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# The oxide at the Al-rich $\text{Fe}_{0.85}\text{Al}_{0.15}$ (110) surface

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The formation of an ultra-thin aluminum oxide film at  $\text{Fe}_{0.85}\text{Al}_{0.15}$ (110) surface ( $A_2$  random alloy) has been studied by a variety of surface sensitive techniques (X-ray photoemission, low-energy electron diffraction, **surface X-ray diffraction** and scanning tunneling microscopy) supplemented by *ab initio* atomistic simulations. Since iron is not oxidized in the used conditions, the study focused on the coupling between aluminum oxidation and segregation processes. Compared to the bare surface, whose average composition ( $\text{Fe}_{0.6}\text{Al}_{0.4}$ ) is closer to the  $B_2$ -CsCl structure over a  $\sim 3$  nm depth, the oxidation hardly affects the subsurface segregation of aluminum. All the structural and chemical fingerprints point to an oxide film similar to that found on NiAl(110). It is a bilayer ( $\sim 7.5$  Å thick) with a composition close to  $\text{Al}_{10}\text{O}_{13}$  and a **large** ( $18.8 \times 10.7$ ) Å<sup>2</sup> **nearly rectangular unit cell; an almost perfect match between substrate periodicity and the** ( $1 \times 2$ ) **oxide supercell is found.** Nevertheless, microscopy reveals the presence of anti-phase domain boundaries. Measured Al 2p and O 1s core level shifts match calculated ones; their origin and the relative contributions of initial/final state effects are discussed. The ubiquity of the present oxide on different supports asks for the origin of its stability.

## I. INTRODUCTION

In binary alloys  $A_{1-x}B_x$ , in which the B species has a higher oxygen affinity than A, internal or external oxidation<sup>1,2</sup> in the form of a  $\text{BO}_y$  compound may occur depending on oxygen activity. Prototypical examples are chromia on M-Cr alloys ( $M = \text{Fe}^3, \text{Co}^{4,5}, \text{Ni}^{6,7}$ ) and alumina on Al-alloyed bimetallic single crystal surfaces ( $\text{FeAl}^{8-12}, \text{CuAl}^{13-15}$  and  $\text{CoAl}^{16,17}$ ) with a focus on NiAl single crystal surfaces<sup>18-24</sup>, especially NiAl(110)<sup>25-33</sup>. Studied *per se* for high temperature applications<sup>34</sup>, the alumina films<sup>2</sup> of limited thickness ( $5-11$  Å<sup>25,35,36</sup>) formed on NiAl<sup>26,37,38</sup> and Ni<sub>3</sub>Al<sup>39,40</sup> low-index surfaces (Ni is never oxidized) were used mainly as catalyst supports<sup>2,41,42</sup>. The oxide structure on NiAl(110) was firstly described as close to  $\alpha$ -,  $\gamma$ - and  $\kappa$ -alumina<sup>18,25,43,44</sup>. A combination of scanning tunneling microscopy (STM) and *ab initio* calculations then concluded to a bilayer oxide film, whose large quasi-rectangular unit cell is nearly commensurate along its diagonal, with a  $4(\text{Al}_4^2+\text{O}_6^2-\text{Al}_6^3+\text{O}_7^2-)$  stacking sequence involving interfacial ( $\text{Al}_i$  and  $\text{O}_i$ ) and surface ( $\text{Al}_s$  and  $\text{O}_s$ ) Al and O ions<sup>31</sup> (Fig. S1, supplemental material<sup>45</sup>). This structure without bulk counterpart matches perfectly diffraction<sup>18</sup>, near-field microscopy<sup>31,46-49</sup> and spectroscopic data<sup>25,31,33</sup>.

The oxidation of FeAl alloys received much less attention<sup>9</sup>, despite many practical ap-

plications. The oxidation at 773-1173 K of  $B_2$ - $\text{Fe}_{0.47}\text{Al}_{0.53}$ (110) leads to well-ordered  $6 \pm 1$  Å thick oxide film covering the whole surface<sup>9</sup> whose structure was suggested to involve a quasi-rectangular unit cell with two domains, as on the oxidized NiAl(110) surface. Besides this structure, an streak phase was observed upon oxidation above 773 K, indicating long-range order (disorder) along the  $[001]_B$  ( $[1\bar{1}0]_B$ ) direction. (Notably, similar streaks observed at the bare  $\text{Fe}_{0.85}\text{Al}_{0.15}$ (110) were assigned to carbon contamination<sup>50</sup>.) Quite differently, the existence of an oxide unit cell ( $18.6 \times 19.4$ ) Å<sup>2</sup> rotated by 30° relative to the  $[1\bar{1}0]_B$  direction was postulated on oxidized FeAl(110)<sup>10,11</sup>, in line with a theoretical model<sup>51,52</sup>. Finally, an X-ray diffraction study<sup>53</sup> of clean  $\text{Fe}_{0.75}\text{Al}_{0.25}$ (110) revealed a  $B_2$  surface layer on a bulk  $D0_3$  order; upon oxidation at 573 K, a surface oxide is formed while the order disappears within a 2-3 nm thick Al-depleted subsurface region. In the blurred landscape of FeAl oxidation, applications prompted us to focus on the (110) surface of the random  $\text{Fe}_{0.85}\text{Al}_{0.15}$  alloy. The oxygen-induced formation of alumina at the surface of Al-alloyed Advanced High Strength Steel used by the automotive industry to lower car weight and fuel consumption<sup>54,55</sup> is an issue for the wetting and stability of the anti-corrosive zinc coating. The Al-alloyed steel study being out of grasp,  $\text{Fe}_{0.85}\text{Al}_{0.15}$  offers a relevant model system which crystallizes up to its melting point (1700 K) in a  $A_2$  body-centered cubic ferrite phase similar to that found in Al-alloyed steel.

Unlike bulk-terminated ordered intermetallic compounds such as  $D0_3$  ( $Ni_3Al$ ) or  $B_2$  ( $NiAl$ ,  $FeAl$ ), the bare  $Fe_{0.85}Al_{0.15}(110)$  surface tends to be enriched in aluminum which segregates above 700 K over a  $\sim 3$  nm thick region with an average composition of  $Fe_{0.6}Al_{0.4}$ <sup>56,57</sup>. Therefore, the question at hand, poorly tackled in surface science, is the coupling between Al oxidation and segregation. Following herein a logical order, the topography of the oxide film is first studied by STM. Then, composition and thickness are determined by X-ray photoemission spectroscopy (XPS) while structure is analyzed by low-energy electron diffraction (LEED) and grazing-incidence X-ray diffraction (GIXD). Finally, the consistency of the approach is discussed via *ab initio* calculations of core level shifts.

## II. METHODS

### A. Experimental

Experiments were conducted at INSP in two connected preparation and analysis ultra-high vacuum (UHV) chambers, with base pressures of  $1.5 \cdot 10^{-10}$  mbar and  $< 1.0 \cdot 10^{-10}$  mbar, respectively. Surface composition and segregation profile<sup>50,56,57</sup> were analysed by XPS under Al-K $\alpha$  monochromatic excitation (1486.6 eV; Phoibos 100 hemispherical analyzer from SPECS) at a pass energy of 20 eV for angles ranging from normal ( $\Theta = 0^\circ$ ) to grazing emission ( $\Theta = 75^\circ$ ). Structures were determined by LEED, as well as GIXD performed on the UHV chamber of the BM32 beamline of the European Synchrotron Radiation Facility<sup>57</sup>. STM (RT-Omicron) was run at room temperature with KOH electrochemically etched W-tip. Images were processed using the WSxM<sup>58</sup> software for background subtraction and profile analysis.

