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UNIFORM K-STABILITY OF POLARIZED SPHERICAL VARIETIES

THIBAUT DELCROIX, WITH AN APPENDIX BY YUJI ODAKA

ABSTRACT. We express notions of K-stability of polarized spherical varieties in terms of combinatorial data, vastly generalizing the case of toric varieties. We then provide a combinatorial sufficient condition of G -uniform K-stability by studying the corresponding convex geometric problem. Thanks to recent work of Chi Li and a remark by Yuji Odaka, this provides an explicitly checkable sufficient condition of existence of constant scalar curvature Kähler metrics. As a side effect, we show that, on several families of spherical varieties, G -uniform K-stability is equivalent to K-polystability with respect to G -equivariant special test configurations for polarizations close to the anticanonical bundle.

1. INTRODUCTION

In the seminal article [Don02], Donaldson initiated a study of the existence of constant scalar curvature Kähler metrics on polarized toric manifolds. There, he notably introduced the general condition of K-stability, thus formulating a precise version of the Yau-Tian-Donaldson conjecture whose aim is to give an algebro-geometric characterization of the existence of constant scalar curvature Kähler metrics on general polarized varieties. Focusing on toric varieties, he further translated the condition of K-stability into a convex geometric problem, and with additional work concluded in [Don09], he was able to prove the Yau-Tian-Donaldson conjecture for non-singular toric surfaces. Together with the case of Kähler-Einstein metrics, it is still today one of the most convincing evidence for the conjecture.

The conjecture is still open in general, and examples (see [ACGTF08]) indicate that the condition of K-stability should be modified slightly to a condition of *uniform* K-stability which has been introduced and refined by several authors [Szé15, Der16, BHJ17, His20]. However, the work of Donaldson combined with some more recent advances (notably [CC18]) shows that the uniform Yau-Tian-Donaldson conjecture is true for toric manifolds. A different proof of this fact has been provided very recently by Li [Li20]. Odaka noticed that the recent work of Li essentially proves the uniform Yau-Tian-Donaldson conjecture for polarized spherical manifold as well (this is explained in more details in his appendix to the present article).

Motivated by Odaka's remark, we translate the uniform K-stability condition into a convex geometric problem for polarized spherical varieties, in terms of the combinatorial data encoding these. This task accomplished provides a much wider playground than the toric case where one can try to show the (non-uniform) Yau-Tian-Donaldson conjecture for different classes of varieties, or derive explicit combinatorial conditions of existence of constant scalar curvature Kähler metrics. We will concentrate here on the second goal and provide a combinatorial sufficient criterion of uniform K-stability which applies to a wide range of polarized spherical varieties. We intend to present progress on the first goal in another article¹. It should be noted, for the reader more familiar with K-stability for Fano manifolds, that special test configurations are not expected to be enough, and thus no valuative criterion is expected to hold in the general polarized case. Furthermore, to the author's knowledge, before the present paper, the only manifolds proved to admit a cscK (non-Kähler-Einstein) metric by direct K-stability arguments were toric surfaces.

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¹In the preprint [Del20b], the author has applied the present article to prove the Yau-Tian-Donaldson conjecture for cohomogeneity one manifolds, together with a simple combinatorial criterion.

To provide the reader with a better flavor of the convex geometric problem associated to K-stability of spherical varieties, let us first recall the case of toric varieties. A polarized toric variety (X, L) is a couple formed by a complex normal n -dimensional projective variety X equipped with an effective action of $(\mathbb{C}^*)^n$, and a $(\mathbb{C}^*)^n$ -linearized ample line bundle. Such a data is fully encoded by a convex polytope Δ with integral vertices in \mathbb{R}^n , and the correspondence is very explicit: the integral points of Δ coincide with the different $(\mathbb{C}^*)^n$ -weights of the action of $(\mathbb{C}^*)^n$ on the space of global holomorphic sections $H^0(X, L)$. Let $d\mu$ denote the standard Lebesgue measure on \mathbb{R}^n , and let $d\sigma$ denote the measure on $\partial\Delta$ which coincides on each facet of Δ with the Lebesgue measure on its affine span V , normalized to give unit mass to a fundamental region of the lattice $\mathbb{Z}^n \cap V$. Donaldson shows that the polarized toric variety (X, L) is K-stable if and only if the following functional is positive for any rational piecewise linear concave function g on Δ

$$\mathcal{L}(g) = 2a \int_{\Delta} g d\mu - \int_{\partial\Delta} g d\sigma.$$

where a is the real number such that \mathcal{L} vanishes on constants.

A polarized spherical variety (X, L) is a couple formed by a normal projective variety X equipped with an action of a connected complex reductive group G and a G -linearized ample line bundle L on X , such that a Borel subgroup of G acts with an open orbit on X . Such a variety is encoded as well by combinatorial data, including the data of a convex polytope in a real vector space \mathbb{R}^r (of dimension smaller than X in general), but the definition of these is more involved. Let us just mention the nature of the problem here and we refer to the body of the paper (mainly section 3) for precise definitions. The functional \mathcal{L} from the toric case is modified as:

$$(1) \quad \mathcal{L}(g) = \int_{\Delta} 2g(aP - Q) d\mu - \int_{\partial\Delta} gP d\sigma$$

where Δ is some convex polytope with rational vertices in \mathbb{R}^r , the measure $d\mu$ and $d\sigma$ are defined as in the toric case, P and Q are polynomials, and the scalar a is still such that \mathcal{L} vanishes on constants. Let us consider as well the functional

$$\mathcal{J}(g) = \int_{\Delta} (\max_{\Delta} g - g)P d\mu.$$

We add that the polynomial P is positive on the interior of Δ , so that the functional \mathcal{J} plays a role of (semi)-norm: it is non-negative and vanishes only on constant concave functions. Our first main statement translates conditions of K-stability into conditions on the functionals above (see section 2 for a recall on these notions). Before stating these, let us note that there is an additional combinatorial data associated to a polarized spherical variety: its valuation cone, which may for now simply be interpreted as the data of some full-dimensional convex cone \mathcal{V} in $(\mathbb{R}^n)^*$ centered at 0. We denote by $\text{Lin}(\mathcal{V})$ the linear part of \mathcal{V} , that is, the largest linear subspace contained in \mathcal{V} .

Theorem 1.1. *Let (X, L) be a polarized G -spherical variety. Then*

- (1) *it is G -equivariantly K-polystable if and only if $\mathcal{L}(g) \geq 0$ for any rational piecewise linear concave function $g : \Delta \rightarrow \mathbb{R}$ with slopes in \mathcal{V} , and equality holds only if $g \in \text{Lin}(\mathcal{V})$,*
- (2) *and it is G -uniformly K-stable if and only if there exists an $\varepsilon > 0$ such that*

$$\mathcal{L}(g) \geq \varepsilon \inf_{l \in \text{Lin}(\mathcal{V})} \mathcal{J}(g + l)$$

for all rational piecewise linear concave functions $g : \Delta \rightarrow \mathbb{R}$ with slopes in \mathcal{V} .

We will further give another formulation of the condition of uniform K-stability, and use this new formulation to obtain a sufficient criterion. We now assume that Δ contains the origin in its interior. Let \mathcal{W} be a linear complement to $\text{Lin}(\mathcal{V})$. Let \mathcal{N}_0^∞ be the set of all continuous concave functions f on Δ , smooth on the interior with differentials in \mathcal{V} , such that $\max f = 0$

and $d_0f \in \mathcal{W}$. There exists a non-positive integrable function J on Δ with negative integral, and an integrable function K with integral zero on Δ such that the functional \mathcal{L}_s defined on \mathcal{N}_0^∞ by

$$(2) \quad \mathcal{L}_s(f) = \int_{\Delta} (f(x)K(x) + d_x f(x)J(x)) d\mu(x)$$

coincides with \mathcal{L} on \mathcal{N}_0^∞ . Here, $d_x f$ denotes the differential of a function f at x , so that $d_x f(x)$ is equal to $\nabla f(x) \cdot x$ if one chooses a Euclidean norm on \mathbb{R}^n . We refer to section 6 for the details on the definition of K and J .

Theorem 1.2. *Assume that $K + J \leq 0$. Let b be the element of Δ defined by*

$$\int_{\Delta} (x - b)(K(x) + J(x)) d\mu(x) = 0.$$

If b is in the relative interior of $-\mathcal{V}^\vee$, then (X, L) is G -uniformly K -stable.

Despite its simplicity, the above theorem actually provides an explicit and tractable condition to check for a huge family of polarized spherical varieties. The main evidence for this follows from two facts. The first one is that, when specialized to the situation coming from an anti-canonically polarized spherical variety, all assumptions but the condition on b are automatically satisfied, and that condition translates to the criterion for K -polystability with respect to G -equivariant special test configurations obtained in [Del20a]. In fact, as we will show in Section 8, the condition on b translates to G -equivariant K -polystability with respect to special test configurations in all cases.

The second evidence is that we can prove in many cases that if we fix the variety X but vary the polarization near the anticanonical polarization, the condition on $K + J$ is open. The condition $K + J \leq 0$ obviously does not look open, and an easier way to show openness is to rely on a stronger condition $K + J \leq c < 0$, but it is not always possible for spherical varieties, instead we must understand well how $K + J$ vanishes or converges to zero. These two facts together show that one obtains an explicit condition to check existence of constant scalar curvature Kähler metrics on smooth Fano varieties, for polarizations close to the anti-canonical one (again, for an explicit range). We will prove this for toroidal horospherical varieties, as well as for (non-Hermitian) symmetric varieties, but we expect this to hold much more generally and our proof easily adapts to different situations.

Finally, we note that the condition on b consists of $\dim(\text{Lin}(\mathcal{V}))$ closed conditions and $\dim(\mathcal{V}) - \dim(\text{Lin}(\mathcal{V}))$ open conditions. In particular, on a Kähler-Einstein Fano symmetric (non-Hermitian) manifold such that $\text{Lin}(\mathcal{V}) = \{0\}$, our theorem shows that there exists cscK metrics on an explicit neighborhood of the anti-canonical line bundle.

The paper is organized as follows. Section 2 is devoted to recollection of notions on K -stability. Section 3 summarizes key combinatorial properties of spherical varieties. In Section 4, we associate to a spherical test configuration a piecewise linear concave function, and translate the effect of twisting a test configuration in terms of this function. We then express, in Section 5 the non-Archimedean functionals for spherical test configurations as functionals on the associated concave functions. We will show how Theorem 1.2 applies to K -stability in Section 6, then we prove this theorem in Section 7. We provide a full statement of the sufficient condition of G -uniform K -stability thus obtained in Section 8. The remaining three sections are devoted to illustrating the applicability of the sufficient condition. In Section 9, we apply our criterion to the blowup of the three dimensional quadric at a one dimensional subquadric, and obtain from this the existence of cscK metrics in an explicit neighborhood of the anticanonical line bundle. We show that the criterion always apply for the anticanonical line bundle on Fano manifolds in Section 10. In the final Section 11, we provide examples of statements to the effect that in a wide range of situations, our criterion shows that G -uniform K -stability is equivalent to G -equivariant K -polystability with respect to special test configurations for polarizations close

to the anticanonical line bundle. The appendix by Yuji Odaka shows that for non-singular spherical varieties, G -uniform K-stability is equivalent to existence of cscK metrics.

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2. BACKGROUND ON K-STABILITY

Our references for this section are [BHJ17, His20]. We recall the main notions for the reader's convenience.

Let G be a complex reductive group. Let (X, L) be a G -polarized variety. A (normal, ample) G -equivariant test configuration for (X, L) consists of the data of a normal $(G \times \mathbb{C}^*)$ -variety \mathcal{X} , a $(G \times \mathbb{C}^*)$ -linearized ample line bundle \mathcal{L} on \mathcal{X} , and a \mathbb{C}^* -equivariant flat morphism $\pi : (\mathcal{X}, \mathcal{L}) \rightarrow \mathbb{C}$ whose fiber (X_1, \mathcal{L}_1) over 1 is G -equivariantly isomorphic to (X, L^r) for some $r \in \mathbb{Z}_{>0}$. If the (scheme-theoretic) central fiber is normal, then the test configuration is called *special*. If the total space of the test configuration is $(G$ -equivariantly) isomorphic to $X \times \mathbb{C}$, then the test configuration is called a product test configuration.

