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The Middle Pleistocene hominin mandible from Payre (Ardèche, France)

Christine Verna^{a, b,*}, Florent Détroit^a, Kornelius Kupczik^c, Julie Arnaud^d, Antoine Balzeau^a,
Dominique Grimaud-Hervé^a, Simone Bertrand^e, Bernard Riou^f, Marie-Hélène Moncel^a.

^a UMR 7194 CNRS/MNHN, Musée de l'Homme, 17 Place du Trocadéro, 75016, Paris, France

^b Department of Human Evolution, Max Planck Institute for Evolutionary Anthropology,
Deutscher Platz 6, 04103, Leipzig, Germany.

^c Max Planck Weizmann Center for Integrative Archaeology and Anthropology, Max Planck
Institute for Evolutionary Anthropology, Deutscher Platz 6, 04103, Leipzig, Germany.

^d Department of Humanities, Section of Prehistorical and Anthropological Sciences,
University of Ferrara, C.so Ercole I d'Este 32, 44121 Ferrara

^e Rondette, 07250, Rompon, France

^f Musée-fossiles, 07250 Rompon, France

** Corresponding author*

Email Address: christine.verna@mnhn.fr

Abstract

Although Neandertals are the best known fossil hominins, the tempo and evolutionary processes in their lineage are strongly debated; this is in part due to the scarcity of the fossil record, in particular before the MIS 5. In 2010, a partial hominin mandible was discovered at the Middle Paleolithic site of Payre (France) in a layer that is dated to the end of MIS 8/beginning of MIS

7, a time period for which very few fossils are known in Europe. The Payre 15 mandible retains the complete symphyseal region and right lateral corpus with heavily worn P4, M1 and M2 in situ. Taphonomic modifications in the form of three notches suggest that this individual was chewed by a carnivore. We provide here the first detailed description of this specimen and a comparative analysis that includes morphological features, linear mandibular dimensions, an elliptic Fourier analysis of the symphysis and a morphometric analysis of the M1 roots (based on segmented CT-scan data). Our comparative sample encompasses European Middle and Upper Pleistocene specimens attributed to *Homo heidelbergensis* and *Homo neanderthalensis*, Upper Pleistocene and Holocene *Homo sapiens*. The Payre 15 mandible shows a combination of primitive and Neandertal-like features, with a receding symphyseal profile without any element of the *mentum osseum*, a posterior location of the mental foramen and *prominentia lateralis*. Its mandibular body is tall and thick anteriorly. By its dimensions and combination of features, Payre 15 aligns better with Middle Pleistocene European hominins than with MIS 6-3 Neandertals. Noteworthy, it falls well within the range of variation of the Sima de los Huesos sample. Our results underline that the total pattern of Neandertal derived morphology was not achieved at the beginning of the MIS 7 and suggest a low level of mandibular diachronic changes for the period MIS 11-7.

Keywords

Middle Paleolithic, Neandertal, symphysis, Elliptic Fourier Analysis, tooth root

Introduction

Although there is a general agreement that Neandertals represent a hominin lineage rooted in Western Eurasia during the Midd

le Pleistocene, the tempo and mode of evolution in the Neandertal lineage are the subjects of intense debates, which now rely on both paleoanthropological and genetic data. These discussions focus primarily on the divergence time of the *Homo sapiens* and *Homo*

neanderthalensis lineages, as well as on the taxonomy and phylogeny of the late Middle Pleistocene European hominins (*e.g.* Arsuaga et al., 1997; Rosas and Bermúdez de Castro, 1997; Weaver et al., 2008; Hublin, 2009; Mounier et al., 2009; Endicott et al., 2010; Harvati et al., 2010 ; Stringer, 2012; Gómez-Robles et al., 2013; Prüfer et al., 2014; Bermudez de Castro et al., 2016, 2018 ; Roksandic et al., 2018). Additionally, and in relation to the above-mentioned debates, the evolutionary processes underlying the establishment of the Neandertal morphology are also the subject of discussions and various models have been proposed. The first one, known as the accretion model (Dean et al., 1998; Hublin, 1998), emphasized a gradual, cumulative and mosaic pattern of craniofacial changes in the European fossil record between the Marine Isotopic Stage (MIS) 15 and 4 that is best explained by genetic drift (Hublin, 1998; Weaver et al., 2007; Weaver, 2009) . Alternative models have recently questioned the gradual and mosaic pattern of the process, suggesting instead some rapid changes involving two chronologically successive speciation events (Rosas et al., 2006), or the co-existence of more than one hominin lineages at the time of emergence of the Neandertals (Arsuaga *et al.*, 2014; Bermudez de Castro et al., 2018).

Part of the difficulty in evaluating these models and the gradual and mosaic accumulation of derived features lies into the discontinuity of the Middle Pleistocene fossil record. In particular, very few fossils have been discovered for the period covering the MIS 8-6. In 2010, a hominin mandibular fragment was found at the site of Payre in 2010 (Ardèche, France) in an archaeological layer that is attributed to the end of the MIS 8/beginning of MIS 7. Here, we present the first detailed description and comparative analysis of this hominin mandible, with the goal to characterize its morphology and assess its taxonomy and biological affinities. We report on its dimensions, the morphology of its symphysis and lateral body, including an Elliptic Fourier Analysis of its symphyseal outline, as well as on the size and form of the first molar roots. By focusing on how this new fossil hominin compares to

European Middle and Late Pleistocene fossil specimens, we also aim to discuss how this new discovery contributes to the debates on the Early evolutionary history of the Neandertal lineage.

Figure 1 – here

Context of the Payre 15 mandible

Located in the Rhône Valley (SE France) (Figure 1), Payre is now a rock shelter, which formed from a collapsed cave at the end of the MIS 6. Within the ~5m deep stratigraphic sequence, eight levels of Palaeolithic occupation were identified into four stratigraphic Units including levels for Units G (Ga, Gb) and F (Fa to Fd) (Figure 2). The sequence ranges from the MIS8 to the end of MIS 6 / beginning of MIS 5 based on ESR-U-series, TL, and TIMS (Grün et al., 2008; Valladas et al. 2008). The whole sequence lies on a thick stalagmitic floor (229 ky +-2 – 291 ky +- 3 by U-series-TIMS). The levels Gb and Ga (unit G) are dated by TL to 247 ky +-29 (arithm. Mean).

