



HAL
open science

Latitudinal Dependence of the Kelvin-Helmholtz Instability and Beta Dependence of Vortex-Induced High-Guide Field Magnetic Reconnection

Y. Vernisse, B. Lavraud, M. Faganello, S. Fadanelli, M. Sisti, F. Califano, S. Eriksson, D. Gershman, J. Dorelli, C. Pollock, et al.

► **To cite this version:**

Y. Vernisse, B. Lavraud, M. Faganello, S. Fadanelli, M. Sisti, et al.. Latitudinal Dependence of the Kelvin-Helmholtz Instability and Beta Dependence of Vortex-Induced High-Guide Field Magnetic Reconnection. *Journal of Geophysical Research Space Physics*, 2020, 125 (5), pp.e2019JA027333. 10.1029/2019JA027333 . hal-02886367

HAL Id: hal-02886367

<https://hal.science/hal-02886367>

Submitted on 2 Jul 2020

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

1 Latitudinal dependence of the Kelvin-Helmholtz instability and 2 beta dependence of vortex-induced high-guide field magnetic 3 reconnection

4
5 Y. Vernisse¹, B. Lavraud¹, M. Faganello², S. Fadanelli^{1,3}, M. Sisti^{2,3}, F. Califano³, S. Eriksson⁴, D. J. Gershman^{5,6}, J.
6 Dorelli⁵, C. Pollock⁵, B. Giles⁵, L. Avanov^{5,6}, J. Burch⁷, J. Dargent³, R. E. Ergun⁴, C. J. Farrugia⁸, V. Génot¹, H.
7 Hasegawa⁹, C. Jacquety¹, I. Kacem¹, R. Kieokaew¹, M. Kuznetsova⁵, T. Moore⁵, T. Nakamura¹⁰, W. Paterson⁵, E.
8 Penou¹, T. D. Phan¹¹, C. T. Russell¹², Y. Saito⁹, J.-A. Sauvaud¹, and S. Toledo-Redondo¹

9
10
11 1 Institut de Recherche en Astrophysique et Planétologie, CNRS, UPS, CNES, Université Paul Sabatier, Toulouse,
12 France

13 2 Laboratoire de Physique des Interactions Ioniques et Moléculaires, Université Aix-Marseille, Marseille, France

14 3 Physics department, University of Pisa, Pisa, Italy

15 4 University of Colorado / Laboratory for Atmospheric & Space Physics, Boulder, CO

16 5 NASA Goddard Space Flight Center, Greenbelt, MD

17 6 University of Maryland, College Park, MD

18 7 Southwest Research Institute, San Antonio, TX

19 8 University of New Hampshire, Durham, NH

20 9 Institute of Space and Astronautical Science, JAXA, Sagamihara, Japan

21 10 Space Research Institute, Austrian Academy of Sciences, Graz, Austria

22 11 Space Sciences Laboratory, Berkeley, CA

23 12 University of California, Los Angeles, CA

24 25 **Abstract**

26 We investigate both large- and small-scale properties of a Kelvin-Helmholtz (KH) event at the dusk flank
27 magnetopause using Magnetospheric Multiscale (MMS) observations on 8 September 2015. We first use
28 two types of 3D simulations (global and local) to demonstrate that MMS is close to the most KH unstable
29 region, and so the occurrence of vortex-induced reconnection may be expected. Because they produce
30 low-shear current sheets, KH vortices constitute a perfect laboratory to investigate magnetic
31 reconnection with large guide field and low asymmetry. Recent works suggest that magnetic
32 reconnection may be suppressed when a current sheet combines large guide field and pressure gradient
33 (which induces a diamagnetic drift). We thus perform a statistical analysis of high-resolution data for the
34 69 KH-induced low-shear magnetic reconnection events observed on that day. We find that the
35 suppression mechanism is not at work for most of the observed reconnecting current sheets, as
36 predicted, but we also find that almost all non-reconnecting current sheets should be reconnecting
37 according to this model. This confirms the fact that the model provides a necessary but not sufficient
38 condition for reconnection to occur. Finally, based on the same dataset, we study the latitudinal
39 distribution of these magnetic reconnection events combined with global magnetospheric modelling. We
40 find that reconnection associated with KH vortices occurs over a significant range of latitudes at the flank
41 magnetopause. It is not confined to the plane where the growth rate is maximum, in agreement with
42 recent 3D simulations.

43

44 **Keywords**

45 Earth's magnetosphere, space physics, Kelvin-Helmholtz instability, magnetic reconnection

46

47 **Keypoints**

- 48 • We use two types of global simulations to locate the KH unstable region at the magnetopause
49 and determine its dynamics.
- 50 • We test the diamagnetic-drift reconnection suppression condition over 69 very-low shear
51 current sheets and find an overall agreement.
- 52 • We report the broad latitudinal extent of magnetic reconnection locations triggered by KH
53 vortices, consistent with bi-fluid 3D simulations.

54

55 **1 Introduction**

56 1.1 Kelvin-Helmholtz instability and magnetic reconnection

57 The Kelvin-Helmholtz instability, which develops in the presence of a sufficient velocity shear between
58 two fluids, has been studied in space plasma physics for several decades [Chandrasekhar, 1961; Miura &
59 Pritchett, 1982]. It has been extensively investigated using both observations [e.g. Fairfield et al., 2000;
60 Nykyri et al., 2006; Foullon et al., 2010] and numerical simulations in different plasma regimes adopting
61 fluid or kinetic approaches [e.g. Miura, 1995; Wilber and Winglee, 1995; Otto and Fairfield, 2000;
62 Nakamura and Fujimoto, 2005; McNally et al., 2012]. The recent review paper by Faganello and Califano
63 [2017] outlines past and recent works on the KHI. Recent advances have shown the importance of KH
64 occurrence at the Earth's magnetopause [Kavosi and Reader, 2015; Lin et al., 2014] and many works
65 have advocated for its role in plasma transfer at the magnetopause [e.g. Nykyri et al., 2017; Ma et al.,
66 2017]. Through magnetic field entanglement and torsion, the KH instability provides favorable conditions
67 for the triggering of magnetic reconnection. In particular, evidences for magnetic reconnection induced
68 by a KH instability have been shown to occur within the vortices [Hasegawa et al., 2009; Eriksson et al.,
69 2016; Li et al., 2016] as well as away from the vortices [Takagi et al., 2006]. Reconnection is notably
70 triggered at the northern and southern edges of the vortices, caused by the propagation of the magnetic
71 field line entanglement [Faganello et al., 2012a; 2012b; Borgogno et al., 2015; Vernisse et al., 2016].

72 Three types, or rather locations, for magnetic reconnection to occur have been identified in relation with
73 KH waves and vortices. Type I magnetic reconnection [Liu and Hu, 1988; Chen et al., 1997; Knoll and
74 Chacon, 2002; Nakamura et al., 2008] is defined as occurring at the compressed current sheet on the
75 sunward face of the KH wave (or trailing edge). Type II reconnection takes place at the leading edge, in
76 the developed vortices of the instability [Frank et al., 1996; Otto and Fairfield, 2000; Faganello et al.,
77 2008; Nakamura et al., 2008], thanks to the interweaving of the magnetic field lines in the more
78 turbulent plasma in this portion of the vortex [Stawarz et al., 2016]. The third type of reconnection is the
79 mid-latitude reconnection scenario, as mentioned above to occur at the northern and southern edges of
80 the vortices. It implies a reconnection process more distant from the equatorial waves or vortices,
81 triggered by the propagation of the magnetic field line torsion in the vortices [Faganello et al., 2012;

82 *Borgogno et al., 2015*]. This scenario has been studied with data from THEMIS [*Faganello et al., 2014*]
83 and MMS [*Vernisse et al., 2016*]. In any case, the KH instability either enhances the pre-existing
84 magnetopause current sheet or creates new current sheets because of its own dynamics, finally leading
85 to the development of very low shear magnetic reconnection events whose signatures may be detected
86 by MMS.

87 Magnetic reconnection is a ubiquitous process that transforms magnetic energy into thermal and kinetic
88 energies. It has been extensively studied in the literature [e.g., *Hesse et al., 2016*; and references
89 therein]. For magnetic reconnection to occur, the change in plasma beta and magnetic shear angle
90 across a current sheet plays a crucial role; it produces a diamagnetic gradient drift that may constitute a
91 suppression mechanism for reconnection [*Swisdak et al., 2003; 2010*]. The vast amount of data gathered
92 throughout the solar system has already largely constrained plasma parameter space for studying this
93 suppression condition for magnetic reconnection. This condition has been tested with success in the
94 solar wind [*Phan et al., 2010; Gosling & Phan, 2013*], the magnetosheath [*Phan et al., 2011*], the Earth's
95 magnetopause [*Phan et al., 2013; Fuselier et al., 2017*] and Saturn's magnetopause [*Masters et al.,*
96 2012]. However, for low beta plasmas ($\beta < 0.1$), the drift-wave instability becomes prominent, and the
97 diamagnetic suppression may be inefficient, as proposed by *Kobayashi et al.* [2014]. More recently, *Liu*
98 *and Hesse* [2016] investigated diamagnetic suppression in the strong drift limit ($\Delta\beta \gg 1$) using a
99 moderate magnetic shear ($\sim 90^\circ$). By separating the effect of the temperature and density in the pressure
100 gradient term, they concluded that an anisotropy in the temperature allows the slippage of the electron
101 motion relative to the magnetic flux, permitting magnetic reconnection to be maintained.

102 Owing to the properties mentioned above, KH waves can be used as a dedicated laboratory to study this
103 suppression mechanism in the high guide field limit, which has only occasionally been investigated so far.
104 We perform here a study of high-resolution data from the MMS mission [*Burch et al., 2015; 2016*]
105 dedicated to the study of magnetic reconnection at the electron scale. Comparing a set of observed
106 current sheets in between KH vortices, we find that the *Swisdak et al.* [2010] criterion properly predicts
107 reconnecting current sheets, but not the non-reconnecting ones, suggesting this model provides a
108 necessary but not sufficient condition for the triggering of magnetic reconnection.

109 The paper is organized as follows. In section 2 we analyze the KH events observed by MMS on 8
110 September 2015 by means of the 3D global MHD simulation code BATS'R'US, provided by NASA's CCMC
111 run on request system (<https://ccmc.gsfc.nasa.gov>). We then highlight the motion of the KH unstable
112 plane relative to the IMF, as observed in earlier studies [*Farrugia et al., 1998*]. In section 3, we
113 investigate the properties of magnetic reconnection inside the KH waves, and in particular the conditions
114 for the suppression of reconnection by diamagnetic drift as theorized by *Swisdak et al.* [2003]. In section
115 4, we combine the 3D MHD simulation and MMS data analysis to conclude on the latitudinal property of
116 magnetic reconnection induced by the KH instability. Conclusions are given in section 5.

117 1.2 Diamagnetic suppression of magnetic reconnection

118 *Swisdak et al.* [2003] proposed that if the diamagnetic drift at a current sheet is faster than the Alfvén
119 velocity associated with the reconnecting magnetic field component, then magnetic reconnection should
120 be suppressed. In order to get such an expression, *Swisdak et al.* [2003] consider a 2.5D equilibrium
121 where all fields are functions of two coordinates, perpendicular to the guide field. First, let us consider
122 that the proper frame (LMN) is a well-determined, right-handed coordinate system, such that M
123 corresponds to the guide field component, N is the component normal to the current sheet, and L is in

124 the direction of the reconnection outflow. Then, *Swisdak et al.* [2003] show that, at the null line, the ion
 125 and electron diamagnetic drift velocities are given by:

$$126 \quad v_j^* = -q_j c \frac{\nabla P_j \times B}{en|B|^2} \Big|_{null\ line} = -q_j c \frac{\partial_N P_j}{enB_M} \Big|_{null\ line} \cong -q_j c \frac{\Delta P_j}{enL_{CS}B_M} \Big|_{null\ line},$$

127 where c and e are the speed of light and the Coulomb charge. The terms q and n stand for the charge
 128 and density, and B is the magnetic field. The subscript j stands for ion or electron. The term ΔP_j
 129 represents the thermal pressure jump across the current sheet. L_{CS} is the half-width of the current sheet.
 130 In principle, if we assume the homogeneity of the thermal pressure and of the plasma properties in
 131 general (i.e., the medium surrounding the x-point varies infinitesimally), the ansatz is valid. Let us focus
 132 on the pressure variation term. Using the pressure tensor expressed in the LMN frame, the N component
 133 of the pressure divergence reads:

$$134 \quad \partial_N P = \partial_L P_{LN} + \partial_N P_{NN} + \partial_M P_{NM}$$

135 Assuming that the off-diagonal terms are negligible, the diamagnetic drift for each species becomes

$$136 \quad v_j^* \cong -q_j c \frac{P_{j,NN}^{sh} - P_{j,NN}^{sp}}{enL_{CS}B_{M,null\ line}},$$

137 where the super-scripts “sh” and “sp” stand for magnetosheath and magnetosphere, respectively. To
 138 express the suppression condition, we have to explicit the ion Alfvén velocity in the direction of the
 139 exhaust (L):

$$140 \quad v_{A,L} = \frac{B_{L,asymptotic}}{\sqrt{4\pi m_i n_{i,null\ line}}}$$

141 Thus, the diamagnetic suppression criteria

$$142 \quad |v_i^*| + |v_e^*| > v_{A,L}$$

143 leads to

$$144 \quad P_{j,NN}^{sh} - P_{j,NN}^{sp} > \frac{2L_{CS}}{d_{i,null\ line}} \frac{B_{M,null\ line} B_{L,asymptotic}}{8\pi} \quad (1)$$

144 where $d_{i,null\ line}$ is the ion inertial length at the null line. Another way of expressing this condition uses the
 145 plasma β on both side of the current sheet versus the magnetic shear angle θ . In order to get such an
 146 expression, from:

$$147 \quad \frac{P_{j,NN}^{sh} - P_{j,NN}^{sp}}{B_{M,null\ line}^2 / 8\pi} = \frac{2L_{CS}}{d_{i,null\ line}} \frac{B_{L,asymptotic}}{B_{M,null\ line}},$$

148 we finally get:

$$149 \quad \Delta \beta_M > \frac{2L_{CS}}{d_{i,null\ line}} \tan \frac{\Theta}{2} \quad (2)$$

149 However, to obtain the last expression one needs to assume that the guide field is constant across the
 150 current sheet. This last expression should be used carefully, in particular because the plasma β must be

151 derived with only the NN component of the pressure tensor, as well as only the M component of the
 152 magnetic field for the magnetic pressure. Furthermore, one may argue that such a definition of a plasma
 153 β does not possess a proper physical meaning and can hardly be interpreted.

