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# Elliptic dimers on minimal graphs and genus 1 Harnack curves

Cédric Boutillier\*, David Cimasoni†, Béatrice de Tilière‡

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## Abstract

This paper provides a comprehensive study of the dimer model on infinite minimal graphs with Fock's elliptic weights [Foc15]. Specific instances of such models were studied in [BdTR17, BdTR18, dT17]; we now handle the general genus 1 case, thus proving a non-trivial extension of the genus 0 results of [Ken02, KO06] on isoradial critical models. We give an explicit local expression for a two-parameter family of inverses of the Kasteleyn operator with no periodicity assumption on the underlying graph. When the minimal graph satisfies a natural condition, we construct a family of dimer Gibbs measures from these inverses, and describe the phase diagram of the model by deriving asymptotics of correlations in each phase. In the  $\mathbb{Z}^2$ -periodic case, this gives an alternative description of the full set of ergodic Gibbs measures constructed in [KOS06]. We also establish a correspondence between elliptic dimer models on periodic minimal graphs and Harnack curves of genus 1. Finally, we show that a bipartite dimer model is invariant under the shrinking/expanding of 2-valent vertices and spider moves if and only if the associated Kasteleyn coefficients are antisymmetric and satisfy Fay's trisecant identity.

## 1 Introduction

This paper gives a full description of the bipartite dimer model on infinite, minimal graphs, with Fock's elliptic weights [Foc15]. In many instances, this finishes the study initiated in [BdTR17] and [BdTR18] of models of statistical mechanics related to dimers on infinite isoradial graphs with local elliptic weights. Indeed, the massive Laplacian operator on a planar graph  $G$  of [BdTR17] is related to the massive Dirac operator [dT17],

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which corresponds to an elliptic dimer model on the bipartite double graph  $G^D$ , while the  $Z$ -invariant Ising model on  $G$  of [BdTR18] is studied through an elliptic dimer model on the bipartite graph  $G^Q$  (see Section 8.2). The papers [BdTR17, BdTR18] solve two specific instances of elliptic bipartite dimer models; we now solve the general case.

Let us be more precise. Let  $G$  be an infinite *minimal graph* [Thu17, GK13], meaning that it is planar, bipartite, and that its oriented train-tracks do not self intersect and do not form parallel bigons. As proved in [BCdT19], a graph is minimal if and only if it admits a *minimal immersion*, a concept generalising that of isoradial embedding [Ken02, KS05]. Moreover, the space of such immersions can be described as an explicit subset of the space of half-angles maps associated to oriented train-tracks of  $G$ , see Section 2.1 below. Minimal graphs with such half-angle maps give the correct framework to study these models, see [BCdT19, Section 4.3]. We consider *Fock's elliptic Kasteleyn operator* [Foc15]  $K^{(t)}$  whose non-zero coefficients correspond to edges of  $G$ ; for an edge  $wb$  of  $G$ , the coefficient  $K_{w,b}^{(t)}$  is explicitly given by

$$K_{w,b}^{(t)} = \frac{\theta(\beta - \alpha)}{\theta(t + \eta(\mathbf{b}) - \beta)\theta(t + \eta(\mathbf{b}) - \alpha)},$$

where  $\theta(z) = \theta_1(z; q) = \theta_1(z|\tau)$  is Jacobi's first theta function,  $q = e^{i\pi\tau}$ ,  $\tau$  is pure imaginary and  $t \in \mathbb{R} + \frac{\pi}{2}\tau$ ;  $\alpha, \beta$  are the half-angles assigned to the two train-tracks crossing the edge  $wb$ , see Figure 4;  $\eta$  is Fock's discrete Abel map, see Sections 2.3 and 3.1.

Fock [Foc15] actually introduces such an adjacency operator for all  $\mathbb{Z}^2$ -periodic bipartite graphs, for all parameters  $\tau$  and  $t$ , and for theta functions of arbitrary genus; in the present paper, we restrict ourselves to the genus 1 case, hence the name *Fock's elliptic operator*, and drop the periodicity assumption (apart from the specifically dedicated Section 5). Fock does not address the question of this operator being *Kasteleyn*, *i.e.*, corresponding to a dimer model with *positive* edge weights. Our first result, Proposition 12, proves that this is indeed the case when the graph is minimal, when the half-angles are chosen so as to define a minimal immersion of  $G$ , and when the parameters  $\tau, t$  are tuned as above.

We now fix the parameter  $t \in \mathbb{R} + \frac{\pi}{2}\tau$  and omit it from the notation. One of our main results is an explicit *local* expression for a two parameter family of inverses  $(A^{u_0})_{u_0 \in D}$  of the elliptic Kasteleyn operator  $K$ , where  $D := (\mathbb{R}/\pi\mathbb{Z} + [0, \frac{\pi}{2}\tau]) \setminus \{\alpha_T ; T \in \mathcal{T}\}$  is pictured in Figure 1, see also Figure 7, and  $(\alpha_T)_{T \in \mathcal{T}}$  are the half-angles assigned to the train-tracks of  $G$ . This result, which has remarkable probabilistic consequences, can be stated as follows, see also Definition 22, Lemma 24 and Theorem 26.

**Theorem 1.** *For every  $u_0 \in D$ , the operator  $A^{u_0}$  whose coefficients are given, for every black vertex  $\mathbf{b}$  and every white vertex  $\mathbf{w}$ , by*

$$A_{\mathbf{b},\mathbf{w}}^{u_0} = \frac{i\theta'(0)}{2\pi} \int_{C_{\mathbf{b},\mathbf{w}}^{u_0}} g_{\mathbf{b},\mathbf{w}}(u) du,$$

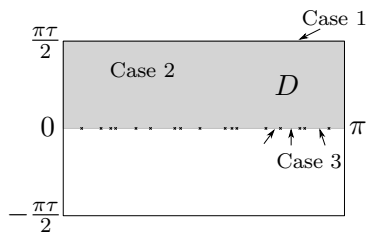


Figure 1: The domain  $D$  as a shaded area of the torus  $\mathbb{T}(q) := \mathbb{C}/\Lambda$ , where  $\Lambda$  is the lattice generated by  $\pi$  and  $\pi\tau$ . The different cases corresponding to the possible locations of the parameter  $u_0$ .

is an inverse of the elliptic Kasteleyn operator  $\mathbb{K}$ . The function  $g$  is defined in Section 3.2, and the contour of integration  $\mathbb{C}_{\mathbf{b},\mathbf{w}}^{u_0}$  in Section 4.1, see also Figure 8.

*Remark 2.*

1. Locality of  $\mathbb{A}_{\mathbf{b},\mathbf{w}}^{u_0}$  stems from that of the function  $g$  which is defined as a product of terms associated to edges of a path from  $\mathbf{b}$  to  $\mathbf{w}$  in the associated quad-graph  $\mathbb{G}^\diamond$ . A key fact used in proving this theorem is that the functions  $g_{\mathbf{b},\cdot}(u)$  and  $g_{\cdot,\mathbf{w}}(u)$  are in the kernel of the operator  $\mathbb{K}$  [Foc15], see also Proposition 16; this results from *Fay's trisecant identity*, known as *Weierstrass identity* in the genus 1 case, see Corollaries 8 and 9.
2. This theorem has the same flavour as the results of [Ken02, BdT11] in the genus 0 case, and as those of [BdTR17, BdTR18] in the genus 1 case. It can be understood as the genus 1 pendant (with an additional remarkable feature specified in the next point of this remark) of the dimer results of [Ken02], while [BdTR17] is the genus 1 version of the Laplacian results of [Ken02], and [BdTR18] handles a specific elliptic dimer model arising from the Ising model. Going from genus 0 to genus 1 is a highly non-trivial step; indeed there is no straightforward way to identify the dimer weights and the function  $g$  in the kernel of the Kasteleyn operator. It is much easier to recover the genus 0 results from the genus 1 ones by taking an appropriate limit for the elliptic parameter  $\tau$ ; this is the subject of Section 8.1. It is also not immediate how to recover the specific elliptic dimer models of [BdTR18, dT17] from this more general elliptic dimer model; this is explained in Section 8.2.
3. Another remarkable feature of Theorem 1 is that it provides a local expression for a *two-parameter* family of inverses while in the references [Ken02, BdT11, BdTR17, BdTR18], a *single* inverse was considered. This allows us to prove a local formula for a two-parameter family of Gibbs measures, and not only for the maximal entropy Gibbs measure as was the case in the other references, see Theorem 4 below.
4. The explicit expression of Theorem 1 is very useful to perform asymptotic expansions of  $\mathbb{A}_{\mathbf{b},\mathbf{w}}^{u_0}$  when the graph distance between  $\mathbf{b}$  and  $\mathbf{w}$  gets large; this is the

subject of Propositions 45 and 48 of Section 6.2. There are three different regimes depending on the position of the parameter  $u_0$  in  $D$ , pictured as Cases 1, 2, 3 in Figure 1. In Case 1 (resp. Case 2), one has exponential decay (resp. linear decay up to gauge transformation) of  $A_{b,w}^{u_0}$ , while in Case 3, the state of edges is deterministic. These results allow us to derive the phase diagram of the corresponding dimer model, see Theorem 4 below.

5. The local function  $g$  of Theorem 1, in the kernel of the Kasteleyn operator, gives an explicit realization of a t-immersion of the dual graph  $G^*$  [KLRR18, CLR20], as described in Section 3.3.

We now assume that the minimal graph  $G$  is  $\mathbb{Z}^2$ -periodic. A notable fact is that periodicity of the graph and of half-angles associated to train-tracks are not enough to ensure periodicity of the elliptic Kasteleyn operator  $K$ . In Proposition 29, we prove a necessary and sufficient condition for that to be the case; intuitively it amounts to picking one of the integer points of the geometric Newton polygon, see [GK13] and Section 5.1 for definitions.

To a  $\mathbb{Z}^2$ -periodic bipartite dimer model is naturally associated a *spectral curve*  $\mathcal{C}$ , and its *amoeba*  $\mathcal{A}$ , see Section 5.3 for definitions. Our first result on this subject is Proposition 31 proving an explicit birational parameterization of the spectral curve  $\mathcal{C}$  by the torus  $\mathbb{T}(q)$  using the function  $g$  of Theorem 1: we describe how the domain of definition of the function  $g$ , *i.e.*, the torus  $\mathbb{T}(q)$ , is mapped to the spectral curve  $\mathcal{C}$ , thus establishing that it is a Harnack curve of geometric genus 1. As a byproduct we know how the domain  $D$  of Figure 1 is mapped to the amoeba  $\mathcal{A}$ ; this plays an important role in understanding the phase diagram of the dimer model, also in the non-periodic case, see Point 3 of Remark 5 below. Our main result on this topic is that the converse also holds, see Theorem 32 for a precise statement.

**Theorem 3.** *Every genus 1 Harnack curve with a marked point on the oval is the spectral curve of an explicit dimer model on a minimal graph  $G$  with Fock’s elliptic Kasteleyn operator, for a unique parameter  $t \in \mathbb{R}/\pi\mathbb{Z} + \frac{\pi}{2}\tau$ , and a half-angle map defining a minimal immersion of  $G$ .*

Let us describe the context of this theorem. By [KOS06, KO06, GK13] we know that bipartite dimer models are in correspondence with Harnack curves. This correspondence is made explicit in [KO06] in the case of generic genus 0 Harnack curves and dimer models on isoradial graphs with Kenyon’s critical weights [Ken02]. A result of the same flavor is obtained in [BdTR17] where an explicit correspondence is established between genus 1 Harnack curves with central symmetry and rooted spanning forests with well chosen elliptic weights. Also on this topic, Fock [Foc15] assigns an explicit “dimer model” to every algebraic curve; his construction is very general but does not focus on curves being Harnack and “dimer models” having *positive* weights. Theorem 3 is thus the pendant of [KO06] in the genus 1 case with general (possibly non triangular) Newton polygons; it extends the result of [BdTR17] by removing the symmetry assumption on the curve.

Our proof uses [GK13], see also [Gul08], for reconstructing a minimal graph from the Newton polygon of the spectral curve.

In [She05], the author proves that  $\mathbb{Z}^2$ -periodic bipartite dimer models have a two-parameter family of ergodic Gibbs measures, then [KOS06] provide an explicit expression for these measures using Fourier transforms and magnetic field coordinates. They also identify the phase diagram as the amoeba  $\mathcal{A}$  of the spectral curve  $\mathcal{C}$ . In this article, we reverse this point of view by considering *a priori* a compact Riemann surface (the torus  $\mathbb{T}(q)$ ) which, together with appropriate half-angle maps, induce dimer models on minimally immersed graphs. For any such dimer model, we then construct a two-parameter family of Gibbs measures  $(\mathbb{P}^{u_0})_{u_0 \in D}$  from the inverses  $(A^{u_0})_{u_0 \in D}$ , indexed by the domain  $D$ , which plays the role of the phase diagram. What is noteworthy is that, assuming Condition (\*) below, this also holds for *non-periodic* graphs even though the spectral curve and the amoeba do not exist. Here is our main statement, which is a combination of Theorem 34, Corollary 35 and Theorem 42. It holds for any minimal graph  $\mathbf{G}$  satisfying the following assumption, which is trivially true for  $\mathbb{Z}^2$ -periodic graphs and is believed to hold for all minimal graphs:

(\*) Every finite, simply connected subgraph  $\mathbf{G}_0$  of the minimal graph  $\mathbf{G}$  can be embedded in a *periodic* minimal graph  $\mathbf{G}'$  so that parallel train-tracks in  $\mathbf{G}_0$  remain parallel in  $\mathbf{G}'$ .

**Theorem 4.** *Consider the dimer model with Fock's elliptic weights on an infinite, minimal graph  $\mathbf{G}$  satisfying Condition (\*). Then, for every  $u_0 \in D$ , the operator  $A^{u_0}$  of Theorem 1 defines a Gibbs measure  $\mathbb{P}^{u_0}$  on dimer configurations of  $\mathbf{G}$ , whose expression on cylinder sets is explicitly given by, for every subset of distinct edges  $\{\mathbf{e}_1 = \mathbf{w}_1 \mathbf{b}_1, \dots, \mathbf{e}_k = \mathbf{w}_k \mathbf{b}_k\}$  of  $\mathbf{G}$ ,*

$$\mathbb{P}^{u_0}(\mathbf{e}_1, \dots, \mathbf{e}_k) = \left( \prod_{j=1}^k K_{\mathbf{w}_j, \mathbf{b}_j} \right) \times \det_{1 \leq i, j \leq k} \left( A_{\mathbf{b}_i, \mathbf{w}_j}^{u_0} \right). \quad (1)$$

*The set  $D$  gives the phase diagram of the model: when  $u_0$  is on the top boundary of  $D$ , the dimer model is gaseous; when  $u_0$  is in the interior of the set  $D$ , the model is liquid; when  $u_0$  is a point corresponding to one of the connected components of the lower boundary of  $D$ , the model is solid.*

*When  $\mathbf{G}$  is  $\mathbb{Z}^2$ -periodic, this gives an alternative description of the full set of ergodic Gibbs measures [KOS06].*

*Remark 5.*

1. One of the main features of these Gibbs measures  $\mathbb{P}^{u_0}$  is their *locality property*, inherited from  $A^{u_0}$ : the correlations between edges  $\mathbf{e}_1, \dots, \mathbf{e}_k$  only depend on the geometry of the graph in a ball containing those edges. This locality is a key ingredient to extend the proof of Theorem 4 from periodic to general graphs satisfying Condition (\*), by a now standard argument [dT07a, BdT11, BdTR17].

2. When  $G$  is  $\mathbb{Z}^2$ -periodic, the correspondence between the set of ergodic Gibbs measures from [KOS06] and the family  $(\mathbb{P}^{u_0})_{u_0 \in D}$  is proved by showing that the Fourier transform expressions of the inverses [CKP01, KOS06] as double integrals coincide with the inverses of Theorem 1. The fundamental step is the explicit evaluation of one of the integrals via residues, and a change of variable in the remaining integral which uses the explicit parameterization of the spectral curve  $\mathcal{C}$  together with the key Lemma 37 establishing that the combination of the denominator in the integrand and of the Jacobian is in fact trivial. Note that the locality property of these ergodic Gibbs measures in the periodic case was not known before, except when the spectral curve had genus 0 [Ken02, KO06].
3. As shown in [KOS06], ergodic Gibbs measures for  $\mathbb{Z}^2$ -periodic graphs can alternatively be parameterized by their *slope*, *i.e.*, by their expected horizontal and vertical height change. In Theorem 38, we prove an explicit expression for the slope of the Gibbs measure  $\mathbb{P}^{u_0}$  involving the explicit parameterization of the spectral curve  $\mathcal{C}$  and appropriate contours of integration. This is a refined version of Theorem 5.6. of [KOS06], where the slope was only identified up to a sign and modulo  $\pi$ .
4. Note also that such an explicit formula lends itself well to explicit computations using the residue theorem. As an example, single-edge probabilities are computed in the three different phases in Proposition 43.

From the point of view of statistical mechanics, local formulas for probabilities are expected to exist for models that are invariant under elementary transformations of the graph  $G$ . For example, in the case of the Ising model, the latter are the well known *star-triangle* transformations; in the case of the dimer model, they are the *spider move* and the *shrinking/expanding of a 2-valent vertex* [Kup98, Thu17, Pos06, GK13]. In Proposition 50 and Theorem 53 of Section 7, we prove that invariance under these two moves is *equivalent* to the Kasteleyn coefficients being antisymmetric (as functions of the train-track half-angles) and satisfying Fay's identity in the form of Corollary 9. As a consequence, we recover that this holds for the elliptic dimer model considered in this paper, a fact already known to Fock [Foc15].

As a final remark to this introduction, let us mention our forthcoming paper [BCdT20], where we handle Fock's adjacency operator and its consequences for the dimer model in the case of arbitrary positive genus. It will in particular take care of the additional difficulties related to more involved algebraic and complex geometry.

## Outline of the paper

- In Section 2, we recall concepts and results needed for our work: train-tracks, half-angle maps, minimal graphs, minimal immersions [BCdT19], basics on the dimer model, Fock's definition of the *discrete Abel map* [Foc15] and Jacobi theta functions.

- In Section 3, we define Fock’s elliptic adjacency operators  $K^{(t)}$  [Foc15] and determine under which conditions it is Kasteleyn. We introduce a family of functions in the kernel of  $K^{(t)}$  and study the relative positions of their poles and zeros. Then, building on ideas of [KLRR18] we show that these functions define explicit immersions of the dual graph.
- In Section 4, we fix  $t \in \mathbb{R} + \frac{\pi}{2}\tau$  and introduce a family of *local* operators  $(A^{(t),u_0})_{u_0 \in D}$ , parameterized by a subset  $D$  of the cylinder  $\mathbb{R}/\pi\mathbb{Z} + [0, \frac{\pi}{2}\tau]$ . We then state and prove Theorem 1.
- Section 5 deals with the case of  $\mathbb{Z}^2$ -periodic minimal graphs. We determine for which half-angle maps the corresponding elliptic Kasteleyn operator itself is  $\mathbb{Z}^2$ -periodic. We use the functions of Section 3.2 to give an explicit parameterization of the spectral curve of the model. We then state and prove Theorem 3 and the periodic version of Theorem 4. Finally, we derive an explicit expression for slopes of the Gibbs measures.
- In Section 6, we drop the periodicity assumption on the minimal graph. We then prove Theorem 4 defining a two parameter family of Gibbs measures with three phases as in the periodic case. We compute single-edge probabilities and asymptotics of the inverse operators  $(A^{(t),u_0})_{u_0 \in D}$  in these three phases.
- In Section 7 we show that invariance of the dimer model under some natural elementary transformations on bipartite graphs, is equivalent to the corresponding Kasteleyn coefficients being antisymmetric and satisfying Fay’s identity; in particular this holds for the dimer model with Fock’s elliptic weights.
- Finally, in Section 8, we present relations between the present work and previously studied models. We first show how Kenyon’s critical dimer models [Ken02] can be obtained as rational limits of our elliptic models. Then, we explain how the models of [BdTR18] and of [dT17] are special cases of the constructions of this paper.

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## 2 Generalities

The aim of this first section is to introduce well-known concepts and results needed for the rest of the paper. Section 2.1 deals with train-tracks associated to planar graphs,



minimal graphs, and a special class of half-angle maps associated with train-tracks of minimal graphs. In Section 2.2, we briefly explain the basics of the dimer model on bipartite planar graphs. Finally, in Section 2.3, we recall the definition of Fock's discrete Abel map, and the definition and main features of Jacobi theta functions.

## 2.1 Train-tracks, minimal graphs and monotone angle maps

Consider a locally finite graph  $G = (V, E)$  embedded in the plane so that its faces are bounded topological discs, and denote by  $G^* = (V^*, E^*)$  the dual embedded graph. The associated *quad-graph*  $G^\diamond$  is obtained from the vertex set  $V \sqcup V^*$  by joining a primal vertex  $v \in V$  and a dual vertex  $f \in V^*$  each time  $v$  lies on the boundary of the face corresponding to  $f$ . This quad-graph embeds in the plane with faces consisting of (possibly degenerate) quadrilaterals, whose diagonals are pairs of dual edges of  $G$  and  $G^*$  (see Figure 2).

A *train-track* of  $G$  [Ken02, KS05] is a maximal chain of adjacent quadrilaterals of  $G^\diamond$  such that when it enters a quadrilateral, it exits through the opposite edge. A train-track can also be thought of as a path in  $(G^\diamond)^*$  crossing opposite edges of the quadrilaterals, and we often make this slight abuse of terminology. Note that by construction, the graphs  $G$  and  $G^*$  have the same set of train-tracks.

We now assume that  $G$  is *bipartite*, *i.e.*, that its vertex set can be partitioned into two sets  $V = B \sqcup W$  of black and white vertices such that no edge of  $E$  connects two vertices of the same color. In such a case, paths corresponding to train-tracks can be consistently oriented with, say, black vertices on the right and white vertices on the left of the path, as illustrated in Figure 2. We let  $\mathcal{T}$  denote the set of consistently oriented train-tracks of the bipartite graph  $G$ .

For the definition of our model (see Section 3.1 below), we need to assign a half-angle  $\alpha_T \in \mathbb{R}/\pi\mathbb{Z}$  to each oriented train-track  $T$  of  $\mathcal{T}$ . Many of our results hold for arbitrary half-angle maps  $\alpha: \mathcal{T} \rightarrow \mathbb{R}/\pi\mathbb{Z}$  defined on arbitrary bipartite planar graphs. However, several results only hold for a specific class of such graphs, and for a restricted space of angle maps. We now define these classes of graphs and maps.

Following [Thu17, GK13], we say that a bipartite, planar graph  $G$  is *minimal* if oriented train-tracks of  $\mathcal{T}$  do not self-intersect and if there are no *parallel bigons*, *i.e.*, no pairs of paths intersecting twice and joining these two intersection points in the same direction; we refer to Figure 2 for an example with such forbidden train-track configurations. Note that this implies that  $G$  has neither multiple edges, nor loops, nor degree 1 vertices. In particular, a minimal graph is a simple graph.

More details on the next part of this section can be found in the paper [BCdT19]. The restriction on the half-angle maps can be motivated geometrically as follows. Given a bipartite, planar graph  $G$ , a map  $\alpha: \mathcal{T} \rightarrow \mathbb{R}/\pi\mathbb{Z}$  defines an immersion of  $G^\diamond$  in  $\mathbb{R}^2$  by realizing every directed edge of  $G^\diamond$  crossed by an oriented train-track  $T$  from left to right as the unit vector  $e^{2i\alpha_T}$ . In this way, each face of  $G^\diamond$  is mapped to a rhombus

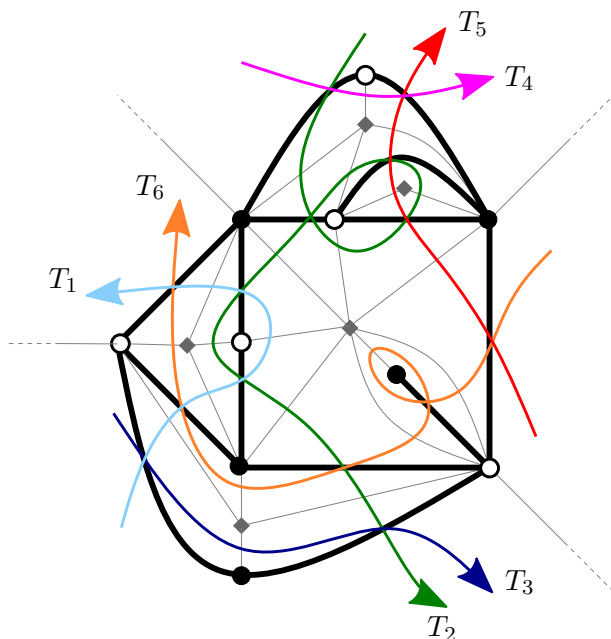


Figure 2: A finite portion of a bipartite graph  $G$ , with black edges and black/white vertices. The associated dual graph  $G^*$  has vertices marked with grey diamonds, while the associated quad-graph  $G^\diamond$  is represented with grey edges. The corresponding train-tracks form two self-intersections ( $T_2$  and  $T_6$ ) and two parallel bigons (one between  $T_1$  and  $T_6$ , the other between  $T_2$  and  $T_5$ ). In particular,  $G$  is not a minimal graph.

of unit edge length, with a rhombus angle in  $[0, 2\pi)$  naturally defined from the value of  $\alpha$  on the two train-tracks crossing this face. Pasting these rhombi together using the combinatorial information of  $G$  and adding up the corresponding rhombus angles, we obtain angles at the vertices of  $G^\diamond$  that are, in general, arbitrary integer multiples of  $2\pi$ . Following [BCdT19], we say that  $\alpha$  defines a *minimal immersion* of  $G$  if the rhombus angles never vanish and add up to  $2\pi$  around each vertex of  $G^\diamond$ . This notion is a natural generalization of the isoradial embeddings of Kenyon and Schlenker [KS05], where rhombi with rhombus angle in  $(\pi, 2\pi)$  are folded along their dual edge.

A map  $\alpha: \mathcal{T} \rightarrow \mathbb{R}/\pi\mathbb{Z}$  defines a minimal immersion if it respects some natural cyclic order on  $\mathcal{T}$ , whose definition we now recall, see also [BCdT19, Section 2.3]. Let us assume that  $G$  is a minimal graph. We say that two oriented train-tracks are *parallel* (resp. *anti-parallel*) if they are disjoint and there exists a topological disc that they cross in the same direction (resp. in opposite directions). Consider a triple of oriented train-tracks  $(T_1, T_2, T_3)$  of  $G$ , pairwise non-parallel. If two of these train-tracks intersect infinitely often, then they do so in opposite directions: replace this pair of train-tracks by anti-parallel disjoint oriented curves. We are now left with three bi-infinite oriented planar curves that intersect a finite number of times. Consider a compact disk  $B$  outside of which they do not meet, and order  $(T_1, T_2, T_3)$  cyclically according to the outgoing

points of the corresponding oriented curves in the circle  $\partial B$ . A choice was made when replacing anti-parallel train-tracks by disjoint curves, but the resulting (partial) cyclic order on  $\mathcal{T}$  is easily seen not to depend on this choice. Note that when  $G$  is  $\mathbb{Z}^2$ -periodic, this cyclic order is the same as the natural cyclic order defined via the homology classes of the projections of the train-tracks onto  $G/\mathbb{Z}^2$ , see Section 5.

Following [BCdT19], we denote by  $X_G$  the set of half-angle maps  $\alpha: \mathcal{T} \rightarrow \mathbb{R}/\pi\mathbb{Z}$  that are monotone with respect to the cyclic orders on  $\mathcal{T}$  and  $\mathbb{R}/\pi\mathbb{Z}$ , and that map pairs of intersecting or anti-parallel train-tracks to distinct angles. One of the main results of [BCdT19] can now be stated as follows: a planar bipartite graph  $G$  admits a minimal immersion if and only if  $G$  is minimal; in such a case, the space of minimal immersions contains  $X_G$ , and coincides with it in the  $\mathbb{Z}^2$ -periodic case.

## 2.2 The dimer model

We here recall basic facts and definitions on the dimer model. More details can be found for example in [Ken04] and references therein.

In the whole of this section,  $G$  is a planar, bipartite graph, finite or infinite. A *dimer configuration* of  $G$ , also known as a *perfect matching*, is a collection  $M$  of edges of  $G$  such that every vertex is incident to exactly one edge of  $M$ ; we denote by  $\mathcal{M}(G)$  the set of dimer configurations of the graph  $G$  and assume that this set is non-empty.

Suppose that edges are assigned a positive weight function  $\nu = (\nu_e)_{e \in E}$ . When the graph is finite, the *dimer Boltzmann measure*  $\mathbb{P}$  on  $\mathcal{M}(G)$  is defined by:

$$\mathbb{P}(M) = \frac{\nu(M)}{Z(G, \nu)},$$

where  $\nu(M) = \prod_{e \in M} \nu_e$  is the weight of the dimer configuration  $M$ , and  $Z(G, \nu) = \sum_{M \in \mathcal{M}(G)} \nu(M)$  is the *dimer partition function*.

When the graph  $G$  is infinite, the notion of Boltzmann measure is replaced by that of *Gibbs measure*. By definition, a Gibbs measure  $\mathbb{P}$  needs to satisfy the *DLR conditions* [Dob68, LIR69]: if one fixes a dimer configuration in an annular region of the graph  $G$ , dimer configurations inside and outside of the annulus are independent; moreover the probability of any dimer configuration in the connected region inside the annulus is proportional to the product of its edge weights.

Following [KOS06], two dimer models given by two positive weight functions  $\nu$  and  $\nu'$  on  $G$  are said to be *gauge equivalent* if there exists a positive function  $\sigma$  on  $V$  such that, for each edge  $wb \in E$ , we have  $\nu'_{wb} = \sigma_w \nu_{wb} \sigma_b$ . Suppose now that the graph  $G$  is finite, then two gauge equivalent dimer models are easily seen to yield the same Boltzmann measure. Therefore, many of the edge weight parameters are non-essential as far as the associated Boltzmann measure is concerned. For a bipartite, planar, weighted graph  $(G, \nu)$ , a family of associated essential parameters is given as follows. The *face weight*  $W_f$  of a degree  $2n$

face  $f$  is defined to be

$$W_f := \prod_{j=1}^n \frac{\nu_{w_j b_j}}{\nu_{w_j b_{j+1}}}, \quad (2)$$

where  $w_1, b_1, w_2, \dots, w_n, b_n$  are the vertices on the boundary of  $f$  oriented counterclockwise with cyclic notation for indices, see Figure 3. When  $G$  is planar, which is assumed to be the case here, two dimer models on  $G$  are gauge equivalent if and only if the corresponding edge weights define equal face weights for all bounded faces. Moreover, the associated Boltzmann measure can be described using these face weights. This requires the concept of *height function*, that we now recall.

Let us fix a reference dimer configuration  $M_1$ , and take an arbitrary  $M \in \mathcal{M}(G)$ . Considering  $M$  and  $M_1$  as consistently oriented from white to black vertices, their difference  $M - M_1$  is an oriented cycle in  $G$ . Since this graph is embedded in the plane, this oriented cycle bounds a collection of faces. In other words, we have  $M - M_1 = \partial(\sum_{f \in F} h_M(f)f)$  for some function  $h_M: F \rightarrow \mathbb{Z}$ , uniquely defined up to a global additive constant. This is called the *height function* of  $M$  (with respect to  $M_1$ ). As one easily checks, we then have

$$\mathbb{P}(M) = \frac{W(h_M)}{Z(G, W)},$$

where  $W(h_M) = \prod_{f \in F} W_f^{h_M(f)}$  and  $Z(G, W) = \sum_{M \in \mathcal{M}(G)} W(h_M)$ . In a nutshell, fixing a reference dimer configuration allows to reformulate the Boltzmann measure on  $\mathcal{M}(G)$  with (many non-essential) parameters  $(\nu_e)_{e \in E}$  as a measure on the associated height functions with (only essential) parameters  $(W_f)_{f \in F}$ .