The same  $Fe_{0.85}Al_{0.15}(110)$  single crystal (diameter 6 mm; thickness 2 mm; miscut below  $0.1^\circ$ ) was used for all measurements. It was cleaned in UHV via cycles of  $Ar^+$  sputtering (1 keV; 30 mins) followed by annealing at 1193 K in UHV (during  $\sim 15$  mins at a few  $10^{-9}$  mbar)<sup>50,56,57</sup>, a temperature at which the Al segregation profile has reached a plateau<sup>56</sup>. Heating and cooling rates were around 250 K/min. Oxide films were synthesized in a single step at 1073-1123 K (right after cooling down from the last substrate annealing at 1193 K) under an  $O_2$  partial pressure of  $10^{-7} - 10^{-6}$  mbar. As on  $NiAl(110)$ <sup>32,35</sup>, a two-step oxidation via room temperature  $O_2$  exposure and annealing gives similar LEED fingerprints (not shown). At higher temperature (1193 K), the surface does not oxidize, meaning that  $O_2$  does not stick; however, the

oxide formed at 1073-1123 K withstands an annealing up to 1273 K without decomposition. The oxide was judged by LEED and STM to completely cover (see below) the surface above 50 L  $O_2$  (1 Langmuir is defined as  $1.33 \cdot 10^{-6}$  mbar.s.) **with no change or thickening up to 500 L**. In what follows, "oxidized surface" refers to an initially "bare clean  $Fe_{0.85}Al_{0.15}(110)$  surface" annealed at 1193 K with a fully developed Al segregation that is exposed at 1073-1123 K to more than 50 L of  $O_2$ , all preparations being performed *in situ* in UHV conditions.

The superstructure matrix  $M_S$  of the oxide unit cell is described herein using the  $(\mathbf{a}_S, \mathbf{b}_S)$  rectangular centred surface unit cell (index S) of  $Fe_{0.85}Al_{0.15}(110)$  bulk truncation (index B) along the  $[1\bar{1}0]_B$  and  $[001]_B$  bulk directions. **Its parameters are  $a_S = 4.0891 \text{ \AA}$  and  $b_S = 2.8914 \text{ \AA}$**  (bulk lattice parameter  $a_B = 2.8914 \text{ \AA}$ <sup>59</sup>). Diffraction indexes  $(h_S, k_S, l_S)$  are defined in the corresponding reciprocal unit cell,  $l_S$  direction being normal to the  $(110)_B$  plane.

### B. Simulations

The present experimental work was supplemented by density functional theory (DFT)<sup>60,61</sup> calculations using the plane wave projector augmented-wave<sup>62</sup> (PAW) code VASP<sup>63-66</sup>. Exchange-correlation effects were treated with optB86b-vdW<sup>67,68</sup>. This functional combines (i) semi-local exchange with a modified B86b expression<sup>69</sup>, (ii) correlation in the local density approximation<sup>61</sup> and (iii) long-range van der Waals correlation using the vdW method<sup>70,71</sup>. The basis set included plane waves up to a kinetic energy of 500 eV. Considering the large size of the parallelogram-shaped  $\begin{bmatrix} 5 & 1 \\ 2 & 7 \end{bmatrix}$  oxide surface unit cell employed here as in Ref. 31 on  $NiAl(110)$  (Fig. S1<sup>45</sup>), restricting the Brillouin-zone sampling to the  $\Gamma$  point was sufficient. **As explained in Sect. VI, simulations were performed on the ordered B2-CsCl  $FeAl(110)$  surface.** Standard PAW setups were used for all elements with valences of 3, 6 and 8 for Al, O and Fe, respectively. The use of spin polarization was considered, but the influence on the properties of interest was found to be very limited. Geometry optimization was carried out with four-layer metal slabs (with the bottom two layers fixed to their bulk positions) and pursued until the forces are less than  $5 \cdot 10^{-2}$  eV/ $\text{\AA}$  in magnitude. After relaxation, three more metal layers were added at the bottom of the slab to ensure sufficiently bulk-like references in the middle layer.

The core level shifts (CLS) were calculated in the well-validated<sup>72,73</sup> complete screening approach, which includes the response of the valence electrons to the creation of a core hole. Screening by the other core-electrons were not taken into account in the present implementation, as it is generally environment-independent and

therefore does not significantly influence the CLS<sup>72</sup>. To analyze the origin of the calculated shifts, these were furthermore decomposed into initial and final state contributions. The former is defined as the negative of the corresponding difference in Kohn-Sham eigenvalues of the core levels in the unperturbed structure, which are closely related to differences in the electrostatic potential in the vicinity of the atomic cores. The latter contribution represents differences in energy transferred to the photo-electron by valence electrons which screen the newly formed core-hole.

### III. OXIDE TOPOGRAPHY FROM STM

On large scale STM images, the oxidized  $\text{Fe}_{0.85}\text{Al}_{0.15}(110)$  surface (Fig. 1) appears as homogeneously covered by a continuous oxide layer. The pseudo-hexagonal reconstruction of 18 Å period of the bare surface<sup>57</sup> is completely lifted. The stability of the oxide film after an overnight ageing in STM (not shown) proves a chemical inertness that allows for long-term analyses. Unlike on the bare surface<sup>57</sup>, step bunching is observed with large 25-500 nm terraces separated by steps 2 to 40 Å high (Fig. 1-a). Spaced stripes preferentially aligned close to the  $[001]_B$  direction appear on terraces (Fig. 1-b). They are separated by 8-12 nm, as confirmed by line profile and Fourier analysis (Fig. 1-c). Between stripes, a higher resolution (Fig. 2-c) evidences  $\sim 2$  nm periodic structure tilted from the  $[1\bar{1}0]_B$  direction. The domains are limited by  $\sim 3$  nm wide boundaries in which the periodic motif is shifted by a fraction of period with anti-correlated amplitudes as seen in topography profiles (Fig. 2; green/black lines). This observation rules out a moiré effect. Despite distortions related to small domain size, the imaged "periodic" structure matches the oxide unit cell determined by diffraction in Sect. V (Fig. 2-c).

Such a topography is very similar to previous findings on the oxidized  $\text{NiAl}(110)$ <sup>18,26,47,48,74-78</sup> where anti-phase domain boundaries have been observed and assigned to misfit dislocations<sup>75,76</sup> accompanied by an oxygen deficient line of atoms<sup>48,76</sup>. As in the present case, these defects appear in the form of a shift of periodicity between domains<sup>26,74-76,79</sup>. But in contrast to  $\text{NiAl}(110)$  where they appear as irregular shaped lines, reflection domain boundaries due to the 2-fold symmetry of the substrate (see diffraction analysis in Sect. V) are not clearly evidenced on large terraces. They are rather revealed by progressive rotations of the anti-phase domain boundaries (see dotted line in Fig. 1-b).