154 To complete the picture, the expression often used in the literature [e.g. *Gosling and Phan, 2013;*
 155 *Masters, 2014; 2015*] is as follows

$$\Delta\beta = \frac{2L_{CS}}{d_i} \tan \frac{\theta}{2}, \quad (3)$$

156 where $\Delta\beta$ stands for the difference between the total plasma β on the two sides of the current sheet
 157 and θ is the shear angle between the magnetic fields on the two sides of the current sheet (with no
 158 specific component). This last equation, however, suffers from several simplifications of the suppression
 159 model, as it does not take into account the exact quantities at stake here.

160 In the following section, we briefly investigate the validity of each formulation, and in particular the
 161 validity of equation (3) with respect to the exact expression in equation (1).

162

163 2 Numerical simulations of the event

164 2.1 Global MHD simulation

165 In order to locate properly the Kelvin-Helmholtz instability at the magnetopause, and to compare with
 166 observations, we requested runs of global MHD simulation codes from NASA's Community Coordinated
 167 Modeling Center (CCMC) for the global magnetospheric models BATS'R'US [*Powell et al., 1999*] and
 168 OpenGGCM [*Raeder et al., 2008*]. The simulations were initialized with OMNI data [*King and Papitashvili,*
 169 *2005*], using the time interval during which the Kelvin-Helmholtz instability was observed by the MMS
 170 satellites, on 8 September 2015 between 9:30 and 11:30 UT. We also ran simulations using THEMIS B
 171 data, which was located in the solar wind during the whole event. The results are similar to those using
 172 the OMNI data as shown here. MHD simulations use exclusively a constant IMF B_x at the solar wind
 173 input. The results presented here thus do not properly account for the IMF cone angle. To mitigate this
 174 limitation, we utilize a local 3D bi-fluid simulation in the next section to confirm the locations of KH
 175 growth and reconnection.

176 Results of the simulation runs from BATS'R'US are presented in Figure 1. Results from OpenGGCM are
 177 essentially similar and are not shown here. Figure 1a provides a three-dimensional representation of the
 178 growth rate on the magnetopause surface, as derived from the output of the BATS'R'US simulation run.
 179 We detect the magnetopause from the simulation data using the methodology detailed in Appendix A.
 180 We compute the Kelvin-Helmholtz instability growth rate at the detected magnetopause using the
 181 formula from *Chandrasekhar [1961]* for a discontinuous shear layer:

$$182 \left(\frac{\gamma}{k}\right)^2 = \frac{\rho_{sh}\rho_{sp}}{(\rho_{sh}+\rho_{sp})^2} \left((\Delta\mathbf{U} \cdot \hat{\mathbf{k}})^2 - \frac{1}{\mu_0} \left(\frac{1}{\rho_{sh}} + \frac{1}{\rho_{sp}} \right) \left((\mathbf{B}_{sh} \cdot \hat{\mathbf{k}})^2 + (\mathbf{B}_{sp} \cdot \hat{\mathbf{k}})^2 \right) \right) \quad (4)$$

183 where the term $\Delta\mathbf{U}$ is the differential velocity between the magnetosphere and the magnetosheath. The
 184 terms ρ and \mathbf{B} are the density and magnetic field, while the indices ('sh' and 'sp') stand for
 185 magnetosheath and magnetosphere, taken at one Earth radius on each side of the identified
 186 magnetopause. The distance to the magnetopause chosen to derive the growth rate is arbitrary, taking

187 into account the limited resolution of the simulation. We do not investigate the plasma penetration in
188 the magnetosheath that appears in global MHD simulations [Li et al., 2009].

189 We use the velocity and magnetic field projected in the plane tangent to the magnetopause for each
190 point. We search for $\hat{\mathbf{k}}$ (normalized wave vector) such that it maximizes the above equation. The results
191 are represented on a surface derived from the magnetopause model by Shue et al. [1997] (cf. Appendix
192 A). Negative solutions of the growth rate are ignored and set to zero in Figure 1. The global amplitude of
193 the growth rate is consistent with the amplitude derived from the MMS data, which give $\frac{\gamma}{k} = 135 \text{ km/s}$
194 [Eriksson et al., 2016]. It is worth noticing that the Chandrasekhar [1961] relation (4) neglects any effect
195 due to the finite thickness of the velocity shear layer that reduces the growth rate and stabilizes short
196 wavelengths [see Faganello and Califano, 2017, for further details]. This formula represents a first
197 indication for the magnitude of the growth rate and shows the role of the velocity jump (projected on
198 the wave vector direction) in driving the instability and the stabilizing role of the magnetic tension due to
199 field line distortion when the magnetic field has a component along the flow shear.

200 In Figure 1a, the whole orbit of the MMS spacecraft on 8 September 2015 is plotted in blue, in the GSM
201 coordinate system. We show the equator and the terminator with black lines. The Earth is at the center
202 of the plot. A magnetic field line, derived from the simulation data, connected to the southern
203 hemisphere on one side and connected to the solar wind on the other side is also displayed in red. We
204 will refer to this magnetic field line in the following sections for context. Figures 1b and 1c (1d and 1e)
205 show the growth rate (magnetic field shear) at the identified magnetopause at two simulated times,
206 9:50:00 UT and 11:14:00 UT, respectively. The orientation of the IMF in the ($Y_{\text{GSM}}, Z_{\text{GSM}}$) plane is
207 represented with a white arrow in the plots and the position of the MMS spacecraft (from 9:30 UT to
208 11:30 UT) is represented by black dots in Figures 1b and 1c, and by white dots in Figures 1d and 1e.

209 In Figure 1a, the three dimensional distribution of the growth rate at the magnetopause highlights the
210 large-scale configuration of the KH unstable region at the magnetopause. In Figure 1b, we observe that
211 although the IMF clock angle is low (10°), the dusk part of the KH unstable region is slightly shifted to the
212 southern hemisphere, while the dawn side of the unstable region is shifted towards the northern
213 hemisphere. In accord with Farrugia et al. [1998], this trend is more pronounced in Figure 1c for a clock
214 angle of 30° . On the dusk side of the magnetopause, the most unstable region is shifted towards
215 negative latitudes. This shift of the KH unstable region is consistent with the distribution of magnetic
216 shear angle shown in Figure 1d and 1e. In Figure 1e, the MMS spacecraft were clearly cruising in the
217 minimum magnetic shear angle region. These results highlight that the MMS spacecraft were well
218 located to observe KH waves during the event, for these particular solar wind and IMF conditions.

219 2.2 Local 3D two-fluid simulation

220 We now analyze a local 3D two-fluid (Hall-MHD) simulation of the dusk flank to further demonstrate the
221 KH development and vortex rolling-up during this event, confirming the fact that MMS satellites are well
222 located and consistent with the occurrence of induced reconnection. This simulation starts from a
223 modeled equilibrium, as in Fadanelli et al. [2018] that takes as asymptotic values (far away from the
224 magnetopause) the plasma quantities measured during the event [Eriksson et al., 2016] in the boundary
225 layer (outer magnetosphere) and in the magnetosheath plasma depletion layer (e.g., Wang et al., 2004),
226 respectively. A three-dimensional rendering of the simulation is given in Figure 2b. In this simulation the
227 linear KH growth rate, associated to the equilibrium, gradually decreases as $|z|$ increases from $|z| = 0$,

228 where z is the (signed) distance from the $z = 0$ (coined equatorial plane hereafter), expressed in KH
229 wavelength units ($\lambda_{KH} \approx 12000 \text{ km}$ as given by the observed average period $\approx 60\text{s}$ of KH oscillations
230 and a phase-velocity $\approx 200 \text{ km/s} \geq 1/2 \Delta U$, with $\Delta U \approx 350 \text{ km/s}$ being the observed velocity jump).
231 This is observed in Figure 2a, where the growth rate drops at the box outer boundaries (located at $z =$
232 $\pm 4\lambda_{KH} \approx \pm 48000 \text{ km}$) to a third of its value at $z = 0$.

233 In Figure 2b, we show the simulation results at the beginning of the non-linear phase. KH vortices
234 develop in a wide latitude range across the equatorial plane ($z = 0$). Well-formed rolled-up structures are
235 present from $z = -\lambda_{KH} \approx -12000 \text{ km}$ to $z = +3\lambda_{KH} \approx 36000 \text{ km}$, as shown by the folded
236 magnetopause, while the magnetopause at $z = \pm 4\lambda_{KH} \approx \pm 48000 \text{ km}$ is nearly unperturbed. The KH
237 development is asymmetric with respect to the equatorial plane, as expected when a flow-aligned
238 component of the IMF is present [Fadanelli et al., 2018], even if all the other fields are symmetric. In the
239 present case with $B_{IMF} \cdot U_{SW} < 0$ the vortices develop more vigorously for $z > 0$, as expected. Although
240 weaker for $z < 0$, they are still well formed at $z = -\lambda_{KH} \approx -12000 \text{ km}$ where the MMS satellites are
241 predicted to be if we refer to Figure 1b. More quantitatively, we estimate the value of the angle between
242 the unperturbed magnetopause normal (the X axis in the simulation) and the actual normal measured in
243 the simulations at the trailing (sunward facing) edge of the KH waves. This angle, which we term “trailing
244 angle”, is shown in Figure 2c as a function of z at the beginning of the non-linear phase. The maximum
245 value is around 35° and is located, as expected, in the upper part of the simulation domain where the
246 vortices are most developed. In the bottom region, its value is smaller but at $z = -\lambda_{KH} \approx -12000 \text{ km}$ it
247 is still compatible with the values measured by MMS (as we will discuss in Section 4). Moreover, the
248 folding time of the vortices in our simulation $\tau_{fold} = \gamma_{KH,3D \text{ sim}}^{-1} \approx 92\text{s}$, in dimensional units, is
249 compatible with the time KH waves take to travel (at a phase-velocity $\approx 200 \text{ km/s}$) from a longitude of
250 30° to the MMS longitude. This fact suggests that KH vortices have time to fully develop before reaching
251 the satellites.

252 Green regions in Figure 2b show where the magnetic field develops a component perpendicular to the
253 local magnetopause as observed in the simulation in the non-linear phase. This normal magnetic field
254 component, as defined and studied already in Faganello et al. [2012], would not develop in an ideal MHD
255 evolution where the frozen-in condition is satisfied. This normal component was shown in Faganello et
256 al. [2012] to be a clear signature that magnetic reconnection is occurring on the magnetopause, thereby
257 the large-scale magnetic topology.

258 In summary, global simulations of the whole magnetosphere as well as a local simulation of the KH
259 instability on the dusk flank magnetopause, indicate that the MMS satellites are in the proper location to
260 detect well developed KH structures and, as a consequence, magnetic reconnection induced by the
261 vortices. Furthermore, the local simulation suggests that reconnection proceeds on a wide latitude band
262 as already observed in numerical simulations starting from a configuration similar to the present one
263 [Fadanelli et al., 2018].

