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# A thick-point approximation of a small body embedded in an elastic medium: justification with an asymptotic analysis

Arnaud Heibig\*      Nidhal Mannai\*<sup>†</sup>      Adrien Petrov\*      Yves Renard\*

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## Abstract

The present paper proposes an approximation of the influence of a small inclusion, having different stiffness, in an elastic medium restricting its cinematics to a finite dimensional space. The problem, for the unknown displacement, is written in accordance of transmission conditions between elastic medium and inclusion. The multi-scale asymptotic expansions techniques, by using some corrective terms, are introduced to model the inclusion influence in both initial and approximated problems. Finally, some error estimates for asymptotic expansions are presented and different strategies are compared.

**Key words.** Asymptotic expansion, linear elasticity, multi-scale analysis, transmission problem, solvability

## 1 Introduction

This paper introduces a new approximation of the influence of a small inclusion in an elastic medium, so-called *thick-point approximation*, consisting in a restriction of cinematics of the inclusion to some pre-defined finite dimensional spaces such as spaces of degree one or two polynomials. A rigorous justification is given in terms of asymptotic analysis allowing to quantify the approximation order as well.

The interface problems served in the last decades as a cornerstone for developing mathematical models for inclusions embedded in elastic media. Some of these models are used in automotive industry to design tires having specific structure stiffnesses. A considerable amount of engineering and mathematical literature is devoted to this topic. We are specially interested in small elastic inclusions or inhomogeneities in a two-dimensional elastic body. Since the inclusions are usually small compared to the size of the body, it is rather costly from numerical viewpoint to take them into account. Naturally, the numerical approximation of such problems requires a severe mesh refinement near the inclusions, which sometimes prevents from taking them into account in the computations. If the inclusions are arranged within a

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periodic network, the homogenization techniques could be used and the macroscopic model is valid, provided the characteristic properties of the body are modified accordingly (see [1, 23]). Such approaches do not hold for local inhomogeneities, which are usually omitted in the applications, at least for the smallest ones, and/or integrated into the macroscopic domain. An other approach consists to use the asymptotic analysis to determine the influence of inclusions (see [7, 10, 16, 17, 29, 32]) which is adopted in the present work.

Many references in the literature deal with the case of perturbations whether transmission problems (essentially for conductivity and Helmholtz equation) and perforated domains and cavities. For further details, the reader is referred to [29, 18, 7, 26, 27, 22, 17, 10, 11] and to the reference therein. Concerning the case of inclusions, a method allowing to find the stresses set up in an elastic body was originally introduced in [13] and later on developed in [14, 28, 19, 20, 4, 30, 25] for various inclusions shape. On the other hand, the reconstruction techniques of small inclusions using only boundary measurements are described in [2]. The approach used in this work consists in deriving some asymptotic expansions of the boundary perturbations coming from the inclusions influences that has been initiated in [5] and extended in this work to the approximated case up to the order second order leading to deduce some approximation error estimates. Some other contributions dealing with the techniques using asymptotic expansions to treat inclusions can be found in [31, 6].

This paper is organized as follows. In Section 2, we give precisely the geometrical setting we shall work within. More precisely, the solvability of a pair of two dimensional approximations of the transmission problem between a body and inclusion both supposed to be elastic is recalled. Then Section 3 emphasizes some optimal error estimates for asymptotic expansions. Section 4 is dedicated to describe the thick-point approximation and perform its asymptotic expansion, up to the second order. This allows in Section 5 to give some error estimates depending on the choice of the finite dimensional space used. Section 6 treats the special case of a circular inclusion obtaining some optimal results. Section 7 gives the strong form of the thick-point approximation problem when first degree polynomial are used. Finally, a few conclusions are given in Section 8.

## 2 Mechanical model and mathematical formulation

We consider a reference configuration  $\Omega \subset \mathbb{R}^2$  supposed to be with a Lipschitz-continuous boundary  $\Gamma$ . Let  $\Omega_f^\varepsilon \subset \mathbb{R}^2$  be a bounded connected domain of characteristic dimension  $\varepsilon$  representing an inclusion in  $\Omega$ . The boundary of this inclusion is denoted by  $\Gamma^\varepsilon$  and  $\Omega_f^1 \stackrel{\text{def}}{=} \frac{\Omega_f^\varepsilon}{\varepsilon}$ . The inclusion is assumed to be small enough compared to the characteristic size of the matrix represented by  $\Omega_m^\varepsilon \stackrel{\text{def}}{=} \Omega \setminus \bar{\Omega}_f^\varepsilon \subset \mathbb{R}^2$  of radius  $R$  with a Lipschitz-continuous  $\Gamma^\varepsilon$  (see **FIGURE 1**).

The present work deals with an antiplane problem which can be reduced to a Poisson problem. More precisely, we focus on the normal component to the plane  $(O, x_1, x_2)$  denoted by  $\tilde{u}^\varepsilon(x_1, x_2)$ . We assume the non-homogenous Dirichlet conditions and the continuity conditions on the boundary  $\Gamma^\varepsilon$  hold on  $\Gamma$  and  $\Gamma^\varepsilon$ , respectively. Let  $U \in H^{1/2}(\Gamma)$  be a prescribed displacement on  $\Gamma$ . The mathematical problem is

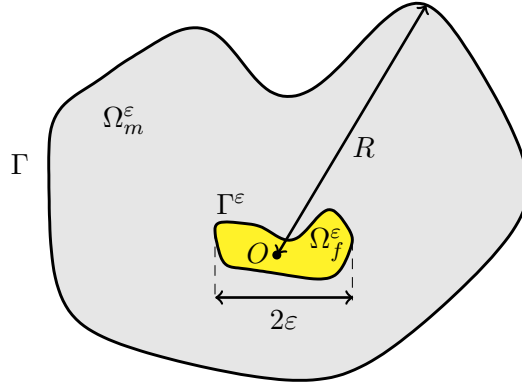


Figure 1: A small inclusion in an elastic medium

formulated as follows:

$$-\alpha_f \Delta \tilde{u}_f^\varepsilon = 0 \text{ in } \Omega_f^\varepsilon, \quad (2.1a)$$

$$-\alpha_m \Delta \tilde{u}_m^\varepsilon = 0 \text{ in } \Omega_m^\varepsilon, \quad (2.1b)$$

$$\tilde{u}_f^\varepsilon = \tilde{u}_m^\varepsilon \text{ on } \Gamma^\varepsilon, \quad (2.1c)$$

$$\alpha_f \partial_{\mathbf{n}} \tilde{u}_f^\varepsilon = \alpha_m \partial_{\mathbf{n}} \tilde{u}_m^\varepsilon \text{ on } \Gamma^\varepsilon, \quad (2.1d)$$

$$\tilde{u}_m^\varepsilon = U \text{ on } \Gamma, \quad (2.1e)$$

where  $\partial_{\mathbf{n}}$  denote the normal derivative,  $\alpha_f > 0$  and  $\alpha_m > 0$  are the shear coefficients in the inclusion and in the matrix, respectively while  $\tilde{u}_f^\varepsilon$  and  $\tilde{u}_m^\varepsilon$  are the unknown solutions to problem (2.1) in the inclusion  $\Omega_f^\varepsilon$  and in the matrix  $\Omega_m^\varepsilon$ , respectively.

Let us describe the weak formulation associated to problem (2.1). Introducing the set

$$\tilde{V}_U \stackrel{\text{def}}{=} \{v \in H^1(\Omega) : v|_\Gamma = U\},$$

the weak formulation associated to problem (2.1) is given by

$$\begin{cases} \text{find } \tilde{u}^\varepsilon \in \tilde{V}_U \text{ such that for all } w \in H_0^1(\Omega) \\ \int_{\Omega_f^\varepsilon} \alpha_f \nabla \tilde{u}_f^\varepsilon \cdot \nabla w_f dx + \int_{\Omega_m^\varepsilon} \alpha_m \nabla \tilde{u}_m^\varepsilon \cdot \nabla w_m dx = 0. \end{cases} \quad (2.2)$$

Since  $U \in H^{1/2}(\Gamma)$ , the trace theorem implies that there exists  $g \in H^1(\Omega)$  satisfying  $g|_\Gamma = U$ . Let us define  $\tilde{u}_0^\varepsilon \in H_0^1(\Omega)$  such that  $\tilde{u}^\varepsilon \stackrel{\text{def}}{=} \tilde{u}_0^\varepsilon + g \in \tilde{V}_U$ . Hence, the weak formulation (2.2) can be rewritten as follows

$$\begin{cases} \text{find } \tilde{u}_0^\varepsilon \in H_0^1(\Omega) \text{ such that for all } w \in H_0^1(\Omega), \\ \int_{\Omega_f^\varepsilon} \alpha_f \nabla \tilde{u}_{0f}^\varepsilon \cdot \nabla w_f dx + \int_{\Omega_m^\varepsilon} \alpha_m \nabla \tilde{u}_{0m}^\varepsilon \cdot \nabla w_m dx \\ = - \int_{\Omega_f^\varepsilon} \alpha_f \nabla g \cdot \nabla w_f dx - \int_{\Omega_m^\varepsilon} \alpha_m \nabla g \cdot \nabla w_m dx. \end{cases} \quad (2.3)$$

The existence and uniqueness results to problem (2.3) can be proved by using Lax–Milgram's theorem.

### 3 Asymptotic expansion for the antiplane problem

This section is devoted to the asymptotic expansion of the antiplane problem up to second order. The reader is referred to [5] for further details on this approach. This step plays a crucial role to get some error estimates with respect to the size of the inclusion for the approximation.

