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## PERSPECTIVE OPEN



# Glass alteration in atmospheric conditions: crossing perspectives from cultural heritage, glass industry, and nuclear waste management

Odile Majerus<sup>1</sup>✉, Patrice Lehuédé<sup>2</sup>, Isabelle Biron<sup>2</sup>, Fanny Alloteau<sup>1,2</sup>, Sathya Narayanasamy<sup>3</sup> and Daniel Caurant<sup>1</sup>

While glass alteration in liquid water has been widely studied for decades, glass alteration in unsaturated atmosphere (relative humidity, RH < 100%) has been far less examined. However, the understanding of the mechanisms involved in the reactions between glass and water in vapor state is fundamental to several fields such as glass industry, conservation of glasses of the cultural heritage and long-term assessment of nuclear waste glasses. This paper outlines the issues raised by the atmospheric alteration of glass in these fields and attempts to summarize the scientific approaches and findings of the three communities. This short review reveals that atmospheric alteration should not be confused with liquid alteration at high  $S/V$  ( $S$  = exposed surface of glass and  $V$  = volume of solution), because the kinetics and the nature of the alteration products are distinct. Notably, alkalis and non-bridging oxygens may be significantly retained in glass hydrated in unsaturated atmosphere, depending on the glass composition. Future lines of research are drawn to progress in the understanding of the specificities of atmospheric glass alteration.

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## INTRODUCTION

Most silicate-based glasses are rich in alkali and alkaline-earth oxides, used as fluxes to lower the melting temperature and viscosity of the glass-forming mixture. As a consequence, these glasses may undergo substantial alteration phenomena involving chemical reaction with water notably in the vapor state, which can impinge on their long-term stability, a matter of concern in the fields of cultural heritage<sup>1</sup> (denoted CH in this paper) and of nuclear disposal<sup>2</sup>.

This paper only focuses on the alteration of glass induced by water in vapor state in unsaturated vapor conditions, i.e., when the relative humidity (RH) is strictly below 100%. To avoid repetitions and lighten the text, the terms “unsaturated atmosphere”, “humid atmosphere”, “unsaturated humidity”, and “atmospheric conditions” are also used. They all refer to the unsaturated vapor conditions. In parallel, the terms “liquid water”, “aqueous conditions”, and “immersion” all refer to the alteration of glass in contact with liquid water or with vapor at 100 RH% (because of the equilibrium with liquid water in this condition). We avoided using the term “weathering” that is defined as glass alteration due to intermittent water, humid air, and/or water contact (see the glossary in ref. <sup>3</sup>), encompassing alteration steps in liquid conditions or at 100 RH%.

The problem of chemical reactivity between atmospheric humidity and glass has been addressed for a long time, starting with the pioneering works of Organ<sup>4</sup> and of Brill<sup>5</sup> for CH glasses preserved in atmospheric conditions, and with the vapor hydration studies of US nuclear waste glass surrogates in the 1980s<sup>6</sup>. In the glass industry, studies of the chemical attack by atmospheric agents were conducted as early as the 1950s<sup>7</sup>. However, experimental studies specifically dealing with the alteration in unsaturated vapor conditions are still few in comparison with the studies of glass alteration in aqueous solution, especially in the industrial and nuclear waste

management fields. This is because the unsaturated vapor conditions were not considered as distinct from the saturated vapor and liquid conditions in the literature until recently. The only mentioned difference between the two conditions is the very limited volume of water available for reaction, so that unsaturated vapor conditions tend to be confused with the liquid conditions at extremely high  $S/V$ <sup>8</sup>. In the kinetic models of glass dissolution based on affinity laws and modified with the effect of the alteration layer on chemical diffusion, it is expected that a high  $S/V$  ratio will induce a rapid saturation of the liquid and hence diminish the dissolution rate at short observation time<sup>3,9</sup>. Therefore, the forward rate (defined as the initial Si dissolution rate in very dilute conditions) is expected to be the fastest rate at which the glass would react. The dilute liquid conditions have thus been chosen as conservative bounding conditions for studying glass alteration<sup>8</sup>.

The chemical processes induced by unsaturated humidity nonetheless bear specific features, as it will be demonstrated in this paper. Understanding their deterioration mechanisms is essential to proposing efficient and long term solutions for the conservation of altered CH glasses, and to improving the long-term behavioral simulation programs of nuclear waste storage glasses, to which the altered CH glasses may provide useful naturally aged analogs, as it has been done for glass alteration in liquid water<sup>10,11</sup>. The glass industry is concerned with the short-term alteration of the glass surface by unsaturated humidity and they are in need of a better understanding of the compositional and environmental dependency of the phenomena<sup>12</sup>.

The communication of the needs of each group as well as their complementary expertise are essential for progress and to provide answers to the different challenges in the best way in the years to come. In this paper, we propose to put in perspective the different issues, scientific approaches, and findings regarding glass alteration in atmospheric conditions in the three fields. In the second

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part of the paper, the specific features of the atmospheric alteration of glass, as compared to alteration in liquid phase, are discussed on the basis of the current knowledge. Research prospects are suggested in the last part. This perspective paper was inspired by discussions held at the International Symposium on Glass Degradation in Atmospheric Conditions, which took place in Paris in November, 2017, and gathered specialists of the three communities<sup>13</sup>.

## SIGNS AND ISSUES OF GLASS ALTERATION IN HUMID ATMOSPHERE

### Glass art objects

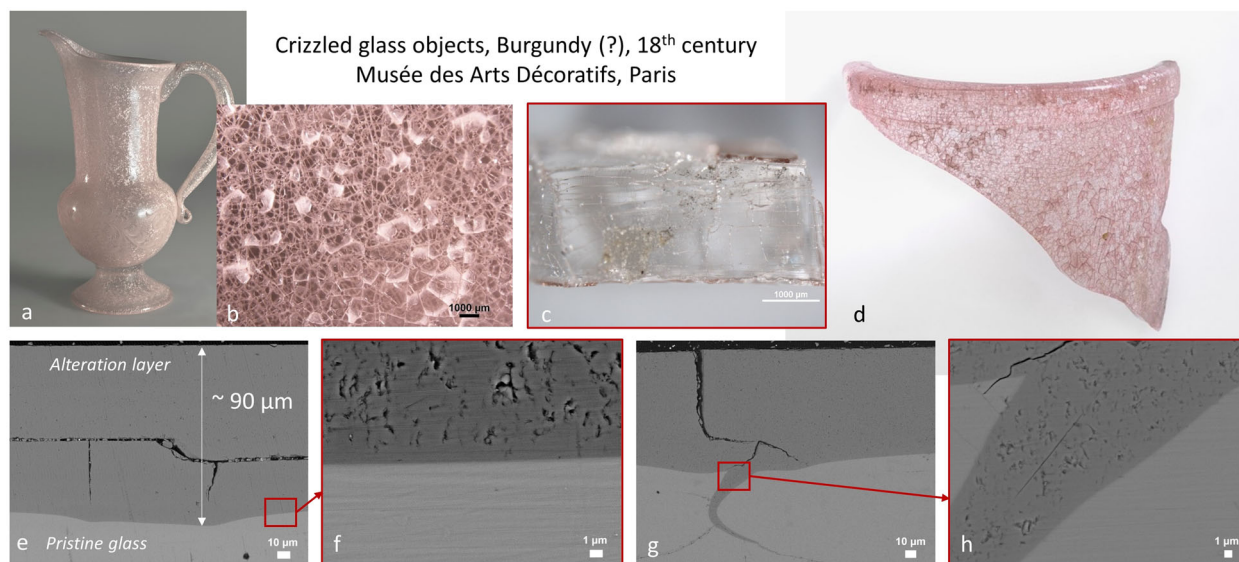
Glass art objects are objects entirely made out of glass, or partially (as enamels), which have been produced for utilitarian or artistic purposes and have been preserved in private or museum collections in recognition of their historical and/or artistic value. Since the time of their production, they have existed in atmospheric conditions. For this reason, glass art objects and archeological glasses form two distinct groups, because most of the latter have experienced immersion or buried environments.

Existing published surveys of glass object collections in European museums indicate that about 15–30% of glass art objects are altered at different alteration stages today<sup>14,15</sup>. These figures, which are based on visual observations, could be largely underestimated as signs of deterioration are not always visible. The low chemical durability of many of these altered objects, primarily dating from the middle-age period to the 19th century, is attributed to the over purification of raw materials and introduction of new recipes leading to glass compositions containing more fluxes ( $\text{Na}_2\text{O}$ ,  $\text{K}_2\text{O}$ , and  $\text{PbO}$ ) and less stabilizer oxides (mainly  $\text{CaO}$ )<sup>1,16</sup>. The glass compositions showing detectable signs of atmospheric glass degradation are classified as unstable and the other as stable in the CH field. Beyond general trends such as the content in alkalis and stabilizer oxides, the chemical stability in atmosphere could not be correlated to any precise parameter of

the chemical composition but is rather thought of as a property of the overall glass composition<sup>1</sup>.

The determining roles of glass composition and humidity on the extent and kinetics of the atmospheric degradation have been verified in laboratory experiments on synthetic glasses representative of historic glass compositions by aging them in an accelerated way or in ambient atmosphere<sup>17–20</sup>. The main macroscopic manifestations are always the same, independently of the composition, and very specific<sup>1,21,22</sup>. Koob<sup>21</sup> has identified five stages in an order of increasing alteration, which have built a consensus in the CH community<sup>1</sup>. Stage 1 is defined by the presence of a white haze, droplets, and/or crystals due to the recurrent formation of salts on the glass surface, which can be removed to recover the initial appearance of the glass. Stages 2 and 3 are related to “crizzling”, an irreversible and specific character of atmospheric glass degradation consisting in micro-cracks of the glass surface, mainly attributed to the partial drying of the hydrated layer<sup>1,5</sup>. Stage 3, for which the cracks, initiated during the stage 2, are uniformly visible across the surface is qualified as “excipient crizzling”. At an advanced stage, crizzling can result in surface delamination (stage 4), causing the loss of CH material and the exposure of new glass surface that allows the extent of the alteration possibly until complete disintegration (stage 5). An example of crizzled glass artefacts of the CH is depicted in Fig. 1 with the glass composition given in Table 1. They belong to the stage 4, where loss of matter starts by the fall of surface flakes.