264

265 **3 MMS data analysis**

266 **3.1 Event illustration**

267 On 8 September 2015, between 10:00 and 11:30 UT, the four MMS spacecraft sampled a long duration
268 KH wave interval on the dusk side of the dayside magnetosphere [Eriksson *et al.*, 2016; Li *et al.*, 2016;
269 Vernisse *et al.*, 2016]. We recall the context of the event in Figure 3. The clock angle and the cone angle
270 shown in Figure 3a are from OMNI data [King and Papitashvili, 2005]. Figures 3b, 3c, and 3d display the
271 magnetic field, ion bulk velocity, and ion plasma beta measured by the MMS1 spacecraft between 8:00
272 and 13:00 UT. Although the IMF was mostly northward, the clock angle varied from a minimum of 15° (at
273 10:45 UT) to a maximum of 40° (at 11:10 UT). In section 3.2, we perform a statistical analysis on 69
274 magnetopause crossings observed during the event. Our statistics focuses on the trailing edge (also
275 known as sunward edge) of the KH waves. This side of the KH wave has the thinnest current sheet and
276 the distinction between the magnetospheric and magnetosheath plasma is easily made from the data. In
277 order to determine whether the current sheet is reconnecting or not, we first perform a double Walén
278 test (i.e., with positive and negative slopes each side of a possible ion jet; e.g. Phan *et al.*, 2004) on all the
279 current sheets and verify if a reconnection jet is identifiable. We first base our work on the
280 identifications provided by Eriksson *et al.* [2016], and revisit it with the newly available burst data (we
281 provide all data in the supplementary material). Typical Walén tests that have been performed in order
282 to identify potential reconnection jets are illustrated in Figures 3e to Figures 3n. Figures 3e and 3j show
283 the magnetic field in a local LMN coordinate system as described in Eriksson *et al.* [2016] and Vernisse *et al.*
284 [2016], which we recall here. We perform a minimum variance analysis [MVA, Sonnerup and Scheible,
285 1998] on the current sheet to determine the L vector (the largest variance direction). The current sheet
286 normal (N vector) is derived using the cross product of the magnetosheath and magnetosphere magnetic
287 field. The M vector completes the coordinate system and is directed along the northward pointing guide
288 field. Figure 3f and 3k present the L component of the velocity. Figure 3g and 3l show the electron pitch
289 angle distributions, for energies between about ~274 eV and ~577 eV. Figure 3h and 3m show the ion
290 plasma beta, while Figure 3i and 3n show the electron plasma beta.

291 The first and second black lines in Figure 3e to 3n delimit the main magnetopause current sheets. They
292 are identified based on the decrease in plasma Beta (increase in density and decrease in temperature
293 and magnetic field towards the magnetosheath), the decrease in suprathermal electron phase space
294 density, and the magnetic field B_y variation (corresponding to a B_L variation), which is the typical main
295 component varying at the trailing edge of KH waves at this location [e.g., Eriksson *et al.*, 2016]. Only the
296 first event, in figures 3e to 3i, show typical signatures of a reconnection jet. This is corroborated by the
297 Walén test in Figure 3f (albeit more qualitatively than quantitatively), where the L component of the
298 velocity is plotted together with the variation of the Alfvén velocity as calculated from the magnetic field
299 and density [see e.g. Phan *et al.*, 2004]. Clearly, in this first case, a jet is observed. In addition to
300 identifying the signature of a jet in the ion bulk velocity, we searched for the possible presence of
301 electron leakage from the magnetosphere to the magnetosheath [e.g., Fuselier *et al.*, 1995; 1997;
302 Onsager *et al.*, 2001; Lavraud *et al.*, 2005; 2006]. Figure 3g shows a typical signature of such a leakage,
303 identified by the increased electron flux in the field-aligned direction between the second and the third
304 black vertical lines, outside the magnetopause in the magnetosheath boundary layer. This confirms that
305 these field lines are open because of reconnection. By contrast, the current sheet delimited by the
306 vertical black lines in Figure 3j to 3n presents no evident signatures of reconnection. There is no obvious
307 jet between the black vertical lines in Figure 3k (there is a change in velocity across the current sheet,
308 but this corresponds to a large-scale change induced by the vortex structure, from inside the MP to
309 outside in the magnetosheath, rather than a jet). Correspondingly, we do not observe any electron
310 leakage in Figure 3l after the current sheet.

311

312 3.2 Statistical analysis of current sheets

313 Here we analyze the 69 current sheets identified during the KH event observed by MMS1 on 8
314 September 2015 (cf. supplementary material). Our analysis is as follows. We record the start and end
315 times of each current sheet by hand. We define for each crossing a magnetosphere interval and a
316 magnetosheath interval. The magnetosphere interval corresponds to three seconds of data before the
317 start of the current sheet (e.g., three seconds on the left side of the first vertical black line for the case in
318 Figures 3e to 3n). The magnetosheath interval corresponds to three seconds of data after the end of the
319 current sheet (e.g. three seconds on the right side of the second vertical black line in Figure 3e to 3j). We
320 derived the reference magnetosphere and magnetosheath parameters by averaging data on these
321 intervals. In addition, we project both the velocity and magnetic field on the local LMN coordinate
322 system defined above for each current sheet crossing.

323 We now confront MMS observations with the magnetic reconnection suppression model proposed by
324 *Swisdak et al.* [2003] in Figure 4. In the first, second, and third columns of Figure 4 we confront Equations
325 (1), (2), and (3), respectively, with our data analysis. For the derivation of Equation (1), we evaluate the
326 pressure difference using the NN component of the pressure tensor expressed in the local LMN frame.
327 Similarly, $B_{L,asymptotic}$ (noted B_L in Figure 4 for the sake of concision) is the L component of the
328 magnetic field evaluated as follow: $B_{L,asymptotic} = \left| \frac{\langle B_{L,sheath} \rangle - \langle B_{L,sphere} \rangle}{2} \right|$, where $\langle \dots \rangle$ represents the
329 mean operator (used on data from the magnetosphere and magnetosheath intervals as defined above).
330 The term $B_{M,null}$ (cf. introduction; noted B_M in Figure 4) is the M component of the magnetic field
331 averaged over the current sheet traversal. The evaluation of Equation (2) requires the same quantities as
332 Equation (1). We evaluate Equation (3) using the difference of the total plasma β averaged over the
333 magnetosheath and magnetosphere intervals, respectively. The magnetic shear θ is evaluated as: $\theta =$
334 $\arccos(b_{L,sheath} * b_{L,sphere} + b_{M,sheath} * b_{M,sphere})$, where $b_L = B_L/|B|$. We derive all error bars by
335 computing the standard deviation of each quantity during the 3-seconds intervals (and propagating it
336 when required).

337 To confront our observations with the *Swisdak et al.* [2003] model, in a fashion similar to past studies
338 [e.g., *Phan et al.*, 2013], we first need to determine whether the observed current sheets were
339 reconnecting or not. We used three criteria for that purpose. As also explain in the previous section, the
340 first criterion is the presence of a reconnection jet, visually identified by an ion velocity enhancement
341 with consecutive positive and negative correlations with magnetic field changes, i.e., using the Walén
342 test as illustrated in Figure 3 (first case). The second criterion for identifying reconnection is the
343 identification of an electron boundary layer on the magnetosheath side of the current sheet, signaling
344 the leakage of heated electrons along open field lines ensuing from reconnection. The directionality of
345 heated leaking electrons (parallel or anti-parallel to the magnetic field) provides clues on the location of
346 the X line relative to the spacecraft location (along the magnetic field) [e.g., *Fuselier et al.*, 1997; *Onsager*
347 *et al.*, 2001; *Lavraud et al.*, 2006]. There is a straight link between the directionality of leaking electrons
348 and the direction of the reconnection jet [*Vernisse et al.*, 2016]. Owing to the geometry of the event and
349 the trajectory of the spacecraft, we expect to observe, on the magnetosheath side of the current sheet,
350 electrons leaking parallel to the magnetic field when the jet is directed toward the $-L$ direction (also seen
351 as a decrease in the V_L component). Similarly, electrons leaking anti-parallel to the magnetic field in the
352 magnetosheath are expected when the jet is directed along $+L$ is observed; this corresponds to an

353 increase in the V_L component. The observation of a reconnection jet together with an electron leakage
354 signature is thus deemed “consistent” if their directions are in the appropriate sense relative to the
355 expected reconnection geometry.

356 Those identifications are presented in Figure 4. We categorize events following their likelihood of being
357 reconnecting events. The first category shown in Figure 4a to 4c (‘jets & ebl consistent’) represents
358 crossings where an ion jet and a consistent electron boundary layer are observed. The consistency
359 between those two observations is illustrated in *Vernisse et al. [2016]* (Figure 3) and *Li et al. [2016]*
360 (Figure 1), with the same configuration. The second category (‘jets & ebl present but not consistent’), in
361 Figures 4d to 4f, is made of cases where both a jet and an electron layer were identified, but they were
362 not consistent with each other (in terms of the directionality of the jet and the electrons, as explained
363 above). The third category (‘only jets’), in Figure 4g to 4i, is based on events that present only a clear
364 reconnection jet, and the fourth category (‘only ebl’), in Figure 4j to 4l, is made of cases where only an
365 electron boundary layer was clearly observed. The fifth category (‘no jet and no ebl’), in Figure 4m to 4o,
366 gathers crossings where neither a reconnection jet nor an electron boundary layer was observed. We
367 provide a plot with all events in Figure 4p to 4r for sake of completeness. Equations (1), (2), and (3) are
368 plotted in each Figure for three values of current sheet thicknesses, $L_{eq} = 0.5, 1, \text{ and } 2 d_i$ (where d_i is
369 the ion inertial length), in red, black, and blue, respectively. Points located under the curves should be
370 non-reconnecting events according to the *Swisdak et al. [2003]* criterion. In brief, going down in each
371 row of Figure 4, events are displayed such that they have less and less likelihood to be reconnecting
372 events. In other words, there should be a tendency for all points in Figures 4a to 4c to be located above
373 and/or to the left of the main curve, while points would, in principle, rather be located below and/or to
374 the right of the curve in Figures 4m to 4o.

375 Several points have to be emphasized from Figure 4. The first thing to notice is the similarity of the
376 results in the first and second column. This suggests that the hypothesis on the steady character of the
377 guide field (see Section 1.1) across the current sheet is justified.

378 Secondly, the results in the third column (for Equation (3)) are different from the first and second
379 columns. We notice that more points are located under the red curve in Figure 4c than in Figure 4a and
380 4b. A similar behavior is noticeable in Figure 4l where several points stand under the black curve, while
381 all points are above the black curve in Figures 4j and 4k. This means that Equation (3) tends to
382 overestimate the suppression mechanism. The main difference comes from the derivation of the plasma
383 β , which in Equation (3) requires both the parallel thermal pressure and the B_L component of the
384 magnetic field. For the observed events, the addition of the parallel pressure in the plasma β term plays
385 the main role.

386 As mentioned by *Swisdak et al. [2010]*, the fact that the properties of a current sheet show conditions
387 favorable for reconnection in the framework of this theory is a necessary but not sufficient condition for
388 reconnection to occur. In that context, Equations 1 and 2 (Figure 4a and 4m) are in agreement with this
389 assertion. However, Equation 3 is not, pointing to the need to use the proper component of the pressure
390 tensor and magnetic field across the current sheet, when available. In this latter regard, we note that
391 past studies (cf. introduction) typically did not have access to the full pressure tensor at sufficient
392 resolution.

393 Thirdly, no clear trend is visible between the 5 classifications of events, and for all three models. As
394 mentioned above one should expect a trend on the position of the points relative to the curve

395 depending on whether reconnection is ongoing or not. In particular, more and more points should move
396 under the curve as we consider current sheets for which signatures of reconnection are less evident or
397 even totally absent. We further discuss this lack of trend in the next sections.

398

399 **3.3 Possible limitations**

400 We must mention that our classification of the events may be biased in several ways. As we are studying
401 small-scale current sheets, it is always possible that the reconnection jet or the electron boundary layer
402 may be missed owing to proximity to the X line and/or insufficient time resolution. This is particularly
403 true for the ion jets, which may not be observed if too close to the X line. We identified a few events that
404 seem to possess an electron jet possibly consistent with a crossing close to the X-line. However, we leave
405 the detailed analysis of such electron jets for future work. This limitation, in any case, is very unlikely to
406 affect the electron boundary layer observations given the very high resolution of the MMS data (in
407 particular as compared to past studies on the topic that were using much coarser resolution).

408 In addition, the compressed current sheet at the trailing edge of KH waves may be populated of
409 numerous flux rope-type magnetic structures, as has been found in recent observations and simulations
410 [Eriksson *et al.*, 2009; Nakamura *et al.* [2011; 2013; 2017a; 2017b]. This complexity of the current sheet
411 may perturb the expected signatures both in terms of ion jet and electron boundary layer.

412

413 **3.4 Implications for existing models of magnetic reconnection suppression**

414 Despite these possible observational limitations, the lack of ordering of the reconnecting and non-
415 reconnecting cases with respect to the theoretical curves (Figures 4a and 4m) suggests that the
416 conditions provided by Equation (1) may not be sufficient in the specific regimes of nearly symmetric,
417 high guide field reconnection such as here when induced by the KH instability. Recent studies have
418 already revealed that the diamagnetic suppression may be mitigated in certain regimes. *Kobayashi et al.*
419 [2014] investigated the diamagnetic suppression by means of gyrokinetic simulations, and found that in
420 the regime of low plasma beta ($\beta < 0.1$), the diamagnetic suppression is mitigated by the drift wave
421 instability (which is prominent in that regime). A study by *Liu and Hesse* [2016] recently examined the
422 regime of moderate magnetic shear ($\sim 90^\circ$) and high beta difference (~ 20). In particular, they dissociated
423 the role of the temperature and density gradients, which both account for the pressure gradient
424 responsible of the suppression, and found that while the density gradient provides results similar to the
425 global pressure gradient, the temperature gradient acts differently: a strong pressure gradient generated
426 only by a strong temperature gradient does not suppress reconnection. Thus, disentangling the impact of
427 the density gradient and the temperature gradient shall be performed in future work but falls out of the
428 scope of the present paper. Neither of these studies, however, investigated the particular regime of low
429 magnetic shear angle ($< 60^\circ$) and moderate beta difference (~ 1). Further theoretical and observational
430 studies are thus needed to determine whether a dedicated model is required in the high guide field
431 reconnection regime.