**In conclusion, the STM study demonstrates the crystallographic quality of the film, its continuity and its stability against aging, that are all important results to ensure the validity of the experimental analysis.**

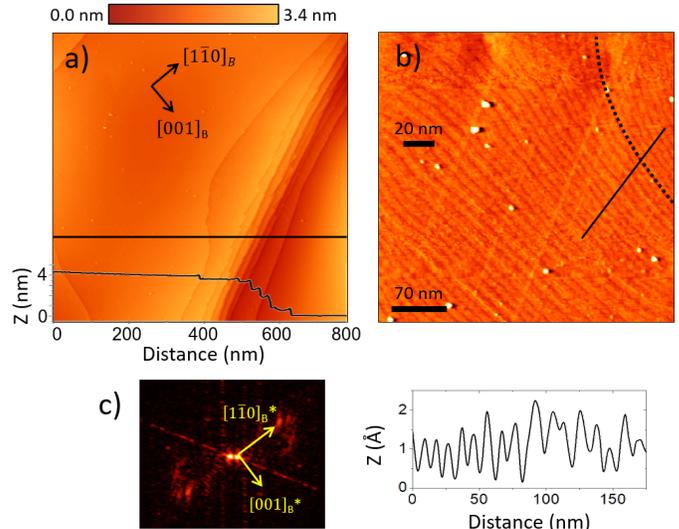


FIG. 1. STM images ( $U_b = 1$  V,  $I_t = 100$  pA) of the oxidized  $\text{Fe}_{0.85}\text{Al}_{0.15}(110)$  surface: a)  $(800 \times 800)$  nm<sup>2</sup> image and profile (in inset) along the continuous line; b)  $(350 \times 350)$  nm<sup>2</sup> image of a terrace evidencing the stripe domains; c) Corresponding Fourier analysis and topography profile along the continuous line of Fig. 1-b.

### IV. PHOTOEMISSION ANALYSIS

#### A. Core level lineshapes

On the oxidized  $\text{Fe}_{0.85}\text{Al}_{0.15}(110)$  surface, the perfect overlap of Fe 3p before and after oxidation even at grazing emission (Fig. 3-a) proves that Fe remains metallic since a positive binding energy ( $E_B$ ) shift is expected for oxidized Fe ( $E_B = 52.9 \pm 0.3$  eV for Fe;  $55 \pm 0.6$  eV for FeO;  $55.1 \pm 1$  eV for  $\text{Fe}_3\text{O}_4$ ;  $55.9 \pm 0.4$  eV for  $\text{Fe}_2\text{O}_3$ ;  $56.2 \pm 1$  eV for  $\text{FeOHO}$ )<sup>80-82</sup>. Conversely, the enhancement at grazing emission of a Al 2p shoulder shifted relative to the metal ( $E_B = 72.1$  eV) points to the formation of an aluminum oxide film (Fig. 3-b) in line with the difference in electronegativity between the two elements ( $I_{Fe} = 1.83$ ;  $I_{Al} = 1.61$ ; Pauling's scale<sup>83</sup>). **As suggested by a simple visual inspection of Fig. 3-b and in line with results on  $\text{NiAl}(110)$ <sup>33</sup>, the Al 2p spectra is decomposed into three components made of doublets with a spin orbit splitting of 0.4 eV<sup>82</sup> and a theoretical value of 1/2 of the  $2p_{1/2}/2p_{3/2}$**

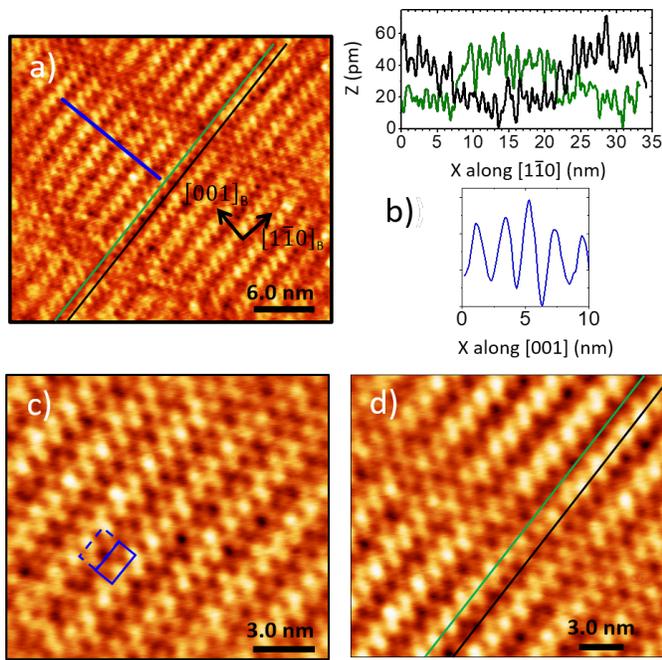


FIG. 2. High resolution STM images ( $U_b = -1$  V,  $I_t = 12$  pA) of the oxidized  $\text{Fe}_{0.85}\text{Al}_{0.15}(110)$  surface: a)  $(30 \times 30)$  nm<sup>2</sup> of stripe domains and boundaries between them; b) topography profiles along green, black and blue lines in Fig. a;  $(15 \times 15)$  nm<sup>2</sup> image of the oxide reconstruction c) in the center and d) at boundary of the domains. The  $(1 \times 2)$  coincidence of the  $(18.8 \times 10.6)$  Å<sup>2</sup> oxide unit cell determined by diffraction is shown in blue in Fig. c.

branching ratio (Tab. I). A Shirley background<sup>84</sup> was subtracted during fits. Metallic and oxide components were accounted for by Doniach-Sunjic (DS)<sup>85</sup> and Voigt (V) profiles, respectively. A similar DS asymmetry of 0.1 was found for metallic Al on bare and oxidized surfaces. The Lorentzian broadening was kept close to the Al K- $\alpha$  emission width (0.58 eV)<sup>80</sup>. The instrumental and sample-related broadening **due to binding energy distribution** was introduced through the Gaussian part of the Voigt function. In a similar way, the O 1s profile was decomposed into two Voigt components. (Tab. II).

**The clean FeAl(110) surface is of pure metallic character, as evidenced by the unique DS profile of Al 2p** (Fig. 3-b and Tab. I). Moreover, the difference between the observed  $E_B$  (72.1 eV) and the tabulated value  $(72.6 \pm 0.3$  eV)<sup>82</sup> is **characteristic of Fe-Al binding in aluminides**<sup>86</sup>. On the oxidized surface, the comparison to  $E_B$  values found for  $\text{Al}_2\text{O}_3$  ( $74.1 \pm 1$  eV)<sup>82</sup> or oxidized Al ( $74.4 \pm 1.5$  eV)<sup>82</sup> favors the occurrence of  $\text{Al}^{3+}$ . Changes in relative intensity observed from normal to grazing emission (Fig. 3-b and Tab. I) allows the assignment of the higher (lower) shifted Al 2p component to surface (interface) Al atoms (Fig. S2-b<sup>45</sup>). Parallel assignments can be made for the O 1s spectrum, as the

Angle	Shape	Type	Rel. area	$E_B$ (eV)	G-FWHM (eV)
Clean $\Theta = 0^\circ$	DS	M	1.0	72.10	0.10
Clean $\Theta = 70^\circ$	DS	M	1.0	72.20	0.35
Oxide $\Theta = 0^\circ$	DS	M	0.66	72.10	0.10
	V	I	0.13	74.00	1.00
	V	S	0.21	75.1	1.10
Oxide $\Theta = 70^\circ$	DS	M	0.40	72.10	0.33
	V	I	0.175	73.80	0.90
	V	S	0.425	74.9	1.00

TABLE I. Parameters of the Al 2p core level decomposition (Fig. 3-b). Binding energy ( $E_B$ ) and Gaussian Full-Width at Half-Maximum (G-FWHM) for bare (1193 K) and oxidized surfaces are compared at either normal ( $\Theta = 0^\circ$ ) or grazing emission ( $\Theta = 70^\circ$ ). The symbols DS, V, M, S, I stand for Doniach-Sunjic, Voigt, metal, surface and interface, respectively. Error bars are  $\sim 10$  % for areas and  $\sim 0.05$  eV for energies.

