

# Quantification and prediction of water uptake by soot deposited on ventilation filters during fire events

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## Abstract

Soot samples from different fuels were produced in small and pilot combustion test benches at various O<sub>2</sub> concentrations, then characterized in terms of primary particle diameter, BET specific surface area and oxygen content. Water sorption measurements were then carried out for soot compacted into pellet and let in powder form, using both a gravimetric microbalance and a manometric analyzer. Water adsorption isotherms are all found of type V, and reveal the central role of the specific surface area and the oxygen content of soot. A single parametrization of the second Dubinin-Serpinsky model allows for a proper fitting of all isotherms. To our best knowledge, this is the first study to provide physicochemical parameters and water sorption results for fire soot. This enables a better description of the soot cake formed on filters during a fire, in particular in industrial confined facilities that were simulated in this study. The humidity can be then explicitly considered like other parameters influencing the aerologic resistance of cakes. These results can contribute to better predict the consequences of fires on the containment of toxic materials within such industrial facilities.

**Keywords:** fire soot, physical and chemical characterization, water adsorption, Dubinin-Serpinsky model

## Introduction

Need for efficient filtration devices relevant for containment of hazardous materials as nuclear materials, nanoparticles or pathogens respectively in basic nuclear installations, nanomaterials

28 manufacturing industry and, with regard to the SARS-CoV-2 pandemic of 2020, of  
29 biological/virological research institutes is of main importance. Beyond their initial efficiency,  
30 which is generally fixed to high level and characterized as High Efficiency Particulate Air for  
31 filters, their performance must be maintained despite hazardous situations which could  
32 eventually occur within an industrial or research confined and ventilated facilities. Furthermore,  
33 dramatic fires of the Notre-Dame Cathedral (15-16 April, 2019 in Paris, France), Lubrizol (26  
34 September, 2019 in Rouen, France) and in contaminated surroundings of the Chernobyl site  
35 (04-05 April 2020, Chernobyl exclusion site, Ukraine) highlight the need for developing tools  
36 able to predict consequences of such fires in terms of dispersion of hazardous materials in the  
37 atmosphere [1–3]. Exposition of firefighters but also of population to such hazardous airborne  
38 transported during wildland or industrial fires is also of societal importance and open the  
39 question of performance of protective personal equipment in such accidental situation and most  
40 particularly on filtration efficiency and clogging of filtering face piece.

41 Soot particles, defined by Petzold et al. [4] as agglomerates of monomers consisting solely of  
42 carbon with few amounts of hydrogen and oxygen, are inevitably produced during combustion  
43 processes encountered during wildland or industrial fires. For facilities manufacturing or  
44 handling hazardous materials, soot emitted during a fire can be confined in those installations  
45 using high efficiency particulate air (HEPA) filters, but massive emission can clog those filters  
46 by formation of “cakes” [5], increasing the aeraulic resistance of the air flow passing through  
47 the filters. This enhances the mechanical strains applied on the structure of the filters, leading  
48 in extreme case to their rupture. Similar phenomena could also occur for personal protective  
49 equipment worn by firefighters or population, which could leads to an increase of the pressure  
50 drop of the filtering part, enhancing leaks and, as a consequence, decreasing the protective  
51 factor of those devices. For each of those applications and especially for safety analysis of  
52 nuclear facilities, it is crucial to be able to describe this clogging phenomenon in order to predict  
53 consequences of fires on the containment of radioactive materials. A main parameter  
54 influencing the filters clogging is the cake porosity, formed by interstitial spaces between soot  
55 aggregates. Typical porosity of soot cake has been reported above 95% [6]. Aeraulic resistance  
56 of cakes depends also on humidity [7,8], which can reach high values when water aspersion  
57 devices are used to extinguish the fire. The presence of organic vapors also influence the  
58 aeraulic resistance [5]. Thus, refined clogging models should take into account the dynamic  
59 regime of the clogging process, including high humidity variations and structural changes to  
60 which the filter and the cake are subjected to [9,10]. Furthermore, cake can be restructured in

61 presence of liquid water due to capillary condensation [11,12], also changing the aeraulic  
62 resistance [13]. Yet, all these phenomena have not been specifically modelled for soot emitted  
63 during a realistic fire. Indeed, due to their morphology and their potential hydrophilic character,  
64 fire soot particles can strongly adsorb water molecules leading to such capillary condensation.  
65 To develop a relevant clogging model, it is necessary to determine the water uptake of fire soot  
66 as a function of humidity and, in this context, it is particularly interesting to determine the  
67 transition between adsorption and capillary condensation. It is also relevant to understand the  
68 effect of the fire conditions ( $O_2$  concentration, air flux) on the water uptake, as these conditions  
69 can influence the physicochemical properties of soot [14]. Water uptake measurements have  
70 been performed for chemically or thermally treated synthetic carbons, such as activated carbon  
71 [15,16], mesoporous carbon [17] and commercial carbon blacks [18,19], but have never been  
72 reported for fire soot. Carbon blacks could be considered, from a size and morphological point  
73 of view, as relevant surrogates of soot [20], but not from a chemical point of view since they  
74 are mostly composed of elemental (graphitic) carbon, while combustion soot usually denotes  
75 significant oxygen [20] and organic content [14]. Water uptake has been also measured on  
76 reference soot, emitted by laboratory burners or engines with various fuels, like diesel and  
77 kerosene [21,22]. In all cases, the main parameters influencing the water uptake are the sample  
78 porosity and the particles' chemical composition, and the main adsorption mechanism is the  
79 formation of water clusters at hydrophilic adsorption sites [23]. Numerous models for water  
80 adsorption on carbon have been proposed, especially for very porous activated carbon [24].  
81 Among them, the Dubinin-Serpinsky (DS) adsorption model [25] is valid either for porous and  
82 non-porous carbonaceous materials. In the case of cakes of fire soot, the DS model seems  
83 relevant, as water adsorption can take place at the surface of the non-porous soot aggregates  
84 forming the cake.

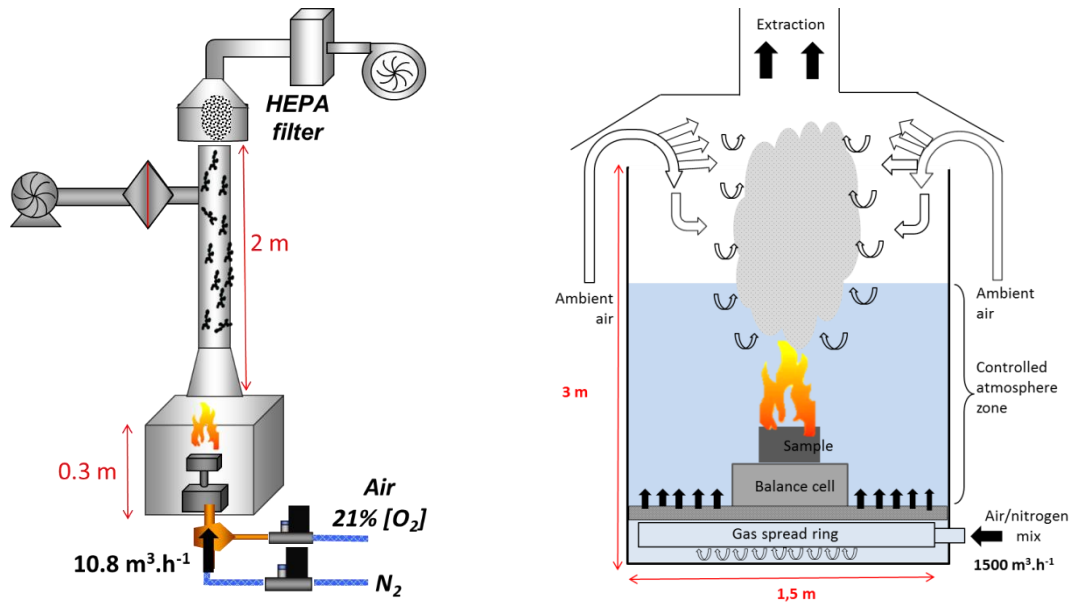
85 Using small and pilot combustion test benches, we have produced fire soot under  
86 conditions representative of fire events [14,26]. Different  $O_2$  concentrations of the oxidizing  
87 gas have been used to mimic the real case of a poorly ventilated and confined fire, representative  
88 of industrial facility handling hazardous materials (biological and radioactive nanoparticles).  
89 For nuclear safety application, we have considered fuels commonly found in nuclear facilities  
90 or extensively studied in the past [14]. Significant amounts of soot have been collected in order  
91 to perform physicochemical analysis and sorption measurements. TEM image analysis [27],  
92 nitrogen sorption measurements [28], elementary and XPS analysis [29] have been used to  
93 determine respectively the soot primary particles diameters, the BET specific surface area and

94 the global and surface oxygen contents. Water sorption measurements were carried out for soot  
95 compacted into pellet and let in powder form, using respectively a gravimetric microbalance  
96 and a manometric analyzer.

## 97 **1. Experimental procedure**

### 98 *a. Soot production*

99 Soot samples were produced in two cones calorimeters, one at laboratory or “lab” scale  
100 and one at “pilot” scale, differing in their dimensions, their oxidizing gas flowrates and the  
101 residence times of the emitted particles inside their combustion chamber (Figure. 1). The  
102 calorimeter at laboratory scale consists of a combustion chamber of 0.03 m<sup>3</sup> topped with a  
103 column in which the combustion aerosol is transported to the sampling point. We used as fuel  
104 40 mL of heptane (Sigma-Aldrich) or DTE Medium (Exxon Mobil), a hydraulic oil used in the  
105 French nuclear industry, placed in a cylindrical container of 5.7 cm of internal diameter. We  
106 have also tested polymethyl methacrylate (PMMA), the major compound of gloveboxes walls  
107 usually used for the containment of hazardous materials (along with polycarbonate). The total  
108 flowrate of the oxidizing air was fixed at 10.8 Nm<sup>3</sup>.h<sup>-1</sup>, and the O<sub>2</sub> concentration was varied by  
109 changing the air to nitrogen ratio with two mass flow controllers (model 5853S, Brooks). We  
110 chose three O<sub>2</sub> concentrations of 15% (highly depleted air), 18% (depleted air) and 21%  
111 (ambient air) in the oxidizing gas for fires with heptane, DTE and PMMA. For each fuel, the  
112 soot samples are named accordingly to these oxidation conditions (*e.g.* heptane 15%, heptane  
113 18% and heptane 21%). Soot was collected on a cellulose acetate membrane (type 11106,  
114 Sartorius Stedium Biotech) placed in a high-volume air sampler (TE-2000PX, TISCH  
115 Environmental Inc, Ohio) at a flowrate of 8 Nm<sup>3</sup>.h<sup>-1</sup>. In order to carry out different *ex situ*  
116 analysis, soot has been kept in a dry hermetic desiccated container, and away from light. The  
117 calorimeter at pilot scale has a significantly larger size and residence times compared to the  
118 laboratory scale ones [26]. PMMA sheets and heptane pools were used as fuels, and their  
119 respective dimensions are reported in Table 1.



