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Valentin Huguin

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UNICRITICAL POLYNOMIAL MAPS WITH RATIONAL MULTIPLIERS

VALENTIN HUGUIN

Abstract. In this article, we prove that every unicritical polynomial map that has only rational multipliers is either a power map or a Chebyshev map. This provides some evidence in support of a conjecture by Milnor concerning rational maps whose multipliers are all integers.

1. Introduction

Given a polynomial map $f\colon \mathbb{C} \to \mathbb{C}$ and a point $z_0 \in \mathbb{C}$, we study the sequence $\left(f^{\circ n}(z_0)\right)_{n\geq 0}$ of iterates of f at z_0. The set $\{f^{\circ n}(z_0) : n \geq 0\}$ is called the *forward orbit* of z_0 under f.

The point z_0 is said to be *periodic* for f if there exists an integer $n \geq 1$ such that $f^{\circ n}(z_0) = z_0$; the least such integer n is called the *period* of z_0. The forward orbit of z_0, which has cardinality n, is said to be a *cycle* for f. The *multiplier* of f at z_0 is the derivative of $f^{\circ n}$ at z_0; equivalently, it is the product of the derivatives of f along the cycle. In particular, f has the same multiplier at each point of the cycle.

The multiplier is invariant under conjugacy: if f and g are two polynomial maps, ϕ is an invertible affine map such that $\phi \circ f = g \circ \phi$ and z_0 is a periodic point for f, then $\phi(z_0)$ is a periodic point for g with the same period and the same multiplier.

In this paper, we wish to examine the polynomial maps that have only integer – or rational – multipliers.

Definition 1. A polynomial map $f\colon \mathbb{C} \to \mathbb{C}$ of degree $d \geq 2$ is said to be a *power map* if it is affinely conjugate to $z \mapsto z^d$.

For every $d \geq 2$, there exists a unique polynomial $T_d \in \mathbb{C}[z]$ such that

$$T_d\left(z + z^{-1}\right) = z^d + z^{-d}\,.$$

The polynomial T_d is monic of degree d and is called the dth *Chebyshev polynomial*.

Example 2. We have $T_2(z) = z^2 - 2$ and $T_3(z) = z^3 - 3z$.

Definition 3. A polynomial map $f\colon \mathbb{C} \to \mathbb{C}$ of degree $d \geq 2$ is said to be a *Chebyshev map* if it is affinely conjugate to $\pm T_d$.

Remark 4. For every $d \geq 2$, the polynomials $-T_d$ and T_d are affinely conjugate if and only if d is even.

These conjugacy classes of polynomials share the following well-known property:

Proposition 5. *Suppose that $f\colon \mathbb{C} \to \mathbb{C}$ is a power map or a Chebyshev map. Then f has only integer multipliers.*

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1.1. **Statement of the results.** We are interested in the converse of Proposition 5. More precisely, we wish to show that every polynomial map that has only integer – or rational – multipliers is either a power map or a Chebyshev map.

We restrict ourselves to *unicritical* polynomial maps – that is, polynomial maps of degree $d \geq 2$ that have a unique critical point in the complex plane.

Theorem 6. *Assume that $f\colon \mathbb{C} \to \mathbb{C}$ is a unicritical polynomial map that has only rational multipliers. Then f is either a power map or a Chebyshev map.*

Remark 7. For every $d \geq 2$, the polynomial T_d has exactly $d-1$ critical points given by $2\cos\left(\frac{\pi j}{d}\right)$ for $j \in \{1, \ldots, d-1\}$. In particular, a Chebyshev map is unicritical if and only if it has degree 2.

Using similar arguments, we also obtain a result concerning cubic polynomial maps *with symmetries* – that is, cubic polynomial maps that commute with a nontrivial invertible affine map.

Theorem 8. *Assume that $f\colon \mathbb{C} \to \mathbb{C}$ is a cubic polynomial map with symmetries that has only integer multipliers. Then f is a power map or a Chebyshev map.*

1.2. **Motivation.** In a more general setting, Milnor conjectured in [Mil06] that power maps, Chebyshev maps and flexible Lattès maps are the only rational maps whose multipliers are all integers. We may even extend his question as follows:

Question 9. Let K be a number field, and denote by $\mathcal{O}_K$ its ring of integers. Assume that $f\colon \widehat{\mathbb{C}} \to \widehat{\mathbb{C}}$ is a rational map whose multipliers all lie in $\mathcal{O}_K$ – or K. Is f necessarily a finite quotient of an affine map – that is, either a power map, a Chebyshev map or a Lattès map?

We give here a positive answer in the case of rational numbers and unicritical polynomial maps. To the author's knowledge, this question has not been studied before. This one can be viewed as an analog of questions concerning rational preperiodic points for a rational map, which have received a lot of attention (see [BIJ$^+$19] and [Sil07]).

In [EvS11], Eremenko and van Strien investigated the rational maps that have only real multipliers: they proved that, if $f\colon \widehat{\mathbb{C}} \to \widehat{\mathbb{C}}$ is such a map, then either f is a Lattès map or its Julia set $\mathcal{J}_f$ is contained in a circle; they also gave a description of these maps.

1.3. **Organization of the paper.** In Section 2, we prove some stronger versions of Theorem 6. More precisely, given an integer $d \geq 2$, the conjugacy classes of unicritical polynomials of degree d are parameterized by a one-parameter family $(f_c)_{c\in\mathbb{C}}$, and we determine the parameters $c \in \mathbb{C}$ for which the multiplier polynomials of f_c have only rational roots. Using the same strategy, we also prove Theorem 8.

In Section 3, we study the periodic points and the multipliers of a polynomial map by means of polynomials associated with its dynamics. More precisely, we present certain results about the dynatomic polynomials and the multiplier polynomials of a monic polynomial, emphasizing the case of unicritical polynomials.

Finally, in Section A, we prove that the Diophantine equation that arose in our proof of Theorem 6 has no nontrivial solution.

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2. Proofs of the results

We shall prove here Theorem 6 and Theorem 8. Our proofs rely on the result below, which will be presented in greater detail in Section 3 and is an immediate consequence of Proposition 30, Proposition 38 and Corollary 42.

Fix an integer $d \geq 2$. Since every polynomial map $f\colon \mathbb{C} \to \mathbb{C}$ is affinely conjugate to a monic polynomial map and the multiplier is invariant under conjugacy, we may restrict our attention to monic polynomials.

Proposition 10. *Assume that $f\colon \mathbb{C} \to \mathbb{C}$ is a monic polynomial map of degree d. Then*

- *there exists a unique sequence $\left(\Phi_n^f\right)_{n\geq 1}$ of elements of $\mathbb{C}[z]$ such that, for every $n \geq 1$, we have*
$$f^{\circ n}(z) - z = \prod_{k|n} \Phi_k^f(z)\,;$$
- *for every $n \geq 1$, there is a unique monic polynomial $M_n^f \in \mathbb{C}[\lambda]$ such that*
$$M_n^f(\lambda)^n = \operatorname{res}_z\left(\Phi_n^f(z), \lambda - \left(f^{\circ n}\right)'(z)\right)\,,$$
where res_z denotes the resultant with respect to z;
- *given a subring R of $\mathbb{C}$ and $n \geq 1$, the multipliers of f at its cycles with period n all lie in R if and only if M_n^f splits into linear factors of $R[\lambda]$.*

Definition 11. Suppose that $f\colon \mathbb{C} \to \mathbb{C}$ is a monic polynomial map of degree d. For $n \geq 1$, the polynomial Φ_n^f is called the nth *dynatomic polynomial* of f and the polynomial M_n^f is called the nth *multiplier polynomial* of f.

For $c \in \mathbb{C}$, let $f_c\colon \mathbb{C} \to \mathbb{C}$ be the polynomial map
$$f_c\colon z \mapsto z^d + c\,.$$
For every $c \in \mathbb{C}$, the map f_c is unicritical with critical point 0 and critical value c. Furthermore, if $f\colon \mathbb{C} \to \mathbb{C}$ is a unicritical polynomial map of degree d, then there exists a parameter $c \in \mathbb{C}$ – which is unique up to multiplication by a $(d-1)$th root of unity – such that f is affinely conjugate to f_c.

Consequently, to prove Theorem 6, we are reduced to determining the parameters $c \in \mathbb{C}$ for which the polynomials $M_n^{f_c} \in \mathbb{C}[\lambda]$, with $n \geq 1$, have only rational roots. Note that, if $c \in \mathbb{C}$ is such a parameter, then, for every $n \geq 1$, the polynomial $M_n^{f_c}$ lies in $\mathbb{Q}[\lambda]$ and its discriminant
$$\Delta_n(c) = \operatorname{disc} M_n^{f_c}$$
is the square of a rational number. In fact, we shall see that, to prove Theorem 6, it suffices to examine the polynomials $M_n^{f_c}$ for only a few small values of n.

2.1. Quadratic polynomial maps.

Let us examine here the quadratic polynomials that have only integer – or rational – multipliers.

Suppose that $d = 2$. Then, for every $c \in \mathbb{C}$, the map f_c is a power map if and only if $c = 0$ and is a Chebyshev map if and only if $c = -2$. Using the software SageMath, we can compute $M_n^{f_c}$ and $\Delta_n(c)$ for $c \in \mathbb{C}$ and small values of n.

Example 12. For every $c \in \mathbb{C}$, we have

$$\begin{aligned}
M_1^{f_c}(\lambda) &= \lambda^2 - 2\lambda + 4c\,,\\
M_2^{f_c}(\lambda) &= \lambda - 4c - 4\,,\\
M_3^{f_c}(\lambda) &= \lambda^2 + (-8c-16)\lambda + 64c^3 + 128c^2 + 64c + 64\,,\\
M_4^{f_c}(\lambda) &= \lambda^3 + \left(16c^2 - 48\right)\lambda^2 + \left(-256c^4 - 256c^3 + 256c^2 + 768\right)\lambda\\
&\qquad - 4096c^6 - 12288c^5 - 12288c^4 - 12288c^3 - 8192c^2 - 4096\,.
\end{aligned}$$

Remark 13. Observe that, for $n \in \{1, \dots, 4\}$, the coefficients of $M_n^{f_c}$ are polynomials in $4c$ with integer coefficients. As we shall see in Section 3, this is true for all $n \geq 1$ (compare [Bou14, Lemma 1]).