Angle	Shape	Type	Rel. area	$E_B$ (eV)	G-FWHM (eV)
$\Theta = 0^\circ$	V	S+I	0.81	531.45	0.90
	V	S	0.19	532.65	0.90
$\Theta = 70^\circ$	V	S+I	0.76	531.50	1.00
	V	S	0.24	532.65	1.00

TABLE II. **Fit results of the O 1s core level of the oxide film. Parameters and symbols have the same meaning as in Tab. I.**

intensity of the component of higher  $E_B$  (surface) is enhanced at grazing emission (Fig. 3-c and Tab. II). **A quantitative comparison to DFT results and to NiAl(110) case both in terms of binding energies and relative area will be given in Sect. VI.**

## B. Similarity of the Al segregated profile on bare and oxidized surfaces

**The larger Gaussian broadening of the metallic Al core level at grazing emission points to a distribution of  $E_B$  due to segregation**<sup>50,56,57</sup>. Keeping fixed the above core level decomposition, the Al concentration profile underneath the oxide was derived from the variation with emission angle of the  $I_{\text{Al}2p}^c/I_{\text{Fe}3p}^c$  area ratio of metallic components (Fig. S2<sup>45</sup>) corrected from photoionization cross sections and analyzer transmission functions<sup>50,56,57</sup> (with the advantage that the neighboring Al 2p and Fe 3p  $E_B$  lead to the same escape depth). A profile similar as on the bare surface<sup>56</sup> is found (Fig. 4). To quantify it, the angular variation was fitted<sup>56</sup> by assuming either (i) a homogeneous segregated layer  $\text{Fe}_{1-x_S}\text{Al}_{x_S}$  of thickness  $t_S$  on top of a

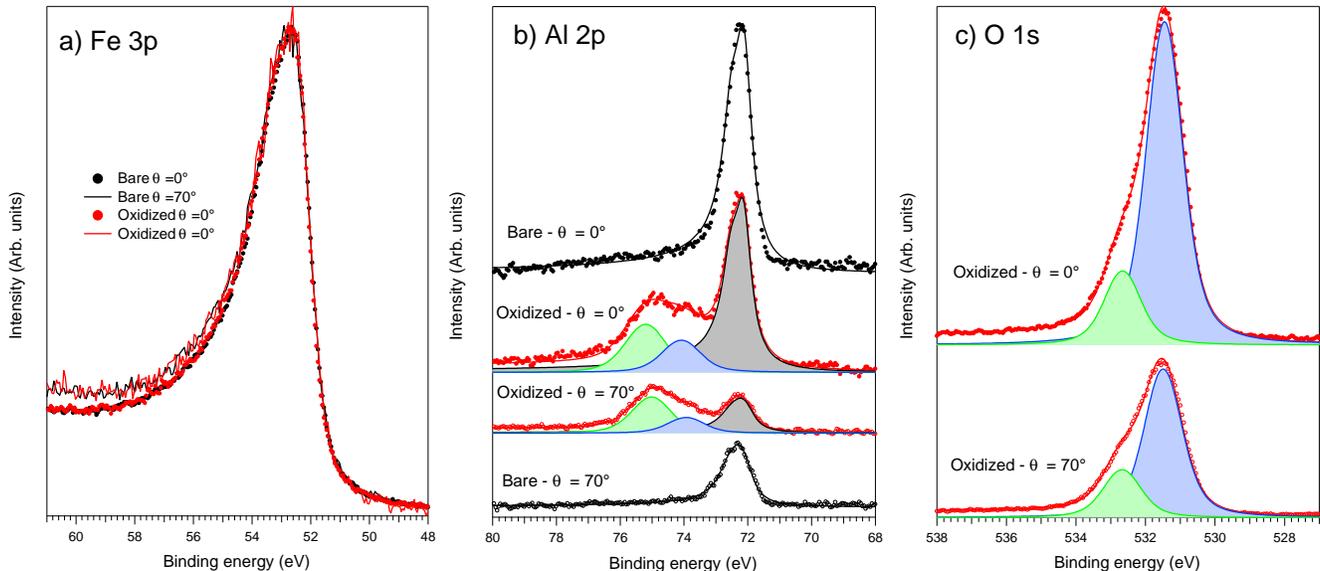


FIG. 3. Comparison at normal ( $\Theta = 0^\circ$ ) and grazing ( $\Theta = 70^\circ$ ) emissions of the photoemission core level spectra recorded on the bare (1193 K) and oxidized  $\text{Fe}_{0.85}\text{Al}_{0.15}$ (110) surface: a) Fe 3p , b) Al 2p and c) O 1s. Points correspond to data and continuous lines to fits (see text); fit parameters are given in Tabs. I-II.

$\text{Fe}_{0.85}\text{Al}_{0.15}$  bulk composition or (ii) a continuous diffusive profile  $\text{Fe}_{1-x_S(z)}\text{Al}_{x_S(z)}$  with a **depth**( $z$ )-dependent surface composition  $x_S(z) = x_B + \Delta x \exp(-z^2/\Lambda^2)$ , where  $\Lambda$  is a segregation characteristic length<sup>56</sup>. As on the bare surface<sup>56</sup>, the two models fit equally well the data (Fig. 4). The bare surface profile characteristics ( $x_S(z=0) = x_B + \Delta x = 0.40 \pm 0.03$ ,  $t_S = 30 \pm 7 \text{ \AA}$ ,  $\Lambda = 36 \pm 10 \text{ \AA}$ ) do not evolve upon oxidation ( $x_S(z=0) = 0.41 \pm 0.03$ ,  $t_S = 27 \pm 6 \text{ \AA}$ ,  $\Lambda = 32 \pm 8 \text{ \AA}$ ). **Bulk diffusion of Al should compensate for the oxide formation since the Al contribution to the oxide layer ( $\sim 0.2 \text{ Al atom/\AA}^2$  for an oxide structure similar to that found on NiAl(110)) is a significant fraction of that to the segregated layer ( $\sim 1 \text{ Al atom/\AA}^2$ ).**

According to the bulk phase diagram<sup>87–90</sup>, the average composition underneath the oxide corresponds to the ordered CsCl-type  $\text{B}_2$  phase, as on the bare surface<sup>56</sup>.

### C. The stoichiometry and thickness of the oxide film

From oxide and substrate related core level area ratios  $I_{\text{Al}2p(\text{oxide})}^c/I_{\text{Fe}3p}^c$ ,  $I_{\text{Al}2p(\text{oxide})}^c/I_{\text{Al}2p(\text{metal})}^c$ ,  $I_{\text{O}1s}^c/I_{\text{Fe}3p}^c$ ,  $I_{\text{O}1s}^c/I_{\text{Al}2p(\text{metal})}^c$ , photoemission is now used to determine the composition and thickness of the continuous oxide layer as observed by STM (Sect. III). The respective roles of the Al concentration gradient and the oxide composition in the modeling of this film are highlighted by a series

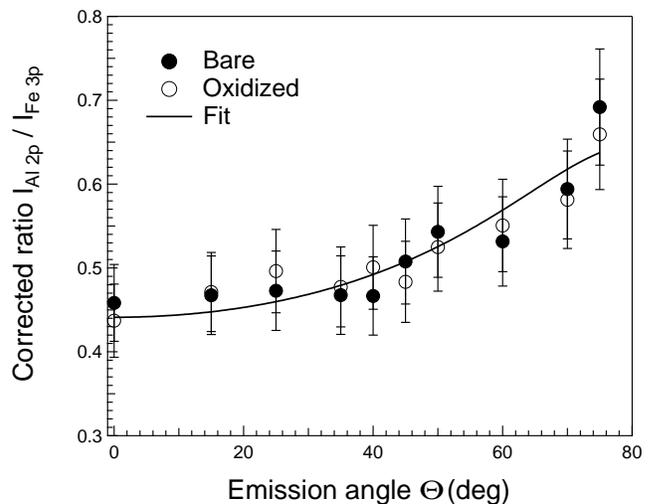


FIG. 4. Comparison of angular variation of the corrected ratio  $I_{\text{Al}2p}^c/I_{\text{Fe}3p}^c$  for the metallic components on the bare (filled circles) and oxidized (open circles)  $\text{Fe}_{0.85}\text{Al}_{0.15}$ (110) surface. The chosen error bars of 10 % on the experimental ratio match with data dispersion. The continuous line corresponds to a fit with a continuous profile of segregation (see text).