Figure 2 – here

Biostratigraphic data confirms the radiometric dates with recurrent human occupations during temperate events (Moncel, 2008). The fauna is mainly composed of herbivores *Equus ferus*, *Stephanorhinus kirchbergensis*, *Stephanorhinus hemitoechus*, *Elephas*, *Bos primigenius*, *Hemitragus bonali*, *Cervus elaphus*, *Capreolus capreolus*, *Castor fiber*, *Ursus spelaeus*, and carnivores *Panthera leo spelaea*, *Cuon priscus*, *Felis silvestris*, *Lynx lynx*, *Vulpes vulpes* (Moncel, 2008). Faunal remains attest to similar human activities along the sequence and

indicate hunting on cervids, equids, and bovids, and rhinoceros and elephants were probably scavenged (Patou-Mathis *et al.*, in Moncel, 2008; Daujeard and Moncel, 2010 ; Daujeard *et al.*, 2011 ; Moncel and Daujeard, 2012). Zooarcheological analysis indicates successive short-term seasonal occupations (Rivals *et al.*, 2009; Daujeard and Moncel, 2010; Moncel and Daujeard, 2012). Bones were intensively broken, and some were burned.

Carnivores also inhabited the site, as indicated by the abundant remains of bears (*Ursus spelaeus* mainly) that died during hibernation - in unit F especially (levels Fd to Fa), suggesting that hominid occupation alternated with carnivore denning at this site. Carnivores were more numerous in unit F than Unit G (levels Ga and Gb) suggesting a more intense human occupation during Unit G. Isotopes analyses on both a human tooth and animal's remains indicate a stable subsistence strategy over time and the use of local resources with resource partitioning visible between carnivores and humans (Ecker *et al.*, 2013; Bocherens *et al.*, 2016). The lithic assemblages are attributed to the Early Middle Paleolithic (Chacon Navarro *et al.*, 2016; Baena *et al.*, 2017; Carmignani *et al.*, 2017), flint being the main raw material used together with other local stones. The core technology is mostly discoidal and orthogonal and the retouched artifacts are scrapers and points (Moncel, 2008; Moncel *et al.*, 2009). Some large tools (bifaces, bifacial scrapers, simple scrapers) are made on quartzite, limestone and basalt pebbles or large flakes. Micro-wear studies attest the diversity of activities on the site (Hardy and Moncel, 2011). There are indications of fire in each level and an ashy lens was discovered at the top of level Ga without clear hearth structure.

Thirteen isolated hominin teeth and a parietal fragment were found throughout the sequence between 1994 and 2002, most of them coming from the basal levels Ga, and grouped in a small area (Moncel and Condemi, 2007). They were attributed to the Neandertal lineage. In June 2010, a hominin mandible (Figure 3) was discovered in the level Ga, close to the teeth and the parietal in the main chamber of the cave (SOM Figure S1). Following the order of

discovery of these remains, this mandible is numbered Payre 15 (SOM Table S1), and its morphology is described here for the first time.

Figure 3 – here

Material and methods

Computed tomography and symmetry-based virtual reconstruction of Payre 15

In 2010, the mandible was Ct-scanned at the Pitié-Salpêtrière Hospital in Paris (voxel size 0.16x0.16x0.34 mm) and the following year, it was CT scanned again at the AST-RX platform in Paris with a voxel size of 57µm. Using these high-resolution data, the mandibular bone was segmented, in order to virtually remove the sediment, as well as to distinguish the dental tissues (enamel, dentine, cement). Based on these segmented data (Figure 4), we virtually reconstructed the mandible following the principle of bilateral symmetry. We first mirrored the preserved right hemi mandible into a virtual left partial mandible. The two objects were then aligned using the tool for manual alignment in Geomagic Studio® 2012. The alignment procedure was repeated three times to obtain a more accurate reconstruction. Seven landmarks were selected on both objects along the mid-sagittal section of the mandible. Based on these points the two objects were aligned and then fused together. Areas that were bilaterally present were removed from the left (mirrored) hemi mandible to obtain a 3D reconstruction of the mandible with a complete mandibular corpus (SOM Figure S2). This virtual reconstruction allowed us to estimate the width of the mandible (see below).

Comparative samples

Given the chronological and individual age of the specimen, our comparative samples include primarily adult individuals attributed to Middle and Late Pleistocene hominin samples that

bracket it in time and are attributed to the Neandertal and *Homo sapiens* lineages. European samples used in our analyses are MIS 7 to 3 Neandertals and Pre-MIS 9 European Middle Pleistocene specimens (EUMPH) that are - or were - often attributed to *Homo heidelbergensis* (Table S2), although considerable debate surrounds the taxonomy of these specimens (see below). The Neandertal sample includes specimens belonging to the MIS 7 to 3 from Europe and South-Western Asia, divided into Early (MIS 7-5e) and Late (MIS 5d-3) Neandertals (hereafter EN and LN respectively). Among the EN sample, two specimens are attributed to the MIS 7 and are then chronologically close to Payre 15, namely the mandible from Montmaurin-La-Niche (Violet et al., 2018) and Ehringsdorf F (Street et al., 2006) . The European Middle Pleistocene sample includes data for mandibles from the sites of Arago, Mala Balanica (Roksandic et al., 2011; Skinner *et al.*, 2016) , Mauer (Rosas and Bermúdez de Castro, 1997) and Sima de los Huesos (Rosas, 2001). Despite the most recent morphological and genetic evidence that the Sima de los Huesos sample belongs to the Neandertal species (Martín-Torres et al., 2012; Stringer, 2012; Arsuaga et al., 2014; Meyer et al., 2016), the chronological gap (*ca* 200 ky) between these fossils, the Payre 15 mandible and the rest of the Neandertal sample led us to keep them in a "Pre-MIS 9 European Middle Pleistocene hominins" category. Our *Homo sapiens* sample includes primarily MIS 5-4 African and South-West Asian Pleistocene specimens (AAEMH). In addition, European Upper Palaeolithic specimens (UPMH) were included in the Elliptic Fourier Analysis (EFA) of the symphyseal profile. Finally, two comparative samples of recent humans (RMH) were used in the EFA as well as in the analysis of the size and form of the roots. The detailed composition of the samples used for the morphological and morphometric analyses are given in the (SOM Table S2).