The cross-sections of crizzled glasses show homogenous hydrated layers with different density from the bulk glass at the SEM scale<sup>19,20</sup>, and of which thicknesses range between 1 and several 10  $\mu\text{m}$ . Microcracks penetrate in the hydrated layer, intersect, and many of them pass into the pristine glass, often redirecting parallel to the surface. Microcracks have a very detrimental effect on glass durability, especially in cyclic climates. They may allow capillary condensation of water at the interface with the pristine glass during humid periods, extending glass



**Fig. 1 Degraded glass objects of the cultural heritage conserved in atmosphere since their date of production.** Set of two degraded glass objects of the Musée des Arts Décoratifs, Paris, showing the advanced stage of crizzling (stage 4). **a, b** Degraded glass ewer, inv. 23431 (H. 19 cm). **c, d** Fragment of a glass support of oil and vinegar flasks, inv. 22,747 (H. ~5 cm). ©C2RMF, A. Maigret. **b** and **c** optical microscopy images showing the formation of small flakes of hydrated glass and their detachment from the surface. **e–h** SEM images (back-scattered electrons) of the edge of a resin-coated and polished sample of the glass fragment. The alkalis (K, Na) are depleted in the hydrated layer, explaining the contrast on SEM images, but their depletion is partial (Table 1). ©C2RMF, F. Alloteau. The pink color is due to the atmospheric alteration through the oxidation of manganese in the hydrated layer (solarization phenomenon). The micrometric holes may have been induced by the polishing step, they reveal the mechanical fragility of the hydrated layer and probable submicrometric porosity. Scale bars are **b** and **c** 1000  $\mu\text{m}$ , **e** and **g** 10  $\mu\text{m}$ , **f** and **h** 1  $\mu\text{m}$ . Panels **c–e** are reproduced with permission from ref. <sup>13</sup>, Editions Hermann, 2019.

**Table 1.** Chemical composition (at%) of the pristine glass and alteration layer of the altered glass fragment of the CH depicted in Fig. 1c, d, measured by EDX analysis at 15 keV on the cross-section of the resin-coated and polished sample.

O	Si	Al	Na	K	Ca	S	Cl	Mn	Fe	O <sub>calc</sub>	H <sub>2</sub> O %wt
<i>Pristine</i>											
61.22	27.61	0.11	0.99	9.48	0.25	0.05	0.12	0.14	0.02	61.22	–
<i>Altered</i>											
67.39	27.57	0.10	0.26	4.09	0.20	0.08	0.11	0.16	0.03	58.13	8.1

The water content (last column) has been evaluated by comparing the O concentrations measured by EDX and calculated by stoichiometry (O<sub>calc</sub> column).

hydration. Furthermore, they are the seat of salts crystallization during dry periods, which may induce stress and cause in-depth propagation of the cracks<sup>23,24</sup>. Note that stable glass compositions have also been observed to be subject to this atmospheric deterioration, most often as a result of poor storage: prolonged exposure to high humidity, fluctuating environment and physical creation of a “microclimate”, where moisture is trapped<sup>25,26</sup>. It is important to emphasize that these manifestations rarely occur on archeological glasses (from buried environment)<sup>27,28</sup>, or glasses retrieved from underwater.

The monitoring of humidity at a low level in the display cases or storage spaces is fundamental to slow down the evolution of the degradation phenomenon. Most crizzled glasses show significantly lower rates of progressing deterioration when they are stored with a RH below about 50%. On the other hand, too dry of an atmosphere must be avoided to prevent the glass surface from overdrying and subsequent cracking<sup>4,5,25</sup>. According to Koob<sup>21</sup>, the RH should be maintained in the 40–45% range for already deteriorating glasses and 45–50% range for all glass objects in general, and it should never drop below 30%. In addition, associating climate control with circulation of air inside exhibition or storage boxes with small fans is crucial to minimize trapped moisture<sup>26</sup>. Such display cases exist in some museums, for instance the Corning Museum of Glass (USA), the Grünes Gewölbe Museum (Germany) and the Louvre Museum (France)<sup>29</sup>.

Pollutants around glass collections are acid gases (CO<sub>2</sub> and SO<sub>2</sub>) and volatile organic compounds (acetic acid, formic acid, and aldehydes) that are found in wood, glue, some plastic, silicone joints, and paints<sup>30</sup>. The effect of these pollutants on the evolution of the degradation has been demonstrated<sup>31</sup> but the control of their concentration in the museum atmosphere remains rare (Grünes Gewölbe Museum).

In addition to the control of the environment, periodic washing of unstable glass with water and soap, or with water–alcohol solution, or with dilute acid solution, has been recommended to remove the salts and neutralize the surface, further contributing to slowing down the alteration if the object is kept under good storage condition following washing<sup>1,21,32</sup>.

Apart from solutions oriented on consolidation (such as Paraloid B-72 acrylics)<sup>33</sup>, the few invasive conservation treatments aimed at slowing down atmospheric alteration almost exclusively consist of coating the glass surface with either inorganic<sup>34,35</sup> or hybrid organic–inorganic products. The inorganic part allows the adhesion of the coating to the glass and the organic part adds hydrophobicity<sup>36,37</sup>. Recent evaluation of organo(alkoxy)silane polymers called Orcomers<sup>®</sup> used to consolidate and protect crizzled enamels indicates promising results in the short term<sup>38</sup>. Still now, curators and conservators do not have enough perspective to decide on their real long-term efficacy.

Other invasive conservation approaches implying a minimal amount of deposited chemicals have been attempted, with the purpose of stabilizing the hydrated layer with respect to the silicate network hydrolysis (such as in refs. <sup>39,40</sup>). In this latter approach, the industrial methods consisting of spraying zinc salt

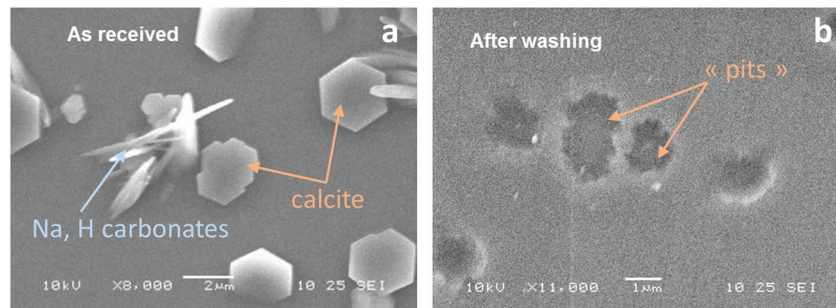
solutions on the surface of flat glass<sup>41</sup> have been tested on synthetic unstable glasses subjected to accelerated ageing in climatic chambers. Very good results have been obtained on pristine glasses, but research must continue on pre-altered glasses<sup>42</sup>.

For preventive conservation in museums, it is important to know the composition of glass objects and their state of degradation. Analyzing all the glass in museums is not possible and visually identifying chemically unstable glass objects is difficult before changes in appearance occur. Thus, detecting the glass alteration at the very beginning of its manifestation appears to be an important issue<sup>43</sup>. In this respect, quantifiable and portable detection methods have been recently developed in the CH community:

- The thickness of the hydrated layer can be determined on art objects using optical coherence tomography (OCT) to a few micrometers<sup>44,45</sup>. Such thicknesses typically correspond to the beginning of the degradation of the glass for which cracks are not yet present or not visible to the naked eye. Similarly, interference patterns observed on IR reflectance spectra have been used as an indicator of glass degradation<sup>46</sup>.
- The ions present on the surface of the unstable glass objects can be quantitatively studied using a dedicated ion chromatography analytical protocol. A distinction could be made between stable and unstable glass objects in museum collections based on the sodium, potassium, acetate, and formate concentrations<sup>47</sup>.

#### Stained glass

Stained glass windows raise serious preservation issues due to their fragility and direct exposure to adverse weather and outdoor air pollution<sup>48</sup>. The manifestations of the alteration are close to those of the glass art objects on the internal faces of the buildings and more complex on the external faces due to the wider heterogeneity and amplitude of environmental conditions, including the alternation of atmospheric hydration, liquid water run-off (rain)<sup>48,49</sup>, sometimes freezing, and exposure to sun (drying). Extensive field studies have been carried out to investigate the rate and products of alteration of medieval stained glass (mainly potassium lime silicate) in sheltered or unsheltered outdoor conditions<sup>23,50,51</sup>. In sheltered conditions that are in the scope of this paper, potassium and calcium salts (mainly K<sub>2</sub>CO<sub>3</sub>, calcite, gypsum, and syngenite) are the most obvious and ubiquitous signs of the alteration, together with the increase of surface roughness<sup>23</sup>. These salts may form an alteration crust covering the leached layer, which may crack<sup>51</sup>. Iridescence can also be observed and will be discussed in the next section of this paper<sup>23</sup>. By EDX profiling of polished cross-sections using careful calibration and statistical analysis<sup>52</sup>, the depth of the K-depleted layer has been measured as a function of exposure time to the sheltered outdoor conditions<sup>50,51</sup>. The growth rate of this K-depleted layer could not be clearly related to any variation of T, RH



**Fig. 2 SEM images (secondary electrons) of sodium and calcium carbonates and pitting corrosion of a soda-lime glass surface.** The hexagonal embedded crystals are calcite. Scale bars are **a** 2 µm, **b** 1 µm. Reprinted and adapted from ref. <sup>57</sup>, Copyright (2009), with the permission from the Society of Glass Technology (SGT).

% or pollutant concentration except for SO<sub>2</sub>. In a few cases, the curious decrease of the depth of this layer has been attributed to the possible occurrence of network dissolution (the silicate products being removed by the polishing of the sample)<sup>51</sup>. On the other hand, the harmfulness of K<sub>2</sub>CO<sub>3</sub> salt with respect to sulfate salts has been demonstrated on model glasses, of which surface roughness and salt crystallization was higher in the presence of K<sub>2</sub>CO<sub>3</sub>. Contrary to sulfates, this salt is basic and deliquescent and thus promotes the network hydrolysis and the leaching of alkalis<sup>23,48</sup>.

In order to restore and protect this Cultural Heritage from mechanical, chemical deteriorations and vandalism, restoration has been engaged (cleaning, bonding, consolidating, filling gaps, etc.). Consolidating products are used occasionally for glass and paints (Orcomer, Paraloid B72) and new ones will appear soon (European research projects CONSTGLASS and NANOMATCH)<sup>53</sup>. These products have shown mixed results, and they tend to be replaced by a more efficient and long-term preservation method through protective glazing<sup>54,55</sup>.