432 We investigate in Figure 5 the possible role of the thickness of each current sheet. We derived the
433 thickness of each current sheet using the mean of the N component of the ion bulk velocity, obtained
434 with the cross-product method detailed earlier, over the current sheet crossing duration. We also used

435 the differential timing method [Harvey, 1998] to get the normal velocity and derive the current sheet
436 thicknesses. Results from both methods are similar, thus we only present results using the cross product
437 here. Figure 5a shows the distribution of the current sheet thickness for each category of event. Figure
438 5b shows the cumulative distribution for each case to best demark the differences between these
439 distributions. These show that the current sheet thicknesses are rather homogeneous, except for the
440 events showing no clear signature of reconnection (no jet and no ebl). This is best observed in Figure 5b,
441 with a marked difference between the cumulative distribution of non-reconnecting events (red curve)
442 and the others. The average thicknesses of the distributions of the sets of events are
443 $4.8 d_i$, $5.66 d_i$, $4.57 d_i$, $6.24 d_i$, and $9.29 d_i$, with standard deviations of
444 $3.16 d_i$, $2.39 d_i$, $1.75 d_i$, $5.76 d_i$, and $6.98 d_i$, respectively for each category: “jet and ebl consistent”,
445 “jet and ebl present but not consistent”, “only jets”, “only ebl”, and “no jet and no ebl”.

446 This finding is interesting. At first sight, it could suggest that these current sheets are non-reconnecting
447 because of their overall larger thicknesses, a factor that is known to be important for reconnection to
448 trigger [Priest & Forbes, 2000]. However, in the context of an asymmetric current sheet a small thickness
449 in fact also implies a stronger gradient drift for the same asymptotic boundary conditions. Although
450 somewhat counterintuitive, this observation in fact rather increases the inadequacy of our results with
451 respect to the Swisdak et al. [2003] model. In other words, what this means is that in Figures 4m, 4n, and
452 4o (for non-reconnecting current sheets) one should compare the distribution of points to the blue curve
453 (or even more to the right, since a large thickness decreases the pressure gradient and ensuing gradient
454 drift suppression effect) rather than to the black or red ones. Thus, in principle, almost all events should
455 be reconnecting, or at least their conditions do not preclude reconnection from occurring.

456 Importantly, it must then be noted that if an event presents reconnection signatures and can be
457 categorized as “reconnecting”, then the thickness would correspond to the thickness of the exhaust and
458 not at all to the thickness of the initial current sheet before reconnection is triggered (while the latter is
459 that relevant to the Swisdak et al. [2003] model). By contrast, for non-reconnecting current sheets, the
460 measured thickness does correspond to the thickness that is relevant to the triggering of reconnection in
461 the Swisdak et al. [2003] model. Again, in this context, according to Figures 4 and 5, most of the cases
462 should in fact be reconnecting given the fact that for current sheet thicknesses equal or superior to 2
463 inertial lengths the Swisdak et al. [2003] condition for reconnection is satisfied. However, we recall that
464 this condition is necessary but not sufficient, as mentioned in Swisdak et al. [2016]. The present findings
465 are thus consistent with the model.

466 Finally, it may also be noted that the rather thin, and homogeneously distributed, current sheet
467 thicknesses of reconnecting events in Figure 4a, together with the fact that reconnecting current sheets
468 are on average thinner than non-reconnecting ones, suggest that it is unlikely that we miss many thin
469 reconnecting current sheets in our analysis. In other words, the temporal resolution of the MMS data
470 should allow us not to miss a lot of reconnection jet.

471

472 **4 Latitudinal dependence and three dimensional properties of the KH instability and induced** 473 **reconnection**

474 As shown in the literature by means of three-dimensional MHD [Ma et al., 2017] and multi-fluid
475 simulations [Faganello et al., 2012; 2014, Borgogno et al., 2015], the limitation of the Kelvin-Helmholtz

476 instability to a two dimensional setup misses several key aspects of its development and ensuing
477 topological properties. In Figure 6 we study the latitudinal distribution of the KH-induced reconnection
478 events studied in section 3. As introduced in Section 2, we also performed a BATS'R'US simulation of this
479 event, initialized with actual OMNI data. The same simulation using THEMIS B data as inflow conditions
480 (sitting in Earth's upstream solar wind at that moment) showed no significant differences and is thus not
481 presented.

482 For each data point of the MMS1 spacecraft location, we look for the maximum growth rate position on
483 the same meridian in the global MHD simulation and measure the latitudinal distance of the spacecraft
484 to this maximum growth rate. For that purpose, we use the time of each current sheet crossing from the
485 MMS1 data to find the corresponding simulation output time to use, and to derive the location of the KH
486 unstable region. The result of this analysis is presented in Figure 6a, where all current sheet crossings are
487 categorized using the five types of signatures introduced in section 3.2.

488 Figure 6a presents each current sheet according to its distance to the maximum growth rate plane in
489 terms of latitude angle plotted as a function of the angle between the normal to the unperturbed
490 magnetopause (derived from the *Shue et al. [1997]* model) and the normal to each current sheet
491 (derived using the cross-product method). We name the latter quantity "normal angle" for conciseness
492 (it is similar to the trailing angle defined for the local simulation in Section 2.2). A range of 20° is covered
493 in terms of spacecraft distance to the simulated maximum growth rate plane. The MMS spacecraft were
494 thus cruising all the time fairly close to the maximum growth rate plane, but yet over a non-negligible
495 range of latitudes and essentially on the southern side of the maximum growth rate plane. We also
496 display in Figure 6a the directionalities of the ion jets with arrows, in accordance with the corresponding
497 magnetic field geometry. Magnetic reconnection jets were observed southward and northward of the
498 spacecraft, independently of their position relative to the most unstable plane, thus suggesting that the
499 possible locations of reconnection triggering cover an extended latitudinal region relative to the
500 maximum growth plane.

501 We note that events that are likely reconnecting (blue, cyan, and green points) appear somewhat less
502 spread, in both normal angle and separation to the unstable region, than non-reconnecting cases
503 (orange and red points). In terms of latitudinal spread, the computation of the standard deviation for
504 each set of points gives 4°, 5.2°, 5°, 5.6°, and 5.7° from most likely reconnecting (blue) to less likely
505 reconnecting (red) cases. This signals that the area of observation of reconnecting jets is less dispersed in
506 latitude relative to the maximum growth rate plane, with a mean location 10° southward of that plane.
507 Cases where no reconnecting jets are observed are found over a broader latitude range, suggesting no
508 particular correlation with latitude. This property is consistent with a previous study [*Faganello et al.,*
509 2012] showing that, in KH instabilities, reconnection occurs mostly away from the most unstable plane,
510 due to the propagation of the twisting of the magnetic field.

511 Figure 6b presents time series of the latitude distance between the spacecraft and the maximum growth
512 rate plane (red line) for each simulation output. Additionally, we show the IMF clock angle from OMNI
513 (blue line) and the "normal angle" (black line). The distribution of this angle between the local current
514 sheet normal and the unperturbed magnetopause shows that the vortices are broadly distributed over a
515 range of latitudes, and seem not to be limited to the most unstable region. This suggests that the
516 spacecraft are observing rather local signatures of reconnection, i.e., induced by the vortices (type I),
517 rather than signatures of mid-latitude reconnection at the southern end of the unstable region.

518 However, as was recently shown in *Fadanelli et al.* [2018], when a non-negligible magnetic shear exists
519 (non-purely parallel magnetic fields across the flank magnetopause; or in other words non-purely
520 northward IMF), KH-induced reconnection is not limited to specific regions around the vortices (inside,
521 below or above). It is rather triggered over a broad and continuous range of locations near the vortices,
522 so that the distinction between mid-latitude and type I (Vortex-induced) reconnection is harder to make,
523 if at all meaningful, as also suggested in a recent simulation of this event by *Sisti et al.* [2019]).

524

525 **5 Conclusions**

526 We studied the properties of Kelvin-Helmholtz induced magnetic reconnection by means of 3D MHD and
527 two-fluid simulations and data analysis from the MMS mission. The global simulation demonstrates that
528 the location of the most unstable KH region at the Earth's magnetopause is not confined to the
529 equatorial plane, but rather distributed over a range of latitude, and shifted to the northern or southern
530 hemisphere, depending on the upstream IMF clock angle. The two-fluid simulation particularly confirms
531 this point, as also shown in *Fadanelli et al.* [2018], with a clear shift of the vortices location.

532 We then investigated magnetic reconnection on a local scale within the KH vortices, and in particular on
533 the trailing edge of the waves. Owing to the typical large-scale configuration at the magnetospheric
534 flanks where the KH instability develops, magnetic reconnection induced by KH waves has a strong guide
535 field component. This is of particular interest to study the onset properties of magnetic reconnection
536 under such conditions. We tested the model proposed by *Swisdak et al.* [2003] and found that all
537 observed events fulfilled the condition for reconnection to occur, including those without reconnection
538 signatures. This shows that the diamagnetic suppression condition works also in that regime, but the fact
539 that all non-reconnecting events are found in "possibly" reconnecting conditions confirms that this
540 model provides a necessary but not sufficient condition for reconnection to occur.

541 In addition, thanks to the high quality of the MMS data, we were able to derive several variants of the
542 suppression condition for each observed event. The most accurate variant makes use of the full pressure
543 tensor and was not used in previous studies based on different datasets. We show in our study that the
544 use of a simplified model can lead to a significant under-estimation of the range of conditions that are
545 favorable for reconnection.

546 Finally, by combining simulations and data analysis we found that the reconnecting current sheets
547 observed by MMS are broadly distributed (20°) and all southward of the most unstable region at the
548 magnetopause. This finding is consistent with recent simulations by *Fadanelli et al.* [2018] and *Sisti et al.*
549 [2019] suggesting that magnetic reconnection occurs over a broad range of latitudes, and so that vortex-
550 induced (type I) and mid-latitude reconnection are hard to separate when a significant magnetic shear
551 exists at the flank magnetopause (i.e., non-purely northward IMF).

552

553 **APPENDIX A: Magnetopause detection procedure in the global MHD simulation code BATS'R'US**

554 In order to derive properly the growth rate at the Earth's magnetopause, we need to find the
555 magnetopause position in the simulation run. Our method is as follows. (1) We initialize a magnetopause
556 surface using the *Shue et al.* [1997] model and the upstream parameters of the simulation. The modeled

557 distance is systematically larger than that in the global MHD run. For each point at the magnetopause,
 558 we search for the maximum current density, using a Gaussian fit, along the normal to the magnetopause
 559 as estimated from the *Shue et al. [1997]* model. We illustrate this magnetopause detection scheme in
 560 Figure 7, which shows the current density and the X_{GSM} component of the velocity in the meridional
 561 plane, the equatorial plane, and on a plane at 45° between the two previous planes. The scatter points
 562 show our magnetopause detection as well as the points in the magnetosphere and magnetosheath used
 563 to derive the Kelvin-Helmholtz instability growth rate.

564

565 **APPENDIX B:** Local simulation of the KH vortices at the magnetopause.

566 In a simplified slab geometry, we assume \hat{x} to be perpendicular to the unperturbed magnetopause, \hat{y}
 567 along the flow and \hat{z} represents the latitude direction. We start from a slab MHD equilibrium, depending
 568 on x and z only, that mimics the gradual stabilization of the KH instability away from $z = 0$ where the
 569 growth rate is maximal. In this equilibrium, all physical quantities but the x and z -component of the
 570 magnetic field are functions of the flow-aligned component Ψ of the equilibrium vector potential
 571 [*Andreussi et al., 2012*] while $B_{x,eq}$ and $B_{z,eq}$ are simply given by the rotational of $\psi\hat{y}$.

572 We set $\psi(x, z) = B_{z,av}[4/3x + L_z/3\pi \sinh(2\pi x/L_z)\cos(2\pi z/L_z)]/2$ and $B_{y,eq} = B_{flow}(1 +$
 573 $\tanh(\Psi/a))$, where a is the half-width of the unperturbed magnetopause, $B_{z,av}$ the averaged northward
 574 field and B_{flow} the flow-aligned component of the IMF (all quantities are normalized to the ion skip
 575 depth d_i , the ion cyclotron frequency Ω_{ci} and the ion mass m_i . Taking $x \in [-L_x/2, +L_x/2]$ and $a \ll$
 576 $L_x \ll L_z$ we obtain a magnetic field that is nearly northward inside the magnetosphere and that has a
 577 flow aligned component B_{flow} outside it.

