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On finding the best and worst orientations for the metric dimension*

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Abstract

The (directed) metric dimension of a digraph D , denoted by $\overrightarrow{\text{MD}}(D)$, is the size of a smallest subset S of vertices such that every two vertices of D are distinguished via their distances from the vertices in S .

In this paper, we investigate the graph parameters $\text{BOMD}(G)$ and $\text{WOMD}(G)$ which are respectively the smallest and largest metric dimension over all orientations of G . We show that those parameters are related to several classical notions of graph theory and investigate the complexity of determining those parameters. We show that $\text{BOMD}(G) = 1$ if and only if G is hypotractable (that is has a path spanning all vertices but one), and deduce that deciding whether $\text{BOMD}(G) \leq k$ is NP-complete for every positive integer k . We also show that $\text{WOMD}(G) \geq \alpha(G) - 1$, where $\alpha(G)$ is the stability number of G . We then deduce that for every fixed positive integer k , we can decide in polynomial time whether $\text{WOMD}(G) \leq k$.

The most significant results deal with oriented forests. We provide a linear-time algorithm to compute the metric dimension of an oriented forest and a linear-time algorithm that, given a forest F , computes an orientation D^- with smallest metric dimension (i.e. such that $\overrightarrow{\text{MD}}(D^-) = \text{BOMD}(F)$) and an orientation D^+ with largest metric dimension (i.e. such that $\overrightarrow{\text{MD}}(D^+) = \text{WOMD}(F)$).

Keywords: metric dimension; resolving sets; undirected graphs; digraphs.

1 Introduction

Let G be an undirected connected graph. The *distance* $\text{dist}_G(u, v)$ (or simply $\text{dist}(u, v)$ when no ambiguity is possible) between two vertices u and v of G is the length of a shortest path joining u and v . A vertex w is said to *resolve* (or *distinguish*) u and v if $\text{dist}(u, w) \neq \text{dist}(v, w)$. Note that w resolves u and v as soon as $u = w$ or $v = w$. A set $R \subseteq V(G)$ of vertices is called *resolving* if for every two distinct vertices u, v of G , there is a vertex $w \in R$ that resolves them. Note that R may be empty. The *metric dimension* $\text{MD}(G)$ of G is the size of its smallest resolving sets. Since the vertex set of any graph is obviously a resolving set, the metric dimension parameter is well defined for every graph. These notions were first introduced and studied by Harary and Melter [10] and Slater [17], in particular because of numerous real-life applications.

Distances can also be measured in digraphs, and it thus makes sense extending the notions of resolving sets and metric dimension to the directed context. Recall that, in a digraph D , for any two vertices u and v , the *distance* $\text{dist}_D(u, v)$ (or simply $\text{dist}(u, v)$) *from* u *to* v , is the length of a shortest directed path from u to v . In particular, it is possible that $\text{dist}(u, v) \neq \text{dist}(v, u)$. Also, in

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case there is no directed path from u to v , the distance from u to v is not finite, in which case we set $\text{dist}(u, v) = +\infty$. As in the undirected context, we say that u and v are *resolved* or *distinguished* by a vertex w if $\text{dist}(w, u) \neq \text{dist}(w, v)$. An important point to raise is that, throughout this work, we consider the infinite distance as a particular distance value, that can be used to distinguish vertices. In particular, we assume that w distinguishes u and v whenever $\text{dist}(w, u) = +\infty$ and $\text{dist}(w, v) \neq +\infty$ (since v can be reached from w but not u cannot, it is certified by w that u and v are different vertices). Following the same reasoning, w does not distinguish u and v when $\text{dist}(w, u) = \text{dist}(w, v) = +\infty$. Again, a *resolving set* $R \subseteq V(D)$ is a set of vertices such that every two distinct vertices u and v of D are distinguished by a vertex in R . The *metric dimension* $\overrightarrow{\text{MD}}(D)$ of D is the size of a smallest resolving set of D . As in the undirected context, the vertex set of any digraph is a resolving set; thus, $\overrightarrow{\text{MD}}(D)$ is defined for every digraph D .

The first study of the metric dimension of digraphs may be attributed to Chartrand, Rains, and Zhang [5], who opened the way for several further investigations on the topic [7, 8, 13, 14, 15]. It is however important to emphasize that their definitions of resolving sets and metric dimension of digraphs differ from ours because they do not consider the infinite distance as valid. This imposes all vertices to be reachable from every vertex of the resolving set. In particular, in contrast to ours, their notion of metric dimension is not defined for many digraphs.

Recall that an *orientation* D of an undirected graph G is obtained by assigning to every edge uv of G one direction, either from u to v or from v to u . An *oriented graph* is an orientation of some undirected simple graph; in particular, oriented graphs and digraphs differ in that the former cannot have directed cycles of length 2.

Most of the results we present concern the study of the maximum and/or the minimum value of the metric dimension among all possible orientations of a given simple graph. However, before turning to the main topic of this paper, we first consider, in Section 2, the complexity of the following problem.

Directed Metric Dimension (DMD)

Input: A digraph D , and an integer k .

Question: Do we have $\overrightarrow{\text{MD}}(D) \leq k$?

The undirected analogue of DMD, Undirected Metric Dimension (UMD, for short), is well known to be NP-complete, even when restricted to particular graph instances, such as planar graphs [6] and graphs of diameter 2 [9]. Replacing every edge uv of a given undirected graph G by two symmetric arcs uv and vu , results in a digraph D in which the distances between the vertices are the same as the ones in G . Noticing this operation, several authors [1, 15] established the computational hardness of DMD. Using slight modifications of this operation, it is proved in [15] that DMD remains NP-complete when restricted to strongly-connected oriented graphs. A natural question is on the behaviour of DMD for other classes of digraphs. We show in Subsection 2.2 that DMD can be solved in polynomial time in oriented forests, and in Subsection 2.3 that DMD is NP-hard in bipartite acyclic digraphs.

We then consider, through Sections 3 to 5, the following questions: For a given undirected graph G , what are, with respect to the metric dimension, its best (resp. worst) orientations? By that, we mean the orientations of G for which the size of a smallest resolving set is the smallest (resp. largest) among all possible orientations of G . More precisely, we consider the two associated parameters

$$\text{BOMD}(G) = \min \left\{ \overrightarrow{\text{MD}}(D) : D \text{ is an orientation of } G \right\}$$

and

$$\text{WOMD}(G) = \max \left\{ \overrightarrow{\text{MD}}(D) : D \text{ is an orientation of } G \right\}.$$

To the best of our knowledge, the metric dimension of particular orientations of graphs was first studied by Chartrand, Rains, and Zhang in [5], who notably considered the existence of orientations with given metric dimension (following their conventions). Recently, Bensmail, Mc Inerney, and Nisse [2] considered the maximum size of a smallest resolving set over all strongly-connected orientations of a graph (of some particular families). In this paper, we study the parameters BOMD and WOMD with a special emphasis on forests.

In Section 3, we consider several aspects related to the parameter BOMD. We first show that for a graph G and any fixed positive integer k , it is NP-complete to decide whether $\text{BOMD}(G) \leq k$. Next, in Theorem 3.7, we show that we always have $\text{BOMD}(G) \leq \text{pc}(G)$, where $\text{pc}(G)$ (the path cover number of G) is the minimum number of vertex-disjoint paths whose union contains all vertices of G . We also show that this bound can be very loose in the sense that for every positive integer k , there are graphs G such that $\text{BOMD}(G) = 2$ and $\text{pc}(G) = k$. In Subsection 3.3, we then establish the following bounds for every tree T :

$$\text{lf}(T) - \text{hb}(T) - 1 \leq \text{BOMD}(T) \leq \text{lf}(T) - 1,$$

where $\text{lf}(T)$ is the number of leaves (vertices of degree 1) in T , and $\text{hb}(T)$ is the number of vertices of degree at least 3 that are connected to a leaf via a path whose internal vertices have degree 2. We also show that those bounds are tight.

We then turn our attention to the parameter WOMD in Section 4. We first show that, for every graph G , we always have $\text{WOMD}(G) \geq \alpha(G) - 1$, where $\alpha(G)$ is the size of a largest stable set in G , and $\text{WOMD}(G) \geq \left\lceil \frac{\omega(G)}{2} \right\rceil$, where $\omega(G)$ is the size of a largest clique in G . Using Ramsey's Theorem, we deduce that $\text{WOMD}(G)$ goes to infinity with the order of G : for every k , there is a constant C_k such that if $|V(G)| \geq C_k$ then $\text{WOMD}(G) \geq k$. This implies that either $\text{WOMD}(G) \geq k$ trivially holds, or $|V(G)| < C_k$ and again we can check $\text{WOMD}(G) \geq k$ in constant time (function of k). Next, in Subsection 4.2, we consider forests and show that $\text{WOMD}(F) \in \{\alpha(F) - 1, \alpha(F)\}$ for every forest F . Hence, there are two kinds of forests F with respect to WOMD: $(\alpha - 1)$ -forests F for which $\text{WOMD}(F) = \alpha(F) - 1$, and α -forests F for which $\text{WOMD}(F) = \alpha(F)$. This suggests that it could be possible to characterise the α -forests (and thus the $(\alpha - 1)$ -forests). To do so, it suffices to characterise the α -trees (i.e. trees T such that $\text{WOMD}(T) = \alpha(T)$). Indeed, as observed in Proposition 4.14, a forest is an α -forest if, and only if, it is the disjoint union of α -trees. We show that all stars are α -trees, and that a path of order n is an $(\alpha - 1)$ -tree if, and only if, $n \equiv 1 \pmod{4}$. We also give constructions to build α -trees (resp. $(\alpha - 1)$ -trees) from smaller ones.

In Section 5, we give a dynamic-programming algorithm that, given a forest F , computes $\text{BOMD}(F)$ and $\text{WOMD}(F)$ in linear time. Regarding our investigations in Subsection 4.2, a consequence is that there is an efficient algorithmic way to recognize α -forests from $(\alpha - 1)$ -forests.

We conclude this work in Section 6, by raising directions for further work on the topic.

2 Metric dimension of oriented graphs

2.1 Terminology, notation, and preliminary results

The *trivial digraph* is the digraph with one vertex and no arcs.

For any digraph D , we denote its vertex set by $V(D)$, and its arc set by $A(D)$. Let v be a vertex of D . The *out-neighbourhood* of v in D , denoted by $N_D^+(v)$ or simply $N^+(v)$ when D is clear from the context, is the set of *out-neighbours* of v : $N^+(v) = \{w \in V(D) \mid vw \in A(D)\}$. Similarly, the *in-neighbourhood* of v in D , denoted by $N_D^-(v)$ or simply $N^-(v)$, is the set of *in-neighbours* of v : $N^-(v) = \{w \in V(D) \mid wv \in A(D)\}$. A vertex $v \in V(D)$ is a *source* (resp., a *sink*) if $N^-(v) = \emptyset$ (resp., if $N^+(v) = \emptyset$). We denote by $S(D)$ the set of *sources* of D . The *maximum degree* of D is the maximum degree of its underlying undirected graph.

A digraph is *strongly connected* (or *strong*, for short) if $\text{dist}(u, v)$ and $\text{dist}(v, u)$ are finite for every two vertices u, v , i.e. there exist directed paths in both directions between any two vertices. A digraph is *connected* if its underlying graph is connected. The *connected components* of a digraph are its maximal connected subdigraphs with respect to inclusion. Hence, the connected components of a digraph correspond to the connected components of its underlying graph.

For a subset $R \subseteq V(D)$ of vertices of D , an *infinite vertex* v (with respect to R) is a vertex $v \notin R$ for which, for every $u \in R$, we have $\text{dist}(u, v) = +\infty$. For a graph or digraph, a resolving set is called *minimum* if it has minimum size (which is the value of the metric dimension).

The following proposition is immediate from the definition and we often use it without any reference.

Proposition 2.1. *Let G be a graph or a digraph, and let R be a resolving set of G . Then every R' such that $R \subseteq R' \subseteq V(G)$ is also a resolving set of G .*

Proposition 2.2. *Let D be a digraph, and let $R \subseteq V(D)$ be a resolving set of D . Then, with respect to R , there is at most one infinite vertex, and, if any, it must be a source.*

Proof. If D has two distinct infinite vertices u, v with respect to R , then u and v cannot be distinguished, contradicting that R is resolving. Furthermore, if u is the only infinite vertex with respect to R , then it must be a source, as otherwise there would be a directed path from a vertex of R to u , contradicting that u is infinite. \square

By Proposition 2.2, there are two kinds of resolving sets: the *strong* ones with no infinite vertex and the *weak* ones with one infinite vertex. For a digraph D , the *strong metric dimension* of D , denoted by $\overrightarrow{\text{MD}}^*(D)$, is the minimum size of a strong resolving set of D . Proposition 2.2 implies that the metric dimension and the strong metric dimension are strongly related.

Corollary 2.3. $\overrightarrow{\text{MD}}(D) \leq \overrightarrow{\text{MD}}^*(D) \leq \overrightarrow{\text{MD}}(D) + 1$ for all digraphs D .

Proof. $\overrightarrow{\text{MD}}(D) \leq \overrightarrow{\text{MD}}^*(D)$ directly follows from the definitions. Let R be a minimum resolving set. If it is strong, then $\overrightarrow{\text{MD}}^*(D) = \overrightarrow{\text{MD}}(D)$. If it is weak, then, by Proposition 2.2, there is a unique infinite vertex w with respect to R . Then $R \cup \{w\}$ is a strong resolving set of D . Thus $\overrightarrow{\text{MD}}^*(D) \leq \overrightarrow{\text{MD}}(D) + 1$. \square

The empty set is a resolving set of the trivial digraph D_1 , and $V(D_1)$ is its unique strong resolving set. Thus $\overrightarrow{\text{MD}}^*(D_1) = \overrightarrow{\text{MD}}(D_1) + 1$. It is easy to construct other digraphs D such that $\overrightarrow{\text{MD}}^*(D) = \overrightarrow{\text{MD}}(D) + 1$. For instance, any digraph D having a source that is universal, i.e. that is adjacent to all other vertices, satisfies this equality (for a proof, see Lemma 2.9). On the other hand, if D is a non-trivial strong digraph, then every resolving set of D is a strong resolving set of D , and so $\overrightarrow{\text{MD}}(D) = \overrightarrow{\text{MD}}^*(D)$. Thus, both bounds of Corollary 2.3 can be attained.

Another consequence of Proposition 2.2 is the following. Recall that, for a given digraph D , we denote by $S(D)$ its set of sources.

Proposition 2.4. *If D is a digraph and R is a resolving set of D , then $|R \cap S(D)| \geq |S(D)| - 1$. If R is strong, then $S(D) \subseteq R$. Hence $\overrightarrow{\text{MD}}^*(D) \geq |S(D)|$ and $\overrightarrow{\text{MD}}(D) \geq |S(D)| - 1$.*

Proof. Let R be a resolving set of D . Observe that, for any $s \in S(D)$ and $v \in V(D) \setminus \{s\}$, we have $\text{dist}(v, s) = +\infty$. Hence, if a source s is not in R , then s is an infinite vertex.

Therefore, as R has at most one infinite vertex (by Proposition 2.2), $|R \cap S(D)| \geq |S(D)| - 1$. Moreover, if R is strong, then it has no infinite vertex and thus $S(D) \subseteq R$. \square

In the next result, we note that the (strong) metric dimension of a digraph can, essentially, be deduced from the (strong) metric dimension of its connected components.

Proposition 2.5. *Let C_1, \dots, C_p be the connected components of a digraph D . Then,*

$$(i) \quad \overrightarrow{\text{MD}}^*(D) = \sum_{i=1}^p \overrightarrow{\text{MD}}^*(C_i).$$

$$(ii) \quad \overrightarrow{\text{MD}}(D) = \sum_{i=1}^p \overrightarrow{\text{MD}}^*(C_i) - \max_{i \in \{1, \dots, p\}} \{ \overrightarrow{\text{MD}}^*(C_i) - \overrightarrow{\text{MD}}(C_i) \}.$$

Proof. One clearly sees that R is a strong resolving set of D if, and only if, for all $i \in \{1, \dots, p\}$, $R \cap V(C_i)$ is a strong resolving set of C_i . This gives (i).

Similarly, R is a resolving set of D if, and only if, there exists $j \in \{1, \dots, p\}$ such that $R \cap V(C_j)$ is a resolving set of C_j , and $R \cap V(C_i)$ is a strong resolving set of C_i for all $i \in \{1, \dots, p\} \setminus \{j\}$. This implies (ii). \square

Let A and B be two digraphs. We denote by $A \rightarrow B$ the digraph obtained from the disjoint union of A and B by adding all arcs from A to B . The following proposition follows directly from the definitions.

Proposition 2.6. *Let A and B be two digraphs and $D = A \rightarrow B$.*

- (a) $\text{dist}_D(a, b) = 1$ and $\text{dist}_D(b, a) = +\infty$ for all $a \in V(A)$, $b \in V(B)$;
- (b) $\text{dist}_D(a_1, a_2) = \text{dist}_A(a_1, a_2)$ for all $a_1, a_2 \in V(A)$;
- (c) $\text{dist}_D(b_1, b_2) = \text{dist}_B(b_1, b_2)$ for all $b_1, b_2 \in V(B)$.

Lemma 2.7. *Let A and B be two digraphs, and let R_A be a resolving set of A and R_B be a strong resolving set of B . Then $R_A \cup R_B$ is a resolving set of $A \rightarrow B$. Moreover, if R_A is a strong resolving set of A , then $R_A \cup R_B$ is a strong resolving set of $A \rightarrow B$.*

Proof. Set $D = A \rightarrow B$, and let x and y be two vertices of $V(D)$.

- If $x, y \in V(A)$, there is a vertex w of R_A such that $\text{dist}_A(w, x) \neq \text{dist}_A(w, y)$. Thus by Proposition 2.6 (b), $\text{dist}_D(w, x) \neq \text{dist}_D(w, y)$.
- If $x, y \in V(B)$, there is a vertex w of R_B such that $\text{dist}_B(w, x) \neq \text{dist}_B(w, y)$. Thus by Proposition 2.6 (c), $\text{dist}_D(w, x) \neq \text{dist}_D(w, y)$.
- If one of x and y is in $V(A)$ and the other is in $V(B)$, say, w.l.o.g., $x \in V(A)$ and $y \in V(B)$, then there is a vertex $w \in R_B$ such that $\text{dist}_D(w, y) \neq +\infty$ because R_B is a strong resolving set. By Proposition 2.6 (a), we have $\text{dist}_D(w, x) = +\infty \neq \text{dist}_D(w, y)$.