#### Commercial glasses

The composition of modern commercial glasses has been optimized in order to meet the requirements of the market, including the hydrolytic resistance in immersed as well as in atmospheric environment, so that only few problems are encountered in usual situations. Like stage 1 deterioration of glass art objects, the common first manifestation of the atmospheric alteration of a commercial soda-lime glass is the appearance of a slight veil on the surface, composed of sodium carbonate and calcium carbonate crystals<sup>56–58</sup>, which can develop with time in a polluted atmosphere containing SO<sub>2</sub> into sodium sulfate and calcium sulfate. These crystals are soluble in water (or in acid water) and they are easily removed. This first manifestation is considered as reversible from an optical and mechanical point of view: after cleaning, the glass is very similar to the original glass. However, in case of more advanced alteration, some pits below the crystals (Fig. 2) can develop<sup>56</sup>: they can be responsible for a decrease of the mechanical properties of the glass. If the glass surface composition is more uniformly modified on a thickness of about 0.1 µm or more, then the alteration may appear as an interference pattern (iridescence). This phenomenon of iridescence is common in glass alteration, but is attributed to several origins: the most spectacular concerns many archeological glasses after burial and is characterized by beautiful rainbow colors due to the presence of many layers of corrosion of constant thickness (less than 1 µm)<sup>59</sup>. This type of alteration (burial corrosion, sometimes also called “weathering”) is out of the scope of this article. Another type of iridescence concerns atmospheric alteration<sup>23</sup>. The physical origin of the phenomenon is similar: interferences between light reflected by the surface of the object

and light reflected by the interface between the corroded layer and the non-corroded glass. The intensity of the reflected light is low at the beginning of the alteration process, when only one layer is formed, and it is often difficult to observe in nonoptimal light, especially when corrosion salts are present on the surface. A full-blown, well visible, iridescence is the sign of a more advanced atmospheric alteration and it is not clear if it occurs in unsaturated conditions only (RH < 100%) or in conditions with discontinuous liquid water supply. In these latter conditions (as for unsheltered stained glass), a complete restructuring of the alteration layer is observed, with the formation of microscopic laminations that may underlie iridescence<sup>49</sup>. In any case, iridescence is irreversible and makes the glass no longer marketable.

Note that in a few special situations, some specific issues may arise:

- During storage or transport of glass where confinement and non-ventilated atmospheres increase the likelihood of corrosion,
- With photovoltaic panels: alteration and soiling of the glass protection of the cell significantly decrease the efficiency of the device<sup>60</sup>,
- With new glass enamels, the composition of which has to be adapted to recent legislation (without lead oxide flux) while maintaining good chemical stability with respect to atmospheric attack<sup>61</sup>,
- When some very sensitive thin layers are to be deposited on glass, they may make visible the beginning of the alteration of the glass surface. For instance, low emissivity stacks based on Ag layers may face adhesion problems if the corrosion of the glass surface is not carefully controlled and limited, especially during storage and transport.
- In some double-glazing windows fitted with a seal which is not totally vapor tight, water vapor can penetrate between the two glass sheets, and condensate inside on the inner glass surfaces: a rapid (years) alteration begins. This defect is well-known, but it is not strictly related to atmospheric conditions because condensation occurs. Due to the high glass surface/water volume ratio the pH increases quickly. To our knowledge, there is no scientific publication concerning this defect.

The atmospheric glass alteration depends strongly on the glass surface composition, which may be different from the bulk composition, due to process parameters<sup>62</sup>. As an example, the tin side of the float glass, which is tin enriched<sup>63</sup>, has a much better chemical durability than the non-tin-side (called atmosphere side)<sup>64</sup>. Similarly, the presence of SO<sub>2</sub> in the annealing lehr causes surface dealcalization<sup>65,66</sup>, which improves the durability of the glass. This last point is industrially exploited in dealcalization treatments especially in the case of vials. In addition, the surface composition (and structure) may be modified by specific protective treatments such as those used in dishwashers (with

zinc and bismuth, or with polyethyleneimine), the efficacy of this surface modification being clearly demonstrated<sup>67,68</sup>. The chemical durability in atmosphere also depends on the environment: it has been demonstrated that the presence of deposited dust on the glass surface, such as wind-blown sand<sup>69</sup>, usually considered as inert, strongly decreases the atmospheric glass durability.

### Nuclear glasses

The immobilization of high-level radioactive wastes in borosilicate glasses is considered by many countries as the most effective and adaptable solution for confinement of these wastes among the available technologies. In this concept, the waste elements, amongst them the radionuclides, are fixed by chemical bonds to the oxygens of the glass, so that they are part of the material's structure and chemistry. After their disposal in a geological repository, these glassy waste forms may be exposed to unsaturated vapor conditions before water may saturate the repository site<sup>70</sup>. This unsaturated period may last a very long time (several tens of thousands of years) and significantly contribute to the glass ageing<sup>6</sup>. Therefore, glass alteration in vapor conditions is being investigated in the field of nuclear glasses, although it is still far less studied than glass alteration in liquid water<sup>71</sup>. The main concerns regarding the vapor hydration of nuclear glasses are: (i) long term (geological time scales) prediction of glass behavior and (ii) the ability to retain radionuclides in the glass matrix. Vapor hydrated nuclear waste glasses are usually characterized by a hydrated layer on the surface and the formation of secondary phases. Some of these secondary phases incorporate radionuclides and studies have shown that these phases may readily dissolve when they are subsequently exposed to aqueous medium. As a consequence, the aqueous alteration of a nuclear waste glass prehydrated in vapor phase releases more radioactivity in solution than a pristine waste glass<sup>6,72</sup>.

Most of the vapor hydration studies conducted in the nuclear waste glass domain are dedicated to understanding and describing the mechanisms of deterioration, identifying the secondary phases and studying the effect of composition, temperature and humidity on the vapor hydration kinetics. Unlike the glasses in the other two communities, silicates such as zeolites, calcium-silicate-hydrates (CSHs) and phyllosilicates are the most observed secondary phases in nuclear glasses. This maybe because most of the experiments are conducted at high temperatures (>90 °C) which promote the precipitation of silicates, and/or because of compositional differences of the glass. Carbonates are also observed on vapor hydrated nuclear waste glasses, especially on calcium containing glasses. The morphology of the alteration layer may either be a homogeneous hydrated layer or heterogeneously altered zones adjacent to visibly unaltered zones or sometimes both<sup>70,73–75</sup>.

As outlined in the above sections, the stakes and concerns arising due to glass alteration in atmospheric conditions are different in all three communities. Moreover, it can be noticed that the atmospheric alteration phenomena are differently described in the three fields. Indeed, the observation methods are not the same, so that different scales in space and time are investigated. For instance, the CH community describes a “stage 1” with the formation of salts on the glass surface. A hydrated layer has certainly formed at this stage already, but it cannot be detected by visual observation or optical microscopy that are the common methods used in museums. In the nuclear glass field, altered samples are systematically observed with a SEM (or any instrument with greater spatial resolution), and the presence of a hydrated layer is always reported. Moreover, the accelerated ageing methods using high temperatures and RH preclude a proper comparison between the observations in CH (ambient conditions) and in the nuclear glass field (accelerated ageing), because the influences of T and RH are not well-known and it is

currently impossible to rely a given alteration stage, as used in the CH, to any given T, RH and time conditions in accelerated experiments. High T and RH as well as their time evolution (notably the cooling and/or drying ramps) may also modify the manifestations of the alteration. It is probably the case for crizzling, which is described as an important, ubiquitous feature of degraded glass objects in the CH, while it is not as systematic in the other fields (by considering that “cracks”, “fracturing” or “mechanical breakdown” of the alteration layer relate to similar phenomena as crizzling). Crizzling displays a characteristic pattern of cracks intersecting at right angles, as it is expected for the release of tensile stresses in a mechanically fragile layer<sup>76,77</sup>. The magnitude of these stresses and the mechanical properties of the layer depend on the glass composition and on the details of the RH variation history (cycling and ramp rates), so that the characteristics of the layer breakdown are probably diverse. Notably, the minimum thickness at which it cracks may probably vary. All these elements make it difficult to properly compare the studies and descriptions reported in the different fields. However, all three communities are dedicated to better understand the phenomena and mechanisms by which glass alters in humid conditions. The primary goal is to either preserve glass objects from further alteration (CH glasses) or prevent alteration altogether (glass industry) or to predict the long term behavior of a glass matrix exposed to the vapor phase (nuclear waste glasses). The following section of this article discusses the insights on the specific features and mechanisms of glass alteration in unsaturated vapor phase gained from studies conducted in all three communities.

### MECHANISMS OF GLASS ALTERATION IN HUMID ATMOSPHERE

Various protocols have been used to control RH and temperature in glass alteration experiments: (i) desiccator with or without saline solutions<sup>18,19,78</sup>, (ii) climatic chamber<sup>64,75,79–82</sup>, (iii) hermetic box enclosing a saline solution and placed in an oven<sup>83</sup>, (iv) teflon-lined autoclave in an aluminum container (to homogenize T)<sup>70,84</sup>, with samples placed on a teflon holder above a saline solution, and placed in an oven. Humidity conditions can be unsaturated (less than 100% RH) or saturated (100% RH), although we focus on the unsaturated conditions in this paper. Tables 2 and 3 draw up the list of the main studies reported in the scientific literature on atmospheric glass alteration to our knowledge, with the main glass compositions and alteration conditions.

The RH determines the number of layers of water molecules that build on the glass surface. This number reaches 3–4 layers at 60% RH, then it increases exponentially when approaching 100% RH<sup>85</sup>. Signs of glass hydration, as the presence of a hydrated layer of a few  $\mu\text{m}$ , are observed at RH beyond 50% and are absent or negligible below this value<sup>19,73</sup>. As mentioned above, a value less than 50% is generally recommended for the preventive conservation of CH glasses.

Considering the presence of a thin water film in equilibrium with vapor on the glass surface, the question of the specificity of atmospheric glass alteration can be formulated as “is glass alteration in unsaturated atmosphere like alteration in liquid water at extremely high S/V ratio?” (where S is the total glass surface exposed to the solution and V is the volume of the solution).

In immersion conditions, two chemical processes referred to as “glass leaching” lead to the dealcalization of the hydrated layer and to the increase of the solution pH:

- The ionic exchange between protons and alkali ions, which implies  $\text{Na}^+/\text{H}^+$  interdiffusion<sup>86</sup>:
- $$\equiv \text{Si} - \text{O} - \text{Na} + \text{H}_3\text{O}^+ \leftrightarrow \equiv \text{Si} - \text{OH} + \text{Na}^+ + \text{H}_2\text{O} \quad (1)$$

**Table 2.** List of the main studies of glass alteration in atmospheric conditions (relative humidity < 100%) in the scientific literature.