The numerical invariants associated to a test configuration $(\mathcal{X}, \mathcal{L})$ may be defined in terms of the central fiber (X_0, \mathcal{L}_0) as follows. First note that it is equipped with a \mathbb{C}^* -action induced by the action on the test configuration. For $k \in \mathbb{N}$, let d_k denote the dimension $\dim H^0(X_0, \mathcal{L}_0^k)$, let $\lambda_{1,k}, \dots, \lambda_{d_k,k}$ denote the weights of the \mathbb{C}^* -action on $H^0(X_0, \mathcal{L}_0^k)$, let w_k denote the sum of the $\lambda_{i,k}$. The quotient $\frac{w_k}{kd_k}$ admits an expansion in powers of k at infinity, and we will be interested in the first two terms:

$$\frac{w_k}{kd_k} = F_0 + F_1 k^{-1} + o(k^{-1}).$$

The *non-Archimedean J-functional* of a test configuration $(\mathcal{X}, \mathcal{L})$ is

$$J^{\text{NA}}(\mathcal{X}, \mathcal{L}) = \sup\{\lambda_{i,k}/k \mid k \in \mathbb{Z}_{>0}, 1 \leq i \leq d_k\} - F_0$$

and the *Donaldson-Futaki invariant* is

$$\text{DF}(\mathcal{X}, \mathcal{L}) = -F_1.$$

It is often more convenient to work with the *non-Archimedean Mabuchi functional* M^{NA} instead of the Donaldson-Futaki invariant. Indeed, the latter does not vary linearly with base changes of the form $z \mapsto z^m$ on the test configurations, while the former does. Their values coincide when the central fiber is reduced, and the Donaldson-Futaki invariant is always greater than, or equal to the non-Archimedean Mabuchi functional. Furthermore, given any test configuration, there exists a base change such that the resulting test configuration has reduced central fiber, and base change preserves G -equivariance.

The G -polarized variety (X, L) is called *G -equivariantly K-semistable* if $M^{\text{NA}}(\mathcal{X}, \mathcal{L}) \geq 0$ for all G equivariant test configurations, and *G -equivariantly K-polystable* if furthermore $M^{\text{NA}}(\mathcal{X}, \mathcal{L}) = 0$ if and only if the test configuration is a product test configuration. We also use the self-explaining terminology *G -equivariantly K-polystable with respect to special test configurations*, and abbreviate it to *G -stc K-polystable*.

The total space of a given G -equivariant test configuration $(\mathcal{X}, \mathcal{L})$ may actually be the underlying total space of several different G -equivariant test configurations. Let F be the group of $(G \times \mathbb{C}^*)$ -equivariant automorphisms of \mathcal{X} . It contains the factor \mathbb{C}^* . Let $\mathfrak{Y}(F)$ denote the set of one-parameter subgroups of F . Let β denote the character of \mathbb{C}^* of weight 1, which is identified with a character of F . Any one-parameter subgroup $\eta \in \mathfrak{Y}(F)$ such that $\beta(\eta) = 1$ defines a \mathbb{C}^* -action on $(\mathcal{X}, \mathcal{L})$, a projection to \mathbb{C} , and hence a G -equivariant test configuration $(\mathcal{X}, \mathcal{L})_\eta$, in general different from the initial $(\mathcal{X}, \mathcal{L})$. The test configuration $(\mathcal{X}, \mathcal{L})_\eta$ is called the *twist by η of the test configuration $(\mathcal{X}, \mathcal{L})$* . Since we can work up to base change, the same notion makes sense for any element of $\eta \in \mathfrak{Y}(F) \otimes \mathbb{Q}$ with $\beta(\eta) > 0$. One can actually extend the definition to irrational $\eta \in \mathfrak{Y}(F) \otimes \mathbb{R}$ though it is not needed for our paper.

Without a group G involved, (J -)uniform K -stability is defined as the existence of a positive constant $\varepsilon > 0$ such that for all test configurations,

$$M^{\text{NA}}(\mathcal{X}, \mathcal{L}) \geq \varepsilon J^{\text{NA}}(\mathcal{X}, \mathcal{L}).$$

The polarized variety (X, L) is called G -uniformly K -stable if there exists a positive constant $\varepsilon > 0$ such that for all G -equivariant test configurations,

$$M^{\text{NA}}(\mathcal{X}, \mathcal{L}) \geq \varepsilon \inf_{\eta \in \mathfrak{Y}(F) \otimes \mathbb{Q}, \beta(\eta)=1} J^{\text{NA}}(\mathcal{X}, \mathcal{L})_{\eta}.$$

In other words and up to base changes, on the right hand side, instead of the J^{NA} of the test configuration, we consider the infimum of J^{NA} over all test configurations with $(G \times \mathbb{C}^*)$ -isomorphic polarized total space but different projections to \mathbb{C} .

3. BACKGROUND ON POLARIZED SPHERICAL VARIETIES

Our references for this section are [Kno91, Bri89]. We recall the main notions for the reader's convenience.

Let G be a complex connected reductive group. We fix a choice of a Borel subgroup $B \subset G$ and a choice of a maximal torus $T \subset B$. Let G/H be a *spherical G -homogeneous space*, that is, such that B acts on G/H with an open orbit. The *spherical lattice* M of G/H is the subgroup of the group of characters of B consisting of the weights of B -eigenfunctions in the field $\mathbb{C}(G/H)$. We denote by N the dual lattice: $N = \text{Hom}(M, \mathbb{Z})$. Since B has an open orbit, the value of a B -invariant valuation on a B -eigenfunction depends only on the eigenvalue, which is an element of M . We denote by ϱ the map from the set of B -invariant valuations of $\mathbb{C}(G/H)$ to $N \otimes \mathbb{R}$. The image of the subset of G -invariant valuations generates a cosimplicial convex cone \mathcal{V} called the *valuation cone* of G/H .

The G -equivariant embeddings of G/H are in one-to-one correspondence with *colored fans* (see [Kno91] for a detailed exposition of this correspondence). Let X be a complete G -equivariant embedding of G/H , with colored fan \mathcal{F}_X . Let \mathcal{P}_X be the set of B -stable prime divisors in X . It is a finite set comprised of closures of codimension one B -orbits in G/H and of closures of codimension one G -orbits, the latter corresponding to colorless rays in \mathcal{F}_X . We identify such a divisor D with the induced valuation, and thus get an associated element $\varrho(D)$ of $N \otimes \mathbb{R}$ via the map ϱ .

Let L be an ample G -linearized line bundle on X . Then G acts on the space of holomorphic sections of L . Fix a B -eigenvalue s for this action and denote its B -weight by χ . The B -invariant Cartier divisor on X defined by s is of the form

$$(3) \quad \sum_{D \in \mathcal{P}_X} n_D D$$

and there exists an integral piecewise linear function f defined on $N \otimes \mathbb{R}$, such that $(f \circ \varrho)(D) = n_D$ whenever D contains a G -orbit (in other words, when either D is G -invariant or D is a *color* of X). Conversely, a divisor as in (3) with the same property defines a Cartier divisor.

The condition that L is ample is equivalent to the fact that the minimal function f which satisfies the above condition further satisfies:

- i) f is a convex function,
- ii) $(f \circ \varrho)(D) < n_D$ for each $D \in \mathcal{P}_X$ which does not contain G -orbits,
- iii) the slopes of f on two distinct maximal cones of \mathcal{F}_X are distinct.

Brion defines a polytope $\Delta = \Delta(X, L)$ associated to s as the convex polytope in $M \otimes \mathbb{R}$ defined by the equations $\varrho(D)(m) + n_D \geq 0$ for all $D \in \mathcal{P}_X$. Integral points of Δ give the decomposition of $H^0(X, L)$ as a G -representation. More precisely, if V_{λ} denotes an irreducible representation of G with highest weight λ , then $H^0(X, L)$ is G -isomorphic to

$$\bigoplus_{m \in M \cap \Delta} V_{\chi+m}$$

where we recall that χ is the B -weight of s .

The degree L^n is obtained from this polytope by Brion as follows. Let R^+ denote the positive root system of (G, B, T) and let R_X^+ denote the set of positive roots that are *not* orthogonal to $\chi + \Delta$. Then

$$(4) \quad L^n = n! \int_{\Delta} P d\mu$$

where $d\mu$ is the Lebesgue measure on $M \otimes \mathbb{R}$ normalized by M , and P is the Duistermaat-Heckman polynomial defined by:

$$(5) \quad P(x) = \prod_{\alpha \in R_X^+} \frac{\langle x + \chi, \alpha \rangle}{\langle \varpi, \alpha \rangle}$$

where ϖ is the half sum of positive roots of G . The above result is proved by considering the first order asymptotic of the dimensions of the spaces of pluri-sections $H^0(X, L^k)$ and Weyl's dimension formula

$$\dim(V_\lambda) = \prod_{\alpha \in R^+} \frac{\langle \alpha, \lambda + \varpi \rangle}{\langle \alpha, \varpi \rangle}.$$

Indeed, up to the $n!$ factor, the highest order (in k) coefficient of $\sum_{m \in M \cap k\Delta} \dim(V_{k\chi+m})$ gives the integral in (4) and the polynomial appearing in this volume is the highest order summand of the polynomial giving the dimension formula, restricted to the affine space $\chi + M \otimes \mathbb{R}$.

We will actually need the following refinement, which is a consequence of a general result of Pukhlikov and Khovanskii [PK92]. The dimension $\dim H^0(X, L^k)$ admits an expansion in powers of k of the form

$$(6) \quad \dim H^0(X, L^k) = k^n \int_{\Delta} P d\mu + k^{n-1} \left(\frac{1}{2} \int_{\partial\Delta} P d\sigma + \int_{\Delta} Q d\mu \right) + o(k^{n-1})$$

where

$$(7) \quad Q(x) = \sum_{\alpha \in R_X^+} \frac{\langle \alpha, \varpi \rangle}{\langle \alpha, x + \chi \rangle} P(x),$$

and $d\sigma$ denotes the measure on $\partial\Delta$ which coincides on each facet with the Lebesgue measure normalized by the intersection of M with the affine space spanned by the face. We explain how this result follows from [PK92] in the next subsection.

3.1. Second coefficient in the expansion. The proof of expansion (6) arises from a generalization of Ehrhart's multiplicity theorem, as follows from work of McMullen [McM77] and Khovanskii-Pukhlikov [PK92].

Let V be a real vector space, and Λ a lattice in V .

Khovanskii and Pukhlikov's general results (in particular, [PK92, Corollary 2.5]) show that, on the group of virtual polytopes, which consists essentially of formal finite real linear combinations of polytopes equipped with Minkowski addition, the evaluation of a given fixed homogeneous polynomial at integral points of a polytope with vertices in Λ extends to a polynomial function (valuation in the terminology of [PK92]) from the group of virtual polytopes to \mathbb{R} . In particular, its restriction to the subgroup generated by a single polytope is a polynomial function.

Assume that $f : V \rightarrow \mathbb{R}$ is a homogeneous polynomial of degree d and $\hat{\Delta}$ is an r -dimensional polytope in V with vertices in Λ , then it follows from the results quickly summarized above that the function

$$k \in \mathbb{Z}_{>0} \mapsto \sum_{x \in \Lambda \cap k\hat{\Delta}} f(x)$$

is the restriction of a polynomial function \mathcal{F} from \mathbb{R} to \mathbb{R} . We note that

$$\mathcal{F}(k) = k^d \sum_{x \in \frac{1}{k}\Lambda \cap \hat{\Delta}} f(x) = k^{d+r} \sum_{x \in \frac{1}{k}\Lambda \cap \hat{\Delta}} \frac{f(x)}{k^r}$$

and the latter sum converges to $\int_{\hat{\Delta}} f d\mu$ for the Lebesgue measure $d\mu$ on the affine span of $\hat{\Delta}$, normalized by the lattice, hence the polynomial \mathcal{F} is a degree $d+r$ polynomial whose degree $d+r$ coefficient is $\int_{\hat{\Delta}} f d\mu$.