One of the key tools for studying the dimer model is the *Kasteleyn matrix* [Kas61, TF61, Per69]. Suppose that edges are oriented so that around every bounded face of the graph  $G$ , there are an odd number of edges oriented clockwise. Define  $K$  to be the corresponding oriented, weighted, adjacency matrix: rows of  $K$  are indexed by white vertices, columns by black ones, non-zero coefficients correspond to edges of  $G$ , and when  $w \sim b$ ,  $K_{w,b} = \pm \nu_{wb}$ , where the sign is  $+$ , resp.  $-$ , if the edge is oriented from  $w$  to  $b$ , resp. from  $b$  to  $w$ . When the graph  $G$  is finite, the partition function of the dimer model is equal to  $|\det K|$  [Kas67, TF61]. Kenyon [Ken97] derives an explicit expression for the dimer Boltzmann measure  $\mathbb{P}$  in terms of  $K$ , establishing that the dimer model is a determinantal process.

This was extended by Kuperberg [Kup98] as follows. Consider a weighted adjacency matrix of  $G$  with possibly complex coefficients, *i.e.*, a matrix  $K$  as above with  $K_{w,b} = \omega_{wb} \nu_{wb}$ , this time allowing for  $\omega_{wb}$  to be any modulus 1 complex number (as opposed to only  $\pm 1$  above). Let us assume that for any bounded face  $f$  of  $G$ , the phase  $\omega$  satisfies the following *Kasteleyn condition*:

$$\prod_{j=1}^n \frac{\omega_{w_j b_j}}{\omega_{w_j b_{j+1}}} = (-1)^{n+1},$$

assuming the notation of Figure 3. Then, the dimer partition function and Boltzmann measure can be computed from  $K$  and its inverse. When this is the case,  $K$  is said to be *Kasteleyn*; we also refer to  $K$  as a *Kasteleyn matrix* for the dimer model on  $(G, \nu)$ .

The situation in the case of finite graphs embedded in the torus is different; the key facts are recalled when needed, that is at the beginning of Section 5.5.

A Kasteleyn matrix  $K$  can be seen as a linear operator from the complex valued functions on black vertices to those on white vertices of  $G$ , via the equality  $(Kf)_w = \sum_b K_{w,b} f_b$  for  $f \in \mathbb{C}^B$ . Therefore, we will refer to  $K$  as a Kasteleyn matrix or *operator*, and similarly for weighted adjacency matrices/operators.

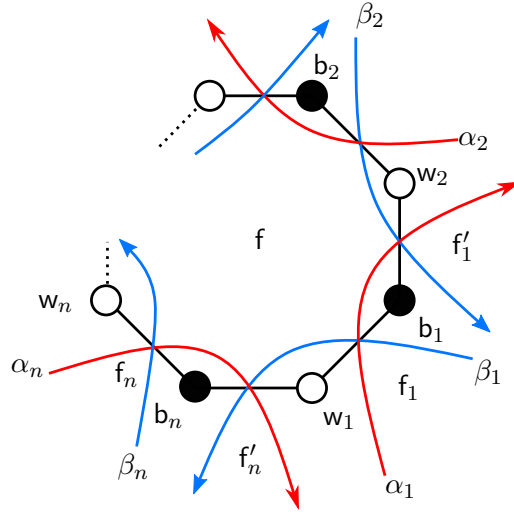


Figure 3: Vertices and train-track half-angles around an arbitrary face.

### 2.3 Discrete Abel map and Jacobi theta functions

In order to define Fock's elliptic adjacency operator in the next section, we need two preliminary definitions: the discrete Abel map, and elliptic theta functions.

**Discrete Abel map.** Following Fock [Foc15], we iteratively construct a function  $\eta$ , denoted by  $\mathbf{d}$  in [Foc15], which assigns to every vertex of the quad-graph  $G^\diamond$  a linear combination of train-track half-angles  $(\alpha_T)_{T \in \mathcal{T}}$  with integer coefficients.

The map  $\eta$  is constructed as follows. Choose a vertex  $v_0$  of  $G^\diamond$  and set (arbitrarily)  $\eta(v_0) = 0$ . Then along an edge of the quad-graph  $G^\diamond$  crossed by a train-track  $T$ , the value of  $\eta$  increases, resp. decreased by  $\alpha_T$ , if  $T$  goes from right to left, resp. from left to right, when traversing the edge. One easily checks that this gives a well defined map on the vertices of  $G^\diamond$ . This formal  $\mathbb{Z}$ -linear combination of half-angles can be understood as an element of  $\mathbb{R}/\pi\mathbb{Z}$  by evaluating the combination modulo  $\pi$ . An example of computation around a face of  $G^\diamond$  is given in Figure 4 below.

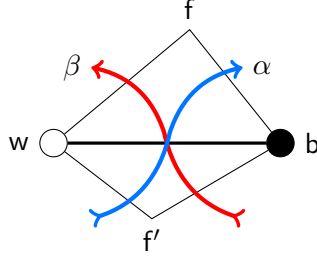


Figure 4: A face of  $G^\diamond$  has four vertices corresponding to a white vertex  $w$ , a black vertex  $b$  and two faces  $f$  and  $f'$  of the minimal graph, and is at the intersection of two train-tracks. The train-tracks are oriented in such a way that they turn counterclockwise around  $w$  and clockwise around  $b$ . We have  $\eta(b) = \eta(f) + \alpha = \eta(f') + \beta = \eta(w) + \alpha + \beta$ .

**Jacobi theta functions and Weierstrass/Fay's identity.** Classically, there are four theta functions, denoted either by  $\theta_{i,j}$  with  $i, j = 0, 1$ , or by  $\theta_\ell$  with  $\ell \in \{1, \dots, 4\}$ , whose definition may slightly vary depending on the sources. Among these four, only one is an odd function. This function,  $\theta_1$  in the second notation, is the function we mainly use here, and we simply denote it by  $\theta$  when there is no ambiguity. Let us recall its definition. Let  $\tau$  be a complex number with positive imaginary part and let  $q = e^{i\pi\tau}$ . The (first) Jacobi theta function  $\theta$  is the entire holomorphic function defined by the following series:

$$\theta(z) = \theta_1(z; q) = \theta_1(z|\tau) = 2 \sum_{n=0}^{\infty} (-1)^n q^{(n+\frac{1}{2})^2} \sin[(2n+1)z]. \quad (3)$$

The function  $\theta$  is antisymmetric and  $2\pi$ -periodic:

$$\forall z \in \mathbb{C}, \quad \theta(z + \pi) = \theta(-z) = -\theta(z),$$

and also satisfies the quasiperiodic relation

$$\forall z \in \mathbb{C}, \quad \theta(z + \pi\tau) = (-qe^{2iz})^{-1}\theta(z). \quad (4)$$

*Remark 6.* The zeros of  $\theta$  in  $\mathbb{C}$  form a two-dimensional lattice  $\Lambda$ , generated by  $\pi$  and  $\pi\tau$ , and we let  $\mathbb{T}(q) := \mathbb{C}/\Lambda$  denote the torus obtained from  $\Lambda$ . The function  $\theta$  is an elementary brick to build  $\Lambda$ -periodic, meromorphic functions, *i.e.*,  $\Lambda$ -elliptic functions. For example, the ratio  $\frac{\theta(z-a_1)\theta(z-a_2)}{\theta(z-b_1)\theta(z-b_2)}$  is an elliptic function with two simple zeros (at  $a_1$  and  $a_2$ ) and two simple poles (at  $b_1$  and  $b_2$ ) on  $\mathbb{T}(q)$  as soon as  $a_1, a_2, b_1$  and  $b_2$  are distinct, and satisfy  $a_1 + a_2 \equiv b_1 + b_2 \pmod{\pi}$ ; and every  $\Lambda$ -elliptic function with two zeros and two poles is of that form (see *e.g.* [Bax82, Theorem 15(c)]).

A crucial role is played by the following functional identity satisfied by the theta function:

**Proposition 7** (Fay’s trisecant identity/Weierstrass identity). *For all  $(s, u) \in \mathbb{C}^2$ , for all  $(a, b, c) \in \mathbb{C}^3$ ,*

$$\begin{aligned} \frac{\theta(b-a)}{\theta(s-a)\theta(s-b)} \frac{\theta(u+s-a-b)}{\theta(u-a)\theta(u-b)} + \frac{\theta(c-b)}{\theta(s-b)\theta(s-c)} \frac{\theta(u+s-b-c)}{\theta(u-b)\theta(u-c)} \\ + \frac{\theta(a-c)}{\theta(s-c)\theta(s-a)} \frac{\theta(u+s-c-a)}{\theta(u-c)\theta(u-a)} = 0. \end{aligned} \quad (5)$$

This identity, which can be derived from the Weierstrass identity [Wei82], see e.g. [Law89, Ch. 1, ex. 4], can be seen as the genus 1 case of the more general Fay identity [Fay73] satisfied by the Riemann theta functions and the associated prime forms on Riemann surfaces. Fay’s identity is a cornerstone of the work of Fock [Foc15] on the inverse spectral problem for Goncharov-Kenyon integrable systems. We refer the reader to [Geo19] for an analogous of Fock’s results for spectral curves of Laplacians on minimal periodic planar graphs in connection with Fay’s *quadriseccant identity*.

Translating  $a, b, c$  by elements of  $\Lambda$  leaves Equation (5) invariant. Translating  $s$  or  $u$  gives a global multiplicative factor which does not change the fact that the sum is zero. Therefore, the parameters in this identity can really been interpreted as elements of the torus  $\mathbb{T}(q)$ .

By letting  $c$  tend to  $u$  in Fay’s trisecant identity, we immediately obtain the following telescopic identity which only depends on  $a, b, u$  and  $s$ , giving the version we mostly use:

**Corollary 8.** *For all  $(s, u) \in \mathbb{C}^2$ , for all  $(a, b) \in \mathbb{C}^2$ ,*

$$\frac{\theta(u-s)\theta(b-a)}{\theta(s-a)\theta(s-b)} \cdot \frac{\theta(u+s-a-b)}{\theta(u-a)\theta(u-b)} = F^{(s)}(u; b) - F^{(s)}(u; a) \quad (6)$$

where

$$F^{(s)}(u; a) = \frac{1}{\theta'(0)} \left( \frac{\theta'}{\theta}(s-a) - \frac{\theta'}{\theta}(u-a) \right).$$

Note also that multiplying Equation (5) by  $\theta(t-a)\theta(t-b)\theta(t-c)\theta(u-a)\theta(u-b)\theta(u-c)$  and writing  $t := u+s$  and  $d := s$ , we immediately obtain the following elegant version of Fay’s identity [Foc15]:

**Corollary 9.** *For all  $(a, b, c, d) \in \mathbb{C}^4$ ,*

$$F_t(a, b)F_t(c, d) + F_t(a, c)F_t(d, b) + F_t(a, d)F_t(b, c) = 0, \quad (7)$$

where  $F_t(a, b) := \theta(a-b)\theta(a+b-t)$ .

### 3 Family of elliptic Kasteleyn operators

Let  $\mathbf{G}$  be an infinite planar, bipartite graph. In Section 3.1, we introduce Fock’s one-parameter family of adjacency operators [Foc15] in the genus 1 case, denoted by  $(\mathbf{K}^{(t)})_{t \in \mathbb{C}}$ ,

which depend on a half-angle map  $\alpha: \mathcal{T} \rightarrow \mathbb{R}/\pi\mathbb{Z}$  and on a modular parameter  $\tau$ . In Proposition 12, we use the results of [BCdT19] to prove that if  $\mathbf{G}$  is minimal, if  $\alpha$  belongs to  $X_{\mathbf{G}}$  (recall Section 2.1), if the parameter  $\tau$  is pure imaginary and  $t$  lies in  $\mathbb{R} + \frac{\pi}{2}\tau$ , then the operator  $\mathbf{K}^{(t)}$  is actually a Kasteleyn operator (recall Section 2.2). In Section 3.2, coming back to the general setting of an arbitrary graph  $\mathbf{G}$ , half-angle map  $\alpha$  and complex parameter  $t$ , we introduce a family of functions in the kernel of  $\mathbf{K}^{(t)}$ . In Section 3.3, we show how these functions define explicit immersions of the dual graph  $\mathbf{G}^*$ , in the spirit of the recent paper [KLRR18]. Finally, in Section 3.4, we assume once again the hypotheses of Proposition 12 and study the relative positions of the poles and the zeros of these functions, a fact used in Section 4.1.

### 3.1 Kasteleyn elliptic operators

Let  $\mathbf{G}$  be an infinite, planar, bipartite graph, and let us fix a half-angle map  $\alpha: \mathcal{T} \rightarrow \mathbb{R}/\pi\mathbb{Z}$  and a modular parameter  $\tau$ . Recall that, by Section 2.3, this allows to define the discrete Abel map  $\eta$  and the Jacobi theta function  $\theta$ .

**Definition 10.** *Fock's elliptic adjacency operator*  $\mathbf{K}^{(t)}$  is the complex weighted, adjacency operator of the graph  $\mathbf{G}$ , whose non-zero coefficients are given as follows: for every edge  $wb$  of  $\mathbf{G}$  crossed by train-tracks with half-angles  $\alpha$  and  $\beta$  as in Figure 4, we have

$$\mathbf{K}_{w,b}^{(t)} = \frac{\theta(\beta - \alpha)}{\theta(t + \eta(b) - \beta)\theta(t + \eta(b) - \alpha)}. \quad (8)$$

Several remarks are in order.

*Remark 11.*

1. This operator is the genus 1 case of a more general operator introduced by Fock [Foc15] on periodic minimal graphs involving Riemann theta functions of positive genus and their associated prime forms.
2. By the equality  $\theta(z + \pi) = -\theta(z)$ , the coefficient  $\mathbf{K}_{w,b}^{(t)}$  is unchanged when adding a multiple of  $\pi$  to  $\alpha$ ,  $\beta$  or  $\eta(b)$ . Hence, the operator  $\mathbf{K}^{(t)}$  only depends on the half-angle map  $\mathcal{T} \rightarrow \mathbb{R}/\pi\mathbb{Z}$ , as it should.
3. By definition of  $\eta$ , we have  $\eta(b) = \eta(w) + \alpha + \beta$ , and the denominator can be rewritten differently depending on whether we wish to focus on the black vertex  $b$ , the white vertex  $w$  or the neighboring faces  $f$  or  $f'$ :

$$\theta(t + \eta(b) - \beta)\theta(t + \eta(b) - \alpha) = \theta(t + \eta(w) + \alpha)\theta(t + \eta(w) + \beta) = \theta(t + \eta(f))\theta(t + \eta(f')).$$

We now show that, under some hypotheses on these parameters, the operator  $\mathbf{K}^{(t)}$  is Kasteleyn. As a consequence, the Boltzmann measure on dimer configurations of a finite connected subgraph of  $\mathbf{G}$  can be constructed as a determinantal processes via  $\mathbf{K}^{(t)}$ , as stated in Section 2.2.



Recall that  $X_G$  denotes the space of half-angle maps  $\alpha: \mathcal{T} \rightarrow \mathbb{R}/\pi\mathbb{Z}$  that are monotone with respect to the cyclic orders on  $\mathcal{T}$  and  $\mathbb{R}/\pi\mathbb{Z}$ , and that map pairs of intersecting or anti-parallel train-tracks to distinct half-angles.

**Proposition 12.** *Let  $G$  be a minimal graph,  $\alpha$  belong to  $X_G$ ,  $\tau$  be pure imaginary and  $t$  lie in  $\mathbb{R} + \frac{\pi}{2}\tau$ . Then, Fock's elliptic adjacency operator  $K^{(t)}$  is Kasteleyn.*

*Proof.* Let us compute the argument of the complex number  $K_{w,b}^{(t)}$  up to gauge equivalence (recall Section 2.2). To do so, first observe that the theta functions  $\theta = \theta_1$  and  $\theta_4$  are related by

$$\theta_1(u + \frac{\pi\tau}{2}) = iq^{-1/4}e^{-iu}\theta_4(u) \quad (9)$$

for all  $u \in \mathbb{C}$  (see e.g. [Law89, (1.3.6)]), with  $\theta_4(u) = \theta_4(u|\tau)$  strictly positive for  $u$  real and  $\tau$  pure imaginary. Since  $t = t' + \frac{\pi\tau}{2}$  with  $t' \in \mathbb{R}$ , we obtain

$$K_{w,b}^{(t)} = \frac{-q^{1/2} e^{2i(t'+\eta(b))}}{\theta_4(t'+\eta(b)-\beta)\theta_4(t'+\eta(b)-\alpha)} \theta(\beta-\alpha)e^{-i(\alpha+\beta)}.$$

Note that in the fraction above, the numerator can be discarded up to gauge equivalence, *i.e.*, cancels out when computing the face weight, while the denominator is strictly positive. Therefore, up to gauge equivalence, the argument of  $K_{w,b}^{(t)}$  is simply given by the argument of  $\theta(\beta-\alpha)e^{-i(\alpha+\beta)}$ . Since  $\theta(u)$  is positive for  $u \in (0, \pi)$  and negative for  $u \in (\pi, 2\pi)$ , one easily checks that this argument is equal to  $\frac{\pi}{2} + \arg(e^{2i\beta} - e^{2i\alpha})$ .

Since  $G$  is minimal and  $\alpha$  belongs to  $X_G$ , Theorem 23 of [BCdT19] can be applied, and the angle map  $\alpha$  defines a minimal immersion of  $G$  (recall Section 2.1). Now, by [BCdT19, Theorem 31], Kenyon's argument for critical weights on isoradial graphs [Ken02] extends to the minimal setting, and we deduce that the phases above satisfy the Kasteleyn condition.  $\square$

*Remark 13.* Let us briefly discuss the hypotheses of this proposition.

1. As explained in detail in Section 5, when  $G$  is  $\mathbb{Z}^2$ -periodic and  $\alpha$  is chosen such that  $K^{(t)}$  is a periodic Kasteleyn operator, the associated spectral curve is a Harnack curve [KO06, KOS06], of geometric genus 1, parameterized by the torus  $\mathbb{T}(q)$ . By maximality of Harnack curves, the real locus of this spectral curve has two connected components, and hence, so should the real locus of  $\mathbb{T}(q)$ . This happens if and only if  $\mathbb{T}(q)$  is a rectangular torus, *i.e.*, if  $\tau$  is pure imaginary. Therefore, at least in the  $\mathbb{Z}^2$ -periodic case, the proposition above does not hold unless  $\tau$  is pure imaginary.
2. By the proof above, if  $\tau$  is pure imaginary and  $t$  lies in  $\mathbb{R} + \frac{\pi}{2}\tau$ , then the argument of  $K_{w,b}^{(t)}$  is given by  $\arg(e^{2i\beta} - e^{2i\alpha})$  up to gauge equivalence. Furthermore, if  $G$  is minimal and  $\alpha$  belongs to  $X_G$ , then this argument satisfies Kasteleyn's condition. Actually [BCdT19, Theorem 31] proves that if  $G$  is non-minimal, then there is

no half-angle map such that  $\frac{\pi}{2} + \arg(e^{2i\beta} - e^{2i\alpha})$  satisfies Kasteleyn's condition. Therefore, minimal graphs form the largest class of bipartite planar graphs where the above argument can be applied.

### 3.2 Functions in the kernel of the elliptic Kasteleyn operator

Inspired by [Foc15], we introduce a complex valued function  $g^{(t)}$  defined on pairs of vertices of the quad-graph  $G^\diamond$  and depending on a complex parameter  $u$ , which is in the kernel of the operator  $K^{(t)}$ . This definition extends to the elliptic case that of the function  $f$  of [Ken02]. Note that in the critical case of [Ken02], there is no extra parameter  $t$ .

When both vertices are equal to a vertex  $x$  of  $G^\diamond$ , set  $g_{x,x}^{(t)}(u) \equiv 1$ . Next, let us define  $g^{(t)}$  for pairs of adjacent vertices  $v, f$  of  $G^\diamond$ , where  $v$  (resp.  $f$ ) is a vertex of  $G$  (resp.  $G^*$ ); let  $\alpha$  be the half-angle of the train-track crossing the edge  $vf$ . Then, depending on whether  $v$  is a white vertex  $w$  or a black vertex  $b$  of  $G$ , we set:

$$g_{f,w}^{(t)}(u) = (g_{w,f}^{(t)}(u))^{-1} = \frac{\theta(u + t + \eta(w))}{\theta(u - \alpha)},$$

$$g_{b,f}^{(t)}(u) = (g_{f,b}^{(t)}(u))^{-1} = \frac{\theta(u - t - \eta(b))}{\theta(u - \alpha)}.$$

Now let  $x, y$  be any two vertices of the quad-graph  $G^\diamond$ , and consider a path  $x = x_1, \dots, x_n = y$  of  $G^\diamond$  from  $x$  to  $y$ . Then, as in the critical case of [Ken02],  $g^{(t)}$  is taken to be the product of the contributions along edges of the path:

$$g_{x,y}^{(t)}(u) = \prod_{i=1}^{n-1} g_{x_i, x_{i+1}}^{(t)}(u).$$

**Lemma 14.** *For every pair of vertices  $x, y$  of  $G$ , the function  $g_{x,y}^{(t)}$  is well-defined, i.e., independent of the choice of path in  $G^\diamond$  joining  $x$  and  $y$ .*

*Proof.* It suffices to check that  $g^{(t)}$  is well defined around a rhombus  $w, f', b, f$  of the quad-graph; let  $\alpha, \beta$  be the half-angles of the train-tracks defining the rhombus, see Figure 4. Then, by definition, the product  $g_{f',w}^{(t)} g_{w,f}^{(t)} g_{f,b}^{(t)} g_{b,f'}^{(t)}$  is equal to:

$$\frac{\theta(u + t + \eta(w))}{\theta(u - \alpha)} \frac{\theta(u - \beta)}{\theta(u + t + \eta(w))} \frac{\theta(u - \alpha)}{\theta(u - t - \eta(b))} \frac{\theta(u - t - \eta(b))}{\theta(u - \beta)} = 1. \quad \square$$

*Remark 15.* In the particular case of a black vertex  $b$  and a white vertex  $w$  along an edge of the graph  $G$ , using the notation of Figure 4 and the fact that  $\eta(w) = \eta(b) - \alpha - \beta$ ,

we have

$$\begin{aligned}
g_{\mathbf{b},\mathbf{w}}^{(t)}(u) &= \frac{\theta(u+t+\eta(\mathbf{w}))\theta(u-t-\eta(\mathbf{b}))}{\theta(u-\alpha)\theta(u-\beta)} \\
&= \frac{\theta(u+t+\eta(\mathbf{b})-\alpha-\beta)\theta(u-t-\eta(\mathbf{b}))}{\theta(u-\alpha)\theta(u-\beta)} \\
&= \frac{\theta(u+t+\eta(\mathbf{w}))\theta(u-t-\eta(\mathbf{w})-\alpha-\beta)}{\theta(u-\alpha)\theta(u-\beta)},
\end{aligned}$$

which is a  $\Lambda$ -elliptic function, by Remark 6. Being a product of  $\Lambda$ -elliptic functions,  $g_{\mathbf{x},\mathbf{y}}^{(t)}(u)$  is itself  $\Lambda$ -elliptic whenever  $\mathbf{x}$  and  $\mathbf{y}$  are both vertices of  $\mathbf{G}$ . In this case, we consider the parameter  $u$  as living on the torus  $\mathbb{T}(q) := \mathbb{C}/\Lambda$ . However, this property is not true in general when  $\mathbf{x}$  or  $\mathbf{y}$  is a dual vertex of  $\mathbf{G}^*$ . Note that  $g_{\mathbf{b},\mathbf{w}}^{(t)}(u)$  is also well defined when the half-angles  $\alpha, \beta$  of train-tracks separating  $\mathbf{b}$  and  $\mathbf{w}$  are considered in  $\mathbb{R}/\pi\mathbb{Z}$ , and that the same holds for  $g_{\mathbf{x},\mathbf{y}}^{(t)}(u)$  when both vertices  $\mathbf{x}, \mathbf{y}$  belong to  $\mathbf{G}$ .

The next proposition states that for any given  $u$ , the rows and columns of the matrix  $g^{(t)}(u)$ , restricted to white and black vertices respectively, are in the kernel of  $\mathbf{K}^{(t)}$ . Although with a different vocabulary, this result is actually contained in Theorem 1 of [Foc15], hence the attribution. We provide a proof since it is not immediate how to translate Fock's algebraic geometry point of view into ours.

**Proposition 16** ([Foc15]). *Let  $u \in \mathbb{C}$ , and let  $\mathbf{x}$  be a vertex of the quad-graph  $\mathbf{G}^\diamond$ , then:*

1.  $g_{\mathbf{x},\cdot}^{(t)}(u)$ , seen as a row vector indexed by white vertices of  $\mathbf{G}$ , is in the left kernel of  $\mathbf{K}^{(t)}$ ; equivalently, for every black vertex  $\mathbf{b}$  of  $\mathbf{G}$ , we have  $\sum_{\mathbf{w}} g_{\mathbf{x},\mathbf{w}}^{(t)}(u) \mathbf{K}_{\mathbf{w},\mathbf{b}}^{(t)} = 0$ .
2.  $g_{\cdot,\mathbf{x}}^{(t)}(u)$ , seen as a column vector indexed by black vertices of  $\mathbf{G}$ , is in the right kernel of  $\mathbf{K}^{(t)}$ ; equivalently, for every white vertex  $\mathbf{w}$  of  $\mathbf{G}$ , we have  $\sum_{\mathbf{b}} \mathbf{K}_{\mathbf{w},\mathbf{b}}^{(t)} g_{\mathbf{b},\mathbf{x}}^{(t)}(u) = 0$ .

*Proof.* Let us prove the first identity. Using the product form of  $g^{(t)}$ , we write  $g_{\mathbf{x},\mathbf{w}}^{(t)}(u) = g_{\mathbf{x},\mathbf{b}}^{(t)}(u)g_{\mathbf{b},\mathbf{w}}^{(t)}(u)$  and factor out  $g_{\mathbf{x},\mathbf{b}}^{(t)}(u)$ , so that we can assume without loss of generality that  $\mathbf{x} = \mathbf{b}$ .

Let  $\alpha, \beta$  be the parameters of the train-tracks crossing the edge  $\mathbf{wb}$ , see Figure 4. Then using the definition of the elliptic Kasteleyn operator (8) and Remark 15, we have

$$g_{\mathbf{b},\mathbf{w}}^{(t)}(u) \mathbf{K}_{\mathbf{w},\mathbf{b}}^{(t)} = \theta(u-t-\eta(\mathbf{b})) \frac{\theta(u+t+\eta(\mathbf{b})-\alpha-\beta)}{\theta(u-\alpha)\theta(u-\beta)} \frac{\theta(\beta-\alpha)}{\theta(t+\eta(\mathbf{b})-\alpha)\theta(t+\eta(\mathbf{b})-\beta)}.$$

Now using Corollary 8, with  $s = t + \eta(\mathbf{b})$ ,  $a = \alpha$ ,  $b = \beta$ , we obtain

$$g_{\mathbf{b},\mathbf{w}}^{(t)}(u) \mathbf{K}_{\mathbf{w},\mathbf{b}}^{(t)} = F^{(t+\eta(\mathbf{b}))}(u; \beta) - F^{(t+\eta(\mathbf{b}))}(u; \alpha).$$

As a consequence, for  $\mathbf{b}$  fixed, the right-hand side is the generic term of a telescopic sum, which gives zero when summing over the white neighbors of  $\mathbf{b}$ .

The proof of the second identity follows the same lines, and it is enough to check the case where  $x = w$ . With the same notation as above, rewriting the expression of  $K_{w,b}^{(t)}$  using  $\eta(w) = \eta(b) - \alpha - \beta$ , we obtain:

$$K_{w,b}^{(t)} g_{b,w}^{(t)}(u) = \frac{\theta(\beta - \alpha)}{\theta(t + \eta(w) + \alpha)\theta(t + \eta(w) + \beta)} \frac{\theta(u - t - \eta(w) - \alpha - \beta)}{\theta(u - \alpha)\theta(u - \beta)} \theta(u + t + \eta(w)).$$

Applying Corollary 8 again with  $s = -t - \eta(w)$  implies that, for  $w$  fixed, this is the generic term of a telescopic sum which gives zero when summing over the black neighbors of  $w$ .  $\square$

*Remark 17.* From the function  $g^{(t)}$ , it is possible to construct more functions in the kernel of  $K^{(t)}$ . For example, fix a black vertex  $b$  and let  $\Phi$  be a generalized function (*e.g.* a measure, or a linear combination of evaluations of derivatives) on  $\mathbb{C}$  with, for definiteness, compact support avoiding poles of  $g_{b,w}^{(t)}(u)$ , for any white vertex  $w$ . Then the action  $\langle \Phi, g_{b,w}^{(t)} \rangle$  of  $\Phi$  on each of the entries of the vector  $(g_{b,w}^{(t)}(u))_{w \in W}$  is a row vector in the left kernel of  $K^{(t)}$  by linearity:

$$\langle \Phi, g^{(t)} \rangle \cdot K^{(t)} = \langle \Phi, g^{(t)} \cdot K^{(t)} \rangle = \langle \Phi, 0 \rangle = 0.$$

We wonder if all functions in the kernel of  $K^{(t)}$  are of this form.

### 3.3 Graph immersions and circle patterns

In the recent paper [KLRR18], the authors introduce *circle pattern embeddings* of a bipartite, planar graph  $G$  endowed with a dimer model associated to a weight function  $\nu$ . Speaking in dual terms, the same object is considered in [CLR20], where it is referred to as *t-embeddings* of the dual graph  $G^*$ . Note that the “t” in the name t-embedding has nothing to do with our parameter  $t$ . In the finite case, the outer face has restrictions on its degree, and in the infinite case, the graph is assumed to be  $\mathbb{Z}^2$ -periodic and the associated dimer model is supposed to be in the liquid phase. The t-embedding is defined using functions in the kernel of the corresponding Kasteleyn operator  $K$  when they exist. More precisely, if  $F$ , resp.  $G$  is in the right, resp. left, kernel of  $K$ , then  $\omega_{wb} := G_w K_{w,b} F_b$  defines a divergence free flow  $\omega$ , so that it can be written as an increment

$$\Psi(f) - \Psi(f') = \omega_{w,b}$$

where  $ff'$  is the dual edge of  $wb$ , see Figure 4. The *t-embedding* of  $G^*$  is the mapping  $\Psi$ , defined up to an additive constant by the relation above.

Proposition 16 gives explicit functions in the kernel of Fock’s elliptic adjacency operator  $K^{(t)}$ , and thus by taking  $F^{(t)} = g_{\cdot,x}^{(t)}(u)$  and  $G^{(t)} = g_{x,\cdot}^{(t)}(u)$  for some fixed vertex  $x$  of  $G^\circ$ , one defines a family  $(\Psi_u^{(t)})_{t \in \mathbb{C}, u \in \mathbb{T}(q)}$  of t-immersions of the graph  $G^*$  indexed by  $t \in \mathbb{C}$  and  $u \in \mathbb{T}(q)$ . Note the term *immersion* emphasizing that this does not define an embedding of the dual graph  $G^*$  when the Kasteleyn condition is not satisfied. (In [KLRR18],

the term *realization* is used for such graph drawings when edges can cross transversally.) However, when  $\mathsf{K}$  is Kasteleyn, it is known that  $\Psi_u^{(t)}$  defines a local embedding; and if furthermore  $\mathsf{G}$  and  $\mathsf{K}$  are periodic, it is a *global* convex embedding [KLR18, Theorem 8].

Using Corollary 8 as in the proof of Proposition 16 gives an explicit expression for the increments of the map  $\Psi_u^{(t)}$ :

$$\begin{aligned}\Psi_u^{(t)}(\mathbf{f}) - \Psi_u^{(t)}(\mathbf{f}') &= \theta'(0)g_{\mathbf{b},\mathbf{w}}^{(t)}(u)\mathsf{K}_{\mathbf{w},\mathbf{b}}^{(t)} = \theta'(0) \left[ F^{(t+\eta(\mathbf{b}))}(u; \beta) - F^{(t+\eta(\mathbf{b}))}(u; \alpha) \right] \\ &= \theta'(0) \left[ F^{(-t-\eta(\mathbf{w}))}(u; \beta) - F^{(-t-\eta(\mathbf{w}))}(u; \alpha) \right].\end{aligned}\tag{10}$$

We refer to Figure 5 (left) for an example of such a  $t$ -immersion which is actually a local embedding of  $\mathsf{G}^*$ .

