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# SETTING THE BASIS FOR HERE AND THERE MODAL LOGICS

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## Abstract

We define and study a new modal extension of the logic of Here and There with operators from modal logic  $K$ . We provide a complete axiomatisation together with several results such as the non-interdefinability of modal operators, the Hennessy-Milner and the finite model properties, a bound for the complexity of the related satisfiability problem and a discussion about the canonicity of some well-known Sahlqvist formulas in our setting. We also consider the equilibrium property on this logic and we prove the theorem of strong equivalence in the resulting framework.

## 1 Introduction

Modal extensions of intuitionistic logic have been studied in different domains such as philosophical and formal logic [6, 8, 32, 17, 39, 28, 31, 14, 42] or computer science [15, 30, 36]. Recently, combinations of intermediate and modal logics have become very interesting due to their use in the definition of nonmonotonic formalisms [33, 34]. These new contributions consist in modal extensions of *Here and There* [26] (HT), also known as Gödel G3 [24] or Smetanich [40] logics.

Two significant works on this topic are the temporal [11] and epistemic [16] extensions of HT. The former approach extends HT with operators from Linear Time Temporal Logic [37]

(LTL) while the latter consist of an orthogonal combination of HT and the modal logic  $S5$ . Those are specific combinations of modal frames: in the former case models are as in LTL (i.e. linear frames) but every Kripke world behaves as in HT. In case of  $S5$  models are equivalence relations where every Kripke point is regarded as a HT system. Such extensions allow extending Pearce’s Equilibrium Logic [33, 34] in a natural way.

However, the lack of a general theory supporting such extensions caught our attention. In this paper, we try to fill this gap by proposing a general methodology to define Here and There modal logics that allow extending Equilibrium Logic with modal operators. In our case, more precisely, we consider the combination of propositional HT and the modal logic  $K$  (denoted by  $KHT$ ), where we provide detailed investigation on interdefinability of modal operators, axiomatisation and complexity of the satisfiability problem. Moreover, we also consider the canonicity problem in the new here and there setting. On  $KHT$ , we define the concept of *modal equilibrium model* (the modal extension of equilibrium models) and we study several interesting properties, such as the property of strong equivalence, which can serve as a starting point for future modal extensions. The main contribution of this paper is to pave the way for the definition of tree-like extensions of Equilibrium Logic such as *Propositional Dynamic* [25] or *Computational Tree* [13] Equilibrium Logic, which have not been considered in the literature.

Since our contribution is strongly connected with previous work in the literature on *Intuitionistic Modal Logic*, we summarise the different approaches proposed in the literature. The first one was published by Fitch [20] who axiomatise a first-order intuitionistic version of the logic  $T$ . As stated by Simpson [39], “from a modern viewpoint, the choice of axioms seems rather arbitrary”. They are also remarkable Prior’s intuitionistic  $S5$  modal logic (named *MIPQ*) [32] and Prawitz’s intuitionistic  $S4$  [38].

The most popular approach was presented by Fischer Servi [17, 18, 19] (FS), who proposed a framework to determine the correct intuitionistic logic of a classical modal logic based on *birelational* models<sup>1</sup>. The same semantics were discovered independently by Plotkin and Stirling [36]. Our decision of building our proposal based on FS is due to the fact that other existent extensions of Here and There [2] are strongly connected with FS (note that the axiomatic system proposed in [2] extends FS with some extra axioms such as, among others, Hosoi’s [27]).

However, Servi’s semantic framework is not the only one that has been considered. All differ from each other although they preserve some similarities. Among others, Božić and Došen [6] and Wijesekera [41] proposed a birelational approach but they differ in the interaction between the partial order and the modal accessibility relation.

When interpreting the  $\Box$  modality, Wijesekera forces the necessity operator to be inter-

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<sup>1</sup>Birelational models are Kripke models containing two accessibility relations: a partial order to interpret the intuitionistic connectives and another accessibility relation used to interpret the modal ones.

preted with respect to both relations in an attempt to imitate the behaviour of the universal quantifier of first-order intuitionistic logic. Instead, Božić and Došen force the models to satisfy an extra confluence property involving both accessibility relations. As a consequence, regarding the  $\diamond$ -free fragment, both systems induce the same intuitionistic modal logic. When adding the  $\diamond$  modality, both systems become different. Wijesekera’s system, which interprets  $\diamond$  with respect to both accessibility relations, has some strange properties (see [39, page 48]). For instance, the addition of the schema  $p \vee \neg p$  is not sufficient to make Wijesekera’s logic to collapse to its classical counterpart. Moreover, it is easy to see that the axiom schema  $\diamond(p \vee q) \rightarrow (\diamond p \vee \diamond q)$ , which is valid in FS, is not in Wijesekera’s system. Božić and Došen interpret  $\diamond$  only with respect to the accessibility relation for the modal connectives. Under this interpretation, the resulting logic does not satisfy the disjunction property and the modal operators are not semantically independent (i. e. the schemas  $\diamond p \vee \neg \Box p$  and  $\diamond p \leftrightarrow \neg \Box \neg p$  are valid).

**Layout** This paper is organised as follows. In Section 2 we present syntax and two equivalent alternative semantics based on Kripke models. The former semantics (the “Here and There” semantics) is simulated by two valuation functions while the latter semantics possesses two accessibility relations to interpret implication and modal operators. In Sections 3 and 4, we present a sound and complete axiomatisation of this logic with respect to the birelational semantics. In Section 5 we discuss about the canonicity of some well-known *Sahlqvist* formulas in our setting. Section 6 defines bisimulations for our *KHT*-modal extensions and we use them to prove the non-interdefinability of modal operators and the Hennessy-Milner property in our framework. Section 7 defines the concept of modal equilibrium logic and shows that such definition is suitable for proving the theorem of *strong-equivalence*. We finish the paper with conclusions and future work.

## 2 Syntax and semantics

In this section we present the language of *KHT*, which coincides with the ordinary language of modal logic, and two alternative and equivalent semantics. The former is inspired by the semantics proposed in [11], while the latter is adapted from the semantics of intuitionistic modal logic described in [17, 39].

### 2.1 Syntax

Let  $VAR$  be a countable set of propositional variables (with typical members denoted  $p, q$ , etc). The set  $FOR$  of all formulas (with typical members denoted  $\varphi, \psi$ , etc) is defined as

follows:

$$\varphi := p \mid \perp \mid (\varphi \wedge \psi) \mid (\varphi \vee \psi) \mid (\varphi \rightarrow \psi) \mid \Box\varphi \mid \Diamond\varphi. \quad (1)$$

As in intuitionistic logic, negation is defined in terms of implication ( $\varphi \rightarrow \perp$ ). We will follow the standard rules for omission of the parentheses. Let  $|\varphi|$  denote the number of symbols in  $\varphi$ . The *modal degree* of a formula  $\varphi$  (in symbols  $deg(\varphi)$ ) is defined as follows:

$$deg(\varphi) \stackrel{def}{=} \begin{cases} 0 & \text{if } \varphi = p \ (p \in VAR) \text{ or } \varphi = \perp; \\ \max(deg(\psi), deg(\chi)) & \text{if } \varphi = \psi \odot \chi, \text{ with } \odot \in \{\wedge, \vee, \rightarrow\}; \\ 1 + deg(\psi) & \text{if } \varphi = \odot\psi, \text{ with } \odot \in \{\Box, \Diamond\}. \end{cases}$$

Given a nonempty set  $\Gamma$  of formulas, let  $deg(\Gamma)$  be a maximal element in  $\{deg(\varphi) : \varphi \in \Gamma\}$  when this set is bounded, and  $\omega$  otherwise.

A *theory* is a (possibly infinite) set of formulas. A theory  $\Sigma$  is *closed* if it is closed under subformulas and for all formulas  $\varphi$ , if  $\varphi \in \Sigma$  then  $\neg\varphi \in \Sigma$ . For all theories  $x$ , let  $\Box x \stackrel{def}{=} \{\varphi \mid \Box\varphi \in x\}$  and  $\Diamond x \stackrel{def}{=} \{\varphi \mid \Diamond\varphi \in x\}$ .

## 2.2 KHT semantics

An *KHT-frame* is a structure  $\mathbf{F} = \langle W, R \rangle$  where:

- 1)  $W$  is a nonempty set of worlds;
- 2)  $R$  is a binary relation on  $W$ .

The size of  $\mathbf{F}$  is the cardinal of  $W$ . Given a nonempty set  $W$  and  $H, T : W \rightarrow 2^{VAR}$ , we say that  $H$  is included in  $T$  (in symbols  $H \leq T$ ) if for all  $x \in W$ ,  $H(x) \subseteq T(x)$ . We write  $H < T$  when  $H \leq T$  and  $H(x) \neq T(x)$  for some  $x \in W$ . An *KHT-model* is a structure  $\mathbf{M} = \langle W, R, H, T \rangle$  where:

- 1)  $\langle W, R \rangle$  is an *KHT-frame*;
- 2)  $H, T : W \rightarrow 2^{VAR}$  are such that  $H \leq T$ .

Given an *KHT-model*  $\mathbf{M} = \langle W, R, H, T \rangle$ ,  $x \in W$ , and  $\alpha \in \{h, t\}$ , the satisfaction relation of a formula  $\varphi$  at  $(x, \alpha)$  (in symbols  $\mathbf{M}, (x, \alpha) \models \varphi$ ) is defined as follows:

- $\mathbf{M}, (x, h) \models p$  if  $p \in H(x)$ ;  $\mathbf{M}, (x, t) \models p$  if  $p \in T(x)$ ;
- $\mathbf{M}, (x, \alpha) \not\models \perp$ ;
- $\mathbf{M}, (x, \alpha) \models \varphi \wedge \psi$  if  $\mathbf{M}, (x, \alpha) \models \varphi$  and  $\mathbf{M}, (x, \alpha) \models \psi$ ;

- $\mathbf{M}, (x, \alpha) \models \varphi \vee \psi$  if  $\mathbf{M}, (x, \alpha) \models \varphi$  or  $\mathbf{M}, (x, \alpha) \models \psi$ ;
- $\mathbf{M}, (x, \alpha) \models \varphi \rightarrow \psi$  if for all  $\alpha' \in \{\alpha, t\}$   $\mathbf{M}, (x, \alpha') \not\models \varphi$  or  $\mathbf{M}, (x, \alpha') \models \psi$ ;
- $\mathbf{M}, (x, \alpha) \models \Box\varphi$  if for all  $y \in W$ , if  $xRy$  then  $\mathbf{M}, (y, \alpha) \models \varphi$ ;
- $\mathbf{M}, (x, \alpha) \models \Diamond\varphi$  if there exists  $y \in W$  such that  $xRy$  and  $\mathbf{M}, (y, \alpha) \models \varphi$ .

Given a set  $\Sigma$  of formulas, we write  $\mathbf{M}, (x, \alpha) \models \Sigma$  if for all formulas  $\varphi \in \Sigma$ ,  $\mathbf{M}, (x, \alpha) \models \varphi$ .

The following lemma shows that the *KHT* semantics satisfy the persistence property of Intuitionistic Logic.

**Lemma 1.** *Let  $\mathbf{M} = \langle W, R, H, T \rangle$  and  $\mathbf{M}' = \langle W, R, T, T \rangle$  be two *KHT* models and let  $\mathbf{M}'' = \langle W, R, T \rangle$  be a *K* model. For all  $x \in W$  and for all formula  $\varphi$ ,*

- 1) *If  $\mathbf{M}, (x, h) \models \varphi$  then  $\mathbf{M}, (x, t) \models \varphi$ ;*
- 2)  *$\mathbf{M}, (x, t) \models \varphi$  iff  $\mathbf{M}', (x, h) \models \varphi$ ;*
- 3)  *$\mathbf{M}', (x, h) \models \varphi$  iff  $\mathbf{M}'', x \models \varphi$  (in *K*).*

*Proof.* By induction on  $\varphi$ . Left to the reader. □

From the 1st item of Lemma 1, we conclude that a formula  $\varphi$  is *KHT*-satisfiable iff the set of all total *KHT*-models of  $\varphi$  is nonempty. Hence, since the set of all *K*-models of  $\varphi$  corresponds to the set of all *KHT*-models of  $\varphi$ ,  $\varphi$  is *KHT*-satisfiable iff  $\varphi$  is *K*-satisfiable. Moreover, *K* has the finite model property and *K*-satisfiability is PSPACE-complete [4, Chapter 6]. Consequently, *KHT* has the finite model property and *KHT*-satisfiability is PSPACE-complete.