#### 3.1 First order expansion for the antiplane problem

We consider first the asymptotic expansion of the first order. To this aim, we define by  $\tilde{u}^0$  the displacement in  $\Omega$  without any inclusion. Taking  $\varepsilon = 0$  in (2.2), we get the following weak formulation

$$\begin{cases} \text{find } \tilde{u}^0 \in \tilde{V}_U \text{ such that for all } w \in H_0^1(\Omega), \\ \int_{\Omega} \alpha_m \nabla \tilde{u}^0 \cdot \nabla w \, dx = 0. \end{cases} \quad (3.1)$$

Substracting (2.2) to (3.1), we obtain

$$\int_{\Omega_f^\varepsilon} (\alpha_f - \alpha_m) \nabla \tilde{u}^0 \cdot \nabla w_f \, dx + \int_{\Omega_f^\varepsilon} \alpha_f \nabla (\tilde{u}_f^\varepsilon - \tilde{u}^0) \cdot \nabla w_f \, dx + \int_{\Omega_m^\varepsilon} \alpha_m \nabla (\tilde{u}_m^\varepsilon - \tilde{u}^0) \cdot \nabla w_m \, dx = 0 \quad (3.2)$$

for all  $w \in H_0^1(\Omega)$ . By using the following notation  $\tilde{d}_k^{0\varepsilon} \stackrel{\text{def}}{=} \tilde{u}_k^\varepsilon - \tilde{u}^0$ ,  $k \in \{f, m\}$ , the identity (3.2) can be rewritten as follows:

$$\int_{\Omega_f^\varepsilon} (\alpha_f - \alpha_m) \nabla \tilde{u}^0 \cdot \nabla w_f \, dx + \int_{\Omega_f^\varepsilon} \alpha_f \nabla \tilde{d}_f^{0\varepsilon} \cdot \nabla w_f \, dx + \int_{\Omega_m^\varepsilon} \alpha_m \nabla \tilde{d}_m^{0\varepsilon} \cdot \nabla w_m \, dx = 0 \quad (3.3)$$

for all  $w \in H_0^1(\Omega)$ . In order to deal with fixed domain and interface, we introduce the following scaling  $y \stackrel{\text{def}}{=} \frac{x}{\varepsilon}$  and we define  $\tilde{D}_k^{0\varepsilon}(y) \stackrel{\text{def}}{=} \tilde{d}_k^{0\varepsilon}(x)$ ,  $k \in \{f, m\}$ . It comes that

$$\begin{aligned} & \varepsilon \int_{\Omega_f^1} (\alpha_f - \alpha_m) \nabla \tilde{u}^0(\varepsilon y) \cdot \nabla w_f(\varepsilon y) \, dy + \int_{\Omega_f^1} \alpha_f \nabla \tilde{D}_f^{0\varepsilon}(y) \cdot \nabla w_f(\varepsilon y) \, dy \\ & + \int_{\Omega_m^\varepsilon/\varepsilon} \alpha_m \nabla \tilde{D}_m^{0\varepsilon}(y) \cdot \nabla w_m(\varepsilon y) \, dy = 0 \end{aligned} \quad (3.4)$$

for all  $w \in H_0^1(\Omega)$ . Let  $\Omega^\infty \stackrel{\text{def}}{=} \mathbb{R}^2 \setminus \Omega_f^1$  and  $\tilde{V}_{\log}$  be an Hilbert space defined by

$$\tilde{V}_{\log} \stackrel{\text{def}}{=} \left\{ v \in \mathcal{D}'(\mathbb{R}^2) : (1 + |x|^2)^{-\frac{1}{2}} (\log(2 + |x|^2))^{-1} v \in L^2(\mathbb{R}^2) \text{ and } \nabla v \in L^2(\mathbb{R}^2)^2 \right\},$$

endowed with the norm

$$\|u\|_{\tilde{V}_{\log}} \stackrel{\text{def}}{=} \left( \|(1 + |x|^2)^{-\frac{1}{2}} (\log(2 + |x|^2))^{-1} v\|_{L^2(\mathbb{R}^2)}^2 + \|\nabla v\|_{L^2(\mathbb{R}^2)^2}^2 \right)^{\frac{1}{2}}.$$

The reader is referred to [5, Appendix] for some further explanations on this functional space. Fix  $R^0 \gg 1$ , we define a closed subspace  $\tilde{V}_{\log}^{R^0} \hookrightarrow \tilde{V}_{\log}$  by

$$\tilde{V}_{\log}^{R^0} \stackrel{\text{def}}{=} \left\{ v \in \tilde{V}_{\log} : \int_{-\pi}^{\pi} v(R^0 \cos(\theta), R^0 \sin(\theta)) \, d\theta = 0 \right\}.$$

We assume  $\varepsilon$  small enough compared to the size of  $\Omega$  and we eliminate completely the dependence on  $\varepsilon$  by approximating the identity (3.4) as follows:

$$\left\{ \begin{array}{l} \text{find } \widetilde{W}^0 \in \widetilde{V}_{\log}^{R^0} \text{ such that for all } w \in \widetilde{V}_{\log}^{R^0}, \\ \int_{\Omega_f^1} (\alpha_f - \alpha_m) \nabla \widetilde{u}^{(0)}(0) \cdot \nabla w_f dy + \int_{\Omega_f^1} \alpha_f \nabla \widetilde{W}_f^0 \cdot \nabla w_f dy + \int_{\Omega_\infty} \alpha_m \nabla \widetilde{W}_m^0 \cdot \nabla w_m dy = 0. \end{array} \right. \quad (3.5)$$

Note that all the constant functions are included in  $\widetilde{V}_{\log}$  and Poincaré's inequality can be obtained on the quotient space of the weighted Sobolev space with constant functions. Clearly, the last two terms on the left hand side of (3.5) are bilinear, coercive and continuous forms on  $\widetilde{V}_{\log}^{R^0}$  while the first term on the left hand side of (3.5) is linear and continuous on  $\widetilde{V}_{\log}^{R^0}$ . Then, the existence and uniqueness results come from Lax–Milgram's theorem. On the other hand, we observe that

$$\begin{aligned} & \int_{\Omega_f^\varepsilon} (\alpha_f - \alpha_m) \nabla \widetilde{u}^{(0)}(0) \cdot \nabla w_f dx + \int_{\Omega_f^\varepsilon} \alpha_f \nabla \left( \varepsilon \widetilde{W}_f^0 \left( \frac{\cdot}{\varepsilon} \right) \right) \cdot \nabla w_f dx \\ & + \int_{\Omega_m^\varepsilon} \alpha_m \nabla \left( \varepsilon \widetilde{W}_m^0 \left( \frac{\cdot}{\varepsilon} \right) \right) \cdot \nabla w_m dx = 0 \end{aligned} \quad (3.6)$$

for all  $w \in H_0^1(\Omega)$ . Subtracting (3.2) to (3.6), we find

$$\begin{aligned} & \int_{\Omega_f^\varepsilon} (\alpha_f - \alpha_m) (\nabla \widetilde{u}^{(0)} - \nabla \widetilde{u}^{(0)}(0)) \cdot \nabla w_f dx + \int_{\Omega_f^\varepsilon} \alpha_f \nabla \left( \widetilde{u} - \widetilde{u}^{(0)} - \varepsilon \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) \right) \cdot \nabla w_f dx \\ & + \int_{\Omega_m^\varepsilon} \alpha_m \nabla \left( \widetilde{u} - \widetilde{u}^{(0)} - \varepsilon \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) \right) \cdot \nabla w_m dx = 0 \end{aligned} \quad (3.7)$$

for all  $w \in H_0^1(\Omega)$ . Setting  $\widetilde{d}^{1\varepsilon} \stackrel{\text{def}}{=} \widetilde{u}^\varepsilon - \widetilde{u}^{(0)} - \varepsilon \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right)$ , with  $x \in \Omega$ , we also have

$$\left\{ \begin{array}{l} \widetilde{d}^{1\varepsilon} \in H^1(\Omega) \text{ and for all } w \in H_0^1(\Omega), \\ \int_{\Omega_f^\varepsilon} (\alpha_f - \alpha_m) (\nabla \widetilde{u}^{(0)} - \nabla \widetilde{u}^{(0)}(0)) \cdot \nabla w_f dx + \int_{\Omega_f^\varepsilon} \alpha_f \nabla \widetilde{d}^{1\varepsilon} \cdot \nabla w_f dx \\ + \int_{\Omega_m^\varepsilon} \alpha_m \nabla \widetilde{d}^{1\varepsilon} \cdot \nabla w_m dx = 0, \\ \text{with } \widetilde{d}^{1\varepsilon} = -\varepsilon \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) \text{ on } \Gamma. \end{array} \right. \quad (3.8)$$

The following estimate on the rest  $\widetilde{d}^{1\varepsilon}$  of the first order expansion can be proved.

**Lemma 3.1.** *There exists a constant  $C > 0$ , independent of  $\varepsilon$ , such that*

$$\left\| \widetilde{d}^{1\varepsilon} \right\|_{H^1(\Omega)} \leq C \varepsilon^2. \quad (3.9)$$

*Proof.* Let  $\mathcal{L} : H^{1/2}(\Gamma) \rightarrow H^1(\Omega)$  be a continuous lifting operator [24]. We define  $\widetilde{z}^\varepsilon \stackrel{\text{def}}{=} \mathcal{L}(-\varepsilon \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right))$  and

$\tilde{r}^{1\varepsilon} \stackrel{\text{def}}{=} \tilde{d}^{1\varepsilon} - \tilde{z}^\varepsilon$ . Clearly,  $\tilde{r}^{1\varepsilon} \in H_0^1(\Omega)$  and according to (3.8), we get

$$\begin{aligned} & \int_{\Omega_f} \alpha_f \nabla \tilde{r}_f^{1\varepsilon} \cdot \nabla w_f dx + \int_{\Omega_m} \alpha_m \nabla \tilde{r}_m^{1\varepsilon} \cdot \nabla w_m dx + \int_{\Omega_f^\varepsilon} (\alpha_f - \alpha_m) (\nabla \tilde{u}^0(0) - \nabla \tilde{u}^0) \cdot \nabla w_f dx \\ &= - \int_{\Omega_f} \alpha_f \nabla \tilde{z}^\varepsilon \cdot \nabla w_f dx - \int_{\Omega_m} \alpha_m \nabla \tilde{z}^\varepsilon \cdot \nabla w_m dx. \end{aligned}$$