Example 14. For every $c \in \mathbb{C}$, we have

$$\begin{aligned}
\Delta_1(c) &= -2^2(4c-1)\,,\\
\Delta_2(c) &= 1\,,\\
\Delta_3(c) &= -2^6(4c+7)c^2\,,\\
\Delta_4(c) &= -2^{24}\left(64c^3 + 144c^2 + 108c + 135\right)(c+2)^2c^6\,.
\end{aligned}$$

Remark 15. We shall see in Section 3 that, for every $n \geq 1$, the roots of Δ_n that have an odd multiplicity are precisely the parameters $c_0 \in \mathbb{C}$ for which the map f_{c_0} has a cycle with period n and multiplier 1 (see [Mor96, Proposition 9]).

First, let us examine the quadratic polynomials whose multipliers are integers. By Proposition 10, for every $c \in \mathbb{C}$, the map f_c has an integer multiplier at each cycle with period 1 or 2 if and only if the polynomials $M_1^{f_c}$ and $M_2^{f_c}$ split into linear factors of $\mathbb{Z}[\lambda]$, which occurs if and only if there exists $m \in \mathbb{Z}$ such that $c = \frac{1-m^2}{4}$. In particular, there exist infinitely many such parameters $c \in \mathbb{C}$. In contrast, by considering also the multipliers at the cycles with period 3, we obtain the following:

Proposition 16. *Assume that $f\colon \mathbb{C} \to \mathbb{C}$ is a quadratic polynomial map that has an integer multiplier at each cycle with period less than or equal to 3. Then f is either a power map or a Chebyshev map.*

Proof. There exists a parameter $c \in \mathbb{C}$ such that f is affinely conjugate to f_c. By Proposition 10, the polynomials $M_n^{f_c}$, with $n \in \{1, 2, 3\}$, split into linear factors of $\mathbb{Z}[\lambda]$, and hence $4c$ is an integer and

$$\Delta_1(c) = -2^2(4c-1) \quad \text{and} \quad \Delta_3(c) = -2^6(4c+7)c^2$$

are the squares of integers. Therefore, either $c = 0$ or there exist $a, b \in \mathbb{Z}_{\geq 0}$ such that

$$-(4c-1) = a^2 \quad \text{and} \quad -(4c+7) = b^2\,.$$

In the latter case, we have $(a-b)(a+b) = 8$, and hence

$$\begin{cases} a - b = 1 \\ a + b = 8 \end{cases} \quad \text{or} \quad \begin{cases} a - b = 2 \\ a + b = 4 \end{cases},$$

which yields $(a, b) = (3, 1)$ and $c = -2$. Thus, the proposition is proved. □

Let us now study the quadratic polynomial maps whose multipliers are rational. There exist infinitely many parameters $c \in \mathbb{C}$ for which the map f_c has a rational

multiplier at each cycle with period less than or equal to 3. More precisely, a parameter $c \in \mathbb{C}$ has this property if and only if c is rational and $\Delta_1(c)$ and $\Delta_3(c)$ are the squares of rational numbers, which occurs if and only if $c = 0$ or there exists $r \in \mathbb{Q}_{\neq 0}$ such that $c = \frac{-\left(r^4+3r^2+4\right)}{4r^2}$. In contrast, by considering also the multipliers at the cycles with period 4, we are led to examine the rational points on a certain elliptic curve and we obtain the following result, which is a stronger version of Theorem 6 in the case of quadratic polynomials:

Proposition 17. *Assume that $f\colon \mathbb{C} \to \mathbb{C}$ is a quadratic polynomial map that has a rational multiplier at each cycle with period less than or equal to 4. Then f is either a power map or a Chebyshev map.*

Proof. There exists a parameter $c \in \mathbb{C}$ such that f is affinely conjugate to f_c. By Proposition 10, the polynomials $M_n^{f_c}$, with $n \in \{1, \dots, 4\}$, split into linear factors of $\mathbb{Q}[\lambda]$, and hence c is rational and

$$\Delta_4(c) = -2^{24}\left(64c^3 + 144c^2 + 108c + 135\right)(c+2)^2 c^6$$

is the square of a rational number. Note that, if there exists $r \in \mathbb{Q}$ such that

$$-\left(64c^3 + 144c^2 + 108c + 135\right) = r^2\,,$$

then $c \neq \frac{-3}{4}$ and the rational numbers $a = \frac{r-18}{3(4c+3)}$ and $b = \frac{-(r+18)}{3(4c+3)}$ satisfy

$$a^3 + b^3 - 4 = \frac{-4\left(64c^3 + 144c^2 + 108c + 135 + r^2\right)}{(4c+3)^3} = 0\,,$$

which contradicts the fact that the Diophantine equation $x^3 + y^3 = 4z^3$ has no solution $(x, y, z) \in \mathbb{Z}^3$ with $z \neq 0$ by Lemma 56. Therefore, we have $c \in \{-2, 0\}$. Thus, the proposition is proved. □

Remark 18. It follows from [EvS11, Theorem 1] that, for every $c \in \mathbb{C}$, the map f_c has a real multiplier at each cycle if and only if $c \in (-\infty, -2] \cup \{0\}$. In particular, the property of having only real multipliers does not characterize power maps and Chebyshev maps among the quadratic polynomials.

2.2. **Unicritical polynomial maps of degree at least 3.** We shall see here that, unlike in the case of quadratic polynomials, power maps are the only unicritical polynomial maps of degree at least 3 that have only real multipliers. Note that, for every $c \in \mathbb{C}$, the map f_c is a power map if and only if $c = 0$.

First, suppose that $d = 3$. Using the software SageMath, we can compute $M_n^{f_c}$ and $\Delta_n(c)$ for $c \in \mathbb{C}$ and $n \in \{1, 2\}$.

Example 19. For every $c \in \mathbb{C}$, we have

$$M_1^{f_c}(\lambda) = \lambda^3 - 6\lambda^2 + 9\lambda - 27c^2$$

and

$$M_2^{f_c}(\lambda) = \lambda^3 - 27\lambda^2 + \left(162c^2 + 243\right)\lambda - 729c^4 - 1458c^2 - 729\,.$$

Remark 20. We shall see in Section 3 that, for every $n \geq 1$, the coefficients of $M_n^{f_c}$ are polynomials in $27c^2$ with integer coefficients (compare [Mil14, Theorem 1.1]).

Example 21. For every $c \in \mathbb{C}$, we have

$$\Delta_1(c) = -3^6\left(27c^2 - 4\right)c^2 \quad \text{and} \quad \Delta_2(c) = -3^{12}\left(27c^2 + 32\right)c^6\,.$$

It follows from Proposition 10 that, for every $c \in \mathbb{C}$, the map f_c has a real multiplier at each fixed point if and only if c^2 is real and $\Delta_1(c) \geq 0$, which occurs if and only if $c^2 \in \left[0, \frac{4}{27}\right]$. In particular, power maps are not the only cubic unicritical polynomial maps whose multiplier at each fixed point is real.

Remark 22. There also exist infinitely many parameters $c \in \mathbb{C}$ for which the map f_c has a rational multiplier at each fixed point. More precisely, a parameter $c \in \mathbb{C}$ has this property if and only if the polynomial $M_1^{f_c}$ has a rational root and its discriminant $\Delta_1(c)$ is the square of a rational number, which occurs if and only if there exists $r \in \mathbb{Q}$ such that $c^2 = \frac{4\left(r^2-1\right)^2}{(r^2+3)^3}$.

In contrast, by considering also the multipliers at the cycles with period 2, we obtain the result below, which immediately implies Theorem 6 in the case of cubic unicritical polynomials.

Proposition 23. *Assume that $f\colon \mathbb{C} \to \mathbb{C}$ is a cubic unicritical polynomial map that has a real multiplier at each cycle with period 1 or 2. Then f is a power map.*

Proof. There exists a parameter $c \in \mathbb{C}$ such that f is affinely conjugate to f_c. By Proposition 10, the polynomials $M_1^{f_c}$ and $M_2^{f_c}$ split into linear factors of $\mathbb{R}[\lambda]$, and hence c^2 is real and

$$\Delta_1(c) = -3^6\left(27c^2-4\right)c^2 \geq 0 \quad \text{and} \quad \Delta_2(c) = -3^{12}\left(27c^2+32\right)c^6 \geq 0\,.$$

Therefore, we have

$$c^2 \in \left[\frac{-32}{27}, 0\right] \cap \left[0, \frac{4}{27}\right] = \{0\}\,.$$

Thus, the proposition is proved. □

Let us now investigate the unicritical polynomial maps of degree at least 4 whose multipliers are real. We shall see that, unlike in the case of cubic unicritical polynomials, the property of having a real multiplier at each fixed point characterizes here power maps. Our result relies on the calculation of $M_1^{f_c}$ for $c \in \mathbb{C}$.

Example 24. Suppose that $d \geq 2$ and $c \in \mathbb{C}$. Then we have

$$M_1^{f_c}(\lambda) = \operatorname{res}_z\left(z^d - z + c, \lambda - dz^{d-1}\right) = (-d)^d \prod_{j=1}^{d-1}\left(z_j^d - z_j + c\right)\,,$$

where $z_1, \ldots, z_{d-1}$ are the roots of $dz^{d-1} - \lambda \in \mathbb{C}[z]$. It follows that

$$\begin{aligned} M_1^{f_c}(\lambda) &= (-d)^d \prod_{j=1}^{d-1}\left(d^{-1}(\lambda-d)z_j + c\right) \\ &= (-d)^d\left(c^{d-1} + \sum_{j=1}^{d-1} d^{-j}(\lambda-d)^j\sigma_j c^{d-1-j}\right)\,, \end{aligned}$$

where $\sigma_1, \ldots, \sigma_{d-1}$ are the elementary symmetric functions of $z_1, \ldots, z_{d-1}$. Therefore, by the relations between roots and coefficients of a polynomial, we have

$$M_1^{f_c}(\lambda) = \lambda(\lambda-d)^{d-1} + (-d)^d c^{d-1}\,.$$

The following result is a stronger version of Theorem 6 in the case of unicritical polynomials of degree at least 4.