Given two sets of formulas  $\Sigma$  and  $\Gamma$ , we say that  $\Gamma$  is a *local KHT semantic consequence* of  $\Sigma$  (denoted by  $\Sigma \models \Gamma$ ) if for all *KHT* models  $\mathbf{M} = \langle W, \leq, R, V \rangle$  and for all  $(w, \alpha) \in W \times \{h, t\}$ , if  $\mathbf{M}, (w, \alpha) \models \Sigma$  then  $\mathbf{M}, (w, \alpha) \models \Gamma$ . Note that, when restricting the language to the propositional connectives, the resulting logic collapses to propositional Here and There. Therefore *KHT* is a conservative extension of Here and There. The following results can be proved as in Intuitionistic Modal Logic.

**Lemma 2.** *Let  $\mathbf{M} = \langle W, R, H, T \rangle$  be an *KHT*-model. For all  $x \in W$  and for all formulas  $\varphi$ ,  $\mathbf{M}, (x, t) \models \varphi \vee \neg\varphi$ .*

**Lemma 3.** *Let  $\mathbf{M} = \langle W, R, H, T \rangle$  be an *KHT*-model. For all  $x \in W$  and for all formulas  $\varphi$ ,  $\mathbf{M}, (x, h) \models \neg\neg\varphi$  iff  $\mathbf{M}, (x, t) \models \varphi$ .*

Let  $\simeq$  be the equivalence relation between formulas defined as follows:  $\varphi \simeq \psi$  if for all  $KHT$ -models  $\mathbf{M} = \langle W, R, H, T \rangle$  and for all  $x \in W$ ,  $\mathbf{M}, (x, h) \models \varphi$  iff  $\mathbf{M}, (x, h) \models \psi$ . As in Intuitionistic Logic, for all formulas  $\varphi$ ,  $\neg\neg\neg\varphi \simeq \neg\varphi$ . Hence, by a straightforward induction on the structure of a formula, we obtain the following result.

**Lemma 4.** *Let  $\varphi$  be a formula. The least closed set of formulas containing  $\varphi$  contains at most  $3^{|\varphi|}$  equivalence classes of formulas modulo  $\simeq$ .*

### 2.3 Birelational semantics

A *birelational frame* [39] is a structure  $\mathbf{F} = \langle W, \leq, R \rangle$  where

- 1)  $W$  is a nonempty set of worlds;
- 2)  $\leq$  is a partial order on  $W$ ;
- 3)  $R$  is a binary relation on  $W$ .

The size of  $\mathbf{F}$  is the cardinal of  $W$ .  $\mathbf{F}$  is said to be *normal* if it satisfies the following conditions for all  $x, y, z \in W$ :

- 1) if  $x \leq y$  and  $x \leq z$  then either  $x = y$  or  $x = z$  or  $y = z$ ;
- 2) if  $xRy$  and  $x \leq z$  then there exists  $t \in W$  such that  $y \leq t$  and  $zRt$ ;
- 3) if  $xRy$  and  $y \leq z$  then there exists  $t \in W$  such that  $x \leq t$  and  $tRz$ ;
- 4) if  $x \leq y$  and  $yRz$  then there exist  $t \in W$  such that  $xRt$  and  $t \leq z$ .

If  $\mathbf{F}$  is normal then for all  $x \in W$ , either  $x$  is a maximal element with respect to  $\leq$ , or there exists exactly one  $y \in W$  such that  $x \leq y$  and  $x \neq y$ . In the former case let  $\hat{x}$  denote  $x$ . In the latter case, let  $\hat{x}$  denote this  $y$ . From this definition, it follows that for all  $x, y \in W$ ,  $x \leq y$  iff either  $y = x$ , or  $y = \hat{x}$ .  $\mathbf{F}$  is said to be *strongly normal* if  $\mathbf{F}$  is normal and for all  $x, y \in W$ :

- 5) if  $\hat{x}Ry$  then  $y = \hat{y}$ ;

A *birelational model* is a structure  $\mathbf{M} = \langle W, \leq, R, V \rangle$  where

- 1)  $\langle W, \leq, R \rangle$  is a birelational frame;
- 2)  $V : W \rightarrow 2^{VAR}$  is such that for all  $x, y \in W$  if  $x \leq y$  then  $V(x) \subseteq V(y)$ .

Given a birelational model  $\mathbf{M} = \langle W, \leq, R, V \rangle$  and  $x \in W$ , the satisfaction relation of a formula  $\varphi$  at  $x$  in  $\mathbf{M}$  (in symbols  $\mathbf{M}, x \models \varphi$ ) is defined as follows:



1.  $\mathbf{M}, x \models p$  if  $p \in V(x)$ ;
2.  $\mathbf{M}, x \not\models \perp$ ;
3.  $\mathbf{M}, x \models \varphi \wedge \psi$  if  $\mathbf{M}, x \models \varphi$  and  $\mathbf{M}, x \models \psi$ ;
4.  $\mathbf{M}, x \models \varphi \vee \psi$  if  $\mathbf{M}, x \models \varphi$  or  $\mathbf{M}, x \models \psi$ ;
5.  $\mathbf{M}, x \models \varphi \rightarrow \psi$  if for all  $y \in W$  if  $x \leq y$  then either  $\mathbf{M}, y \not\models \varphi$  or  $\mathbf{M}, y \models \psi$ ;
6.  $\mathbf{M}, x \models \Box\varphi$  if for all  $y, z \in W$ , if  $x \leq y$  and  $yRz$  then  $\mathbf{M}, z \models \varphi$ ;
7.  $\mathbf{M}, x \models \Diamond\varphi$  if there exists  $y \in W$  such that  $xRy$  and  $\mathbf{M}, y \models \varphi$ .

Note that the satisfaction of  $\Box\varphi$  and  $\Diamond\varphi$  are not symmetrical. Such asymmetry also occurs in Intuitionistic Modal Logic: while the satisfaction of the  $\Box$  modality is accepted to be defined in terms of  $\leq$  and  $R$  to preserve the monotonicity property, the satisfaction of  $\Diamond$  is more controversial and several semantics have been discussed in the literature (as discussed in the introduction). One of the most accepted ones does not involve the  $\leq$  relation in order to follow Kripke's spirit of keeping the satisfaction of the eventually modality locally. Given two sets of formulas  $x$  and  $y$ , we say that  $y$  is a *local KHT birelational semantic consequence* of  $x$  (denoted by  $x \models y$ ) if for all KHT birelational models  $\mathbf{M} = \langle W, \leq, R, V \rangle$  and for all  $w \in W$ , if  $\mathbf{M}, w \models x$  then  $\mathbf{M}, w \models y$ .

**Lemma 5.** *Let  $\mathbf{M} = \langle W, \leq, R, V \rangle$  be a birelational model. If  $\mathbf{M}$  is normal then for all  $x, y \in W$ , if  $xRy$  then  $\hat{x}R\hat{y}$ .*

*Proof.* Suppose that  $\mathbf{M}$  is normal. Let  $x, y \in W$  be such that  $xRy$ . We know that  $x \leq \hat{x}$ . Since  $xRy$ , there exists  $z \in W$  such that  $\hat{x}Rz$  and  $y \leq z$ . By definition of  $\hat{y}$ , either  $z = \hat{y}$  or  $z \leq \hat{y}$ . In the former case, since  $\hat{x}Rz$ , we conclude that  $\hat{x}R\hat{y}$ . In the latter case, since  $\hat{x}Rz$ , there exists  $t \in W$  such that  $\hat{x} \leq t$  and  $tR\hat{y}$ . By definition of  $\hat{x}$ ,  $\hat{x} = t$ . Thus,  $\hat{x}R\hat{y}$ .  $\square$

## 2.4 Equivalence between the two semantics

Let  $\mathbf{M} = \langle W, R, H, T \rangle$  be an KHT model. We define the birelational model  $\mathbf{M}' = \langle W', \leq', R', V' \rangle$  as follows:

- 1)  $W' = W \times \{h, t\}$ ;
- 2)  $(x, \alpha) \leq' (y, \beta)$  if  $x = y$  and either  $\alpha = h$ , or  $\beta = t$ ;
- 3)  $(x, \alpha)R'(y, \beta)$  if  $xRy$  and  $\alpha = \beta$ ;
- 4)  $V'((x, h)) = H(x)$ ;  $V'((x, t)) = T(x)$ .

Note that  $\mathbf{M}'$  is strongly normal.

**Lemma 6.** *Let  $\varphi$  be a formula. For all  $x \in W$  and for all  $\alpha \in \{h, t\}$ ,  $\mathbf{M}, (x, \alpha) \models \varphi$  iff  $\mathbf{M}', (x, \alpha) \models \varphi$ ;*

*Proof.* By induction on  $\varphi$ . We only consider the cases  $\varphi \rightarrow \psi$ ,  $\diamond\varphi$  and  $\Box\varphi$ :

- $\varphi \rightarrow \psi$ : Suppose  $\mathbf{M}', (x, \alpha) \not\models \varphi \rightarrow \psi$  and let  $(y, \beta) \in W'$  be such that  $(x, \alpha) \leq' (y, \beta)$ ,  $\mathbf{M}', (y, \beta) \models \varphi$  and  $\mathbf{M}', (y, \beta) \not\models \psi$ . By definition of  $\leq'$  and the induction hypothesis, it follows that  $x = y$ ,  $\beta \in \{\alpha, t\}$ ,  $\mathbf{M}, (x, \beta) \models \varphi$  and  $\mathbf{M}, (x, \beta) \not\models \psi$ . As a consequence,  $\mathbf{M}, (x, \alpha) \not\models \varphi \rightarrow \psi$ . Reciprocally, let  $\beta \in \{\alpha, t\}$  be such that  $\mathbf{M}, (x, \beta) \models \varphi$  and  $\mathbf{M}, (x, \beta) \not\models \psi$ . By applying the induction hypothesis and the definition of  $\leq'$ , we conclude that  $\mathbf{M}', (x, \alpha) \not\models \varphi \rightarrow \psi$ .
- $\diamond\varphi$ : Suppose  $\mathbf{M}, (x, \alpha) \models \diamond\varphi$ . Let  $y \in W$  be such that  $xRy$  and  $\mathbf{M}, (y, \alpha) \models \varphi$ . So  $\mathbf{M}', (y, \alpha) \models \varphi$ . By definition of  $R'$ ,  $(x, \alpha)R'(y, \alpha)$  and  $\mathbf{M}', (x, \alpha) \models \diamond\varphi$ . Reciprocally, suppose  $\mathbf{M}', (x, \alpha) \models \diamond\varphi$ . Let  $(y, \beta) \in W'$  be such that  $(x, \alpha)R'(y, \beta)$  and  $\mathbf{M}', (y, \beta) \models \varphi$ . So, by induction hypothesis,  $\mathbf{M}, (y, \beta) \models \varphi$ . Finally, by definition of  $R'$ ,  $\alpha = \beta$  and  $xRy$ . It follows that  $\mathbf{M}, (x, \alpha) \models \diamond\varphi$ .
- $\Box\varphi$ : Suppose  $\mathbf{M}, (x, \alpha) \not\models \Box\varphi$ . Let  $y \in W$  be such that  $xRy$  and  $\mathbf{M}, (y, \alpha) \not\models \varphi$ . So  $\mathbf{M}', (y, \alpha) \not\models \varphi$ . Finally, by the definition of  $R'$  and  $\leq'$ ,  $\mathbf{M}', (x, \alpha) \not\models \Box\varphi$ . Reciprocally, suppose  $\mathbf{M}', (x, \alpha) \not\models \Box\varphi$ . Let  $(y, \beta)$  and  $(z, \gamma)$  in  $W'$  be such that  $(x, \alpha) \leq' (y, \beta)R'(z, \gamma)$  and  $\mathbf{M}', (z, \gamma) \not\models \varphi$ . So, by induction hypothesis,  $\mathbf{M}, (z, \gamma) \not\models \varphi$ . Finally, by Lemma 1 and the definitions of  $R'$  and  $\leq'$ , we conclude that  $\mathbf{M}, (x, \alpha) \not\models \Box\varphi$ .