In all cases, x and y are distinguished by a vertex of $R_A \cup R_B$, so $R_A \cup R_B$ is a resolving set of D . Also, if R_A does not have an infinite vertex, then so does $R_A \cup R_B$, which means the latter is a strong resolving set too. \square

Lemma 2.8. *Let A and B be two digraphs, and R be a resolving set of $D = A \rightarrow B$. Then $R \cap V(A)$ (resp. $R \cap V(B)$) is a resolving set of A (resp. B). Moreover if R is a strong resolving set of D , then $R \cap V(A)$ is a strong resolving set of A .*

Proof. Let a_1, a_2 be two vertices of A . They are distinguished by a vertex $w \in R$. Moreover, by Proposition 2.6 (a), $w \notin V(B)$, so $w \in R \cap V(A)$. Now, by Proposition 2.6 (b), w distinguishes a_1 and a_2 in A . Hence $R \cap V(A)$ is a resolving set of A .

Similarly, using Proposition 2.6 (a) and (c), one proves that $R \cap V(B)$ is a resolving set of B .

Assume that R is a strong resolving set of D . For every vertex $a \in V(A)$, there is a vertex w in R such that $\text{dist}_D(w, a) < +\infty$. By Proposition 2.6 (a), $w \in V(A)$. Moreover, by Proposition 2.6 (b), $\text{dist}_A(w, a) = \text{dist}_D(w, a) < +\infty$. Hence $R \cap V(A)$ is a strong resolving set of A . \square

Lemmas 2.7 and 2.8 and Proposition 2.2 immediately imply the following lemma, that will be used in the proof of Theorem 4.5.

Lemma 2.9. *Let A be a digraph and B be a non-trivial strongly connected digraph.*

$$\begin{aligned} \overrightarrow{\text{MD}}^*(A \rightarrow B) &= \overrightarrow{\text{MD}}^*(A) + \overrightarrow{\text{MD}}^*(B); \\ \overrightarrow{\text{MD}}(A \rightarrow B) &= \overrightarrow{\text{MD}}(A) + \overrightarrow{\text{MD}}(B). \end{aligned}$$

Finally, let us present a necessary condition for a subset of vertices to be a strong resolving set of a digraph D . We need to introduce some definitions.

Let $\mathcal{T}(D)$ be the partition of $V(D)$ such that two vertices u and v are in the same part if, and only if, $N^-(u) = N^-(v)$. Let $\mathcal{T}_1(D)$ be the family of singletons of $\mathcal{T}(D)$, and $\mathcal{T}_2(D)$ be the family of non-singletons of $\mathcal{T}(D)$. Recall that $S(D)$ denotes the set of sources of D . A set $U \subseteq V(D)$ is *adequate in D* if $S(D) \subseteq U$ and $|U \cap T| \geq |T| - 1$ for all $T \in \mathcal{T}_2(D)$.

Lemma 2.10. *Let D be a digraph. If R is a strong resolving set of D , then R is adequate in D .*

Proof. Let R be a strong resolving set of D . By Proposition 2.4, we have $S(D) \subseteq R$.

Consider now a set $T \in \mathcal{T}_2(D)$. Assume for a contradiction that two distinct vertices of T , say u and v , do not belong to R . Let $N = N^-(u) = N^-(v)$. Let x be a vertex of R that distinguishes u and v . W.l.o.g., $\text{dist}(x, u) < +\infty$. Let P be a shortest path from x to u . Let us denote by y the penultimate vertex of P . Necessarily, $y \in N$, and $P - y$ is a shortest path from x to N . Thus $\text{dist}(x, v) = \text{dist}(x, y) + 1 = \text{dist}(x, u)$. This contradicts the fact that x distinguishes u and v . Therefore $|R \cap T| \geq |T| - 1$. Hence R is adequate. \square

Note that in a digraph D with no in-twins (i.e. two vertices with the same in-neighbourhood), $\mathcal{T}(D)$ is made of singletons. Hence, every set containing $S(D)$ is adequate. In particular, if D is also strongly connected, then every set is adequate. Thereby, being adequate is not a sufficient condition for a set to be a strong resolving set.

2.2 Oriented forests

In this section, we characterise (strong) resolving sets of a given oriented forest D . These results imply linear-time algorithms to compute $\overrightarrow{\text{MD}}^*(D)$ and $\overrightarrow{\text{MD}}(D)$ when D is an oriented forest.

We first prove that the necessary condition for being a resolving set given by Lemma 2.10, namely being adequate, is also sufficient when the digraph is an oriented forest.

Theorem 2.11. *Let D be an oriented forest. A set of vertices R is a strong resolving set of D if, and only if, R is adequate in D .*

Proof. By Lemma 2.10, every strong resolving set is adequate. We shall prove the converse.

Assume that R is an adequate set in D .

Note that since D has no cycles in its underlying graph, if two vertices have the same in-neighbourhood, then this in-neighbourhood must be composed of at most one vertex.

Let u, v be distinct vertices. Let S_u (resp. S_v) be the set of sources from which u (resp. v) can be reached. If $S_u \neq S_v$, then there is a source s such that $\text{dist}(s, u) < +\infty = \text{dist}(s, v)$ or $\text{dist}(s, v) < +\infty = \text{dist}(s, u)$. Hence, s distinguishes u and v . Since R is adequate, $s \in R$, so R distinguishes u and v .

Assume now that $S_u = S_v$. Let r be a vertex in S_u , and let P_u (resp. P_v) be the directed path from r to u (resp. v). Since D is an oriented forest, $\text{dist}(r, u)$ (resp. $\text{dist}(r, v)$) is the length of P_u (resp. P_v). If P_u and P_v have different lengths, then r (which is in R) distinguishes u and v .

Assume now that P_u and P_v have the same length. Since D is an oriented forest, $P_u \cap P_v$ is a directed path with source vertex r . Let w be the terminal vertex of $P_u \cap P_v$. Let x be the out-neighbour of w in P_u and y be the out-neighbour of w in P_v . Since $S_u = S_v$, we have $N^-(x) = N^-(y) = \{w\}$. Indeed, if x (resp. y) had an in-neighbour z different from w , then any source from which z is reachable would be in $S_u \setminus S_v$ (resp. $S_v \setminus S_u$). Hence x and y are in a same set T of $\mathcal{T}_2(D)$. Now, because R is adequate, $|R \cap T| \geq |T| - 1$, so R contains a vertex p in $\{x, y\}$. Exactly one vertex of $\{u, v\}$ is reachable from p , so it distinguishes u and v .

Hence, R distinguishes any pair of distinct vertices, that is R is a resolving set, and because $S(D) \subseteq R$, every vertex is reachable from R , so R is a strong resolving set. \square

Corollary 2.12. *If D is an oriented forest, then*

$$\overrightarrow{\text{MD}}^*(D) = |S(D)| + \sum_{T \in \mathcal{T}_2(D)} (|T| - 1) = |S(D)| + |V(D)| - |\mathcal{T}(D)|.$$

Proof. By Theorem 2.11, $\overrightarrow{\text{MD}}^*(D)$ is the minimum cardinality of an adequate set. By definition of adequate sets, this is $|S(D)| + \sum_{T \in \mathcal{T}_2(D)} (|T| - 1)$. Since $|T| - 1 = 0$ for every $T \in \mathcal{T}_1(D)$, we get

$$\begin{aligned} \overrightarrow{\text{MD}}^*(D) &= |S(D)| + \sum_{T \in \mathcal{T}_2(D)} (|T| - 1) + \sum_{T \in \mathcal{T}_1(D)} (|T| - 1) \\ &= |S(D)| + \sum_{T \in \mathcal{T}(D)} (|T| - 1) \\ &= |S(D)| + |V(D)| - |\mathcal{T}(D)|. \end{aligned}$$

□

Corollary 2.13. *A minimum strong resolving set of a given oriented forest can be found in linear time.*

Proof. Let D be an oriented forest. To find a minimum strong resolving set R of D , one just needs to compute $\mathcal{T}(D)$, which can be done in linear time. Then we put all sources in R , and for each $T \in \mathcal{T}_2(D)$ we put all but one vertex of T in R . This results in an adequate set (and so in a strong resolving set) of minimum size. □

After studying strong resolving sets of a given oriented forest, let us now focus on resolving sets in general (i.e. not necessarily strong). Corollaries 2.3 and 2.12 directly imply the following.

Corollary 2.14. *If D is an oriented forest, then*

$$|S(D)| + |V(D)| - |\mathcal{T}(D)| - 1 \leq \overrightarrow{\text{MD}}(D) \leq |S(D)| + |V(D)| - |\mathcal{T}(D)| = \overrightarrow{\text{MD}}^*(D).$$

A source s in an oriented forest is *removable* if every out-neighbour v of s has at least two in-neighbours, and, in case v has exactly two in-neighbours, say $N^-(v) = \{s, w\}$, then w has no out-neighbour of in-degree 1.

Corollary 2.15. *Let D be an oriented forest. Then, $\overrightarrow{\text{MD}}(D) = \overrightarrow{\text{MD}}^*(D) - 1$ if, and only if, D has a removable source s , in which case any strong resolving set of $D - s$ is a weak resolving set of D .*

Proof. If $\overrightarrow{\text{MD}}(D) = \overrightarrow{\text{MD}}^*(D) - 1$, then there is a resolving set R of D of size $\overrightarrow{\text{MD}}^*(D) - 1$. Necessarily, R is a weak resolving set and then it has an infinite vertex s which, by Proposition 2.2, must be a source. It is then clear that R is a strong resolving set of $D - s$ of size $\overrightarrow{\text{MD}}^*(D) - 1$. But, if R' is a strong resolving set of $D - s$, then $R' \cup \{s\}$ is a strong resolving set of D . Thus $\overrightarrow{\text{MD}}^*(D - s) \geq \overrightarrow{\text{MD}}^*(D) - 1$. Hence R is a minimum strong resolving set of $D - s$ and $\overrightarrow{\text{MD}}^*(D - s) = \overrightarrow{\text{MD}}^*(D) - 1$. Hence, by Corollary 2.12,

$$|S(D - s)| + |V(D - s)| - |\mathcal{T}(D - s)| = |S(D)| + |V(D)| - |\mathcal{T}(D)| - 1.$$

Since $|V(D - s)| = |V(D)| - 1$, we get

$$|S(D - s)| - |\mathcal{T}(D - s)| = |S(D)| - |\mathcal{T}(D)|.$$

Let U be the set of vertices v such that $N_D^-(v) = \{s\}$. We have $S(D - s) = (S(D) \setminus \{s\}) \cup U$. Thus $|S(D - s)| = |S(D)| - 1 + |U|$, and hence

$$|\mathcal{T}(D - s)| = |\mathcal{T}(D)| + |U| - 1. \quad (1)$$

Observe that every set of $\mathcal{T}(D)$ except U and $\{s\}$ is a subset of a set of $\mathcal{T}(D - s)$. Moreover $\{s\}$ is not in $\mathcal{T}(D - s)$ and the vertices of U are in at most $|U|$ sets of $\mathcal{T}(D - s) \setminus \mathcal{T}(D)$. If U is not empty, then $|\mathcal{T}(D - s)| \leq |\mathcal{T}(D)| + |U| - 2$, a contradiction to Equation (1). Therefore U is empty and $|\mathcal{T}(D - s)| = |\mathcal{T}(D)| - 1$. Consequently, the parts of $\mathcal{T}(D - s)$ and $\mathcal{T}(D)$ are the same, except for $\{s\}$ which is in $\mathcal{T}(D)$ and not in $\mathcal{T}(D - s)$.

Now consider an out-neighbour v of s . It has in-degree at least 2 in D (since U is empty). So $\{v\}$ is a part of $\mathcal{T}(D)$ and so also a part in $\mathcal{T}(D - s)$. Thus, if $N_D^-(v) = \{s, w\}$, then w has no out-neighbour z of in-degree 1, for otherwise z and v would be in the same part of $\mathcal{T}(D - s)$. Consequently, s is a removable source.

Reciprocally, assume that s is a removable source of D . Let R be a minimum strong resolving set of D . By Theorem 2.11, R is adequate in D . Now since s is removable, one easily checks that $\mathcal{T}(D - s) = \mathcal{T}(D) \setminus \{s\}$. Thus $R \setminus \{s\}$ is adequate in $D - s$, and so, by Theorem 2.11, $R \setminus \{s\}$ is a strong resolving set of $D - s$. Hence, $R \setminus \{s\}$ is a resolving set of D , and $\overrightarrow{\text{MD}}(D) = \overrightarrow{\text{MD}}^*(D) - 1$. □

Because we can check in linear time whether D has a removable source, we get:

Corollary 2.16. *A minimum resolving set of a given oriented forest D can be found in linear time.*

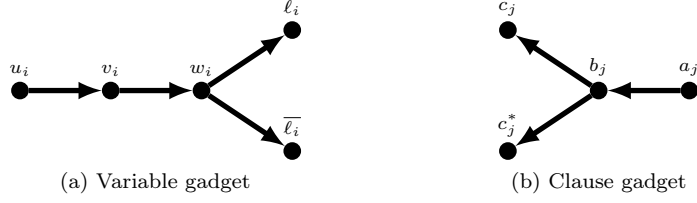


Figure 1: Gadgets used in the proof of Theorem 2.17.

2.3 NP-hardness of DMD in bipartite acyclic digraphs

The next natural case to investigate after that of oriented trees would be that of acyclic digraphs or oriented bipartite graphs. In the next result, we prove that DMD remains NP-complete for such instances. The result actually also holds for the restriction of the problem to strong resolving sets.

Theorem 2.17. *Given a digraph D and a positive integer k , deciding either $\overrightarrow{\text{MD}}^*(D) \leq k$ or $\overrightarrow{\text{MD}}(D) \leq k$ is NP-complete, even if D is a bipartite acyclic digraph with maximum degree 8 and diameter 4.*

Proof. First note that given a subset $S \subseteq V(D)$, one may check in polynomial time whether $|S| \leq k$ and S is a (strong) resolving set of D . Thus, DMD belongs to NP. We prove its NP-hardness by reducing the 3-SAT problem in which every variable appears in at most three clauses (which is indeed NP-hard, see [18]). Let $\{x_1, \dots, x_n\}$ be the set of variables and $\{C_1, \dots, C_m\}$ be the set of clauses of a given instance \mathcal{F} of 3-SAT. We build a bipartite acyclic digraph $D(\mathcal{F})$ with maximum degree 8 and diameter 4 such that \mathcal{F} is satisfiable if, and only if, $\overrightarrow{\text{MD}}(D(\mathcal{F})) \leq 2n + m$ (we discuss the result for the strong metric dimension at the end of the proof).

Variable gadgets and clause gadgets can be seen in Figure 1. For each variable x_i we add in $D(\mathcal{F})$ five vertices u_i, v_i, w_i, ℓ_i and $\bar{\ell}_i$ and the arcs $u_i v_i, v_i w_i, w_i \ell_i$ and $w_i \bar{\ell}_i$. Similarly, for each clause C_j we add in $D(\mathcal{F})$ four vertices a_j, b_j, c_j and c_j^* and the arcs $a_j b_j, b_j c_j$ and $b_j c_j^*$. For each $i \in \{1, \dots, n\}$ and $j \in \{1, \dots, m\}$, if one of the literals of x_i appears in C_j , then we add in $D(\mathcal{F})$ the arcs $v_i c_j$ and $v_i c_j^*$. Moreover, if x_i appears positively in C_j , then we add the arc $\ell_i c_j$; and if x_i appears negatively in C_j , then we add the arc $\bar{\ell}_i c_j$. Note that the following sets form a bipartition of $V(D(\mathcal{F}))$: $\{u_i, w_i \mid i \in \{1, \dots, n\}\} \cup \{a_j, c_j, c_j^* \mid j \in \{1, \dots, m\}\}$, together with $\{v_i, \ell_i, \bar{\ell}_i \mid i \in \{1, \dots, n\}\} \cup \{b_j \mid j \in \{1, \dots, m\}\}$.

Clearly, the reduction is achieved in polynomial time. Note also that, in $D(\mathcal{F})$, all arcs go from the variable gadgets to the clause gadgets and therefore the digraph is acyclic and has diameter 4. Finally, observe that we have maximum degree at most 8, since each variable occurs at most three times. It remains to prove equivalence.

The following result on the resolving sets of $D(\mathcal{F})$ is the key to our proof.

Claim 2.18. *For every $i \in \{1, \dots, n\}$ and every $j \in \{1, \dots, m\}$, every resolving set of $D(\mathcal{F})$ must include at least one vertex in each of the subsets $\{u_i, v_i\}$, $\{a_j, b_j\}$ and $\{\ell_i, \bar{\ell}_i\}$.*

Proof of the claim. Note that if, for some $i \in \{1, \dots, n\}$, u_i and v_i do not belong to any resolving set, then they are indistinguishable as there is no directed path from a vertex in $V(D(\mathcal{F})) \setminus \{u_i, v_i\}$ to u_i nor to v_i . The argument is similar concerning $\{a_j, b_j\}$. Finally, note that ℓ_i and $\bar{\ell}_i$ have the same in-neighbourhood $\{w_i\}$ and thus one of them must belong to any resolving set as well. \diamond

By Claim 2.18, we get that every resolving set of $D(\mathcal{F})$ has size at least $2n + m$. We prove below that equality holds if, and only if, \mathcal{F} is satisfiable.

- Suppose that \mathcal{F} is satisfiable and consider a truth assignment that satisfies \mathcal{F} . Let $R \subseteq V(D(\mathcal{F}))$ be such that

$$R = \{u_i, a_j \mid i \in \{1, \dots, n\}, j \in \{1, \dots, m\}\} \cup \{\ell_i \mid x_i \text{ is true}\} \cup \{\bar{\ell}_i \mid x_i \text{ is false}\}.$$

We claim that R is a resolving set of $D(\mathcal{F})$. For every $i \in \{1, \dots, n\}$, note that the vertices u_i and v_i are distinguished from themselves and from the others by u_i . The same holds for a_j and b_j , for every $j \in \{1, \dots, m\}$. Moreover, due to a_j , the only vertex that possibly may not be distinguished from c_j is c_j^* , as they are the only vertices at distance 2 from a_j . Since \mathcal{F} is satisfied, a literal x_i (\bar{x}_i) belonging to C_j is true (resp., false) and, then, the vertex ℓ_i (resp. $\bar{\ell}_i$) belongs to R and distinguishes c_j and c_j^* . Finally, note that the vertices v_i, w_i and the vertex in $\{\ell_i, \bar{\ell}_i\} \setminus R$ are distinguished by u_i and the vertex in $\{\ell_i, \bar{\ell}_i\} \cap R$, for every $i \in \{1, \dots, n\}$.

