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# Absorption Spectroscopy of Solid-Phase Fullerene C<sub>60</sub> between 1.65 and 2.78 $\mu\text{m}$

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## Abstract

Fullerenes are the largest molecules identified so far in the interstellar medium. The spectroscopic properties of  $C_{60}$  and its charge variants, have been extensively studied in the mid-infrared, near ultraviolet and visible regions. On the other hand, the near infrared (NIR) spectral region has remained relatively unexplored, in particular for neutral  $C_{60}$ . Here we report the first measurements of the absorption spectra of solid  $C_{60}$  from 6052 to 3596  $\text{cm}^{-1}$  (from 1.65 to 2.78  $\mu\text{m}$ ) recorded over the 11 to 300 K temperature range. NIR spectra of  $C_{60}$  microcrystals embedded in solid KBr were measured using a low temperature helium cryocooling setup coupled to a Fourier transform infrared spectrometer. The observed spectra of  $C_{60}$  from 1.65 to 2.78  $\mu\text{m}$  show highly structured absorption features. Compared to isolated molecules, the lower symmetry of  $C_{60}$  microcrystals optically activates many fundamental vibrational modes which combine (up to 4 quanta) and contribute to the rich observed spectrum. Quantum chemical calculations and group theoretical analysis were performed for a tentative assignment of the observed combination and overtone bands to the calculated transitions of both regions by identifying the IR active  $T_{1u}$  symmetries. 3895 combination and overtone transitions were calculated in the region between 1.65 and 2.78  $\mu\text{m}$  amongst which 1862 transitions belong to the IR active  $T_{1u}$  symmetry, expected to be observed in the absorption spectrum of gas-phase  $C_{60}$ . This work offers some guidance for future low temperature gas-phase NIR absorption spectroscopic studies of  $C_{60}$ . Measurements of vibrational overtone bands of  $C_{60}$  are extremely timely for the identification of the carriers of NIR DIBs (Diffuse Interstellar Bands) in the context of the upcoming launch of the James Webb Space Telescope.

## Keywords

Fullerene, Infrared spectroscopy, Astrochemistry, Quantum Chemistry, Diffuse interstellar bands

# 1 Introduction

Fullerenes were discovered in laboratory experiments using laser-induced vaporization of graphite, aimed at understanding how long carbon chains could form in the circumstellar envelope of dying stars.<sup>1</sup> Over the last decades, fullerenes and their derivatives have been the subject of intense research, as much for their chemical and physical properties as for their technological applications. Following bulk-production of fullerenes by a method developed by Krätschmer et al.<sup>2</sup>, major efforts have been made to investigate the spectroscopic fingerprints of C<sub>60</sub>, especially in the mid-infrared. In the solid-phase, absorption studies have been conducted by embedding C<sub>60</sub> crystals in KBr<sup>3</sup> or in films<sup>4,5</sup> maintained at low temperature. Some experiments in which C<sub>60</sub> was trapped in a rare-gas matrix have also been performed. Fulara et al.<sup>6</sup> reported the IR spectrum of C<sub>60</sub> isolated in a Ne matrix. Sogoshi et al.<sup>7</sup> performed some experiments in a para-hydrogen matrix while more recently Wakabayashi et al.<sup>8</sup> reported the IR spectra of isotopically substituted C<sub>60</sub> in a para-hydrogen matrix. In the gas-phase, absorption measurements of neutral C<sub>60</sub> were hindered by the huge vibrational partition function of C<sub>60</sub>, even at room temperature,<sup>9</sup> that limits the signal from the ground state. It is only very recently that Changala et al.<sup>10</sup> managed to successfully record the IR absorption spectrum at 8.5 μm of cold C<sub>60</sub> in the gas-phase using a collisional cooling cell coupled with frequency comb spectroscopy. The gas-phase IR spectra of C<sub>60</sub> was also recorded in emission by Nemes et al.<sup>11</sup> over the 879-1212 K temperature range and to date, this is the only available broadband gas-phase data for neutral C<sub>60</sub>. In parallel to the experiments, a few theoretical harmonic frequency calculations on C<sub>60</sub> were performed, primarily focusing on the mid-infrared region.<sup>12,13</sup> However, no attempt has been made for a complete anharmonic frequency calculation of C<sub>60</sub> including various resonances even in the mid-infrared region.

In 2010, twenty-five years after their accidental discovery during laboratory astrophysics experiments, their presence in space was finally observed. The fullerenes C<sub>60</sub> and C<sub>70</sub> were identified in the Tc1 planetary nebula<sup>14,15</sup> and the NGC 7023 and 2023 reflection nebu-

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3 lae<sup>16</sup> through their mid-infrared emission features at 7.0, 8.5, 17.4 and 18.9  $\mu\text{m}$ . Since  
4 then, fullerenes have been detected in various astronomical objects ranging from diffuse  
5 clouds,<sup>17</sup> evolved stars,<sup>15,18–21</sup> star forming regions<sup>22,23</sup> to photodissociation regions.<sup>24</sup> In  
6 spite of these series of positive detections, their presence remains rare. It is estimated that  
7 only a small fraction of gas-phase carbon is locked in the form of  $\text{C}_{60}$  fullerene in the inter-  
8 stellar medium (ISM).<sup>17</sup> Notably, the physical and chemical routes leading to the formation  
9 of fullerenes, which include bottom-up and top-down pathways, remain open to debate.<sup>25–27</sup>  
10 Photo-chemistry,<sup>28</sup> photo-dynamics<sup>29</sup> but also shock processing<sup>30,31</sup> studies of  $\text{C}_{60}$  aim to  
11 shed light on this issue.

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21 Recently, the presence of  $\text{C}_{60}^+$  was firmly established in diffuse clouds through an ob-  
22 served match between its electronic spectrum and a few DIBs.<sup>32,33</sup> The approach was based  
23 on the photo-fragmentation of  $\text{C}_{60}^+ - \text{He}$  clusters confined in a cryogenic radiofrequency mul-  
24 tipole ion trap. This work confirmed the hypothesis proposed by Foing and Ehrenfreund<sup>34</sup>  
25 based on low-temperature Ne matrix-isolation spectra obtained by Fulara et al.<sup>6</sup>. DIBs are  
26 broad absorption features (with bandwidths varying between 2 and 80  $\text{cm}^{-1}$ ) predominantly  
27 covering the 0.4 to 0.9  $\mu\text{m}$  range in the spectra of reddened stars.<sup>35–38</sup> The original DIB  
28 spectral window has been progressively extended to the NIR range through several obser-  
29 vational studies.<sup>39–47</sup> Primarily driven by the PAH-DIB hypothesis,<sup>48,49</sup> the matrix isolated  
30 NIR spectra of a few ionic PAHs were recorded and several electronic transitions between 0.7  
31 and 2.5  $\mu\text{m}$  spectral range were measured.<sup>50</sup> Spectral shifts in the position of the rovibronic  
32 bands, induced by the interaction of the isolated PAHs with the matrix, however prevented  
33 decisive identification of the NIR DIBs observed by Cox et al.<sup>42</sup>. Barring electronic transi-  
34 tions, the NIR spectral region is also associated with higher order vibrational transitions<sup>51</sup>  
35 which have been less scrutinized in the context of laboratory astrophysics.