□

Let  $\mathbf{M} = \langle W, \leq, R, V \rangle$  be a strongly normal birelational model. We define  $\mathbf{M}' = \langle W', R', H', T' \rangle$  as follows:

- 1)  $W' = W$ ;
- 2)  $xR'y$  if  $xRy$ ;
- 3)  $H'(x) = V(x)$ ;
- 4)  $T'(x) = V(\hat{x})$ .

**Lemma 7.** *Let  $\varphi$  be a formula. For all  $x \in W$ ,  $\mathbf{M}, x \models \varphi$  iff  $\mathbf{M}', (x, h) \models \varphi$  and  $\mathbf{M}, \hat{x} \models \varphi$  iff  $\mathbf{M}', (x, t) \models \varphi$ .*

*Proof.* By induction on  $\varphi$ . We only consider the cases  $\varphi \rightarrow \psi$ ,  $\diamond\varphi$  and  $\Box\varphi$ :

- $\varphi \rightarrow \psi$ : Suppose  $\mathbf{M}, x \models \varphi \rightarrow \psi$ . Then for all  $y \in \{x, \hat{x}\}$  either  $\mathbf{M}, y \not\models \varphi$ , or  $\mathbf{M}, y \models \psi$ . By induction hypothesis, it follows that for all  $\alpha \in \{h, t\}$ , either  $\mathbf{M}', (x, \alpha) \not\models \varphi$  or  $\mathbf{M}', (x, \alpha) \models \psi$ . Therefore  $\mathbf{M}', (x, h) \models \varphi \rightarrow \psi$ . The converse direction and the case of  $\hat{x}$  are proved in a similar way.
- $\diamond\varphi$ : Suppose  $\mathbf{M}, x \models \diamond\varphi$ . Let  $y \in W$  be such that  $xRy$  and  $\mathbf{M}, y \models \varphi$ . Hence,  $xR'y$ . Moreover, by induction hypothesis,  $\mathbf{M}', (y, h) \models \varphi$ . Thus  $\mathbf{M}', (x, h) \models \diamond\varphi$ . The converse direction is proved in a similar way.

Suppose  $\mathbf{M}, \hat{x} \models \diamond\varphi$ . Hence, for some  $y \in W$ ,  $\mathbf{M}, y \models \varphi$  and  $\hat{x}Ry$ . Since  $\mathbf{M}$  is strongly normal,  $y = \hat{y}$ . Thus, by the induction hypothesis, we obtain that  $\mathbf{M}', (x, t) \models \diamond\varphi$ . Conversely, suppose  $\mathbf{M}', (x, t) \models \diamond\varphi$ . Let  $y \in W$  be such that  $xRy$  and  $\mathbf{M}', (y, t) \models \varphi$ . By Lemma 5 and the induction hypothesis, we obtain that  $\hat{x}R\hat{y}$  and  $\mathbf{M}, \hat{y} \models \varphi$ . Hence,  $\mathbf{M}, \hat{x} \models \diamond\varphi$ .

- $\Box\varphi$ : Suppose  $\mathbf{M}', (x, h) \not\models \Box\varphi$ . Let  $y \in W'$  be such that  $xR'y$  and  $\mathbf{M}', (y, h) \not\models \varphi$ . By induction hypothesis,  $\mathbf{M}, y \not\models \varphi$ . By definition of  $R'$ ,  $xRy$  and  $\mathbf{M}, x \not\models \Box\varphi$ . Reciprocally, suppose  $\mathbf{M}, x \not\models \Box\varphi$ . Let  $y, z \in W$  be such that  $x \leq y$ ,  $yRz$  and  $\mathbf{M}, z \not\models \varphi$ . By Condition 4) on normal models, there exists  $t \in W$  such that  $xRt$  and  $t \leq z$ . Therefore  $\mathbf{M}, t \not\models \varphi$  and  $\mathbf{M}', (x, h) \not\models \Box\varphi$ .

Suppose  $\mathbf{M}', (x, t) \not\models \Box\varphi$ . Let  $y \in W'$  be such that  $xR'y$  and  $\mathbf{M}', (y, t) \not\models \varphi$ . By induction hypothesis,  $\mathbf{M}, \hat{y} \not\models \varphi$ . Since  $xR'y$ , by Lemma 5,  $\hat{x}R\hat{y}$ . Therefore  $\mathbf{M}, \hat{x} \not\models \Box\varphi$ . Reciprocally, suppose  $\mathbf{M}, \hat{x} \not\models \Box\varphi$ . Therefore there exists  $x', z \in W$  such that  $\hat{x} \leq x'Rz$  and  $\mathbf{M}, z \not\models \varphi$ . Since  $\mathbf{M}$  is strongly normal, we have that  $z = \hat{z}$ . By the induction hypothesis,  $\mathbf{M}', (z, t) \not\models \varphi$ . It follows that  $\mathbf{M}', (x, t) \not\models \Box\varphi$ .

□

As a consequence of lemmas 6 and 7 we obtain the following result.

**Lemma 8.** *For any modal formula  $\varphi$ , the following statements hold:*

- 1)  $\varphi$  is satisfiable in the class of all KHT-models iff  $\varphi$  is satisfiable in the class of all strongly normal birelational models;
- 2)  $\varphi$  is valid in the class of all KHT-models iff  $\varphi$  is valid in the class of all strongly normal birelational models.

### 3 Axiomatisation

In this section we present an axiomatic system for  $KHT$ , which consists of the axioms of Intuitionistic Propositional Logic [12, Chapter 2] plus the following axioms and inference rules:

**Hosoi axiom:**  $p \vee (p \rightarrow q) \vee \neg q$ ;

**Fischer Servi Axioms:**

- |   |   |
|---|---|
| (1) $\Box(p \rightarrow q) \rightarrow (\Box p \rightarrow \Box q)$ ;         | (4) $(\Diamond p \rightarrow \Box q) \rightarrow \Box(p \rightarrow q)$ ; |
| (2) $\Box(p \rightarrow q) \rightarrow (\Diamond p \rightarrow \Diamond q)$ ; | (5) $\neg \Diamond \perp$ ;   |
| (3) $\Diamond(p \vee q) \rightarrow \Diamond p \vee \Diamond q$ ;             | (6) $\neg \neg \Diamond p \rightarrow \Diamond \neg \neg p$ ;             |
| (7) $\neg \neg \Box(p \vee \neg p)$ ;   | (8) $\Box(p \vee q) \rightarrow \Box p \vee \Diamond q$ ;                 |
|   | (9) $\Diamond p \wedge \Box q \rightarrow \Diamond(p \wedge q)$ ;         |

**Modus ponens:**  $\frac{\varphi \rightarrow \psi, \varphi}{\psi}$  ;    **Necessitation:**  $\frac{\varphi}{\Box \varphi}$  .

**Proposition 1 (Soundness).** *Let  $\varphi$  be a formula. If  $\varphi$  is  $KHT$ -derivable then  $\varphi$  is valid in the class of all  $KHT$ -models.*

*Proof.* Left to the reader. It is sufficient to check that all axioms are valid and that inference rules preserve validity.  $\square$

### 4 Completeness

In this section we prove that the axiomatic system presented in Section 3 is complete with respect to the  $KHT$  birelational semantics. We start the section by presenting several results such as the concept of prime sets (equivalent to the maximal consistent sets of classical modal logic) and the canonical model construction for our setting. These tools will be used to prove the completeness result shown at the end of this section.

From now on,  $KHT$  will also denote the set of all  $KHT$ -derivable formulas. Given two theories  $x$  and  $y$ , we say that  $y$  is a consequence of  $x$  (denoted  $x \vdash y$ ) if there exist  $\varphi_1, \dots, \varphi_m \in x$  and  $\psi_1, \dots, \psi_n \in y$  such that  $\varphi_1 \wedge \dots \wedge \varphi_m \rightarrow \psi_1 \vee \dots \vee \psi_n \in KHT$ . We shall say that a theory  $x$  is *prime* if it satisfies the following conditions:

- (1)  $\perp \notin x$ ;

(2) for all formulas  $\varphi, \psi$ , if  $\varphi \vee \psi \in x$  then either  $\varphi \in x$ , or  $\psi \in x$ ;

(3) for all formulas  $\varphi$ , if  $x \vdash \varphi$  then  $\varphi \in x$ .

The next lemma is the Lindenbaum Lemma. Its proof can be found in classical textbooks about Intuitionistic Propositional Logic such as [12, Chapter 2].

**Lemma 9** (Lindenbaum Lemma). *Let  $x$  and  $y$  be theories. If  $x \not\vdash y$  then there exists a prime theory  $z$  such that  $x \subseteq z$  and  $z \not\vdash y$ .*

The next Lemma shows the connection between Hosoi axiom and the relation of inclusion between prime theories.

**Lemma 10.** *Let  $x, y, z$  be prime theories. If  $x \subseteq y$  and  $x \subseteq z$  then either  $x = y$ , or  $x = z$ , or  $y = z$ .*

*Proof.* Suppose  $x \subseteq y, x \subseteq z, x \neq y, x \neq z$  and  $y \neq z$ . Without loss of generality, let  $\varphi$  be a formula such that  $\varphi \notin y$  and  $\varphi \in z$ . Since  $x \subseteq y$  and  $x \neq y$ , let  $\psi$  be a formula such that  $\psi \notin x$  and  $\psi \in y$ . By Hosoi axiom,  $\psi \vee (\psi \rightarrow \varphi) \vee \neg\varphi \in x$ . Consequently either  $\psi \in x$  or  $\psi \rightarrow \varphi \in x$  or  $\neg\varphi \in x$ . Since  $\psi \notin x$ , either  $\psi \rightarrow \varphi \in x$  or  $\neg\varphi \in x$ . In the former case, since  $x \subseteq y$ , we have that  $\psi \rightarrow \varphi \in y$ . Since  $\psi \in y$  then  $\varphi \in y$ : a contradiction. In the latter case, since  $x \subseteq z, \neg\varphi \in z$ . Since  $\varphi \in z$ , it follows that  $\perp \in z$ : a contradiction.  $\square$

**Proposition 2.** *Let  $x$  be a prime theory. There exists at most one prime theory strictly containing  $x$ .*

*Proof.* By Lemma 10.  $\square$

Hence, for all prime theories  $x$ , either  $x$  is maximal for inclusion among all prime theories, or there exists exactly one prime theory  $y$  such that  $x \subseteq y$  and  $x \neq y$ . In the former case, let  $\hat{x} = x$ . In the latter case, let  $\hat{x}$  be this  $y$ .

**Lemma 11.** *Let  $\varphi$  be a formula. For all prime theories  $x$ ,  $\varphi \in \hat{x}$  iff  $\neg\neg\varphi \in x$ .*

*Proof.* Let  $x$  be a prime theory. Suppose that  $\neg\neg\varphi \in x$  and  $\varphi \notin \hat{x}$ . Let  $y = \hat{x} \cup \{\varphi\}$ . Suppose  $y \vdash \perp$ . Let  $\psi \in \hat{x}$  be such that  $\psi \wedge \varphi \rightarrow \perp \in KHT$ . Hence  $\psi \rightarrow \neg\varphi \in KHT$ . Since  $\psi \in \hat{x}$  and  $\neg\neg\varphi \in x$ , we obtain that  $\neg\varphi \in \hat{x}$  and  $\neg\neg\varphi \in \hat{x}$ . Hence,  $\perp \in \hat{x}$ : a contradiction. Consequently,  $y \not\vdash \perp$ . By Lindenbaum Lemma, let  $z$  be a prime theory such that  $\hat{x} \subseteq z$  and  $\varphi \in z$ . By Lemma 10,  $\hat{x} = z$ . Since  $\varphi \in z$ , we obtain  $\varphi \in \hat{x}$ : a contradiction.