- Suppose now that R is a resolving set of $D(\mathcal{F})$ such that $|R| \leq 2n + m$. By Claim 2.18, for every i, j exactly one vertex in each of the subsets $\{u_i, v_i\}$, $\{a_j, b_j\}$ and $\{\ell_i, \bar{\ell}_i\}$ must belong to R . By the previous arguments, note that, due to the vertex in $\{a_j, b_j\} \cap R$, the only vertex that, possibly, cannot be distinguished from c_j is c_j^* . Due to the arcs from v_i to c_j and c_j^* for every variable x_i having a literal in C_j , the vertex in $\{u_i, v_i\} \cap R$ does not distinguish c_j from c_j^* . Since R is a resolving set of $D(\mathcal{F})$, then c_j and c_j^* are resolved by some vertex in $\{\ell_i, \bar{\ell}_i\} \cap R$, for some variable x_i having a literal in C_j . Thus, note that we can define a truth assignment to \mathcal{F} that satisfies it by considering x_i to be true if, and only if, $\ell_i \in R$.

To see that the reduction above also holds for the strong metric dimension, just note that the u_i 's and the a_j 's are sources, and that they must thus belong to every strong resolving set of $D(\mathcal{F})$. This yields a straight refinement of Claim 2.18. From here, it is easy to see that the equivalence between F and $D(\mathcal{F})$ holds by the very same arguments as above. \square

3 Best orientations of undirected graphs

In this section, we consider the problem that, given an undirected graph G , aims at finding an orientation D of it that minimises $\overrightarrow{\text{MD}}(D)$ or $\overrightarrow{\text{MD}}^*(D)$. Precisely, let

$$\text{BOMD}(G) = \min \left\{ \overrightarrow{\text{MD}}(D) : D \text{ is an orientation of } G \right\},$$

and

$$\text{BOMD}^*(G) = \min \left\{ \overrightarrow{\text{MD}}^*(D) : D \text{ is an orientation of } G \right\}.$$

We start by basic remarks leading to the fact that computing both parameters is NP-hard. Then, we establish some relationship with path covers of graphs. Lastly, we focus on trees and forests.

3.1 Generalities on BOMD and BOMD*

First note that, by Corollary 2.3, for any graph G :

$$\text{BOMD}(G) \leq \text{BOMD}^*(G) \leq \text{BOMD}(G) + 1. \quad (2)$$

Lemma 3.1. *Let G be a graph and x be a vertex of G . Then $\text{BOMD}(G) \leq \text{BOMD}^*(G - x)$.*

Proof. Let D be an orientation of $G - x$ such that $\overrightarrow{\text{MD}}^*(D) = \text{BOMD}^*(G - x)$. Any strong resolving set of D is a resolving set of the orientation $x \rightarrow D$ of G . Hence $\text{BOMD}(G) \leq \text{BOMD}^*(G - x)$. \square

Corollary 3.2. *Let G be a graph on at least two vertices. Then,*

$$\text{BOMD}(G) = \min \left\{ \text{BOMD}^*(G), \min \{ \text{BOMD}^*(G - x) \mid x \in V(G) \} \right\}.$$

Proof. By definition, we have $\text{BOMD}(G) \leq \text{BOMD}^*(G)$ and Lemma 3.1 implies $\text{BOMD}(G) \leq \min \{ \text{BOMD}^*(G - x) \mid x \in V(G) \}$.

Now consider an orientation D of G having a resolving set R of size $\text{BOMD}(G)$. If R is a strong resolving set, then $\text{BOMD}(G) = \text{BOMD}^*(G)$. If not, then, by Proposition 2.2, R has a unique infinite vertex y which is a source. For any $u, v \in V(G) \setminus \{y\}$, there is no (u, v) -path containing y . Hence R is a strong resolving set of $G - y$, and so $\text{BOMD}(G) \geq \text{BOMD}^*(G - y) \geq \min \{ \text{BOMD}^*(G - x) \mid x \in V(G) \}$. \square

Proposition 2.5 directly implies the following.

Lemma 3.3. *Let H_1, \dots, H_p be the connected components of a graph G . Then,*

$$(i) \text{ BOMD}^*(G) = \sum_{i=1}^p \text{BOMD}^*(H_i).$$

$$(ii) \text{ BOMD}(G) = \sum_{i=1}^p \text{BOMD}^*(H_i) - \max\{\text{BOMD}^*(H_i) - \text{BOMD}(H_i) \mid i \in \{1, \dots, p\}\}.$$

Recall that a graph is *traceable* if it has a hamiltonian path.

Proposition 3.4. *Let G be a graph. Then, $\text{BOMD}^*(G) = 1$ if, and only if, G is traceable.*

Proof. Let G be a graph on n vertices.

Assume first that G has a hamiltonian path $P = (v_0, \dots, v_{n-1})$. Let D be the orientation of G such that $v_i v_{i+1} \in A(D)$ for all $i \in \{0, \dots, n-2\}$ and $v_j v_i \in A(D)$ for all edges $v_i v_j \in E(G)$ such that $j \geq i+2$. Clearly, $\text{dist}_D(v_0, v_i) = i$ for every $i \in \{0, \dots, n-2\}$, so $\{v_0\}$ is a strong resolving set of D . Thus $\text{BOMD}^*(G) = 1$.

Reciprocally, assume $\text{BOMD}^*(G) = 1$. Let D be an orientation of G having a strong resolving set of size 1, say $\{v_0\}$. All vertices are distinguished by their distance from v_0 . Since only the n values of $\{0, \dots, n-1\}$ are possible for the distances, we can label the vertices v_0, \dots, v_{n-1} such that $\text{dist}_D(v_0, v_i) = i$ for all $0 \leq i \leq n-2$. Then necessarily (v_0, \dots, v_{n-1}) is a directed hamiltonian path in D and so also a hamiltonian path in G . \square

A graph G is *hypotraceable* if there is a vertex x such that $G - x$ is traceable (see e.g. [4]). Corollary 3.2 and Proposition 3.4 immediately imply the following.

Corollary 3.5. *Let G be a graph. $\text{BOMD}(G) = 1$ if, and only if, G is hypotraceable.*

Corollary 3.6. *Let k be a positive integer.*

- (i) *Deciding whether a given graph G satisfies $\text{BOMD}^*(G) \leq k$ is NP-complete.*
- (ii) *Deciding whether a given graph G satisfies $\text{BOMD}(G) \leq k$ is NP-complete.*

Proof. Both problems are clearly in NP.

Let G be a graph, and for any non-negative integer k , let G_k be the disjoint union of G with $k-1$ copies of K_1 (the graph with a single vertex). Since $\text{BOMD}(K_1) = 0$ and $\text{BOMD}^*(K_1) = 1$, Lemma 3.3 implies that $\text{BOMD}^*(G_k) = k-1 + \text{BOMD}^*(G)$ and $\text{BOMD}(G_{k+1}) = k-1 + \text{BOMD}(G)$. Thus, by Proposition 3.4, deciding whether $\text{BOMD}^*(G_k) = k$ and deciding whether $\text{BOMD}(G_{k+1}) = k$ is equivalent to deciding whether G is traceable, which is a well-known NP-complete problem (Hamiltonian Path). \square

3.2 Relation with path covers of graphs

A *path cover* of a graph G is a set of vertex-disjoint paths whose union contains all the vertices of G . The *path cover number* of G , denoted by $\text{pc}(G)$, is the minimum number of paths in a path cover of G . A *minimum path cover* of G is a path cover of size $\text{pc}(G)$. The Path Cover problem consists of finding a minimum path cover of a given graph. It is evident that the Path Cover problem for general graphs is NP-complete since finding a path cover consisting of a single path, corresponds directly to the Hamiltonian Path problem. Polynomial-time algorithms to solve the Path Cover problem are known for a few special classes of graphs (see [11] for a list).

Theorem 3.7. *$\text{BOMD}(G) \leq \text{BOMD}^*(G) \leq \text{pc}(G)$ for all graphs G .*

Proof. Let G be a graph, and let $\{P_1, \dots, P_k\}$ be a path cover of G . Set $P_i = (v_i^1, \dots, v_i^{p_i})$. Let us orient the edges of G as follows. Let xy be an edge.

- If $x \in V(P_i)$ and $y \in V(P_j)$ with $i < j$, then orient the edge from y to x .
- If $x = v_i^j$ and $y = v_i^\ell$ with $j < \ell$, then orient the edge from x to y if $\ell = j+1$, and from y to x otherwise.

Let us prove that $R = \{v_i^1 \mid i \in \{1, \dots, k\}\}$ is a strong resolving set of the resulting orientation D of G .

Let x and y be two vertices of G . If $x \in V(P_i)$ and $y \in V(P_j)$ with $i < j$, then $\text{dist}_D(v_1^i, x) < +\infty$ and $\text{dist}_D(v_1^i, y) = +\infty$, and if $x = v_i^j$ and $y = v_i^\ell$ with $j \neq \ell$, then $\text{dist}_D(v_1^i, x) = j - 1 \neq \ell - 1 = \text{dist}_D(v_1^i, y)$. In both cases, R distinguishes x and y . So R is a resolving set. Moreover it is strong because every vertex in $V(P_i)$ is reachable from v_1^i . \square

As we present next, the bound of Theorem 3.7 can be loose even for trees. The *binary tree* B_n of height n can be defined inductively as follows. B_0 is the tree with one vertex which is its *root*. For every positive integer $n \geq 1$, the binary tree B_n of height n is obtained from two copies L and R of B_{n-1} by adding a vertex and joining it to the two roots of L and R . The newly-added vertex is the *root* of B_n . We denote by L_i the set of vertices of B_n at distance exactly i from the root. Note that $|L_i| = 2^i$.

Proposition 3.8. *Let p be a non-negative integer. Then,*

$$(i) \text{ BOMD}^*(B_{2p+1}) \leq 2^{2p} \text{ and } \text{pc}(B_{2p+1}) = \frac{2^{2p+2} - 1}{3}.$$

$$(ii) \text{ BOMD}^*(B_{2p}) \leq 2^{2p-1} \text{ and } \text{pc}(B_{2p}) = \frac{2^{2p+1} + 5/2}{3}.$$

Proof. For each vertex x of L_{n-1} in B_n , let ℓ_x and r_x be its two adjacent leaves.

Let D be the orientation of B_n in which all the edges are oriented from the leaves towards the root, except for the edges $x\ell_x$, for each $x \in L_{n-1}$, which are oriented from x to ℓ_x . Set $R = \{r_x \mid x \in L_{n-1}\}$. One easily checks that R is a strong resolving set of D . Hence $\text{BOMD}^*(B_n) \leq 2^{n-1}$.

Assume that $\mathcal{P} = \{P_1, \dots, P_q\}$ is a minimum path cover of B_n (that is, $q = \text{pc}(B_n)$). For each $x \in L_{n-1}$, let $P_x = (\ell_x, x, r_x)$. We may assume that \mathcal{P} is a path cover that maximises the number of paths taken from $\{P_x \mid x \in L_{n-1}\}$. Then \mathcal{P} contains all P_x , for otherwise one of the paths of \mathcal{P} is restricted to a unique vertex ℓ_x or r_x . W.l.o.g., we may assume that $P_q = (\ell_x)$ and P_{q-1} contains r_x . Let P_i be the path containing x . We have $i = q - 1$, for otherwise $P_{q-1} = (r_x)$ and the path cover obtained from \mathcal{P} by replacing P_i, P_{q-1} , and P_q by $P_i - x$ and P_x contradicts the minimality of \mathcal{P} . But then the path cover obtained from \mathcal{C} by replacing P_{q-1} and P_q by $P_{q-1} - x$ and P_x is minimum and has more P_x than \mathcal{P} , a contradiction. Therefore, \mathcal{P} contains all P_x , and so $\mathcal{P} \setminus \{P_x \mid x \in L_{n-1}\}$ is a path cover of $T - (L_n \cup L_{n-1})$ which is isomorphic to B_{n-2} . Thus $\text{pc}(B_n) = 2^{n-1} + \text{pc}(B_{n-2})$.

$$\text{As } \text{pc}(B_0) = \text{pc}(B_1) = 1, \text{ solving the recurrence yields } \text{pc}(B_{2p+1}) = \frac{2^{2p+2} - 1}{3} \text{ and } \text{pc}(B_{2p}) = \frac{2^{2p+1} + 5/2}{3}. \quad \square$$

In turn, we note that, in general, $\text{pc}(G)$ cannot be bounded as a function of $\text{BOMD}^*(G)$.

Proposition 3.9. *Let $k \geq 2$ be an integer. There exists a graph G such that $\text{BOMD}(G) = \text{BOMD}^*(G) = 2$ and $\text{pc}(G) \geq k$.*

Proof. Let G_n be the graph defined as follows:

$$\begin{aligned} V(G_n) &= \{a_i \mid 0 \leq i \leq n\} \cup \{b_j \mid 0 \leq j \leq n\} \cup \{c_{i,j} \mid 1 \leq i \leq n, 1 \leq j \leq n\}; \\ E(G_n) &= \{a_{i-1}a_i \mid 1 \leq i \leq n\} \cup \{b_{j-1}b_j \mid 1 \leq j \leq n\} \cup \\ &\quad \{a_{i-1}c_{i,j} \mid 1 \leq i \leq n, 1 \leq j \leq n\} \cup \{b_{j-1}c_{i,j} \mid 1 \leq i \leq n, 1 \leq j \leq n\}. \end{aligned}$$

That is, G_n is obtained from two paths (a_0, \dots, a_n) and (b_0, \dots, b_n) , by adding, for every $0 \leq i, j < n$, a new vertex $c_{i+1, j+1}$ adjacent to a_i and b_j .

Let D_n be the orientation of G_n such that every $c_{i,j}$ is a sink and the paths (a_0, \dots, a_n) and (b_0, \dots, b_n) are directed from a_0 to a_n and from b_0 to b_n , respectively. We have $\text{dist}_D(a_0, a_i) = i$ and $\text{dist}_D(b_0, a_i) = +\infty$ for all $0 \leq i \leq n$, $\text{dist}_D(a_0, b_j) = +\infty$ and $\text{dist}_D(b_0, b_j) = j$ for all $0 \leq j \leq n$, and $\text{dist}_D(a_0, c_{i,j}) = i$ and $\text{dist}_D(b_0, c_{i,j}) = j$ for all $1 \leq i \leq n$ and all $1 \leq j \leq n$. Consequently $\{a_0, b_0\}$ is a strong resolving set of D_n . Thus $\text{BOMD}^*(G_n) \leq 2$.

Now observe that $C = \{c_{i,j} \mid 1 \leq i \leq n, 1 \leq j \leq n\}$ is a stable set of order n^2 , while $W = V(G_n) \setminus C$ is a set of $2n + 2$ vertices. In every path cover of G_n , the number of vertices of C adjacent to a vertex of W is at most $2 \times (2n + 2) = 4n + 4$. In particular, G is not hypohamiltonian, so $\text{BOMD}(G) \geq 2$. Moreover there are at least $n^2 - (4n + 4)$ vertices of C which are isolated in the path cover. Thus, the number of paths of the path cover is at least $n^2 - (4n + 4)$. Choosing n large enough so that $n^2 - (4n + 4) \geq k$, we get the result. \square

3.3 Best orientation of trees and forests

In this section, we give lower and upper bounds on $\text{BOMD}^*(F)$ and $\text{BOMD}(F)$ for forests F . In later Section 5, we present a polynomial-time algorithm that computes these values.

Let T be an undirected tree. A vertex with degree 1 (resp. 2, at least 3) in T is called a *leaf* (resp. *flat vertex*, *branching vertex*). A path whose internal vertices are flat and whose endvertices are either leaves or branching vertices is called a *segment*. A segment is an *outer segment* if one of its endvertices is a leaf. Otherwise it is an *inner segment*. We denote by:

- $\text{lf}(T)$ the number of leaves of T ;
- $\text{bv}(T)$ the number of branching vertices of T ; and
- $\text{hb}(T)$ the number of branching vertices that are endvertices of outer segments.

Note that $\text{hb}(T) \leq \text{bv}(T) \leq \text{lf}(T) - 2$. Observe moreover that if T is not a path then $\text{hb}(T) \geq 1$.

The metric dimension of an undirected tree T can be expressed as a function of $\text{lf}(T)$ and $\text{hb}(T)$.

Theorem 3.10 (Slater [17]). *Let T be a tree. If T is a path, then $\text{MD}(T) = 1$. Otherwise, $\text{MD}(T) = \text{lf}(T) - \text{hb}(T)$.*

We start by establishing lower and upper bounds on $\text{BOMD}(T)$ for any tree T .

Theorem 3.11. $\text{MD}(T) \leq \text{BOMD}^*(T) \leq \text{lf}(T) - 1$ for all trees T .

Proof. We start by proving the lower bound. Consider any orientation D of T and any strong resolving set R for D . Note that if $\text{dist}_D(u, v) = k$, then $\text{dist}_T(u, v) = k$ as well. Furthermore, by definition, D has no infinite vertex with respect to R . Consequently, R is a resolving set of T , and, thus, $\text{MD}(T) \leq \text{BOMD}^*(T)$.

Let us now prove the upper bound. Let r be any leaf of T , and consider D the orientation of T obtained by orienting all edges towards r . That is, if an edge uv satisfies $\text{dist}_T(u, r) = \text{dist}_T(v, r) - 1$, then we orient uv from v to u . We now get that all leaves of D but r form a strong resolving set R . Indeed, no vertex of D is infinite with respect to R . Now, because the out-degree of each vertex is 1, except for r , one can see that, for each leaf ℓ , the set of vertices reached by ℓ form a directed path. Therefore, every vertex of D is uniquely identified by the distances from the leaves, and it follows that $\text{BOMD}^*(T) \leq \text{lf}(T) - 1$. \square

Corollary 3.12. $\text{lf}(T) - \text{hb}(T) - 1 \leq \text{BOMD}(T) \leq \text{lf}(T) - 1$, for all trees T .

Proof. The result is obvious if T is a path, so let us assume that T is not a path. Then, by Equation (2) and Theorems 3.10 and 3.11, we have

$$\text{lf}(T) - \text{hb}(T) - 1 = \text{MD}(T) - 1 \leq \text{BOMD}^*(T) - 1 \leq \text{BOMD}(T) \leq \text{BOMD}^*(T) \leq \text{lf}(T) - 1,$$

as claimed. \square

The upper bound in Corollary 3.12 is tight: for instance, when T is a star, one easily sees that $\text{BOMD}(T) = \text{lf}(T) - 1$. The lower bound in Corollary 3.12 is also tight: consider the tree T_p with vertex set $\{a_0, d\} \cup \bigcup_{i=1}^p \{a_i, b_i, c_i\}$ and edge set $\{a_0 b_1\} \cup \bigcup_{i=1}^p \{a_i b_i, b_i c_i, b_i d\}$. We have $\text{lf}(T_p) = 2p + 1$ and $\text{hb}(T_p) = p$. Moreover, $\{a_i \mid i \in \{1, \dots, p\}\}$ is a resolving set of the orientation D of T with $A(D) = \{a_0 b_1\} \cup \bigcup_{i=1}^p \{a_i b_i, b_i c_i, b_i d\}$. Thus $\text{BOMD}(T_p) \leq p = \text{lf}(T_p) - \text{hb}(T_p) - 1$.