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51 The identification of  $\text{C}_{60}^+$  as the carrier of five electronic NIR DIBs inevitably raised the  
52 possibility of other fullerene species as potential DIB carriers. In an earlier study, García-  
53 Hernández and Díaz-Luis<sup>52</sup> searched for the three strong electronic transitions of  $\text{C}_{60}$  at  $\sim$   
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3 3760, 3980 and 4024 Å in two planetary nebulae (PNe) Tc1 and M 1-20 in proximity to  
4 the band positions measured in the laboratory in rare gas matrices at low temperatures.<sup>53</sup>  
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6 Surprisingly, none of the PNe showed the transitions in the expected wavelength range.  
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8 According to Cami<sup>54</sup>, neutral C<sub>60</sub> is unlikely a carrier of visible strong DIBs as this would  
9 require a too large fraction of cosmic carbon to be locked up in this species. The non-  
10 observation of C<sub>60</sub> did not however, question the fact that a significant fraction of this stable  
11 molecule is expected to be neutral. Bakes and Tielens<sup>55</sup> had inferred that in the diffuse ISM  
12 ~ 60% of C<sub>60</sub> is in the neutral form, ~ 10% is in the cationic and ~ 30% is in the anionic  
13 form. In a more recent work, Berné et al.<sup>17</sup> reported the observation of neutral C<sub>60</sub> in the  
14 diffuse ISM and in a star forming region using both emission and absorption measurements.  
15 They derived a neutral to ion ratio in between 0.15 and 2.  
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25 The major goal of this paper is to provide the first spectroscopic data of neutral C<sub>60</sub> from  
26 1.65 to 2.78 μm at various temperatures and to give a tentative assignment to the observed  
27 bands to the calculated transitions using quantum chemical calculations. This work paves the  
28 way for the future gas-phase study of C<sub>60</sub> using an ultra-sensitive absorption spectrometer  
29 coupled with a hypersonic source developed recently.<sup>56,57</sup>  
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35 The paper is structured as follows: in Section 2 we provide the experimental and theo-  
36 retical methodology employed in this work. In Section 3 we present our results and discuss  
37 them. In Section 4, we provide some insights for gas-phase spectroscopy of C<sub>60</sub> and discuss  
38 astrophysical implications. Finally, in Section 5 we wrap-up with conclusions.  
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## 45 2 Methodology

### 46 2.1 Experimental Details

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49 Fullerene C<sub>60</sub> used in this experiment was purchased from SES Research (99.5% purity) and  
50 was used without further purification. About 52.09 mg of C<sub>60</sub> was mixed with ~ 200 mg  
51 of KBr (FTIR grade 98%) in an agate mortar and was ground thoroughly for 15 minutes.  
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3 The mixture was put in a hydraulic press and was pressed under 10 tonnes of pressure for 5  
4 minutes to form a pellet. The diameter and thickness of the pellet was 12.76 mm and 0.85  
5 mm, respectively. The concentration of  $C_{60}$  in the pellet was  $6.66 \times 10^{-4}$  mol/cm<sup>3</sup>. A blank  
6 pellet containing  $\sim 200$  mg of KBr was prepared in the same way without  $C_{60}$  .  
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11 The infrared spectra of blank and  $C_{60}$  sample pellets were recorded from 6052 to 3596  
12 cm<sup>-1</sup> (1.65 to 2.78  $\mu$ m) with the ESPOIRS apparatus at the IRAP laboratory in Toulouse<sup>58,59</sup>  
13 from 11 to 300 K with an increasing temperature step of 50 K. A smaller temperature step  
14 from 11 to 300 K with an increasing temperature step of 50 K. A smaller temperature step  
15 size of 10 K was used near the phase transition temperature ( $\sim 260$  K) of  $C_{60}$ . The transmit-  
16 tance spectrum at each specific temperature was calculated by dividing the sample spectrum  
17 with the background spectrum at that temperature. The spectral resolution was kept at 4  
18 cm<sup>-1</sup> and the acquired interferograms were averaged over 256 scans to increase the signal-to-  
19 noise ratio. All spectra were processed using the OPUS suite of programs provided by Bruker  
20 optics. Local linear continua were subtracted from the observed spectra at each temperature  
21 using the same anchor points for regions I and II (see Figure 1).  
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31 The ESPOIRS setup consists of a Bruker Vertex 70 vacuum FTIR spectrometer equipped  
32 with multiple sample and detector compartments. For this experiment, a CaF<sub>2</sub> beamsplitter  
33 and a room temperature, deuterated triglycine sulfate (DLaTGS) detector was used. This  
34 setup is coupled with a helium cryo-cooling device. The optical bench of the spectrometer is  
35 pumped via a primary pump while the cryostat in which the sample was placed was pumped  
36 using a turbomolecular pump. The pressure inside the sample compartment was brought  
37 down to  $\sim 10^{-5}$  mbar before turning on the cryo-cooler. By lowering the temperature, the  
38 pressure dropped to  $10^{-7}$  mbar. The experimental temperature was set using a Lakeshore  
39 temperature controller.  
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## 51 2.2 Theory

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53 The icosahedral fullerene  $C_{60}$  molecule belongs to the  $I_h$  symmetry group and possesses 46  
54 normal modes of which only 4 are infrared active ( $T_{1u}$  symmetry), 10 are Raman active and  
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3 the remaining 32 are silent modes. However, in the crystal, the intermolecular interactions  
4 reduce the local symmetry of the fullerene cage, leading to very efficient activation of all the  
5 silent modes, that can then be seen both through infrared and Raman spectroscopy.<sup>60</sup> In  
6 addition, one has to consider the so-called isotopically activated modes. In natural fullerene,  
7 the abundance of the isotopologue  $^{13}\text{C}^{12}\text{C}_{59}$  is about 37.5%, which is far from being negligible.  
8 It has been demonstrated that the silent modes are also activated by the presence of the  $^{13}\text{C}$   
9 isotope in the molecule which reduces its symmetry from  $I_h$  to  $C_s$ .<sup>61</sup> Considering these two  
10 effects, we therefore decided to compute all the possible combination and overtone bands  
11 falling in the observed region as all of them can contribute to the infrared spectrum.  
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21 The Hessian matrix at the optimized geometry of  $\text{C}_{60}$  was calculated using the B3LYP  
22 level of theory in conjunction with the 6-311G\* basis set in the Cartesian framework. Di-  
23 agonalization of the mass-weighted Cartesian Hessian matrix gives the harmonic frequencies  
24 ( $\nu_{unscaled}$ ) as listed in Table S1 (cf. supporting information). Then, instead of considering  
25 the anharmonic effects by scaling the harmonic frequencies with some ad hoc scale factor, as  
26 done by Schettino et al.<sup>12</sup>, an inhouse python program<sup>62</sup> was employed to establish a linear  
27 regression between the calculated and the reported experimental frequencies<sup>5,12</sup> (cf. Table  
28 S1). For that, we used the assignment of the modes provided by Schettino et al.<sup>12</sup>. Con-  
29 sidering only the Raman ( $A_g$ ,  $H_g$ ) and infrared ( $T_{1u}$ ) active modes, our approach leads to a  
30 Root-Mean-Square (RMS) of  $4.8\text{ cm}^{-1}$ , very similar that of  $4.6\text{ cm}^{-1}$  obtained by Schettino  
31 et al.<sup>12</sup> However, our approach leads to a better RMS of  $5.5\text{ cm}^{-1}$  (versus  $6.6\text{ cm}^{-1}$  for Schet-  
32 tino et al.<sup>12</sup>) by considering the complete set of 46 fundamental modes (Raman, infrared  
33 and silent modes).  
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47 As the NIR spectrum of neutral  $\text{C}_{60}$  from  $1.65$  to  $2.78\ \mu\text{m}$  is dominated by the vibrational  
48 transitions due to  $\Delta v = 3$  and 4 quanta, we then computed all possible 3- and 4-quanta  
49 combination and overtone transitions in the ranges of  $6050$  to  $5565$  and  $4765$  to  $3596\text{ cm}^{-1}$  (cf.  
50 Table S2 and S3). We did not include in our calculation, the various anharmonic resonances  
51 such as Fermi and Darling Dennison resonances which can shift the interacting vibrational  
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bands by several  $\text{cm}^{-1}$ . We also did not calculate the different hot bands, negligible at very low temperatures though not at room temperature, for which the population of the lowest vibrational level located at  $264 \text{ cm}^{-1}$  is about 1.4 times greater than in the ground state.

