

# Need for risk-adapted therapy for malignant ovarian germ cell tumors: A large multicenter analysis of germ cell tumors' patients from French TMRG network

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# **1** Need for risk-adapted therapy for malignant ovarian

# 2 germ cell tumors : a large multicenter analysis of

# **3 germ cell tumors' patients from French TMRG**

## 4 network.

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## 49 **Abstract**

50 **Background**: Malignant ovarian germ cell tumors are rare tumors, affecting young 51 women with a generally favorable prognosis. The French reference network for Rare 52 Malignant Gynecological Tumors (TMRG) aims to improve their management. The 53 purpose of this study is to report clinicopathological features and long-term 54 outcomes, to explore prognostic parameters and to help in considering adjuvant 55 strategy for stage I patients.

56 Patients and Methods: Data from patients with MOGCT registered among 13 of the 57 largest centers of the TMRG network were analyzed. We report clinicopathological 58 features, estimated 5-year event-free survival (5y-EFS) and 5-year overall survival 59 (5y-OS) of MOGCT patients.

Results: We collected data from 147 patients including 101 (68.7%) FIGO stage I 60 patients. Histology identifies 40 dysgerminomas, 52 immature teratomas, 32 yolk sac 61 tumors, 2 choriocarcinomas and 21 mixed tumors. Surgery was performed in 140 62 (95.2%) patients and 106 (72.1%) received first line chemotherapy. Twenty-two stage 63 I patients did not receive chemotherapy. Relapse occurred in 24 patients: 13 were 64 exclusively treated with upfront surgery and 11 received surgery and chemotherapy. 65 5y-EFS was 82% and 5y-OS was 92.4%. Stage I patients who underwent surgery 66 alone had an estimated 5y-EFS of 54.6% and patients receiving adjuvant 67 chemotherapy 94.4 % (P < 0.001). However, no impact on estimated 5y-OS was 68 69 observed: 96.3% versus 97.8% respectively (P = 0.62). FIGO stage, complete primary surgery and post-operative alpha fetoprotein level significantly correlated 70 with survival. 71

Conclusion: Adjuvant chemotherapy does not seem to improve survival in stage I
 patients. Active surveillance can be proposed for selected patients with a complete
 surgical staging.

75 Key words: Rare Malignant Ovarian Tumors, TMRG, Prognostic Factors, Stage I

# 77 Introduction

Malignant ovarian germ cell tumors (MOGCT) are rare ovarian neoplasms, 78 accounting for 1% of all ovarian tumors. Worldwide incidence is about 0.37 per 79 100000 women/year [1].Germ cell tumors affect mainly adolescents and young 80 women and require specific treatments different from those of malignant epithelial 81 82 ovarian tumors, with specific fertility sparing procedures. According to the World Health Organization (WHO), MOGCT are divided into different histological subtypes : 83 dysgerminomas (accounting for 45%) and non-dysgerminomatous tumors (including 84 immature teratomas, yolk sac tumors, embryonal carcinomas, choriocarcinomas and 85 86 mixed germ cell tumors) [2].

87 International guidelines for treatment of women with MOGCT recommend in most cases fertility-sparing surgery followed by 3-4 cycles of bleomycin, etoposide, and 88 cisplatin (BEP) adjuvant chemotherapy, even in early stages [1, 3, 4]. The current 89 90 treatments result in at least 90% of overall survival (OS) in women with early stage MOGCT and to up to 80% of OS in patients with advanced disease [3, 5]. Almost 60-91 70% patients with MOGCT are diagnosed at an early stage (stage I) and adjuvant 92 chemotherapy for these patients remains debatable [6]. So far, no consensus was 93 reached for these patients and more detailed paediatrics and adult's guidelines are 94 95 still awaited. On the one hand, recommend adjuvant chemotherapy for all MOGCT reduces the risk of recurrence and results in improve EFS even for early stages. But, 96 sparing adjuvant chemotherapy in patients at increased risk of relapse may 97 98 subsequently correlate with an increased risk of mortality or fertility issues. On the other hand, systematic adjuvant chemotherapy may result in improper overtreatment 99 100 and would not be appropriate. Indeed, surgery alone is often decided in young

women to prevent late toxicities that must be considered as a major goal in patientcare [1, 7, 8].

103 Randomized trials are scarce in the field of such rare tumors; the current practice is 104 derived mainly from retrospective studies and assessment from male germ cell 105 malignancies trials. Indeed, the establishment of a dedicated network to analyze 106 collected data in patients with such rare tumors is fundamental.