of three approaches of increasing sophistication (see Sect. SII<sup>45</sup> for details). The first model (the simplest representation) ignores segregation and pictures an  $\text{Al}_2\text{O}_3$  layer on a homogeneous  $\text{Fe}_{0.85}\text{Al}_{0.15}$  bulk. It leads to a huge discrepancy between the signals normalized to metallic Al 2p

and Fe 3p components, with a factor of three between the estimates of the oxide thickness (Model 1; dotted lines in Fig. 5). A second model (Sect. SII<sup>45</sup>) involves the above subsurface continuous segregation profile; the Al<sub>2</sub>O<sub>3</sub> thickness was calculated from Eq. S1-S2<sup>45</sup> with a 10 % of standard deviation (Fig. 5). Consistent fits are obtained (Model 2; full lines in Fig. 5) with, however, a flaw. The curves normalized with respect to either Al 2p or Fe 3p overlap nicely, but do not agree with each other, which suggests that a problem comes not from the segregation profile but from the oxide itself. This likely is an understoichiometry in oxygen relative to Al<sub>2</sub>O<sub>3</sub> since a lower film thickness is obtained when O 1s is taken as reference. Indeed, an oxide composition Al<sub>2</sub>O<sub>2.5±0.2</sub> close to that found on NiAl(110) *i.e.* Al<sub>10</sub>O<sub>13</sub> = Al<sub>2</sub>O<sub>2.6</sub><sup>18,31,91</sup> leads to a fair agreement (Model 3; dots in Fig. 5). The film thickness of 7.5 Å is consistent with a bilayer as on NiAl(110). The robustness of the determined values with respect to emission angle validates the hypothesis of film continuity. The chemical analogy with the oxide structure found on NiAl(110) is further discussed below on the basis of the calculations of *ab initio* calculations of CLSs (Sect. VI) for which a prerequisite is the determination of the oxide layer unit cell.

## V. THE OXIDE UNIT CELL FROM DIFFRACTION

The LEED pattern of the oxidized Fe<sub>0.85</sub>Al<sub>0.15</sub>(110) is shown in Fig. 6-a,b. The {11}<sub>S</sub> substrate reflections remain visible (Fig. 6-b) but the "flower"-like fingerprints of the bare surface reconstruction<sup>56</sup> are not anymore. The two mirror planes crossing at the center of the reciprocal space are compatible with a pmm, a subgroup of the cmm rectangular centered surface unit cell. The pattern shows similarities with those obtained on oxidized NiAl(110)<sup>26</sup> and FeAl(110)<sup>9</sup>. They could be indexed with a rotated quasi-rectangular unit cell (Fig. 6-d) which, due to the cmm symmetry, gives rise to two domains. **A comparison<sup>92</sup> (not shown) with the superstructure matrix  $M_S = \begin{bmatrix} 4 & 2.53 \\ -1 & 3.37 \end{bmatrix}$  proposed for the oxidized FeAl(110)<sup>9</sup> by analogy to that obtained on NiAl(110)<sup>26</sup> led to a poor agreement with the main central spots pointing at a slightly distorted mesh. The limits of the LEED analysis (distortions due to sample position and tilt) led us to perform a quantitative analysis by GIXD.**

Fig. 7 compares in-plane reciprocal scans along the [10]<sub>S</sub> and [01]<sub>S</sub> directions before (bare surface) and

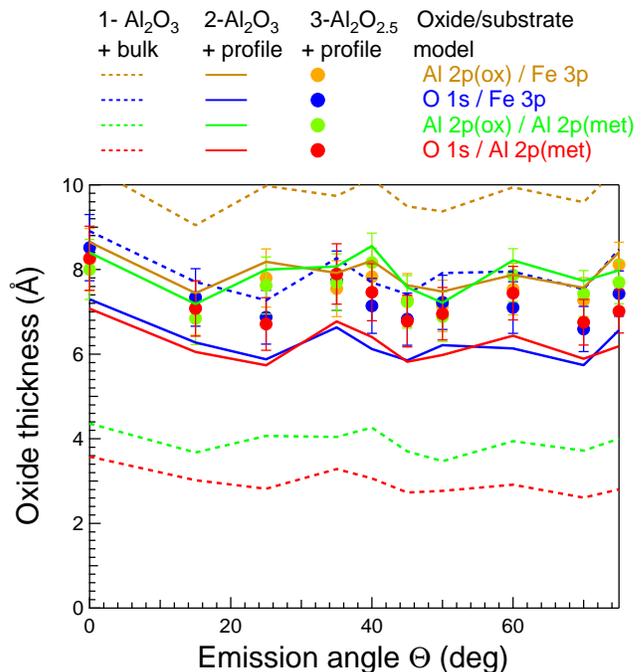


FIG. 5. Model-dependent thickness of the oxide layer as derived from various photoemission intensity ratios (see text): (i) Model 1 (dotted lines): Al<sub>2</sub>O<sub>3</sub> film on the Fe<sub>0.85</sub>Al<sub>0.15</sub> bulk; (ii) Model 2 (full lines): Al<sub>2</sub>O<sub>3</sub> film on the profile of segregation found in Sect. IV B; Model 3 (dots): Al<sub>2</sub>O<sub>2.5</sub> film on the profile of the same segregation profile.

after oxidation. Radial scans overlap along the [01]<sub>S</sub> direction. In parallel, the superstructure peaks<sup>56</sup> along [10]<sub>S</sub> at  $h_S = (1.56 - 3.56 - 5.56, 0, 0.075)$  are lifted by oxidation. This demonstrates that, while the formation of the continuous oxide layer does not change the profile of segregation underneath (Sect. IV B), it impacts the nature of the pseudo-hexagonal surface superstructure<sup>57</sup> of the segregated layer by releasing the "incommensurate" direction. Of course, new peaks characteristic of the oxide layer itself appear in Fig. 7 (blue lines).