Context	% RH	T (°C)	Duration	Ageing method	Characterization method	Ref.
CH, glass art objects	98	50	2–8 weeks	Weathering cabinet	Visual examination	5
CH, glass art objects	• 37, 55 • 40	Room T	• 91 h • 1 week	Environmental chamber	No washing <sup>a</sup> • Low energy TOF SIMS • TOF SIMS Samples cleaned with dry ethanol before analysis	81,82
CH, glass art objects	48	19	4 weeks to 13 months	• Desiccator with controlled atmosphere (pollutants, RH) • Museum cabinet	Optical microscopy, Raman spectroscopy, SIMS For SIMS, samples were wiped with dry ethanol	30,31
CH, glass art objects	98	20, 40, 60	9–36 days	Unspecified	Optical microscopy, SEM For SEM, samples were embedded in resins and polished	20
CH, stained glass, archaeological glass	10, 50, 95	Room T in laboratory	15 years	Desiccators with dried silica gel or saturated salt solution	Optical microscopy, SEM EDX For SEM, samples were embedded in resins and polished	19
CH, glass art objects	45, 55, 65, ~75	21–24	2 weeks to 1 year	Desiccator with saturated salt solution in laboratory	TOF-SIMS, IRFT, Raman, interferometry microscope	17,18
CH, glass art objects	85	40, 50, 60, 80	12 days to 9 months	Climatic chamber and hermetic box with saturated salt solution in oven	No washing nor polishing after RH treatment Optical microscopy, SEM EDX, TOF SIMS, TGA and NMR of glass powder, Raman	79,90
CH, stained glass	• Outdoor, sheltered • Dry/wet cycles (72 h) at 33.4% and 97.1%	• Room T • 20	• 15 days to 48 months • 8 months (72 h cycles)	• Ventilated box (norm 8565, 1992) • Enclosed cell in oven	No washing nor polishing after RH treatment. For SEM EDX the edge of fresh fractures of altered glass were taken.	23
CH, stained glass and industrial glass	From 10 to 95	40, 70, 90	1–196 h	RH chamber	SEM, optical microscope, interferometry microscope, $\mu$ -XRD, chemical analysis of neo-crystallized salts	91
CH, stained glass	Mean 76 Variance 42	Mean 9 Variance 16	3–6 years	Outdoor, sheltered, 26 European sites	No washing nor polishing after RH treatment NRA, RBS Unspecified	50
CH, stained glass	Mean 58–83 according sites	Mean 4–20 according sites	6–12 months	Outdoor, sheltered, 6 European cities	SEM and environmental SEM (ESEM), EDX on cross-sections SEM, EDX on cross-sections	51
CH, stained glass	25, 40, 90	20	1 day to 14 months	Hermetic box with saturated saline solution in oven. The water of the solution was enriched in D and <sup>18</sup> O	For cross-sections, samples are embedded in resin and cut with a diamond saw SEM EDX, NanoSIMS, TOF SIMS Samples embedded in resin and polished under ethanol	83
Industry, all commercial glasses	30, 50, 75, 90, 98	50	3 months	Climatic chamber	Thermal desorption, transmittance (for haze), analysis of washing solution (for Na), weight, visual appearance	80
Industry, container glass	• 16–61 • 10–100	• 15–38 • –5 to 42	1 year	• Indoor • Outdoor, sheltered	Optical microscopy, SEM, SIMS Samples were washed before SIMS analysis	57
Industry, float glass	95	60	20 min to 1 week	Controlled chamber (unspecified)	TOF SIMS, SNMS, XPS, NRA, ERD, RBS, GIXR, AFM, SEM, optical microscopy	64

Table 2 continued

Context	% RH	T (°C)	Duration	Ageing method	Characterization method	Ref.
Industry, float glass	–	–	1–24 months	Outdoor, sheltered, Paris	Samples were not washed before characterization FTIR, SEM, EPMA, SIMS	58
Industry, float glass	90% D <sub>2</sub> O in N <sub>2</sub> H <sub>2</sub> O in air	• Room T • Thermal desorption experiment until 200	Unspecified, a few hours?	High temperature stage in controlled vapor environment	Samples washed by deionized water, 2%RBS solution and 1% HCl solution XPS, ATR-IR, SR-IR, SFG	116
Industry, commercial glass	• 0 to 30 • 0, 40, 90	• 250 to 21 • Room T	Unspecified, a few hours?	• High temperature stage in ambient air or in controlled vapor environment	No washing or in situ observations (SFG) XPS, spectroscopic ellipsometry, ATR-IR, SFG	115
Nuclear industry	47, 58, 80, 95	75, 81, 202	4–360 days	• Air circulated humidity oven • Stainless steel reaction vessel	Weight, SEM EDX, SIMS Unspecified	6,73,89
Nuclear industry	92 and 96	50 and 90	35–365 days	Stainless steel autoclave with Teflon liner and saline solution, placed in a thick Al container for T homogeneity, in an oven	FTIR, SEM on surface and on polished cross-sections, Raman spectroscopy, HRTEM EDX, HAADF-STEM	68
Nuclear industry	92 to 99.9	35 to 125	7–653 days	Stainless steel autoclave with Teflon liner and saline solution, placed in a thick Al container for T homogeneity, in an oven	Unspecified FTIR, SEM EDX, SIMS	84
Nuclear industry	95	50	1–557 days	Climatic chamber	Unspecified FTIR, XRD, TOF-SIMS, TEM and STEM-EDX of cross-sections, SAXS of glass powder	75

The studies are ordered based on the context (CH, glass industry, and nuclear industry) and the chronology of their publication. The corresponding glass compositions are given in Table 3. Because the preparation of samples may have consequences on the integrity of alteration layers, details are given in the “Characterization methods” column when they were mentioned. In the reference column, the numbers refer to the citation numbers in the text.

<sup>a</sup>Details of aged sample preparation before analysis, as given by authors.



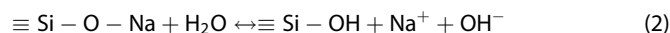
**Table 3.** Glass compositions of the studies listed in Table 2, given in mol%.

