

High flow nasal cannula in the immediate post-operative period: a systematic review and meta-analysis

Dipayan Chaudhuri, David Granton, Dominic Xiang Wang, Karen E.A. Burns, Yigal Helviz, Sharon Einav, Vatsal Trivedi, Tommaso Mauri, Jean-Damien Ricard, Jordi Mancebo, et al.

▶ To cite this version:

Dipayan Chaudhuri, David Granton, Dominic Xiang Wang, Karen E.A. Burns, Yigal Helviz, et al.. High flow nasal cannula in the immediate post-operative period: a systematic review and meta-analysis. Chest, 2020, 58 (5), pp.1934-1946. 10.1016/j.chest.2020.06.038. hal-02889236

HAL Id: hal-02889236

https://hal.science/hal-02889236

Submitted on 13 Oct 2020

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

High-Flow Nasal Cannula in the Immediate Postoperative Period

A Systematic Review and Meta-analysis

Dipayan Chaudhuri, MD; David Granton, BSc; Dominic Xiang Wang, BSc; Karen E. A. Burns, MD; Yigal Helviz, MD; Sharon Einav, MD; Vatsal Trivedi, MD; Tommaso Mauri, MD; Jean-Damien Ricard, MD; Jordi Mancebo, MD; Jean-Pierre Frat, MD; Sameer Jog, MD; Gonzalo Hernandez, MD; Salvatore M. Maggiore, MD; Lawrence Mbuagbaw, MD; Carol L. Hodgson, PhD; Samir Jaber, MD; Ewan C. Goligher, MD; Laurent Brochard, MD; and Bram Rochwerg, MD

BACKGROUND: Studies have demonstrated that high-flow nasal cannula (HFNC) prevents intubation in acute hypoxic respiratory failure when compared with conventional oxygen therapy (COT). However, the data examining routine HFNC use in the immediate post-operative period are less clear.

RESEARCH QUESTION: Is routine HFNC use superior to COT or noninvasive ventilation (NIV) use in preventing intubation in patients postoperatively?

STUDY DESIGN AND METHODS: We comprehensively searched databases (PubMed, Embase, Web of Science) to identify randomized controlled trials (RCTs) that compared the effect of HFNC use with that of COT or NIV in the immediate postoperative period on reintubation, escalation of respiratory support, hospital mortality, ICU and hospital length of stay (LOS), postoperative hypoxemia, and treatment complications. We assessed individual study risk of bias (RoB) by using the revised Cochrane RoB 2 tool and rated certainty in outcomes by using the Grading of Recommendations Assessment, Development and Evaluation framework.

RESULTS: We included 11 RCTs enrolling 2,201 patients. Ten compared HFNC with COT and one with NIV. Compared with COT use, HFNC use in the postoperative period was associated with a lower reintubation rate (relative risk [RR], 0.32; 95% CI, 0.12-0.88; absolute risk reduction [ARR], 2.9%; moderate certainty) and decreased escalation of respiratory support (RR, 0.54; 95% CI, 0.31-0.94; ARR, 5.8%; very low certainty). Post hoc subgroup analysis suggested that this effect was driven by patients who were obese and/or at high risk (subgroup differences, P = .06). We did not find differences in any of the other stated outcomes between HFNC and COT. HFNC was also no different from NIV in reintubation rate, respiratory therapy failure, or ICU LOS.

INTERPRETATION: With evidence of moderate certainty, prophylactic HFNC reduces reintubation and escalation of respiratory support compared with COT in the immediate post-operative period after cardiothoracic surgery. This effect is likely driven by patients who are at high risk and/or obese. These findings support postoperative prophylactic HFNC use in the patients who are at high risk and/or obese undergoing cardiothoracic surgery.

KEY WORDS: high-flow nasal cannula; meta-analysis; postoperative

Study Question: Is routine high-flow nasal cannula (HFNC) use superior to conventional oxygen therapy (COT) or noninvasive ventilation (NIV) in preventing intubation in patients postoperatively?

Results: Compared with COT use, HFNC use in the postoperative period was associated with a lower reintubation rate (RR, 0.32; 95% CI, 0.12-0.88; absolute risk reduction [ARR], 2.9%; moderate certainty) and decreased escalation of respiratory support (RR, 0.54; 95% CI, 0.31-0.94; ARR, 7.5%; very low certainty). Post hoc subgroup analysis suggested that this effect was driven by patients who were obese and/or at high risk (subgroup differences, P = .06).

Interpretation: Evidence of moderate certainty supports postoperative prophylactic HFNC use in the patient who is at high risk and/or obese who is undergoing cardiothoracic surgery.

Research Methods, Evidence and Impact (Drs Mbuagbaw and Rochwerg), McMaster University, Hamilton; the Schulich School of Medicine (Mr Wang), Western University, London; and the Interdepartmental Division of Critical Care Medicine (Drs Goligher and Brochard), University of Toronto, and the Keenan Research Centre for Biomedical Science (Dr Brochard), Li Ka Shing Knowledge Institute, St Michael's Hospital, Toronto, ON, Canada; the Department of Anaesthesiology and Critical Care Medicine B (DAR B) (Drs Burns, Trivedi, and Jaber), Saint-Eloi Teaching Hospital, PhyMed Exp, INSERM U1046, University of Montpellier, Montpellier; the Assistance Publique-Hôpital de Paris, Service de Réanimation Médico-chirurgicale (Dr Ricard), Hôpital Louis Mourier, Colombes; the Université de Paris, INSERM, IAME, UMR 1137, Paris; and the CHU de Poitiers, Médecine Intensive Réanimation (Dr Frat), Poitiers; the INSERM, CIC-1402, équipe ALIVE, Poitiers; and the Université de Poitiers, Faculté de Médecine et de Pharmacie de Poitiers, Poitiers, France; the General Intensive Care Unit (Drs Helviz and Einav), Shaare Zedek Medical Center, and the Faculty of Medicine (Dr Einav), Hebrew University, Jerusalem, Israel; the Dipartimento di fisopatologia medicochirurgica e dei trapianti (Dr Mauri), Università degli Studi di Milano, and the Department of Anesthesia, Critical Care and Emergency, Fondazione IRCCS Ca' Granda Ospedale Maggiore Policlinico, University of Milan, Milan; and the Department of Medical, Oral and Biotechnological Sciences (Dr Maggiore), Gabriele d'Annunzio University of Chieti-Pescara, and Department of Anesthesiology and Critical Care, SS. Annunziata Hospital, Chieti, Italy; the Servei de Medicina Intensiva (Dr Mancebo), Hospital Universitari Sant Pau, Barcelona, Spain; the Department of Intensive Care Medicine (Dr Jog), Deenanath Mangeshkar Hospital and Research Centre, Pune, India; the University Hospital Virgen de la Salud (Dr Hernandez), Toledo, Spain; and the Department of Epidemiology and Preventive Medicine (Dr Hodgson), Australian and New Zealand Intensive Care Research Centre, Monash University, Melbourne, VIC, Australia.