107 The purpose of this study was to assess the outcome of patients registered in the 108 French Rare Malignant Gynecological Tumors (TMRG) network; to identify 109 prognostic factors likely to help appropriate risk-based decisions and finally to focus 110 on stage I patients for whom the benefit of adjuvant chemotherapy and surveillance 111 following surgery was explored.

## **113** Patients and methods

### 114 Data Collection

The French network for Rare Malignant Gynecological Tumors (TMRG) established 115 116 in 2011 and supported by the French National Cancer Institute (INCa) has been set up in order to improve management of these rare tumors with expert's opinion 117 (histological diagnosis and multidisciplinary board decision for treatment). Its 118 119 organization and functioning were previously described [9]. Each patient provides a written informed consent, data are anonymized and registered in a national 120 121 database. Most of the cases are reviewed by an expert in anatomopathology and a multidisciplinary board determines for each patient the best therapeutic sequence. 122 123 This multicenter retrospective analysis based on a prospective data collection was 124 authorized by the French data protection authority (CNIL) in June 2018. The TMRG database was used to identify patients with ovarian germ cell tumor diagnosis. To 125 126 note, non-ovarian germ cell tumors and mature teratoma with malignant transformation were excluded. Overall, 379 centers (including referent and non 127 referent centers) collected data from 7302 patients with a rare ovarian tumor. Then, 128 129 we carried on this case collection in the 13 largest centers among the 25 referent centers of the TMRG network in France. 130

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### 132 Staging and tumor classification

Tumors stages were defined according to the International Federation of Gynecology
and Obstetrics staging system for ovarian cancers (FIGO 2014) [10]. Histological
type was defined according to the WHO classification [11].

#### 136 **Treatment and follow-up**

137 In the case of early-stage disease (up Ic3 FIGO), guidelines recommended salpingo oophorectomy with peritoneal staging procedures (routine peritoneal cytology, 138 multiple peritoneal biopsies, and omentectomy). In the case of advanced disease, a 139 140 fertility-sparing approach is preferred whenever possible, especially in young women which consists on unilateral salpingo oophorectomy, omentectomy, and resection of 141 macroscopic lesions on the peritoneum [3, 4]. Chemotherapy used standardized 142 143 international protocols for germ cells tumors: most of the patients were treated with a regimen combining cisplatin and etoposide (EP), or adding bleomycin to the latter 144 regimen (BEP). While requirement of adjuvant treatment was debatable in patients at 145 early tumor stages, all patients at advanced stages received chemotherapy. Patient 146 follow-up included clinical examination, blood marker measurements (alpha 147 148 fetoprotein, HCG, LDH) and regular imaging closely during the first two years and at 149 gradually increasing intervals thereafter for a mean time of 5 years, according to 150 international recommendations.

151

#### 152 **Statistics**

Survival rates were estimated using the Kaplan-Meier method. Overall survival was calculated as the time from the date of diagnosis to the time of last follow-up or death from any cause. Event-free survival (EFS) was calculated from the date of diagnosis to the date of first event, defined as relapse, progressive tumor or death from any cause. Univariate analysis using a log-rank test investigated potential correlations between survival and patient or disease covariates. No multivariate analysis was performed because of the reduced number of events in this cohort.

## 161 **Results**

### 162 Patient characteristics and treatment

We identified 262 patients with MOGCT in 13 referent cancer centers. Duplicates and 163 non-exclusively germ cell tumors exclusion led to finally include 147 patients 164 (Supplementary S1). Members of the pathological expert board in TMRG network 165 reviewed 112 (76.2%) tumor samples. Patient characteristics are summarized in 166 167 table 1. Most of patients (N = 101, 69%) had a stage I disease at diagnosis. The median follow up was 51 [40-62] months. Survival follow up data were available for 168 169 137 (93.2%) patients including 94 (93%) out of the 101 patients at stage I.As 170 expected, the 3 most represented histological entities were immature teratomas, 171 dysgerminomas and yolk sac tumors (Supplementary S2). One hundred and forty 172 (95%) patients underwent primary surgery (Table 1, Supplementary S3 and S4). 173 Seventy-seven (75%) of the stage I patients had complete peritoneal staging (peritoneal washings, biopsies including omentectomy) and 94 had surgery with 174 fertility preservation procedures. Sixteen patients underwent a retroperitoneal lymph 175 node evaluation and 2 were positives. Sixty-six patients were at stage IA disease, 1 176 was at stage IB and 34 were at stage IC. Intra-operative surgical spill was 177 178 documented in 11 cases (FIGO IC1). One hundred and six (72.1%) patients received chemotherapy (Table 1, Supplementary S3 and S4). Cisplatin-based chemotherapy 179 has been administered to 106 patients and 98 patients received BEP/EP regimen. 180 181 Adjuvant chemotherapy was administered to 28 of the 60 stage IA-B patients and to 31 of the 33 stage IC patients. 182