To isolate the oxide-related diffraction features, limited portions of the in-plane reciprocal space (at  $l_S = 0.075$ ) of the bare (Fig. S4<sup>45</sup>) and oxidized (Fig. 8) surfaces were mapped with GIXD through angular  $\omega$ -scans. Obvious powder-like spurious spots due to crystal imperfections and reflections from the substrate structure are excluded from the analysis (grey open squares in Fig. 8). Within a set of identified potential oxide reflections (green circles), three aligned intense spots (non-colinear with the substrate directions) are undoubtedly in-plane Bragg reflection of the same oxide domain (large black circles in Fig. 8 and reflections 1,2,3 in Tab. SII<sup>45</sup>). From these three spots, the most likely oxide unit cell parameters ( $a_{ox}, b_{ox}, \gamma_{ox}, \alpha_{ox}$ ) (see

Work	Substrate	$a_B, (a_S, b_S)$ (Å)	$a_{ox}$ (Å)	$b_{ox}$ (Å)	$\gamma_{ox}$ (deg)	$\alpha_{ox}$ (deg)	$M_S$
SPA-LEED <sup>26</sup>	NiAl(110)	2.887	17.89	10.55	88.67	24.1	$\begin{bmatrix} 4 & 2.53 \\ -1 & 3.37 \end{bmatrix}$
	B <sub>2</sub> -CsCl	$4.083 \times 2.887$					
GIXD <sup>18</sup>	NiAl(110)	2.887	18.01	10.59	91.15	24.01	$\begin{bmatrix} 4.03 & 2.54 \\ -1.10 & 3.32 \end{bmatrix}$
	B <sub>2</sub> -CsCl	$4.083 \times 2.887$					
DFT <sup>31</sup>	NiAl(110)	2.895	17.9	10.93	91.84	-	-
	B <sub>2</sub> -CsCl	$4.094 \times 2.895$					
GIXD <sup>91</sup>	Al/Ni(111)	3.524	18.23	10.53	90	-	-
	A <sub>1</sub> -fcc	$2.491 \times 2.491$					
LEED <sup>93</sup>	FeAl(110)	2.906	18.01	10.62	88.66	24.1	$\begin{bmatrix} 4 & 2.53 \\ -1 & 3.37 \end{bmatrix}$
	B <sub>2</sub> -CsCl	$4.110 \times 2.9064$					
GIXD	Fe <sub>0.85</sub> Al <sub>0.15</sub> (110)	2.888	18.8	10.68	91.2	27.5	$\begin{bmatrix} 4.08 & 3.01 \\ -1.25 & 3.24 \end{bmatrix}$
This work	A <sub>2</sub> -bcc	$4.091 \times 2.888$	$\pm 0.2$	$\pm 0.08$	$\pm 0.8$	$\pm 0.4$	

TABLE III. Aluminum oxide unit cell parameters at the surface of metallic substrates as determined in the literature. The substrate (bulk lattice parameter  $a_B$ ) is designated by its Strukturbericht symbol<sup>56</sup> and parent compound.  $M_S$  corresponds to the superstructure matrix from the substrate rectangular surface unit cell. Other quantities are defined in Fig. 6-d.

Fig. 6-d for definitions of angles  $\gamma_{ox}, \alpha_{ox}$  and reflection indexing  $[(h_{ox}^i, k_{ox}^i), i = 1 \dots 3]$  were sought in a way which is detailed in Sect. SIII<sup>45</sup>. The principle of the analysis is to use the theoretical link between  $(h_{ox}^i, k_{ox}^i)$  and  $(h_S^i, k_S^i)$  (Eq. S3-S4<sup>45</sup>) to define a cost function  $\chi^2$  on the observed experimental positions (Eq. S5<sup>45</sup>). Finally, the parameters were further refined over 14 identified oxide reflections. The solution ( $\chi^2 = 1.2$ ; Tab. III) is  $a_{ox} = 18.8 \pm 0.2$  Å;  $b_{ox} = 10.68 \pm 0.08$  Å;  $\gamma_{ox} = 91.2 \pm 0.8^\circ$ ;  $\alpha_{ox} = 27.5 \pm 0.4^\circ$ . In Tab. III, the unit cell of the oxide layer determined herein on oxidized Fe<sub>0.85</sub>Al<sub>0.15</sub>(110) is seen to fairly compare to previous determinations on oxidized NiAl(110)<sup>18,26,31</sup>, on oxidized FeAl(110)<sup>93</sup> and on aluminum oxide grown on a Ni(111) surface<sup>91</sup>.

It is now possible to reconsider the **LEED pattern analysis**. The simulated LEED pattern based on the unit cell determined by GIXD agrees well with the experimental pattern (Fig. 6-c), apart from the above-mentioned distortions and some spots that remain not fully explained. Looking now at the main surface directions, the oxide structure is nearly commensurate with the substrate along  $[10]_S = [1\bar{1}0]_B$  but incommensurate along the perpendicular one  $[01]_S = [001]_B$  (Fig. 6-d). Compared to NiAl(110) or FeAl(110) (B<sub>2</sub>/CsCl structure), the random alloy Fe<sub>0.85</sub>Al<sub>0.15</sub> (A<sub>2</sub>/bcc structure) offers in terms of symmetry an extra degree of coincidence due to the centering of the surface unit cell. **In Fig. 6-d, the comparison with the substrate primitive unit cells (grey grid) show that the oxide cell is in**

**coincidence along  $2b_{ox}$  (within 0.2 %) and nearly along  $a_{ox}$  (within 2 %).** Calculations show that **this coincidence of the  $(1 \times 2)$  oxide supercell is within the error bars of the GIXD determination.** Finally, diffraction results indicate that the anti-phase domain boundaries seen in STM (Fig. 2-a,c), that appear every 8-12 nm, run along the diagonal of the oxide unit cell or along  $\mathbf{b}_{ox}$ , exactly like on NiAl(110)<sup>18,26,47,48,74-78</sup> **probably** to release the strain due to the misfit with the substrate.

## VI. AB INITIO SIMULATIONS OF CORE LEVEL SHIFTS

To further explore the previously evoked similarity with NiAl(110), atomistic simulations were performed to test if the unique existing model of alumina/NiAl could also account for the electronic characteristics of the present oxide film. To this goal, the alumina film (Fig. 9) proposed for NiAl(110)<sup>31</sup> was positioned on top of B<sub>2</sub>-CsCl FeAl(110), which composition matches well the near-surface Fe/Al ratio found experimentally (Sect. IV B). While the film and substrate atomic structures were thoroughly relaxed, the hypothesis of an ordered alloy surface was maintained and the same  $(1 \times 2)$  commensurate oxide unit cell was used, in agreement with the observed similarity of lattice parameters (Sect. V). A comparison between calculated core level shifts

Component	FeAl(110)		NiAl(110)			Number of atoms
	Expt. (Present work)	Calc. (Present work)	Expt. Ref. 33	Calc. Ref. 33	Calc. (Present work)	
Al (alloy, bulk)	0.00	0.00	0.00	0.00	0.00	—
Al (alloy, interface)	—	+0.14→+0.48	-0.10	-0.60→-0.30	-0.25→+0.06	17
Al (oxide, interface)	+1.80	+1.58→+1.90	+1.00	+1.00→+1.40	+1.06→+1.34	16
Al (oxide, surface)	+2.9	+2.14→+3.13	+2.27	+1.71→+2.67	+1.61→+2.65	24
O (weak)	0.00	-0.46→+0.50	0.00	-0.41→+0.54	-0.47→+0.46	44
O (strong)	+1.17	+1.13→+1.25	+1.23	+1.05→+1.14	+1.15→+1.26	8
M (alloy, bulk)	—	0.00	—	—	0.00	—
M (alloy, interface)	—	-0.14→+0.37	—	—	-0.37→+0.32	17

TABLE IV. Comparison of the experimental and calculated core level shifts (CLS) for the ultrathin oxide layer on NiAl(110) and FeAl(110). The positions of the different atom types are indicated in Fig. 9.