Suppose  $\neg\neg\varphi \notin x$  and  $\varphi \in \hat{x}$ . Let  $y = x \cup \{\neg\varphi\}$ . Suppose that  $y \vdash \perp$ . Let  $\psi \in x$  be such that  $\psi \wedge \neg\varphi \rightarrow \perp \in KHT$ . Thus  $\psi \rightarrow \neg\neg\varphi \in KHT$ . Since  $\psi \in x$ ,  $\neg\neg\varphi \in x$ : a contradiction. By Lindenbaum Lemma, let  $z$  be a prime theory such that  $x \subseteq z$  and  $\neg\varphi \in z$ . Hence  $\neg\varphi \in \hat{x}$ . Since  $\varphi \in \hat{x}$ , we obtain that  $\perp \in \hat{x}$ : a contradiction.  $\square$

**Lemma 12.** *Let  $\varphi$  be a formula. For all prime theories  $x$ , either  $\varphi \in \hat{x}$  or  $\neg\varphi \in \hat{x}$ .*

*Proof.* By Lemma 11, using the fact that  $\neg\neg(\varphi \vee \neg\varphi) \in KHT$ .  $\square$

**Lemma 13.** *Let  $\varphi$  be a formula. For all prime theories  $x$ , if  $\varphi \notin \hat{x}$  then  $\neg\varphi \in x$ .*

*Proof.* Let  $x$  be a prime theory. Suppose that  $\varphi \notin \hat{x}$  and  $\neg\varphi \notin x$ . Since  $\varphi \notin \hat{x}$ , by Lemma 12,  $\neg\varphi \in \hat{x}$ . Let  $y = x \cup \{\varphi\}$ . Suppose that  $y \vdash \perp$ . Let  $\psi \in x$  be such that  $\psi \wedge \varphi \rightarrow \perp \in KHT$ . Consequently,  $\psi \rightarrow \neg\varphi \in KHT$ . Since  $\psi \in x$ ,  $\neg\varphi \in x$ : a contradiction. Thus  $y \not\vdash \perp$ . By Lindenbaum Lemma, let  $z$  be a prime theory such that  $x \subseteq z$  and  $\varphi \in z$ . Since  $x \subseteq z$ , we have that  $\varphi \in \hat{x}$ : a contradiction.  $\square$

**Lemma 14.** *Let  $\varphi$  be a formula. For all prime theories  $x$ , if  $\diamond\neg\varphi \in x$  then  $\Box\varphi \notin x$  and if  $\neg\diamond\varphi \in x$  then  $\Box\neg\varphi \in x$ .*

*Proof.* In the first case, assume that  $\Box\varphi \in x$ . From  $\diamond\neg\varphi \in x$  and the derivable formula<sup>2</sup>  $\diamond\neg\varphi \rightarrow \neg\Box\varphi$  we conclude that  $\neg\Box\varphi \in x$ . Since  $\Box\varphi \in x$ , we obtain that  $\perp \in x$ : a contradiction. The second case is proved by using the derivable formula  $\neg\diamond\varphi \rightarrow \Box\neg\varphi$ .  $\square$

The canonical model  $\mathbf{M}_c$  is defined as the structure  $\mathbf{M}_c = \langle W_c, \leq_c, R_c, V_c \rangle$  where:

- $W_c$  is the set of all prime theories;
- $\leq_c$  is the partial order on  $W_c$  defined by:  $x \leq_c y$  if  $x \subseteq y$ ;
- $R_c$  is the binary relation on  $W_c$  defined by:  $x R_c y$  if  $\Box x \subseteq y$  and  $\diamond y \subseteq x$ ;
- $V_c : W_c \rightarrow 2^{VAR}$  is the valuation function defined by:  $p \in V_c(x)$  if  $p \in x$ ;

**Lemma 15.** *For all prime theories  $x$  and  $y$ , if  $\hat{x} R_c y$  then  $y = \hat{y}$ .*

*Proof.* Suppose  $\hat{x} R_c y$  and  $y \neq \hat{y}$ . Let  $\varphi$  be a formula such that  $\varphi \notin y$  and  $\varphi \in \hat{y}$ . By axiom (7),  $\neg\neg\Box(\varphi \vee \neg\varphi) \in x$ . Hence, by Lemma 11  $\Box(\varphi \vee \neg\varphi) \in \hat{x}$ . Since  $\hat{x} R_c y$ ,  $\varphi \vee \neg\varphi \in y$ . Hence, since  $\varphi \notin y$  and  $\varphi \in \hat{y}$ , we obtain that  $\neg\varphi \in y$  and  $\neg\varphi \notin y$ : a contradiction.  $\square$

**Lemma 16.** *Let  $x, y$  be prime theories. If  $x R_c y$  then  $\hat{x} R_c \hat{y}$ .*

*Proof.* Suppose that  $x R_c y$  but not  $\hat{x} R_c \hat{y}$ . Hence,  $\Box x \subseteq y$  and  $\diamond y \subseteq x$ . Moreover, either  $\Box\hat{x} \not\subseteq \hat{y}$ , or  $\diamond\hat{y} \not\subseteq \hat{x}$ .

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<sup>2</sup>Note that the formulas  $\diamond\neg\varphi \rightarrow \neg\Box\varphi$  and  $\neg\diamond\varphi \rightarrow \Box\neg\varphi$  are valid in Simpson's *IK* [39] (and, therefore, *IK*-derivable). Since  $IK \subseteq KHT$ , we conclude that both formulas are *KHT*-derivable.

- Case  $\Box\hat{x} \not\subseteq \hat{y}$ : Let  $\varphi$  be a formula such that  $\Box\varphi \in \hat{x}$  and  $\varphi \notin \hat{y}$ . Hence, by Lemma 13  $\neg\varphi \in y$ . Since  $\Diamond y \subseteq x$ ,  $\Diamond\neg\varphi \in x$ . Thus,  $\Diamond\neg\varphi \in \hat{x}$ . Finally, by Lemma 14 we conclude that  $\Box\varphi \notin \hat{x}$ : a contradiction.
- Case  $\Diamond\hat{y} \not\subseteq \hat{x}$ : Let  $\varphi$  be a formula such that  $\varphi \in \hat{y}$  and  $\Diamond\varphi \notin \hat{x}$ . Hence, by Lemma 13,  $\neg\Diamond\varphi \in x$ . Thanks to Lemma 14 we conclude that  $\Box\neg\varphi \in x$ . Since  $\Box x \subseteq y$ ,  $\neg\varphi \in y$ . Hence  $\neg\varphi \in \hat{y}$ : a contradiction.

□

**Proposition 3.**  $\mathbf{M}_c$  is a strongly normal birelational model.

*Proof.* By Lemma 15, it suffices to show that  $\mathbf{M}_c$  is normal. The condition 1) of normality follows from Lemma 10. Conditions 2) and 3) are consequence of Lemma 16. As for Condition 4), let  $x, y, t \in W_c$  be such that  $x \leq_c y$  and  $yR_ct$  and let  $z_0 \stackrel{def}{=} \Box x \cup \{\neg\neg\psi \mid \psi \in t\}$ . Note that the non-trivial case is when  $x \subseteq y$  and  $x \neq y$ . Thus,  $y = \hat{x}$ . Hence, by Lemma 15,  $t = \hat{t}$ . In order to prove that  $z_0 \not\vdash \{\chi \mid \Diamond\chi \notin x\}$ , let us proceed by contradiction. Let  $\varphi, \psi$  and  $\chi$  be formulas such that  $\Box\varphi \in x$ ,  $\psi \in t$ ,  $\Diamond\chi \notin x$  and  $\varphi \wedge \neg\neg\psi \rightarrow \chi \in KHT$ . As a consequence, it follows that  $\varphi \rightarrow (\neg\neg\psi \rightarrow \chi) \in x$ . By necessitation and Axiom (1) we conclude that  $\Box(\neg\neg\psi \rightarrow \chi) \in x$ . By Axiom (2),  $\Diamond\neg\neg\psi \rightarrow \Diamond\chi \in x$ . Since  $\psi \in t$ ,  $yR_ct$  and  $x \leq_c y$ , we have that  $\Diamond\psi \in y$  and  $\neg\neg\Diamond\psi \in x$ . From Axiom (6) and modus ponens, it follows that  $\Diamond\neg\neg\psi \in x$ . Thus, since  $\Diamond\neg\neg\psi \rightarrow \Diamond\chi \in x$ , we obtain  $\Diamond\chi \in x$ : a contradiction. Thus  $z_0 \not\vdash \{\chi \mid \Diamond\chi \notin x\}$ . Finally, by applying the Lindenbaum Lemma, let  $z$  be a prime theory such that  $z_0 \subseteq z$  and  $z \not\vdash \{\chi \mid \Diamond\chi \notin x\}$ . Obviously,  $xR_cz$  and  $z \leq_c t$ . □

**Lemma 17 (Truth Lemma).** For all formulas  $\varphi$  and for all  $x \in W_c$ ,

- (1) If  $\varphi \in x$  then  $\mathbf{M}_c, x \models \varphi$ ;
- (2) if  $\varphi \notin x$  then  $\mathbf{M}_c, x \not\models \varphi$ .

*Proof.* By induction on  $\varphi$ . We only present the proof for  $\Diamond$  and  $\Box$ :

- $\Diamond\psi$ : Assume that  $\Diamond\psi \in x$  and let  $u = \Box x \cup \{\psi\}$ . Suppose that  $u \vdash \{\chi \mid \Diamond\chi \notin x\}$ . This means that there exist two formulas  $\varphi$  and  $\chi$  such that  $\Box\varphi \in x$ ,  $\Diamond\chi \notin x$  and  $\varphi \wedge \psi \rightarrow \chi \in KHT$ . By necessitation and Axiom (2) it follows that  $\Diamond(\varphi \wedge \psi) \rightarrow \Diamond\chi \in x$ . Since, by definition,  $\Diamond\chi \notin x$  then  $\Diamond(\varphi \wedge \psi) \notin x$ . From Axiom (9) it follows that  $\Diamond\psi \wedge \Box\varphi \notin x$ : a contradiction. Therefore  $u \not\vdash \{\chi \mid \Diamond\chi \notin x\}$ . Thanks to Lindenbaum Lemma, let  $y \in W_c$  be such that  $u \subseteq y$  and  $y \not\vdash \{\chi \mid \Diamond\chi \notin x\}$ . Note that  $\Box x \subseteq y$  and  $\Diamond y \subseteq x$ . Hence,  $xR_cy$ . Since  $\psi \in y$ , by induction hypothesis,  $\mathbf{M}_c, y \models \psi$ . Since  $xR_cy$ , we conclude that  $\mathbf{M}_c, x \models \Diamond\psi$ . Reciprocally, assume that  $\mathbf{M}_c, x \models \Diamond\psi$ . Therefore there exists  $y \in W_c$  such that  $xR_cy$  and  $\mathbf{M}_c, y \models \psi$ . By induction hypothesis  $\psi \in y$ . Finally, by definition of  $R_c$ ,  $\Diamond\psi \in x$ .

