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# Nacre-like alumina composites based on heteroaggregation

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# Abstract

High strength and high toughness are usually mutually exclusive in materials. Among all material classes, ceramics exhibit a high stiffness and strength, but they present a limited plastic deformation, which results in a moderate toughness. However, tough ceramics have been obtained using anisotropic particles organized in a 'brick and mortar' microstructure, inspired by the structure of the natural nacre. Here, we propose to build nacre-like ceramic composites from colloidal suspensions using heteroaggregation of particles. Two different shaping processes are used: direct settling of suspensions or freeze-granulation. After sintering, in both cases, the platelets alignment is very good, close to that of platelets in natural nacre, with a slightly better one noted for direct settling. Despite a better platelet alignment, the toughness is lower than in previous studies showing that further improvement of the interfacial phases present in the material must now be considered to reinforce its mechanical behavior.

*Keywords:* Nacre-like composite; Heteroaggregation; Platelet alignment; Settling; Freeze-granulation

# 1 1. Introduction

Nacre, found in several species of seashells, is a natural material composed of 95vol.% of
aragonite (CaCO<sub>3</sub>) and 5vol.% of organic materials (proteins), that exhibits a toughness at
least three orders of magnitude higher than those of calcium carbonate [1, 2, 3]. The high

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toughness of nacre is conferred by its 'brick and mortar' hierarchical architecture composed
of layers of inorganic and organic materials [2]. The alignment of inorganic bricks in nacre
is remarkable, and has been shown to induce several toughening mechanisms [4]. In the last
two decades, natural materials like nacre have been replicated to obtain brick and mortar
composites with mechanical properties greater than those of their elementary constituents
[1, 5, 6, 7].

To build brick and mortar materials, the control of platelet-shape particles alignment is 11 critical [8]. To control this alignment, different techniques have been proposed [9] such as 12 magnetic fields [10, 11], gravitational settling [12] or ice-templating [7, 13]. Most of these 13 processes use colloidal suspensions which have to be well-dispersed. For that purpose, the 14 use of organic binders or dispersants is common, which often imposes a debinding phase 15 before sintering. An alternative, that avoids the introduction of organic compounds in the 16 microstructure, is to take advantage of surface charges present at the surface of particles in 17 aqueous suspensions. Previous studies have shown that the heteroaggregation of particles 18 under the action of the electrostatic forces represents a promising method to control the 19 arrangement of particles [14, 15, 16]. 20

Here, a nacre-like ceramic composite is elaborated without adding dispersant or carbonated 21 additives unlike in the previous studies. Its mechanical properties are compared with the 22 properties reported by Bouville et al. [7]. A similar composition is used: crystalline alumina 23 platelets are used as bricks, and silica nanoparticles are incorporated to form a viscous phase 24 during sintering acting as the mortar phase. Alumina nanoparticles are also added to create 25 mechanical anchorage between platelets and reinforce the materials. After consolidation by 26 sintering the desired structure of the composite is thus composed of layers of micron-size 27 platelets separated by a silica vitreous phase and alumina bridges. In order to avoid carbon 28 in the composition to better control the composite color, the vitreous phase formed in this 29 study does not contain calcium carbonate and thus is different from that reported in [7]. 30

Here, we show that such nacre-like ceramic composites can be obtained without addition of organic additives using simple shaping methods. Brownian dynamics simulations and experiments have indeed shown that using the heteroaggregation between the alumina platelets and the silica nanoparticles in aqueous suspensions yields at the same time a compact arrangement of the platelets and a good spatial distribution of the silica in between them [16]. To shape the composites, two simple methods are used. The first one consists in the natural settling of suspensions and the second in freeze-granulation and lyophilisation. A thermal treatment is then applied to consolidate green samples obtained from both shaping methods. Observations of sintered microstructures and measurements of platelets alignment are performed. Finally, mechanical properties of elaborated composites are determined to establish a relationship between the microstructure and the mechanical performance.