Taking  $w = \tilde{r}^{1\varepsilon} \in H_0^1(\Omega)$ , we find

$$\begin{aligned} & \alpha_f \|\nabla \tilde{r}_f^{1\varepsilon}\|_{L^2(\Omega_f^\varepsilon)}^2 + \alpha_m \|\nabla \tilde{r}_m^{1\varepsilon}\|_{L^2(\Omega_m^\varepsilon)}^2 + \int_{\Omega_f^\varepsilon} (\alpha_f - \alpha_m) (\nabla \tilde{u}^0(0) - \nabla \tilde{u}^0) \cdot \nabla \tilde{r}_f^{1\varepsilon} dx \\ &= - \int_{\Omega_f^\varepsilon} \alpha_f \nabla \tilde{z}^\varepsilon \cdot \nabla \tilde{r}_f^{1\varepsilon} dx - \int_{\Omega_m^\varepsilon} \alpha_m \nabla \tilde{z}^\varepsilon \cdot \nabla \tilde{r}_m^{1\varepsilon} dx, \end{aligned}$$

which by using Cauchy–Schwarz’s inequality implies that

$$\|\nabla \tilde{r}^{1\varepsilon}\|_{L^2(\Omega)} \leq C(\|\nabla \tilde{u}^0(0) - \nabla \tilde{u}^0\|_{L^2(\Omega_f^\varepsilon)} + \|\nabla \tilde{z}^\varepsilon\|_{L^2(\Omega)}).$$

By Poincaré’s inequality, we get

$$\|\tilde{r}^{1\varepsilon}\|_{H^1(\Omega)} \leq C(\|\nabla \tilde{u}^0(0) - \nabla \tilde{u}^0\|_{L^2(\Omega_f^\varepsilon)} + \|\nabla \tilde{z}^\varepsilon\|_{L^2(\Omega)}).$$

Since  $\tilde{r}^{1\varepsilon} \stackrel{\text{def}}{=} \tilde{d}^{1\varepsilon} - \tilde{z}^\varepsilon$ , we obtain

$$\|\tilde{d}^{1\varepsilon}\|_{H^1(\Omega)} \leq C(\|\nabla \tilde{u}^0(0) - \nabla \tilde{u}^0\|_{L^2(\Omega_f^\varepsilon)} + \|\tilde{z}^\varepsilon\|_{H^1(\Omega)}).$$

By using the continuity of the lifting operator  $\mathcal{L}$ , it comes that

$$\|\tilde{d}^{1\varepsilon}\|_{H^1(\Omega)} \leq C\left(\|\nabla \tilde{u}^0(0) - \nabla \tilde{u}^0\|_{L^2(\Omega_f^\varepsilon)} + \varepsilon \left\| \widetilde{W}\left(\frac{\cdot}{\varepsilon}\right) \right\|_{H^{1/2}(\Gamma)}\right).$$

We evaluate first  $\|\nabla \tilde{u}^0(0) - \nabla \tilde{u}^0\|_{L^2(\Omega_f^\varepsilon)}$ . To this aim, we observe that

$$\nabla \tilde{u}^0(x) = \nabla \tilde{u}^0(0) + \mathcal{O}(|x|).$$

Then, we conclude that

$$\|\nabla \tilde{u}^0(x) - \nabla \tilde{u}^0(0)\|_{L^2(\Omega_f^\varepsilon)} \leq |x| \leq C\varepsilon^2.$$

It remains to evaluate  $\left\| \widetilde{W}\left(\frac{\cdot}{\varepsilon}\right) \right\|_{H^{1/2}(\Gamma)}$ . Let us define  $x \stackrel{\text{def}}{=} (r \cos(\theta), r \sin(\theta))$  for all  $r \geq R^0$ . Notice that  $\widetilde{W}^0 \in \widetilde{V}_{\log}^{R^0}$  and there exists  $(\tilde{a}_n, \tilde{b}_n) \in \mathbb{R}^2$  for all  $n \in \mathbb{N}^*$  and  $\theta \in [0, 2\pi]$  such that

$$\widetilde{W}^0\left(\frac{\cdot}{\varepsilon}\right) = \sum_{n \geq 1} \left(\frac{\varepsilon R^0}{r}\right)^n (\tilde{a}_n \cos(n\theta) + \tilde{b}_n \sin(n\theta)). \quad (3.10)$$

Let  $\Omega_c$  be a regular subset of  $\mathbb{R}^2$  such that  $\Gamma \in \mathring{\Omega}_c$  and there exist  $(r_1, r_2) \in \mathbb{R}^+ \times \mathbb{R}^+$  satisfying  $r_1 < r < r_2$  on  $\Omega_c$ . Then we infer that

$$\left\| \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) \right\|_{H^{1/2}(\Gamma)} \leq C \left\| \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) \right\|_{H^1(\Omega_c)}. \quad (3.11)$$

Choosing  $\varepsilon > 0$  such that  $\varepsilon < \frac{r_1}{2R^0}$ , we obtain

$$\left\| \nabla \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) \right\|_{L^2(\Omega_c)} \leq \frac{4\varepsilon R^0 \sqrt{\mu(\Omega_c)}}{r_1^2} \sum_{n \geq 1} n \left( \frac{\varepsilon R^0}{r_1} \right)^{n-1} (|\tilde{a}_n| + |\tilde{b}_n|) \leq C\varepsilon, \quad (3.12a)$$

$$\left\| \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) \right\|_{L^2(\Omega_c)} \leq \frac{2\varepsilon R^0}{r_1} \sum_{n \geq 1} \left( \frac{\varepsilon R^0}{r_1} \right)^{n-1} (|\tilde{a}_n| + |\tilde{b}_n|) \leq C\varepsilon, \quad (3.12b)$$

where  $\mu(\Omega_f^\varepsilon)$  stands for the usual measure of  $\Omega_f^\varepsilon$ . Carrying (3.12) into (3.11), we get

$$\left\| \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) \right\|_{H^{1/2}(\Gamma)} \leq C\varepsilon,$$

Finally, we have

$$\left\| \tilde{d}^{1\varepsilon} \right\|_{H^1(\Omega)} \leq C\varepsilon^2,$$

which concludes the proof.  $\square$

### 3.2 Second order expansion for the antiplane problem

The approach adopted here is similar to the one used to obtain the first order expansion. Namely, let  $\tilde{D}^{1\varepsilon}(y) \stackrel{\text{def}}{=} \tilde{d}^{1\varepsilon}(x)$  and observe that

$$\begin{aligned} & \frac{1}{\varepsilon} \int_{\Omega_f^1} (\alpha_f - \alpha_m) (\nabla \tilde{u}^{(0)} - \nabla \tilde{u}^{(0)}(0)) \cdot \nabla w_f dy + \int_{\Omega_f^1} \alpha_f \nabla \tilde{D}^{1\varepsilon} \cdot \nabla w_f dy \\ & + \int_{\frac{\Omega_m^\varepsilon}{\varepsilon}} \alpha_m \nabla \tilde{D}^{1\varepsilon} \cdot \nabla w_m dy = 0 \end{aligned} \quad (3.13)$$

for all  $w \in H_0^1(\frac{\Omega}{\varepsilon})$ . Then (3.13) is approximated by the following problem:

$$\begin{cases} \text{find } \widetilde{W}^1 \in \widetilde{V}_{\log}^{R^0} \text{ such that for all } w \in \widetilde{V}_{\log}^{R^0}, \\ \int_{\Omega_f^1} (\alpha_f - \alpha_m) \mathcal{H}_{\tilde{u}^0}(0) y \cdot \nabla w_f dy + \int_{\Omega_f^1} \alpha_f \nabla \widetilde{W}_f^1 \cdot \nabla w_f dy + \int_{\Omega_\infty} \alpha_m \nabla \widetilde{W}_m^1 \cdot \nabla w_m dy = 0, \end{cases} \quad (3.14)$$

where  $\widetilde{W}^1$  and  $\mathcal{H}_{\tilde{u}^0}(0)$  denote the second order corrector term and Hessian matrix associated to  $\tilde{u}^0$  in  $x = 0$ , respectively. Observe that for all  $w \in H_0^1(\Omega)$  extended by zero in  $\mathbb{R}^2 \setminus \Omega$ ,  $w$  belongs to  $\widetilde{V}_{\log}$ . Then we have

$$\begin{aligned} & \int_{\Omega_f^\varepsilon} (\alpha_f - \alpha_m) \mathcal{H}_{\tilde{u}^0}(0) x \cdot \nabla w_f dx + \int_{\Omega_f^\varepsilon} \varepsilon^2 (\alpha_f - \alpha_m) \nabla \tilde{v} \cdot \nabla w_f dx \\ & + \int_{\Omega_m^\varepsilon} \alpha_m \nabla \left( \varepsilon^2 \widetilde{W}_m^1 \left( \frac{\cdot}{\varepsilon} \right) \right) \cdot \nabla w_m dx + \int_{\Omega_f^\varepsilon} \alpha_f \nabla \left( \varepsilon^2 \widetilde{W}_f^1 \left( \frac{\cdot}{\varepsilon} \right) \right) \cdot \nabla w_f dx = 0 \end{aligned} \quad (3.15)$$



for all  $w \in H_0^1(\Omega)$ . On the one hand, let  $\tilde{v} \in H^1(\Omega)$  be a corrector term allowing to eliminate the first order term  $\widetilde{W}^0$  on  $\Gamma$  and satisfying

$$\int_{\Omega} \alpha_m \nabla \tilde{v} \cdot \nabla w \, dx = 0 \quad (3.16)$$

for all  $w \in H_0^1(\Omega)$  with  $\tilde{v} = -\frac{R^0}{r}(\tilde{a}_1 \cos(\theta) + \tilde{b}_1 \sin(\theta))$  on  $\Gamma$ . Setting  $\tilde{d}^{2\varepsilon} \stackrel{\text{def}}{=} \tilde{u}^\varepsilon - \tilde{u}^0 - \varepsilon \widetilde{W}^0\left(\frac{\cdot}{\varepsilon}\right) - \varepsilon^2 \widetilde{W}^1\left(\frac{\cdot}{\varepsilon}\right) + \varepsilon^2 \tilde{v}$ . According to (3.8), (3.15) and (3.16), we find

$$\begin{cases} \tilde{d}^{2\varepsilon} \in H^1(\Omega) \text{ and for all } w \in H_0^1(\Omega), \\ \int_{\Omega_f^\varepsilon} (\alpha_f - \alpha_m)(\nabla \tilde{u}^{(0)} - \nabla \tilde{u}^{(0)}(0) - \mathcal{H}_{\tilde{u}^0}(0)x + \varepsilon^2 \nabla \tilde{v}) \cdot \nabla w_f \, dx \\ + \int_{\Omega_f^\varepsilon} \alpha_f \nabla \tilde{d}^{2\varepsilon} \cdot \nabla w_f \, dx + \int_{\Omega_m^\varepsilon} \alpha_m \nabla \tilde{d}^{2\varepsilon} \cdot \nabla w_m \, dx = 0, \\ \text{with } \tilde{d}^{2\varepsilon} = -\varepsilon \widetilde{W}^0\left(\frac{\cdot}{\varepsilon}\right) - \varepsilon^2 \widetilde{W}^1\left(\frac{\cdot}{\varepsilon}\right) + \varepsilon^2 \tilde{v} \text{ on } \Gamma. \end{cases} \quad (3.17)$$