The  $t$ -immersion  $\Psi_u^{(t)}$  of  $\mathsf{G}^*$  can be extended into an immersion of  $\mathsf{G}^\diamond$  as follows. Fix an arbitrary function  $\Xi: \mathsf{V} \rightarrow \mathbb{R}^2$ . Let  $\mathbf{v}$  and  $\mathbf{f}$  be neighboring vertices in  $\mathsf{G}^\diamond$  corresponding respectively to a vertex and a face of  $\mathsf{G}$ , and separated by a train-track with half-angle  $\alpha$ . Depending on whether  $\mathbf{v}$  is a black vertex  $\mathbf{b}$  or a white vertex  $\mathbf{w}$ , the increment of  $\Psi_u^{(t)}$  between  $\mathbf{v}$  and  $\mathbf{f}$  is given by the following formulas:

$$\begin{aligned}\Psi_u^{(t)}(\mathbf{b}) - \Psi_u^{(t)}(\mathbf{f}) &= \Xi(\mathbf{b}) + \frac{\theta'}{\theta}(t + \eta(\mathbf{f})) - \frac{\theta'}{\theta}(u - \alpha), \\ \Psi_u^{(t)}(\mathbf{w}) - \Psi_u^{(t)}(\mathbf{f}) &= \Xi(\mathbf{w}) + \frac{\theta'}{\theta}(t + \eta(\mathbf{f})) + \frac{\theta'}{\theta}(u - \alpha).\end{aligned}$$

**Lemma 18.** *Up to an arbitrary additive constant, the mapping  $\Psi_u^{(t)}$  is well-defined on the vertices of  $\mathsf{G}^\diamond$ , and extends the definition of  $\Psi_u^{(t)}$  on  $\mathsf{G}^*$  given by Equation (10).*

*Proof.* The fact that  $\Psi_u^{(t)}$  is well defined on  $\mathsf{G}^\diamond$  is an immediate consequence of the fact that the four increments around a quadrangular face of  $\mathsf{G}^\diamond$  sum to 0. Now, let  $\mathbf{f}$  and  $\mathbf{f}'$  be neighbors in  $\mathsf{G}^*$  and  $\mathbf{b}$  be the black vertex on the face of  $\mathsf{G}^\diamond$  shared by  $\mathbf{f}$  and  $\mathbf{f}'$ . Using the notation of Figure 4 and the equalities  $\eta(\mathbf{b}) = \eta(\mathbf{f}') + \beta = \eta(\mathbf{f}) + \alpha$ , we obtain:

$$\begin{aligned}\Psi_u^{(t)}(\mathbf{f}) - \Psi_u^{(t)}(\mathbf{f}') &= \left( \Psi_u^{(t)}(\mathbf{b}) - \Psi_u^{(t)}(\mathbf{f}') \right) - \left( \Psi_u^{(t)}(\mathbf{b}) - \Psi_u^{(t)}(\mathbf{f}) \right) \\ &= \left( \Xi(\mathbf{b}) + \frac{\theta'}{\theta}(t + \eta(\mathbf{f}')) - \frac{\theta'}{\theta}(u - \beta) \right) - \left( \Xi(\mathbf{b}) + \frac{\theta'}{\theta}(t + \eta(\mathbf{f})) - \frac{\theta'}{\theta}(u - \alpha) \right) \\ &= \theta'(0) \left[ F^{(t+\eta(\mathbf{b}))}(u; \beta) - F^{(t+\eta(\mathbf{b}))}(u; \alpha) \right],\end{aligned}$$

which indeed coincides with (10).  $\square$

We refer to Figure 5 (right) for an example of such a  $t$ -immersion of  $\mathsf{G}$  (actually an embedding).

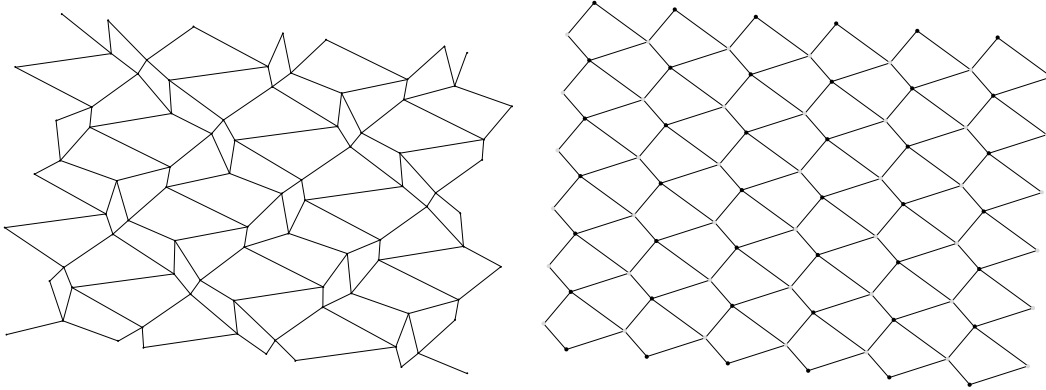


Figure 5: Left: piece of the quasiperiodic local  $t$ -embedding of the dual of  $\mathbb{Z}^2$  defined by  $\Psi_u^{(\pi\tau/2)}$ . The half-angles assigned to the four train-tracks around every white vertex are  $\alpha = 0$ ,  $\beta = \frac{\pi}{6} \simeq 0.52$ ,  $\gamma = \frac{\epsilon}{2} \simeq 1.36$  and  $\delta = 2.5$ , while we have  $q = e^{i\pi\tau} = \frac{1}{10}$  and  $u = 0.62 + 0.70i$ . Although  $\mathbb{Z}^2$  is periodic as a graph, the fact that the half-angles are pairwise incommensurable implies that  $\eta$  is not periodic, but only quasiperiodic. Right: corresponding (periodic) immersion of  $\mathbb{Z}^2$  given by the extension of  $\Psi_u^{(\pi\tau/2)}$  obtained by choosing  $\Xi(\mathbf{b}) = 0$  for all black vertices  $\mathbf{b}$  and  $\Xi(\mathbf{w}) = 2i$  for all white vertices  $\mathbf{w}$ . This particular immersion is an embedding, a fact which does not hold in general.

Whereas changing  $\Xi$  does not have an influence on the immersion of  $G^*$ , it obviously has consequences on the immersion of  $G$ . For example, adding a constant to  $\Xi(\mathbf{v})$  translates the image of  $\mathbf{v}$  without moving the rest.

Once the image by  $\Psi_u^{(t)}$  (or  $\Xi$ ) of a single vertex of  $G$  is fixed, there is a unique way to extend  $\Psi_u^{(t)}$  to a *circle pattern*, where white and black vertices around a face  $f$  are sent to points on a circle centered at  $\Psi_u^{(t)}(f)$ , as can be seen from [CLR20] and the so-called *origami map*. However, we do not require this property here.

If the difference between  $\Xi_1$  and  $\Xi_2$  is bounded, then the two induced immersions of  $G$  are quasi-isometric. A trivial choice for  $\Xi$  is the constant 0. Another bounded interesting choice is

$$\Xi(\mathbf{b}) = \frac{\theta'}{\theta}(u - t - \eta(\mathbf{b})), \quad \Xi(\mathbf{w}) = \frac{\theta'}{\theta}(u + t + \eta(\mathbf{w})), \quad (11)$$

which satisfies

$$\Psi_u^{(t)}(\mathbf{b}) - \Psi_u^{(t)}(\mathbf{w}) = \frac{d}{du} \log g_{\mathbf{b},\mathbf{w}}^{(t)}(u)$$

for any pair  $(\mathbf{b}, \mathbf{w})$  of black and white vertices. It follows in particular that for any bounded choice of  $\Xi$ , the following estimate is true as soon as the graph distance between  $\mathbf{b}$  and  $\mathbf{w}$  is large:

$$\Psi_u^{(t)}(\mathbf{b}) - \Psi_u^{(t)}(\mathbf{w}) = \frac{d}{du} \log g_{\mathbf{b},\mathbf{w}}(u) + O(1). \quad (12)$$

In Section 8.1, we give another choice of bounded  $\Xi$ , well suited for the connection to

the isoradial case [Ken02].

### 3.4 Poles and zeros of $g_{x,y}^{(t)}$

In this section we consider two vertices  $x, y$  of  $G^\diamond$  and study the poles and zeros of  $u \mapsto g_{x,y}^{(t)}(u)$  on the real circle  $C_0 := \mathbb{R}/\pi\mathbb{Z}$ . More specifically, in Lemma 19 we prove that they are well separated. This property is used to define *angular sectors* for the purpose of Section 4.1.

Consider a *minimal path*  $\Pi$  from  $x$  to  $y$  in the quad-graph  $G^\diamond$ , that is an edge-path of minimal length, directed from  $x$  to  $y$ . Zeros and poles of  $g_{x,y}$  on  $C_0$  arise from terms of the form  $\theta(u - \alpha)^{\pm 1}$  in the product definition of  $g_{x,y}$ . More precisely, zeros (resp. poles) ( $\alpha_T$ ) are half-angles of train-tracks ( $T$ ) crossing  $\Pi$  from left to right (resp. from right to left). This property implies the following result.

**Lemma 19.** *Suppose that the graph  $G$  is minimal and that the half-angle map  $\alpha$  belongs to  $X_G$ . Then, there exists a partition of  $C_0$  into two intervals, such that one contains no poles of  $g_{x,y}^{(t)}$ , and the other no zeros.*

*Proof.* We assume that  $g_{x,y}^{(t)}$  has at least two poles and two zeros in  $C_0$ , otherwise, the statement is trivial. It is sufficient to show that if  $S_0$  and  $S_1$  (resp.  $T_0$  and  $T_1$ ) are distinct train-tracks crossing  $\Pi$  and contributing to zeros (resp. poles) of  $g_{x,y}^{(t)}$ , then we cannot have the cyclic order  $\alpha_{T_0} < \alpha_{S_0} < \alpha_{T_1} < \alpha_{S_1}$  on  $C_0$ . Indeed, consider a large ball  $B$  of the graph containing  $\Pi$  and on which one can read the cyclic order of all the train-tracks crossing  $\Pi$ . The parts of  $S_0$  and  $S_1$  from their intersection with  $\Pi$  to their exit points from  $B$ , together with the segment of  $\Pi$  between the intersections with  $S_0$  and  $S_1$  delimit a bipartite partition of the ball  $B$ . Shade all parts with the same parity as the part near the segment of  $\Pi$  just after the intersection with  $S_0$  and  $S_1$ , and call the union of parts  $R$ . This “generalized ribbon”  $R$ , the light blue region depicted in Figure 6, can be either a topological rectangle if  $S_0$  and  $S_1$  do not intersect after  $\Pi$ , two inverted topological triangles if they cross once, or have a more complicated shape if they cross several times forming antiparallel bigons. Then, by minimality, one can see that any train-track  $T$  contributing to a pole of  $g_{x,y}^{(t)}$  cannot exit that ball through the shaded sector of  $\partial B$  delimited by the ribbon  $R$ , otherwise, this would create either a parallel bigon, or a self-intersection, see Figure 6. Since  $\alpha$  belongs to  $X_G$ , the cyclic order of the angles is the same as that of the train-tracks, implying that one cannot have poles simultaneously inside both connected components of  $C_0 \setminus \{\alpha_{S_0}, \alpha_{S_1}\}$ .  $\square$

Let us now restrict to the case where  $x$  is a black vertex  $b$  of  $G$  and  $y$  is a white one  $w$ . When computing the product for  $g_{b,w}^{(t)}$ , all the terms of the form  $\theta(u + t + \eta(w'))$  and  $\theta(u - t - \eta(b'))$  cancel out except the two terms  $\theta(u - t - \eta(b))\theta(u + t + \eta(w))$  in the numerator. As a consequence, all the poles of  $g_{b,w}^{(t)}$  are on  $C_0$  and, from the above,

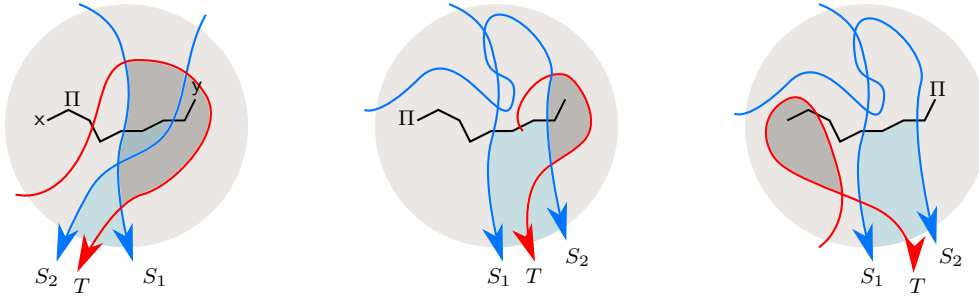


Figure 6: Some of the (im)possible attempts for a train-track coding for a pole (in red) to exit in the forbidden sector delimited by the ribbon (light blue) bounded by two train-tracks coding for zeros (blue), resulting in the creation of a parallel bigon (left, middle) or a self-intersection (right), indicated by the regions shaded in gray.

correspond to half-angles of train-tracks crossing a minimal path  $\Pi$  joining  $\mathbf{b}$  to  $\mathbf{w}$ , from right to left.

The following definition is used in Section 4.1 for defining the contours of integration of our explicit local expressions for inverse Kasteleyn operators.

**Definition 20.** If  $g_{\mathbf{b},\mathbf{w}}^{(t)}$  has at least one zero and one pole on  $C_0$ , we define the *angular sector* (or simply *sector*) associated to  $g_{\mathbf{b},\mathbf{w}}^{(t)}$ , denoted by  $s_{\mathbf{b},\mathbf{w}}$ , to be the part of the partition of  $C_0$  containing the poles. If  $g_{\mathbf{b},\mathbf{w}}^{(t)}$  has no zeros on  $C_0$  (which happens when  $\mathbf{b}$  and  $\mathbf{w}$  are neighbors), then the sector  $s_{\mathbf{b},\mathbf{w}}$  is defined to be the geometric arc from  $\alpha$  to  $\beta$  in the positive direction, with the convention of Figure 4.

*Remark 21.* In previous works [BdT11, BdTR17], we had a similar result for isoradially embedded graphs, where all the rhombus angles are in  $(0, \frac{\pi}{2})$ , using a convexification algorithm [dT07a]. Equivalently, this is described in [KS05, Lemma 3.5]. The resulting sectors were shorter than half of  $C_0$ . Here, because of the possible presence of folded rhombi with angles greater than  $\frac{\pi}{2}$ , the length of this sector may be larger than half of  $C_0$ .

## 4 Inverses of the Kasteleyn operator

We place ourselves in the context where Fock's elliptic adjacency operator is Kasteleyn, *i.e.*, we suppose that  $\tau \in i\mathbb{R}_+^*$ , that the fixed parameter  $t$  belongs to  $\mathbb{R} + \frac{\pi}{2}\tau$  and that the graph  $\mathbf{G}$  is minimal with half-angle map  $\alpha \in X_{\mathbf{G}}$ .

In this section, we introduce a family of operators  $(\mathbf{A}^{(t),u_0})_{u_0 \in D}$  acting as inverses of the Kasteleyn operator  $\mathbf{K}^{(t)}$ , parameterized by a subset  $D$  of the cylinder  $\mathbb{R}/\pi\mathbb{Z} + [0, \frac{\pi}{2}\tau]$ . This is one of the main results of this paper. These inverses have the remarkable property of being *local*, meaning that the coefficient  $\mathbf{A}_{\mathbf{b},\mathbf{w}}^{(t),u_0}$  is computed using the information of a path in the quad-graph  $\mathbf{G}^\diamond$  from  $\mathbf{b}$  to  $\mathbf{w}$ .



The general idea of the argument for defining a local formula for an inverse follows [Ken02]: find functions in the kernel of  $K^{(t)}$  depending on a complex parameter, *i.e.*, the functions  $g^{(t)}$  introduced in Section 3.2 in the elliptic setting of this paper; then define coefficients of the inverse as contour integrals of these functions, with appropriately defined paths of integration. On top of handling the elliptic setting, the novelty of this paper is to introduce an additional parameter  $u_0 \in D$ , leading to three different asymptotic behaviors for the inverses, morally corresponding to the three phases of the dimer model: liquid, gaseous, and solid. The three cases are determined by the position of  $u_0$  in  $D$ .

In Section 4.1, we define the domain  $D$  for the parameter  $u_0$ , and the paths of integration. Relying on this, in Section 4.2 we introduce the family of inverses  $(A^{(t),u_0})_{u_0 \in D}$ . Finally, in Section 4.3, we give the explicit form of the function  $H^{u_0}$  involved in an alternative expression of  $A^{(t),u_0}$ .

From now on, we omit the superscript  $(t)$  in the notation of  $K^{(t)}$ ,  $A^{(t)}$  and  $g^{(t)}$ .

#### 4.1 Domain $D$ and paths of integration

Let  $\mathbf{b}, \mathbf{w}$  be a black and a white vertex of  $\mathbf{G}$  respectively. Recall that the function  $g_{\mathbf{b},\mathbf{w}}$  of Section 3.2 is defined on the torus  $\mathbb{T}(q) = \mathbb{C}/\Lambda$ , and also recall the angular sector  $s_{\mathbf{b},\mathbf{w}}$  of Definition 20. Since the parameter  $\tau$  is pure imaginary, the real locus of the torus  $\mathbb{T}(q)$  has two connected components,  $C_0 = \mathbb{R}/\pi\mathbb{Z}$  and  $C_1 = (\mathbb{R} + \frac{\pi}{2}\tau)/\pi\mathbb{Z}$ .

We define the domain  $D$  of the parameter  $u_0$  indexing the family of inverses  $(A^{u_0})_{u_0 \in D}$  as follows. Consider the set of angles  $\{\alpha_T ; T \in \mathcal{T}\}$  assigned to the train-tracks of  $\mathbf{G}^\circ$ , then the domain  $D$  is, see also Figure 7,

$$D = \left( \mathbb{R}/\pi\mathbb{Z} + \left[ 0, \frac{\pi}{2}\tau \right] \right) \setminus \{ \alpha_T ; T \in \mathcal{T} \}.$$

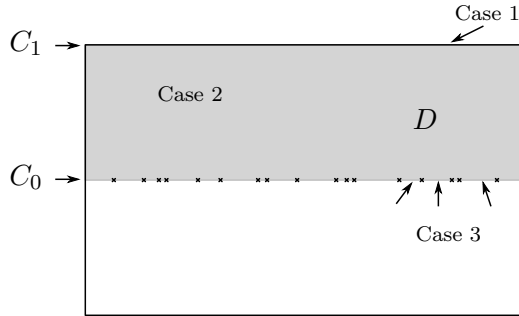


Figure 7: The domain  $D$  as a shaded area of the torus  $\mathbb{T}(q)$  and the different cases corresponding to the possible locations of the parameter  $u_0$ . The horizontal contours  $C_0$  and  $C_1$  winding around the torus are the two connected components of the real locus of  $\mathbb{T}(q)$ .

We now introduce paths/contours of integration for  $A_{\mathbf{b},\mathbf{w}}^{u_0}$ , denoted by  $C_{\mathbf{b},\mathbf{w}}^{u_0}$ . We distinguish three cases depending on the position of  $u_0$  in  $D$ . Note that in order to keep

notation as light as possible, we do not add indices specifying the cases, hoping that this creates no confusion.

**Case 1:**  $u_0$  is on the top boundary  $C_1 = \mathbb{R}/\pi\mathbb{Z} + \frac{\pi}{2}\tau$  of the domain  $D$ . Then, see also Figure 8 (left),  $C_{b,w}^{u_0}$  is a simple contour in  $\mathbb{T}(q)$  winding around the torus once from bottom to top, such that its intersection with  $C_0$  avoids the angular sector  $s_{b,w}$ .

**Case 2:**  $u_0$  belongs to the interior of  $D$ . Then, see also Figure 8 (center),  $C_{b,w}^{u_0}$  is a simple path in  $\mathbb{T}(q)$  connecting  $\bar{u}_0$  to  $u_0$ , crossing  $C_0$  once but not  $C_1$ , and avoiding the sector  $s_{b,w}$ .

**Case 3:**  $u_0$  belongs to the lower boundary of  $D$ , *i.e.*, it is a point corresponding to one of the connected components of  $C_0 \setminus \{\alpha_T ; T \in \mathcal{T}\}$ . Then, see also Figure 8 (right),  $C_{b,w}^{u_0}$  is a simple, homologically trivial contour in  $\mathbb{T}(q)$ , oriented counterclockwise, crossing  $C_0$  twice: once in the complement of the angular sector  $s_{b,w}$ , from bottom to top, and once in the open interval of  $C_0 \setminus \{\alpha_T ; T \in \mathcal{T}\}$  containing the point  $u_0$ , from top to bottom. Note that this contour may well not contain all poles of the integrand  $g_{b,w}$ .

In each of the three cases, we consider a meromorphic function  $H^{u_0}$  on  $\mathbb{T}(q) \setminus C_{b,w}^{u_0}$  with a discontinuity jump of  $+1$  when crossing  $C_{b,w}^{u_0}$  from right to left, and a collection of homologically trivial contours  $\gamma_{b,w}^{u_0}$  surrounding all the poles of  $g_{b,w}$  and of  $H^{u_0}$  counterclockwise. In Cases 1 and 2, the collection  $\gamma_{b,w}^{u_0}$  consists of a single contour, while in Case 3, it consists of two contours; see Figure 8. We refer to Section 4.3 for explicit candidates for  $H^{u_0}$ .

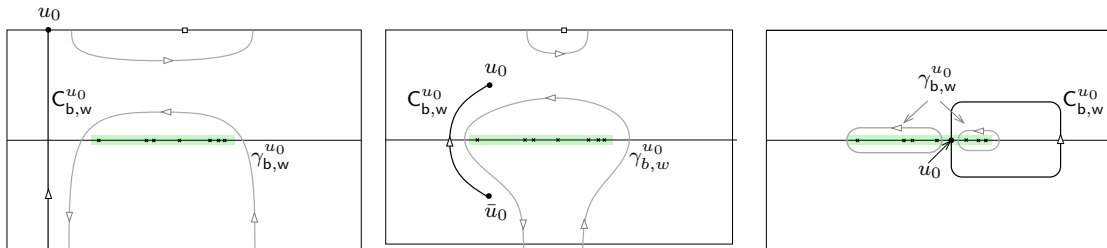


Figure 8: The contours/paths  $C_{b,w}^{u_0}$  and  $\gamma_{b,w}^{u_0}$  in: Case 1 (left), Case 2 (center), Case 3 (right). The angular sector  $s_{b,w} \subset C_0$  containing the poles of  $g_{b,w}$  is represented in light green. The pole of the function  $H^{u_0}$  defined in Lemma 24 is represented by a white square on  $C_1$ .

## 4.2 Family of inverses

We now define the family of operators  $(A^{u_0})_{u_0 \in D}$  in two equivalent ways and then, in Theorem 26, prove that they are indeed inverses of the Kasteleyn operator  $K$ .

**Definition 22.** For every  $u_0$  in  $D$ , we define the linear operator  $A^{u_0}$  mapping functions on white vertices (with finite support for definiteness) to functions on black vertices by

its entries: for every pair  $(\mathbf{b}, \mathbf{w})$  of black and white vertices of  $\mathbf{G}$ , let

$$A_{\mathbf{b}, \mathbf{w}}^{u_0} = \frac{i\theta'(0)}{2\pi} \int_{C_{\mathbf{b}, \mathbf{w}}^{u_0}} g_{\mathbf{b}, \mathbf{w}}(u) du, \quad (13)$$

where the path of integration  $C_{\mathbf{b}, \mathbf{w}}^{u_0}$  is defined in Section 4.1 – recall that there are three different definitions depending on whether  $u_0$  is on the top boundary of the domain  $D$ , a point in the interior, or a point in a connected component of the lower boundary of  $D$ .

*Remark 23.*

1. The operator  $A^{u_0}$  is *local* in the sense that its coefficient  $A_{\mathbf{b}, \mathbf{w}}^{u_0}$  is computed using the function  $g_{\mathbf{b}, \mathbf{w}}$  which only depends on a path from  $\mathbf{b}$  to  $\mathbf{w}$  in the quad-graph  $\mathbf{G}^\diamond$  and actually does not depend on the choice of path;  $A_{\mathbf{b}, \mathbf{w}}^{u_0}$  only uses *local* information of the graph  $\mathbf{G}^\diamond$  while one would a priori expect it to use the combinatorics of the whole of the graph  $\mathbf{G}^\diamond$ .
2. The integrand  $g_{\mathbf{b}, \mathbf{w}}$  is meromorphic on the torus  $\mathbb{T}(q)$ , so continuously deforming the contour of integration  $C_{\mathbf{b}, \mathbf{w}}^{u_0}$  (while keeping the extremities fixed in Case 2) without crossing any poles does not change the value of the integral. In particular, in Case 1, all the values of  $u_0$  on the top boundary of the cylinder  $D$  give the same operator. Similarly, in Case 3, all the values of  $u_0$  in the same connected component of  $C_0 \setminus \{\alpha_T ; T \in \mathcal{T}\}$  yield the same operator. We can thus identify in  $D$  all the points on the top boundary, and points in each of the connected component of  $C_0 \setminus \{\alpha_T ; T \in \mathcal{T}\}$ .

The following lemma gives an alternative, useful way of expressing the coefficients of  $A^{u_0}$ .

**Lemma 24.** *For every  $u_0$  in  $D$  and every pair  $(\mathbf{b}, \mathbf{w})$  of black and white vertices of  $\mathbf{G}$ , the coefficient  $A_{\mathbf{b}, \mathbf{w}}^{u_0}$  of (13) can be expressed as:*

$$A_{\mathbf{b}, \mathbf{w}}^{u_0} = \frac{i\theta'(0)}{2\pi} \oint_{\gamma_{\mathbf{b}, \mathbf{w}}^{u_0}} g_{\mathbf{b}, \mathbf{w}}(u) H^{u_0}(u) du, \quad (14)$$

where the function  $H^{u_0}$  and the contour  $\gamma_{\mathbf{b}, \mathbf{w}}^{u_0}$  are described at the end of Section 4.1.

*Remark 25.* The explicit definition of the function  $H^{u_0}$  is postponed to Section 4.3. Indeed, at this point, only its qualitative behavior is needed. The explicit form of  $H^{u_0}$  is used when computing edge-probabilities for the corresponding Gibbs measures, see Section 6.1.

*Proof of Lemma 24.* In each of the three cases, the family of contours  $\gamma_{\mathbf{b}, \mathbf{w}}^{u_0}$  is homologous, inside the complement of the poles of  $g_{\mathbf{b}, \mathbf{w}} H^{u_0}$  in  $\mathbb{T}(q) \setminus C_{\mathbf{b}, \mathbf{w}}^{u_0}$ , to the family of contours given by the (clockwise oriented) boundary of a small bicollar neighborhood of  $C_{\mathbf{b}, \mathbf{w}}^{u_0}$ . The contribution of the integrand on both sides of  $C_{\mathbf{b}, \mathbf{w}}^{u_0}$  are on different sides of the cut for  $H^{u_0}$  and thus differ by  $-1$ . Recombining these two contributions as a single integral along  $C_{\mathbf{b}, \mathbf{w}}^{u_0}$  yields Equation (13).  $\square$

We now state the main theorem of this section.

**Theorem 26.** *For every  $u_0$  in  $D$ ,  $A^{u_0}$  is an inverse of the Kasteleyn operator  $K$ .*

*Proof.* We need to check that we have  $\sum_{\mathbf{b}} K_{\mathbf{w},\mathbf{b}} A_{\mathbf{b},\mathbf{w}'}^{u_0} = \delta_{\mathbf{w},\mathbf{w}'}$  for every pair of white vertices  $\mathbf{w}, \mathbf{w}'$ , and  $\sum_{\mathbf{w}} A_{\mathbf{b}',\mathbf{w}}^{u_0} K_{\mathbf{w},\mathbf{b}} = \delta_{\mathbf{b},\mathbf{b}'}$  for any pair of black vertices  $\mathbf{b}, \mathbf{b}'$ . We only give the proof of the second identity, the other being proved in a similar way. The idea of the argument follows [Ken02], see also [BdT11, BdTR17]. If  $\mathbf{b} \neq \mathbf{b}'$ , we use the main definition (13) of the coefficients of  $A^{u_0}$ . Using the separation of zeros and poles on  $C_0$  for all the functions  $g_{\mathbf{b}',\mathbf{w}}$ , established in Lemma 19, we notice that the intersection of the complements of the angular sectors  $(s_{\mathbf{b}',\mathbf{w}})_{\mathbf{w} \sim \mathbf{b}}$  is non-empty, as it contains a small neighborhood of the zero corresponding to the last step arriving to  $\mathbf{b}$  in a minimal path in  $G^\diamond$  from  $\mathbf{b}$  to  $\mathbf{b}'$ . It is therefore possible to continuously deform all the contours  $(C_{\mathbf{b}',\mathbf{w}}^{u_0})_{\mathbf{w} \sim \mathbf{b}}$  into a common contour  $C^{u_0}$ . By Proposition 16 and Remark 17, we then have:

$$\sum_{\mathbf{w}: \mathbf{w} \sim \mathbf{b}} A_{\mathbf{b}',\mathbf{w}}^{u_0} K_{\mathbf{w},\mathbf{b}} = \frac{i\theta'(0)}{2\pi} \int_{C^{u_0}} \underbrace{\sum_{\mathbf{w}: \mathbf{w} \sim \mathbf{b}} g_{\mathbf{b}',\mathbf{w}}(u) K_{\mathbf{w},\mathbf{b}}}_{=0} du = 0.$$

If  $\mathbf{b} = \mathbf{b}'$ , the points of intersection of the paths/contours  $C_{\mathbf{b},\mathbf{w}}^{u_0}$  with the real locus  $C_0$  of the torus wind around  $C_0$  as  $\mathbf{w}$  runs through the neighbors of  $\mathbf{b}$ . We cannot apply Proposition 16 anymore, but can resort to explicit residue computations using the alternative expression (14) for the coefficients of  $A^{u_0}$ . We need to compute:

$$\frac{i\theta'(0)}{2\pi} \sum_{\mathbf{w}: \mathbf{w} \sim \mathbf{b}} K_{\mathbf{w},\mathbf{b}} \oint_{\gamma_{\mathbf{b},\mathbf{w}}^{u_0}} g_{\mathbf{b},\mathbf{w}}(u) H^{u_0}(u) du.$$

By the residue theorem, each of these integrals is equal to the sum of the residues at the poles of  $g_{\mathbf{b},\mathbf{w}}(u) H^{u_0}(u)$  inside the contour. The poles are of two kinds: first, the possible pole(s) of  $H^{u_0}$ , which do not depend on  $\mathbf{b}, \mathbf{w}$ , yielding the evaluation of  $g_{\mathbf{b},\mathbf{w}}$  (or its derivatives in case of higher order poles) at some value of  $u$ , which are in the kernel of  $K$  and thus will contribute zero when summing over  $\mathbf{w}$ . Second, the poles at  $\alpha, \beta$  of  $g_{\mathbf{b},\mathbf{w}}$ , see Remark 15, where  $\alpha, \beta$  are the parameters of the train-tracks crossing the edge  $\mathbf{wb}$ . An explicit evaluation gives

$$\begin{aligned} \text{Res}_\alpha g_{\mathbf{b},\mathbf{w}}(u) H^{u_0}(u) &= \frac{\theta(\alpha - t - \eta(\mathbf{b}))\theta(\alpha + t + \eta(\mathbf{w}))}{\theta'(0)\theta(\alpha - \beta)} H^{u_0}(\alpha), \\ \text{Res}_\beta g_{\mathbf{b},\mathbf{w}}(u) H^{u_0}(u) &= \frac{\theta(\beta - t - \eta(\mathbf{b}))\theta(\beta + t + \eta(\mathbf{w}))}{\theta'(0)\theta(\beta - \alpha)} H^{u_0}(\beta). \end{aligned}$$

Using the fact that  $\eta(\mathbf{w}) + \alpha = \eta(\mathbf{b}) - \beta = \eta(\mathbf{f}')$  and  $\eta(\mathbf{w}) + \beta = \eta(\mathbf{b}) - \alpha = \eta(\mathbf{f})$ , and recalling the definition of  $K_{\mathbf{w},\mathbf{b}}$ , we obtain that for every edge  $\mathbf{wb}$  of  $G$ ,

$$(\text{Res}_\alpha g_{\mathbf{b},\mathbf{w}}(u) H^{u_0}(u) + \text{Res}_\beta g_{\mathbf{b},\mathbf{w}}(u) H^{u_0}(u)) K_{\mathbf{w},\mathbf{b}} = \frac{1}{\theta'(0)} (H^{u_0}(\alpha) - H^{u_0}(\beta)).$$

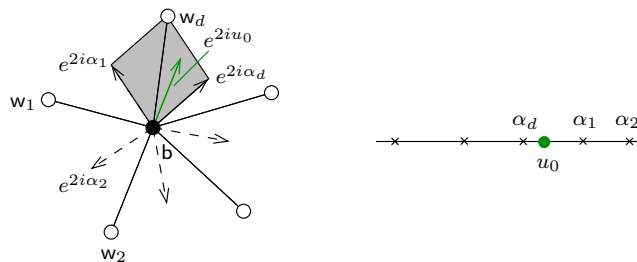


Figure 9: Proof of Case 3: the coefficient  $A_{b,w_d}$  is non-zero if and only if the rhombus corresponding to the edge  $bw_d$  in the minimal immersion of  $G$  given by  $\alpha$  contains the vector  $e^{2iu_0}$ .