- $\Box\psi$ : Assume that  $\Box\psi \in x$  but  $\mathbf{M}_c, x \not\models \Box\psi$ . From the latter assumption it follows that there exists  $x', y \in W_c$  such that  $x \leq_c x'$ ,  $x'R_c y$  and  $\mathbf{M}_c, y \not\models \psi$ . Since  $x \leq_c x'$  then  $\Box\psi \in x'$ . On the other hand, from  $x'R_c y$ ,  $\mathbf{M}_c, y \not\models \psi$  and the induction hypothesis we conclude that  $\Box\psi \notin x'$ , which is a contradiction. Reciprocally, assume that  $\Box\psi \notin x$ . Let  $u = \Box x$ . Suppose  $u \vdash \{\psi\} \cup \{\chi \mid \Diamond\chi \notin x\}$ . Let  $\varphi, \chi$  be formulas such that  $\Box\varphi \in x$ ,  $\Diamond\chi \notin x$  and  $\varphi \rightarrow \psi \vee \chi \in KHT$ . By necessitation and Axiom (1),  $\Box\varphi \rightarrow \Box(\psi \vee \chi) \in KHT$ . Since  $\Box\varphi \in x$ , therefore,  $\Box(\psi \vee \chi) \in x$ . Thus, By Axiom (8), either  $\Box\psi \in x$ , or  $\Diamond\chi \in x$ : a contradiction. It follows that  $u \not\vdash \{\psi\} \cup \{\chi \mid \Diamond\chi \notin x\}$ . By Lindenbaum Lemma, let  $y \in W_c$  be such that  $u \subseteq y$  and  $y \not\vdash \{\psi\} \cup \{\chi \mid \Diamond\chi \notin x\}$ . Note that  $\Box x \subseteq y$  and  $\Diamond y \subseteq x$ . Hence,  $xR_c y$ . Since  $y \not\vdash \psi$ , therefore,  $\psi \notin y$  and, by induction hypothesis,  $\mathbf{M}_c, y \not\models \psi$ . Since  $xR_c y$ , we conclude that  $\mathbf{M}_c, x \not\models \Box\varphi$ .

□

As a result, we can now state the strong completeness of *KHT* axiomatic system with respect to the *KHT* birelational semantics:

**Proposition 4.** *Let  $\varphi$  be a formula. For all sets of formulas  $x$ , if  $x \models \{\varphi\}$  (i.e.  $\varphi$  is a local *KHT* birelational semantic consequence) then  $x \vdash \{\varphi\}$  (i.e.  $\varphi$  is deducible from  $x$ ).*

*Proof.* Suppose that  $x \not\vdash \{\varphi\}$ . By Lindenbaum Lemma, let  $x'$  be a prime theory such that  $x \subseteq x'$  and  $x' \not\vdash \{\varphi\}$ . Hence  $\varphi \notin x'$ . From  $x \subseteq x'$  and the Truth Lemma we get  $\mathbf{M}_c, x' \models x$ . From  $\varphi \notin x'$  and the Truth Lemma it follows that  $\mathbf{M}_c, x' \not\models \varphi$ . As a consequence  $x \not\models \varphi$ : a contradiction. □

**Corollary 1.** *The *KHT* axiomatic system is also complete with respect to the *KHT* semantics.*

## 5 Canonicity

As we have seen in the previous section, the canonical model construction can be transferred to *KHT* logic with slight modifications for obtaining the proof of completeness mentioned above. In modal logic, Sahlqvist formulas are modal formulas with remarkable properties [4, Chapter 3]: the Sahlqvist correspondence theorem says that every Sahlqvist formula corresponds to a first-order definable class of frames; the Sahlqvist completeness theorem says that when Sahlqvist formulas are used as axioms in a normal logic, the logic is complete with respect to the elementary class of frames the axioms define. Hence, a natural question is to ask whether a Sahlqvist-like theory — i.e. a theory that identifies a set of formulas that correspond to first-order definable classes of frames and that define logics complete with



respect to the elementary classes of frames they correspond to — can be elaborated on the setting of  $KHT$  logic. It does not seem obvious to answer such a question and we defer attacking it till we grasp what is going on with Sahlqvist formulas in the  $HT$  setting. Simply, in this section, with respect to the birelational semantics, we address the above question for the formulas that correspond, in modal logic, to the following elementary properties: seriality and transitivity.

For a start, let us consider the class of all strongly normal birelational frames  $(W, \leq, R)$  where  $R$  is serial. In modal logic, the formula  $\Box p \rightarrow \Diamond p$  corresponds to the elementary property of seriality of a frame, i.e. for all frames  $(W, R)$ ,  $R$  is serial if  $(W, R)$  validates  $\Box p \rightarrow \Diamond p$ . It is also canonical, i.e. the canonical frame of  $K + \Box p \rightarrow \Diamond p$  validates  $\Box p \rightarrow \Diamond p$ . Within the context of a birelational semantics,  $\Box p \rightarrow \Diamond p$  still corresponds to seriality.

**Lemma 18.** *For all strongly normal birelational frames  $(W, \leq, R)$ ,  $R$  is serial if  $(W, \leq, R)$  validates  $\Box p \rightarrow \Diamond p$ .*

*Proof.* The only if direction is left to the reader. As for the if direction, suppose that  $R$  is not serial. Let  $x \in W$  be such that  $R(x) = \emptyset$ . Let  $V$  be a valuation on  $W$  such that  $V(p) = \{z \in W : \text{there exists } y \in W \text{ such that } x \leq y \text{ and } yRz\}$ . Obviously,  $(W, \leq, R, V), x \models \Box p$  and  $(W, \leq, R, V), x \not\models \Diamond p$ .  $\square$

Moreover,

**Lemma 19.** *The canonical frame of  $KDHT = KHT + \Box p \rightarrow \Diamond p$  is serial.*

*Proof.* Let  $x$  be an arbitrary prime theory. Let  $y = \Box x$ . Suppose  $y \vdash \{\psi : \Diamond \psi \notin x\}$ . Let  $\varphi, \psi$  be formulas such that  $\Box \varphi \in x$ ,  $\Diamond \psi \notin x$  and  $\varphi \rightarrow \psi$  is in  $KDHT$ . By necessitation and Axiom (1),  $\Box \varphi \rightarrow \Box \psi$  is in  $KDHT$ . Since  $\Box \varphi \in x$ ,  $\Box \psi \in x$ . Consequently,  $\Diamond \psi \in x$ : a contradiction. Hence,  $y \not\vdash \{\psi : \Diamond \psi \notin x\}$ . By Lindenbaum Lemma, let  $z$  be a prime theory such that  $y \subseteq z$  and  $z \not\vdash \{\psi : \Diamond \psi \notin x\}$ . Obviously,  $xR_c z$ . Since  $x$  was arbitrary, we conclude that  $R_c$  is serial.  $\square$

As a result,  $KDHT$  is complete with respect to the class of all serial strongly normal birelational models.

Now, let us consider the class of all strongly normal birelational frames  $(W, \leq, R)$  where  $R$  is transitive. In modal logic, the formula  $\Box p \rightarrow \Box \Box p$  corresponds to the elementary property of transitivity of a frame. It is also canonical, seeing that  $K + \Box p \rightarrow \Box \Box p$  has a transitive canonical frame. The formula  $\Diamond \Diamond p \rightarrow \Diamond p$  possesses these properties as well — correspondence and canonicity. Within the context of the birelational semantics, neither  $\Box p \rightarrow \Box \Box p$ , nor  $\Diamond \Diamond p \rightarrow \Diamond p$  corresponds to transitivity. In fact, on one hand, the strongly normal frame shown in Figure 1a is non-transitive and, moreover, it validates  $\Box p \rightarrow \Box \Box p$ .

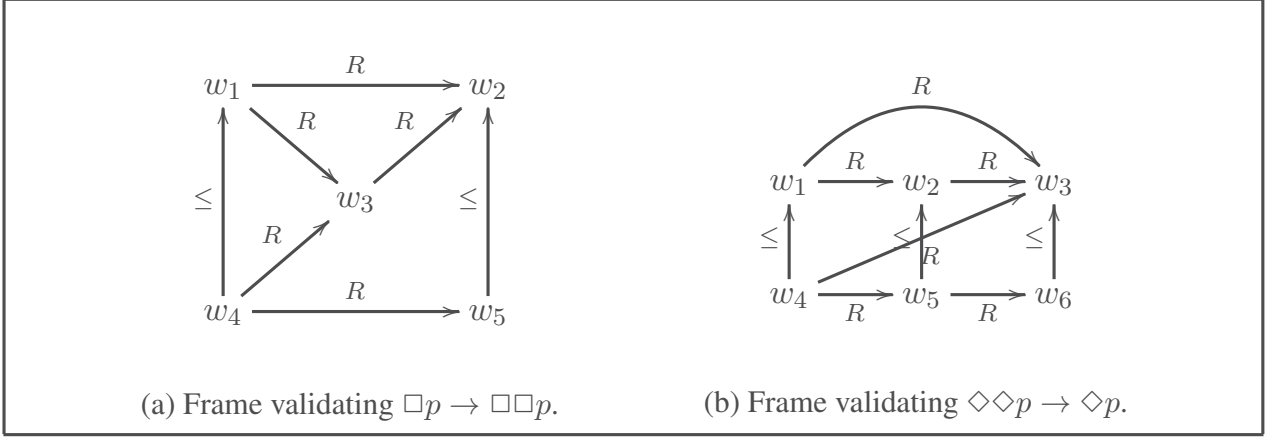


Figure 1: Non-transitive and strongly normal frames

On the other hand, the strongly normal frame shown in Figure 1b is non-transitive and it validates  $\Diamond \Diamond p \rightarrow \Diamond p$ . The thing is that we do not know if there exists a formula  $\varphi$  such that for all strongly normal birelational frames  $(W, \leq, R)$ ,  $R$  is transitive if  $(W, \leq, R)$  validates  $\varphi$ . Nevertheless,

**Lemma 20.** *The canonical frame of  $K4HT = KHT + (\Box p \rightarrow \Box \Box p) \wedge (\Diamond \Diamond p \rightarrow \Diamond p)$  is transitive.*

*Proof.* Let  $x, y, z$  be arbitrary prime theories such that  $x R_c y$  and  $y R_c z$ . Hence,  $\Box x \subseteq y$ ,  $\Diamond y \subseteq x$ ,  $\Box y \subseteq z$  and  $\Diamond z \subseteq y$ . Let  $\varphi$  be a formula such that  $\Box \varphi \in x$ . Since  $\Box \varphi \rightarrow \Box \Box \varphi \in x$ ,  $\Box x \subseteq y$ , and  $\Box y \subseteq z$ , we have that  $\Box \Box \varphi \in x$ ,  $\Box \varphi \in y$  and  $\varphi \in z$ . Consequently,  $\Box x \subseteq z$ . Similarly, one can easily show that  $\Diamond z \subseteq x$ , this time using a formula of the form  $\Diamond \Diamond \varphi \rightarrow \Diamond \varphi$ . As a result,  $x R_c z$ . Since  $x, y, z$  were arbitrary, we conclude that  $R_c$  is transitive.  $\square$

To the best of our knowledge, the equivalent to the Sahlqvist correspondence theorem for modal extensions of here and there (and even for Simpson's intuitionistic modal logic K [39]) was not considered in the literature. Extending Sahlqvist's result to our setting would help us to prove the completeness for extensions of  $KHT$ .

## 6 About bisimulations

In modal logic, bisimulations are binary relations between models that relate possible worlds carrying the same modal information. However the classical definition of bisimulation must be relaxed in the case of  $KHT$ . In this section we provide a definition of bisimulation in the case of  $KHT$ , which is sufficient to prove the corresponding bisimulation lemma that states that if two models are bisimilar then they satisfy the same formulas.