Context	Glass composition (mol%)	Ref.
CH, glass art objects	Several compositions, mainly: <b>XS<sup>a</sup></b> (the most unstable) [SiO <sub>2</sub> 73.9; Na <sub>2</sub> O 21.4; CaO 2.2; MgO 1.5; Al <sub>2</sub> O <sub>3</sub> 0.6; MnO 0.4] <b>XT</b> (the most stable) [SiO <sub>2</sub> 81.3; K <sub>2</sub> O 13.7; CaO 2.3; MgO 1.6; Al <sub>2</sub> O <sub>3</sub> 0.6; MnO 0.5] <b>XV</b> [SiO <sub>2</sub> 78.4; K <sub>2</sub> O 15.4; CaO 1.3; PbO 4.2; Al <sub>2</sub> O <sub>3</sub> 0.7] <b>PP</b> [SiO <sub>2</sub> 83.8; K <sub>2</sub> O 13.2; CaO 1.5; PbO 0.7; Al <sub>2</sub> O <sub>3</sub> 0.1; As <sub>2</sub> O <sub>3</sub> 0.6; Na <sub>2</sub> O 0.1]	5
CH, glass art objects	<b>RG1</b> [SiO <sub>2</sub> 61.2; Na <sub>2</sub> O 30.35; CaO 1.7; MgO 0.65; K <sub>2</sub> O 3.6; Al <sub>2</sub> O <sub>3</sub> 2.0; MnO 0.2; Fe <sub>2</sub> O <sub>3</sub> 0.3]	81,82
CH, glass art objects	<b>RG1</b> [SiO <sub>2</sub> 61.2; Na <sub>2</sub> O 30.35; CaO 1.7; MgO 0.65; K <sub>2</sub> O 3.6; Al <sub>2</sub> O <sub>3</sub> 2.0; MnO 0.2; Fe <sub>2</sub> O <sub>3</sub> 0.3]	30,31
CH, glass art objects	<b>MH-N</b> [SiO <sub>2</sub> 77.2; Na <sub>2</sub> O 18.7; K <sub>2</sub> O 1.9; CaO 2.2] <b>MH-K</b> [SiO <sub>2</sub> 82.2; Na <sub>2</sub> O 1.0; K <sub>2</sub> O 14.5; CaO 2.3]	20
CH, stained glass, archeological glass	<b>M1.0</b> [SiO <sub>2</sub> 59.6; K <sub>2</sub> O 20.4; CaO 20.1] <b>M5</b> [SiO <sub>2</sub> 53.5; Na <sub>2</sub> O 3.2; K <sub>2</sub> O 17.0; CaO 16.7; MgO 5.0; Al <sub>2</sub> O <sub>3</sub> 1.3; P <sub>2</sub> O <sub>5</sub> 1.9; MnO 0.9; Fe <sub>2</sub> O <sub>3</sub> 0.4] Plus archeological glass fragments	19
CH, glass art objects	<b>K1</b> [SiO <sub>2</sub> 79.2; K <sub>2</sub> O 13.2; CaO 4.3; PbO 1.8; Al <sub>2</sub> O <sub>3</sub> 0.8; As <sub>2</sub> O <sub>3</sub> 0.7] <b>K2</b> [SiO <sub>2</sub> 71.5; K <sub>2</sub> O 12.3; CaO 9.8; MgO 2.6; Na <sub>2</sub> O 2.2; Al <sub>2</sub> O <sub>3</sub> 0.8; As <sub>2</sub> O <sub>3</sub> 0.4; P <sub>2</sub> O <sub>5</sub> 0.2; Cl 0.2] <b>Cristallo</b> [SiO <sub>2</sub> 70.5; Na <sub>2</sub> O 16.7; CaO 5.4; MgO 3.0; K <sub>2</sub> O 1.9; Al <sub>2</sub> O <sub>3</sub> 0.4; Cl 1.7; MnO 0.2] <b>Façon de Venise</b> [SiO <sub>2</sub> 70.5; Na <sub>2</sub> O 14.2; CaO 5.6; MgO 4.7; K <sub>2</sub> O 2.0; Al <sub>2</sub> O <sub>3</sub> 0.6; Cl 1.8; MnO 0.4] <b>Soda lime</b> [SiO <sub>2</sub> 70.5; Na <sub>2</sub> O 13.9; CaO 10.1; MgO 3.1; K <sub>2</sub> O 2.5; Al <sub>2</sub> O <sub>3</sub> 1.5; Cl 0; MnO 0.1]	17,18
CH, glass art objects	<b>A</b> [SiO <sub>2</sub> 74.2; Na <sub>2</sub> O 11.1; K <sub>2</sub> O 7.3; CaO 5.6; MgO 1.2; Al <sub>2</sub> O <sub>3</sub> 0.5] <b>SL</b> [SiO <sub>2</sub> 67.0; Na <sub>2</sub> O 17.5; K <sub>2</sub> O 1.4; CaO 8.1; MgO 4.6; Al <sub>2</sub> O <sub>3</sub> 1.5] <b>P</b> [SiO <sub>2</sub> 81.7; Na <sub>2</sub> O 1.6; K <sub>2</sub> O 13.9; CaO 2.3; MgO 0.3; Al <sub>2</sub> O <sub>3</sub> 0.2]	79,90
CH, stained glass	[SiO <sub>2</sub> 54.5; K <sub>2</sub> O 17.4; CaO 21.0; MgO 4.9; Al <sub>2</sub> O <sub>3</sub> 1.3; P <sub>2</sub> O <sub>5</sub> 0.9]	23
CH, stained glass and industrial glass	[SiO <sub>2</sub> 70.4; Na <sub>2</sub> O 12.3; K <sub>2</sub> O 0.3; CaO 5.6; MgO 11.3]	91
CH, stained glass	<b>M1</b> [SiO <sub>2</sub> 53.2; K <sub>2</sub> O 18.0; CaO 17.8; MgO 5.0; Al <sub>2</sub> O <sub>3</sub> 1.0; P <sub>2</sub> O <sub>5</sub> 1.9 Na <sub>2</sub> O 3.2] <b>M3</b> [SiO <sub>2</sub> 62.3; K <sub>2</sub> O 9.9; CaO 27.8]	50
CH, stained glass	<b>M1</b> [SiO <sub>2</sub> 53.2; K <sub>2</sub> O 18.0; CaO 17.8; MgO 5.0; Al <sub>2</sub> O <sub>3</sub> 1.0; P <sub>2</sub> O <sub>5</sub> 1.9 Na <sub>2</sub> O 3.2]	51
CH, stained glass	<b>EV1</b> [SiO <sub>2</sub> 56.0; K <sub>2</sub> O 11.4; CaO 15.1; MgO 11.5; Al <sub>2</sub> O <sub>3</sub> 0.4; P <sub>2</sub> O <sub>5</sub> 1.9; Na <sub>2</sub> O 2.6; MnO 1.2] <b>OU2</b> [SiO <sub>2</sub> 60.2; K <sub>2</sub> O 8.5; CaO 15.4; MgO 10.6; Al <sub>2</sub> O <sub>3</sub> 0.6; P <sub>2</sub> O <sub>5</sub> 1.6; Na <sub>2</sub> O 2.5; MnO 0.6] The samples were medieval glass presenting an alteration crust. We give the compositions of the pristine glasses.	83
Industry, all commercial glasses	26 compositions of commercial glasses: alkali aluminosilicate, alkali borosilicate, alkali lead silicate, soda lime silicate	80
Industry, container glass	Soda-lime silicate (container glass)	57
Industry, float glass	[SiO <sub>2</sub> 70.4; Na <sub>2</sub> O 13.1; CaO 8.7; MgO 6.8; Al <sub>2</sub> O <sub>3</sub> 0.8; K <sub>2</sub> O 0.2]	64
Industry, float glass	[SiO <sub>2</sub> 70.9; Na <sub>2</sub> O 12.5; CaO 10.1; MgO 6.0; Al <sub>2</sub> O <sub>3</sub> 0.4]	58
Industry, float glass	<b>SLS</b> [SiO <sub>2</sub> 71.4; Na <sub>2</sub> O 12.7; CaO 8.1; MgO 6.5; K <sub>2</sub> O 0.2; Al <sub>2</sub> O <sub>3</sub> ; 1.1]	116
Industry, commercial glass	<b>Fused quartz</b> (SiO <sub>2</sub> ) <b>SLS glass</b> (soda-lime silicate) <b>E glass</b> (calcium aluminosilicate)	115
Nuclear industry	Several nuclear waste glasses, mainly 311 ~[SiO <sub>2</sub> 51.1; B <sub>2</sub> O <sub>3</sub> 10.9; Al <sub>2</sub> O <sub>3</sub> 2.4; Na <sub>2</sub> O + Li <sub>2</sub> O 17.9; CaO 1.7; MgO 2.8; Fe <sub>2</sub> O <sub>3</sub> 6.2; MnO+NiO 4.8]	6,73,89
Nuclear industry	<b>CSD-B</b> ~[SiO <sub>2</sub> 57.4; B <sub>2</sub> O <sub>3</sub> 14.2; Al <sub>2</sub> O <sub>3</sub> 5.8; Na <sub>2</sub> O + Li <sub>2</sub> O 16.3; CaO 3.8; Fe <sub>2</sub> O <sub>3</sub> 1.2; ZrO <sub>2</sub> 1.1; P <sub>2</sub> O <sub>5</sub> 0.2]	68
Nuclear industry	<b>SON68</b> ~[SiO <sub>2</sub> 54.1; B <sub>2</sub> O <sub>3</sub> 14.4; Al <sub>2</sub> O <sub>3</sub> 3.5; Na <sub>2</sub> O + Li <sub>2</sub> O + Cs <sub>2</sub> O 15.2; CaO+SrO 5.6; ZnO 2.2; Fe <sub>2</sub> O <sub>3</sub> + Cr <sub>2</sub> O <sub>3</sub> 1.6; ZrO <sub>2</sub> 1.6; MoO <sub>3</sub> 0.9; RE <sub>2</sub> O <sub>3</sub> 0.9; P <sub>2</sub> O <sub>5</sub> 0.1]	84
Nuclear industry	<b>AVM4</b> [SiO <sub>2</sub> 48.2; B <sub>2</sub> O <sub>3</sub> 16.7; Al <sub>2</sub> O <sub>3</sub> 7.15; Na <sub>2</sub> O + Li <sub>2</sub> O 18.7; MgO 7.15 Fe <sub>2</sub> O <sub>3</sub> 0.4; MoO <sub>3</sub> 0.3] <b>AVM6</b> [SiO <sub>2</sub> 49.3; B <sub>2</sub> O <sub>3</sub> 18.6; Al <sub>2</sub> O <sub>3</sub> 5.9; Na <sub>2</sub> O + Li <sub>2</sub> O 17.55; MgO 6.28 Fe <sub>2</sub> O <sub>3</sub> 0.8; NiO 0.3] <b>AVM10</b> [SiO <sub>2</sub> 43.4; B <sub>2</sub> O <sub>3</sub> 16.3; Al <sub>2</sub> O <sub>3</sub> 8.3; Na <sub>2</sub> O + Li <sub>2</sub> O 17.3; MgO 10.4; Fe <sub>2</sub> O <sub>3</sub> 0.8; NiO 0.3; P <sub>2</sub> O <sub>5</sub> 0.8; MoO <sub>3</sub> 0.4; CdO 0.3] <b>Q</b> [SiO <sub>2</sub> 57.5; B <sub>2</sub> O <sub>3</sub> 15.3; Al <sub>2</sub> O <sub>3</sub> 8.1; Na <sub>2</sub> O 19.2] <b>QCa</b> [SiO <sub>2</sub> 52.7; B <sub>2</sub> O <sub>3</sub> 14.6; Al <sub>2</sub> O <sub>3</sub> 7.5; Na <sub>2</sub> O 19.0; CaO 6.2] <b>QMg</b> [SiO <sub>2</sub> 52.7; B <sub>2</sub> O <sub>3</sub> 15.0; Al <sub>2</sub> O <sub>3</sub> 7.8; Na <sub>2</sub> O 18.8; CaO 5.8]	75

To calculate these mol% compositions, minor oxides of content <0.5 wt% were omitted and the more complex compositions (e.g., SON68) have been simplified.

<sup>a</sup>The names of the glass compositions as given by the original authors are indicated in bold.

- The acid–base reaction of non-bridging oxygens (NBO) with water, which implies molecular water diffusion and dissociation onto the NBO site<sup>87</sup>:



In immersion and static conditions (no water renewal), the rate of the pH increase due to glass leaching rises with the S/V ratio.

Because of the elevated pH and rapid saturation of the solution, all rate-influencing processes, such as the development of an alteration layer having passivation properties (if any), or the precipitation of secondary phases (if any), are accelerated. For instance, for the SON68 glass (the inactive surrogate of the French R7T7 nuclear glass, of complex soda–lime aluminoborosilicate composition), the residual alteration rate is attained more quickly

with an increase of the  $S/V$  ratio<sup>88</sup>. However, despite the diversity of glass compositions and experimental conditions (set-up,  $T$ , %RH and duration, characterization techniques...) found in the literature regarding the atmospheric alteration and summarized in Tables 2, 3, experimental results gathered so far indicate that glass is differently attacked in vapor conditions and in immersion conditions, so that the atmospheric alteration phenomenology (rate, chemical nature of the alteration layer and products) cannot be accounted for by an extreme  $S/V$  ratio. In the following sections, we try to point out these differences to capture the specificities of glass atmospheric alteration and draw possible lines of further research.

#### Kinetics of atmospheric alteration and chemical durability

Very few experimental studies have attempted to determine the alteration rates in atmospheric conditions. In all cases, the alteration rate is defined as the growth rate of the hydrated layer, the thickness of which being measured directly on glass monoliths by SEM or TEM of cross-sections, or by TOF-SIMS profiling, or by FTIR in transmission mode using the absorbance of the (Si)-OH stretching band at  $3595\text{ cm}^{-1}$  that was linearly linked to thickness after SEM and SIMS calibration<sup>70,73,74,79,82,84,89,90</sup>. Alternatively, the fractional weight gain of crushed glass has also been used to measure the extent of hydration<sup>73</sup>, and one study made use of nuclear reaction in-depth analysis (NRA)<sup>91</sup>.

The chemical durability refers to the dependency of the alteration rate on the chemical composition of the glass. As mentioned earlier, it is a property of the overall glass composition. The chemical durability in atmospheric conditions may be drawn from the chemical durability in liquid conditions in the extent to which the chemical processes are similar between both conditions. Regarding liquid conditions, general trends are well known. For most glasses of the CH and glass industry (commercial glasses), the main chemical parameters are the content in alkali and alkaline-earth oxides and their relative proportions, and the content in  $\text{Al}_2\text{O}_3$ . For instance, replacing  $\text{Na}_2\text{O}$  by  $\text{CaO}$  improves the chemical durability, until some amount of around 10 mol%  $\text{CaO}$ <sup>92</sup>.  $\text{K}_2\text{O}$ -bearing silicate glasses are less durable, this observation being attributed to the lower field strength of  $\text{K}^+$  compared with  $\text{Na}^+$  and hence the weaker K-NBO bond, and to the larger size of  $\text{K}^+$  ions. Both parameters are the main origin of the distinction between stable and unstable glass compositions of CH<sup>16,51,93</sup>. The addition of oxides such as  $\text{Al}_2\text{O}_3$ ,  $\text{ZrO}_2$ , or  $\text{ZnO}$  also improves the chemical durability by increasing the relative stability of the glass against dissolution (less soluble dissolution products, depending on the pH)<sup>92</sup>.  $\text{B}_2\text{O}_3$  may also be favorable, especially when substituted for the alkali oxide<sup>94–96</sup>. Other effects than thermodynamics also play a role, such as the glass molecular structure, which may favor the densification of the alteration gel by condensation of the Si-OH groups<sup>97,98</sup>, and the network topology, which may increase the activation energy of the network hydrolysis<sup>99</sup>.

Although the studies are scarce, it is possible to compare the alteration rates in atmosphere and in immersion for a few glass compositions. Important results can then be noticed:

(i) The kinetics of glass alteration in liquid and static conditions (static referring to no circulation of liquid) generally separates into three successive regimes<sup>71</sup>. The initial dissolution regime corresponds to the initial glass dissolution rate (or forward rate) in very dilute conditions with respect to the glass elements. The rate-drop regime corresponds to the formation and densification of the alteration layer, and the residual regime is related to the constant residual glass dissolution rate resulting from the rate equality between diffusion through, and dissolution of, the dense alteration layer<sup>56</sup>. As mentioned above, high  $S/V$  conditions accelerate the advent of the residual regime.