#### 42 2. Methodology

The alumina platelets (Al<sub>2</sub>O<sub>3</sub>) used in this study are supplied by Merck, Germany (sapphire alumina RonaFlair® White Sapphire,  $d_{50} \sim 7 \mu m$ , purity  $\geq 99\%$ ). Silica nanoparticles (SiO<sub>2</sub>) with a mean particle diameter of 25 nm are obtained from a commercial aqueous suspension of Ludox®TM50 supplied by Grace Davidson, United States. High purity  $\alpha$ -alumina nanoparticles of about 100 nm ( $\alpha$ Al<sub>2</sub>O<sub>3</sub>), used as reinforcement, are from Taimei Chemical Company, Japan (TM-DAR, Taimicron, purity>99.99%).

<sup>49</sup> The zeta potential of particles as a function of pH is measured using Acoustosizer IIs from <sup>50</sup> Colloidal Dynamics. For the measurements, aqueous suspensions are prepared with a solid <sup>51</sup> loading of 1wt.% in osmosed water and pH is adjusted using HCl and NaOH.

The mixed suspensions, used for shaping the nacre-like ceramic composites, are prepared by adding the various constituents in osmosed water and are deagglomerated by an ultrasonic treatment (300 W, 30 s, pulse on 3 s, pulse off 1 s). The volume ratio between the various components  $Al_2O_3/\alpha Al_2O_3/SiO_2$  is fixed at 94.6%/3.6%/1.8%.

Sedimentation tests are performed for suspensions prepared with a solid loading of 3vol.%.
For that, suspensions are prepared and introduced in closed tubes, and allowed to settle.
When all suspensions are settled, the sediment heights are measured. The suspensions are also characterized by environmental scanning electron microscopy (SEM) on a Quanta FEG 450 from FEI. Droplets of suspensions are deposited directly on the specimen holder. Drying is performed in real time during the observations in the microscope.

<sup>62</sup> In this study, two methods are applied to shape the composites:

- The first method consists in obtaining an alumina-based green part in the shape of a pellet
(diameter 25 mm with a thickness comprised between 5 and 13 mm) by settling the suspensions directly in a rubber silicone mold. The water excess is eliminated after 24 hours of

<sup>66</sup> settling and, before demolding, samples are left for natural drying during 24 hours.

<sup>67</sup> - The second method consists in obtaining powders from the elaborated suspensions, using <sup>68</sup> freeze granulation and freeze-drying. Freeze granulation is performed with a freeze granulator <sup>69</sup> LS-2 from PowderPro AB. Air is used as the atomization gas. The atomization conditions are: <sup>70</sup> air relative pressure of 0.3 bar and suspension flow rate of  $33.5 \,\mathrm{mL/min}$ . The rotation speed <sup>71</sup> of the magnetic stirrer in the liquid nitrogen is set to 370 rpm. After granulation, the frozen <sup>72</sup> ganules are freeze-dried in a Christ Beta-1-8-LDplus under a final vacuum of  $10^{-3} \,\mathrm{mbar}$ .

For both shaping methods, the same thermal treatment stage is used. Pellets or powders are sintered using Field Assisted Sintering (FAST, Dr. Sinter Model 825, SUGA, Japan), deriving benefit of the high heating and cooling rates provided (heating rate:100°C/min and cooling rate: 50°C/min). Graphite dies are used for all temperature profiles and a maximum temperature of 1400°C and a pressure of 100 MPa are reached. A dwell of 5 min in these conditions is performed before cooling.

For both upstream shaping methods, dried samples are characterized by SEM (LEO 1530 vp)
before and after thermal treatment to observe the distribution of particles and the alignment
alumina platelets in the material.

The alignment of platelets in the sintered samples is characterized by X-ray diffraction (XRD), 82 using a Bruker D8 "Discover" diffractometer equipped with a copper target (Cu  $K_{\alpha 1}$  radia-83 tion). A parabolic mirror coupled with a two-reflection Ge (220) monochromator provides a 84 monochromatic and quasi-parallel X-ray beam. Diffracted X-ray are collected with a linear 85 position sensitive detector covering a 2° angular range with 0.01° resolution. The sintered 86 samples are positioned on a 3-circle goniometer allowing to precisely align the sample surface 87 with respect to the incident beam. XRD pole figures are recorded from the (0012) planes 88 of the corundum structure of  $Al_2O_3$ . A pole figure displays the intensity diffracted from a 89 selected set of planes in the hemisphere above the sample and is commonly represented in a 90 polar plot (stereographic projection) where the angle along the circumference correspond to 91 the rotation about the surface normal ( $\Phi$  angle), and the radius is the inclination angle of 92 the crystallographic planes ( $\Psi$  angle). In the present case  $\Phi$  are scanned from -180° to 180° 93 with 5° steps, whereas  $\Psi$  has been scanned from to 0 to 80° with 1° steps. 94