Morphological features

A set of six discrete morphological features were scored and compared to their frequency distribution in the comparative samples. These features were scored following the criteria detailed in Dobson and Trinkaus (2002), and Rosas (2001): number and location of *foramen mentale* (below an alveolus or an interdental septum) ; location of the *prominentia lateralis*; mylohyoid line orientation and location following Rosas (2001); and development of the *mentum osseum*, following Dobson and Trinkaus (2002). On the comparative material, the features were scored on both sides when preserved. The data come from our study of original specimens, supplemented by high quality casts as well as a few data from the literature (SOM [Table S2](#)). Frequency tables were then computed for comparison. For specimens that exhibit bilateral asymmetry, traits were scored as 0.5 for each side. In addition, frequencies for several combinations of features were tabulated, using a binomial scoring system based on the combination of features observed on Payre 15 as follow: foramen mentale single *vs* multiple; foramen mentale below or posterior *vs* anterior to the M1 socket; *prominentia lateralis* below *vs* anterior to the M3 socket; mylohyoid line crossing the body in a diagonal *vs* parallel way; mylohyoid line in a low *vs* medium/high position. In this case, when both sides were preserved, each trait was recorded as present when observed on at least on one side.

Linear mandibular dimensions

Given the state of preservation of Payre 15, metric analyses include uni- and multivariate analyses of linear dimensions of the mandibular body: its height and breadth at the symphysis (HS, ES; M69, Martin and Saller, 1957) as well as along the lateral body below the P4, M1 and M2 alveoli. Each measurement was taken three times and the mean value is given in the Table 2. In addition, external bi-M2 width (largest distance between the alveolar borders, taken perpendicular to the median plane) was measured on the virtual reconstruction of Payre 15. We proceeded three times to this virtual reconstruction and measured the external bi-M2 width for each. Robusticity indices (Breadth x 100 / Height of the body) were also computed

and included in our analyses. 2D linear dimensions come from our study of original specimens, supplemented by high quality casts as well as a few data from the literature (SOM Table S1).

Univariate analyses were carried out using the adjusted z-score (Azs; Maureille et al., 2001), in which 95% of the variation of the reference population is included between -1 and $+1$. An Azs value lower than -1 or higher than $+1$ is, therefore, outside 95% of the variation of the reference population.

Multivariate analyses are Principal Components Analyses (PCA) of five linear dimensions measured in Payre 15 (Height and breadth at the symphysis and at the M1, bi-M2 width), treated in two separate analyses. First, a PCA was performed on the raw dimensions, then a between-group PCA (bgPCA) was performed on the same dimensions standardized for size using Log Shape Ratios (LSRs) in order to better distinguish shape differences from absolute size differences (Darroch and Mosimann, 1985; Jungers et al., 1995). In both analyses, Payre was projected as a supplementary individual.

Elliptic Fourier Analysis of the symphyseal profile

For Elliptic Fourier Analysis (EFA), 3D models obtained with a Nextengine laser surface scanner were used. The scanning procedure, optimized for resolution (Arnaud et al., 2015), was applied to original specimens when available or otherwise to high-quality casts (detailed composition of the samples is given in the SOM Table S2). Three landmarks and 41 semi-landmarks were digitized along the outline of the symphysis profile. The three landmarks correspond to the *infradentale* (ld1), the intersection of the digastrics *fossae* (ld2) and the *infradentale posterior* (ld3). Intra-observer error has been estimated on a random selection of eight specimens digitized ten times with a time interval of 24 hours. We calculate the

deviation of each landmark to the centroid for each specimen separately, and then a mean error was calculated (Singleton, 2002; Lili et al., 2009). We obtain 4.6 % for ld1, 0.7 % for ld2 and 4.6% ld3.

Raw Cartesian coordinates were pre-processed in three steps. Three-dimensional coordinates were reduced to two-dimensions through a PCA (i.e. the scores of the first two principal components become the new coordinates of the landmarks and semi-landmarks). Since the sagittal plane of the symphysis is difficult to define virtually, we choose this specific protocol to reproduce a plane which is homologous in all our sample and close to the real sagittal plane. In a second step, the 41 semilandmarks were re-sampled equidistantly along the curve. Finally, the new outlines were registered for size and orientation with a Procrustes superimposition on the three landmarks (Corny and Déroit, 2014).

Finally, the new dataset containing the normalized two-dimensional coordinates of the outlines (Procrustes residuals) were submitted to an elliptic Fourier analysis (EFA). This method, developed for the analysis of complex outlines, transforms outlines in a series of ellipses which become more complex and closer to the original outlines as the number of calculated coefficients (harmonics described by four Fourier descriptors) increase (Kuhl and Giardina, 1982; Lestrel, 1989, 1997). In our case, the Fourier descriptors of the nine first harmonics that account for 99% of the cumulative power (Lestrel, 1997), were retained and analyzed with a PCA in order to explore the variation calculated by the EFA.

Landmarks and semi-landmarks were digitized on 3D models using Landmark (IDAV Visualization and Graphics Research Group). Pre-processing of the data, Procrustes registration, EFA and PCA were performed in R (R Core Team, 2017), using the packages Momocs and Geomorph (Adams et al., 2017; Bonhomme et al., 2014) and functions adapted from Claude (2008).

First molar root size and form

From the 3D surface reconstruction of the lower M1 root the following measurements were taken: cervical plane area, root surface area (both in mm²), root length (mm), root stem and apex volume (all in mm³). A PCA based on the correlation matrix of these variables was used to explore the overall variation in root size in the comparative sample (see Table S2). In addition, M₁ root surface area of Payre was compared to the comparative sample, while the bivariate association between M₁ root surface area and cervical plane area was assessed using reduced major axis regression (RMA). We also computed a volumetric bifurcation index (in %) as [(root stem volume / (root stem volume + root branch volume)) x 100] for the M1 roots. The Payre M1 root was thus classified into one of the four groups: cynotaurodont: 0-24.9%, -, hypotaurodont- : 25-49.9%, mesotaurodont: 50-74.9% or hypertaurodont IV: 75-100% (see Kupczik and Hublin, 2010).