120 Figure 1: Experimental setup of the controlled atmosphere cones calorimeters at lab scale (left) and at pilot scale  
 121 (right)

122

123 Table 1: Summary of operational conditions for fire tests in the laboratory and pilot scale cone calorimeters

		Laboratory scale	Pilot scale
Combustion chamber volume (m <sup>3</sup> )		0.03	22
Oxidizing gas flowrate (Nm <sup>3</sup> .h <sup>-1</sup> )		10.8	1500
Residence time (seconds)		10	53
Flowrate of high-volume air collector (Nm <sup>3</sup> .h <sup>-1</sup> )		8	60
Fuels and dimension (cm)	PMMA plate	5x5x1	40x40x3
	Liquid fuel in a cylindrical container	Heptane	∅: 21
		Hydraulic oil	∅: 5.7

124

125

127           The true density of the primary particles of carbonaceous aggregates was determined  
128 using a measurement technique based on the displacement of a liquid induced by the immersion  
129 of a known mass of sample. This method, described in the ISO 787-23 standard and based on  
130 the Archimedes buoyancy principle (using ethanol as displacement liquid), has been recently  
131 demonstrated to be relevant despite type of soot samples [30]. The diameters of the soot primary  
132 particles were determined by transmission electron microscopy (TEM). For TEM sampling,  
133 soot particles have been first diluted in ethanol and mixed in an ultrasonic bath during several  
134 minutes resulting to a homogeneous suspension. A microliter of this solution has been deposited  
135 on TEM grids (holey carbon film 300 mesh Cu (X25), S-147-3H from Agar Scientific®) and  
136 let drying. Soot micrographs were recorded with a Jeol 100CXII microscope equipped with a  
137 CCD camera (Gatan® Erlangshien Dualvision 300W, 780 model). A hundred of TEM images  
138 were analyzed for each sample, both manually and automatically using respectively the ImageJ  
139 software and a semi-automatic software [27]. Measurements of nitrogen sorption at 77 K using  
140 a manometric analyzer (ASAP 2020, Micromeritics) provided the specific surface area of each  
141 sample from the conventional BET analysis [31]. Prior to the measurements, the powdered  
142 samples were pumped into a primary vacuum during at least 12 hours at a temperature of 25°C.  
143 The elemental compositions of soot were determined with an organic elemental analyzer  
144 (FlashEA 1112, Thermo Scientific). Carbon, hydrogen, nitrogen and sulfur (CHNS) contents  
145 are inferred from the gas analysis emitted during a flash combustion at 920°C under oxygen.  
146 According to previous studies on carbon black samples [15,20,32], the soot oxygen content  
147  $[O]_{diff}$  (in weight %) can be determined from this analysis. In the present study, soot particles  
148 were produced from fuels mostly composed of carbon and hydrogen, and we thus expect to  
149 detect no other elements than C and H, except nitrogen and oxygen resulting from the reactions  
150 with the oxidizing gas [20,33]. The surface oxygen concentration  $[O]_{XPS}$  of several soot  
151 produced at laboratory scale were determined by X-ray photoelectron spectroscopy (XPS).  
152 Prior to the XPS analysis, the samples were compacted into 7 mm diameter pellets with a hand  
153 press (Pike Technologies®). The experiments were then performed under ultra-high vacuum  
154 using a Resolve 120 hemispherical electron analyzer (PSP Vacuum) and a TX400 (PSP  
155 vacuum) unmonochromatized X-ray source (Mg K $\alpha$  at 1253.6 eV) operated at 100 W. The XPS  
156 lines were deconvoluted with the CasaXPS program, using Gaussian/Lorentzian profiles and  
157 after Shirley-type background subtraction. Elemental composition is obtained from the analysis  
158 of the survey spectra and after correction by the relative sensitivity factors provided in the

159 program [29]. Those analytical methods have been preferred to thermo-desorption analysis of  
160 organic to elemental carbon ratio (OC/EC) since this method is not specific to surface  
161 composition and not only includes oxygen containing species but also alkyl or aliphatic groups  
162 [34] as examples.

### 163 *c. Water uptake measurement*

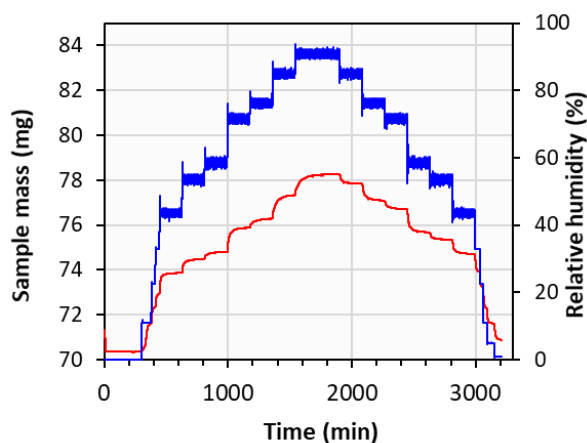
164 For water uptake measurements, soot samples were either in their natural powdered  
165 form, or compacted into a cylindrical pellet using a laboratory-made press functioning with a  
166 torque wrench (torque set at 0.5 N.m). Knowing the true density of soot, the global porosity  
167 (Table 2) of uncompact  $\epsilon_{\text{powder}}$  or compacted samples  $\epsilon_{\text{pellet}}$  can be respectively deduced either  
168 from the volume of the powder in the cylindrical glass container, or from the diameter and the  
169 height of the pellet. Water sorption measurements were performed using gravimetric and  
170 manometric methods [35,36]. They are in good agreement whether conducted under static or  
171 dynamic sorption conditions [37,38]. Gravimetric measurements were performed only on  
172 pellets using a “dynamic vapor sorption” (DVS) Vacuum microbalance (Surface Measurements  
173 Systems, SMS). Pellets are first pumped into high vacuum during several hours at 25°C to  
174 remove water and adsorbed impurities at the sample surface. For water sorption measurements,  
175 this degassing is more recommended [39] than the conventional thermal pre-treatment that  
176 could alter surface properties, for instance by removing of hydrophilic adsorption sites. Then,  
177 the initial mass of the dried sample is determined. Subsequently, humidity steps are gradually  
178 applied at a constant water vapor flowrate. Water sweeps the sample with a limited residence  
179 time in the cell of the microbalance system, enabling to continuously renew the vapor phase in  
180 contact with the soot surface. The relative humidity RH (in %) in the microbalance is defined  
181 as the ratio between partial pressure of water  $P_{\text{H}_2\text{O,vap}}$  within the cell divided by the saturation  
182 pressure  $P_{\text{H}_2\text{O,sat}}$  (Eq. 1) at the measurements’ temperature T:

$$183 \quad RH = \frac{P_{\text{H}_2\text{O,vap}}}{P_{\text{H}_2\text{O,sat}}(T)} \times 100 \quad (\text{Eq. 1})$$

184 The water uptake  $a(RH)$  (eq. 2) is then defined as the ratio of the mass of water adsorbed  
185 ( $m_{\text{H}_2\text{O, adsorbed}}$ ), determined according to sample mass at each relativity humidity  $m_{\text{sample}}(RH)$ ,  
186 and the reference mass  $m_{\text{reference}}$ , which is measured at RH= 0%.

$$187 \quad a(RH) = \frac{m_{\text{sample}}(RH) - m_{\text{reference}}}{m_{\text{reference}}} = \frac{m_{\text{H}_2\text{O,adsorbed}}(RH)}{m_{\text{reference}}} \quad (\text{Eq. 2})$$

188 The transition between two humidity steps depends on the time needed to reach the  
189 thermodynamic equilibrium and the stabilization of the sample mass with an accuracy of 0.1  
190  $\mu\text{g}$ , according to SMS. Following this value and the uncertainty propagation principle, the water  
191 uptake uncertainty is lower than  $10^{-4}$  %. One obtains the time evolution of the mass and the  
192 maximal water uptake for a defined humidity (Figure 2) which, *in fine*, provides the sorption  
193 data needed to plot the sorption isotherms with a high accuracy. Manometric measurements  
194 were performed on uncompact powdered soot only, using a 3FLEX analyzer  
195 (MICROMERITICS). Soot samples were first degassed in a cell at 0.1 mbar and  $25^\circ\text{C}$  using  
196 the low vacuum pump VacPrep 061. The cell is then introduced in the 3FLEX analyzer, and  
197 water is flowed in the cell. Pressure measurements are then performed only when a stabilization  
198 criterium of  $0.01 \text{ mbar}\cdot\text{min}^{-1}$  is reached.



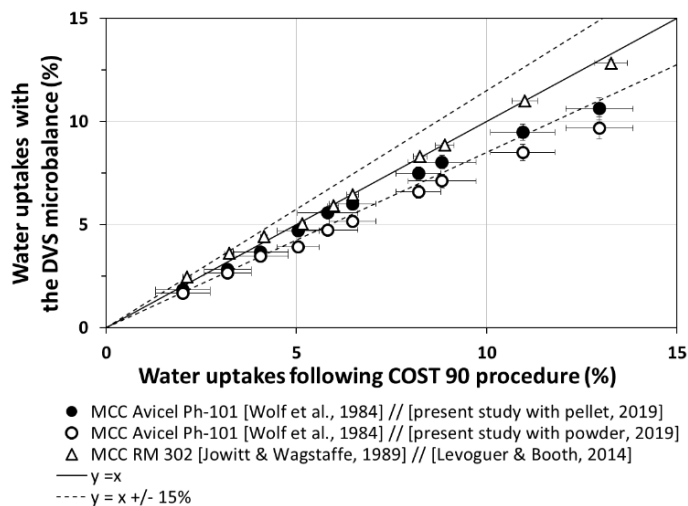
199  
200 Figure 2: Example of a gravimetric measurement with the DVS microbalance (in red the evolution of sample  
201 mass and in blue the evolution of relative humidity)

202 *d. Qualification of water uptake measurement protocol*

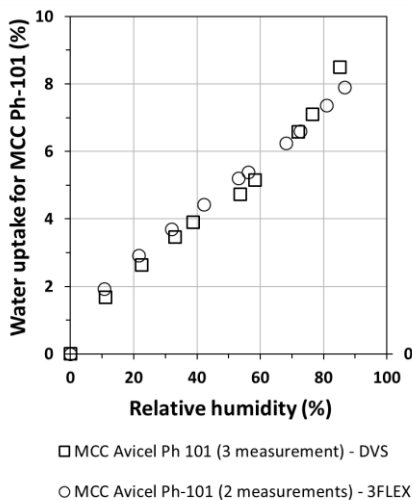
203 Prior to the measurements on soot, the experimental protocols and apparatus were  
204 validated using microcrystalline cellulose (MCC) as a reference. For MCC, gravimetric, static  
205 and discontinuous sorption data are available for two kinds of samples, MCC Avicel Ph-101  
206 provided by FMC [36] and MCC RM 302 [40], which are used as references for the COST90  
207 standard European procedure. Figure 3 shows the water uptakes of these two MCCs following  
208 the COST90 procedure at different RH ensured by salt solutions [36], and those obtained with  
209 the DVS microbalance at the same RH steps [41]. Our results are in good agreement with the  
210 COST90 data, except slight discrepancies at high water uptakes for the MCC Avicel Ph-101.  
211 This can be explained by differences in the samples, such as size distribution or specific surface  
212 area [42]. Figure 4 compares water uptakes obtained on the MCC Avicel Ph101 at powdered



213 form with the 3FLEX analyzer (circles symbols) and those obtained with the gravimetric (DVS)  
 214 methods (squares symbols). One can see a good agreement between the methods, as reported  
 215 by previous authors [35].