Finally, to measure the mechanical properties of the obtained materials, Single Edge Notched Beam (SENB) and bending tests are carried out. Rectangular beams of dimensions B=2 mm

(thickness),  $W=2.5\,\mathrm{mm}$  (width) and  $L=20\,\mathrm{mm}$  (length) are cut from the sintered pellets. 97 The material is solicited perpendicularly to the platelets direction ie along W. Three points 98 bending (3PB) configurations are used following the ASTM E1820-E01 standard [17] to 99 evaluate both the flexural strength of the material and its fracture toughness in terms of 100 crack-resistance curve (R-curve). All tests are carried out using a Shimadzu AGS-X ma-101 chine equipped with a 10 kN load cell and using a Linear Variable Differential Transformer 102 (LVDT) with a precision of 1 µm to measure the beam deflection. For each set-up, 4 samples 103 are tested. 104

The flexural strength of the material is determined from un-notched and chamfered speci-105 mens. In another hand, SENB specimens are first notched using a 300 µm diamond blade. 106 The bottom of the pre-notch is sharpened using a razor blade with 1 um diamond paste. Final 107 notches with radius of curvature between 15 and 20 um are obtained to favour stable crack 108 propagation for the R-curves measurements. In both configurations, the specimen are loaded 109 monotonically at a constant displacement rate of  $1 \, \mu m.s^{-1}$  with a support span (S) of 16 mm. 110 The compliance method  $(C = \frac{d}{F})$  is used to determine the beginning of the crack propagation 111 and to determine the projected crack length during SENB tests using the following recursive 112 equation : 113

$$a_n = a_{n-1} + \frac{W - a_{n-1}}{2} \frac{C_n - C_{n-1}}{C_n} \tag{1}$$

with a and C the crack length and the compliance respectively, calculated at the n and 114 the n-1 steps. Non-linear elastic fracture mechanisms analysis is used to determine the 115 crack-resistance curves of the material (*R*-curves). *R*-curves are measured in terms of the 116 J-integral as a function of the crack extension in order to capture both intrinsic and extrinsic 117 mechanisms acting as crack-arresters. The J-integral is computed taking into account an 118 elastic  $(J_{el})$  and a plastic  $(J_{pl})$  contribution :  $J = J_{el} + J_{pl}$ . The elastic contribution  $J_{el}$  is 119 calculated using classic linear-elastic fracture mechanics relations :  $J_{el} = \frac{K^2}{E'}$  where E' = E120 (Young's modulus) in plane stress and  $E' = \frac{E}{1-\nu}$  in plane strain ( $\nu$  is the Poisson's ratio). 121 The plastic component  $J_{pl}$  is defined as  $J_{pl} = \frac{1.9A_{pl}}{Bb}$  where  $A_{pl}$  is the plastic area under the 122 F - d curve and b the uncracked ligament size. The standard mode I J - K equivalence 123 (eq. 2) permits to back-calculate, from the geometric mean of the local stress intensity factor 124 J, an equivalent stress intensity factor  $K_J$ : 125

$$K_J = (JE')^{1/2} (2)$$

Toughness parameters such as crack initiation toughness (K<sub>I0</sub>) and fracture toughness (K<sub>IC</sub>) are directly determined from the R-curves. According to the ASTM criterion, the maximum crack extension capacity for a specimen is given by  $\Delta a_{max} = 0.25b_0$  where  $b_0 = W - a_0$  is the initial uncracked ligament. For *R*-curves calculation, the considered values for Young Modulus and Poisson ratio are respectively E=368 GPa and  $\nu=0.24$  since we work with a system quasi-fully composed of alumina.