Let us define

$$\tilde{z}^{2\varepsilon} \stackrel{\text{def}}{=} \mathcal{L}\left(-\varepsilon \widetilde{W}^0\left(\frac{\cdot}{\varepsilon}\right) - \varepsilon^2 \widetilde{W}^1\left(\frac{\cdot}{\varepsilon}\right) - \varepsilon^2 \frac{R^0}{r}(\tilde{a}_1 \cos(\theta) + \tilde{b}_1 \sin(\theta))\right) \quad \text{and} \quad \tilde{r}^{2\varepsilon} \stackrel{\text{def}}{=} \tilde{d}^{2\varepsilon} - \tilde{z}^{2\varepsilon}$$

where  $\mathcal{L}$  denotes the lifting operator introduced above. Similarly to the first order expansion, we may prove that

$$\begin{aligned} \|\tilde{d}^{2\varepsilon}\|_{H^1(\Omega)} &\leq C\left(\left\|\nabla \tilde{u}^0 - \nabla \tilde{u}^{(0)}(0) - \mathcal{H}_{\tilde{u}^0}(0)x\right\|_{L^2(\Omega_f^\varepsilon)} + \varepsilon^2 \|\nabla \tilde{v}\|_{L^2(\Omega_f^\varepsilon)}\right. \\ &\quad \left.+ \varepsilon^2 \left\|\widetilde{W}^1\left(\frac{\cdot}{\varepsilon}\right)\right\|_{H^{1/2}(\Gamma)} + \left\|-\varepsilon \mathcal{W}^0\left(\frac{\cdot}{\varepsilon}\right) - \varepsilon^2 \frac{R^0}{r}(\tilde{a}_1 \cos(\theta) + \tilde{b}_1 \sin(\theta))\right\|_{H^{1/2}(\Gamma)}\right). \end{aligned} \quad (3.18)$$

According to the expansion obtained in the previous section and definition of  $\tilde{v}$ , it comes

$$-\varepsilon \widetilde{W}^0\left(\frac{\cdot}{\varepsilon}\right) - \varepsilon^2 \frac{R^0}{r}(\tilde{a}_1 \cos(\theta) + \tilde{b}_1 \sin(\theta)) = -\sum_{n \geq 2} \left(\frac{R^0}{r}\right)^n \varepsilon^{n+1}(\tilde{a}_n \cos(\theta) + \tilde{b}_n \sin(\theta)).$$

Let  $\Omega_c$  regular subset of  $\mathbb{R}^2$  such that  $\Gamma \subset \mathring{\Omega}_c$  and there exist  $(r_1, r_2) \in \mathbb{R}^+ \times \mathbb{R}^+$  satisfying  $r_1 < r < r_2$  on  $\Omega_c$ . Then we infer that

$$\left\|\widetilde{W}^0\left(\frac{\cdot}{\varepsilon}\right)\right\|_{H^{1/2}(\Gamma)} \leq C \left\|\widetilde{W}^0\left(\frac{\cdot}{\varepsilon}\right)\right\|_{H^1(\Omega_c)}. \quad (3.19)$$

Choosing  $\varepsilon > 0$  such that  $\varepsilon < \frac{r_1}{2R^0}$ , we find

$$\begin{aligned} &\left\|-\nabla\left(\varepsilon \widetilde{W}^0\left(\frac{\cdot}{\varepsilon}\right) - \varepsilon^2 \frac{R^0}{r}(\tilde{a}_1 \cos(\theta) + \tilde{b}_1 \sin(\theta))\right)\right\|_{L^2(\Omega_c)} \\ &\leq \frac{4\varepsilon R^0 \sqrt{\mu(\Omega_c)}}{r_1^2} \sum_{n \geq 2} \left(n \left(\frac{R^0}{r_1}\right)^{n-1} \varepsilon^n (\tilde{a}_n \cos(\theta) + \tilde{b}_n \sin(\theta))\right) \leq C \varepsilon^3. \end{aligned} \quad (3.20)$$

Furthermore, we have

$$\begin{aligned} & \left\| \varepsilon \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) - \varepsilon^2 \frac{R^0}{r} (\widetilde{a}_1 \cos(\theta) + \widetilde{b}_1 \sin(\theta)) \right\|_{L^2(\Omega_c)} \\ & \leq \sum_{n \geq 2} \left( \left( \frac{R^0}{r_1} \right)^n \varepsilon^{n+1} (\widetilde{a}_n \cos(\theta) + \widetilde{b}_n \sin(\theta)) \right) \leq C \varepsilon^3. \end{aligned} \quad (3.21)$$

Clearly, it comes that

$$\left\| \varepsilon \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) - \varepsilon^2 \frac{R^0}{r} (\widetilde{a}_1 \cos(\theta) + \widetilde{b}_1 \sin(\theta)) \right\|_{L^2(\Omega_c)} \leq C \varepsilon^3. \quad (3.22)$$

By using Cauchy–Schwarz’s inequality, we immediately deduce that

$$\|\nabla \widetilde{u}^0 - \nabla \widetilde{u}^{(0)}(0) - \mathcal{H}_{\widetilde{u}^0}(0)x\|_{L^2(\Omega_f^\varepsilon)} \leq C \varepsilon^3,$$

and since  $\widetilde{v}$  is an harmonic function, its gradient is bounded, so that

$$\|\nabla \widetilde{v}\|_{L^2(\Omega_f^\varepsilon)} \leq C \varepsilon.$$

As we already mentioned above, a similar approach to the one presented in the previous section for  $\widetilde{W}^0$  is used to get  $\widetilde{W}^1$ . Namely, we have

$$\left\| \widetilde{W}^1 \left( \frac{\cdot}{\varepsilon} \right) \right\|_{H^{1/2}(\Gamma)} \leq C \varepsilon.$$

Clearly, we may deduce from the above estimates that

$$\left\| \widetilde{d}^{2\varepsilon} \right\|_{H^1(\Omega)} \leq C \varepsilon^3. \quad (3.23)$$

## 4 Thick–point approximation with small inclusion

The present section is dedicated to approximate the displacement  $u^\varepsilon$  in the inclusion  $\Omega_f^\varepsilon$  in some finite dimensional space  $Q^\varepsilon$  introduced later on. More precisely, we replace  $\widetilde{u}^\varepsilon$  by  $u^\varepsilon$  in (2.1) and we assume that  $u_f^\varepsilon$  belongs to  $Q^\varepsilon$  in the inclusion  $\Omega_f^\varepsilon$ . Let us define the following spaces:

$$V_U^\varepsilon \stackrel{\text{def}}{=} \{v \in H^1(\Omega) : v|_{\Omega_f^\varepsilon} \in Q^\varepsilon \text{ with } v|_\Gamma = U\}, \quad V^\varepsilon \stackrel{\text{def}}{=} \{v \in H^1(\Omega) : v|_{\Omega_f^\varepsilon} \in Q^\varepsilon\}, \quad V_0^\varepsilon \stackrel{\text{def}}{=} \{v \in V^\varepsilon : v|_\Gamma = 0\}.$$

Using Galerkin’s principle, the weak formulation associated to the approximated problem is given by

$$\begin{cases} \text{find } u^\varepsilon \in V^\varepsilon \text{ such that for all } w \in V_0^\varepsilon \\ \int_{\Omega_f^\varepsilon} \alpha_f \nabla u_f^\varepsilon \cdot \nabla w_f dx + \int_{\Omega_m^\varepsilon} \alpha_m \nabla u_m^\varepsilon \cdot \nabla w_m dx = 0. \end{cases} \quad (4.1)$$

The existence and uniqueness of a solution to problem (4.1) is rather classical and its verification is let to the reader.

#### 4.1 A preliminary approximation result

In order to write the asymptotic expansion of the approximate problem (4.1), let us introduce  $\text{Proj}_{V_U^\varepsilon}(\tilde{u}^0)$  the orthogonal projection of  $\tilde{u}^0$  onto  $V_U^\varepsilon$  in the following sense:

$$\begin{cases} \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0) \in V_U^\varepsilon \text{ and for all } w \in V_0^\varepsilon, \\ \int_{\Omega_f^\varepsilon} \nabla \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0) \cdot \nabla w_f dx + \int_{\Omega_m^\varepsilon} \nabla \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0) \cdot \nabla w_m dx = 0. \end{cases} \quad (4.2)$$

The following estimate between  $\text{Proj}_{V_U^\varepsilon}(\tilde{u}^0)$  and  $\tilde{u}^0$  is intensively used later on.

**Lemma 4.1.** *Assume that  $Q^\varepsilon$  contains  $P_k(\Omega_f^\varepsilon)$ , the space of polynomials of degree less or equal to  $k$  on  $\Omega_f^\varepsilon$ , then there exists a constant  $C > 0$  such that*

$$\left\| \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0) - \tilde{u}^0 \right\|_{H^1(\Omega)} \leq C\varepsilon^{k+1}. \quad (4.3)$$

*Proof.* Let  $\varphi$  defined as the  $k^{\text{th}}$  order rest of  $\tilde{u}^0$  Taylor expansion in  $\Omega$ . Let  $K \subset\subset \Omega$  be a fixed compact neighborhood 0. Since  $\varphi$  is bounded in  $C^\infty(K)$ , there exists a constant  $C_K > 0$ , depending on  $K$ , such that

$$\forall x \in K : |\varphi(x)| \leq C_K |x|^{k+1} \quad \text{and} \quad |\nabla \varphi(x)| \leq C_K |x|^k. \quad (4.4)$$

In the sequel, we omit the index  $K$  in the notation  $C_K$ . For all  $\varepsilon > 0$ , we define  $z^\varepsilon \in H^1(\Omega)$  such that  $z^\varepsilon = \tilde{u}^0 - \varphi$  on  $\Omega_f^\varepsilon$ ,  $z^\varepsilon|_{\Gamma^\varepsilon} = \tilde{u}^0 - \varphi|_{\Gamma^\varepsilon}$ ,  $z^\varepsilon|_\Gamma = U$  and

$$\forall w \in H_0^1(\Omega_m^\varepsilon) : \int_{\Omega_m^\varepsilon} \nabla z^\varepsilon \cdot \nabla w dx = 0.$$