In the gas-phase, the modes of  $\text{C}_{60}$  which are activated by the intermolecular interactions specific to the crystal will disappear. The isotopically activated modes will remain but their overall infrared intensity was estimated by Schettino et al.<sup>12</sup> to be only 3.4% that of the  $\text{T}_{1u}$  derived modes. This means that, in the framework of a future infrared spectroscopic study or detection of the  $\text{C}_{60}$  molecule in the gas-phase, it is important to distinguish the IR active modes which are dominating the infrared absorption spectrum, that is to say those which are characterized by at least one  $\text{T}_{1u}$  symmetry element. We performed a group theoretical analysis of the calculated combination modes of  $\text{T}_{1u}$  symmetry using a standard quantum chemical approach,<sup>63</sup> as listed in Tables S2 and S3. The theoretical methodologies have been described in detail in the supporting information.

### 3 Results and Discussion

#### 3.1 NIR spectrum of $\text{C}_{60}$

In Figure 1, we present the absorption spectra of  $\text{C}_{60}$  at 11 and 300 K ranging from 6052 to  $3596 \text{ cm}^{-1}$ . Strong and marked spectral features are noticed between 4765 and  $3596 \text{ cm}^{-1}$  (Region I) while several weak absorption features are distributed over the 6052 to  $5565 \text{ cm}^{-1}$  region (Region II). A quick look shows no significant change in the 11 and 300 K spectra. A closer observation however, reveals slightly sharper and more intense peaks at 11 K. A combination band of water is observed at  $5275 \text{ cm}^{-1}$  ( $1.89 \mu\text{m}$ ) (see Figure 1) reflecting a minor moisture contamination in the measured pellet.

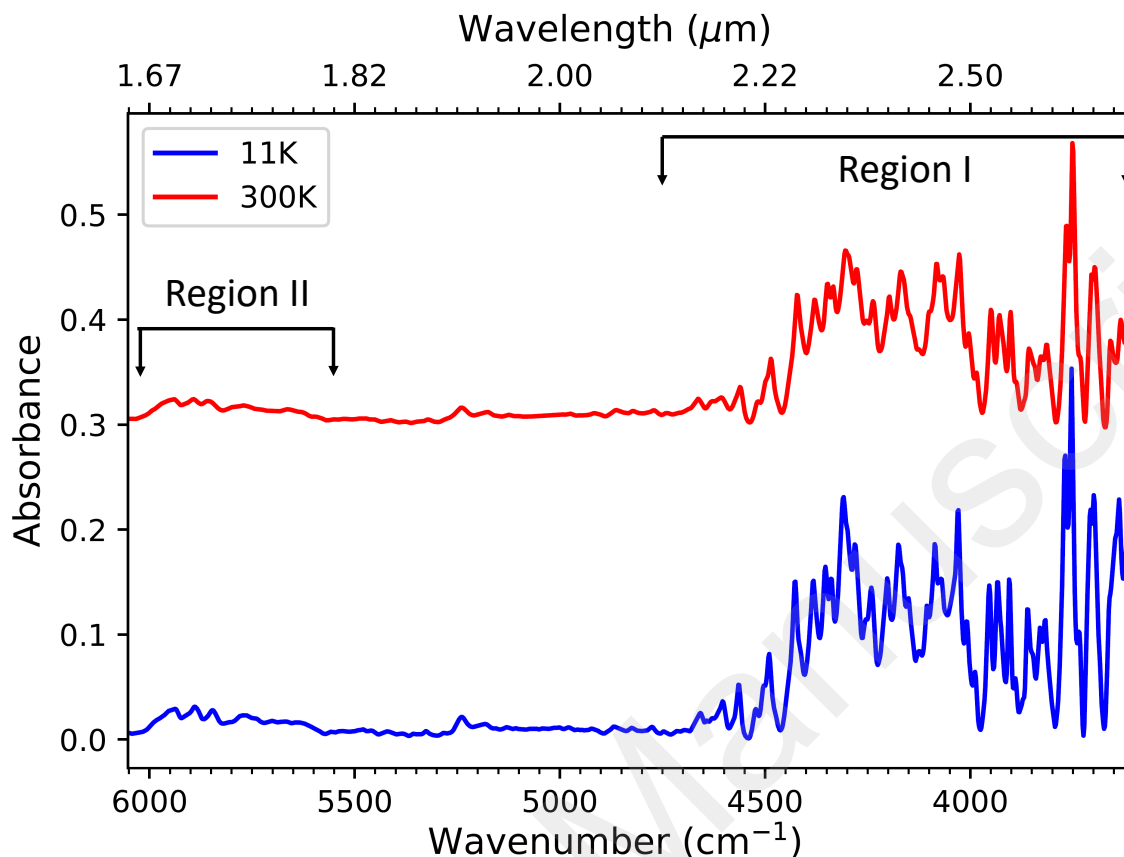


Figure 1: Variable temperature NIR spectra of  $C_{60}$  from 6052 to 3596  $\text{cm}^{-1}$  (from 1.65 to 2.78  $\mu\text{m}$ ). Region I and II correspond to the spectral range from 4765 to 3596 and from 6052 to 5565  $\text{cm}^{-1}$ , respectively.

In the left and right panels of Figure 2, we present the absorption spectra of  $C_{60}$  from 4765 to 3596 and from 6052 to 5565  $\text{cm}^{-1}$  ranging from 11 to 300 K, respectively. The observed spectra contain many peaks and shoulders. Bands of Region I are more structured than those in Region II, being comparatively less resolved with several humps and shoulders. The positions of the peak maxima of the bands of both regions and their relative absorbance values at 11 K are listed in Table 1. There are 39 distinct absorption bands in Region I. On the other hand, in Region II, three bands dominate at 5937.4, 5889.9 and 5846.6  $\text{cm}^{-1}$ . Apart from these three, six less isolated absorption features are observed below 5840  $\text{cm}^{-1}$ .

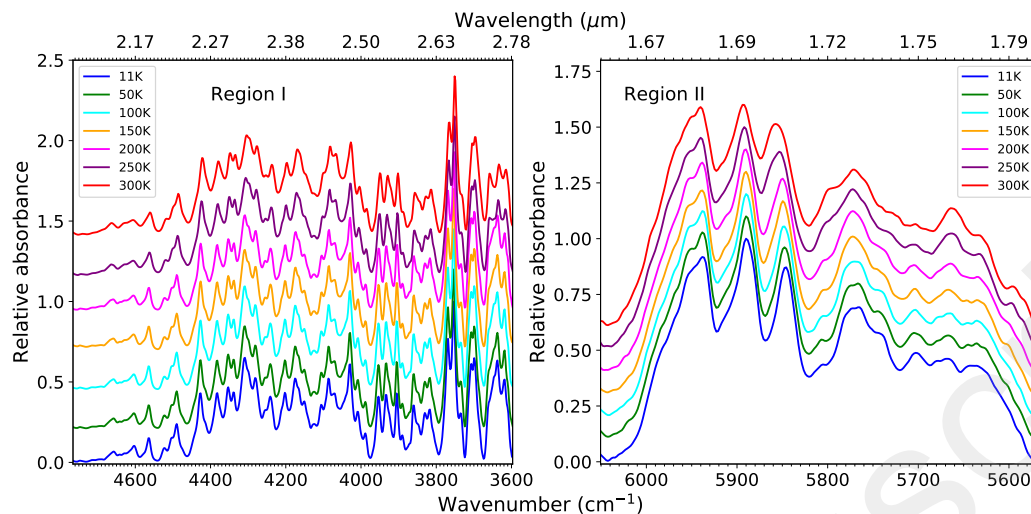


Figure 2: Variable temperature NIR spectra of  $C_{60}$  in Region I (from 4765 to 3596  $\text{cm}^{-1}$ ) and Region II (from 6052 to 5565  $\text{cm}^{-1}$ ) from 11 to 300 K. A vertical offset has been added to each spectrum for clarity. Enlarged spectra at 11 K for both region I and II are shown in Figs 3 and 4