(CLS) with the measured values is shown in Tab. IV. The overall agreement is quite satisfactory, in particular regarding (i) the shift between the weakly and strongly bound O 1s levels, (ii) the CLS of the Al atoms in the interfacial and surface layers with respect to Al in bulk FeAl. The calculations indicate that the substrate Al atoms at the interface display an average CLS of +0.3 eV with respect to the bulk; **this shift is hidden experimentally in the resolution and the gradient of Al concentration between the surface and the bulk.** An additional agreement is found between the relative areas of the two components of Al 2p and O 1s core levels (Tabs. I-II) and the theoretical number of involved atoms (Tab IV). At normal emission where signal damping is expected to be of minor importance for the bilayer structure, the experimental ratio is 0.23 (respectively, 1.6) for O 1s (respectively, Al 2p) core levels compared to 0.18 (respectively 1.5) for the number of involved atoms in the present model. Very close values have also been determined for the oxide at NiAl(110) surface (0.19/1.5)<sup>33</sup>. The switch from normal to grazing emission leads to a systematic enhancement of the high/low binding energy area ratio in close agreement with the expected exponential damping of signal with inelastic mean free path given in Tab. SI<sup>45</sup> and the half-film thickness (bilayer) determined in Sect. IVC. This is in line with minor effects of photodiffraction at AlK- $\alpha$  energies as suggested by Ref. 33. **Finally, the larger Gaussian broadening of the oxide components compared to the metallic one (Tab. I-II) reflects the calculated distribution of core level shifts.**

In order to reach a more detailed understanding of the origin of the different O 1s and Al 2p components, the initial state and complete screening CLS are furthermore shown in Fig. 9. Three types of O atoms can be distinguished based on their O 1s level. Comparatively weak binding energies are found for **O core levels** in the

interfacial layer (red), as well as for most **O core levels** at the oxide surface (orange). Note that in Tab. IV, as in Ref. 33, these first two types have been lumped into one component. The third kind, at significantly higher binding energies, corresponds to 28% of the O atoms at the surface (purple). In Ref. 33, this shift to higher binding energies has been ascribed to the presence of an interfacial Al atom below the surface O atoms in question, combined with a comparatively low number of other surface O atoms in the immediate vicinity. This interpretation in terms of shifts in the local electrostatic potential is supported by the calculated initial state contribution shown in Fig. 9. For both kinds of surface oxygen atoms (orange and purple), however, final state effects are also significant. This is consistent with less efficient screening of the core hole at the surface layers compared to the interface, which can in turn be connected to the increased distance to the metallic substrate. A similar combination of initial and final state contributions lies at the origin of the Al 2p CLS. Compared to the Al atoms in the bulk and surface of the FeAl substrate, the **Al core levels** in the oxide adlayer (blue gray and light blue) display more positive binding energies due to initial state effects associated with an increase in oxidation state. The total shifts are then enhanced by final state contributions, with different amounts for the Al atoms in the interfacial layer and at the oxide surface, similar to the O 1s case.

**The CLS values found herein on FeAl(110) are close to those observed on oxidized NiAl(110)<sup>31,33</sup>, and a similar level of agreement is obtained between measurements and calculations for the two systems (see Tab. IV). The most noticeable structural difference between them consists of only a small (0.7 %) isotropic compression which is due to the reduction in lattice constant from NiAl (2.879 Å) to FeAl (2.859 Å) and the as-**

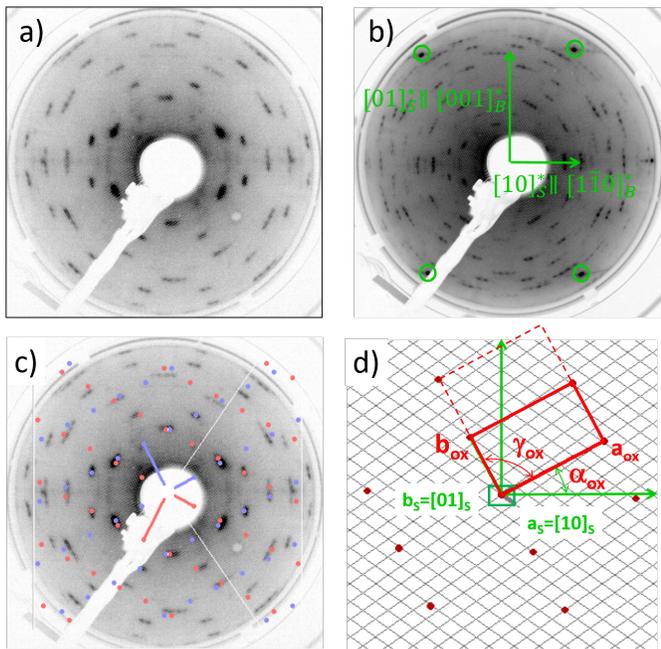


FIG. 6. Surface structure of the oxidized  $\text{Fe}_{0.85}\text{Al}_{0.15}(110)$  surface (exposure 50 L): LEED patterns at a beam energy of a) 45 eV and b) 109 eV; in Fig. b, the substrate  $\{11\}_S$  reflections are circled in green and the reciprocal substrate directions  $[10]_S^* \parallel [1\bar{1}0]_B^*$  and  $[01]_S^* \parallel [001]_B^*$  ( $S$  and  $B$  indexes stand for surface and bulk, respectively) are shown. c) Comparison between LEED pattern of Fig. b and that obtained by a simulation using the superstructure matrix derived from GIXD. Red and blue dots correspond to the two mirror symmetry related domains. d) Corresponding real space of one of the two oxide domains. The centered substrate rectangular unit cell ( $\mathbf{a}_S = [10]_S$ ,  $\mathbf{b}_S = [01]_S$ ) is in green. The grey grid corresponds to the substrate primitive unit cell. The drawing highlights the  $(1 \times 2)$  coincidence of the oxide unit cell ( $\mathbf{a}_{ox}$ ,  $\mathbf{b}_{ox}$ ) on the substrate (see grey grid).  $\gamma_{ox}$  is the angle between the oxide unit cell vectors ( $\mathbf{a}_{ox}$ ,  $\mathbf{b}_{ox}$ ) and  $\alpha_{ox}$  is the angle between  $\mathbf{a}_{ox}$  and  $\mathbf{a}_S$ .

sumed unit cell matching. However, regarding the electronic characteristics, FeAl is found somewhat more ionic (4 % larger Bader charges) and its calculated surface work function somewhat (4 %) smaller. Therefore, the tendency towards larger Al 2p shifts for Al atoms in the oxide on FeAl, does not result from structural or chemical changes in the adlayer, but rather from the different bulk references for Al core level.

## VII. DISCUSSION AND CONCLUSION

All the above presented clues point to an oxide structure on  $\text{Fe}_{0.85}\text{Al}_{0.15}(110)$  similar to that found on  $\text{NiAl}(110)$ <sup>31</sup>, in spite of a growth on a random alloy having the freedom to segregate. As seen from

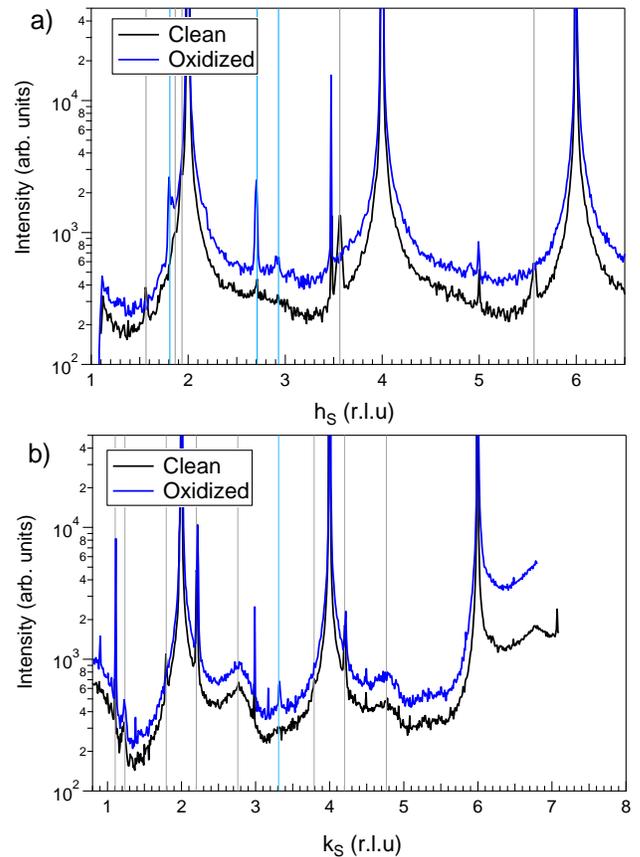


FIG. 7. Comparison of GIXD in-plane radial scans ( $l_S = 0.0075$ ) performed on the bare (black line) and oxidized (blue line)  $\text{Fe}_{0.85}\text{Al}_{0.15}(110)$  surfaces: a) ( $h_S, 0, 0.075$ ) and b) ( $0, k_S, 0.075$ ). Vertical grey (blue) lines point at peaks due to the clean surface reconstruction (oxide-related features). Curves have been shifted for clarity.