#### 183 Outcome

184 Estimated 5-y OS and EFS rates were 92.4% (95%CI :88%-96.8%) and 82% (95%CI :75.8%-88.2%), respectively (figure 1). Twenty-four (15%) out of the 147 patients 185 relapsed including 13 patients treated with surgery alone and 11 treated with 186 187 adjuvant chemotherapy. All relapses occurred in the first 2 years. Overall, 8 out of the 147 patients died: 7 deaths occurred due to disease progression and 1 death due to 188 chemotherapy toxicity. Three patients developed a contralateral tumor (one 189 190 dysgerminoma, one teratoma and one yolk sac tumor). An assessment of toxicity was done retrospectively. We observed febrile neutropenia (n=16), pulmonary 191 neurotoxicities 192 toxicities (n=10), (n=8), thromboembolism events (n=7), cardiovascular dysfunctions (n=2), gonadal dysfunctions (n=8) and 2 second 193 194 malignancies in our cohort.

#### 195 Early stage

In the stage I patient population, the 5y-EFS rates were respectively 94.4% in 196 patients treated with adjuvant chemotherapy (N = 59) and 54.6% in patients who 197 underwent surgery followed by surveillance (N = 35) (P < 0.00001) (Figure 2A). The 198 5y-OS rates were similar with 96.3% and 97.8% respectively (NS) (Figure 2B). In the 199 17 patients who relapsed, all but 1 underwent chemotherapy. In the 35 patients 200 201 treated with surgery alone, 13 (37%) relapsed: 6/16 (37.5%) patients with pure dysgerminoma, 3/15 (20%) immature teratoma, 3/3 (100%) YST patients and one 202 203 (100%) other mixed tumor. All relapsing patients were staged IA patients (Figure 3). Median time to relapse was 11.5 (1-24) months. Eight of the 13 had previous 204 adequate peritoneal staging. Eleven of them received chemotherapy at relapse (1 205 refused treatment and was lost to follow-up; 1 underwent salvage surgery without 206 chemotherapy). Overall, 11/12 patients were successfully treated at relapse (one 207

208 missing data). The remaining patient (immature teratoma) died from septic shock 209 following grade 4 neutropenia after the first cycle of BEP chemotherapy. Among the 4 210 patients diagnosed at stage I who relapsed despite adjuvant chemotherapy, 3 had 211 received BEP as adjuvant treatment. One patient with YST died from disease 212 progression while the 3 remaining patients were alive following salvage treatment (2 213 patients received EP protocol and 1 received VeIP protocol).

### 214 Advanced stage

At advanced stages (FIGO II-IV), progressive disease or relapse was diagnosed in 7 patients (16%, Supplementary S5). Median time to relapse was 7.5 (1-15) months. All patients received salvage chemotherapy : VeIP (N = 3), BEP (N = 2), Epirubicin Docetaxel (N = 1) and Carboplatin Paclitaxel (N = 1). Two of them received high dose chemotherapy with autologous stem-cell support. Six patients died and one was salvaged with treatment (dysgerminoma, 4 BEP cycles).

### 221 **Prognostic factors**

The univariate analysis identified FIGO stage, complete surgery and post operative  $\alpha$ FP as predictive factors of OS (Table 2). No differences were found using the covariates postoperative HCG, LDH level, YST subtype (trend to associate with at poorer prognosis, *P* = 0.076), or presence of lymphovascular space invasion (LVSI)..

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## 228 **Discussion**

This retrospective study is one of the largest cohort of MOGCT in adults. The major finding of our study is to show, that absence of adjuvant chemotherapy in stage I MOGCT patients has no impact on estimated 5 y-OS if patients receive chemotherapy at relapse. This result may help to carefully reconsider efficacy and toxicity balance in stage I MOGCT.