216  
 217 Figure 3: Comparison of water sorption data on different microcrystalline celluloses (MCC Avicel Ph-101 and  
 218 RM302) obtained with the COST90 procedure and DVS microbalance

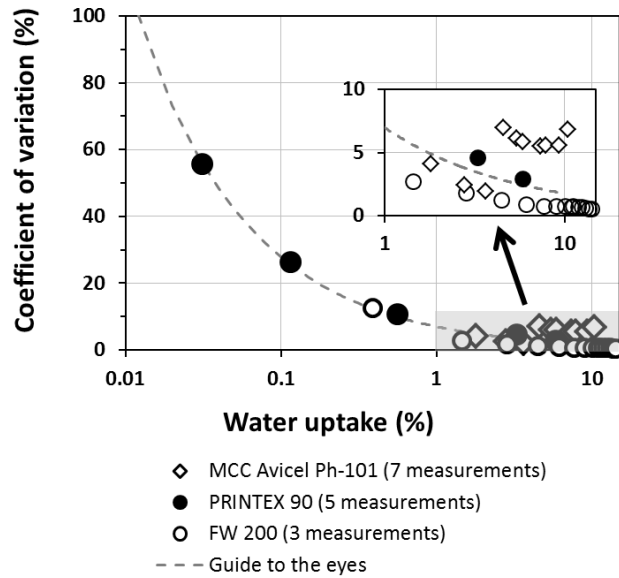


219  
 220 Figure 4: Water adsorption isotherms of MCC Avicel Ph-101 obtained with the DVS microbalance (squares) and  
 221 with the 3FLEX analyzer (circles)

222 The quantities of soot being limited, experimental isotherms were generally determined  
 223 once. Prior to this determination the experimental repeatability of the DVS microbalance has  
 224 been checked with the MCC and two commercially available carbon black samples as  
 225 size/morphological and size/morphological/chemical surrogates for this preliminary tests,  
 226 respectively Printex 90 and FW200 (which denotes oxygen content close to soot particles) from  
 227 Orion®. Figure 5 shows the coefficient of variation (eq. 3) for MCC Avicel Ph-101, Printex 90  
 228 and FW200 for respectively seven, five and three repeated measurements. The coefficient of

229 variation is lower than 10 % at water uptakes higher than 1%, indicating an excellent  
 230 repeatability of the measure.

231 
$$\text{coefficient of variation} = 100 \times \frac{\text{standard deviation}}{\text{mean value}} \quad (\text{Eq. 3})$$



232  
 233 Figure 5: Repeated water sorption measurements for different samples (MCC Avicel Ph-101, PRINTEX 90 and  
 234 FW200)

235 **2. Results**

236 *a. Samples properties*

237 The measured soot densities  $\rho_{\text{true}}$  range from 1492 kg.m<sup>-3</sup> up to 1780 kg.m<sup>-3</sup> (Table 2).  
 238 Upper values are in good agreement with the literature for soot having a low oxygen content  
 239 [43,44]. Lower density values measured for some of our samples can be explained by the  
 240 presence of an organic carbon phase, which is less dense than the elemental carbon phase,  
 241 reducing the overall density [30]. The diameter of the primary particles  $d_{\text{pp}}$  ranges from 22.3  
 242 nm to 43.3 nm (Table 2), in agreement with values reported for soot emitted by gaseous flames  
 243 [45,46], and for more complex liquid and solid fuels [14]. For all samples, particles denote  
 244 fractal morphology typical of soot particles and examples of TEM images are available in  
 245 supplementary information in tables SI-1 and SI-2. Close agreement, in terms of size and  
 246 morphology could then be reported between carbon black and soot samples. The specific  
 247 surface area  $S_{\text{BET}}$  ranges between 52 m<sup>2</sup>.g<sup>-1</sup> and 100 m<sup>2</sup>.g<sup>-1</sup> (Table 2). This is also typical of non-  
 248 porous adsorbents and agrees with values determined for soot emitted in various combustion

249 processes [20–22,47]. This surface area is mostly due to the surface developed by the primary  
 250 particles composing soot aggregates [27]. The smaller the primary particle diameter, the higher  
 251 the specific area. This explains the high surface area of PRINTEX 90 (341 m<sup>2</sup>.g<sup>-1</sup>), whose  
 252 particles are small (25 nm) compared to FLAMMRUSS 101, whose surface area is 24.4 m<sup>2</sup>.g<sup>-1</sup>  
 253 because of large particles of 136 nm. We note that the S<sub>BET</sub> of soot coming from hydraulic oil  
 254 fire is slightly lower (53.3 to 54.2 m<sup>2</sup>.g<sup>-1</sup>) than the other soot produced with PMMA and heptane  
 255 (75.5 to 97.9 m<sup>2</sup>.g<sup>-1</sup>), due to larger diameters of their primary particles. The elemental oxygen  
 256 content [O]<sub>diff</sub> ranges between 6.4 wt. % and 11.8 wt. %, in agreement with values commonly  
 257 reported in the literature [20,48]. The oxygen content is higher (up to 10 wt. %) in soot than in  
 258 carbon blacks, which are known to be mostly composed of elemental carbon with oxygen  
 259 concentrations lower than 2 wt. %. The oxygen concentration at the surface of the particles  
 260 [O]<sub>XPS</sub> (Table 2) is in good agreement with the oxygen content found in the bulk [O]<sub>diff</sub>,  
 261 indicating a homogeneous repartition of oxygen within the particles.

262 Global porosity  $\varepsilon$  (eq. 4) has been estimated using true density  $\rho_{\text{true}}$  composing the  
 263 material of soot particles, the sample mass  $m_{\text{sample}}$ , the radius  $r$  and height  $H$  of the cylindrical  
 264 soot pellet or of the glass container for the uncompacted samples. For soot pellets, the global  
 265 porosity  $\varepsilon_{\text{pellet}}$  ranges between 29% and 66%. This wide range of values probably results from  
 266 different adhesion properties of each sample during the compaction process. The global  
 267 porosity of the powdered soot samples  $\varepsilon_{\text{powder}}$  is around 96% for all samples, close to typical  
 268 soot cake porosities reported on HEPA filter [6].

$$269 \quad \varepsilon = 1 - \frac{m_{\text{sample}}}{\pi r^2 H \rho_{\text{true}}} \quad (\text{Eq.4})$$

270 *b. Water isotherms of soot particles produced under different fire conditions*

271 Fig. 6 presents the gravimetric water adsorption isotherms for soot compacted into  
 272 pellets. The water uptakes are obtained considering a reference mass  $m_{\text{reference}}$  as the mass of  
 273 sample at RH=0%. Overall, all the samples present the same slopes and the water uptakes range  
 274 from 2.4% and 3.6% (at RH=90%). This results from similar physicochemical properties of all  
 275 soot in terms of structure and composition. From RH= 0% to 80%, the isotherms are of V<sup>th</sup> type  
 276 according to the IUPAC classification [49]. They present a rather slight convex curvature at RH  
 277 < 30%. On the isotherms, this convexity combined with a positive slope is characteristic of low

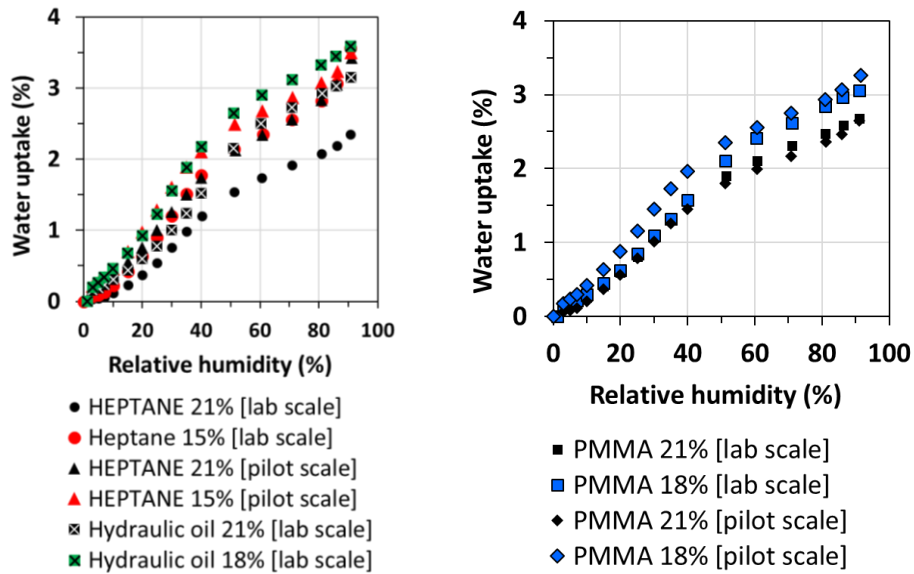
Table 2: Summary of the physicochemical properties of soot and carbon black samples studied