In atmospheric conditions, in general, the hydration rate increases with the %HR until 100%, but it stays lower than the dissolution rate in hydrothermal or liquid conditions in the initial dissolution regime<sup>89,91</sup>. An exception is however provided by a specific composition of mixed-alkali lime silicate glass identified as an unstable glass composition of the CH. Its atmospheric hydration rate (80 °C, 85% RH, 6 days) was very fast, and even exceeded by a factor 10 the initial dissolution rate measured for the same glass at 80 °C (pure water,  $S/V = 50\text{ m}^{-1}$ , 6 days, rate measured from the Na normalized mass loss)<sup>79</sup>. Comparing the atmospheric hydration rate and the dissolution rate in the residual regime (high  $S/V$ ), is only possible in the case of the SON68 glass composition for which numerous and accurate measurements have been performed. For this glass, the atmospheric hydration rate is higher than the residual rate at the same temperature (residual dissolution regime at very high  $S/V$ )<sup>100</sup>. Indeed, the residual dissolution rate is about  $2 \times 10^{-4}\text{ g m}^{-2}\text{ d}^{-1}$  (90 °C, pH = 9 buffered with KOH,  $S/V = 10\text{ m}^{-1}$ , 8000  $\text{m}^{-1}$  or 200 000  $\text{m}^{-1}$ , 1-year experiments)<sup>88,101</sup>, while the vapor hydration rate measured after 512 days (90 °C, 92% RH) is about  $3 \times 10^{-3}\text{ g m}^{-2}\text{ d}^{-1}$ <sup>184</sup>, i.e., higher by one order of magnitude.

(ii) In some cases, glasses that classify as the most durable in aqueous phase become the least durable in vapor phase: such an order reversal occurs in a series of Mg-rich<sup>75</sup>, and another series of Fe-rich<sup>73</sup>, sodium aluminoborosilicate glasses.

The existence of such glasses hydrating rapidly in vapor phase (i), or of reverse order in glass durability (ii), demonstrates that the dissolution at high  $S/V$  cannot be extrapolated to the hydration of glass in unsaturated vapor conditions. This is probably because in vapor conditions, the attacking water does not correspond to an aqueous saturated solution, and because the nature of the gel/hydrated layer and secondary phases are distinct as will be discussed below.

Considering the time and temperature dependency of the kinetics, studies dealing with aluminoborosilicate glass compositions for nuclear wastes generally find a decrease of the hydration rate with time, and a square root time dependency is established in several cases<sup>73,84</sup>. Moreover, the temperature dependency of the rate follows an Arrhenius law (Fig. 3)<sup>84</sup>. Therefore, for the SON68 glass, it is concluded that the vapor hydration rate is controlled by a reactive diffusion process of  $\text{H}_2\text{O}$ , the activation energy of which being close to that determined in Si saturated solution, although the diffusion coefficient is higher by two orders of magnitude<sup>102</sup>.

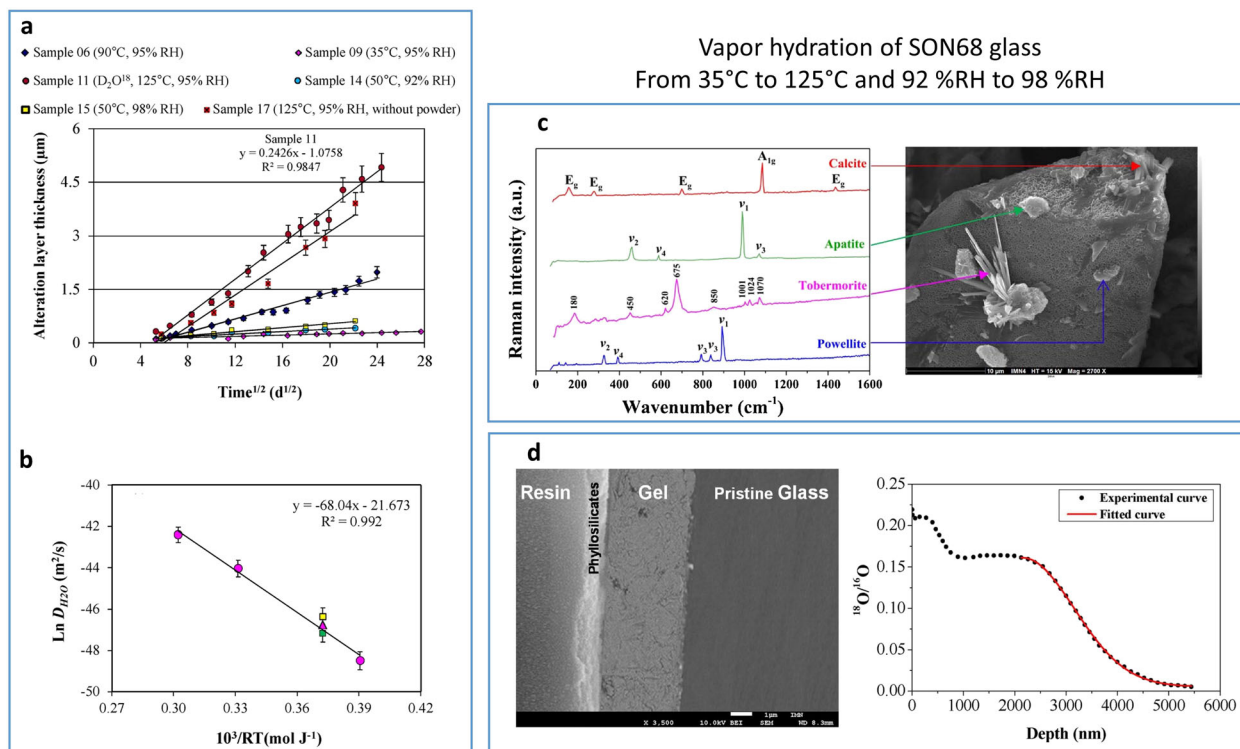
One study deals with the hydration kinetics of a soda-magnesia-lime silicate glass under unsaturated conditions using ion beam analysis techniques. The depth of hydration ( $\text{H}^+$  profile by NRA) increased at the square root of time in all  $T$  and % RH conditions over the 10-day period, again pointing out a diffusion-controlled process for this composition<sup>91</sup>.

However, in the unstable mixed-alkali lime composition of the CH mentioned above, the hydration rate (at 85% RH) increased with time over the 6-day experimental period at 80 °C and over the 9-month experimental period at 40 °C, while the temperature had an accelerating effect beyond that predicted by the Arrhenius law (considering a high activation energy of 85 kJ/mol). Thus in this case, a self-accelerated mechanism involving several processes controlled the kinetics<sup>90</sup>.

Overall, the glass composition appears to have a critical effect in determining the rate-controlling process in the first few months of alteration, during which a hydrated layer forms. Like in immersion conditions, the composition also closely controls the properties of the hydrated layer, leading to largely differing hydration kinetics in glasses of different compositions.

#### Chemical nature of the alteration layer

As expected considering a high  $S/V$  ratio, secondary phases form more rapidly in atmospheric conditions than in immersion conditions<sup>89</sup>. The first and most commonly observed crystalline



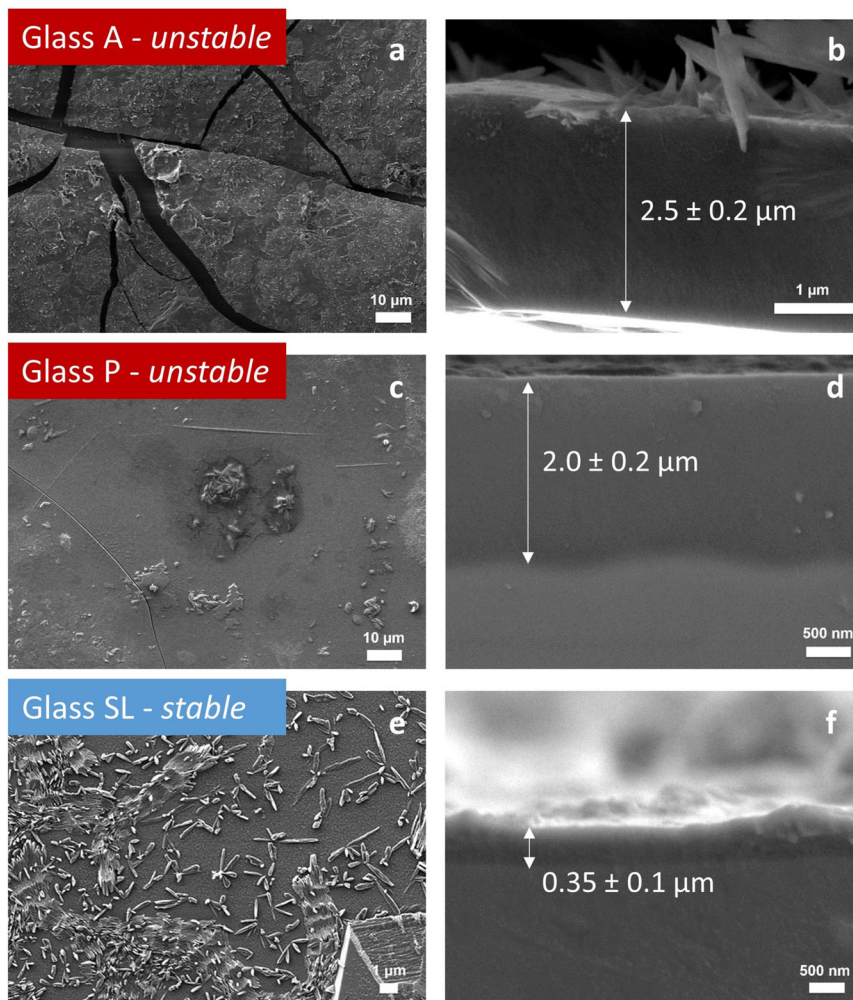
**Fig. 3** Vapor hydration experiments of SON68 glass, the inactive surrogate of the French R7T7 nuclear waste containment glass. **a** Alteration layer thickness measured by FTIR in transmission mode with SEM calibration, as a function of the square root of time, for various conditions from 35 °C, 95% RH (slowest kinetics) to 125 °C, 95% RH (highest kinetics). **b** Arrhenius plot of the H<sub>2</sub>O apparent diffusion coefficient deduced from the alteration layer thickness *Alt* by the equation  $Alt = 2\sqrt{\frac{D_{app} \times t}{\pi}}$ . **c** Alteration products observed on glass powder altered at 125 °C and 95% RH for 593 days and their identification by Raman spectroscopy. In addition to calcite, apatite, tobermorite and powellite identified by Raman, phyllosilicates are also present on the surface of the grains. **d** Left: SEM image (secondary electrons) of the edge of the glass monolith altered at 125 °C and 95% RH for 593 days in an autoclave with D<sub>2</sub><sup>18</sup>O saline solution, and right: <sup>18</sup>O/<sup>16</sup>O TOF-SIMS profile of the same sample. The D<sub>2</sub>O apparent diffusion coefficient deduced by fitting the TOF-SIMS profile was  $2.3 \times 10^{-19} \text{ m}^2 \text{ s}^{-1}$ , in good agreement with the H<sub>2</sub>O diffusion coefficient evaluated in (b) of  $5.3 \times 10^{-19} \text{ m}^2 \text{ s}^{-1}$ . Scale bars are **c** 10 μm, **d** 1 μm. Reprinted from ref. <sup>84</sup>, Copyright (2018), with permission from ELSEVIER.

alteration products are salts of leached modifier cations reacted with an acid gas, such as sulfates and carbonates (i). In more specific conditions, zeolites, phyllosilicates and silicate hydrates are also encountered (ii)<sup>100,103,104</sup>. However, the only alteration product that is always present is an amorphous hydrated layer, with variable thickness (iii). We describe these three components in the following.