Proposition 25. *Assume that $f\colon \mathbb{C} \to \mathbb{C}$ is a unicritical polynomial map of degree $d \geq 4$ that has a real multiplier at each fixed point. Then f is a power map.*

Proof. There exists a parameter $c \in \mathbb{C}$ such that f is affinely conjugate to f_c. By Proposition 10, the polynomial

$$M_1^{f_c}(\lambda) = \lambda(\lambda - d)^{d-1} + (-d)^d c^{d-1}$$

splits into linear factors of $\mathbb{R}[\lambda]$, and hence the same is true of the polynomial

$$L(\lambda) = \lambda^d M_1^{f_c}\left(\lambda^{-1} + d\right) = (-d)^d c^{d-1}\lambda^d + d\lambda + 1$$

and, by Rolle's theorem, of its derivative

$$L'(\lambda) = (-1)^d d^{d+1} c^{d-1} \lambda^{d-1} + d\,.$$

Therefore, we have $c = 0$ since the set of roots of L' is invariant under multiplication by a $(d-1)$th root of unity and $d \geq 4$. Thus, the proposition is proved. □

Finally, we have proved Theorem 6, which follows immediately from Proposition 17, Proposition 23 and Proposition 25.

2.3. **Cubic polynomial maps with symmetries.** We shall use here the same strategy to study the cubic polynomial maps with symmetries whose multipliers are integers and prove Theorem 8.

For $a \in \mathbb{C}$, let $g_a\colon \mathbb{C} \to \mathbb{C}$ be the cubic polynomial map

$$g_a\colon z \mapsto z^3 + az\,.$$

For every $a \in \mathbb{C}$, the map g_a fixes 0 with multiplier a and commutes with $z \mapsto -z$. Furthermore, if $f\colon \mathbb{C} \to \mathbb{C}$ is a cubic polynomial map with symmetries, then there exists a unique parameter $a \in \mathbb{C}$ such that f is affinely conjugate to g_a.

Unlike the family of cubic unicritical polynomial maps, the family of cubic polynomial maps with symmetries contains both power maps and Chebyshev maps. More precisely, for every $a \in \mathbb{C}$, the map g_a is a power map if and only if $a = 0$ and is a Chebyshev map if and only if $a = \pm 3$.

Using the software SageMath, we can compute $M_n^{g_a}$ for $a \in \mathbb{C}$ and $n \in \{1, 2, 3\}$.

Example 26. For every $a \in \mathbb{C}$, we have

$$\begin{aligned}
M_1^{g_a}(\lambda) &= (\lambda - a)(\lambda + 2a - 3)^2\,,\\
M_2^{g_a}(\lambda) &= \left(\lambda - 4a^2 - 12a - 9\right)\left(\lambda + 2a^2 - 9\right)^2\,,\\
M_3^{g_a}(\lambda) &= N_3(a, \lambda)^2\,,
\end{aligned}$$

where $N_3 \in \mathbb{Z}[a, \lambda]$ is given by

$$\begin{aligned}
N_3(a, \lambda) = {} & \lambda^4 + \left(2a^3 + 12a^2 - 18a - 108\right)\lambda^3\\
& + \left(-48a^6 - 72a^5 + 396a^4 + 486a^3 - 324a^2 + 1458a + 4374\right)\lambda^2\\
& + \left(32a^9 - 792a^7 - 432a^6 + 5832a^5 + 5832a^4 - 7290a^3 - 8748a^2\right.\\
& \left. - 39366a - 78732\right)\lambda + 256a^{12} + 384a^{11} - 4608a^{10} - 6912a^9\\
& + 24624a^8 + 36936a^7 - 23328a^6 - 34992a^5 - 131220a^4\\
& - 196830a^3 + 236196a^2 + 354294a + 531441\,.
\end{aligned}$$

Moreover, we have

$$\operatorname{disc}_\lambda N_3(a, \lambda) = 2^{12}3^{12}D_3(a)\left(4a^3 + 12a^2 - 3a - 27\right)^2 (a-3)^4(a+3)^4 a^{12}\,,$$

where $\operatorname{disc}_\lambda$ denotes the discriminant with respect to λ and $D_3 \in \mathbb{Z}[a]$ is given by

$$D_3(a) = 4a^8 + 16a^7 - 35a^6 - 206a^5 - 113a^4 + 376a^3 + 715a^2 + 1690a + 2197\,.$$

It follows from Proposition 10 that, for every $a \in \mathbb{C}$, the map g_a has an integer multiplier at each cycle with period 1 or 2 if and only if a is an integer. By considering also the multipliers at the cycles with period 3, we obtain the following stronger version of Theorem 8:

Proposition 27. *Assume that $f\colon \mathbb{C} \to \mathbb{C}$ is a cubic polynomial map with symmetries that has an integer multiplier at each cycle with period less than or equal to 3. Then f is either a power map or a Chebyshev map.*

Proof. There exists a parameter $a \in \mathbb{C}$ such that f is affinely conjugate to g_a. By Proposition 10, the polynomials $M_n^{g_a}$, with $n \in \{1, 2, 3\}$, split into linear factors of $\mathbb{Z}[\lambda]$, and hence a is an integer and

$$\operatorname{disc}_\lambda N_3(a, \lambda) = 2^{12}3^{12}D_3(a)\left(4a^3 + 12a^2 - 3a - 27\right)^2 (a-3)^4(a+3)^4a^{12}$$

is the square of an integer. Now, note that, if

$$D_3(a) = 4a^8 + 16a^7 - 35a^6 - 206a^5 - 113a^4 + 376a^3 + 715a^2 + 1690a + 2197$$

is the square of an integer, then its residue class in $\mathbb{Z}/32\mathbb{Z}$ is a square, and hence $a \equiv 1 \pmod 8$. Moreover, observe that $D_3(1 + 8b)$ is not the square of an integer whenever $b \in \{-7, \dots, 13\}$ and we have

$$L(b)^2 < D_3(1 + 8b) < (L(b) + 1)^2$$

for all $b \in \mathbb{Z} \setminus \{-7, \dots, 13\}$, where

$$L(b) = 8192a^4 + 6144a^3 + 720a^2 - 252a - 50\,.$$

Therefore, $D_3(a)$ is not the square of an integer, and hence $a \in \{-3, 0, 3\}$. Thus, the proposition is proved. □

Using the software SageMath, we obtain that $D_3(a)$ is not the square of a rational number whenever a is a rational number with height at most 10^4. Thus, it seems likely that the question below has a negative answer, which would imply that every cubic polynomial map with symmetries that has a rational multiplier at each cycle with period less than or equal to 3 is either a power map or a Chebyshev map.

Question 28. Does the hyperelliptic curve of genus 3 over $\mathbb{Q}$ given by $b^2 = D_3(a)$ have a rational point other than the two points at infinity?

Remark 29. Note that the curve of genus 1 given by $N_3(a, \lambda) = 0$ together with the point $\left(\frac{9}{2}, \frac{1647}{4}\right)$ defines an elliptic curve E over $\mathbb{Q}$. Using the software Magma, we obtain that its group of rational points $E(\mathbb{Q})$ is a free abelian group of rank 1. In particular, there exist infinitely many parameters $a \in \mathbb{C}$ for which the map g_a has a rational multiplier at each cycle with period 1 or 2 and at a cycle with period 3. Another approach to proving that power maps and Chebyshev maps are the only cubic polynomial maps with symmetries that have a rational multiplier at each cycle with period less than or equal to 3 could be to show that the group $E(\mathbb{Q})$ does not contain 4 distinct points with the same a-coordinate.

3. Certain polynomials related to the dynamics of a polynomial map

We study here the dynamics of a polynomial map from an algebraic point of view. More precisely, we present certain results about the dynatomic polynomials and the multiplier polynomials of a monic polynomial. In particular, we shall prove here Proposition 10, which is the key point in our proofs of Theorem 6 and Theorem 8. The results presented in this section are mostly well known.

Fix an integer $d \geq 2$. Although we are interested in the dynamics of complex polynomial maps, we shall consider monic polynomials over an arbitrary integral domain in order to derive information about the coefficients of the dynatomic polynomials and the multiplier polynomials.

3.1. Dynatomic polynomials.

Let us present here the dynatomic polynomials of a monic polynomial, which are related to its periodic points.

If R is a commutative ring and $f \in R[z]$ is a monic polynomial of degree d, then, for every $n \geq 1$, the roots of the polynomial $f^{\circ n}(z) - z$ are precisely the periodic points for the map $f\colon R \to R$ with period dividing n. Thus, it is natural to try to factor these polynomials in order to separate the periodic points for $f\colon R \to R$ according to their periods.

In the particular case where $a_0, \ldots, a_{d-1}$ are indeterminates over $\mathbb{Z}$,

$$R = \mathbb{Z}\left[a_0, \ldots, a_{d-1}\right] \quad \text{and} \quad f(z) = z^d + \sum_{j=0}^{d-1} a_j z^j \in R[z]\,,$$

the polynomials $f^{\circ n}(z) - z$, with $n \geq 1$, are separable, which allows us to factor them over R according to the periods of their roots. By a specialization argument, this provides a factorization of the polynomials $f^{\circ n}(z) - z$, with $n \geq 1$, in the general case of a commutative ring R and a monic polynomial $f \in R[z]$ of degree d (compare [MP94, Section 2]). More precisely, we have the following result, where $\mu\colon \mathbb{Z}_{\geq 1} \to \{-1, 0, 1\}$ denotes the Möbius function:

Proposition 30. *Suppose that R is a commutative ring and $f \in R[z]$ is a monic polynomial of degree d. Then there exists a unique sequence $\left(\Phi_n^f\right)_{n\geq 1}$ of elements of $R[z]$ such that, for every $n \geq 1$, we have*

$$f^{\circ n}(z) - z = \prod_{k|n} \Phi_k^f(z)\,.$$

Furthermore, for every $n \geq 1$, the polynomial Φ_n^f is monic of degree $\nu(n)$, where

$$\nu(n) = \sum_{k|n} \mu\left(\frac{n}{k}\right) d^k\,.$$

Definition 31. Suppose that R is a commutative ring and $f \in R[z]$ is a monic polynomial of degree d. For $n \geq 1$, the polynomial Φ_n^f is called the nth *dynatomic polynomial* of f.