Let G be a graph. We denote by $\text{cc}(G)$ its number of connected components, and by $\text{pcc}(G)$ its number of connected components that are paths. In particular, if T is a tree, then $\text{pcc}(T) = 1$ if

T is a path and $\text{pcc}(T) = 0$ otherwise. Hence, Theorem 3.10 can be restated as follows: $\text{MD}(T) = \text{lf}(T) - \text{hb}(T) - \text{pcc}(T)$. Therefore, Equation (2), Theorem 3.11, Corollary 3.12, and Lemma 3.3 yield the following.

Corollary 3.13. *Let F be a forest. Then,*

$$\text{lf}(F) - \text{hb}(F) - \max\{\text{pcc}(F), 1\} \leq \text{BOMD}(F) \leq \text{lf}(F) - \text{cc}(F).$$

4 Worst orientations of undirected graphs

In this section, we consider the problem that, given an undirected graph G , aims at finding an orientation D of G that maximises $\overrightarrow{\text{MD}}(D)$ and $\overrightarrow{\text{MD}}^*(D)$. Precisely, let

$$\text{WOMD}(G) = \max \left\{ \overrightarrow{\text{MD}}(D) : D \text{ is an orientation of } G \right\},$$

and

$$\text{WOMD}^*(G) = \max \left\{ \overrightarrow{\text{MD}}^*(D) : D \text{ is an orientation of } G \right\}.$$

By Corollary 2.3, for any graph G ,

$$\text{WOMD}(G) \leq \text{WOMD}^*(G) \leq \text{WOMD}(G) + 1. \quad (3)$$

Recall that the *stability number* of a graph G , denoted by $\alpha(G)$, is the maximum size of a *stable set* (i.e. a set of pairwise non-adjacent vertices) in G . For any orientation D of G , the *stability number* of D is the stability number of G , that is $\alpha(D) = \alpha(G)$.

Proposition 4.1. *Let G be a graph and H be an induced subgraph of G . Then $\text{WOMD}^*(G) \geq \text{WOMD}^*(H)$ and $\text{WOMD}(G) \geq \text{WOMD}(H)$.*

Proof. Let D' be an orientation of H such that $\overrightarrow{\text{MD}}(D') = \text{WOMD}(H)$. Let D be an orientation of G that agrees with D' on H , and such that all arcs between $V(H)$ and $V(G) \setminus V(H)$ are oriented away from $V(H)$. In D , two vertices of $V(H)$ cannot be distinguished by a vertex in $V(G) \setminus V(H)$ because they are at infinite distance from it. Therefore, if R is a resolving set of D , then $R \cap V(H)$ is a resolving set of D' . Hence $\text{WOMD}(G) \geq \overrightarrow{\text{MD}}(D) \geq \overrightarrow{\text{MD}}(D') = \text{WOMD}(H)$.

One can similarly show that $\text{WOMD}^*(G) \geq \text{WOMD}^*(H)$. \square

Consider E_n , the edgeless graph of order n . We have $\text{WOMD}^*(E_n) = n$ and $\text{WOMD}(E_n) = n - 1$. Hence, by Proposition 4.1, we get the following.

Corollary 4.2. *Let G be a graph. Then $\text{WOMD}^*(G) \geq \alpha(G)$ and $\text{WOMD}(G) \geq \alpha(G) - 1$.*

Proposition 2.5 yields the following.

Lemma 4.3. *Let H_1, \dots, H_p be the connected components of a graph G .*

$$(i) \text{ WOMD}^*(G) = \sum_{i=1}^p \text{WOMD}^*(H_i).$$

$$(ii) \text{ WOMD}(G) = \sum_{i=1}^p \text{WOMD}^*(H_i) - \max\{\text{WOMD}^*(H_i) - \text{WOMD}(H_i) \mid i \in \{1, \dots, p\}\}.$$

In what follows, we investigate WOMD and WOMD^* for complete graphs and forests.

4.1 Worst orientations of complete graphs

Lozano [12] showed that every tournament T has a set S of size at most $\lfloor |V(T)|/2 \rfloor$ such that, for any two distinct vertices $u, v \in V(T) \setminus S$, there exists a vertex $w \in S$ such that exactly one of wu and wv is an arc of T . Observe that such a set is a resolving set of T , so we have the following.

Theorem 4.4 (Lozano [12]). $\overrightarrow{\text{MD}}(T) \leq \lfloor |V(T)|/2 \rfloor$ for every tournament T .

Theorem 4.5. $\overrightarrow{\text{MD}}^*(T) \leq \lfloor |V(T)|/2 \rfloor$ for every tournament T .

Proof. We prove the result by induction on $|V(T)| = n$.

If T is strong, then $\overrightarrow{\text{MD}}^*(T) = \overrightarrow{\text{MD}}(T)$, so we have the result by Theorem 4.4. Henceforth we may assume that T is not strong. Thus T has a strong subtournament B such that $T = A \rightarrow B$ with $A = T - B$.

If n is odd, then $\lceil n/2 \rceil = \lfloor n/2 \rfloor + 1$. By Theorem 4.4, T has a resolving set R of size $\lfloor n/2 \rfloor$. Then, R may have at most one infinite vertex v , and adding it to R leaves a strong resolving set $R \cup \{v\}$ of size at most $\lceil n/2 \rceil$. Henceforth, we may assume that n is even.

Set $n_A = |V(A)|$ and $n_B = |V(B)|$. If n_A and n_B are even, then, by the induction hypothesis, $\overrightarrow{\text{MD}}^*(A) \leq \lceil n_A/2 \rceil = n_A/2$ and $\overrightarrow{\text{MD}}^*(B) \leq \lceil n_B/2 \rceil = n_B/2$. Thus, by Lemma 2.9, $\overrightarrow{\text{MD}}^*(T) \leq n_A/2 + n_B/2 = n/2$. Henceforth, we may assume that n_A and n_B are odd.

If $n_B > 1$, then B is strong and non-trivial so $\overrightarrow{\text{MD}}^*(B) = \overrightarrow{\text{MD}}(B)$. Thus $\overrightarrow{\text{MD}}^*(B) \leq \lfloor n_B/2 \rfloor = \frac{n_B-1}{2}$ by Theorem 4.4. By the induction hypothesis, $\overrightarrow{\text{MD}}^*(A) \leq \lceil n_A/2 \rceil = \frac{n_A+1}{2}$. Therefore, by Lemma 2.9, $\overrightarrow{\text{MD}}^*(T) \leq \frac{n_A+1}{2} + \frac{n_B-1}{2} = n/2$. Henceforth, we may assume that $n_B = 1$, say $B = \{b\}$.

If A is strong, then $\overrightarrow{\text{MD}}^*(A) = \overrightarrow{\text{MD}}(A)$. Thus $\overrightarrow{\text{MD}}^*(A) \leq \lfloor n_A/2 \rfloor = \frac{n_A-1}{2} = \frac{n}{2} - 1$ by Theorem 4.4. Let R_A be a strong resolving set of A of size $\frac{n}{2} - 1$. Trivially, $R_A \cup \{b\}$ is a strong resolving set of T of size $n/2$. Henceforth, we may assume that A is non-strong. Thus A has a strong subtournament D such that $A = C \rightarrow D$ with $C = T - D$. Set $n_C = |V(C)|$ and $n_D = |V(D)|$.

If n_D is even, then $D \rightarrow \{b\}$ has a resolving set R_D of order $n_D/2$ by Theorem 4.4. Now R_D must contain a vertex in $V(D)$, so R_D is a strong resolving set of $D \rightarrow \{b\}$. By the induction hypothesis, C has a strong resolving set R_C of order $\lceil n_C/2 \rceil = \frac{n_C+1}{2}$. So by Lemma 2.7, $R_C \cup R_D$ is a strong resolving set of T , which has size $\frac{n_C+1}{2} + \frac{n_D}{2} = n/2$. Henceforth, we may assume that n_D is odd, and so n_C is even.

If $n_D > 1$, then D is strong and non-trivial, so, by Theorem 4.4, $\overrightarrow{\text{MD}}^*(D) = \overrightarrow{\text{MD}}(D) \leq \lfloor n_D/2 \rfloor = \frac{n_D-1}{2}$. Moreover, by the induction hypothesis, $\overrightarrow{\text{MD}}^*(C) \leq \lceil n_C/2 \rceil = \frac{n_C}{2}$, so by Lemma 2.9, $\overrightarrow{\text{MD}}^*(C \rightarrow D) \leq \frac{n_C}{2} + \frac{n_D-1}{2} = \frac{n}{2} - 1$. Let R be a strong resolving set of $C \rightarrow D$. Then $R \cup \{b\}$ is a strong resolving set of T of size at most $\lceil n/2 \rceil$. Thus, we may assume that $n_D = 1$, say $D = \{d\}$.

Now, by the induction hypothesis, C has a strong resolving set R of size $\lceil n_C/2 \rceil = \frac{n}{2} - 1$. Moreover, $\{d\}$ is a strong resolving set of $D \rightarrow B$. Thus, by Lemma 2.7, $R \cup \{d\}$ is a strong resolving set of T of size $n/2$. \square

Proposition 4.6. Let TT_n be the transitive tournament of order n . Then, $\overrightarrow{\text{MD}}^*(TT_n) = \left\lceil \frac{n}{2} \right\rceil$ and $\overrightarrow{\text{MD}}(TT_n) = \left\lfloor \frac{n}{2} \right\rfloor$.

Proof. Let (v_1, \dots, v_n) be the transitive order of TT_n , that is, all edges $v_i v_j$ are oriented from v_i to v_j with $i < j$. The upper bounds follow from the previous theorems.

Let R be a resolving set of TT_n . For every $i \in \{1, \dots, n-1\}$, there must be a vertex of R that distinguishes v_i and v_{i+1} . But $\text{dist}(v_j, v_i) = \text{dist}(v_j, v_{i+1}) = 1$ for all $1 \leq j < i$, and $\text{dist}(v_j, v_i) = \text{dist}(v_j, v_{i+1}) = +\infty$ for all $i+1 < j \leq n$. So the vertex distinguishing v_i and v_{i+1} is one of those two vertices. Thus $|R \cap \{v_i, v_{i+1}\}| \geq 1$ for all $i \in \{1, \dots, n-1\}$. This, implies $\overrightarrow{\text{MD}}(TT_n) \geq \lfloor \frac{n}{2} \rfloor$, and $\overrightarrow{\text{MD}}^*(TT_n) \geq \lceil \frac{n}{2} \rceil$ because every strong resolving set must contain v_1 , which is a source in TT_n . \square

Theorems 4.4 and 4.5 and Proposition 4.6 directly imply the following.

Corollary 4.7. $\text{WOMD}^*(K_n) = \left\lceil \frac{n}{2} \right\rceil$ and $\text{WOMD}(K_n) = \left\lfloor \frac{n}{2} \right\rfloor$ for all positive integers n .

Recall that for an undirected graph G , the *clique number* $\omega(G)$ is the order of a largest clique (complete subgraph) in G . Corollary 4.7 and Proposition 4.1 directly imply the following.

Corollary 4.8. *Let G be a graph. Then $\text{WOMD}^*(G) \geq \left\lceil \frac{\omega(G)}{2} \right\rceil$ and $\text{WOMD}(G) \geq \left\lfloor \frac{\omega(G)}{2} \right\rfloor$.*

Theorem 4.9 (Ramsey [16]). *Let p and q be two positive integers. There exists a minimum integer $R(p, q)$ such that if G has at least $R(p, q)$ vertices then either $\omega(G) \geq p$ or $\alpha(G) \geq q$.*

Theorem 4.10. *Let G be a graph and k be a positive integer.*

(i) *There is a (smallest) integer C_k^* such that if $|V(G)| \geq C_k^*$ then $\text{WOMD}^*(G) \geq k$.*

(ii) *There is a (smallest) integer C_k such that if $|V(G)| \geq C_k$ then $\text{WOMD}(G) \geq k$.*

Proof. (i) Suppose $|V(G)| \geq R(2k - 1, k)$. By Ramsey's Theorem (Theorem 4.9), either $\omega(G) \geq 2k - 1$ or $\alpha(G) \geq k$. In the former case, Corollary 4.8 yields $\text{WOMD}^*(G) \geq k$, and, in the latter case, Corollary 4.2 yields $\text{WOMD}^*(G) \geq k$. Hence (i) holds and $C_k^* \leq R(2k - 1, k)$.

In the same way, assuming $|V(G)| \geq R(2k, k + 1)$, we derive $\text{WOMD}(G) \geq k$. Hence (ii) holds and $C_k \leq R(2k, k + 1)$. \square

Corollary 4.11. *Let k be a fixed positive integer. Given a graph G , deciding whether $\text{WOMD}(G) \leq k$ (resp. $\text{WOMD}^*(G) \leq k$) can be done in constant time (function of k).*

Proof. By Theorem 4.10, there is a finite number of graphs with $\text{WOMD}(G) \leq k$. It then suffices to check whether the input graph G is one of them, which can be done in constant time since G can be assumed to be of constant size (by Theorem 4.10). The same holds for graphs with $\text{WOMD}^*(G) \leq k$. \square

4.2 Worst orientation of forests

In this section, we give bounds on $\text{WOMD}(F)$ and $\text{WOMD}^*(F)$ when F is a forest. A consequence of our main result in Section 5 is that these values can be computed in linear time in that case.

Theorem 4.12. $\overrightarrow{\text{MD}}^*(D) \leq \alpha(D)$ for every oriented forest D .

Proof. By Proposition 2.5 and the fact that the stability number of a digraph is the sum of the stability numbers of its connected components, it suffices to prove the theorem for oriented trees.

We do so by induction on the number of vertices of D , the result holding trivially if D is an oriented star. Assume now that D is an oriented tree but not an oriented star. Consider the first three vertices u, v, w of a path P whose length is equal to the diameter of the tree underlying D . Among the neighbours of v , only w is not a leaf. Let $U = N[v] \setminus \{w\}$ and $D' = D - U$. By the induction hypothesis $D[U]$ admits a strong resolving set S_U of size $\alpha(D[U]) = |U| - 1$ and D' admits a strong resolving set S' of size $\alpha(D')$. By Lemma 2.7, we have that $S = S_U \cup S'$ is a strong resolving set of D . Moreover $\alpha(D) = \alpha(D') + |U| - 1$. Hence, S is a strong resolving set of size $\alpha(D)$. \square

Theorem 4.12 and Corollary 4.2 directly imply the following corollary.

Corollary 4.13. *If F is a forest, then $\text{WOMD}^*(F) = \alpha(F)$ and $\alpha(F) - 1 \leq \text{WOMD}(F) \leq \alpha(F)$.*

In view of the latter corollary, there are two kinds of forests F : $(\alpha - 1)$ -forests for which $\text{WOMD}(F) = \alpha(F) - 1$, and α -forests for which $\text{WOMD}(F) = \alpha(F)$. Similarly, a tree T is an $(\alpha - 1)$ -tree (resp. α -tree) if $\text{WOMD}(T) = \alpha(T) - 1$ (resp. $\text{WOMD}(T) = \alpha(T)$).

Lemma 4.3 and Corollary 4.13 directly imply the following.

Proposition 4.14. *A forest is an α -forest if, and only if, it is the disjoint union of α -trees.*

Hence, in order to characterise α -forests (and at the same time $(\alpha - 1)$ -forests), it suffices to characterise α -trees. In Section 5, we give a linear-time algorithm that, given a tree T , computes $\text{WOMD}(T)$ and $\text{WOMD}^*(T)$. Henceforth, using this algorithm and comparing the two values, we can decide in linear time whether a given tree is an α -tree or not. However, this algorithm does not yield an explicit characterisation.

In the sequel, we show that every star is an α -tree and explicitly characterise the paths that are α -trees. We then provide constructions for building infinitely many $(\alpha - 1)$ -trees and α -trees.

4.2.1 Worst orientations of stars and paths

Theorem 4.15. *Let S_n be the star on n vertices, $n \geq 2$. Then, $\text{WOMD}(S_n) = \alpha(S_n) = n - 1$.*

Proof. Let D be the orientation of S_n obtained by orienting all edges towards the leaves. Note that any two leaves of D have the same in-neighbourhood, and any resolving set of D must thus include at least $n - 2$ leaves. But a set containing $n - 2$ leaves only is not resolving, as the center vertex and the last leaf cannot be distinguished. Thus, any resolving set of D must include an extra vertex. Hence $\text{WOMD}(S_n) \geq \overrightarrow{\text{MD}}(D) \geq n - 1$. The result then follows from Corollary 4.13. \square

We denote by P_n the path on n vertices.

Theorem 4.16. *Let n be a positive integer. Then $\text{WOMD}(P_n) = \alpha(P_n) - 1 = \lfloor \frac{n}{2} \rfloor$ if, and only if, $n \equiv 1 \pmod{4}$.*

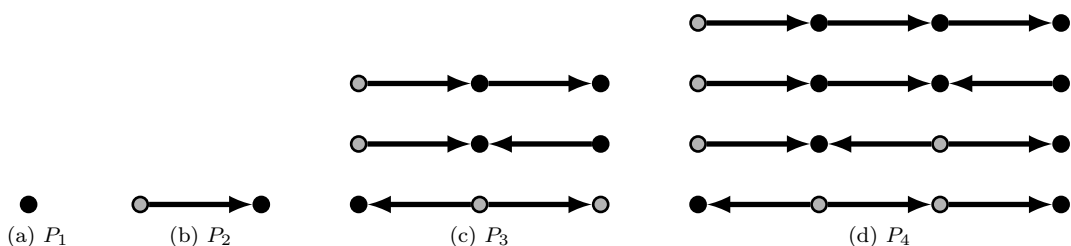


Figure 2: Non-isomorphic orientations of P_n for $n \in \{1, 2, 3, 4\}$. For each orientation, the set of grey vertices is a minimum resolving set.

Proof. The proof is by induction on n . As a base case, the claim is true whenever $n \in \{1, 2, 3, 4\}$, as attested in Figure 2, where minimum resolving sets are provided for all non-isomorphic orientations. In particular, $\text{WOMD}(P_1) = \alpha(P_1) - 1 = 0$, $\text{WOMD}(P_2) = \alpha(P_2) = 1$, and $\text{WOMD}(P_i) = \alpha(P_i) = 2$ for $i \in \{3, 4\}$.