Overall, survival results showed an estimated 5-year OS of 92.4% and an estimated
5-year EFS of 82% all tumors combined. These results are consistent with OS and
EFS reported in previous clinical trials [3, 11–13]

. Median time to relapse was 9 [1-24] months. Our series shows that relapses 237 238 occurred in the first 2 years following diagnosis as previously reported [15]. These data highlight that active surveillance is crucial in the first 2 years. Both ESMO and 239 240 NCCN have released guidelines about active surveillance [1, 16]. ESMO recommends clinical examination and blood markers measurements monthly for the 241 first year, 2 monthly for the second year, 3 monthly for the third year, 4 monthly for 242 243 the forth year and 6 monthly from the fifth to the tenth year. Moreover, patients have to undergo CT chest abdomen and pelvis at 1, 3 and 12 months plus pelvic US and 244 245 chest X-ray regularly until 10 years. Platinum-based chemotherapy regimen using BEP/EP or VIP/VeIP regimen has been offered in most patients [17, 18]. Known 246 chemotherapy-related toxicities occurred. Acute toxicities including 247 neutropenia grade  $\geq$  3 (70%), febrile neutropenia (7%), thrombocytopenia grade  $\geq$  3 (8%), 248 249 toxicity (8%), neuropath (25-30%), ototoxicity mucocutaneous (20-25%),250 nephrotoxicity (3%) and pulmonary toxicity (9%) are the most common [19, 20]. Late toxicities cardiovascular disease/hypertension 251 including (6-10%), gonadal 252 dysfunction and second malignancies (relative risk ~1.5–2.1) are much less common but can last a lifetime [21]. All these toxicities should be considered before
recommending adjuvant treatment [1].

255 Patients at advanced stages, all received chemotherapy. Six out of 7 relapsed patients died despite salvage treatments, highlighting their poor prognosis at relapse. 256 While high dose chemotherapy with autologous stem-cell support may be proposed 257 in relapsed patients [22], the small number of patients treated did not allow any 258 259 conclusion. However, discussions on case-by-case basis should take place within the multidisciplinary tumor board. Treatment of refractory tumors remains unsatisfactory, 260 261 and new approaches are needed to further improve outcomes. We believe that 262 international collaboration should be established to thoroughly analyze the biological characteristics of these tumors. 263

For patients at early stages, the current questions are different and essentially 264 concern the need for therapeutic de-escalation. Indeed, preventing and minimizing 265 short-term and long-term toxicity related to chemotherapy regimen is of major 266 267 concern for these young patients, for whom surgery alone is likely to be curative in 268 most cases. However, international recommendations still mention the necessity of adjuvant treatment consisting in 3-4 cycles of BEP chemotherapy after surgery. Our 269 270 study showed that 38 (37.6%) of the patients at early stage did not receive 271 chemotherapy and 13 (34%) patients relapsed. Despite a significant difference on estimated 5 y-EFS, we show that absence of adjuvant chemotherapy in stage I 272 273 MOGCT patients has no impact on estimated 5 y-OS if patients receive chemotherapy at relapse. This result is consistent with other recent studies 274 suggesting excellent survival outcome in stage I patients spared from adjuvant 275 276 chemotherapy [7, 20–22]

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278 Moreover, some factors are critical to determine the risk of relapse in early stages especially pathologic subtype. In this study, most patients without recurrence 279 following surgery alone who did not receive adjuvant chemotherapy were diagnosed 280 with a dysgerminoma or immature teratoma tumor, whereas all patients suffering 281 from a volk sac tumor histology component relapsed. We believe that our data 282 confirm that systematic adjuvant chemotherapy should be applied in all stage I yolk 283 sac tumors patients as it has been already recommended [23, 26, 27]. We showed 284 285 that sparing stage Ia-Ib grade 1 immature teratoma and some dysgerminoma patients from adjuvant chemotherapy may be a valid option following adequate 286 surgical staging, complete resection and normal post-operative serum marker levels. 287 288 Of note, ESGO and ESMO recently published recommendations for MOGCT treatment in which surveillance is proposed for selected stage I tumors. Our series 289 290 shows a higher relapse rate for dysgerminomas than that observed in the Italian series. This discrepancy may result from the relatively small numbers of patients in 291 292 both series. Therefore, as proposed for testicular seminomas with a cure rate above 293 95% [28, 29], one course of carboplatin AUC7 for dysgerminomas should be investigated. Similarly, in patients with stage I non seminomatous testicular cancer, 294 1-2 adjuvant BEP cycles are appropriate to cure mainly all patients [30]. We assume 295 296 this treatment for non dysgerminomatous MOGCT patients should be further 297 explored. Nevertheless, this therapeutic de-escalation requires careful assessment before being adopted routinely. Another important point remains the necessity of 298 299 optimal staging peritoneal procedures. Indeed, the omission of appropriate staging peritoneal procedures seems to increase the recurrence rate, as a result of 300 underestimation of advanced stage [1]. Active surveillance should be considered in 301 confirmed stage I patients and peritoneal staging turns out to be essential to 302 303 guarantee adequate management.