Remark 32. If R is an integral domain and $f \in R[z]$ is a monic polynomial of degree d, then it follows from the Möbius inversion formula that, for every $n \geq 1$, we have

$$\Phi_n^f(z) = \prod_{k|n} \left(f^{\circ k}(z) - z\right)^{\mu\left(\frac{n}{k}\right)}\,.$$

Remark 33. If R is a commutative ring and $f \in R[z]$ is a nonmonic polynomial of degree d, then the existence of a sequence $\left(\Phi_n^f\right)_{n\geq 1}$ as in Proposition 30 holds but the uniqueness may fail when R is not an integral domain. For example, if

$$R = \mathbb{Z}/4\mathbb{Z} \quad \text{and} \quad f(z) = 2z^2 + z \in R[z]\,,$$

then we have

$$f^{\circ n}(z) = \begin{cases} 2z^2 + z & \text{if } n \text{ is odd} \\ z & \text{if } n \text{ is even} \end{cases}$$

for all $n \geq 1$, and hence every sequence $\left(\Phi_n^f\right)_{n\geq 1}$ of elements of $R[z]$ satisfying

$$\Phi_1^f(z) = 2z^2\,, \quad \Phi_2^f \in 2R[z] \quad \text{and} \quad \Phi_n^f(z) = 1 \quad \text{for} \quad n \geq 3$$

is such that, for every $n \geq 1$, we have

$$f^{\circ n}(z) - z = \prod_{k|n} \Phi_k^f(z)\,.$$

Let us now describe the roots of the dynatomic polynomials. If R is a commutative ring, $f \in R[z]$ is a monic polynomial of degree d and $n \geq 1$, then each root of the polynomial Φ_n^f is a periodic point for the map $f\colon R \to R$ with period dividing n since it is also a root of the polynomial $f^{\circ n}(z) - z$. Conversely, if R is an integral domain, then each periodic point for $f\colon R \to R$ with period n is a root of Φ_n^f. However, it may occur that roots of Φ_n^f have period less than n. More precisely, we have the following result:

Proposition 34 ([MS95, Proposition 3.2])**.** *Assume that R is an integral domain, $f \in R[z]$ is a monic polynomial of degree d and $n \geq 1$. Then $z_0 \in R$ is a root of the polynomial Φ_n^f if and only if z_0 is a periodic point for $f\colon R \to R$ with period $k \geq 1$ and multiplier $\lambda_0 \in R$ that satisfy*

- *$k = n$,*
- *or there exists an integer $l \geq 1$ such that $n = kl$ and λ_0 is a primitive lth root of unity,*
- *or R has characteristic $p > 0$ and there exist integers $l, m \geq 1$ such that $n = klp^m$ and λ_0 is a primitive lth root of unity.*

Remark 35. If R is a commutative ring that is not an integral domain, $f \in R[z]$ is a monic polynomial of degree d and $n \geq 1$, then it may occur that periodic points for $f\colon R \to R$ with period n are not roots of the polynomial Φ_n^f. For example, if

$$R = \mathbb{Z}/4\mathbb{Z} \quad \text{and} \quad f(z) = z^2 + 3z + 2 \in R[z]\,,$$

then $0 \in R$ is a periodic point for f with period 2 but is not a root of the polynomial

$$\Phi_2^f(z) = z^2 + 2 \in R[z]\,.$$

Now, let us focus on the family $(f_c)_{c\in\mathbb{C}}$ of unicritical polynomial maps given by

$$f_c(z) = z^d + c\,.$$

Given a parameter $c \in \mathbb{C}$, every cycle of parabolic basins for f_c contains the unique critical point $0 \in \mathbb{C}$, which allows us to determine the multiplicities of the roots of the polynomials $\Phi_n^{f_c}$, with $n \geq 1$. Thus, we have the result below, which is a stronger version of Proposition 34 in the case of the polynomial f_c.

Proposition 36 ([BL14, Proposition 2.2])**.** *Assume that $c \in \mathbb{C}$ and $n \geq 1$. Then $z_0 \in \mathbb{C}$ is a root of the polynomial $\Phi_n^{f_c}$ if and only if*

- *either z_0 is a periodic point for f_c with period n and multiplier different from 1, in which case $\operatorname{ord}_{z_0} \Phi_n^{f_c} = 1$,*
- *or z_0 is a periodic point for f_c with period n and multiplier 1, in which case $\operatorname{ord}_{z_0} \Phi_n^{f_c} = 2$,*
- *or z_0 is a periodic point for f_c with period a proper divisor k of n and multiplier a primitive $\frac{n}{k}$th root of unity, in which case $\operatorname{ord}_{z_0} \Phi_n^{f_c} = \frac{n}{k}$.*

Now, view c as an indeterminate over $\mathbb{Z}$ and consider

$$\boldsymbol{R} = \mathbb{Z}[c] \quad \text{and} \quad \boldsymbol{f}(z) = z^d + c \in \boldsymbol{R}[z]\,.$$

For $n \geq 1$, define $\Phi_n \in \mathbb{Z}[c, z]$ to be the image of $\Phi_n^{\boldsymbol{f}}$ under the canonical ring isomorphism from $\boldsymbol{R}[z]$ to $\mathbb{Z}[c, z]$.

By the uniqueness in Proposition 30, for every $c \in \mathbb{C}$ and every $n \geq 1$, we have

$$\Phi_n^{f_c}(z) = \Phi_n(c, z) \in \mathbb{C}[z]\,.$$

In particular, the coefficients of the polynomials $\Phi_n^{f_c}$, with $n \geq 1$, are polynomials in c with integer coefficients.

Finally, let us state the result below due to Bousch. It has also been proved with different approaches by Buff and Tan (see [BL14, Theorem 1.2]), Morton (see [Mor96, Corollary 1]) and Schleicher (see [Sch17, Theorem 7.1]).

Proposition 37 ([Bou92, Chapitre 3, Théorème 1])**.** *For every $n \geq 1$, the polynomial Φ_n is irreducible over $\mathbb{C}$.*

3.2. **Multiplier polynomials.** We shall now present the multiplier polynomials of a monic polynomial, which play a crucial role in our proofs of Theorem 6 and Theorem 8.

Note that, if $a_0, \ldots, a_{d-1}$ are indeterminates over $\mathbb{Z}$,

$$R = \mathbb{Z}\left[a_0, \ldots, a_{d-1}\right] \quad \text{and} \quad f(z) = z^d + \sum_{j=0}^{d-1} a_j z^j \in R[z]\,,$$

then, for every $n \geq 1$, we have

$$\operatorname{res}_z \left(\Phi_n^f(z), \lambda - \left(f^{\circ n}\right)'(z)\right) = \prod_{j=1}^{\nu(n)} \left(\lambda - \left(f^{\circ n}\right)'(z_j)\right)\,,$$

where $z_1, \ldots, z_{\nu(n)}$ are the roots of the polynomial Φ_n^f in an algebraic closure K of the field of fractions of R. Since the map $f\colon K \to K$ has the same multiplier at each point of a cycle and the roots of Φ_n^f in K are simple and are precisely the periodic points for $f\colon K \to K$ with period n, it follows that the polynomial

$$\operatorname{res}_z \left(\Phi_n^f(z), \lambda - \left(f^{\circ n}\right)'(z)\right) \in R[\lambda]$$

is the nth power of some monic polynomial in $R[\lambda]$. By a specialization argument, the same is true in the general case of a commutative ring R and a monic polynomial $f \in R[z]$ of degree d (compare [MP94, Section 5]). More precisely, we have the following result:

Proposition 38. *Suppose that R is an integral domain and $f \in R[z]$ is a monic polynomial of degree d. Then, for every $n \geq 1$, there exists a unique monic polynomial $M_n^f \in R[\lambda]$ that satisfies*

$$M_n^f(\lambda)^n = \operatorname{res}_z \left(\Phi_n^f(z), \lambda - \left(f^{\circ n}\right)'(z)\right) .$$

Furthermore, the polynomial M_n^f has degree $\frac{\nu(n)}{n}$.

Definition 39. Suppose that R is an integral domain and $f \in R[z]$ is a monic polynomial of degree d. For $n \geq 1$, the polynomial M_n^f is called the nth *multiplier polynomial* of f.

Remark 40. If R is a commutative ring that is not an integral domain and $f \in R[z]$ is a monic polynomial of degree d, then, for every $n \geq 1$, the existence of a polynomial M_n^f as in Proposition 38 holds but the uniqueness may fail. For example, if

$$R = \mathbb{Z}/4\mathbb{Z} \quad \text{and} \quad f(z) = z^2 \in R[z] ,$$

then we have $\left(f^{\circ 2}\right)'(z) = 0$, and hence

$$\operatorname{res}_z \left(\Phi_2^f(z), \lambda - \left(f^{\circ 2}\right)'(z)\right) = \lambda^2 = (\lambda + 2)^2 .$$

If K is an algebraically closed field, $f \in K[z]$ is a monic polynomial of degree d and $n \geq 1$, then we have

$$M_n^f(\lambda)^n = \prod_{j=1}^{\nu(n)} \left(\lambda - \left(f^{\circ n}\right)'(z_j)\right) ,$$

where $z_1, \ldots, z_{\nu(n)}$ are the – not necessarily distinct – roots of the polynomial Φ_n^f. Note that, if $z_0 \in K$ is a periodic point for f with period a proper divisor k of n and multiplier a $\frac{n}{k}$th root of unity, then we have $\left(f^{\circ n}\right)'(z_0) = 1$ by the chain rule. Therefore, by Proposition 34, we have the result below, which gives the connection between the multipliers of a monic polynomial map and its multiplier polynomials.