When summing over white vertices incident to the vertex  $b$  of degree  $d$ , surrounded by train-tracks with half-angles  $\alpha_1 = \alpha, \alpha_2, \dots, \alpha_d, \alpha_{d+1} = \alpha + \pi$ , the increments of  $H^{u_0}$  sum to  $H^{u_0}(\alpha_1) - H^{u_0}(\alpha_{d+1}) = H^{u_0}(\alpha) - H^{u_0}(\alpha + \pi) = -1$  by construction. Therefore, we get:

$$\sum_{w: w \sim b} A_{b,w}^{u_0} K_{w,b} = 2i\pi \frac{i\theta'(0)}{2\pi} \frac{H^{u_0}(\alpha) - H^{u_0}(\alpha + \pi)}{\theta'(0)} = 1. \quad \square$$

*Remark 27.* Let us note that in Case 3, we can work directly with residues on the expression (13) since  $C_{b,w}^{u_0}$  in this case is a trivial contour. Indeed, label by  $\alpha_1, \dots, \alpha_d$  the half-angles of the train-tracks surrounding the vertex  $b$  so that  $\alpha_1$  is the first half-angle on the right of  $u_0$  and  $\alpha_d$  is the last angle on the left; denote by  $bw_j$  the edge with train-track angles  $\alpha_j, \alpha_{j+1}$ . We refer to Figure 9 for a representation of the neighborhood of  $b$  in the minimal immersion [BCdT19] of  $G$  defined by the map  $\alpha$ . Then by definition, for every  $j \neq d$ , the contour  $C_{b,w_j}^{u_0}$  either contains both poles of  $g_{b,w_j}$  or none of them. In both cases this gives  $A_{b,w_j}^{u_0} = 0$ . When  $j = d$ , the contour  $C_{b,w_d}^{u_0}$  contains the pole  $\alpha_1$  of  $g_{b,w_d}$  but not  $\alpha_d$ ; therefore

$$A_{b,w_d} = -2i\pi \frac{i\theta'(0)}{2\pi} \frac{\theta(t + \eta(w) + \alpha_1)\theta(t + \eta(w) + \alpha_d)}{\theta(\alpha_1 - \alpha_d)\theta'(0)} = \frac{\theta(t + \eta(b) - \alpha_d)\theta(t + \eta(b) - \alpha_1)}{\theta(\alpha_1 - \alpha_d)},$$

and we conclude in particular that

$$\sum_{w: w \sim b} A_{b,w} K_{w,b} = A_{b,w_d} K_{w_d,b} = 1,$$

providing an alternative proof in Case 3. We refer to Section 6 for a probabilistic interpretation of this computation.

*Remark 28.* Although the computations above rely in an essential way on the graph being minimal and the half-angle map belonging to  $X_G$ , they do not use the fact that  $\tau$  is pure imaginary and that the endpoints of  $C_{b,w}$  are conjugate of each other. Hence, this recipe to construct inverses of  $K$  is slightly more general than what is described here, and two such inverses differ by a function of the form described in Remark 17. However, for probabilistic aspects described in the sequel, we restrict ourselves to this setting.

### 4.3 Definition of the function $H^{u_0}$

Recall that  $H^{u_0}$  is a meromorphic function on  $\mathbb{T}(q) \setminus \mathbb{C}_{\mathbf{b}, \mathbf{w}}^{u_0}$  with a discontinuity jump of  $+1$  when crossing  $\mathbb{C}_{\mathbf{b}, \mathbf{w}}^{u_0}$  from right to left. We now give expressions of functions satisfying this property, depending on the location of  $u_0$ . Adding any  $\Lambda$ -elliptic function to these expressions gives new candidates for  $H^{u_0}$  with different poles and residues, but this has no effect on the resulting value of  $A^{u_0}$ .

In Cases 1 and 2, the function  $H^{u_0}$  for given  $\mathbf{b}$  and  $\mathbf{w}$  should be thought of as a particular determination (depending on  $\mathbf{b}$  and  $\mathbf{w}$ ) of a multivalued meromorphic function on a cover of the complex plane, which is a periodic analogue of the complex logarithm [Ken02]. In particular, this multivalued meromorphic function, still denoted by  $H^{u_0}$ , satisfies  $H^{u_0}(\alpha + \pi) - H^{u_0}(\alpha) = +1$  since the contour  $\mathbb{C}_{\mathbf{b}, \mathbf{w}}^{u_0}$  intersects  $C_0$  only once positively in these cases.

**Case 1.** The function  $H^{u_0}$  has a period  $+1$  when winding horizontally around the torus. It has been explicitly constructed with a slightly different normalization in [BdTR17], and is given by:

$$H^{u_0}(u) := \frac{K'}{\pi} \tilde{Z}(u) + \frac{u}{\pi}, \quad (15)$$

where  $\tilde{Z}(u) = Z(\frac{2K}{\pi}u|k) = \frac{\pi}{2K} \frac{\theta'_4(u)}{\theta_4(u)}$ ,  $Z$  is the *Jacobi zeta function*, see for example [Law89, (3.6.1)];  $k$  is related to  $q$  by the relation  $k = \frac{\theta_2^2(0|q)}{\theta_3^2(0|q)}$ ,  $K = \frac{\pi}{2} \theta_3^2(0)$ , and  $iK' = \tau K$ . The function  $H^{u_0}$  has a single pole at  $\frac{\pi}{2}\tau$  on the torus  $\mathbb{T}(q)$ . The function  $\tilde{Z}$  has no horizontal period, but it has a vertical period, see [Law89, (3.6.22)]:

$$\tilde{Z}(u + \pi\tau) = Z(\frac{2K}{\pi}u + 2K\tau) = Z(\frac{2K}{\pi}u + 2iK') = -i\frac{\pi}{K} + \tilde{Z}(u),$$

implying that  $H^{u_0}(u + \pi) = H^{u_0}(u) + 1$  and  $H^{u_0}(u + \pi\tau) = H^{u_0}(u)$ .

Note that  $H^{u_0}(u) = H(\frac{4K}{\pi}u|k)$ , where the function  $H$  is defined in [BdTR17, Equation (9)]. The above properties are proved in more detail in [BdTR17, Lemma 45], see also [BdTR18, Appendix A.2].

**Case 2.** The function  $H^{u_0}$  has a period  $+1$  when winding horizontally around the torus at a height between  $\bar{u}_0$  and  $u_0$ . It is given by the following explicit expression:

$$H^{u_0}(u) := \frac{1}{2\pi i} \log \frac{\theta(u - u_0)}{\theta(u - \bar{u}_0)} - \frac{iK}{\pi^2} (u_0 - \bar{u}_0) \tilde{Z}(u), \quad (16)$$

and has a single pole at  $\frac{\pi}{2}\tau$  on  $\mathbb{T}(q)$ .

Indeed, the function  $\log \frac{\theta(u - u_0)}{\theta(u - \bar{u}_0)}$  has the correct horizontal period since the function  $\frac{\theta(u - u_0)}{\theta(u - \bar{u}_0)}$  has a zero at  $u_0$  and a pole at  $\bar{u}_0$ . It has vertical period:

$$\log \frac{\theta(u + \pi\tau - u_0)}{\theta(u + \pi\tau - \bar{u}_0)} = 2i(u_0 - \bar{u}_0) + \log \frac{\theta(u - u_0)}{\theta(u - \bar{u}_0)},$$

using that  $\theta(u + \pi\tau) = (-qe^{2iu})^{-1}\theta(u)$ . Then, as  $\tilde{Z}$  has no horizontal period and vertical period  $-i\frac{\pi}{K}$ , the two vertical periods cancel out and the horizontal period between levels  $\bar{u}_0$  and  $u_0$  remains.

**Case 3.** The function  $H^{u_0}$  can be chosen to be constant equal to 1 inside  $C_{b,w}^{u_0}$ , and 0 outside. Note that for this particular choice of  $H^{u_0}$ , one sees immediately that contributions of pieces of  $\gamma_{b,w}^{u_0}$  outside of  $C_{b,w}^{u_0}$  is zero, and that the part of  $\gamma_{b,w}^{u_0}$  which is inside can be deformed to become very close to  $C_{b,w}^{u_0}$ . Therefore, the expressions (13) and (14) in this case are trivially identical.

Let us conclude this section with one last remark. The short proof of Theorem 26 given in the previous section, as in the original work of Kenyon [Ken02], does not explain where this integral formula for  $A^{u_0}$  comes from. The connection to the usual expression obtained by Fourier transform in the periodic case is explained in Section 5.5.

## 5 The periodic case

This section deals with the special case where the bipartite planar graph  $G$  is  $\mathbb{Z}^2$ -periodic. We start in Section 5.1 by explaining the additional features of train-tracks and half-angle maps in the periodic case. In Section 5.2, we determine for which half-angle maps the corresponding elliptic Kasteleyn operator  $K^{(t)}$  is  $\mathbb{Z}^2$ -periodic. In Section 5.3, we recall standard tools used in the study of the periodic bipartite dimer model, in particular the spectral curve. In Section 5.4, we use the functions  $g_{x,y}^{(t)}$  defined in Section 3.2 to give an explicit parameterization of the spectral curve for the periodic dimer model corresponding to the operator  $K^{(t)}$ . Finally, we describe the set of ergodic Gibbs measures of this model in Section 5.5, and give an explicit expression for the corresponding slopes in Section 5.6.

Throughout this section, we fix the parameter  $t$  in  $\mathbb{R} + \frac{\pi}{2}\tau$  and once again omit the superscript  $(t)$  in the notation of  $K^{(t)}$ ,  $A^{(t)}$  and  $g^{(t)}$ .

### 5.1 Train-tracks and monotone angle maps in the periodic case

In the whole of this section, we assume that the bipartite planar graph  $G$  is  $\mathbb{Z}^2$ -periodic, *i.e.*, that  $\mathbb{Z}^2$  acts freely on colored vertices, edges and faces by translation. A basis of  $\mathbb{Z}^2$  has been chosen, allowing to identify a *horizontal* direction (along the first vector  $(1, 0)$  of the basis) and a *vertical* direction (along the second vector  $(0, 1)$ ). The action of  $\mathbb{Z}^2$  is denoted additively: for example, if  $x$  is a vertex and  $(m, n)$  belongs to  $\mathbb{Z}^2$ , then  $x + (m, n)$  is the copy of  $x$  obtained by translating it  $m$  times along the horizontal direction and  $n$  times along the vertical one.

The graph  $G$  has a natural toroidal exhaustion  $(G_n)_{n \geq 1}$ , where  $G_n := G/n\mathbb{Z}^2$ . The graph  $G_1$  is a bipartite graph on the torus known as the *fundamental domain*. We use

similar notation for the toroidal exhaustions of the dual graph  $\mathbf{G}^*$ , of the quad-graph  $\mathbf{G}^\diamond$ , and of the train-tracks  $\mathcal{T}$ .

Fix a face  $f$  of  $\mathbf{G}$  and draw two simple dual paths in the plane, denoted by  $\gamma_x$  and  $\gamma_y$ , joining  $f$  to  $f + (1, 0)$  and  $f + (0, 1)$  respectively, intersecting only at  $f$ . They project onto the torus to two simple closed loops on  $\mathbf{G}_1^*$ , also denoted by  $\gamma_x$  and  $\gamma_y$ , winding around the torus and intersecting only at  $f$ . Their homology classes  $[\gamma_x]$  and  $[\gamma_y]$  form a basis of the first homology group of the torus  $H_1(\mathbb{T}; \mathbb{Z})$  and allow its identification with  $\mathbb{Z}^2$ .

Every train-track  $T \in \mathcal{T}$  projects to an oriented closed curve on the torus. Therefore, the corresponding homology class  $[T] \in H_1(\mathbb{T}, \mathbb{Z})$  can be written as  $[T] = h_T[\gamma_x] + v_T[\gamma_y]$ , with  $h_T$  and  $v_T$  coprime integers. This allows to define a partial cyclic order on  $\mathcal{T}$  by using the natural cyclic order of coprime elements of  $\mathbb{Z}^2$  around the origin. As one easily checks, this coincides with the partial cyclic order on  $\mathcal{T}$  defined in Section 2.1. By construction, this cyclic order induces a cyclic order on  $\mathcal{T}_1 = \mathcal{T}/\mathbb{Z}^2$ . Note also that two oriented train-tracks  $T, T' \in \mathcal{T}$  are parallel (resp. anti-parallel) as defined in Section 2.1 if and only if  $[T] = [T']$  (resp.  $[T] = -[T']$ ).

Recall that  $X_{\mathbf{G}}$  denotes the set of maps  $\alpha: \mathcal{T} \rightarrow \mathbb{R}/\pi\mathbb{Z}$  that are monotone with respect to the cyclic orders on  $\mathcal{T}$  and  $\mathbb{R}/\pi\mathbb{Z}$ , and that map pairs of intersecting or anti-parallel train-tracks to distinct half-angles. We shall denote by  $X_{\mathbf{G}}^{per}$  the set of  $\mathbb{Z}^2$ -periodic elements of  $X_{\mathbf{G}}$ , *i.e.*,

$$X_{\mathbf{G}}^{per} = \{\alpha \in X_{\mathbf{G}} \mid \alpha_{T+(m,n)} = \alpha_T \text{ for all } T \in \mathcal{T} \text{ and } (m, n) \in \mathbb{Z}^2\}.$$

Since disjoint curves on the torus have either identical or opposite homology classes, this space can be described more concretely as

$$X_{\mathbf{G}}^{per} = \{\alpha: \mathcal{T}_1 \rightarrow \mathbb{R}/\pi\mathbb{Z} \mid \alpha \text{ is monotone and } \alpha_T \neq \alpha_{T'} \text{ for } [T] \neq [T']\}.$$

By the results of [BCdT19], if  $\mathbf{G}$  is minimal, then any  $\alpha \in X_{\mathbf{G}}^{per}$  defines a  $\mathbb{Z}^2$ -periodic minimal immersion of  $\mathbf{G}$  (see Section 2.1 for definition), and every such immersion is obtained in this way.

Recall that since  $\mathbf{G}$  is bipartite, the train-tracks in  $\mathcal{T}$  are consistently oriented, clockwise around black vertices and counterclockwise around white ones. Therefore, the sum of all oriented closed curves  $T \in \mathcal{T}_1$  bounds a 2-chain in the torus. In particular, its homology class vanishes, so we have  $\sum_{T \in \mathcal{T}_1} [T] = 0$ . As a consequence, the collection of vectors  $([T])_{T \in \mathcal{T}_1}$  in  $\mathbb{Z}^2$ , ordered cyclically, and drawn so that the initial point of a vector  $[T]$  is the end point of the previous vector, defines a convex polygon (up to translations). Note that since its coordinates are coprime integers, the vector  $[T]$  only meets  $\mathbb{Z}^2$  at its end points. This polygon is referred to as the *geometric Newton polygon* of  $\mathbf{G}$  [GK13] and denoted by  $N(\mathbf{G})$ . The space  $X_{\mathbf{G}}^{per}$  can now be described combinatorially as the set of order-preserving maps from oriented boundary edges of  $N(\mathbf{G})$  to  $\mathbb{R}/\pi\mathbb{Z}$  mapping distinct vectors to distinct angles.

In [GK13, Theorem 2.5], see also [Gul08, Pos06], Goncharov and Kenyon build on earlier work of Thurston [Thu17] (the article appeared in 2017, but the original preprint dates



back to 2004) to show that for any convex envelop  $N$  of a finite set of points in  $\mathbb{Z}^2$ , there exists a minimal  $\mathbb{Z}^2$ -periodic graph  $\mathbf{G}$  such that  $N(\mathbf{G}) = N$ . Moreover, if  $\mathbf{G}$  and  $\mathbf{G}'$  are two minimal graphs such that  $N(\mathbf{G}) = N(\mathbf{G}')$ , then they are related by elementary local moves called *spider moves* and *shrinking/expanding 2-valent vertices*, see Figure 13. We study the effect of these moves on the operator  $\mathbf{K}$  in Section 7.

## 5.2 Periodicity of the Kasteleyn operator

From now on, we assume that the graph  $\mathbf{G}$  is minimal (and  $\mathbb{Z}^2$ -periodic). We further suppose that  $\mathbf{G}$  is *non-degenerate*, in the sense that its geometric Newton polygon  $N(\mathbf{G})$  has positive area. The aim of this section is to understand for which half-angle maps  $\alpha \in X_{\mathbf{G}}^{per}$  the corresponding elliptic Kasteleyn operator  $\mathbf{K}$  defined in Equation (8) is periodic.

Note that the periodicity of  $\mathbf{G}$  and of  $\alpha$  is not sufficient to ensure the periodicity of the operator  $\mathbf{K}$ . Indeed, this operator makes use of the  $\mathbb{R}/\pi\mathbb{Z}$ -valued discrete Abel map  $\eta$  defined in Section 2.3 which might have horizontal and vertical *periods*. More precisely, and using the notation of Section 5.1, we have that for every vertex  $x$  of  $\mathbf{G}^\diamond$  and  $(m, n) \in \mathbb{Z}^2$ , the equality

$$\eta(x + (m, n)) = \eta(x) + m \sum_{T \in \mathcal{T}_1} \alpha_T v_T - n \sum_{T \in \mathcal{T}_1} \alpha_T h_T \quad (17)$$

holds in  $\mathbb{R}/\pi\mathbb{Z}$ .

Motivated by this observation, consider the map

$$\varphi: X_{\mathbf{G}}^{per} \longrightarrow \mathbb{R}^2$$

defined as follows. Let us enumerate by  $T_1, \dots, T_r$  the elements of  $\mathcal{T}_1$  respecting the cyclic order, and let  $P_1, \dots, P_r$  denote the integer points on the boundary of  $N(\mathbf{G})$  numbered so that  $P_{j+1} - P_j = [T_j]$  (where  $P_{r+1}$  stands for  $P_1$ ). Given a half-angle map  $\alpha \in X_{\mathbf{G}}^{per}$ , let us write  $\alpha_j := \alpha_{T_j}$  and denote by  $\widetilde{\alpha_j - \alpha_{j-1}}$  the unique lift in  $[0, \pi)$  of  $\alpha_j - \alpha_{j-1} \in \mathbb{R}/\pi\mathbb{Z}$  (where  $\alpha_0$  stands for  $\alpha_r$ ). For  $\alpha \in X_{\mathbf{G}}^{per}$ , set

$$\varphi(\alpha) = \sum_{j=1}^r \frac{\widetilde{\alpha_j - \alpha_{j-1}}}{\pi} \cdot P_j \in \mathbb{R}^2. \quad (18)$$

Recall that the geometric Newton polygon is defined up to translation of an element of  $\mathbb{Z}^2$ . When defining  $\varphi$  above, we are fixing the integer boundary points  $P_1, \dots, P_r$  of  $N(\mathbf{G})$ , thus an anchoring. The following proposition nevertheless holds for all choices of anchoring, and answers the problem raised at the beginning of the section.

**Proposition 29.** *The image of the map  $\varphi: X_{\mathbf{G}}^{per} \rightarrow \mathbb{R}^2$  is equal to the interior of the geometric Newton polygon  $N(\mathbf{G})$  of  $\mathbf{G}$ . Moreover, a periodic half-angle map  $\alpha \in X_{\mathbf{G}}^{per}$  induces a periodic elliptic Kasteleyn operator  $\mathbf{K}$  if and only if  $\varphi(\alpha)$  lies in  $\mathbb{Z}^2$ .*

*Proof.* Let us fix  $\alpha \in X_G^{per}$  and consider its image by  $\varphi$ . First observe that since  $\alpha$  is monotone, we have  $\sum_{j=1}^r \widetilde{\alpha_j - \alpha_{j-1}} = \pi$ . Therefore,  $\varphi(\alpha)$  is a convex combination of the vertices  $P_1, \dots, P_r$ , and hence an element of the convex hull  $N(G)$  of these vertices.

To analyse  $\varphi(X_G^{per})$  more precisely, let us write  $\overline{X}_G^{per}$  for the set of monotone half-angle maps  $\alpha: \mathcal{T}_1 \rightarrow \mathbb{R}/\pi\mathbb{Z}$  ( $\overline{X}_G^{per}$  is the set  $X_G^{per}$  without the condition that train-tracks with different homology classes need to have distinct half-angles), and denote by  $\Delta = \{\beta = (\beta_j)_j \in [0, 1]^r \mid \sum_{j=1}^r \beta_j = 1\}$  the standard simplex of dimension  $r - 1$ . Observe that  $\varphi$  can be described as the restriction to  $X_G^{per}$  of the composition

$$\overline{X}_G^{per} \xrightarrow{\delta} \Delta \xrightarrow{p} N(G),$$

with  $\delta(\alpha) = (\frac{\alpha_j - \alpha_{j-1}}{\pi})_j$  and  $p(\beta) = \sum_j \beta_j P_j$ . This composition  $p \circ \delta: \overline{X}_G^{per} \rightarrow N(G)$  is clearly surjective, but we now need to understand how the condition  $\alpha_T \neq \alpha_{T'}$  for  $[T] \neq [T']$  defining the space  $X_G^{per} \subset \overline{X}_G^{per}$  affects the image of  $p \circ \delta$  inside  $N(G)$ .

Since  $p$  is an affine surjective map, any point in the interior of  $N(G)$  is the image under  $p$  of an element of the interior of  $\Delta$ , *i.e.*, an element  $\beta \in \Delta$  with no vanishing coordinate. Therefore, we have

$$\delta^{-1}(p^{-1}(\text{int } N(G))) \subset \delta^{-1}(\text{int } \Delta) = \{\alpha \in \overline{X}_G^{per} \mid \alpha \text{ injective}\} \subset X_G^{per},$$

thus checking the inclusion of the interior of  $N(G)$  into  $\varphi(X_G^{per})$ .

To prove the opposite inclusion, consider an arbitrary element  $x$  of  $N(G) \setminus \text{int } N(G)$ , and let us write  $F$  for the biggest face of  $N(G)$  containing  $x$  in its interior. (Concretely,  $F = x$  if  $x$  is a vertex of  $N(G)$ , and  $F$  is the boundary edge of  $N(G)$  containing  $x$  otherwise.) By definition, we have  $p^{-1}(x) = \{\beta \in \Delta \mid \sum_j \beta_j P_j = x\}$ . Fix a reference frame for  $\mathbb{R}^2$  with origin at  $x$  and first coordinate axis orthogonal to  $F$ . Then, the first coordinate of the equation  $\sum_j \beta_j P_j = x$  leads to  $\beta_j = 0$  for all  $j$  such that  $P_j$  does not belong to  $F$ . Since  $N(G)$  has positive area, we have  $\beta_j = 0$  for some vertex  $P_j$  of  $N(G)$ . Such an element of  $\Delta$  can only be realized as  $\delta(\alpha)$  with  $\alpha_j = \alpha_{j-1}$ . Since  $P_j$  is a vertex of  $N(G)$ , we have  $[T_j] \neq [T_{j-1}]$ , so  $\alpha$  does not belong to  $X_G^{per}$ . This shows the inclusion of  $\varphi(X_G^{per})$  into the interior of  $N(G)$ , and thus the equality of these two sets.

Finally, by  $\pi$ -(anti)periodicity of the theta function  $\theta$ , the operator  $K$  is periodic if and only if the  $\mathbb{R}/\pi\mathbb{Z}$ -valued discrete Abel map  $\eta$  is. By Equation (17), this is the case if and only if

$$\sum_{T \in \mathcal{T}_1} \alpha_T [T] = \sum_{T \in \mathcal{T}_1} \alpha_T \begin{pmatrix} h_T \\ v_T \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \in (\mathbb{R}/\pi\mathbb{Z})^2.$$

Fixing arbitrary lifts  $\tilde{\alpha}_j \in \mathbb{R}$  of  $\alpha_j \in \mathbb{R}/\pi\mathbb{Z}$ , this is equivalent to requiring that the following element of  $\mathbb{R}^2$  belongs to  $\mathbb{Z}^2$ :

$$\frac{1}{\pi} \sum_{j=1}^r \tilde{\alpha}_j [T_j] = \frac{1}{\pi} \sum_{j=1}^r \tilde{\alpha}_j (P_{j+1} - P_j) = - \sum_{j=1}^r \frac{\tilde{\alpha}_j - \tilde{\alpha}_{j-1}}{\pi} P_j = \sum_{j=1}^r n_j P_j - \varphi(\alpha), \quad (19)$$

with  $n_j = \frac{\alpha_j - \alpha_{j-1}}{\pi} - \frac{\tilde{\alpha}_j - \tilde{\alpha}_{j-1}}{\pi}$ . Since  $n_j$  is an integer and  $P_j$  an element of  $\mathbb{Z}^2$  for all  $j$ , this is equivalent to requiring that  $\varphi(\boldsymbol{\alpha})$  belongs to  $\mathbb{Z}^2$ . This concludes the proof.  $\square$

In the remainder of this section, we suppose that the minimal graph  $\mathbf{G}$  is endowed with  $\boldsymbol{\alpha} \in X_{\mathbf{G}}^{per}$  so that the corresponding elliptic Kasteleyn operator  $\mathbf{K}$  is periodic, *i.e.*, so that  $\varphi(\boldsymbol{\alpha})$  is an interior lattice point of  $N(\mathbf{G})$ .

*Remark 30.*

1. Some minimal periodic graphs have a too small geometric Newton polygon to admit such an integer point  $\varphi(\boldsymbol{\alpha})$  in their interior. This is the case for the square and hexagonal lattices with their smallest fundamental domain composed of one vertex of each color. For these graphs, the rest of the discussion in this section is void.
2. In Section 5.6 we use the following version of Equation (19). Fix a lift  $(\tilde{\alpha}_j)$  of the half-angles  $(\alpha_j)$  in  $\mathbb{R}/\pi\mathbb{Z}$  such that,  $\tilde{\alpha}_1 \leq \tilde{\alpha}_2 \leq \dots \leq \tilde{\alpha}_r < \tilde{\alpha}_1 + \pi$ , and consider the element  $-\frac{1}{\pi} \sum_{T \in \mathcal{T}_1} \tilde{\alpha}_T \binom{h_T}{v_T}$ . Then it is equal to the difference of  $\varphi(\boldsymbol{\alpha})$  with  $P_1$ , as in this case, all  $n_j$ 's are equal to 0 except  $n_1$  which is equal to 1.

### 5.3 Characteristic polynomial, spectral curve, amoeba

We now recall some key tools used in studying the dimer model on a  $\mathbb{Z}^2$ -periodic, planar, bipartite graph  $\mathbf{G}$  with periodic weights, see for example [KOS06]. For  $(z, w) \in (\mathbb{C}^*)^2$ , a function  $f$  is said to be  $(z, w)$ -quasiperiodic, if

$$\forall \mathbf{x} \in \mathbf{V}, \forall (m, n) \in \mathbb{Z}^2, \quad f(\mathbf{x} + (m, n)) = z^m w^n f(\mathbf{x}).$$

We let  $\mathbb{C}_{(z,w)}^{\mathbf{V}}$  denote the space of  $(z, w)$ -quasiperiodic functions. Such functions are completely determined by their value in  $\mathbf{V}_1$ . By a slight abuse of notation, we will identify a  $(z, w)$ -quasiperiodic function with its restriction to  $\mathbf{V}_1$ , where this identification depends on the choice of  $\gamma_x$  and  $\gamma_y$ . With this convention in mind, a natural basis for  $\mathbb{C}_{(z,w)}^{\mathbf{V}}$  is given by  $(\delta_{\mathbf{x}}(z, w))_{\mathbf{x} \in \mathbf{V}_1}$ . Similarly, we let  $\mathbb{C}_{(z,w)}^{\mathbf{B}}$  and  $\mathbb{C}_{(z,w)}^{\mathbf{W}}$  be the set of  $(z, w)$ -quasiperiodic functions defined on black vertices, and on white vertices respectively.

The periodic operator  $\mathbf{K}$  maps the vector space  $\mathbb{C}_{(z,w)}^{\mathbf{B}}$  into  $\mathbb{C}_{(z,w)}^{\mathbf{W}}$  and we let  $\mathbf{K}(z, w)$  be the matrix of the restriction of  $\mathbf{K}$  to these spaces written in their natural respective bases. Alternatively, the matrix  $\mathbf{K}(z, w)$  is the matrix of the Kasteleyn operator of the fundamental domain  $\mathbf{G}_1$  where edge weights are multiplied by  $w^{-1}$  or  $w$ , resp.  $z$  or  $z^{-1}$  each time the corresponding edge oriented from the white to the black vertex crosses the curve  $\gamma_x$ , resp.  $\gamma_y$ , left-to-right or right-to-left. The *characteristic polynomial*  $P(z, w)$  is the determinant of the matrix  $\mathbf{K}(z, w)$ . The *Newton polygon* of  $P$ , denoted by  $N(P)$  is the convex hull of lattice points  $(i, j)$  such that  $z^i w^j$  arises as a non-zero monomial in  $P$ . By [GK13, Theorem 3.12],  $N(P)$  is a lattice translate of  $N(\mathbf{G})$ .

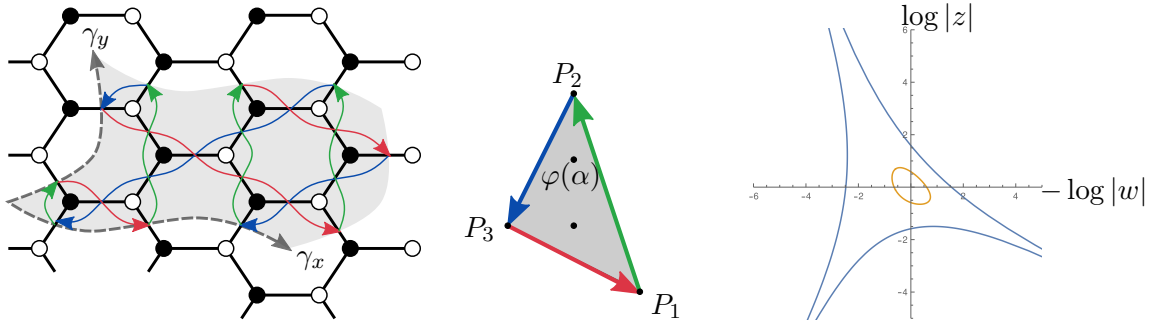


Figure 10: Left: the hexagonal lattice with a shaded fundamental domain as an example of periodic minimal graph  $\mathbf{G}$ , with the trace of the three train-tracks of  $\mathbf{G}_1$  (green, blue, red) of respective homology classes  $(-1, 3)$ ,  $(-1, -2)$  and  $(2, -1)$ . Middle: the corresponding Newton polygon, whose boundary vectors are given by the homology classes of the train-tracks. Right: amoeba of the associated spectral curve when the half-angles are chosen to be  $-\frac{\pi}{5}$ ,  $0$ ,  $\frac{2\pi}{5}$  respectively, so that the point  $\varphi(\alpha)$  is the topmost inner point of  $N(\mathbf{G})$ . Because of our convention, the asymptotes of the tentacles are parallel (instead of normal classically) to the corresponding sides of  $N(\mathbf{G})$ .

The *spectral curve*  $\mathcal{C}$  is the zero locus of the characteristic polynomial:

$$\mathcal{C} = \{(z, w) \in (\mathbb{C}^*)^2 : P(z, w) = 0\}.$$

In other words, it corresponds to the values of  $z$  and  $w$  for which we can find a non-zero  $(z, w)$ -quasiperiodic function  $f$  on black vertices such that  $\mathbf{K}f = 0$ .