The formula for the second coefficient of the polynomial \mathcal{F} follows from Minkowski's inversion in the group of virtual polytopes [PK92, Theorem 2.2]: the inverse of the polytope $\hat{\Delta}$ in this group is $(-1)^r \text{Int}(-\hat{\Delta})$ (which can be interpreted as the formal sum $\sum_F (-1)^{\dim(F)} (-F)$ where F runs over all faces of $\hat{\Delta}$ and $-F$ denotes the polytope symmetric to F with respect to the origin in V). It thus follows from the polynomiality theorem that the polynomial function \mathcal{F} admits the following expression at negative integers:

$$\mathcal{F}(-k) = (-1)^r \sum_{x \in \Lambda \cap \text{Int}(-k\hat{\Delta})} f(x) = (-k)^{r+d} \sum_{x \in \frac{1}{k}\Lambda \cap \text{Int}(\hat{\Delta})} \frac{f(x)}{k^r}.$$

Write $\mathcal{F}(k) = \sum_{j=0}^{r+d} a_j k^j$. We already know that $a_{r+d} = \int_{\hat{\Delta}} f d\mu$ and we want to find the second coefficient. Consider the sum

$$\mathcal{F}(k) - (-1)^{r+d} \mathcal{F}(-k) = \sum_{j=0}^{r+d} (a_j - (-1)^{r+d+j} a_j) k^j$$

then of course its highest possibly non-zero coefficient is the coefficient of k^{r+d-1} . On the other hand, in view of previous formulas, the left hand side may be interpreted as

$$\mathcal{F}(k) - (-1)^{r+d} \mathcal{F}(-k) = k^{r+d-1} \sum_{x \in \frac{1}{k}\Lambda \cap \partial\hat{\Delta}} \frac{f(x)}{k^{r-1}}$$

We deduce from the two equalities that

$$2a_{r+d-1} = \lim_{k \rightarrow \infty} \frac{\mathcal{F}(k) - (-1)^{r+d} \mathcal{F}(-k)}{k^{r+d-1}} = \int_{\partial\hat{\Delta}} f d\sigma$$

where $d\sigma$ is the measure on the boundary which, restricted to a facet, coincides with the translate of the Lebesgue measure on the linear space spanned by the facet, normalized by the induced lattice.

To get the expansion (6), we apply this to the two highest degree homogeneous components in Weyl dimension formula. One should however be careful in choosing the right space to apply this. Consider the vector space $V := (M \otimes \mathbb{R}) \oplus \mathbb{R}$, equipped with the lattice $\Lambda := \{(m, k) \mid m - k\chi \in M\}$, where χ is the B -weight of a fixed B -eigensection s of L . Consider the r -dimensional polytope

$$\hat{\Delta} = \{(x, 1) \mid x \in \Delta\}$$

where Δ is the polytope associated to (X, L) as in the previous subsection. Consider the degree d polynomial f extending Weyl dimension formula applied to the weights $x + t\chi$ for $x \in M$ and $t \in \mathbb{Z}_{\geq 0}$:

$$f : V \rightarrow \mathbb{R}, (x, t) \mapsto \prod_{\alpha \in R} \frac{\langle \alpha, x + t\chi + \varpi \rangle}{\langle \alpha, \varpi \rangle}$$

and let f_d and f_{d-1} denote its highest degree homogeneous components. Note that $P(x) = f_d(x, 1)$ and $Q(x) = f_{d-1}(x, 1)$. Applying the results of [PK92] and the argument to compute the second coefficient described above yields the expansion (6).

4. TEST CONFIGURATIONS FOR POLARIZED SPHERICAL VARIETIES

4.1. Statement. In this section we encode equivariant test configurations for polarized spherical varieties by certain concave piecewise linear functions. In addition to Donaldson's work on toric varieties [Don02], this task has already been accomplished in different special cases [AB04, Nyb, Del20a]. We freely use notations from section 3.

Theorem 4.1. *For a polarized spherical variety (X, L) , G -equivariant test configurations are in one-to-one correspondence with positive rational piecewise linear concave functions on $\Delta(X, L)$, with slopes in the valuation cone of X .*

The test configuration is furthermore special if the associated function is integral linear, and it is product if it is integral linear, with slope in $\text{Lin}(\mathcal{V})$.

Furthermore, rational twists of a given test configuration $(\mathcal{X}, \mathcal{L})$ are in one-to-one correspondence with elements of $\text{Lin}(\mathcal{V}) \cap N \otimes \mathbb{Q}$ and if g is the function associated to $(\mathcal{X}, \mathcal{L})$, then the set of functions corresponding to the twists is $\{g + l \mid l \in \text{Lin}(\mathcal{V}) \cap N \otimes \mathbb{Q}\}$.

The correspondence is explicitly described in the proof below. The key picture to keep in mind is that the polytope associated (as in [Bri89]) to a trivially compactified test configuration can be described as the set of point below the graph of a concave integral piecewise linear function on some multiple of the polytope associated to the initial polarized variety.

4.2. From a test configuration to a concave function... Let (X, L) be a polarized spherical variety. Let $(\mathcal{X}, \mathcal{L})$ be a G -equivariant test configuration for (X, L) . We still denote by $(\mathcal{X}, \mathcal{L})$ the *trivially compactified* G -equivariant test configuration for (X, L) . That is, we glue the trivial family over \mathbb{C} to $(\mathcal{X}, \mathcal{L})$ along \mathbb{C}^* to obtain a family over \mathbb{P}^1 . We denote the point added to \mathbb{C} by ∞ and keep the notation $(\mathcal{X}, \mathcal{L})$ for the family over \mathbb{P}^1 .

Note that $(\mathcal{X}, \mathcal{L})$ is a polarized spherical variety under the action of $G \times \mathbb{C}^*$ (this remark will also be used in Odaka's appendix). Its open orbit is $G/H \times \mathbb{C}^*$, and the combinatorial data are easily derived from that of X .

Let \hat{s} be the \mathbb{C}^* -invariant meromorphic section of \mathcal{L} whose restriction to $(X, L^r) = (X_1, \mathcal{L}_1)$ coincides with $s^{\otimes r}$. The divisor associated to \hat{s} is $(B \times \mathbb{C}^*)$ -stable, hence an integral linear combination of the form

$$(8) \quad \sum_{\hat{D} \in \mathcal{P}_{\mathcal{X}}} n_{\hat{D}} \hat{D}$$

where $\mathcal{P}_{\mathcal{X}}$ is the set of prime $(B \times \mathbb{C}^*)$ -stable divisors on \mathcal{X} .

There are three types of such divisors.

- Each divisor $\hat{D} \in \mathcal{P}_{\mathcal{X}}$ with $\hat{\rho}(\hat{D}) \in (N \otimes \mathbb{R} \times \{0\})$ must be of the form $\hat{D} = \overline{D \times \mathbb{C}^*}$ for some $D \in \mathcal{P}_X$. Then by our choice of section, $n_{\hat{D}} = rn_D$. Note that since the Borel subgroup of \mathbb{C}^* is \mathbb{C}^* itself, all other elements of $\mathcal{P}_{\mathcal{X}}$ must be $(G \times \mathbb{C}^*)$ -stable.
- There is only one divisor $\hat{D} \in \mathcal{P}_{\mathcal{X}}$ such that $\hat{\rho}(\hat{D}) \in (\mathcal{V} \times \mathbb{R}_{>0})$, this is the fiber X_{∞} , because $(\mathcal{X}, \mathcal{L})$ is trivial at ∞ . The choice of section, on the other hand, implies that for this divisor, $n_{\hat{D}} = 0$.
- Let \mathcal{A} denote the set $\hat{\rho}(\mathcal{P}_{\mathcal{X}}) \cap (\mathcal{V} \times \mathbb{R}_{<0})$, and write each element in \mathcal{A} as a couple (u, s) with $u \in \mathcal{V}$ and $s \in \mathbb{R}_{<0}$. Let us write also $n_{u,s}$ for the corresponding coefficient in (8).

Let $\hat{\Delta}$ denote the polytope in $\hat{M} \otimes \mathbb{R}$ associated to the divisor (8). In view of the previous description of the divisor, the polytope can be described as

$$\hat{\Delta} = \{(rx, t) \mid x \in \Delta, 0 \leq t \leq g(x)\}$$

where g is a (positive) rational concave piecewise linear function on Δ , expressed as

$$g(x) = \inf_{(u,s) \in \mathcal{A}} \left(\frac{ru(x) + n_{u,s}}{-s} \right).$$

Note that since each s is negative, the slopes $(-s)^{-1}ru$ are rational point of the valuation cone \mathcal{V} .

Remark 4.2. It may not seem natural that the positive direction corresponds to ∞ for the reader accustomed to a certain point of view on toric varieties. It stems from the fact that, under the action $w \cdot f(z) = f(w^{-1}z)$, the function $f : z \mapsto z^k$ is a \mathbb{C}^* -eigenvector with eigenvalue the one parameter subgroup $\chi : w \mapsto w^{-k}$ rather than f itself.

4.3. ...and back. We now explain how to reconstruct a test configuration from a concave function. Let g be a positive rational piecewise linear concave function on $\Delta(X, L)$, with slopes in the valuation cone of X . We can find a positive integer r , a subset \mathcal{A} of $\mathcal{V} \times \mathbb{Z}_{<0}$, and integers $n_{u,s}$ for all $(u, s) \in \mathcal{A}$ such that

$$g(x) = \inf_{(u,s) \in \mathcal{A}} \left(\frac{ru(x) + n_{u,s}}{-s} \right),$$

and u is a primitive element of N for all $(u, s) \in \mathcal{A}$.

Consider the polytope

$$\hat{\Delta} = \{(rx, t) \mid x \in \Delta, 0 \leq t \leq g(p)\}.$$

We build a colored fan $\mathcal{F}_{\mathcal{X}}$ for the $(G \times \mathbb{C}^*)$ -homogeneous space $G/H \times \mathbb{C}^*$ from $\hat{\Delta}$ as follows. Recall that we are given (X, L) and the corresponding divisor (3), and that colors of $G/H \times \mathbb{C}^*$ may be identified with colors of G/H . We first include in $\mathcal{F}_{\mathcal{X}}$ the colored cones $(\sigma \times \{0\}, S)$ and $(\sigma \times \mathbb{R}_{>0}, S)$, where (σ, S) is a colored cone of \mathcal{F}_X . These account for the trivial family over $\mathbb{C}^* \cup \{\infty\}$. To complete the fan, we add, for each cone σ in the opposite of the normal fan to $\hat{\Delta}$ which has not been considered yet and whose intersection with the interior of $\mathcal{V} \times \mathbb{R}$ is non-empty, a colored cone $(\sigma, S) \in \mathcal{F}_{\mathcal{X}}$, where S is defined as follows. It suffices to define it for maximal colored cones. For such a cone σ , let m_σ denote the corresponding vertex of $\hat{\Delta}$. Then S is the set of all colors D of $G/H \times \mathbb{C}^*$ in σ such that $-\varrho(\overline{D})(m_\sigma) + n_D > 0$.

We have thus defined a colored fan, hence an embedding \mathcal{X} of $G/H \times \mathbb{C}^*$. As follows from the description of equivariant morphisms between spherical varieties [Kno91, Theorem 4.1], \mathcal{X} admits a \mathbb{C}^* -equivariant surjective morphism to \mathbb{P}^1 , which induces a trivial family with fiber X over the affine chart $\mathbb{C}^* \cup \{\infty\}$. We identify this subvariety with $X \times (\mathbb{C}^* \cup \{\infty\})$.