Proposition 41. *Assume that K is an algebraically closed field, $f \in K[z]$ is a monic polynomial of degree d and $n \geq 1$. Then $\lambda_0 \in K$ is a root of the polynomial M_n^f if and only if*

- *λ_0 is the multiplier of f at a cycle with period n,*
- *or λ_0 equals 1 and there exist integers $k, l \geq 1$ such that $n = kl$ and f has a cycle with period k and multiplier a primitive lth root of unity,*
- *or λ_0 equals 1, the field K has characteristic $p > 0$ and there exist integers $k, l, m \geq 1$ such that $n = klp^m$ and f has a cycle with period k and multiplier a primitive lth root of unity.*

An immediate consequence of Proposition 41 is the following result, which is the key ingredient in our proofs of Theorem 6 and Theorem 8:

Corollary 42. *Assume that K is an algebraically closed field, R is a subring of K, $f \in K[z]$ is a monic polynomial of degree d and $n \geq 1$. Then the multipliers of f at its cycles with period n all lie in R if and only if the polynomial M_n^f splits into linear factors of $R[\lambda]$.*

Let us now focus on the multiplier polynomials associated with the family $(f_c)_{c \in \mathbb{C}}$. We may determine the multiplicities of their roots to obtain the following stronger version of Proposition 41:

Proposition 43. *Assume that $c \in \mathbb{C}$ and $n \geq 1$. Then $\lambda_0 \in \mathbb{C}$ is a root of the polynomial $M_n^{f_c}$ if and only if*

- *either λ_0 is not 1 and is the multiplier of f_c at a cycle with period n, in which case $\operatorname{ord}_{\lambda_0} M_n^{f_c}$ equals the number of cycles for f_c with period n and multiplier λ_0,*
- *or λ_0 equals 1 and is the multiplier of f_c at a cycle with period n, in which case $\operatorname{ord}_{\lambda_0} M_n^{f_c} = 2$,*
- *or λ_0 equals 1 and f_c has a cycle with period a proper divisor k of n and multiplier a primitive $\frac{n}{k}$th root of unity, in which case $\operatorname{ord}_{\lambda_0} M_n^{f_c} = 1$.*

Proof. Since the polynomial $\Phi_n^{f_c}$ is monic, we have

$$M_n^{f_c}(\lambda)^n = \prod_{j=1}^{\nu(n)} \left(\lambda - \left(f_c^{\circ n}\right)'(z_j)\right) ,$$

where $z_1, \ldots, z_{\nu(n)}$ are the – not necessarily distinct – roots of the polynomial $\Phi_n^{f_c}$. By Proposition 36, it follows that

$$M_n^{f_c}(\lambda) = (\lambda - 1)^{2p+q} \prod_{j=1}^{r} \left(\lambda - \left(f_c^{\circ n}\right)'(w_j)\right) ,$$

where p is the number of cycles for f_c with period n and multiplier 1, q is the number of cycles for f_c with period a proper divisor k of n and multiplier a primitive $\frac{n}{k}$th root of unity and $w_1, \ldots, w_r$ are representatives for the cycles for f_c with period n and multiplier different from 1. Since every cycle of parabolic basins for f_c contains the unique critical point $0 \in \mathbb{C}$, we have $p + q \in \{0, 1\}$. This completes the proof of the proposition. □

Now, view c as an indeterminate over $\mathbb{Z}$ and recall that

$$\boldsymbol{R} = \mathbb{Z}[c] \quad \text{and} \quad \boldsymbol{f}(z) = z^d + c \in \boldsymbol{R}[z] .$$

For $n \geq 1$, define $M_n \in \mathbb{Z}[c, \lambda]$ to be the image of $M_n^{\boldsymbol{f}}$ under the canonical ring isomorphism from $\boldsymbol{R}[\lambda]$ to $\mathbb{Z}[c, \lambda]$.

By the uniqueness in Proposition 38, for every $c \in \mathbb{C}$ and every $n \geq 1$, we have

$$M_n^{f_c}(\lambda) = M_n(c, \lambda) \in \mathbb{C}[\lambda] .$$

In particular, the coefficients of the polynomials $M_n^{f_c}$, with $n \geq 1$, are polynomials in c with integer coefficients. In fact, the following result shows that more is true, as observed in Remark 13 and Remark 20.

Proposition 44. *For every $n \geq 1$, the polynomial M_n lies in $\mathbb{Z}\left[d^d c^{d-1}, \lambda\right]$, has leading coefficient $\pm d^{\nu(n)}$ and degree $\frac{(d-1)\nu(n)}{d}$ in c and is monic in λ of degree $\frac{\nu(n)}{n}$.*

Proof. We have

$$M_n(c, \lambda) = \lambda^{\frac{\nu(n)}{n}} + \sum_{j=1}^{\frac{\nu(n)}{n}} (-1)^j \sigma_j(c) \lambda^{\frac{\nu(n)}{n} - j} ,$$

where $\sigma_1, \ldots, \sigma_{\frac{\nu(n)}{n}} \in \boldsymbol{R}$ are the elementary symmetric functions of the – not necessarily distinct – roots of the polynomial $M_n^{\boldsymbol{f}}$ in an algebraic closure $\boldsymbol{K}$ of the field of fractions of $\boldsymbol{R}$. Moreover, for every parameter $c \in \mathbb{C}$, since we have

$$M_n^{f_c}(\lambda) = M_n(c, \lambda) \in \mathbb{C}[\lambda] ,$$

$\sigma_1(c), \dots, \sigma_{\frac{\nu(n)}{n}}(c)$ are the elementary symmetric functions of the – not necessarily distinct – roots of the polynomial $M_n^{f_c}$.

First, let us prove that, for every $j \in \left\{1, \dots, \frac{\nu(n)}{n}\right\}$, we have $\sigma_j \in \mathbb{Z}\left[d^d c^{d-1}\right]$. Choose a primitive $(d-1)$th root of unity $\omega \in \mathbb{C}$. For every parameter $c \in \mathbb{C}$, since the maps f_c and $f_{\omega c}$ are affinely conjugate, it follows from Proposition 43 that the polynomials $M_n^{f_c}$ and $M_n^{f_{\omega c}}$ have the same roots – counting multiplicities. Therefore, for every $j \in \left\{1, \dots, \frac{\nu(n)}{n}\right\}$, we have $\sigma_j(c) = \sigma_j(\omega c)$ for all $c \in \mathbb{C}$, and hence σ_j lies in the subring $\mathbb{Z}\left[c^{d-1}\right]$ of $\boldsymbol{R}$. Since $\mathbb{Z}\left[d^d c^{d-1}\right]$ is integrally closed in $\mathbb{Z}\left[c^{d-1}\right]$ and each root of $M_n^{\boldsymbol{f}}$ in $\boldsymbol{K}$ is of the form

$$\left(\boldsymbol{f}^{\circ n}\right)'(z_0) = \prod_{j=0}^{n-1} \boldsymbol{f}'\left(\boldsymbol{f}^{\circ j}(z_0)\right),$$

where $z_0 \in \boldsymbol{K}$ is a periodic point for $\boldsymbol{f} \colon \boldsymbol{K} \to \boldsymbol{K}$, it suffices to prove the fact below (compare [Mil14, Theorem 1.1]) to conclude that $\sigma_j \in \mathbb{Z}\left[d^d c^{d-1}\right]$ for all $j \in \left\{1, \dots, \frac{\nu(n)}{n}\right\}$. Thus, the polynomial M_n lies in $\mathbb{Z}\left[d^d c^{d-1}, \lambda\right]$.

Claim 45. If $z_0 \in \boldsymbol{K}$ is a periodic point for $\boldsymbol{f}$, then $\boldsymbol{f}'(z_0)$ is integral over $\mathbb{Z}\left[d^d c^{d-1}\right]$.

Proof of Claim 45. Choose a primitive $(d-1)$th root $d^{\frac{1}{d-1}}$ of d in $\boldsymbol{K}$, and, for $m \in \mathbb{Z}$, define $d^{\frac{m}{d-1}} = \left(d^{\frac{1}{d-1}}\right)^m$. For every $k \geq 1$, we have

$$d^{\frac{d^k}{d-1}} \boldsymbol{f}^{\circ k}\left(\frac{z}{d^{\frac{1}{d-1}}}\right) = \left(d^{\frac{d^{k-1}}{d-1}} \boldsymbol{f}^{\circ (k-1)}\left(\frac{z}{d^{\frac{1}{d-1}}}\right)\right)^d + d^{\frac{d^k}{d-1}} c\,.$$

It follows by induction that, for every $k \geq 0$, the polynomial $d^{\frac{d^k}{d-1}} \boldsymbol{f}^{\circ k}\left(\frac{z}{d^{\frac{1}{d-1}}}\right)$ is monic in z of degree d^k with coefficients in $\mathbb{Z}\left[d^{\frac{d}{d-1}} c\right]$. Therefore, $d^{\frac{1}{d-1}} z_0$ is integral over $\mathbb{Z}\left[d^d c^{d-1}\right]$ since it is a root of the monic polynomial

$$d^{\frac{d^k}{d-1}} \boldsymbol{f}^{\circ k}\left(\frac{z}{d^{\frac{1}{d-1}}}\right) - d^{\frac{d^k-1}{d-1}} z \in \mathbb{Z}\left[d^{\frac{d}{d-1}} c\right][z]\,,$$

where k is the period of z_0, and $d^{\frac{d}{d-1}} c$ is integral over $\mathbb{Z}\left[d^d c^{d-1}\right]$, and hence the same is true of $\boldsymbol{f}'(z_0) = d z_0^{d-1}$. Thus, the claim is proved. □

It remains to examine the leading term of the polynomial M_n in c. To do this, let us first prove the following fact:

Claim 46. If $c \in \mathbb{C}$ and $z_0 \in \mathbb{C}$ is a periodic point for f_c, then $|z_0| \leq 1 + |c|^{\frac{1}{d}}$.

Proof of Claim 46. The map $\psi_c \colon x \mapsto x^d - x - |c|$ is strictly increasing on $[1, +\infty)$ and satisfies $\psi_c\left(1 + |c|^{\frac{1}{d}}\right) \geq 0$. Therefore, whenever $|z| > 1 + |c|^{\frac{1}{d}}$, we have

$$|f_c(z)| \geq |z|^d - |c| > |z|\,.$$

It follows that, if $z_0 \in \mathbb{C}$ satisfies $|z_0| > 1 + |c|^{\frac{1}{d}}$, then $\left(f_c^{\circ k}(z_0)\right)_{k \geq 0}$ diverges to ∞, and hence z_0 is not periodic for f_c. Thus, the claim is proved. □

Now, note that, if $c \in \mathbb{C}$ and $\lambda_0 \in \mathbb{C}$ is a root of the polynomial $M_n^{f_c}$, then there exists a periodic point $z_0 \in \mathbb{C}$ for f_c such that

$$\lambda_0 = \left(f_c^{\circ n}\right)'(z_0) = d^n \prod_{j=0}^{n-1} f^{\circ j}(z_0)^{d-1} .$$

If $c \in \mathbb{C}$ and $z_0 \in \mathbb{C}$ is a periodic point for f_c, then we have $|f_c(z_0)| \leq 1 + |c|^{\frac{1}{d}}$ by Claim 46, and hence

$$\left| |z_0| - |c|^{\frac{1}{d}} \right| \leq \left(1 + |c|^{\frac{1}{d}}\right)^{\frac{1}{d}} .$$