**Table 1: Experimental band positions and their relative absorbances of  $C_{60}$  between 6052 and 3596  $\text{cm}^{-1}$  at 11 K.**

Region I												Region II					
No	Position ( $\text{cm}^{-1}$ )	Rel. Abs.	No	Position ( $\text{cm}^{-1}$ )	Rel. Abs.	No	Position ( $\text{cm}^{-1}$ )	Rel. Abs.	No	Position ( $\text{cm}^{-1}$ )	Rel. Abs.	No	Position ( $\text{cm}^{-1}$ )	Rel. Abs.	No	Position ( $\text{cm}^{-1}$ )	Rel. Abs.
1	4693.0	0.02	10	4353.2	0.5	19	4124.8	0.2	28	3904.9	0.4	37	3699.6	0.6	1	5937.4	0.9
2	4658.1	0.07	11	4338.8	0.4	20	4105.6	0.4	29	3891.1	0.2	38	3638.6	0.6	2	5889.9	1.0
3	4603.0	0.1	12	4309.4	0.7	21	4086.3	0.5	30	3860.1	0.4	39	3619.4	0.5	3	5846.6	0.9
4	4565.1	0.2	13	4281.0	0.5	22	4071.9	0.4	31	3830.1	0.3				4	5806.6	0.4
5	4523.3	0.09	14	4254.3	0.3	23	4030.2	0.6	32	3817.8	0.3				5	5769.1	0.7
6	4504.1	0.2	15	4241.4	0.4	24	4008.8	0.3	33	3769.1	0.8				6	5740.3	0.6
7	4490.7	0.3	16	4202.4	0.4	25	3988.9	0.2	34	3754.2	1.0				7	5702.8	0.5
8	4427.6	0.4	17	4175.1	0.5	26	3953.7	0.4	35	3735.9	0.3				8	5667.9	0.5
9	4382.7	0.5	18	4151.6	0.4	27	3933.3	0.4	36	3707.6	0.6				9	5637.8	0.4

### 3.2 Spectral interpretation of the bands in Regions I and II

Wang et al.<sup>4</sup> previously recorded the IR spectrum of a  $C_{60}$  film deposited on a KBr substrate at 300 K in the range from 4000 to 400  $\text{cm}^{-1}$ . To date, this is the only study where the higher order vibrational transitions (up to 2 quanta) of  $C_{60}$  have been assigned, though these assignments were questioned by the more recent study by Schettino et al.<sup>12</sup>. Along with the 4 IR active fundamental modes, they identified 98 two-quanta combination transitions in the 4000-400  $\text{cm}^{-1}$  range. They simulated two bands at 4287.6 and 3548.7  $\text{cm}^{-1}$  that were

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3 assigned to the third overtone of the two highest frequency IR active fundamental modes  
4 of  $C_{60}$  belonging to  $T_{1u}$  symmetry. However, they did not observe any bands above 3500  
5  $cm^{-1}$ . In contrast, we could observe 15 peaks between 3500 and 4000  $cm^{-1}$ , probably due  
6 to a better signal-to-noise ratio.  
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11 Each of the clearly identifiable peaks observed in our laboratory spectra is in fact made  
12 up of dozens of transitions connecting the vibrational ground state and the thermally popu-  
13 lated excited vibrational states to many upper combination and overtone vibrational modes,  
14 eventually interacting via Fermi or Darling-Dennison resonances. These transitions overlap  
15 to form a “global” peak which cannot be interpreted simply on the sole basis of a calculation  
16 of the position of the vibrational energy levels and without taking into account the intensities  
17 of the different transitions involved. In order to comment on the intensity, it is important  
18 to include the higher order dipole derivatives of the Taylor series expansion of the dipole  
19 moment surface. This is a huge task which is beyond the scope of the present work. In  
20 Figures 3 and 4 we present however, the calculated cold band positions for Regions I and II,  
21 together with the experimental spectrum of  $C_{60}$  recorded at 11 K for which the contribution  
22 of the hot bands is totally absent. These calculations do not reveal any particular grouping  
23 of energy levels in packets which could explain the presence of the well identified absorption  
24 peaks. Indeed, accidental vibrational degeneracies are responsible for the vibrational polyad  
25 structure of highly symmetric molecules, such as methane for example.  
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41 Our calculations show that the bands appearing between 1.65 and 2.78  $\mu m$  must have  
42 at least 3- or 4-quanta states. Due to its lower energy, Region I is populated with both  
43 3 and 4 quanta states. Since the oscillator strengths of transitions starting from 3-quanta  
44 states are higher than that starting from 4-quanta states, we presume that the observed  
45 bands in Region I are primarily due to 3-quanta transitions. For Region II, our calculations  
46 predict only 4-quanta transitions and nullify the possibility of 3-quanta states due to energy  
47 mismatch. However, there could be 5-quanta states in Region II but the associated oscillator  
48 strengths will be too weak to show up in this region. We simulated a total of 2098 3-quanta  
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3 and 1797 4-quanta transitions in Region I and II, respectively and these are marked with  
4 green vertical lines in Figures 3 and 4. In total, our calculation predicts 3895 transitions in  
5 the spectral range from 1.65 to 2.78  $\mu\text{m}$   
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9 Surprisingly, the overall shape of the spectrum hardly changes from 11 to 300 K while  
10 the contribution of a large number of hot bands is expected at room temperature. Amongst  
11 the 46 normal modes of  $\text{C}_{60}$ , 7 of them have vibration frequencies below  $500\text{ cm}^{-1}$  and  
12 should contribute significantly to the room temperature spectrum. A closer look reveals  
13 that the positions of the peaks actually evolve with temperature, either towards low or high  
14 wavenumbers depending on the bands. In the supporting information we report the evolution  
15 of a few peaks with temperature. At room temperature, the main peaks are broader while  
16 their amplitude is smaller. The peak broadening results in the overlapping contribution of  
17 hot bands shifted by vibrational anharmonicities, while the smaller amplitude relates to the  
18 population of the ground state which is smaller at room temperature.  
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22 Interestingly, we observe a more pronounced shift for a number of peaks at 260 K which  
23 nicely confirms the observation of Narasimhan et al.<sup>64</sup> and van Loosdrecht et al.<sup>65</sup>, being  
24 interpreted as due to a crystal structure change from the face-centered-cubic (*fcc*) to the  
25 simple cubic (*sc*) structure (from room to low temperature).  
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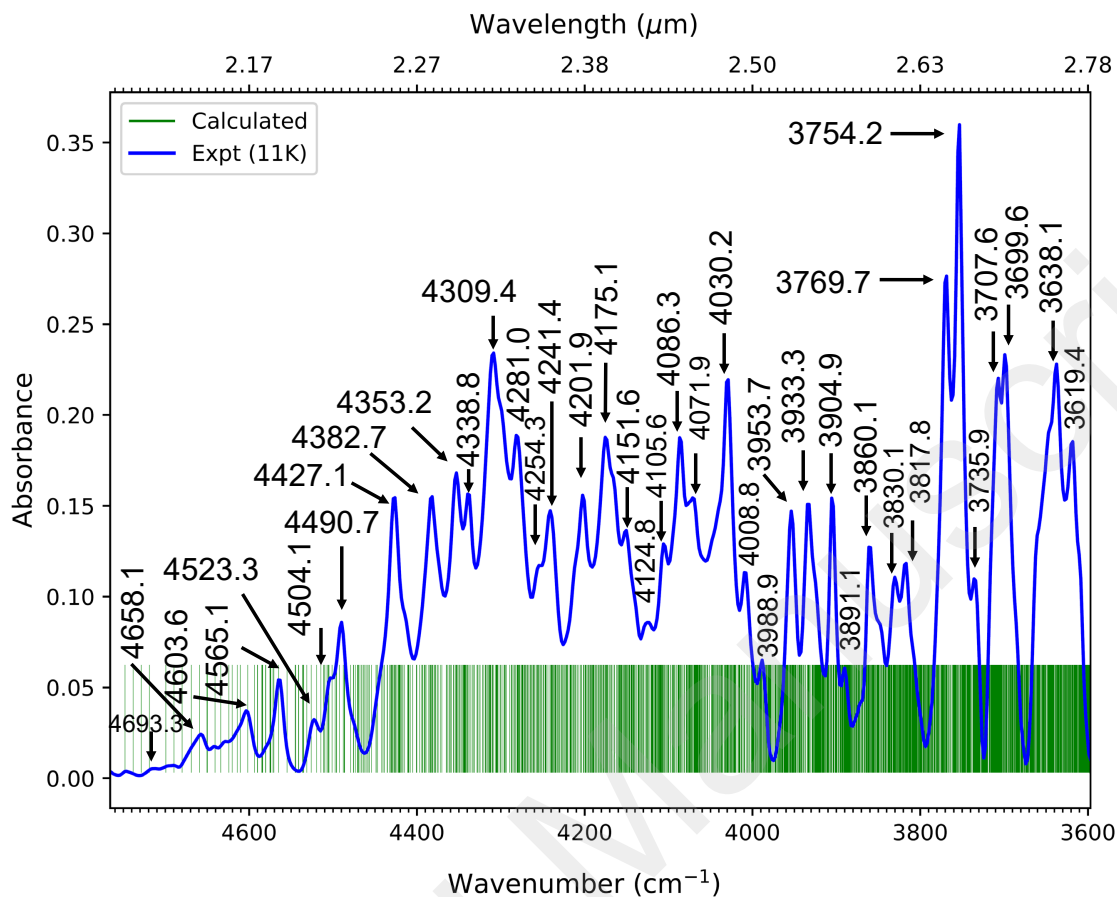