The polytope $\hat{\Delta}$ is the polytope associated to the $(B \times \mathbb{C}^*)$ -stable Cartier divisor

$$d = \sum_{\hat{D} \in \mathcal{P}_{\mathcal{X}}} n_{\hat{D}} \hat{D}$$

where

- $n_{\hat{D}} = rn_D$ for each divisor $\hat{D} \in \mathcal{P}_{\mathcal{X}}$ with $\hat{\varrho}(\hat{D}) \in (N \otimes \mathbb{R} \times \{0\})$ (equivalently $\hat{D} = \overline{D \times \mathbb{C}^*}$ for some $D \in \mathcal{P}_X$).
- $n_{\hat{D}} = 0$ for the only divisor $\hat{D} \in \mathcal{P}_{\mathcal{X}}$ such that $\hat{\varrho}(\hat{D}) \in (\mathcal{V} \times \mathbb{R}_{>0})$, which is the fiber X_∞ .
- $n_{\hat{D}} = n_{u,s}$ for $(u, s) \in \mathcal{A}$ and \hat{D} the G -stable divisor which is the closure of the codimension one G -orbit associated to the colorless ray generated by (u, s) .

In particular, the restriction of this divisor to $X \times (\mathbb{C}^* \cup \{\infty\})$ is the product of the divisor (3) with $\mathbb{C}^* \cup \{\infty\}$. Furthermore, this divisor satisfies the ampleness assumption. This is not obvious since the colors of \mathcal{X} are different from the colors of X in general, but our choices of colors for each colored cone was tailored to ensure ampleness. The associated line bundle $\mathcal{O}(d)$ is $(G \times \mathbb{C}^*)$ -linearizable (maybe up to passing to a suitable finite tensor power, which does not seriously affect our statement). Choosing the linearization such that the natural section s of $\mathcal{O}(d)$ is \mathbb{C}^* -invariant and has B -weight χ yields the final identification of $\mathcal{O}(d)$ with the pull-back of L by the first projection on $X \times (\mathbb{C}^* \cup \{\infty\})$. This concludes the construction of the test configuration $(\mathcal{X}, \mathcal{L})$.

4.4. Effect of twisting. We now elucidate the different possible twists of a given test configuration, as involved in the definition of G -uniform K-stability. For a spherical homogeneous space $G/H \times \mathbb{C}^*$, one can easily identify $\text{Aut}_{G \times \mathbb{C}^*}(G/H \times \mathbb{C}^*)$: it is the group $N_{G \times \mathbb{C}^*}(H \times \{1\})/(H \times \{1\})$, acting on the right on $G/H \times \mathbb{C}^*$. Furthermore, this group is diagonalizable and the action of its neutral component F extends to any embedding. Finally, $\mathfrak{Y}(F) \times \mathbb{R}$ may be identified with the linear part $\text{Lin}(\mathcal{V})$ of the valuation cone of $G/H \times \mathbb{C}^*$.

The above is not actually necessary since we can identify the possible twists directly by the theory of spherical embeddings. Indeed, the colored fan of the twist of a test configuration and of the initial test configurations are the same, as well as the combinatorial data identifying the line bundle. Note that what we just wrote is true for the total space of the test configuration itself, but not the compactification, which depends on the twist. The only difference is thus that the privileged direction coming from the factor \mathbb{C}^* can be chosen differently, and that will affect the final expression of g .

More precisely, the direction can be chosen arbitrarily among those directions in $(\text{Lin}(\mathcal{V}) \cap N) \times \{1\}$, or $(\text{Lin}(\mathcal{V}) \cap (N \otimes \mathbb{Q})) \times \{1\}$ to allow for rational twists. The effect on g is by adding the function l for some element l of $\text{Lin}(\mathcal{V}) \cap N \otimes \mathbb{Q}$. This concludes the proof of Theorem 4.1. \square

5. NON-ARCHIMEDEAN FUNCTIONALS FOR SPHERICAL TEST CONFIGURATIONS

5.1. Statement. In this section, we compute the non-Archimedean Mabuchi functional and the non-Archimedean J functional of the test configuration $(\mathcal{X}, \mathcal{L})$ associated to the concave function g . The computation follows the method of [Don02], and was previously used to obtain sub-cases of our result in [Nyb, AK05].

We use the notations of section 3 and we set the notations

$$V := \int_{\Delta} P d\mu$$

and

$$a := \frac{1}{2V} \left(\int_{\partial\Delta} P d\sigma + 2 \int_{\Delta} Q d\mu \right).$$

Theorem 5.1. *We have*

$$M^{\text{NA}}(\mathcal{X}, \mathcal{L}) = \frac{1}{V} \left(a \int_{\Delta} gP d\mu - \frac{1}{2} \int_{\partial\Delta} gP d\sigma - \int_{\Delta} gQ d\mu \right)$$

and

$$J^{\text{NA}}(\mathcal{X}, \mathcal{L}) = \frac{1}{V} \int_{\Delta} (\max_{\Delta} g - g) P d\mu.$$

With the notations of the introduction, we thus have $2V M^{\text{NA}}(\mathcal{X}, \mathcal{L}) = \mathcal{L}(g)$ and $V J^{\text{NA}}(\mathcal{X}, \mathcal{L}) = \mathcal{J}(g)$. We provide an expression for M^{NA} rather than for the Donaldson-Futaki invariant. This is because it is linear with respect to base change, so it is enough to compute it up to base change. We can thus in particular assume that the test configuration is reduced and use the definition of the Donaldson-Futaki invariant recalled in section 2. This reduction will appear during the proof.

5.2. Proof. Let X_x denote the fiber of the test configuration, for $x \in \mathbb{P}^1 = \mathbb{C} \cup \{\infty\}$. Donaldson uses the following exact (for large enough k) sequences of \mathbb{C}^* -representations obtained by restriction of sections:

$$\begin{aligned} 0 \rightarrow H^0(\mathcal{X}, \mathcal{L}^k \otimes \mathcal{O}(-X_0)) &\rightarrow H^0(\mathcal{X}, \mathcal{L}^k) \rightarrow H^0(X_0, \mathcal{L}^k|_{X_0}) \rightarrow 0 \\ 0 \rightarrow H^0(\mathcal{X}, \mathcal{L}^k \otimes \mathcal{O}(-X_{\infty})) &\rightarrow H^0(\mathcal{X}, \mathcal{L}^k) \rightarrow H^0(X_{\infty}, \mathcal{L}^k|_{X_{\infty}}) \rightarrow 0 \end{aligned}$$

Donaldson further notes that the \mathbb{C}^* -action on $H^0(X_{\infty}, \mathcal{L}^k|_{X_{\infty}})$ is trivial, and that the family of weights of the \mathbb{C}^* -representation $H^0(\mathcal{X}, \mathcal{L}^k \otimes \mathcal{O}(-X_{\infty}))$ is $(\lambda_i + 1)_{i \in I}$, if $(\lambda_i)_{i \in I}$ is the family of weights of the \mathbb{C}^* -representation $H^0(\mathcal{X}, \mathcal{L}^k \otimes \mathcal{O}(-X_0))$.

This allows us to express the quantities involved in the definitions of the Donaldson-Futaki invariant and the non-Archimedean J -functional as follows. The sum w_k of weights of $H^0(X_0, \mathcal{L}^k|_{X_0})$ is given by

$$w_k = \dim H^0(\mathcal{X}, \mathcal{L}^k) - \dim H^0(X_0, \mathcal{L}^k|_{X_0}).$$

Furthermore, if λ_k denotes the maximum of all weights of $H^0(X_0, \mathcal{L}^k|_{X_0})$, then

$$\sup_k \frac{\lambda_k}{k} = \max_{\Delta} g$$

In order to use the expansion (6) applied to $\hat{\Delta}$, we may remark that for any (say continuous) function f on Δ ,

$$\int_{\hat{\Delta}} f(x) d\mu(x, t) = \int_{\Delta} f(x)g(x) d\mu(p)$$

and

$$\int_{\partial\hat{\Delta}} f(x) d\sigma(x, t) = 2 \int_{\Delta} f(x) d\mu(x) + \int_{\partial\Delta} g(x)f(x) d\sigma(x).$$

The latter equality follows from the decomposition of the boundary as the slice $\hat{\Delta} \cap (M \otimes \mathbb{R} \times \{0\})$, the graph $\{(x, g(x)) \mid p \in \Delta\}$ of g (giving each one half of the first summand), and the vertical part $\{(x, t) \mid x \in \partial\Delta, 0 \leq t \leq g(x)\}$ giving the second summand. For the graph of g to give the right contribution, given the definition of $d\sigma$, it is actually *necessary to assume* that g is defined by *integral* linear forms. We can restrict to this case by base change since we are interested in the non-Archimedean Mabuchi functional rather than the Donaldson-Futaki invariant.

By the expansion (6) applied to both Δ and $\hat{\Delta}$, we obtain the following expansions.

$$\begin{aligned} kd_k &= k^{n+1} \int_{\Delta} P d\mu + k^n \left(\frac{1}{2} \int_{\partial\Delta} P d\sigma + \int_{\Delta} Q d\mu \right) + o(k^n) \\ w_k &= k^{n+1} \int_{\Delta} gP d\mu + k^n \left(\frac{1}{2} \int_{\partial\Delta} gP d\sigma + \int_{\Delta} gQ d\mu \right) + o(k^n) \end{aligned}$$

Writing $w_k = Ak^{n+1} + Bk^n + o(k^n)$ and $kd_k = Ck^{n+1} + Dk^n + o(k^n)$, we have

$$\frac{w_k}{kd_k} = \frac{A}{C} + \frac{1}{C} \left(B - \frac{AD}{C} \right) \frac{1}{k} + o\left(\frac{1}{k}\right).$$

Replacing with the expressions above proves Theorem 5.1. □

Putting together the results of section 4 and section 5 proves Theorem 1.1. □

6. RESTATING THE PROBLEM

In this section we will show how Theorem 1.2 applies to the uniform K-stability problem. For this we will obtain a new expression of \mathcal{L} when applied to smooth functions, and show how to derive uniform K-stability in these terms. To simplify notations, we assume (by choosing the global section appropriately) that the origin $0 \in M \otimes \mathbb{R}$ is in the interior of the polytope Δ .

6.1. A new expression of \mathcal{L} on smooth functions. Let E_1, \dots, E_k denote the facets of Δ , and let T_i denote the pyramid with vertex the origin and base E_i . This provides in particular a decomposition $\Delta = \bigcup_i T_i$. The author learned the idea of using such a decomposition in [ZZ08, LZZ18].

We will need notations for the set of equations defining Δ as follows: for each facet, let u_i denote the outward-pointing primitive normal in N , and let n_i be the positive number such that

$$\Delta = \{x \in M \otimes \mathbb{R} \mid \forall i, u_i(x) \leq n_i\}.$$

Let J and K be the functions on Δ defined (almost-everywhere) by

$$(9) \quad J(x) = \frac{-P(x)}{n_i}$$

and

$$(10) \quad K(x) = 2aP(x) - 2Q(x) - \frac{1}{n_i}d_xP(x) - \frac{1}{n_i}rP(x)$$

for $x \in \text{Int}(T_i)$, where r denotes the dimension of $M \otimes \mathbb{R}$, also called the *rank* of X .

Note that these functions are not continuous in general, but piecewise polynomial with respect to the decomposition of the polytope, hence integrable. Furthermore, J is negative on the interior of Δ .

Proposition 6.1. *For any continuous function f on Δ , smooth on the interior, we have*

$$\mathcal{L}(f) = \int_{\Delta} (f(x)K(x) + d_x f(x)J(x)) d\mu(x).$$

Proof. We identify $M \otimes \mathbb{R}$ with the Euclidean space \mathbb{R}^r by choosing a basis of M . Let ν denote the unit outward pointing normal vector to $\partial\Delta$ and let $d\sigma_e$ denote the area measure on $\partial\Delta$. Let also $(x \cdot \nu)$ denote the scalar product of $x \in M \otimes \mathbb{R}$ with ν induced by the identification with \mathbb{R}^r .

For f smooth, the divergence theorem yields for all i ,

$$\int_{E_i} f(x)P(x)(x \cdot \nu) d\sigma_e(x) = \int_{T_i} (f(x)d_xP(x) + d_x f(x)P(x) + r f(x)P(x)) d\mu(x).$$

Note that the vector field considered is radial, hence there are no contributions from the other facets of T_i .

Let c_i denote the constant such that $u_i(x) = c_i(x \cdot \nu|_{E_i})$ for $x \in M \otimes \mathbb{R}$. Then $d\sigma_e = c_i d\sigma$ on E_i and $(x \cdot \nu) = \frac{n_i}{c_i}$ for $x \in E_i$, hence in the left-hand side above, we may replace $(x \cdot \nu) d\sigma_e$ with $n_i d\sigma$.