The *amoeba*  $\mathcal{A}$  of the curve  $\mathcal{C}$  is the image of  $\mathcal{C}$  through the map  $(z, w) \mapsto (-\log |w|, \log |z|)$ , see Figure 10 for an example. Note that the present definition of the amoeba differs from that of [GKZ94, KOS06] by a rotation by 90 degrees. This is handy when describing the phase diagram, see Section 5.5. By [KO06, KOS06], we know that the spectral curve  $\mathcal{C}$  is a *Harnack curve*, which is equivalent to saying that the map from the curve to its amoeba is at most 2-to-1 [Mik00]. (To be precise, such curves are referred to as *simple Harnack curves* in real algebraic geometry.) The *real locus* of the curve  $\mathcal{C}$  consists of the set of points that are invariant under complex conjugation:

$$\{(z, w) \in \mathcal{C} : (z, w) = (\bar{z}, \bar{w})\} = \{(x, y) \in (\mathbb{R}^*)^2 : P(x, y) = 0\}$$

deprived from its isolated singularities, which are the only singularities a Harnack curve can admit.

Note that the matrix  $\mathbf{K}(z, w)$  and the characteristic polynomial  $P(z, w)$  both depend on the particular gauge choice for the edge weights and on the explicit choices of  $\gamma_x$  and  $\gamma_y$ . Also, the Newton polygon  $N(P)$  undergoes a translation when these curves are deformed, *i.e.*, modified within fixed homology classes. On the other hand, the spectral curve (and its associated amoeba) only depend on the face weights of the periodic dimer model (with fixed homology classes for  $\gamma_x$  and  $\gamma_y$ ). Replacing this positive basis of  $H_1(\mathbb{T}; \mathbb{Z})$

by another one amounts to transforming the amoeba by the linear action of the element of  $SL_2(\mathbb{Z})$  corresponding to this base change.

#### 5.4 Explicit parameterization of the spectral curve

Throughout this section, we assume that the  $\mathbb{Z}^2$ -periodic minimal graph  $G$  is endowed with a half-angle map  $\alpha \in X_G^{per}$  such that the Kasteleyn operator  $K$  is periodic. This ensures that the function  $g$  satisfies the equality

$$g_{x+(m,n),y+(m,n)}(u) = g_{x,y}(u), \quad (20)$$

for all  $u \in \mathbb{C}$ , vertices  $x, y \in V$  and integers  $m, n \in \mathbb{Z}$ .

Fix a complex number  $u$ , and a base vertex  $x_0 \in V$ . Because of its product structure, the function  $x \mapsto g_{x,x_0}(u)$  is  $(z, w)$ -quasiperiodic, with  $(z, w) = (z(u), w(u))$  given by

$$z(u) = g_{x_0+(1,0),x_0}(u), \quad w(u) = g_{x_0+(0,1),x_0}(u).$$

These quantities are easily seen not to depend on the choice of  $x_0$ . Note also that, since  $Kg_{\cdot,x_0}(u)$  vanishes by Proposition 16, the complex pair  $(z(u), w(u))$  belongs to the spectral curve  $\mathcal{C}$  for all  $u$ , a fact already noted in [Foc15].

The quantities  $z(u)$  and  $w(u)$  can be expressed explicitly in terms of the half-angles and homology classes of train-tracks of  $G_1$ , as follows. Using its definition, compute  $z(u) = g_{x_0+(1,0),x_0}(u)$  as a product of local contributions along an edge path of  $G_1^\diamond$  winding once horizontally from right to left. Terms of the form  $\theta(u + t + \eta(\mathbf{w}))$  or  $\theta(u - t - \eta(\mathbf{b}))$  coming from contributions of edges arriving to or leaving from white vertices  $\mathbf{w}$  or black vertices  $\mathbf{b}$  along this path cancel out, leaving only a factor of the form

$$\frac{\theta(u + t + \eta(x_0))}{\theta(u + t + \eta(x_0 + (1, 0)))} = \frac{\theta(u + t + \eta(x_0))}{\theta(u + t + \eta(x_0) + \sum_T \alpha_T v_T)} = (-1)^{\frac{1}{\pi} \sum_T \alpha_T v_T},$$

where we have used Equation (17), the fact that  $\sum_{T \in \mathcal{T}_1} v_T \alpha_T \equiv 0 \pmod{\pi}$ , and assumed without loss of generality that  $x_0$  is a white vertex. The remaining factors  $\theta(u - \alpha)$  can be grouped together according to the train-tracks in  $\mathcal{T}_1$  they are associated to. For a train-track  $T \in \mathcal{T}_1$ , the exponent of  $\theta(u - \alpha_T)$  is the algebraic number of times a copy of  $T$  crosses the path, which is, with our convention, exactly minus the vertical component of its homology class  $[T]$ . One can reason similarly for  $w(u)$ , giving the following expressions:

$$z(u) = (-1)^{\frac{1}{\pi} \sum_T \alpha_T v_T} \prod_{T \in \mathcal{T}_1} \theta(u - \alpha_T)^{-v_T}, \quad w(u) = (-1)^{\frac{1}{\pi} \sum_T \alpha_T h_T} \prod_{T \in \mathcal{T}_1} \theta(u - \alpha_T)^{h_T}. \quad (21)$$

By Remark 15, the functions  $z(u)$  and  $w(u)$  are meromorphic functions of  $u$  on  $\mathbb{T}(q)$  and they are well defined when the half-angle map  $\alpha$  takes values in  $\mathbb{R}/\pi\mathbb{Z}$ . Moreover, since the  $\alpha_T$ 's are real, they commute with complex conjugation:

$$\forall u \in \mathbb{T}(q), \quad z(\bar{u}) = \overline{z(u)} \quad \text{and} \quad w(\bar{u}) = \overline{w(u)}.$$

Define the following map  $\psi$ :

$$\begin{aligned} \psi: \mathbb{T}(q) &\rightarrow \mathcal{C} \\ u &\mapsto \psi(u) = (z(u), w(u)). \end{aligned}$$

Then we have the following result, which is illustrated in Figure 11.

**Proposition 31.** *The map  $\psi$  is an explicit birational parameterization of the spectral curve  $\mathcal{C}$ , implying that this Harnack curve has geometric genus 1. Moreover, the real locus of  $\mathcal{C}$  is the image under  $\psi$  of the set  $\mathbb{R}/\pi\mathbb{Z} \times \{0, \frac{\pi}{2}\tau\}$ , and the connected component with ordinate  $\frac{\pi}{2}\tau$  is bounded (i.e., an oval) while the other one is not.*

*Proof.* The map  $\psi$  is meromorphic so it parameterizes (an open set of) an irreducible component of  $\mathcal{C}$ . But since  $\mathcal{C}$  is Harnack [KOS06], it is in particular irreducible. Therefore, it is a birational parameterization of the whole spectral curve. The geometric genus of  $\mathcal{C}$  is thus the same as  $\mathbb{T}(q)$ , i.e., equal to 1. Commutation of  $\psi$  with complex conjugation implies that  $\psi$  maps the real components  $C_0$  and  $C_1$  of  $\mathbb{T}(q)$  to those of  $\mathcal{C}$ . Since  $z(u)$  and  $w(u)$  have zeros and poles on  $C_0 = \mathbb{R}/\pi\mathbb{Z}$ , this real component of  $\mathbb{T}(q)$  is the one corresponding to the unbounded component of the real locus of  $\mathcal{C}$ .  $\square$

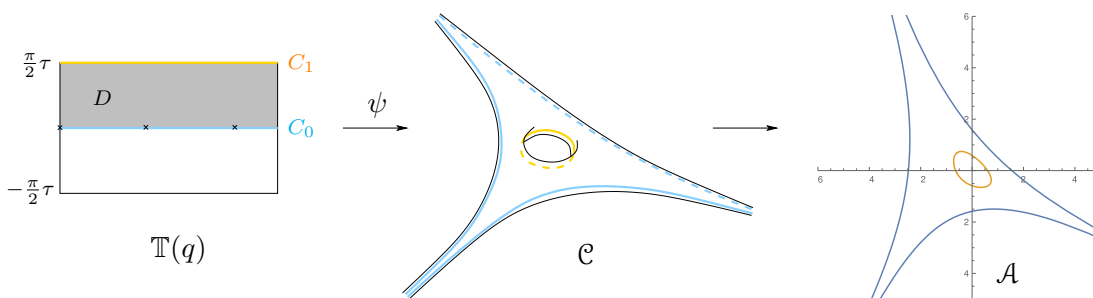


Figure 11: The parameterization  $\psi: \mathbb{T}(q) \rightarrow \mathcal{C}$  of the spectral curve, followed by projection  $\mathcal{C} \rightarrow \mathcal{A}$  onto the amoeba.

In the framework of the correspondence between algebraic curves with marked points and minimal dimer models modulo gauge transformations studied by Goncharov and Kenyon [GK13], the converse question has been answered by Fock [Foc15] for arbitrary smooth, complex algebraic curves without hypothesis on positivity: given a smooth curve  $\mathcal{C}$ , he uses Riemann theta functions to construct a dimer model whose spectral curve is  $\mathcal{C}$ . We can now give a modified version of his proof in the situation where the algebraic curve has geometric genus 1 and is Harnack.

**Theorem 32.** *Let  $\mathcal{C}$  be a Harnack curve in  $(\mathbb{C}^*)^2$  having geometric genus 1. Up to a scale change  $(z, w) \mapsto (\lambda z, \mu w)$ , with  $\lambda, \mu \in \mathbb{R}^*$ , which in particular brings the origin inside the hole of the amoeba of the rescaled curve, there exists a  $\mathbb{Z}^2$ -periodic minimal graph  $\mathcal{G}$  and a half-angle map  $\alpha \in X_{\mathcal{G}}^{per}$  such that, for every  $t$  in  $\mathbb{R} + \frac{\pi}{2}\tau$ , Fock's elliptic*

*Kasteleyn operator is periodic, and such that the spectral curve of the corresponding dimer model is  $\mathcal{C}$ . This family of Kasteleyn operators, indexed by  $t \in \mathbb{R}/\pi\mathbb{Z} + \frac{\pi}{2}\tau$ , is in bijection with the bounded real component of  $\mathcal{C}$ .*

*Proof.* The curve  $\mathcal{C}$  has geometric genus 1, with two real components, otherwise stated it is *maximal*. As a consequence, there exists a birational map  $\psi$  from a rectangular torus to  $\mathcal{C}$ . Up to a global scaling, we can assume that this torus is one of the tori  $\mathbb{T}(q)$ , for some value of  $q \in (0, 1)$ , thus fixing the parameter of the theta function. Let  $\mathbb{T}(q) \ni u \mapsto \psi(u) = (z(u), w(u)) \in \mathcal{C}$  be such a map. The projections on the first and second coordinates are elliptic functions on  $\mathbb{T}(q)$ . They thus have the form:

$$z(u) = \lambda \prod_{j=1}^r \theta(u - \alpha_j)^{-b_j}, \quad w(u) = \mu \prod_{j=1}^r \theta(u - \alpha_j)^{a_j},$$

where, for all  $j \in \{1, \dots, r\}$ ,  $a_j, b_j$  are coprime integers, and  $\alpha_j$  belongs to  $\mathbb{R}/\pi\mathbb{Z}$ . Since the curve  $\mathcal{C}$  is Harnack, it is real algebraic, implying that  $\lambda, \mu \in \mathbb{R}^*$ . After a possible scaling, we can assume that  $\lambda$  and  $\mu$  are equal to  $\pm 1$ . The fact that both projections are elliptic implies that they are periodic functions on the torus  $\mathbb{T}(q)$ . Quasiperiodicity of the function  $\theta$ , see Equation (4), thus implies that  $(\alpha_j), (a_j), (b_j)$  satisfy the relations

$$\begin{aligned} \sum_{j=1}^r a_j &= 0, & \sum_{j=1}^r b_j &= 0 \\ \sum_{j=1}^r \alpha_j a_j &= 0 \pmod{\pi}, & \sum_{j=1}^r \alpha_j b_j &= 0 \pmod{\pi}. \end{aligned}$$

By [GK13, Theorem 2.5] (see also [Gul08]), the first two equalities allow to construct a bipartite minimal graph  $\mathbf{G}_1 \subset \mathbb{T}$  with train-tracks  $T_1, \dots, T_r$  satisfying  $[T_j] = (a_j, b_j) \in H_1(\mathbb{T}; \mathbb{Z})$  for all  $j$ . Let  $(z_0, w_0)$  be a marked point on the bounded real component of  $\mathcal{C}$ . It corresponds to a unique value  $t \in C_1$  such that  $z_0 = z(t)$  and  $w_0 = w(t)$ . By Equation (17), the last two equalities displayed above ensure that Fock's elliptic adjacency operator  $\mathbf{K}$  corresponding to  $\mathbf{G}_1$ ,  $(\alpha_j)$  and  $t$  is indeed periodic.

Since  $\mathcal{C}$  is a Harnack curve, the cyclic order of the tentacles of the amoeba (which is related to the cyclic order of the homology classes of the train-tracks, forming the boundary of the Newton polygon) coincides with the cyclic order of the half-angles  $\alpha_T \in \mathbb{R}/\pi\mathbb{Z}$ . By Proposition 12, this implies that Fock's elliptic adjacency operator  $\mathbf{K}$  is Kasteleyn. By the construction above, the curve  $\mathcal{C}$  exactly consists of the points  $(z, w)$  of  $(\mathbb{C}^2)^*$  where the kernel of  $\mathbf{K}(z, w)$  is non-trivial. It is therefore the spectral curve.  $\square$

*Remark 33.*

1. The construction of  $\mathbf{G}_1$  from the curve  $\mathcal{C}$  is not unique. However, if  $\mathbf{G}'_1$  is another minimal graph on the torus satisfying the same constraints, then  $\mathbf{G}_1$  and  $\mathbf{G}'_1$  are related by a sequence of spider moves and shrinking/expanding of 2-valent vertices [GK13, Theorem 2.5].

2. A consequence of [GK13, Theorem 7.3] combined with Theorem 32 is the following: every periodic Kasteleyn operator on  $\mathsf{G}$  giving the same spectral curve  $\mathcal{C}$  is gauge-equivalent to Fock's elliptic Kasteleyn operators for some  $t \in \mathbb{R}/\pi\mathbb{Z} + \frac{\pi}{2}\tau$ . Furthermore, by a result announced by Goncharov and Kenyon as a refinement of [GK13, Theorem 7.3], this  $t$  is unique.
3. Consider two genus 1 Harnack curves with the same Newton polygon  $N$ . If the two ovals correspond to the same point  $P \in \mathbb{Z}^2$  in the interior of  $N$ , then the dimer model provides continuous families of genus 1 Harnack curves interpolating between these two given curves. For example, one can first continuously deform the half-angle maps within the connected space  $\varphi^{-1}(P) \subset X_{\mathsf{G}}^{per}$  (recall Section 5.2), and then the parameter  $\tau$ . On the other hand, if the two ovals correspond to different interior points of  $N$ , then such a continuous deformation cannot be performed within the realm of elliptic curves. One first needs to shrink the oval of one curve into an isolated singular double point, so that the curve becomes rational, then take another singular point and transform it into an oval. In the dimer picture, this first step corresponds to taking a limit as  $|\tau|$  tends to infinity, so that the weights become trigonometric (see Section 8.1). At that particular point, there is no constraint imposed for the periodicity of weights, and there is more freedom to continuously deform the corresponding spectral curves.

## 5.5 Gibbs measures

The main result of this section proves explicit expressions, in our setting, for the two parameter family of ergodic Gibbs measures of [KOS06], which have the remarkable property of only depending on the local geometry of the graph. Before stating our results we recall required facts from [KOS06].

**Classification of ergodic Gibbs measures [KOS06].** Consider a  $\mathbb{Z}^2$ -periodic, bipartite graph  $\mathsf{G}$  (not necessarily minimal) with periodic weights. Gibbs measures on dimer configurations of  $\mathsf{G}$  which are invariant and ergodic under the action of  $\mathbb{Z}^2$  are characterized by their *slope*  $(s, t)$  [She05], which is (up to a fixed arbitrary additive constant), the expected algebraic number of dimers crossing  $\gamma_x$  and  $\gamma_y$ . Its precise definition is recalled in Section 5.6.

These measures are constructed explicitly in [KOS06] as limits of (unconditioned) Boltzmann measures on  $\mathsf{G}_n$  with *magnetically modified weights*. The magnetic field  $B = (B_x, B_y)$  appearing in the weight modification is the Legendre dual of the slope  $(s, t)$ . It is used to parameterize the set of possible ergodic Gibbs measures, whose phase diagram in the plane  $(B_x, B_y)$  is given by the amoeba  $\mathcal{A}$  of the characteristic polynomial  $P$ . More precisely, the Boltzmann measure  $\mathbb{P}_n^B$  on  $\mathsf{G}_n$  with magnetic field  $B$  is obtained by multiplying the weight of an edge each time it crosses a copy of  $\gamma_x$ , resp.  $\gamma_y$ , by  $e^{\pm B_x}$ , resp.  $e^{\pm B_y}$ , if the edge has a white vertex on the left of  $\gamma_x$ , resp.  $\gamma_y$ . Note that the convention differs from that used in defining  $\mathsf{K}(z, w)$  with weights  $w^{\mp 1}, z^{\pm 1}$ , by what can



be understood as the action of the intersection form. Note also that the corresponding Kasteleyn matrix has the same face weights (recall definition (2)) for all values of  $B$ , *i.e.*, the corresponding dimer models are gauge equivalent, but the Boltzmann measures on the torus differ, thus exhibiting a different behavior than in the case of finite graphs embedded in the plane. One way of seeing this is that closed 1-forms on the torus are not necessarily exact, see *e.g.* [KOS06, Section 2.3]. Then in [KOS06, Theorem 4.3], see also [CKP01], the authors prove that for every value of  $B$ , taking the weak limit of the Boltzmann measures  $\mathbb{P}_n^B$  gives an ergodic Gibbs measure  $\mathbb{P}^B$ , such that the probability of occurrence of a subset of  $k$  distinct edges  $\{\mathbf{e}_1 = \mathbf{w}_1 \mathbf{b}_1, \dots, \mathbf{e}_k = \mathbf{w}_k \mathbf{b}_k\}$  is explicitly given by

$$\mathbb{P}^B(\mathbf{e}_1, \dots, \mathbf{e}_k) = \left( \prod_{j=1}^k K_{\mathbf{w}_j, \mathbf{b}_j} \right) \times \det_{1 \leq i, j \leq k} \left( A_{\mathbf{b}_i, \mathbf{w}_j}^B \right), \quad (22)$$

where  $A^B$  is defined as follows: if  $\mathbf{w}$  and  $\mathbf{b}$  are in the same fundamental domain and  $(m, n)$  belongs to  $\mathbb{Z}^2$ , then

$$A_{\mathbf{b}+(m,n), \mathbf{w}}^B = \iint_{\mathbb{T}_B} K(z, w)_{\mathbf{b}, \mathbf{w}}^{-1} z^m w^n \frac{dw}{2i\pi w} \frac{dz}{2i\pi z} = \iint_{\mathbb{T}_B} \frac{Q(z, w)_{\mathbf{b}, \mathbf{w}}}{P(z, w)} z^m w^n \frac{dw}{2i\pi w} \frac{dz}{2i\pi z}, \quad (23)$$

where  $Q(z, w)$  is the adjugate matrix of  $K(z, w)$ ,  $P(z, w)$  is the characteristic polynomial, and  $\mathbb{T}_B = \{(z, w) \in (\mathbb{C}^2)^* ; |z| = e^{B_y}, |w| = e^{-B_x}\}$ .

### Local expressions for ergodic Gibbs measures in the elliptic minimal case.

We consider the case where the graph  $\mathbf{G}$  is minimal, where Fock's elliptic adjacency operator  $\mathbf{K}$  is Kasteleyn, and where the half-angle map  $\alpha \in X_{\mathbf{G}}^{per}$  is such that  $\mathbf{K}$  is periodic. Recall from Section 4.2 that we have a parameter  $u_0 \in D$  indexing a family of inverses  $(A^{u_0})_{u_0 \in D}$  of the elliptic Kasteleyn operator  $\mathbf{K}$ . We prove that the set of ergodic Gibbs measures are explicitly written using the operators  $(A^{u_0})_{u_0 \in D}$ , and that  $\{u_0 \in D\}$  gives an alternative parameterization of the set of ergodic Gibbs measures.

**Theorem 34.** *For any  $B = (B_x, B_y)$  in  $\mathbb{R}^2$ , there exists a value of the parameter  $u_0 \in D$  such that  $A^B = A^{u_0}$ . When  $B$  is inside the amoeba  $\mathcal{A}$  (resp. in the bounded, resp. in an unbounded connected component of its complement),  $u_0$  is in the interior (resp. on the top boundary, resp. in an interval of the bottom boundary) of the domain  $D$ .*

This theorem combined with the phase diagram of [KOS06, Theorem 4.1] yields the following immediate corollary.

**Corollary 35.** *Consider the dimer model on a  $\mathbb{Z}^2$ -periodic, bipartite, minimal graph  $\mathbf{G}$ , with a periodic elliptic Kasteleyn operator  $\mathbf{K}$ . Then, the set of ergodic Gibbs measures is the set of measures  $(\mathbb{P}^{u_0})_{u_0 \in D}$  whose expression on cylinder sets is explicitly given by, for every  $u_0 \in D$  and every subset of distinct edges  $\{\mathbf{e}_1 = \mathbf{w}_1 \mathbf{b}_1, \dots, \mathbf{e}_k = \mathbf{w}_k \mathbf{b}_k\}$  of  $\mathbf{G}$ ,*

$$\mathbb{P}^{u_0}(\mathbf{e}_1, \dots, \mathbf{e}_k) = \left( \prod_{j=1}^k K_{\mathbf{w}_j, \mathbf{b}_j} \right) \times \det_{1 \leq i, j \leq k} \left( A_{\mathbf{b}_i, \mathbf{w}_j}^{u_0} \right), \quad (24)$$

where  $A^{u_0}$  is the inverse operator of Definition 22.

The domain  $D$  gives an alternative phase diagram of the model: when  $u_0$  is on the top boundary of  $D$ , the dimer model is gaseous; when  $u_0$  is in the interior of the set  $D$ , the model is liquid; when  $u_0$  is a point corresponding to one of the connected components of the lower boundary of  $D$ , the model is solid.

*Remark 36.*

1. The Gibbs measures  $(\mathbb{P}^{u_0})_{u_0 \in D}$  are *local*, a property inherited from that of the inverse operators  $(A^{u_0})_{u_0 \in D}$ , see Point 1 of Remark 23. For example, this means that the probability of occurrence of a subset of edges can be computed using only the geometry of paths in  $\mathbb{G}^\diamond$  joining vertices of these edges, and that it is actually independent of the choice of paths. This remarkable property cannot be seen from the Fourier type expression (23). As an illustration, single-edge probabilities are computed in Section 6.1.
2. Such type of expressions were already known in the trigonometric case [Ken02], corresponding to genus 0 Harnack curves (see Section 8.1), and in two specific genus 1 cases [BdTR18, dT17] (see Section 8.2). However, let us emphasize that these papers only considered the maximal entropy Gibbs measure, corresponding to the weak limit of the toroidal Boltzmann measures with  $(0, 0)$  magnetic field, and did not handle the *two parameter* family of ergodic Gibbs measures.
3. Such local expressions give the right framework to obtain Gibbs measures in the case of (possibly) non-periodic graphs, see Section 6.1. Although periodicity is lost, meaning that there is no associated amoeba  $\mathcal{A}$ , the phase diagram can still be described by the domain  $D$ . Such expressions are also very handy to derive asymptotics, see Section 6.2.

*Proof of Theorem 34.* One way of proving equality between  $A^B$  and  $A^{u_0}$  is to use a uniqueness argument for the inverse with given asymptotic growth based on Fourier analysis as in [BdT10]. Instead we here choose to do an explicit computation in the spirit of [BdTR17, Section 5.5.1] because there are surprising and interesting simplifications which deserve to be made explicit. Note that there are additional difficulties due to the following facts: we integrate over tori  $\mathbb{T}_B$  of different sizes; in Lemma 37, we explicitly compute the Jacobian of a change of variable from the spectral curve to  $\mathbb{T}(q)$  instead of the abstract argument of [BdTR17], which required a combinatorial control of the Newton polygon, gave the result only up to an unknown multiplicative constant, and did not generalize to higher genus.

Consider  $\mathbf{b} \in \mathbb{B}_1$ ,  $\mathbf{w} \in \mathbb{W}_1$ ,  $(m, n) \in \mathbb{Z}^2$ , and the coefficient  $A_{\mathbf{b}+(m,n), \mathbf{w}}^B$  of (23). Up to a change of basis  $([\gamma_x], [\gamma_y])$  of  $H_1(\mathbb{T}; \mathbb{Z})$ , and possibly deforming  $\gamma_x$  and  $\gamma_y$  around vertices, we can assume without loss of generality that  $n \geq 1$  and that the lowest degree in  $z$  (resp. in  $w$ ) of monomials in  $P$  is 0. Recall however that such a base change has the effect of transforming the amoeba by a linear transformation in  $SL_2(\mathbb{Z})$ . This has to be

kept in mind when defining the path of integration  $C^{u_0}$  below. Indeed, it might seem that  $C^{u_0}$  does not depend on  $\mathbf{b} + (m, n), \mathbf{w}$  as it should according to the definition of  $A_{\mathbf{b}+(m,n),\mathbf{w}}^B$ . It actually does, since transforming the amoeba as above has the effect of moving the path of integration.

For a fixed  $z$  such that  $|z| = e^{B_y}$ , let us compute the integral over  $w$  by residues. Let us denote by  $\{w_j(z)\}_{j=1}^{d_B}$  the zeros of  $P(z, \cdot)$  in the disk of radius  $e^{-B_x}$ , which are simple for almost all  $z$  on the circle of radius  $e^{B_y}$ . Then, by the residue theorem,

$$\int_{|w|=e^{-B_x}} \frac{Q(z, w)_{\mathbf{b},\mathbf{w}}}{P(z, w)} w^{n-1} \frac{dw}{2i\pi} = \sum_{j=1}^{d_B} \frac{Q(z, w_j(z))_{\mathbf{b},\mathbf{w}}}{\partial_w P(z, w_j(z))} w_j(z)^{n-1},$$

where  $\partial_w$  denotes the partial derivative with respect to the second variable. Indeed, the possibility of a pole of the integrand at  $w = 0$  is excluded by the assumptions on  $\gamma_x$  and  $\gamma_y$ .

To compute the remaining integral over  $z$ , we perform the change of variable from  $z$  to  $u \in \mathbb{T}(q)$ . The set on which we integrate is

$$\bigcup_{j=1}^{d_B} \{(z, w_j(z)) \in \mathcal{C} : |z| = e^{B_y}, |w_j(z)| \leq e^{-B_x}\}.$$

In order to identify the preimage of this set under  $\psi$ , it is useful to first look at its projection onto the amoeba  $\mathcal{A}$ , then to lift it to the curve  $\mathcal{C}$  and to take its preimage by  $\psi$ . On the amoeba  $\mathcal{A}$ , we are looking at its intersection with the half-line at ordinate  $B_y$ , extending to the right of  $B_x$ . This intersection consists of a finite number (possibly zero) of intervals. All these intervals have their two extremities on the (compactified) boundary of the amoeba, except maybe one, denoted by  $I$ . This happens when  $B$  is in the interior of the amoeba, and  $B$  is the extremity of  $I$  not on the boundary. Using the property that the map from the spectral curve to its amoeba is 2-to-1 on the interior of the amoeba, and that the boundary of the amoeba is the image of the real locus of the spectral curve, the union of intervals can be lifted to the spectral curve as a collection of paths: intervals joining two points of the unbounded component of the amoeba are lifted to trivial loops surrounding points at infinity of the spectral curve. To complete the picture, we distinguish three cases depending on the position of  $B$  with respect to the amoeba:

**Case 1: gaseous phase.**  $B$  is in the closure of the bounded connected component of the complement of the amoeba. Then one of the intervals connects the two components of the boundary of the amoeba, which lifts in  $\mathcal{C}$  to a non-trivial loop winding ‘‘vertically’’.

**Case 2: liquid phase.**  $B$  is in the interior of the amoeba. The interval  $I$  lifts in  $\mathcal{C}$  to a curve joining  $(z_B, w_B)$  to its complex conjugate  $(\overline{z_B}, \overline{w_B})$ , where  $|z_B| = e^{B_y}$  and  $|w_B| = e^{-B_x}$ .

**Case 3: solid phases.**  $B$  is in the closure of one of the unbounded connected components of the complement of the amoeba, and the (possibly empty) corresponding collection of paths in  $\mathcal{C}$  consists of trivial loops surrounding points at infinity.

In the three cases, the collection of paths on the spectral curves are lifted back on  $\mathbb{T}(q)$  by  $\psi$ , and can be deformed to one single path  $C^{u_0}$ , which depends on  $\mathbf{b} + (m, n)$  and  $\mathbf{w}$ , as described in Section 4.1, with  $u_0$  in  $C_1$ , in the interior of  $D$ , or in  $C_0$ , for Cases 1, 2, 3 respectively.

Performing the change of variable from  $z = z(u)$  on the collection of paths on the spectral curve  $\mathcal{C}$  to  $u \in C^{u_0}$ , we can therefore write

$$\mathbf{A}_{\mathbf{b}+(m,n),\mathbf{w}}^B = \frac{1}{2\pi i} \int_{C^{u_0}} \frac{Q(z(u), w(u))_{\mathbf{b},\mathbf{w}}}{z(u)w(u)\partial_w P(z(u), w(u))} z(u)^m w(u)^n z'(u) du,$$

where  $u_0$  is such that  $|z(u_0)| = |z_B| = e^{B_y}$  and  $|w(u_0)| = |w_B| = e^{-B_x}$ .

We now need the following lemma, whose proof is deferred until the end of this one.

**Lemma 37.** *There exists a meromorphic function  $f$  on  $\mathbb{T}(q)$  such that:*

1.  $\forall u \in \mathbb{T}(q), \forall \mathbf{b} \in \mathbf{B}_1, \forall \mathbf{w} \in \mathbf{W}_1, \quad Q(z(u), w(u))_{\mathbf{b},\mathbf{w}} = f(u)g_{\mathbf{b},\mathbf{w}}(u),$
2.  $\forall u \in \mathbb{T}(q), \quad \frac{f(u)}{z(u)w(u)\partial_w P(z(u), w(u))} z'(u) = -\theta'(0).$

Using Lemma 37, we obtain:

$$\begin{aligned} \mathbf{A}_{\mathbf{b}+(m,n),\mathbf{w}}^B &= \frac{-\theta'(0)}{2\pi i} \int_{C^{u_0}} z(u)^m w(u)^n g_{\mathbf{b},\mathbf{w}}(u) du \\ &= \frac{i\theta'(0)}{2\pi} \int_{C^{u_0}} g_{\mathbf{b}+(m,n),\mathbf{w}}(u) du = \mathbf{A}_{\mathbf{b}+(m,n),\mathbf{w}}^{u_0}, \end{aligned}$$

where in the second equality, we used the fact that  $g_{\cdot,\mathbf{w}}(u)$  is  $(z(u), w(u))$ -quasiperiodic. Let us emphasize that connected components of the complement of  $\mathcal{A}$  correspond bijectively to connected components of  $C_1 \cup C_0 \setminus \{\alpha_T ; T \in \mathcal{T}\}$  on  $\mathbb{T}(q)$ , and coefficients of  $\mathbf{A}^B$  (resp.  $\mathbf{A}^{u_0}$ ) do not change when  $B$  (resp.  $u_0$ ) varies while staying in the same connected component, due to the nature of path integration of meromorphic functions.  $\square$

We now prove Lemma 37.

*Proof of Lemma 37.* The existence of a meromorphic function  $f$  on  $\mathbb{T}(q)$  satisfying Point 1 follows from a straightforward adaptation of Lemmas 29 and 30 of [BdTR17], and is based on the fact that on the spectral curve, the adjugate matrix  $Q(z, w)$  is of rank (at most) 1. To prove Point 2, we now perform a direct computation, thus using a different argument than the one of [BdTR17]: indeed, the proof of [BdTR17] uses general facts about holomorphic differential forms on genus 1 surfaces which do not transfer to our setting in a straightforward way, and which give the result up to a multiplicative constant only.