Notice that

$$\forall w \in H_0^1(\Omega_m^\varepsilon) : \int_{\Omega_m^\varepsilon} \nabla \tilde{u}^0 \cdot \nabla w dx = 0,$$

which implies that

$$\forall w \in H_0^1(\Omega_m^\varepsilon) : \int_{\Omega_m^\varepsilon} \nabla (z^\varepsilon - \tilde{u}^0) \cdot \nabla w dx = 0. \quad (4.5)$$

Let us define  $\zeta \in \mathcal{D}(\mathbb{R}^2; \mathbb{R}^+)$ ,  $0 \leq \zeta \leq 1$ , such that  $\zeta = 1$  and  $\zeta = 0$  in a neighborhood of  $\bar{\Omega}_f^1$  and  $\mathbb{R}^2 \setminus \Omega$ , respectively. For  $\varepsilon > 0$  and  $x \in \mathbb{R}^2$ , we define  $\zeta^\varepsilon \stackrel{\text{def}}{=} \zeta(\frac{\cdot}{\varepsilon})$  and  $\psi^\varepsilon \stackrel{\text{def}}{=} \zeta^\varepsilon \varphi$ . Thus it is clear that  $\psi^\varepsilon \in H^1(\Omega_m^\varepsilon)$  and  $\psi^\varepsilon|_{\Gamma^\varepsilon} = \varphi|_{\Gamma^\varepsilon}$ . We may infer that  $(z^\varepsilon - \tilde{u}^0 + \psi^\varepsilon)|_{\Gamma^\varepsilon} = 0$  and obviously  $(z^\varepsilon - \tilde{u}^0 + \psi^\varepsilon)|_\Gamma = 0$ , which implies that  $z^\varepsilon - \tilde{u}^0 + \psi^\varepsilon \in H_0^1(\Omega_m^\varepsilon)$ . On the other hand, identity (4.5) leads to

$$\forall w \in H_0^1(\Omega_m^\varepsilon) : \int_{\Omega_m^\varepsilon} \nabla (z^\varepsilon - \tilde{u}^0 + \psi^\varepsilon) \cdot \nabla w dx = \int_{\Omega_m^\varepsilon} \nabla \psi^\varepsilon \cdot \nabla w dx. \quad (4.6)$$

Define

$$\beta^\varepsilon \stackrel{\text{def}}{=} \begin{cases} z^\varepsilon - \tilde{u}^0 + \psi^\varepsilon & \text{on } \Omega_m^\varepsilon, \\ 0 & \text{on } \Omega_f^\varepsilon. \end{cases}$$

Clearly,  $\beta^\varepsilon \in H_0^1(\Omega)$ . Denote Poincaré's constant by  $C_\Omega > 0$ , independent of  $\varepsilon > 0$ , related to  $\Omega$ . Since  $\beta^\varepsilon = 0$  on  $\Omega_f^\varepsilon$ , we get

$$\|\beta^\varepsilon\|_{H^1(\Omega)} \leq C_\Omega \|\nabla \beta^\varepsilon\|_{L^2(\Omega)} \leq C_\Omega \|\nabla \beta^\varepsilon\|_{L^2(\Omega_m^\varepsilon)}.$$

Taking  $w = \beta^\varepsilon|_{\Omega_m^\varepsilon}$  into (4.6), and using Cauchy–Schwarz's inequality, we find

$$\|\nabla \beta^\varepsilon\|_{L^2(\Omega_m^\varepsilon)} \leq C \|\nabla \psi^\varepsilon\|_{L^2(\Omega_m^\varepsilon)}.$$

Hence, we have

$$\|\beta^\varepsilon\|_{H^1(\Omega)} \leq C \|\nabla \psi^\varepsilon\|_{L^2(\Omega_m^\varepsilon)}.$$

which implies that

$$\|z^\varepsilon - \tilde{u}^0 + \psi^\varepsilon\|_{H^1(\Omega)} \leq C \|\nabla \psi^\varepsilon\|_{L^2(\Omega_m^\varepsilon)},$$

and finally, according to the triangular inequality, we obtain

$$\|z^\varepsilon - \tilde{u}^0\|_{H^1(\Omega_m^\varepsilon)} \leq C \|\psi^\varepsilon\|_{H^1(\Omega_m^\varepsilon)}. \quad (4.7)$$

It remains to estimate  $\|\psi^\varepsilon\|_{H^1(\Omega_m^\varepsilon)}$ . To this aim, we first observe that

$$\begin{aligned} \int_{\Omega_m^\varepsilon} |\nabla \psi^\varepsilon|^2 dx &\leq \int_{\Omega_m^\varepsilon} \left| \frac{1}{\varepsilon} \nabla \zeta \left( \frac{\cdot}{\varepsilon} \right) \varphi \right|^2 dx + \int_{\Omega_m^\varepsilon} \left| \zeta \left( \frac{\cdot}{\varepsilon} \right) \nabla \varphi \right|^2 dx \\ &\leq C \left( \frac{1}{\varepsilon^2} \int_{\text{supp}(\zeta^\varepsilon)} |\varphi|^2 dx + \int_{\text{supp}(\zeta^\varepsilon)} |\nabla \varphi|^2 dx \right), \end{aligned}$$

where  $\text{supp}(\zeta^\varepsilon)$  denotes the support of  $\zeta^\varepsilon$ . By using (4.4), we obtain

$$\int_{\Omega_m^\varepsilon} |\nabla \psi^\varepsilon|^2 dx \leq C \mu(\text{supp}(\zeta^\varepsilon)) \varepsilon^{2k},$$

where  $\mu$  is Lebesgue's measure in  $\mathbb{R}^2$ . Since  $\mu(\text{supp}(\zeta^\varepsilon)) \leq C\varepsilon^2$ , it comes that

$$\int_{\Omega_m^\varepsilon} |\nabla \psi^\varepsilon|^2 dx \leq C \varepsilon^{2k+2}. \quad (4.8)$$

Once again by using (4.4), we find

$$\|\psi^\varepsilon\|_{L^2(\Omega_m^\varepsilon)}^2 = \int_{\text{supp}(\zeta^\varepsilon)} |\zeta^\varepsilon \varphi|^2 dx \leq C \varepsilon^{2k+2} \mu(\text{supp}(\zeta^\varepsilon)).$$

It follows that

$$\|\psi^\varepsilon\|_{L^2(\Omega_m^\varepsilon)}^2 \leq C \varepsilon^{2k+4},$$

which by using (4.6)–(4.8) leads to

$$\|z^\varepsilon - \tilde{u}^0\|_{H^1(\Omega_m^\varepsilon)} \leq C \varepsilon^{k+1}. \quad (4.9)$$

Let us emphasize that

$$\|z^\varepsilon - \tilde{u}^0\|_{H^1(\Omega_f^\varepsilon)} = \|\varphi\|_{H^1(\Omega_f^\varepsilon)} \leq C\mu(\Omega_f^\varepsilon)(\varepsilon^{2k+2} + \varepsilon^{2k}).$$

Since the diameter of  $\Omega_f^\varepsilon$  is smaller than  $C\varepsilon$  and by using (4.4), we may infer that  $\mu(\Omega_f^\varepsilon) \leq C\varepsilon^2$ . Hence we get

$$\|z^\varepsilon - \tilde{u}^0\|_{H^1(\Omega_f^\varepsilon)}^2 \leq C\varepsilon^{2k+2}. \quad (4.10)$$

According to (4.9) and (4.10), we get

$$\|z^\varepsilon - \tilde{u}^0\|_{H^1(\Omega)} \leq C\varepsilon^{k+1}.$$

Since  $z^\varepsilon \in V_U^\varepsilon$ , we may infer that

$$\left\| \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0) - \tilde{u}^0 \right\|_{H^1(\Omega_m^\varepsilon)} \leq \|z^\varepsilon - \tilde{u}^0\|_{H^1(\Omega_m^\varepsilon)} \leq C\varepsilon^{k+1},$$

which proves the lemma.  $\square$

## 4.2 First order asymptotic expansion for the approximated problem

We establish now the first order asymptotic expansion for the approximated problem. Namely, we assume that  $P_1(\Omega_f^\varepsilon) \subset Q^\varepsilon$  where  $P_1(\Omega_f^\varepsilon)$  denotes the space of polynomials of degree less or equal to 1 on  $\Omega_f^\varepsilon$ . From (4.2) and (4.1), we deduce that

$$\begin{aligned} & \int_{\Omega_f^\varepsilon} \alpha_f \nabla(u_f^\varepsilon - \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0)) \cdot \nabla w_f dx + \int_{\Omega_m^\varepsilon} \alpha_m \nabla(u_m^\varepsilon - \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0)) \cdot \nabla w_m dx \\ & + \int_{\Omega_f^\varepsilon} (\alpha_f - \alpha_m) \nabla \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0) \cdot \nabla w_f dx = 0 \end{aligned} \quad (4.11)$$

for all  $w \in V_0^\varepsilon$ . Then, we define  $d_k^{0\varepsilon} \stackrel{\text{def}}{=} u_k^\varepsilon - \text{Proj}_{V_U^\varepsilon}$  satisfying the following variational formulation:

$$\left\{ \begin{array}{l} d^{0\varepsilon} \in V_0^\varepsilon \text{ and for all } w \in V_0^\varepsilon, \\ \int_{\Omega_f^\varepsilon} \alpha_f \nabla d^{0\varepsilon} \cdot \nabla w_f dx + \int_{\Omega_m^\varepsilon} \alpha_m \nabla d^{0\varepsilon} \cdot \nabla w_m dx + \int_{\Omega_f^\varepsilon} (\alpha_f - \alpha_m) \nabla \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0) \cdot \nabla w_f dx = 0. \end{array} \right. \quad (4.12)$$

It is also convenient to introduce the following functional spaces:

$$V_{\log} \stackrel{\text{def}}{=} \{v \in \tilde{V}_{\log} : v|_{\Omega^1} \in Q^1\} \quad \text{and} \quad V_{\log}^{R^0} \stackrel{\text{def}}{=} \left\{ v \in V_{\log} : \int_{-\pi}^{\pi} v(R^0 \cos(\theta), R^0 \sin(\theta)) d\theta = 0 \right\}.$$