#### 132 3. Results

### 133 3.1. Heteroaggregation

The first step in this study is to characterize the particles surface charges in aqueous suspensions. For each type of particle, zeta potential measurements as a function of pH are performed (Fig. 1a).

The natural pH of both alumina platelets and nanoparticles suspensions is around 6.5. 137 An isoelectric point around 9 is found for both suspensions: for pH less than 9, both  $Al_2O_3$ 138 platelets and nanoparticles are mainly positively charged and for pH greater than 9, they are 139 negatively charged. For silica particles, the natural pH is around 9, which can be explained 140 by the alkaline medium used to stabilize the commercial Ludox TM50 suspension. The zeta 141 potential of silica is negative on the 2-10 pH range. The goal of this study is to take advan-142 tage from the heteroaggregation between the silica nanoparticles and the alumina platelets 143 as proposed in Ref. [16]. The natural pH of the three-component suspensions being around 144 7.6, a pH adjustment is not needed. At pH 7.6 (Fig. 1a), both alumina platelets and silica 145 nanoparticles are oppositely charged, and therefore prone to heteroaggregation. 146

It has been shown [16], that the heteroaggregation of silica nanoparticles and alumina 147 platelets can help disaggregating the suspension. In this study, a third component is used, 148 the  $\alpha Al_2O_3$  nanoparticles. To observe the effect of this third component on the suspension 149 behavior, settling tests are performed. Figure 1b shows the results obtained after 5 days with 150 a suspension composed of alumina platelets only, with a suspension containing the alumina 151 platelets and the  $\alpha$ -alumina nanoparticles and with a suspension containing the three com-152 ponents. It is observed that even with the addition of  $\alpha Al_2O_3$  nanoparticles, the suspension 153 remains stable. The sediment height of the three-component suspension is indeed lower than 154 the two others. 155



Figure 1: (a) Evolution of the zeta potential as a function of pH for the different components used in the nacre-like composite. Results of alumina platelets and silica nanoparticles are extracted from reference [16]. (b) Pictures of the closed tubes used in the sedimentation tests after 5 days. From left to right: a suspension of Al<sub>2</sub>O<sub>3</sub> only, a binary suspension composed of Al<sub>2</sub>O<sub>3</sub> and  $\alpha$ Al<sub>2</sub>O<sub>3</sub> and a suspension with the three components (Al<sub>2</sub>O<sub>3</sub>,  $\alpha$ Al<sub>2</sub>O<sub>3</sub>, and SiO<sub>2</sub>). (c) and (d) environmental SEM pictures of the three-component suspension (Pressure 670 Pa, HV 10kV, Humidity: 94.5%). ©2020 M. Cerbelaud et al. (10.6084/m9.figshare.12018501) CC BY 4.0 license https://creativecommons.org/licenses/by/4.0/.

The three-component suspension is observed by environmental SEM. Figures 1d shows sil-156 ica nanoparticles on the alumina platelet faces. Moreover, aggregates composed of alumina 157 and silica nanoparticles are also present on the platelets. These observations are consistent 158 with the aforementioned charges of particles. Silica is negatively charged, therefore it is 159 absorbed onto  $Al_2O_3$  platelets and  $\alpha Al_2O_3$  nanoparticles surfaces which are themselves pos-160 itively charged. This observed arrangement at this early stage of the process is promising 161 to obtain the desired microstructure in the composites. Silica nanoparticles and  $\alpha Al_2O_3$ 162 nanoparticles are both well spread onto the alumina platelet surfaces. 163

The three-component suspensions are then used to shape nacre-like ceramic composites. 164 First, we use sedimentation to shape pellets. After drying, some pellets are broken in half 165 and the rupture surface is observed by SEM. Figure 2a shows that in the foreground some 166 of the platelets are disorganized due to the fracture of the material, however in the back-167 ground a preferential orientation of alumina platelets in the pellets is observed. At this 168 stage, a sedimentation by gravity yields a good alignment of platelets, which form layers in 169 the samples. In between those layers,  $\alpha Al_2O_3$  nanoparticles are dispersed forming potential 170 anchorage after consolidation by sintering. The dispersion of silica nanoparticles on platelets 171 faces (Fig. 2b), already observed in the suspensions (Figure 1d), is maintained in the green 172 sample. 173