FUNDING/SUPPORT: The authors have reported to *CHEST* that no funding was received for this study.

CORRESPONDENCE TO: Bram Rochwerg, MD, Department of Medicine, Division of Critical Care, Juravinski Hospital, 711 Concession St, Hamilton ON L8V 1C1, Canada; e-mail: rochwerg@mcmaster.ca

Copyright © 2020 American College of Chest Physicians. Published by Elsevier Inc. All rights reserved.

DOI: https://doi.org/10.1016/j.chest.2020.06.038

Acute respiratory failure is one of the most common complications after cardiac or noncardiac surgery. 1-3 Postoperative respiratory failure, often due to atelectasis or pulmonary edema, is associated with increased mortality (as high as 27%), increased ICU length of stay (LOS), longer rehabilitation, and poorer long-term functional outcomes.4 Hypoxia and hypoxemia are common manifestations of postoperative respiratory failure.⁵ Depending on patient phenotype and the type of surgery performed, rates of postoperative respiratory failure as high as 10% to 50% have been demonstrated. Oxygen therapy administered with low-flow nasal cannula or Venturi mask typically is used in patients prophylactically after extubation postoperatively to prevent hypoxia. If respiratory failure develops and low-flow oxygen therapy fails, noninvasive ventilation (NIV) and/or invasive mechanical ventilation are instituted as the next step. 6-8 However, both NIV and invasive mechanical ventilation are resource intensive, are associated with patient discomfort, and present a high risk for complications.^{9,10}

A high-flow nasal cannula (HFNC) enables delivery of heated and humidified oxygen at flow rates that more closely approximate the inspiratory needs of patients with dyspnea.11 HFNC also provides a modest amount of positive end-expiratory pressure and decreases both pharyngeal dead space and nasopharyngeal resistance. 12,13 Furthermore, HFNC may be more comfortable and less obtrusive than other forms of oxygen delivery for patients.¹³ Some studies, including a systematic review and metaanalysis performed by our group, have demonstrated that HFNC prevents intubation when compared with conventional oxygen therapy (COT) in acute hypoxic respiratory failure.14 The data examining HFNC used in the postoperative period (within 24 h of surgery) are less clear. 15-17 We sought to conduct a systematic review and meta-analysis comparing HFNC with COT when used routinely in the immediate postoperative period.

Materials and Methods

We registered our protocol on PROSPERO (CRD42019147870). We report our findings by using a Preferred Reporting Items for Systematic Reviews and Meta-Analyses checklist (e-Table 1).

Data Sources and Searches

We performed a comprehensive search of relevant databases (PubMed, Embase, and Web of Science) from January 1, 2007 (because HFNC

was not widely used before this time) to April 15, 2019. We used keywords including "human" OR "adult" OR "mature" or "grown" AND "high flow nasal cannula" OR "high flow nasal therapy" OR "high flow oxygen therapy" OR "high flow therapy" OR "high flow (respiration)" OR "nasal highflow". We did not exclude studies on the basis of language or trial quality. We updated the literature search on November 6, 2019.

Study Selection

Two independent reviewers (D. G., D. X. W.) screened all citations in duplicates in two stages by first examining the title and abstracts and then, for selected citations, the full texts. We captured reasons for study exclusion after reviewing the full texts of identified trials. A third reviewer (B. R.) adjudicated disagreements.

We included all randomized controlled trials (RCTs) that compared HFNC with other noninvasive oxygen delivery modalities (traditional nasal cannula, Venturi mask, NIV, and so on) in the immediate postoperative period. We included trials examining both cardiac and noncardiac surgery. We excluded case series, case reports, and observational studies. Our outcomes of interest included reintubation, escalation of respiratory therapy, hospital mortality, ICU LOS, hospital LOS, postoperative hypoxemia, and complications. Escalation of respiratory therapy was defined as escalation to NIV or mechanical ventilation for the HFNC arm and as escalation to HFNC, NIV, or mechanical ventilation for the COT arm. Reintubation was defined as intubation of the trachea within 48 hours after postoperative extubation in the ICU or the postanesthesia recovery room.

Data Extraction and Quality Assessment

Two independent reviewers (D. C., D. G., or D. X. W.), working in pairs, abstracted data in duplicate by using a standardized data abstraction form. A third reviewer (B. R.) adjudicated disagreements. We collected data on trial characteristics, demographic data, interventional and control details, and outcomes. We contacted individual trial authors for missing data.

We assessed risk of bias (RoB) in duplicate by using the revised Cochrane RoB 2 tool for RCTs. ¹⁸ We assessed each RCT by using the following domains: randomization process, deviations from intended interventions, missing outcome data, measurement of the outcome, and selection of the reported result. For each domain,

we rated the RoB to be low, high, or some concerns on the basis of an algorithm that used signaling questions specific to each domain. The overall RoB for each trial was the highest risk attributed to any domain. Overall certainty of evidence was assessed for each outcome by using the Grading of Recommendations Assessment, Development and Evaluation (GRADE) framework.¹⁹

Data Analysis

We used the DerSimonian-Laird random-effects model with inverse-variance weighting to generate pooled treatment effects across studies. Heterogeneity between trials was assessed using a combination of the χ^2 test, the I^2 statistic, and visual inspection of the forest plots. We present results of dichotomous outcomes by using relative risk (RR) and continuous outcomes as mean difference (MD), both with 95% CIs. We also provide absolute differences with 95% CIs. We performed all statistical analysis by using software (RevMan 5.3; Cochrane Collaboration).