Notice that the first order corrector  $W^0$  for the  $P_1$  approximation is obtained by replacing  $\text{Proj}_{V_U^\varepsilon}(\tilde{u}^0)$  in (4.12) by  $\tilde{u}^0$  and proceeding as in Section 3, we get

$$\left\{ \begin{array}{l} W^0 \in V_{\log} \text{ and for all } w \in V_{\log}^{R^0}, \\ \int_{\Omega_f^1} (\alpha_f - \alpha_m) \nabla \tilde{u}^0(0) \cdot \nabla w_f dy + \int_{\Omega_f^1} \alpha_f \nabla W_f^0 \cdot \nabla w_f dy + \int_{\Omega_\infty} \alpha_m \nabla W_m^0 \cdot \nabla w_m dy = 0. \end{array} \right. \quad (4.13)$$

Choosing  $w$  such that  $w \in V_0^\varepsilon$  holds and its extension vanishes, it comes from (4.13) that

$$\begin{aligned} & \int_{\Omega_f^\varepsilon} \alpha_f \nabla \left( \varepsilon W_f^0 \left( \frac{\cdot}{\varepsilon} \right) \right) \cdot \nabla w_f \, dx + \int_{\Omega_m^\varepsilon} \alpha_m \nabla \left( \varepsilon W_m^0 \left( \frac{\cdot}{\varepsilon} \right) \right) \cdot \nabla w_m \, dx \\ & + \int_{\Omega_f^\varepsilon} (\alpha_f - \alpha_m) \nabla \tilde{u}^{(0)}(0) \cdot \nabla w_f \, dx = 0 \end{aligned} \quad (4.14)$$

for all  $w \in V_0^\varepsilon$ . Setting  $d^{1\varepsilon} \stackrel{\text{def}}{=} u^\varepsilon - \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0) - \varepsilon W^0 \left( \frac{\cdot}{\varepsilon} \right)$ , we have

$$\begin{cases} d^{1\varepsilon} \in V^\varepsilon \text{ and for all } w \in V_0^\varepsilon, \\ \int_{\Omega_f^\varepsilon} \alpha_f \nabla d^{1\varepsilon} \cdot \nabla w_f \, dx + \int_{\Omega_m^\varepsilon} \alpha_m \nabla d^{1\varepsilon} \cdot \nabla w_m \, dx \\ + \int_{\Omega_f^\varepsilon} (\alpha_f - \alpha_m) (\nabla \tilde{u}^{(0)}(0) - \nabla \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0)) \cdot \nabla w_f \, dx = 0, \\ \text{with } d^{1\varepsilon} = -\varepsilon W^0 \left( \frac{\cdot}{\varepsilon} \right) \text{ on } \Gamma. \end{cases} \quad (4.15)$$

**Lemma 4.2.** *There exists a constant  $C > 0$ , independent of  $\varepsilon$ , such that*

$$\|d^{1\varepsilon}\|_{H^1(\Omega)} \leq C\varepsilon^2. \quad (4.16)$$

*Proof.* The strategy adopted here is quite similar to the one developed in the proof of Lemma 3.1. First, we may observe that

$$\|d^{1\varepsilon}\|_{H^1(\Omega)} \leq C \left( \left\| \nabla \tilde{u}^{(0)}(0) - \nabla \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0) \right\|_{L^2(\Omega_f^\varepsilon)} + \varepsilon \left\| W^0 \left( \frac{\cdot}{\varepsilon} \right) \right\|_{H^{1/2}(\Gamma)} \right)$$

Arguing as for  $\tilde{W}^0$  introduced in Section 3,  $W^0$  is an harmonic function on  $\Omega^\infty$  and satisfies the following identity:

$$W^0 \left( \frac{x}{\varepsilon} \right) = \sum_{n \geq 1} \left( \frac{\varepsilon R^0}{r} \right)^n (a_n \cos(n\theta) + b_n \sin(n\theta)). \quad (4.17)$$

Therefore, we have

$$\|d^{1\varepsilon}\|_{H^1(\Omega)} \leq C \left( \left\| \nabla \tilde{u}^{(0)}(0) - \nabla \tilde{u}^{(0)} \right\|_{L^2(\Omega_f^\varepsilon)} + \left\| \nabla (\tilde{u}^{(0)} - \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0)) \right\|_{L^2(\Omega_f^\varepsilon)} \right) + C\varepsilon^2, \quad (4.18)$$

and we may conclude the proof  $\square$

### 4.3 Second order asymptotic expansion for the approximated problem

We exhibit the second order asymptotic expansion for the approximated problem. Namely, we assume that  $P_2(\Omega_f^\varepsilon) \subset Q^\varepsilon$  where  $P_2(\Omega_f^\varepsilon)$  denotes the space of polynomials of degree less or equal to 2 on  $\Omega_f^\varepsilon$ . Let us define the following spaces:

$$V_v \stackrel{\text{def}}{=} \{v \in H^1(\Omega) : v|_\Gamma = -\frac{R^0}{r} (a_1 \cos(\theta) + b_1 \sin(\theta))\} \quad \text{and} \quad V_v^\varepsilon \stackrel{\text{def}}{=} \{v \in V_v : v|_{\Omega_f^\varepsilon} \in Q^\varepsilon\}.$$

On the one hand, let  $v$  be the solution to the following problem:

$$\begin{cases} \text{Find } v \in V_v \text{ such that for all } w \in H_0^1(\Omega), \\ \int_{\Omega} \alpha_m \nabla v \cdot \nabla w \, dx = 0. \end{cases} \quad (4.19)$$

On the other hand, let  $W^1$  be a corrector defined by a scaled form of (4.13) in a dilated geometry satisfying

$$\begin{cases} W^1 \in V_{\log}^{R^0} \text{ and for all } w \in V_{\log}, \\ \int_{\Omega_f^1} (\alpha_f - \alpha_m) \mathcal{H}_{\tilde{u}^0}(0) y \cdot \nabla w_f \, dy + \int_{\Omega_f^1} \alpha_f \nabla W_f^1 \cdot \nabla w_f \, dy + \int_{\Omega^\infty} \alpha_m \nabla W_m^1 \cdot \nabla w_m \, dy = 0. \end{cases} \quad (4.20)$$

Choosing  $w \in V_0^\varepsilon$ , we get

$$\begin{aligned} & \int_{\Omega_f^\varepsilon} \alpha_f \nabla \left( \varepsilon^2 W_f^1 \left( \frac{\cdot}{\varepsilon} \right) \right) \cdot \nabla w_f \, dx + \int_{\Omega_m^\varepsilon} \alpha_m \nabla \left( \varepsilon^2 W_m^1 \left( \frac{\cdot}{\varepsilon} \right) \right) \cdot \nabla w_m \, dx \\ & + \int_{\Omega_f^\varepsilon} (\alpha_f - \alpha_m) \mathcal{H}_{\tilde{u}^0}(0) x \cdot \nabla w_f \, dx = 0. \end{aligned} \quad (4.21)$$

for all  $w \in V_0^\varepsilon$ . Setting  $d^{2\varepsilon} = u^\varepsilon - \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0) - \varepsilon W^0(\frac{\cdot}{\varepsilon}) - \varepsilon^2 W^1(\frac{\cdot}{\varepsilon}) - \varepsilon^2 \text{Proj}_{V_V^\varepsilon}(v)$ . We may deduce from (3.16), (4.22), (4.12) and (4.1) that

$$\begin{cases} d^{2\varepsilon} \in V_U^\varepsilon \text{ and for all } w \in V_\varepsilon^0, \\ \int_{\Omega_f^\varepsilon} (\alpha_f - \alpha_m) \left( \nabla \text{Proj}_{V_U^\varepsilon} \tilde{u}^0 - \nabla \tilde{u}^{(0)}(0) - \mathcal{H}_{\tilde{u}^0}(0) x - \varepsilon^2 \nabla v \right) \cdot \nabla w_f \, dx \\ + \int_{\Omega_f^\varepsilon} \alpha_f \nabla d^{2\varepsilon} \cdot \nabla w_f \, dx + \int_{\Omega_m^\varepsilon} \alpha_m \nabla d^{2\varepsilon} \cdot \nabla w_m \, dx = 0, \\ \text{with } d^{2\varepsilon} = -\varepsilon W^0 \left( \frac{\cdot}{\varepsilon} \right) - \varepsilon^2 W^1 \left( \frac{\cdot}{\varepsilon} \right) - \varepsilon^2 \text{Proj}_{V_V^\varepsilon}(v) \text{ on } \Gamma. \end{cases} \quad (4.22)$$

Now proceeding as in Section 3.2, we may easily deduce the following lemma:

**Lemma 4.3.** *There exists a constant  $C > 0$ , independent of  $\varepsilon$ , such that*

$$\|d^{2\varepsilon}\|_{H^1(\Omega)} \leq C\varepsilon^3. \quad (4.23)$$

## 5 Error estimate for the thick-point approximation

The asymptotic expansion of the two preceeding sections allow us to give some estimates on the thick-point approximation order. We treat several cases depending on the choice of the finite dimensional space  $Q^\varepsilon$ . We begin with the polynomial spaces  $P_1(\Omega_f^\varepsilon)$  and  $P_2(\Omega_f^\varepsilon)$ . Then, we enrich these two spaces with a few functions which span the correctors  $\widetilde{W}^0$  solution to (3.6) and  $\widetilde{W}^1$  solution to (3.15). More precisely, let

$$\widetilde{W}^{0,0} \text{ and } \widetilde{W}^{0,1},$$

be the solutions to (3.6) for  $\nabla \tilde{u}^0(0) = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $\nabla \tilde{u}^0(0) = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ , respectively, and consider

$$\mathbf{Q}_1^\varepsilon \stackrel{\text{def}}{=} \text{Span} \left\{ P_1(\Omega_f^\varepsilon), \widetilde{W}^{0,0} \left( \frac{\cdot}{\varepsilon} \right) \Big|_{\Omega_f^\varepsilon}, \widetilde{W}^{0,1} \left( \frac{\cdot}{\varepsilon} \right) \Big|_{\Omega_f^\varepsilon} \right\}. \quad (5.1)$$