Figure 3: Experimental NIR spectrum of  $\text{C}_{60}$  at 11K together with the position of the combination and overtone bands computed at 0K and limited to 3 quanta. The green vertical lines show a total of 2098 combination and overtone transitions computed in this region. Peak positions at the band maximum are marked in the figure.

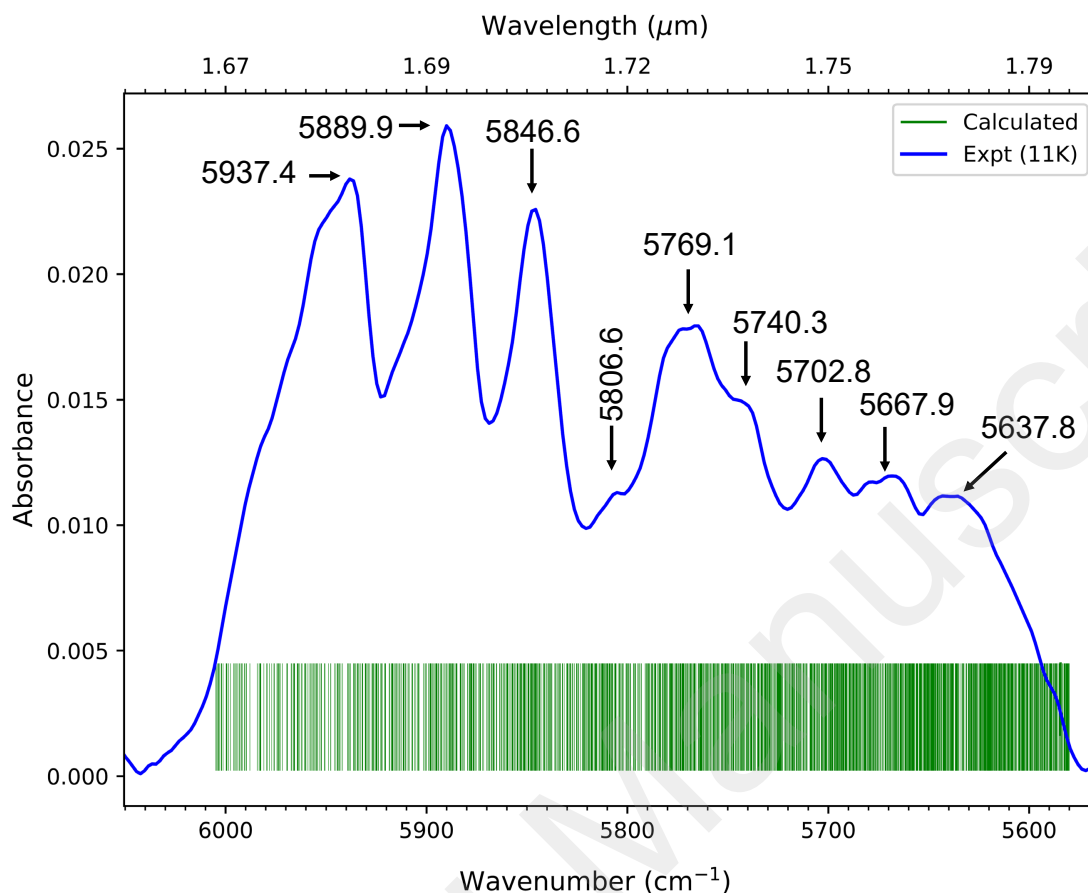


Figure 4: Experimental NIR spectrum of  $C_{60}$  at 11K together with the position of the combination and overtone bands computed at 0K and limited to 4 quanta. The green vertical lines show a total of 1797 combination and overtone transitions computed in this region. Peak positions at the band maximum are marked in the figure.

## 4 Insights for gas-phase spectroscopy of $C_{60}$ and astrophysical implications

A key issue for the detection of molecular  $C_{60}$  in the cold ISM in the NIR is how different the gas-phase spectra would be from the solid phase? So far, the infrared data recorded in the gas-phase is very scarce. Apart from the IR active mode at  $1180\text{ cm}^{-1}$  recorded recently by Changala et al.<sup>10</sup> at  $\sim 135\text{ K}$  by absorption spectroscopy the only study dealing with the gas-phase spectroscopy of  $C_{60}$  is that of Nemes et al.<sup>11</sup>. They observed the 4 IR active  $T_{1u}$

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3 modes at 1407.2, 1165.8, 570.0 and 527.5  $\text{cm}^{-1}$  by emission spectroscopy of  $\text{C}_{60}$  vapor heated  
4 to 1083 K. They also observed 14 additional weak features between 2170 and 951  $\text{cm}^{-1}$  but  
5 could not assign them. The weak feature they observed at 1538  $\text{cm}^{-1}$  has only been recently  
6 assigned to a 2-quanta combination transition involving an  $\text{H}_g$  fundamental mode at 772  
7  $\text{cm}^{-1}$  and a  $\text{G}_u$  fundamental mode at 764  $\text{cm}^{-1}$ .<sup>66,67</sup> None of these two modes are IR active,  
8 however their 2-quanta combination possesses a  $\text{T}_{1u}$  symmetry element and is therefore active  
9 in the infrared. In particular, this band was also observed in the astronomical spectrum of  
10  $\text{C}_{60}$  by Cami et al.<sup>14</sup>. Of very valuable help, the data produced by Nemes et al.<sup>11</sup> are  
11 nevertheless affected by a very significant contribution of hot bands responsible for a shift of  
12 several  $\text{cm}^{-1}$  of the peak maxima, as can be seen by comparing the values of their reported  
13  $\text{T}_{1u}$  active modes with those deduced from combined ab initio and solid phase studies.

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15 We believe that the solid phase spectra produced at very low temperature in this work  
16 can give some interesting insights for a detection of  $\text{C}_{60}$  in the gas-phase. What changes  
17 are going to take place from the solid to the gas-phase? Firstly, the weak transitions due to  
18 the silent modes activated by intermolecular interactions will disappear. Secondly, the peak  
19 splitting due to the removal of degeneracy of the IR active modes induced by the symmetry  
20 lowering associated with the *sc* crystal phase<sup>65</sup> will no longer exist, and a number of low  
21 intensity satellite peaks will also disappear. On the other hand, the isotopically activated  
22 modes will be present and will continue to produce IR transitions of weaker intensity. In  
23 addition, we expect that the absorption bands in the NIR will be broadened by strong  
24 intramolecular resonances coupling multiple high-order vibrational states, preventing access  
25 to their rotational structure. In conclusion, the NIR gas-phase spectrum is expected to be  
26 slightly more decongested with sharper peaks.