Samples	$\rho_{\text{true}}$ ( $\text{kg}\cdot\text{m}^{-3}$ )	$d_{\text{pp}}$ (nm)	$S_{\text{BET}}$ ( $\text{m}^2\cdot\text{g}^{-1}$ )	$[\text{O}]_{\text{diff}}$ (wt.%)	$[\text{O}]_{\text{XPS}}$ (wt.%)	$\epsilon_{\text{pellet}}$ (%)	$\epsilon_{\text{powder}}$ (%)
<b>Soot</b>							
Heptane 21% (lab scale)	1780±20 <sup>1</sup>	35.1±1.3	75.5±0.7	7.6±0.8	7.4	62±3	96±1
Heptane 15% (lab scale)		22.8±0.5	97.9±2.3	11.8±1.2	8.8	54±4	
PMMA 21% (lab scale)	1492±12 <sup>1</sup>	39.9±0.8	79.3±1.1	6.7±4.8	9.9	54±4	
PMMA 18% (lab scale)		32.2±0.8	84.4±1.2	9.2±0.6	8.1	29±7	
DTE Medium oil 21% (lab scale)	1593±336	42.1±1.2	53.3±1.1	9.2	8.8	58±10	
DTE Medium oil 18% (lab scale)		38.6±1.1	54.2±1.2	9.9±0.4	9	56±10	
Heptane 21% (pilot scale)	1780±20 <sup>1</sup>	34.1±1.3	69.5±0.7	6.8±0.8	n.d.	66±3	
Heptane 15% (pilot scale)		29.9±0.9	86.9±1.2	9.1±0.6		58±4	
PMMA 21% (pilot scale)	1492±12 <sup>1</sup>	35.9±1.1	69.2±1.4	6.4±0.8		59±4	
PMMA 18% (pilot scale)		37.2±1.2	63.7±1.4	7.1±1.8		52±4	
<b>Carbon blacks</b>							
PRINTEX 90	1783±45	25±1	340.0±1.6	1.1	n.d.	62±5	88±2
FLAMMRUS 101	1712±85	136±8	24.4±0.1	2.1		71±4	78±3
COLOUR BLACK FW200	1800 <sup>2</sup>	21±2	506±2.0	18.6±0.2		54±1	-

<sup>1</sup> From [30]

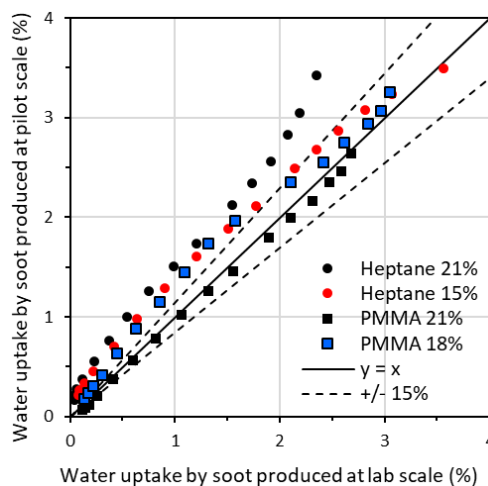
<sup>2</sup> From manufacturer

277 interactions of water at the surface, with locally high interaction with some hydrophilic  
 278 adsorption sites of the soot surface [50]. A slight inflexion point is observed at RH=80% for  
 279 the heptane 15% soot obtained at pilot scale (Figure 6 left, red triangles), revealing the  
 280 beginning of capillary condensation.



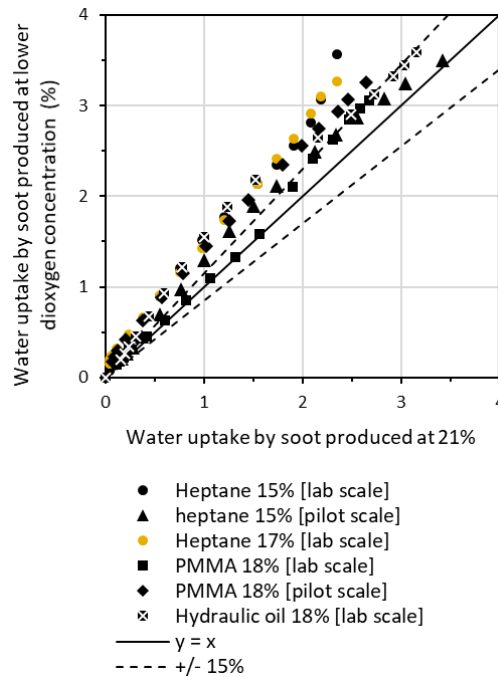
281  
 282 Figure 6: Water adsorption isotherms for soot produced with liquid fuels (left) and PMMA (right)

283 The water uptakes measured on the DTE hydraulic oil soot samples are slightly higher  
 284 than for the heptane and PMMA soot samples. Figure 7 compares the water uptakes obtained  
 285 on fire soot samples produced at laboratory scale and at pilot scale. Except for the heptane soot  
 286 produced at 21% of O<sub>2</sub> concentration, water uptakes are globally similar whatever the scale,  
 287 with a maximal difference of ±15%. For the considered fuels, fire scale has no major influence  
 288 on the water adsorbing properties.



289  
 290 Figure 7: Comparison between water uptakes of soot samples produced at pilot and laboratory scale

291 Figure 8 compares the water uptakes on soot produced at 21% of O<sub>2</sub> concentration  
 292 (ambient air value) with those produced at depleted O<sub>2</sub> concentrations (15%, 17% and 18 %),  
 293 which adsorb up to 50% more water than soot produced at ambient concentration (see Figure  
 294 SI-1 in supplementary information). This highlights the significant modification of size  
 295 (decrease of primary particle size and increase of specific surface area) and composition  
 296 (increase of oxygen content) of soot particles with decreasing oxygen concentration reported in  
 297 Table 2 and in agreement with previous findings [14,51]



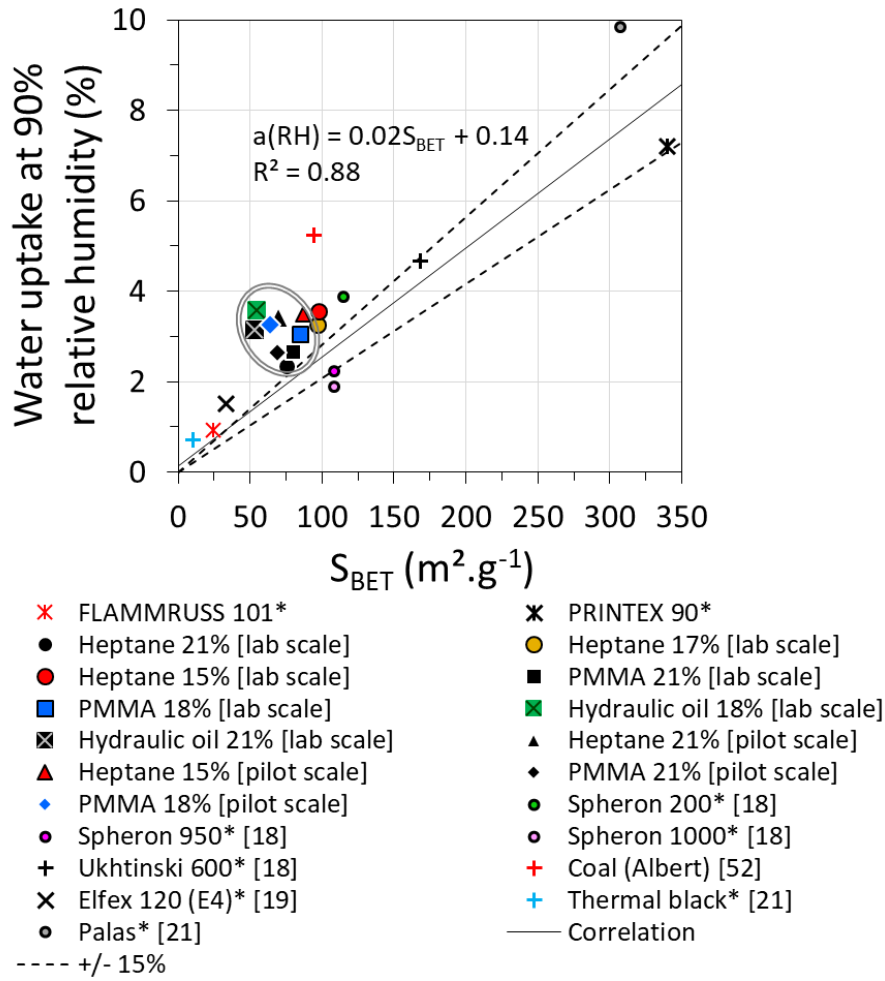
298

299 Figure 8: Comparison between water uptakes obtained for soot samples produced at depleted oxygen  
 300 concentrations (15-18%) and ambient oxygen concentration (21%)

301 *c. Influence of specific surface area and oxygen surface content of water*  
 302 *uptake*

303 Figure 9 presents the water uptakes obtained at the maximal RH of 90% as a function  
 304 of the specific surface area, for all fire soot samples and for PRINTEX 90 and FLAMMRUSS  
 305 101. These carbon black samples are mostly composed of elemental carbon. Their water  
 306 adsorption isotherms are of type III (see supplementary information, Figure SI-2), which  
 307 indicates low carbon black-water interactions and a water uptake related to the specific surface  
 308 area only. Additional data on carbon black samples available in the literature - whose  
 309 compositions are globally similar to our samples - are also plotted in Fig. 10 (identified with an  
 310 asterisk “\*” [18,19,21,52]). Over the whole specific surface area range, soot particles present  
 311 higher water uptakes than carbon blacks and do not follow the linear correlation proposed on

312 Fig.10. Those discrepancies between soot and carbon blacks can be explained, beyond the  
 313 geometric surface associated to the cake structure, by their specific chemical composition.