Assume now that $n > 4$. Let $P_n = (v_1, \dots, v_n)$. Set $P' = (v_5, \dots, v_n)$ and $P'' = (v_1, \dots, v_4)$. Note that $\alpha(P_n) = \alpha(P') + 2$.

Assume first that $n \not\equiv 1 \pmod{4}$. By the induction hypothesis, there is an orientation D' of P' such that $\overrightarrow{\text{MD}}(D') = \alpha(P')$. Let D be the orientation of P_n that agrees with D' on P' and such that v_5v_4, v_3v_4, v_2v_3 and v_2v_1 are arcs. Note that no vertex in $\{v_1, \dots, v_4\}$ may be used to distinguish vertices in (v_5, \dots, v_n) , because the arc v_5v_4 yields infinite distances. Hence $R \cap \{v_5, \dots, v_n\}$ must be a resolving set for D' , for every resolving set R of D . Moreover, at least two vertices among $\{v_1, v_2, v_3\}$ are needed to distinguish v_1, v_2, v_3 . Hence, every resolving set of D has size at least $\alpha(P') + 2 = \alpha(P_n)$. So $\text{WOMD}(P_n) \geq \overrightarrow{\text{MD}}(D) \geq \alpha(P_n)$, and, by Corollary 4.13, we get $\text{WOMD}(P_n) = \alpha(P_n)$.

Assume now that $n \equiv 1 \pmod{4}$. We prove that $\text{WOMD}(P_n) \leq \alpha(P_n) - 1$. In fact, we show that for every orientation D of P_n we get: (*) $\overrightarrow{\text{MD}}(D) \leq \overrightarrow{\text{MD}}(D') + 2$, where D' is the orientation D restricted to P' . Since $\overrightarrow{\text{MD}}(D') = \alpha(D') - 1$ by the induction hypothesis, and $\alpha(D) = \alpha(D') + 2$, we get that $\overrightarrow{\text{MD}}(D) \leq \alpha(D) - 1$ for every orientation D of P_n , as we wanted to show. The fact that (*) holds can be verified in Figure 3. The grey vertices represent the vertices that can be added to a resolving set of D' in order to obtain a resolving set of D . \square

4.2.2 Constructing $(\alpha - 1)$ -trees and α -trees from smaller ones

Here, we provide constructions for building α -trees (resp. $(\alpha - 1)$ -trees) from smaller α -trees (resp. $(\alpha - 1)$ -trees), such as stars and paths investigated in the previous subsection.

Theorem 4.17. *Let T be an α -tree, and v be a vertex belonging to all maximum stable sets of T . Let $k \geq 2$, and T' be the tree obtained from T by adding k new vertices u_1, \dots, u_k and making them adjacent to v . Then T' is an α -tree.*

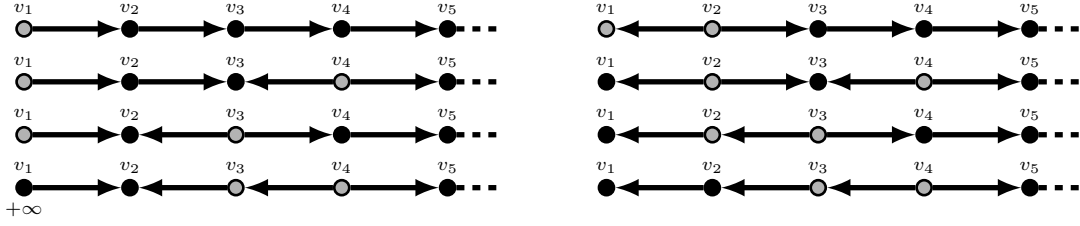


Figure 3: All cases for concluding the proof of Theorem 4.16. For each orientation of P_n , the set of grey vertices is a minimum resolving set. “ $+\infty$ ” below a vertex indicates that this vertex is infinite.

Proof. Since v belongs to all maximum stable sets of T , by construction we have $\alpha(T') = \alpha(T) + k - 1$. In particular, every maximum stable set S' of T' can be obtained from a maximum stable set S of T , removing v from S , and adding u_1, \dots, u_k to S . Now let D be an orientation of T verifying $\overrightarrow{\text{MD}}(D) = \text{WOMD}(T) = \alpha(T)$, and let D' be the orientation of T' obtained from D by orienting every edge vu_i from v to u_i , for each $i \in \{1, \dots, k\}$. Note that, in D' , no vertex u_i can resolve vertices in $V(T)$. Furthermore, in order to distinguish the u_i 's, any resolving set of D' must include all u_i 's but at most one. Thus

$$\overrightarrow{\text{MD}}(D') \geq \overrightarrow{\text{MD}}(D) + k - 1 = \alpha(T) + k - 1 = \alpha(T').$$

Therefore, $\overrightarrow{\text{MD}}(D') = \alpha(T')$ by Corollary 4.13, and $\text{WOMD}(T') = \alpha(T')$. \square

Theorem 4.18. *Let T be an α -tree, and v be a vertex belonging to all maximum stable sets of T . Let T' be the tree obtained from T by adding three new vertices u_1, u_2, u_3 and the edges vu_1, u_1u_2, u_2u_3 . Then T' is an α -tree.*

Proof. Every maximum stable set S' of T' is either obtained from a maximum stable set S of T by adding u_2 , or obtained from S by removing v and adding u_1, u_3 . In other words, we have $\alpha(T') = \alpha(T) + 1$. Now let D be an orientation of T verifying $\overrightarrow{\text{MD}}(D) = \text{WOMD}(T) = \alpha(T)$, and let D' be the orientation of T' obtained from D by orienting vu_1 from v to u_1 , u_2u_1 from u_2 to u_1 , and u_3u_2 from u_3 to u_2 . Note that, in D' , the only way to distinguish u_2 and u_3 is via u_3 or u_2 . Thus

$$\overrightarrow{\text{MD}}(D') \geq \overrightarrow{\text{MD}}(D) + 1 = \alpha(T) + 1 = \alpha(T').$$

Therefore, $\overrightarrow{\text{MD}}(D') = \alpha(T')$ by Corollary 4.13, and $\text{WOMD}(T') = \alpha(T')$. \square

Theorem 4.19. *Let T_1, \dots, T_k be α -trees, $k \geq 2$, and r_1, \dots, r_k be vertices where $r_i \in V(T_i)$ for each $i \in \{1, \dots, k\}$. Assume r_1 belongs to all maximum stable sets of T_1 . Then, the tree T obtained from T_1, \dots, T_k by adding a new vertex r and making it adjacent to all of r_1, \dots, r_k , is an α -tree.*

Proof. Note that, by our assumption on r_1 , we have $\alpha(T) = \sum_{i=1}^k \alpha(T_i)$. Let D be the orientation of T obtained by orienting each of its T_i 's the worst way possible (i.e. each T_i is oriented in a way D_i such that $\overrightarrow{\text{MD}}(D_i) = \text{WOMD}(T_i)$), and orienting all edges $r_i r$ towards r . Due to the orientation of the latter arcs, we clearly have

$$\overrightarrow{\text{MD}}(D) \geq \sum_{i=1}^k \overrightarrow{\text{MD}}(D_i) = \sum_{i=1}^k \text{WOMD}(T_i) = \sum_{i=1}^k \alpha(T_i) = \alpha(T),$$

which proves the claim. \square

Theorem 4.20. *Let T_1, T_2 be two $(\alpha - 1)$ -trees, and r_1, r_2 be vertices of T_1, T_2 , respectively. Assume r_1, r_2 belong to all maximum stable sets of T_1, T_2 , respectively. Then, the tree T obtained from T_1, T_2 by adding the edge $r_1 r_2$ is an $(\alpha - 1)$ -tree.*

Proof. Note that $\alpha(T) = \alpha(T_1) + \alpha(T_2) - 1$. Consider D any orientation of T . W.l.o.g., we can assume that $r_1 r_2$ is oriented towards r_2 . Let now S be a minimum resolving set of D . Due to the orientation of the arc $r_1 r_2$, the set $S \cap V(T_1)$ must be a resolving set of D_1 , the orientation of T_1

deduced from D . Thus $|S \cap V(T_1)| \leq \overrightarrow{\text{MD}}(D_1) \leq \text{WOMD}(T_1) = \alpha(T_1) - 1$. From the point of view of D_2 , the orientation of T_2 derived from D , $S \cap V(T_2)$ might not be resolving, but, if this occurs, then we know that $(S \cap V(T_2)) \cup \{r_2\}$ is a resolving set of D_2 . Thus $|S \cap V(T_2)| \leq \overrightarrow{\text{MD}}(D_2) - 1 \leq \text{WOMD}(T_2) - 1 = \alpha(T_2) - 2$, and $|S| \leq \alpha(T) - 1$. \square

5 Finding WOMD, BOMD, WOMD* and BOMD* for trees and forests

In this section, we prove that given a tree T , one can find $\text{BOMD}^*(T)$, $\text{BOMD}(T)$, $\text{WOMD}^*(T)$ and $\text{WOMD}(T)$ in linear time. Also, orientations attaining these values can be retrieved. Note that using Lemmas 3.3 and 4.3, this implies that, given a forest F , one can find $\text{BOMD}^*(F)$, $\text{BOMD}(F)$, $\text{WOMD}^*(F)$ and $\text{WOMD}(F)$ in linear time.

Our algorithm uses a dynamic programming approach. Therefore, we root the tree T at some vertex r . Let D be an orientation of T and s be a source in D . We say that $a \in V(D)$ is a *1-witness* for s if $N^-(a) = \{s\}$. We say that $(a, b, c) \in V(D)$ is a *2-witness* for s if $s \neq b$, $N^-(a) = \{s, b\}$, and $N^-(c) = \{b\}$. A *witness* for s is a 1-witness or a 2-witness and a witness for s is *unique* if there is no other witness for s . Recall from the proof of Corollary 2.15 that a source $s \in V(D)$ is *removable* (in D) if, and only if, there are no witnesses for s in D .

For $v \in V(T)$ with children v_1, \dots, v_d , let T_v be the subtree of T rooted in v , and for each $i \in \{1, \dots, d\}$, let $T_{v,i}$ be the subtree induced by $\{v\} \cup \bigcup_{j=1}^i V(T_{v_j})$. Also let $T_{v,0}$ denote the trivial tree $(\{v\}, \emptyset)$, and note that $T_v = T_{v,d}$. In this section, we compute $\text{BOMD}(T)$ and $\text{WOMD}(T)$ in a bottom up way using dynamic programming. For this, let us consider a few properties that a given orientation D of $T_{v,i}$ may have.

Property s: v is a source in D .

Property r: v is a removable source in D .

Property u: there is a source s in D with a 2-witness (a, b, c) , and either $v = c$, in which case (a, b, c) is the unique witness for s , or $v = a$, in which case every witness for s is of the form $(a = v, b, c')$ for some vertex c' .

Property $\overleftarrow{\mathbf{a}}$: there is a source s in D s.t. v is a 1-witness which is a unique witness for s in D .

Property $\overrightarrow{\mathbf{a}}$: there are $b, c \in V(T_{v,i})$ such that $v \neq c$ and $N_D^-(v) = N_D^-(c) = \{b\}$.

Property $\overleftarrow{\mathbf{b}}$: there are $s, a \in V(T_{v,i})$ s.t. $N_D^-(a) = \{s, v\}$ and s is a removable source in D .

Property $\overrightarrow{\mathbf{b}}$: there is $c \in V(T_{v,i})$ such that $N_D^-(c) = \{v\}$.

Property \mathbf{r}^* : D contains a removable source s such that $s \neq v$ and there is no vertex $a \in V(T_{v,i})$ such that $N_D^-(a) = \{s, v\}$.

Regarding these properties, a removable source s can be of three kinds: it is an *\mathbf{r} -source* if $s = v$, a *$\overleftarrow{\mathbf{b}}$ -source* if there exists $a \in V(T_{v,i})$ such that $N_D^-(a) = \{s, v\}$, and an *\mathbf{r}^* -source* otherwise. The general idea of our dynamic programming algorithm is to keep track on whether or not, orientations have a removable source. Intuitively, the \mathbf{r}^* -sources are the ‘safe’ ones, in the sense that they will remain removable sources in the remaining of the algorithm because there are so far from the root of their subtree that no witness for them can be later created. \mathbf{r} -sources and $\overleftarrow{\mathbf{b}}$ -sources are ‘dangerous’ in the sense that such a source might, in the rest of the algorithm, either not remain a source (if it is the root of the subtree and an arc towards it is added) or not remain removable as some witnesses for them can be created. In the opposite, removable sources may appear as the witnesses of a non-removable source may be destroyed. The apparition and disappearance of removable sources happen in very particular cases that can be characterised in terms of the above properties. See Subsection 5.1.

Let $\mathcal{P} = \{\mathbf{s}, \mathbf{r}, \mathbf{u}, \overleftarrow{\mathbf{a}}, \overrightarrow{\mathbf{a}}, \overleftarrow{\mathbf{b}}, \overrightarrow{\mathbf{b}}, \mathbf{r}^*\}$ be the set of properties an orientation can have. For $X \subseteq \mathcal{P}$, we say that an orientation D of $T_{v,i}$ *agrees with* X if X describes exactly all the properties that D

has, i.e. if D has the properties in X and has no properties in $\mathcal{P} \setminus X$. The next lemma tells us that in order to compute $\overrightarrow{\text{MD}}(D)$, we only need to know the value $\overrightarrow{\text{MD}}^*(D)$ and the set of properties with which D agrees. Let $\mathbb{1}[c]$ be the indicator function that condition c is true: $\mathbb{1}[c] = 1$ if c is true and $\mathbb{1}[c] = 0$ otherwise.

Lemma 5.1. *If D is an orientation of $T_{v,i}$ that agrees with $X \subseteq \mathcal{P}$, then*

$$\overrightarrow{\text{MD}}(D) = \overrightarrow{\text{MD}}^*(D) - \mathbb{1}\left[X \cap \{\mathbf{r}, \overleftarrow{\mathbf{b}}, \mathbf{r}^*\} \neq \emptyset\right].$$

Proof. Corollary 2.15 states that $\overrightarrow{\text{MD}}(D) = \overrightarrow{\text{MD}}^*(D) - 1$ if D has a removable source and $\overrightarrow{\text{MD}}(D) = \overrightarrow{\text{MD}}^*(D)$ otherwise. Since D agrees with X , we know that D has a removable source if, and only if, $X \cap \{\mathbf{r}, \overleftarrow{\mathbf{b}}, \mathbf{r}^*\} \neq \emptyset$ \square

Therefore, in order to compute $\text{BOMD}(T_{v,i})$ and $\text{WOMD}(T_{v,i})$ (and similarly $\text{BOMD}^*(T_{v,i})$ and $\text{WOMD}^*(T_{v,i})$), we just need to keep track of the best and worst values of the strong metric dimension related to orientations that agree with each possible subset of properties. More formally, for each $X \subseteq \mathcal{P}$, let $B_X(v, i)$ and $W_X(v, i)$ be, respectively, the minimum and maximum value of $\overrightarrow{\text{MD}}^*(D)$ over all orientations D of $T_{v,i}$ that agrees with X . We set $B_X(v, i) = +\infty$ and $W_X(v, i) = -\infty$ if no orientation of $T_{v,i}$ agrees with X . If v has d children, then we use $B_X(v)$ and $W_X(v)$ to denote $B_X(v, d)$ and $W_X(v, d)$, respectively. By the previous lemma, the desired values can be extracted from the tables of the root r , namely by letting $\delta(X) = \mathbb{1}\left[X \cap \{\mathbf{r}, \overleftarrow{\mathbf{b}}, \mathbf{r}^*\} \neq \emptyset\right]$, we get:

$$\text{BOMD}(T) = \min_{X \subseteq \mathcal{P}} (B_X(r) - \delta(X)), \text{ and} \quad (4)$$

$$\text{WOMD}(T) = \max_{X \subseteq \mathcal{P}} (W_X(r) - \delta(X)). \quad (5)$$

Theorem 5.2. *For $v \in V(T)$, we have*

$$\begin{aligned} \text{BOMD}(T_v) &= \min_{X \subseteq \mathcal{P}} \left(B_X(v) - \mathbb{1}\left[X \cap \{\mathbf{r}, \overleftarrow{\mathbf{b}}, \mathbf{r}^*\} \neq \emptyset\right] \right) \\ \text{WOMD}(T_v) &= \max_{X \subseteq \mathcal{P}} \left(W_X(v) - \mathbb{1}\left[X \cap \{\mathbf{r}, \overleftarrow{\mathbf{b}}, \mathbf{r}^*\} \neq \emptyset\right] \right) \\ \text{BOMD}^*(T_v) &= \min_{X \subseteq \mathcal{P}} B_X(v) \\ \text{WOMD}^*(T_v) &= \max_{X \subseteq \mathcal{P}} W_X(v) \end{aligned}$$

Proof. Let D be an orientation of T_v that minimises $\overrightarrow{\text{MD}}(D)$. Let $X \subseteq \mathcal{P}$ be such that D agrees with X . Using Lemma 5.1, we get that

$$\begin{aligned} \text{BOMD}(T_v) &= \overrightarrow{\text{MD}}(D) = \overrightarrow{\text{MD}}^*(D) - \mathbb{1}\left[X \cap \{\mathbf{r}, \overleftarrow{\mathbf{b}}, \mathbf{r}^*\} \neq \emptyset\right] \\ &\geq B_X(v) - \mathbb{1}\left[X \cap \{\mathbf{r}, \overleftarrow{\mathbf{b}}, \mathbf{r}^*\} \neq \emptyset\right] \\ &\geq \min_{X \subseteq \mathcal{P}} \left(B_X(v) - \mathbb{1}\left[X \cap \{\mathbf{r}, \overleftarrow{\mathbf{b}}, \mathbf{r}^*\} \neq \emptyset\right] \right). \end{aligned} \quad (6)$$

On the other hand, let $X \subseteq \mathcal{P}$ and suppose there is some orientation of T_v that agrees with X . Let D be an orientation of T_v that agrees with X and minimises $\overrightarrow{\text{MD}}^*(D)$. By definition, we have

$$\text{BOMD}(T_v) \leq \overrightarrow{\text{MD}}(D)$$

and, by using Lemma 5.1, we get

$$\begin{aligned} \text{BOMD}(T_v) &\leq \overrightarrow{\text{MD}}(D) = \overrightarrow{\text{MD}}^*(D) - \mathbb{1}\left[X \cap \{\mathbf{r}, \overleftarrow{\mathbf{b}}, \mathbf{r}^*\} \neq \emptyset\right] \\ &= B_X(v) - \mathbb{1}\left[X \cap \{\mathbf{r}, \overleftarrow{\mathbf{b}}, \mathbf{r}^*\} \neq \emptyset\right], \end{aligned} \quad (7)$$

where the last equality comes from the definition of $B_X(v)$. Since Inequality 7 is valid for any $X \subseteq \mathcal{P}$, we have

$$\text{BOMD}(T_v) \leq \min_{X \subseteq \mathcal{P}} \left(B_X(v) - \mathbb{1} \left[X \cap \{\mathbf{r}, \overleftarrow{\mathbf{b}}, \mathbf{r}^*\} \neq \emptyset \right] \right). \quad (8)$$

We get the first equality of this theorem from Inequalities 6 and 8. The second equality of this theorem is analogous to the first one. The third and fourth inequalities follow directly from the definition of $B_X(v)$. \square

We compute the table of $T_{v,i}$ assuming that we know the tables of $T_{v,i-1}$ and of T_{v_i} . Therefore, our base cases are the trivial subtrees $T_{v,0}$, for each $v \in V(T)$.