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28 Therefore, in support of our future low temperature, gas-phase absorption spectroscopy  
29 of  $\text{C}_{60}$  we have computed the IR active combination and overtone transitions in the region be-  
30 tween 1.65 and 2.78  $\mu\text{m}$  arising from all the 46 fundamental modes. Regions I and II contain  
31 986 and 876 combination and overtone transitions of  $\text{T}_{1u}$  symmetry element, respectively.



We anticipate these 1862 transitions will appear in the absorption spectrum of gas-phase  $C_{60}$ , however those involving at least one or more quanta of the four IR active fundamentals (c.f. Table S1) will have the strongest intensity. Figure 5 presents a statistical analysis of the calculated bands by considering all possible combination and overtone bands of  $C_{60}$  in the solid-phase together with those expected to be observed in the gas-phase (having  $T_{1u}$  symmetry). From Figs. 5 (a) and (b) it is evident that approximately 50% of the calculated transitions in the solid-phase under each bin, have  $T_{1u}$  symmetry and therefore will be observed in the gas-phase. It can be seen that the density of 3-quanta states decreases from 3596 to 4765  $cm^{-1}$  and the density of the 4-quanta states peaks between 5600 and 5700  $cm^{-1}$ .

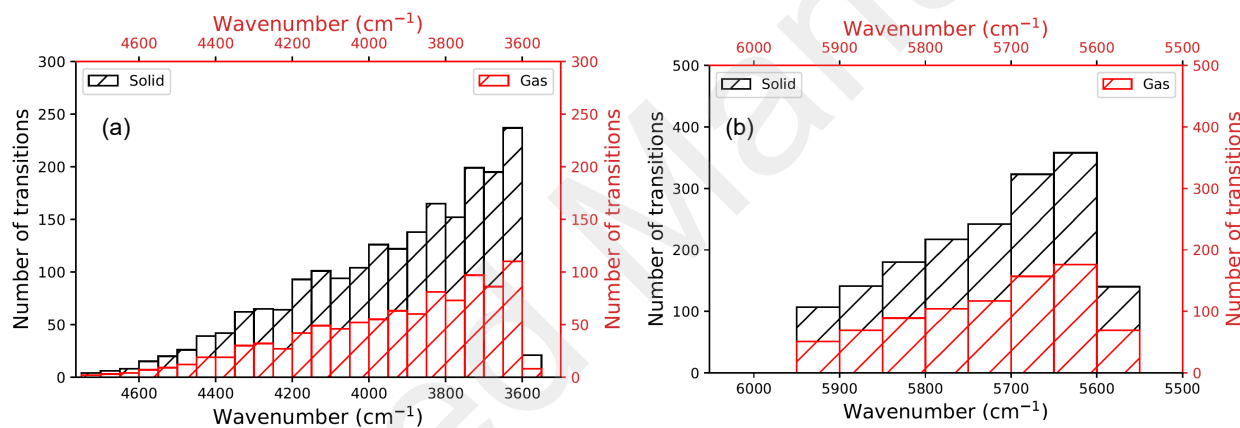


Figure 5: Density of 3- and 4-quanta transitions of  $C_{60}$  in Region I and II. Black and red histograms shows the number of calculated transitions in the solid and gas-phase, respectively.

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3 A decisive identification of higher quanta vibrational transitions of C<sub>60</sub> and the NIR  
4 DIBs in the astronomical absorption spectra can be made upon comparison with the band  
5 positions obtained from the gas-phase absorption spectroscopy. In Table 2 we have listed a  
6 few observed DIBs in the near infrared range together with our experimental band positions.  
7 We note that three DIBs observed at 16588, 17803 and 21887 Å by Cox et al.<sup>42</sup> are only 4, -66  
8 and 18 Å away from our solid-phase measurement. Two more DIBs observed by Galazutdinov  
9 et al.<sup>47</sup> at 21274 and 23919 Å are 32 and -37 Å away from the data reported in this work.  
10 So far, all DIBs are observed using ground based telescopes and their detection relies on  
11 a good subtraction of telluric features. Hence, more NIR DIBs between 10,000 to 25,000  
12 Å could be hidden in the noise and not retrieved by the telluric line removal process.<sup>42</sup> The  
13 upcoming launch of the James Webb Space Telescope with its onboard NIRSpec instrument,  
14 will greatly increase the sensitivity of the observations in the NIR region without contribution  
15 from telluric atmospheric lines. It should allow new NIR DIBs to be detected in various  
16 astronomical environments.  
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**Table 2: Experimental band positions of neutral C<sub>60</sub> at 11 K (cf. Fig.3 and Fig.4) and the observed NIR DIBs in various astronomical objects. The experimental band positions reported in this work were obtained from the solid phase study.**

$\lambda_{expt}$ (Å)	$\lambda_{obs}$ (Å)	$\lambda_{expt}$ (Å)	$\lambda_{obs}$ (Å)
	16567 <sup>a</sup>	21724	
	16578 <sup>a</sup>		21842 <sup>a</sup>
16592	16588 <sup>a</sup>		21887 <sup>a</sup>
16842		21905	
16978		22107	
17104		22201	
17221		22268	
17333			22144 <sup>b</sup>
17420		22585	
17535		22816	
17643		22971	
17737		23047	
	17803 <sup>a</sup>	23205	
	19940 <sup>b</sup>	23359	
	20427 <sup>a</sup>	23505	
	20877 <sup>b</sup>	23577	
	21274 <sup>b</sup>	23795	
	21387 <sup>a</sup>		23919 <sup>b</sup>
21467		23951	

## 5 Conclusions

This study aims to provide guidance for future gas-phase absorption spectroscopy of neutral C<sub>60</sub>. Our work is a first building block towards the systematic exploration of overtone and combination bands of neutral C<sub>60</sub>, in connection with the C<sub>60</sub>-DIBs hypothesis. In this framework we have measured the NIR spectrum of C<sub>60</sub> from 11 to 300 K between 1.65 and 2.78  $\mu\text{m}$  and the observed bands are tentatively assigned to 3- and 4-quanta combination and overtone transitions. We computed a total of 3895 combination and overtone transitions amongst which 1862 transitions have IR active T<sub>1u</sub> symmetry. We expect them to be observed in the spectrum of gas-phase C<sub>60</sub>. Due to the presence of a large number of

<sup>a</sup>Cox. et al. 2014

<sup>b</sup>Galazutdinov et al. 2017

normal modes, a complete anharmonic calculation including various resonances of  $C_{60}$  has not yet been attempted, even in the frequency range from 4000 to 400  $\text{cm}^{-1}$ . The theoretical methodology presented in this work does not compute the intensities of the high order vibrational transitions and the associated anharmonic effects but can be considered as the best effort to interpret the higher order vibrational transitions of  $C_{60}$ . Although five frequencies observed in this work are close to the observed DIBs at 16588, 17803, 21887, 21274 and 23919  $\text{\AA}$ , conclusive evidence can only be established with the aid of gas-phase absorption spectra.