314

315 Figure 9: Effect of specific surface area on water uptake at 90% of relative humidity

316 To assess the influence of the sample composition on the water sorption capacity, water  
 317 uptake can be expressed as the number of water statistical monolayers (ML) needed to cover  
 318 entirely the sample surface (Eq. 5). This unravels the effect of the surface area from the  
 319 chemical composition:

320

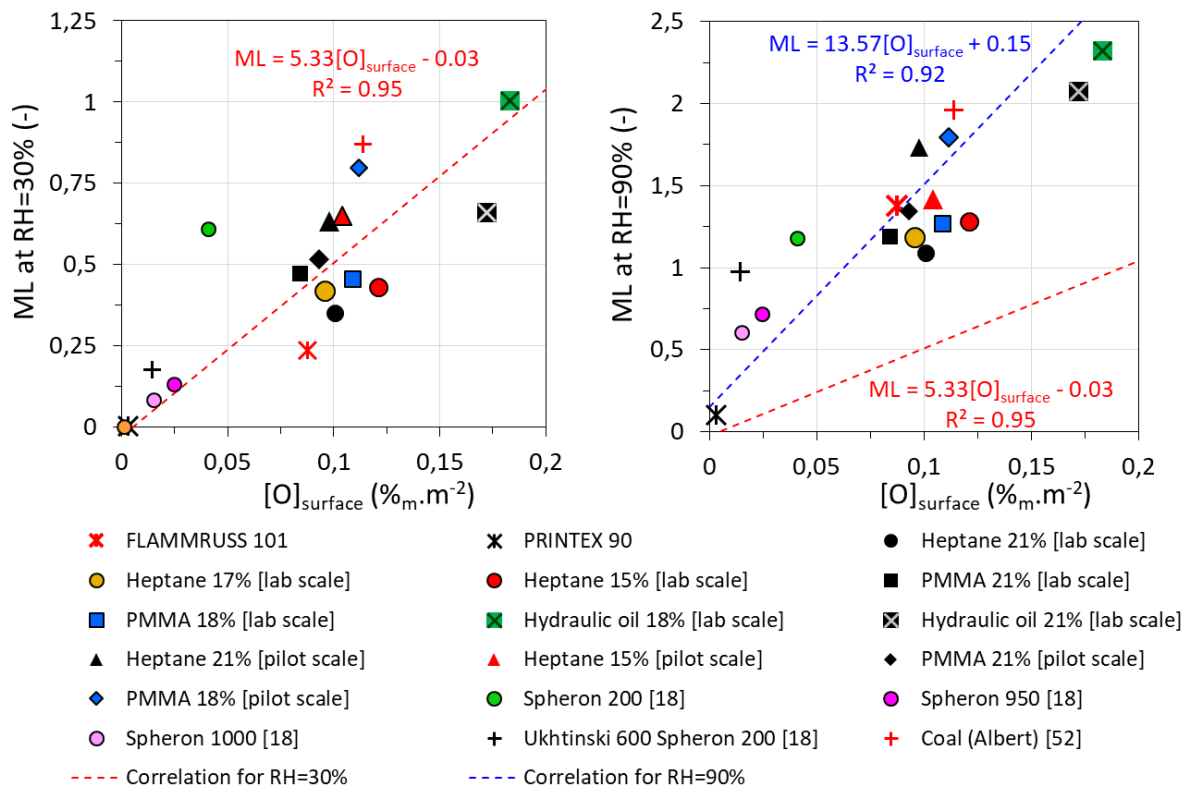
$$ML = \frac{(a(mmol \cdot g^{-1}) \times 10^{-3}) \times N_A(mol^{-1})}{\frac{S_{BET}(m^2 \cdot g^{-1})}{\sigma_{H_2O}(m^2)}} \quad (Eq. 5)$$

321 Where  $\sigma_{H_2O}$  is the surface occupied by a water molecule ( $1.05 \cdot 10^{-19} m^2$ ),  $a$  the amount of  
 322 adsorbed water per mass of sample,  $N_A$  the Avogadro number ( $6.022 \cdot 10^{23} mol^{-1}$ ) and  $S_{BET}$  the  
 323 specific surface area ( $m^2 \cdot g^{-1}$ ).

324 Figure 10 shows the evolution of ML with the surface concentration of oxygen  $[O]_{\text{surface}}$ ,  
 325 calculated as the mass of oxygen per surface area (Eq. 6).

$$326 \quad [O]_{\text{surface}} (g \cdot m^{-2}) = \frac{[O]_{\text{diff}}(\text{wt.}\%)}{100 \cdot S_{\text{BET}}(m^2 \cdot g^{-1})} \quad (\text{Eq. 6})$$

327 The oxygen content is known to significantly influence the water sorption [23]. The  
 328 oxygenated chemical functions located at the surface strongly interact with water molecules,  
 329 especially in the range of low humidity where they represent the energetically most favorable  
 330 adsorption sites [50]. To highlight this effect, we have plotted ML at RH= 30% and RH= 90 %  
 331 (left and right sides on Fig. 10 respectively) as a function of  $[O]_{\text{surface}}$  for all our samples and  
 332 those available in the literature. ML linearly increases with  $[O]_{\text{surface}}$  with a slope of 5.33  
 333 (RH=30%) and 13.57 (RH=90 %). This clearly shows that the oxygen concentration at the  
 334 surface significantly enhances the adsorption process, with a similar physico-chemical  
 335 mechanism within relative humidity range 30-90 % (as a same linear dependence is observed  
 336 at RH= 30 % and 90 %).

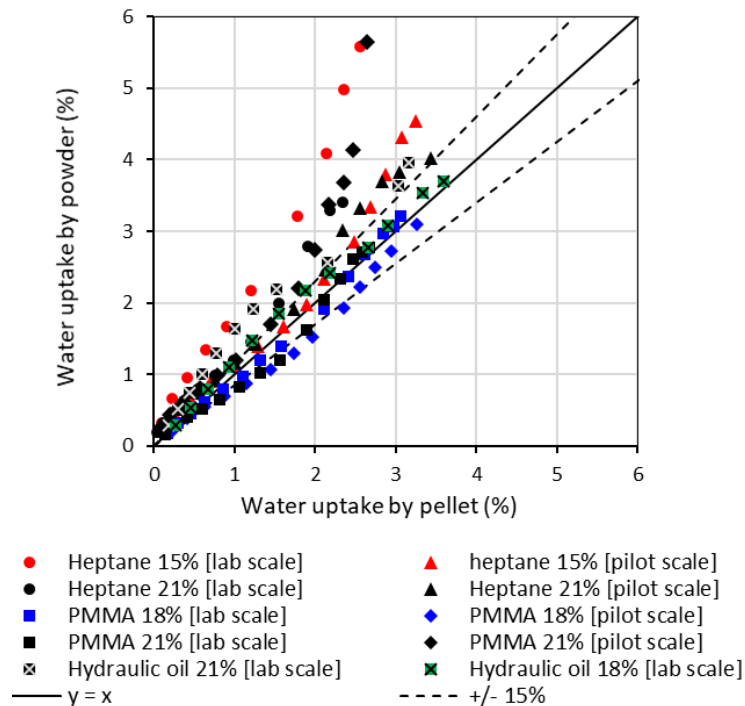


337  
 338 Figure 10: Evolution of the number of ML with the surface concentration of oxygen, at RH = 30% (left) and RH  
 339 = 90% (right)

340 Figure 11 compares, for each relative humidity step (+/- 1%), the water uptakes obtained  
 341 for the pellets with the DVS microbalance and for the powders with the 3FLEX analyzer (the



342 corresponding water adsorption isotherms are available for 3FLEX as supplementary  
 343 information, Fig. SI-3). This figure shows that water uptakes for powder and compacted  
 344 samples are globally equivalent in a +/- 15% interval. However, exceptions can be observed for  
 345 heptane 15%, heptane 21% and PMMA 21% [pilot scale], where adsorption is higher for  
 346 powder than for pellet, especially at high water uptake (related to higher relative humidity).  
 347 This could be explained by different mesostructures of these soot samples when they are  
 348 characterized in pellet or in powder forms, changing the surface and volume available for water  
 349 adsorption.



350

351 Figure 11: Parity diagram comparing the water uptakes between pellets and powders at different humidity steps

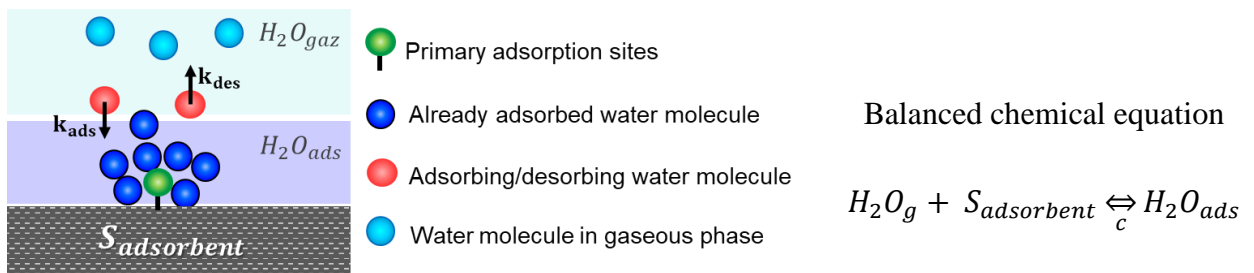
352

#### 4. Discussion

353 Until now, the water sorption on carbon systems have been mostly modelled for  
 354 activated carbon or carbon/zeolite [53] whose isotherms are systematically of  $V^{\text{th}}$  type. Several  
 355 common water sorption models have been established for such isotherms, considering a primary  
 356 adsorption on specific hydrophilic surface sites followed by adsorption on already adsorbed  
 357 water molecules. This second adsorption process is driven by water-water interactions, which  
 358 are, overall, more favorable than those between water and a globally hydrophobic carbonaceous  
 359 surface. Being an associating fluid, water can finally fill in the micro-and-mesoporous pore  
 360 volume. Unlike activated carbon, soot particles are made of non-porous hydrophobic carbon,

361 porosity being only due to interstitial spaces between the primary particles in the soot cakes,  
 362 which also varies with the sample conformation (powder or pellet). The surface of soot is mostly  
 363 hydrophobic, with some hydrophilic adsorption sites related to the presence of surface oxygen.  
 364 As previously mentioned, one of the most suitable sorption model for such porous solid is the  
 365 Dubinin-Serpinsky (DS) one [54,55]. This model describes a mechanism of water clusters  
 366 formation on the adsorbent surface sites, which can be followed by a pore volume filling  
 367 [56,57]. In the DS model, the adsorption process is considered as an equilibrium state of a  
 368 chemical reaction between water molecules in the gaseous phase  $H_2O_{gas}$  and the adsorption sites  
 369 located on the adsorbent surface  $S_{adsorbent}$  (Figure 12). Those sites can be primary or secondary,  
 370 corresponding respectively to the initial number of hydrophilic sites  $a_0$  ( $\%g.g^{-1}_{adsorbent}$ ) and the  
 371 already adsorbed water molecules  $a$  per gram of sample (expressed here in terms of  $\%g.g^{-1}$   
 372  $_{adsorbent}$ ). This equilibrium is formalized by the equilibrium constant  $c$  (defined as the ratio  
 373 between kinetic constants associated to adsorption  $k_{ads}$  and desorption  $k_{des}$ ), enabling to express  
 374  $a$ , the total amount of adsorbed water (Eq. 7), including the water vapor relative pressure  $h$ .