Figure 4 - here

Description of Payre 15 *State of preservation*

Payre 15 is a fragment of mandible, which retains the complete symphyseal region, the right lateral corpus, from the socket of the left I2 to the right M3, and a very small portion of the ramus in its inferior part (Figure 3). The corpus is almost intact up to the M2-M3 interalveolar septum. It is broken behind this point and the breakage passes through the M3 socket. The ramus is represented by an horizontal band that extends behind this breakage and is ca 5 cm long and less than 2 cm high. The lower border is damaged so that the gonial angle is not preserved.

The incisors, the right canine and third premolar are missing post-mortem. Their alveolar sockets are filled with sediment. The right P4 and M1 are in situ, and their roots and crowns (albeit heavily worn) are preserved. At the time of the discovery of the mandible, the roots of

the right M2 were preserved, the crown was broken off at the cervix just above the bifurcation of the mesial and distal roots. In 2010, a sample was drilled into the M2 for DNA analysis, removing a large part of its roots (Figure 4). This sampling was done after the medical Ct-scan acquisition but prior to microCT scanning

Some highly indurated sediment is still adhering to the bone and fills in particular entirely the sockets of the anterior teeth (SOM Figure S3), although some sediment was mechanically removed previously (this remaining sediment was however virtually removed during the segmentation process. Figure 4).

The P4 and M1 are heavily worn (stage 5 of Molnar, 1971) and their occlusal surface is almost entirely constituted by dentine (Figure 5). The crown of the M1 is partially broken and some enamel is lacking on its disto-buccal part. On both teeth, the plane of occlusal attrition slopes strongly towards the buccal side, reflecting a reversed Curve of Monson plane of occlusion (Osborn, 1982) at M1. In addition, the M1 shows a second plane of wear which is downward and distally oriented. On the P4, some enamel is preserved on the lingual side, as a ring along the lingual border and a small disto-lingual area. Lingually, the enamel ring is interrupted in the middle and slightly thicker on the distal side. The smooth morphology of the edges suggests that this interruption is not a fracture but is due to wear. A small secondary dentine patch is present at the center of the occlusal surface. On the M1, the enamel is only preserved on the lingual side of the occlusal surface as a mesio-distal ring (that does not reach the disto-lingual corner of the crown) and as a small area retained between the location of the two lingual cusps.

Figure 5 - here

Overall, when free of sediment, the bone surface of the mandible is well preserved. Some corrosion pitting is visible but remains minimal. No cut-marks were observed. Anteriorly,

once virtually cleaned off the infilling sediment, one can see that the incisors sockets are well preserved and these teeth were likely lost post-mortem. The C socket however sustained some taphonomic damage of its external margin.

Anteriorly, the breakage of the corpus has a straight outline and follows an oblique axis from the left I2 alveolus to the inferior border below the right I1 alveolus, next to the interdigastric spine. The broken edge is partly covered by concretions and its morphology (roughened texture and right angle with the bone surface) clearly shows that it is ancient and of post-depositional origin. One large subvertical crack and several other thin cracks in the junction area between the corpus and the ramus can also be attributed to post-depositional taphonomic processes (probably due to sedimentary compression and/or weathering).

Posteriorly, the broken edges of the fragment (at the level of the ramus) are not straight but show an irregular aspect. On the lateral aspect, two notches that expose spongy bone are noteworthy. One is ca 4 mm wide and is located on the inferior border, close to the posterior border of the fragment (SOM Figure S4a). The second one is ca 3 mm wide and located on the upper margin of the fragment, at the junction between the corpus and the ramus (SOM Figure S4b). Both notches show a rounded shape on the external table and are covered by concretions, which show that they are ancient. Finally, on the inner side, the breakage of the corpus below the M3 socket also reveals a notch, associated to an oblique fracture plane towards the inner side, with a rather smooth surface (SOM Figure S5). The overall shape and dimensions of these notches and the morphology of the associated fractures suggest breakage on fresh bone and although not fully diagnostic, these notches recall carnivore marks, probably due to a large-size species.

Symphyseal region

The symphyseal profile is markedly receding (the symphysis is retreating relative to the alveolar plane) and its anterior surface is essentially flat, showing only a slight posteroinferior rounding of its anterior part and concavity. The anterior symphyseal surface is evenly rounded mediolaterally, and there is no element of a *mentum osseum* (no trace of *tuber symphyseos*, mental fossa, lateral tubercles or anterior marginal tubercle). This morphology corresponds to the rank 1 of Dobson and Trinkaus (2002). In anterior view, there is a clear *incisura submentalis*. The anterior surface is slightly concave below the incisors but there is no *incisura mandibulae anterior*. On the posterior side of the symphyseal region, there is a modest *planum alveolare*. One small foramen is present below the alveolar border on both sides of the symphyseal line, this line being visible along 7mm. The *planum alveolare* is concave under the I1 and I2 alveolus edges and is limited inferiorly by the thickening of a prominent superior transverse torus. Below, the *fossa genioglossa*, although still covered by concretions, is rather deep as shown by the virtual data (Figure 4b), and a *foramen geni* is visible at its lower limit. The inferior transverse torus is also well developed and both torus can easily be seen on the symphyseal outline (SOM Figure S6). Inferiorly, the digastric impression form a 19.2 x 9.6 mm well delineated fossa that is orientated inferior-posteriorly (mostly inferior). This fossa extends laterally up to the level of the P3.

Lateral corpus and ramus

The lateral corpus is massive and tall. The alveolar and basilar margins are parallel, so that the height of the corpus is sub-constant from the symphysis to the M2 level. The bone is thick and shows a strong swelling on the external face of the C and P3 sockets. On the external face, a single and large mental foramen (6 mm x 4,6 mm) is present and opens laterally. This foramen is located below the distal part of the first molar and in the inferior vertical half of the mandibular body. Posterior to the foramen, a swelling of the corpus corresponds to a conspicuous *torus lateralis*. Below this torus, the surface is flat and not depressed by an

intertoralis sulcus. A very thick *prominentia lateralis* is also visible at the distal limit of the fragment and was then located below the third molar. Along the basal border, an anterior marginal tubercle is present below the C/P3 *interalveolar septum*. A very thick, well individualized and projecting posterior marginal tubercle is also present below the mental foramen. These two tubercles are linked by the thickening of a conspicuous marginal torus.