Lemma 5.3. *For $v \in V(T)$ and $X \subseteq \mathcal{P}$, we have $B_X(v, 0) = W_X(v, 0) = 1$, if $X = \{\mathbf{s}, \mathbf{r}\}$, or $B_X(v, 0) = +\infty$ and $W_X(v, 0) = -\infty$, otherwise.*

Proof. Since $T_{v,0}$ has a unique vertex, it has a unique orientation which only agrees with $X = \{\mathbf{s}, \mathbf{r}\}$ and has strong metric dimension 1. \square

Now, given $v \in V(T)$ with children v_1, \dots, v_d , $X \subseteq \mathcal{P}$, and $i \in \{1, \dots, d\}$, we need to show that we can compute $B_X(v, i)$, $W_X(v, i)$ based on the tables of $T_{v,i-1}$ and of T_{v_i} . For this, we need to understand how an entry X_1 of $T_{v,i-1}$ can be combined with an entry X_2 of T_{v_i} into an entry X of $T_{v,i}$. For $X_1, X_2 \subseteq \mathcal{P}$ and $o \in \{\mathbf{in}, \mathbf{out}\}$, an orientation D of $T_{v,i}$ is called an (X_1, X_2, o) -orientation if D agrees with X_1 when restricted to $T_{v,i-1}$, agrees with X_2 when restricted to T_{v_i} , and the edge vv_i is oriented towards v if $o = \mathbf{in}$ and away from v if $o = \mathbf{out}$. The next lemma tells us how to compute the size of a minimum strong resolving set of an (X_1, X_2, o) -orientation as a function of the values on the subtrees and a function that depends only on X_1 , X_2 and o . To simplify its statement, let

$$\begin{aligned} \delta(X_1, X_2, o) = & -\mathbb{1}[(\mathbf{s} \in X \text{ and } o = \mathbf{in}) \text{ or } (\mathbf{s} \in X' \text{ and } o = \mathbf{out})] \\ & + \mathbb{1}[(\mathbf{s} \in X \text{ and } \overrightarrow{\mathbf{b}} \in X' \text{ and } o = \mathbf{in}) \text{ or } (\mathbf{s} \in X' \text{ and } \overrightarrow{\mathbf{b}} \in X \text{ and } o = \mathbf{out})] \\ & - \mathbb{1}[(\overrightarrow{\mathbf{a}} \in X \text{ and } o = \mathbf{in}) \text{ or } (\overrightarrow{\mathbf{a}} \in X' \text{ and } o = \mathbf{out})]. \end{aligned}$$

Lemma 5.4. *If D is an (X_1, X_2, o) -orientation of $T_{v,i}$ such that D_1 and D_2 are the orientations of D restricted to $T_{v,i-1}$ and T_{v_i} , respectively, then*

$$\overrightarrow{\text{MD}}^*(D) = \overrightarrow{\text{MD}}^*(D_1) + \overrightarrow{\text{MD}}^*(D_2) + \delta(X_1, X_2, o)$$

Proof. We know from Corollary 2.12 that

$$\overrightarrow{\text{MD}}^*(D) = |S(D)| + |V(D)| - |\mathcal{T}(D)|. \quad (9)$$

Furthermore, we have

$$|V(D)| = |V(D_1)| + |V(D_2)|. \quad (10)$$

A source in D_1 is a source in D except when v is a source and $v_i v$ is an arc of D , which happens when $\mathbf{s} \in X_1$ and $o = \mathbf{in}$. Similarly, every source in D_2 is a source of D except possibly v_i when $\mathbf{s} \in X_2$ and $o = \mathbf{out}$. Therefore,

$$|S(D)| = |S(D_1)| + |S(D_2)| - \mathbb{1}[(\mathbf{s} \in X_1 \text{ and } o = \mathbf{in}) \text{ or } (\mathbf{s} \in X_2 \text{ and } o = \mathbf{out})]. \quad (11)$$

Denote by a the arc in $\{vv_i, v_i v\} \cap A(D)$. Observe that $\mathcal{T}(D-a) = \mathcal{T}(D_1) \cup \mathcal{T}(D_2)$ and $|\mathcal{T}(D-a)| = |\mathcal{T}(D_1)| + |\mathcal{T}(D_2)|$. So the partition $\mathcal{T}(D)$ may differ from $\mathcal{T}(D_1) \cup \mathcal{T}(D_2)$ only because of the orientation of the edge vv_i . It happens only in one of the following cases.

- $\{v\}$ is a singleton of $\mathcal{T}(D-a)$ but not of $\mathcal{T}(D)$. In such a case, in $\mathcal{T}(D-a)$, v is in the same part as another vertex, say c . Hence $N^-(c) = N^-(v) = \{v_i\}$, and so we must have $o = \mathbf{in}$ and v is a source in D_1 . This is equivalent to $\mathbf{s} \in X_1$, $\overrightarrow{\mathbf{b}} \in X_2$ and $o = \mathbf{in}$.
- $\{v_i\}$ is a singleton of $\mathcal{T}(D-a)$ but not of $\mathcal{T}(D)$. Similarly to the previous case, such a case happens when $\mathbf{s} \in X_2$, $\overrightarrow{\mathbf{b}} \in X_1$ and $o = \mathbf{out}$.

- $\{v\}$ is a singleton of $\mathcal{T}(D)$ but not of $\mathcal{T}(D - a)$. In such a case, there exist $b, c \in V(T_{v,i-1})$ such that $c \neq v$, $N_{D_1}^-(v) = N_{D_1}^-(c) = \{b\}$, and $d_D^-(v) = 2$ so that it is in a singleton of $\mathcal{T}(D)$; this happens precisely when $\vec{\mathbf{a}} \in X_1$ and $o = \mathbf{in}$.
- $\{v_i\}$ is a singleton of $\mathcal{T}(D)$ but not of $\mathcal{T}(D - a)$. Similarly, to the previous case, such a case happens when $\vec{\mathbf{a}} \in X_2$ and $o = \mathbf{out}$.

In all other cases, we have $\mathcal{T}(D) = \mathcal{T}(D - a)$. Thus,

$$|\mathcal{T}(D)| = |\mathcal{T}(D_1)| + |\mathcal{T}(D_2)| + \mathbb{1} [(\vec{\mathbf{a}} \in X_1 \text{ and } o = \mathbf{in}) \text{ or } (\vec{\mathbf{a}} \in X_2 \text{ and } o = \mathbf{out})] \\ - \mathbb{1} [(\mathbf{s} \in X_1 \text{ and } \vec{\mathbf{b}} \in X_2 \text{ and } o = \mathbf{in}) \text{ or } (\mathbf{s} \in X_2 \text{ and } \vec{\mathbf{b}} \in X_1 \text{ and } o = \mathbf{out})]. \quad (12)$$

The result of this lemma is obtained by combining Equations 9, 10, 11 and 12 together with Corollary 2.12 on D_1 and D_2 . \square

The following result is rather technical and we postpone its proof until Section 5.1.

Theorem 5.5. *There exists a function $f : 2^{\mathcal{P}} \times 2^{\mathcal{P}} \times \{\mathbf{in}, \mathbf{out}\} \rightarrow 2^{\mathcal{P}}$ such that, if an (X_1, X_2, o) -orientation of $T_{v,i}$ agrees with X , then $f(X_1, X_2, o) = X$. Furthermore, $f(X_1, X_2, o)$ can be computed in constant time for any $(X_1, X_2, o) \in 2^{\mathcal{P}} \times 2^{\mathcal{P}} \times \{\mathbf{in}, \mathbf{out}\}$.*

Let $A(X)$ be the set of all triples $(X_1, X_2, o) \in 2^{\mathcal{P}} \times 2^{\mathcal{P}} \times \{\mathbf{in}, \mathbf{out}\}$ such that there exists an (X_1, X_2, o) -orientation D that agrees with X . Observe that Theorem 5.5 tells us that $A(X) = f^{-1}(X)$ and therefore that $\{A(X) \mid X \subseteq \mathcal{P}\}$ forms a partition of $2^{\mathcal{P}} \times 2^{\mathcal{P}} \times \{\mathbf{in}, \mathbf{out}\}$. In particular, we have $|A(X)| \leq 2^{17}$. The following result provides the appropriate formulas to compute $B_X(v, i)$ and $W_X(v, i)$, which is necessary for our dynamic programming algorithm.

Theorem 5.6. *Let $v \in V(T)$ have d children and $1 \leq i \leq d$. For $X \subseteq \mathcal{P}$, we have*

$$B_X(v, i) = \begin{cases} \min_{(X_1, X_2, o) \in A(X)} (B_{X_1}(v, i-1) + B_{X_2}(v_i) + \delta(X_1, X_2, o)), & \text{if } A(X) \neq \emptyset \\ +\infty, & \text{if } A(X) = \emptyset \end{cases} \quad (13)$$

and

$$W_X(v, i) = \begin{cases} \max_{(X_1, X_2, o) \in A(X)} (W_{X_1}(v, i-1) + W_{X_2}(v_i) + \delta(X_1, X_2, o)), & \text{if } A(X) \neq \emptyset \\ -\infty, & \text{if } A(X) = \emptyset. \end{cases} \quad (14)$$

Proof. We first note that if no orientation of $T_{v,i}$ agrees with X , then, by definition, $B_X(v, i) = +\infty$ and $W_X(v, i) = -\infty$. In particular, this is the case if $A(X) = \emptyset$. Furthermore, if no orientation of $T_{v,i}$ agrees with X and $A(X) \neq \emptyset$, then for every $(X_1, X_2, o) \in A(X)$ either there is no orientation of $T_{v,i-1}$ that agrees with X_1 or there is no orientation of T_{v_i} that agrees with X_2 . This implies:

$$B_X(v, i) = +\infty = \min_{(X_1, X_2, o) \in A(X)} (B_{X_1}(v, i-1) + B_{X_2}(v_i) + \delta(X_1, X_2, o)).$$

and

$$W_X(v, i) = -\infty = \max_{(X_1, X_2, o) \in A(X)} (W_{X_1}(v, i-1) + W_{X_2}(v_i) + \delta(X_1, X_2, o)).$$

From now on, we consider that there is some orientation of $T_{v,i}$ that agrees with X and, for some $(X_1, X_2, o) \in A(X)$, there is an orientation of $T_{v,i-1}$ that agrees with X_1 and an orientation of T_{v_i} that agrees with X_2 .

Let D be an orientation of $T_{v,i}$ that agrees with X and minimises $\overrightarrow{\text{MD}}^*(D)$. Let $X_1, X_2 \subseteq \mathcal{P}$ and $o \in \{\mathbf{in}, \mathbf{out}\}$ be such that D is an (X_1, X_2, o) -orientation of $T_{v,i}$. Let D_1 and D_2 , respectively, be the orientations of D restricted to $T_{v,i-1}$ and T_{v_i} . By definition, we have

$$\overrightarrow{\text{MD}}^*(D_1) \geq B_{X_1}(v, i-1)$$

and

$$\overrightarrow{\text{MD}}^*(D_2) \geq B_{X_2}(v_i).$$

Now, using Lemma 5.4, we get

$$\begin{aligned} B_X(v, i) &= \overrightarrow{\text{MD}}^*(D) = \overrightarrow{\text{MD}}^*(D_1) + \overrightarrow{\text{MD}}^*(D_2) + \delta(X_1, X_2, o) \\ &\geq B_{X_1}(v, i-1) + B_{X_2}(v_i) + \delta(X_1, X_2, o) \\ &\geq \min_{(X_1, X_2, o) \in A(X)} (B_{X_1}(v, i-1) + B_{X_2}(v_i) + \delta(X_1, X_2, o)). \end{aligned} \quad (15)$$

Now, let $(X_1, X_2, o) \in A(X)$ such that there is an orientation of $T_{v, i-1}$ that agrees with X_1 and an orientation of T_{v_i} that agrees with X_2 . In this case, both $B_{X_1}(v, i-1)$ and $B_{X_2}(v_i)$ are finite. Let D_1 be an orientation of $T_{v, i-1}$ that agrees with X_1 and with $\overrightarrow{\text{MD}}^*(D_1) = B_{X_1}(v, i-1)$. Let D_2 be an orientation of T_{v_i} that agrees with X_2 and with $\overrightarrow{\text{MD}}^*(D_2) = B_{X_2}(v_i)$. Let D be the orientation of $T_{v, i}$ that extends D_1 and D_2 by orienting the edge vv_i from v to v_i if $o = \mathbf{out}$ and from v_i to v if $o = \mathbf{in}$. Since $(X_1, X_2, o) \in A(X)$, we have that D agrees with X and, therefore,

$$B_X(v, i) \leq \overrightarrow{\text{MD}}^*(D).$$

Finally, using Lemma 5.4, we get

$$\begin{aligned} B_X(v, i) &\leq \overrightarrow{\text{MD}}^*(D) = \overrightarrow{\text{MD}}^*(D_1) + \overrightarrow{\text{MD}}^*(D_2) + \delta(X_1, X_2, o) \\ &= B_{X_1}(v, i-1) + B_{X_2}(v_i) + \delta(X_1, X_2, o). \end{aligned} \quad (16)$$

Since Inequality 16 is valid for every $(X_1, X_2, o) \in A(X)$, we have

$$B_X(v, i) \leq \min_{(X_1, X_2, o) \in A(X)} (B_{X_1}(v, i-1) + B_{X_2}(v_i) + \delta(X_1, X_2, o)). \quad (17)$$

Equation (13) follows from Inequalities 15 and 17.

The proof of Equation (14) is analogous to the one of Equation (13). \square

Finally, we can present the main result of this section.

Corollary 5.7. *For a tree T , we can find $\text{BOMD}(T)$, $\text{WOMD}(T)$, $\text{BOMD}^*(T)$ and $\text{WOMD}^*(T)$ in linear time. Moreover, an orientation attaining each of these values can also be retrieved in linear time.*

Proof. Theorem 5.2 states that we can find $\text{BOMD}(T)$, $\text{WOMD}(T)$, $\text{BOMD}^*(T)$ and $\text{WOMD}^*(T)$ by computing the subproblems $B_X(v, i)$ and $W_X(v, i)$. For each $X \subseteq \mathcal{P}$ and each $v \in V(T)$, we have a total of $d(v) + 1$ subproblems, one for each child of v and one for v , representing the pair $(v, 0)$. This gives a total of $256(|V(T)| + |E(T)|)$ subproblems. Theorem 5.5 also tells us that $A(X)$ can be computed in constant time. Indeed, we just need to run through all of the triples $(X_1, X_2, o) \in 2^{\mathcal{P}} \times 2^{\mathcal{P}} \times \{\mathbf{in}, \mathbf{out}\}$ and, for each one, compute in constant time $f(X_1, X_2, o)$. Therefore, each subproblem can be computed in constant time using Theorem 5.6 due to the fact that $|A(X)| \leq 2^{17}$ for any $X \subseteq \mathcal{P}$.

As for the retrieval of an orientation attaining the desired value, this can be done with a search starting at the entry of the root r that gives the searched value as follows. For this, we can assume that when Lemma 5.6 is applied, entry X keeps track of which triple (X_1, X_2, o) originated it. Let D denote the orientation being constructed. Let $\{v_1, \dots, v_d\}$ be the children of vertex r , and X be the entry in the table of $T_{r, d}$ containing the desired value (either of $\text{BOMD}(T)$, $\text{WOMD}(T)$, $\text{BOMD}^*(T)$ and $\text{WOMD}^*(T)$). Let (X_1, X_2, o) be the triple that gives the value related to X . Add rv_d to D if $o = \mathbf{out}$; otherwise, add vd_r . Repeat the process to entry X_1 in $T_{r, d-1}$ and X_2 in T_{v_d} . \square

Remark 5.8. For sake of clarity, we presented an algorithm which runs in linear time, but with a huge multiplicative constant. Indeed the algorithm considers $256(|V(T)| + |E(T)|)$ subproblems. Moreover, for each subproblem, it runs through all triples in $\mathcal{P} \times \mathcal{P} \times \{\mathbf{in}, \mathbf{out}\}$ for a total of $2^{17} = 131\,072$ triples.

However, the constant in the running time of the algorithm can be easily improved because we only need to consider subproblems related to a subset $\mathcal{V} \subseteq 2^{\mathcal{P}}$, and only runs through triples in $\mathcal{V} \times \mathcal{V} \times \{\mathbf{in}, \mathbf{out}\}$. More precisely,

Lemma 5.9. *If an orientation of $T_{v,i}$ agrees with $X \subseteq \mathcal{P}$, then X has the following properties:*

- *if X contains \mathbf{r} , then it also contains \mathbf{s} ;*
- *X does not intersect both $\{\mathbf{s}, \mathbf{r}\}$ and $\{\mathbf{u}, \overleftarrow{\mathbf{a}}, \overrightarrow{\mathbf{a}}\}$; and*
- *X does not contain $\{\overleftarrow{\mathbf{a}}, \overrightarrow{\mathbf{a}}\}$ or $\{\overleftarrow{\mathbf{b}}, \overrightarrow{\mathbf{b}}\}$.*

Proof. Note that if X contains \mathbf{r} , then v is a source and it also satisfies \mathbf{s} . Furthermore, if X contains \mathbf{r} or \mathbf{s} , then v is a source vertex, while if X contains \mathbf{u} , $\overleftarrow{\mathbf{a}}$ or $\overrightarrow{\mathbf{a}}$, then v is not a source vertex. Therefore, X cannot intersect both $\{\mathbf{s}, \mathbf{r}\}$ and $\{\mathbf{u}, \overleftarrow{\mathbf{a}}, \overrightarrow{\mathbf{a}}\}$.

If $\overrightarrow{\mathbf{a}} \in X$, then there are $b, c \in V(T_{v,i})$ such that $v \neq c$ and $N^-(v) = N^-(c) = \{b\}$. If b is a source vertex, then v and c are distinct 1-witnesses for b and, thus, $\overleftarrow{\mathbf{a}} \notin X$.