375 
$$c = \frac{k_{ads}}{k_{des}} = \frac{a}{ha_0} \quad (\text{Eq. 7})$$



376 Figure 12: Scheme of the proposed mechanism of water adsorption and formula used to express the Dubinin-  
 377 Serpinsky model

378 Among all the DS model versions [24], the second version, commonly called DS2, has  
 379 the simplest analytical form and takes into account the limitation of adsorption with the water  
 380 uptake due to the steric hindrance. To this aim, a dimensionless and strictly positive factor ( $1-$   
 381  $ka$ ), decreasing with the adsorbed water amount, has been added to the original DS equation  
 382 (Eq. 8). The constant  $k$  has therefore a value that ranges from 0 to strictly inferior to  $1/a$ . This  
 383 constant corresponds to the proportion of water molecules which does not act anymore as a  
 384 secondary adsorption site (steric hindrance). It leads to the analytical form of 2<sup>nd</sup> version of DS  
 385 model (eq. 8).

386

$$a = c(a_0 + a)h(1 - ka) \quad (\text{Eq. 8})$$

387 Where  $k$  is the coefficient related to the steric hindrance due to the formation of water clusters  
388 ( $\%g_{\text{adsorbent}} \cdot g^{-1}$ ). The adsorption isotherms obtained in the present study have all been  
389 successfully fitted with the DS2 model in the relative humidity range of 0%-90% (Fig. SI-4).  
390 Fitted parameters  $k$ ,  $a_0$  and  $c$  for all samples are also available in Table SI-3, with regression  
391 coefficients  $R^2$  all above 0.99. This indicates a unique adsorption process consisting in the  
392 formation of water clusters on few hydrophilic sites, which we assume to occur on the oxidized  
393 surface sites.

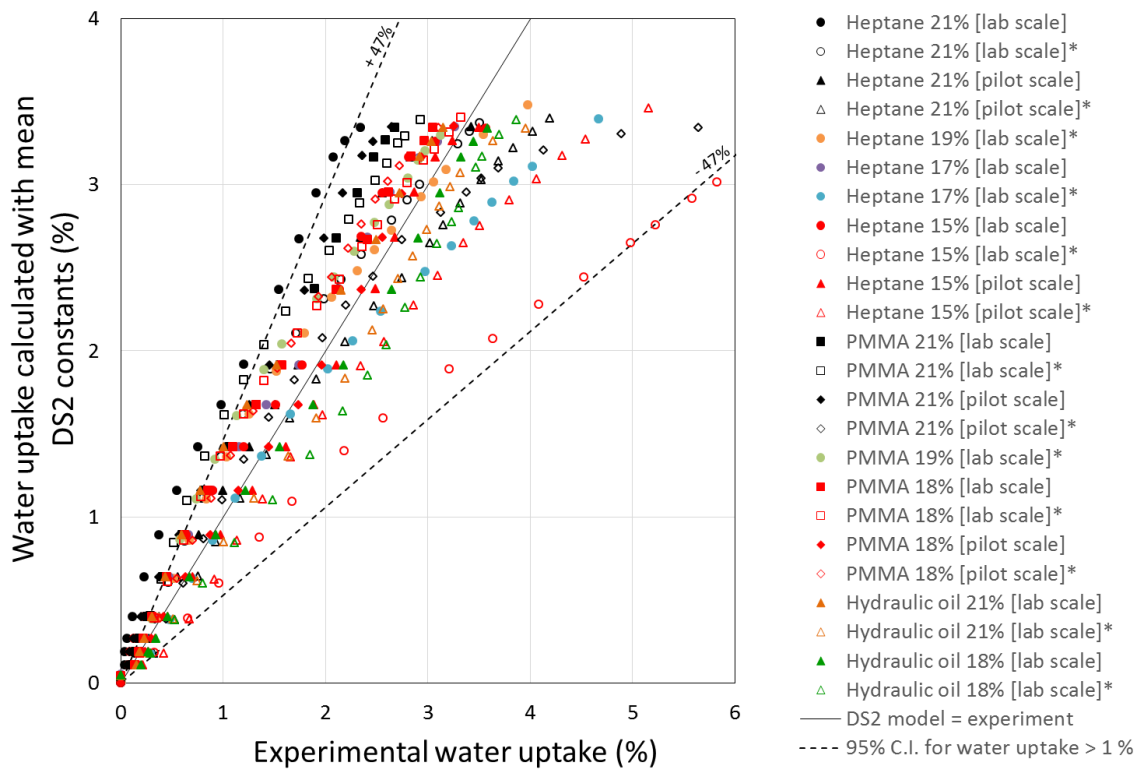
394 The fitted values of  $k$  are  $0.24 \pm 0.02$  and  $0.14 \pm 0.03$  for pellets and powders, respectively. The  
395 higher  $k$  value for pellets is a consequence of a limited secondary adsorption process, indicating  
396 a higher steric hindrance to clusters formation compared to powders. This is likely due to the  
397 higher compaction of the pellets, which facilitates the blocking of interstitial spaces between  
398 the soot particles. Decrease of  $k$  values is consistent with the increase of mean concentrations  
399 of primary adsorption sites  $a_0$  (in  $\%g \cdot g^{-1}_{\text{adsorbent}}$ ), from  $1.0\% \pm 0.4\%$  for pellets to  $2.6\% \pm 0.4\%$   
400 for powders. The lower value of  $a_0$  for pellets indicates a lower accessibility to the adsorption  
401 sites by the filling of the pores where the primary adsorption sites are located, while more sites  
402 are available in powders. The mean values of equilibrium constant  $c$ , corresponding to the ratio  
403 of the kinetic constants between adsorption and desorption processes, are  $2.6 \pm 0.4$  and  $1.6 \pm$   
404  $0.4$  for the pellets and powders respectively. Taking into account their uncertainties, these  
405 values remain quite close and, at this stage, we cannot explain such a slight difference in the  
406 kinetic constant without any further investigations.

407 As previously reported in the literature [6], porosity of soot cake formed at HEPA filters surface  
408 are generally between those considered in the present study for pellets (mostly 50-60%) and  
409 powder (95%). To provide useable values of  $k$ ,  $a_0$  and  $c$  that could be implemented in clogging  
410 models for similar kinds of hydrophobic soot [5,58], we have averaged the fitting parameters  
411  $k$ ,  $a_0$  and  $c$  of the 22 studied samples, whether compacted into pellet or not. These values are  
412 reported in Table 3, along with their standard deviation. Figure 13 presents the computed water  
413 uptakes using these averaged values and deviations plotted against the experimental water  
414 uptakes for all soot samples. We observe that 95% of the water uptakes (limited in the present  
415 case to water uptake values higher than 1%) can be satisfactorily represented by the DS2 model  
416 using these averaged parameters, within a confidence interval of  $\pm 47\%$ .

Table 3: Calculated values from 22 water adsorption isotherms

	$k$ (%)	$a_0$ (%g · g <sub>adsorbent</sub> <sup>-1</sup> )	$c$ (-)
Mean value	0.20	1.54	2.23
Standard deviation	0.056	0.85	0.69
Expanded uncertainty for a 95% confidence interval	0.012	0.18	0.15

418



419

420 Figure 13: Comparison between DS2 model, computed according to mean constants, and experimental water  
 421 uptakes

422

## 5. Conclusion

423 This study aimed at measuring the water uptake in soot cakes representative of those formed  
 424 on HEPA filters during fire occurring in a nuclear plant. For this purpose, soot was produced at  
 425 laboratory and pilot scales with different fuels - heptane, PMMA and hydraulic oil-  
 426 representative of fuels encountered in a real nuclear plant. The physicochemical properties of  
 427 these samples have been determined *ex situ* with analytical techniques providing the specific

428 surface area, the primary particle diameters and the oxygen contents, found respectively in the  
429 ranges of  $52 \text{ m}^2\cdot\text{g}^{-1} - 100 \text{ m}^2\cdot\text{g}^{-1}$ ,  $22.3 \text{ nm} - 43.3 \text{ nm}$  and  $6.7\text{wt. \%} - 9.9 \text{ wt. \%}$ . We have shown  
430 that these physicochemical properties are only slightly influenced by the fire scale, the fuel  
431 type, or the  $\text{O}_2$  concentration of the oxidizing gas. Using gravimetric and manometric  
432 techniques, we have measured the water uptake of these samples as well as two additional  
433 carbon black samples, let in powder form or compacted into pellets. Gravimetric and  
434 manometric approaches have been proven equivalent, with a variation coefficient lower than  
435 10% for the whole relative humidity range (0%-100%). The adsorption isotherms of soot are of  
436  $\text{V}^{\text{th}}$  type and present maximal water uptakes between 2% and 4% at  $\text{RH}=90\%$ . Under the  
437 investigated conditions, fire scale does not significantly impact the isotherms classification.  
438 Two physicochemical properties of soot directly impact the sorption process: the specific  
439 surface area and the oxygen concentration per surface area. The very good fit of the  
440 experimental isotherms with the second Dubinin-Serpinsky model (DS2) indicates that  
441 adsorption occurs by nucleation of water clusters on few oxidized and hydrophilic surface sites.  
442 The DS2 parameters have been obtained for the 22 studied samples, either compacted or as  
443 powders, and their average allows for a proper simulation of 95% of the experimental data. This  
444 model will contribute to the improvement of simulation codes and consequently to better predict  
445 the HEPA filter clogging phenomenon during a fire in nuclear facility. In addition, the use of  
446 the second Dubinin-Serpinsky model appears relevant to represent the water sorption isotherms  
447 of fire soot.