Composites are also made by the freeze-granulation method. Because of the low solid loading of the suspensions used for granulation, the granules are not self-supporting and only aggregated powder is obtained at the end. Figures 2c and 2d show the SEM pictures of the powder obtained after the freeze-granulation. An homogeneous dispersion of the different components is observed. Both  $\alpha Al_2O_3$  and silica nanoparticles are well-dispersed on the platelets surface.

#### <sup>180</sup> 3.2. Microstructural characterization of the sintered samples

The green samples are then sintered by FAST. After the thermal treatment, an important linear shrinkage ( $\sim 70\%$ ) is observed in the sedimentation direction for the pellets obtained by sedimentation. Archimede's Law of Buoyancy gives an apparent density of  $3.93 \,\mathrm{g.cm^{-3}}$ and an open porosity of 0.36% for the sample obtained by the sedimentation route and an apparent density of  $3.85 \,\mathrm{g.cm^{-3}}$  and an open porosity of 0.48% for the sample obtained by a freeze-granulation route. After fracture, a cross section of both samples is mirror polished and



Figure 2: (a) and (b) SEM pictures of a fracture surface of a dried pellet obtained by sedimentation. (c) and (d) SEM pictures of the powder obtained by freeze-granulation. ©2020 M. Cerbelaud et al. (10.6084/m9.figshare.12018501) CC BY 4.0 license https://creativecommons.org/licenses/by/4.0/.

	Average strength (MPa)	Standard deviation (MPa)
Sedimentation	454	20
Freeze granulation	338	46
and freeze-drying		

Table 1: Averaged flexural strength of samples from both shaping methods and post sintered by FAST (averaged over 4 samples).

observed by SEM (Fig. 3a and 3b). Alumina platelets are easily recognized on the pictures 187 because of the contrast difference. A good alignment of platelets is observed in both cases, 188 with a slightly higher disorder in the freeze-granulated samples. The (0012) XRD pole figures 189 of both types of samples are shown in Figures 3c and 3d. An estimation of average platelet 190 disorientation can be obtained by computing the root-mean-squared disorientation obtained 191 from a Gaussian fit of each  $\Psi$  scan building up the pole figure. With this approach we find an 192 average disorientation of platelets of  $7.5\pm0.4^{\circ}$  for the sample obtained by sedimentation and 193  $8.2\pm0.2^{\circ}$  for the samples obtained by freeze-granulation. The disorientation of the platelets 194 is very low, and comparable to that of the natural nacre which is about  $5^{\circ}[4]$ . The platelet 195 alignment is better than that obtained by ice templating and reported by Bouville et al. [7] 196  $(15^{\circ}).$ 197

#### 198 3.3. Mechanical properties

The strength of the samples is measured by three points bending. Table 1 shows the average strength of composites obtained from both methods.

The flexural strength values obtained are equivalent to those reported for crystalline alumina [18, 19] and comparable to the results of Bouville *et al.* (470 MPa) [7].

The flexural strength values are a slightly higher for samples shaped by sedimentation. 203 Because the composition and the tests conditions are the same for both approaches, this 204 result might be related to the lower porosity and the better alignment of platelets obtained 205 by sedimentation. To measure the toughness of the elaborated composites, SENB tests are 206 carried out, and results are compared to those obtained by Bouville et al. [7]. The stress-207 strain curves are treated to obtain the fracture toughness of composites. Four specimens for 208 each approach are tested to assess reproducibility. The initiation fracture toughness  $(K_{1c})$ 209 obtained for the composite elaborated from the sedimentation route is  $3.8 \,\mathrm{MPa.m^{1/2}}$ , and 210



Figure 3: SEM pictures of polished sections of sintered samples and corresponding XRD pole figures used to determine the platelets disorientation: (a) and (c) samples obtained by sedimentation; (b) and (d) samples obtained by freeze granulation. ©2020 M. Cerbelaud et al. (10.6084/m9.figshare.12018501) CC BY 4.0 license https://creativecommons.org/licenses/by/4.0/.