Similarly, noting that  $\text{Trace}(\mathcal{H}_{\tilde{u}^0}(0)) = 0$  since  $\tilde{u}^0$  is a harmonic function, let also

$$\widetilde{W}^{1,0} \text{ and } \widetilde{W}^{1,1},$$

be the solutions to (3.15) for  $\mathcal{H}_{\tilde{u}^0}(0) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ , and  $\mathcal{H}_{\tilde{u}^0}(0) = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ , respectively, and consider

$$\mathbf{Q}_2^\varepsilon = \text{Span} \left\{ P_2(\Omega_f^\varepsilon), \widetilde{W}^{0,0} \left( \frac{\cdot}{\varepsilon} \right) \Big|_{\Omega_f^\varepsilon}, \widetilde{W}^{0,1} \left( \frac{\cdot}{\varepsilon} \right) \Big|_{\Omega_f^\varepsilon}, \widetilde{W}^{1,0} \left( \frac{\cdot}{\varepsilon} \right) \Big|_{\Omega_f^\varepsilon}, \widetilde{W}^{1,1} \left( \frac{\cdot}{\varepsilon} \right) \Big|_{\Omega_f^\varepsilon} \right\}. \quad (5.2)$$

Obviously, the restriction on  $\Omega_f^\varepsilon$  of any  $\widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right)$  solution to (3.6) belong to  $\mathbf{Q}_1^\varepsilon$  and  $\mathbf{Q}_2^\varepsilon$  and the restriction on  $\Omega_f^\varepsilon$  of any  $\widetilde{W}^1 \left( \frac{\cdot}{\varepsilon} \right)$  solution to (3.15) into  $\mathbf{Q}_2^\varepsilon$ . We introduce functionals  $|\cdot|_{1,\Omega}$  on  $L^2(\Omega)$  as follows:

$$|u|_{1,\Omega} \stackrel{\text{def}}{=} \|\nabla u\|_{L^2(\Omega)}.$$

When using only  $P^1(\Omega_f^\varepsilon)$ , we can set the following result.

**Lemma 5.1.** *If  $P^1(\Omega_f^\varepsilon) \subset \mathbf{Q}^\varepsilon$ , then there exists a constant  $C > 0$  such that:*

$$\|\tilde{u}^\varepsilon - u^\varepsilon\|_{H^1(\Omega)} \leq C\varepsilon. \quad (5.3)$$

*Proof.* Observe first that

$$\tilde{u}^\varepsilon - u^\varepsilon = \tilde{u}^0 + \varepsilon \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) + \tilde{d}^{1\varepsilon} - \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0) + \varepsilon W^0 \left( \frac{\cdot}{\varepsilon} \right) + d^{1\varepsilon}.$$

Since both  $\|\tilde{d}^{1\varepsilon}\|_{H^1(\Omega)}$  and  $\|d^{1\varepsilon}\|_{H^1(\Omega)}$  are  $\mathcal{O}(\varepsilon^2)$ , using the fact that  $\tilde{u}^\varepsilon - u^\varepsilon \in H_0^1(\Omega)$  and the equivalence of the norm and semi-norm in  $H_0^1(\Omega)$ , we obtain

$$\|\tilde{u}^\varepsilon - u^\varepsilon\|_{H^1(\Omega)} \leq C \left( \left\| \tilde{u}^0 - \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0) \right\|_{H^1(\Omega)} + \varepsilon \left| \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) - W^0 \left( \frac{\cdot}{\varepsilon} \right) \right|_{1,\Omega} + \varepsilon^2 \right).$$

The difference  $\left\| \tilde{u}^0 - \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0) \right\|_{H^1(\Omega)}$  is  $\mathcal{O}(\varepsilon^2)$  according to Lemma 4.1 and since  $P_1(\Omega_f^\varepsilon) \subset \mathbf{Q}^\varepsilon$ . Finally, using  $\widetilde{W}^0 \in V_{\log}^{R^0}$  and  $\widetilde{W}^0 \in \widetilde{V}_{\log}^{R^0}$  the evaluation of  $\left| \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) - W^0 \left( \frac{\cdot}{\varepsilon} \right) \right|_{1,\Omega}$  leads to

$$\left| \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) - W^0 \left( \frac{\cdot}{\varepsilon} \right) \right|_{1,\Omega}^2 \leq \int_{\frac{\Omega}{\varepsilon}} \left| \frac{1}{\varepsilon} \nabla (\widetilde{W}^0 - W^0) \right|^2 \frac{1}{\varepsilon} dy = \int_{\mathbb{R}^2} \left| \nabla (\widetilde{W}^0 - W^0) \right|^2 dy \leq C,$$

which ends the proof.  $\square$



Clearly, the fact that the term  $\left| \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) - W^0 \left( \frac{\cdot}{\varepsilon} \right) \right|_{1,\Omega}$  is limited to being  $\mathcal{O}(\varepsilon)$  is a source of non-optimality. Furthermore, this estimate is independent of both  $\varepsilon$  and  $Q^\varepsilon$ . This indicates that the best possible estimate when  $Q^\varepsilon = P^2(\Omega_f^\varepsilon)$  is not better than  $\|\tilde{u}^\varepsilon - u^\varepsilon\|_{H^1(\Omega)} = \mathcal{O}(\varepsilon)$ . We can improve this by using  $Q_1^\varepsilon$  and  $Q_2^\varepsilon$  as follows.

**Lemma 5.2.** *If  $Q_1^\varepsilon \subset Q^\varepsilon$  then there exists  $C > 0$  such that:*

$$\|\tilde{u}^\varepsilon - u^\varepsilon\|_{H^1(\Omega)} \leq C\varepsilon^2$$

*Proof.* Clearly, when  $Q_1^\varepsilon \subset Q^\varepsilon$ , we have  $\widetilde{W}^0 \in V_{\log}^{R^0}$ , so that  $\widetilde{W}^0 = W^0$ . Consequently, the term  $\left| \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) - W^0 \left( \frac{\cdot}{\varepsilon} \right) \right|_{1,\Omega}$  is vanishing which gives the result, following the proof of Lemma 5.1.  $\square$

**Lemma 5.3.** *If  $Q_2^\varepsilon \subset Q^\varepsilon$  then there exists  $C > 0$  such that:*

$$\|\tilde{u}^\varepsilon - u^\varepsilon\|_{H^1(\Omega)} \leq C\varepsilon^3. \quad (5.4)$$

*Proof.* Using the order two expansion, we have

$$\begin{aligned} \tilde{u}^\varepsilon - u^\varepsilon &= \tilde{u}^0 + \varepsilon \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) + \varepsilon^2 \widetilde{W}^1 \left( \frac{\cdot}{\varepsilon} \right) + \varepsilon^2 \tilde{v} + \tilde{d}^{2\varepsilon} \\ &\quad - \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0) - \varepsilon W^0 \left( \frac{\cdot}{\varepsilon} \right) - \varepsilon^2 W^1 \left( \frac{\cdot}{\varepsilon} \right) - \varepsilon^2 (\text{Proj}_{V_v^\varepsilon} v) - d^{2\varepsilon}. \end{aligned}$$

We established that both  $\|\tilde{d}^{2\varepsilon}\|_{H^1(\Omega)}$  and  $\|d^{2\varepsilon}\|_{H^1(\Omega)}$  are  $\mathcal{O}(\varepsilon^3)$ . Now, since  $Q_2^\varepsilon \subset Q^\varepsilon$ , both  $\widetilde{W}^0$  and  $\widetilde{W}^1$  belong to  $V_{\log}^{R^0}$ , so that  $\widetilde{W}^0 = W^0$  and  $\widetilde{W}^1 = W^1$ . Moreover,  $\widetilde{W}^0 = W^0$  implies also  $v = \tilde{v}$ . Consequently, we get

$$\|\tilde{u}^\varepsilon - u^\varepsilon\|_{H^1(\Omega)} \leq C \left( \left\| \tilde{u}^0 - \text{Proj}_{V_U^\varepsilon}(\tilde{u}^0) \right\|_{H^1(\Omega)} + \left\| \tilde{v} - \text{Proj}_{V_v^\varepsilon}(\tilde{v}) \right\|_{H^1(\Omega)} + \varepsilon^3 \right).$$

Using Lemma 4.1 for both  $\tilde{u}^0$  and  $\tilde{v}$  and since  $P_2(\Omega_f^\varepsilon) \subset Q^\varepsilon$  we deduce the desired result.  $\square$

It is also possible to recover an optimal estimate using a norm on a crown-shaped domain excluding a small zone around the inclusion. For an arbitrary fixed  $r > 0$ , we establish the following result.

**Lemma 5.4.** *For  $r > 0$  small enough and  $P^1(\Omega_f^\varepsilon) \subset Q^\varepsilon$ , there exist a constant  $C > 0$  such that*

$$\|\tilde{u}^\varepsilon - u^\varepsilon\|_{H^1(\Omega \setminus \mathbb{B}(O,r))} \leq C\varepsilon^2.$$

*Proof.* Following the proof of Lemma 5.1, we still obtain

$$\|\tilde{u}^\varepsilon - u^\varepsilon\|_{H^1(\Omega \setminus \mathbb{B}(O,r))} \leq C \left( \varepsilon \left| \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) - W^0 \left( \frac{\cdot}{\varepsilon} \right) \right|_{1,\Omega \setminus \mathbb{B}(O,r)} + \varepsilon^2 \right).$$

We conclude by using the expansions of  $\widetilde{W}^0$  and  $W^0$  given by (3.10) and (4.17), respectively, and by obtaining

$$\left| \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) - W^0 \left( \frac{\cdot}{\varepsilon} \right) \right|_{1,\Omega \setminus \mathbb{B}(O,r)}^2 = \int_{\Omega \setminus \mathbb{B}(O,r)} \left| \nabla \left( \widetilde{W}^0 \left( \frac{\cdot}{\varepsilon} \right) - W^0 \left( \frac{\cdot}{\varepsilon} \right) \right) \right|^2 dx \leq C\varepsilon^2.$$