We planned four a priori subgroup analyses: (1) patients after cardiac surgery vs patients not undergoing cardiac surgery, (2) patients at high risk of respiratory failure (as defined by the investigators in each trial) vs those at low risk of respiratory failure, (3) patients who were obese vs patients who were not obese, and (4) high-RoB studies vs low-RoB studies. A priori, we hypothesized that patients at high risk who were undergoing cardiac surgery, patients who were obese, and trials at high RoB would show greater benefit with HFNC therapy. We also performed a post hoc subgroup analysis in which we combined patients at high risk of respiratory failure and patients who were obese as an overall high-risk subgroup. We hypothesized that this subgroup would show greater benefit with HFNC therapy.

We conducted trial sequential analysis²¹ by using the random-effects model for trials reporting reintubation. For this analysis, we used a statistical significance level of 5%, a power of 80%, and an RR reduction of 15% to represent a clinically important difference. We used a model variance-based heterogeneity correction. We performed trial sequential analysis by using software (Trial Sequential Analysis version 0.9.5.10 beta; Copenhagen Trial Unit, Center for Clinical Intervention Research, Rigshospitalet; www.ctu.dk/tsa).

Results

Search Strategy and Study Characteristics

We reviewed 650 citations and included 11 RCTs (n = 2,201) after screening (Fig 1). $^{5,15-17,22-28}$ We excluded one RCT that compared HFNC with a high-flow face mask (with use of minimum flows of 15 L/min) because this comparator was judged to be an alternative delivery system and very similar to HFNC. 29

Table 1 shows the characteristics of the included RCTs, which randomly assigned between 51 and 830 patients. Only one RCT compared HFNC with NIV. The remaining trials compared HFNC with COT. NIV was too different as a comparator to pool with COT, so we did not include this trial in the quantitative analysis. Six of the 11 RCTs were conducted in patients after cardiac

surgery^{15,17,22,24-26}; of the remaining five, four were conducted in patients after thoracic surgery,^{16,23,27,28} and one was conducted in patients after major thoracic and abdominal surgery.⁵

Four of the included studies examined patients at moderate to high risk of postoperative respiratory complications. 5,15,26,28 In two of the RCTs, this was defined as an Assess Respiratory Risk in Surgical Patients in Catalonia score $\geq 26,^{5,28}$ with the maximum possible score being 123 and a sigmoid relationship between score and risk. In the third trial, examining patients after cardiac surgery, high risk was defined as any patient having at least one risk factor for postoperative pulmonary complications, including history of COPD, asthma, lower respiratory tract infection in the preceding 4 weeks, a BMI $\geq 35 \text{ kg/m}^2$,

or current (within last 6 week) heavy smokers (> 10 pack-years)]. The fourth trial included only patients after cardiac surgery who were deemed to be at risk for needing postoperative oxygen therapy on the basis of predefined risk factors, including BMI > 30 kg/m², left ventricular ejection fraction < 40%, and a previous failed extubation (Table 1). Two trials examined patients who were obese exclusively, 17,25 whereas two RCTs specifically excluded people who were obese. All trials, except for the RCT that used NIV as a comparator, 15 used HFNC prophylactically rather than as a treatment for respiratory failure.

Nine RCTs^{5,15,17,23-28} used one device (Optiflow; Fisher & Paykel Healthcare), and one trial used another device (MaxVenturi; Maxtec, LLC). Another trial did not specify the type of HFNC device used. All HFNC devices provided heated and humidified nasal oxygen at high flows titrated between 25 and 60 L/min, with the goal of keeping the patient comfortable and aiming for an oxygen saturation as measured by pulse oximetry (Spo₂) target > 90%.

e-Table 2 summarizes the RoB for each trial. None of the trials blinded patients or physicians. Given that all our

outcomes were hard end points, we thought that there was unlikely to be clinically significant RoB from lack of blinding. Thus, all trials except one 16 were judged to be at low RoB.

Outcomes

Reintubation or Need for Escalation: In e-Table 3, we depict the GRADE certainties and pooled estimates for pooled outcomes. Compared with COT use, HFNC use in the immediate postoperative period significantly decreased the need for reintubation (900 patients in six trials; RR, 0.32; 95% CI, 0.12-0.88; absolute risk reduction [ARR], 2.9%; 95% CI, 0.5%-3.7% reduction; moderate certainty) (Fig 2). The reintubation rate was 0.9% (four of 454) in the HFNC group and 4.3% (19 of 446) in the COT group. The trial sequential analysis for this outcome showed that the required information size (n = 28,364) was not met, and, consequently, we rated down the certainty for this outcome on the basis of imprecision. HFNC use was also associated with a significant decrease in the need for escalation of respiratory support (RR, 0.54; 95% CI, 0.31-0.94; ARR, 5.8%; 95% CI, 2.1%-9.5% reduction) (Fig 3) with evidence of very low certainty.

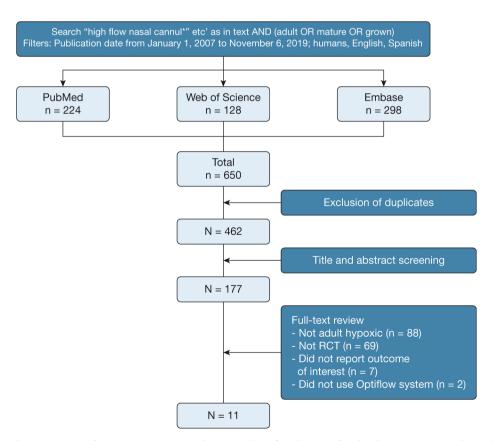


Figure 1 - Preferred Reporting Items for Systematic Reviews and Meta-Analyses flow diagram of study selection. RCT = randomized controlled trial.