## 6 Acknowledgements

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## Supporting Information Available

- Theoretical methodology
- Table S1 Theoretical and experimental frequencies of  $C_{60}$

- Effect of temperature on the observed band position
- Table S3 1797 combination and/or overtone bands of  $C_{60}$  between 6005-5580  $\text{cm}^{-1}$
- Table S4 2098 combination and/or overtone bands of  $C_{60}$  between 4765-3596  $\text{cm}^{-1}$

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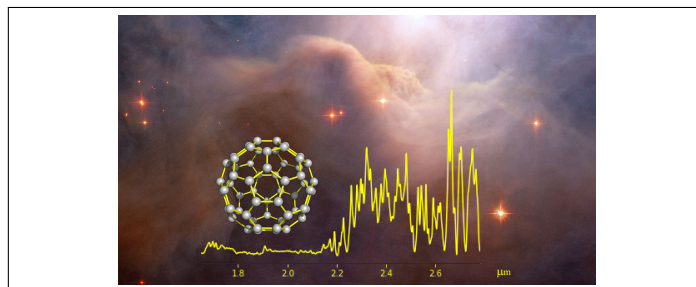
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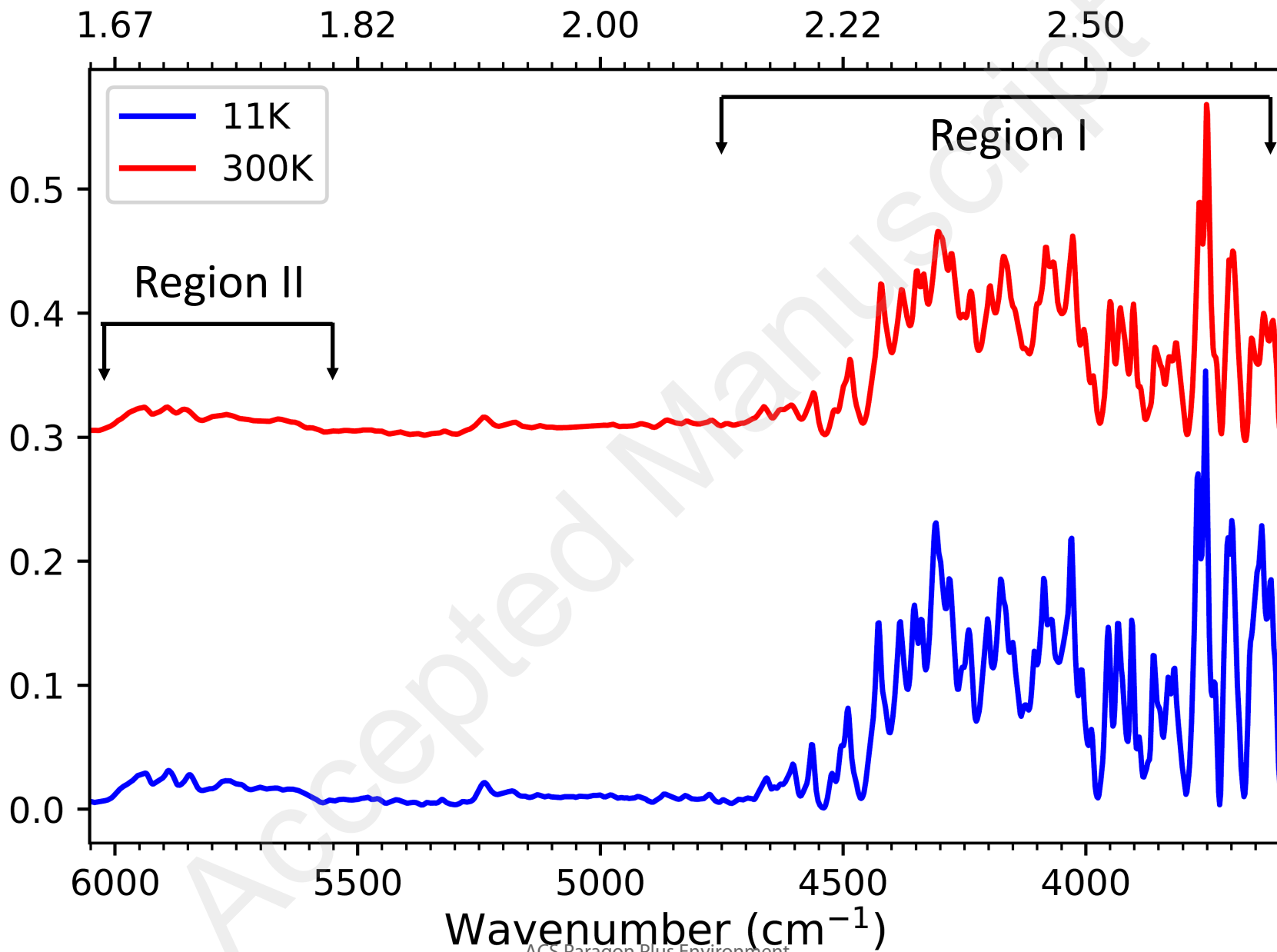
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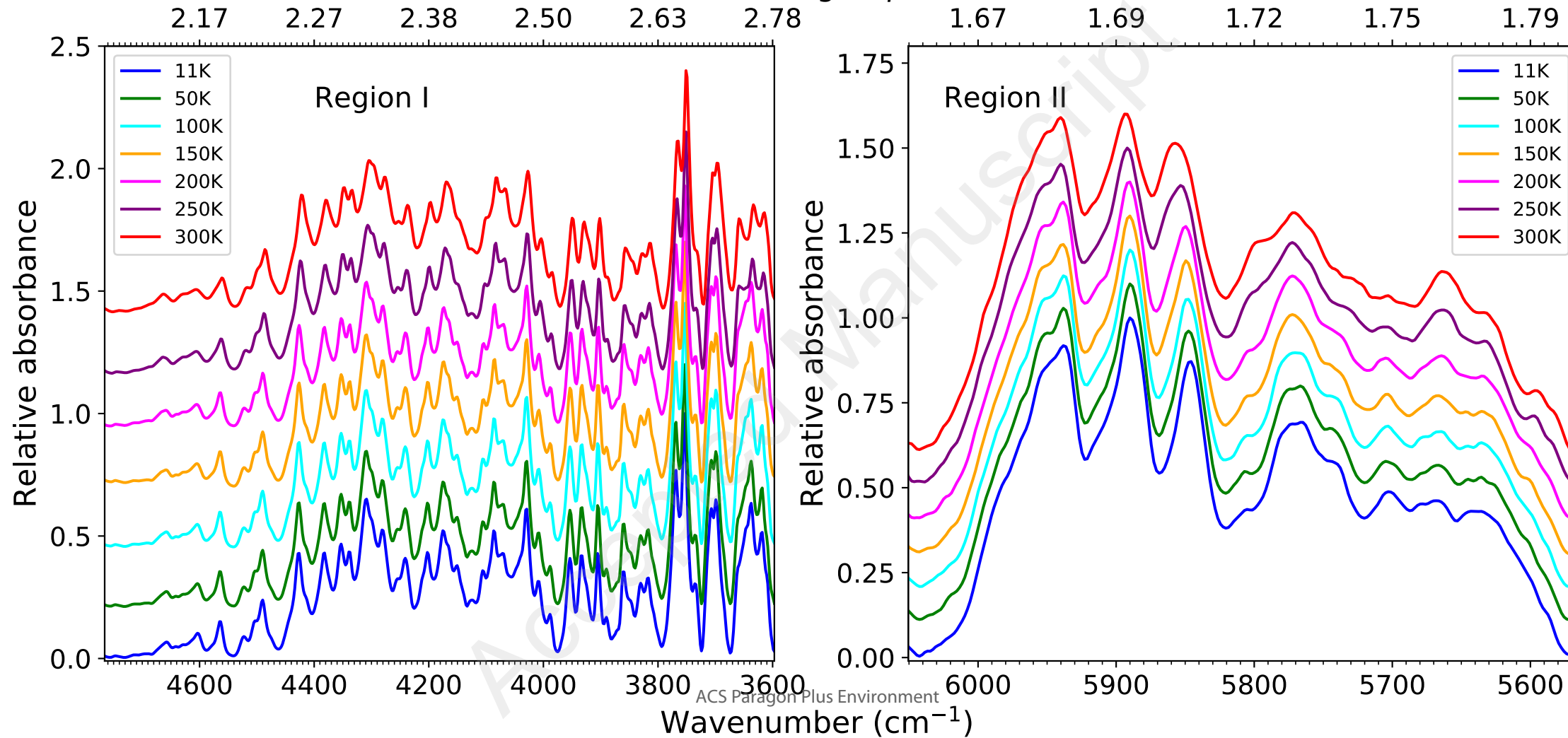
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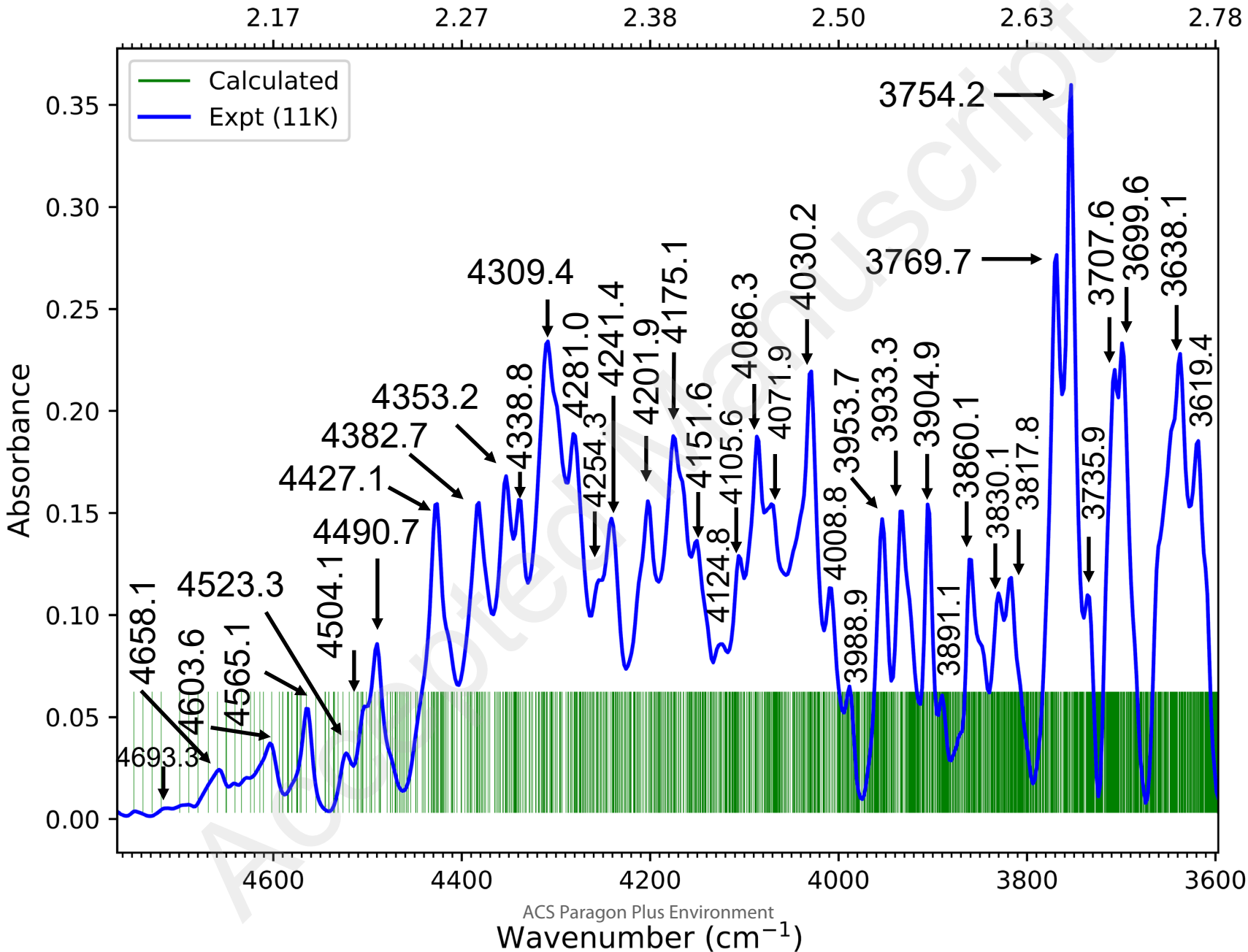
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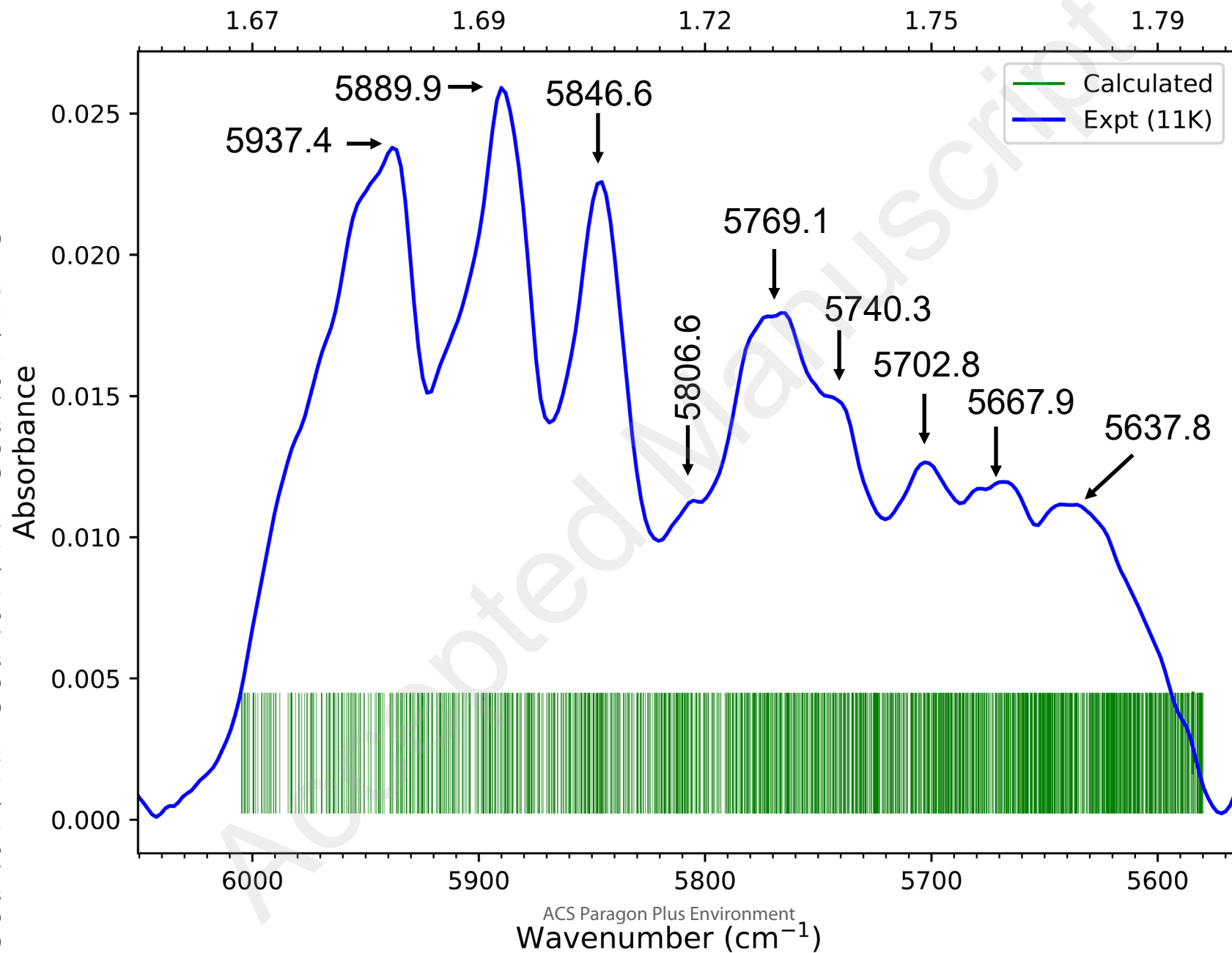


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