Then using the decomposition $\Delta = \bigcup_i T_i$ to rewrite the boundary term in \mathcal{L} , we have

$$\mathcal{L}(f) = \sum_i \int_{T_i} (K(x)f(x) + J(x)d_x f(x)) d\mu(x)$$

by definition of K and J . □

Remark 6.2. In the case when the restriction of P to the facet E_i vanishes, we can replace the value of n_i in the expressions of $J|_{T_i}$ and $K|_{T_i}$ by any number or even by $+\infty$, in the sense that one can take $J = 0$ and $K = 2aP - 2Q$ on T_i .

6.2. Working on smooth functions. As in the introduction, we choose a complement \mathcal{W} of $\text{Lin}(\mathcal{V})$ in $N \otimes \mathbb{R}$, and we denote by \mathcal{N}_0^∞ the space of continuous concave functions on Δ , smooth in the interior with differentials in \mathcal{V} , such that $\max f = 0$ and $d_0 f \in \mathcal{W}$.

To replace the boundary integral used in the toric case by Donaldson, we introduce:

$$\mathcal{L}_+(f) := \int_{\Delta} (K_+(x)f(x) + J(x)d_x f(x)) d\mu(x)$$

where, for $x \in \text{Int}(T_i)$,

$$K_+(x) = \sup(2aP(x) - 2Q(x), 0) - \frac{1}{n_i}d_xP(x) - \frac{1}{n_i}rP(x).$$

Lemma 6.3. *There exists a constant $\eta > 0$ such that for all $f \in \mathcal{N}_0^\infty$,*

$$\mathcal{L}_+(f) \geq \eta \int_{\Delta} (-f)P d\mu$$

Proof. First note that, by the same proof as in Proposition 6.1,

$$\mathcal{L}_+(f) = \int_{\Delta} 2f \sup(2aP(x) - 2Q(x), 0) d\mu - \int_{\partial\Delta} fP d\sigma.$$

We first claim that, if $x \in \Delta$ and $P(x) = 0$, then there exists a neighborhood V of x such that $(2aP - 2Q)(y) < 0$ for $y \in V \cap \Delta$. Indeed, let \mathcal{S} be the subset of all $\alpha \in R_X^+$ such that $\langle \alpha, x + \chi \rangle = 0$. Then the dominant term in $aP - Q$, for y near x , is

$$-\sum_{\alpha \in \mathcal{S}} \frac{\langle \alpha, \varpi \rangle}{\langle \alpha, y + \chi \rangle} P(y)$$

which is negative for $y \in \Delta$ near x .

Let us work in polar coordinates. For a given direction $\theta \in \mathbb{S}^{r-1}$, consider the ray $t\theta$, and let s denote the maximal positive real number so that $s\theta \in \Delta$. If $P(s\theta) = 0$, then the concavity and normalization, plus the previous observation shows that for a neighborhood V' of θ in \mathbb{S}^{r-1} , and $\Delta' = \{t\theta \in \Delta \mid \theta \in V'\}$, there exists a $\eta' > 0$ such that for all $f \in \mathcal{N}_0^\infty$,

$$\int_{\Delta'} 2f \sup(2aP(x) - 2Q(x), 0) d\mu \geq \eta' \int_{\Delta'} (-f)P d\mu$$

If $P(s\theta) > 0$ then there is a compact neighborhood F of $s\theta$ in $\partial\Delta$ such that $P > 0$ on F . Then setting $\Delta' = \{ty \mid t \in [0, 1], y \in F\}$, by convexity and normalization, there exists a $\eta' > 0$ such that for all $f \in \mathcal{N}_0^\infty$,

$$\int_F -fP d\sigma d\mu \geq \eta' \int_{\Delta'} (-f)P d\mu$$

By compactness of $\partial\Delta$, we obtain the result. \square

Proposition 6.4. *The polarized G -spherical variety (X, L) is G -uniformly K -stable if \mathcal{L} vanishes on elements of $\text{Lin}(\mathcal{V})$ and there exists an $\varepsilon > 0$ such that, for all $f \in \mathcal{N}_0^\infty$,*

$$(11) \quad \mathcal{L}(f) \geq \varepsilon \mathcal{L}_+(f).$$

It is K -semistable if \mathcal{L} is invariant under addition of an element of $\text{Lin}(\mathcal{V})$ and $\mathcal{L} \geq 0$ on \mathcal{N}_0^∞ .

Proof. Assume that \mathcal{L} is invariant under addition of an element of $\text{Lin}(\mathcal{V})$ and there exists an $\varepsilon > 0$ such that (11) holds for all $f \in \mathcal{N}_0^\infty$.

Let $(\mathcal{X}, \mathcal{L})$ be a G -equivariant test configuration for (X, L) , and let g denote the associated positive concave rational piecewise linear function, with slopes in \mathcal{V} . The first step is to note that g can be uniformly approximated on Δ by a sequence (f_m) of smooth concave functions with slopes in \mathcal{V} .

For each m , set

$$\hat{f}_m := f_m - p_{\text{Lin}(\mathcal{V})}(d_0 f_m) - \max_{\Delta}(f_m - p_{\text{Lin}(\mathcal{V})}(d_0 f_m))$$

where $p_{\text{Lin}(\mathcal{V})}$ is the linear projection on $\text{Lin}(\mathcal{V})$ relative to \mathcal{W} . Then $\hat{f}_m \in \mathcal{N}_0^\infty$, and since the f_m are uniformly Lipschitz, it subconverges uniformly to a function $g - l - \max_{\Delta}(g - l)$ for some $l \in \text{Lin}(\mathcal{V})$.

By assumption (11), Lemma 6.3 and uniform convergence, we have

$$\mathcal{L}(g - l - \max_{\Delta}(g - l)) \geq \varepsilon \int_{\Delta} (\max_{\Delta}(g - l) - g + l)P d\mu.$$

By invariance of \mathcal{L} under addition of a constant, or an element of $\text{Lin}(\mathcal{V})$, we can replace the left-hand side by $\mathcal{L}(g)$. We finally have

$$\mathcal{L}(g) \geq \varepsilon \int_{\Delta} (\max_{\Delta}(g - l) - g + l)P d\mu \geq \varepsilon \inf_{l \in \text{Lin}(\mathcal{V})} \mathcal{J}(g + l').$$

We have proved uniform K -stability by Theorem 1.1.

For K -semistability, it suffices to follow the same arguments with $\varepsilon = 0$. \square

7. A COMBINATORIAL SUFFICIENT CONDITION

In this section we will prove Theorem 1.2. The proof is rather elementary and follows from a well-chosen decomposition of \mathcal{L} as a sum of terms, which are each non-negative under the assumptions. We will begin by a simpler analogue of Theorem 1.2 that uses this decomposition, then proceed to the proof.

7.1. A condition for semi-stability. Let for now b be any point in Δ . Write the integrand of the functional \mathcal{L}_s as

$$\begin{aligned} (12) \quad f(x)K(x) + d_x f(x)J(x) &= (d_x f(x-b) - f(x) + f(b))J(x) \\ (13) \quad &+ (f(x) - f(b) - d_b f(x-b))(K+J)(x) \\ (14) \quad &+ d_x f(b)J(x) \\ (15) \quad &+ f(b)K(x) \\ (16) \quad &+ d_b f(x-b)(K+J)(x) \end{aligned}$$

We thus have a decomposition of \mathcal{L}_s as a sum of each corresponding integral.

Proposition 7.1. *Assume that $K+J \leq 0$. Let b be the element of Δ defined by*

$$\int_{\Delta} (x-b)(K(x) + J(x)) d\mu(x) = 0.$$

If b is in $-\mathcal{V}^\vee$, then

$$\mathcal{L}_s(f) \geq 0$$

for any continuous concave function f on Δ , smooth in the interior, with differentials in \mathcal{V} .

Proof. Using b as defined in the statement, we consider the decomposition of \mathcal{L}_s as above. Then

- the contribution from summands (12) and (13) are non-negative by concavity and non-positivity of J and $K+J$,
- the contribution (14) is non-negative by the assumption on the barycenter b and the fact that the differentials of f are in \mathcal{V} ,
- the contribution of the summand (15) is zero since the integral of K is zero,
- and the contribution (16) is zero by definition of b .

□

7.2. A preparatory pre-compactness result. For the full proof of Theorem 1.2 and for future reference, we will use the following pre-compactness result, which is a generalization of one used by Donaldson [Don02, Corollary 5.2.5].

Proposition 7.2. *Let C be a positive real number. Any sequence of non-positive concave functions (f_m) on Δ with $\int_{\Delta} (-f)P d\mu \leq C$ has a sub-sequence which converges to a concave function f_∞ on the interior of Δ , and the convergence is uniform over strict compact subsets of Δ .*

For the proof, we use the standard Euclidean structure on \mathbb{R}^n . For any $x \in \Delta$, let d_x denote the distance from x to the boundary $\partial\Delta$. For any positive number d , set

$$\Delta_d := \{x \in \Delta \mid d_x \geq d\}.$$

Note that by continuity of P , for any positive d there exists a positive constant δ_d such that $P \geq \delta_d$ on Δ_d . Recall that if f is a concave function on Δ , a linear function l is a *superdifferential* of f at x if for all y , $f(y) \leq f(x) + l(y-x)$.

Lemma 7.3. *For any (small enough) positive d , there exists a positive constant $\kappa = \kappa(d)$ such that for any point $x \in \Delta_d$, for any non-positive concave function f on Δ with finite $\int_{\Delta} fP d\mu$, and for any superdifferential l of f at x ,*

$$\|l\| \leq \kappa \int_{\Delta} (-f)P d\mu$$

Proof. Consider the ball B of center x and radius $d/2$, which is contained in $\Delta_{d/2}$ and the half-ball $B^- \subset B$ where the affine function $y \mapsto l(y - x)$ is negative. Then

$$\int_{B^-} l(y - x)P(y) d\mu(y) \leq -\|l\|\delta_{d/2} \left(\frac{d}{2}\right)^{r+1} C$$

where C is a positive constant independent of x, d, f . We have furthermore

$$\begin{aligned} \int_{B^-} l(y - x)P(y) d\mu(y) &\geq \int_{B^-} (l(y - x) + f(x))P(y) d\mu(y) \quad \text{since } f \text{ is non positive} \\ &\geq \int_{B^-} f(y)P(y) d\mu(y) \quad \text{by definition of a superdifferential} \\ &\geq \int_{\Delta} f(y)P(y) d\mu(y) \quad \text{by non-positivity again.} \end{aligned}$$

This concludes the proof. \square

Proof of Proposition 7.2. By concavity, we have

$$0 \geq \max_{\Delta_d} f \geq \frac{1}{\int_{\Delta_d} P d\mu} \int_{\Delta_d} fP d\mu \geq \frac{1}{\int_{\Delta_d} P d\mu} \int_{\Delta} fP d\mu,$$

and we deduce from the lemma that

$$\min_{\Delta_d} f \geq \max_{\Delta_d} f + \text{diam}(\Delta)\kappa(d) \int_{\Delta} fP d\mu.$$

As a consequence, a bound on $\int_{\Delta} fP d\mu$ provides a uniform bound and a Lipschitz bound on any strict compact subset of Δ . The proposition then follows by applying Arzelà-Ascoli theorem. \square

7.3. End of proof of Theorem 1.2. By Proposition 6.1, $\mathcal{L}_s = \mathcal{L}$ for continuous functions that are smooth on the interior of Δ . In particular, this is true for any linear function $l \in N \otimes \mathbb{R}$. Furthermore, for $l \in N \otimes \mathbb{R}$, we have

$$\mathcal{L}(l) = \mathcal{L}_s(l) = \int_{\Delta} l(K + J) d\mu = -C_3 b$$

for some positive constant C_3 .

As a consequence, the condition that b is in the relative interior of $-\mathcal{V}^\vee$ is equivalent to $\mathcal{L}(l) \geq 0$ for all $l \in \mathcal{V}$, with equality if and only if $l \in \text{Lin}(\mathcal{V})$. In particular, it implies that \mathcal{L} vanishes on $\text{Lin}(\mathcal{V})$.