## 6.1 Bisimulations for $KHT$

Let  $\mathbf{M}_1 = \langle W_1, R_1, H_1, T_1 \rangle$  and  $\mathbf{M}_2 = \langle W_2, R_2, H_2, T_2 \rangle$  be  $KHT$ -models. Let  $D_1 = W_1 \times \{h, t\}$  and  $D_2 = W_2 \times \{h, t\}$ . A binary relation  $\mathcal{Z}$  between  $D_1$  and  $D_2$  is a *bisimulation* if the following conditions are satisfied:

- 1) if  $(x_1, \alpha_1) \mathcal{Z} (x_2, \alpha_2)$  then  $\mathbf{M}_1, (x_1, \alpha_1) \models p$  iff  $\mathbf{M}_2, (x_2, \alpha_2) \models p$  for all propositional variables  $p$ ;
- 2) if  $(x_1, \alpha_1) \mathcal{Z} (x_2, \alpha_2)$  then  $(x_1, t) \mathcal{Z} (x_2, t)$ ;
- 3) if  $(x_1, \alpha_1) \mathcal{Z} (x_2, \alpha_2)$  and  $x_1 R_1 y_1$  then there exists  $y_2 \in W_2$  such that  $x_2 R_2 y_2$  and either  $(y_1, \alpha_1) \mathcal{Z} (y_2, \alpha_2)$  or  $(y_1, t) \mathcal{Z} (y_2, \alpha_2)$ ;
- 4) if  $(x_1, \alpha_1) \mathcal{Z} (x_2, \alpha_2)$  and  $x_2 R_2 y_2$  then there exists  $y_1 \in W_1$  such that  $x_1 R_1 y_1$  and either  $(y_1, \alpha_1) \mathcal{Z} (y_2, \alpha_2)$  or  $(y_1, \alpha_1) \mathcal{Z} (y_2, t)$ ;
- 5) if  $(x_1, \alpha_1) \mathcal{Z} (x_2, \alpha_2)$  and  $x_2 R_2 y_2$  then there exists  $y_1 \in W_1$  such that  $x_1 R_1 y_1$  and either  $(y_1, \alpha_1) \mathcal{Z} (y_2, \alpha_2)$  or  $(y_1, t) \mathcal{Z} (y_2, \alpha_2)$ ;
- 6) if  $(x_1, \alpha_1) \mathcal{Z} (x_2, \alpha_2)$  and  $x_1 R_1 y_1$  then there exists  $y_2 \in W_2$  such that  $x_2 R_2 y_2$  and either  $(y_1, \alpha_1) \mathcal{Z} (y_2, \alpha_2)$  or  $(y_1, \alpha_1) \mathcal{Z} (y_2, t)$ .

**Lemma 21** (Bisimulation Lemma). *Let  $\mathbf{M}_1 = \langle W_1, R_1, H_1, T_1 \rangle$  and  $\mathbf{M}_2 = \langle W_2, R_2, H_2, T_2 \rangle$  be  $KHT$ -models. Let  $D_1 = W_1 \times \{h, t\}$  and  $D_2 = W_2 \times \{h, t\}$ . Let  $\mathcal{Z}$  be a bisimulation between  $D_1$  and  $D_2$  and let  $\varphi$  be a formula. For all  $(x_1, \alpha_1) \in D_1$  and for all  $(x_2, \alpha_2) \in D_2$ , if  $(x_1, \alpha_1) \mathcal{Z} (x_2, \alpha_2)$  then  $\mathbf{M}_1, (x_1, \alpha_1) \models \varphi$  iff  $\mathbf{M}_2, (x_2, \alpha_2) \models \varphi$ .*

*Proof.* By induction on  $\varphi$ . We only consider the cases  $\varphi \rightarrow \psi$ ,  $\diamond\varphi$  and  $\square\varphi$ .

- $\varphi \rightarrow \psi$ : Suppose  $(x_1, \alpha_1) \mathcal{Z} (x_2, \alpha_2)$ ,  $\mathbf{M}_1, (x_1, \alpha_1) \models \varphi \rightarrow \psi$ . From the former assumption and Condition 2) we conclude  $(x_1, t) \mathcal{Z} (x_2, t)$ . From the latter assumption it follows that for all  $\alpha'_1 \in \{\alpha_1, t\}$ ,  $\mathbf{M}_1, (x_1, \alpha'_1) \not\models \varphi$  or  $\mathbf{M}_1, (x_1, \alpha'_1) \models \psi$ . If  $\alpha'_1 = \alpha_1$  then, by  $(x_1, \alpha_1) \mathcal{Z} (x_2, \alpha_2)$  and induction hypothesis we get  $\mathbf{M}_2, (x_2, \alpha_2) \not\models \varphi$  or  $\mathbf{M}_2, (x_2, \alpha_2) \models \psi$ . If  $\alpha'_1 = t$  then, by  $(x_1, t) \mathcal{Z} (x_2, t)$  and the induction hypothesis, we get  $\mathbf{M}_2, (x_2, t) \not\models \varphi$  or  $\mathbf{M}_2, (x_2, t) \models \psi$ . From this it follows that  $\mathbf{M}_2, (x_2, \alpha_2) \models \varphi \rightarrow \psi$ . The converse direction is proved in a similar way.
- $\diamond\varphi$ : Suppose  $(x_1, \alpha_1) \mathcal{Z} (x_2, \alpha_2)$  and  $\mathbf{M}_1, (x_1, \alpha_1) \models \diamond\varphi$ . Let  $y_1 \in W_1$  be such that  $x_1 R_1 y_1$  and  $\mathbf{M}_1, (y_1, \alpha_1) \models \varphi$ . By Lemma 1,  $\mathbf{M}_1, (y_1, t) \models \varphi$  holds as well. By Condition 3) and the induction hypothesis it follows that  $\mathbf{M}_2, (y_2, \alpha_2) \models \varphi$  for some  $y_2 \in W_2$  satisfying  $x_2 R_2 y_2$ . Therefore  $\mathbf{M}_2, (x_2, \alpha_2) \models \diamond\varphi$ . The converse direction is proved in a similar way, this time using Condition 4).

- $\Box\varphi$ : Suppose  $(x_1, \alpha_1)\mathcal{Z}(x_2, \alpha_2)$  and  $\mathbf{M}_2, (x_2, \alpha_2) \not\models \Box\varphi$ . Let  $y_2 \in W_2$  be such that  $x_2 R_2 y_2$  and  $\mathbf{M}_2, (y_2, \alpha_2) \not\models \varphi$ . By Lemma 1, Condition 5) and the induction hypothesis it follows that  $\mathbf{M}_1, (y_1, \alpha_1) \not\models \varphi$  for some  $y_1 \in W_1$  satisfying  $x_1 R_1 y_1$ . Therefore  $\mathbf{M}_1, (x_1, \alpha_1) \not\models \Box\varphi$ . The converse direction is proved in a similar way but using Condition 6).

□

Obviously, the union of two bisimulations is also a bisimulation. Hence, there exists a maximal bisimulation  $\mathcal{Z}_{max}$  between  $D_1$  and  $D_2$ .

## 6.2 Interdefinability of Modal Operators

As an application of bisimulation, in this section we prove that  $\Box$  and  $\Diamond$  are not interdefinable. To do so, we introduce the concepts of  $\Box$ -free and  $\Diamond$ -free bisimulations. Let  $\mathbf{M}_1 = \langle W_1, R_1, H_1, T_1 \rangle$  and  $\mathbf{M}_2 = \langle W_2, R_2, H_2, T_2 \rangle$  be *KHT*-models. Let  $D_1 = W_1 \times \{h, t\}$  and  $D_2 = W_2 \times \{h, t\}$ . A binary relation  $\mathcal{Z}$  between  $D_1$  and  $D_2$  is a  *$\Box$ -free-bisimulation* if it satisfies conditions 1)-4) of bisimulations. In the same way, a binary relation  $\mathcal{Z}$  between  $D_1$  and  $D_2$  is a  *$\Diamond$ -free-bisimulation* if it satisfies conditions 1)-2) and 5)-6) of bisimulations.

**Proposition 5.** *Let  $\mathbf{M}_1$  and  $\mathbf{M}_2$  be *KHT*-models,  $D_1 = W_1 \times \{h, t\}$ ,  $D_2 = W_2 \times \{h, t\}$  and  $\mathcal{Z}$  be a binary relation between  $D_1$  and  $D_2$ .*

- *If  $\mathcal{Z}$  is a  $\Diamond$ -free-bisimulation then  $\mathbf{M}_1$  and  $\mathbf{M}_2$  satisfy the same  $\Diamond$ -free formulas;*
- *If  $\mathcal{Z}$  is a  $\Box$ -free-bisimulation then  $\mathbf{M}_1$  and  $\mathbf{M}_2$  satisfy the same  $\Box$ -free formulas.*

*Proof.* Similar to the proof of the Bisimulation Lemma. □

To show that  $\Diamond$  is not definable in terms of  $\Box$ , let us consider the models shown in Figure 2a. It can be checked that  $\mathbf{M}_1, (x_1, h) \models \Diamond p$  but  $\mathbf{M}_2, (x_2, h) \not\models \Diamond p$ . However, as shown in such figure, there exists a  $\Diamond$ -free-bisimulation between them. As a result,

**Proposition 6.** *There is no  $\Diamond$ -free formula  $\varphi$  such that  $\models \Diamond p \leftrightarrow \varphi$ .*

*Proof.* We proceed by contradiction. Assume that such  $\varphi$  exists. Let us consider the models  $\mathbf{M}_1$  and  $\mathbf{M}_2$  together with the points  $x_1$  and  $x_2$  from Figure 2a. Note that  $\mathbf{M}_1, (x_1, h) \models \Diamond p$ . Therefore  $\mathbf{M}_1, (x_1, h) \models \varphi$ . Thanks to Proposition 5 and the  $\Diamond$ -free bisimulation described in Figure 2a,  $\mathbf{M}_2, (x_2, h) \models \varphi$ . As a consequence,  $\mathbf{M}_2, (x_2, h) \models \Diamond p$ : a contradiction. □

To show that  $\Box$  is not definable in terms of  $\Diamond$ , we consider the models presented in Figure 2b, in which  $\mathbf{M}_2, (x_2, h) \models \Box p$  and  $\mathbf{M}_1, (x_1, h) \not\models \Box p$ . As shown in such figure, there exists a  $\Box$ -free bisimulation among these models. As a result,

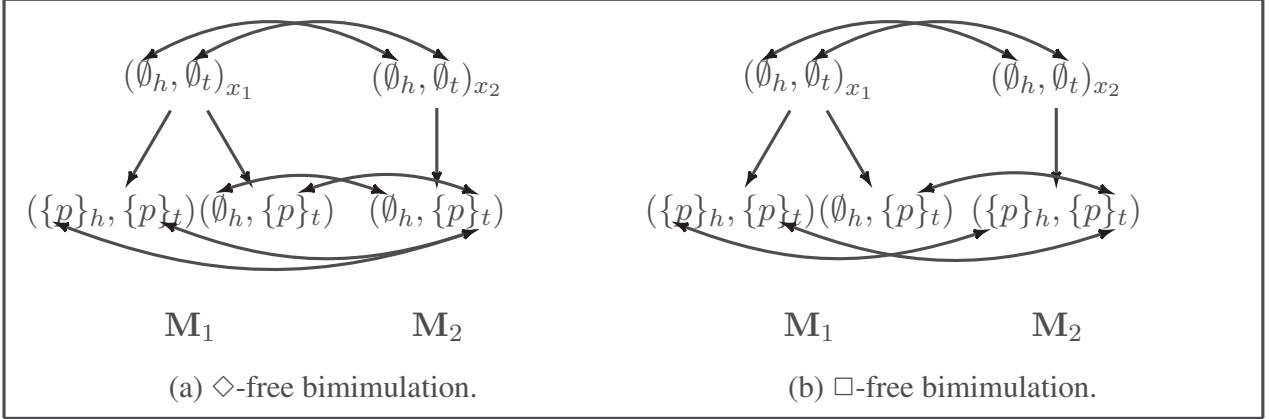


Figure 2:  $\diamond$ -free and  $\square$ -free bimimulations.