It follows that there exists a map $\eta \colon \mathbb{C} \to \mathbb{R}_{>0}$ that satisfies

$$\eta(c) = O\left(|c|^{\frac{(d-1)n}{d} - \frac{d-1}{d^2}}\right) \quad \text{as} \quad c \to \infty$$

and

$$\left| |\lambda_0| - d^n |c|^{\frac{(d-1)n}{d}} \right| \leq \eta(c)$$

whenever $c \in \mathbb{C}$ and $\lambda_0 \in \mathbb{C}$ is a root of $M_n^{f_c}$. Therefore, for every $j \in \left\{1, \ldots, \frac{\nu(n)}{n}\right\}$, the polynomial $\sigma_j \in \mathbb{Z}[c]$ has degree at most $\frac{j(d-1)n}{d}$, with equality and leading coefficient $\pm d^{\nu(n)}$ if $j = \frac{\nu(n)}{n}$. This completes the proof of the proposition. □

Now, let us consider the *multibrot set*

$$\mathcal{M} = \{c \in \mathbb{C} : 0 \text{ has bounded forward orbit under } f_c\} .$$

For $n \geq 1$, we call *hyperbolic component* of $\mathcal{M}$ with period n a component W of the set of parameters $c \in \mathbb{C}$ for which the map f_c has an attracting cycle with period n. Given a hyperbolic component W of $\mathcal{M}$ and a parameter $c \in W$, we denote by $\lambda_W(c)$ the multiplier of f_c at its unique attracting cycle. For every hyperbolic component W of $\mathcal{M}$, the map $\lambda_W \colon W \to D(0,1)$ is a branched $(d-1)$-sheeted holomorphic covering map, its unique critical point is the unique parameter $c_W \in W$ for which the point 0 is periodic for f_{c_W} and λ_W extends to a unique map $\widetilde{\lambda_W} \colon \overline{W} \to \overline{D(0,1)}$ that induces a covering map from $\overline{W} \setminus \{c_W\}$ onto $\overline{D(0,1)} \setminus \{0\}$. Furthermore, for every $n \geq 1$, the hyperbolic components of $\mathcal{M}$ with period n have pairwise disjoint closures (see [DH85, Exposé XIV and Exposé XIX] or [Mil00, Theorem 6.5]). Using these facts, we obtain the following result (compare [MV95, Proposition 3.2]):

Proposition 47. *Assume that $n \geq 1$. Then, for every $\lambda_0 \in \mathbb{C}$ that satisfies $0 < |\lambda_0| \leq 1$, the polynomial $M_n(c, \lambda_0) \in \mathbb{C}[c]$ is separable. Furthermore, we have*

$$M_n(c, 0) = \pm d^{\nu(n)} \Phi_n(c, 0)^{d-1}$$

and the polynomial $\Phi_n(c, 0) \in \mathbb{Z}[c]$ is separable.

Suppose that $n \geq 1$. The polynomial $\Phi_n^{\boldsymbol{f}}$ is irreducible over $\mathbb{C}(c)$ by Proposition 37, and hence its roots in an algebraic closure of $\mathbb{C}(c)$ are Galois conjugates of each other over $\mathbb{C}(c)$. It follows that the same is true of the polynomial $M_n^{\boldsymbol{f}}$, which shows that the polynomial M_n is the power of some irreducible polynomial in $\mathbb{C}[c, \lambda]$. Therefore, since the polynomial $M_n(c, 1) \in \mathbb{Z}[c]$ is separable by Proposition 47, we have the following:

Proposition 48 ([Mor96, Corollary 1])**.** *For every $n \geq 1$, the polynomial M_n is irreducible over $\mathbb{C}$.*

3.3. **Discriminants of the multiplier polynomials.** Finally, let us study here the discriminants of the multiplier polynomials associated with the family $(f_c)_{c\in\mathbb{C}}$. Their expressions, for $d \in \{2,3\}$ and small values of n, are an essential ingredient in our proofs of Proposition 17 and Proposition 23.

For $n \geq 1$, define

$$\Delta_n(c) = \operatorname{disc}_\lambda M_n(c,\lambda) \in \mathbb{Z}[c]\,.$$

In fact, for every $n \geq 1$, the polynomial Δ_n lies in $\mathbb{Z}\left[d^d c^{d-1}\right]$ by Proposition 44. Furthermore, for every $c \in \mathbb{C}$ and every $n \geq 1$, we have

$$\Delta_n(c) = \operatorname{disc} M_n^{f_c} \in \mathbb{C}\,.$$

Example 49. By Example 24, we have

$$M_1(c,\lambda) = \lambda(\lambda-d)^{d-1} + (-d)^d c^{d-1} \quad \text{and} \quad \frac{\partial M_1}{\partial \lambda}(c,\lambda) = d(\lambda-1)(\lambda-d)^{d-2}\,.$$

Therefore, we have

$$\begin{aligned}\Delta_1(c) &= (-1)^{\frac{d(d-1)}{2}} \operatorname{res}_\lambda\left(M_1(c,\lambda), \frac{\partial M_1}{\partial\lambda}(c,\lambda)\right)\\ &= (-1)^{\frac{d(d-1)}{2}} d^d M_1(c,1) M_1(c,d)^{d-2}\\ &= (-1)^{\frac{d(d-1)}{2}} d^{d(d-1)} c^{(d-1)(d-2)}\left(d^d c^{d-1} - (d-1)^{d-1}\right)\,.\end{aligned}$$

Suppose that $n \geq 1$. By Proposition 43, the roots of the polynomial Δ_n are precisely the parameters $c_0 \in \mathbb{C}$ for which f_{c_0} has a cycle with period n and multiplier 1 or f_{c_0} has two distinct cycles with period n and the same multiplier. Thus, in order to factor Δ_n, it is natural to try to define a polynomial that vanishes precisely at the parameters $c_0 \in \mathbb{C}$ for which the map f_{c_0} has a cycle with period n and multiplier 1. Note that, by Proposition 43, the roots of the polynomial $M_n(c,1) \in \mathbb{Z}[c]$ are precisely the parameters $c_0 \in \mathbb{C}$ for which either f_{c_0} has a cycle with period n and multiplier 1 or f_{c_0} has a cycle with period a proper divisor k of n and multiplier a primitive $\frac{n}{k}$th root of unity, which suggests factoring this polynomial.

For $k \geq 1$ and $l \geq 2$, define

$$P_{k,l}(c) = \operatorname{res}_\lambda\left(C_l(\lambda), M_k(c,\lambda)\right) \in \mathbb{Z}[c]\,,$$

where $C_l \in \mathbb{Z}[\lambda]$ denotes the lth cyclotomic polynomial. We have

$$P_{k,l}(c) = \prod_{j=1}^{\varphi(l)} M_k\left(c,\omega_j\right)\,,$$

where $\varphi\colon \mathbb{Z}_{\geq 1} \to \mathbb{Z}_{\geq 1}$ denotes Euler's totient function and $\omega_1,\ldots,\omega_{\varphi(l)}$ are the primitive lth roots of unity in $\mathbb{C}$. By Proposition 43 and Proposition 44, it follows that the polynomial $P_{k,l}$ lies in $\mathbb{Z}\left[d^d c^{d-1}\right]$, has leading coefficient ± 1 in $d^d c^{d-1}$ and its roots are precisely the parameters $c_0 \in \mathbb{C}$ for which the map f_{c_0} has a cycle with period k and multiplier a primitive lth root of unity. Furthermore, by Proposition 47 and since every cycle of parabolic basins contains a critical point, the polynomial $P_{k,l}$ is separable and, for every $k' \geq 1$ and every $l' \geq 2$ such that $(k,l) \neq (k',l')$, the polynomials $P_{k,l}$ and $P_{k',l'}$ have no common roots.

Remark 50. For every $k \geq 1$ and every $l \geq 2$, the parameters $c_0 \in \mathbb{C}$ for which the map f_{c_0} has a cycle with period k and multiplier a primitive lth root of unity are precisely the parameters at which a cycle with period kl degenerates to a cycle

with period k. These are the parameters that lie in the intersections of the closures of hyperbolic components of $\mathcal{M}$ with period k with the closures of hyperbolic components of $\mathcal{M}$ with period kl.

Suppose that $n \geq 1$. By Proposition 43, Proposition 44 and Proposition 47, the polynomial $M_n(c,1) \in \mathbb{Z}[c]$ lies in $\mathbb{Z}\left[d^d c^{d-1}\right]$, has leading coefficient ± 1 in $d^d c^{d-1}$ and its roots are simple and are precisely the roots of the polynomials $P_{k,\frac{n}{k}}$, with k a proper divisor of n, and the parameters $c_0 \in \mathbb{C}$ for which the map f_{c_0} has a cycle with period n and multiplier 1. Furthermore, the polynomial

$$\prod_{k|n,\, k\neq n} P_{k,\frac{n}{k}} \in \mathbb{Z}[c]$$

lies in $\mathbb{Z}\left[d^d c^{d-1}\right]$, has leading coefficient ± 1 in $d^d c^{d-1}$ and is separable by the discussion above. Therefore, there exists a unique polynomial $Q_n \in \mathbb{Z}[c]$ such that

$$M_n(c,1) = Q_n(c) \prod_{k|n,\, k\neq n} P_{k,\frac{n}{k}}(c)$$

and the polynomial Q_n lies in $\mathbb{Z}\left[d^d c^{d-1}\right]$, has leading coefficient ± 1 in $d^d c^{d-1}$ and its roots are simple and are precisely the parameters $c_0 \in \mathbb{C}$ for which the map f_{c_0} has a cycle with period n and multiplier 1 (see [MV95, Theorem A]).

Remark 51. For every $n \geq 1$, the parameters $c_0 \in \mathbb{C}$ for which the map f_{c_0} has a cycle with period n and multiplier 1 are precisely the parameters at which two distinct cycles with period n collide. These are the parameters that occur at the cusps of the hyperbolic components of $\mathcal{M}$ with period n.

Since the polynomials Q_n and Δ_n lie in $\mathbb{Z}\left[d^d c^{d-1}\right]$ and the polynomial Q_n has leading coefficient ± 1 in $d^d c^{d-1}$ and its roots are simple and are also roots of Δ_n, the polynomial Q_n divides Δ_n in $\mathbb{Z}\left[d^d c^{d-1}\right]$. In fact, the result below shows that more is true, as observed in Remark 15 (compare [Mor96, Proposition 9]).

Proposition 52. *For every $n \geq 1$, there exist a unique squarefree integer a_n and a unique polynomial $R_n \in \mathbb{Z}[c]$ with positive leading coefficient that satisfy*

$$\Delta_n = a_n Q_n R_n^2 \,.$$

Furthermore, the polynomial R_n lies in $\mathbb{Z}\left[d^d c^{d-1}\right]$ and its roots are precisely the parameters $c_0 \in \mathbb{C}$ for which the map f_{c_0} has two distinct cycles with period n and the same multiplier.