Let us first show the following:

$$f(u) = \frac{\partial_w P(z(u), w(u))}{\sum_{\mathbf{w}, \mathbf{b}} \partial_w \mathbf{K}(z(u), w(u))_{\mathbf{w}, \mathbf{b}} g_{\mathbf{b}, \mathbf{w}}(u)}, \quad (25)$$

where  $\mathbf{w}$  and  $\mathbf{b}$  run through all black and white vertices of the fundamental domain  $\mathbf{G}_1$ , respectively. Starting from the relation satisfied by the adjugate matrix:

$$Q(z, w) \mathbf{K}(z, w) = P(z, w) \text{Id},$$

and differentiating with respect to  $w$ , one can show, as in the proof of [KOS06, Theorem 4.5] (see also [Bou07, Lemma 1] for more details), that

$$\text{tr}(Q(z, w) \partial_w \mathbf{K}(z, w)) = \partial_w P(z, w)$$

for  $(z, w)$  on the spectral curve. Evaluating this for  $(z(u), w(u))$  and replacing  $Q(z(u), w(u))$  by its expression obtained in Point 1 yields:

$$f(u) \sum_{\mathbf{b}, \mathbf{w}} g_{\mathbf{b}, \mathbf{w}}(u) \partial_w \mathbf{K}(z(u), w(u))_{\mathbf{w}, \mathbf{b}} = \partial_w P(z(u), w(u)),$$

thus showing (25). In order to establish Point 2, we are thus left with proving that:

$$\theta'(0) w(u) \sum_{\mathbf{w}, \mathbf{b}} \partial_w \mathbf{K}(z(u), w(u))_{\mathbf{w}, \mathbf{b}} g_{\mathbf{b}, \mathbf{w}}(u) = -\frac{z'}{z}(u). \quad (26)$$

Let us start from the left-hand side of (26) and consider a pair  $(\mathbf{w}, \mathbf{b})$  such that  $\mathbf{w}$  and  $\mathbf{b}$  are not connected in  $\mathbf{G}_1$  by an edge crossing  $\gamma_x$ . Then  $\partial_w (\mathbf{K}(z(u), w(u))_{\mathbf{w}, \mathbf{b}})$  is zero and the pair does not contribute to the sum. If on the contrary there is an edge  $\mathbf{wb}$  crossing  $\gamma_x$ . Then,

$$w(u) \partial_w \mathbf{K}(z(u), w(u))_{\mathbf{w}, \mathbf{b}} g_{\mathbf{b}, \mathbf{w}}(u) = \begin{cases} \mathbf{K}_{\mathbf{w}, \mathbf{b}} g_{\mathbf{b}, \mathbf{w}}(u) & \text{if } \mathbf{wb} \text{ has a black vertex on the left} \\ -\mathbf{K}_{\mathbf{w}, \mathbf{b}} g_{\mathbf{b}, \mathbf{w}}(u) & \text{if } \mathbf{wb} \text{ has a white vertex on the left.} \end{cases} \quad (27)$$

Now, using the telescopic version of Fay's identity (6) as in the proof of Proposition 16 and the notation of Figure 4, we obtain:

$$\begin{aligned} \theta'(0) \mathbf{K}_{\mathbf{w}, \mathbf{b}} g_{\mathbf{b}, \mathbf{w}}(u) &= \theta'(0) [F^{(t+\eta(\mathbf{b}))}(u; \beta) - F^{(t+\eta(\mathbf{b}))}(u; \alpha)] \\ &= \left( \frac{\theta'}{\theta}(t + \eta(\mathbf{b}) - \beta) - \frac{\theta'}{\theta}(u - \beta) \right) - \left( \frac{\theta'}{\theta}(t + \eta(\mathbf{b}) - \alpha) - \frac{\theta'}{\theta}(u - \alpha) \right) \\ &= \left( \frac{\theta'}{\theta}(t + \eta(\mathbf{f})) - \frac{\theta'}{\theta}(t + \eta(\mathbf{f}')) \right) + \left( \frac{\theta'}{\theta}(u - \alpha) - \frac{\theta'}{\theta}(u - \beta) \right), \end{aligned}$$

When summing these contributions over edges crossing the path  $\gamma_x$  with a minus sign for edges having a white vertex on the left of  $\gamma_x$ , the terms  $\frac{\theta'}{\theta}(t + \eta(\mathbf{f}))$  and  $\frac{\theta'}{\theta}(t + \eta(\mathbf{f}'))$

cancel out in a telescopic way, and we therefore obtain:

$$\begin{aligned} \theta'(0)w(u) \sum_{w,b} \partial_w \mathbf{K}(z(u), w(u))_{w,b} g_{b,w}(u) &= \left( \sum_{\substack{wb \cap \gamma_x \neq \emptyset \\ b \text{ left of } \gamma_x}} - \sum_{\substack{wb \cap \gamma_x \neq \emptyset \\ w \text{ left of } \gamma_x}} \right) \left( \frac{\theta'}{\theta}(u - \alpha) - \frac{\theta'}{\theta}(u - \beta) \right) \\ &= -\frac{d}{du} \log \left( \prod_{\substack{wb \cap \gamma_x \neq \emptyset \\ b \text{ left of } \gamma_x}} \frac{\theta(u - \beta)}{\theta(u - \alpha)} \prod_{\substack{wb \cap \gamma_x \neq \emptyset \\ w \text{ left of } \gamma_x}} \frac{\theta(u - \alpha)}{\theta(u - \beta)} \right). \end{aligned}$$

We then notice that if  $w$  is on the left of  $\gamma_x$ , as illustrated on Figure 12, the train-track with half-angle  $\beta$  crosses  $\gamma_x$  from bottom to top, whereas the train-track with half-angle  $\alpha$  crosses it in the other direction. We now group the factors  $\theta(u - \cdot)$  according to their corresponding train-track in  $\mathcal{T}_1$ . For a fixed  $T \in \mathcal{T}_1$ , the factor  $\theta(u - \alpha_T)$  will thus appear in the product with an exponent equal to  $-v_T$ . Comparing with Equation (21), we obtain that the product on the right-hand side is  $z(u)$ , up to a factor  $\pm 1$  which plays no role when differentiating, thus ending the proof of Point 2.  $\square$

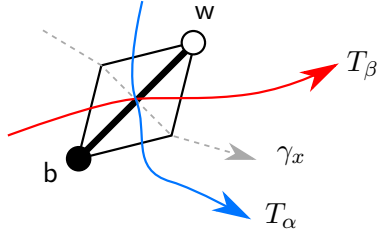


Figure 12: Intersection of the two train-tracks corresponding to an edge and  $\gamma_x$  when the white vertex is on the left of  $\gamma_x$ .

## 5.6 Slope of the Gibbs measures $\mathbb{P}^{u_0}$

Defining the height function of a dimer configuration  $\mathbf{M}$  requires to fix a reference dimer configuration  $\mathbf{M}_1$ . A natural choice is to fix  $u_1 \in C_0 \setminus \{\alpha_T ; T \in \mathcal{T}\}$  and consider  $\mathbf{M}_1$  to be the dimer configuration on which the solid Gibbs measure  $\mathbb{P}^{u_1}$  is concentrated. Note also that by construction of  $N(\mathbf{G})$ , the intervals of  $C_0 \setminus \{\alpha_T ; T \in \mathcal{T}\}$  are in bijection with integer points of  $\partial N(\mathbf{G})$ . Let us denote by  $P_1$  the one corresponding to the interval containing  $u_1$ .

Let  $f$  and  $f'$  be two faces of  $\mathbf{G}$ , and  $\gamma$  a dual path connecting  $f'$  and  $f$ . Then the height difference (relative to  $\mathbf{M}_1$ ) between these two faces in a dimer configuration  $\mathbf{M}$  is

$$h(f) - h(f') = \sum_{e \cap \gamma \neq \emptyset} \pm (\mathbb{I}_{\{e \in \mathbf{M}\}} - \mathbb{I}_{\{e \in \mathbf{M}_1\}}) \quad (28)$$

where the sign  $\pm$  is  $+$  (resp.  $-$ ) when the white end of  $e$  is on the left (resp. right) of  $\gamma$ .

The *slope*  $(s^{u_0}, t^{u_0})$  of the Gibbs measure  $\mathbb{P}^{u_0}$  is the expected horizontal and vertical height change [KOS06]. The main result of this section is an explicit expression for the slope of the ergodic Gibbs measures  $\mathbb{P}^{u_0}$ . The content is essentially that of Theorem 5.6. of [KOS06] with the additional feature that, using the explicit parameterization of the spectral curve, we are able to identify the explicit value of the slope, not only up to a sign and modulo  $\pi$ .

Define  $C_{u_1}^{u_0}$  to be a contour on  $\mathbb{T}(q)$  from  $\bar{u}_0$  to  $u_0$ , crossing  $C_0$  once at  $u_1$ , with a positive derivative for the imaginary part of  $u$  at this point. When  $u_0$  is not on  $C_0$ , this contour can be chosen in such a way that the imaginary part of  $u$  is increasing (and winds once around the circle), while the real part does not wind around the circle. When  $u_0$  is on  $C_0$ , then the contour  $C_{u_1}^{u_0}$  can be chosen to be a homotopically trivial simple closed curve crossing  $C_0$  at  $u_1$  and  $u_0$ , oriented so that the connected component of  $\mathbb{T}(q) \setminus C_{u_1}^{u_0}$  to its left (resp. right) contains the oriented segment of  $C_0$  from  $u_0$  to  $u_1$  (resp. from  $u_1$  to  $u_0$ ).

**Theorem 38.** *The slope of the Gibbs measure  $\mathbb{P}^{u_0}$  is equal to:*

$$s^{u_0} = -\frac{1}{2\pi i} \int_{C_{u_1}^{u_0}} \frac{d}{du} (\log z(u)) du, \quad t^{u_0} = -\frac{1}{2\pi i} \int_{C_{u_1}^{u_0}} \frac{d}{du} (\log w(u)) du,$$

where the path  $C_{u_1}^{u_0}$  is defined above.

*Proof.* The quantity  $s^{u_0}$  is the expectation under  $\mathbb{P}^{u_0}$  of (28) with  $\gamma = \gamma_x$ . By Corollary 35, the expectation under  $\mathbb{P}^{u_0}$  of the term in (28) corresponding to an edge  $e = wb$  is given by:

$$\mathbb{E}^{u_0} [\mathbb{I}_{\{e \in M\}} - \mathbb{I}_{\{e \in M_1\}}] = \mathbb{P}^{u_0}(e) - \mathbb{I}_{\{e \in M_1\}} = \mathbb{P}^{u_0}(e) - \mathbb{P}^{u_1}(e) = \frac{i\theta'(0)}{2\pi} \int_{C_{b,w}^{u_0}} K_{wb} g_{bw}(u) du - \frac{i\theta'(0)}{2\pi} \int_{C_{b,w}^{u_1}} K_{wb} g_{bw}(u) du = \frac{i\theta'(0)}{2\pi} \int_{C_{u_1}^{u_0}} K_{wb} g_{bw}(u) du.$$

Summing over the edges crossing  $\gamma_x$ , and using relations (26) and (27), we get

$$\sum_{wb \cap \gamma_x} \pm \theta'(0) K_{wb} g_{bw}(u) = -\frac{z'}{z}(u) = -\frac{d}{du} \log z(u),$$

establishing the first identity. The proof of the second is almost identical.  $\square$

The polygon of allowed slopes, after a rotation of  $-90$  degrees and a translation, can be identified with  $N(\mathbf{G})$  by [KOS06], see also [Pas16]. From the computation in the proof of Theorem 38, or simply from Equation (28), one observes that the slope of the measure  $\mathbb{P}^{u_1}$  is trivially 0 with our choice of reference configuration. Therefore, the exact translation is obtained by anchoring  $N(\mathbf{G})$  in such a way that  $P_1$  is at the origin. This also follows from Corollary 39 below which computes the slope for any solid Gibbs measure.

**Corollary 39** (Solid phases). *Suppose that  $u_0$  belongs to one of the connected components of  $C_0 \setminus \{\alpha_T ; T \in \mathcal{T}_1\}$ . Then,*

$$(s^{u_0}, t^{u_0}) = \sum_{T \in \mathcal{T}_1 : u_0 < \alpha_T < u_1} (v_T, -h_T).$$

*In particular, the points  $(-t^{u_0}, s^{u_0})$  for  $u_0$  in the connected components of  $C_0 \setminus \{\alpha_T ; T \in \mathcal{T}_1\}$  are the integer boundary vertices of the polygon  $N(\mathbf{G})$ .*

*Proof.* When  $u_0$  belongs to  $C_0 \setminus \{\alpha_T ; T \in \mathcal{T}_1\}$ , the oriented path of integration  $C_{u_1}^{u_0}$  is the boundary of a domain of the torus  $\mathbb{T}(q)$  containing the arc of  $C_0$  from  $u_0$  to  $u_1$ . Therefore the result is a direct consequence of the residue theorem, noticing that  $u \mapsto \theta(u - \alpha)$  has a simple zero at  $u = \alpha$ , so that the potentially nonzero residues of  $\frac{z'}{z}(u)$  (resp.  $\frac{w'}{w}(u)$ ) are at  $\alpha_T$  for  $T \in \mathcal{T}_1$ , with value  $-v_T$  (resp.  $h_T$ ).  $\square$

**Corollary 40** (Gaseous phase). *Suppose that  $u_0$  belongs to  $C_1$ . Then,*

$$(t^{u_0}, -s^{u_0}) = -\frac{1}{\pi} \left( \sum_{T \in \mathcal{T}_1} h_T \tilde{\alpha}_T, \sum_{T \in \mathcal{T}_1} v_T \tilde{\alpha}_T \right) = \varphi(\boldsymbol{\alpha}),$$

*where the lifts  $(\tilde{\alpha}_T)$  of  $(\alpha_T)$  are in an interval of length (smaller than)  $\pi$  obtained by cutting  $C_0$  at  $u_1$ . In the second equality,  $\varphi(\boldsymbol{\alpha})$  is given by Equation (18) with the geometric Newton polygon  $N(\mathbf{G})$  anchored so that  $P_1$  is at the origin.*

*Proof.* Let us prove the first equality for the horizontal slope. The point  $u_0$  being on the real connected component  $C_1$ , the contour  $C_{u_1}^{u_0}$  winds once vertically in the positive direction on the torus and passes through  $u_1 \in C_0$ . The quasiperiodic property of the theta function implies that for any  $\alpha \in C_0$ ,

$$\int_{C_{u_1}^{u_0}} \frac{\theta'}{\theta}(u - \alpha) du = c + 2i\tilde{\alpha},$$

where  $e^c = -q^{-2}e^{-2i\Re u_0}$  is independent of  $\alpha$ , and the determination  $\tilde{\alpha}$  of  $\alpha$  lives in an interval of size  $\pi$  obtained by cutting  $C_0$  at  $u_1$ . As a consequence, integrating  $\frac{z'}{z}(u)$  gives the following expression for the horizontal slope:

$$s^{u_0} = \frac{1}{2\pi i} \sum_{T \in \mathcal{T}_1} v_T \int_{C_{u_1}^{u_0}} \frac{\theta'}{\theta}(u - \alpha_T) du = \frac{1}{\pi} \sum_{T \in \mathcal{T}_1} v_T \tilde{\alpha}_T,$$

where we have also used the fact that  $\sum_{T \in \mathcal{T}_1} v_T = 0$ . The argument for the vertical slope is similar.

By the second point of Remark 30,  $(t^{u_0}, -s^{u_0})$  is equal to  $\varphi(\boldsymbol{\alpha})$  minus  $P_1$ , which gives the second equality and concludes the proof.  $\square$



## 6 Beyond the periodic case

In this section we consider the general case where the graph  $G$  has bounded faces, is minimal, but *not necessarily periodic*. We let  $\alpha \in X_G$  be a half-angle map as defined in Section 2.1; the domain  $D$  is that of Section 4.1. Again, we place ourselves in the context where Fock's elliptic adjacency operator is Kasteleyn, meaning that we suppose that  $\tau$  lies in  $i\mathbb{R}_+$ , and that the parameter  $t$  belongs to  $\mathbb{R} + \frac{\pi}{2}\tau$ . We omit the superscript  $(t)$  from the notation.

In Section 6.1, using the construction of the operators  $(A^{u_0})_{u_0 \in D}$  of Section 4.2, we define a two parameter family of Gibbs measures  $(\mathbb{P}^{u_0})_{u_0 \in D}$ , and see that the three phases occurring in the periodic situation arise here too, depending on the position of  $u_0$  in  $D$ . The domain  $D$  in this context plays the role of the amoeba in the periodic case, and describes the phase diagram of the model. As an illustration of the locality property of the Gibbs measures, we explicitly compute single-edge probabilities in the gaseous, liquid and solid phases. In Section 6.2, we compute asymptotics of the inverse operators  $(A^{u_0})_{u_0 \in D}$ , depending on the position of  $u_0$ .

### 6.1 Construction of Gibbs measures

In order to state our result for Gibbs measures, we need the following technical assumption:

(\*) Every finite, simply connected subgraph  $G_0$  of the minimal graph  $G$  can be embedded in a *periodic* minimal graph  $G'$  so that parallel train-tracks in  $G_0$  remain parallel in  $G'$ .

*Remark 41.* By [dT07a, Proposition 4.1] this condition is true for isoradial embeddings, if we do not impose bipartitedness. The proof consists in enlarging the finite, simply connected subgraph of the diamond graph to a finite rhombus graph that can tile the plane in a periodic fashion. This proof does not extend trivially when we require bipartitedness and relax constraints on the train-tracks (to go from isoradial graphs to minimal ones). We nevertheless believe this condition to hold for all minimal graphs.

**Theorem 42.** *Assume hypothesis (\*) and consider the dimer model on  $G$  with Fock's elliptic weights, and corresponding Kasteleyn operator  $K$ . Then for every  $u_0 \in D$ , the operator  $A^{u_0}$  defines a Gibbs measure  $\mathbb{P}^{u_0}$  on dimer configurations of  $G$ , whose expression on cylinder sets is explicitly given by, for every subset of distinct edges  $\{e_1 = w_1 b_1, \dots, e_k = w_k b_k\}$  of  $G$ ,*

$$\mathbb{P}^{u_0}(e_1, \dots, e_k) = \left( \prod_{j=1}^k K_{w_j, b_j} \right) \times \det_{1 \leq i, j \leq k} (A_{b_i, w_j}^{u_0}).$$

*The set  $D$  gives the phase diagram of the model: when  $u_0$  is on the top boundary of  $D$ , the dimer model is gaseous; when  $u_0$  is in the interior of the set  $D$ , the model is liquid; when  $u_0$  lies on the lower boundary of  $D$ , the model is solid.*

*Proof.* The argument is similar to that of [dT07a], see also [BdT11, BdTR18]. The idea is to use the determinantal structure to show that the expressions on the right-hand side of the equality displayed above form a compatible family of marginals for a measure, and to conclude with Kolmogorov's extension theorem. A technical but crucial point is to show that these expressions are indeed probabilities. This can be checked using hypothesis (\*) and locality of  $A^{u_0}$ , as follows. Locality implies that the formula on the cylinder set  $\{e_1, \dots, e_k\}$  only depends on some finite simply connected subgraph  $G_0$  of  $G$  containing these edges, and on the associated half-angles. Using (\*), we can change the graph outside of  $G_0$  to obtain a periodic minimal graph  $G'$ . By Corollary 35, the formula for cylinder sets coincides with that obtained as the weak limit of the Boltzmann measures on the toroidal exhaustion of the periodic minimal graph  $G'$ . We can then use this to show that it indeed defines a probability measure, following the argument outlined above (see [dT07a, Section 4.4] for details).

Note however that the present setting brings an additional subtlety. Indeed, we need to take the arbitrary half-angle map  $\alpha \in X_G$ , consider its restriction  $\alpha_0$  to the train-tracks of  $G_0$ , and be able to extend it to a map  $\alpha' \in X_{G'}^{per}$  such that the associated elliptic Kasteleyn operator is periodic. This issue can be solved as follows. First note that by minimality of  $G$ , the fact that  $\alpha$  belongs to  $X_G$  implies that its restriction  $\alpha_0$  belongs to  $X_{G_0}$ . Since  $G'$  is minimal and parallel train-tracks of  $G_0$  remain parallel in  $G'$ , one can check that  $\alpha_0$  extends to an element  $\alpha'$  of  $X_{G'}$ . This element does not belong to  $X_{G'}^{per}$  *a priori*, but it is  $(n\mathbb{Z})^2$ -periodic for  $n$  big enough. Therefore, one can enlarge the fundamental domain of  $G'$  by a factor  $n^2$  (an operation under which the set  $X_{G'}$  is easily seen to behave well), and obtain an element  $\alpha'$  lying in  $X_{G'}^{per}$ . Finally, the associated Kasteleyn operator  $K$  is not periodic *a priori*. However, enlarging the fundamental domain of  $G'$  once again (by a factor 2) produces additional free parameters for  $\alpha'$  to ensure that  $\varphi(\alpha')$  is an integer point. By Proposition 29, this implies that  $K$  is periodic.

The fact that the set  $D$  gives the phase diagram of the model relies on the forthcoming asymptotic computations of Section 6.2.  $\square$

**Single-edge probabilities.** As an illustration of the locality property of the Gibbs measures, we explicitly compute the probability of occurrence of a single edge  $e = \mathbf{wb}$  in a dimer configuration of the graph  $G$ . Recall that  $\alpha, \beta$  denote the half-angles of the train-tracks of the edge  $\mathbf{wb}$ , see Figure 4. Recall also the definition of Jabobi's zeta function  $\tilde{Z}(u) = Z(\frac{2K}{\pi}u) = \frac{\pi}{2K} \frac{\theta'_4(u)}{\theta_4(u)}$  of Section 4.3, see also [Law89, 3.6.1], and the constants  $K = \frac{\pi}{2}\theta_3^2(0)$  and  $K' = -i\tau K$ . In order to state our result, let us introduce the notation  $t_{\mathbf{b}} := t - \frac{\pi}{2}\tau + \eta(\mathbf{b}) = \Re(t + \eta(\mathbf{b}))$ .

**Proposition 43.** *Consider an edge  $\mathbf{wb}$  of  $G$  with train-track half-angles  $\alpha, \beta$ . Then, the probability that this edge occurs in a dimer configuration of  $G$  chosen with respect to the Gibbs measure  $\mathbb{P}^{u_0}$  is explicitly given by the following.*

1. **Gaseous phase:** if  $u_0$  belongs to the component  $C_1$  of the domain  $D$ , then

$$\mathbb{P}^{u_0}(\mathbf{wb}) = \frac{\beta - \alpha}{\pi} + \frac{K'}{\pi} [\tilde{Z}(\beta - t_{\mathbf{b}}) - \tilde{Z}(\alpha - t_{\mathbf{b}})] = H^{u_0}(\beta - t_{\mathbf{b}}) - H^{u_0}(\alpha - t_{\mathbf{b}}),$$

where  $H^{u_0}$  is given by (15).

2. **Liquid phase:** if  $u_0$  belongs to the interior of  $D$ , then

$$\mathbb{P}^{u_0}(\mathbf{wb}) = \frac{1}{2\pi i} \log \frac{\theta(\beta - u_0)\theta(\alpha - \bar{u}_0)}{\theta(\beta - \bar{u}_0)\theta(\alpha - u_0)} - \frac{iK}{\pi^2} (u_0 - \bar{u}_0) [\tilde{Z}(\beta - t_{\mathbf{b}}) - \tilde{Z}(\alpha - t_{\mathbf{b}})].$$

3. **Solid phases:** if  $u_0$  belongs to the component  $C_0 \setminus \{\alpha_T ; T \in \mathcal{T}\}$  of  $D$ , then

$$\mathbb{P}^{u_0}(\mathbf{wb}) = \mathbb{I}_{\{\alpha < u_0 < \beta \text{ on } C_0\}}.$$

Moreover, around every black vertex  $\mathbf{b}$ , there is exactly one edge  $\mathbf{wb}$  incident to  $\mathbf{b}$  such that  $\mathbb{P}^{u_0}(\mathbf{wb}) = 1$ , so that the dimer model is deterministic.

*Proof.* By Theorem 42, we have  $\mathbb{P}^{u_0}(\mathbf{wb}) = K_{\mathbf{w},\mathbf{b}} A_{\mathbf{b},\mathbf{w}}^{u_0}$ . The proof in the solid phases is a direct consequence of Remark 27. In the liquid and gaseous phases, part of the computations we need are already dealt with in the proof of Theorem 26 when showing that  $\sum_{\mathbf{b}} K_{\mathbf{w},\mathbf{b}} A_{\mathbf{b},\mathbf{w}}^{u_0} = 1$ . Since we were summing over black vertices incident to  $\mathbf{w}$ , we did not need to handle the residue of  $H^{u_0}$  at  $\frac{\pi}{2}\tau$  because these contributions were cancelling; we need to consider this residue now. Returning to the proof, we immediately obtain

$$\mathbb{P}^{u_0}(\mathbf{wb}) = H^{u_0}(\beta) - H^{u_0}(\alpha) - \theta'(0) K_{\mathbf{w},\mathbf{b}} g_{\mathbf{b},\mathbf{w}}(\frac{\pi}{2}\tau) \text{Res}_{\frac{\pi}{2}\tau} H^{u_0}(u). \quad (29)$$

Using Corollary 8 as in the proof of Proposition 16, we have

$$\theta'(0) K_{\mathbf{w},\mathbf{b}} g_{\mathbf{b},\mathbf{w}}(\frac{\pi}{2}\tau) = \theta'(0) [F^{(t+\eta(\mathbf{b}))}(\frac{\pi}{2}\tau; \beta) - F^{(t+\eta(\mathbf{b}))}(\frac{\pi}{2}\tau; \alpha)]. \quad (30)$$

Using Equation (9) to express  $F^{(s)}(u; a)$  using the function  $\theta_4$ , we obtain

$$\begin{aligned} \theta'(0) F^{(s)}(u; a) &= \frac{\theta'_4(s - \frac{\pi}{2}\tau - a)}{\theta_4(s - \frac{\pi}{2}\tau - a)} - \frac{\theta'_4(u - \frac{\pi}{2}\tau - a)}{\theta_4(u - \frac{\pi}{2}\tau - a)} \\ &= \frac{2K}{\pi} [\tilde{Z}(s - \frac{\pi}{2}\tau - a) - \tilde{Z}(u - \frac{\pi}{2}\tau - a)], \end{aligned}$$

where in the last line we used the definition of Jacobi's zeta function. Plugging this into (29) and (30), using the notation  $t_{\mathbf{b}} = t + \eta(\mathbf{b}) - \frac{\pi}{2}\tau$  and the fact that  $\tilde{Z}$  is odd, yields

$$\mathbb{P}^{u_0}(\mathbf{wb}) = H^{u_0}(\beta) - H^{u_0}(\alpha) - \frac{2K}{\pi} \text{Res}_{\frac{\pi}{2}\tau} H^{u_0}(u) [\tilde{Z}(t_{\mathbf{b}} - \beta) + \tilde{Z}(\beta) - \tilde{Z}(t_{\mathbf{b}} - \alpha) - \tilde{Z}(\alpha)].$$

From [BdTR17, Lemma 45], we know that the residue of the function  $\tilde{Z}$  at the pole  $\frac{\pi}{2}\tau$  is equal to  $\frac{\pi}{2K}$ . Returning to the explicit definition of the function  $H^{u_0}$  in the gaseous and liquid phases, see Equations (15) and (16), we deduce that the residue of the function  $H^{u_0}$  at the pole  $\frac{\pi}{2}\tau$  is equal to  $\frac{K'}{2K} = \frac{\tau}{2i}$  in the gaseous phase, and to  $\frac{u_0 - \bar{u}_0}{2i\tau}$  in the liquid phase. Using again the explicit form of the functions  $H^{u_0}$  gives that in both cases, the terms involving  $\tilde{Z}(\beta), \tilde{Z}(\alpha)$  cancel out in the above equation. Using once more that  $\tilde{Z}$  is odd proves the result.  $\square$

## 6.2 Asymptotics

As in the periodic case, the measures constructed in Theorem 42 have different behaviors depending on the position of  $u_0$  in the domain  $D$ . We now compute precise asymptotics for  $A_{\mathbf{b},\mathbf{w}}^{u_0}$  as the distance between  $\mathbf{b}$  and  $\mathbf{w}$  gets large, depending on the position of  $u_0$  in  $D$ . As a consequence, we obtain that the phase diagram described in Corollary 35 in terms of  $u_0$  is still valid in the non-periodic case.

The universal behaviors we now describe require some regularity for the map  $\alpha$ . The following technical condition is therefore assumed to hold in this section:

( $\diamond$ ) There exists  $\delta > 0$  such that for every infinite minimal path  $\Pi$ , the distance between the sets  $\{\alpha_T ; T \text{ crosses } \Pi \text{ from left to right}\}$  and  $\{\alpha_{T'} ; T' \text{ crosses } \Pi \text{ from right to left}\}$  is larger than  $\delta$ .

It is meant to forbid minimal paths with too many steps resembling “back-and-forth movements” in the corresponding minimal immersion.

*Remark 44.*

1. Condition ( $\diamond$ ) can be equivalently reformulated in terms of the zeros and poles of the functions  $g$  as follows: for every sequence of vertices  $(x_n)$  and  $(y_n)$  of  $\mathbb{G}^\diamond$  such that the graph distance between  $x_n$  and  $y_n$  goes to infinity with  $n$ , the distance between the zeros and poles of  $g_{x_n, y_n}$  stays bounded from below by this  $\delta > 0$ . This condition prevents accumulation of zeros and poles of the functions  $g$  appearing in the definition of  $A^{u_0}$ .
2. Condition ( $\diamond$ ) is automatically satisfied in the *quasicrystalline* setting, where only a finite number of values for the half-angles  $\alpha_T$  are allowed. In particular it holds in the periodic case. Note that Condition ( $\diamond$ ) is strictly stronger than forcing the half-angles of the rhombi in the corresponding minimal immersion to be in  $[\delta, \pi - \delta]$ , unless all train-tracks with distinct half-angles intersect.

We thus assume that  $\mathbb{G}$  satisfies Condition ( $\diamond$ ), and investigate the behavior of  $A_{\mathbf{b},\mathbf{w}}^{u_0}$  when  $\mathbf{b}$  and  $\mathbf{w}$  are far apart in the three cases.

**Case 1: gaseous phase.** Let  $u_0$  be on the component  $C_1$  of  $D$ . The integration contour  $C_{\mathbf{b},\mathbf{w}}^{u_0}$  is then a closed loop. As noted in the proof of Theorem 34, moving  $u_0$  in  $C_1$  corresponds to a continuous deformation of the initial contour, avoiding all poles of the integrand, implying that the integral  $A_{\mathbf{b},\mathbf{w}}^{u_0}$  does not change.

Let  $\mathbf{b}$  and  $\mathbf{w}$  be a black and white vertex of  $\mathbb{G}$  respectively. Denote by  $N = \text{dist}_\diamond(\mathbf{b}, \mathbf{w})$  the graph distance between  $\mathbf{b}$  and  $\mathbf{w}$  in  $\mathbb{G}^\diamond$ , which we assume to be large. Consider the

functions

$$G_{\mathbf{b},\mathbf{w}}(v) = \theta_4(v + t + \eta(\mathbf{w}))\theta_4(v - t - \eta(\mathbf{b})),$$

$$F_{\mathbf{b},\mathbf{w}}(v) = \frac{1}{N} \log \frac{g_{\mathbf{b},\mathbf{w}}(v + \frac{\pi\tau}{2})}{G_{\mathbf{b},\mathbf{w}}(v)} = \sum_{\substack{T \text{ train-tracks} \\ \text{separating } \mathbf{b} \text{ and } \mathbf{w}}} \frac{\epsilon_T}{N} \log \theta_4(v - \alpha_T),$$

where  $\epsilon_T$  is equal to  $-1$  (resp.  $+1$ ) if  $T$  crosses a minimal path from  $\mathbf{b}$  to  $\mathbf{w}$  from left to right (resp. right to left). Note that all the exponential factors appearing when expressing  $g_{\mathbf{b},\mathbf{w}}(v + \frac{\pi\tau}{2})$  in terms of  $\theta_4$  (see Relation (9)) cancel out, thus explaining the second equality of  $F_{\mathbf{b},\mathbf{w}}$ . Therefore, we have the equality

$$G_{\mathbf{b},\mathbf{w}}(v)e^{NF_{\mathbf{b},\mathbf{w}}(v)} = g_{\mathbf{b},\mathbf{w}}(v + \frac{\pi\tau}{2}).$$

The function  $F_{\mathbf{b},\mathbf{w}}$  is not an elliptic function, but it is meromorphic on the cylinder  $\mathbb{T}(q) \setminus C_1$ . Furthermore, it is real on  $C_0$ .