TABLE 1] Characteristics of Included Studies

| Study/Year Ansari et al ²³ / 2016 | Country Cambridge, United Kingdom | No. of Patients Randomly Assigned | Population Inclusion: Elective lung resection surgery, and age > 18 y Exclusion: Pneumonectomy, | Intervention Details (Optiflow; Fisher & Paykel Healthcare) | Comparator Details Simple face mask or nasal prongs at 2to 4 L/min | Outcomes Hospital LOS, 6MWT, difference between preoperative and postoperative FEV ₁ |
|---|--|--|--|---|--|---|
| 2016 | Kingdom | | exclusion: Preumonectomy, contraindication to HFNC, and mobilization limitation leading to inability to perform 6MWT | Flow: Started at 50 L/min and titrated to saturations and comfort Duration: First 24 h | at 2to 4 L/Min Duration: 24 h and then as needed | |
| Brainard et al ¹⁶ / 2017 | Aurora, Colorado, United States | 51 | Inclusion: > 18 y of age undergoing thoracic surgery with scheduled admission to the ICU postoperatively Exclusion: Pregnant or breastfeeding, OSA, lung transplant, previous pneumonectomy, home oxygen > 4 L/min, or inability to adhere to assigned treatment for the intended duration | (MaxVenturi; Maxtec LLC) Flow: Started at 40 L/min and titrated to saturations and comfort Duration: First 48 h or discharge from ICU | Nasal cannula or face mask oxygen Duration: First 48 h or discharge from ICU | Postoperative pulmonary complications (composite of severe hypoxemia, $\mathrm{Spo_2} < 90\%$ with $\mathrm{Fio_2} \ge 50\%$), acute respiratory failure, escalation of therapy to NIV, reintubation, occurrence of hospital-acquired pneumonia (or readmission to the ICU), ICU LOS, hospital LOS |
| Corley et al ¹⁷ / 2015 | Brisbane, Australia | 155 | Inclusion: > 18 y with a BMI > 30 kg/m² and scheduled to undergo cardiac surgery or cardiopulmonary bypass Exclusion: Ventilation time > 36 h, extubation onto NIV, requirement for tracheostomy, and extubation as part of end-of-life treatment | (Optiflow; Fisher & Paykel Healthcare) Flow: Started at 35 L/min and titrated to saturations and comfort Duration: 8 h minimum and longer if needed | Simple face mask or nasal prongs Duration: 8 h or longer as needed | ICU LOS, escalation of respiratory therapy, reintubation, average PF ratio in first 24 h |
| Futier et al ⁵ / 2016 | France | 220 | Inclusion: All adult patients scheduled for abdominal, or abdominal and thoracic surgery with an anticipated duration of 2 h or more and an ARISCAT risk score of 26 points or more, were eligible for recruitment | (Optiflow; Fisher & Paykel Healthcare) Flow: Started at 50 L/min and titrated to | Nasal prongs or face mask Duration: First 24 h | Hospital mortality, hypoxia, ICU LOS, hospital LOS, escalation of respiratory support, reintubation, complications |

TABLE 1] (Continued)

| | • | | | | | |
|--|-----------------------------|--|---|--|---|--|
| Study/Year | Country | No. of Patients Randomly Assigned | Population | Intervention Details | Comparator Details | Outcomes |
| | | | Exclusion: BMI > 35 kg/m², life- threatening condition requiring emergency surgery, OSA syndrome, and pregnancy | saturations and comfort Duration: First 24 h | | |
| Parke et al ²⁴ / 2013 | Auckland, New Zealand | 341 | Inclusion: Adult patients with elective cardiac surgery using cardiopulmonary bypass Exclusion: Contraindication to HFNC if participants had not met the extubation criteria by 10 am the day after surgery | (Optiflow; Fisher & Paykel Healthcare) Flow: Started at 45 L/min and titrated to saturations and comfort Duration: First 48 h | Simple face mask or nasal prongs Duration: First 48 h | 28-day mortality, ICU LOS, hospital LOS, escalation of respiratory care, reintubation, postoperative FEV ₁ |
| Pennisi et al ²⁷ / 2019 | Rome, Italy | 96 | Inclusion: All adult patients scheduled for elective thoracotomic pulmonary lobar resection for malignant disease Exclusion: Pregnancy, BMI ≥ 35 kg/m², history of OSA syndrome, long-term oxygen therapy because of chronic pulmonary disease, tracheostomy, and any nasal or facial defect that could impede HFNC or Venturi mask use | (Optiflow; Fisher & Paykel Healthcare) Flow: 50 L/min Duration: First 48 h | Venturi mask (OS/60 K; FIAB) Duration: First 48 h | ICU LOS, hospital LOS, escalation of respiratory therapy, reintubation, average PF ratio in first 48 h, hypoxia |
| Sahin et al ²⁵ / 2018 | Istanbul, Turkey | 100 | Inclusion: All adult patients undergoing CABG with BMI > 30 kg/m ² Exclusion: Hemodynamic instability, patients with tracheostomy, OSA, active pulmonary disease, known low cardiac output, and emergency surgery | (Optilfow; Fisher & Paykel Healthcare) Flow: Started at 25 L/min and titrated to oxygen saturation and comfort Duration: First 48 h | Simple face mask Duration: First 48 h | Hospital mortality, ICU LOS, hospital LOS, escalation of respiratory therapy, reintubation, postoperative day 2 FEV ₁ , complications |
| Stéphan et al ¹⁵ / 2015 | France | 830 | Inclusion: All adult patients undergoing cardiothoracic surgery and meeting any of the following criteria: | (Optiflow; Fisher & Paykel Healthcare) Flow: Started at | Bilevel pressure ventilation with full face mask Settings: 8/4 and | ICU mortality, ICU LOS, hospital LOS, escalation of respiratory therapy, reintubation, dyspnea score, comfort |

TABLE 1] (Continued)

| Study/Year | Country | No. of Patients Randomly Assigned | Population | Intervention Details | Comparator Details | Outcomes |
|--|--------------------|--|--|--|---|--|
| | | | (1) failure of a spontaneous breathing trial, defined as Sao₂ < 90% with 12 L of oxygen during a T-tube trial or Pao₂ < 75 mm Hg with an Fio₂ of at least 50% during low-level pressure support (2) successful spontaneous breathing trial with any of the following preexisting risk factors: BMI < 30 kg/m², left ventricular ejection fraction < 40%, and failure of previous extubation (3) successful spontaneous breathing trial followed by failed extubation, defined as at least one of the following: PF ratio < 300, respiratory rate > 25 breaths per minute for at least 2 h, and use of accessory respiratory muscles or paradoxical respiration Exclusion: OSA, tracheostomy, do-not-intubate status, delirium, nausea and vomiting, bradypnea, impaired consciousness, and hemodynamic instability | 50 L/min and titrated to saturations and comfort Duration: Until Sao ₂ > 95% on 6 L/min or PF > 300 | titration to adequate volumes and comfort Duration: Until fewer than 4 h/ d of bilevel pressure ventilation were needed | score, pneumonia, pneumothorax, colonic pseudo-obstruction |
| Tatsuishi et al ²² / 2019 | Tokyo, Japan | 148 | Inclusion: All adult patients undergoing off-pump CABG Exclusion: Concomitant procedures such as valve surgery or aortic surgery, chronic kidney disease, uncomfortable with HFNC | HFNC (company not specified) Flow: 45 to 60 L/ min Duration: Until the end of postoperative day 1 | Simple face mask with humidification Duration: Until the end of postoperative day 1 | Loss of lung volume, duration and amount of oxygen therapy, postoperative diuretic use, ICU LOS, hospital LOS |
| Yu et al ²⁸ / 2017 | Shanghai, China | 110 | Inclusion: Patients who underwent planned thoracoscopic lobectomy because of lung tumor with ARISCAT score > 26 Exclusion: Immunocompromised, pregnant, converted to an open thoracotomy because of poor | (Optiflow; Fisher & Paykel Healthcare) Flow: Started at 35 L/min, then titrated to saturations | Nasal prongs or face mask Duration: First 72 h | ICU LOS, hospital LOS, hypoxia, escalation of respiratory therapy, reintubation, mean PF ratio in first 48 h, complications |