We prove the result by contradiction using Proposition 6.4. Let (f_m) be a sequence of functions in \mathcal{N}_0^∞ . Assume by contradiction that $\mathcal{L}(f_m) \rightarrow 0$ while $\mathcal{L}_+(f_m) = 1$ for all m . The second condition implies, by Lemma 6.3 and by Proposition 7.2, the existence of a limit f_∞ defined on the interior of the polytope, such that (f_m) converges to f_∞ uniformly on every compact subset of the interior of Δ .

Furthermore, by using the expression of $\mathcal{L}(f_m)$ as a sum of non-negative terms as in section 7.1, we obtain that each individual term converges to zero. In particular, that

$$\lim_{m \rightarrow \infty} f_m(x) - f_m(b) - d_b f_m(x - b) = 0$$

almost-everywhere implies that f_∞ is affine. The slope of f_∞ is necessarily in $\mathcal{W} \cap \mathcal{V}$ by the normalization. Finally, the assumption on the barycenter b and the term (14) in section 7.1 imply that the slope must be zero. Hence $f_\infty = 0$. Back to our assumptions, we have $\lim_{m \rightarrow \infty} \mathcal{L}(f_m) - \mathcal{L}_+(f_m) = -1$, but on the other hand, $\mathcal{L}(f_m) - \mathcal{L}_+(f_m) = \int_{\Delta} \inf(2aP(x) - Q(x), 0) f_m(x) d\mu(x)$ converges to zero by local uniform convergence of f_m to the zero function. We reach a contradiction. \square

8. FULL STATEMENT

Let us wrap up in this section the statement of the sufficient condition for uniform K-stability of polarized spherical varieties we proved.

Let us note that Δ is not the most direct choice of polytope associated to the polarized spherical variety (X, L) . The *moment polytope* Δ_+ is in some sense more natural to consider as it depends on less choices. Recall that the moment polytope of (X, L) is the convex polytope obtained by taking the closure of the set of all (normalized) B -weights of plurisections of L . It does not lie in the same space as Δ in general. More precisely, the relation between the two is a simple translation: $\Delta_+ = \chi + \Delta$, and Δ_+ lies in the affine space $\chi + M \otimes \mathbb{R}$. One easily sees from the previous sections that it is not important in our results for χ to be in M . Hence, the data of Δ_+ alone allows to recover both Δ and one (several) choice of χ to apply our sufficient criterion. On the other hand, the data of M is not readily read off from Δ_+ alone. The importance of M in the statement is seen through the integers n_i .

The full statement for our sufficient condition of G -uniform K-stability is as follows.

Theorem 8.1. *Let (X, L) be a polarized G -spherical variety with spherical lattice M of rank r , valuation cone \mathcal{V} and moment polytope Δ_+ . Let R_X^+ denote the positive roots of G not orthogonal to Δ_+ . Choose an element χ in the interior of Δ_+ , number the facets of the translated polytope $\Delta := -\chi + \Delta_+$ by E_i for $1 \leq i \leq s$ and let n_i be the positive numbers such that*

$$\Delta = \{x \in M \otimes \mathbb{R} \mid u_i(x) \leq n_i\}$$

where $u_i \in N = \text{Hom}(M, \mathbb{Z})$ denotes the outward pointing primitive normal to E_i . For $m = r$ or $r + 1$, let L_m be the almost-everywhere defined function on Δ such that for x in the interior of the convex hull of $E_i \cup \{0\}$,

$$L_m(x) = \sum_{\alpha \in R_X^+} \langle \alpha, (mn_i^{-1} - 2a)(x + \chi) + \text{Card}(R_X^+) (n_i^{-1}x + 2\varpi) \rangle \prod_{\beta \in R_X^+ \setminus \{\alpha\}} \langle \beta, x + \chi \rangle$$

where the constant a is defined by $\int_{\Delta} L_r d\mu = 0$ for some Lebesgue measure $d\mu$ on $M \otimes \mathbb{R}$.

Assume that L_{r+1} is non-negative on Δ and let b denote the barycenter of Δ with respect to the measure $L_{r+1} d\mu$. Assume furthermore that $-b$ is in the relative interior of the valuation cone \mathcal{V} . Then (X, L) is G -uniformly K-stable.

If L_{r+1} is non-negative on Δ and $b \in -\mathcal{V}^\vee$, then (X, L) is G -equivariantly K-semistable.

Proof. We work under the assumptions of the theorem, that is, L_{r+1} is non-negative on Δ and the barycenter b of Δ with respect to the measure $L_{r+1} d\mu$ is in the relative interior of $-\mathcal{V}$. Note that $K = -C_1 L_r$ and $K + J = -C_2 L_{r+1}$ for some positive constants C_1 and C_2 . In particular, the barycenters b involved in Theorem 1.2 and the theorem we are trying to prove are indeed the same. Theorem 1.2 thus provides the uniform K-stability result, while the K-semi-stability result follows from Proposition 7.1. \square

Remark 8.2. It follows from the relation between L_m and J and K in the proof and Remark 6.2 that the statement of the theorem applies as well if we replace $(n_i)^{-1}$ by any non-negative number for the facets E_i where P vanishes.

Applying Corollary A.2 from Odaka's appendix to this paper, we have the following sufficient condition for existence of cscK metrics.

Corollary 8.3. *Assume that L_{r+1} is non-negative, that b is in the relative interior of $-\mathcal{V}^\vee$ and that X is smooth. Then there exists a cscK metric in $c_1(L)$.*

On the other hand, from the point of view of K-stability alone, our theorem can be interpreted in the following way.

Corollary 8.4. *Under the assumption that L_{r+1} is non-negative on Δ , G -uniform K-stability is equivalent to G -stc K-polystability for (X, L) .*

In particular, this point of view shows that our barycenter condition is in fact necessary.

Proof. As noted in the previous proof, the condition that b is in the relative interior of $-\mathcal{V}^\vee$ is equivalent to $\mathcal{L}(l) \geq 0$ for all $l \in \mathcal{V}$, with equality if and only if $l \in \text{Lin}(\mathcal{V})$. By Theorem 4.1, this is equivalent to G -stc K-polystability. \square

Remark 8.5. (On the toric case) In the toric case, the statement simplifies a lot, let us state it anew. Let (X, L) be a polarized toric variety with integral moment polytope $\Delta \subset \mathbb{R}^r$, such that 0 is in the interior of Δ . Number the facets of the polytope Δ by E_i for $1 \leq i \leq s$ and let n_i be the positive numbers such that

$$\Delta = \{x \in \mathbb{R}^r \mid u_i \cdot x \leq n_i\}$$

where $u_i \in \mathbb{Z}^r$ denotes the outward pointing primitive normal to E_i . In this case, we have $L_m \equiv mn_i^{-1} - 2a$ in the interior of the convex hull of $E_i \cup \{0\}$, where a is such that $\int_{\Delta} L_r d\mu = 0$. The condition thus becomes: assume that $(r+1)n_i^{-1} - 2a \leq 0$ for all i and that the barycenter of Δ with respect to the measure $L_{r+1} d\mu$ is zero. If these conditions are satisfied, then (X, L) is G -uniformly K-stable.

This statement may be new in the singular and uniform K-stability setting, but actually the whole proof in this toric situation is essentially contained in Zhou and Zhu's arguments to prove a sufficient condition for coercivity of the Mabuchi functional in [ZZ08].

9. EXAMPLE: BLOWUP OF Q^3 ALONG Q^1

In this section we study the blowup X of the three dimensional quadric along a 1-dimensional subquadric. This example was previously considered in [DH21] and [Del19], where it was presented in more details. The Picard rank of this variety is two.

The connected reductive group G making X a rank 2 spherical variety is $\text{SL}_2 \times \mathbb{C}^*$. We fix a choice of maximal torus and Borel subgroup. Let α denote the unique positive root, and let f denote the character of weight 1 of \mathbb{C}^* . The spherical lattice M is the lattice generated by α and $\frac{\alpha+f}{2}$. The dual lattice N is the lattice generated by α^\vee and $\frac{\alpha^\vee+f^\vee}{2}$, where α^\vee is the coroot of α , and f^\vee is defined similarly by $f^\vee(f) = 2$ and $f^\vee(\alpha) = 0$. The valuation cone \mathcal{V} is the dual cone to $\mathbb{R}_-\alpha$. Finally, we have $R_X^+ = \{\alpha\}$ and $\varpi = \alpha$.

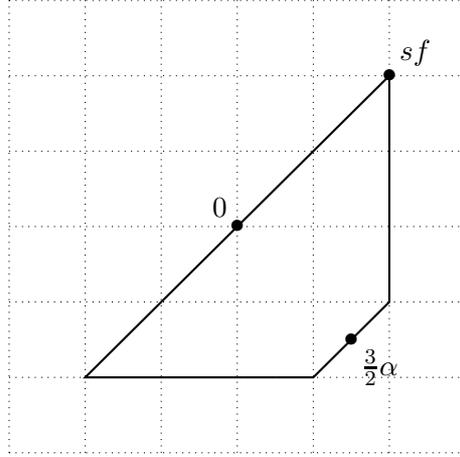
The moment polytope for an ample line bundle on X is, up to scaling, of the following form for some $s > 3/2$.

$$\Delta(s) := \{x\alpha + yf \in M \otimes \mathbb{R} \mid 0 \leq x \leq 3/2, \quad x - s \leq y \leq s - x\}$$

Its four facets E_0, \dots, E_3 have respective outward pointing primitive normals $u_0 = -\alpha^\vee$, $u_1 = \frac{\alpha^\vee+f^\vee}{2}$, $u_2 = \alpha^\vee$ and $u_3 = \frac{\alpha^\vee-f^\vee}{2}$.

Before trying to apply our theorem, let us compute the important quantity a , and for this it is more convenient to deal with the boundary integral:

$$2a = \frac{\int_{\Delta} 2Q d\mu + \int_{\partial\Delta} P d\sigma}{\int_{\Delta} P d\mu}.$$

FIGURE 1. The polytope $\Delta(s)$ for $s = 2$ 

We have $P(x\alpha + yf) = 2x$, $Q \equiv 1$, $d\mu = 2 dx dy$ in the coordinates $x\alpha + yf$, $d\sigma|_{E_1} = d\sigma|_{E_3} = 2 dx$ if we parametrize by x and $d\sigma|_{E_0} = d\sigma|_{E_2} = dy$. We thus have

$$\begin{aligned} \int_{\Delta} 2Q d\mu &= \int_{x=0}^{\frac{3}{2}} \int_{y=x-s}^{s-x} 4 dy dx = 12s - 9 \\ \int_{\Delta} P d\mu &= \int_{x=0}^{\frac{3}{2}} \int_{y=x-s}^{s-x} 4x dy dx = 9(s-1) \\ \int_{E_0} P d\sigma &= 0 \\ \int_{E_1} P d\sigma &= \int_{E_3} P d\sigma = \int_0^{\frac{3}{2}} 4x dx = \frac{9}{2} \\ \int_{E_2} P d\sigma &= \int_{\frac{3}{2}-s}^{s-\frac{3}{2}} 3 dy = 6s - 9 \end{aligned}$$

and

$$2a = \frac{2s-1}{s-1}.$$

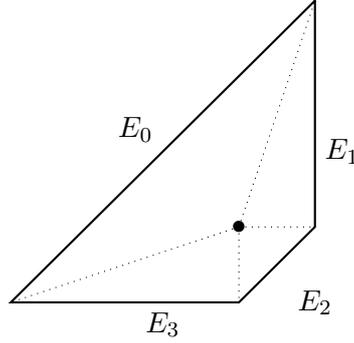
By similar computations, we can check whether the barycenter condition involved in our theorem, or equivalently, the G -stc K-polystability, holds. This amounts to the two conditions $\mathcal{L}(f^\vee) = 0$ and $\mathcal{L}(-\alpha^\vee) > 0$. The first of these conditions is automatic by symmetry of the moment polytopes and Duistermaat-Heckman polynomial. We compute the second, using the expression with a boundary integral as for $2a$, we obtain

$$\mathcal{L}(-\alpha^\vee) = \frac{9(8s^2 - 18s + 11)}{4(s-1)}$$

and it is positive for any $s > 3/2$.