On the lingual side, the mylohyoid line is a rather sharp and straight crest. It crosses the corpus diagonally and the preserved morphology shows its low position below the M3 alveolar border. The mylohyoid line limits superiorly a very deep *submandibular fossa*. Above the line, the surface remains flat up to the alveolar border. The superior transverse torus is restricted to the symphyseal region and does not extend laterally. There is a slight thickening of the alveolar border between M2 and M3, but there is no real *torus mandibularis*.

On the very small part of the ramus that is preserved, we can notice on the lateral side the presence of a crest for the insertion of the masseter muscle that runs supero-anteriorly. On the inner/medial side, the extremity of the mylohyoid groove is present.

In inferior view, the basal border is evenly rounded and its thickness does not decrease posteriorly. The projection of the posterior marginal tubercle is well visible.

Morphology of the teeth

The state of preservation and heavy attrition of the teeth (see above) prevent from assessing the dimensions and the morphology of the crowns. On the M1, the tiny portion of enamel that is left only shows traces of the groove between the metaconid and entoconid. On the P4, the portion of enamel that is preserved shows traces of grooves on the disto-buccal part of the crown that suggest the presence of accessory ridge(s) or cusps but not enough is preserved to assess its morphology. The shape of the crown also appears rather symmetrical but again, the stage of wear prevents from confidently assessing its morphology.

Based on the sediment imprints of the alveolar sockets all incisors, the canine and the lower right P3 are single-rooted. While the P3 root appears to be elliptical in cross-section, the preserved P4 root is C-shaped with the opening of the “C” facing towards the lingual aspect of the mandible (SOM Figure S3). The P3 root has the same length as the canine root (SOM Figure S7-S8). The M1 has one mesial and one distal root which are bifurcated at about one third of the root length below the enamel-cementum junction (cervix). The roots of the M2 are more robust than those of the M1 although a full description is impeded by the hole drilled through the centre of the tooth. Medical CT-scan data obtained before the drilling however reveals the presence of an accessory root (SOM Figure S8). This notwithstanding, the mesial and buccal roots do not appear to be aligned mesio-distally but are rather rotated by about 45 degrees (SOM Figure S8). The M2 root is about the same length as that of the canine and P3 (SOM Figure S7-S8).

Table 1 - here

Results of the comparative analyses

Morphological features of the mandible

As stated above, the Payre 15 symphyseal profile is receding and the anterior aspect of the symphysis does not show any element of a *mentum osseum*. This symphyseal morphology aligns this mandible with the Middle Pleistocene hominins. Late Neandertals usually have a vertical profile and exhibit some elements of the *mentum osseum*, in particular the projection of the *tuber symphyseos*. As a result, a rank 1 of the mentum osseum, as observed on Payre 15, is found on 80% of the EUMPH, but only 25% of the EN, 13.6% of the LN and is never observed on AAEMH (Table 1).

On the lateral body, the posterior location of the mental foramen (\geq M1) and *prominentia lateralis* (below M3) is a derived combination of features of the Neandertal lineage (e.g. Stringer et al., 1984; Trinkaus, 1988; Rosas et al., 1991; Rosas, 2001) that is present on Neandertals at a very high frequency and is already common on the EUMPH specimens (Table 1). None of the AAEMH specimens in our comparative sample show a mental foramen posterior to the P4/M1 interalveolar septum nor a *prominentia lateralis* posterior to the M2/M3 septum. By contrast, none of the EUMPH and Neandertals present a mental foramen anterior to the P4 alveolus and it is below or posterior to the P4 alveolus on more than 90% of these samples. Similarly, a *prominentia lateralis* below the M2 alveolus is never observed on EUMPH and EN, and is recorded in only 6.7% of the LN sample (Table 1). For both these features, Payre 15 aligns clearly with the Neandertal lineage. As regards the number of foramina, the presence of one single mental foramen, such as on Payre 15, is the pattern observed on the vast majority of AAEMH (86.4%) and two third of the EUMPH whereas more than half of the Neandertals present multiple foramina. Finally, on Payre 15, the mylohyoid line follows a diagonal orientation as it is observed on 92% of the Neandertals, 68% of the EUMPH and 44% of the AAEMH.

It is noteworthy that the combination observed on Payre 15 for the state of six morphological features (*mentum osseum* development, number and location of the mental foramen, location of the *prominentia lateralis* and pattern of the mylohyoid line) is only observed on EUMPH (44.4%, n = 9), but never on both AAEMH and Neandertals. However, among EUMPH, this combination of features is present only on individuals from Sima de los Huesos. Excluding the mylohyoid line position and orientation, the combination of a *mentum osseum* rank 1 with a posterior location of the *foramen mentale* and *prominentia lateralis* that is scored on Payre 15 can be found on Neandertals (17%) but is still much more common on EUMPH (56%). Finally, the other two Neandertals that are usually attributed to the MIS 7, Ehringsdorf F and

Montmaurin, differ from Payre 15 by a slightly more developed mentum osseum (rank 2, contra Vialet *et al.*, 2018) and a non-oblique mylohyoid line. Payre 15 is however morphologically closer to Ehringsdorf F than to Montmaurin, due to the more anterior location of the mental foramen and *prominentia lateralis* on the latter.

Table 2 - here

Mandibular dimensions

Overall, Neandertals and AAEMH differ little in terms of mandibular body height and breadth. Compared to these samples, Payre 15 is overall large with a tall body (Table 2). The estimated bi-M2 width of the mandible falls below the mean of the AAEMH but above those of all other groups. It belongs to the upper half of their range of variation, being closer to Late Neandertals ($Azs = 0.06$) than to EN or EUMPH (Table 2). On the bivariate plot of the bi-M2 width against the height of the body at M1 (Figure 6), the groups overlap entirely but Payre falls among the tallest and rather wide specimens.

For the dimensions of the body at the symphyseal region, Payre falls well within the variation of all the comparative samples. Its height (HS: 35.7 mm) is above the mean of all groups, being however very close to the EN and AAEMH means. Its thickness (ES: 16.2) is high, falling in the upper half of the range of all comparative groups and above their means (which are in addition very close to each other). The resultant robusticity index (INDS) is slightly above the mean of all comparative groups, being closer to the EUMPH and AAEMH samples. Posteriorly, the mandibular body of Payre 15 remains tall, with heights falling well above the means of all comparative groups and in the upper half of their ranges of variation. At M1, its height is among the highest values of our comparative samples, being exceeded by only two specimens from Sima de los Huesos and the Aterian El Harhoura mandible. The lateral body is in addition thick in absolute values (Table 2). At the level of the P4, M1 and M2, the thickness is close to the EUMPH mean but well above the means and in the upper halves of

variation of NEAN and AAEMH. When chronological subgroups of Neandertals are considered separately, the thickness of Payre 15 at P4 is outside the variation of Late Neandertals. When compared to EUMPH, the thickness of Payre along the premolars and molars falls well within the Sima de los Huesos variation, above the thickness of Arago 2, close to Mauer and well below the Arago 13 individual.