Condition ( $\diamond$ ) implies that:

1. the global minimum of  $F_{\mathbf{b},\mathbf{w}}$  on  $C_1$  is bounded from above by a strictly negative constant, uniformly in  $\mathbf{b}$  and  $\mathbf{w}$ ;
2. at the point  $v_0$  where it is reached, the second derivative is bounded from below by a positive constant, uniformly in  $\mathbf{b}$  and  $\mathbf{w}$ .

This analytic control on  $F$  allows to obtain the following:

**Proposition 45** (Gaseous phase). *When  $u_0$  belongs to the component  $C_1$  of  $D$ , and when the distance  $N$  between  $\mathbf{b}$  and  $\mathbf{w}$  is large, the following asymptotics for  $A_{\mathbf{b},\mathbf{w}}^{u_0}$  hold:*

$$A_{\mathbf{b},\mathbf{w}}^{u_0} = -\frac{\theta'(0)}{\sqrt{2\pi N F''_{\mathbf{b},\mathbf{w}}(v_0)}} G_{\mathbf{b},\mathbf{w}}(v_0) e^{NF_{\mathbf{b},\mathbf{w}}(v_0)} (1 + o(1))$$

$$= -\frac{\theta'(0)}{\sqrt{2\pi N F''_{\mathbf{b},\mathbf{w}}(v_0)}} g_{\mathbf{b},\mathbf{w}}(v_0 + \frac{\pi\tau}{2}) (1 + o(1)).$$

*Proof.* The proof is very similar to the asymptotics of the Green function of the  $Z$ -invariant massive Laplacian [BdTR17], and is based on the steepest descent method. First, continuously deform the contour  $C_{\mathbf{b},\mathbf{w}}^{u_0}$  so that it crosses  $C_1$  vertically at  $v_0 + \frac{\pi\tau}{2}$ , and so that  $\log |g_{\mathbf{b},\mathbf{w}}|$  is smaller on the rest of  $C_{\mathbf{b},\mathbf{w}}^{u_0}$  than on a neighborhood of  $v_0 + \frac{\pi\tau}{2}$ ; the existence of such a deformation is guaranteed by the maximum principle for the harmonic function  $\log |g_{\mathbf{b},\mathbf{w}}|$ , and the existence of zeros of  $g_{\mathbf{b},\mathbf{w}}$  in the interval of  $C_0$  where the integration contour is allowed to pass. Therefore, the integral along the contour  $C_{\mathbf{b},\mathbf{w}}^{u_0}$  in a ball  $B$  of radius  $N^{-r}$  with  $\frac{1}{3} < r < \frac{1}{2}$  centered at  $v_0 + \frac{\pi\tau}{2}$  can be approximated by

a Gaussian integral: writing  $u = v_0 + \frac{\pi\tau}{2} + is$ , with  $s$  in a small interval  $I$  around 0, we have

$$\begin{aligned} \int_{\mathbb{C}_{\mathbf{b},\mathbf{w}}^{u_0} \cap B} g_{\mathbf{b},\mathbf{w}}(u) du &= \int_I (G_{\mathbf{b},\mathbf{w}}(v_0) + o(1)) e^{N(F_{\mathbf{b},\mathbf{w}}(v_0) - \frac{s^2}{2} F''_{\mathbf{b},\mathbf{w}}(v_0) + O(s^3))} i ds \\ &= i \sqrt{\frac{2\pi}{N F''_{\mathbf{b},\mathbf{w}}(v_0)}} G_{\mathbf{b},\mathbf{w}}(v_0) (1 + o(1)) e^{N F_{\mathbf{b},\mathbf{w}}(v_0)}. \end{aligned}$$

The integral over the rest of  $\mathbb{C}_{\mathbf{b},\mathbf{w}}^{u_0}$  is negligible when compared to the contribution above. Multiplying the quantity above by  $\frac{i\theta'(0)}{2\pi}$  yields the result.  $\square$

*Remark 46.* Here are a few remarks about this statement:

1. As  $q$  tends to 0 (*i.e.*, as  $\Im\tau$  goes to  $\infty$ ) while  $qN$  goes to  $\infty$ , we have

$$N F_{\mathbf{b},\mathbf{w}}(v) = -2q \sum_T \varepsilon_T (\cos(v - \alpha_T) + O(q^4)) = 2q \langle e^{2iv}, \vec{\mathbf{b}\mathbf{w}} \rangle + O(q^4 N),$$

where  $\vec{\mathbf{b}\mathbf{w}} = -\sum_T \varepsilon_T e^{2i\alpha_T}$  is the vector from  $\mathbf{b}$  to  $\mathbf{w}$  in the minimal immersion of  $\mathbf{G}$  defined by  $\boldsymbol{\alpha}$ , and  $\langle \cdot, \cdot \rangle$  is the usual Euclidean scalar product in  $\mathbb{R}^2$ . The unit vector  $e^{2iv_0}$  associated to the location  $v_0$  of the minimum of  $F_{\mathbf{b},\mathbf{w}}$  gets closer and closer to the direction of  $-\vec{\mathbf{b}\mathbf{w}}$ . Hence, in this regime, the exponential decay rate becomes isotropic.

2. By the first consequence of Condition  $(\diamond)$  described above, the coefficients of this inverse tend exponentially fast to 0 as  $N$  goes to infinity. This allows to apply the operator  $A^{u_0}$  not only to functions with finite support, as indicated in Definition 22, but to any bounded function (or with subexponential growth).

The last point in the remark above is the key for the following analogue of a maximum principle for the Kasteleyn operator  $\mathbf{K}$ :

**Proposition 47.** *The only function of subexponential growth in the right (resp. left) kernel of  $\mathbf{K}$  is the function identically equal to 0. As a consequence,  $A^{u_0}$  is the only inverse of  $\mathbf{K}$  with bounded coefficients (or even with subexponential growth in module).*

*Proof.* Let  $f$  be a function of subexponential growth in the right kernel of  $\mathbf{K}$ . Then we can write

$$0 = A^{u_0} 0 = A^{u_0} (\mathbf{K}f) = (A^{u_0} \mathbf{K})f = f,$$

as all the sums involved are absolutely convergent. The proof for the left kernel is similar. The last point follows by considering the functions  $f$  given by the columns of  $A^{u_0} - \mathbf{B}$ , where  $\mathbf{B}$  is an inverse of  $\mathbf{K}$  with subexponentially growing coefficients.  $\square$

**Case 2: liquid phase.** Let  $u_0$  be an interior point of  $D$ . Recall from Section 3.3 the definition of the  $t$ -immersion  $\Psi_{u_0}$  of the dual graph  $G^*$ , extended to  $G^\diamond$  with a bounded function  $\Xi$ . Condition(\*) on  $G$  implies by [KLRR18, Theorem 8] that  $\Psi_{u_0}$  defines a convex embedding of  $G^*$ . Moreover, Condition ( $\diamond$ ) implies that the inner and outer diameter of the faces of  $G^*$  in this embedding are bounded away from 0 and infinity. As a consequence, the distances measured in the graph  $G^\diamond$  or in the  $t$ -immersion  $\Psi_{u_0}$  are quasi-isometric.

Define the unit complex number  $\zeta = \zeta_{\mathbf{b},\mathbf{w}} = \exp(i\eta(\mathbf{b}) + i\eta(\mathbf{w}) + 2i\Re t)$ . We now have all the ingredients to express the asymptotics of  $A_{\mathbf{b},\mathbf{w}}^{u_0}$  when  $\mathbf{b}$  and  $\mathbf{w}$  are far apart.

**Proposition 48** (Liquid phase). *When  $u_0$  belongs to the interior of the domain  $D$ , and when the distance  $N$  between the black vertex  $\mathbf{b}$  and the white vertex  $\mathbf{w}$  is large, the following asymptotics for  $A_{\mathbf{b},\mathbf{w}}^{u_0}$  holds:*

$$A_{\mathbf{b},\mathbf{w}}^{u_0} = -\frac{\theta'(0)}{\pi} \Im \left( \frac{g_{\mathbf{b},\mathbf{w}}(u_0)}{\Psi_{u_0}(\mathbf{b}) - \Psi_{u_0}(\mathbf{w})} \zeta \right) \bar{\zeta} + O \left( \frac{|g_{\mathbf{b},\mathbf{w}}(u_0)|}{N^{3/2}} \right).$$

This form of asymptotics is very similar to the one found for the inverse Kasteleyn operator in [KOS06] in the liquid phase for general periodic, bipartite planar graphs, and in [Ken02] for the critical dimer model on isoradial graphs. The numerator in the imaginary part has an oscillating phase, and a modulus growing like  $|g_{\mathbf{b},\mathbf{w}}(u_0)|$  which can be absorbed via gauge transformations. The module of the denominator grows linearly with the graph distance  $N$  between  $\mathbf{b}$  and  $\mathbf{w}$ .

*Proof.* The proof is close in spirit to that of [Ken02]. Recall that  $s_{\mathbf{b},\mathbf{w}}$  is the interval of  $C_0 = \mathbb{R}/\pi\mathbb{Z}$  given by Lemma 19 containing all the poles of  $g_{\mathbf{b},\mathbf{w}}$ . Its complement contains at least one zero, as  $\mathbf{b}$  and  $\mathbf{w}$  are supposed far enough, in particular not neighbors.

We claim that the contour  $C_{\mathbf{b},\mathbf{w}}^{u_0}$  connecting  $\bar{u}_0$  to  $u_0$  across  $C_0 \setminus s_{\mathbf{b},\mathbf{w}}$  can be deformed continuously into a contour obtained by concatenating the following three paths:

- a first path from  $\bar{u}_0$  to  $\bar{u}_1$  for some  $u_1$  such that  $|u_1 - u_0| = O(N^{-1/2})$ , along which  $|g_{\mathbf{b},\mathbf{w}}(u)|$  decreases at exponential speed in  $N$ ;
- a second path from  $\bar{u}_1$  to  $u_1$ , crossing  $C_0$ , along which  $|g_{\mathbf{b},\mathbf{w}}(u)| < |g_{\mathbf{b},\mathbf{w}}(u_1)|$ ;
- a third path from  $u_1$  to  $u_0$  such that  $|g_{\mathbf{b},\mathbf{w}}(u)|$  increases (also at exponential speed).

The existence of the third path in  $D$  is guaranteed by the maximum principle applied to the bounded harmonic function  $u \mapsto \log |g_{\mathbf{b},\mathbf{w}}(u)|$  on compact sets of the interior of  $D$ , and by the fact that  $g_{\mathbf{b},\mathbf{w}}$  is the product of order  $N$  terms which can be controlled by constants independent of  $N$  near  $\bar{u}_0$  (resp.  $u_0$ ). Then, the first path can be chosen as the complex conjugate of the third one. Finally, to justify the existence of the second path, one can use the fact that  $g_{\mathbf{b},\mathbf{w}}$  has at least one zero in the interval  $C_0 \setminus s_{\mathbf{b},\mathbf{w}}$ , so that  $\log |g_{\mathbf{b},\mathbf{w}}(u)|$  can be taken sufficiently negative in a neighborhood of the intersection between the integration contour and  $C_0$ .

Following the same steps as in [Ken02], we now estimate the contributions of these three pieces to the integral, denoted respectively by  $I_1$ ,  $I_2$  and  $I_3$ . The integral  $I_3$  from  $u_1$  to  $u_0$  along the third path can be estimated by writing locally  $g_{\mathbf{b},\mathbf{w}}(u) = \exp(h(u))$  near  $u_0$ . The derivative  $h'$  does not vanish in a neighborhood of  $u_0$  and is the sum of  $O(N)$  terms which are controlled, so that  $h'(u) \asymp N$ ,  $h''(u) = O(N)$ , uniformly along this path. Integrating by parts, we obtain

$$\begin{aligned} \frac{i\theta'(0)}{2\pi} \int_{u_1}^{u_0} g_{\mathbf{b},\mathbf{w}}(u) du &= \frac{i\theta'(0)}{2\pi} \int_{u_1}^{u_0} h'(u) \exp(h(u)) \frac{du}{h'(u)} = \\ &= \frac{i\theta'(0)}{2\pi} \frac{\exp(h(u))}{h'(u)} \Big|_{u_1}^{u_0} + \int_{u_1}^{u_0} \exp(h(u)) \frac{h''(u)}{h'(u)^2} du. \end{aligned}$$

In the rightmost integral, the integrand is bounded by  $\frac{C|g_{\mathbf{b},\mathbf{w}}(u_0)|N}{N^2}$  for some constant  $C > 0$ , so the integral is  $O(|g_{\mathbf{b},\mathbf{w}}(u_0)| \frac{|u_1 - u_0|}{N})$ . The evaluation of the integrated term at  $u_0$  gives the main contribution and the evaluation at  $u_1$  is negligible as  $g_{\mathbf{b},\mathbf{w}}(u_1)$  is exponentially small when compared to  $g_{\mathbf{b},\mathbf{w}}(u_0)$ , yielding:

$$I_3 = \frac{i\theta'(0)}{2\pi} \frac{\exp(h(u_0))}{h'(u_0)} + O\left(\frac{1}{N^{3/2}}\right) = \frac{i\theta'(0)}{2\pi} \frac{g_{\mathbf{b},\mathbf{w}}(u_0)}{g'_{\mathbf{b},\mathbf{w}}(u_0)/g_{\mathbf{b},\mathbf{w}}(u_0)} + O\left(\frac{1}{N^{3/2}}\right).$$

Using the product form of  $g_{\mathbf{b},\mathbf{w}}(u_0)$ , and recalling the definition and Property (12) of  $\Psi_{u_0}$  from Section 3.3 with a bounded  $\Xi$ , one has

$$I_3 = \frac{i\theta'(0)}{2\pi} \frac{g_{\mathbf{b},\mathbf{w}}(u_0)}{\Psi_{u_0}(\mathbf{b}) - \Psi_{u_0}(\mathbf{w})} \left(1 + O\left(\frac{1}{N^{1/2}}\right)\right).$$

The contribution  $I_1$  from  $\bar{u}_0$  to  $\bar{u}_1$  is computed in the same way:

$$I_1 = -\frac{i\theta'(0)}{2\pi} \frac{g_{\mathbf{b},\mathbf{w}}(\bar{u}_0)}{\Psi_{\bar{u}_0}(\mathbf{b}) - \Psi_{\bar{u}_0}(\mathbf{w})} \left(1 + O\left(\frac{1}{N^{1/2}}\right)\right).$$

By the choice of our contours, the integral from  $\bar{u}_1$  to  $u_1$  along the second piece is negligible when compared to  $I_1$  and  $I_3$ .

All the factors  $\theta(u - \alpha)$  with real  $\alpha$  appearing in  $g_{\mathbf{b},\mathbf{w}}$  satisfy  $\theta(\bar{u}_0 - \alpha) = \overline{\theta(u_0 - \alpha)}$ . They are all of this form, except two of them (corresponding to the first and last step of the path from  $\mathbf{b}$  to  $\mathbf{w}$ ). We then have that

$$\Psi_{\bar{u}_0}(\mathbf{b}) - \Psi_{\bar{u}_0}(\mathbf{w}) = \frac{g'_{\mathbf{b},\mathbf{w}}(\bar{u}_0)}{g_{\mathbf{b},\mathbf{w}}(\bar{u}_0)} + O(1) = \overline{\left(\frac{g'_{\mathbf{b},\mathbf{w}}(u_0)}{g_{\mathbf{b},\mathbf{w}}(u_0)}\right)} + O(1) = \overline{\Psi_{u_0}(\mathbf{b}) - \Psi_{u_0}(\mathbf{w})} + O(1).$$

However, because of these two factors with non-real parameters involving  $t$ , and the fact that  $\theta$  is only quasiperiodic in the vertical direction, it is not generally true that



$g_{\mathbf{b},\mathbf{w}}(\bar{u}_0) = \overline{g_{\mathbf{b},\mathbf{w}}(u_0)}$ . More precisely, using the fact that the imaginary part of  $t$  is  $\frac{\pi|\tau|}{2}$ , and thus  $\bar{t} = t - \pi\tau$ , we have

$$\begin{aligned}\overline{\theta(u_0 + t + \eta(\mathbf{b}))} &= \overline{\theta(u_0 + t + \eta(\mathbf{b}))} = \theta(\bar{u}_0 + t + \eta(\mathbf{b}) - \pi\tau) \\ &= -q^{-1} e^{2i(\bar{u}_0 + t + \eta(\mathbf{b}))} \theta(\bar{u}_0 + t + \eta(\mathbf{b})) \\ &= -e^{2i(\bar{u}_0 + \Re(t) + \eta(\mathbf{b}))} \theta(\bar{u}_0 + t + \eta(\mathbf{b}))\end{aligned}$$

and similarly

$$\begin{aligned}\overline{\theta(u_0 - t - \eta(\mathbf{w}))} &= -q^{-1} e^{-2i(\bar{u}_0 - t - \eta(\mathbf{w}))} \theta(\bar{u}_0 - t - \eta(\mathbf{w})) \\ &= -e^{2i(-\bar{u}_0 + \Re(t) + \eta(\mathbf{w}))} \theta(\bar{u}_0 - t - \eta(\mathbf{w})),\end{aligned}$$

so that

$$\frac{\overline{g_{\mathbf{b},\mathbf{w}}(u_0)}}{g_{\mathbf{b},\mathbf{w}}(\bar{u}_0)} = \frac{\overline{\theta(u_0 + t + \eta(\mathbf{b}))} \cdot \overline{\theta(u_0 - t - \eta(\mathbf{w}))}}{\theta(\bar{u}_0 + t + \eta(\mathbf{b})) \cdot \theta(\bar{u}_0 - t - \eta(\mathbf{w}))} = \exp(2i(\eta(\mathbf{w}) + \eta(\mathbf{b}) + 2i\Re(t))) =: \zeta^2.$$

This gives the following expression for the asymptotics:

$$A_{\mathbf{b},\mathbf{w}}^{u_0} = \frac{i\theta'(0)}{2\pi} \left( \left( \frac{g_{\mathbf{b},\mathbf{w}}(u_0)}{\Psi_{u_0}(\mathbf{b}) - \Psi_{u_0}(\mathbf{w})} \right) - \overline{\left( \frac{g_{\mathbf{b},\mathbf{w}}(u_0)}{\Psi_{u_0}(\mathbf{b}) - \Psi_{u_0}(\mathbf{w})} \right)} \zeta^{-2} \right) + O\left(\frac{1}{N^{3/2}}\right).$$

One obtains the final expression of Proposition 48 by noticing that  $|\zeta| = 1$  and factoring out  $\bar{\zeta}$ .  $\square$

Using now standard arguments [Ken01, dT07b, KOS06, Rus18], one readily obtains the following result for the fluctuations of the height function.

**Corollary 49.** *If  $\Psi$  is used to draw the graph on the plane, then the centered height function converges weakly in distribution to  $1/\sqrt{\pi}$  times the Gaussian Free Field, with standard covariance structure given by the full plane Green function.*

**Case 3: solid phases.** According to the single-edge probability computation for solid phases in Proposition 43, one sees that the state of every edge is deterministic: each edge is either a dimer almost surely, or vacant almost surely. In particular, the corresponding Gibbs measure is concentrated on a single configuration.

## 7 Invariance under elementary transformations

Dimer configurations behave in a controlled way with respect to a couple of elementary transformations of bipartite graphs. They were first considered by Kuperberg, and later by Propp (see *e.g.* [Pro03]), who coined the term *urban renewal*. In the present work, we focus on the equivalent set of moves considered by Goncharov-Kenyon in [GK13] (see

also [Thu17, Pos06]), and called *shrinking/expanding of a 2-valent vertex* and *spider move*. They are illustrated in Figure 13.

The aim of this section is to study which dimer models are invariant under these elementary transformations. We will show that this invariance is equivalent, in some precise sense, to the corresponding Kasteleyn coefficients (considered as functions of the train-track half-angles) being antisymmetric and satisfying Fay's identity in the form of Corollary 9. As a consequence, we obtain that the dimer model with weights given by (8) is invariant under these moves, a fact already obtained in another form by Fock (see [Foc15, Proposition 1]).



Figure 13: Shrinking/expanding of a 2-valent (white) vertex, and spider move (with black boundary vertices).

Throughout this section,  $G$  denotes a finite bipartite graph without degree 1 vertices, embedded in the plane. (The case of graphs embedded in arbitrary surfaces can be treated in an analogous way.) Recall that the dimer partition function can be expressed using face weights, as described in Section 2.2. Recall also that the associated Kasteleyn matrix defines an operator  $K: \mathbb{C}^B \rightarrow \mathbb{C}^W$ , where  $B$  and  $W$  denote the set of black and white vertices of  $G$ , respectively. Note that, unlike in the previous sections, the symbol  $K$  now denotes an arbitrary Kasteleyn operator, not necessarily Fock's elliptic operator.

The following result is fairly straightforward, and was already observed (at least partially) in [KLRR18, p.8]. We include the proof for completeness.

**Proposition 50.** *The following conditions are equivalent:*

1. *The partition function, expressed with face weights, is invariant under shrinking/expanding of 2-valent vertices.*
2. *Given any white (resp. black) 2-valent vertex  $w$  (resp.  $b$ ) with adjacent vertices  $b_1, b_2$  (resp.  $w_1, w_2$ ), we have the equalities  $K_{w,b_1} + K_{w,b_2} = K_{w_1,b} + K_{w_2,b} = 0$ .*

*In such a case, the kernel of  $K: \mathbb{C}^B \rightarrow \mathbb{C}^W$  is invariant under shrinking/expanding of 2-valent vertices, up to canonical isomorphism.*

*Proof.* Let us consider a bipartite graph  $G$  with a 2-valent white vertex  $w$  and corresponding Kasteleyn coefficients  $K_{w,b_1}$  and  $K_{w,b_2}$ , and let  $G'$  be the graph obtained by shrinking  $w$ . The weights of all the faces of  $G$  and  $G'$  coincide, apart from the two faces adjacent to  $w$ ; taking into account the Kasteleyn phase, these two face weights get multiplied by  $-\frac{K_{w,b_1}}{K_{w,b_2}}$  and  $-\frac{K_{w,b_2}}{K_{w,b_1}}$ , respectively. Therefore, the partition function is invariant

if and only if the equation  $K_{w,b_1} + K_{w,b_2} = 0$  holds. The case of a 2-valent black vertex is treated in an analogous way, and the equivalence of Conditions 1 and 2 is checked.

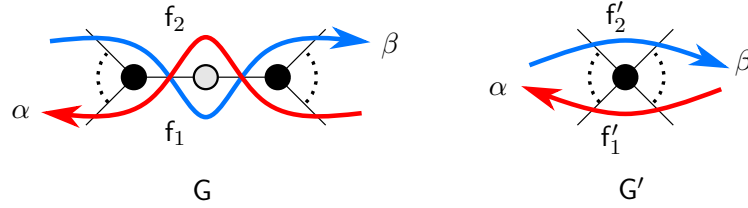
Let us now consider a 2-valent white vertex, with notations as above, and assume that  $K_{w,b_1} + K_{w,b_2} = 0$ . The operators  $K: \mathbb{C}^B \rightarrow \mathbb{C}^W$  and  $K': \mathbb{C}^{B'} \rightarrow \mathbb{C}^{W'}$  for  $G$  and  $G'$  are not defined on the same space, but  $\mathbb{C}^{B'}$  naturally embeds in  $\mathbb{C}^B$  as the set of  $g: B \rightarrow \mathbb{C}$  such that  $g(b_1) = g(b_2)$ . By assumption, the operator  $K$  satisfies  $K_{w,b_1} = -K_{w,b_2} \neq 0$ , so the white vertex  $w$  contributes to the kernel of  $K$  via the equation  $g(b_1) = g(b_2)$ . As a consequence, the kernel of  $K$  is included in the subspace  $\mathbb{C}^{B'} \subset \mathbb{C}^B$ , and since there is no corresponding white vertex in  $G'$ , it coincides with the kernel of  $K'$ .

Let us finally assume that  $G'$  is obtained from  $G$  by shrinking a black vertex  $b$ , with adjacent white vertices  $w_1, w_2 \in W$  merging into a single vertex  $w' \in W'$ , and let us assume the equality  $K_{w_1,b} + K_{w_2,b} = 0$ . The vertices  $w_1, w_2$  induce two equations of the form  $\sum_{i \in I} k_i g(b_i) = -k g(b)$  and  $\sum_{i \in J} k_i g(b_i) = k g(b)$ , with  $k := K_{w_1,b} = -K_{w_2,b} \neq 0$ . The space of solutions to these equations is canonically isomorphic to the space of solutions to the single equation  $\sum_{i \in I \cup J} k_i g(b_i) = 0$ , which is induced by the vertex  $w' \in W'$ . This implies that the kernels of  $K$  and  $K'$  are canonically isomorphic.  $\square$

**Corollary 51.** *The partition function and the kernel of  $K$  are invariant under shrinking/expanding of 2-valent vertices in the following cases:*

1. Kenyon's critical weights  $K_{w,b}^{\text{crit}} = e^{2i\beta} - e^{2i\alpha}$ ;
2. The weights defined by (8) for any value of the parameters  $\alpha, \tau$  and  $t$ .

*Proof.* We only need to check that these weights satisfy Condition 2 in Proposition 50. Let us consider the case of a 2-valent white vertex  $w$ , with adjacent faces  $f_1, f_2$  in  $G$  and corresponding faces  $f'_1, f'_2$  in  $G'$ . Finally, let us write  $\alpha, \beta$  for the relevant train-track parameters, as illustrated below. By definition, the Kasteleyn operator has coeffi-

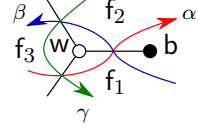


cients  $K_{w,b_1} = e^{2i\beta} - e^{2i\alpha}$ ,  $K_{w,b_2} = e^{2i\alpha} - e^{2i\beta} = -K_{w,b_1}$  in the first case, and

$$K_{w,b_1}^{(t)} = \frac{\theta(\alpha - \beta)}{\theta(t + \eta(f_1))\theta(t + \eta(f_2))}, \quad K_{w,b_2}^{(t)} = \frac{\theta(\beta - \alpha)}{\theta(t + \eta(f_1))\theta(t + \eta(f_2))} = -K_{w,b_1}^{(t)}$$

in the second, so Condition 2 is satisfied. The case of a 2-valent black vertex is checked in the same way.  $\square$

Now that the invariance of the model under this first move is established, we turn to the spider move.



First note that any bipartite graph without degree 1 vertices can be transformed into a graph with only trivalent white vertices using reduction of 2-valent white vertices and expansion of 2-valent black vertices. For such graphs, Fock's elliptic weights take a particularly nice form, as explained now.

**Lemma 52.** *Assume that a white vertex  $w \in W$  is trivalent, with adjacent train-track parameters  $\alpha, \beta, \gamma$  as illustrated above. Then the weights defined by (8) are gauge equivalent to the weights*

$$\tilde{K}_{w,b}^{(t)} = F_s(\beta, \alpha) := \theta(\beta - \alpha)\theta(s - \alpha - \beta),$$

where  $s = t + \eta(w) + \alpha + \beta + \gamma$ . Furthermore, this parameter  $s = s(w)$  is constant on the four white vertices appearing in any spider move with black boundary vertices.

*Proof.* Let  $w \in W$  be any trivalent white vertex, with adjacent faces  $f_1, f_2, f_3$ . Multiplying the weights adjacent to  $w$  by  $\theta(t + \eta(f_1))\theta(t + \eta(f_2))\theta(t + \eta(f_3))$ , and using the second point of Remark 11, we obtain the gauge equivalent weights  $\tilde{K}_{w,b}^{(t)} = \theta(\beta - \alpha)\theta(t + \eta(f_3))$ , where  $f_3$  denotes the face adjacent to  $w$  but not to  $b$ . The definition of  $\eta$  yields the equality  $\eta(f_3) = \eta(w) + \gamma$ , which implies the first statement. Let us now consider a spider move with black boundary vertices, as illustrated in Figure 14. If  $w_1, w_2, w'_1, w'_2$  denote the four white vertices involved in this move, then by definition of  $\eta$ , we have the equalities

$$\eta(w_1) + a + b + d = \eta(w_2) + a + b + c = \eta(w'_1) + a + c + d = \eta(w'_2) + b + c + d.$$

This implies that  $s = s(w)$  is constant on these four vertices.  $\square$

The bottom line of this discussion is the following: for the study of the invariance of Fock's elliptic weights, as well as Kenyon's critical weights, under spider moves (say, with black boundary vertices), it can be assumed that the Kasteleyn coefficients are of the form  $K_{w,b}^{(t)} = F_t(\beta, \alpha) = -F_t(\alpha, \beta)$ , with  $t = t(w)$  constant on the four white vertices appearing in any such spider move. Obviously, the study of invariance under spider moves with white boundary vertices can be performed in the same way by exchanging the roles of the colors and making all black vertices trivalent.

We are now ready to state and prove the main result of this section.

**Theorem 53.** *Consider a dimer model on a bipartite, planar graph  $G$ , with Kasteleyn coefficients as described above. The following conditions are equivalent:*

1. *The partition function, expressed with face weights and seen as a function of the train-track parameters, is invariant under spider moves with black boundary vertices.*

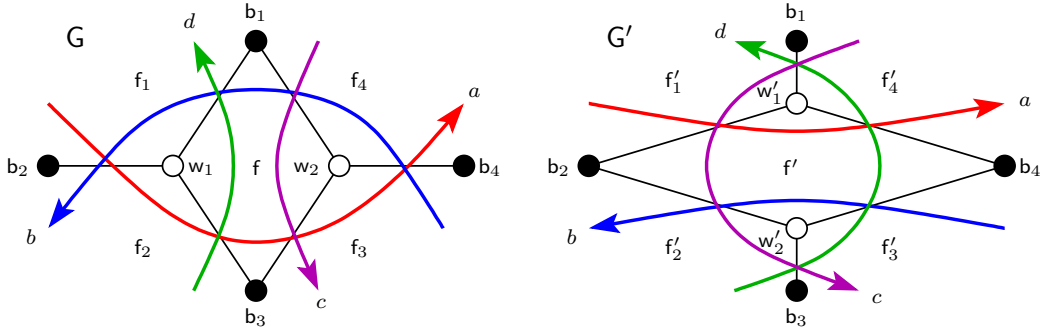


Figure 14: Faces, vertices and train-tracks involved in a spider move.

2. For all  $a, b, c, d$ , we have the equality

$$F_t(a, b)F_t(c, d) + F_t(a, c)F_t(d, b) + F_t(a, d)F_t(b, c) = 0. \quad (31)$$

In such a case, the kernel of  $K^{(t)}: \mathbb{C}^B \rightarrow \mathbb{C}^W$  is invariant under spider moves with black boundary vertices.