578 The high-latitude stabilization is achieved assuming $U_{eq} = \Delta U/2 \tanh(\psi/a)\hat{y}$. Indeed the velocity
 579 gradient at the magnetopause ($x = 0$) is three times bigger at $z = 0$ than its value at the z -boundaries at
 580 $z = \pm L_z/2$. Consequently, the KH instability grows much faster around $z = 0$ than at high latitudes
 581 [*Faganello et al., 2012a*] that remain nearly unperturbed during the development of the instability at low
 582 latitude.

583 The plasma density is given by $n_{eq} = n_{av} + |n_{sh} - n_{sp}|/2 \tanh(\psi/a)$, where n_{sh} , n_{sp} , and n_{av} are the
 584 magnetosheath, the magnetosphere and the average density, respectively. The thermal pressure
 585 assures $P_{th,eq} + B_{flow}^2/2 = \Pi = cst$, so that the Grad-Shafranov equation (force-balance equation in
 586 field-aligned coordinates) $\nabla^2\psi = \partial_\psi\Pi$ is satisfied. We set the ratio between the ion and electron
 587 temperatures equal to four. All the plasma quantities are taken as close as possible to those measured
 588 by MMS satellites across the magnetopause and are resumed in Table 1.

589 The system evolution is described by Hall-MHD equations that include the electron diamagnetic term
 590 and a small but finite resistivity ($\eta = 10^{-3}$ in adimensional units) in the generalized Ohm's law. We
 591 consider adiabatic closures for both ions and electrons. All equations are advanced in time by a 4th-order
 592 Runge-Kutta scheme. Spatial derivatives are performed via 6th-order finite differences along the periodic
 593 y and z directions, while using a 4th-order compact implicit scheme [*Lele, 1992*] for the more critical
 594 open x -direction. At the x -boundaries, we adopt a decomposition on MHD characteristic that lets all
 595 MHD perturbations simply leave the domain [*Landi et al., 2005; Faganello et al., 2009*].

596 We set the magnetopause half-width $a = 3$, consequently the wavelength (along \hat{y}) of the more unstable
597 KH mode is $\lambda_{KH} \approx 12\pi$. Taking an “effective” ion skin depth $d_i \approx 300 \text{ km}$ we obtain a physical
598 wavelength $\approx 12000 \text{ km}$ (in practice the importance of the Hall term is slightly enhanced in our
599 simulation but we are able to correctly resolve it).

600 The box dimensions are $L_x = 60$, $L_y = 24\pi$, and $L_z = 120\pi$, so that the KH instability develops two
601 vortices along \hat{y} . The number of points in each direction is $n_x = 600$, $n_y = 512$, $n_z = 512$. L_z was
602 chosen from the outcome of the global simulation. It is compatible with the global simulations and
603 allows for a sufficient instability, compared to KH vortex size, so as to allow vortex pairing in the non-
604 linear stage. The unstable zone needs to be sufficiently thick, as shown in *Takagi et al.* [2006].

605 Finally, the density on the magnetospheric side is $n = 6.5 \text{ cm}^{-3}$, and the plasma $\beta = 1.82$, while on the
606 magnetosheath side these parameters are $n = 19.5 \text{ cm}^{-3}$ and $\beta = 1.58$, consistent with MMS observations.

607

608 Acknowledgments

609 Simulation results have been provided by the Community Coordinated Modeling Center at Goddard
610 Space Flight Center through their public Runs on Request system (<http://ccmc.gsfc.nasa.gov>). The BATS-
611 R-US Model was developed by Dr. Tamas Gombosi at the University of Michigan. The run is stored at:
612 https://ccmc.gsfc.nasa.gov/results/viewrun.php?domain=GM&runnumber=Yoann_Vernisse_012518_1.
613 The two-fluid simulation data are stored in the PIIM laboratory repository and are available at
614 <https://storagepiim.etoile.univ-amu.fr/share.cgi?ssid=00SbRzp>. For MMS data visit
615 <https://lasp.colorado.edu/mms/sdc/public/>. We thank all the MMS teams for their remarkable work and
616 great hardware accomplishments. IRAP contribution to MMS was performed with the support of CNRS
617 and CNES. FC has received funding from the European Union’s Horizon 2020 research and innovation
618 programme under grant agreement No 776262 (AIDA).

619

620 Bibliography

621 Andreussi, T., Morrison, P. J., & Pegoraro, F. (2012). Hamiltonian magnetohydrodynamics: Helically
622 symmetric formulation, Casimir invariants, and equilibrium variational principles. *Physics of*
623 *Plasmas*, 19, 052102. <https://doi.org/10.1063/1.4714761>

624 Borgogno, D., Califano, F., Faganello, M., & Pegoraro, F. (2015). Double-reconnected magnetic
625 structures driven by Kelvin-Helmholtz vortices at the Earth’s magnetosphere. *Physics of*
626 *Plasmas*, 22(3), 032301. <https://doi.org/10.1063/1.4913578>

627 Burch, J. L., Moore, T. E., Torbert, R. B., & Giles, B. L. (2015). Magnetospheric Multiscale Overview
628 and Science Objectives. *Space Science Reviews*. <https://doi.org/10.1007/s11214-015-0164-9>

62Burch, J. L., Torbert, R. B., Phan, T. D., Chen, L.-J., Moore, T. E., Ergun, R. E., ... Chandler, M.
630 (2016). Electron-scale measurements of magnetic reconnection in space. *Science*.
631 <https://doi.org/10.1126/science.aaf2939>

632Chandrasekhar, S. (1961). *Hydrodynamic and hydromagnetic stability*. Consulté à l'adresse
633 <http://cdsads.u-strasbg.fr/abs/1961hhs..book.....C>

634Chen, Q., Otto, A., & Lee, L. C. (1997). Tearing instability, Kelvin-Helmholtz instability, and
635 magnetic reconnection. *Journal of Geophysical Research*, *102*, 151-162.
636 <https://doi.org/10.1029/96JA03144>

637Eriksson, S., Lavraud, B., Wilder, F. D., Stawarz, J. E., Giles, B. L., Burch, J. L., ... Goodrich, K. A.
638 (2016). Magnetospheric Multiscale observations of magnetic reconnection associated with
639 Kelvin-Helmholtz waves. *Geophysical Research Letters*, *43*, 5606-5615.
640 <https://doi.org/10.1002/2016GL068783>

641Fadanelli, S., Faganello, M., Califano, F., Cerri, S. S., Pegoraro, F., & Lavraud, B. (2018). North-
642 South asymmetric Kelvin-Helmholtz instability and induced reconnection at the Earth's
643 magnetospheric flanks. *ArXiv e-prints*, *1805*, arXiv:1805.01466.

644Faganello, M., Califano, F., & Pegoraro, F. (2008). Time Window for Magnetic Reconnection in
645 Plasma Configurations with Velocity Shear. *Physical Review Letters*, *101*, 175003.
646 <https://doi.org/10.1103/PhysRevLett.101.175003>

647Faganello, M., Califano, F., & Pegoraro, F. (2009). Being on time in magnetic reconnection. *New*
648 *Journal of Physics*, *11*, 063008. <https://doi.org/10.1088/1367-2630/11/6/063008>

649Faganello, M., Califano, F., Pegoraro, F., & Andreussi, T. (2012a). Double mid-latitude dynamical
650 reconnection at the magnetopause: An efficient mechanism allowing solar wind to enter the
651 Earth's magnetosphere. *EPL (Europhysics Letters)*, *100*, 69001. [https://doi.org/10.1209/0295-
652 5075/100/69001](https://doi.org/10.1209/0295-5075/100/69001)

653 Faganello, M., Califano, F., Pegoraro, F., Andreussi, T., & Benkadda, S. (2012b). Magnetic
654 reconnection and Kelvin-Helmholtz instabilities at the Earth's magnetopause. *Plasma Physics
655 and Controlled Fusion*, 54(12), 124037. <https://doi.org/10.1088/0741-3335/54/12/124037>

656 Faganello, M., Califano, F., Pegoraro, F., & Retinò, A. (2014). Kelvin-Helmholtz vortices and double
657 mid-latitude reconnection at the Earth's magnetopause: Comparison between observations and
658 simulations. *EPL (Europhysics Letters)*, 107, 19001. [https://doi.org/10.1209/0295-
659 5075/107/19001](https://doi.org/10.1209/0295-5075/107/19001)

660 Faganello, Matteo, & Califano, F. (2017). Magnetized Kelvin-Helmholtz instability: theory and
661 simulations in the Earth's magnetosphere context. *Journal of Plasma Physics*, 83, 535830601.
662 <https://doi.org/10.1017/S0022377817000770>

663 Fairfield, D. H., Otto, A., Mukai, T., Kokubun, S., Lepping, R. P., Steinberg, J. T., ... Yamamoto, T.
664 (2000). Geotail observations of the Kelvin-Helmholtz instability at the equatorial magnetotail
665 boundary for parallel northward fields. *Journal of Geophysical Research*, 105, 21.
666 <https://doi.org/10.1029/1999JA000316>

667 Farrugia, C. J., Gratton, F. T., Bender, L., Biernat, H. K., Erkaev, N. V., Quinn, J. M., ... Dennisenko,
668 V. (1998). Charts of joint Kelvin-Helmholtz and Rayleigh-Taylor instabilities at the dayside
669 magnetopause for strongly northward interplanetary magnetic field. *Journal of Geophysical
670 Research*, 103, 6703-6728. <https://doi.org/10.1029/97JA03248>

671 Foullon, C., Farrugia, C. J., Owen, C. J., Fazakerley, A. N., & Gratton, F. T. (2010). Kelvin-Helmholtz
672 Multi-Spacecraft Studies at the Earth's Magnetopause Boundaries. *Twelfth International Solar
673 Wind Conference*, 1216, 483-486. <https://doi.org/10.1063/1.3395908>

674 Frank, A., Jones, T. W., Ryu, D., & Gaalaas, J. B. (1996). The Magnetohydrodynamic Kelvin-
675 Helmholtz Instability: A Two-dimensional Numerical Study. *The Astrophysical Journal*, 460,
676 777. <https://doi.org/10.1086/177009>

677 Fuselier, S. A., Anderson, B. J., & Onsager, T. G. (1995). Particle signatures of magnetic topology at
678 the magnetopause: AMPTE/CCE observations. *Journal of Geophysical Research*, *100*, 11.

679 <https://doi.org/10.1029/94JA02811>

680 Fuselier, S. A., Anderson, B. J., & Onsager, T. G. (1997). Electron and ion signatures of field line
681 topology at the low-shear magnetopause. *Journal of Geophysical Research*, *102*, 4847-4864.

682 <https://doi.org/10.1029/96JA03635>

683 Fuselier, S. A., Vines, S. K., Burch, J. L., Petrinec, S. M., Trattner, K. J., Cassak, P. A., ... Webster, J.
684 M. (2017). Large-scale characteristics of reconnection diffusion regions and associated

685 magnetopause crossings observed by MMS. *Journal of Geophysical Research (Space Physics)*,
686 *122*, 5466-5486. <https://doi.org/10.1002/2017JA024024>

687 Gosling, J. T., & Phan, T. D. (2013). Magnetic Reconnection in the Solar Wind at Current Sheets

688 Associated with Extremely Small Field Shear Angles. *The Astrophysical Journal Letters*, *763*,

689 L39. <https://doi.org/10.1088/2041-8205/763/2/L39>

690 Harvey, C. C. (1998). Spatial Gradients and the Volumetric Tensor. *ISSI Scientific Reports Series*, *1*,
691 307-322.

692 Hasegawa, H., Fujimoto, M., Phan, T.-D., Rème, H., Balogh, A., Dunlop, M. W., ... TanDokoro, R.

693 (2004). Transport of solar wind into Earth's magnetosphere through rolled-up Kelvin-Helmholtz

694 vortices. *Nature*, *430*, 755-758. <https://doi.org/10.1038/nature02799>

695 Hesse, M., Aunai, N., Birn, J., Cassak, P., Denton, R. E., Drake, J. F., ... Zenitani, S. (2016). Theory

696 and Modeling for the Magnetospheric Multiscale Mission. *Space Science Reviews*, *199*, 577-630.