We now choose an element of the interior of the polytope (and this is the tricky part to get the theorem to apply). For reasons related by the general Fano case to be treated next, we choose $\chi = \frac{s}{2}\alpha$, which can be considered of course only if $s < 3$. Then the translated polytope $\Delta = -\chi + \Delta_+$ is defined by the four equations $u_i(x\alpha + yf) \leq n_i$ with

$$\begin{aligned} n_0 &= s \\ n_1 = n_3 &= \frac{s}{2} \\ n_2 &= 3 - s \end{aligned}$$

FIGURE 2. The decomposition of $\Delta(s)$


In order to show that for these polarizations, G -uniform K-stability is equivalent to the barycenter condition, we have to check that for all i , for all $x\alpha + yf \in T_i$, we have

$$\langle \alpha, (3n_i^{-1} - 2a)((x + \frac{s}{2})\alpha + yf) + (n_i^{-1}x + 1)\alpha + n_i^{-1}yf \rangle \geq 0.$$

Recall that $\langle \alpha, f \rangle = 0$, hence it suffices to check

$$\begin{aligned} (4n_0^{-1} - 2a)x + (3n_0^{-1} - 2a)\frac{s}{2} + 1 &\geq 0 \text{ for } -\frac{s}{2} \leq x \leq 0 \\ (4n_1^{-1} - 2a)x + (3n_1^{-1} - 2a)\frac{s}{2} + 1 &\geq 0 \text{ for } -\frac{s}{2} \leq x \leq \frac{3-s}{2} \\ (4n_2^{-1} - 2a)x + (3n_2^{-1} - 2a)\frac{s}{2} + 1 &\geq 0 \text{ for } 0 \leq x \leq \frac{3-s}{2} \\ (4n_3^{-1} - 2a)x + (3n_3^{-1} - 2a)\frac{s}{2} + 1 &\geq 0 \text{ for } -\frac{s}{2} \leq x \leq \frac{3-s}{2}. \end{aligned}$$

Since $n_1 = n_3$, the second and fourth conditions are equivalent. Since P vanishes on E_0 , we can choose any n_0 we want, for example $n_0 = n_1$, so that the first condition is implied by the second. We end up with only two conditions to check.

Replacing n_1 and $2a$ by their expression in s , one of the conditions is

$$\frac{2s(-2s^2 + 9s - 8)(2x + s)}{s - 1} \geq 0 \text{ for } -\frac{s}{2} \leq x \leq \frac{3-s}{2}.$$

The degree two polynomial $-2s^2 + 9s - 8$ is non-negative for $\frac{9-\sqrt{17}}{4} \leq s \leq \frac{9+\sqrt{17}}{4}$, which contains the range $\frac{3}{2} < s < 3$, and the other factors are easily checked to be non-negative for the values of x and s considered, so the condition is satisfied.

The other condition, replacing n_2 and $2a$ by their expression in s , is

$$\frac{2s^2 - 3s - 1}{(s-1)(3-s)}x + \frac{s^3 - 3s^2 + 4s - 3}{(3-s)(s-1)} \geq 0 \text{ for } 0 \leq x \leq \frac{3-s}{2}.$$

Since $s > \frac{3}{2} > 1$, one easily checks that the coefficient of x is positive. Hence the condition is satisfied if

$$0 \geq -\frac{s^3 - 3s^2 + 4s - 3}{2s^2 - 3s - 1}.$$

Again, for $s > 1$ as here, this is equivalent to

$$s^3 - 3s^2 + 4s - 3 \geq 0$$

and one can check that the unique real root s_0 of this cubic polynomial is approximately $s_0 \approx 1.6823$, in the range of s considered.

To sum up, we have shown that G -uniform K-stability of the polarized variety is equivalent to the barycenter condition if s is such that $s_0 \approx 1.6823 \leq s < 3$. We have thus proved that X admits a cscK when $s_0 \leq s < 3$. It is very likely that our choice of χ was not the optimal

one, and that one can push further the use of our main theorem to get a slightly larger range of classes with cscK metrics. The natural question regarding this example is whether it is a Calabi dream space in the sense of Chen and Cheng, that is, if all classes admit cscK metrics. It is unlikely that our main theorem is enough for this, but we intend to answer this question in a later work by studying optimal degenerations for rank two spherical threefolds.

10. FANO CASE

In this section, we apply our main theorem to the case of a Fano spherical manifold equipped with its anticanonical polarization.

Theorem 10.1. *Assume that X is \mathbb{Q} -Fano and that L is (a multiple of) the anticanonical \mathbb{Q} -line bundle of X . Then L_{r+1} is positive on Δ .*

This shows, with a very straightforward proof, that for spherical Fano varieties, G -uniform K-stability is equivalent to G -stc K-polystability, and allows to recover the explicit combinatorial condition for this obtained in [Del20a].

Proof. Assume for simplicity that X is Gorenstein and $L = K_X^{-1}$. Apart from notational issues, the general case is the same. Assume furthermore that K_X^{-1} is equipped with its canonical G linearization.

The proposition will follow from the judicious choice $\chi = 2\varpi_X = \sum_{\alpha \in R_X^+} \alpha$ and two steps:

- (1) using Remark 8.2, we can assume that $n_i = 1$ for all i in the expression of L_m ,
- (2) we can replace 2ϖ with $2\varpi_X$ in the expression of L_m .

Let us begin with the simple case of toric varieties. We obviously have $\varpi = \varpi_X = 0$ in the toric case. The torus-invariant section of K_X^{-1} has weight 0 which corresponds to the unique interior integral point of the moment polytope Δ_+ . Finally, the polytope Δ_+ is defined by equations $u_i(x) \leq 1$ for a set of primitive elements u_i in N , hence by choosing $\chi = 0$ we have $n_i = 1$ for all i . Actually, an integral polytope is reflexive if and only if it is defined by equations $u_i(x) \leq 1$ for a set of primitive elements u_i in N , and it is well-known that Gorenstein Fano toric varieties correspond to reflexive polytopes.

For the general case, we will use the description of the anticanonical divisor of spherical varieties by Brion in [Bri97] as formulated in [GH15a]. Namely, there exists a section of the anticanonical line bundle, with B -weight $2\varpi_X$, whose divisor $\sum_{D \in \mathcal{P}_X} n_D D$ is such that $n_D = 1$ if D is G -stable, and the description of the coefficients of colors (closures of B -stable divisors in G/H) is explicit, depending on the type of each color. Since we need the details, let us quickly recall the possible types of colors.

Let S denote the set of simple roots of G . For $\alpha \in S$, let P_α denote the largest parabolic in G containing B such that $-\alpha$ is not a root of P_α . Let $\mathcal{D}(\alpha)$ denote the set of B -stable prime divisors of X that are not P_α -stable. It turns out that these divisors exhaust the set of divisors in \mathcal{P}_X that are not G -stable, and that $\mathcal{D}(\alpha)$ is non-empty precisely if $\alpha \in R_X^+$. An element $D \in \mathcal{D}(\alpha)$ is

- of type a if α is a primitive element of M ,
- of type $2a$ if 2α is a primitive element of M ,
- and of type b otherwise.

The coefficient n_D is then obtained, depending on the type of $D \in \mathcal{D}(\alpha)$, as follows:

- $n_D = \frac{1}{2}\alpha^\vee(2\varpi_X) = 1$ for type a or $2a$,
- $n_D = \alpha^\vee(2\varpi_X)$ for type b ,

where α^\vee denotes the coroot of α .

Consider now the polytope Δ associated to the section constructed by Brion. We want to check that we can take $n_i = 1$ for each facet. Recall that the equations defining Δ from the coefficients of the divisor are the $\varrho(D)(x) + n_D \geq 0$ for $D \in \mathcal{P}_X$. In particular, the equations defining the facets are of the form $\varrho(D)(x) + n_D = 0$. To put these in the form $u_i(x) = n_i$ where

u_i is a primitive outer normal, one has to find the positive number a_i such that $-\varrho(D)/a_i$ is primitive, in which case one can take $n_i = n_D/a_i$.

Assume now that $D \in \mathcal{P}_X$ defines a facet of Δ .

Whenever D is G -stable, $\varrho(D)$ is primitive and $n_D = 1$, so we have $n_i = 1$.

Assume now that D is a color of type a and let $\alpha \in S$ be such that $D \in \mathcal{D}(\alpha)$. Then $\alpha(\varrho(D)) = 1$ (see [GH15b] for a convenient summary of the properties of colors by type), which implies that $\varrho(D)$ is primitive. Since $n_D = 1$ as well in this case, we indeed have $n_i = 1$.

Assume now that D is a color of type $2a$ and let $\alpha \in S$ be such that $D \in \mathcal{D}(\alpha)$. Then $\varrho(D) = \frac{1}{2}\alpha^\vee|_M$. If x is in the facet defined by $\varrho(D)(x) + n_D = 0$, then we have $\frac{1}{2}\alpha^\vee(x) + \frac{1}{2}\alpha^\vee(2\varpi_X) = 0$, which implies $\langle \alpha, x + 2\varpi_X \rangle = 0$. Since $\chi = 2\varpi_X$, this in turn implies that P vanishes on the facet. By remark 8.2, we can then choose $n_i = 1$ in the expressions of L_r and L_{r+1} .

Similarly, if D is a color of type b and $\alpha \in S$ is such that $D \in \mathcal{D}(\alpha)$ we have $\varrho(D) = \alpha^\vee|_M$ and $n_D = \alpha^\vee(2\varpi_X)$, hence P must vanish on the facet. Again by remark 8.2, we can choose $n_i = 1$ in the expressions of L_r and L_{r+1} .

We now turn to the problem of replacing ϖ by ϖ_X in the expression of L_m . The important property of R_X^+ is that it consists of all roots of the unipotent radical of some parabolic subgroup P_X of G , namely the stabilizer of the open B -orbit in X . As a consequence, we may write $\varpi_X = \varpi - \varpi_0$ where ϖ_0 is the sum of positive roots of the Levi subgroup of P_X . Let W_0 denote the Weyl group of this Levi subgroup, which is a subgroup of the Weyl group of G . The action of W_0 on roots of G induces a permutation of R_X^+ . Consider the linear function

$$h : y \mapsto \sum_{\alpha \in R_X^+} \langle \alpha, w \cdot y \rangle \prod_{\beta \in R_X^+ \setminus \{\alpha\}} \langle \beta, x + \chi \rangle.$$

The discussion above shows that h is invariant under the action of W_0 . In particular, $h(\varpi_0) = 0$ since there exists $w_0 \in W_0$ such that $w_0(\varpi_0) = -\varpi_0$. We thus have

$$\begin{aligned} L_m(x) &= h((mn_i^{-1} - 2a)(x + \chi) + \text{Card}(R_X^+)(n_i^{-1}x + 2\varpi)) \\ &= h((mn_i^{-1} - 2a)(x + \chi) + \text{Card}(R_X^+)(n_i^{-1}x + 2\varpi_X)). \end{aligned}$$

Putting together all ingredients in the case $L = K_X^{-1}$ ($\chi = 2\varpi_X$, all $n_i = 1$ and we can replace 2ϖ with $2\varpi_X$), we have

$$\begin{aligned} L_m(x) &= h((m - 2a + \text{Card}(R_X^+))(x + \chi)) \\ &= (m - 2a + \text{Card}(R_X^+)) \prod_{\alpha \in R_X^+} \langle \alpha, x + \chi \rangle \end{aligned}$$

Since $\prod_{\alpha \in R_X^+} \langle \alpha, x + \chi \rangle$ is positive on the interior of Δ , and $\int_{\Delta} L_r d\mu = 0$, we deduce that $2a = r + \text{Card}(R_X^+)$. This number actually coincides with the dimension of the variety, and could be recovered by interpreting directly $2a$ as the average scalar curvature of the Fano variety X .