**Proposition 7.** *There is no  $\square$ -free formula  $\varphi$  such that  $\models \square p \leftrightarrow \varphi$ .*

*Proof.* We proceed by contradiction. Assume that such  $\varphi$  exists. Let us consider the models  $\mathbf{M}_1$  and  $\mathbf{M}_2$  together with the points  $x_1$  and  $x_2$  from Figure 2b. Note that  $\mathbf{M}_1, (x_1, h) \not\models \square p$ . Consequently,  $\mathbf{M}_1, (x_1, h) \not\models \varphi$ . Thanks to Proposition 5 and the  $\square$ -free bisimulation described in Figure 2b,  $\mathbf{M}_2, (x_2, h) \not\models \varphi$ . As a consequence,  $\mathbf{M}_2, (x_2, h) \not\models \square p$ : a contradiction.  $\square$

### 6.3 Hennessy-Milner property

In order to show that our definition of bisimulation is appropriate, in this section we show that  $KHT$  possesses the Hennessy-Milner property. Our proof follows the line of reasoning suggested in [30]. Let  $\mathbf{M}_1 = \langle W_1, R_1, H_1, T_1 \rangle$  and  $\mathbf{M}_2 = \langle W_2, R_2, H_2, T_2 \rangle$  be finite  $KHT$  models. Let  $D_1 = W_1 \times \{h, t\}$  and  $D_2 = W_2 \times \{h, t\}$ . We define the binary relation  $\rightsquigarrow$  between  $D_1$  and  $D_2$  as follows:  $(x_1, \alpha_1) \rightsquigarrow (x_2, \alpha_2)$  if for all formulas  $\varphi$ ,  $\mathbf{M}_1, (x_1, \alpha_1) \models \varphi$  iff  $\mathbf{M}_2, (x_2, \alpha_2) \models \varphi$ .

**Lemma 22** (Hennessy-Milner property). *The binary relation  $\rightsquigarrow$  is a bisimulation between  $\mathbf{M}_1$  and  $\mathbf{M}_2$ .*

*Proof.* Suppose that the binary relation  $\rightsquigarrow$  is not a bisimulation. Hence, by Lemma 21, one of the conditions 1)-6) does not hold for some  $(x_1, \alpha_1) \in D_1$  and some  $(x_2, \alpha_2) \in D_2$  such that  $(x_1, \alpha_1) \rightsquigarrow (x_2, \alpha_2)$ .

Assume that Condition 1) is not satisfied. Hence there exists an atom  $p$  such that, without loss of generality,  $\mathbf{M}_1, (x_1, \alpha_1) \models p$  and  $\mathbf{M}_2, (x_2, \alpha_2) \not\models p$ . Therefore not  $(x_1, \alpha_1) \rightsquigarrow (x_2, \alpha_2)$ : a contradiction.

Assume that Condition 2) is not satisfied. Thus  $(x_1, \alpha_1) \rightsquigarrow (x_2, \alpha_2)$  and not  $(x_1, t) \rightsquigarrow (x_2, t)$ . Assume, without loss of generality, that there exists a formula  $\varphi$  such that  $\mathbf{M}_1,$

$(x_1, t) \models \varphi$  and  $\mathbf{M}_2, (x_2, t) \not\models \varphi$ . Thus  $\mathbf{M}_1, (x_1, \alpha_1) \not\models \neg\varphi$  and  $\mathbf{M}_2, (x_2, \alpha_2) \models \neg\varphi$ : a contradiction.

Assume that Condition 3) is not satisfied: Then  $(x_1, \alpha_1) \rightsquigarrow (x_2, \alpha_2)$  and there exists  $y_1 \in W_1$  such that  $x_1 R_1 y_1$  and for all  $y_2 \in W_2$ , if  $x_2 R_2 y_2$  then not  $(y_1, \alpha_1) \rightsquigarrow (y_2, \alpha_2)$  and not  $(y_1, t) \rightsquigarrow (y_2, \alpha_2)$ . Let  $R_2(x_2) \stackrel{def}{=} \{(y_2, \alpha_2) \in D_2 \mid x_2 R_2 y_2\}$ . Since for all  $y_2 \in W_2$ , if  $x_2 R_2 y_2$  then not  $(y_1, \alpha_1) \rightsquigarrow (y_2, \alpha_2)$  and not  $(y_1, t) \rightsquigarrow (y_2, \alpha_2)$ , there exist  $I, J \subseteq R_2(x_2)$  and for all  $(y_2, \alpha_2) \in R_2(x_2)$  there exist formulas  $\varphi(y_2, \alpha_2)$  and  $\psi(y_2, \alpha_2)$  such that

- 1)  $\mathbf{M}_1, (y_1, \alpha_1) \models \varphi(y_2, \alpha_2)$  and  $\mathbf{M}_2, (y_2, \alpha_2) \not\models \varphi(y_2, \alpha_2)$  if  $(y_2, \alpha_2) \in I$ ;
- 2)  $\mathbf{M}_1, (y_1, \alpha_1) \not\models \varphi(y_2, \alpha_2)$  and  $\mathbf{M}_2, (y_2, \alpha_2) \models \varphi(y_2, \alpha_2)$  if  $(y_2, \alpha_2) \in \bar{I}$ ;
- 3)  $\mathbf{M}_1, (y_1, t) \models \psi(y_2, \alpha_2)$  and  $\mathbf{M}_2, (y_2, \alpha_2) \not\models \psi(y_2, \alpha_2)$  if  $(y_2, \alpha_2) \in J$ ;
- 4)  $\mathbf{M}_1, (y_1, t) \not\models \psi(y_2, \alpha_2)$  and  $\mathbf{M}_2, (y_2, \alpha_2) \models \psi(y_2, \alpha_2)$  if  $(y_2, \alpha_2) \in \bar{J}$ .

Let us define  $\chi(y_2, \alpha_2)$  as the following formula:

$$\chi(y_2, \alpha_2) = \begin{cases} \varphi(y_2, \alpha_2) & \text{if } (y_2, \alpha_2) \in I; \\ \varphi(y_2, \alpha_2) \rightarrow \psi(y_2, \alpha_2) & \text{if } (y_2, \alpha_2) \in \bar{I} \cap J; \\ \neg\psi(y_2, \alpha_2) & \text{if } (y_2, \alpha_2) \in \bar{I} \cap \bar{J}. \end{cases}$$

It follows that  $\mathbf{M}_1, (y_1, \alpha_1) \models \chi(y_2, \alpha_2)$  and  $\mathbf{M}_2, (y_2, \alpha_2) \not\models \chi(y_2, \alpha_2)$ , for all  $(y_2, \alpha_2) \in R_2(x_2)$ . Therefore

$$\mathbf{M}_1, (x_1, \alpha_1) \models \diamond \bigwedge_{(y_2, \alpha_2) \in R_2(x_2)} \chi(y_2, \alpha_2)$$

while

$$\mathbf{M}_2, (x_2, \alpha_2) \not\models \diamond \bigwedge_{(y_2, \alpha_2) \in R_2(x_2)} \chi(y_2, \alpha_2) :$$

a contradiction.

The proof for Condition 4) is similar to the proof of Condition 3)

Assume that Condition 5) is not satisfied: Then  $(x_1, \alpha_1) \rightsquigarrow (x_2, \alpha_2)$  and there exists  $y_2 \in W_2$  such that  $x_2 R_1 y_2$  and for all  $y_1 \in W_1$ , if  $x_1 R_1 y_1$  then not  $(y_1, \alpha_1) \rightsquigarrow (y_2, \alpha_2)$  and not  $(y_1, t) \rightsquigarrow (y_2, \alpha_2)$ . Let  $R_1(x_1) \stackrel{def}{=} \{(y_1, \alpha_1) \in D_1 \mid x_1 R_1 y_1\}$ . Since for all  $y_1 \in W_1$ , if  $x_1 R_1 y_1$  then not  $(y_1, \alpha_1) \rightsquigarrow (y_2, \alpha_2)$  and not  $(y_1, t) \rightsquigarrow (y_2, \alpha_2)$ , there exist  $I, J \subseteq R_1(x_1)$  and for all  $(y_1, \alpha_1) \in R_1(x_1)$  there exist formulas  $\varphi(y_1, \alpha_1)$  and  $\psi(y_1, \alpha_1)$  such that

- 1)  $\mathbf{M}_1, (y_1, \alpha_1) \models \varphi(y_1, \alpha_1)$  and  $\mathbf{M}_2, (y_2, \alpha_2) \not\models \varphi(y_1, \alpha_1)$  if  $(y_1, \alpha_1) \in I$ ;

- 2)  $\mathbf{M}_1, (y_1, \alpha_1) \not\models \varphi(y_1, \alpha_1)$  and  $\mathbf{M}_2, (y_2, \alpha_2) \models \varphi(y_1, \alpha_1)$  if  $(y_1, \alpha_1) \in \bar{I}$ ;
- 3)  $\mathbf{M}_1, (y_1, t) \models \psi(y_1, \alpha_1)$  and  $\mathbf{M}_2, (y_2, \alpha_2) \not\models \psi(y_1, \alpha_1)$  if  $(y_1, \alpha_1) \in J$ ;
- 4)  $\mathbf{M}_1, (y_1, t) \not\models \psi(y_1, \alpha_1)$  and  $\mathbf{M}_2, (y_2, \alpha_2) \models \psi(y_1, \alpha_1)$  if  $(y_1, \alpha_1) \in \bar{J}$ .

Let us define  $\chi(y_1, \alpha_1)$  as the following formula:

$$\chi(y_1, \alpha_1) \stackrel{def}{=} \begin{cases} \varphi(y_1, \alpha_1) & \text{if } (y_1, \alpha_1) \in I; \\ \varphi(y_1, \alpha_1) \rightarrow \psi(y_1, \alpha_1) & \text{if } (y_1, \alpha_1) \in \bar{I} \cap J; \\ \neg\psi(y_1, \alpha_1) & \text{if } (y_1, \alpha_1) \in \bar{I} \cap \bar{J}. \end{cases}$$

It follows that  $\mathbf{M}_1, (y_1, \alpha_1) \models \chi(y_1, \alpha_1)$  and  $\mathbf{M}_2, (y_2, \alpha_2) \not\models \chi(y_1, \alpha_1)$ , for all  $(y_1, \alpha_1) \in R_1(x_1)$ . Therefore

$$\mathbf{M}_1, (x_1, \alpha_1) \models \diamond \bigwedge_{(y_1, \alpha_1) \in R_1(x_1)} \chi(y_1, \alpha_1)$$

while

$$\mathbf{M}_2, (x_2, \alpha_2) \not\models \diamond \bigwedge_{(y_1, \alpha_1) \in R_1(x_1)} \chi(y_1, \alpha_1) :$$

a contradiction.

The proof for Condition 6) is similar to the proof for Condition 5). □

Remark how the formulas defining  $\chi$  above are related to the Hosoi Axiom  $p \vee (p \rightarrow q) \vee \neg q$ .

## 7 Strong equivalence property

Pearce's Equilibrium logic [33] is the best-known logical characterization of the stable models semantics [23] and of Answer Sets [7]. It is defined in terms of the monotonic logic of Here and There [34] (HT) plus a minimisation criterion among the given models. This simple definition led to several modal extensions of *Answer Set Programming* [11, 16]. All these extensions have their roots in the corresponding modal extensions of HT-logic defined as the combination of propositional HT and any modal logic [22] that play an important role in the proof of several interesting properties of the resulting formalisms such as *strong equivalence* [10, 16, 29] and the complexity [5, 9]. Although the modal extensions of the HT-logic have been studied only in concrete cases such as the Linear Time Temporal *KHT*-logic [2], the lack of a general theory that allows defining such modal HT extension as well as extending the concept of equilibrium model to modal case caught our attention. In this section, we define the concept of pointed equilibrium model and prove the associated theorem of strong equivalence.

Let  $\mathbf{M} = \langle W, R, H, T \rangle$  be a *KHT* model. A *KHT pointed model* is a pair  $(\mathbf{M}, x)$  where  $x \in W$ .  $(\mathbf{M}, x)$  is said to be *total* if  $H = T$ . Moreover, by the expression  $H <_k^x T$  we mean that there exists  $y \in W$  and  $0 \leq k$  such that  $xR^k y$  and  $H(y) \neq T(y)$ . A total *KHT* pointed model  $(\mathbf{M}, x)$  is a *pointed equilibrium model* of a formula  $\varphi$  if

- 1)  $\mathbf{M}, (x, h) \models \varphi$ ;
- 2) For all *KHT* models  $\mathbf{M}' = \langle W, R, H', T \rangle^3$  and for all  $0 \leq k \leq \text{deg}(\varphi)$  if  $H' <_k^x T$  then  $\mathbf{M}', (x, h) \not\models \varphi$ .