304

This study presents several limitations, we performed a retrospective analysis and faced with missing data especially related to pre-operative markers; due to the rarity of these tumors and their general good prognosis, only univariate analysis was performed. A larger international cohort with more patients and more events is needed to build a valid prognostic score as proposed in patients with testicular germ cell tumors [31] or as suggested by Meisel and colleagues in patients with MOGCT [32].

# 313 Conclusion

Adjuvant chemotherapy should not be systematically proposed for stage I patients with exception for YST. Active surveillance is an acceptable alternative. A close follow-up during the first 2 years is essential. Further investigation is required to determine the optimal management of patients with MOGCT at advanced stages and relapsed disease. Prospective trials conducted through international collaborations like the Rre Cancers Europe Initiative are needed to develop riskbased treatment strategies for these rare tumors.

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## 342 DISCLOSURES:

343 The authors reported no conflict of interest for this article.

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### A) Overall Survival



B) Event Free Survival



Figure 2– Kaplan Meier Estimates of Event Free Survival (A) and Overall Survival (B) according to adjuvant CT or surveillance following initial surgery in stage I disease

### (A) Event Free Survival





## Figure 3- Outcome according to adjuvant chemotherapy or surveillance following initial surgery for stage I MOGCT



### Outcome according to adjuvant chemotherapy (CT) or surveillance following initial surgery

<sup>†</sup> YST category include both pure and mixed YST

<sup>‡</sup> patient lost of follow-up (n=1), probably dead



\* 3/4 patients had an adequate peritoneal staging

<sup>†</sup> YST category include both pure and mixed YST





Figure 2– Kaplan Meier Estimates of Event Free Survival (A) and Overall Survival (B) according to adjuvant CT or surveillance following initial surgery in stage I disease

#### (A) Event Free Survival







# Outcome according to adjuvant chemotherapy (CT) or surveillance following initial surgery



<sup>†</sup> YST category include both pure and mixed YST

# Table 1 – Patient Characteristics and treatment (N = 147)

Ν

Median age (years)	25 [15-77]
Nulligravida	81 (55.1%)
Nulliparous	88 (59.9%)
Stage at presentation	
la/lb	67 (45.6%)
lc	34 (23.1%)
II	8 (5.4%)
III	27 (18.4%)
IV	9 (6.1%)
Unknown	2 (1.4%)
Histology	
Pure MOGCT	126 (85.7%)
Dysgerminoma	40 (27.2%)
Immature Teratoma	52 (35.4%)
Yolk Sac Tumor	32 (21.8%)
Choriocarcinoma	2 (1.4%)
Mixed MOGCT	21 (14.3%)
Surgery*	
Stage I	101
Complete peritoneal staging <sup>†</sup> (peritoneal washings, biopsies or omentectomie)	76/101 (75%)
Fertility-sparing Surgery	94/101 (94%)
Radical Surgery	7/101 (6%)
Stage II-IV	44
Fertility-sparing Surgery	32/44 (72.7%)

Radical Surgery	12/44 (27.3%)	
Chemotherapy <sup>‡</sup>		
Stage I	100	
Adjuvant chemotherapy	62/100 (62%)	
No adjuvant chemotherapy	38/100 (38%)	
Stage II-IV	44/44 (100%)	

\*missing data n=2; †missing data n=1; † missing data n=3

## Table 2 – Univariate analysis of 5-year OS (N = 137) \*

Variable	No of patients†	OS % (sd)	Р	
Stage FIGO	137			
I	94	97.3%	0.001	
Ш	8	100%		
III	26	85.6%		
IV	9	61%		
Lymphovascular invasion	125			
Yes	11	100%	0.51	
No	114	80.6%		
Complete surgical resection	134			
Yes	114	85.1%	0.002	
No	20	73.5%		
Postoperative AFP (ng/mL)	119			
≤ 7	65	97.1%	0.048	
> 7	54	86.8 %		
Postoperative LDH	90			
Normal	78	92.2%	0.402	
>Normal	12	88.9%		
Postoperative HCG	112			
Normal	111	93.7%	0.8	
>3	1	100%		
YST histology	137			
No	92	85.6 %	0.076	
Yes	45	95.8%		
Choriocarcinoma histology	136			
No	134	81.4%	0.002	
Yes	2	50%		

\* Survival data is available for 137 patients only

 $\dagger$  data is missing for lymphovascular invasion (n=12), modality of surgery (n=3), postoperative AFP (n=18), LDH (n=47) and HCG (n=25), choriocarcinoma histology (n=1)

SD : standard deviation