to the evolution of the concentration field by 891 using phase-field simulations that, additionally to the purely 892 geometric parameters of the cell tip, gave the spatiotemporal 893 evolution of the concentration field. Phase-field simulations 894 were performed to compare with the experimental results 895 on extended arrays. The phase shifts of the geometrical pa-896 rameters obtained experimentally and in simulation proved 897 perfectly comparable. Due to simulation results, two mechanisms modifying the solute concentration above the tip were 899 identified, a vertical one and a horizontal one resulting from 900 the interaction with neighbor cells. Similarly to what was 901 recently evidenced for  $2\lambda - O$  oscillations in thin samples 902 [16], the dynamics of these two mechanisms was found to 903 determine the phase shifts between the oscillations of the 904 different cell tip characteristics. 905

A theoretical modeling based on classical equations of so-906 lidification enables us to analyze the oscillation characteristics 90 of the different tip parameters. The oscillation amplitude of 908 the tip radius estimated using the theoretical model is signif-909 icantly smaller than the measured one. Two limit cases are 910 used in the model to describe the tip operating point and relate 91 the tip radius to the undercooling, corresponding to small and 912 large Péclet numbers. Even if our situation lies between these 913 limit cases, this approach enabled us to evidence the impor-914 tance of the tip-shape selection on the oscillation amplitudes; 915 it also demonstrated that phase shifts between the different 916 oscillating quantities are not affected by the tip-shape selec-917 tion. The theoretical phase shifts are rather consistent with 918 experimental measurements as well as phase-field numerical 919 simulations. However, we expect these phase shifts to be 920 influenced by the neighboring solute fluxes-linked to the un-921 derlying pattern order-which are not included in the model. 922 Thus, 3D phase-field analyses of square patterns, with two 923 subpatterns in phase opposition, would also be interesting to 924 compare to the hexagonal configuration analyzed in this work. 925

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