Although the mandible is quite thick anteriorly when assessed relatively to its height, at the level of the postcanine teeth, the mandible is not particularly thick given its height. The robusticity index at P4 is above the means of AAEMH and LN, but below the EN mean and well below the Arago 2, Arago 13 and Mauer indices. At M1 and M2, the robusticity indices fall below the mean and in the lower half of variation of all groups (Azs between -0.08 and -0.4).

Overall, when compared to EUMPH, one can notice that the dimensions of the Payre mandibular corpus are within the range of variation of the Sima de los Huesos sample. Its heights and breadths are above those of Arago 2, close or above Mauer and well below Arago 13. The robusticity indices are also within the Sima de los Huesos range but below the indices of all the other EUMPH specimens. Payre 15 is also wider, thicker but mostly taller than Ehringsdorf F and Montmaurin, so that the robusticity indices are also lower than on these two supposedly MIS 7 specimens.

Figure 6 – here

Principal Component Analyses of the mandibular dimensions

The first multivariate analysis, a PCA of the raw values for five variables (height and breadth at the symphysis and at the M1, bi-M2 width) is dominated by a clear size effect along PC1 and the relative thickness and width of the mandibular corpus (Figure 7a).

The scatterplot of individuals on PC1 vs. PC2, which accounts for more than 84 % of the total variation, does not show significant differences between the three groups (EUPMH , NEAN, AAEMH) , but rather indicates large individual variations within the three groups. According to its score along PC1, Payre is among the largest mandibles analysed here, confirming the results of uni- and bi-variate analyses; and its position in the lower-right quadrant of the graph points to its wide and robust mandibular corpus, with large thickness relative to height at the symphysis and large height of the corpus at M1.

Figure 7 - here

The second multivariate analysis (Figure 7b), a between group PCA of the LSRs for the same five variables, distinguishes mainly EUMPH from Neandertals, although there is a partial overlap between those two groups, mainly because of two individuals (AT-950 in the former group and Shanidar 1 in the latter). AAEMH are not distinguished from Neandertals with this combination of variables. The correlations of variables with the bgPCs as well as the position and orientation of the convex hulls for EUMPH and Neandertals indicate that their mandibles mainly differ in the relative size of their corpus at the two levels measured here. EUMPH mandibles tend to show a large (*i.e.* thick and high) corpus at the M1 and a relatively small symphysis region, while the opposite trend is observed in Neandertal (and AAEMH) mandibles, which present a relatively larger symphysis. Within each of the two groups, individual variations follow equivalent and parallel trends corresponding to mandibles characterized by a relatively thick and low corpus towards the lower-right quadrant of bgPC1-bgPC2 and mandibles with a relatively thin and high corpus towards the upper-left quadrant. Payre 15 has positive values for both bgPC1 and bgPC2, which corresponds mainly to the important height of its corpus at M1. Payre shows greater morphometric affinities with EUMPH individuals Mauer, AT-605, AT-888-721, as well as the Neandertal Shanidar 1 which is its closest neighbour in this analysis.

Figure 8 - here

Elliptic Fourier Analysis of the Symphyseal profile

We present here the results obtained for the first two Principal Components (PC) which account for more than 80% of the total variation (Figure 8). Positive values for PC1 (71.3 % of total variance) correspond to thin symphysis with a marked mentum osseum (well defined *incurvatio mandibulae anterior* and *tuber symphyseos*), while for negative values, the profile is thicker. The variation along PC2 (12.7 % of total variance) shows for positive values a rather thin symphysis with a vertical anterior profile, and for negative values, a symphysis that is slightly receding anteriorly and thick anteroposteriorly, with marked reliefs (*planum alveolare*, *fossa genioglossa*, *superior* and *inferior transverse torus*). On the PC1/PC2 plot, the distribution of the EUMPH is well separated from the other groups. The distribution of Upper Pleistocene *Homo sapiens* (AAEMH and UPMH pooled together) and Neandertals overlap. The latter show however more negative values on PC1 because of their rather thick and vertical symphyseal profile. The EUMPH sample presents a morphology similar than the Neanderthal one along PC1 while, on PC2 their values are more negative, due to the important thickness of the profile. On this plot, Payre 15 falls just outside the Neandertal variability, among the lowest values on PC2 and close to the Mauer and Sima de los Huesos AT-1 mandibles. Interestingly, Montmaurin also falls among the lowest values on PC2 and in the EUMPH range.

First Molar root metrics

The PCA of the four root metrics reveals that root length (RL) and cervical plane area (CPA) account for the variation along PC1, while variations in the volumes of the root portions (cervical and apical : V_{cv} and V_{ap}) are found along PC2 (Figure 9a). The RMH M1 roots (negative PC1 values only) are generally smaller than those of the AAEMH and NEAN samples. Payre 15 is found within the non-overlapping NEAN range, i.e. it has long roots and a large cervical base similar to the EUMPH specimen from Mauer (PC1). In terms of the root volumetric proportions (PC2) Payre is just outside the AAEMH cluster and well within the Neandertal range. The volumetric bifurcation index of the Payre M₁ root is 54.34% which classifies this specimen as mesotaurodont. When regressed against cervical plane area as a proxy for occlusal area, the M₁ root of Payre falls above the reduced major axis regression line, i.e. it has relative large root surface area for its cervical plane area (Figure 9b). The Payre M₁ root surface area is well outside the RMH range but it falls within the upper end of the NEAN variation and is somewhat smaller than that of EUMPH and several AAEMH individuals (Figure 9c).