697 <https://doi.org/10.1007/s11214-014-0078-y>

698 Kavosi, S., & Raeder, J. (2015). Ubiquity of Kelvin-Helmholtz waves at Earth's magnetopause.

699 *Nature Communications*, *6*, 7019. <https://doi.org/10.1038/ncomms8019>

700 King, J. H., & Papitashvili, N. E. (2005). Solar wind spatial scales in and comparisons of hourly Wind
701 and ACE plasma and magnetic field data. *Journal of Geophysical Research: Space Physics*,
702 110(A2), n/a–n/a. <https://doi.org/10.1029/2004JA010649>

703 Knoll, D. A., & Chacón, L. (2002). Magnetic Reconnection in the Two-Dimensional Kelvin-
704 Helmholtz Instability. *Physical Review Letters*, 88, 215003.
705 <https://doi.org/10.1103/PhysRevLett.88.215003>

706 Kobayashi, S., Rogers, B. N., & Numata, R. (2014). Gyrokinetic simulations of collisionless
707 reconnection in turbulent non-uniform plasmas. *Physics of Plasmas*, 21, 040704.
708 <https://doi.org/10.1063/1.4873703>

709 Landi, S., Velli, M., & Einaudi, G. (2005). Alfvén Waves and Shock Wave Formation at an X-Point
710 Magnetic Field Configuration. *The Astrophysical Journal*, 624, 392-401.
711 <https://doi.org/10.1086/428822>

712 Lavraud, B., Thomsen, M. F., Lefebvre, B., Schwartz, S. J., Seki, K., Phan, T. D., ... Balogh, A.
713 (2006). Evidence for newly closed magnetosheath field lines at the dayside magnetopause under
714 northward IMF. *Journal of Geophysical Research (Space Physics)*, 111, A05211.
715 <https://doi.org/10.1029/2005JA011266>

716 Lavraud, B., Thomsen, M. F., Taylor, M. G. G. T., Wang, Y. L., Phan, T. D., Schwartz, S. J., ...
717 Balogh, A. (2005). Characteristics of the magnetosheath electron boundary layer under northward
718 interplanetary magnetic field: Implications for high-latitude reconnection. *Journal of Geophysical*
719 *Research (Space Physics)*, 110, A06209. <https://doi.org/10.1029/2004JA010808>

720 Dele, S. K. (1992). Compact Finite Difference Schemes with Spectral-like Resolution. *Journal of*
721 *Computational Physics*, 103, 16-42. [https://doi.org/10.1016/0021-9991\(92\)90324-R](https://doi.org/10.1016/0021-9991(92)90324-R)

722 Li, W., André, M., Khotyaintsev, Y. V., Vaivads, A., Graham, D. B., Toledo-Redondo, S., ...
723 Strangeway, R. J. (2016). Kinetic evidence of magnetic reconnection due to Kelvin-Helmholtz
724 waves. *Geophysical Research Letters*, n/a–n/a. <https://doi.org/10.1002/2016GL069192>

725 Lin, D., Wang, C., Li, W., Tang, B., Guo, X., & Peng, Z. (2014). Properties of Kelvin-Helmholtz
726 waves at the magnetopause under northward interplanetary magnetic field: Statistical study.
727 *Journal of Geophysical Research (Space Physics)*, 119, 7485-7494.
728 <https://doi.org/10.1002/2014JA020379>

729 Liu, Y.-H., & Hesse, M. (2016). Suppression of collisionless magnetic reconnection in asymmetric
730 current sheets. *Physics of Plasmas*, 23, 060704. <https://doi.org/10.1063/1.4954818>

731 Liu, Z. X., & Hu, Y. D. (1988). Local magnetic reconnection caused by vortices in the flow field.
732 *Geophysical Research Letters*, 15, 752-755. <https://doi.org/10.1029/GL015i008p00752>

733 Ma, X., Delamere, P., Otto, A., & Burkholder, B. (2017). Plasma Transport Driven by the Three-
734 Dimensional Kelvin-Helmholtz Instability. *Journal of Geophysical Research: Space Physics*,
735 122(10), 2017JA024394. <https://doi.org/10.1002/2017JA024394>

736 Masters, A. (2014). Magnetic reconnection at Uranus' magnetopause. *Journal of Geophysical*
737 *Research (Space Physics)*, 119, 5520-5538. <https://doi.org/10.1002/2014JA020077>

738 Masters, A. (2015). Magnetic reconnection at Neptune's magnetopause. *Journal of Geophysical*
739 *Research (Space Physics)*, 120, 479-493. <https://doi.org/10.1002/2014JA020744>

740 Masters, A., Eastwood, J. P., Swisdak, M., Thomsen, M. F., Russell, C. T., Sergis, N., ... Krimigis, S.
741 M. (2012). The importance of plasma β conditions for magnetic reconnection at Saturn's
742 magnetopause. *Geophysical Research Letters*, 39, L08103.
743 <https://doi.org/10.1029/2012GL051372>

744 McNally, C. P., Lyra, W., & Passy, J.-C. (2012). A Well-posed Kelvin-Helmholtz Instability Test and
745 Comparison. *The Astrophysical Journal Supplement Series*, 201, 18.
746 <https://doi.org/10.1088/0067-0049/201/2/18>

747 Miura, A., & Pritchett, P. L. (1982). Nonlocal stability analysis of the MHD Kelvin-Helmholtz
748 instability in a compressible plasma. *Journal of Geophysical Research*, 87, 7431-7444.
749 <https://doi.org/10.1029/JA087iA09p07431>

750 Miura, Akira. (1995). Dependence of the magnetopause Kelvin-Helmholtz instability on the
751 orientation of the magnetosheath magnetic field. *Geophysical Research Letters*, 22, 2993-2996.
752 <https://doi.org/10.1029/95GL02793>

753 Nakamura, T. K. M., & Fujimoto, M. (2005). Magnetic reconnection within rolled-up MHD-scale
754 Kelvin-Helmholtz vortices: Two-fluid simulations including finite electron inertial effects.
755 *Geophysical Research Letters*, 32, L21102. <https://doi.org/10.1029/2005GL023362>

756 Nakamura, T. K. M., Fujimoto, M., & Otto, A. (2008). Structure of an MHD-scale Kelvin-Helmholtz
757 vortex: Two-dimensional two-fluid simulations including finite electron inertial effects. *Journal*
758 *of Geophysical Research (Space Physics)*, 113, A09204. <https://doi.org/10.1029/2007JA012803>

759 Nakamura, T. K. M., Hasegawa, H., Shinohara, I., & Fujimoto, M. (2011). Evolution of an MHD-
760 scale Kelvin-Helmholtz vortex accompanied by magnetic reconnection: Two-dimensional
761 particle simulations. *Journal of Geophysical Research (Space Physics)*, 116, A03227.
762 <https://doi.org/10.1029/2010JA016046>

763 Nakamura, T. K. M., Daughton, W., Karimabadi, H., & Eriksson, S. (2013). Three-dimensional
764 dynamics of vortex-induced reconnection and comparison with THEMIS observations. *Journal of*
765 *Geophysical Research: Space Physics*, 118, 5742– 5757. <https://doi.org/10.1002/jgra.50547>

766 Nakamura, T. K. M., Eriksson, S., Hasegawa, H., Zenitani, S., Li, W. Y., Genestreti, K. J., ...
767 Daughton, W. (2017a), Mass and energy transfer across the Earth's magnetopause caused by

768 vortex-induced reconnection. *Journal of Geophysical Research: Space Physics*, 2017JA024346.

769 <https://doi.org/10.1002/2017JA024346>

770 Nakamura, T. K. M., Hasegawa, H., Daughton, W., Eriksson, S., Li, W. Y., & Nakamura, R. (2017b).

771 Turbulent mass transfer caused by vortex induced reconnection in collisionless magnetospheric

772 plasmas. *Nature Communications*, 8, 1582. <https://doi.org/10.1038/s41467-017-01579-0>

773 Nykyri, K., Otto, A., Lavraud, B., Mouikis, C., Kistler, L. M., Balogh, A., & Rème, H. (2006). Cluster

774 observations of reconnection due to the Kelvin-Helmholtz instability at the dawnside

775 magnetospheric flank. *Annales Geophysicae*, 24, 2619-2643. [https://doi.org/10.5194/angeo-24-](https://doi.org/10.5194/angeo-24-2619-2006)

776 [2619-2006](https://doi.org/10.5194/angeo-24-2619-2006)

777 Nykyri, Katariina, Ma, X., Dimmock, A., Foullon, C., Otto, A., & Osmane, A. (2017). Influence of

778 velocity fluctuations on the Kelvin-Helmholtz instability and its associated mass transport.

779 *Journal of Geophysical Research (Space Physics)*, 122, 9489-9512.

780 <https://doi.org/10.1002/2017JA024374>

781 Onsager, T. G., Scudder, J. D., Lockwood, M., & Russell, C. T. (2001). Reconnection at the high-

782 latitude magnetopause during northward interplanetary magnetic field conditions. *Journal of*

783 *Geophysical Research*, 106, 25467-25488. <https://doi.org/10.1029/2000JA000444>

784 Otto, A., & Fairfield, D. H. (2000). Kelvin-Helmholtz instability at the magnetotail boundary: MHD

785 simulation and comparison with Geotail observations. *Journal of Geophysical Research*, 105, 21.

786 <https://doi.org/10.1029/1999JA000312>

787 Phan, T. D., Gosling, J. T., Paschmann, G., Pasma, C., Drake, J. F., Øieroset, M., ... Davis, M. S.

788 (2010). The Dependence of Magnetic Reconnection on Plasma β and Magnetic Shear: Evidence

789 from Solar Wind Observations. *The Astrophysical Journal Letters*, 719, L199-L203.

790 <https://doi.org/10.1088/2041-8205/719/2/L199>

79Phan, T. D., Love, T. E., Gosling, J. T., Paschmann, G., Eastwood, J. P., Oieroset, M., ... Auster, U.

792 (2011). Triggering of magnetic reconnection in a magnetosheath current sheet due to

793 compression against the magnetopause. *Geophysical Research Letters*, 38, L17101.

794 <https://doi.org/10.1029/2011GL048586>

79Phan, T. D., Paschmann, G., Gosling, J. T., Oieroset, M., Fujimoto, M., Drake, J. F., & Angelopoulos,

796 V. (2013). The dependence of magnetic reconnection on plasma β and magnetic shear: Evidence

797 from magnetopause observations. *Geophysical Research Letters*, 40, 11-16.

798 <https://doi.org/10.1029/2012GL054528>

79Phan, T., Dunlop, M., Paschmann, G., Klecker, B., Bosqued, J., Rème, H., ... Kistler, L. (2004a).

800 Cluster observations of continuous reconnection at the magnetopause under steady interplanetary

801 magnetic field conditions. *Annales Geophysicae*, 22, 2355-2367. <https://doi.org/10.5194/angeo->

802 [22-2355-2004](https://doi.org/10.5194/angeo-22-2355-2004)

80Phan, T., Dunlop, M., Paschmann, G., Klecker, B., Bosqued, J., Rème, H., ... Kistler, L. (2004b).

804 Cluster observations of continuous reconnection at the magnetopause under steady interplanetary

805 magnetic field conditions. *Annales Geophysicae*, 22, 2355-2367. <https://doi.org/10.5194/angeo->

806 [22-2355-2004](https://doi.org/10.5194/angeo-22-2355-2004)

80Powell, K. G., Roe, P. L., Linde, T. J., Gombosi, T. I., & De Zeeuw, D. L. (1999). A Solution-

808 Adaptive Upwind Scheme for Ideal Magnetohydrodynamics. *Journal of Computational Physics*,

809 154, 284-309. <https://doi.org/10.1006/jcph.1999.6299>

81Priest, E., & Forbes, T. (2000). Unsteady Reconnection: The Tearing Mode. In *Magnetic*

811 *reconnection : MHD theory and applications* (p. 177-204). Cambridge University Press.

81 Raeder, J., Larson, D., Li, W., Kepko, E. L., & Fuller-Rowell, T. (2008). OpenGGCM Simulations for
813 the THEMIS Mission. *Space Science Reviews*, *141*, 535-555. [https://doi.org/10.1007/s11214-](https://doi.org/10.1007/s11214-008-9421-5)
814 [008-9421-5](https://doi.org/10.1007/s11214-008-9421-5)

815 Shue, J.-H., Chao, J. K., Fu, H. C., Russell, C. T., Song, P., Khurana, K. K., & Singer, H. J. (1997). A
816 new functional form to study the solar wind control of the magnetopause size and shape. *Journal*
817 *of Geophysical Research*, *102*, 9497-9512. <https://doi.org/10.1029/97JA00196>

818 Sonnerup, B. U. Ö., & Scheible, M. (1998). Minimum and Maximum Variance Analysis. *ISSI*
819 *Scientific Reports Series*, *1*, 185-220.

820 Stawarz, J. E., Eriksson, S., Wilder, F. D., Ergun, R. E., Schwartz, S. J., Pouquet, A., ... Sturmer, A. P.
821 (2016). Observations of turbulence in a Kelvin-Helmholtz event on 8 September 2015 by the
822 Magnetospheric Multiscale mission. *Journal of Geophysical Research (Space Physics)*, *121*, 11.
823 <https://doi.org/10.1002/2016JA023458>

824 Swisdak, M., Opher, M., Drake, J. F., & Alouani Bibi, F. (2010). The Vector Direction of the
825 Interstellar Magnetic Field Outside the Heliosphere. *The Astrophysical Journal*, *710*, 1769-1775.
826 <https://doi.org/10.1088/0004-637X/710/2/1769>

827 Swisdak, M., Rogers, B. N., Drake, J. F., & Shay, M. A. (2003). Diamagnetic suppression of
828 component magnetic reconnection at the magnetopause. *Journal of Geophysical Research (Space*
829 *Physics)*, *108*, 1218. <https://doi.org/10.1029/2002JA009726>

830 Takagi, K., Hashimoto, C., Hasegawa, H., Fujimoto, M., and TanDokoro, R. (2006), Kelvin-
831 Helmholtz instability in a magnetotail flank-like geometry: Three-dimensional MHD simulations,
832 *J. Geophys. Res.*, *111*, A08202, doi:10.1029/2006JA011631.