TABLE 1] (Continued)

| Study/Year | Country | No. of Patients Randomly Assigned | Population visualization or bleeding, or > 80 y of age | Intervention Details and comfort Duration: First 72 h | Comparator Details | Outcomes |
|--|----------------------------------|--|---|--|--|---|
| Zochios et al ²⁶ / 2018 | Birmingham, United Kingdom | 100 | Inclusion: Elective cardiac surgery. aged > 18 y with one or more patient-related risk factors for postoperative pulmonary complications (COPD, asthma, lower respiratory tract infection in preceding 4 wk, BMI ≥ 35 kg/m², current heavy smokers), and capable of performing 6MWT Exclusion: Patients in whom high-flow nasal oxygen was contraindicated, those who needed CPAP preoperatively, or those who did not meet tracheal extubation criteria by 10 am the day after surgery postoperative day 1 | (Optiflow; Fisher & Paykel Healthcare) Flow: Started at 30 L/min and titrated to saturations and comfort Duration: First 24 h | Nasal prongs or a soft face mask Duration: First 24 h | Hospital mortality, ICU LOS, hospital LOS, complications, escalation of respiratory care, reintubation, postoperative day 1 FEV ₁ , postoperative 6MWT |

 $6 \text{MWT} = 6 \text{-min walk test; } \text{ARISCAT} = \text{Assess Respiratory Risk in Surgical Patients in Catalonia; } \text{CABG} = \text{coronary artery bypass graft; } \text{HFNC} = \text{high-flow nasal cannula; } \text{LOS} = \text{length of stay; } \text{NIV} = \text{noninvasive ventilation; } \text{PF} = \text{Pao}_2; \text{Fio}_2; \text{Sao}_2 = \text{arterial oxygen saturation; } \text{Spo}_2 = \text{oxygen saturation as measured by pulse oximetry.}$

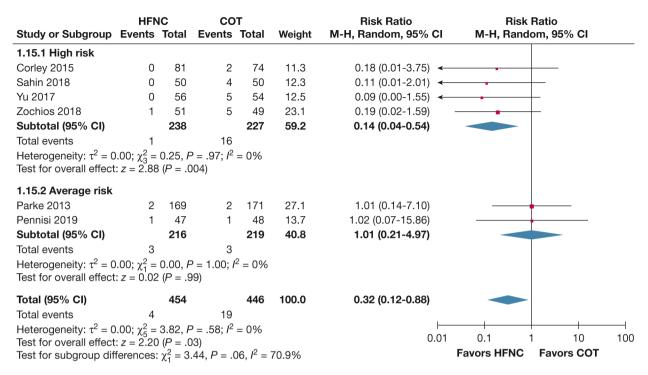


Figure 2 – Effect of HFNC on reintubation rate when compared with conventional oxygen therapy. Studies are grouped by high risk (obese and/or at high risk of postoperative respiratory complications) and average risk. COT = conventional oxygen therapy; HFNC = high-flow nasal cannula; M-H = Mantel-Haenszel.

Other Outcomes of Interest: We did not find a difference between HFNC and COT on other outcomes, including hospital mortality (RR, 0.64; 95% CI, 0.19-2.14; ARR, 0.7%; 95% CI, 1.5% reduction to 2.1% increase; low certainty) (Fig 4), ICU LOS (MD, 0.04 days higher; 95% CI, 0.11 days lower to 0.19 days higher; high certainty) (e-Fig 1), hospital LOS (MD, 0.43 days lower; 95% CI, 0.82 days lower to 0.04 days lower; moderate certainty) (e-Fig 2), and the incidence of postoperative hypoxemia (RR, 0.94; 95% CI, 0.79-1.13; ARR, 2.9%; 95% CI, 10% reduction to 6.2% increase; low certainty) (e-Fig 3). Postoperative hypoxia was variably defined among the included trials, with two trials defining it as Spo₂ < 93%, 5.24 whereas others defined it based on a Pao₂:Fio₂ ratio < 300. 27,28

Complications were heterogeneously reported across trials and were not amenable to pooling. We summarize complications in e-Table 4.

NIV Comparator: Compared with NIV, HFNC showed no difference in reintubation rate (P = .99) or the rate of respiratory therapy failure (absolute difference, 0.9%; 95% CI, -4.9% to 6.6%; P = .003). Although we did not find a difference in ICU LOS, we noted that skin breakdown was more common with NIV after 24 hours (P < .001).

Subgroup and Sensitivity Analysis: Subgroup analysis based on the type of surgery, risk of postoperative respiratory complications, and obesity did not show credible subgroup effects for any outcomes of interest (e-Figs 4-9). However, the post hoc high-risk subgroup consisting of patients who were obese and patients at high risk of postoperative respiratory complications showed a significant subgroup effect, with the high-risk group showing clear benefit in reintubation risk, whereas the average-risk group did not (high-risk group: RR, 0.14; 95% CI, 0.04-0.54; average-risk group: RR, 1.01; 95% CI, 0.21-4.97; test for subgroup differences: P = .06; $I^2 = 70.9\%$) (Fig 2). We also performed two post hoc sensitivity analysis excluding (1) two trials that excluded patients who were obese^{5,27} and (2) one trial that focused on patients undergoing thoracoabdominal surgery. The former was done to ensure that inclusion of studies with only patients at low risk (nonobese) did not lead to underestimation of the outcomes. The latter was done to exclude the only study that examined patients undergoing abdominal surgery to ensure that the generalizability of our conclusions was consistent for cardiac and thoracic surgery. Neither sensitivity analysis changed the overall results or conclusions. We performed a final sensitivity analysis by using the Paule-Mandel/empirical Bayes approach to pool treatment