(i) The presence of alkali- or alkaline earth-salts indicates that modifier ions and non-bridging oxygens have reacted with water either by ionic exchange or by water dissociation, and the released cations and hydroxyl anions have migrated to the surface, driven by the precipitation of salts. This general process is often called interdiffusion, although this term should be strictly restricted to Na<sup>+</sup>/H<sup>+</sup> exchange. In the case of calcite, a salt that is always observed in atmospheric alteration of Ca-bearing glasses whatever their overall composition, the crystals are often embedded in the superficial hydrated glass layer, suggesting that their growth has partly occurred in the layer<sup>57,90,105</sup>. Together with calcite, the alkali salts are the most visible part of the alteration in the first stages, inducing the misleading (to our opinion) idea that interdiffusion is the first and rate-limiting process of the alteration. In this respect, it is important to emphasize that these alkali salts do not generally hold all the alkalis of the alteration layer. Indeed, many studies report that alkalis are significantly retained in the hydrated layer<sup>5,64,73,75,79,80,84</sup>. It is generally supposed that this retention is due to the saturation of the water film that cancels the chemical gradient, and that Na<sup>+</sup> is released but present in the pore water<sup>75,84</sup>. Dissolution experiments of glass samples pre-altered in

vapor conditions show a spike in alkali release that supports this idea<sup>84</sup>, although the alkalis released in the solution account for less than 30% of the alkalis initially present in the hydrated layer in the case of the unstable mixed alkali lime glass of CH<sup>79</sup>. Regarding this latter glass, a part of the retained alkalis are still bound to non-bridging oxygens, as discussed later. Note that the retention of a small concentration of alkalis in the leached layer has also been noticed and emphasized in immersion conditions, with the authors even suggesting that some Na concentration in the leached layer is necessary to continue the dissolution process<sup>106</sup>.

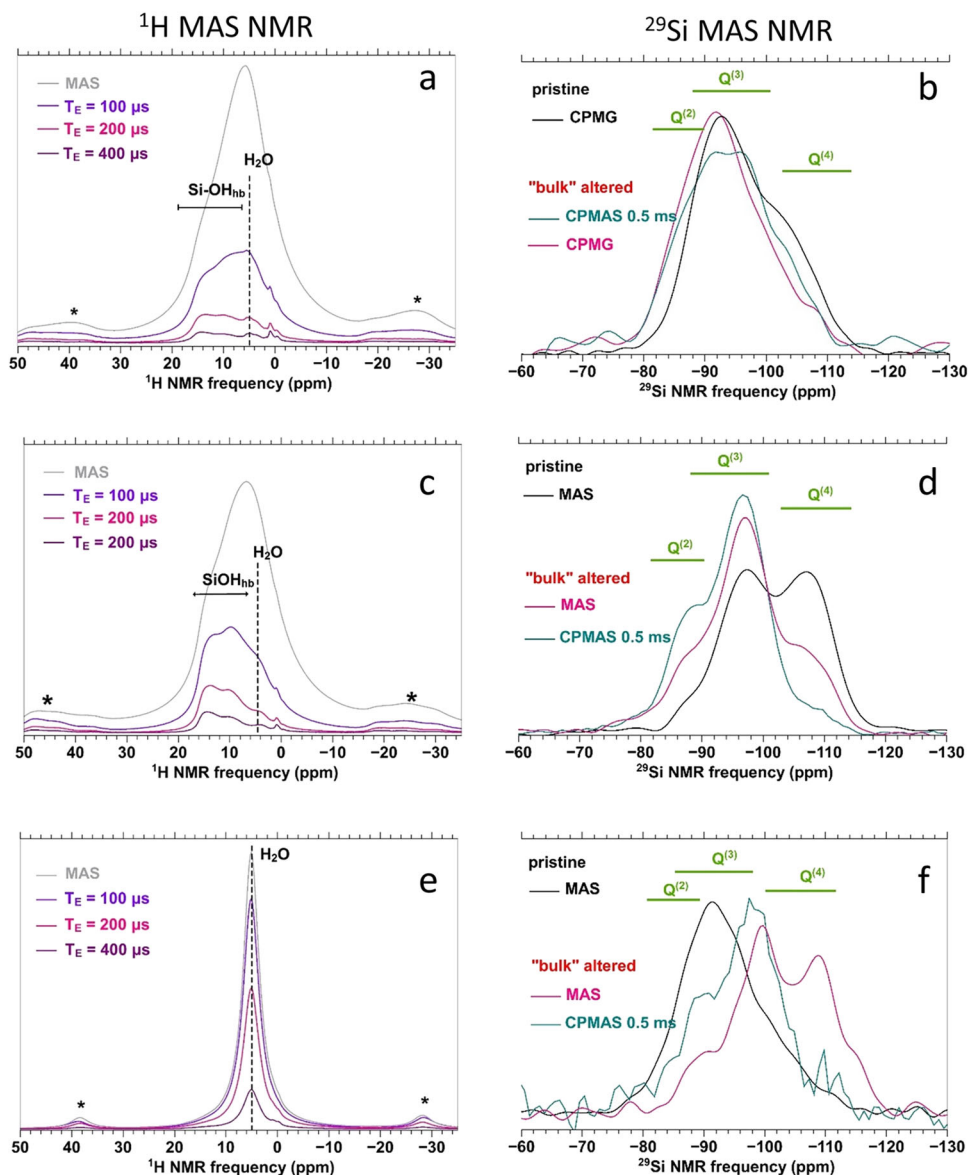
(ii) Silicate-bearing secondary phases, mainly zeolites, but also phyllosilicates and C–S–H, are observed in vapor hydration tests (VHT) at high temperature (125 °C or more). These VHT experiments were the first to demonstrate an acceleration of the rate due to Si and Al consumption that destabilized the passivating alteration layer<sup>6,70</sup>. An alteration resumption, or the retention of a high dissolution rate close to the forward rate, has then been observed in immersion conditions at high S/V and at high temperature or high pH (>150 °C or >pH 11 at 6500 m<sup>-1</sup> for SON68), and associated to the formation of silicate phases<sup>100,107</sup>. However a few differences are to be noted again between both conditions. First, the temperature effect seems particularly important in vapor conditions. For the SON68 glass, at temperatures below 125 °C, no Si-bearing phase appears in unsaturated conditions, while zeolites do form at 90 °C in aqueous solution at high S/V and alkaline pH (pH ~11.5 with NaOH or KOH in solution)<sup>103,104</sup>. This might indicate a higher activation barrier for



**Fig. 4 Atmospheric alteration experiments of three model glass compositions representative of one or more productions of glass objects since Antiquity, SEM images.** (wt%): Glass A, 71.3 SiO<sub>2</sub>, 0.8 Al<sub>2</sub>O<sub>3</sub>, 0.8 MgO, 5.0 CaO, 11.0 Na<sub>2</sub>O, 11.0 K<sub>2</sub>O; Glass P, 75.8 SiO<sub>2</sub>, 0.3 Al<sub>2</sub>O<sub>3</sub>, 0.2 MgO, 2.0 CaO, 1.5 Na<sub>2</sub>O, 20.2 K<sub>2</sub>O; Glass SL, 66.8 SiO<sub>2</sub>, 2.5 Al<sub>2</sub>O<sub>3</sub>, 3.0 MgO, 7.5 CaO, 18.0 Na<sub>2</sub>O, 2.1 K<sub>2</sub>O. In the field of Cultural Heritage glasses, the compositions A and P are considered as unstable and the composition SL is considered as stable against atmospheric degradation. SEM images (secondary electrons except **d** back-scattered electrons) of the surface (**a**, **c**, **e**) and of the edge (**b**, **d**, **f**) of glass plates altered at 40 °C, 85% RH for 6 months. The alteration layer thickness is indicated on the images. The salts are mainly Ca carbonates (A, P, and SL), Na, Ca, H-mixed carbonates (A and SL), deliquescent K-carbonates (P), and K, Na, Ca-sulfates (P). Scale bars are **a** 10 μm, **b** 1 μm, **c** 10 μm, **d** 500 nm, **e** 1 μm, **f** 500 nm. Reproduced with permission from ref. <sup>109</sup>, Editions Hermann, 2019.

the nucleation and growth of these phases in the unsaturated medium, although an effect of the high solution concentration in alkalis is also probable. The marked influence of temperature also extends to the hydration processes, with different activation energies reported on different temperature ranges<sup>74,84</sup>, or a strongly non-Arrhenian behavior<sup>90</sup>. Secondly, the secondary phases may not be exactly the same in both conditions. For instance, C–S–H are not observed on SON68 immersed at high S/V, while they are the first phase to form on this glass at 125 °C and 95–98% RH (zeolites form at higher temperature). In Mg- and Al-rich aluminoborosilicate glasses, Mg-smectites precipitate in unsaturated (50 °C, 95 %RH)<sup>75</sup> as well as in aqueous high S/V conditions at pH > 9 (50 °C)<sup>108</sup>, but the nature of these smectites is distinct. Their Al and Mg content is higher in saturated (tri-octahedral smectites) than in unsaturated conditions (di-octahedral smectites). This difference may be related to the order reversal in glass durability between these glasses with distinct Al<sub>2</sub>O<sub>3</sub>/MgO ratio. The authors proposed that these differences stem from the different solution chemistry of the aqueous phase and poral water<sup>75</sup>.