As an example, let us consider the three models displayed in Figure 3.  $(\mathbf{M}_1, x)$ ,  $(\mathbf{M}_2, x)$  and  $(\mathbf{M}_3, x)$  correspond to three different *KHT* pointed models of the formula  $\diamond p$ . For each Kripke world, the “here” component is represented with the subscript  $h$  and the “there” part is done by the subscript  $t$ . While  $(\mathbf{M}_1, x)$  is a pointed equilibrium model (i.e. it is total and minimal with respect to  $<_0^x$  and  $<_1^x$ ,  $\mathbf{M}_2$  is not. Although  $(\mathbf{M}_2, x)$  is a total *KHT* pointed model satisfying  $\diamond p$ , it does not satisfy Condition 2) being  $(\mathbf{M}_3, x)$  a counterexample.

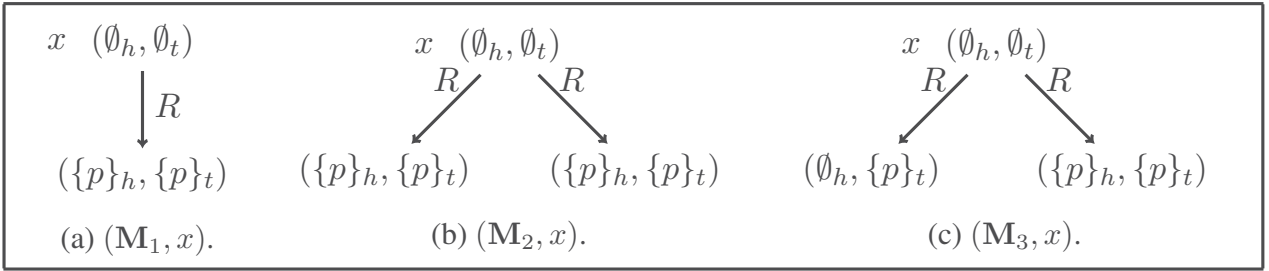


Figure 3: Three *KHT* pointed models satisfying  $\diamond p$ .

**Lemma 23.** Let  $\mathbf{M} = \langle W, R, H, T \rangle$  be a *KHT* model and let  $\widehat{\mathbf{M}} = \langle W, R, T, T \rangle$  denote its corresponding total model. Let  $\Gamma_0 \stackrel{\text{def}}{=} \{\Box^k (p \vee \neg p) \mid 0 \leq k \text{ and } p \in \text{VAR}\}$  be a theory. For all *KHT* pointed models  $(\mathbf{M}, x)$ ,  $\mathbf{M}, (x, h) \models \Gamma_0$  iff for all  $k \geq 0$ ,  $H \not<_k^x T$ .

*Proof.* From left to right, assume by contradiction that  $H <_k^x T$  for some  $k \geq 0$ . Therefore, there exists  $y \in W$  such that  $xR^k y$  and  $H(y) \neq T(y)$ . Let  $p \in \text{VAR}$  be such that  $p \in (T(y) \setminus H(y))$ . It can be checked that  $\mathbf{M}, (y, h) \not\models (p \vee \neg p)$ . Since  $xR^k y$  we get  $\mathbf{M}, (x, h) \not\models \Box^k (p \vee \neg p)$ . As a consequence,  $\mathbf{M}, (x, h) \not\models \Gamma_0$ : a contradiction. Conversely, assume that  $\mathbf{M}, (x, h) \not\models \Gamma_0$ , so  $\mathbf{M}, x \not\models \Box^k (p \vee \neg p)$  for some  $0 \leq k$ . This means that there exists  $y \in W$  such that  $xR^k y$  and  $\mathbf{M}, (y, h) \not\models p \vee \neg p$ , so  $H(y) \neq T(y)$ . Therefore,  $H <_k^x T$ : a contradiction.  $\square$

<sup>3</sup>Note that  $\mathbf{M}'$  differs from  $\mathbf{M}$  only in the valuation of the “here” component.



Two theories  $\Gamma_1$  and  $\Gamma_2$  are *KHT-equivalent* (in symbols  $\Gamma_1 \equiv_{KHT} \Gamma_2$ ) if they have the same *KHT* pointed models. A total *KHT* pointed model  $(\mathbf{M}, x)$  is a *pointed equilibrium model* of a theory  $\Gamma$  if

- 1)  $\mathbf{M}, (x, h) \models \Gamma$ ;
- 2) For all *KHT* models  $\mathbf{M}' = \langle W, R, H', T \rangle$  and for all  $0 \leq k \leq \text{deg}(\Gamma)$ , if  $H' <_k^x T$  then  $\mathbf{M}', (x, h) \not\models \Gamma$ .

When dealing with non-monotonicity the relation of equivalence between theories depends on the context where they are considered. We say that two theories  $\Gamma_1$  and  $\Gamma_2$  are *strongly equivalent* [29] (in symbols  $\Gamma_1 \equiv_s \Gamma_2$ ) if for all theories  $\Gamma$ ,  $\Gamma_1 \cup \Gamma$  and  $\Gamma_2 \cup \Gamma$  have the same pointed equilibrium models.

**Proposition 8.** *For all theories  $\Gamma_1$  and  $\Gamma_2$  such that  $\text{deg}(\Gamma_1) = \text{deg}(\Gamma_2)$ ,  $\Gamma_1 \equiv_s \Gamma_2$  iff  $\Gamma_1 \equiv_{KHT} \Gamma_2$ .*

*Proof.* From right to left, suppose  $\Gamma_1 \equiv_{KHT} \Gamma_2$  and let  $\Gamma$  be an arbitrary theory. Consequently,  $(\Gamma_1 \cup \Gamma) \equiv_{KHT} (\Gamma_2 \cup \Gamma)$  so  $\Gamma_1 \cup \Gamma$  and  $\Gamma_2 \cup \Gamma$  have the same pointed equilibrium models.

From left to right, suppose that  $\Gamma_1$  and  $\Gamma_2$  are strongly equivalent but they are not *KHT*-equivalent. Let  $\Gamma_0 \stackrel{\text{def}}{=} \{\Box^k (p \vee \neg p) \mid 0 \leq k \text{ and } p \in \text{VAR}\}$ .

- **First case:**  $\Gamma_1$  and  $\Gamma_2$  are not *K*-equivalent. Without loss of generality, there exists a total *KHT* pointed model  $(\mathbf{M}, x)$ , with  $\mathbf{M} = \langle W, R, T, T \rangle$ , such that  $\mathbf{M}, (x, h) \models \Gamma_1$  but  $\mathbf{M}, (x, h) \not\models \Gamma_2$ . It can be checked that  $(\mathbf{M}, x)$  is a pointed equilibrium model of  $\Gamma_1 \cup \Gamma_0$  but not of  $\Gamma_2 \cup \Gamma_0$ .
- **Second case:**  $\Gamma_1$  and  $\Gamma_2$  are *K*-equivalent. Therefore, without loss of generality, there exists a *KHT* model  $\mathbf{M} = \langle W, R, H, T \rangle$  (whose corresponding total model is denoted by  $\widehat{\mathbf{M}} = \langle W, R, T, T \rangle$ ) and  $x \in W$  such that

- (1)  $\mathbf{M}, (x, t) \models \Gamma_1$  iff  $\mathbf{M}, (x, t) \models \Gamma_2$  because both  $\Gamma_1$  and  $\Gamma_2$  are *K*-equivalent;
- (2)  $\mathbf{M}, (x, h) \models \Gamma_1$  and  $\mathbf{M}, (x, h) \not\models \Gamma_2$  because  $\Gamma_1$  and  $\Gamma_2$  are not *KHT*-equivalent;

Since  $\mathbf{M}, (x, h) \not\models \Gamma_2$ , there exists  $\varphi \in \Gamma_2$  such that  $\mathbf{M}, (x, h) \not\models \varphi$ . Since  $\mathbf{M}, (x, t) \models \Gamma_2$  then  $\mathbf{M}, (x, t) \models \varphi$ . Since  $\mathbf{M}, (x, h) \not\models \varphi$ , therefore, there exists  $0 \leq k \leq \text{deg}(\varphi)$  such that  $H <_k^x T$ . Let  $\Gamma \stackrel{\text{def}}{=} \{\varphi \rightarrow \psi \mid \psi \in \Gamma_0\}$ . Note that  $\mathbf{M}, (x, h) \models \Gamma_1 \cup \Gamma$  since  $\mathbf{M}, (x, h) \models \Gamma_1$ ,  $\mathbf{M}, (x, h) \not\models \varphi$  and  $\mathbf{M}, (x, t) \models \Gamma_0$ . By Lemma 1,  $\widehat{\mathbf{M}}, (x, h) \models \Gamma_1 \cup \Gamma$ . All in all, we know that:  $\mathbf{M}, (x, h) \models \Gamma_1 \cup \Gamma$ ,

$\widehat{\mathbf{M}}, (x, h) \models \Gamma_1 \cup \Gamma$  and  $H <_k^x T$ , where  $k \leq \text{deg}(\Gamma_1 \cup \Gamma)$ . As a result,  $(\widehat{\mathbf{M}}, x)$  is not a pointed equilibrium model of  $\Gamma_1 \cup \Gamma$ . Since  $\Gamma_1$  and  $\Gamma_2$  are strongly equivalent,  $(\widehat{\mathbf{M}}, x)$  is not a pointed equilibrium model of  $\Gamma_2 \cup \Gamma$ . Since  $\Gamma_1$  and  $\Gamma_2$  are  $K$ -equivalent,  $\Gamma_1 \cup \Gamma$  and  $\Gamma_2 \cup \Gamma$  are  $K$ -equivalent. Hence,  $\widehat{\mathbf{M}}, (x, h) \models \Gamma_2 \cup \Gamma$ . Since  $(\widehat{\mathbf{M}}, x)$  is not a pointed equilibrium model of  $\Gamma_2 \cup \Gamma$ , there exists  $\mathbf{M}' = \langle W, R, H', T \rangle$  and  $0 \leq k' \leq \text{deg}(\Gamma_2 \cup \Gamma)$  such that  $H' <_{k'}^x T$  and  $\mathbf{M}', (x, h) \models \Gamma_2 \cup \Gamma$ . However, from  $\mathbf{M}', (x, h) \models \Gamma_2 \cup \Gamma$  and the fact that  $\varphi \in \Gamma_2$ , it follows that  $\mathbf{M}', (x, h) \models \Gamma_0$ . Thus, by Lemma 23,  $H' <_{k'}^x T$ : a contradiction. □

The theorem plays an important role in the area of Answer Set Programming [7] since it allows, under ASP semantics, to exchange two logic programs (or theories) regardless the context in which they are considered. This theorem also justifies the use of  $KHT$  as a monotonic basis supporting non-monotonicity.

## 8 Conclusion

In this paper, we have introduced and studied a combination of the logic of Here and There and modal logic for which we have obtained several results such as non-interdefinability of modal operators, complexity of the satisfiability problem, finite model property or axiomatisation. However, there is still a lot of open lines of research we want to study:

- 1) Other combinations of the logic of Here and There and modal logic:  $S4 - HT$ ,  $PDL - HT$ ,  $CTL - HT$ , etc;
- 2) Decision procedures, based on tableau methods, for the aforementioned logics;
- 3) Van Benthem characterisation theorem [3] for combinations of the logic of Here and There with modal logic;
- 4) An expressive completeness result similar to Kamp's result [21] in the setting of  $LTL-HT$ .
- 5) The logic FS was defined by G. Fisher Servi so that  $\varphi \in FS$  iff the standard translation  $ST_x(\varphi)$  is a tautology of first order intuitionistic logic. Checking if the same relation holds in the Here and There case will be considered in the near future.

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