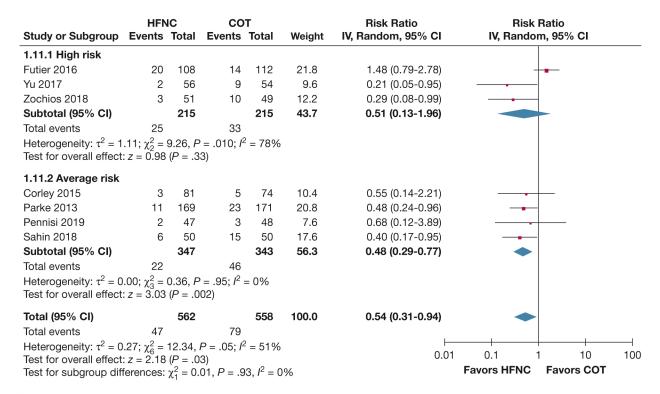


Figure 3 – Effect of HFNC on escalation of respiratory support when compared with conventional oxygen therapy. Studies are grouped by high risk (obese and/or at high risk of postoperative respiratory complications) and average risk. IV = inverse variance. See Figure 2 legend for expansion of other abbreviations.

effects for the three most critical outcomes (reintubation rate, escalation of respiratory support, and mortality) to ensure the robustness of our results. This analysis did not change the overall results or conclusions of this review (e-Figs 10-12).

Discussion

The typical patient behaves differently postoperatively from those with critical illness because they usually are previously well, do not have structural lung disease, and typically are intubated to facilitate anesthesia and surgery. Our findings show that HFNC, when used in

.....

the immediate postoperative period, is associated with significant reductions in reintubation and escalation of respiratory support when compared with COT in patients undergoing cardiothoracic surgery who are at high risk (Figs 2, 3). However, there were no significant effects on other important clinical outcomes, including mortality, ICU LOS, and hospital LOS. Only one trial compared HFNC with NIV and demonstrated comparable effects on outcomes.

Unlike patients who are critically ill, patients undergoing cardiac surgery undergo planned extubation immediately after surgery or within a few hours after

| | HFNC | | СОТ | | | Risk Ratio | Risk | | |
|--|---------------|--------|---------------|-------|--------|--------------------|-------------|------------|-----|
| Study or Subgroup | Events | Total | Events | Total | Weight | IV, Random, 95% CI | IV, Rando | m, 95% CI | |
| Futier 2016 | 2 | 108 | 3 | 112 | 46.0 | 0.69 (0.12-4.06) | | | |
| Parke 2013 | 1 | 169 | 1 | 171 | 18.9 | 1.01 (0.06-16.05) | | | |
| Sahin 2018 | 0 | 50 | 2 | 50 | 15.9 | 0.20 (0.01-4.06) | | | |
| Zochios 2018 | 1 | 49 | 1 | 45 | 19.2 | 0.92 (0.06-14.25) | | | |
| Total (95% CI) | | 376 | | 378 | 100.0 | 0.64 (0.19-2.14) | | | |
| Total events | 4 | | 7 | | | | | | |
| Heterogeneity: $\tau^2 = 0.00$; $\chi^2 = 0.75$, $P = .86$; $I^2 = 0\%$ | | | | | | <u> </u> | - | | |
| Test for overall effect | t: z = 0.72 | P = .4 | 17) | | | 0.01 | 0.1 | 1 10 | 100 |
| | | • | • | | | | Favors HFNC | Favors COT | |

-- - - --

Figure 4 - Effect on HFNC on mortality when compared with conventional oxygen therapy. See Figures 2 and 3 legends for expansion of abbreviations.

surgery. 30 Patients who develop respiratory failure in the postoperative period and require reintubation have significantly higher mortality, ICU LOS, hospital LOS, and costs.^{31,32} When COT fails in a patient postoperatively or the patients is deemed to be at high risk for failure, most physicians consider using NIV in these patients to prevent reintubation. 6-8 However, NIV may be poorly tolerated, can cause skin breakdown, and often requires admission to a monitored setting such as surgical step-down unit or ICU.¹⁵ HFNC is often better tolerated and may not require the same level of monitoring as NIV. 15 Stéphan et al 15 showed that in patients undergoing cardiothoracic surgery, HFNC did not increase the rate of escalating respiratory support or reintubation postoperatively compared with the rate with NIV. Therefore, prophylactic use of HFNC immediately after extubation postoperatively may prevent reintubation without requiring the level of care that is necessitated by NIV use.

Of the trials included in this review, all but one⁵ exclusively examined patients undergoing major cardiac or thoracic surgery. Because intrathoracic surgery has the highest risk of postoperative pulmonary complications,³³ it stands to reason that this patient population is most likely to benefit from HFNC after extubation. Although upper abdominal surgery also carries a high risk of pulmonary complications,³³ the trial by Futier et al⁵ did not show differences in treatment effect between patients treated with HFNC and patients treated with COT. Therefore, although our pooled analysis demonstrated potential benefit in all surgical types, the usefulness of HFNC after upper abdominal surgery remains uncertain.

Although previous meta-analyses have examined HFNC use in this population and found inconsistent results, we believe this may partly be explained by clinical heterogeneity. One previous meta-analysis³⁴ examined only patients undergoing cardiac surgery, excluding those undergoing thoracic or abdominal surgery. Conversely, another³⁵ included all patients after extubation (both critically ill and postoperative), thus combining different patient populations. Two other meta-analysis examined HFNC use in patients postoperatively and reported similar reductions in escalation of respiratory therapy and reintubation rates.^{36,37} However, since the publication of these metaanalyses, five new RCTs have been published. 22,23,25-27 Moreover, one meta-analysis pooled both observational studies and RCTs together, a practice that has been questioned, 36 whereas the other included only four

RCTs³⁷ and did not include seven additional eligible RCTs^{5,15,22,23,25-27} that have been published since. In addition, neither systematic review preregistered their protocol. Our meta-analysis includes data from all published RCTs on this topic and thus, to our knowledge, is the most comprehensive analysis of current trial data. Strengths of our study include the comprehensive search, topic preregistration, and assessment of certainty by using the GRADE approach.