Figure 4: SEM pictures of cracks propagation observed on the samples used for the SENB tests. (a) and (b): Area where crack is initiated: deflection; (c) and (d) crack after propagation: multiple cracks and crack bridging. ©2020 M. Cerbelaud et al. (10.6084/m9.figshare.12018501) CC BY 4.0 license https://creativecommons.org/licenses/by/4.0/.

that of the composite obtained from freeze-granulation is  $3.6 \,\mathrm{MPa.m^{1/2}}$ . These values are 211 equivalent to the reference values of fracture toughness for alumina  $(3.5 \,\mathrm{MPa.m^{1/2}})$  but are 212 lower than the result for the nacre-like composite from Bouville et al.  $(6.1 \,\mathrm{MPa.m^{1/2}})$  [7]. For 213 both composites crack propagation shows strong deviation at the interface between platelets, 214 multiple cracks are observed as well as some crack bridging (Fig. 4). These toughening 215 mechanisms, crack deflection and crack bridging, are similar to those observed in natural 216 materials such nacre or bonds, presenting a high toughness [20, 21, 22, 23]. They lead to 217 the increase of fracture resistance with the propagation of cracks (i.e. R-curves). The R-218 curves for both composites are plotted in Figure 5. The measurement is done according 219 to ASTM E-1820 [17] and is considered as valid until a maximum crack length determined 220 by  $\Delta a_{max} = 0.25b_0$ , where b is the thickness of the specimen ligament. In our case, this 221



Figure 5: (a) Evolution of the force as a function of the displacement for the three points bending tests on samples with pre-notches obtained by sedimentation and by freeze-granulation routes and (b) corresponding R-curves : Evolution of the fracture toughness  $K_{jc}$  calculated from the J-integral as a function of crack extension  $\Delta a$ . ©2020 M. Cerbelaud et al. (10.6084/m9.figshare.12018501) CC BY 4.0 license https://creativecommons.org/licenses/by/4.0/.

maximum crack length is  $0.4 \,\mathrm{mm}$ . The maximum toughness obtained is  $10.1 \,\mathrm{MPa.m^{1/2}}$  and 222 10.6 MPa.m<sup>1/2</sup> for composites from the sedimentation route and the freeze-granulation route, 223 respectively. These values are lower than the  $17 \,\mathrm{MPa.m^{1/2}}$ , obtained for the nacre-like alumina 224 composite of Bouville et al. [7]. According to the observations, cracks propagate in the 225 vitreous phase, however it can be noticed that the composition of the vitreous phase in these 226 composites is slightly different from the one of the composites of Bouville *et al.* [7] which is 227 obtained from a mixture of silica and calcium carbonate. This difference of composition could 228 be at the origin of the lower mechanical performances reported here. Moreover, a greater 229 grain growth is obtained in our samples probably due to the chemical composition of the 230 intergranular phase, which can also be detrimental to the mechanical properties. 231

### 232 4. Conclusion

Nacre-like ceramic composites are obtained from heteroaggregated suspensions of alumina
 and silica particles by two methods: sedimentation and freeze-granulation. For both methods,

a good distribution of the components is obtained, as well as a very good alignment of alumina 235 platelets in the sintered samples (disorientation of 7.5° and of 8.2° for the sedimentation and 236 freeze-granulation routes, respectively). The study of mechanical properties of the ceramic 237 composites shows typical toughening mechanisms of brick and mortar composite materials, 238 with crack deflection, multiple cracks, and crack bridging. The heteroaggregation between 239 the silica nanoparticles and the alumina platelets is therefore a good alternative to obtain 240 nacre-like ceramic composites without polymeric dispersant. In comparison, the composite 241 obtained by the sedimentation route have slightly better flexural strength, which is attributed 242 to a better platelet alignment. 243

Despite a better alignment of platelets, the mechanical properties are however lower than those obtained previously by freeze-casting [7]. This could be attributed to the difference of the vitreous phase composition, which appears to be a key point to improve the mechanical properties of nacre-like ceramic composites.

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