(iii) The amorphous hydrated layer also shows compositional and structural differences between both alteration conditions. This was revealed for three alkali–lime silicate glasses representative of CH glass compositions, aged in controlled temperature (40 and 80 °C) and RH (85% RH) as monoliths and as powders<sup>109</sup>. The structure of their hydrated layer was characterized by solid state NMR and Raman spectroscopy of the powders. SEM images are shown in Fig. 4 and NMR spectra in Fig. 5. In the two compositions classified as unstable (mixed alkali lime and potassium lime), the hydrated layer was very thick and partially retained alkalis and NBOs, embedded in a dense network of hydrogen bonds<sup>90,109</sup>. Specific signatures of the presence of NBOs are the very high chemical shift contributions (+14 to +10 ppm) in the <sup>1</sup>H MAS NMR spectra, which are assigned to Si–OH groups with strong H-bonding and short O–H–NBO distances. These contributions exist in crystalline alkali silicate hydrates<sup>110</sup>, and in hydrous glasses obtained by melting glass with water at high pressure<sup>111</sup> and are therefore referred to as “hydrate-type” in this paper. To the best of our knowledge, they have never been found in alteration gels produced in liquid phase (see ref. <sup>112</sup>, for instance). Moreover, the silicate network had depolymerized with the hydration,



**Fig. 5 Atmospheric alteration experiments of three model glass compositions representative of one or more productions of glass objects since Antiquity, MAS NMR spectra.**  $^1\text{H}$  and  $^{29}\text{Si}$  MAS NMR spectra of the A, P, and SL glass powders altered at 40 °C, 85% RH for 6 months (see the caption of Fig. 4 for the compositions of glasses A, P, and SL). **a, c, e**  $^1\text{H}$  NMR spectra acquired by varying the echo delay ( $T_E$ ) allow to filter the contribution of hydrated species with strong homonuclear dipolar coupling such as water. Si-OH contributions with very high chemical shift (about 14 ppm) exist in the A and P altered powders and are assigned to silanols groups H-bonded to non-bridging oxygens, as in crystalline alkali silicate hydrates. Their presence is consistent with the partial retention of alkalis in the hydrated layer. **b, d, f** the black and pink lines are the  $^{29}\text{Si}$  MAS NMR spectra of the pristine and altered glass respectively. These spectra show the depolymerization of the silicate network for the glasses A and P (loss of  $Q^4$  and growth of  $Q^2$ ,  $Q^3$  contributions), while the SL glass network has repolymerized.  $^1\text{H} \rightarrow ^{29}\text{Si}$  CP MAS spectra are also given in blue.  $^1\text{H}$  MAS NMR spectra are reproduced with permission from ref. <sup>109</sup>, Editions Hermann, 2019.

suggesting that hydration mainly occurred by Si-O-Si hydrolysis<sup>79,90,109</sup>. This hydrolysis was particularly fast, possibly due to the retention of alkalis and NBOs that may have locally formed catalytic  $\text{OH}^-$  species. On the contrary, in the soda-lime composition classified as stable, the hydrated layer was thinner, more extensively sodium depleted with a thick crust of carbonate salts on the top. The high  $^1\text{H}$  chemical shift contributions were absent and the network had repolymerized<sup>109</sup>. In this latter composition, the water reaction with the NBO sites has been followed by alkali migration to the surface and salt crystallization, while significant silanol condensation took place in the hydrated layer. Consequently, the hydrated layer was probably denser and more passivating against water penetration, in relation to the better chemical durability of this glass.

Therefore, the hydrated layer produced in atmospheric conditions shows a wider range of compositions, structures, and H-bonding strengths, from hydrate-type (depolymerized, with NBOs) to gel-type (repolymerized, with no NBOs). The extent of retention of alkalis and NBOs in hydrate-type environments strongly depends on the glass composition and seems to be linked with the glass chemical durability in atmosphere. These recent results on alkali lime silicate compositions<sup>109</sup> very interestingly connect to similar previous findings on nuclear waste compositions. Indeed, Abrajano et al.<sup>73</sup> stated that “The available data suggest that nuclear waste glass reacts following two distinct processes: one where hydration and dealcalization occur parabolically with time, and one where the depletion of mobile elements from the glass is restricted to the near surface region and occurs at a rate which

becomes nearly zero with time. In the latter case, it appears as though hydration could be occurring independently from the depletion of mobile cations". The latter mechanism may correspond to the Si–O–Si fast hydrolysis in hydrate-type environment observed by Alloteau et al.<sup>90,109</sup>. This mechanism adds to the interdiffusion mechanism and seems specific to atmospheric conditions, although a similar effect has also been suggested in liquid conditions but with much lower concentrations of alkalis<sup>113</sup>. When this mechanism prevails, it induces an increase of hydration rate and corresponding decrease of chemical durability. Its occurrence may not be systematic: for instance, in the case of medieval stained glass, careful experiments with D<sub>2</sub><sup>18</sup>O and nano-SIMS imaging put in evidence only the interdiffusion mechanism<sup>83</sup>. On the other hand, it may be severely dominating with dramatic consequences, as in the case of the mixed-alkali lime glass of CH aged at 80 °C and 85% RH, where a thick hydrated, depolymerized layer with same composition as the pristine glass, except the additional water, was the only alteration phase to be observed<sup>79</sup>. How the dominance of this additional mechanism depends on the composition, temperature, and alteration time is totally unknown and requires specific studies.

## SUMMARY, DISCUSSION, AND FUTURE PROSPECTS

The unsaturated water vapor significantly reacts with the glass surface, especially when RH approaches 100%. Compared to liquid water, the atmospheric alteration seems to show a similar general dependency on glass composition, notably on the content in alkali, but with an amplified effect<sup>24</sup>, and some inversion has been observed. Glass compositions recognized as unstable in the cultural heritage are particularly sensitive to unsaturated humidity attack. Even with the stable soda lime silicate compositions, it has been stated that compared to corrosion in liquid water, corrosion in humid atmosphere renders more severe degradation, *ie*, thicker reaction layers<sup>64</sup>, partly owing to the apparition of salts.

Moreover, many results indicate that the atmospheric alteration of glass is distinct from the alteration in immersion at high S/V. One cause may be the distinct solution chemistry of the adsorbed water film and poral water, such as extreme pH and saturation, equivalent to an extremely high S/V not reachable in immersion. We also think that another cause is the existence of a mechanism that has not been encountered in liquid alteration studies, allowing glass hydration without dealcalization. This mechanism may be described as the case where the Si–O–Si bond hydrolysis becomes equal or more rapid than the water reaction with the NBOs. Alternatively, the latter reaction may occur releasing the modifier ions, but these are trapped in the dense network of hydrogen bonds and may be adsorbed back on the NBO sites, so that the ongoing Si–O–Si hydrolysis appears more rapid. This situation is related to the existence of Si–OH groups strongly H-bonded to NBOs well evidenced in <sup>1</sup>H MAS NMR spectra, called hydrate-type environment in this paper. Such a mechanism reveals different chemical properties for the water reacting in atmospheric conditions. Presumably, these modified properties are related to the adsorbed, or bound, state of nearly all the water molecules in unsaturated conditions, which diminishes their solvation properties<sup>114</sup>. In their study on the unstable mixed-alkali lime silicate glass of the CH, Alloteau et al. suggest that in these conditions of lower solvation by water, the alkalis are retained next to NBOs in the inverse order of their hydration energy, K<sup>+</sup> > Na<sup>+</sup> » Ca<sup>2+</sup>. The Ca<sup>2+</sup> ions are thus more efficiently solvated and extracted out of the hydrated layer to form salts (calcite), while the silanol sites left behind may condense and contribute to densify the alteration layer, passivating the glass<sup>90</sup>. On the contrary to Ca<sup>2+</sup>, K<sup>+</sup> ions are more retained next to NBOs, promoting fast network hydrolysis in hydrate-type environment. If these hypotheses were confirmed, then they would provide

alternative explanations of the roles of CaO and K<sub>2</sub>O on glass chemical durability in atmosphere.

Interestingly, recent studies of soda lime silicate glass surfaces by sum frequency generation spectroscopy (SFG), a vibrational spectroscopy technique spatially selective of the extreme surface, have put in evidence the existence of hydrous species thermodynamically more stable than physisorbed water molecules, and associated with slow hydrogen-bonding dynamics<sup>115,116</sup>. These species could be related to the Si–OH groups H-bonded to the NBOs, or hydrate-type environment of this paper. Whatever these species are, such discoveries indicate that the chemistry of water reacting with the glass surface is probably more complex than previously thought.

In addition, the atmospheric alteration is characterized by its sensitivity to the surface structure and defects, being either compositional gradient, internal stress, scratches, dust and environmental particles, or alteration salts produced in the first alteration stage. Defects cause differential local humidity conditions due to capillary forces, building up heterogeneity in the alteration front. Other surface characteristics (composition and stress) determine the chemical water-surface reactivity and influence the first alteration stage.

To our sense, future research should be carried out openly with the idea that chemical properties of the glass-water system are modified with respect to the immersion conditions. Whatever the unsaturated or saturated conditions, the very first step of glass alteration is the adsorption of water at the surface and its penetration in the glass. Then, interdiffusion (encompassing two processes as mentioned above) and network hydrolysis take place at the same time but with different rates according to the conditions (T, pH). This is well-known and written everywhere about glass alteration, but we often find in the literature the hierarchical order of (1) interdiffusion and (2) network hydrolysis. In the case of unsaturated conditions, it seems that one should instead consider the order (1) network hydrolysis and (2) interdiffusion, especially with the compositions classified as unstable in the CH field.

How the alkali retention and fast hydrolysis mechanism in hydrate-type environment depends on composition and temperature and how it may be related to special chemical properties of water in bound state are suggested lines of research. In a similar way than in aqueous alteration studies, the stability and evolution of the hydrated layer should be studied as a function of environmental parameters, keeping in mind the specific chemistry of unsaturated conditions. Concepts and ideas from the fields of interfaces and confined space chemistry will probably be useful to enrich our description of these alteration phenomena and improve predictions and control strategies. In parallel, it is also necessary to conduct research on the development of suitable geochemical models that would consider these modified water properties, to satisfactorily describe experimental results and predict glass behavior in the long term.

## DATA AVAILABILITY

The data in Figs. 1, 4 and 5 are available from the corresponding author upon reasonable request.

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## AUTHOR CONTRIBUTIONS

O.M. organized the design and co-writing of the paper, wrote the sections “Mechanisms of glass alteration in humid atmosphere” and “Summary” and contributed to the other sections. P.L. wrote the section “Signs and issues of glass alteration in humid atmosphere/Commercial glasses”. I.B. wrote the section “Signs and issues of glass alteration in humid atmosphere/Glass art objects”. S.N. wrote the section “Signs and issues of glass alteration in humid atmosphere/Nuclear glasses”. F. A. carried out the experimental characterization of crizzled glass displayed in Fig. 1 and produced the experimental results shown in Fig. 4 and Fig. 5. D.C. contributed to all the paper sections by careful reading and comments. All authors contributed to the design of the paper, discussed the relevant literature, and contributed to conceive the novel opinions presented in the paper.

## COMPETING INTERESTS

The authors declare no competing interests.

## ADDITIONAL INFORMATION

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