Figure 9 - here

Discussion and conclusions

The Payre 15 mandible discovery adds one very important specimen to the Middle Pleistocene hominin sample found at the site. It was found in the same layer (Ga) and spatially close to five isolated teeth and a parietal fragment previously found (SOM Figure S1 and Condemi and Moncel *in* Moncel, 2008). The heavy occlusal wear of the Payre 15 lower P4 and M1 suggest that it belonged to a rather old adult individual. Despite this heavy wear and some slight alveolar resorption, the individual was likely still able to masticate. Four of the isolated teeth previously found in the same layer, a lower canine, and three lower premolars show little occlusal attrition and therefore do not belong to the same individual than

Payre 15. However, one tooth, the isolated Payre 12 upper left molar (most likely a M2 according to Condemi and Moncel *in* Moncel, 2008) that was found in 1999 is heavily worn. It also shows a strong asymmetry of its occlusal surface, the buccal cusps being much more worn than the lingual ones, following a wear pattern congruent with what is observed on Payre 15. We however could not get access to this specimen to test its possible attribution to the same individual than Payre 15. Interestingly, the four other teeth from the level Ga, as well as the teeth found in the levels D, E, F and Gb all belong to younger individuals who were children, adolescent or young adults (Condemi and Moncel *in* Moncel, 2008). Finally, it is noteworthy that the morphology of the breakage and notches on the mandibular ramus indicate that this individual may have been consumed by a carnivore.

Besides the site of Payre, the discovery of this mandible adds a valuable specimen to the European hominin fossil record, which is particularly scarce for the MIS 8-6 period,. The two mandibles from Ehringsdorf and Montmaurin are usually attributed to the MIS 7, but precise chronological data are lacking for these specimens (Street et al., 2006; Vialet et al., 2018). This is also the case for the Aubesier 11 mandible that might date to the MIS7/6 but lacks a more precise chronological attribution (Lebel et al., 2001). Payre 15 is then - according to our knowledge - the only European Pleistocene adult mandible to be securely and accurately dated to this time period.

Overall, our analyses show that when compared to *Homo sapiens* and Upper Pleistocene Neandertals, Payre 15 exhibits a primitive symphyseal outline and anterior symphysis, associated with a tall lateral corpus with a Neandertal-derived morphology. In terms of size, the Payre 15 mandibular corpus is rather thick but mostly tall, with height values at M1 and M2 exceeding those of all the MIS 5d-3 Late Neandertals included in our samples, and falling among or above the highest values of Early Neandertals and MIS 12-9 European hominins. Unlike the relatively thick symphysis, Payre 15 has a relatively narrow lateral corpus at the

level of the molars when assessed relative to its height. Payre 15 has mesotaurodont M_1 roots as are common in both EUMPH (Mauer and Mala Balanica) and Neandertals but not in AAEMH (Kupczik and Hublin, 2010; Skinner et al., 2016; Kupczik et al. in review). The M_1 roots of Payre 15 are relatively long and have a large root surface area (absolute and when scaled against cervical plane area) and fall within the upper size range of Neandertals. The root size is close to the median of AAEMH roots but smaller than those of EUMPH roots (Figure 9).

As regards its morphology, Payre 15 is characterized by a receding symphyseal profile without any element of the *mentum osseum*, associated with a posteriorly and inferiorly located single mental foramen and a posterior location of the *prominentia lateralis* (the last two features being highly correlated, Rosas, 2001). The lateral corpus displays moderate to well-developed structures on its external and inner sides (anterior marginal tubercle, prominent posterior marginal tubercle and *prominentia lateralis*, *intertoralis sulcus*, prominent mylohyoid line and deep submandibular fossa).

The posterior location of both the mental foramen and *prominentia lateralis* clearly align this mandible with the Neandertals (e.g. Trinkaus, 1988; Rosas, 2001; Nicholson and Harvati, 2006). These features are already present on EUMPH at a very high frequency. The presence of a deep *submandibular fossa* is also a shared feature with Neandertals that can be found on EUMPH, although at lower frequencies (Rosas, 2001; Mounier et al., 2009). By contrast, the morphology of its anterior symphysis distinguishes Payre 15 from the vast majority of the MIS 6-3 Neandertals, and aligns it better with MIS 11-9 EUMPH. This is also shown by the results of the Elliptic Fourier analysis of the symphyseal outline, Payre 15 falling very close to the Mauer and Sima de los Huesos AT1 specimens. The low position of its mylohyoid line is a primitive feature (e.g. Rosas and Bermúdez de Castro, 1999) that is almost constant on EUMPH but more rarely retained by Neandertals (being even less frequent on Late than Early

Neandertals) and AAEMH. Similarly, the thickness of the basal border remains constant from the symphysis to the level of the M3 (near the gonion), another feature that is shared with EUMPH whereas it usually decreases posteriorly in Neandertals (Rosas, 2001). The large and deep *incisura submentalis* is also a feature that brings Payre 15 closer to pre-MIS 6 EUMPH than to MIS 5-3 Neandertals (Mounier et al., 2009), although this feature is quite variable in all samples. Interestingly, Payre 15 shows several derived Neandertal features on its external side, whereas the inner side of the body is less derived, which adds to previous evidence suggesting that morphological changes leading to the full Neandertal mandibular derived morphology were expressed earlier on the external side of the corpus than on its inner side (Rosas, 2001).

Despite the "primitive" shape of its symphysis, Payre 15 lacks however an extended *planum alveolare* that is seen on all MIS 7-11 European Middle Pleistocene hominins (Mounier et al., 2009), including Montmaurin and Ehringsdorf F. The mylohyoid line, with a low position at the level of the M3 but diagonally crossing the corpus, presents an "intermediate" position between the low and parallel pattern seen in Lower and Middle Pleistocene *Homo* specimens and the high and oblique position of most Upper Pleistocene Neandertals (Rosas, 2001). This pattern is similar to what has been observed on most of the Sima de los Huesos sample (Rosas, 2001).

Payre 15 differs from the majority of MIS 6-5e Early Neandertals by the more archaic morphology of its symphysis and inner corpus. Compared to MIS 7 Neandertals, Payre 15 is both morphologically and metrically closer to Ehringsdorf F than to Montmaurin. It shares with Ehringsdorf F and Aubesier 11 the posterior location of the *prominentia lateralis* and mental foramen, whereas these structures are more anterior on the Montmaurin mandible, which also differs in presenting multiple foramina. In addition, Ehringsdorf F and Montmaurin differ from Payre 15 by the presence of an incipient *tuber symphyseos*. Rosas

(1997) and Rosas and Bastir (2004) have detected an allometric effect in several morphological traits on the lateral body and in the symphyseal outline and orientation among the Sima de los Huesos sample. that, in relation with sexual dimorphism, may explain part of this variation, and in particular as regards the lack of retromolar space on Montmaurin (see also Nicholson and Harvati, 2006). Noteworthy, however, is the posterior location of the *mental foramen* and *prominentia lateralis* observed on both tall and large specimens such as Payre 15 and Ehringsdorf F but also on the smaller Aubesier 11 mandible.