Finally,

$$L_{r+1}(x) = \prod_{\alpha \in R_X^+} \langle \alpha, x + \chi \rangle$$

is strictly positive on the interior of Δ , and non-negative on the whole polytope. \square

11. POLARIZATIONS CLOSE TO THE ANTICANONICAL LINE BUNDLE

In this final section, we illustrate how our main theorem applies to different situations to give equivalence of G -uniform K-stability and G -stc K-polystability for polarizations close to the anticanonical line bundle. We however believe that the criterion better shows its strength when applied to a concrete situation as in Section 9.

Proposition 11.1. *Let (X, L) be a G -spherical variety and assume that there exists a choice of $\chi \in \Delta_+$ and a positive number δ such that $L_{r+1} > \delta$ on Δ . Then for polarizations close to L , G -uniform K -stability is equivalent to G -stc K -polystability, which is equivalent to the barycenter condition.*

Proof. It follows from the simple remark that all the combinatorial data associated to the polarization vary continuously. From this, one can choose a continuous family of elements of the varying moment polytopes such that it coincides with χ on the given L . Then the corresponding function L_{r+1} varies continuously as well, and the condition $\min L_{r+1} > \delta$ is an open condition. \square

Corollary 11.2. *Let (X, L) be a Gorenstein Fano toroidal horospherical variety. Then there exists a neighborhood of the anticanonical line bundle where G -uniform K -stability is equivalent to vanishing of the Futaki invariant.*

Proof. For any polarized toroidal horospherical variety, the moment polytope does not touch the walls of the positive Weyl chamber of G defined by roots not in R_X^+ . Hence the Duistermaat-Heckman polynomial is positive on each moment polytope. As a consequence from the proof of Theorem 10.1, with the choice $\chi = 2\varpi_X$, L_{r+1} is positive on Δ for the anticanonical line bundle. As a consequence, we can apply the previous proposition to obtain that, in a neighborhood of the anticanonical line bundle, G -uniform K -stability is equivalent to G -stc K -polystability. Finally, all special test configurations for horospherical manifolds are product since the valuation cone is $N \otimes \mathbb{R}$ in this case. Hence G -uniform K -stability with respect to special test configurations is equivalent to vanishing of the Futaki invariant. \square

Proposition 11.3. *Let X be a Gorenstein Fano G -spherical polarized variety, such that the open orbit G/H is a non-Hermitian symmetric variety. Then on a neighborhood of the anticanonical line bundle, G -uniform K -stability is equivalent to G -stc K -polystability.*

Proof. For a non-Hermitian symmetric space G/H , the valuation cone is the negative Weyl chamber defined by a root system in (a subspace of) $M \otimes \mathbb{R}$ (the restricted root system of the symmetric space), and the images of colors in $N \otimes \mathbb{R}$ are exactly one positive multiple of each simple coroot of this root system (restricted coroots). As a consequence, the outward pointing normals of facets of moment polytopes (which are always in $M \otimes \mathbb{R}$ if the symmetric space is *not* Hermitian) are either negative restricted coroots or elements of the positive restricted Weyl chamber. Furthermore, the name *restricted* is appropriate in the sense that restricted roots are exactly (doubles of) restrictions of roots in R_X^+ to $N \otimes \mathbb{R}$. Finally, the restriction of the Duistermaat-Heckman polynomial to a facet vanishes exactly when the facet is defined by a restricted coroot.

Consider the anticanonical line bundle on X , and instead of $\chi = 2\varpi_X$ as in Section 10, consider the element $\chi = 2t\varpi_X$. It is still in Δ for t close to 1 since in our symmetric situation, $2\varpi_X$ is the half sum of positive restricted roots, and is an element of $M \otimes \mathbb{R}$.

We then write, still for the anticanonical line bundle,

$$\begin{aligned} L_{r+1}(x) &= ((r+1)n_i^{-1} - 2a + \text{Card}(R_X^+)n_i^{-1}) \prod_{\alpha \in R_X^+} \langle \alpha, x + \chi \rangle \\ &\quad + \sum_{\alpha \in R_X^+} \langle \alpha, \text{Card}(R_X^+) (2\varpi_X - n_i^{-1}\chi) \rangle \prod_{\beta \in R_X^+ \setminus \{\alpha\}} \langle \beta, x + \chi \rangle. \end{aligned}$$

We know from Section 10 that for every i ,

$$((r+1)n_i^{-1} - 2a + \text{Card}(R_X^+)n_i^{-1})$$

is strictly positive if t is close to 1, since these number vary continuously with t and are equal to 1 for $t = 1$. For the other term, we have

$$2\varpi_X - n_i^{-1}\chi = (1 - tn_i^{-1})2\varpi_X.$$

The values of n_i depend on t , but:

- if the Duistermaat-Heckman polynomial vanishes on the facet E_i (i.e. it is defined by a restricted coroot) then we can *choose* the value of n_i to ensure that $1 - tn_i^{-1}$ is positive for any t
- else, the outward pointing normal u_i to the facet E_i is in the positive restricted Weyl chamber, and $n_i = 1 - u_i((t-1)2\varpi_X)$ so that

$$1 - tn_i^{-1} = \frac{(1-t)(1+u_i(2\varpi_X))}{1-(t-1)u_i(2\varpi_X)}$$

is positive when $t < 1$.

We can then fix a choice of t and $\chi = 2t\varpi_X$ so that the corresponding L_{r+1} is positive on Δ . Applying the same arguments as for Proposition 11.1 yields the conclusion. \square

Remark 11.4. Let us stress again that it is very likely that the statements proved above hold more generally for spherical varieties. It would for example be rather straightforward to push further the last proposition so that it applies to all \mathbb{Q} -Gorenstein weak Fano spherical varieties whose open orbit is affine. We leave to further research the exploration of different special cases or the question of finding an argument applying to general spherical varieties.

APPENDIX A. UNIFORM YAU-TIAN-DONALDSON CONJECTURE FOR POLARIZED SPHERICAL MANIFOLDS, BY YUJI ODAKA

The purpose of this short notes is to clarify the following statements.

Theorem A.1. *For any \mathbb{C}^* -equivariant isotrivial projective family $\pi: \mathcal{X} \rightarrow \mathbb{P}^1$ whose general fiber is a G -spherical projective variety for a reductive algebraic group G , take an arbitrary line bundle \mathcal{L} which is ample over the general fiber. Note that we do not assume it is also ample on the central fiber. ([Li20] called $(\mathcal{X}, \mathcal{L})$ a model.)*

Then, $\bigoplus_{m \geq 0} \pi_ \mathcal{L}^{\otimes m}$ is a finitely generated $\mathcal{O}_{\mathbb{P}^1}$ -algebra.*

Corollary A.2. *For a polarized smooth projective G -spherical varieties (X, L) , the G -uniform K -(poly)stability in the sense of [His20, His19, Li20] implies the existence of a unique cscK metric.*

proof of Theorem A.1. By applying the Eakin-Nagata theorem to the normalization of \mathcal{X} , we can and do assume \mathcal{X} is normal. Because of the G -action and the given compatible \mathbb{C}^* -action in the horizontal direction on \mathcal{X} , it follows that \mathcal{X} has the natural structure as a $(G \times \mathbb{C}^*)$ -spherical variety. Indeed, the Borel subgroup of $G \times \mathbb{C}^*$ is simply $B(G) \times \mathbb{C}^*$ from the definition, where $B(G)$ denotes the original Borel subgroup of G , and it admits an open dense orbit inside $X \times \mathbb{C}^*(\subset \mathcal{X})$ by the G -sphericity of X .

By taking a \mathbb{C}^* -equivariant resolution of indeterminacy of $\mathcal{X} \dashrightarrow X \times \mathbb{P}^1$ as in [RT07, Oda13], we can and do assume \mathcal{X} is the blow up of a flag ideal, i.e., of dominating type in the terminology of [Li20]. Since replacing \mathcal{L} by $\mathcal{L} \otimes p_2^* \mathcal{O}_{\mathbb{P}^1}(-c)$ for $c \in \mathbb{Z}$ does not affect the assertion, we can and do such twist as follows. Here, p_i denotes i -th projection from $X \times \mathbb{P}^1$.

From the finiteness of the irreducible components of \mathcal{X}_0 , it easily follows that there exists a large enough $c' \in \mathbb{Z}_{>0}$ such that

$$p_1^* L \otimes p_2^* \mathcal{O}_{\mathbb{P}^1}(-c') \subset \mathcal{L} \subset p_1^* L \otimes p_2^* \mathcal{O}_{\mathbb{P}^1}(c').$$

Twisting the above by $\mathcal{O}_{\mathbb{P}^1}(c')$ and letting $c := 2c'$, we can and do assume:

$$(17) \quad p_1^* L \subset \mathcal{L} \subset p_1^* L \otimes p_2^* \mathcal{O}_{\mathbb{P}^1}(c).$$

In any case, it immediately follows from the above that the filtration associated to \mathcal{L} is linearly bounded in the sense of [Szé15].

Since we confirmed that \mathcal{X} is a spherical variety, it is also a Mori Dream space in the sense of [HK00] due to [BK94] (cf., [HK00, p340]). Therefore, it follows that $\bigoplus_{m \geq 0} H^0(\mathcal{X}, \mathcal{L}^{\otimes m})$ is

a finitely generated graded \mathbb{C} -algebra. Then, by the natural \mathbb{C}^* -action on it which is induced by the \mathbb{G}_m -action on $(\mathcal{X}, \mathcal{L})$, the complete reductivity of \mathbb{G}_m implies that we can take a set of finite generators as eigenvectors of the form $\mathcal{S} = \{(p_1^* s_i^{(m,l)}) t^l\}_{l \leq 0, m, i}$. Here, t denotes the homogeneous coordinate of \mathbb{P}^1 which vanishes at the origin with order 1, which we also identify with $p_2^* t$ on \mathcal{X} , and the indices are of the form $-cm \leq l \leq 0$ and $1 \leq i \leq a_{m,l}$ for a double sequence of positive integers $a_{m,l}$ such that

$$0 = a_{m,-cm-1} \leq a_{m,-cm} \leq \cdots \leq a_{m,0} = a_{m,1} = \cdots = h^0(X, L^{\otimes m}),$$

because of (17). (In the case of test configurations i.e., when \mathcal{L} is relatively ample, these $\{a_{m,l}\}$ are determined by $\{\lambda_{a,k}\}$ in the terminology of section 2 of this paper.) The proof follows from standard arguments so we omit but see and compare with [Oda13] for instance. Similarly, the following set, in which we allow the integer index l to be not necessarily negative:

$$(\mathcal{S} \subset) \tilde{\mathcal{S}} = \{(p_1^* s_i^{(m, \min\{l,0\})}) t^l\}_{m, l \in \mathbb{Z}, i}$$

generate $\bigoplus_{m \geq 0} \pi_* \mathcal{L}^{\otimes m}$ as a $\mathcal{O}_{\mathbb{P}^1}$ -algebra. Note that

$$\begin{aligned} \tilde{\mathcal{S}} &= \mathcal{S} \sqcup \{(p_1^* s_i^{(m,0)}) t^l\}_{l > 0, m, i} \\ &= \mathcal{S} \sqcup (t\mathbb{C}[t] \{ \{(p_1^* s_i^{(m,0)})\}_{m,i} \}). \end{aligned}$$

Thus, we can particularly take its finite subset

$$\bar{\mathcal{S}} := \mathcal{S} \sqcup \{ \{(p_1^* s_i^{(m,0)})\}_{m,i} \} \subset \tilde{\mathcal{S}},$$

which still generates $\bigoplus_{m \geq 0} \pi_* \mathcal{L}^{\otimes m}$ as a graded $\mathcal{O}_{\mathbb{P}^1}$ -algebra. This completes the proof of Theorem A.1. \square

proof of Corollary A.2. The result of [Li20, 1.10] combined with above Theorem A.1 readily imply the existence part of Corollary A.2. The uniqueness part is due to [BB17] for general cscK metrics. \square

Note that in the toric case i.e., when G is an algebraic torus, Corollary A.2 was known before as a result of [His20] combined with [CC21, CC18]. Our approach above extends [Li20, 1.12] by some part of the theory of Mori dream space [BK94, HK00].

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