*Proof.* Let us assume that the bipartite graphs  $G$  and  $G'$  are related by a spider move with black boundary vertices. We denote by  $f, f_1, f_2, f_3, f_4$  the five faces of  $G$  involved in this local transformation, and by  $f', f'_1, f'_2, f'_3, f'_4$  the corresponding faces in  $G'$ , as illustrated in Figure 14. Finally, let us write  $a, b, c, d$  for the relevant train-track parameters, also illustrated in this same figure. By [GK13, Theorem 4.7], the graphs  $G$  and  $G'$  have identical partition functions if and only if the weights  $W := W_f, W' := W_{f'}, W_i := W_{f_i}$  and  $W'_i := W_{f'_i}$  satisfy the equalities

$$W' = W^{-1}, \quad W'_i = W_i(1 + W) \quad (i = 1, 3) \quad \text{and} \quad W'_j = W_j(1 + W^{-1})^{-1} \quad (j = 2, 4).$$

Hence, we are left with the analysis of these identities. (The conventions of [GK13] lead to face weights inverse to ours, hence the exchange of black and white vertices in our Figure 14 compared to Figure 21 of [GK13].) Computing the face weights of  $f$  and  $f'$  taking into account the Kasteleyn phase, we obtain

$$W = -\frac{F_t(b, c)F_t(a, d)}{F_t(a, c)F_t(b, d)} \quad \text{and} \quad W' = -\frac{F_t(c, a)F_t(d, b)}{F_t(c, b)F_t(d, a)}.$$

Therefore, the equality  $W' = W^{-1}$  follows from the assumption that  $F_t$  is antisymmetric. We now turn to the identity  $W'_1 = W_1(1 + W)$ . A direct computation of the face weights of  $f_1$  and  $f'_1$  yields

$$W_1 = -X \frac{F_t(d, b)}{F_t(a, b)} \quad \text{and} \quad W'_1 = -X \frac{F_t(d, c)}{F_t(a, c)}$$

for some  $X$ . Using the equations displayed above, the identity  $W'_1 = W_1(1 + W)$  gives

$$\frac{F_t(d, c)}{F_t(a, c)} = \frac{F_t(d, b)}{F_t(a, b)} \left( 1 - \frac{F_t(b, c)F_t(a, d)}{F_t(a, c)F_t(b, d)} \right),$$

which, using the antisymmetry of  $F_t$ , is immediately seen to be equivalent to Equation (31). The equality  $W'_3 = W_3(1 + W)$  gives the same equations, only exchanging the roles of  $a$  and  $b$ , and of  $c$  and  $d$ . Since Equation (31) is invariant by these permutations, it is also equivalent to this second equality. As for the equality  $W'_2 = W_2(1 + W^{-1})^{-1}$ , it can be restated as  $W_2 = W'_2(1 + W')$ . This is nothing but the already analysed equation  $W'_3 = W_3(1 + W)$  applied to a  $\pi/2$ -rotation of both graphs, which exchanges the roles of  $\mathbf{G}$  and  $\mathbf{G}'$ . The last equality, namely  $W'_4 = W_4(1 + W^{-1})^{-1}$ , can be treated in the same way. This concludes the proof of the equivalence of Conditions 1 and 2.

Let us now assume that  $\mathbf{G}$  and  $\mathbf{G}'$  are related by a spider move with black boundary vertices, as illustrated in Figure 14. We will assume the notation of this figure. The set of black vertices for  $\mathbf{G}$  and  $\mathbf{G}'$  are identical, and we will directly show that the kernels of  $\mathbf{K}^{(t)}$  and  $\mathbf{K}'^{(t)}$  coincide in  $\mathbb{C}^{\mathbf{B}} = \mathbb{C}^{\mathbf{B}'}$ . For both graphs, we have two white vertices defining two equations that only involve the value of  $g \in \mathbb{C}^{\mathbf{B}}$  on  $\mathbf{b}_1, \dots, \mathbf{b}_4$ , thus defining a  $4 \times 2$ -matrix. In the case of  $\mathbf{G}$ , it is given by

$$M = \begin{pmatrix} F_t(b, d) & F_t(a, b) & F_t(d, a) & 0 \\ F_t(c, d) & 0 & F_t(a, c) & F_t(b, a) \end{pmatrix}$$

and in the case of  $\mathbf{G}'$ , by

$$M' = \begin{pmatrix} F_t(c, d) & F_t(a, c) & 0 & F_t(d, a) \\ 0 & F_t(c, b) & F_t(d, c) & F_t(b, d) \end{pmatrix}.$$

Now, consider the two vectors

$$g_1 = \begin{pmatrix} F_t(c, a) \\ F_t(c, d) \\ F_t(c, b) \\ 0 \end{pmatrix} \quad \text{and} \quad g_2 = \begin{pmatrix} 0 \\ F_t(a, d) \\ F_t(a, b) \\ F_t(a, c) \end{pmatrix}.$$

Equation (31) easily implies that  $g_1$  and  $g_2$  lie in the kernel of both  $M$  and  $M'$ . Since  $g_1$  and  $g_2$  are linearly independent and the matrices  $M$  and  $M'$  have rank 2, we conclude that the kernels of  $M$  and  $M'$  coincide (with the span of  $g_1$  and  $g_2$ ). Since each of the other white vertices define the same equation for  $\mathbf{G}$  and  $\mathbf{G}'$ , this directly implies that the kernels of  $\mathbf{K}^{(t)}$  and  $\mathbf{K}'^{(t)}$  coincide.  $\square$

By direct computation in case 1 and Fay's identity in the form of Corollary 9 in case 2, we immediately obtain the following result, originally due to Kenyon [Ken02] (case 1) and Fock [Foc15] (case 2):

**Corollary 54.** *The partition function and the kernel of  $\mathbf{K}$  are invariant under spider moves (with black boundary vertices in the case of  $\mathbf{K}$ ) in the following cases:*

1. *Kenyon's critical weights  $\mathbf{K}_{\mathbf{w}, \mathbf{b}}^{\text{crit}} = e^{2i\beta} - e^{2i\alpha}$ ;*
2. *The weights defined by (8) for any value of the parameters  $\alpha, \tau$  and  $t$ .*  $\square$

*Remark 55.* We conclude this section with three final comments.

1. As explicated in Corollaries 51 and 54, the results of this section imply that any “dimer model” defined by Fock’s elliptic weights (8) is spider invariant. More generally, these results actually imply that this holds for Fock’s weights [Foc15] defined via any odd Riemann theta function, whatever the genus of the curve. This was already observed by Fock in another form, see [Foc15, Proposition 1], and will be used in our forthcoming article [BCdT20].
2. With Theorem 53 in hand, it is natural to ask for other classes of weights satisfying Equation (31), and hence giving rise to spider invariant models. In particular, one might wonder if there are other classes of weights which, as Kenyon’s critical ones, are *local*, in the sense that the corresponding Kasteleyn coefficients  $K_{w,b}$  only depend on  $\alpha, \beta$  (and on no additional parameter  $t$ ). Also, we can further ask these coefficients to be *rotationally invariant*, *i.e.*, to satisfy  $K_{w,b}(\alpha + s, \beta + s) = K_{w,b}(\alpha, \beta)$  for all  $s$ . Finally, it is natural to ask for the corresponding edge weights to be positive. Such a search is bound to fail. Indeed, it can be shown that any rotationally invariant local Kasteleyn coefficients satisfying Equation (31) and inducing positive edge weights are gauge equivalent to Kenyon’s critical weights.
3. Even relaxing the rotational invariance condition does not help much. It can be proved that for any  $\mathbb{Z}^2$ -periodic minimal graph, and any local Kasteleyn coefficients satisfying Equation (31) and inducing positive edge weights, the corresponding spectral curve is an irreducible rational curve. Therefore, if one wishes to break free from the rational curves, and this is one of the aims of the present article, then the corresponding edge weights can not be local.

## 8 Connection to known results

We now present relations between our work and other dimer models on isoradial graphs that have already been handled in the literature. In Section 8.1 we show how Kenyon’s critical dimer models [Ken02] can be obtained as rational limits of our elliptic setting. Then, in Section 8.2, we explain how two special classes of bipartite isoradial graphs with local elliptic weights, namely the graph  $G^Q$  [BdTR18] and the double graph  $G^D$  [dT17] can be viewed as special cases of the constructions of this paper.

### 8.1 Degeneration to the rational case

When  $q$  goes to zero, or equivalently when  $|\tau|$  goes to  $\infty$ , the torus  $\mathbb{T}(q)$  becomes a cylinder, which is mapped to the Riemann sphere via  $u \mapsto \lambda = e^{2iu}$ . In this regime, we have  $\theta(u) = 2 \sin(u)q^{1/4}(1 + O(q))$ . Since the parameter  $t$  belongs to  $\mathbb{R} + \frac{\pi}{2}\tau$ , the entries of the Kasteleyn operator  $K = K^{(t)}$  become

$$K_{w,b} = iq^{3/4} e^{2i(\eta(w) + \Re t)} \left( e^{2i\beta} - e^{2i\alpha} \right) (1 + O(q)).$$

Up to a gauge equivalence, this is nothing but Kenyon's formula

$$\mathbf{K}_{\mathbf{w},\mathbf{b}}^{\text{crit}} = e^{2i\beta} - e^{2i\alpha} = ie^{i(\alpha+\beta)}2\sin(\beta - \alpha) \quad (32)$$

for critical weights on isoradial graphs [Ken02].

The author then gives local functions  $f_{\mathbf{b}}(\lambda)$  in the kernel of  $\mathbf{K}^{\text{crit}}$ , which we denote by  $f_{\mathbf{b},\mathbf{w}}(\lambda)$  to be consistent with our conventions. These functions can also be recovered from rescaled limits of our functions  $g = g^{(t)}$ . Indeed, when  $q \rightarrow 0$ , for  $\mathbf{b}$  and  $\mathbf{w}$  neighbors in  $\mathbf{G}$  as in Figure 4, one has:

$$g_{\mathbf{b},\mathbf{w}}(u) = -q^{-1}e^{-2i(\eta(\mathbf{w})+\Re(t))} \frac{e^{2iu}(1 + O(q))}{(e^{2iu} - e^{2i\alpha})(e^{2iu} - e^{2i\beta})}.$$

The denominator is exactly what appears when computing the functions  $f_{\mathbf{b},\mathbf{w}}(\lambda)$ , the prefactor plays no role, and the  $e^{2iu}$  in the numerator is absorbed in the Jacobian when performing the change of variables from  $u$  to  $\lambda$  in Equation (33) below.

Using the functions  $f_{\mathbf{b},\mathbf{w}}(\lambda)$ , Kenyon then obtains a local expression for *one* inverse of the Kasteleyn operator [Ken02, Theorem 4.2], which in the  $\mathbb{Z}^2$ -periodic case, corresponds to  $(0, 0)$  magnetic field. It is given by

$$(\mathbf{K}^{\text{crit}})_{\mathbf{b},\mathbf{w}}^{-1} = \frac{1}{4i\pi^2} \oint f_{\mathbf{b},\mathbf{w}}(\lambda) \log(\lambda) d\lambda, \quad (33)$$

where the integral is computed along a contour surrounding poles of the integrand and avoiding a ray from 0 to  $\infty$ . We now explain how, using (33), one obtains a local formula for a *two-parameter* family of inverses while staying in the realm of genus 0, thus recovering the limit of our elliptic operators  $\mathbf{A}^{u_0}$  as  $q \rightarrow 0$ . The main idea is to consider gauge equivalent dimer models corresponding to Möbius transformations of half-angles assigned to train-tracks. This idea takes its source in [KO06, Section 5.3] which handles the connection between generic rational Harnack curves with triangular Newton polygons and dimers on the hexagonal lattice with critical isoradial weights.

Fix  $\zeta \in \mathbb{C}$ ,  $|\zeta| < 1$ , and consider the Möbius transformation  $U(\lambda) = \frac{\lambda - \zeta}{1 - \bar{\zeta}\lambda}$ , preserving the unit circle. From  $U$  construct modified half-angles  $(\tilde{\alpha}_T)_{T \in \mathcal{T}}$  by setting, for every train-track  $T$  of  $\mathcal{T}$ ,  $e^{2i\tilde{\alpha}_T} = U^{-1}(e^{2i\alpha_T})$ . Then, an explicit computation yields that non-zero coefficients of the modified Kasteleyn operator  $\tilde{\mathbf{K}}^{\text{crit}}$  are given by

$$\tilde{\mathbf{K}}_{\mathbf{w},\mathbf{b}}^{\text{crit}} = e^{2i\tilde{\beta}} - e^{2i\tilde{\alpha}} = (e^{2i\beta} - e^{2i\alpha}) \frac{1 - |\zeta|^2}{(e^{2i\beta}\bar{\zeta} + 1)(e^{2i\alpha}\bar{\zeta} + 1)} = \frac{1 - |\zeta|^2}{\bar{\zeta}^2} f_{\mathbf{b},\mathbf{w}}(-\bar{\zeta}^{-1}) \mathbf{K}_{\mathbf{w},\mathbf{b}}^{\text{crit}}, \quad (34)$$

implying that  $\mathbf{K}^{\text{crit}}$  and  $\tilde{\mathbf{K}}^{\text{crit}}$  are gauge equivalent.

Now observe that the function  $\tilde{f}_{\mathbf{b},\mathbf{w}}(\lambda)$ , defined as the function  $f_{\mathbf{b},\mathbf{w}}(\lambda)$  with modified half-angles  $(\tilde{\alpha}_T)_{T \in \mathcal{T}}$ , satisfies the relation:

$$\tilde{f}_{\mathbf{b},\mathbf{w}}(\lambda) = \frac{\bar{\zeta}^2}{f_{\mathbf{b},\mathbf{w}}(-\bar{\zeta}^{-1})(1 - \bar{\zeta}\lambda)^2} f_{\mathbf{b},\mathbf{w}}(U(\lambda)).$$



As a consequence, performing the change of variable  $\mu = U(\lambda)$  in Kenyon's formula (33) for the inverse  $(\tilde{K}^{\text{crit}})_{\mathbf{b},\mathbf{w}}^{-1}$  of  $\tilde{K}^{\text{crit}}$  gives:

$$(\tilde{K}^{\text{crit}})_{\mathbf{b},\mathbf{w}}^{-1} = \frac{1}{4i\pi^2} \oint \tilde{f}_{\mathbf{b},\mathbf{w}}(\lambda) \log(\lambda) d\lambda = \frac{1}{4i\pi^2} \frac{\bar{\zeta}^2}{(1 - |\zeta|^2) f_{\mathbf{b},\mathbf{w}}(-\bar{\zeta}^{-1})} \oint f_{\mathbf{b},\mathbf{w}}(\mu) \log\left(\frac{\mu + \zeta}{1 + \bar{\zeta}\mu}\right) d\mu,$$

where the second contour of integration avoids a ray from  $U^{-1}(0) = \zeta$  to  $U^{-1}(\infty) = \bar{\zeta}^{-1}$ . Finally, using the gauge equivalence relation (34), we have that for every  $\zeta \in \mathbb{C}$ ,  $|\zeta| < 1$ ,

$$\frac{1}{4i\pi^2} \oint f_{\mathbf{b},\mathbf{w}}(\mu) \log\left(\frac{\mu + \zeta}{1 + \bar{\zeta}\mu}\right) d\mu =: A_{\mathbf{b},\mathbf{w}}^{\text{crit},\zeta}$$

defines an inverse of the operator  $K^{\text{crit}}$  with fixed half-angles  $(\alpha_T)_{T \in \mathcal{T}}$ . In the periodic case, the complex number  $\zeta$  can be thought of as parameterizing the “northern hemisphere” of the spectral curve, or its amoeba, and as such, plays the role of the magnetic field.

When performing the additional change of variable  $e^{2iu} = \mu$ , the complex plane (deprived from 0) becomes an infinite cylinder, and  $\zeta$  and  $\bar{\zeta}^{-1}$  are sent to some  $\bar{u}_0$  and  $u_0$ . The operator  $A_{\mathbf{b},\mathbf{w}}^{\text{crit},\zeta}$  exactly corresponds to the limit of our formula (14) for  $A^{u_0}$  when the torus  $\mathbb{T}(q)$  degenerates to a cylinder as  $q \rightarrow 0$ . Taking the limit in Formula (13) instead gives the alternative expression for  $(K^{\text{crit}})^{-1}$  used in the proof of [Ken02, Theorem 4.3] by integrating  $f_{\mathbf{b},\mathbf{w}}$  along a ray.

This critical limit is also interesting from the point of view of the immersion of the graph  $G^\diamond$  in the plane. Indeed, a *minimal immersion* of  $G$ , where all the faces of  $G^\diamond$  are drawn as rhombi [BCdT19], can be obtained as the limit of the immersion  $\Psi_{u_0} = \Psi_{u_0}^{(t)}$  described in Section 3.3, when both  $\tau$  and  $u_0$  go to  $i\infty$ . Indeed, as  $q \rightarrow 0$  and the imaginary part of  $u_0$  goes to infinity, we have

$$\frac{\theta'}{\theta}(t + \eta(\mathbf{f})) = -i + O(q), \quad \frac{\theta'}{\theta}(u_0 - \alpha) = -\cot(u_0 - \alpha) + O(q) = -i(1 + 2e^{2iu_0}e^{-2i\alpha}) + o(e^{2iu_0}).$$

As a consequence, if we choose  $\Xi$  in such way that  $\lim \Xi(\mathbf{b}) = 0$  for every black vertex  $\mathbf{b}$  and  $\lim \Xi(\mathbf{w}) = 2i$  for every white vertex  $\mathbf{w}$ , we have

$$\Psi_{u_0}(\mathbf{b}) - \Psi_{u_0}(\mathbf{f}) \sim -i2e^{2iu_0}e^{-2i\alpha}, \quad \Psi_{u_0}(\mathbf{w}) - \Psi_{u_0}(\mathbf{f}) \sim -i2e^{2iu_0}e^{-2i\alpha}.$$

Therefore, the edges of  $G^\diamond$  corresponding to a train-track associated with a half-angle  $\alpha$  are drawn as unit vectors  $\frac{e^{2i\alpha}}{e^{2i\alpha}}$ , up to a global similarity with a stretch factor  $2e^{-2\Im u_0}$  tending to 0.

## 8.2 Connection to known elliptic dimer models

In the literature there are two instances of elliptic dimer models where a local formula is proved for an inverse Kasteleyn operator: the dimer model on a bipartite graph arising

from a  $Z$ -invariant Ising model on an isoradial graph [BdTR18], and the dimer model arising from the  $Z$ -Dirac operator introduced in [dT17]. It is however not immediate to see that these models are specific cases of those of this paper; we now explain these connections. Note that since the massive Laplacian operator of [BdTR17] is related to the  $Z$ -Dirac operator (see [dT17, Theorem 1]), it can also be related to the present work.

Consider an infinite, planar graph  $G$ , not necessarily bipartite. From  $G$  one constructs two bipartite decorated graphs: the *double graph*  $G^D$ , see for example [Ken02] and the graph  $G^Q$ , see for example [WL75]. The double  $G^D$  is obtained by taking the diagonals of the quad-graph  $G^\diamond$  and adding a white vertex at the crossing of the diagonals. It is bipartite and has two kinds of black vertices corresponding to vertices of  $G$  and vertices of  $G^*$ . The associated quad-graph  $(G^D)^\diamond$  is obtained by dividing the quadrangular faces of  $G^\diamond$  into four, see Figure 16. The graph  $G^Q$  is the dual graph of the superimposition of the quad-graph  $G^\diamond$  and of the double graph  $G^D$ . It has three kinds of faces containing in their interior either a vertex of  $G$ , a vertex of  $G^*$ , or a white vertex of  $G^D$ ; the latter are quadrangles whose pair of parallel edges correspond to primal and dual edges of  $G$ . The quad-graph  $(G^Q)^\diamond$  is that of  $G^D$  with an additional quadrangular face for each edge of  $G^\diamond$ , which should be thought of as “flat”, see Figure 15 and the discussion below.

Each train-track of  $G$  induces two train-tracks that are anti-parallel in  $G^D$  and make anti-parallel bigons in  $G^Q$ , see Figures 16 and 15. Therefore, if  $G$  is an isoradial graph, *i.e.*, if its train-tracks do not self-intersect and two train-tracks never intersect more than once, then the associated bipartite graphs  $G^D$  and  $G^Q$  are isoradial and minimal, respectively. Moreover, an isoradial embedding of  $G$  naturally induces an isoradial embedding of  $G^D$  and a minimal immersion of  $G^Q$ , as follows. As proved by Kenyon-Schlenker [KS05], an isoradial embedding is given by some half-angle map  $\alpha$  on the oriented train-tracks of  $G$ , such that the half-angles associated to any given oriented train-track and to the same train-track with the opposite orientation differ by  $\frac{\pi}{2}$ . One can then simply define the induced half-angle maps  $\alpha^D$  and  $\alpha^Q$  by associating to each oriented train-track of  $G^D$  and  $G^Q$  the half-angle of the unique oriented train-track of  $G$  it is parallel to. This is illustrated in Figures 16 and 15. If  $\alpha$  defines an isoradial embedding of  $G$ , then so does  $\alpha^D$  for  $G^D$  (in particular, it belongs to  $X_{G^D}$ ), while  $\alpha^Q$  belongs to  $X_{G^Q}$  and therefore defines a minimal immersion of the minimal graph  $G^Q$ . In this minimal immersion, the rhombi corresponding to the edges of  $G^\diamond$  are degenerate, or “flat”: this is the reason why it is not an isoradial embedding.

### Connection to the dimer model on the graph $G^Q$ arising from the Ising model

Consider a half-angle map  $\alpha^Q \in X_{G^Q}$  as above. Following Section 2.3, let us compute the discrete Abel map on vertices of the quad-graph  $(G^Q)^\diamond$ , taking as reference point a vertex  $v_0$  of  $G$ ; note that  $v_0$  is indeed a vertex of  $(G^Q)^\diamond$ , at it should (recall Section 2.3). Then, using the notation of Figure 15, and recalling that  $\eta$  is defined as an element of  $\mathbb{R}/\pi\mathbb{Z}$ , we have the following equalities modulo  $\pi$

$$\forall v \in V, \eta(v) = 0, \quad \forall f \in V^*, \eta(f) = \frac{\pi}{2}, \quad \eta(\mathbf{b}_1) = \beta + \frac{\pi}{2}, \quad \eta(\mathbf{b}_2) = \beta, \quad \eta(\mathbf{b}_3) = \alpha.$$

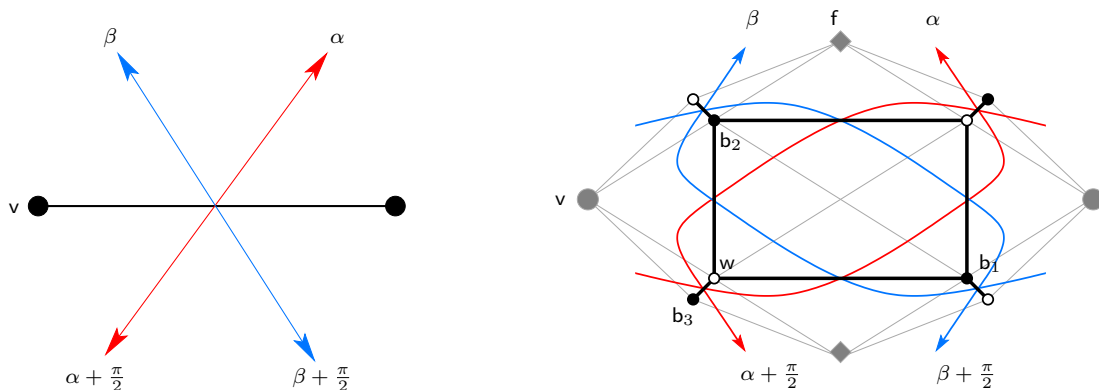


Figure 15: Left: an edge of  $G$  with its two adjacent train-tracks. Right: the corresponding pieces of  $G^Q$  (black lines and white/black vertices), of the quad-graph  $(G^Q)^\diamond$  (grey lines and vertices), and of the four adjacent train-tracks (red and blue lines).

In other words, for every black vertex  $b$  of  $G^Q$ ,  $\eta(b)$  is given by the half-angle of the train-track crossing the edge  $vb$  of  $(G^Q)^\diamond$ , where  $v$  is the vertex of  $G$  adjacent to  $b$  in  $(G^Q)^\diamond$ .

Returning to Section 3.1, the weight function  $\nu^{(t)}$  of the corresponding elliptic operator  $K^{(t)}$  is:

$$\nu_{wx}^{(t)} = \begin{cases} \left| \frac{\theta(\beta-\alpha)}{\theta(t+\frac{\pi}{2}-(\beta-\alpha))\theta(t+\frac{\pi}{2})} \right| & \text{if } x = b_1 \\ \left| \frac{\theta(\alpha+\frac{\pi}{2}-\beta)}{\theta(t)\theta(t+\frac{\pi}{2}+(\beta-\alpha))} \right| & \text{if } x = b_2 \\ \left| \frac{\theta(\frac{\pi}{2})}{\theta(t)\theta(t-\frac{\pi}{2})} \right| & \text{if } x = b_3. \end{cases}$$

We now turn to the weight function  $\nu^Q$  of the dimer model on  $G^Q$  arising from the Ising model, see for example [BdTR18], which is independent of  $t$ . We refer to [Law89, Chap 2] for the definition of Jacobi's elliptic (trigonometric) functions. We here use the functions  $\text{sn}$  and  $\text{cn}$  which are the elliptic analogues of the trigonometric functions  $\sin$  and  $\cos$ . Let us recall the following relations between the parameters of Jacobi's elliptic and theta functions:  $k = \frac{\theta_2^2(0|q)}{\theta_3^2(0|q)}$ ,  $k' = \frac{\theta_4^2(0|q)}{\theta_3^2(0|q)}$ ,  $K = \frac{\pi}{2}\theta_3^2(0)$ ,  $iK' = \tau K$ ; we simply denote  $\text{sn}(v|k)$  as  $\text{sn}(v)$  and similarly for  $\text{cn}$ . Using the notation of Figure 15, the weight function  $\nu^Q$  is given by

$$\nu_{wx}^Q = \begin{cases} \text{sn}\left(\frac{2K}{\pi}(\beta - \alpha)\right) & \text{if } x = b_1 \\ \text{cn}\left(\frac{2K}{\pi}(\beta - \alpha)\right) & \text{if } x = b_2 \\ 1 & \text{if } x = b_3. \end{cases}$$

Then, we have:

**Proposition 56.** *Suppose that  $t = \frac{\pi}{2} + \frac{\pi}{2}\tau$ , then the elliptic dimer models on the bipartite graph  $G^Q$  with weight function  $\nu^{(\frac{\pi}{2} + \frac{\pi}{2}\tau)}$  and  $\nu^Q$  are gauge equivalent.*

*Proof.* Let us explicitly compute  $\nu^{(\frac{\pi}{2} + \frac{\pi}{2}\tau)}$ . Using the following identities, see [Law89,

1.3.6-13.9] and [Law89, 2.1.1-2.1.3],

$$|\theta(u + \frac{\pi}{2})| = |\theta_2(u)|, \quad |\theta(u + \frac{\pi}{2}\tau)| = q^{-\frac{1}{4}}|\theta_4(u)|, \quad |\theta(u + \frac{\pi}{2} + \frac{\pi}{2}\tau)| = q^{-\frac{1}{4}}|\theta_3(u)|,$$

$$\operatorname{sn}(\frac{2K}{\pi}u) = \frac{\theta_3(0)}{\theta_2(0)} \frac{\theta(u)}{\theta_4(u)}, \quad \operatorname{cn}(\frac{2K}{\pi}u) = \frac{\theta_4(0)}{\theta_2(0)} \frac{\theta_2(u)}{\theta_4(u)},$$

we obtain that  $\nu(\frac{\pi}{2} + \frac{\pi}{2}\tau) = q^{\frac{1}{2}} \frac{\theta_2(0)}{\theta_4(0)\theta_3(0)} \nu^Q$ . This immediately shows that the two weight functions are gauge equivalent.  $\square$

*Remark 57.* By explicitly computing the function  $g_{\mathbf{b},\mathbf{w}}^{(\frac{\pi}{2} + \frac{\pi}{2}\tau)}(u)$  in the case of  $G^Q$ , we recover the local expression for the inverse Kasteleyn operator [BdTR18, Theorem 37].

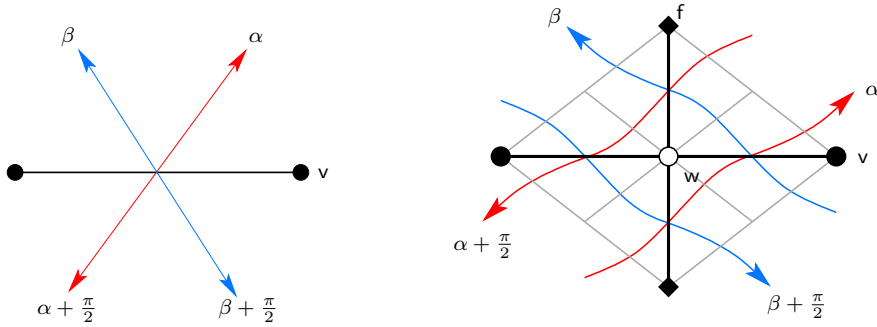


Figure 16: Left: an edge of  $G$  with its two adjacent train-tracks. Right: the corresponding pieces of  $G^D$  (black lines and white/black vertices), of the quad-graph  $(G^D)^\diamond$  (grey lines), and of the four adjacent train-tracks (red and blue lines).

**Connection to the  $Z$ -Dirac operator [dT17] on the double graph  $G^D$ .** Consider a half-angle map  $\alpha^D \in X_{G^D}$  as above. We compute the discrete Abel map  $\eta$  on the quad-graph  $(G^D)^\diamond$ , choose once again a vertex  $v_0$  of  $G$  as reference point. Using the notation of Figure 16, we have the following equalities mod  $\pi$ , where  $W^D$  denotes the set of white vertices of  $G^D$ :

$$\forall v \in V, \eta(v) = 0, \quad \forall f \in V^*, \eta(f) = \frac{\pi}{2}, \quad \forall w \in W^D, \eta(w) = -(\alpha + \beta).$$

The weight function  $\nu^{(t)}$  of the corresponding elliptic operator  $K^{(t)}$  is:

$$\nu_{\mathbf{w}\mathbf{x}}^{(t)} = \begin{cases} \left| \frac{\theta(\beta - \alpha)}{\theta(t - \beta)\theta(t - \alpha)} \right| & \text{if } \mathbf{x} = \mathbf{v} \in V \\ \left| \frac{\theta(\alpha + \frac{\pi}{2} - \beta)}{\theta(t - \alpha)\theta(t - \beta + \frac{\pi}{2})} \right| & \text{if } \mathbf{x} = \mathbf{f} \in V^*. \end{cases}$$

We now turn to the  $Z$ -Dirac operator of [dT17]. We here use the function  $\operatorname{sc}$  and  $\operatorname{dn}$  which are the elliptic analogues of the trigonometric functions  $\tan$  and  $1$ , see [Law89, Chap 2]. Using the notation of Figure 16 for the half-angles, setting  $u = \frac{2K}{\pi}2s$  in [dT17,

Example 14],  $s \in \mathbb{R}$ , the weight function  $\nu^{\mathbb{D},(s)}$  corresponding to the  $Z$ -Dirac operator on  $\mathbb{G}^{\mathbb{D}}$  is:

$$\nu_{\mathbf{wx}}^{\mathbb{D},(s)} = \begin{cases} [\operatorname{sc}(\frac{2K}{\pi}(\beta - \alpha)) \operatorname{dn}(\frac{2K}{\pi}(s - \alpha)) \operatorname{dn}(\frac{2K}{\pi}(s - \beta))]^{\frac{1}{2}} & \text{if } \mathbf{x} = \mathbf{v} \in \mathbf{V} \\ k' [\operatorname{sc}(\frac{2K}{\pi}(\alpha + \frac{\pi}{2} - \beta)) [\operatorname{nd}(\frac{2K}{\pi}(s - \beta)) \operatorname{nd}(\frac{2K}{\pi}(s - (\alpha + \frac{\pi}{2})))]^{\frac{1}{2}} & \text{if } \mathbf{x} = \mathbf{f} \in \mathbf{V}^*. \end{cases}$$

Then we have:

**Proposition 58.** *Suppose that  $t \in \mathbb{R} + \frac{\pi}{2}\tau$ . Then, the elliptic dimer models on the double graph  $\mathbb{G}^{\mathbb{D}}$  with weight functions  $\nu^{(t)}$  and  $\nu^{\mathbb{D},(t-\frac{\pi}{2}\tau)}$  are gauge equivalent.*

*Proof.* The proof amounts to showing that the alternate product of the weight functions  $\nu^{(t)}$  and  $\nu^{\mathbb{D},(t-\frac{\pi}{2}\tau)}$  are equal for all faces of  $\mathbb{G}^{\mathbb{D}}$ . Faces of  $\mathbb{G}^{\mathbb{D}}$  are quadrangles consisting of two black vertices corresponding to a primal and a dual vertex of  $\mathbb{G}$ , and two white vertices. The proof is a rather straightforward explicit computation, so that we do not write out the details; the key identities used are

$$\begin{aligned} \operatorname{sc}(\frac{2K}{\pi}u) &= (k')^{-\frac{1}{2}} \frac{\theta(u)}{\theta(u + \frac{\pi}{2})}, \quad [\text{Law89, 2.1.1–2.1.7}] \\ \operatorname{sc}(u + iK') &= i \operatorname{nd}(u), \quad [\text{Law89, 2.2.17–2.2.19}]. \quad \square \end{aligned}$$

*Remark 59.* Note that it is not immediate to see that the local expression for the inverse massive Dirac operator obtained in [dT17, Corollary 27] and the local expression stemming from Theorem 26 of this paper are indeed the same. This can be done using identities relating Jacobi's elliptic and theta functions.

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