Now, for the sake of a contradiction, suppose that $\{\overleftarrow{\mathbf{b}}, \overrightarrow{\mathbf{b}}\} \subseteq X$. From $\overleftarrow{\mathbf{b}}$, there are $s, a \in V(T_{v,i})$ such that $N^-(a) = \{s, v\}$, and from $\overrightarrow{\mathbf{b}}$, there is $c \in V(T_{v,i})$ such that $N^-(c) = \{v\}$. We have that $a \neq c$ since they have different in-degrees, so a, v, c is a 2-witness for s and this is valid for any choice of vertices $s, a \in V(T_{v,i})$. Therefore, we get a contradiction as $\overleftarrow{\mathbf{b}}$ requires s to be a removable source. \square

Therefore, it is sufficient to consider \mathcal{V} the set of subsets of \mathcal{P} that satisfy these properties. This set is of size 48. It contains the 24 sets below and the 24 sets obtained from those by adding \mathbf{r}^* .

$$\emptyset, \{\mathbf{s}\}, \{\mathbf{u}\}, \{\overleftarrow{\mathbf{b}}\}, \{\overrightarrow{\mathbf{b}}\}, \{\overleftarrow{\mathbf{a}}\}, \{\overrightarrow{\mathbf{a}}\}, \{\mathbf{s}, \mathbf{r}\}, \{\mathbf{s}, \overleftarrow{\mathbf{b}}\}, \{\mathbf{s}, \overrightarrow{\mathbf{b}}\}, \{\mathbf{u}, \overleftarrow{\mathbf{a}}\}, \{\mathbf{u}, \overrightarrow{\mathbf{a}}\}, \{\mathbf{u}, \overleftarrow{\mathbf{b}}\}, \{\mathbf{u}, \overrightarrow{\mathbf{b}}\}, \{\overleftarrow{\mathbf{a}}, \overleftarrow{\mathbf{b}}\}, \{\overleftarrow{\mathbf{a}}, \overrightarrow{\mathbf{b}}\}, \{\overrightarrow{\mathbf{a}}, \overleftarrow{\mathbf{b}}\}, \{\overrightarrow{\mathbf{a}}, \overrightarrow{\mathbf{b}}\}, \{\mathbf{s}, \mathbf{r}, \overleftarrow{\mathbf{b}}\}, \{\mathbf{s}, \mathbf{r}, \overrightarrow{\mathbf{b}}\}, \{\mathbf{u}, \overleftarrow{\mathbf{a}}, \overleftarrow{\mathbf{b}}\}, \{\mathbf{u}, \overleftarrow{\mathbf{a}}, \overrightarrow{\mathbf{b}}\}, \{\mathbf{u}, \overrightarrow{\mathbf{a}}, \overleftarrow{\mathbf{b}}\} \text{ and } \{\mathbf{u}, \overrightarrow{\mathbf{a}}, \overrightarrow{\mathbf{b}}\}.$$

Doing so, the algorithm only considers $48(|V(T)| + |E(T)|)$ subproblems (instead of $256(|V(T)| + |E(T)|)$) and each of these subproblems requires the computation of 4 608 triples (instead of 131 072).

Note that the number of subproblems and triples can be further reduced, by analysing more carefully the dependencies between subsets of \mathcal{P} .

Remark 5.10. For sake of brevity, we presented an algorithm that computes $\text{BOMD}(T)$, $\text{WOMD}(T)$, $\text{BOMD}^*(T)$ and $\text{WOMD}^*(T)$ at the same time. However, one can easily design a simpler algorithm that computes only $\text{BOMD}^*(T)$ and $\text{WOMD}^*(T)$ as the properties of \mathcal{P} are mainly required to determine whether there is a removable source or not.

Furthermore, as $\text{WOMD}^*(T) = \alpha(T)$, it can be computed in linear time using the standard dynamic programming algorithm finding a maximum stable set in a tree. A corresponding orientation can then be found by orienting all arcs away from this stable set as shown in the proof of Proposition 4.1.

5.1 Proof of Theorem 5.5

The remainder of this section is devoted to the proof of Theorem 5.5. We break it down into a collection of lemmas that provide necessary and sufficient conditions which can be checked in constant time to characterise when an (X_1, X_2, o) -orientation that agrees with X satisfies $x \in X$, for each property $x \in \mathcal{P}$.

In each of the lemmas, we consider $X_1, X_2, X \subseteq \mathcal{P}$, and assume that there exists an (X_1, X_2, o) -orientation D of $T_{v,i}$ that agrees with X . We also denote by D_1 and D_2 the subdigraphs of D induced by $V(T_{v,i-1})$ and $V(T_{v,i})$, respectively.

Lemma 5.11. *$\mathbf{s} \in X$ if, and only if, $\mathbf{s} \in X_1$ and $o = \text{out}$.*

Proof. If $\mathbf{s} \in X$, then v is a source in D and then it must be a source in $T_{v,i-1}$ and $vv_i \in A(D)$, i.e. $o = \text{out}$. Reciprocally, if $\mathbf{s} \in X_1$, then v is a source in $T_{v,i-1}$, and if, in addition, $o = \text{out}$, then v is a source in D . Hence $\mathbf{s} \in X$. \square

Lemma 5.12. *$\mathbf{r} \in X$ if, and only if, $\mathbf{r} \in X_1$, $\{\mathbf{s}, \overrightarrow{\mathbf{a}}\} \cap X_2 = \emptyset$, and $o = \text{out}$.*

Proof. To prove necessity, suppose that $\mathbf{r} \in X$, which means that v is a removable source. This implies that $o = \mathbf{out}$ and, since there is no 1-witness or 2-witness of v in D , that remains true in D_1 , so $\mathbf{r} \in X_1$. Furthermore, if $\mathbf{s} \in X_2$, then v_i is a 1-witness for v , and if $\vec{\mathbf{a}} \in X_2$, then v_i is part of a 2-witness for v in D_2 . Neither can occur since v is removable, so $\{\mathbf{s}, \vec{\mathbf{a}}\} \cap X_2 = \emptyset$.

To prove sufficiency, assume that $\mathbf{r} \in X_1$, $o = \mathbf{out}$ and $\{\mathbf{s}, \vec{\mathbf{a}}\} \cap X_2 = \emptyset$. Let us show that v is a removable source in D , that is a source without witnesses. Since $\mathbf{r} \in X_1$ and $o = \mathbf{out}$, vertex v is a source in D_1 and $vv_i \in A(D)$. Thus v is a source in D . Furthermore, $\mathbf{r} \in X_1$ also implies that there are no witnesses for v in D_1 . Now, as $\mathbf{s} \notin X_2$, s is not a source in D_2 so $d_D^-(v_i) \geq 2$, so v_i is not a 1-witness of v . Moreover $\vec{\mathbf{a}} \notin X_2$ implies that there is no 2-witness of v using v_i . Hence v has no witnesses, and thus it is a removable source, that is $\mathbf{r} \in X$. \square

Lemma 5.13. $\mathbf{u} \in X$ if, and only if, one of the following occurs:

- (i) $(\mathbf{u} \in X_1 \text{ and } o = \mathbf{out});$
- (ii) $(\mathbf{r} \in X_1 \text{ and } \overleftarrow{\mathbf{b}} \in X_2 \text{ and } o = \mathbf{in});$
- (iii) $(\vec{\mathbf{a}} \in X_1 \text{ and } \mathbf{r} \in X_2 \text{ and } o = \mathbf{in});$
- (iv) $(\overleftarrow{\mathbf{a}} \in X_1 \text{ and } \vec{\mathbf{b}} \in X_2 \text{ and } o = \mathbf{in}).$

Proof. First assume that $\mathbf{u} \in X$. Let (a, b, c) be a 2-witness of some source s in D such that either $v = c$ and (a, b, c) is the unique witness for s in D , or $v = a$ and all witnesses for s in D are of the form (a, b, c') for some vertex c' . We analyse the cases:

- If $o = \mathbf{out}$, note that v has out-degree 0 in $D[\{a, b, c\}]$. Therefore, $\{s, a, b, c\} \subseteq V(T_{v, i-1})$, and (a, b, c) is a 2-witness for s in D_1 . Furthermore, for the same reason, no witness of s contains v_i . Hence the witnesses of s in D are those in D_1 . Hence, $\mathbf{u} \in X_1$ and (i) holds.

- If $o = \mathbf{in}$, then we distinguish two cases:

$v = c$: In this case $N_D^-(v) = \{v_i\}$. Thus v is a source in $T_{v, i-1}$, i.e. $\mathbf{s} \in X_1$. Moreover $b = v_i$ and $\{a, s\} \subseteq V(T_{v_i})$. Note also that s must be removable in D_2 since otherwise there would be more witnesses for s in D . Hence $\overleftarrow{\mathbf{b}} \in X_2$ and (ii) holds.

$v = a$: In that $N_D^-(v) = \{s, b\}$. We distinguish two subcases depending on which vertex of $\{s, b\}$ is v_i .

$v_i = s$: In this case, $b \in V(T_{v, i-1})$. Observe that v_i cannot have a 2-witness in D_2 for otherwise it would also be a 2-witness in D_2 , which would contradict the fact that such a witness is of the form $(v = a, b, c')$ for some vertex c' . Similarly v_i cannot have a 1-witness in D_2 since it would be also a 1-witness for s in D . Therefore v_i has no witnesses in D_2 , which means that v_i is a removable source in D_2 and $\mathbf{r} \in X_2$. Moreover, $N_{D_1}^-(v) = N_{D_1}^-(c) = \{b\}$, and hence $\vec{\mathbf{a}} \in X_1$. Hence (iii) holds.

$v_i = b$: In that case $s \in V(T_{v, i-1})$. As $N_{D_2}^-(c) = \{v_i\}$, we get that $\vec{\mathbf{b}} \in X_2$. Moreover v is a 1-witness for s in D_1 because $N_{D_1}^-(v) = \{s\}$. Finally, s cannot have another witness in D_1 because all witnesses for s in D are of the form $(a, b = v_i, c')$ for some vertex $c' \in N_{D_2}^+(v_i)$. It follows that $\overleftarrow{\mathbf{a}} \in X_1$ and so (iv) holds.

Reciprocally, assume that one of (i), (ii), (iii), or (iv) holds. We analyse each case separately.

- (i) $\mathbf{u} \in X_1$ and $o = \mathbf{out}$. Let (a, b, c) be a 2-witness of some source s in D_1 such that either $v = c$ and (a, b, c) is the unique witness for s in D_1 , or $v = a$ and all 2-witnesses for s in D_1 are of the form (a, b, c') for some vertex $c' \in V(T_{v, i-1})$. Clearly, (a, b, c) remains a 2-witness for s in D and because $o = \mathbf{out}$, no other 2-witness with a form different from (a, b, c') can be added for s in D . Hence, $\mathbf{u} \in X$.
- (ii) $\mathbf{r} \in X_1$, $\overleftarrow{\mathbf{b}} \in X_2$, and $o = \mathbf{in}$. Since $\overleftarrow{\mathbf{b}} \in X_2$, there are $s, a \in V(T_{v, i})$ such that $N_{D_2}^-(a) = \{s, v_i\}$ and s is a removable source in D_2 . Since $\mathbf{r} \in X_1$, $N_D^-(v) = \{v_i\}$. Hence (a, v_i, v) is a witness for s in D . Moreover, it is the unique one, because s is removable in D_2 . Therefore $\mathbf{u} \in X$.

- (iii) $\vec{\mathbf{a}} \in X_1$, $\mathbf{r} \in X_2$, and $o = \mathbf{in}$. Since $\vec{\mathbf{a}} \in X_1$, there are $b, c \in V(T_{v,i-1})$ such that $v \neq c$ and $N_{D_1}^-(v) = N_{D_1}^-(c) = \{b\}$. Since $\mathbf{r} \in X_2$ and $v_i v \in A(D)$, we get that v_i is a source in D and $(a = v, b, c)$ is a 2-witness for v_i in D . Moreover, because v_i is a removable source in D_2 , vertex v_i has no witnesses in T_{v_i} . Hence, $(a = v, b, c)$ is a 2-witness for v_i in D and all witnesses for v_i in D are of the form $(a = v, b, c')$ for any $c' \in V(T_{v,i-1})$ with $N_D^-(c) = \{b\}$. Hence $\mathbf{u} \in X$.
- (iv) $\overleftarrow{\mathbf{a}} \in X_1$, $\vec{\mathbf{b}} \in X_2$, and $o = \mathbf{in}$. Since $\overleftarrow{\mathbf{a}} \in X_1$, in D_1 , there is a source s such that v is a 1-witness for s and is also the unique witness for s in D_1 . Let $\mathcal{C} = \{c \in V(T_{v_i}) \mid N_{D_2}^-(c) = \{v_i\}\}$. Since $\vec{\mathbf{b}} \in X_2$, we have $\mathcal{C} \neq \emptyset$. Then, for all $c \in \mathcal{C}$, we have that $(a = v, b = v_i, c)$ is a 2-witness for s in D and thus $\mathbf{u} \in X$. \square

Lemma 5.14. $\overleftarrow{\mathbf{a}} \in X$ if, and only if, $(\overleftarrow{\mathbf{a}} \in X_1$ and $o = \mathbf{out}$) or $(\mathbf{s} \in X_1, \mathbf{r} \in X_2$ and $o = \mathbf{in})$.

Proof. Suppose first that $\overleftarrow{\mathbf{a}} \in X$. By definition, there exists a source $s \in V(T_{v,i})$ for which v is a 1-witness and also the unique witness for v in D . If $vv_i \in A(D)$, then $s \neq v_i$, in which case $s \in V(T_{v,i-1})$ and $\overleftarrow{\mathbf{a}} \in X_1$. If $v_i v \in A(D)$, then $s = v_i$ since $N_D^-(v) = \{s\}$. Hence v is a source in D_1 , that is $\mathbf{s} \in X_1$. Moreover, because v is the unique witness of $v_i = s$, this vertex has no witness in D_2 , i.e. $\mathbf{r} \in X_2$.

Let us now prove the reciprocal.

Assume first that $\overleftarrow{\mathbf{a}} \in X_1$ and $o = \mathbf{out}$. Then, in D_1 , v is a 1-witness and is the unique 1-witness for some source in $T_{v,i-1}$. As $vv_i \in A(D)$, the same holds in D , so $\overleftarrow{\mathbf{a}} \in X$.

Assume now that $\mathbf{s} \in X_1, \mathbf{r} \in X_2$ and $o = \mathbf{in}$. Then v is a source in D_1 and $v_i v \in A(D)$, so $N_D^-(v) = \{v_i\}$. Moreover, v_i is a removable source in D_2 , so it is still a source in D . Moreover, v is a 1-witness for v_i , and it is unique as v_i has no witness in D_2 since it is removable in D_2 . Hence $\overleftarrow{\mathbf{a}} \in X$. \square

Lemma 5.15. $\vec{\mathbf{a}} \in X$ if, and only if, $(\vec{\mathbf{a}} \in X_1$ and $o = \mathbf{out}$) or $(\mathbf{s} \in X_1, \vec{\mathbf{b}} \in X_2$ and $o = \mathbf{in})$.

Proof. Suppose first that $\vec{\mathbf{a}} \in X$. There exist $b, c \in V(T_{v,i})$ such that $v \neq c$ and $N_D^-(v) = N_D^-(c) = \{b\}$. If $vv_i \in A(D)$, then $b \neq v_i$, so $\{b, c\} \subseteq V(T_{v,i-1})$ and $\vec{\mathbf{a}} \in X_1$. If $v_i v \in A(D)$, then $b = v_i$, so $\{b, c\} \subseteq V(T_{v_i})$, and $\vec{\mathbf{b}} \in X_2$ since $N_D^-(c) = \{b\}$. Moreover, since $N_D^-(v) = \{b\}$, vertex v is a source in D_1 , i.e. $\mathbf{s} \in X_1$.

Let us now prove the reciprocal.

Assume first that $\vec{\mathbf{a}} \in X_1$ and $o = \mathbf{out}$. There exist $b, c \in V(T_{v,i-1})$ such that $v \neq c$ and $N_{D_1}^-(v) = N_{D_1}^-(c) = \{b\}$. But $N_D^-(c) = N_{D_1}^-(c)$ and $N_D^-(v) = N_{D_1}^-(v)$ because since $vv_i \in A(D)$. Hence $N_D^-(v) = N_D^-(c) = \{b\}$, so $\vec{\mathbf{a}} \in X$.

Assume now that $\mathbf{s} \in X_1, o = \mathbf{in}$ and $\vec{\mathbf{b}} \in X_2$. Then, there exists $c \in V(T_{v_i})$ such that $N_D^-(c) = \{v_i\}$. But because v is a source in D_1 , we have $N_D^-(v) = \{v_i\}$, and so $\vec{\mathbf{a}} \in X$. \square

Lemma 5.16. $\overleftarrow{\mathbf{b}} \in X$ if, and only if, $(o = \mathbf{in}$ and $\overleftarrow{\mathbf{b}} \in X_1)$, or $(o = \mathbf{out}, \overleftarrow{\mathbf{b}} \in X_1$ and $\mathbf{s} \notin X_2)$, or $(o = \mathbf{out}, \overleftarrow{\mathbf{b}} \notin X_1$ and $\overleftarrow{\mathbf{a}} \in X_2)$.

Proof. Suppose first that $\overleftarrow{\mathbf{b}} \in X$. There exist $s, a \in V(T_{v,i})$ such that $N_D^-(a) = \{v, s\}$ and s is a removable source in D .

If $v_i v \in A(D)$, i.e. $o = \mathbf{in}$, then $\{v, s, a\} \subseteq V(T_{v,i-1})$, and $\overleftarrow{\mathbf{b}} \in X_1$ trivially holds.

Suppose now $o = \mathbf{out}$, that is $vv_i \in A(D)$. If $\overleftarrow{\mathbf{b}} \in X_1$, then $\mathbf{s} \notin X_2$ as otherwise (a, v, v_i) would be a 2-witness for s in D , a contradiction to s being removable. Suppose now that $\overleftarrow{\mathbf{b}} \notin X_1$. Then $s, a \in V(T_{v_i})$. Thus $a = v_i$ and $N_{D_2}^-(v_i) = \{s\}$, in which case v_i is a 1-witness for s in D_2 . But since s is removable in D , it means that v_i is the unique witness for s in D_2 ; hence $\overleftarrow{\mathbf{a}} \in X_2$.

Let us now prove the reciprocal.

First suppose that $\overleftarrow{\mathbf{b}} \in X_1$. There exist $s, a \in V(T_{v,i-1})$ such that $N_{D_1}^-(a) = \{v, s\}$ and s is a removable source in D_1 . If $v_i v \in A(D)$, then $N_D^-(a) = N_{D_1}^-(a) = \{v, s\}$ and s is a removable source in D , so $\overleftarrow{\mathbf{b}} \in X$. The same holds if $vv_i \in A(D)$ and $d_D^-(v_i) \geq 2$ (i.e. $\mathbf{s} \notin X_2$).