#### 448 **Acknowledgements**

449 The authors would like to thank Guillaume Basso, Mickael Coutin, Vincent Cozar, Hugues  
450 Pretrel, Serge Pons and Pascal Zavaleta from the “Laboratoire d’Expérimentation des Feux” in  
451 Cadarache for providing soot samples emitted during large-scale fire experiments. This work  
452 was partially done within the framework of the LIMA joint research program (The Interactions  
453 Media-Aerosol Laboratory) between the Institut de Radioprotection et de Sûreté Nucléaire  
454 (IRSN) and the Reactions and Chemical Engineering Laboratory (LRGP) of the French  
455 National Centre for Scientific Research (CNRS).  
456

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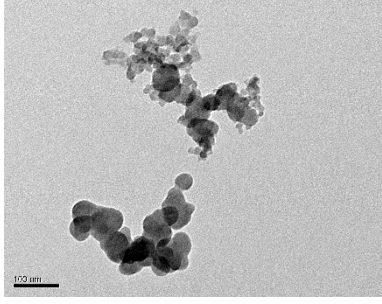
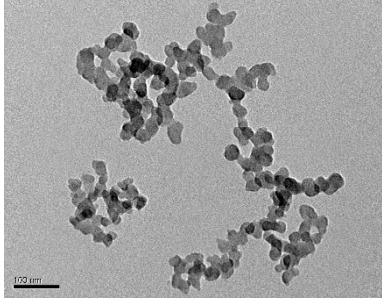
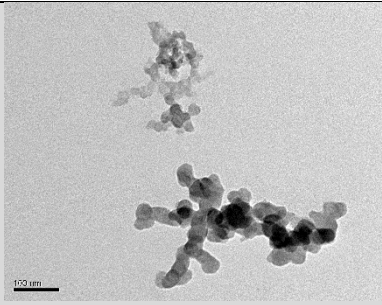
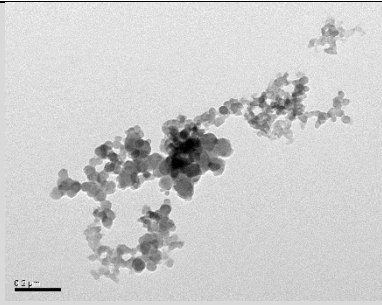
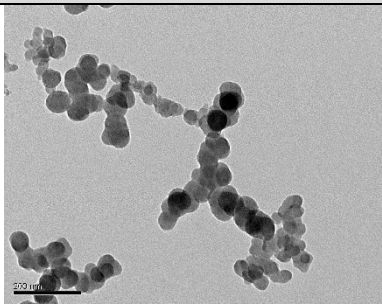
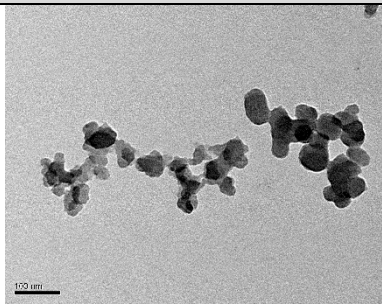
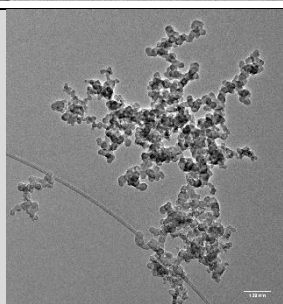
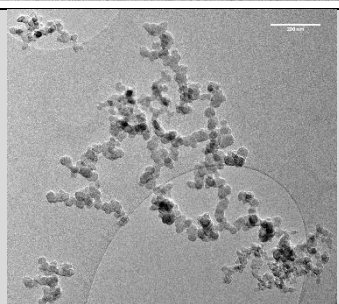
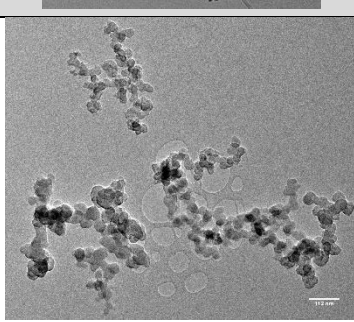
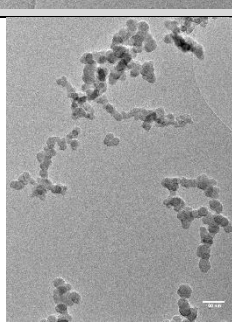


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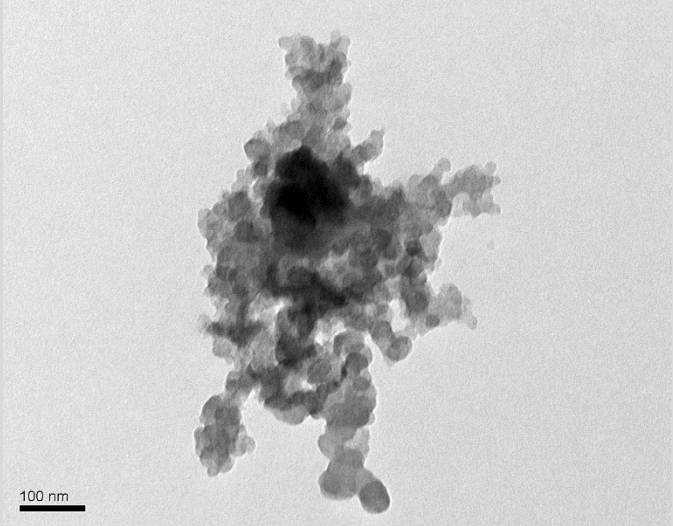
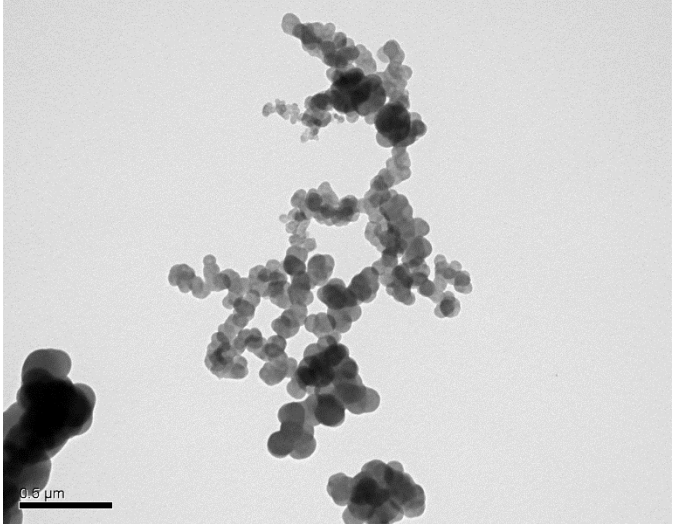
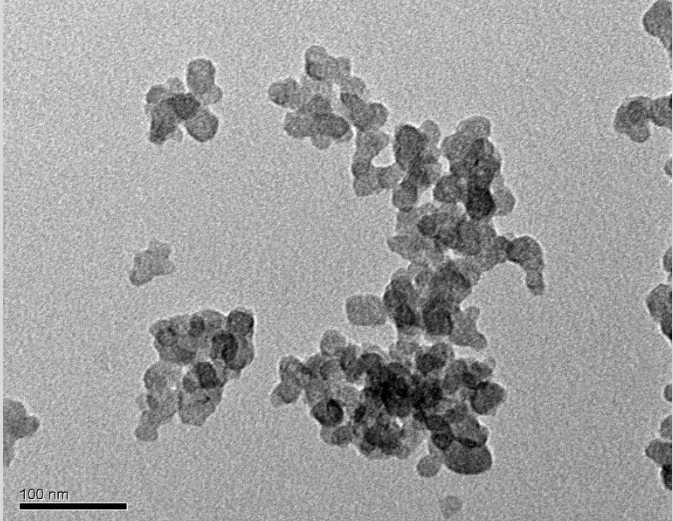
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641 **Supplementary information I: Table SI-1: TEM illustrations of particles composing soot**  
 642 **samples**

Samples	TEM illustration	TEM illustration
<b>Soot</b>		
<b>Heptane (lab scale)</b> <ul style="list-style-type: none"> <li>• Left : 21 % [O2]</li> <li>• Right : 15 % [O2]</li> </ul>		
<b>PMMA (lab scale)</b> <ul style="list-style-type: none"> <li>• Left : 21 % [O2]</li> <li>• Right : 18 % [O2]</li> </ul>		
<b>DTE Medium oil (lab scale)</b> <ul style="list-style-type: none"> <li>• Left : 21 % [O2]</li> <li>• Right : 18 % [O2]</li> </ul>		
<b>Heptane (pilot scale)</b> <ul style="list-style-type: none"> <li>• Left : 21 % [O2]</li> <li>• Right : 15 % [O2]</li> </ul>		
<b>PMMA (pilot scale)</b> <ul style="list-style-type: none"> <li>• Left : 21 % [O2]</li> <li>• Right : 18 % [O2]</li> </ul>		

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**Supplementary information I: Table SI-2: TEM illustrations of particles composing carbon blacks samples**

<b>Samples</b>	<b>TEM illustration</b>
<b>Carbon blacks</b> PRINTEX 90	
FLAMMRUS 101	
COLOUR BLACK FW200	

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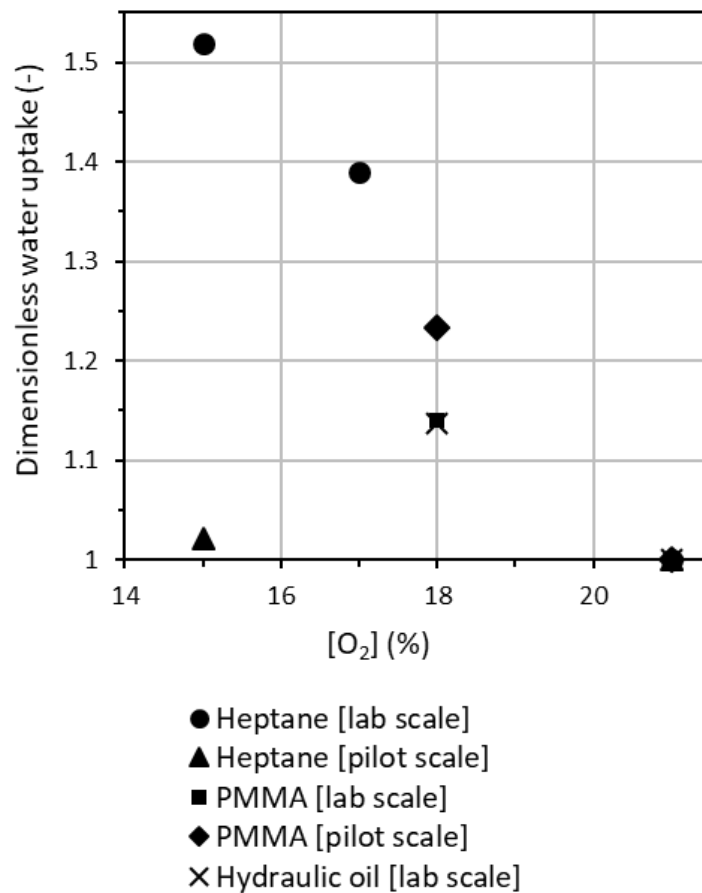
## Supplementary information II: oxygen depletion effect on water uptake

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648 Fig. SII-1 presents the evolution, as a function of dioxygen concentration, of the dimensionless  
649 water uptake, defined as ratio between water uptake at considered oxygen concentration and  
650 water uptake at dioxygen concentration of 21%. One must notice that this ratio has been  
651 computed for the maximum water uptake, i.e. for a relative humidity of 90%.

$$652 \quad \text{Dimensionless water uptake (RH)} = \frac{a_{[O_2]}(RH)}{a_{[O_2]=21\%}(RH)} \quad (\text{Eq. S-1})$$