833 Vernisse, Y., Lavraud, B., Eriksson, S., Gershman, D. J., Dorelli, J., Pollock, C., ... Yokota, S. (2016).
834 Signatures of complex magnetic topologies from multiple reconnection sites induced by Kelvin-

835 Helmholtz instability. *Journal of Geophysical Research: Space Physics*, 121(10), 9926–9939.

836 <https://doi.org/10.1002/2016JA023051>

837 Wilber, M., & Winglee, R. M. (1995). Dawn-dusk asymmetries in the low-latitude boundary layer

838 arising from the Kelvin-Helmholtz instability: A particle simulation. *Journal of Geophysical*

839 *Research*, 100, 1883-1898. <https://doi.org/10.1029/94JA02488>

840

841

842

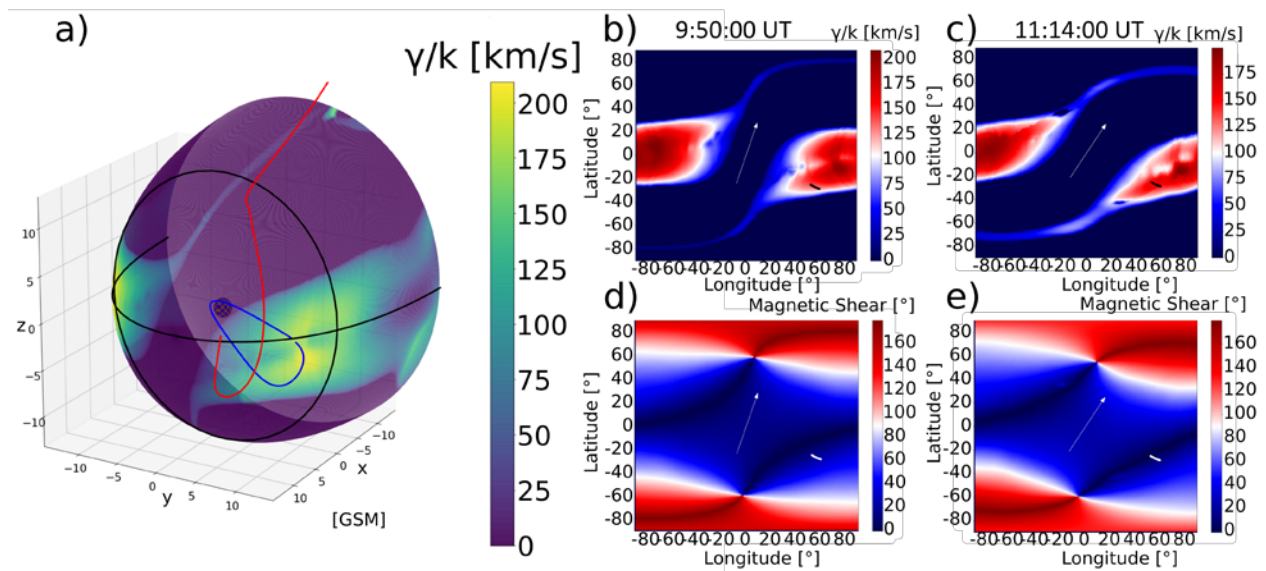
	$B_{z,av}$	B_{flow}	ΔU	n_{av}	Δn	T_{av}	ΔT
Normalized	1	-0.3	0.9	1	1	15/16	~ -1.3
physical	67 nT	-20 nT	360 km/s	13 cm ⁻³	13 cm ⁻³	1500 eV	2080 eV

843

844 **Table 1:** Equilibrium quantities expressed in normalized and physical units. We recall that the subscript

845 “av” denotes the averaged values that these quantities have at the magnetopause.

846



847

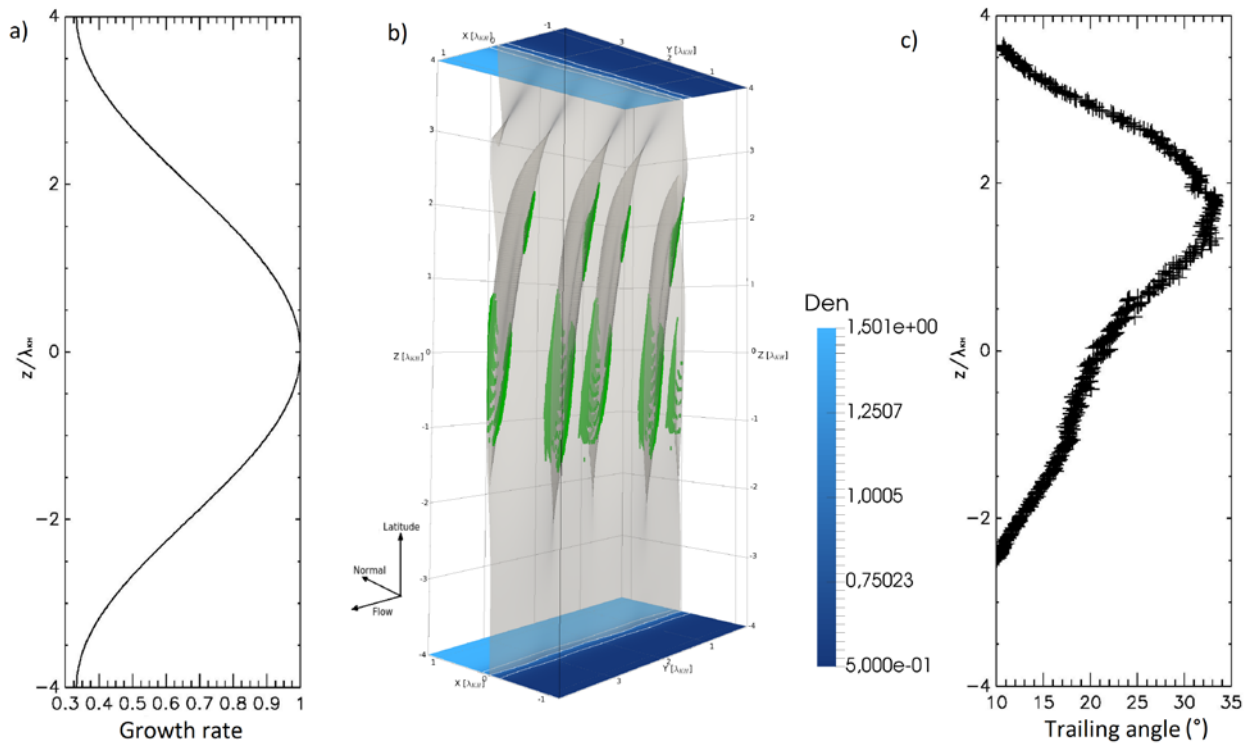
848 **Figure 1:**

849 Results from the three-dimensional MHD simulation code Bats'R'us in the GSM coordinate system.

850 Inputs of the simulation are provided by the OMNI data. Panel a: three-dimensional representation of

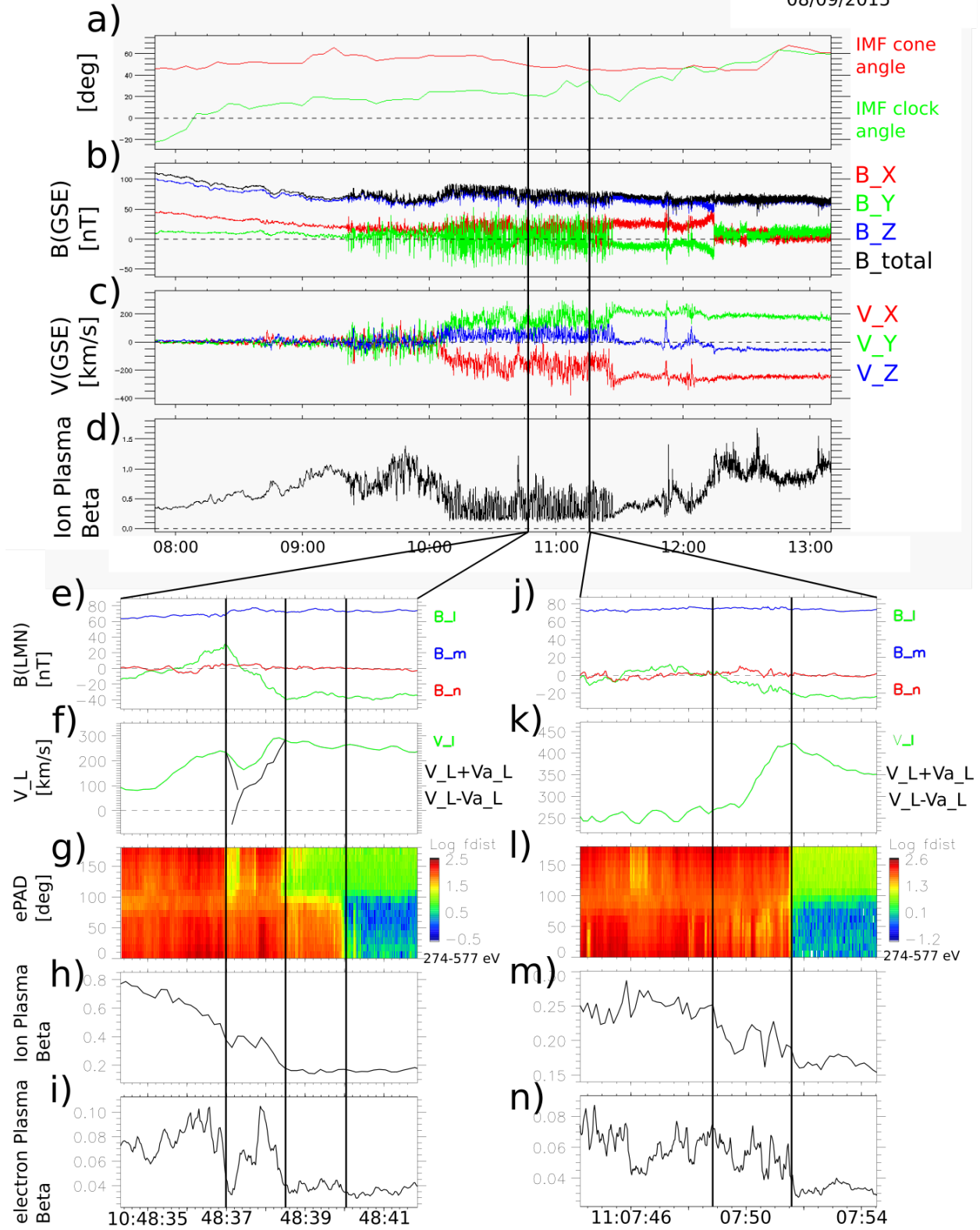
851 the growth rate calculated at the magnetopause. The terminator (black), the equatorial plane (black), the

852 orbit of the MMS spacecraft (blue), and a magnetic field line (red) are plotted(see text for detail). Panels
 853 b and c represent the growth rate at the magnetopause at 9:50:00 UT and 11:14:00 UT, respectively,
 854 while Panels d and e present the magnetic shear angle at the magnetopause at the same time. The IMF
 855 clock angle is represented by a white arrow in panels b to e. The positions of the MMS spacecraft from
 856 8:30 UT to 11:30 UT are represented by black dots in panels b and c, and white dots in panels d and e.