photoemission, the subsurface below the oxide keeps a composition close to a  $B_2$  structure ( $\text{Fe}_{0.6}\text{Al}_{0.4}$ ) over a typical depth of 3 nm, as on the metallic surface. Nevertheless, the complex reconstruction observed on the bare surface<sup>56</sup> is partly lifted by the oxidation process. In the same way as on  $\text{NiAl}(110)$ , the film thickness is self-limited to a  $\sim 7.5$  Å thick bilayer. Diffraction shows that the oxide layer displays two domains having a nearly rectangular unit cell very close to that determined in the only two accurate analyses ( $\text{NiAl}(110)$ <sup>18,26</sup> and  $\text{Al/Ni}(111)$ <sup>91</sup>; see Tab. III). The determined stoichiometry  $\text{Al}_2\text{O}_{2.5 \pm 0.2}$  is similar to that found on  $\text{NiAl}(110)$  ( $\text{Al}_{10}\text{O}_{13}$ )<sup>31</sup>. As on this substrate, dense anti-phase domain boundaries (8-12 nm width) have been evidenced by STM. At last, according to *ab initio* simulations, the Al 2p and O 1s core level shifts and relative intensities are identical to those found for the oxide on  $\text{NiAl}(110)$  within differences that stand mainly for different Al core level bulk references.

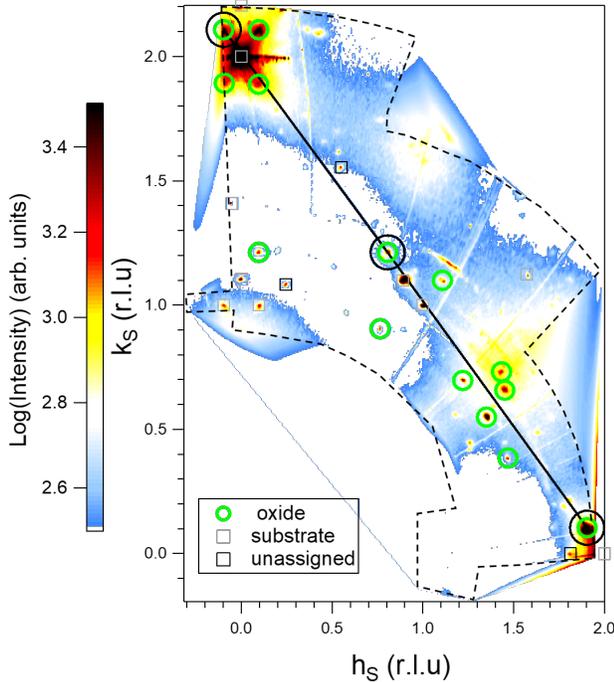


FIG. 8. In-plane diffraction map ( $l_s = 0.075$ ) of the oxidized  $\text{Fe}_{0.85}\text{Al}_{0.15}(110)$  surface. The scanned part of reciprocal space is surrounded by a dotted line; the color outside is an artefact of data interpolation. The main peaks are highlighted, in particular those that stem from diffraction of the oxide layer (green spots); the perfectly aligned spots (green circled in black) were used for unit cell indexing (see text). Raw data are shown in Fig. S5<sup>45</sup>.

Modulo a few distortions, the oxide structure determined on  $\text{NiAl}(110)$ <sup>31</sup> seems to be stable on supports of different symmetries and compositions. Beyond  $\text{NiAl}(110)$  and  $\text{Al}/\text{Ni}(111)$ , the observed oxide LEED pattern shares some similarities with those obtained on  $\text{Fe}_{0.47}\text{Al}_{0.53}(110)$ <sup>9</sup>,  $\text{Cu-9 at.\%Al}(111)$ <sup>15</sup> and the complex alloy  $\gamma\text{-Al}_4\text{Cu}_9(110)$ <sup>94</sup>. The actual role of the substrate in its formation is still puzzling. Prevot *et al.* argued that it is an archetype of free-standing oxide<sup>91</sup> since, according to their diffraction study, the presence of the oxide poorly affects the atomic positions of  $\text{Ni}(111)$ . As an extension of this idea, the astonishing similarity between the present  $\text{FeAl}$  and  $\text{NiAl}$  suggests that, if the aluminum oxidizes independently of the surface, the close crystallography of the substrate unit cells leads to iron anchors distributed in a comparable geometry. Indeed, in agreement with  $\text{NiAl}(110)$ , alumina layer on

$\text{Fe}_{0.85}\text{Al}_{0.15}(110)$  is strained due to mismatch with the substrate leading to dense anti-phase boundaries.

The ubiquity of the ultra-thin oxide structure grown at the surface of various metallic substrates asks for the reason of its peculiar stability and the mechanism of structural transition towards thicker bulk-like alumina films

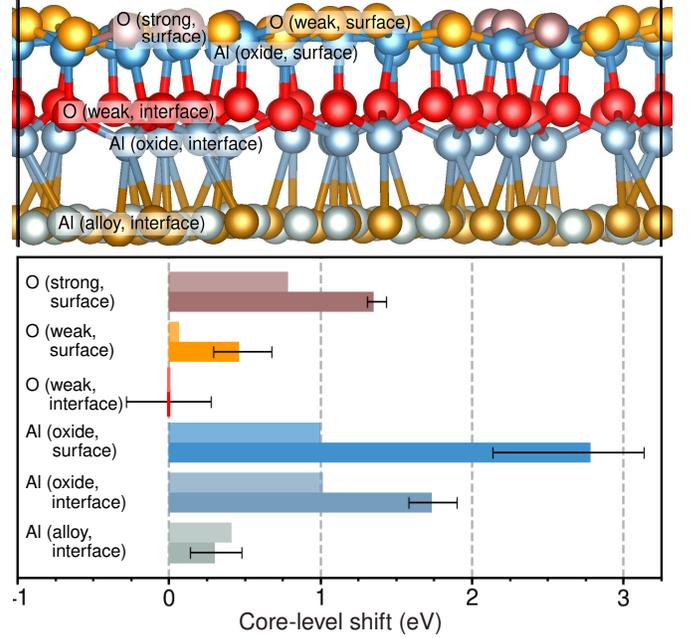


FIG. 9. Top panel: side view of the supported oxide layer on  $\text{FeAl}(110)$ . Bottom panel: averaged Al 2p and O 1s CLS for the different atom types in the complete screening and the initial state pictures (darker and lighter colors, respectively). The bars indicate the corresponding minimal and maximal values. The Al 2p and O 1s references are the bulk-like Al in the middle of the  $\text{FeAl}$  substrate layer and the average binding energy of the interfacial O atoms, respectively.

obtained at higher oxygen activities.

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