$\square$

## 6 Special case of the circular inclusion

If we consider the special case of a circular inclusion, it is possible to give the explicit expression of the first and second order correctors  $\widetilde{W}^0$  and  $\widetilde{W}^1$ . Indeed, from the weak form (3.6) and (3.15), integrating by part and using the fact that  $\text{Trace}(\mathcal{H}_{\widetilde{u}^0}(0)) = 0$  since  $\widetilde{u}^0$  is a harmonic function, they are solution to the following strong problem for  $i \in \{0, 1\}$ :

$$-\alpha_f \Delta \widetilde{W}_f^i = 0 \text{ in } \Omega_f^1, \quad (6.1a)$$

$$-\alpha_m \Delta \widetilde{W}_m^i = 0 \text{ in } \Omega^\infty, \quad (6.1b)$$

$$\widetilde{W}_f^i = \widetilde{W}_m^i \text{ on } \Gamma^1, \quad (6.1c)$$

$$\alpha_f \partial_{\mathbf{n}} \widetilde{W}_f^i = \alpha_m \partial_{\mathbf{n}} \widetilde{W}_m^i + \phi^i \text{ on } \Gamma^1, \quad (6.1d)$$

$$\int_{-\pi}^{\pi} \widetilde{W}_m^i(R^0 \cos(\theta), R^0 \sin(\theta)) d\theta = 0, \quad (6.1e)$$

with  $\phi^0 \stackrel{\text{def}}{=} \nabla \widetilde{u}^0(0) \cdot \begin{pmatrix} \cos(\theta) \\ \sin(\theta) \end{pmatrix}$  and  $\phi^1 \stackrel{\text{def}}{=} \left( \mathcal{H}_{\widetilde{u}^0}(0) \begin{pmatrix} \cos(\theta) \\ \sin(\theta) \end{pmatrix} \right) \cdot \begin{pmatrix} \cos(\theta) \\ \sin(\theta) \end{pmatrix}$ . The domain  $\Omega_f^1$  being the unit disk in that case, and taking into account (6.1e) and the continuity condition (6.1c), we can expand  $\widetilde{W}^0$  and  $\widetilde{W}^1$  as follows for  $i \in \{0, 1\}$ :

$$\begin{aligned} \widetilde{W}_f^i(y) &= \sum_{n=1}^{+\infty} r^n (\widetilde{a}^{i,n} \cos(n\theta) + \widetilde{b}^{i,n} \sin(n\theta)), \\ \widetilde{W}_m^i(y) &= \sum_{n=1}^{+\infty} r^{-n} (\widetilde{a}^{i,n} \cos(n\theta) + \widetilde{b}^{i,n} \sin(n\theta)). \end{aligned}$$

Concerning  $\widetilde{W}^0$  and denoting  $\kappa \stackrel{\text{def}}{=} \frac{\alpha_m - \alpha_f}{\alpha_m + \alpha_f}$ , the condition (6.1d) implies

$$\begin{pmatrix} \widetilde{a}^{0,1} \\ \widetilde{b}^{0,1} \end{pmatrix} = \kappa \nabla \widetilde{u}^0(0), \quad \widetilde{a}^{0,n} = \widetilde{b}^{0,n} = 0, \quad \text{for } n \geq 2,$$

and denoting  $\mathcal{H}_{\widetilde{u}^0}(0) = \begin{pmatrix} h_{11} & h_{12} \\ h_{12} & h_{22} \end{pmatrix}$ , it implies for  $\widetilde{W}^1$ :

$$\widetilde{a}^{1,2} = \frac{\kappa}{2}(h_{11} - h_{22}), \quad \widetilde{b}^{1,2} = \kappa h_{12}, \quad \widetilde{a}^{0,n} = \widetilde{b}^{0,n} = 0, \quad \text{for } n \neq 2.$$

This leads to the following expression of  $\widetilde{W}^0$  and  $\widetilde{W}^1$ :

$$\begin{aligned} \widetilde{W}_f^0(y) &= \kappa \nabla \widetilde{u}^0(0) \cdot y, \quad \widetilde{W}_m^0(y) = \frac{1}{|y|^2} \kappa \nabla \widetilde{u}^0(0) \cdot y, \\ \widetilde{W}_f^1(y) &= \kappa((h_{11} - h_{22})(y_1^2 - y_2^2) + 2h_{12}y_1y_2), \quad \widetilde{W}_f^1(y) = \frac{1}{|y|^2} \kappa((h_{11} - h_{22})(y_1^2 - y_2^2) + 2h_{12}y_1y_2). \end{aligned}$$

It is to be noted that  $\widetilde{W}_f^0 \left( \frac{\cdot}{\varepsilon} \right) \in P_1(\Omega_f^\varepsilon)$  and  $\widetilde{W}_f^1 \left( \frac{\cdot}{\varepsilon} \right) \in P_2(\Omega_f^\varepsilon)$ . This means that, with reference to the notations of Section 5,  $Q_1^\varepsilon = P_1(\Omega_f^\varepsilon)$  and  $Q_2^\varepsilon = P_2(\Omega_f^\varepsilon)$ , which, thanks to Lemmas 5.2 and 5.3, leads to the following result, meaning that the optimality is reached:

**Lemma 6.1.** *In the case of a circular inclusion, if  $P_1(\Omega_f^\varepsilon) \subset Q^\varepsilon$ , then there exists  $C > 0$  such that*

$$\|\tilde{u}^\varepsilon - u^\varepsilon\|_{H^1(\Omega)} \leq C\varepsilon^2,$$

*and if  $P_2(\Omega_f^\varepsilon) \subset Q^\varepsilon$ , then there exists  $C > 0$  such that*

$$\|\tilde{u}^\varepsilon - u^\varepsilon\|_{H^1(\Omega)} \leq C\varepsilon^3.$$

## 7 Strong form of the thick–point approximation in the case $Q^\varepsilon = P_1(\Omega_f^\varepsilon)$

We introduced the thick–point approximation in Section 4 in a weak form only. It is of course possible to deduce a strong form of the corresponding problem. The aim of this section is to give the strong form when  $Q^\varepsilon = P_1(\Omega_f^\varepsilon)$ . Since in that case  $u_f^\varepsilon$  belongs to  $P_1(\Omega_f^\varepsilon)$ , it comes that there exists  $(a_f^\varepsilon, b_f^\varepsilon, c_f^\varepsilon) \in \mathbb{R}^3$  such that

$$u_f^\varepsilon(x_1, x_2) = a_f^\varepsilon x_1 + b_f^\varepsilon x_2 + c_f^\varepsilon.$$

According to Green’s formulae, we may deduce from (4.1) that for all  $w \in V_U^\varepsilon$ , we have

$$\alpha_f \nabla u_f^\varepsilon \cdot \nabla w_f \mu(\Omega_f^\varepsilon) + \int_{\Omega_m^\varepsilon} \alpha_m \Delta u_m^\varepsilon w_m \, dx + \int_{\Gamma^\varepsilon} \alpha_m \partial_{\mathbf{n}} u_m^\varepsilon w_m \, ds = 0. \quad (7.1)$$

Since  $w \in V_0^\varepsilon$ , we may infer that  $w_f = w_m$  and (7.1) can be rewritten as follows:

$$\alpha_f \nabla u_f^\varepsilon \cdot \nabla w_f \mu(\Omega_f^\varepsilon) + \int_{\Omega_m^\varepsilon} \alpha_m \Delta u_m^\varepsilon w_m \, dx + \int_{\Gamma^\varepsilon} \alpha_m \partial_{\mathbf{n}} u_m^\varepsilon w_f \, ds = 0. \quad (7.2)$$

In the case where  $w_f = 0$ , for all  $w \in V_0^\varepsilon$ , we get

$$\int_{\Omega_m^\varepsilon} \alpha_m \Delta u_m^\varepsilon w_m \, dx = 0,$$

which implies that

$$\Delta u_m^\varepsilon = 0 \text{ a.e. on } \Omega_m^\varepsilon. \quad (7.3)$$

Clearly, we may deduce from (7.2) and (7.3) that

$$a_f^\varepsilon = -\frac{\alpha_m}{\alpha_f \mu(\Omega_f^\varepsilon)} \int_{\Gamma^\varepsilon} \partial_{\mathbf{n}} u_m^\varepsilon x_1 \, ds \quad \text{and} \quad b_f^\varepsilon = -\frac{\alpha_m}{\alpha_f \mu(\Omega_f^\varepsilon)} \int_{\Gamma^\varepsilon} \partial_{\mathbf{n}} u_m^\varepsilon x_2 \, ds. \quad (7.4)$$

While in the case where  $w_f = 1$ , we find

$$\int_{\Gamma^\varepsilon} \alpha_m \partial_{\mathbf{n}} u_m^\varepsilon \, ds = 0 \quad (7.5)$$

According to (7.4) and (7.5), we deduce  $u^\varepsilon$  satisfies the following problem:

$$\begin{aligned} \alpha_m \Delta u_m^\varepsilon &= 0 \text{ on } \Omega_m^\varepsilon, \\ u_m^\varepsilon &= u_f^\varepsilon \text{ on } \Gamma^\varepsilon, \\ u_f^\varepsilon(x_1, x_2) &= -\frac{\alpha_m}{\alpha_f \mu(\Omega_f^\varepsilon)} \left( x_1 \int_{\Gamma^\varepsilon} \partial_{\mathbf{n}} u_m^\varepsilon x_1 \, ds + x_2 \int_{\Gamma^\varepsilon} \partial_{\mathbf{n}} u_m^\varepsilon x_2 \, ds \right) \text{ in } \Omega_f^\varepsilon, \\ \int_{\Gamma^\varepsilon} \alpha_m \partial_{\mathbf{n}} u_m^\varepsilon \, ds &= 0 \\ u_m^\varepsilon &= U \text{ on } \Gamma. \end{aligned}$$

## 8 Conclusion

In this paper, we presented an approximation model for a small inclusion in an elastic medium, in antiplane displacement, the *thick-point approximation*, which consists in approximating the displacement in the inclusion in a finite dimensional space. In order to justify its relevance, we have presented an asymptotic analysis of the initial model, derived from [5], as well as an asymptotic analysis of the approximated model. These two analyses allowed us to derive an error analysis between the initial model and the approximated one and allowed us to distinguish between completely optimal cases and non-optimal cases. Specifically, the first and second degree polynomial approximation was not found optimal in the general case with only a convergence in  $\mathcal{O}(\varepsilon)$ . Optimality (i.e.  $\mathcal{O}(\varepsilon^2)$  and  $\mathcal{O}(\varepsilon^3)$  convergence respectively) was obtained with the enrichment of the polynomial spaces with a few solutions to the equations defining the correctors. A notable exception is the case of the circular inclusion where the optimality is reached without enrichment.

One of the main perspectives of this work, apart from the fact that it should easily extend to the case of plane elasticity as in [5], is to derive optimal numerical methods for the account of small inclusions in media at the lowest computational cost.

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