Our review also has limitations. First, the included trials studied heterogeneous populations; however, when possible, we performed subgroup analysis by type of surgery (cardiac surgery vs noncardiac surgery), level of risk (patients at high risk vs patients at average risk), and obesity. To this end, statistical heterogeneity was generally low, and none of the subgroups demonstrated credible effects, suggesting the importance of the clinical heterogeneity may be limited. Second, all included trials were, by necessity, unblended, which may have influenced individual trial results. Finally, although more than 2,000 patients were included in this review, the event rate for most of the outcomes of interest was low, resulting in imprecision in the pooled results.

Because the included trials examined only cardiothoracic and major abdominal surgery, the effect of using HFNC postoperatively in other patients undergoing surgery who are at risk of respiratory failure (neurosurgery; ear, nose, and throat surgery; or major vascular surgery) remains unknown.³⁸ Given that HFNC is likely most beneficial in high-risk surgeries, HFNC use in other patient populations and settings requires investigation. Similarly, further study is also needed to examine the role of NIV postoperatively in patients compared with HFNC alone or in combination with HFNC.

Interpretation

HFNC likely prevents reintubation and escalation of respiratory therapy, while having no significant effect on mortality or LOS, compared with COT in the immediate postoperative period in patients undergoing cardiothoracic surgery. The evidence is of moderate certainty. These findings support prophylactic use of HFNC in the population of patients requiring cardiothoracic surgery, particularly in patients who are at high risk and/or obese.

Acknowledgments

Author contributions: D. C. had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. D. C., D. G., D. X. W., K. E. A. B., Y. H., S. E., and B. R. contributed substantially to study design, data collection and data analysis. All authors helped with study interpretation, writing and editing of the manuscript.

Financial/nonfinancial disclosures: The authors have reported to CHEST the following: T. M. received personal fees from Drager Mindray and Fisher & Paykel Healthcare unrelated to the present work. J. D. R. received travel expenses coverage from Fisher & Paykel Healthcare to attend scientific meetings, and Fisher & Paykel Healthcare provided support for the ongoing High Flow ACRF trial (ClinicalTrials.gov, NCT03406572) for which he is the contact. J. M. received personal fees from Faron Pharmaceuticals, Janssen Pharmaceutica, and Medtronic plc; ALung Technologies, Inc, and Fisher & Paykel Healthcare provided medical equipment for multicenter trials (high-flow nasal oxygen therapy and extracorporeal carbon dioxide removal, respectively); Imtmedical AG provided travel and hotel expenses to attend a meeting. J. P. F. received personal fess from Fisher & Pavkel Healthcare for lectures, reimbursement of travels and accommodations for medical meetings, and equipment for centers for clinical studies. G. H. received personal fees and travel expenses from Fisher & Paykel Healthcare. S. M. M. was the principal investigator of the RINO trial (ClinicalTrials.gov, NCT02107183), which was supported by Fisher & Paykel Healthcare, and received lecture fees from Dräger Medical sro and GE Healthcare. C. L. H. is supported by an Australian Heart Foundation fellowship and a National Health and Medical Research Council Investigator Grant GTN1173271. S. Jaber reports receiving consulting fees from Baxter International Inc, Dräger Medical sro, Fisher & Paykel Healthcare, Fresenius Medical Care AG & Co (Xenios AG), and Medtronic plc. B. R. is supported by a Hamilton Health Sciences Research Early Career Award. None declared (D. C., D. G., D. X. W., K. E. A. B., Y. H., S. E., V. T., S. Jog, L. M., E. C. G., L. B.).

Other contributions: This manuscript was written as an initiative of the Pleural Pressure Working Group (https://www.plugwgroup.org), a working group of the Acute Respiratory Failure section of the European Society of Intensive Care Medicine.

Additional information: The e-Figures and e-Tables can be found in the Supplemental Materials section of the online article.

References

 Arozullah AM, Daley J, Henderson WG, Khuri SF. Multifactorial risk index for predicting postoperative respiratory

- failure in men after major noncardiac surgery. Ann Surg. 2000;232(2):242-253.
- Xue FS, Li BW, Zhang GS, et al. The influence of surgical sites on early postoperative hypoxemia in adults undergoing elective surgery. Anesth Analg. 1999;88(1):213-219.
- Ranucci M, Ballotta A, La Rovere MT, Castelvecchio S; Surgical and Clinical Outcome Research (SCORE) Group. Postoperative hypoxia and length of intensive care unit stay after cardiac surgery: the underweight paradox? PLoS One. 2014;9(4):e93992.
- Neto AS, Hemmes SN, Barbas CS, et al. Incidence of mortality and morbidity related to postoperative lung injury in patients who have undergone abdominal or thoracic surgery: a systematic review and meta-analysis. *Lancet Respir Med*. 2014;2(12):1007-1015.
- Futier E, Paugam-Burtz C, Godet T, et al. Effect of early postextubation high-flow nasal cannula vs conventional oxygen therapy on hypoxaemia in patients after major abdominal surgery: a French multicentre randomised controlled trial (OPERA). Intensive Care Med. 2016;42(12):1888-1898.
- Zarbock A, Mueller E, Netzer S, Gabriel A, Feindt P, Kindgen-Milles D. Prophylactic nasal continuous positive airway pressure following cardiac surgery protects from postoperative pulmonary complications. *Chest.* 2009;135(5):1252-1259.
- Kindgen-Milles D, Müller E, Buhl R, et al. Nasal-continuous positive airway pressure reduces pulmonary morbidity and length of hospital stay following thoracoabdominal aortic surgery. *Chest*. 2005;128(2):821-828.
- 8. Squadrone V, Coha M, Cerutti E, et al. Continuous positive airway pressure for treatment of postoperative hypoxemia. *JAMA*. 2005;293(5):589.
- Torres MF, Porfírio GJ, Carvalho AP, Riera R. Non-invasive positive pressure ventilation for prevention of complications after pulmonary resection in lung cancer patients. Cochrane Database Syst Rev. 2019;(3):CD010355.
- Nava S, Gregoretti C, Fanfulla F, et al. Noninvasive ventilation to prevent respiratory failure after extubation in high-risk patients. Crit Care Med. 2005;33(11):2465-2470.
- Lee JH, Rehder KJ, Williford L, Cheifetz IM, Turner DA. Use of high flow nasal cannula in critically ill infants, children, and adults: a critical review of the literature. *Intensive Care Med*. 2013;39(2):247-257.
- Cortegiani A, Accurso G, Mercadante S, Giarratano A, Gregoretti C. High flow nasal therapy in perioperative medicine: from operating room to general ward. BMC Anesthesiol. 2018;18(1):166.
- 13. Simon M, Wachs C, Braune S, de Heer G, Frings D, Kluge S. High-flow nasal cannula versus bag-valve-mask for preoxygenation before intubation in subjects with hypoxemic respiratory