Assume now that ($o = \mathbf{out}$, $\vec{\mathbf{b}} \notin X_1$ and $\overleftarrow{\mathbf{a}} \in X_2$). By the definition of $\overleftarrow{\mathbf{a}}$, there exists a source s in D_2 such that v_i is a 1-witness for s in D_2 , and is also the unique witness for s in D_2 . Because $N_D^-(v_i) = \{s, v\}$, vertex v_i is not a 1-witness of s in D , and since $\vec{\mathbf{b}} \notin X_1$, the source s has no 2-witnesses in D . Thus, by the proof of Corollary 2.15, s is a removable source in D . Hence $\overleftarrow{\mathbf{b}} \in X$ since $N_D^-(v_i) = \{s, v\}$. \square

Lemma 5.17. $\vec{\mathbf{b}} \in X$ if, and only if, $\vec{\mathbf{b}} \in X_1$ or ($\mathbf{s} \in X_2$ and $o = \mathbf{out}$).

Proof. Suppose first that $\vec{\mathbf{b}} \in X$. There exists $c \in V(T_{v,i})$ be such that $N_D^-(c) = \{v\}$. If $c \in V(T_{v,i-1})$, then $\vec{\mathbf{b}} \in X_1$. If $c \in V(T_{v_i})$, then necessarily $c = v_i$ and $N_D^-(v_i) = \{v\}$. So $vv_i \in A(D)$ and v_i is a source in D_2 , that is $o = \mathbf{out}$ and $\mathbf{s} \in X_2$.

Let us prove the reciprocal. If $\vec{\mathbf{b}} \in X_1$, then there is $c \in V(T_{v,i-1})$ such that $N_{D_1}^-(c) = \{v\}$. Note that $N_D^-(c) = N_{D_1}^-(c)$, so $\vec{\mathbf{b}} \in X$. If $o = \mathbf{out}$ and $\mathbf{s} \in X_2$, then $vv_i \in A(D)$ and v_i has no in-neighbour in D_2 . Hence $N_D^-(v_i) = \{v\}$, that is $\vec{\mathbf{b}} \in X$. \square

Lemma 5.18. $\mathbf{r}^* \in X$ if, and only if, one of the following occurs:

- (i) ($\mathbf{r}^* \in X_1 \cup X_2$);
- (ii) ($\overleftarrow{\mathbf{a}} \in X_1$ and $\vec{\mathbf{b}} \notin X_2$ and $o = \mathbf{in}$);
- (iii) ($\mathbf{u} \in X_1$ and $o = \mathbf{in}$);
- (iv) ($\mathbf{u} \in X_2$ and $o = \mathbf{out}$);
- (v) ($\mathbf{r} \in X_2$ and $\{\mathbf{s}, \overleftarrow{\mathbf{a}}\} \cap X_1 = \emptyset$ and $o = \mathbf{in}$);
- (vi) ($\overleftarrow{\mathbf{b}} \in X_2$ and $o = \mathbf{out}$);
- (vii) ($\overleftarrow{\mathbf{b}} \in X_2$ and $o = \mathbf{in}$ and $\mathbf{s} \notin X_1$).

Proof. Suppose first that $\mathbf{r}^* \in X$. Then there exists an \mathbf{r}^* -source $s \in V(T_{v,i})$.

If $s \in V(D_1)$ then let $\alpha = 1$, $w = v$ and $\bar{w} = v_i$, and if $s \in V(D_2)$ then let $\alpha = 2$, $w = v_i$, and $\bar{w} = v$. Note that s is a source in D_α .

If $\mathbf{r}^* \in X_1 \cup X_2$, then (i) holds, so we may assume $\mathbf{r}^* \notin X_1 \cup X_2$. We distinguish two cases depending on the orientation of the edge between w and \bar{w} .

- $\bar{w}w \in A(D)$. In this case $s \neq w$.

Assume first that s is a removable source in D_α . Since $s \neq w$ and $\mathbf{r}^* \notin X_\alpha$, necessarily $\overleftarrow{\mathbf{b}} \in X_\alpha$. Thus there exists $a \in V(D_\alpha)$ such that $N^-(a) = \{s, w\}$. Now $N_{D_\alpha}^-(a) = N_D^-(a)$, so $w \neq v$ for otherwise s would be a $\overleftarrow{\mathbf{b}}$ -source in D . Therefore $w = v_i$ so $\alpha = 2$ and $\bar{w}w = vv_i \in A(D)$, i.e. $o = \mathbf{out}$. Hence (vi) holds.

Assume now that s is not removable in D_α . By Corollary 2.15, s must have a witness in D_α . Since this witness is not a witness in D , the vertex w is part of every witness for s in D_α . First suppose that w is a 1-witness for s in D_α . Observe that w cannot be simultaneously contained in a 2-witness for s in D_α , and since w is contained in every witness for s in D_α , we get that w is the only witness, in which case $\overleftarrow{\mathbf{a}} \in X_\alpha$. Also, because s has no 2-witness in D , we must have $\vec{\mathbf{b}} \notin X_{2-\alpha}$. Finally, note that if $w = v_i$, then $N_D^-(v_i) = \{v, s\}$, and s would be a $\overleftarrow{\mathbf{b}}$ -source in D , a contradiction. Therefore $w = v$ and thus $\alpha = 1$ and (ii) holds.

Now, suppose that (a, b, c) is a 2-witness for s in D_α . As it is not a 2-witness for s in D , necessarily $w \in \{a, c\}$. If $w = c$, then note that (a, b, c) is the only witness for s in D_α as any other witness would be also a witness in D ; thus we get $\mathbf{u} \in X_\alpha$ and (iii) or (iv) holds. If $w = a$, then, as every witness must contain w and $N_{D_\alpha}^-(w) = \{s, b\}$, every witness must be of the form $(a = w, b, c')$. Again $\mathbf{u} \in X_\alpha$ and (iii) or (iv) holds.

- $w\bar{w} \in A(D)$. Note that, in this case, any witness for s in D_α is also a witness for s in D . Therefore s must be removable in D_α .

First suppose $s = w$. Because s is not an \mathbf{r} -source, $s \neq v$, so $s = w = v_i$ and $\alpha = 2$. Thus $\mathbf{r} \in X_2$. Furthermore, since s has no witnesses in D , we get that neither \mathbf{s} nor $\vec{\mathbf{a}}$ can be in X_1 as otherwise s would have either a 1-witness or a 2-witness in D , respectively. Hence (v) holds.

Finally, suppose $s \neq w$. Since s is not an \mathbf{r}^* -source in D_α (i.e. $\mathbf{r}^* \notin X_\alpha$), s must be a $\overleftarrow{\mathbf{b}}$ -source in D_α , i.e. $\overleftarrow{\mathbf{b}} \in X_\alpha$. Thus there exists $a \in V(D_\alpha)$ such that $N_{D_\alpha}^-(a) = \{w, s\}$. Note that $w = v_i$ and $\alpha = 2$ for otherwise s would be a $\overleftarrow{\mathbf{b}}$ -source in D . Therefore $v_i v \in A(D)$, i.e. $o = \mathbf{in}$. In addition, s is not a source in D_2 for otherwise (a, v_i, v) would be a 2-witness for s in D . Hence $\mathbf{s} \notin X_1$ and (vii) holds.

Let us now prove the reciprocal. We analyse the different cases.

- (i) $\mathbf{r}^* \in X_1 \cup X_2$: There exists an \mathbf{r}^* -source s in D_j , for some $j \in \{1, 2\}$. Since it is not an \mathbf{r} -source, $s \notin \{v, v_i\}$.

We first argue that s is removable in D . Assume first that s has a 1-witness in D . As it is not a 1-witness in D_j , necessarily $s \in \{v, v_i\}$, a contradiction. Suppose now that s has a 2-witness (a, b, c) in D . Since it is not a 2-witness in D_j , $\{a, b, c\}$ must contain v and v_i and the arc joining them. If $b = v$ and $c = v_i$ (resp. $b = v_i$ and $c = v$), then s is $\overleftarrow{\mathbf{b}}$ -source in $D_1 = D_j$ (resp. $D_2 = D_j$), a contradiction. If $a = v$ and $b = v_i$ (resp. $a = v_i$ and $b = v$), then v is a 1-witness for s in $D_1 = D_j$ (resp. $D_2 = D_j$), a contradiction.

Let us now prove that s is an \mathbf{r}^* -source in D . Recall that $s \neq v$ and so s is not an \mathbf{r} -source. Suppose for a contradiction that s is a $\overleftarrow{\mathbf{b}}$ -source. Then there is $a \in V(D)$ such that $N_D^-(a) = \{s, v\}$. If $a \in V(D_1)$, then $N_{D_1}^-(a) = N_D^-(a) = \{s, v\}$, so s is a $\overleftarrow{\mathbf{b}}$ -source in D_1 , a contradiction to s being an \mathbf{r}^* -source in D_1 . If $a \notin V(D_1)$, then $a = v_i$, and v_i is a 1-witness for s in D_2 , a contradiction. Hence s is an \mathbf{r}^* -source in D , i.e. $\mathbf{r}^* \in X$.

- (ii) $\overleftarrow{\mathbf{a}} \in X_1$, $\vec{\mathbf{b}} \notin X_2$ and $o = \mathbf{in}$: There is a source $s \in V(T_{v, i-1})$ for which v is a 1-witness in D_1 , and additionally v is the only witness for s . Because $o = \mathbf{in}$ and $\vec{\mathbf{b}} \notin X_2$, the source s has no witnesses in D . Moreover because $s \in N_D^-(v)$, one trivially sees that s is neither an \mathbf{r} -source nor a $\overleftarrow{\mathbf{b}}$ -source in D . Hence s is an \mathbf{r}^* -source in D , i.e. $\mathbf{r}^* \in X$.

- (iii) $\mathbf{u} \in X_1$ and $o = \mathbf{in}$: Let $\{a, b, c, s\} \subseteq V(T_{v, i-1})$ be such that s is a source and (a, b, c) is a 2-witness for s in D_1 that satisfies Property \mathbf{u} .

First suppose that $v = c$, in which case (a, b, c) is the unique witness for s in D_1 . Since $o = \mathbf{in}$, $N_D^-(c) = \{b, v_i\}$, so (a, b, c) is no more a 2-witness for s in D . So s is a removable source in D . In addition, since s is at distance 3 from v , it cannot be an \mathbf{r} -source or a $\overleftarrow{\mathbf{b}}$ -source in D . Hence s is an \mathbf{r}^* -source in D , so $\mathbf{r}^* \in X$.

Now consider $v = a$, in which case every witness for s in D_1 is of the form (v, b, c') for some $c' \in N^+(b)$. Since $N_D^-(v) = \{s, b, v_i\}$, we get that s has no witnesses in D , and because $s \in N_D^-(v)$, cannot be an \mathbf{r} -source or a $\overleftarrow{\mathbf{b}}$ -source in D . Hence s is an \mathbf{r}^* -source in D , so $\mathbf{r}^* \in X$.

- (iv) $\mathbf{u} \in X_2$ and $o = \mathbf{out}$: Let $\{a, b, c, s\} \subseteq V(T_{v_i})$ be such that s is a source and (a, b, c) is a 2-witness for s in D_2 that satisfies Property \mathbf{u} . One can verify that, because $d_D^-(v_i) = d_{D_2}^-(v_i) + 1$, s has no witnesses in D . Also either s is at distance 4 from v in T , or $N_D^-(v_i) = \{v, b, s\}$. In both cases, s cannot be an \mathbf{r} -source or a $\overleftarrow{\mathbf{b}}$ -source in D . Hence s is an \mathbf{r}^* -source in D , so $\mathbf{r}^* \in X$.

- (v) $\mathbf{r} \in X_2$, $\{\mathbf{s}, \vec{\mathbf{a}}\} \cap X_1 = \emptyset$ and $o = \mathbf{in}$: Then v_i is a removable source in D_2 . Since $o = \mathbf{in}$, v_i is still a source in D . Since $\mathbf{s} \notin X_1$, v is not a source in D_1 , and so it has in-degree at least 2 in D . Thus v is not a 1-witness of v_i in D and so v_i has no 1-witness in D . Moreover since

$\vec{\mathbf{a}} \notin X_1$, there is no 2-witness for v_i containing v in D , and so there is no 2-witness for v_i in D . Hence, by Corollary 2.15, v_i is removable in D . Finally, since $v_i \in N_D^-(v)$, v_i cannot be an \mathbf{r} -source or a $\overleftarrow{\mathbf{b}}$ -source in D . Hence v_i is an \mathbf{r}^* -source in D , so $\mathbf{r}^* \in X$.

- (vi) $\overleftarrow{\mathbf{b}} \in X_2$ and $o = \mathbf{out}$: There exist $s, a \in V(T_{v_i})$ such that $N_{D_2}^-(a) = \{s, v_i\}$ and s is a removable source in D_2 . Since $o = \mathbf{out}$, then s is also removable in D , and because of the distance between v and s in T , s must be an \mathbf{r}^* -source. Hence $\mathbf{r}^* \in X$.
- (vii) $\overleftarrow{\mathbf{b}} \in X_2$ and $o = \mathbf{in}$ and $\mathbf{s} \notin X_1$. This implies that $d_D^-(v) > 1$, and so v_i does not have any neighbour c in D such that (a, v_i, c) is a 2-witness for s in D . Thus s is removable in D , and because of the distance between v and s in T , s must be an \mathbf{r}^* -source. Hence $\mathbf{r}^* \in X$. \square

Using Lemmas 5.11 to 5.18, it is simple to prove Theorem 5.5.

Proof of Theorem 5.5. Let $(X_1, X_2, o) \in 2^{\mathcal{P}} \times 2^{\mathcal{P}} \times \{\mathbf{in}, \mathbf{out}\}$ and suppose that an (X_1, X_2, o) -orientation of T_{v_i} agrees with X . For every $x \in \mathcal{P}$, one of the lemmas from Lemmas 5.11 to 5.18 characterises when $x \in X$ as a function that depends only on X_1, X_2 and o . This implies that X is uniquely defined by X_1, X_2 and o . Furthermore, since each such characterisation can be computed in constant time, we can compute $f(X_1, X_2, o)$ in constant time. \square

6 Further research

In this paper, we proved a number of bounds and complexity results about the parameters BOMD, BOMD*, WOMD, and WOMD*, but many questions remain open. We list a few interesting ones.

We proved in Subsection 3.2 that, for a general graph G , we have $\text{BOMD}(G) \leq \text{BOMD}^*(G) \leq \text{pc}(G)$, and that $\text{pc}(G)$ cannot be bounded by a function of $\text{BOMD}(G)$ (or $\text{BOMD}^*(G)$). But it would be interesting to know whether this is the case for some classes of graphs, e.g., for trees.

Problem 6.1. For which classes of graphs \mathcal{F} does there exist a function $f_{\mathcal{F}}$ such that $\text{pc}(G) \leq f_{\mathcal{F}}(\text{BOMD}(G))$ for every $G \in \mathcal{F}$?

It is known [3] that for a connected graph G , there is a spanning tree T of G such that $\text{pc}(T) = \text{pc}(G)$. Does the same hold for BOMD or BOMD*?

Problem 6.2. Is it true that for any connected graph G , there is a spanning tree T of G such that $\text{BOMD}^*(T) = \text{BOMD}^*(G)$ (resp. $\text{BOMD}(T) = \text{BOMD}(G)$)?

We showed in Theorem 4.10 that there is a (smallest) integer C_k (resp. C_k^*) such that if $|V(G)| \geq C_k$ (resp. $|V(G)| \geq C_k^*$), then $\text{WOMD}(G) \geq k$ (resp. $\text{WOMD}^*(G) \geq k$). The proof of this theorem establishes $C_k \leq R(2k, k+1)$ and $C_k \leq R(2k-1, k)$. As $R(p, q) \leq \binom{p+q-2}{q-1}$, we get $C_k \leq \binom{3k-1}{k}$ and $C_k \leq \binom{3k-3}{k-1}$. However these upper bounds are certainly not tight and it would be interesting to determine the values of C_k and C_k^* , or at least better bounds on them. This can be rephrased as follows.

Problem 6.3. What are the minimum functions f and f^* such that $|V(G)| \leq f(\text{WOMD}(G))$ and $|V(G)| \leq f^*(\text{WOMD}^*(G))$ for every graph G ?

Regarding the complexity aspect, we proved that, given a graph G , computing $\text{BOMD}(G)$ and $\text{BOMD}^*(G)$ is NP-hard. But can it be approximated? Moreover, we showed that those parameters can be computed in polynomial (and even linear) time for trees. It would be then natural to identify other classes of graphs for which this can also be done. We then have the following questions.

Problem 6.4. For which classes of graphs, can we

- compute BOMD (resp. BOMD*) in polynomial time?
- approximate BOMD (resp. BOMD*) in polynomial time?
- decide whether $\text{BOMD} = \text{BOMD}^*$ in polynomial time?

Regarding the complexity of deciding whether $\text{BOMD}(G) \leq k$ (and similarly $\text{BOMD}^*(G) \leq k$) for a given graph G , we have proved in Corollary 3.6 that it is NP-hard for every $k \geq 1$. Looking at our proof, however, it can be noted that this result only holds for G being non-connected. Since we mostly focused on connected graphs throughout this work, it is legitimate to wonder about the following:

Problem 6.5. Does Corollary 3.6 hold when restricted to connected graphs G ?

While, for every k , one can decide in constant time (function of k) whether $\text{WOMD}(G)$ (resp. $\text{WOMD}^*(G)$) is at most k , we do not know the complexity of computing $\text{WOMD}(G)$ (resp. $\text{WOMD}^*(G)$). We proved that this can be done in polynomial (and even linear) time for trees. But what about other classes of graphs? Hence we are left with the analogous questions to those of Problem 6.4.

Problem 6.6. For which classes of graphs, can we

- compute WOMD (resp. WOMD^*) in polynomial time?
- approximate WOMD (resp. WOMD^*) in polynomial time?
- decide whether $\text{WOMD} = \text{WOMD}^*$ in polynomial time?

Finally, recall that there are two kinds of trees T with respect to WOMD : $(\alpha - 1)$ -trees T for which $\text{WOMD}(T) = \alpha(T) - 1$, and α -trees T for which $\text{WOMD}(T) = \alpha(T)$. In addition, given a tree T , one can compute $\text{WOMD}(T)$ in linear time. This suggests that there might be a nice characterisation of α -trees (and of $(\alpha - 1)$ -trees at the same time), i.e. a simple description of what the precise structure of α -trees is. We did so for stars and paths, but the problem remains open in general.

Problem 6.7. Give a nice characterisation of α -trees.

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