Proof. Since the polynomials Q_n and Δ_n lie in $\mathbb{Z}\left[d^d c^{d-1}\right]$ and the polynomial Q_n has leading coefficient ± 1 in $d^d c^{d-1}$, is separable and its roots are also roots of Δ_n, it suffices to prove that, for every parameter $c_0 \in \mathbb{C}$, we have

$$\operatorname{ord}_{c_0} \Delta_n = \varepsilon_n(c_0) + 2\kappa_n(c_0) \,,$$

where $\varepsilon_n(c_0) \in \{0,1\}$ equals 1 if and only if f_{c_0} has a cycle with period n and multiplier 1 and $\kappa_n(c_0) \in \mathbb{Z}_{\geq 0}$ is positive if and only if f_{c_0} has two distinct cycles with period n and the same multiplier.

Suppose that $c_0 \in \mathbb{C}$. Choose representatives $z_1, \ldots, z_r$ for the cycles for f_{c_0} with period n and multiplier different from 1. For every $j \in \{1, \ldots, r\}$, we have $\operatorname{ord}_{z_j} \Phi_n^{f_{c_0}} = 1$ by Proposition 36, and hence $\frac{\partial \Phi_n}{\partial z}(c_0, z_j) \neq 0$. By the implicit

function theorem, it follows that there exist a complex domain U containing c_0 and holomorphic maps

$$\zeta_1, \dots, \zeta_r \colon U \to \mathbb{C}$$

such that, for every $j \in \{1, \dots, r\}$, we have $\zeta_j(c_0) = z_j$ and $\Phi_n(c, \zeta_j(c)) = 0$ for all $c \in U$. Now, embed $\boldsymbol{R} = \mathbb{Z}[c]$ into the ring $\boldsymbol{S} = \mathcal{H}(U)$ of holomorphic maps on U. For every $j \in \{1, \dots, r\}$, we have $\Phi_n^{\boldsymbol{f}}(\zeta_j) = 0$, and hence ζ_j is a periodic point for the map $\boldsymbol{f} \colon \boldsymbol{S} \to \boldsymbol{S}$ with period a divisor of n. Since the points $\zeta_j(c_0) = z_j$, with $j \in \{1, \dots, r\}$, are periodic for f_{c_0} with period n and belong to pairwise distinct cycles, it follows that the points ζ_j, with $j \in \{1, \dots, r\}$, are periodic points for $\boldsymbol{f} \colon \boldsymbol{S} \to \boldsymbol{S}$ with period n, which belong to pairwise distinct cycles. Consequently, there exists a unique monic polynomial $\Psi_n \in \boldsymbol{S}[z]$ such that

$$\Phi_n^{\boldsymbol{f}}(z) = \Psi_n(z) \prod_{j=1}^{r} \prod_{k=0}^{n-1} \left(z - \boldsymbol{f}^{\circ k}(\zeta_j) \right) ,$$

and we have

$$M_n^{\boldsymbol{f}}(\lambda)^n = \operatorname{res}_z \left(\Psi_n(z), \lambda - (\boldsymbol{f}^{\circ n})'(z) \right) \prod_{j=1}^{r} (\lambda - \lambda_j)^n ,$$

where $\lambda_j = (\boldsymbol{f}^{\circ n})'(\zeta_j)$ is the multiplier of $\boldsymbol{f} \colon \boldsymbol{S} \to \boldsymbol{S}$ at ζ_j for $j \in \{1, \dots, r\}$. Therefore, there exists a unique monic polynomial $N_n \in \boldsymbol{S}[\lambda]$ that satisfies

$$M_n^{\boldsymbol{f}}(\lambda) = N_n(\lambda) \prod_{j=1}^{r} (\lambda - \lambda_j) .$$

Now, define

$$\kappa_n(c_0) = \sum_{1 \le j < k \le r} \operatorname{ord}_{c_0}(\lambda_j - \lambda_k) \in \mathbb{Z}_{\ge 0} ,$$

which is positive if and only if the map f_{c_0} has two distinct cycles with period n and the same multiplier since $\lambda_j(c_0) = \left(f_{c_0}^{\circ n}\right)'(z_j)$ for all $j \in \{1, \dots, r\}$. Let us consider three different cases.

Assume that f_{c_0} has neither a cycle with period a proper divisor k of n and multiplier a primitive $\frac{n}{k}$th root of unity nor a cycle with period n and multiplier 1. Then, by Proposition 43, we have

$$M_n^{f_{c_0}}(\lambda) = \prod_{j=1}^{r} \left(\lambda - \left(f_{c_0}^{\circ n}\right)'(z_j) \right) = \prod_{j=1}^{r} (\lambda - \lambda_j(c_0)) ,$$

and hence $r = \frac{\nu(n)}{n}$ and $N_n = 1$. Therefore, we have

$$\Delta_n = \operatorname{disc} M_n^{\boldsymbol{f}} = \prod_{1 \le j < k \le \frac{\nu(n)}{n}} (\lambda_j - \lambda_k)^2 ,$$

and hence $\operatorname{ord}_{c_0} \Delta_n = 2\kappa_n(c_0)$.

Assume now that f_{c_0} has a cycle with period a proper divisor k of n and multiplier a primitive $\frac{n}{k}$th root of unity. Then, by Proposition 43, we have

$$M_n^{f_{c_0}}(\lambda) = (\lambda - 1) \prod_{j=1}^{r} (\lambda - \lambda_j(c_0)) ,$$

and hence $r = \frac{\nu(n)}{n} - 1$ and there exists a holomorphic map $\rho\colon U \to \mathbb{C}$ such that $\rho(c_0) = 1$ and $N_n(\lambda) = \lambda - \rho$. Therefore, we have

$$\Delta_n = \prod_{j=1}^{\frac{\nu(n)}{n}-1} (\rho - \lambda_j)^2 \prod_{1 \le j < k \le \frac{\nu(n)}{n}-1} (\lambda_j - \lambda_k)^2 ,$$

and hence $\operatorname{ord}_{c_0} \Delta_n = 2\kappa_n(c_0)$ since

$$\prod_{j=1}^{\frac{\nu(n)}{n}-1} (\rho - \lambda_j)(c_0)^2 = \prod_{j=1}^{\frac{\nu(n)}{n}-1} \left(1 - \left(f_{c_0}^{\circ n}\right)'(z_j)\right)^2 \neq 0 .$$

Finally, assume that f_{c_0} has a cycle with period n and multiplier 1. Then, by Proposition 43, we have

$$M_n^{f_{c_0}}(\lambda) = (\lambda - 1)^2 \prod_{j=1}^{r} (\lambda - \lambda_j(c_0)) ,$$

and hence $r = \frac{\nu(n)}{n} - 2$ and there exist holomorphic maps $\sigma_1, \sigma_2\colon U \to \mathbb{C}$ such that

$$\sigma_1(c_0) = 2 , \quad \sigma_2(c_0) = 1 \quad \text{and} \quad N_n(\lambda) = \lambda^2 - \sigma_1\lambda + \sigma_2 .$$

Therefore, we have

$$\Delta_n = \left(\sigma_1^2 - 4\sigma_2\right) \prod_{j=1}^{\frac{\nu(n)}{n}-2} \left(\lambda_j^2 - \sigma_1\lambda_j + \sigma_2\right)^2 \prod_{1 \le j < k \le \frac{\nu(n)}{n}-2} (\lambda_j - \lambda_k)^2 ,$$

and hence

$$\operatorname{ord}_{c_0} \Delta_n = \operatorname{ord}_{c_0}\left(\sigma_1^2 - 4\sigma_2\right) + 2\kappa_n(c_0)$$

since we have

$$\prod_{j=1}^{\frac{\nu(n)}{n}-2} \left(\lambda_j^2 - \sigma_1\lambda_j + \sigma_2\right)(c_0)^2 = \prod_{j=1}^{\frac{\nu(n)}{n}-2} \left(\left(f_{c_0}^{\circ n}\right)'(z_j) - 1\right)^4 \neq 0 .$$

We have $\left(\sigma_1^2 - 4\sigma_2\right)(c_0) = 0$. Since the polynomial $M_n(c, 1) \in \mathbb{Z}[c]$ is separable by Proposition 47, we have

$$\frac{\partial M_n}{\partial c}(c_0, 1) = \left(-\sigma_1'(c_0) + \sigma_2'(c_0)\right) \prod_{j=1}^{\frac{\nu(n)}{n}-2} (1 - \lambda_j(c_0)) \neq 0 ,$$

and hence

$$\left(\sigma_1^2 - 4\sigma_2\right)'(c_0) = -4\left(-\sigma_1'(c_0) + \sigma_2'(c_0)\right) \neq 0 .$$

Therefore, we have $\operatorname{ord}_{c_0}\left(\sigma_1^2 - 4\sigma_2\right) = 1$, and hence

$$\operatorname{ord}_{c_0} \Delta_n = 1 + 2\kappa_n(c_0) .$$

This completes the proof of the proposition. □

Example 53. By Example 24 and Example 49, we have

$$Q_1(c) = M_1(c, 1) = (-1)^d \left(d^d c^{d-1} - (d-1)^{d-1}\right)$$

and

$$\Delta_1(c) = (-1)^{\frac{d(d-1)}{2}} d^{d(d-1)} c^{(d-1)(d-2)} \left(d^d c^{d-1} - (d-1)^{d-1}\right) .$$

Therefore, we have

$$a_1 = (-1)^{\frac{d(d+1)}{2}} \quad \text{and} \quad R_1(c) = d^{\frac{d(d-1)}{2}} c^{\frac{(d-1)(d-2)}{2}} .$$

Note that the polynomials Q_1 and R_1 have no common roots, which shows that there is no parameter $c_0 \in \mathbb{C}$ for which the map f_{c_0} has both a fixed point with multiplier 1 and two distinct fixed points with the same multiplier. Using the software SageMath, we observe that the same is true of the polynomials Q_n and R_n for small values of d and n. Thus, it seems likely that the following question has a negative answer.

Question 54. Does there exist an integer $n \geq 1$ such that the polynomials Q_n and R_n have a common root? Equivalently, does there exist an integer $n \geq 1$ and a unicritical polynomial map $f\colon \mathbb{C} \to \mathbb{C}$ of degree d that has both a cycle with period n and multiplier 1 and two distinct cycles with period n and the same multiplier?