- failure. Respir Care. 2016;61(9):1160-1167.
- 14. Rochwerg B, Granton D, Wang DX, et al. High flow nasal cannula compared with conventional oxygen therapy for acute hypoxemic respiratory failure: a systematic review and meta-analysis. *Intensive Care Med.* 2019;45(5):563-572.
- Stéphan F, Barrucand B, Petit P, et al; BiPOP Study Group. High-flow nasal oxygen vs noninvasive positive airway pressure in hypoxemic patients after cardiothoracic surgery. *JAMA*. 2015;313(23):2331.
- 16. Brainard J, Scott BK, Sullivan BL, et al. Heated humidified high-flow nasal cannula oxygen after thoracic surgery: a randomized prospective clinical pilot trial. *J Crit Care.* 2017;40:225-228.
- 17. Corley A, Bull T, Spooner AJ, Barnett AG, Fraser JF. Direct extubation onto high-flow nasal cannulae post-cardiac surgery versus standard treatment in patients with a BMI ≥30: a randomised controlled trial. *Intensive Care Med.* 2015;41(5):887-894.
- Sterne JAC, Savović J, Page MJ, et al. RoB
 a revised tool for assessing risk of bias in randomised trials. BMJ. 2019:366.
- Guyatt GH, Oxman AD, Vist GE, et al. GRADE: an emerging consensus on rating quality of evidence and strength of recommendations. BMJ. 2008;336(7650).
- Deeks JJ, Higgins JPT; on behalf of the Statistical Methods Group of The Cochrane Collaboration. Statistical algorithms in Review Manager 5. https:// www.researchgate.net/publication/252 093205_Statistical_Algorithms_in_ Review_Manager_5/link/54d159b7 0cf28370d0e07f9e/download. Accessed July 20, 2020.
- 21. Wetterslev J, Jakobsen JC, Gluud C. Trial sequential analysis in systematic reviews with meta-analysis. *BMC Med Res Methodol*. 2017;17(1):39.
- Tatsuishi W, Sato T, Kataoka G, Sato A, Asano R, Nakano K. High-flow nasal cannula therapy with early extubation for subjects undergoing off-pump coronary artery bypass graft surgery. Respir Care. 2019
- Ansari BM, Hogan MP, Collier TJ, et al. A randomized controlled trial of highflow nasal oxygen (Optiflow) as part of an enhanced recovery program after lung resection surgery. *Ann Thorac Surg*. 2016;101(2):459-464.
- Parke R, McGuinness S, Dixon R, Jull A. Open-label, phase II study of routine high-flow nasal oxygen therapy in cardiac surgical patients. Br J Anaesth. 2013;111(6):925-931.
- Sahin M, El H, Akkoç I. Comparison of mask oxygen therapy and high-flow oxygen therapy after cardiopulmonary bypass in obese patients. *Can Respir J.* 2018;2018:1-7.
- 26. Zochios V, Collier T, Blaudszun G, et al. The effect of high-flow nasal oxygen on hospital length of stay in cardiac surgical patients at high risk for respiratory

- complications: a randomised controlled trial. *Anaesthesia*. 2018;73(12):1478-1488.
- Pennisi MA, Bello G, Congedo MT, et al. Early nasal high-flow versus Venturi mask oxygen therapy after lung resection: a randomized trial. Crit Care. 2019;23(1):68.
- Yu Y, Qian X, Liu C, Zhu C. Effect of high-flow nasal cannula versus conventional oxygen therapy for patients with thoracoscopic lobectomy after extubation. *Can Respir J.* 2017;2017:1-8.
- Nicolet J, Poulard F, Baneton D, Rigal JC, Blanloeil Y. Oxygénation nasale à haut débit pour hypoxémie après chirurgie cardiaque [in French]. Ann Fr Anesth Reanim. 2011;30(4):331-334.
- Silbert BS, Santamaria JD, O'Brien JL, Blyth CM, Kelly WJ, Molnar RR. Early extubation following coronary artery bypass surgery: a prospective randomized controlled trial. Chest. 1998;113(6):1481-1488.

- Rady MY, Ryan T. Perioperative predictors of extubation failure and the effect on clinical outcome after cardiac surgery. Crit Care Med. 1999;27(2):340-347.
- Glossop AJ, Shepherd N, Bryden DC, Mills GH. Non-invasive ventilation for weaning, avoiding reintubation after extubation and in the postoperative period: a meta-analysis. *Br J Anaesth*. 2012;109(3):305-314.
- Mazo V, Sabaté S, Canet J, et al. Prospective external validation of a predictive score for postoperative pulmonary complications. *Anesthesiology*. 2014;121(2):219-231.
- Zhu Y, Yin H, Zhang R, Wei J. High-flow nasal cannula oxygen therapy vs conventional oxygen therapy in cardiac surgical patients: a meta-analysis. J Crit Care. 2017;38:123-128.
- 35. Huang HW, Sun XM, Shi ZH, et al. Effect of high-flow nasal cannula oxygen therapy

- versus conventional oxygen therapy and noninvasive ventilation on reintubation rate in adult patients after extubation: a systematic review and meta-analysis of randomized controlled trials. *J Intensive Care Med.* 2018;33(11):609-623.
- Lu Z, Chang W, Meng SS, et al. Effect of high-flow nasal cannula oxygen therapy compared with conventional oxygen therapy in postoperative patients: a systematic review and meta-analysis. *BMJ Open*. 2019;9(8).
- Wu X, Cao W, Zhang B, Wang S. Effect of high-flow nasal cannula oxygen therapy vs conventional oxygen therapy on adult postcardiothoracic operation: a metaanalysis. *Medicine (Baltimore)*. 2018;97(41):e12783.
- 38. Brueckmann B, Villa-Uribe JL, Bateman BT, et al. Development and validation of a score for prediction of postoperative respiratory complications. *Anesthesiology*. 2013;118(6):1276-1285.