857
 858 **Figure 2:**
 859 Results from the local two-fluid simulation. a) normalized KH growth rate as a function of z . b) a 3D
 860 rendering of the simulation results at the beginning of the non-linear phase ($t\omega_{ci} = 525$). Blue and light
 861 blue colors represent the plasma density. White lines in the upper and lower planes are drawn at
 862 normalized plasma density = 0.7, 1.0, 1.3. The shaded surface represents the magnetopause folded by
 863 the vortices. Regions where the component of the magnetic field perpendicular to the folded
 864 magnetopause has a magnitude larger than 2% of the magnetospheric field are drawn in green. c) the
 865 trailing angle as a function of z at $t\omega_{ci} = 525$.
 866

08/09/2015

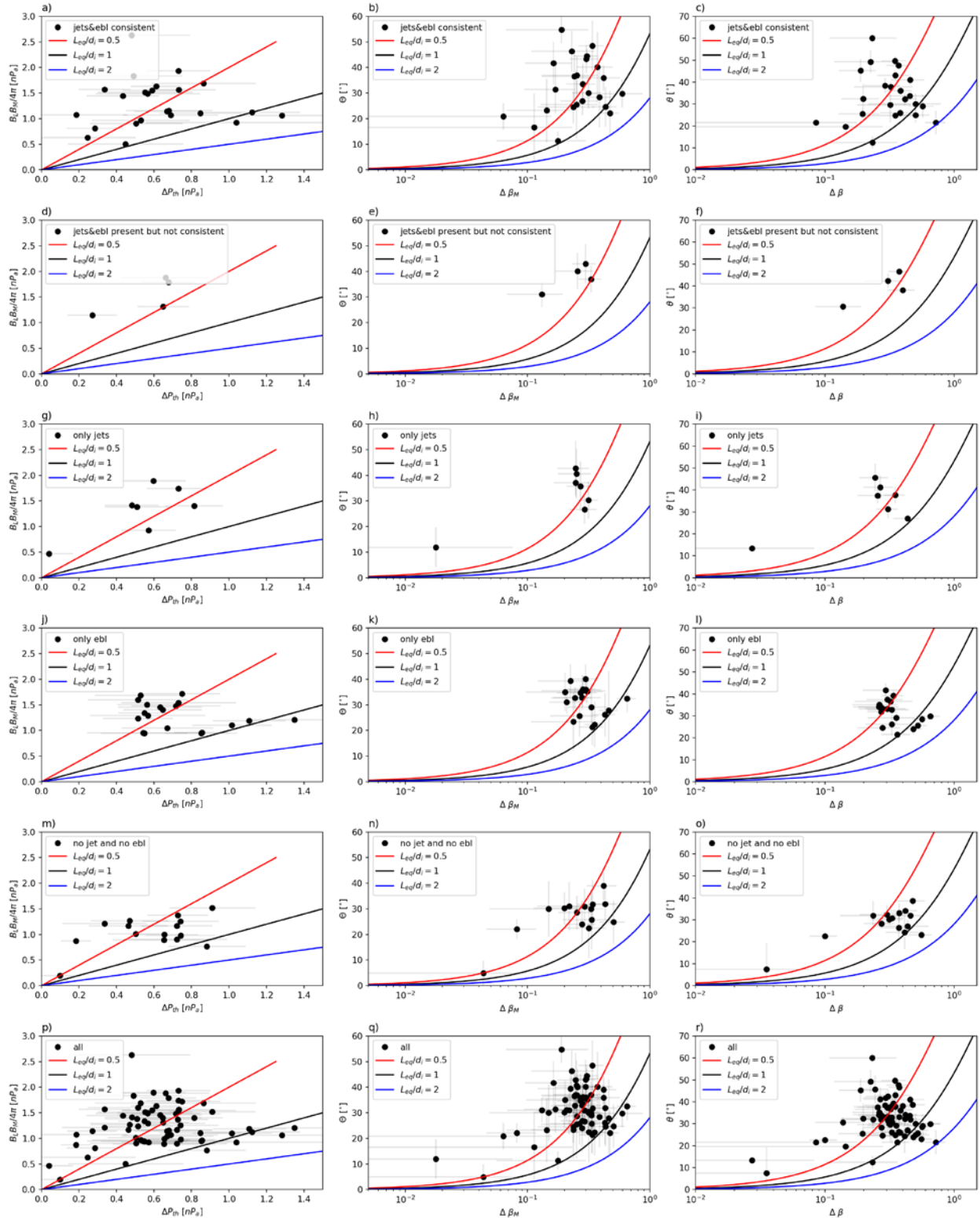


867
868

Figure 3:

869 Panel a): IMF cone angle and clock angle (in GSM) derived from OMNI data. Panels b), c), and d):
870 Magnetic field, velocity, and ion plasma beta from the MMS1 spacecraft (GSE coordinate system). Data
871 are from 08:00 to 13:00 UTC. Panels e) to j): current sheet crossing between 10:30:12 and 10:30:18. The
872 magnetic field (panel e) is provided in the local LMN coordinate system. The Walén test is illustrated in
873 panel f). Panel g) shows the electron pitch angle distribution for the energy 274-577eV. Panel i) show the
874 ion plasma beta while panel j shows the electron plasma beta. The first two black lines delimit the
875 current sheet and the second and third black lines delimit the electron boundary layer. Panels k) to o) are
876 similar to Panels e) to j) with data between 11:07:45 and 11:07:54. The two solid black lines delimit the
877 current sheet.

878



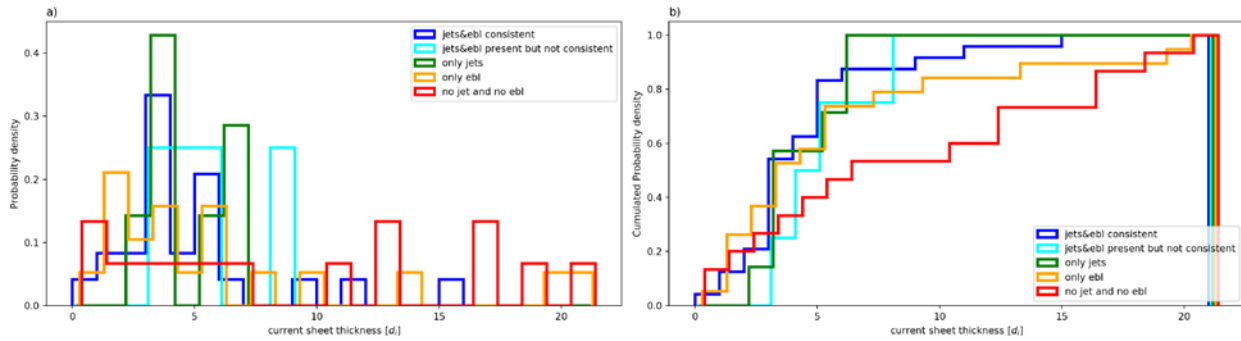
879

880 **Figure 4:**

881 Test of the *Swisdak et al.* [2003] model (see Equations 1, 2, and 3) for 69 current sheets crossings. The
 882 first, second and third column use Equations (1), (2), and (3), respectively. First row to second to last row

883 represent likelihood of events presenting magnetic reconnection signatures. The last row gathers all
 884 crossings at once. In each panel is represented the reconnection suppression condition for $L_{eq}/d_i = 0.5$,
 885 1, and 2 in red, black, and blue, respectively. Events which satisfy the model (i.e., where reconnection is
 886 allowed) are expected above the curves while suppressed events should be located under the curves.

887

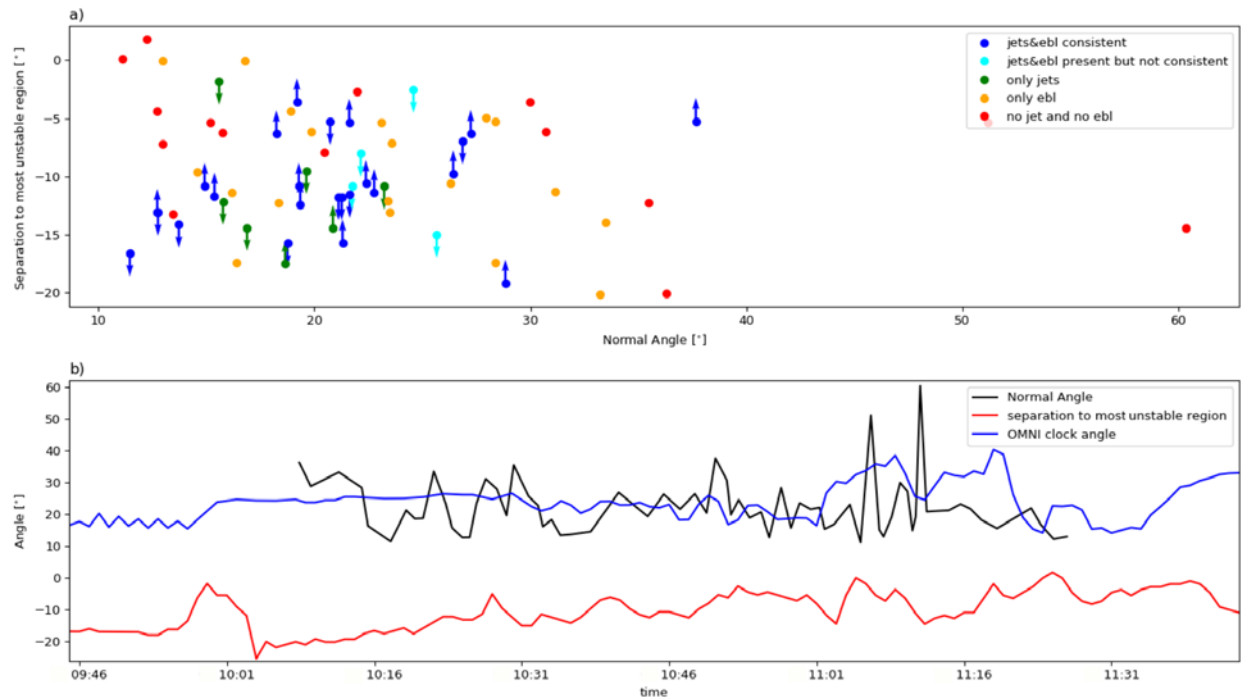


888

889 **Figure 5:**

890 Distributions of current sheet thicknesses (in inertial length) with their likelihood of being reconnecting
 891 events. Panel a) presents the normalize distributions of thicknesses, and Panel b) presents the
 892 cumulative distributions.

893

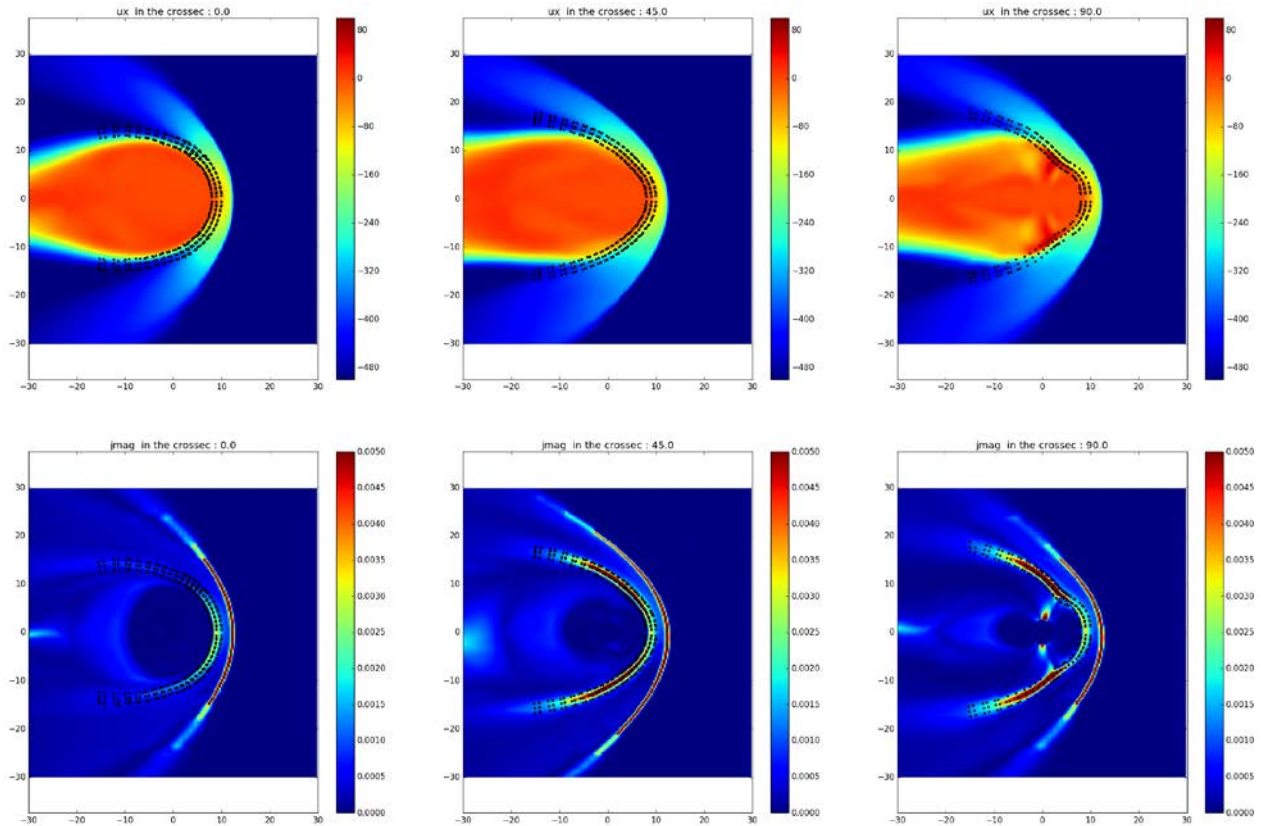


894

895 **Figure 6:**

896 Panel a: latitude distance (in degrees) between the MMS spacecraft and the most unstable plane derived
 897 from the global MHD model as a function of the angle between the normal of each current sheet and the
 898 normal of the unperturbed magnetopause (“normal angle”). The directionalities of the jets in accordance
 899 with the magnetic field geometry are represented with arrows. Events are grouped by their observed
 900 signatures. Panel b): time series during the observation of the KH instability at the magnetopause. The
 901 panel shows the “normal angle” in black, the OMNI clock angle in blue, and the separation between the
 902 spacecraft and the most unstable plane (in red).

903



904

905 **Figure 7**

906 Results from CCMS’s BATS’R’US simulation code. Bulk velocity and current density magnitude in two-
 907 dimensional cuts: equatorial plane, 45° plane, and meridional plane. The scatter points represent the
 908 magnetopause detection results with the corresponding magnetospheric and magnetosheath points.