Finally, note that, if $n \geq 1$ and $c_0 \in \mathbb{C}$ is a parameter such that the map f_{c_0} has a rational multiplier at each cycle with period 1 or n, then c_0^{d-1} is rational and $\Delta_n(c_0)$ is the square of a rational number, and hence $R_n(c_0) = 0$ or $a_n Q_n(c_0)$ is the square of a rational number. Thus, it would be interesting to determine the integers a_n, with $n \geq 1$. We proved in Example 53 that $a_1 = \pm 1$. Using the software SageMath, we also obtain that $a_n = \pm 1$ for small values of d and n, which suggests the following:

Question 55. Do we have $a_n = \pm 1$ for all $n \geq 1$?

The periodic points for $f_{-t^d}\colon z \mapsto z^d - t^d$ have Laurent expansions in $t^{-(d-1)}$ with coefficients in $\mathbb{Q}(\omega)$, where $\omega \in \mathbb{C}$ is a primitive dth root of unity, for t around ∞ (compare [Mor96, Lemma 2]), and hence the same is true of their multipliers. Using this fact, it is possible to prove that the question above has a positive answer when $d = 2$.

Appendix A. A Fermat-like Diophantine equation

We shall prove here the following statement, which is a crucial argument in our proof of Proposition 17:

Lemma 56. *Assume that $x, y, z \in \mathbb{Z}$ satisfy $x^3 + y^3 = 4z^3$. Then $z = 0$.*

Our proof of Lemma 56 is adapted from the proof of Fermat's last theorem for exponent 3 presented in [Hin11] and uses the principle of infinite descent.

Define $\mathbb{A} = \mathbb{Z}[j]$ to be the ring of Eisenstein integers, where $j = \exp\left(\frac{2\pi i}{3}\right) \in \mathbb{C}$. The ring $\mathbb{A}$ is a Euclidean domain and its group of units

$$\mathbb{A}^\times = \left\{\pm 1, \pm j, \pm j^2\right\}$$

consists of the 6th roots of unity.

First, observe that $\lambda = 1 - j \in \mathbb{A}$ is irreducible.

Claim 57. The quotient ring $\mathbb{A}/\lambda\mathbb{A}$ consists of the residue classes of -1, 0 and 1 (see Figure 1).

Proof of Claim 57. Every element of $\mathbb{A}$ is congruent to an element of $\mathbb{Z}$ modulo λ since $j \equiv 1 \pmod{\lambda}$ and every element of $\mathbb{Z}$ is congruent to either -1, 0 or 1 modulo $3 = -j^2\lambda^2$. □

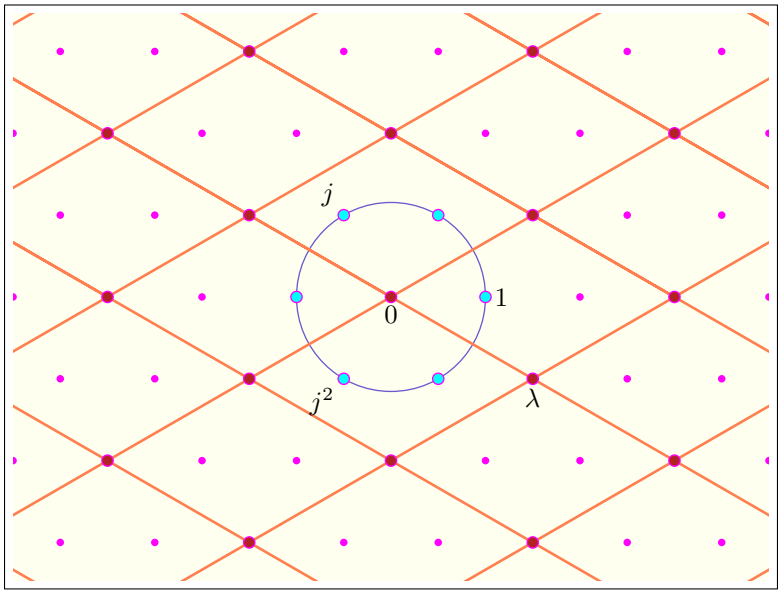

FIGURE 1. The ring of Eisenstein integers, its units and its ideal $\lambda\mathbb{A}$.

Claim 58. The ring $\mathbb{A}/\lambda^3\mathbb{A}$ contains exactly 3 cubes, namely the residue classes of -1, 0 and 1 (see Figure 2). More precisely, for every $a \in \mathbb{A}$,

- either $a \equiv -1 \pmod{\lambda}$ and $a^3 \equiv -1 \pmod{\lambda^3}$,
- or $a \equiv 0 \pmod{\lambda}$ and $a^3 \equiv 0 \pmod{\lambda^3}$,
- or $a \equiv 1 \pmod{\lambda}$ and $a^3 \equiv 1 \pmod{\lambda^3}$.

Proof of Claim 58. If λ divides a, then λ^3 divides a^3. If $a \equiv 1 \pmod{\lambda}$, then there exists $b \in \mathbb{A}$ such that $a = 1 + \lambda b$, and we have

$$a^3 - 1 = (a-1)(a-j)\left(a - j^2\right) = \lambda^3 b(b+1)(b+1+j)\,.$$

If $a \equiv -1 \pmod{\lambda}$, then $a^3 \equiv -1 \pmod{\lambda^3}$ since $a^3 = -(-a)^3$. □

Finally, Lemma 56 follows immediately from the following more general result:

Lemma 59. *Suppose that $x, y, z \in \mathbb{A}$ and $u, v \in \mathbb{A}^\times$ are such that $x^3 + uy^3 = 4vz^3$. Then $z = 0$.*

Proof of Lemma 59. To obtain a contradiction, suppose that there exist $x, y, z \in \mathbb{A}$, with $z \neq 0$, that are relatively prime and $u, v \in \mathbb{A}^\times$ such that $x^3 + uy^3 = 4vz^3$ and the valuation $\mathrm{ord}_\lambda(z)$ is minimal. Then x, y and z are pairwise relatively prime. Note that, by Claim 58, $x^3 + uy^3$ is at distance at most 2 from $\lambda^3\mathbb{A}$, while the distance between $4\mathbb{A}^\times$ and $\lambda^3\mathbb{A}$ equals $\sqrt{7} > 2$. Therefore, we have $z \equiv 0 \pmod{\lambda}$, $u = \pm 1$ and $x \equiv -uy \pmod{\lambda}$. It follows that

$$x + uy \equiv jx + j^2uy \equiv j^2x + juy \equiv 0 \pmod{\lambda}\,,$$

and hence there exist $a, b, c, d \in \mathbb{A}$ such that

$$x + uy = \lambda a\,, \quad jx + j^2uy = \lambda b\,, \quad j^2x + juy = \lambda c \quad \text{and} \quad z = \lambda d\,.$$

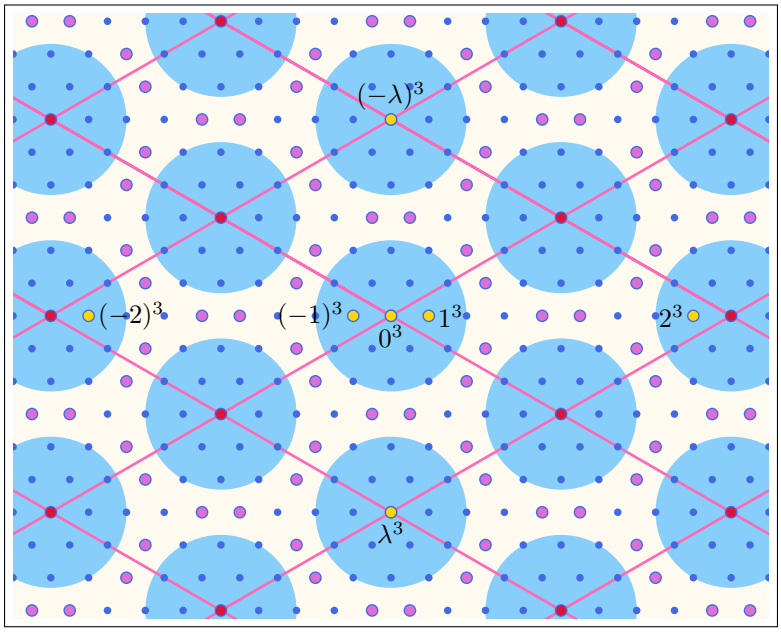

FIGURE 2. The ring of Eisenstein integers, its cubes and its ideal $\lambda^3\mathbb{A}$. The elements of the form x^3+uy^3, with $x,y\in\mathbb{A}$ and $u\in\mathbb{A}^\times$, lie in blue disks. The set $4\mathbb{A}^\times+\lambda^3\mathbb{A}$ is indicated by purple dots.

We have $a+b+c=0$ and $abc=4vd^3$. Moreover, since

$$x=-ja+j^2b=a-j^2c=-b+jc \quad\text{and}\quad uy=a-j^2b=-ja+j^2c=jb-c\,,$$

a, b and c are pairwise relatively prime. Therefore, there exist $X,Y,Z\in\mathbb{A}$, which are necessarily pairwise relatively prime, $u_X,u_Y,u_Z\in\mathbb{A}^\times$ and a permutation σ of $\{a,b,c\}$ that satisfy

$$\sigma(a)=u_XX^3\,,\quad \sigma(b)=u_YY^3 \quad\text{and}\quad \sigma(c)=4u_ZZ^3\,.$$

We have

$$X^3+UY^3=4VZ^3\,,$$

where $U=u_X^{-1}u_Y\in\mathbb{A}^\times$ and $V=-u_X^{-1}u_Z\in\mathbb{A}^\times$, and

$$3\,\mathrm{ord}_\lambda(Z)=\mathrm{ord}_\lambda\left(\sigma(c)\right)=\mathrm{ord}_\lambda(abc)=\mathrm{ord}_\lambda\left(d^3\right)=3\,\mathrm{ord}_\lambda(z)-3\,.$$

This contradicts the minimality of $\mathrm{ord}_\lambda(z)$, and thus the lemma is proved. □

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Institut de Mathématiques de Toulouse, UMR 5219, Université de Toulouse, CNRS, UPS, F-31062 Toulouse Cedex 9, France

Email address: `valentin.huguin@math.univ-toulouse.fr`