By its dimensions and combination of features, Payre 15 falls well within the variation of Middle Pleistocene European hominins and is distinct from Upper Pleistocene Neandertals by a number of features. Although its morphology aligns it clearly with the Neandertal lineage, its combination of metric and morphological features is rather unique among post MIS 9 specimens (Table 1). This combination recalls some specimens of the much older MIS 11 site of Sima de los Huesos, which might suggest, despite the limitations due to the very small sample size for this time period, that little morphological change occurred on the Neandertal mandibular symphysis and corpus during these 200 000 years. If Payre 15, Montmaurin, Ehringsdorf F and Aubesier 11 are of close chronological ages, they all document the persistence of a plesiomorphic morphology at the symphysis (rank 1-2 of Dobson and Trinkaus, 2000) and to a lesser extent on the inner side of the body, but they also document some degree of morphological variation. This apparent low level of diachronic changes and rather high synchronic variability may be seen as an argument to reject the accretion model in favor of a two-phases or multiple hominin species model as suggested by some authors (Rosas et al., 2006; Arsuaga et al., 2014; Bermudez de Castro et al., *in press*; Vialet et al., 2018). However, the accretion model postulates a mosaic pattern of variation and changes as well as a trend toward a reduction in the variability through time to which the observed data – in our view - are not incompatible with, given the chronological ages of these specimens.

Certainly the difficulty in testing these models lies in the scarcity of the hominin fossil record for the chronological period between the MIS 9 and 5, which prevents a statistical assessment of morphological variation through time and space. The high number of hominin remains found at Sima de los Huesos, which represent a subpopulation of closely related individuals (Arsuaga et al., 2014) creates a sample bias within the mid Middle Pleistocene hominin fossil record that necessarily affects our ability to interpret the observed morphological variability at a larger scale. Such a bias also certainly affects our ability to test the various scenarios proposed to explain the European peopling history in the middle Pleistocene and the driving forces underlying the Neandertal lineage origin and evolutionary history. In this context, the discovery of Payre 15 is

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Figure captions:

Figure 1 - Map of South-East France showing the location of Payre and other main Middle Palaeolithic sites in the region.

Figure 2 – TL and ESR-U/Th datings of the Payre sequence (from Valladas et al., 2008).

Black stars indicate the location of human remains found at the site and the photograph of the Payre 15 mandible illustrates its position in the level Ga.

Figure 3 – The mandible Payre 15 in a) upper, b) lateral, c) posterior, d) anterior, e) lower and f) inner views. Scale in centimeters.

Figure 4 – 3D virtual surface model of Payre 15 after segmentation in a) occlusal, b) antero-medial and c) lateral views.

Figure 5 – Occlusal views of the lower P4 and M1.

Figure 6 - Bivariate plot of the mandibular corpus height at M1 vs the bi-M2 breadth of the mandible (after virtual reconstruction). EUMPH: European Middle Pleistocene hominins; EN: MIS 7-5e Early Neandertals; LN: MIS 5d-3 late Neandertals; AAEMH: African and SW Asian Early Modern Humans.

Figure 7- Principal Component Analyses of five mandibular linear measurements: mandibular corpus height and breadth at the symphysis (HS, ES) and at the M1(HM1, M1), bi-M2 external width of the mandible after its virtual reconstruction (Bi-M2W). a): PCA performed on the raw dimensions. B): between-group PCA (bgPCA) performed on the Log Shape

Ratios. EUMPH: European Middle Pleistocene hominins; N: MIS 7-3 Neandertals; AAEMH: African and South-West Asian Early Modern Humans.

Figure 8 –Principal Component Analysis of the Fourier descriptors of the nine first harmonics (PC1 and PC3).

EUMPH: European Middle Pleistocene hominins; N: MIS 7-3 Neandertals; AAEMH: African and South-West Asian Early Modern Humans; RMH: recent modern humans.

Figure 9 – Quantitative analysis of the M1 roots. a) Principal component analysis of 5 root size variables (root length, $2\sqrt{\text{cervical plane area}}$, $3\sqrt{\text{root stem volume}}$, $3\sqrt{\text{root apex volume}}$, $3\sqrt{\text{root pulp volume}}$). b) Box and whisker plot of first molar root surface area. c) Reduced major axis regression of cervical plane area and root surface area for the M1 sample. UMPH: European Middle Pleistocene hominins; N: MIS 7-3 Neandertals; AAEMH: African and South-West Asian Early Modern Humans; RMH: recent modern humans.

SOM

Table S1 – Inventory of the hominin remains found at the site of Payre with details about their stratigraphic provenance (stratigraphic units and square number within the site) and excavation numbers (after Condemi and Moncel in Moncel, 2008). The remains are numbered serially based on their year of discovery. LL, UL, LR and UR stand for lower left, upper left, lower right and upper right respectively. * Anatomical identification of Payre 1 and 14 are given following Smith et al., 2018.

Figure S1 –Map of the Payre site and location of the human remains in the levels Gb and Ga.

Hominin remains are indicated by their excavation numbers (see Table S1)

Figure S2 – 3D surface model bilateral reconstruction of the Payre mandible.

Figure S3 – 3D surface model and oblique slice showing the sediment infilling of the anterior sockets.

Figure S4 – Lateral view of the Payre 15 mandible and close-up views of taphonomic modifications - a) and b) - interpreted as carnivore marks.

Figure S4 – Medial view of the Payre 15 mandible and close-up views of one taphonomic modification interpreted as made on fresh bone by a carnivore.

Figure S6 - 3D surface model and oblique slice showing the symphyseal outline.

Figure S7 – 3D surface model of the I1-P3 alveoli as well as of the P4, M1 and M2 teeth. On the M2, blue material correspond to silicone infilling after DNA sampling.

Figure S8 - 3D surface model and oblique slices showing the P4, M1 and M2 roots (red arrow indicate the presence of an accessory root on the M2).