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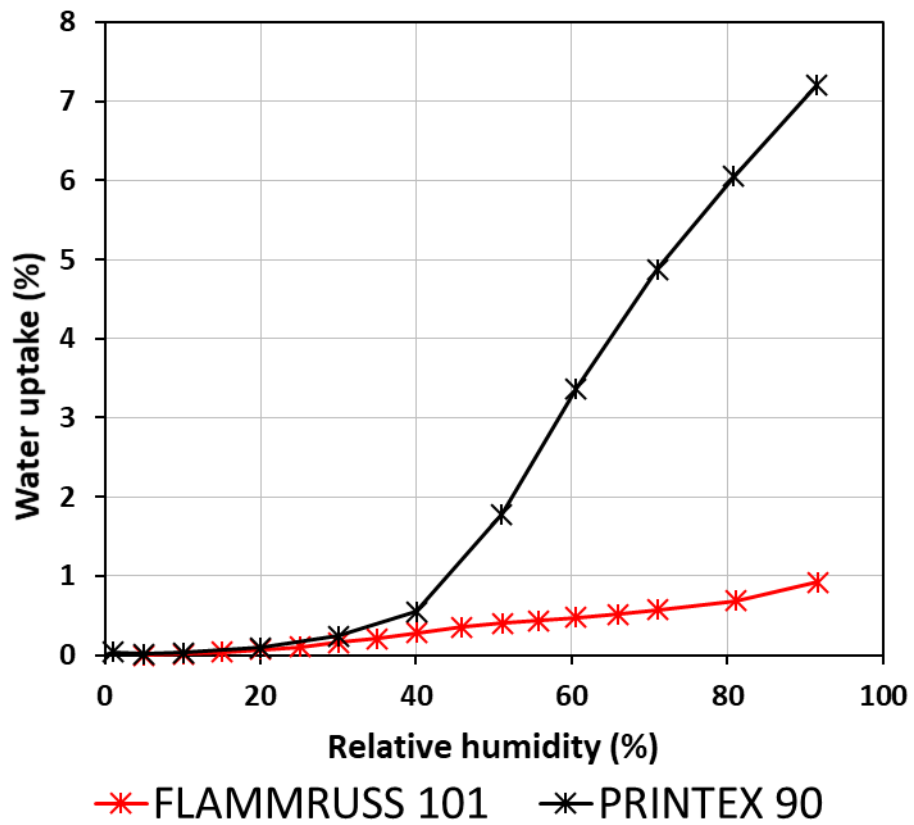


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655 Figure SI-1: Evolution of dimensionless water uptake as a function of dioxygen concentration

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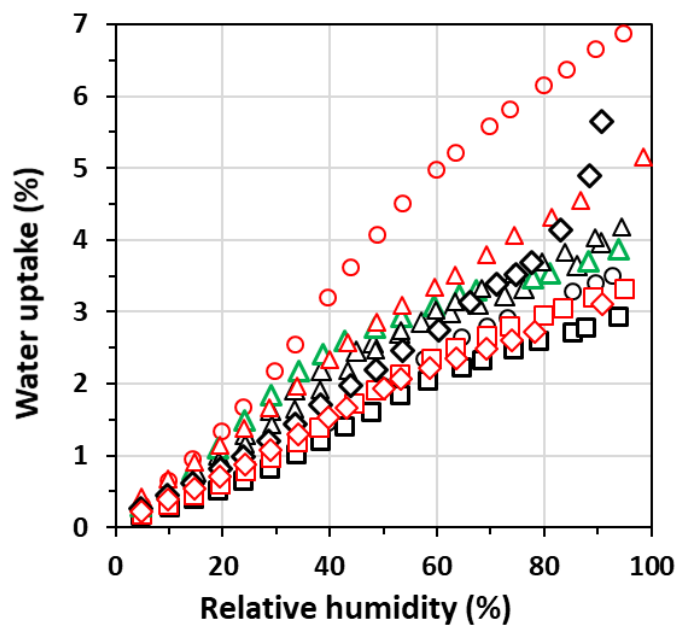
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Figure SI-2: water adsorption isotherms for Flammruss 101 and Printex 90

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- HEPTANE 21% [lab scale]\*
- PMMA 21% [lab scale]\*
- △ Hydraulic oil 21% [lab scale]\*
- △ HEPTANE 21% [pilot scale]\*
- ◇ PMMA 21% [pilot scale]\*
- HEPTANE 15% [lab scale]\*
- PMMA 18% [lab scale]\*
- △ Hydraulic oil 18% [lab scale]\*
- △ HEPTANE 15% [pilot scale]\*
- ◇ PMMA 18% [pilot scale]\*

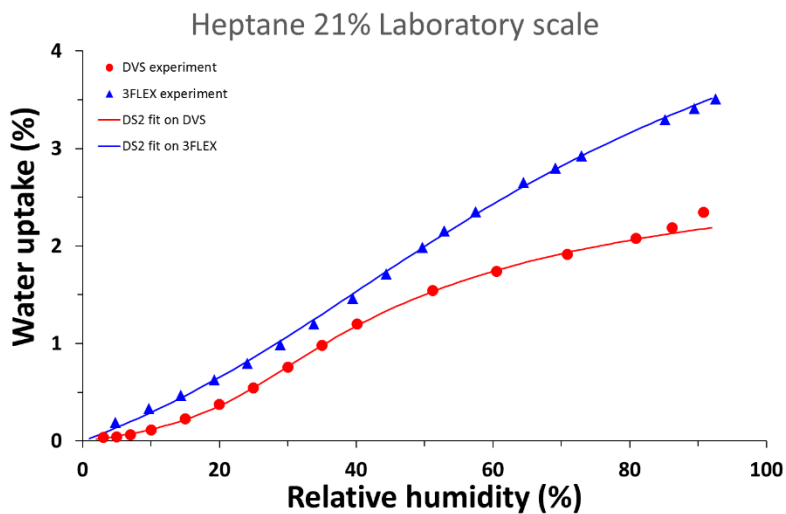
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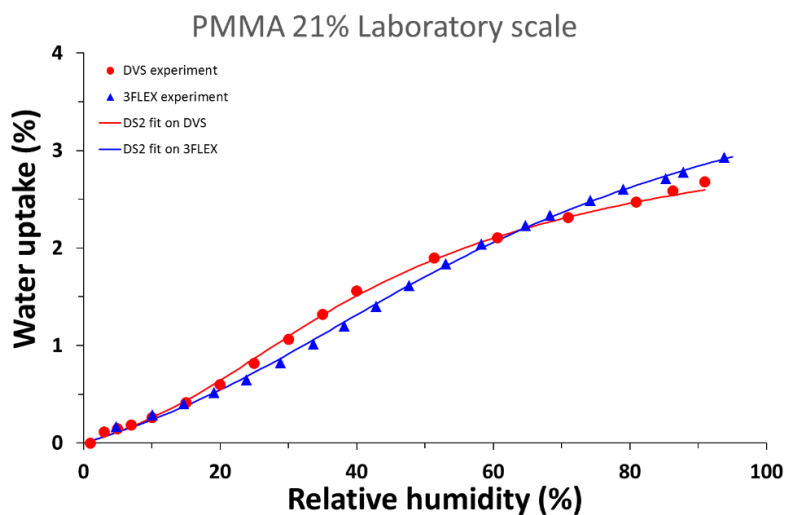
Figure SI-3: Water adsorption isotherms obtained for samples in powder forms

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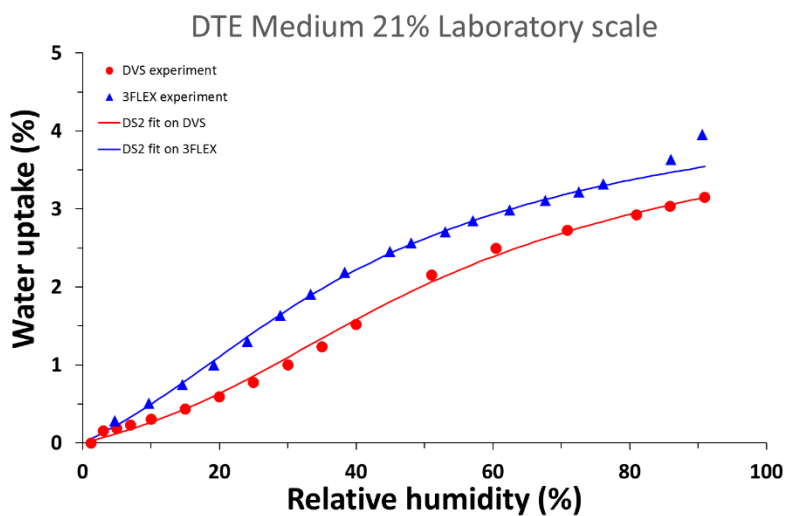
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Figure SI-4: DS2 fitting of experimental water adsorption isotherms



**Supplementary information VI: Table SI-3: Summary of DS2 model's constants of studied soot samples**

Samples	Pellet form					Powder form				
	$\varepsilon_{pellet}$ (%)	$k$ (%)	$a_0$ (%g·g <sub>ads.</sub> <sup>-1</sup> )	$c$ (-)	R <sup>2</sup>	$\varepsilon_{powder}$ (%)	$k$ (%)	$a_0$ (%g·g <sub>ads.</sub> <sup>-1</sup> )	$c$ (-)	R <sup>2</sup>
Heptane 21% (lab scale)	62±3	0.323	0.254	3.317	0.999	96 ± 1	0.149	1.688	1.542	0.999
Heptane 15% (lab scale)	54±4	0.242	0.554	3.185	0.997		0.088	2.807	1.884	1.000
PMMA 21% (lab scale)	54±4	0.269	0.711	2.864	0.999		0.197	1.153	1.797	0.999
PMMA 18% (lab scale)	29±7	0.209	1.069	2.242	0.999		0.177	1.354	1.823	0.999
DTE Medium oil 21% (lab scale)	58±10	0.200	0.962	2.276	0.996		0.200	1.632	2.593	0.999
DTE Medium oil 18% (lab scale)	56±10	0.191	1.709	2.297	0.998		0.206	1.246	3.339	0.999
Heptane 21% (pilot scale)	66±3	0.213	1.805	1.876	0.998		0.127	3.822	1.174	0.999
Heptane 15% (pilot scale)	58±4	0.229	1.395	2.825	0.998		0.059	28.323	0.221	0.999
PMMA 21% (pilot scale)	59±4	0.290	0.510	3.143	0.999		0.021	12.910	0.313	0.999
PMMA 18% (pilot scale)	52±4	0.235	1.211	2.801	0.999		0.191	2.170	1.481	0.999
average values	55±10	0.24 ± 0.02	1.0 ± 0.4	2.6 ± 0.4	-	96±1	0.14 ± 0.03	2.6 ± 0.4	1.6 ± 0.4	-